

1 **TITLE:** Standardising ecosystem morphological traits from 3D information sources

2 **AUTHOR NAMES:** Valbuena, R.<sup>1,2,3\*</sup>; O'Connor, B.<sup>1</sup>; Zellweger, F.<sup>2,4</sup>; Simonson, W.<sup>1</sup>;  
3 Vihervaara, P.<sup>5</sup>; Maltamo, M.<sup>6</sup>; Silva, C.A.<sup>7,8</sup>; Almeida, D.R.A.<sup>9</sup>; Danks, F.<sup>1</sup>; Morsdorf, F.<sup>10</sup>;  
4 Chirici, G.<sup>11</sup>; Lucas, R.<sup>12</sup>; Coomes, D.A.<sup>2</sup>; Coops, N.C.<sup>13</sup>.

5 1: UN Environment Programme World Conservation Monitoring Centre (UNEP-WCMC), 219  
6 Huntington Road, CB3 0DL Cambridge, UK

7 2: University of Cambridge, Department of Plant Sciences in the Conservation Research  
8 Institute, Downing Street, CB2 3EA Cambridge, UK

9 3: Bangor University, School of Natural Sciences, Thoday building, Bangor LL57 2UW, UK

10 4: Swiss Federal Research Institute WSL, Zürcherstrasse 111, 8903 Birmensdorf, Switzerland.

11 5: Finnish Environment Institute (SYKE). Biodiversity Centre, Latokartanonkaari 11, 00790  
12 Helsinki, Finland.

13 6: University of Eastern Finland, Faculty of Forest Sciences, PO Box 111, Joensuu, Finland.

14 7: University of Maryland, Department of Geographical Sciences, College Park, Maryland,  
15 USA.

16 8: School of Forest Resources and Conservation, University of Florida, Gainesville, FL, USA.

17 9: University of São Paulo, “Luiz de Queiroz” College of Agriculture, (USP/ESALQ),  
18 Department of Forest Sciences, Piracicaba, SP, Brazil.

19 10: University of Zurich, Remote Sensing Laboratories, Winterthurerstrasse 190, CH-8057  
20 Zurich, Switzerland.

21 11: Università degli Studi di Firenze, Dipartimento di Scienze e Tecnologie Agrarie,  
22 Alimentari, Ambientali e Forestali, via San Bonaventura 13, 50145 Florence, Italy.

23 12: Aberystwyth University, Earth Observation and Ecosystem Dynamics Research Group,  
24 Aberystwyth SY23 3DB, UK.

25 13: University of British Columbia, Department of Forest Resource Management, 2424 Main  
26 Mall, Vancouver V6T 1Z4, Canada.

27

28 \* Corresponding author: [r.valbuena@bangor.ac.uk](mailto:r.valbuena@bangor.ac.uk) (R. Valbuena)  
29 <https://www.bangor.ac.uk/natural-sciences/staff/ruben-valbuena/en>; twitter: @rubenvalpue

30 **KEY WORDS:** Essential Biodiversity Variables (EBVs); Sustainable Development Goals  
31 (SDG); light detection and ranging (LIDAR); synthetic aperture radar (SAR); digital  
32 photogrammetry.

33

#### 34 **ABSTRACT**

35 3D-imaging technologies provide measurements of terrestrial and aquatic ecosystems'  
36 structure, key for biodiversity studies. However, the practical use of these observations globally  
37 faces practical challenges. Firstly, available 3D data are geographical biased, with significant  
38 gaps in the tropics. Secondly, no data source provides, by itself, global coverage at a suitable  
39 temporal recurrence. Thus, global monitoring initiatives, such as assessment of essential  
40 biodiversity variables (EBVs), will necessarily have to involve the combination of disparate  
41 datasets. We propose a standardised framework of ecosystem morphological traits – height,  
42 cover and structural complexity – that could enable monitoring of globally-consistent EBVs at  
43 regional scales, by flexibly integrating different information sources – satellites, aircrafts,  
44 drones or ground data –, allowing global biodiversity targets relating to ecosystem structure to  
45 be monitored and regularly reported.

46

47

48

49

50

51 **MAIN TEXT**

52 **The challenge of monitoring biodiversity goals globally**

53 **Remote sensing (RS)** technologies provide excellent resources to support spatially-explicit  
54 monitoring of biodiversity change, in a globally consistent and repeatable fashion [1-4]. To  
55 date, international, national and regional monitoring of biodiversity is conducted through the  
56 assessment of indicators that are driven by a heterogeneous set of primary observations [5].  
57 **Essential Biodiversity Variables (EBVs)** are designed to harmonise key aspects of  
58 biodiversity, from genes to landscape, to produce a comprehensive yet concise set of  
59 standardised observations that indicate how key aspects of biodiversity are changing [6-8].  
60 Remote Sensing technologies have the capacity to inform a variety of EBVs, and there are a  
61 number of informative reviews developing and proposing relevant datasets and image  
62 acquisition programs [e.g. 9-11]. One area where recent advances in remote sensing have seen  
63 tremendous growth is the detection and monitoring of the three dimensional structure of  
64 ecosystems, through **3D-imaging** technologies such as **light detection and ranging (LIDAR)**,  
65 **synthetic aperture radar (SAR)** or **digital aerial photogrammetry (DAP)**. These  
66 technologies have contributed to the spatial quantification of biodiversity assets, particularly in  
67 relation to species, community and ecosystem structure [12-17]. However, most studies have  
68 utilised 3D-imaging collection, processing and analysis approaches that are not generalizable  
69 beyond the location and study concerned. This limits their ability to provide global solutions  
70 for assessment of EBVs that relate to ecosystem structure [6,18].

71 In this contribution, we propose a standardised framework to enable practical evaluation of  
72 ecosystem structure EBVs by consolidating disparate 3D-imaging data sources into a common  
73 workflow for deriving ecosystem morphological traits. Considering the practical limitations  
74 associated with these 3D-imaging technologies from spaceborne or airborne platforms (**Box 1**),

75 we propose the characteristics of a standardised framework for practical application of 3D-  
76 imaging data sources and identify a shortlist of EBVs that can be retrieved from these. We then  
77 convey pathways for assessing EBVs both nationally and globally, advocating for a system that  
78 makes the most of all locally available data while maintaining global consistency in the primary  
79 observations evaluated for assessing EBVs [6,7].

80

81 *\*\*\*\* approximate position of Box 1 \*\*\*\**

82

### 83 **Practical limitations to use remotely sensed 3D data to inform global efforts**

84 Global coverage of an ecosystem structure EBV cannot be achieved using a single 3D-imaging  
85 sensor / platform combination. While SAR data are available globally from a number of satellite  
86 providers, both current and planned satellite-based LIDAR observations present several  
87 limitations for the monitoring of biodiversity (**Box 1, Table I**). This is because they are sample-  
88 based [2,19] and thus unable to measure EBVs requiring spatially-continuous datasets, such as  
89 habitat fragmentation. While Skidmore et al. [10] assessed the potential of RS-informed EBVs  
90 using spaceborne sensors only, we argue that the addition of airborne LIDAR data (a.k.a.  
91 **airborne laser scanning; ALS**), whenever available, can improve the robustness of EBV  
92 estimates [20]. In fact, many EBVs are compromised by geographical bias in the availability of  
93 species richness or other data related to biodiversity [21]. The incorporation of airborne data  
94 acquisition in EBV derivation faces the same biases, with most national ALS programmes  
95 occurring in Europe, North America and Australia, but significant gaps in tropical forests or  
96 drier regions, particularly in Africa, south and central Asia and South America (**Box 1, Table**  
97 **II**). Over time, more countries will incorporate ALS surveying into national programmes as the  
98 availability of the technology increases and costs decrease. Moreover, the advent of even finer

99 scale 3D-imaging data from, for example, remotely piloted platforms utilising light-weight  
100 LIDAR or stereoscopic restitution of optical images [22,23], allows EBVs to be retrieved over  
101 hotspot areas and later extrapolated to larger areas using additional RS sources whenever full  
102 LIDAR coverage is lacking [1]. Multi-platform and multi-sensor systems, with clear definitions  
103 of the aspects of ecosystem structure encompassed, provide the only realistic solution for global  
104 assessments of EBVs that are practical, economically viable and sustainable in time [8,24].

