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Staphylococcus aureus toxins - their functions and genetics

2 Dorothee Grumann^a, Ulrich Nübel^b, Barbara M. Bröker^{a,*}

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- 4 aInstitute of Immunology and Transfusion Medicine, University of Greifswald,
- 5 Greifswald, Germany
- 6 bRobert Koch Institute, Wernigerode, Germany

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- 8 *Corresponding author:
- 9 Institute of Immunology and Transfusion Medicine, University of Greifswald, 17487
- 10 Greifswald, Germany, Phone: +49-3834-865595, Fax: +49-3834-865490, E-mail:
- 11 broeker@uni-greifswald.de

1 Abstract

- 2 The outcome of encounters between *Staphylococcus (S.) aureus* and its human host
- 3 ranges from life-threatening infection through allergic reactions to symptom-free
- 4 colonization. The pan-genome of this bacterial species encodes numerous toxins, known
- or strongly suspected to cause specific diseases or symptoms. Three toxin families are in
- 6 the focus of this review, namely (i) pore-forming toxins, (ii) exfoliative toxins and (iii)
- 7 superantigens. The majority of toxin-encoding genes are located on mobile genetic
- 8 elements (MGEs), resulting in a pronounced heterogeneity in the endowment with toxin
- 9 genes of individual *S. aureus* strains. Recent population genomic analysis have provided
- a framework for an improved understanding of the temporal and spatial scales of the
- motility of MGEs and their associated toxin genes. The distribution of toxin genes among
- clonal lineages within the species *S. aureus* is not random, and phylogenetic (sub-)
- lineages within clonal complexes feature characteristic toxin signatures. When studying
- pathogenesis, this lineage association, which is caused by the clonal nature of *S. aureus*
- makes it difficult to discriminate effects of specific toxins from contributions of the
- 16 genetic background and/or other associated genetic factors.

18 **Keywords**

- 19 *S. aureus*, Pore-forming toxins, Exfoliative Toxins, Superantigens, Evolution,
- 20 Epidemiology

1 1. Introduction

16

- 2 Staphylococcus (S.) aureus is notorious as the most common causative agent of hospital-
- 3 acquired infections, and the spread of antibiotic resistant strains, particularly
- 4 methicillin-resistant *S. aureus* (MRSA), in hospitals challenges health care systems
- 5 worldwide. Moreover, S. aureus strains of increased virulence, known as community-
- 6 aguired MRSA (CA-MRSA), can threaten even healthy individuals in the community
- 7 (Chambers and Deleo 2009; David and Daum 2010; DeLeo, Otto et al. 2010). In addition,
- 8 *S. aureus* is currently being discussed as the trigger and/or enhancer of allergies of the
- 9 respiratory system and the skin (Gould, Takhar et al. 2007; Bachert and Zhang 2012).
- Nevertheless up to now, no anti-*S. aureus* vaccine has been approved for medical
- practice (Schaffer and Lee 2008; Spellberg and Daum 2012). In spite of the above, the
- most frequent encounter of *S. aureus* with its human host is peaceful colonization, and
- around 20% of adults are persistent carriers of the micro-organisms, while another 60%
- are intermittently colonized (Wertheim, Melles et al. 2005; van Belkum, Verkaik et al.
- 15 2009). What makes the species *S. aureus* so immensely successful?

Multiple virulence factors encoded in the pan-genome of *S. aureus*

- 17 A salient feature of *S. aureus* is its variability. By indexing nucleotide sequence diversity
- at seven universally present genetic loci, multilocus-sequence typing (MLST) to date has
- revealed about 2,400 'sequence types' (ST) for *S. aureus* (see www.mlst.net). The vast
- 20 majority of these diverse STs, however, are clustered in a remarkably limited number of
- clonal complexes (CC), each of which appears to be distributed worldwide (reviewed in
- (Nubel, Strommenger et al. 2011)). The predominant *S. aureus* lineages are CC1, 5, 8, 15,
- 23 22, 30, 45, 59, 80, 97 and 121 (Nubel, Strommenger et al. 2011).
- About 75% of the *S. aureus* genes are shared by more than 95% of strains and hence
- 25 may be considered the 'core genome' of the species. In addition, two kinds of variably
- present genes can be distinguished: (i) the core variable genes ($\sim 10\%$ of genes), which
- 27 are largely conserved within each of the *S. aureus* clonal complexes and constitute their
- respective "make up", and (ii) mobile genetic elements (MGEs, ~15% of genes). The core
- variable genome includes most surface-associated genes (microbial surface components
- 30 recognizing adhesive matrix molecules, MSCRAMMs) and regulator genes. Core variable
- 31 genes are encoded on the bacterial chromosome and are, therefore, typically stable and
- transferred vertically (Lindsay, Moore et al. 2006). MGEs include bacteriophages,
- plasmids, *S. aureus* pathogenicity islands (SaPI), transposons, and staphylococcal
- chromosomal cassettes (SCC) (Feil, Cooper et al. 2003; Lindsay and Holden 2006;
- Lindsay, Moore et al. 2006; Lindsay 2010). They mainly encode resistance (e.g.
- methicillin resistance genes) and virulence genes (e.g., Panton-Valentine leukocidin
- 37 (PVL) genes, superantigen (SAg) genes). MGEs can be distributed either by vertical
- transmission to daughter cells or by horizontal transfer (Lindsay and Holden 2006).

