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# Stand Structure and Recent Climate Change Constrain Stand Basal Area Change in European Forests: A Comparison Across Boreal, Temperate, and Mediterranean Biomes

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**Stand structure and recent climate change constrain stand basal area change in European forests: a comparison across boreal, temperate and Mediterranean biomes**

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Key Words:	carbon sink, climatic variability, competition, inventory-based data, minimum temperature, mixed models, stand basal area change, water availability

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3 1 **Stand structure and recent climate change constrain stand basal area change in**  
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5 2 **European forests: a comparison across boreal, temperate and Mediterranean**  
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8 3 **biomes**  
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13 4 **Short title:** Drivers of basal area change across Europe  
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3 28 **Authorship:** JM, PRB and MAZ conceived the design; DC, GK, AL, SR, PRB, CW and MAZ  
4  
5 29 collected the data; JM, PRB and SR analyzed data; DC, JM, SR and PRB contributed to the  
6  
7 30 methods, and all the authors wrote the article.  
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For Peer Review

32 **ABSTRACT**

33 European forests have a prominent role in the global carbon cycle and an increase in  
34 carbon storage has been consistently reported during the 20<sup>th</sup> century. Any further  
35 increase in forest carbon storage, however, could be hampered by increases in aridity  
36 and extreme climatic events. Here we use forest inventory data to identify the relative  
37 importance of stand structure (stand basal area and mean d.b.h.), mean climate (water  
38 availability) and recent climate change (temperature and precipitation anomalies) on  
39 forest basal area change during the late 20<sup>th</sup> century in three major European biomes.  
40 Using linear mixed-effects models we observed that stand structure, mean climate and  
41 recent climatic change strongly interact to modulate basal area change. Although we  
42 observed a net increment in stand basal area during the late 20<sup>th</sup> century, we found the  
43 highest basal area increments in forests with medium stand basal areas and small to  
44 medium sized trees. Stand basal area increases correlated positively with water  
45 availability, and were enhanced in warmer areas. Recent climatic warming caused an  
46 increase in stand basal area, but this increase was offset by water availability. Based on  
47 recent trends in basal area change we conclude that the potential rate of aboveground  
48 carbon accumulation in European forests strongly depends on both stand structure and  
49 concomitant climate warming, adding weight to suggestions that European carbon  
50 stocks may saturate in the near future.

51 **Keywords:** carbon sink, climatic variability, competition, inventory-based data,  
52 minimum temperature, mixed models, water availability, stand basal area change.

## 53 INTRODUCTION

54 Forests cover more than 30% of the global land surfaces (FRA, 2010), store large  
55 reservoirs of carbon (Goodale and others 2002; Pan and others 2011), harbour around  
56 two thirds of terrestrial biodiversity (Millennium Ecosystem Assessment 2005) and  
57 promote multiple ecosystem services (Gamfeldt and others 2013). Forests play a central  
58 role in the global carbon cycle, but the factors controlling terrestrial carbon exchanges  
59 and their magnitude remain controversial (Valentini and others 2000; Nabuurs and  
60 others 2003; Bellassen and Luysaert 2014). For example, it is widely accepted that  
61 current increases in forest biomass observed in many temperate forests result partially  
62 from positive effects of global change (e.g. Pastor and Post 1988; Nabuurs and others  
63 2003; Ciais and others 2008; Hember and others 2012; Peng and others 2014) and  
64 changes in forest management regimes (e.g. Spiecker 1999; Luysaert and others 2010),  
65 but the influences of climate change and extreme climatic events on biomass changes  
66 are not well understood (Dixon and others 1994; Schimel 2007; McMahon and others  
67 2010).

68 Future forest carbon sinks could be affected by large-scale changes in mortality  
69 and growth rates, both of which are related to climate, forest structure and the  
70 interactions between these factors (e.g. van Mantgem and others 2009; Dietze and  
71 Moorcroft 2011; Ruiz-Benito and others 2013). The rate of increase in carbon storage  
72 depends on forest structure, climate warming, CO<sub>2</sub> fertilisation and nitrogen deposition  
73 effects (Nabuurs and others 2003; Ciais and others 2008; Pan and others 2011).  
74 Although the magnitude of these effects remains uncertain, it has been shown that  
75 recent climate change and CO<sub>2</sub> fertilisation could have a positive impact on tree growth  
76 (Cao and Woodward 1998; Ciais and others 2008; Bellassen and others 2011).  
77 However, these positive effects could be overwhelmed by the effects of increased

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3 78 climatic variability and extreme climatic events, such as more frequent and more intense  
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5 79 drought events (e.g. Ciais and others 2005; Zhao and Running 2010; Hoch and Körner  
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7  
8 80 2012). Moreover, regional studies have not shown consistent trends in forest growth  
9  
10 81 rates; growth is increasing in temperate areas but no clear trends have been found in  
11  
12 82 European boreal or Mediterranean forests (Spiecker, 1999). On the other hand, recent  
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14  
15 83 worldwide episodes of increased defoliation and tree mortality have been related to  
16  
17 84 climate-induced processes (Allen and others 2010; Carnicer and others 2011). Forest  
18  
19 85 carbon sinks could be potentially affected by large-scale changes in mortality and  
20  
21 86 growth rates, both of which have been related to climate and/or its interaction with  
22  
23 87 forest structure (e.g. van Mantgem and others 2009; Dietze and Moorcroft 2011; Ruiz-  
24  
25 88 Benito and others 2013).

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29 89 European forests have been globally important carbon sinks (Ciais and others  
30  
31 90 2008; Nabuurs and others 2003), but what will happen in future is a matter of intense  
32  
33 91 debate (Nabuurs and others 2013). As a result of climate change, mean temperatures  
34  
35 92 are likely to increase, with northern Europe experiencing warmer winters and  
36  
37 93 Mediterranean regions warmer summers (Christensen and others 2007). Meanwhile,  
38  
39 94 climate change scenarios suggest that precipitation could increase in northern Europe  
40  
41 95 and decrease in Mediterranean regions (Christensen and others 2007). The exposure of  
42  
43 96 Mediterranean systems to even hotter, drier summers could result in the death of trees  
44  
45 97 normally regarded as drought tolerant, because the combination of low soil moisture  
46  
47 98 potentials and strong vapour pressure deficits push water transport systems to their limit  
48  
49 99 (Allen and others 2010; Ruiz-Benito and others 2013). Thus, climate change could  
50  
51 100 result in a reduction of carbon gains in the water-limited forests of Europe (Vayreda and  
52  
53 101 others 2012), that could even counteract gains arising from the abandonment of  
54  
55 102 agricultural lands (Canadell and Raupach 2008).



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3 103 Understanding how forest structure and climate interact to drive biomass change  
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5 104 across European forests, from boreal to temperate and Mediterranean forests is critical  
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7  
8 105 to infer future trends in forest carbon sinks. The role of European forests in the global  
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10 106 carbon cycle in the second half of the 20<sup>th</sup> century has been largely estimated through  
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12 107 inventory-based national statistics (e.g. Goodale and others 2002; Nabuurs and others  
13  
14 108 2003; Ciais and others 2008; Bellassen and others 2011). Recently tree level  
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16 109 information from consecutive inventories has become available in a growing number of  
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18 110 EU countries, allowing us to better estimate large-scale demographic processes (e.g.  
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20 111 Kunstler and others 2011; Benito-Garzón and others 2013; García-Valdés and others  
21  
22 112 2013, Vilà and others 2013). In this study we performed, for the first time, a large-scale  
23  
24 113 analysis of the main patterns and drivers of recent stand basal area change in the three  
25  
26 114 main biomes of European forests, using plot-level forest inventory information. Our  
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28 115 specific objectives were: (i) to examine recent decadal patterns of forest basal area  
29  
30 116 change, growth and mortality across Mediterranean, temperate and boreal biomes in  
31  
32 117 Europe; and (ii) to quantify the effect of stand structure, mean climate and recent  
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34 118 climate change on stand basal area change.  
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## 42 **MATERIAL AND METHODS**

### 43 **Data of stand basal area change and its components**

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47 120 We compiled information from consecutive National Forest Inventories (NFI hereafter)  
48  
49 121 of Spain, Germany and Finland (see methodological details in Appendix 1 of  
50  
51 122 supplementary material), which encompass stands belonging to Mediterranean,  
52  
53 123 temperate and boreal biomes (Figure 1a). We selected plots from consecutive surveys  
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55 124 where tree-level data on ingrowth, surviving and dead trees was recorded in both  
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57 125 surveys (see supplementary Appendix 1 and Table S1).  
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3 127 From the initial plots of the three NFIs we selected a total of 40,521 plots where  
4  
5 128 at least one adult tree was measured (i.e. d.b.h. > 10 cm) and where there was no  
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7  
8 129 evidence of thinning or harvesting in either of the two consecutive surveys. Plots with  
9  
10 130 any sign of harvesting were excluded for two reasons: (i) biomass loss due to harvesting  
11  
12 131 implies an assessment of growth considering only surviving trees, which could result in  
13  
14  
15 132 biased estimates of real productivity in natural forests–; and (ii) harvesting usually  
16  
17 133 triggers an immediate growth release in neighbouring trees and, therefore, management  
18  
19  
20 134 could affect carbon stock changes (Vayreda and others 2012).

21  
22 135 In the 40,521 plots each tree alive in the first inventory was recorded as either  
23  
24 136 alive or dead in the second inventory. We estimated the absolute change in basal area  
25  
26  
27 137 and the relative growth and mortality rates in each plot. Thus, we calculated: (i) stand  
28  
29 138 basal area change ( $\text{m}^2 \text{ ha}^{-1} \text{ yr}^{-1}$ , SBAC hereafter) as the difference in stand basal area  
30  
31 139 between the two surveys with respect to the time interval; (ii) basal area growth rate  
32  
33 140 (annual percentage,  $\text{SBA}_{\text{gain}}$ ) as the sum of basal area increments of all live trees present  
34  
35 141 in each survey with respect to the time interval and initial stand basal area; and (iii)  
36  
37 142 basal area loss rate due to natural mortality (annual percentage,  $\text{SBA}_{\text{loss}}$ ) as the basal  
38  
39 143 area lost between consecutive surveys due to mortality, again with respect to the initial  
40  
41 144 stand basal area and time interval following Sheil and others (1995). Basal area loss rate  
42  
43 145 was greater than zero in 25.4% of the plots included in this analysis (i.e. from the  
44  
45 146 40,521 measured plots included in this analysis 10,303 had a basal area loss rate greater  
46  
47 147 than zero). We used stand basal area change instead of biomass change directly because:  
48  
49 148 (i) basal area has been identified as reliable a proxy for biomass (e.g. Slik and others  
50  
51 149 2010); (ii) allometric equations do not exist for all 158 species present in the 40,521  
52  
53 150 plots included in the analysis; and (iii) allometric relationships can vary along the large  
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55 151 climatic gradient covered in this study (e.g. Lines and others 2012). We produced maps  
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3 152 of  $SBA_c$ ,  $SBA_{gain}$  and  $SBA_{loss}$  using ArcGIS 10 (ESRI Inc., Redlands, CA, USA; Figure  
4  
5 153 1).

### 10 154 **Forest structure and climate data**

11  
12 155 We used two forest structure variables, two climatic variables and two variables  
13  
14 156 representative of recent climatic change as potential predictors of recent stand basal area  
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16 157 changes. Mean tree diameter ( $d_m$ , mm) and stand basal area (BA,  $m^2 ha^{-1}$ ), in the first  
17  
18 158 survey, were used to represent forest structure.

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22 159 To characterise the spatial variability of climate across the three biomes, for each  
23  
24 160 of the plots we obtained climatic variables from WorldClim (Hijmans and others 2005)  
25  
26 161 and CGIAR-CSI GeoPortal, using CGIAR-CSI Global-Aridity and Global-PET  
27  
28 162 Database (Zomer and others 2007; 2008). Two climatic variables were selected to  
29  
30 163 characterise the climate in each plot (see details of variable selection in supplementary  
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32 164 Appendix 2 and Table S2): an index of water availability (WAI) and mean temperature  
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34 165 of the coldest quarter (hereafter minimum temperature,  $T_{min}$ ) (based on data between  
35  
36 166 1950 and 2000). WAI integrates temperature and rainfall in each plot (i.e. annual  
37  
38 167 precipitation minus potential evapotranspiration divided by potential  
39  
40 168 evapotranspiration). Negative values of WAI correspond to dry areas and positive  
41  
42 169 values to wet areas, and it has been shown to be an important driver of tree carbon  
43  
44 170 storage in the Mediterranean region (Vayreda and others 2012). Minimum temperature  
45  
46 171 is thought to be an important constraint in eastern European limits of tree species  
47  
48 172 distribution (e.g. Sykes and Prentice 1996).