105 Another challenge that hinders the use of these 3D data sources in conservation is the high  
106 degree of specialization required for their basic processing. To date, open data specifications  
107 often provide a limited set of processed products, such as terrain or canopy models, which are  
108 more manageable but less relevant to ecology and conservation. Thus, there is a need for  
109 distilling out the complexity of 3D-imaging information into concise ecosystem morphological  
110 traits that are easy to conceptualise and quantify [7,25,26] (**Box 1, Figure I**). Making the  
111 retrieval of these traits easily available [27] would foster the uptake of these datasets by non-  
112 specialised stakeholders locally, and also globally by assuring compliance with protocols for  
113 involving metadata and the uncertainty of primary observation in EBV reporting [6,7],  
114 following open science principles [28].

115

116 **A standardised framework of EBVs of ecosystem structure that accommodates any type**  
117 **of 3D remote sensing data**

118 Different aspects of ecosystem structure EBVs may be informed directly from 3D-imaging data,  
119 with or without calibration with ground data (**Table 1**). The definition of the underlying terrain  
120 is critical, which can only be detected using LIDAR or SAR. By quantifying the elevation of  
121 the ground terrain, information on the height and arrangement of structural elements above the  
122 terrain surface can be obtained. Once measured, changes in the height or cover of all of the

123 ecosystem **structural elements** over space and time then inform EBVs on ecosystem extent,  
124 connectivity and fragmentation [5,29-31] (**Table 1**). This vertical structure is typically assessed  
125 using statistics describing characteristics of either the returning waveform of a LIDAR pulse,  
126 backscatter of a SAR response, or morphological patterns from optical image matching. These  
127 include intensity of the backscatter, and variability, skewness, or proportions of returns along  
128 vertical strata, etc. [14,23,32-38] (**Table 1**). In turn, these metrics provide descriptors of  
129 **ecosystem height, ecosystem cover, and ecosystem structural complexity** [26,39], which can  
130 inform EBVs related to ecosystem traits such as canopy height, plant area index and foliage  
131 height diversity [13], or coral reef elevation, cover and rugosity [16]. These characteristics  
132 describe complementary aspects of ecosystem structure [26], with mechanistic relationships to  
133 properties like biomass [40] or leaf area index (LAI) [34], and thus there is a wide consensus in  
134 the literature on using them [13,14,16,17,25,39]. When clustered spatially, comparable  
135 assessment across wide spatiotemporal spans, such as mapping habitat structure across scales,  
136 can be achieved [29,36,41].

137 These three components of ecosystem structure constitute the backbone of a standardised  
138 framework of a few concise and complementary ecosystem morphological traits that can be  
139 derived from any available data (**Fig. 1**). The proposed framework is applicable and relevant to  
140 any terrestrial or marine environment [16]. We recognise these as descriptors of an ecological  
141 community as a whole, not individual organisms (structural elements), and as such they are to  
142 be evaluated for a given area. Specifically, area-based estimation at a spatial resolution of 15-  
143 25 m would ensure a sample representative to the community [26,33,35,36,39,41], and would  
144 be commensurate with the footprint of satellite LIDAR and free and open optical datasets such  
145 as Landsat and Copernicus Sentinel (**Box 1**). Given the variety of sensors and platforms that  
146 can contribute data to these components of structure, uncertainty in the measurement should be  
147 assessed and accounted for in the final product [6,29]. These should be included into an

148 ecosystem structure “data cube” along with metadata on data sources, methods, and dates, all  
149 critical to enable change detection [8]. As the GEDI (Global Ecosystem Dynamics  
150 Investigation) mission is completing the first comprehensive global LIDAR dataset [2] (**Box  
151 1**), the processing workflows for measuring ecosystem morphological traits and the  
152 determination of their uncertainties from GEDI should set a precedent on how the ecosystem  
153 structure components are to be derived from other 3D-imaging tools. As an example, tools like  
154 rGEDI ([CRAN.R-project.org](http://CRAN.R-project.org)) [27] can provide new opportunities to allow practitioners from  
155 local to global scales to make use of GEDI data in compliance with the EBV framework. In  
156 order to seek harmonization and global consensus, subsequent workflows for retrieval of  
157 ecosystem morphological traits from other sources like airborne LIDAR [19] or SAR [42]  
158 should seek to emulate the exact parameters established after the first use of GEDI in the EBV  
159 data portal [8]. Future research on physically-based radiative transfer models (such as Hancock  
160 et al.’s [19]), especially once they become spectrum-invariant and thus valid from light to radar,  
161 will the most reliable pathway for homogenising the retrieval of EBVs from different sensors  
162 and missions [43].

163

164 *\*\*\*\* approximate position of Figure 1 \*\*\*\**

165

### 166 **From standardised components of ecosystem structure locally, to EBVs globally**

167 Coupled with field data for calibration, these three components of ecosystem structure – height,  
168 cover, and structural complexity – can also be employed as a proxy to estimate many other  
169 ecosystem characteristics relevant to EBVs [44,45] (**Table 1, Figure 1**). These include, for  
170 instance, LAI or carbon stocks, which are variables typically predicted using LIDAR data  
171 calibrated with ground observations [20,40,46-49]. Methods coupling LIDAR data with

172 ancillary information may also inform additional EBVs beyond ecosystem extent and structure.  
173 Examples are ecosystem functional diversity [13] or community composition [15,33,34]. They  
174 can also support quantitative assessments of species abundances and distributions [12,50-53],  
175 and are useful in the estimation of many ecosystem services [54]. These morphological traits  
176 are focused on an ecosystem perspective, with mechanistic relationships to properties like LAI  
177 or biomass [13,14,40], which makes them suitable to feed in models that can derive reliable  
178 EBVs, such as the Ecosystem Demography (ED) or Dynamic Global Vegetation Models  
179 (DGVMs) and other process-based models [11]. Moreover, the parameterisation of vegetation  
180 structure-species richness models, using data from field-based sampling of species abundances  
181 or presence/absence data, also allows for the generation of spatially continuous predictive maps  
182 [8,17,45,50,51,55]. **Table 1** details the range of ecosystem attributes that can be reliably  
183 estimated using 3D-imaging methods and the subsequent EBVs that they can inform.

184 Given the simplicity and ecosystem-focused conceptual basis of these components, the specific  
185 remote sensing platform or technology to deliver their mapping can vary across space and time  
186 (**Table 1**), even allowing future adoption of hitherto unknown technologies. For global  
187 assessments of ecosystem structure EBVs, the most advantageous approach for EBV retrieval  
188 is to couple available LIDAR data with other RS sources. **Figure 1** illustrates the variety of data  
189 fusion pathways that may be employed according to data availability in any area. Since no  
190 single data combination will attain the whole globe at suitable temporal recurrence, the  
191 framework on **Fig. 1** seeks to make the different pathways compatible, so that many of them  
192 may be approached toward a same goal. Common to many approaches is the use of existing,  
193 free and open, satellite missions to extrapolate LIDAR estimates beyond the acquisition area.  
194 These include optical imagery such as Landsat or Sentinel [1,4,56], or data from SAR missions  
195 [3,42] (**Box 1, Table I**). There is a growing consensus in considering that LIDAR can obtain  
196 direct measurements of these ecosystem traits [13,29,35,39], whereas the current state-of-the-



197 art for other RS sources such as SAR is that they derive variables that can be used as proxies  
198 for estimation and upscaling [4,42,43,56] (**Fig. 1**). In particular, SAR is well suited to provide  
199 good proxies for ecosystem height [3,42], whereas ecosystem cover is best retrieved from  
200 spectral imagery [1,4]. The resulting spatially-continuous maps derived from 3D-imaging allow  
201 generation of large-area inventories for guiding biodiversity monitoring and conservation  
202 assessments [12]. These have significant potential for reporting key indicators to inform both  
203 regional and global policy targets [24], such as UN 2030 Sustainable Development Goals  
204 (SDG), post-2020 Global Biodiversity Framework, and UN Decade of Ecosystem Restoration.  
205 For example, these morphological traits could be used to assess ecosystem restoration efforts  
206 [57] (Aichi Target 14 and 15 of the Convention on Biological Diversity), sustainable ecosystem  
207 management [58] (SDG Target 15.2 and Aichi Target 5), and contribution of biodiversity  
208 towards enhancing forest carbon stocks [12,30] (Aichi Target 15).