- 1 The full complement of all genes (also known as the pan-genome) of *S. aureus* encodes a
- wide array of secreted or cell-surface-associated virulence factors (Foster 2005). These
- 3 include proteins that
- 4 (1) mediate adherence to damaged tissue, extra-cellular matrix and the surface of host
- 5 cells (Foster and Hook 1998),
- 6 (2) facilitate tissue destruction and spreading,
- 7 (3) promote iron uptake (Skaar and Schneewind 2004),
- 8 (4) bind to proteins in the bodily fluids to help evade antibody- and complement-
- 9 mediated immune responses, including the action of phagocytes,
- 10 (5) lyse host cells and
- 11 (6) manipulate the innate and adaptive immune responses.
- However, a clear association between virulence genes and disease symptoms has been
- established or is strongly suspected only for some potent *S. aureus* toxins causing, for
- example, toxic shock syndrome (TSS), staphylococcal scalded skin syndrome (SSSS),
- necrotizing pneumonia, or deep-seated skin infections (Jarraud, Cozon et al. 1999;
- Dinges, Orwin et al. 2000; Jarraud, Mougel et al. 2002; Ladhani 2003; Holtfreter and
- 17 Broker 2005). This review focuses on such toxins, including pore-forming toxins, like
- Panton-Valentine leukocidin (PVL) and hemolysin- α (Hla, α -toxin,), exfoliative toxins
- 19 (ET) and the superantigens (SAgs). They damage the membranes of host cells, degrade
- 20 inter-cellular junctions, or modulate the immune response by aberrant activation of
- immune cells. Only a few *S. aureus* toxins, such as Hla and the phenol-soluble modulins
- 22 (PSMs), are core genome-encoded, while most of the other toxin genes are localized on
- 23 MGEs (Table 1). Hence, the species *S. aureus* is characterized by extraordinary
- 24 heterogeneity regarding the toxin gene equipment of individual clinical isolates.

26

2. Pore-forming toxins

- 27 *S. aureus* can produce several toxins that damage the membranes of host cells, which can
- 28 ultimately lead to cell lysis. At sublytic concentrations, these pore-forming toxins are
- 29 potent cell stressors. In synergy with other danger signals such as lipoproteins that
- activate the toll-like receptor 2 the toxins trigger the NALP3-inflammasome response
- resulting in release of cytokines IL1, IL18 and IL33 (Franchi, Munoz-Planillo et al. 2012).
- 32 Hla, hemolysin-γ (Hlg) and PVL have been shown to exert strong pro-inflammatory
- effects in this manner (Munoz-Planillo, Franchi et al. 2009; Holzinger, Gieldon et al.
- 2012; Kebaier, Chamberland et al. 2012; Perret, Badiou et al. 2012).

2.1 Hemolysin- α (Hla, α -toxin)

- 2 Hla is released by 95% of *S. aureus* strains as a water-soluble monomer of 33kDa with
- 3 pore-forming and pro-inflammatory properties. The *hla* gene is not mobile. Its
- 4 expression is regulated by at least three global regulatory systems including the
- accessory gene regulator (agr) (Xiong, Willard et al. 2006); it is therefore not surprising
- 6 that Hla is produced in varying amounts by *S. aureus* strains. Upon binding to a
- 7 membrane receptor, Hla forms heptameric pores, thereby destroying a variety of host
- 8 cells, including epithelial cells, erythrocytes, fibroblasts, monocytes, macrophages, and
- 9 lymphocytes, but not neutrophils. The Hla receptor has long remained elusive and only
- recently ADAM10 (A disintegrin and metalloproteinase 10) has been identified as a
- binding partner of Hla (Wilke and Bubeck Wardenburg 2010; Inoshima, Inoshima et al.
- 12 2011). Binding of Hla and pore formation activates the enzyme, which degrades E-
- cadherin in the epithelial adherens junctions (Inoshima, Inoshima et al. 2011).
- Moreover, the ADAM10-Hla complex interferes with focal adhesion complexes (Wilke
- and Bubeck Wardenburg 2010). Both mechanisms would be able to disrupt the integrity
- of the epithelial and endothelial layers, thereby paving the way for *S. aureus* invasion.
- 17 The group of Julie Bubeck-Wardenburg has used murine infection models to
- demonstrate that Hla strongly contributes to the pathogenesis of skin infections and
- 19 pneumonia induced by *S. aureus*-USA300, which produces the toxin in abundance
- 20 (Bubeck Wardenburg, Bae et al. 2007; Bubeck Wardenburg, Patel et al. 2007; Bubeck
- 21 Wardenburg and Schneewind 2008; Inoshima, Inoshima et al. 2011; Wardenburg 2011;
- Inoshima, Wang et al. 2012; Powers, Kim et al. 2012).

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2.2 Leukotoxins

- 25 The bi-component (hetero-oligomeric) pore-forming leukotoxins can lyse cells of the
- 26 myleoid lineage, namely monocytes, macrophages, and neutrophils, which is considered
- 27 important for *S. aureus* immune evasion (Ventura, Malachowa et al. 2010; Dumont,
- Nygaard et al. 2011). In different animal infection models, they contribute to disease
- 29 progression (not yet demonstrated for LukM/ LukF'-PV) (Choorit, Kaneko et al. 1995;
- Morfeldt, Taylor et al. 1995; Kaneko, Kimura et al. 1997; von Eiff, Friedrich et al. 2004).
- Leukotoxins consist of one class S and one class F protein of 32-35 kDa, whose genes are
- either core genome- or phage-encoded. The class S and F proteins are non-toxic on their
- own, but upon oligomerization, they form a β-barrelled pore-structure (Kaneko and
- Kamio 2004). Five class F subunits (HlgB, LukF-PV, LukD, LukF'-PV, and LukG) and six
- class S subunits (HlgA, HlgC, LukS-PV, LukE, LukM, and LukH) have been described
- 36 (Prevost, Mourey et al. 2001; Morinaga, Kaihou et al. 2003; Kaneko and Kamio 2004;
- Ventura, Malachowa et al. 2010; Dumont, Nygaard et al. 2011). Table 2 shows that most
- 38 S and F subunits exhibit monogamous pairing, whereas HlgB, the F subunit of the
- hemolysin- γ (hlg) gene cluster, can pair with either of two S subunits, HlgA or HlgC.