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55 173 The magnitude of recent climate change was quantified by comparing mean  
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57 174 annual temperature and precipitation over the study period with the mean of each  
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59 175 climatic variable over the reference period 1900-2006, using mean monthly climate data  
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3 176 at 0.5 x 0.5 degree resolution from UDel\_AirT\_Precip data provided by the  
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5 177 NOAA/OAR/ESRL PSD (Boulder, Colorado, USA). The study period was defined as  
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8 178 the number of years between the two consecutive inventories plus two years before the  
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10 179 first survey (i.e. 1984-2006 Spain; 1984-2002 Germany; 1983-1995 Finland) to include  
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12 180 lagged effects of climate on growth or mortality (Vayreda and others 2012). We  
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14  
15 181 calculated absolute temperature anomalies and relative precipitation anomalies, using  
16  
17 182 yearly averages calculated using mean monthly climate data (i.e. from January to  
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19 183 December). The absolute temperature anomaly ( $^{\circ}\text{C}$ ) was defined as the difference  
20  
21 184 between the mean temperature for the study period and the mean value for the reference  
22  
23 185 period (1900-2006). The relative precipitation anomaly (%) was defined as the ratio  
24  
25 186 between the equivalent differences for precipitation and the mean value for precipitation  
26  
27 187 for the reference period. The absolute temperature anomalies varied from -0.3 to 1  $^{\circ}\text{C}$   
28  
29 188 among grid cells (with an average increment of 0.46  $^{\circ}\text{C}$ ), while the relative precipitation  
30  
31 189 anomalies varied from -18.7% to 14.6% (with an average of -2.5%, see supplementary  
32  
33 190 Figure S1 and S2).

### 191 **Statistical analyses**

192 We modelled stand basal area change (SBAC,  $\text{m}^2 \text{ha}^{-1} \text{yr}^{-1}$ ) using linear mixed-  
193 effects models, with a Gaussian distribution of residuals and used an identity link for the  
194 response variable. All analyses were performed in R version 2.15.1 (R Core Team  
195 2012), using the “lme4” package (Bates and others 2012).

196 The six fixed predictor variables of SBAC used were: stand basal area (BA,  
197  $\text{m}^2/\text{ha}$ ), mean d.b.h. ( $d_m$ , mm), water availability (WAI, %), minimum temperature  
198 ( $T_{\text{min}}$ ,  $^{\circ}\text{C}$ ), absolute temperature anomaly (TA,  $^{\circ}\text{C}$ ) and relative precipitation anomaly  
199 (PA, %) (see mean values in supplementary Figure S3 and Table S3). Due to the

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3 200 clustered nature of the sampling in Finland and Germany (where plots are aggregated in  
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5 201 groups of four; see Appendix 1 for more information), we included cluster as a random  
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8 202 effect in the model. We fitted country as a fixed effect because it only has three levels,  
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10 203 and as such is inappropriate as a random effect (see Bolker and others 2009). Our full  
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12 204 model also included as fixed effects linear and quadratic terms for each explanatory  
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14 205 variable. Based on our initial hypothesis we also included pair-wise interactions  
15  
16 206 between stand structure and climate variables:  $d_m \times BA$ ,  $WAI \times T_{min}$ ,  $BA \times WAI$ ,  $BA \times$   
17  
18 207  $T_{min}$ ,  $BA \times TT$ ,  $BA \times PT$ ,  $d_m \times WAI$ ,  $d_m \times T_{min}$ ,  $d_m \times TT$ ,  $d_m \times PT$  and  $WAI \times TT$ ,  $WAI$   
19  
20 208  $\times PT$  and  $T_{min} \times TT$ . All the numerical predictor variables were standardised (i.e. the  
21  
22 209 mean was subtracted from each value and divided by the standard deviation), enabling  
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24 210 the interactions to be included in the model (Zuur and others 2009). Additionally, in  
25  
26 211 order to detect collinearity between explanatory variables, we calculated the variance  
27  
28 212 inflation factors (VIFs) for each predictor variable. VIFs calculate the degree to which  
29  
30 213 collinearity inflates the estimated regression coefficients as compared with the  
31  
32 214 orthogonal predictors (Belsey, 1991; Oksanen and others 2010). Our results confirmed  
33  
34 215 that collinearity was not a major problem in our data ( $VIF < 3$ ).

35  
36 216 The most parsimonious model was determined using BIC (Bayesian Information  
37  
38 217 Criterion) as an indicator of both parsimony and likelihood (Burnham and Anderson  
39  
40 218 2002). To identify the best-supported model we first constructed candidate models in  
41  
42 219 which each of the interactions were dropped and if the difference in BIC between the  
43  
44 220 reduced and full models was less than two then the simpler model was selected (Hilborn  
45  
46 221 and Mangel 1997; Pinheiro and Bates 2000). The process was then repeated for all the  
47  
48 222 independent variables this time comparing each individual predictor variable with a  
49  
50 223 model containing all response variables without any interactions, using the differences  
51  
52 224 in BIC to quantify the relative importance of each predictor variable. Finally, parameter

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3 225 estimates and confidence intervals of the best-supported model were obtained using  
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5 226 restricted maximum likelihood (REML), which minimizes the likelihood of the  
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8 227 residuals from the fixed-effect portions of the model (Zuur and others 2009).  
9

10 228 The marginal  $R^2$  (proportion of variance explained by fixed factors) and  
11  
12 229 conditional  $R^2$  (proportion of variance explained by both the fixed and random factors)  
13  
14  
15 230 were estimated following Nakagawa and Schielzeth (2013). The parameter estimates  
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17 231 provide the basis for determining the magnitude of the effect of a given process, with  
18  
19 232 maximum likelihood estimates of parameter values close to zero indicating no effect.  
20  
21 233 Mean parameter estimates and 95% confidence intervals for the fixed effects were  
22  
23 234 estimated using bootstrapping methods available in the lme4 package (Bates and others  
24  
25  
26  
27 235 2012).

28  
29 236 Response curves for each explanatory variable (varying between the 99%  
30  
31 237 percentiles observed in the data) were computed using the best supported model, fixing  
32  
33 238 the values of the other continuous variables at the observed mean (Table 1), and the  
34  
35 239 categorical variables to zero (i.e. the fixed country effect, Eq. (1)). Approximate  
36  
37 240 confidence intervals of the prediction were calculated from the variance-covariance  
38  
39 241 matrix of the fixed effects ( $\pm 2 \times$  standard error of prediction). Response curves were  
40  
41 242 also computed with two variables varying between the 99% percentiles observed in the  
42  
43 243 data, with the rest held constant to the mean; these were visualised using three-  
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47 244 dimensional graphs.  
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## 245 **RESULTS**

### 246 **Patterns of stand basal area change and its components**

247 During the late 20<sup>th</sup> century there were positive mean stand basal area changes (SBAc)  
248 in the Mediterranean, temperate and boreal biomes (Table 1, supplementary Table S3),  
249 confirming that forests in these regions were accumulating basal area at a mean relative  
250 annual rate of 3.82%. We observed the largest mean SBAC, growth and loss rates in the  
251 temperate biome (Table 1, Figure 1b-d), with the highest basal area loss rates occurring  
252 in Spanish temperate forests (i.e. Northern Iberian Peninsula, see Figure 1d and  
253 supplementary Table S3). Forests with negative or near-zero SBAC were mainly  
254 concentrated in the Mediterranean and northern boreal regions (Table 1, Figure 1b).  
255 There was a positive correlation between SBAC and relative basal area gains due to  
256 growth ( $r = 0.41$ ,  $P < 0.001$ , Figure 1b,c), but SBAC was also affected by natural  
257 mortality as it can be observed by the negative correlation between SBAC and basal area  
258 loss ( $r = -0.26$ ,  $P < 0.001$ , Figure 1b,d).

259 A latitudinal gradient in water availability (WAI) and minimum temperature was  
260 observed (supplementary Figure S1). The Mediterranean biome had the driest areas (i.e.  
261 negative WAI) with increasing water availability towards the temperate and boreal  
262 biomes (Table 1), and minimum temperatures were lowest in the boreal biomes (Table  
263 1). Regarding climatic anomalies in the late 20<sup>th</sup> century, the largest temperature  
264 increments and precipitation reductions tended to be concentrated in Mediterranean and  
265 cool temperate biomes (Table 1 and supplementary Figure S1).

### 266 **Effects of stand structure, climate and recent climate change on basal** 267 **area change**

268 The best-supported model included the effects of all predictor variables (marginal  $R^2 =$   
 269 0.2743, conditional  $R^2 = 0.3761$ ) and took the following functional form:

$$\begin{aligned}
 270 \\
 271 \text{SBAc} = & \beta_1 + \beta_2(\text{BA}) + \beta_3(\text{BA}^2) + \beta_4(d_m) + (\beta_5(d_m^2) + \beta_6(\text{WAI}) + \beta_7(\text{Tmin}) + \\
 272 & \beta_8(\text{Tmin}^2) + \beta_9(\text{TA}) + \beta_{10}(\text{PA}) + (\beta_{11}\text{PA}^2) + \beta_{12}(\text{SP}) + \beta_{13}(\text{FI}) + \beta_{14}(\text{BA})(d_m) + \\
 273 & \beta_{15}(\text{WAI})(\text{Tmin}) + \beta_{16}(\text{BA})(\text{WAI}) + \beta_{17}(\text{BA})(\text{Tmin}) + \beta_{18}(\text{BA})(\text{TA}) + \beta_{19}(\text{BA})(\text{PA}) + \\
 274 & \beta_{20}(d_m)(\text{WAI}) + \beta_{21}(d_m)(\text{Tmin}) + \beta_{22}(d_m)(\text{TA}) + \beta_{23}(d_m)(\text{PA}) + \beta_{24}(\text{WAI})(\text{TA}) + \\
 275 & \beta_{25}(\text{WAI})(\text{PA}) + (\beta_{26}(\text{Tmin})(\text{TA}) \tag{1}
 \end{aligned}$$

276  
 277 where the response variable is the absolute stand basal area change (SBAc), and the  
 278 numerical predictor variables were: stand basal area (BA), mean d.b.h. ( $d_m$ ), minimum  
 279 temperatures (Tmin) and precipitation anomalies (PA) as quadratic terms; and water  
 280 availability (WAI) and temperature anomalies (TA) as linear terms (see Table 2 and  
 281 supplementary Table S4 for model comparisons, Table 3 for fitted parameter values,  
 282 supplementary Figure S4 for observed and predicted SBAC and supplementary Figure  
 283 S5 for model residuals). Country (i.e. Spain, Germany and Finland) was included as a  
 284 fixed categorical effect and thus linear terms were also included for Spain (SP) and  
 285 Finland (FI).

286 BIC model comparisons indicated that mean d.b.h. had the largest effect on  
 287 SBAC, followed by WAI, stand basal area, temperature anomaly and minimum  
 288 temperature (Table 2). The relative precipitation anomaly explained the smallest  
 289 variation compared to the rest of explanatory variables (Table 2). With regards to the  
 290 interaction terms, it is important to note that the full model included all possible pair-  
 291 wise interactions between the stand structure and climatic variables, but also strong  
 292 interactions between climate and recent climatic anomalies were found (Table 2,3).