### 209 **Compliance of this framework with the EBV definition**

210 The relevance of the framework providing three basic components of ecosystem structure as  
211 primary observations informing EBVs is contingent on them being feasible to reproduce  
212 (robustness), sensitive to change, and globally consistent [7]. The EBVs ought to be retrieved  
213 independently from the sensor and platforms employed for measuring them. The consistency  
214 of 3D-imaging in delivering these components of ecosystem structure has been conclusively  
215 demonstrated across biomes and ecosystem types [3,4,16,26,29,41] (**Table 2**). Vegetation  
216 height strongly correlates with forest carbon sequestration [40]. Vegetation cover has been used  
217 to map tropical forest canopy gaps and light environment [14,22,59], as well as local diversity  
218 of forest plants, fungi, lichens, and bryophytes [51]. Vegetation height, cover and structural  
219 complexity have been used to classify native species distribution in tropical savannahs and  
220 grasslands [34,46,60] and reveal fine-scale linkages between microstructure and photosynthetic  
221 functioning in tundra ecosystems [61]. These three components of ecosystem structure can also

222 be applied to marine habitats [25] as habitat indicators for marine life [53]. As a result, the  
223 framework supports the inherent requirement of EBVs to be ‘ecosystem-agnostic’ state  
224 variables, allowing generalizable relationships across biomes [6,62] (**Table 2**).

225 Several studies have demonstrated the ability of structural components to be sensitive to change.  
226 Authors have applied multi-temporal LIDAR data for mapping and monitoring forest changes  
227 in tropical [e.g. 63], temperate [e.g. 64] and boreal [e.g. 47] forest ecosystems (**Table 2**). The  
228 utility of multitemporal LIDAR for carbon dynamics monitoring has been shown in subtropical  
229 [48] and conifer forests [47]. Temporal changes in LIDAR-derived EBVs are important for  
230 assessing ecosystem dynamics, including tree growth, biomass dynamics, and carbon flux.  
231 Almeida et al. [14] provides an example of how evolving methodological developments over  
232 decades can be standardised into simple measures, allowing long term monitoring. Thus,  
233 despite the technological changes constantly occurring over decades, consensus over the  
234 derivation of these morphological traits of ecosystems from 3D-imaging technologies can bring  
235 about the consistency needed for long term monitoring.

## 236 **Concluding Remarks and Future Perspectives**

237 We provide a rationale that ecosystem structure can be concisely defined by three key  
238 components: ecosystem height, cover, and structural complexity. This conceptual  
239 disaggregation simplifies the wealth of information provided by 3D-imaging data sources,  
240 allowing ecosystem structure information obtained from any sensor, platform or scale,  
241 including ground information (such as field based LAI), or future satellite missions and  
242 technological developments, to be combined effectively toward long term global goals. These  
243 morphological traits are focused on describing the ecosystems, not tailored to the available  
244 methods to retrieve them, which is key to the determination of EBVs.

245 This framework is mandatory to monitor global targets over decades, as no seamless global  
246 retrieval of an EBV focused on ecosystem structure is attainable using a single 3D-imaging data  
247 source. We challenge the widespread notion that airborne 3D-imaging has no role to play in  
248 global EBV retrievals, and our framework aims to educate users on the potential role these data  
249 can play. We wish to encourage national programmes acquiring 3D-imaging data (**Box 1 Table**  
250 **II**) to consider routine delivery of these three easy-to-conceptualise ecosystem components.  
251 Such morphological traits presented as gridded products would foster uptake of these expensive  
252 datasets by conservationists, enhancing their global and national applicability in biodiversity  
253 policy and practice. We advocate for an EBV retrieval system which is sufficiently flexible to  
254 allow the generation of globally consistent information from a variety of methods and sensor  
255 combinations, making efficient use of LIDAR data available locally. Such a system would make  
256 a vital contribution towards future biodiversity goals and the prioritization of conservation  
257 actions.

258 In order to encourage widespread adoption, further research is needed on further ensuring  
259 robustness, sensitivity, global consistency in the retrieval of EBVs from 3D-imaging data (see  
260 Outstanding Questions). Robustness is to be achieved by securing reproducibility in the  
261 application across different sensors/platform combinations. Sensitivity to change is an  
262 important characteristic of EBVs, and with rapid technological advances, research should focus  
263 on ensuring the comparability of datasets acquired in the past, present and future. Global  
264 consistency in the measures of ecosystem structure can be achieved by using GEDI as standard  
265 to follow. The current trend is in considering that LIDAR can measure at least some of these  
266 ecosystem morphological traits directly, and even better than field methods, which brings about  
267 a change of paradigm since now LIDAR can become the ground-truth to compare against other  
268 methods . Quantification of uncertainties in measuring these morphological traits from each  
269 possible 3D-imaging method allows for their optimised combination and multi-temporal

270 comparison. Important research avenues lie in demonstrating relationships of each of these  
271 ecosystem structure components with biodiversity assets, noting that these will differ among  
272 biomes. We consider that this framework may facilitate just that, enabling the use of 3D-  
273 imaging technologies to identify hotspots for action in conservation, and greatly enhancing the  
274 use of 3D-imaging datasets by those who can use them to advance ecological research and  
275 biodiversity monitoring. We would like to encourage ecology researchers to use this  
276 standardised framework in their search for relationships between ecosystem structural traits and  
277 biodiversity assets.

## 278 **Acknowledgements**

279 This project resulted from a collaboration with the United Nations Environment Programme  
280 World Conservation Monitoring Centre (UNEP-WCMC) which is the biodiversity assessment  
281 and policy implementation arm of United Nations Environment Programme, the world's  
282 foremost intergovernmental environmental organization. RV and DAC acknowledge the  
283 support of an EU Horizon 2020 Marie Skłodowska-Curie Action entitled "Classification of  
284 forest structural types with lidar remote sensing applied to study tree size-density scaling  
285 theories" (LORENZLIDAR-658180) at the University of Cambridge, UK. Within the  
286 framework of LORENZLIDAR, RV completed a six-month secondment at UNEP-WCMC for  
287 assessing the feasibility of LIDAR to retrieve EBVs on ecosystem structure. This secondment  
288 was carried out in the context of GlobDiversity, a European Space Agency funded project to  
289 assess the feasibility of satellite observations to support the development EBVs on terrestrial  
290 ecosystem structure and function. FZ was funded by the Swiss National Science Foundation  
291 (project number 172198) and the Isaac Newton Trust. PV acknowledges IBC-CARBON Project  
292 funded by The Strategic Research Council (SRC) at the Academy of Finland (Grant no.  
293 312559). DRAA was supported by the São Paulo Research Foundation (#2018/21338-3 and

294 #2019/14697-0). A first version of this manuscript was greatly improved after very constructive  
295 comments and encouragement from the editor and three anonymous reviewers.