1 2.2.1 Panton-Valentine leukocidin (PVL; lukF-PV + lukS-PV)

- 2 Skin and soft tissue infections (SSTIs), such as furunculosis or abscesses, are the most
- 3 frequent diseases caused by *S. aureus* outside the hospital setting. Since Lina et al.
- 4 discovered a close epidemiological linkage between PVL and chronic or recurrent SSTIs
- 5 as well as necrotizing pneumonia (Gillet, Issartel et al. 2002), its role in these diseases
- 6 has remained under discussion. The genes of the F and S subunits are phage-encoded
- 7 (Table 2) and can thus be acquired by horizontal gene transfer (Kaneko, Kimura et al.
- 8 1998; Masiuk, Kopron et al. 2010). PVL genes are found in most CA-MRSA strains, which
- 9 exhibit increased virulence, e.g. in USA300 (Gillet, Issartel et al. 2002; Vandenesch,
- Naimi et al. 2003; Diep, Gill et al. 2006; Badiou, Dumitrescu et al. 2008; Diep and Otto
- 2008; del Giudice, Blanc et al. 2009; Carpaij, Willems et al. 2011). CA-MRSA cause typical
- diseases, namely skin and soft tissue infections (SSTIs), as well as necrotizing
- pneumonia. However, PVL is not restricted to CA-MRSA, but the toxin is also found in the
- majority of MSSA strains isolated from patients with community-acquired SSTIs
- 15 (Monecke, Slickers et al. 2007; Masiuk, Kopron et al. 2010). In contrast, the genes are
- rare in other *S. aureus* strain collections such as nasal commensals or clinical isolates
- from blood-stream infection (0-5%) (von Eiff, Friedrich et al. 2004; Holtfreter, Grumann
- et al. 2007; Masiuk, Kopron et al. 2010). Thus, epidemiological evidence for a pathogenic
- role of PVL is very strong, but the correlation is not absolute, and PVL-negative CA-
- 20 MRSA strains as well as MSSA strains associated with SSTI-patients have been isolated
- 21 (Diep and Otto 2008; Masiuk, Kopron et al. 2010; Otto 2010; Monecke, Coombs et al.
- 22 2011). Animal infection models have yielded conflicting results, which has been
- 23 attributed to differences between models, inoculum sizes, and, very importantly, the
- host species (Labandeira-Rey, Couzon et al. 2007; Bubeck Wardenburg, Palazzolo-
- Ballance et al. 2008; Brown, Dumitrescu et al. 2009; Montgomery and Daum 2009;
- Tseng, Kyme et al. 2009; Villaruz, Bubeck Wardenburg et al. 2009; Olsen, Kobayashi et
- 27 al. 2010). In fact, the leukotoxic activity of PVL differs dramatically between species:
- human and rabbit neutrophils are lysed by very low toxin concentrations (> 40 ng/ml),
- 29 whereas 1000-fold higher amounts are required for the lysis of mouse or java monkey
- 30 neutrophils (Loffler, Hussain et al. 2010).

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2.2.2 Other Leukotoxins (Hemolysin-γ, Hemolysin-γ2, LukDE, LukF'M, LukGH)

- The *hlg* gene cluster giving rise to hemolysin- γ (Hlg) and hemolysin- γ 2 (Hlg2) is
- encoded in the core genome; it is present in 99% of *S. aureus* strains. The toxins appear
- to contribute to septic arthritis and weight loss in mice (Nilsson, Hartford et al. 1999)
- and to endophthalmitis in rabbits (Supersac, Piemont et al. 1998). Recently, attention
- has been focussed on LukDE and LukGH, which, similar to PVL, are expressed by the
- 37 majority of CA-MRSA strains. LukDE binds to CCR5 on immune cells, the chemokine
- 38 receptor which is also involved in immune cell targeting by the human
- immunodeficiency virus (Alonzo, Kozhaya et al. 2013). Both leukotoxins contribute to
- 40 the virulence of *S. aureus* in murine sepsis and renal abscess models (Dumont, Nygaard

- et al. 2011; Alonzo, Benson et al. 2012). LukGH exhibits potent cytolytic activity towards
- 2 neutrophils acting in synergy with PVL *in vitro* (Ventura, Malachowa et al. 2010; Rigby
- and DeLeo 2012). The relative contribution of PVL, LukDE and LukGH to community-
- 4 acquired SSTI and necrotizing pneumonia remains to be determined. Finally, a PVL-
- 5 variant, the prophage-encoded LukF'M, has been identified in *S. aureus* strain P83 of
- 6 bovine origin (Zou, Kaneko et al. 2000). This toxin lyses bovine polymorphonuclear
- 7 leukocytes (PMNs) and has been implicated in bovine mastitis (Schlotter, Ehricht et al.
- 8 2012).

2.2.3 Phenol-soluble modulins (PSMs)

- PSMs are a family of small, α -helical amphipathic peptides with cytolytic and pro-
- inflammatory properties. Two subfamilies are described: the PSMα peptides, including
- the long-known hemolysin- δ (Hld) (Yoshida 1963), are 20 to 26 amino acids long, and
- the PSMβ peptides are 43 to 44 amino acids in length (Wang, Braughton et al. 2007).
- Most PSMs are core genome-encoded. All *S. aureus* strains contain the *hld* gene and the
- two loci with the operons coding for the $psm\alpha$ and $psm\beta$ genes (Wang, Braughton et al.
- 16 2007). In addition, a *psm-mec* gene is located on a chromosomal cassette, which is
- 17 restricted to hospital-acquiredMRSA (HA-MRSA) strains carrying SCC*mec* types II, III,
- and VIII (Chatterjee, Chen et al. 2011). The *agr* is involved in the expression of PSMs
- 19 (Wang, Braughton et al. 2007; Queck, Jameson-Lee et al. 2008). The production of PSMs
- 20 is closely correlated with the capacity of staphylococcal species to cause invasive
- infections (Rautenberg, Joo et al. 2011). CA-MRSA isolates show an increased production
- of PSMs in comparison to HA-MRSA (Wang, Braughton et al. 2007). The group of Michael
- Otto has described multiple functions of PSMs in pathogenesis. Firstly, PSMα peptides
- effectively lyse white and red blood cells (Wang, Braughton et al. 2007; Cheung, Rigby et
- al. 2010). PSM α -deletion in CA-MRSA strains resulted in lower mortality and decreased
- ability to cause skin lesions in mice (Wang, Braughton et al. 2007). Secondly, PSMs have
- 27 proinflammatory properties, and they induce chemotaxis and activation of human
- neutrophils, as well as cytokine expression (Wang, Braughton et al. 2007; Queck, Khan et
- 29 al. 2009; Cheung, Rigby et al. 2010). This response is mediated by activation of the
- 30 human formyl peptide receptor 2 (FPR2) (Kretschmer, Gleske et al. 2010). Thirdly,
- proteolytically processed PSM α 1 and PSM α 2 peptides show antimicrobial activity and
- interfere with competing colonizing pathogens (Joo, Cheung et al. 2011). Finally, they
- appear to contribute to the structuring of biofilms during *S. aureus* infection (Periasamy,
- Joo et al. 2012). Recently, Periasamy et al. have proposed that these functions in
- virulence are indicative of a primary role for PSMs in the commensal colonization of
- mammalian epithelia (Periasamy, Chatterjee et al. 2012).