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2  
3 293 The largest SBAC was observed in stands dominated by small trees ( $d_m < 200$   
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5 294 mm). SBAC decreased rapidly with mean tree diameter up to *c.* 9800 mm after which it  
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7 increased again (Figure 2). Considering stand basal area, SBAC increased from low to  
8  
9 medium stand basal area values, stabilising from medium to high stand basal area  
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11 296 values (Figure 2).  
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13 297

14  
15 298 The effect of WAI on SBAC was particularly strong in stands with low mean  
16  
17 299 d.b.h. (Figure 3a) and low basal area (Figure 3b). With increasing minimum  
18  
19 300 temperature, a non-linear relationship with SBAC was observed with a SBAC peak at  
20  
21 301 intermediate temperatures (Figure 4c), but this relationship was strongly affected by  
22  
23 302 mean d.b.h. (Figure 3c) and stand basal area (Figure 3d). The positive effect of  
24  
25 303 increasing minimum temperature on SBAC was particularly strong at high mean d.b.h.,  
26  
27 304 showing a more neutral relationship at low mean d.b.h. (Figure 3c). Stands with low  
28  
29 305 basal area showed the lowest SBAC at negative minimum temperatures, and the highest  
30  
31 306 SBAC at high basal area (Figure 3d). Moreover, we observed that the effect of minimum  
32  
33 307 temperature on SBAC was greater in wet areas (WAI positive) than in dry areas (WAI  
34  
35 308 negative) (Figure 4a). SBAC was positively associated with water availability (i.e. WAI)  
36  
37 309 in hot regions (i.e. Figure 4a,b) but no such relationship was found in regions with low  
38  
39 310 minimum temperatures (Figure 4a).  
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46 311 We observed an increase in SBAC with increases in recent temperature  
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48 312 anomalies (see positive value of parameter  $\beta_9$ , Table 3). This positive effect of recent  
49  
50 313 warming on SBAC was particularly strong in stands with low mean d.b.h. (Figure 3e)  
51  
52 314 and high basal area (Figure 3f). The positive effect of recent temperature increase on  
53  
54 315 SBAC was also particularly high in wet areas, turning to neutral in dry sites (Figure 4b).  
55  
56 316 The positive effect of recent temperature increase was observed along the full length of  
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58 317 the minimum temperature gradient and was particularly strong at low minimum  
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3 318 temperatures (Figure 4c). The negative effects of recent precipitation reductions on  
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5 319 SBAC increments were observed in both dry and wet areas, but the positive effects of  
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8 320 precipitation increase only occurred in wet areas (i.e. positive WAI, Figure 4d).  
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## 10 11 321 **DISCUSSION**

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14 322 The plot-based forest inventory information from Spain, Germany and Finland showed  
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16 323 that in the late 20<sup>th</sup> century undisturbed European forests experienced a net increase in  
17  
18 324 stand basal area, in agreement with previous studies (e.g. Ciais and others 2008;  
19  
20 325 Bellassen and others 2011). These increments were particularly large in the temperate  
21  
22 326 biome, turning to neutral or even negative in some areas of the Mediterranean and  
23  
24 327 northern boreal forests. Patterns of stand basal area increase were highly influenced by  
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26 328 stand structure (mean d.b.h. and stand basal area) and climate (water availability and  
27  
28 329 minimum temperatures), but also by recent temperature and precipitation anomalies.  
29  
30 330 The largest stand basal area changes (SBAC) occurred in relatively young forests or  
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32 331 forests in early development stages (i.e. low mean d.b.h. and low-medium basal area) in  
33  
34 332 mesic environments (i.e. not constrained by water or energy availability). Together,  
35  
36 333 these results suggest that the carbon sink potential of European forests could be strongly  
37  
38 334 constrained in water-limited Mediterranean forests, where the positive effects of recent  
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40 335 climate warming may be offset by competition and climatic stress.  
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## 50 336 **Patterns of stand basal area change and its components**

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52 337 All three biomes showed a net increase in stand basal area, in agreement with previous  
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54 338 studies that have reported a general increase in biomass in the second half of the 20<sup>th</sup>  
55  
56 339 century (Kauppi and others 1992; Ciais and others 2008; Bellassen and others 2011; Pan  
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58 340 and others 2011). The positive correlation between stand basal area change (SBAC) and  
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60 341 growth suggests that factors controlling tree growth, such as stand structure, climate and

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3 342 recent climatic anomalies are fundamental drivers of SBAC (Gómez-Aparicio and others  
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5 343 2011; Vayreda and others 2012). However, we observed a negative correlation between  
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7  
8 344 SBAC and stand loss suggesting that stochastic mortality processes may have a key role  
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10 345 in the future on aboveground productivity and forest structure, particularly under  
11  
12 346 climate change (Allen and others 2010; Benito-Garzón and others 2013; Ruiz-Benito  
13  
14  
15 347 and others 2013). These results suggest that both growth and mortality could potentially  
16  
17 348 affect species performance and future species distribution (Benito-Garzón and others  
18  
19 349 2013).

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21  
22 350 The temperate biome had the highest SBAC increments, which agrees with  
23  
24 351 global analyses of the aboveground forest carbon sink (Pan and others 2013). The  
25  
26 352 largest SBAC increments in temperate forest are probably due to increased tree growth  
27  
28 353 in parts of the latitudinal gradient not strongly limited by temperature or water  
29  
30 354 availability (e.g. Gerten and others 2008). It has been suggested that temperature  
31  
32 355 controls tree growth in boreal forests, whereas moisture and water availability are key  
33  
34 356 drivers in central and southern Europe (e.g. Vayreda and others 2012; Babst and others  
35  
36 357 2013). The highest mortality rates were observed in the Spanish part of the temperate  
37  
38 358 biome, probably due to the fact that the Iberian Peninsula harbours the southern  
39  
40 359 distribution limit of several widespread European species (Hewitt 2000; Hampe and  
41  
42 360 Petit 2005). In high-density Iberian forests increased temperature and drought events  
43  
44 361 have been related to tree mortality and forest decline (e.g. Carnicer and others 2011;  
45  
46 362 Sánchez-Salguero and others 2012; Ruiz-Benito and others 2013), most likely due to an  
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48 363 increase in tree density resulting from a reduction in management practices throughout  
49  
50 364 the Iberian Peninsula (e.g. Madrigal 1998; Ruiz-Benito and others 2012). Moreover,  
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52 365 most data from the Iberian Peninsula covers the early 21<sup>th</sup> century coinciding with the  
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3 366 severe drought of the 2000s (see Table S1), of which the effects on European forest  
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5 367 primary productivity have already been reported (Ciais and others 2005).  
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### 10 368 **Structural and climatic factors determining stand basal area change**

11  
12 369 Mean d.b.h. was the variable with the highest overall effect on basal area change,  
13  
14 370 followed by water availability and stand basal area (Table 2). Mean d.b.h. and stand  
15  
16 371 basal area are both related to stand age, and reflect past disturbances (e.g. fire or logging  
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18 372 history). Our results are consistent with other studies that found that structural variables  
19  
20 373 are particularly important in driving biomass changes, and thus growth and mortality  
21  
22 374 processes (e.g. Vilá-Cabrera and others 2011; Vayreda and others 2012). Stand age has  
23  
24 375 been shown to be particularly important in the net ecosystem productivity of different  
25  
26 376 forest types including boreal and temperate broadleaved forests (Magnani and others  
27  
28 377 2007).  
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34 378 The high SBAC observed at medium stand basal area and low mean d.b.h. (see  
35  
36 379 Figure 2 and supplementary Figure S1 and S2) suggests that European forests could be  
37  
38 380 in competitive thinning stages and that they will continue to act as carbon sinks in the  
39  
40 381 near future (Ciais and others 2008; Vayreda and others 2012). The form of the  
41  
42 382 relationship between SBAC and stand basal area is similar to the well-known pattern for  
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44 383 above-ground biomass increment, which often increases with stand basal area then  
45  
46 384 levels off at higher population densities (e.g. Charru and others 2010; McMahon and  
47  
48 385 others 2010). Our results agree with typical forest development, where relatively young  
49  
50 386 stands accumulate carbon (i.e. in developing stages), but biomass increments start to  
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52 387 decline when the stands are at high competitive levels (i.e. intermediate mean d.b.h. and  
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54 388 high stand basal area, Coomes and Allen 2007).  
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3 389 Water availability had a strong, linear influence on SBAC (Table 2, Figure 3a,b),  
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5 390 emphasising the central role that heat and water stress have in driving growth and  
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8 391 mortality and, thus, are fundamental factors of carbon balance (Magnani and others  
9  
10 392 2007; Charruand others 2010). The positive effect of water availability on SBAC was  
11  
12 393 particularly pronounced in relatively young forest (i.e. low mean d.b.h. and low stand  
13  
14 394 basal area) and in hot areas (i.e. high minimum temperatures). Although differential  
15  
16 395 sensitivity in tree growth and tree mortality with age have been reported, greater  
17  
18 396 sensitivities have been found in either young trees (e.g. Suarez and others 2004; Vieira  
19  
20 397 and others 2009) or older trees (possibly related to hydraulic limitation, see Carrer and  
21  
22 398 Urbinati 2004). Our results suggest that relatively young forests or forests in developing  
23  
24 399 stages are particularly sensitive to low water availability and temperature-related stress  
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28  
29 400 (see Coll and others 2013; [Madrigal-González and Zavala 2014](#)).

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31  
32 401 The relationship between SBAC and the minimum temperature gradient reflects  
33  
34 402 the large gradient covered from cold boreal to warm Mediterranean forests (see Figure  
35  
36 403 3c,d), which is a primary factor influencing tree species distributions (Woodward and  
37  
38 404 Williams 1987). Moreover, we observed that minimum temperatures had a positive  
39  
40 405 correlation with SBAC in forests with high mean d.b.h., low stand basal area or positive  
41  
42 406 water availability (Figure 3c,d and Figure 4a, respectively). This result suggests that  
43  
44 407 minimum temperature could be an important factor limiting primary productivity in  
45  
46 408 northern European forests (i.e. WAI positive and minimum temperature lower than -8  
47  
48 409 °C, see supplementary Figure S1), but in southern dry forests water availability is the  
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51 410 main constraint (Boisvenue and Running 2006).  
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57 411 **Effect of recent temperature and precipitation anomalies on stand**  
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59  
60 412 **basal area change**

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3 413 Recent climate change has had a profound impact on SBAc. Increases in temperature  
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5 414 and precipitation were associated with increased SBAc (Figure 3e-g), and although its  
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8 415 effect was lower than those of stand structure or mean climate, we observed significant  
9  
10 416 interactive effects (Fig 3,4). Vayreda and others (2012) found that recent shifts in  
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12 417 climate had important effects on biomass growth in Spanish forests, and reported that  
13  
14  
15 418 this effect had less influence on growth than stand structure or spatial climatic  
16  
17 419 variability. Sala and others (2012) have also suggested that productivity is more affected  
18  
19 420 by spatial than temporal variation in climate.

21  
22 421 The general positive effect of increased temperature on basal area increments  
23  
24 422 observed in wet areas, agrees with other studies that have reported this effect when  
25  
26 423 water is not a limiting factor (McMahon and others 2010; Vayreda and others 2012).  
27  
28 424 Thus, warming could particularly enhance plant growth in boreal and temperate  
29  
30 425 European forests because of increases in metabolic rates (Anderson and others 2006;  
31  
32 426 Way and Oren, 2010) or longer growing seasons (Myneni and others 1991). In our  
33  
34 427 study, the trend for increased SBAc with increasing recent temperatures was observed  
35  
36 428 in relatively young forests, which are likely to be in a growth peak (Gómez-Aparicio  
37  
38 429 and others 2011). Overall, these results suggest that the positive effects of warming on  
39  
40 430 SBAc could vary greatly, depending on climate and stand structure. Thus stand basal  
41  
42 431 area increments could potentially be neutralised in water-limited forests, such as those  
43  
44 432 found in Mediterranean regions (see also Vayreda and others 2012), and in mature  
45  
46 433 forests where growth is generally less than forests in competitive thinning stages if there  
47  
48 434 is a slow filling of canopy gaps, or water or nutrient limitation (Coomes and others  
49  
50 435 2012).

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53 436 Although the effect of recent shifts in precipitation on SBAc was much smaller  
54  
55 437 than the effect of increasing temperatures (Table 2), the relatively small SBAc in areas

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3 438 with reduced precipitation was maintained along the entire water availability gradient  
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5 439 (Figure 4d), but was particularly important in wet areas (i.e. temperate and boreal  
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8 440 biomes). This result suggests that although drought stress could cause reduced growth  
9  
10 441 (Barber and others 2000; Silva and others 2010) rainfall shortage could also cause  
11  
12 442 important decreases in productivity (Ciais and others 2005). This could be particularly  
13  
14 443 severe in wet compared to dry areas, probably due to the poor adaptation of plants to  
15  
16 444 water shortages in these regions (Vicente-Serrano and others 2013). Nevertheless, in dry  
17  
18 445 sites, such as water-limited Mediterranean forests, temporal increases in precipitation  
19  
20 446 correlated with increases in SBAC (Figure 4d). This result suggests that water-limited  
21  
22 447 areas can be expected to respond to any increasing precipitation with large biomass  
23  
24 448 increments (e.g. Knapp and Smith 2001; Gerten and others 2008).