## 296 REFERENCES

- 297 1. Wulder, M.A. and Coops, C.N. (2014) Satellites: Make Earth observations open access.  
298 *Nature* 513, 30-31.
- 299 2. Dubayah, R. *et al.* (2020) The Global Ecosystem Dynamics Investigation: High-resolution  
300 laser ranging of the Earth's forests and topography. *Sci. Remote Sens.* 1, 100002.
- 301 3. Bae, S. *et al.* (2019) Radar vision in the mapping of forest biodiversity from space. *Nat.*  
302 *Comm.* 10, 4757.
- 303 4. Tang, H. *et al.* (2019) Characterizing global forest canopy cover distribution using  
304 spaceborne lidar. *Remote Sens. Environ.* 231, 111262.
- 305 5. Vihervaara, P. *et al.* (2017) How Essential Biodiversity Variables and remote sensing can  
306 help national biodiversity monitoring. *Global Ecol. Conserv.* 10, 43-59.
- 307 6. Kissling, W.D. *et al.* (2018) Towards global data products of Essential Biodiversity  
308 Variables on species traits. *Nat. Ecol. Evol.* 2, 1531-1540.
- 309 7. Navarro, L.M. *et al.* (2017) Monitoring biodiversity change through effective global  
310 coordination. *Curr. Opin. Environ. Sust.* 29, 158-169.
- 311 8. Jetz, W. *et al.* (2019) Essential biodiversity variables for mapping and monitoring species  
312 populations. *Nat. Ecol. Evol.* 3, 539-551.
- 313 9. O'Connor, B. *et al.* (2015) Earth observation as a tool for tracking progress towards the  
314 Aichi Biodiversity Targets. *Remote Sens. Ecol. Conserv.* 1, 19-28.
- 315 10. Skidmore, A.K. *et al.* (2015) Environmental science: Agree on biodiversity metrics to  
316 track from space. *Nature* 523, 403-405.
- 317 11. Dantas de Paula M. *et al.* (2020) Combining European Earth Observation products with  
318 Dynamic Global Vegetation Models for estimating Essential Biodiversity Variables. *Int. J.*  
319 *Digit. Earth* 13, 262-277.
- 320 12. Simonson, W.D. *et al.* (2014 ) Applications of airborne lidar for the assessment of animal  
321 species diversity. *Methods Ecol. Evol.* 5, 719-729.
- 322 13. Schneider, F.D. *et al.* (2017) Mapping functional diversity from remotely sensed  
323 morphological and physiological forest traits. *Nat. Comm.* 8, 1441.
- 324 14. Almeida, D.R.A. *et al.* (2019) Persistent effects of fragmentation on tropical rainforest  
325 canopy structure after 20 yr of isolation. *Ecol. Appl.* 29, e01952.
- 326 15. Asner, G.P. *et al.* (2017) Airborne laser-guided imaging spectroscopy to map forest trait  
327 diversity and guide conservation. *Science* 355, 385-389.

- 328 16. Calders, K. *et al.* (2019) 3D Imaging insights into forests and coral reefs. *Trends Ecol.*  
329 *Evol.* 35, 6-9.
- 330 17. Bakx, T.R.M. *et al.* (2019) Use and categorization of Light Detection and Ranging  
331 vegetation metrics in avian diversity and species distribution research. *Divers. Distrib.* 25,  
332 1045-1059.
- 333 18. Beland, M. *et al.* (2019) On promoting the use of lidar systems in forest ecosystem  
334 research. *For. Ecol. Manag.* 450, 117484.
- 335 19. Hancock, S. *et al.* (2019) The GEDI Simulator: A Large-Footprint Waveform Lidar  
336 Simulator for Calibration and Validation of Spaceborne Missions. *Earth and Space Sci.* 6,  
337 294-310.
- 338 20. Nelson, R. *et al.* (2017) Lidar-based estimates of aboveground biomass in the continental  
339 US and Mexico using ground, airborne, and satellite observations. *Remote Sens. Environ.* 188,  
340 127-140.
- 341 21. Meyer, C. *et al.* (2015) Global priorities for an effective information basis of biodiversity  
342 distributions. *Nat. Comm.* 6, 8221.
- 343 22. Kellner, J.R. *et al.* (2019) New Opportunities for forest remote sensing through ultra-high-  
344 density drone lidar. *Surv. Geophys.* 40, 959-977.
- 345 23. Almeida, D.R.A., *et al.* (2019) Monitoring the structure of forest restoration plantations  
346 with a drone-lidar system. *Int. J. Appl. Earth Obs.* 6379, 192-198.
- 347 24. Geijzendorffer, I.R. *et al.* (2017) How can global conventions for biodiversity and  
348 ecosystem services guide local conservation actions? *Curr. Opin. Environ. Sust.* 29, 145-150.
- 349 25. Duvall, M.S. *et al.* (2019) Collapsing complexity: Quantifying multiscale properties of  
350 reef topography. *J. Geophys. Res. Oceans* 124, 5021-5038.
- 351 26. Fahey, R.T. *et al.* (2019) Defining a spectrum of integrative trait-based vegetation canopy  
352 structural types. *Ecol. Lett.* 22, 2049-2059.
- 353 27. Silva, C.A. *et al.* (2020) rGEDI: NASA's Global Ecosystem Dynamics Investigation  
354 (GEDI) Data Visualization and Processing. R package version 0.1.2 .
- 355 28. Gallagher, R.V. *et al.* (2020 ) Open Science principles for accelerating trait-based science  
356 across the Tree of Life. *Nature Ecology & Evolution* .
- 357 29. Guo, X, *et al.* (2017) Regional mapping of vegetation structure for biodiversity  
358 monitoring using airborne lidar data. *Ecol. Infor.* 38, 50-61.
- 359 30. Gough, C.M. *et al.* (2019) High rates of primary production in structurally complex  
360 forests. *Ecology* 100, e02864.
- 361 31. Milanesi, P. *et al.* (2017) Three-dimensional habitat structure and landscape genetics: A  
362 step forward in estimating functional connectivity. *Ecology* 98, 393-402.
- 363 32. Gwenzi, D. and Lefsy, M.A. (2014) Modeling canopy height in a savanna ecosystem  
364 using spaceborne lidar waveforms. *Remote Sens. Environ.* 154, 338-344.