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3. Exfoliative toxins (ETs)

- 1 The three known *S. aureus* exfoliative toxins ETA, ETB and ETD are encoded on different
- 2 genetic elements (Table 1): *eta* is localized in the genome on a temperate phage,
- 3 whereas *etb* is found on plasmids and *etd* on a genomic island. The prevalence of *eta*
- 4 and/or etb ranges from 0.5 to 3% in MSSA (Becker, Friedrich et al. 2003; Sila, Sauer et al.
- 5 2009; Nhan, Leclercq et al. 2011), whereas around 10% of MRSA are *eta* positive (Sila,
- 6 Sauer et al. 2009). Holtfreter et al. observed a strong association of *etd* with invasive
- 7 CC25 isolates (*spa* type t078 and relatives) (Holtfreter, Grumann et al. 2007). The
- 8 expression of the ETs is *agr*-regulated (Sheehan, Foster et al. 1992). Functionally, ETs
- 9 are isoforms of enzymes with high species-specificity. They have glutamate-specific
- serine protease activity and selectively cleave a single peptide bond in the extracellular
- region of human and mouse desmoglein 1 (Dsg1; desmosomal intercellular adhesion
- molecule), a keratinocyte cell-cell adhesion molecule. In this way, the ETs act as
- "molecular scissors" facilitating bacterial skin invasion (Nishifuji, Sugai et al. 2008). By
- loosening the keratinocyte junctions they cause blistering diseases known as bullous
- impetigo and staphylococcal scalded-skin syndrome.

17

4. Superantigens (SAgs)

- 18 The staphylococcal SAgs belong to the most potent T-cell mitogens known. Some of
- 19 these toxins stimulate human T-cells at femtomolar concentrations. Originally, the SAgs
- of *S. aureus* were termed staphylococcal enterotoxins (SEs) because they elicit vomiting
- 21 and diarrhea after oral uptake, the hallmarks of *S. aureus* food poisoning. This feature is
- 22 different from their superantigenicity, however, because some of the recently identified
- 23 SAgs apparently lack emetic properties. Therefore, the International Nomenclature
- 24 Committee for Staphylococcal Superantigens introduced a new nomenclature in 2004 to
- 25 distinguish SAgs with proven emetic activity in primates (staphylococcal enterotoxins;
- 26 SEs) from those whose emetic properties remain unconfirmed (staphylococcal
- 27 enterotoxin-like toxins; SE*ls*) and toxic shock-syndrome toxin 1(TSST-1) (Lina, Bohach
- et al. 2004). So far, 23 different staphylococcal SAgs have been described: the
- 29 staphylococcal enterotoxins A-E, G-J, and R-T (SEA-SEE, SEG-SEJ, SER-SET), the
- 30 staphylococcal enterotoxin-like toxins K-Q and U-X (SE/K-SE/Q, SE/U-SE/X) and TSST-1
- (Proft and Fraser 2003; Lina, Bohach et al. 2004; Holtfreter and Broker 2005; Thomas,
- 32 Chou et al. 2007; Ono, Omoe et al. 2008; Wilson, Seo et al. 2011).
- 33 Genetic analysis of *S. aureus* clinical isolates, including whole genome sequencing, has
- shown that \sim 80% of *S. aureus* clinical isolates harbor an average of five to six SAg genes.
- 35 There is extensive heterogeneity of SAg gene patterns between *S. aureus* strains
- 36 (Jarraud, Peyrat et al. 2001; Baba, Takeuchi et al. 2002; Becker, Friedrich et al. 2003;
- Holtfreter, Bauer et al. 2004). In addition, the *S. aureus* strains that harbor SAg genes
- 38 produce varying levels of the toxins. This can been attributed to the involvement of at
- least four global regulators, agr, sarA, σ^B and saeRS (Tseng, Zhang et al. 2004; Andrey,
- 40 Renzoni et al. 2010; Kusch, Hanke et al. 2011). Most SAgs are encoded on MGEs, such as