### 449 **Implications for stand basal area change in European forests**

450 This work provides support for the view that stand structure and climatic heterogeneity  
451 are critical drivers of stand basal area change. These drivers should be taken into  
452 account when determining the potential carbon sink or source of European forests over  
453 time across biomes, because limiting factors and possible trends may radically differ  
454 depending on climatic and structural conditions.

455 We observed a high net annual increment in recent stand basal area change of  
456 0.43 m<sup>2</sup> ha<sup>-1</sup> yr<sup>-1</sup>, mainly due to stand basal area gains (c. 3.8%) and partially  
457 constrained by stand basal area losses due to mortality (c. 0.06%, Table 1). A large  
458 fraction of European forests are undergoing post-disturbance secondary succession  
459 (including management practices)~~European forests are recovering from disturbances~~  
460 ~~and are undergoing management~~, which could be an explanation for the sink role  
461 observed during the 1990s (e.g. Schimel and others 2001). Despite of the relatively high



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3 462 increase in stand basal area in the period considered in this study, we observed a high  
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5 463 variability in the response. Our results suggest that the changes in basal area are highly  
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8 464 influenced by interactive effects between stand structure, climate and climate warming.  
9

10 465 The repeated inventory-based measures used in this study highlight the potential role of  
11  
12 466 forests in accumulating biomass, but our results suggest that current stand structure (i.e.  
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14 467 the relatively young age and high density of European forests) and the potential effects  
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16 468 of spatial and temporal variations in climate could constrain biomass increases in the  
17  
18 469 absence of disturbances or other management actions (e.g. fire or extensive  
19  
20 470 management were not explicitly considered in this study). On the one hand, we  
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22 471 observed that relatively young forests or forests in competitive thinning stages have a  
23  
24 472 greater potential to act as aboveground carbon sinks than mature forest (e.g. Luysaert  
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26 473 and others 2010; Pan and others 2011), however large areas of European forests are  
27  
28 474 increasing in density which may result in biomass increments levelling off (e.g. Charru  
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30 475 and others 2010). In addition, the largest increments in stand basal area were observed  
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32 476 in forests least limited by water or temperature, and the carbon sink role of European  
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34 477 forests could be strongly modulated by climate change. Stand basal area change could  
35  
36 478 be caused by either reduced forest growth or increased tree mortality, and thus may  
37  
38 479 affect species distributions (Benito-Garzón and others 2013). Moreover, rapid climate  
39  
40 480 warming may cause large-scale dieback in some forests (e.g. Allen and others 2010),  
41  
42 481 increased mortality or reduced growth caused by interactions between climate and stand  
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44 482 structure (e.g. Gómez-Aparicio and others 2011; Ruiz-Benito and others 2013).  
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52 483 Limitations in water and/or energy availability are fundamental drivers  
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54 484 constraining biomass increment (e.g. Boisvenue and Running 2006), as demonstrated by  
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56 485 the fact that Mediterranean (dry areas limited by water availability) and northern boreal  
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58 486 forests (limited by minimum temperature) had the lowest SBAC increments. Biomass  
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3 487 increments in Mediterranean water-limited forests have been relatively less affected by  
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5 488 recent climate warming compared to stands in temperate and boreal biomes (i.e. see  
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8 489 reduced SBAC response to increased temperature, Fig. 4b). However, basal area  
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10 490 accumulation due to the positive effects of climate warming is unlikely to continue at its  
11  
12 491 current rate in regions where precipitation is declining and forests are ageing. Early  
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14 492 signs of carbon sink saturation have been observed in European forests (Narbuurs and  
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16 493 others 2013), congruent with our results because aboveground biomass increments are  
17  
18 494 strongly dependent on current forest structure (see also Vayreda and others 2012).  
19  
20 495 However, our results may overestimate the rate of aboveground basal area accumulation  
21  
22 496 in European forests because we deliberately excluded harvested plots from our analyses,  
23  
24 497 in which stand basal area could have dropped substantially. Overall, we suggest that  
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26 498 forests in developing stages constitute an important short-term aboveground carbon  
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28 499 sink, but these forests could be particularly vulnerable to climate stress and competition,  
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34 500 especially in the water-limited Mediterranean region.

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49  
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51  
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53  
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55  
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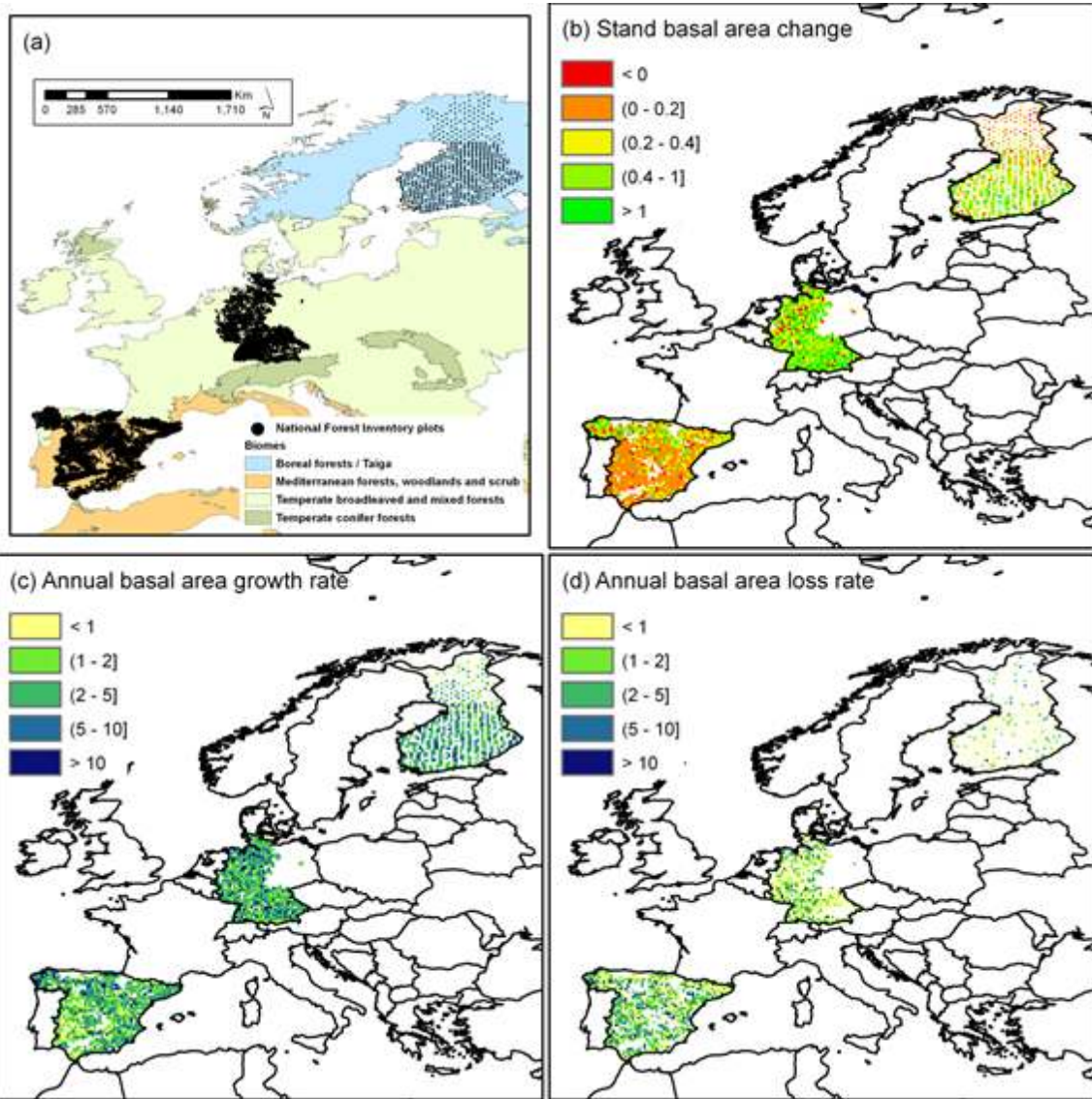


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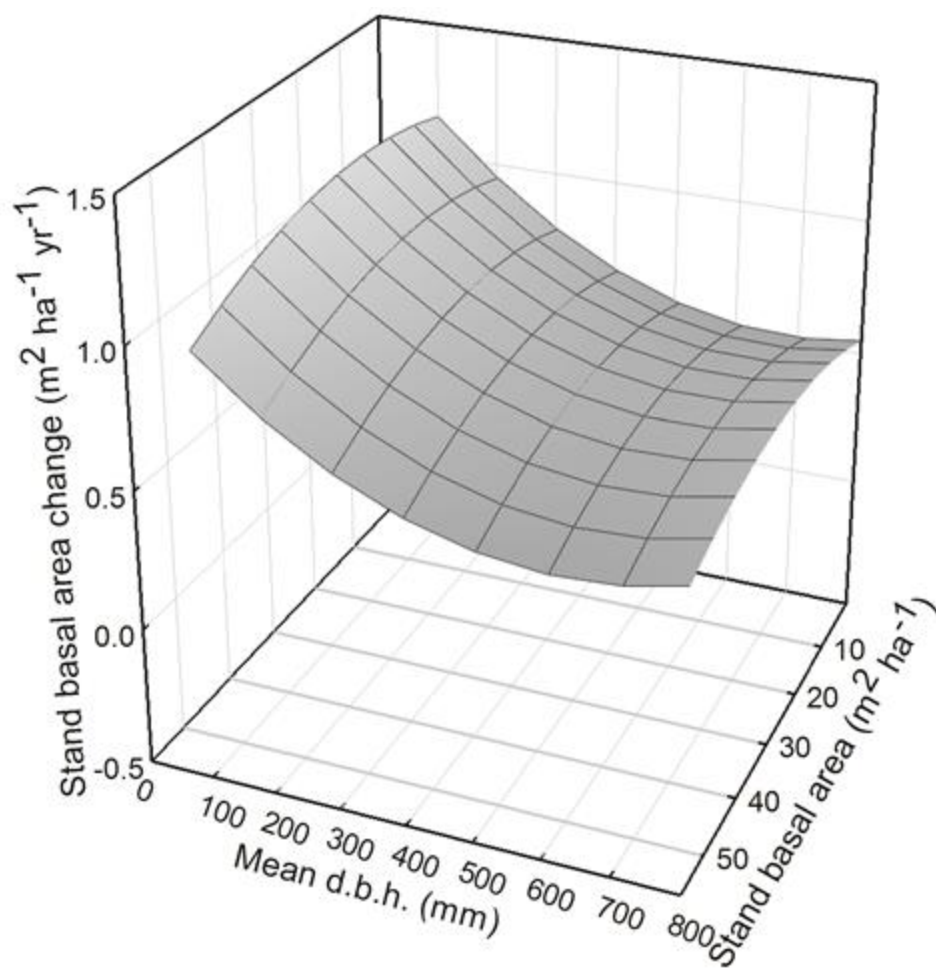


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Figure 1.

