# Static Dataflow with Access Patterns: Semantics and Analysis

Arkadeb Ghosal\*, Rhishikesh Limaye\*, Kaushik Ravindran\*, Stavros Tripakis\*\*
Ankita Prasad\*, Guoqiang Wang\*, Trung N Tran\*, Hugo Andrade\*
\* National Instruments Corp., Berkeley, CA, USA, {firstname.lastname}@ni.com
\*\* University of California, Berkeley, CA, USA, stavros@eecs.berkeley.edu

## ABSTRACT

Signal processing and multimedia applications are commonly modeled using Static/Cyclo-Static Dataflow (SDF/CSDF) models. SDF/CSDF explicitly specifies how much data is produced and consumed per firing during computation. This results in strong compile-time analyzability of many useful execution properties such as deadlock absence, channel boundedness, and throughput. However, SDF/CSDF is limited in its ability to capture how data is accessed in time. Hence, using these models often leads to implementations that are sub-optimal (i.e., use more resources than necessary) or even incorrect (i.e., use insufficient resources). In this work, we advance a new model called Static Dataflow with Access Patterns (SDF-AP) that captures the timing of data accesses (for both production and consumption). This paper formalizes the semantics of SDF-AP, defines key properties governing model execution, and discusses algorithms to check these properties under correctness and resource constraints. Results are presented to evaluate these analysis algorithms on practical applications modeled by SDF-AP.

Categories and Subject Descriptors: C.3 [Specialpurpose and Application-based Systems]: Signal processing systems

**General Terms:** Theory, Algorithms, Experimentation **Keywords:** Dataflow, semantics, access patterns

#### 1. INTRODUCTION

Static Dataflow (SDF) is a model of computation to specify, analyze, and implement multi-rate computations that operate on infinite streams of data [13]. An SDF model is represented as a directed graph of computational actors interconnected by FIFO channels. The SDF semantics requires that the number of tokens consumed and produced by an actor per firing is fixed and pre-specified. This guarantees decidability of key model properties: existence of deadlockfree and memory-bounded infinite computation, throughput, latency, and execution schedule [1, 13]. The expressiveness of the SDF model in naturally capturing streaming applications, coupled with its strong compile-time pre-

DAC 2012, June 3-7 2012, San Francisco, Califiornia, USA Copyright 2012 ACM 978-1-4503-11199-1/12/06 ...\$10.00. dictability properties, has made it popular in the domains of multimedia, digital signal processing, and communications.

While the standard SDF model is untimed, it is a common practice to associate worst-case execution time (WCET) models to analyze the timing behavior of applications [7, 12, 14, 15, 20]. These timing annotations enable static analysis of SDF models and mapping solutions to specific platforms under resource and performance constraints. Worst-case timing models have been applied to capture execution behavior of SDF actors for software and hardware implementations.

However, these timing models suffer a key deficiency: they lose information about the precise timing of consumption and production of tokens by an actor during a firing cycle. The problem is particularly evident when SDF models are used to capture hardware implementations. Many hardware IP blocks require that data tokens be delivered to them at precisely specified clock cycles from the start of execution. This loss of timing information in SDF models results in suboptimal analysis and implementations that conservatively estimate the resources needed.

For example, consider a design connecting a producer Pto a consumer C. P produces 1 token per firing and executes in 1 time unit, and C consumes 8 tokens per firing and executes in 8 clock cycles. Suppose that the IP block implementing C requires 8 tokens to be delivered in 8 consecutive cycles. Unfortunately, the SDF timing model is not sufficiently expressive to capture this behavior. The semantics of SDF assumes that an actor cannot start firing until sufficient tokens are present at the inputs. As a result, if the above example is modeled with SDF, C cannot start firing until after  ${\cal P}$  completes eight firings. Therefore, a buffer of size at least 8 must be added between P and C; C may start its execution only after the buffer has collected 8 tokens from P. While this is a valid implementation, it is sub-optimal in terms of allocation of buffer resources. In contrast, a better implementation can exploit knowledge about the behavior of C and determine that a buffer of size one is sufficient.