- bacteriophages, plasmids, SaPIs, and genomic islands (Johns and Khan 1988; Fitzgerald,
- 2 Monday et al. 2001; Lindsay and Holden 2006; Ono, Omoe et al. 2008), whereas the
- 3 recently described SEIX is core genome-encoded (Wilson, Seo et al. 2011) (Table 1).
- 4 The mechanism of action of SAgs was discovered by Bernhard Fleischer and Hubert
- 5 Schrezenmeier and first described in 1988 (Fleischer and Schrezenmeier 1988). In
- 6 contrast to conventional peptide antigens, SAgs activate a large fraction of T
- 7 lymphocytes simultaneously. Conventional antigens are taken up by antigen-presenting
- 8 cells (APCs) and processed by protease digestion. The resulting antigenic peptides are
- 9 bound to major histocompatibility complex (MHC) molecules and displayed on the APC
- surface as MHC/peptide complexes. These are recognized by T-cells via the
- 11 hypervariable loops of their T-cell receptor (TCR) α and β chains. SAgs can bypass this
- 12 highly specific antigen-driven interaction between T-cells and APCs. They directly cross-
- 13 link certain TCR Vβ domains with conserved structures on MHC class II (MHC II)
- molecules expressed on professional APCs. They interact with MHC II by binding to the
- 15 α -chain (antigen peptide-dependent or independent) or to a conserved histidine in the
- 16 β-domain via a zinc complex (peptide-dependent) (Fraser and Proft 2008)...
- 17 Furthermore, each SAg interacts with a defined TCR repertoire determined by the TCR
- Vβ sequences. As the human genome encodes approximately 50 TCR Vβ elements, which
- are unevenly represented in the T-cell pool of an individual, up to 20% of T cells can be
- 20 activated by a given SAg (Proft and Fraser 2003). In contrast, conventional peptide
- 21 antigens stimulate only 1 out of 10^5 to 10^6 naïve T-cells (Fraser, Arcus et al. 2000). The
- Vβ-restricted T-cell expansion is thus the hallmark of SAgs (Kappler, Kotzin et al. 1989;
- White, Herman et al. 1989; Choi, Lafferty et al. 1990) with two exceptions: (i) the SAg
- SEH also contacts TCR Vα chains (Petersson, Pettersson et al. 2003; Thomas, Dauwalder
- et al. 2009) and (ii) the staphylococcal protein A, which is universally expressed by
- 26 *S. aureus*, acts as a B cell SAg targeting B-cell receptors (membrane-anchored
- 27 antibodies) which use the immunoglobulin-V_H3 gene element (Silverman and Goodyear
- 28 2006). In addition, many T-cell SAgs also trigger cytokine release by the APCs, which are
- 29 activated via MHC-II engagement (Proft and Fraser 2003).

31

4.1 Role of SAgs in staphylococcal virulence

- 32 SAgs have been implicated in a broad range of diseases. SEs are the causative agents of
- 33 staphylococcal food poisoning resulting from ingestion of contaminated food. Due to
- their extraordinary stability in denaturing conditions, such as heat and low pH, SEs are
- not completely destroyed by mild cooking or digestion of food in the stomach. Nausea,
- emesis, abdominal pain or cramping and diarrhea ensue after a short incubation time.
- 37 The disease is usually self-limiting (Thomas, Chou et al. 2007).
- 38 Staphylococcal toxic shock syndrome (TSS) is characterized by high fever, rash,
- 39 desquamation, vomiting, diarrhea and hypotension, frequently resulting in multiple

- organ failure. In TSS, *S. aureus* is usually localized, either at mucosal sites (vagina or
- 2 nasophryx) or in abscesses (Fraser and Proft 2008), but the released SAgs act
- 3 systemically, triggering large numbers of T-cells to produce massive amounts of pro-
- 4 inflammatory cytokines, such as IL-2, IFN-γ and TNF. This cytokine storm causes the
- 5 symptoms (Bergdoll, Crass et al. 1981; McCormick, Yarwood et al. 2001). This is
- 6 followed by a state of profound T-cell unresponsiveness or anergy, where the T-cells fail
- to proliferate or secrete IL-2 (Rellahan, Jones et al. 1990), or they even undergo cell
- 8 death (Alderson, Tough et al. 1995). It has, therefore, been proposed that SAgs might
- 9 confer an evolutionary advantage to *S. aureus* by deleting T-cells that help B-cells to
- mount an effective antibody response against the bacteria (Fraser, Arcus et al. 2000).
- 11 This view has been challenged by the observation that SAgs themselves are potent
- immunogens eliciting an effective and highly specific neutralizing antibody response
- 13 (Holtfreter, Roschack et al. 2006; Grumann, Ruotsalainen et al. 2011).
- 14 The role of SAgs in other forms of sepsis is less well defined. In animal models, SAgs and
- LPS, a major component of the outer membrane of Gram-negative bacteria and highly
- potent stimulator of the innate immune system, most effectively synergize in the
- induction of lethal shock (Schlievert 1982; Blank, Luz et al. 1997). This observation
- prompted the development of the two-hit model of septic shock (Bannan, Visvanathan et
- al. 1999), which was later generalized by Holtfreter and Bröker: A first hit by SAgs or
- other potent T cell stimuli is potentiated by a second hit by pathogen-associated
- 21 molecular patterns (PAMPs), which activate the innate immune system. This sequence of
- events culminates in a dramatic, often lethal activation of the whole immune system
- 23 (Holtfreter and Broker 2005). The sequence of events varies in the different accounts.
- 24 Kawasaki disease is an acute febrile disease in children that resembles TSS. A role for
- 25 SAgs has been suggested (Yarwood, Leung et al. 2000). Intravenous immunoglobulin
- therapy is highly effective when given early, suggesting that the agent is a toxin that is
- 27 neutralized by anti-toxin antibodies contained in pooled human serum.
- Finally, a prominent role for SAgs is being discussed in skin and airway allergies. For
- 29 atopic dermatitis, a correlation between clinical severity and colonization with SEA- and
- SEB-producing *S. aureus* as well as with IgE specific for SEA and SEB was documented in
- one study, but not confirmed in others (Bunikowski, Mielke et al. 1999; Zollner,
- Wichelhaus et al. 2000). Bronchial asthma afflicts around 300 million people worldwide,
- thus belonging to the most common diseases. In allergic asthma, the triggers are known
- inhalative allergens (= allergy-driving antigens), while the causative agents of non-
- allergic or intrinsic asthma, around 10% of cases, are not known. Intrinsic asthma is
- often of late onset (3rd-4th decade of life) and takes a severe disease course, which is
- 37 refractory to established treatment strategies. Chronic rhinosinusitis, a pronounced
- inflammation of the mucosal tissue of the nose and sinuses, with or without the
- development of polyps, is also very frequent and often accompanied by intrinsic asthma.
- 40 Since many patients possess high titres of SAg-specific IgE in their serum or locally in

- the polyps, several research groups promote the opinion that allergic reactions to
- 2 S. aureus SAgs drive or at least amplify chronic airway inflammation (Gevaert,
- Holtappels et al. 2005; Bachert, Zhang et al. 2008; Barnes 2009; Bachert, Zhang et al.
- 4 2010; Zhang, Holtappels et al. 2011).