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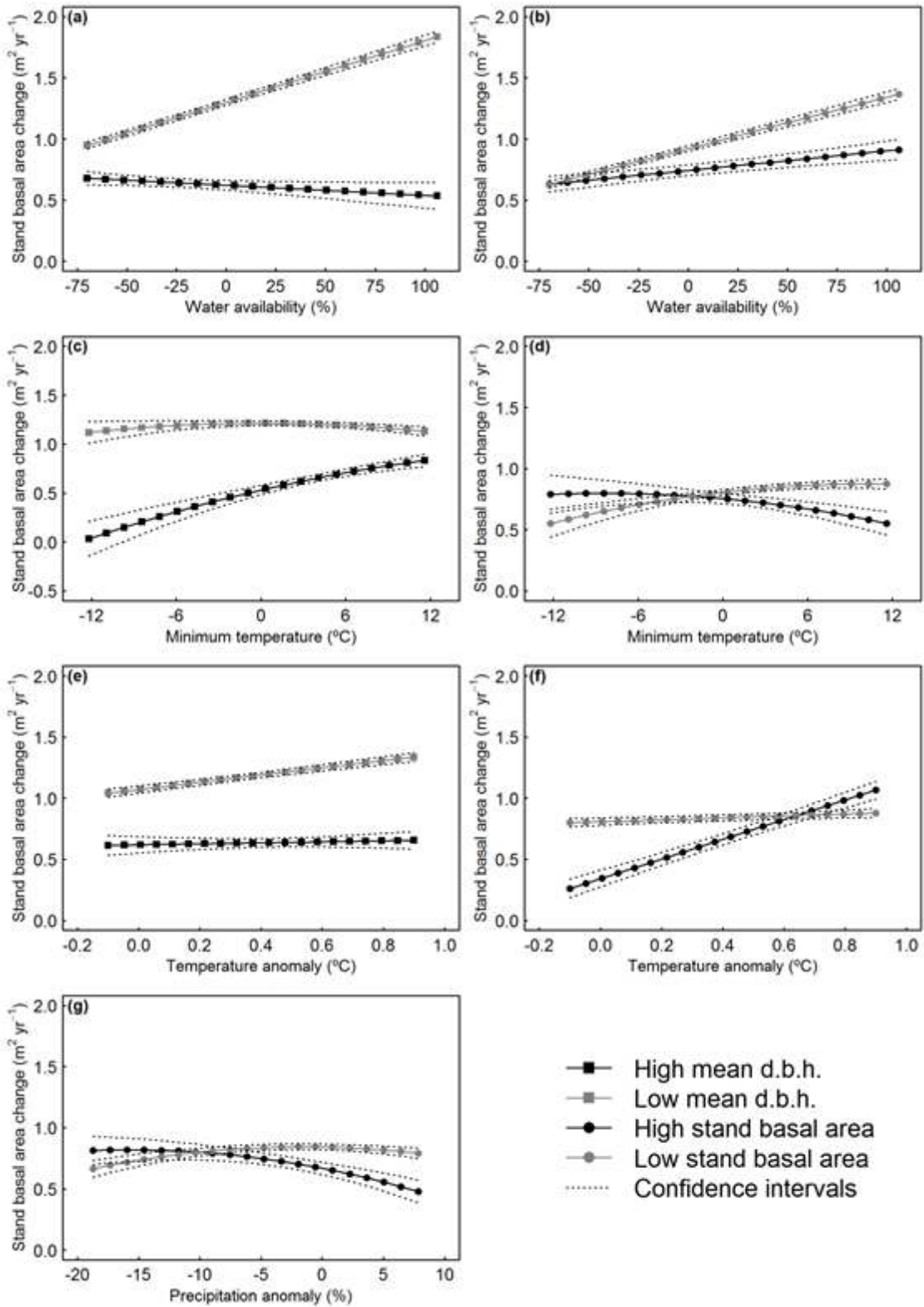


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778 **Figure 2.**

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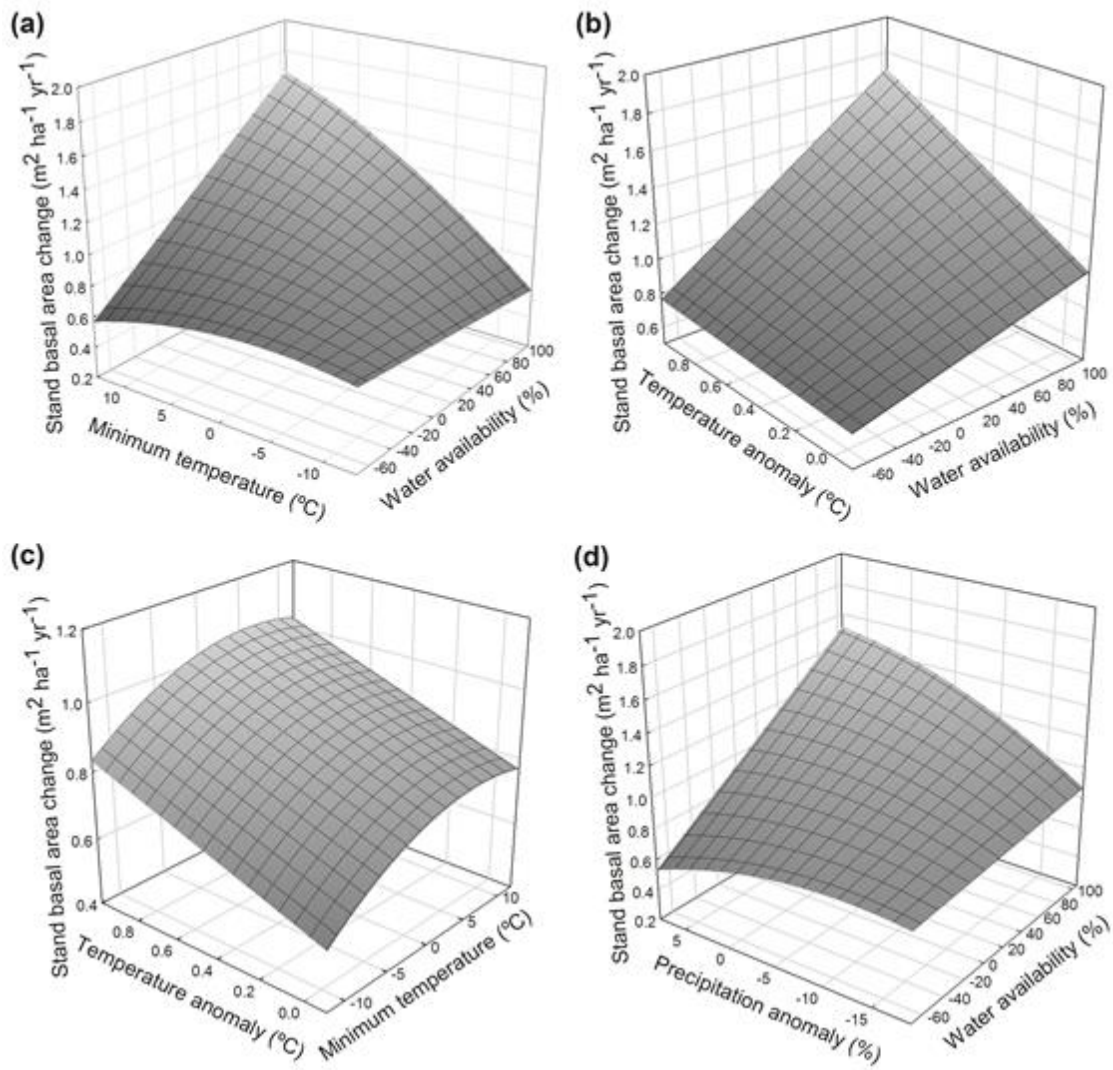
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782 **Figure 4.**

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783 **FIGURE LEGENDS**

784 **Figure 1.** Map of Spanish, German and Finnish NFI at a spatial resolution of 0.2 x 0.2  
785 degrees: (a) the stands included in this study and the underlying biome distribution  
786 (Olson and others 2001), and the spatial distribution of (b) stand basal area change  
787 (SBAC,  $\text{m}^2 \text{ha}^{-1} \text{yr}^{-1}$ ), (c) annual basal area growth rate ( $\text{SBA}_{\text{gain}}$ ,  $\% \text{yr}^{-1}$ ), (d) annual loss  
788 rate ( $\text{SBA}_{\text{loss}}$ ,  $\% \text{yr}^{-1}$ ).

789 **Figure 2.** Predicted basal area change ( $\text{m}^2 \text{ha}^{-1} \text{yr}^{-1}$ ) by mean d.b.h. (mm) and stand  
790 basal area ( $\text{m}^2 \text{ha}^{-1}$ ).

791 **Figure 3.** Predicted basal area change in relation to climatic variables in two  
792 combinations of mean d.b.h. and basal area. The predicted variation in basal area  
793 change ( $\text{m}^2 \text{ha}^{-1} \text{yr}^{-1}$ , i.e. proxy of biomass change) and 95% confidence intervals were  
794 calculated for two combinations of mean d.b.h. (99 percentiles showing high and low  
795 d.b.h.) and stand basal area (99 percentiles showing high and low basal area) along:  
796 (a,b) water availability (%), (c,d) minimum temperatures, (e,f) temperature anomaly,  
797 and (g) precipitation anomaly. The effect of precipitation anomaly on stand basal area  
798 change is only shown for combinations of stand basal area, because the interaction  
799 between precipitation anomaly and mean d.b.h. did not support a substantial  
800 improvement in the model (see Table 2).

801 **Figure 4.** Predicted basal area change against main interactions between climatic  
802 variables. Tridimensional plot showing the predicted effects on basal area change ( $\text{m}^2$   
803  $\text{ha}^{-1} \text{yr}^{-1}$ ) of the main interactions: (a) water availability  $\times$  minimum temperature, (b)  
804 water availability  $\times$  temperature anomaly, (c) minimum temperature  $\times$  temperature  
805 anomaly, and (d) water availability  $\times$  precipitation anomaly.

806 **Table 1.** Summary statistics of the inventory plots.

	<b>Mediterranean</b>	<b>Temperate</b>	<b>Boreal</b>	<b>All data</b>
SBA <sub>c</sub> (m <sup>2</sup> ha <sup>-1</sup> yr <sup>-1</sup> )	0.28 ± 0.003 [-0.25, 1.39]	0.71 ± 0.006 [-0.41, 2.43]	0.47 ± 0.009 [-0.05, 1.31]	0.43 ± 0.003 [-0.29, 1.98]
SBA <sub>gain</sub> (% yr <sup>-1</sup> )	3.36 ± 0.046 [0.36, 12.94]	4.59 ± 0.046 [0.71, 20.13]	4.34 ± 4.524 [0.36, 14.00]	3.82 ± 0.021 [0.44, 15.55]
SBA <sub>loss</sub> (% yr <sup>-1</sup> )	0.61 ± 0.013 [0.00, 6.47]	0.63 ± 0.017 [0.00, 5.53]	0.21 ± 0.021 [0.00, 2.06]	0.6 ± 0.000 [0.00, 6.06]
BA (m <sup>2</sup> ha <sup>-1</sup> )	8.82 ± 0.06 [0.60, 33.43]	21.77 ± 0.12 [1.75, 55.52]	10.1 ± 0.21 [0.34, 29.91]	13.34 ± 0.06 [0.67, 46.17]
d <sub>m</sub> (mm)	261.49 ± 0.86 [115.00, 612.60]	284.13 ± 1.06 [113.47, 572.81]	165.25 ± 1.2 [106.83, 284.54]	265.4 ± 0.66 [113.00, 591.92]
WAI (%)	-42.46 ± 0.12 [-67.55, 6.15]	19.77 ± 0.25 [-17.82, 94.48]	15.77 ± 0.21 [0.55, 30.36]	-18.67 ± 0.19 [-65.86, 63.99]
T <sub>min</sub> (°C)	5.45 ± 0.17 [0.90, 10.60]	1.3 ± 0.24 [-3.00, 8.40]	-9.62 ± 0.56 [-14.60, -5.60]	3.42 ± 0.21 [-8.70, 10.10]
TA (°C)	0.57 ± 0 [0.30, 0.90]	0.32 ± 0 [0.00, 0.70]	0.1 ± 0 [0.00, 0.30]	0.46 ± 0 [0.00, 0.90]
PA (%)	-3.44 ± 0.02 [-9.38, 2.04]	-1.74 ± 0.03 [-7.69, 3.70]	3.73 ± 0.07 [-2.00, 8.89]	-2.56 ± 0.02 [-8.33, 4.08]
No. Plots (%)	61.52%	34.48%	4.00%	100.00%

807 **Table 2.** Alternative models of stand basal area change.