- 365 33. Lopatin, J. *et al.* (2016) Comparing Generalized Linear Models and random forest to  
366 model vascular plant species richness using LiDAR data in a natural forest in central Chile.  
367 *Remote Sens. Environ.* 173, 200-210.
- 368 34. Marselis, S.M. *et al.* (2018) Distinguishing vegetation types with airborne waveform lidar  
369 data in a tropical forest-savanna mosaic: A case study in Lopé National Park, Gabon. *Remote*  
370 *Sens. Environ.* 216, 626-634.
- 371 35. Valbuena, R. *et al.* (2017) Key structural features of Boreal forests may be detected  
372 directly using L-moments from airborne lidar data. *Remote Sens. Environ.* 194, 437-446.
- 373 36. Zellweger, F. *et al.* (2016) Environmental predictors of species richness in forest  
374 landscapes: abiotic factors versus vegetation structure. *J. Biogeogr.* 43, 1080-1090.
- 375 37. Sankey, J.B. *et al.* (2015) Remote sensing of Sonoran Desert vegetation structure and  
376 phenology with ground-based LiDAR. *Remote Sens.-Basel* 7, 342-359.
- 377 38. Ferreira, ME, *et al.* (2019) Monitoring the Brazilian savanna with lidar and RGB sensors  
378 onboard remotely piloted aircraft systems. *IEEE Int. Geosci. Remote Sens. Sym.* 9240-9243.
- 379 39. Coops, N.C. *et al.* (2016) A forest structure habitat index based on airborne laser scanning  
380 data. *Ecol. Ind.* 67, 346-357.
- 381 40. Asner, G.P. and Mascaro, J. (2014) Mapping tropical forest carbon: Calibrating plot  
382 estimates to a simple LiDAR metric. *Remote Sens. Environ.* 140, 614-624.
- 383 41. Adnan, S. *et al.* (2019) A simple approach to forest structure classification using airborne  
384 laser scanning that can be adopted across bioregions. *For. Ecol. Manage.* 43, :111-121.
- 385 42. Qi, W. and Dubayah, R.O. (2016) Combining Tandem-X InSAR and simulated GEDI  
386 lidar observations for forest structure mapping. *Remote Sens. Environ.* 187, 253-266.
- 387 43. Disney, M. *et al.* (2019) Innovations in ground and airborne technologies as reference and  
388 for training and validation: Terrestrial Laser Scanning (TLS). *Surv Geophys* 40, 937-958.
- 389 44. Bush, A. *et al.* (2017) Connecting Earth observation to high-throughput biodiversity data.  
390 *Nat. Ecol. Evol.* 1,0176.
- 391 45. Vihervaara, P. *et al.* (2015) How to integrate remotely sensed data and biodiversity for  
392 ecosystem assessments at landscape scale. *Landscape Ecol.* 30, 501-516.
- 393 46. Silva, C.A. *et al.* (2018) Comparison of small- and large-footprint lidar characterization of  
394 tropical forest aboveground structure and biomass: A case study from Central Gabon. *IEEE J.*  
395 *Sel. Top. Appl.* 11, 3512-3526.
- 396 47. Zhao, K. *et al.* (2018) Utility of multitemporal lidar for forest and carbon monitoring: Tree  
397 growth, biomass dynamics, and carbon flux. *Remote Sens. Environ.* 204, 883-897.
- 398 48. Cao, L. *et al.* (2016) Estimation of forest biomass dynamics in subtropical forests using  
399 multi-temporal airborne LiDAR data. *Remote Sens. Environ.* 178, 158-171.
- 400 49. Greaves, H.E. *et al.* (2015) Estimating aboveground biomass and leaf area of low-stature  
401 Arctic shrubs with terrestrial LiDAR. *Remote Sens. Environ.* 164, 26-35.

- 402 50. Thers, H. *et al.* (2017) Lidar-derived variables as a proxy for fungal species richness and  
403 composition in temperate Northern Europe. *Remote Sens. Environ.* 200, 102-113.
- 404 51. Moeslund, J.E. *et al.* (2019) Light detection and ranging explains diversity of plants,  
405 fungi, lichens, and bryophytes across multiple habitats and large geographic extent. *Ecol.*  
406 *Appl.* 29, e01907.
- 407 52. Davies, A.B. *et al.* (2017) Canopy structure drives orangutan habitat selection in disturbed  
408 Bornean forests. *Proc. Natl. Acad. Sci. USA* 114, 8307-8312.
- 409 53. Ferrari, R. *et al.* (2018) Habitat structural complexity metrics improve predictions of fish  
410 abundance and distribution. *Ecography* 41, 1077-1091.
- 411 54. Abelleira Martínez, O.J. *et al.* (2016) Scaling up functional traits for ecosystem services  
412 with remote sensing: concepts and methods. *Ecol. Evol.* 6, 4359-4371.
- 413 55. Mononen, L. *et al.* (2018) Usability of citizen science observations together with airborne  
414 laser scanning data in determining the habitat preferences of forest birds. *For. Ecol. Manage.*  
415 430, 498-508.
- 416 56. Matasci, G. *et al.* (2018) Three decades of forest structural dynamics over Canada's  
417 forested ecosystems using Landsat time-series and lidar plots. *Remote Sens. Environ.* 216,  
418 697-714.
- 419 57. Almeida, D.R.A. *et al.* (2019) A new era in forest restoration monitoring. *Restor. Ecol.* 28,  
420 8-11.
- 421 58. Lucas, R. *et al.* (2020) Structural characterisation of mangrove forests achieved through  
422 combining multiple sources of remote sensing data. *Remote Sens. Environ.* 237, 111543.
- 423 59. Smith, M.N. *et al.* (2019) Seasonal and drought-related changes in leaf area profiles  
424 depend on height and light environment in an Amazon forest. *New Phytol.* 222, 1284-1297.
- 425 60. Fisher, R.J. *et al.* (2018) A novel technique using LiDAR to identify native-dominated and  
426 tame-dominated grasslands in Canada. *Remote Sens. Environ.* 218, 201-206.
- 427 61. Maguire, A.J. *et al.* (2019) Terrestrial lidar scanning reveals fine-scale linkages between  
428 microstructure and photosynthetic functioning of small-stature spruce trees at the forest-  
429 tundra ecotone. *Agric. For. Meteorol.* 269-270, 157-168.
- 430 62. Turak, E. *et al.* (2017) Essential Biodiversity Variables for measuring change in global  
431 freshwater biodiversity. *Biol. Conserv.* 213, 272-279.
- 432 63. Shao, G. *et al.* (2019) Towards high throughput assessment of canopy dynamics: The  
433 estimation of leaf area structure in Amazonian forests with multitemporal multi-sensor  
434 airborne lidar. *Remote Sens. Environ.* 221, 1-13.
- 435 64. Hilmers, T. *et al.* (2018) Biodiversity along temperate forest succession. *J. Appl. Ecol.* 55,  
436 2756-2766.
- 437 65. Scaranello, M.A.S. *et al.* (2019) Estimation of coarse dead wood stocks in intact and  
438 degraded forests in the Brazilian Amazon using airborne lidar. *Biogeosciences* 16, 3457-3474.
- 439 66. McCarley, T.R. *et al.* (2017) Multi-temporal LiDAR and Landsat quantification of fire-  
440 induced changes to forest structure. *Remote Sens. Environ.* 191, 419-432.



441 67. Hu, T. *et al.* (2019) A simple and integrated approach for fire severity assessment using  
442 bi-temporal airborne LiDAR data. *Int. J. Appl. Earth Obs.* 6378, 25-38.

443 68. Duncanson, L. and Dubayah, R.O. (2018) Monitoring individual tree-based change with  
444 airborne lidar. *Ecol. Evol.* 8, 5079-5089.

445 69. Reddy, A.D. *et al.* (2015) Quantifying soil carbon loss and uncertainty from a peatland  
446 wildfire using multi-temporal LiDAR. *Remote Sens. Environ.* 170, 306-316.

447 70. Song, Y. *et al.* (2016) Estimation of broad-leaved canopy growth in the urban forested  
448 area using multi-temporal airborne LiDAR datasets. *Urban For. Urban Gree.* 16, 142-149.