6

5. The evolution of the *S. aureus* toxin gene families

- 7 Toxin gene clustering and sequence homologies imply evolution from ancestral genes by
- 8 gene duplication and variation. These features are prominent in the families of
- 9 leukotoxins, ETs, and SAgs. The enterotoxin gene cluster (egc), discovered on a
- staphylococcal genomic island (νSaβ) by the group of Jarraud, is given here as an
- example (Jarraud, Peyrat et al. 2001; Letertre, Perelle et al. 2003). *Egc* SAgs are the most
- prevalent SAg genes in commensal and invasive *S. aureus* isolates, with frequencies
- ranging between 46% and 66% in different strain collections (Becker, Friedrich et al.
- 2004; Fueyo, Mendoza et al. 2005; Holtfreter, Grumann et al. 2007; Monecke, Luedicke
- et al. 2009). Most *egc*-positive *S. aureus* strains harbor five SAg genes (*seg, sei, selm, seln,*
- and selo) and the pseudogenes ψ ent1 and ψ ent2 (Jarraud, Peyrat et al. 2001). The egc is
- unusually variable. The *S. aureus* clonal cluster CC30, for example, harbors an additional
- SAg gene, designated *selu*, a fusion product of ψ *ent1* and ψ *ent2* (Letertre, Perelle et al.
- 19 2003; Thomas, Jarraud et al. 2006; Holtfreter, Grumann et al. 2007). Given that the
- 20 members of the *egc* display considerable sequence differences and each of the *egc* SAgs
- shows closest similarity to SAgs encoded outside the *egc*, Jarraud et al. proposed that the
- *egc* functions as an "enterotoxin gene nursery" (Jarraud, Peyrat et al. 2001).

23

24

6. The phylogenetic distribution and motility of *S. aureus* toxin genes

- 25 MGEs and toxin genes they carry can be disseminated either by vertical transmission to
- 26 daughter cells upon cell replication or by horizontal gene transfer. Transduction through
- 27 bacteriophages commonly is considered the predominant mechanism for acquisition of
- 28 genetic material in *S. aureus*, whereas DNA transformation (Morikawa, Takemura et al.
- 29 2012) and conjugation are assumed to be less relevant (Lindsay and Holden 2006).
- 30 Over the past decade, studies based on sequencing a limited number of representative
- 31 *S. aureus* genomes (Lindsay and Holden 2006), comparative genomic hybridization to
- microarrays (Monecke, Slickers et al. 2008; McCarthy and Lindsay 2012; McCarthy,
- Witney et al. 2012; Shore, Brennan et al. 2012), or dedicated PCR assays (Holtfreter,
- Grumann et al. 2007; Masiuk, Kopron et al. 2010) have provided an overview of the
- distribution of MGEs and their associated toxin genes in the *S. aureus* population. An
- overwhelming feature of the resulting data is that there exists remarkable variation in
- 37 the endowment with MGEs, both within and among clonal complexes (Holtfreter,
- Grumann et al. 2007; Monecke, Luedicke et al. 2009; Masiuk, Kopron et al. 2010). It

- 1 further appeared that MGEs and hence the toxin genes they carried were not randomly
- distributed between lineages, suggesting restrictions to horizontal transfer (Holtfreter,
- 3 Grumann et al. 2007; Monecke, Slickers et al. 2008; Monecke, Luedicke et al. 2009;
- 4 Masiuk, Kopron et al. 2010; Shore, Brennan et al. 2012).
- 5 Due to their location on different genetic elements (Table 1), individual *S. aureus* toxin
- 6 genes differ greatly in their distribution and horizontal genetic mobility. Some by
- 7 definition belong to the core genome because they are present in almost all strains: *hla*,
- 8 the *hlg* gene cluster, and the *psm* loci. Most are much more variably distributed, but,
- 9 importantly, all are more or less closely linked to the genetic background of *S. aureus*.
- 10 One extreme example is the *egc*-encoding genomic island νSAβ. Among the dominant
- clonal clusters, *egc* is found in all strains of CC5, CC22, and CC45 as well as in CC25. CC30
- and CC121 isolates are characterized by a variant of vSAβ harboring an *egc* with a
- functional *selu* gene. On the other hand, CC1, CC8, CC15 and CC395 appear to lack *egc*
- completely (Holtfreter, Grumann et al. 2007; Masiuk, Kopron et al. 2010; Grumann,
- Ruotsalainen et al. 2011). Other SAgs with strong clonal complex linkage are the SaPI-
- encoded *tst* and *sec-sell* (CC45), or the plasmid-encoded *sed*, *sej* and *ser* (CC8). SAg genes
- with a broader distribution are the phage-encoded sea, and the SaPI-encoded seb
- 18 (Peacock, Moore et al. 2002; Fueyo, Mendoza et al. 2005; Diep, Gill et al. 2006; Lindsay
- and Holden 2006; Lindsay, Moore et al. 2006; Holtfreter, Grumann et al. 2007; Monecke,
- Berger-Bachi et al. 2007; Monecke, Slickers et al. 2007; Masiuk, Kopron et al. 2010;
- 21 Monecke, Coombs et al. 2011). The pore-forming toxin lukFS-PV genes are broadly
- distributed among *S. aureus* clonal lineages with the notable exception of CC45
- 23 (Monecke, Slickers et al. 2007; Goerke, Pantucek et al. 2009; Masiuk, Kopron et al. 2010)
- and a subclade of CC121, which in turn is associated with *eta* and/or *etb* (Masiuk,
- Kopron et al. 2010) (our unpublished data). In contrast, *etd* is rare and characterizes
- 26 CC80 MRSA isolates as well as some CC25 strains (Holtfreter, Grumann et al. 2007;
- 27 Masiuk, Kopron et al. 2010; Monecke, Coombs et al. 2011). All the studies cited above
- were limited, however, by the typing techniques applied (i. e., MLST or *spa* typing), and
- 29 their associated discriminatory power. Because substantial variation of MGE
- 30 endowment was observed within the phylogenetic groupings resolved (clonal
- complexes, mostly), the dynamics of MGE motility could not be investigated. In contrast,
- more recent work has provided novel, quantitative insights into the frequency of MGE
- acquisition and loss in *S. aureus*, based on extended MLST (analysing variation at >100
- 34 genetic loci; (Nubel, Roumagnac et al. 2008; Lowder, Guinane et al. 2009; Nubel, Dordel
- et al. 2010)) or whole-genome sequencing, respectively (Lindsay and Holden 2006;
- Harris, Feil et al. 2010; McAdam, Templeton et al. 2012; Holden, Hsu et al. 2013), applied
- 37 to globally representative population samples. In these studies, abundant
- 38 polymorphisms were ascertained in the *S. aureus* core genome, which provided robust,
- 39 high-resolution phylogenetic frameworks for each of the populations investigated. One
- 40 important discovery borne from these investigations was that *S. aureus* constitutes
- 'measurably evolving populations', accumulating detectable genetic variation over