Cyclo-Static Dataflow (CSDF) [2] is a generalization of SDF that appears to resolve the problem. CSDF "breaks" a firing into finer-grained phases, and specifies consumptions and productions of tokens for each phase. But CSDF still relies on the same basic hypothesis as SDF, i.e., that an actor will wait until sufficient tokens have accumulated at the input channels before beginning a phase. Unfortunately, this hypothesis violates requirements related to the precise timing of token accesses. In the example above, C requires that it receive 8 tokens in 8 consecutive clock cycles once it commences firing. CSDF cannot capture this constraint and as a result can lead to incorrect implementations [19].

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. To copy otherwise, to republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee.

For example, consider an alternate producer P' with an execution time of 2. Then a CSDF model would conclude that a buffer of size 1 between P' and C is sufficient, but this would violate the timing requirement of C.

It may be argued that the requirement of precise timing of tokens is artificial, since actors can be *stalled* or turned off. Actors implemented in software can easily be disabled or context switched. For hardware IP blocks, there is typically a "clock enable" signal that regulates their execution. Setting this signal to "false" freezes the actor when inputs are unavailable. However, this solution is not satisfactory in practical designs. The area overhead due to the enable logic is undesirable. Also, any logic that regulates the clock contributes additional delay to timing-critical paths. The increased distribution of "clock enable" signals further adversely impacts the achievable frequency. Hence, it is important to capture precise timing of token accesses to generate resource optimal implementations. Both SDF and CSDF models are not equipped for this.

To remedy the expressiveness problems of SDF/CSDF, a new model, called *SDF with Access Patterns* (SDF-AP), is introduced informally in [19]. SDF-AP strikes a balance between the analyzability of SDF/CSDF while accurately capturing the interface timing behavior. The latter is achieved by specifying *access patterns* that capture the precise timing behavior of token productions and consumptions. The original motivation for SDF-AP comes from modeling hardware IP blocks, where access patterns are precisely characterized and presented as timing diagrams. Nevertheless, the timing extensions that access patterns provide are general and applicable to actors implemented in software as well.

The goal of [19] is to justify that choosing the right model is important for generating correct and non-defensive implementations from high level component abstractions. It informally introduces the SDF-AP model and advocates a general methodology based on Finite State Machines to reason about performance and resource trade-offs. However, [19] does not define the semantics of the SDF-AP model. It also does not develop analysis methods to reason about model properties. This paper closes this gap. Our main contributions are: (a) a formal definition of the SDF-AP model with its operational semantics, (b) formal definitions of key model properties, such as executability and throughput, (c) algorithms for efficient static analysis of these properties, and (d) case studies to evaluate these algorithms.

## 2. RELATED WORK

Real-time streaming applications are widely deployed on embedded platforms. Model-based design is a well-tested approach for the implementation of these systems. A comprehensive survey on concurrent models of computation can be found in [11]. Prior research has shown that dataflow and its variants are sufficiently expressive enough to capture the task and data parallelism in streaming applications. SDF and CSDF models enable compile time analysis of key execution properties, e.g., absence of deadlocks and consistency of execution rates, via efficient algorithms [1,12,13]. Recent variants like Heterochronous Dataflow (HDF) [6], Scenario Aware Dataflow (SADF) [18], and Core Functional Dataflow (CFDF) [7] extend SDF/CSDF with specifications for control. Design frameworks like Ptolemy-II [5], SDF<sup>3</sup> [17], and OpenDF [8] deliver hardware and software implementations.

Though SDF/CSDF models have many advantages, they

are limited in their ability to capture precise timing information of data production and consumption. This is particularly evident when dataflow models are targeted for hardware implementations. Prior efforts are conservative in their implementation of the glue logic to stitch SDF actors in hardware [4,8–10]. SDF-AP is introduced in [19] to remedy that deficiency. Model properties like consistency, absence of deadlock, bounded execution, and throughput need to be checked before the model can be implemented. There are existing techniques to check the properties for SDF/CSDF models. However, they cannot be directly used for SDF-AP models due to differences in semantics. In this paper, we present the formal semantics of SDF-AP models and algorithms to efficiently check key model properties.