449

450

451

452

453

454

455

456

457

458

459

460

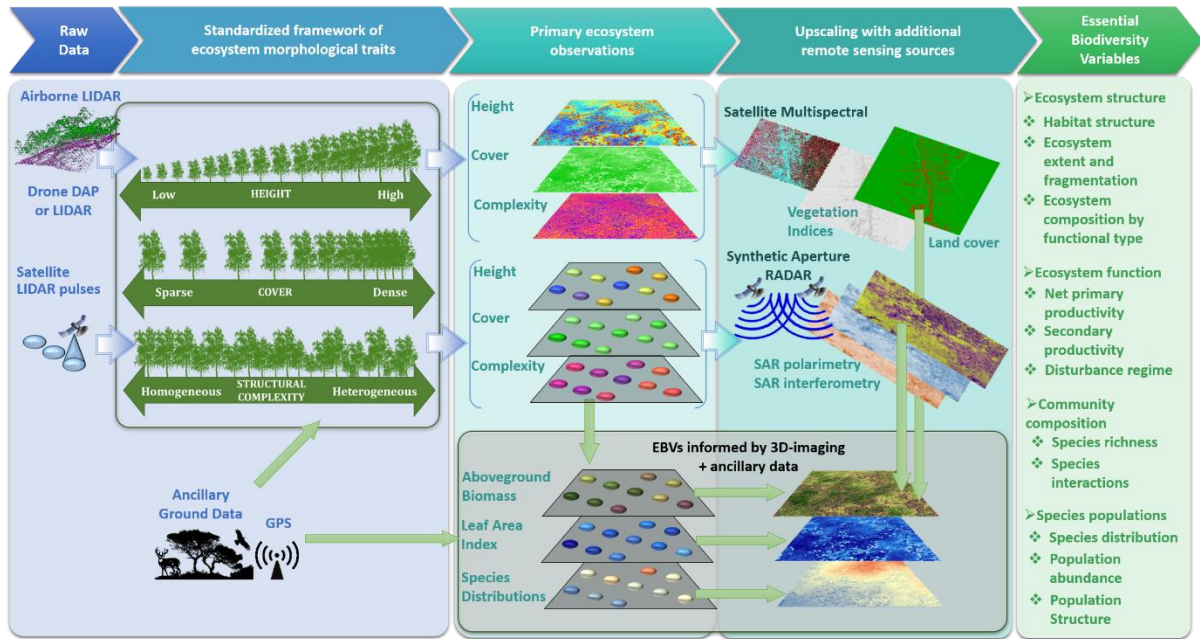
461

462

463

464 **FIGURES**

465 **Figure. 1.** Schematic diagram showing the practical pathways for deriving EBVs from various  
 466 potential sources, using a framework of standardised ecosystem morphological traits derived  
 467 from 3D-imaging and/or ground information.



468

469

470

471

472

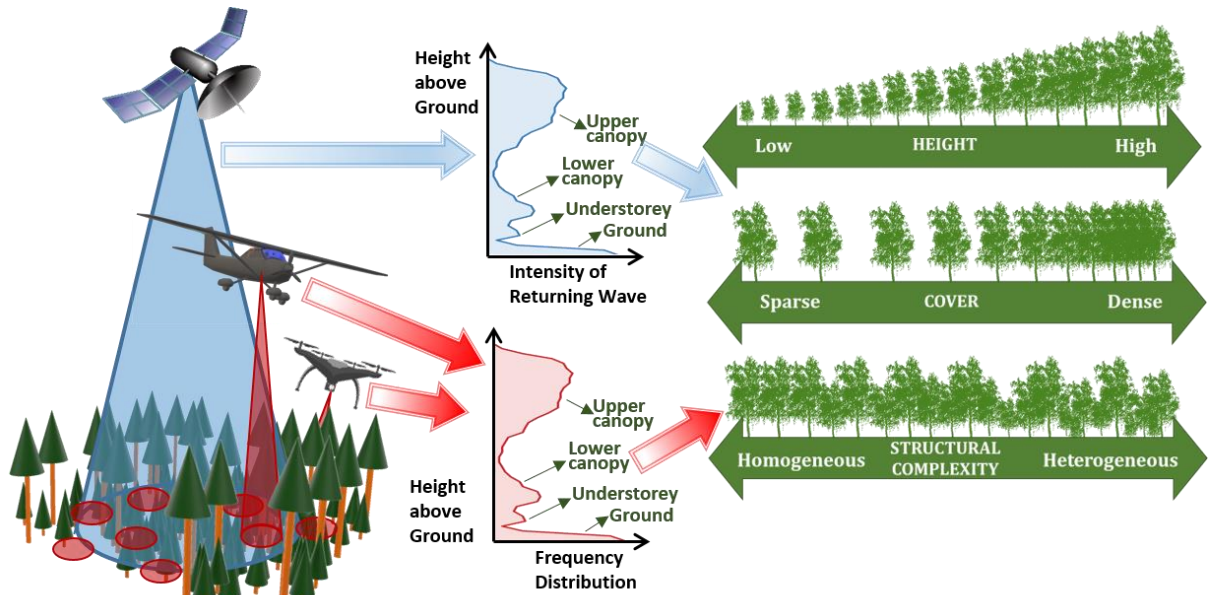
473

474

475

476

477 **Box 1, Figure I.** Basic common procedures for deriving morphological traits from different  
478 3D-RS data sources. Satellite LIDAR provides discretely-spaced pulses with a large footprint,  
479 whereas ALS or drones take a continuous scan throughout the surveyed area. While they  
480 produce different raw data, the procedures to derive ecosystem morphological traits are similar  
481 for all, satellite or airborne 3D-imaging.



482

483

484 **TABLES**

485 **Table 1.** Summary of ecosystem characteristics relevant to EBVs that can be derived from 3D-imaging sources, with example references for different  
 486 pathways for their retrieval.

EBV class / subclass	Ecosystem characteristic	Requirements for assessing nationally							Requirements for assessing globally							Suitable products or estimated variables	
		ALS	DAP	SatL	SAR	MS	Field	Other	ALS	DAP	SatL	SAR	MS	Field	Other		
<b>Core traits (measured)</b>																	
Ecosystem structure / Habitat structure and condition	Height	[39]	[38]	[32]	[58]	[56]	[14]	[37]	[40]		[42]	[42]					Top or average height above ground.
	Cover	[36]			[58]	[56]					[4]		[4]				Proportion of heights above thresholds. Estimates of LAI or gap fraction using ground data for calibration.
	Structural Complexity	[35]	[53]			[65]		G3D [23]	[26]	[16]	[42]	[42]				G3D	Variability of LIDAR heights (rugosity), or leaf area density profiles. Estimates of biomass distribution using ground data for calibration.
<b>Derived traits (estimated)</b>																	
Ecosystem structure / Ecosystem extent and fragmentation	Habitat area	[39]						[37]							[1]		Area under certain characteristics, e.g. vegetation cover above threshold
	Habitat connectivity and fragmentation	[31]															Combination: vegetation height, cover and vertical structure
Ecosystem Function	Carbon sequestration	[40]		[46]	[58]			G3D [49]	[20]		[20]				[20]	G3D [43]	Estimates of above (or below) ground biomass using ground data for calibration

	Decomposition	[65]										Estimates of coarse woody debris using ground data for calibration
	Disturbance regime	[67]		[66]								Area affected by disturbances
Ecosystem composition / Taxonomic diversity	Species diversity / Richness	[33]				HS [13]	[15]		[3]	[44]	HS [15]	Estimates of alpha/beta diversity and richness using presence/absence data for calibration
Species populations	Species distributions	[52]				[55]	[37]	[51]			[8]	Estimates of habitat suitability for species using presence/absence data for calibration
	Population abundance / Ecosystem classes	[29]										Combinations of vegetation height, cover and structural complexity. Estimates of ecosystem classes using ground data for calibration
	Population structure by size class	[55]				G3D [61]	[38]				G3D	Combination of estimates of biomass and species distribution using ground data for calibration.

487 **ALS:** airborne LIDAR; **DAP:** digital aerial photogrammetry; **SatL:** satellite LIDAR; **MS:** satellite multispectral; **HS:** hyperspectral; **SAR:** satellite  
488 synthetic aperture radar; **Field:** field data acquired on the ground; **G3D:** ground-based 3D-imaging (e.g. terrestrial LIDAR or proximal photogrammetry).

489 **Table 1 Legend:**

	Required: this data type alone could suffice for the retrieval of an EBV at national/global scale .
	Required in combination: this data type requires combinations with other data sources for the retrieval of an EBV at national/global scale. See the publications cited for examples and details.
	Useful but not required: while not essential, this data type can be helpful in improving the retrieval of an EBV from other data sources at national/global scale.

Not required: this data is not informative for a given EBV, or the EBV can be more optimally attained from other data sources.

490

491 **Table 2.** Recent 3D-RS studies on ecosystem structure for worldwide dominant vegetation types and/or involving change detection.