- epidemiological timescales that can be directly observed. Importantly, this feature
- 2 enabled the application of coalescence-based methods for dating evolutionary events
- 3 and tracking staphylococcal spatial spread on the basis of temporally structured
- 4 population samples. Furthermore, the motility of variably present genomic components
- 5 could be evaluated in relation to the evolutionary history of the *S. aureus* core
- 6 genome. By mapping the presence of motile genomic components onto core-genome
- 7 based phylogenetic trees, multiple events of gene acquisition or loss were identified.
- 8 Using the accumulation of SNPs in the core genome for calibration, these genetic events
- 9 could be dated and pinpointed to geographic areas in which they likely had
- occurredEven though genomic plasticity was not the main focus of any of these recent
- studies, warranting further in-depth analyses of the available data, a deepened
- understanding of the temporal and spatial scales of MGE motility in *S. aureus* currently
- 13 emerges.
- Each of the clonal complexes forms a radiation of multiple phylogenetic sub-lineages
- that had not been resolved by MLST, spa typing, or DNA macrorestriction (Nubel,
- Roumagnac et al. 2008; Harris, Feil et al. 2010; McAdam, Templeton et al. 2012; Holden,
- Hsu et al. 2013). These sub-lineages evolve mostly clonally, as homologous
- recombination is extremely rare (Nubel, Roumagnac et al. 2008; Holden, Hsu et al. 2013)
- 19 [Castillo-Ramirez 2012; PMID: 23270620]. The most recent common ancestors of
- individual sub-lineages date back only few decades (Lowder, Guinane et al. 2009; Harris,
- Feil et al. 2010; Nubel, Dordel et al. 2010; McAdam, Templeton et al. 2012; Holden, Hsu
- et al. 2013). Notably, sub-lineages are equipped with specific MGEs (and associated
- toxin genes). Within a given clonal complex, sub-lineages commonly share several of
- 24 their MGEs (e.g., SaPIs and prophages, which may have been acquired prior to the sub-
- lineage split), but they also show multiple differences (typically, additional prophages,
- SCC*mec* elements, transposons, plasmids) (Nubel, Roumagnac et al. 2008; Holden, Hsu et
- 27 al. 2013) (our unpublished work).
- 28 A recently published study compared comprehensive accessory genomes derived from
- 29 *de-novo* assemblies of Illumina sequencing reads from 193 *S. aureus* isolates from CC22
- and mapped their components onto a high-resolution phylogeny (Holden, Hsu et al.
- 31 2013). This analysis demonstrated the accumulation over time of genetic determinants
- for antimicrobial resistance in a hospital-associated sub-lineage of CC22, in response to
- 33 selective pressure experienced in a clinical environment. There was also evidence of
- occasional loss of resistance genes, prophages, and even entire SaPIs, and one example
- of a replacement of an SCC*mec* element through another, structurally different SCC*mec*
- element. Such events were rare, however, each affecting a small minority of isolates in
- 37 the sample, whereas the majority of MGE appeared fully conserved within the sub-
- lineage (Holden, Hsu et al. 2013). Thus, once acquired, MGE presence in the genome
- 39 commonly remained stably maintained throughout sub-lineage evolution (i. e., over
- 40 decades), even though some minor structural variation was acquired through
- 41 recombination.

- In summary, the acquisition and loss of MGE appears to be a rare event, and horizontal
- 2 gene transfer is not as rampant as perhaps may have been anticipated. Differences
- 3 between phylogenetic sub-lineages sufficiently explain the gene content variation within
- 4 clonal complexes that had been observed in previous studies. After all, the association of
- 5 genetic traits with phylogenetic (sub-)lineages is to be expected from a highly clonal
- 6 population, provided the genotyping procedure provides sufficient discriminatory
- 7 power to resolve such lineages (Turner and Feil 2007) [Kurt et al, PLOS One 2013, in
- 8 press].
- 9 The linkage of toxin genes with the genomic background has practical implications for
- the epidemiological investigation of *S. aureus* virulence. In most cases, the contribution
- of individual toxin genes to a phenotype of interest cannot be clearly separated from
- that of the genomic background at the species level. In order to avoid the erroneous
- attribution of pathophysiological effects to *S. aureus* toxins, these should be studied by
- comparing toxin-positive and toxin-negative isolates within a given clonal cluster or
- subclade and/or by using multi-factorial approaches. This will optimize the power of
- genetic investigations into the mechanisms of *S. aureus* pathogenesis.