<b>(a)</b>			<b>(b)</b>		
<b>Main and interaction effect models</b>	<b>BIC</b>	<b><math>\Delta</math>BIC</b>	<b>Main effect models</b>	<b>BIC</b>	<b><math>\Delta</math>BIC</b>
<b>Full</b>	<b>57946</b>	<b>0</b>	<b>Full</b>	<b>58934</b>	<b>0</b>
No Precipitation anomaly	57959	13	No Precipitation anomaly	58937	3
No Min. temperature	58136	190	No Min. temperature	59018	84
No Temperature anomaly	58229	283	No Temperature anomaly	59085	151
No Stand basal area	58552	606	No Stand basal area	59506	572
No Water availability	59358	1412	No Water availability	60230	1296
No Mean d.b.h.	61285	3339	No Mean d.b.h.	62296	3362
<b>(c) Interaction effect models</b>			<b>BIC</b>	<b><math>\Delta</math>BIC</b>	
<b>Full</b>			<b>57946</b>	<b>0</b>	
No (Mean d.b.h. $\times$ Precipitation anomaly)			57949	3	
No (Stand basal area $\times$ Mean d.b.h.)			57950	4	
No (Mean d.b.h. $\times$ Temperature anomaly)			57955	9	
No (Stand basal area $\times$ Precipitation anomaly)			57963	17	
No (Min. temperature $\times$ Temperature anomaly)			57964	18	
No (Stand basal area $\times$ Min. temperature)			57971	25	
No (Water availability $\times$ Temperature anomaly)			57974	28	
No (Stand basal area $\times$ Water availability)			57983	37	
No (Water availability $\times$ Precipitation anomaly)			57984	38	
No (Mean d.b.h. $\times$ Min. temperature)			57993	47	
No (Stand basal area $\times$ Temperature anomaly)			58044	98	
No (Water availability $\times$ Min. temperature)			58053	107	
No (Mean d.b.h. $\times$ Water availability)			58071	125	

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810 **Table 3.** Parameters of the final model of stand basal area change.

	Parameter	Mean	SE	LCI	UCI
Intercept	$\beta_1$	0.9142	0.0102	0.8960	0.9370
BA	$\beta_2$	0.0424	0.0042	0.0348	0.0498
BA <sup>2</sup>	$\beta_3$	-0.0265	0.0020	-0.0302	-0.0229
d <sub>m</sub>	$\beta_4$	-0.1983	0.0035	-0.2055	-0.1911
d <sub>m</sub> <sup>2</sup>	$\beta_5$	0.0327	0.0013	0.0296	0.0356
WAI	$\beta_6$	0.1371	0.0037	0.1300	0.1442
Tmin	$\beta_7$	0.0161	0.0054	0.0064	0.0288
Tmin <sup>2</sup>	$\beta_8$	-0.0115	0.0035	-0.0183	-0.0043
TA	$\beta_9$	0.0505	0.0040	0.0419	0.0603
PA	$\beta_{10}$	-0.0090	0.0034	-0.0156	-0.002
PA <sup>2</sup>	$\beta_{11}$	-0.0075	0.0014	-0.0106	-0.0047
SP	$\beta_{12}$	-0.5614	0.0122	-0.5895	-0.5396
FI	$\beta_{13}$	-0.5288	0.0371	-0.5965	-0.447
BA × d <sub>m</sub>	$\beta_{14}$	0.0065	0.0029	0.0009	0.0123
WAI × Tmin	$\beta_{15}$	0.0435	0.0042	0.0368	0.0517
BA × WAI	$\beta_{16}$	-0.0209	0.0034	-0.0279	-0.0131
BA × Tmin	$\beta_{17}$	-0.0215	0.0043	-0.0287	-0.0137
BA × TA	$\beta_{18}$	0.0336	0.0034	0.0273	0.0409
BA × PA	$\beta_{19}$	-0.0130	0.0031	-0.0185	-0.0063
d <sub>m</sub> × WAI	$\beta_{20}$	-0.0431	0.0039	-0.0506	-0.0366
d <sub>m</sub> × Tmin	$\beta_{21}$	0.0277	0.0040	0.0195	0.0349
d <sub>m</sub> × TA	$\beta_{22}$	-0.0107	0.0036	-0.0182	-0.0038
d <sub>m</sub> × PA	$\beta_{23}$	-0.0031	0.0027	-0.0096	0.0021
WAI × TA	$\beta_{24}$	0.0263	0.0050	0.0143	0.0366
WAI × PA	$\beta_{25}$	0.0249	0.0040	0.0168	0.0319
Tmin × TA	$\beta_{26}$	0.0215	0.0049	0.0112	0.0309

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3 812 **TABLES LEGENDS**  
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5 813 **Table 1.** Summary statistics of the inventory plots.  
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7  
8 814 Mean, standard error and 95% percentiles [min., max.] of stand basal area change  
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10 815 (SBAC,  $\text{m}^2 \text{ ha}^{-1} \text{ yr}^{-1}$ ), basal area growth rate ( $\text{SBA}_{\text{gain}}$ ,  $\% \text{ yr}^{-1}$ ), basal area loss rate  
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12 816 ( $\text{SBA}_{\text{loss}}$ ,  $\% \text{ yr}^{-1}$ ), stand basal area (BA,  $\text{m}^2 \text{ ha}^{-1}$ ), mean d.b.h. ( $d_m$ , mm), water  
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15 817 availability (WAI, %), minimum temperature ( $T_{\text{min}}$ , °C), temperature anomaly (TA, °C)  
16  
17 818 and precipitation anomaly (PA, %). Percentage of plots in boreal, temperate,  
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19 819 Mediterranean biomes is also shown.  
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22 820 **Table 2.** Alternative models of stand basal area change.  
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24 821 Comparisons of alternate models of stand basal area change ( $\text{m}^2 \text{ ha}^{-1} \text{ yr}^{-1}$ ) based on  
25  
26 822 Bayesian Information Criterion (BIC): (a) to test main effects including pair-wise  
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28 823 interactions between explanatory variables (Main and interaction effect models, i.e.  
29  
30 824 ignore the effect of each predictor variable and the interactions where the variable is  
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32 825 involved), (b) to test main effects without include pair-wise interactions between  
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34 826 explanatory variables (Main effect models, i.e. ignore the effect of each predictor  
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36 827 variable without considering any interaction), and (c) to test only the individual effect of  
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38 828 the interactions ( Interactions effect models). The full models include the effects of  
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40 829 mean d.b.h., stand basal area, minimum temperature, temperature anomaly, and  
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42 830 precipitation anomaly. The best fitting model is given in  $\Delta\text{BIC}$  value of zero (bold),  
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44 831 comparing the full model with models dropping the effect of the predictor variables  
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46 832 considering the main effects and/or the interactions. Thus, the alternate models ignore  
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48 833 the effects ('No') of: (a) main effects of the predictor variables and the interactions  
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50 834 where the variable is involved, (b) main effects of the predictor variables without  
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52 835 interactions or (c) interactions.  
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60 836 **Table 3.** Parameters of the final model of stand basal area change.

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3 837 Mean estimated parameters (Parameter), standard error (SE) and lower and upper 95%  
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5 838 confidence intervals (LCI and UCI, respectively) of the final model of basal area change  
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8 839 (see Eq. (1)).  
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6 *Mediterranean biomes*  
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11 **ELECTRONIC SUPPLEMENTARY MATERIAL**  
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3 **APPENDIX 1. DESCRIPTION OF NATIONAL FOREST INVENTORIES OF SPAIN, GERMANY AND**  
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6 **FINLAND**

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9 ***SPANISH NATIONAL FOREST INVENTORY***

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11 We used information from the second and third Spanish NFI (surveyed in the periods  
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13 1986-1996 and 1997-2007, respectively). The Spanish NFI plots are located on a 1 km<sup>2</sup>  
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15 grid over forested regions (Villaescusa and Díaz 1998; Villanueva 2004). The time  
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17 interval between surveys ranged from 6 to 13 years (mean 11.1 ± 0.9 years). Spanish  
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19 NFI plots were sampled using a v  
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variable radius technique with four concentric circular subplots of radius 5, 10, 15 and 25  
m. Within each subplot, trees were included in the sample according to their diameter at  
breast height (d.b.h.), with trees of 7.5-12.4 cm measured in the 5 m radius subplot,  
those of 12.5-22.4 cm in the 10 m radius subplot, those of 22.5-42.4 cm in the 15 m  
radius subplot, and those with d.b.h. larger or equal to 42.5 cm in the 25 m radius  
subplot.

***GERMAN NATIONAL FOREST INVENTORY***

We used information from the first and second German NFI. The German NFI uses a  
systematic grid of clusters, sampled in the periods 1986-1990 and 2001-2002  
respectively. The size of the sample grid is 4 by 4 km, however, it is reduced in some  
federal states to either 2.83 by 2.83 km or 2 by 2 km. Each cluster is a quadrangle of  
150 m in length with a sample plot on each corner. Trees with a d.b.h. of 10 cm or more  
in the first inventory and 7 cm in the second were selected by the angle-count method  
with a basal area factor (BAF) of 4 (m<sup>2</sup> ha<sup>-1</sup>) if they are alive or recently dead.

***FINNISH NATIONAL FOREST INVENTORY***

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3 We used data from the permanent sample plots of the Finnish NFI from two consecutive  
4 surveys sampled in the periods 1985-1986 and 1995 (subset NFI8). This permanent  
5 sample plot data has a systematic grid of plot clusters in forested areas (Mäkipää and  
6 Heikkinen 2003). In Southern Finland the grid is 16 by 16 square km, with four plots in  
7 each cluster at 400 m. intervals, while in Northern Finland the grid is a 24 by 32 km  
8 rectangle with three plots per cluster, at 600 m. intervals. These permanent sample plot  
9 data were sampled using a variable radius technique with two concentric circular  
10 subplots of radius 5.64 m for trees under 10.5 cm d.b.h. and 9.77 m for trees of d.b.h.  
11 10.5 cm or higher.  
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## APPENDIX 2. FURTHER DETAILS REGARDING SELECTION OF CLIMATIC VARIABLES.

Each of the NFI plots was characterized by 22 climatic variables from WorldClim (Hijmans and others 2005) and CGIAR-CSI GeoPortal, using CGIAR-CSI Global-Aridity and Global-PET Database (Zomer and others 2007; 2008). The relationship between the initial set of highly correlated climatic variables (see Table S2) was explored using Principal Component Analysis in R (R Development Core Team, 2012). The first axis of the PCA (explaining 54% of the variance) was strongly and positively correlated with potential water availability and negatively correlated with potential evapotranspiration. The second axis (explaining 24% of the variance) was strongly correlated with mean temperature of the coldest quarter (°C) and temperature seasonality (°C). To select which indicator of climate performed better we compared single-predictor models using quadratic functional forms which individually used water availability, potential evapotranspiration, minimum temperature and temperature seasonality as predictors of stand basal area change. The best predictors of climate (according to Bayesian Information Criteria, BIC) were water availability and minimum temperatures and were retained for our modeling analysis (Table S2.1).

**Table S2.1.** Comparison of stand basal area change models based on BIC parameterized variables that could be used as representative of climate. Predictor variables are WAI (water availability), PET (potential evapotranspiration), Tmin (minimum temperatures) and TS (Temperature seasonality). Number of parameter (NP), Bayesian Information Criterion (BIC) and  $\Delta$ BIC are also shown.

Predictor	NP	BIC	$\Delta$ BIC
WAI	3	66873	0
PET	3	67365	492
Predictor	NP	BIC	$\Delta$ BIC
Tmin	3	69166	0
TS	3	72339	3172

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**TABLE S1.** Main characteristics of the plot and sampling design from the three National Forest Inventories used in this study (see more details in Appendix S1).

	<b>Finland</b>	<b>Germany</b>	<b>Spain</b>
Survey dates	1985/86 - 1995	1986/90 - 2001/02	1986/96 - 1997/2007
Sample plot design	Cluster design, number and grid size depend on location. Mostly 6 x 6 km and 7 x 7 km grid. 250 or 300 m between plots in a cluster. 10, 11 or 14 plots in a cluster	Cluster design, 4 subplots. Grid size depends on region. Standard grid size is 4 by 4 km	1 by 1 km grid of single sample plots
Sample tree survey design	Variable radius	Angle-count	Variable radius
Plot size (m <sup>2</sup> )	100, 300	Variable, Basal Area Factor (BAF) 4 m <sup>2</sup> ha <sup>-1</sup>	79, 315, 707, 1964
Minimum tree d.b.h. (cm)	1	10, 7	7.5
No. plots included in study (percentage)	(4.00%)	(34.48%)	(61.52%)

**TABLE S2.** List of initial set of 22 climatic predictors of stand basal area change available from WorldClim (Hijmans and others 2005) and CGIAR-CSI GeoPortal, using CGIAR-CSI Global-Aridity and Global-PET Database (Zomer and others 2007; 2008).