<b>Vegetation type</b>	<b>Reference</b>	<b>System</b>	<b>Multi-temporal</b>	<b>Ecosystem characteristics (see Table 1)</b>
Tropical rainforest	Almeida et al. [14]	Field measurements, airborne laser scanning and ground-based LIDAR	1980-2008-2015	Changes in vegetation height, cover, structural complexity, and carbon sequestration
	Smith et al. [59]	Ground-based LIDAR	2010-2012-2015-2017	Changes in vegetation cover and structural complexity
	Shao et al. [63]	Airborne laser scanning	2008-2017	Ecosystem structural complexity
Tropical savannas	Marselis et al. [34]	Full-waveform airborne LIDAR and ground-based LIDAR	No	Vegetation height, cover, structural complexity, and ecosystem classes
	Ferreira et al. [38]	Drone-based LIDAR and photogrammetry	No	Vegetation height
	Gwenzi and Lefsky [32]	Satellite LIDAR	No	Vegetation height and cover
Mangroves	Lucas et al. [58]	Satellite SAR and drone-based photogrammetry	1987-2016	Changes in vegetation height, cover, and carbon sequestration
Sub-tropical forests	Cao et al. [48]	Airborne laser scanning	2007-2016	Changes in carbon sequestration
	Almeida et al. [23]	Field measurements and drone-based LIDAR	2004-2016	Changes in vegetation height, cover, structural complexity, and carbon sequestration
Desert vegetation	Sankey et al. [37]	Ground-based LIDAR	2011-2012	Vegetation height and habitat area
Mediterranean forests	Lopatin et al. [33]	Airborne laser scanning	No	Species richness and population abundance by size class
	Hu et al. [67]	Airborne laser scanning	2013-2013	Changes in population structure by size class and vegetation cover

Temperate broadleaved	Moeslund et al. [51]	Airborne laser scanning	No	Species richness by functional type
	Hilmers et al. [64]	Full-waveform airborne LIDAR	2006-2008	Changes in species abundances, richness, and composition
Temperate coniferous	McCarley et al. [66]	Airborne laser scanning and satellite multispectral	2009-2013	Disturbance regime in vegetation cover
Shrublands	Greaves et al. [49]	Ground-based LIDAR	No	Shrub biomass and leaf area index
Grasslands	Fisher et al. [60]	Airborne laser scanning	No	Vegetation cover and ecosystem classes
	Silva et al. [46]	Full-waveform airborne LIDAR and satellite LIDAR	No	Vegetation height and carbon sequestration
Montane forest	Duncanson and Dubayah [68]	Airborne laser scanning	2008-2013	Changes in vegetation height, carbon sequestration, and disturbances
	Kellner et al. [22]	Drone laser scanning and satellite LIDAR	No	Vegetation height and carbon sequestration
Boreal forests	Matasci et al. [56]	Airborne laser scanning and satellite multispectral	1984-2016	Vegetation height, density, and carbon sequestration
	Zhao et al. [47]	Airborne laser scanning	2002-2006-2008-2012	Changes in vegetation height and carbon sequestration
Tundra	Maguire et al. [61]	Terrestrial LIDAR	No	Vegetation structural complexity
Wetlands	Reddy et al. [69]	Airborne laser scanning	2010-2012	Carbon sequestration (soil)
Benthic habitats	Ferrari et al. [53]	Underwater drone photogrammetry	No	Ecosystem structural complexity, community composition, and abundance
	Duvall et al. [25]	Airborne topo-hydrographic LIDAR	No	Ecosystem structural complexity
Urban forests	Song et al. [70]	Airborne laser scanning	2004-2008-2010	Change in vegetation height

493 **TEXT BOXES**

494 **Box 1. 3D-imaging data sources: current availability and feasibility for assessing EBVs**

495 Satellite and airborne sources of 3D-imaging, both have capabilities for deriving similar  
496 information relevant to our ecosystem structural framework. (**Figure I**) [19]. Each of them,  
497 however, also has its own practical limitations for long term monitoring of EBVs.

498

499 *\*\*\*\* approximate position of Figure I \*\*\*\**

500

501 *Spaceborne platforms:*

502 There are two civilian spaceborne LIDAR sensors currently operational – NASA’s ICESat-2  
503 and GEDI [4] – which provide potential opportunities for deriving EBVs informed by LIDAR  
504 from space (**Table I**). These satellites have restricted operations though – three years for  
505 ICESat-2 and two for GEDI –, which limits their utility for long term monitoring of EBVs.  
506 Neither mission is designed to acquire laser pulses over the same location twice, and thus they  
507 are not designed to detect information on change, which is a key characteristic of any EBV [7].  
508 While ICESat-2 is global GEDI is limited to the orbit of the International Space Station (latitude  
509 limitation at 51.6° N and S). Satellite LIDAR systems obtain discrete pulses sampling a  
510 footprint of diameter 17-25 m on the ground (**Figure I**), which are separated by distances of  
511 around 0.6-2.5 km along track and 0.6-3.3 km across track making difficult to assess ecosystem  
512 traits involving neighbouring analyses, such as ecosystem extent and fragmentation (**Table 1**).  
513 GEDI datasets [2] and tools for easy derivation of ecosystem traits from them [27] are readily  
514 available. Overall, the greatest potential of satellite LIDAR for global EBV assessments is in



515 combination with optical sensors [4], or with SAR [42] (**Fig. 1**), with many relevant missions  
 516 coming up in the next years (**Table I**). There are numerous synergies between missions, such  
 517 as the possibility of using SRTM data to define the terrain elevation, whenever higher resolution  
 518 topographic information is unavailable [58].

519

520 *Airborne Laser Scanning (ALS):*

521 Several national / regional surveying programmes are producing ALS datasets covering entire  
 522 countries (**Table II**), many of them with revisited coverages. These low-density datasets  
 523 (typically 0.5-2 pulses·m<sup>2</sup>) are demonstrably useful for ecosystem characterization and  
 524 ecological applications [29,35,39]. There is general consensus on methodologies employed to  
 525 derive ecosystem morphological traits from these datasets [15,16,26], and they are increasingly  
 526 becoming publicly-available along with free tools for data processing (see  
 527 [opentopography.org](http://opentopography.org)). These open up unique opportunities for generating habitat traits and  
 528 classifications that can be consistently obtained throughout entire regions or countries. Using  
 529 GEDI as a standard [2], the derivation of those same morphological traits from airborne LIDAR  
 530 (**Figure I**) should follow Hancock et al.'s (2019) [19] processing steps to facilitate the  
 531 homogenization of disparate airborne acquisition settings.

532

533 **Box 1 Table I.** Satellite missions that may be used to support ecosystem structure assessments  
 534 (**Fig. 1**) towards the UN Agenda's 2030 Sustainable Development Goals.

Sensor	Satellite / Programme	Agency	Starting from Year	Link
LIDAR	Global Ecosystem Dynamics Investigation (GEDI)	NASA	2018	<a href="#">↗</a>

	Ice, Cloud and land Elevation Satellite-2 (ICESat-2)	NASA	2018	<a href="#">↗</a>
Optical	Earth Observing System (Landsat, MODIS, etc)	NASA	1972	<a href="#">↗</a>
	Copernicus Global Monitoring (Sentinel)	ESA	2014	<a href="#">↗</a>
	High-Definition Earth Observation Sat. (HDEOS)	CNSA	2015	<a href="#">↗</a>
SAR	BIOMASS	ESA	2021	<a href="#">↗</a>
	Phased Array type L-band SAR (PALSAR)	JAXA	2006	<a href="#">↗</a>
	NISAR	NASA-ISRO	2022	<a href="#">↗</a>
	TanDEM-X	DLR	2014	<a href="#">↗</a>
	TanDEM-L	DLR	2022	<a href="#">↗</a>
	Shuttle Radar Topography Mission (SRTM)	International	2000	<a href="#">↗</a>

535 **NASA:** US National Aeronautics and Space Administration; **ESA:** European Space Agency;

536 **CNSA:** China National Space Administration; **JAXA:** Japan Aerospace Exploration Agency;

537 **ISRO:** Indian Space Research Organization; **DLR:** German Aerospace Center

538

539 **Box 1 Table II.** Examples of publicly available airborne ALS datasets from national / regional

540 surveying programmes.