18

3. Outlook: Toxins as vaccination targets

- 19 Toxins are interesting vaccine candidates because (i) they are dangerous and
- 20 significantly contribute to pathogenesis and (ii) their toxic functions can be neutralized
- by specific antibodies. In fact, antibody-mediated protection from the effects of *S. aureus*
- toxins has been convincingly demonstrated in humans and in animal models (Holtfreter,
- Kolata et al. 2010; Cheung and Otto 2012; Daum and Spellberg 2012; Spellberg and
- Daum 2012). However, the extra-ordinary variability of toxins in the pan-genome of
- 25 *S. aureus* constitutes an enormous challenge for the development of broad-spectrum
- 26 anti-toxin vaccines. It is highly unlikely that a "one fits all"-strategy can solve the
- 27 problem; an effective anti-*S. aureus* vaccine will contain multiple toxins or toxoids.
- Selection criteria should include their role in pathogenesis, their distribution among
- 29 *S. aureus* strains and the degree of natural immunization in the human host population.
- 30 In view of the pronounced heterogeneity, even within toxin families, it appears
- 31 mandatory to establish in each case where the natural (or induced) human antibody
- response is located on a scale ranging from exquisite antigen specificity to broad cross-
- reactivity with a whole toxin family. Generally, immune selection may drive antigenic
- variation, but the impact this could have on toxin gene evolution and diversity in *S.*
- 35 *aureus* has not been assessed systematically, considering recently accumulated,
- 36 abundant genome sequence data.

- 1 Finally, it must be borne in mind that besides antibodies, T lymphocytes are also
- 2 necessary for clinical protection against *S. aureus*. Hence, any anti-toxin vaccine
- 3 approach should be complemented with a strategy to elicit a powerful *S. aureus*-specific
- 4 T cell response (Spellberg and Daum 2012).

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Table 1: Toxin genes in the *S. aureus* pan-genome.

	Toxin genes	References	
Core Genome			
	hla	(Bhakdi and Tranum-Jensen 1991)	
	hld	(Janzon, Lofdahl et al. 1989)	
	hlg gene cluster	(Kaneko and Kamio 2004)	
	$psm\alpha$, $psm\beta$	(Wang, Braughton et al. 2007)	
	selx	(Wilson, Seo et al. 2011)	
Genomic Islands			
νSaβ	enterotoxin gene cluster (egc): seg, sei, selm, seln, selo, (selu, selu2, selv)	(Baba, Takeuchi et al. 2002; Letertre, Perelle et al. 2003; Thomas, Jarraud et al. 2006)	
	lukDE	(Baba, Takeuchi et al. 2002; Barrio, Rainard et al. 2006; Lindsay and Holden 2006)	
νSaγ	etd	(Yamaguchi, Nishifuji et al. 2002; Highlander, Hulten et al. 2007)	
Plasmids			
pIB485	sed, sej, ser	(Bayles and Iandolo 1989; Zhang, Iandolo et al. 1998; Omoe, Hu et al. 2003)	
pF5	sej, ser, ses, set	(Omoe, Hu et al. 2003; Ono, Omoe et al. 2008)	
pGSA ₁₈ rep32 (pETB)	etb	(Yamaguchi, Hayashi et al. 2001; McCarthy and Lindsay 2012)	
pGSA ₁₁ rep22 (SAP057A)	etb	(McCarthy and Lindsay 2012)	
Staphylococcal Cassette (Chromosomes		
SSCmec types II, III, VIII	psm-mec	(Queck, Khan et al. 2009; Chatterjee, Chen et al. 2011)	
Pathogenicity Islands			
SaPIn1 (N315)/ SaPIm1 (Mu50) (vSa4 type I)	sell, sec, tst	(Novick and Subedi 2007)	
SaPI3 (COL vSa1)	seb, selk, selq	(Novick and Subedi 2007)	
SaPImw2	sell, sec	(Baba, Takeuchi et al. 2002; Lindsay and Holden 2006)	
Bacteriophages			
фSa1	lukFM (φPV83)	(Choorit, Kaneko et al. 1995; Zou, Kaneko et al. 2000; Kaneko and Kamio 2004; McCarthy, Witney et al. 2012)	
	eta (φETA)	(Yamaguchi, Hayashi et al. 2000; Kuroda, Ohta et al. 2001; McCarthy, Witney et al.	
фSa2	lukFS-PV	2012) (Kaneko, Kimura et al. 1998; Narita, Kaneko et al. 2001; Baba, Takeuchi et al.	

Table 2: Leukotoxins.

Leukotoxins	Components ^a		- Localisation	Prevalence ^b	Call an acificity
	Class F subunit	Class S subunit	Localisation	rrevalence	Cell specificity
Hemolysin-γ (Hlg)	HlgB (Hlg1, LukF)	HlgA (Hlg2)	_ <i>hlg</i> gene cluster; genome	~99%	erythrocytes from humans and other mammalian species
Hemolysing-γ2 (Hlg2)/ Leukocidin (Luk)	HlgB (Hlg1, LukF)	HlgC (LukS)			human and rabbit PMN and rabbit erythrocytes
Panton-Valentine Leukocidin (PVL)	LukF-PV	LukS-PV	pvl locus; phage	0-5%	human and rabbit PMN
LukDE	LukD	LukE	pathogenicity island	30-87%	murine and rabbit PMN
LukF'M	LukF'-PV	LukM	phage	0%	bovine PMN
LukGH (LukAB)	LukG (LukB)	LukH (LukA)	n.d.	n.d.	human PMN

^aSynonyms are indicated in brackets.

References: (Choorit, Kaneko et al. 1995; Gravet, Couppie et al. 2001; Kaneko and Kamio 2004; von Eiff, Friedrich et al. 2004; Ventura, Malachowa et al. 2010; Dumont, Nygaard et al. 2011; Alonzo, Benson et al. 2012; Rigby and DeLeo 2012; Vandenesch, Lina et al. 2012)

^bPrevalence in clinical *S. aureus* isolates from humans.

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