CODE	VARIABLE	UNITS	DEFINITION
BIO1	Annual mean temperature	°C	The mean of all the weekly mean temperatures
BIO2	Mean diurnal range	°C	The mean of all the weekly diurnal temperature ranges
BIO3	Isothermality	%	The mean diurnal range divided by the annual temperature range
BIO4	Temperature seasonality	°C	Standard deviation *100
BIO5	Max temperature of warmest month	°C	Highest temperature of any weekly maximum temperature.
BIO6	Min temperature of coldest month	°C	Lowest temperature of any weekly minimum temperature.
BIO7	Temperature annual range	°C	Difference between BIO5 and BIO6

CODE	VARIABLE	UNITS	DEFINITION
BIO8	Mean temperature of wettest quarter	°C	The wettest quarter of the year is determined (to the nearest week), and the mean temperature of this period is calculated.
BIO9	Mean temperature of driest quarter	°C	The driest quarter of the year is determined (to the nearest week), and the mean temperature of this period is calculated.
BIO10	Mean temperature of warmest quarter	°C	The warmest quarter of the year is determined (to the nearest week), and the mean temperature of this period is calculated.
BIO11	Mean temperature of coldest quarter	°C	The coldest quarter of the year is determined (to the nearest week), and the mean temperature of this period is calculated.
BIO12	Annual precipitation	mm	The sum of all the monthly precipitation estimates.
BIO13	Precipitation of wettest month	mm	The precipitation of the wettest week or month, depending on the time step.
BIO14	Precipitation of driest month	mm	The precipitation of the driest week or month, depending on the time step.
BIO15	Precipitation seasonality (coefficient of variation)	mm	The coefficient of variation is the standard deviation of the weekly precipitation estimates expressed as a percentage of the mean of those estimates (i.e. the annual mean).
BIO16	Precipitation of wettest quarter	mm	The wettest quarter of the year is determined (to the nearest week), and the total precipitation over this period is calculated.

CODE	VARIABLE	UNITS	DEFINITION
BIO17	Precipitation of driest quarter	mm	The driest quarter of the year is determined (to the nearest week), and the total precipitation over this period is calculated.
BIO18	Precipitation of warmest quarter	mm	Warmest quarter of the year is determined (to the nearest week), and the total precipitation over this period is calculated.
BIO19	Precipitation of coldest quarter	mm	The coldest quarter of the year is determined (to the nearest week), and the total precipitation over this period is calculated.
Aridity	Global potential aridity	adimensional	Quantify precipitation availability over atmospheric water demand using the ratio between mean annual precipitation and PET
WAI	Water availability index	%	Difference between precipitation and evapotranspiration relative to evapotranspiration (%)
PET	Global potential evapotranspiration	mm	$PET = 0.0023 \times RA \times (T_{mean} + 17.8) \times TD^{0.5}$ , where $T_{mean}$ is monthly temperature, $RA$ is extra-terrestrial radiation and $TD$ is temperature range.

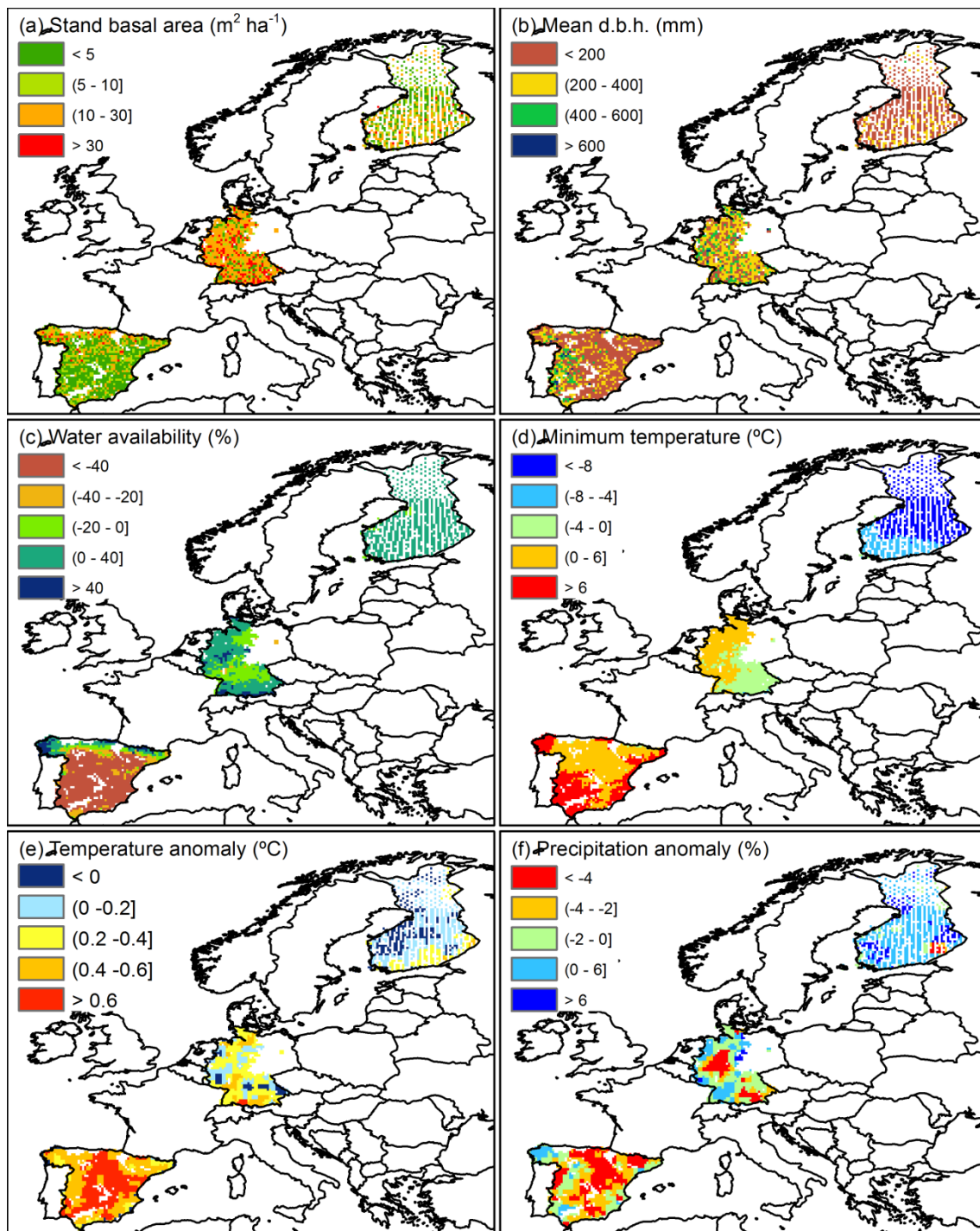
**TABLE S3.** Mean, standard error and 95% percentiles [min., max.] for each country of the NFIs used in this study (Spain, Germany, and Finland) for: stand basal area change (SBAc,  $\text{m}^2 \text{ha}^{-1} \text{yr}^{-1}$ ), basal area growth rate ( $\text{SBA}_{\text{gain}}$ ,  $\% \text{yr}^{-1}$ ), basal area loss rate ( $\text{SBA}_{\text{loss}}$ ,  $\% \text{yr}^{-1}$ ), stand basal area (BA,  $\text{m}^2 \text{ha}^{-1}$ ), mean d.b.h. ( $d_m$ , mm), water availability (WAI,  $\%$ ), minimum temperature (Tmin,  $^{\circ}\text{C}$ ), absolute temperature anomaly (TA,  $^{\circ}\text{C}$ ) and relative precipitation anomaly (PA,  $\%$ ).

	Spain	Germany	Finland
SBAc ( $\text{m}^2 \text{ha}^{-1} \text{yr}^{-1}$ )	$0.31 \pm 0.003$ [-0.29, 1.53]	$0.83 \pm 0.008$ [-0.31, 2.57]	$0.47 \pm 0.009$ [-0.05, 1.31]
$\text{SBA}_{\text{gain}}$ ( $\% \text{yr}^{-1}$ )	$3.56 \pm 0.023$ [0.38, 14.29]	$4.64 \pm 0.054$ [0.86, 19.55]	$4.31 \pm 0.091$ [0.60, 13.96]
$\text{SBA}_{\text{loss}}$ ( $\% \text{yr}^{-1}$ )	$0.7 \pm 0.012$ [0.00, 6.86]	$0.35 \pm 0.014$ [0.00, 3.66]	$0.21 \pm 0.021$ [0.00, 2.08]
BA ( $\text{m}^2 \text{ha}^{-1}$ )	$10.21 \pm 0.06$ [0.62, 37.57]	$24.57 \pm 0.16$ [4.00, 56.46]	$10.07 \pm 0.21$ [0.34, 29.87]
$d_m$ (mm)	$261.84 \pm 0.77$ [116.00, 601.15]	$296.11 \pm 1.37$ [111.45, 581.65]	$165.31 \pm 1.19$ [106.98, 285.13]
WAI ( $\%$ )	$-30.72 \pm 0.19$ [-66.84, 54.56]	$15.97 \pm 0.3$ [-18.03, 90.71]	$15.69 \pm 0.21$ [0.55, 30.36]
Tmin ( $^{\circ}\text{C}$ )	$5.18 \pm 0.16$ [-0.10, 10.50]	$-0.16 \pm 0.13$ [-3.20, 2.00]	$-9.59 \pm 0.57$ [-14.60, -5.40]
TA ( $^{\circ}\text{C}$ )	$0.55 \pm 0.00$ [0.30, 0.90]	$0.23 \pm 0.00$ [-0.10, 0.50]	$0.1 \pm 0.00$ [0.00, 0.30]
PA ( $\%$ )	$-3.52 \pm 0.02$ [-9.09, 1.96]	$-0.48 \pm 0.03$ [-6.67, 4.76]	$3.73 \pm 0.07$ [-2.00, 8.89]

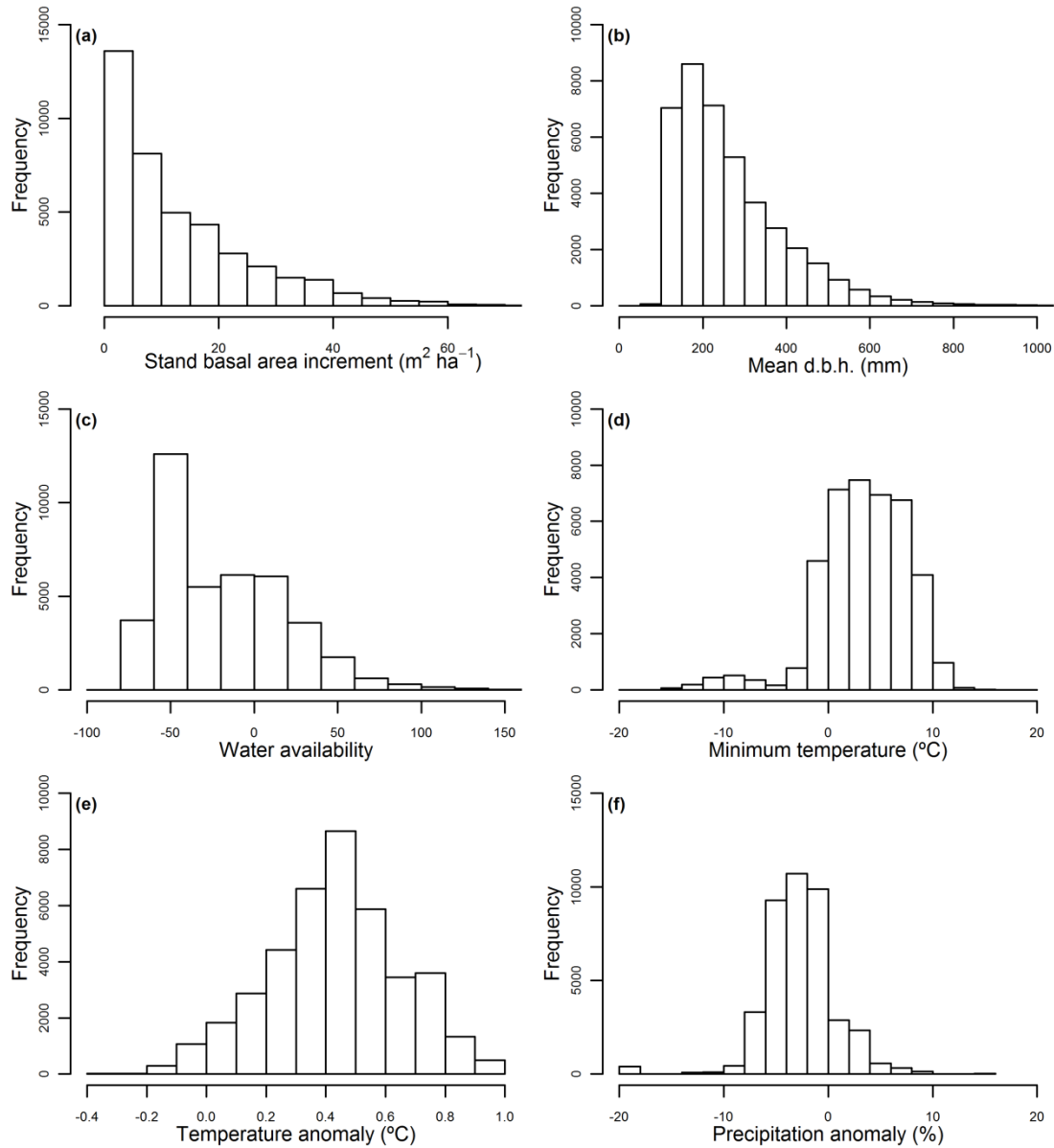
**TABLE S4.** BIC comparisons of stand basal area change models fitted with non-linear terms or with linear terms for each predictor variable. The full model with non-linear terms included the quadratic term of stand basal area (BA), mean d.b.h. ( $d_m$ ), water availability (WAI), minimum temperature (Tmin) and precipitation anomaly (PA); and the exponential form for temperature anomaly (TA).