Country / State	Agency / Programme	Link
Canada	Agriculture and Agri-Food Canada	<a href="#">↗</a>
Australia	GeoScience Australia & Terrestrial Environ. Research Network	<a href="#">↗</a>
Denmark	Kortforsyningen	<a href="#">↗</a>
Finland	Maanmittauslaitos / National Land Survey of Finland (NLSF)	<a href="#">↗</a>
Germany / North Rhine-Westphalia (NRW)	OpenNRW	<a href="#">↗</a>
Netherlands	Actueel Hoogtebestand Nederland (AHN)	<a href="#">↗</a>
Spain	Instituto Geográfico Nacional (IGN) / Plan Nacional de Ortofotografía Aérea (PNOA)	<a href="#">↗</a>

541

542 **GLOSSARY**

- 543 • **3D-imaging:** Also known as 3D remote sensing, the concept includes any RS method that  
544 detect 3D positions of ecosystem structural elements. LIDAR, SAR and digital  
545 photogrammetry are specific types of 3D-imaging data sources.
- 546 • **Airborne Laser Scanning (ALS):** Airborne LIDAR systems fire discrete pulses of green  
547 and infrared light from the height of a flying aircraft, so that the beam widens to about 0.3-  
548 0.5 m in diameter upon reaching the surface. When targeted on vegetation, only a portion  
549 of the laser pulse is backscattered from the upper crowns, while other components return  
550 off leaves and branches further down the canopy, understory vegetation, and the ground  
551 (**Box 1 Figure I**). Thus multiple returns backscattered off the different elements of the  
552 targeted ecosystem are obtained from a single pulse, resulting in an informative 3D point  
553 cloud of scanned LIDAR returns.
- 554 • **Digital aerial photogrammetry (DAP):** 3D information from stereoscopic restitution of  
555 two or more images acquired from an aerial platform. While digital photogrammetry can  
556 be obtained from a variety of platforms (close-range on the ground, or airborne/satellite  
557 imagery), the recent spread use of drones has popularised structure-from-motion (SfM)  
558 methods which deliver dense DAP data.
- 559 • **Ecosystem height:** Average height of the highest ecosystem **structural elements**.  
560 Common terms employed are top of canopy height in forests [40] or reef elevation for  
561 corals [25].

- 562 • **Ecosystem cover:** Percentage of a fixed area covered by the vertical projection the  
563 ecosystem **structural elements**. Common terms employed for vegetation is plant area  
564 index [13,34], or colony cover for corals [16].
- 565 • **Ecosystem structural complexity:** Variability in height and/or cover of the ecosystem  
566 structural elements. Standard deviation and coefficient of variation are common measures  
567 of ecosystem complexity [25,35,39]. Rugosity is a common term employed for both forest  
568 canopies and benthic habitats [53].
- 569 • **Essential Biodiversity Variables (EBV):** Measurements required to report the status and  
570 monitor trends in biodiversity change globally, to inform decision makers in management  
571 and policy [7,24].
- 572 • **Light detection and ranging (LIDAR):** LIDAR systems scan targeted surfaces by  
573 emitting laser pulses and detecting their reflection. Ground based platforms are used to get  
574 an informative 3D cloud of scanned LIDAR returns over individual samples or transects.  
575 Airborne platforms obtain similar information over continuous swaths of land, with a trade-  
576 off between the density of 3D information and its coverage: drones obtain denser data over  
577 limited extents and aircrafts acquire sparser data covering whole regions. LIDAR pulses  
578 emitted from satellites cover an entire plant community, thus delivering a whole waveform  
579 instead (**Box 1 Figure I**). Nonetheless, the information can be similarly utilised and the  
580 main difference is that satellite LIDAR provides global coverages but only at discrete  
581 samples (i.e., not spatially-continuous).
- 582 • **Remote sensing (RS):** Methods acquiring information from ecosystems at a distance. RS  
583 may involve a variety of sensors (e.g., spectral cameras, lasers, radar) on a variety of  
584 platforms: ground-based, drones, airborne or spaceborne. The type of data collected  
585 depends on the sensor/platform combination, 3D-imaging is one specific type of RS in  
586 which the output information is 3D positions of objects.

- 587 • **Structural elements:** Sessile biological entities constituting the biophysical environment  
588 of an ecosystem (e.g. plants or corals).
- 589 • **Synthetic aperture radar (SAR):** An extremely large antenna would be needed in order  
590 to detect objects through very long distances using radar wavelengths. To avoid this, SAR  
591 simulates a long aperture through the flight path of a moving side-looking platform,  
592 airborne or spaceborne. The outcome products provide 3D structure information of the  
593 targets, at 1-5 m spatial resolutions. SAR can penetrate clouds, which makes it a useful  
594 technique in rain forests and mountainous regions. Depending the wavelength (e.g. C-band  
595 or L-band) different ecological features can be recognised.

596  
597  
598

## 599 **HIGHLIGHTS**

- 600 • 3D-imaging data acquired from a variety of platforms has become critical for ecological  
601 and environmental management. However, the use of disparate information sources to  
602 produce comprehensive and standardised global products is hindered by a lack of  
603 harmonisation and terminology around ecosystem structure.
- 604 • We propose a sensor- and platform-independent framework which effectively distils the  
605 wealth of 3D information into concise ecosystem morphological traits – height, cover and  
606 structural complexity – easy to conceptualize by ecologists and conservation stakeholders  
607 lacking remote sensing background.
- 608 • The conceptual disaggregation of ecosystem structure would contribute to defining and  
609 monitoring Essential Biodiversity Variables obtained from 3D-imaging, that can be used  
610 to inform progress towards the UN 2030 Sustainable Development Goals and other  
611 international policy targets.

## 612 **OUTSTANDING QUESTIONS**

- 613 • Robustness must be secured by researching on the reproducibility of GEDI workflows with  
614 other 3D-imaging sensors, through the derivation of physically-based spectrum-invariant  
615 radiative transfer models.
- 616 • Sensitivity to change will differ from one RS derived product to another, and levels of  
617 uncertainty in the measurement of each morphological trait also differ. How can such  
618 differences be accommodated within the framework to allow for unbiased long-term  
619 monitoring of change with clearly stated degrees of uncertainty?
- 620 • Global consistency needs to be further supported by research on the relationships of  
621 ecosystem morphological traits across different biomes and ecosystem types.
- 622 • How do each of the ecosystem structure components relate to the different dimensions of  
623 biodiversity: taxonomic, phylogenetic or functional? Which are the relevant scales for  
624 those relationships and how are they affected by co-registration errors?
- 625 • How can changes in these ecosystem structure components be relevant to biodiversity  
626 conservation policy and practice? How can the global community of remote sensing  
627 practitioners, ecologists and biodiversity policy experts work together to further the  
628 inclusion of the proposed framework in the policy-making decision process? We encourage  
629 engaging with The Group on Earth Observation Biodiversity Observation Network (GEO  
630 BON) to overcome these challenges.
- 631 • Using 3D-imaging data to disentangle direct and indirect effects affecting the relationships  
632 between species distributions and ecosystem structure deserves further attention. Structure  
633 alone has some limited direct influence on species and their distributions, e.g. by providing  
634 cover from predators or providing nesting or hibernating sites. The disaggregation into

635 ecosystem structure components may enable us to analyse their separate influence on  
636 microclimates, and thus species distributions.

- 637 • The biggest research gap is the marine and freshwater environments. Which tools are most  
638 appropriate for measuring morphological traits in marine ecosystems? What are their  
639 relationships to biodiversity?