<b>REML = FALSE</b>	<b>BIC</b>	<b><math>\Delta</math>BIC</b>
WAI linear	57937	0
TA linear	58977	1040
Full model	58988	1051
PA linear	58981	1044
BA linear	58993	1056
Tmin linear	59259	1322
$d_m$ linear	59591	1654

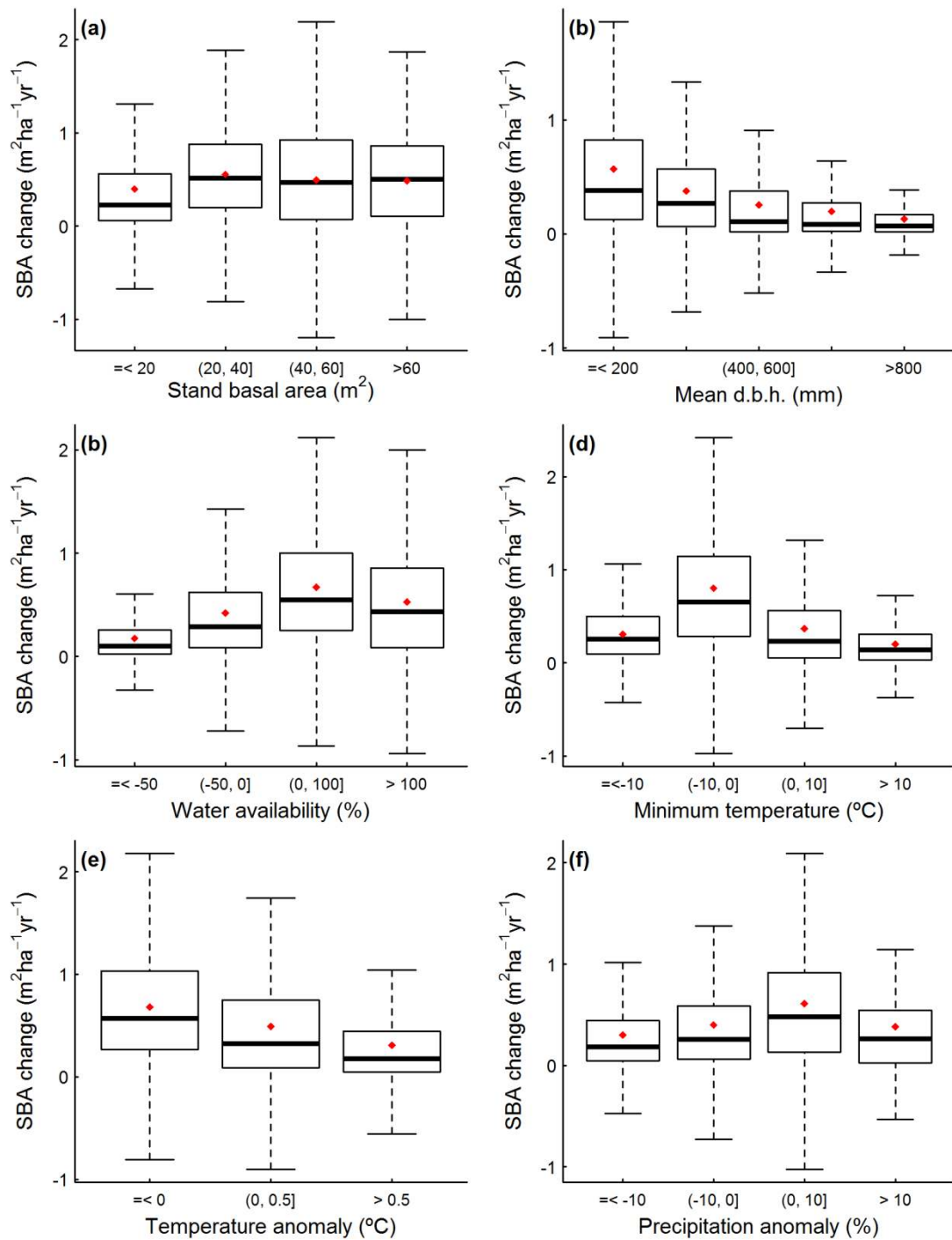




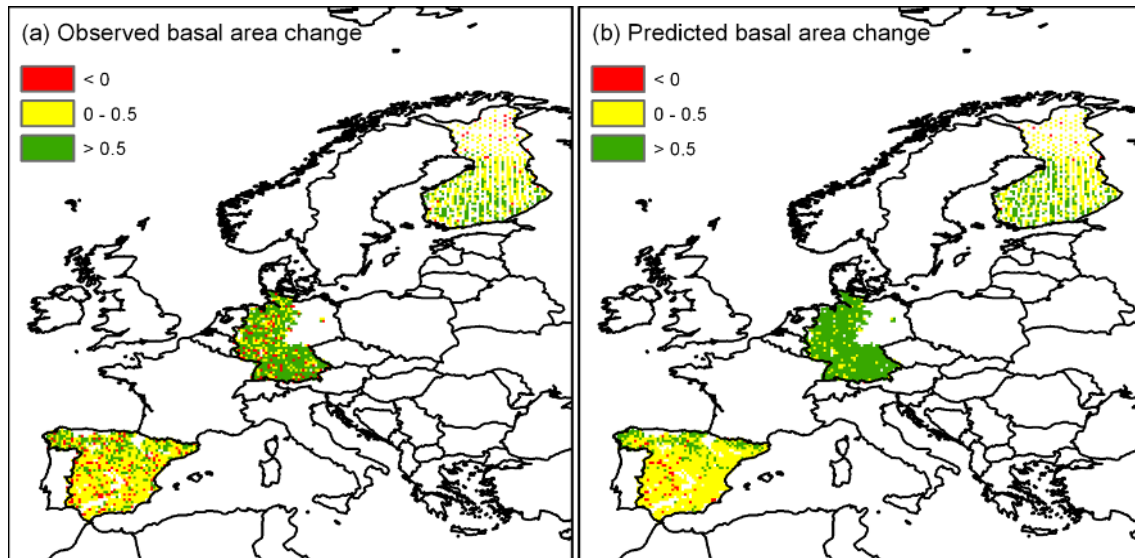
**FIGURE S1.** Spatial distribution of the predictor variables of stand basal area change in the NFIs included in the study: (a) stand basal area ( $\text{m}^2 \text{ha}^{-1}$ ), (b) mean d.b.h. (mm), (c) water availability (%), (d) minimum temperature ( $^{\circ}\text{C}$ ), (e) absolute temperature anomaly ( $^{\circ}\text{C}$ ), and (f) relative precipitation anomaly (%) in the Spanish, German and Finnish NFIs at a spatial resolution of  $0.2 \times 0.2$  degrees.



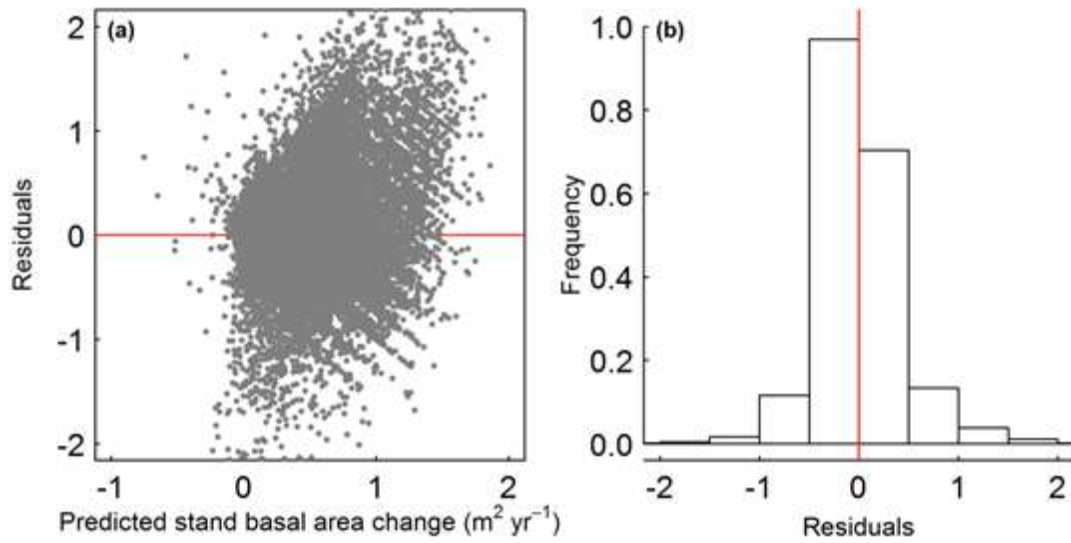
**FIGURE S2.** Histograms of the predictor variables of stand basal area change: (a) stand basal area ( $\text{m}^2 \text{ha}^{-1}$ ), (b) mean d.b.h. (mm), (c) water availability (%), (d) minimum temperature ( $^{\circ}\text{C}$ ), (e) temperature anomaly ( $^{\circ}\text{C}$ ), and (f) precipitation anomaly (%) in the Spanish, German and Finnish NFIs



**FIGURE S3.** Box-whisker plots of stand basal area change ( $\text{m}^2 \text{ha}^{-1} \text{yr}^{-1}$ ) along (a) stand basal area ( $\text{m}^2 \text{ha}^{-1}$ ), (b) mean d.b.h. (mm), (c) water availability (%), (d) minimum temperature ( $^{\circ}\text{C}$ ), (e) absolute temperature anomaly ( $^{\circ}\text{C}$ ) and (f) relative precipitation anomaly (%).



**FIGURE S4.** Spatial distribution of (a) observed stand basal area change ( $\text{m}^2 \text{ha}^{-1} \text{yr}^{-1}$ ); and (b) predicted stand basal area change ( $\text{m}^2 \text{ha}^{-1} \text{yr}^{-1}$ ) in the Spanish, German and Finnish NFIs at a spatial resolution of  $0.2 \times 0.2$  degrees, showing a correlation of 0.9.



**FIGURE S5.** Scatterplot of residual versus predicted stand basal area change ((a), m<sup>2</sup> ha<sup>-1</sup> yr<sup>-1</sup>) and histogram of the residuals (b) for the best supported model (see Eqn. 1 and parameter values in Table 3).