## 3. SDF-AP: SYNTAX AND SEMANTICS

An SDF-AP model consists of actors connected over channels. Actors read tokens from incoming channels and write to outgoing channels. Once an actor has fired, it consumes (resp. produces) a fixed number of tokens from (resp. to) input (resp. output) channels over the execution time. An actor associates each channel with a pattern represented as a binary word of length equal to the execution time of the actor. The pattern denotes whether the actor reads (resp. writes) a token or not from (resp. to) the incoming (resp. outgoing) channel at a particular cycle in the execution. The access pattern can be provided by the user or derived from the timing diagrams accompanying the documentation of the IP block [19]. Given the application domain and hardware implementation, we will restrict reading and writing of at most one token per channel at any clock transition. Nevertheless, the model semantics can be easily generalized to allow multiple tokens to be read or written.

Fig. 1 shows an SDF-AP model with a build stream actor, bs, which takes two input streams and merges them in one. Actor bs is fed by two source actors i1 and i2: i1 generates 1 token every 2 cycles, and i2 generates 3 tokens every 4 cycles. At each firing, bs consumes 2 tokens produced by i1, and 4 tokens produced by i2, and places them in a merged stream of 6 tokens at the output (tokens from i1 preceding those of i2). Actor bs is connected to a sink actor o. The net token count and respective pattern are shown separated by ":", e.g., "3:1101" on c2 denotes that i2 produces 2 tokens with the pattern 1101 on channel c2. Channels c1, c2, c3 connect i1 with bs, i2 with bs, and bs with o, respectively.

#### 3.1 Syntax

An SDF-AP model is a pair  $\mathcal{M} = (aset, cset)$ , where aset is a set of actors, and *cset* is a set of channels. For the example in Fig. 1,  $aset = \{bs, i1, i2, o\}$ , and  $cset = \{c1, c2, c3\}$ .



Figure 1: SDF-AP model for build stream actor interacting with two sources and one sink

An actor  $a \in aset$  is a tuple (ic, oc, it, ot, et, cp, pp) where  $ic \subseteq cset$  (resp.  $oc \subseteq cset$ ) is the set of input (resp. output) channels of a, it (resp. ot) is a map  $it : ic \to \mathbb{N}$  (resp.

 $ot: oc \to \mathbb{N}$ ) mapping each input (resp. output) channel to the total number of tokens read from (resp. written to) that channel per firing of  $a^1, et \in \mathbb{N}$  is the time in clock cycles it takes to complete one firing of a, cp (resp. pp) is a map of input (resp. output) channels to consumption (resp. production) patterns. The pattern cp (resp. pp) maps each input (resp. output) channel to a binary word of length et, i.e.,  $cp: ic \to \mathbb{B}^{et}$  and  $pp: oc \to \mathbb{B}^{et}$ . The *i*-th letter of the word is denoted as cp(c,i) (resp. pp(c,i)). The sum of the 1's in cp(c) (resp. pp(c)) equals the input (resp. output) token count for the channel it(c) (resp. ot(c)). For source actors,  $ic = \emptyset$ ,  $it = cp = \emptyset^2$ ; for sink actors,  $oc = \emptyset$ ,  $ot = pp = \emptyset$ .

A channel  $c \in cset$  is a unique id, and must appear exactly once in the input channel set of an actor, and exactly once in the output channel set of an actor. This ensures no dangling channels and no non-determinism in channel access.

#### 3.2 Semantics

The operational semantics of an SDF-AP model  $\mathcal{M}$  = (aset, cset) is defined as a state transition system. A state of the system tracks the number of tokens on each channel, the set of running instances of each actor, and for each instance, the number of clock cycles it has been executing. Formally, a state s is a pair  $(\gamma, v)$  where  $\gamma : cset \to \mathbb{Z}$  is a channel quantity [16] (we allow negative values for token counts, see below for the interpretation), and  $v : aset \rightarrow$  $\mathcal{MS}(\mathbb{N}_{+0} \times \{w, r, \bot\})$  maps each actor to a multiset of pairs of the form  $(\eta, \kappa) \in \mathbb{N}_{+0} \times \{w, r, \bot\}$ . If  $v(a) = \emptyset$  then actor a has no active (i.e., running) instances currently. Otherwise, each pair  $(\eta, \kappa) \in v(a)$  represents an active instance of a:  $\eta$ denotes the number of clock cycles the instance has been executing, and  $\kappa$  is a flag denoting the *stage* the instance within the current clock cycle. There are three possible stages: beginning of clock cycle  $\perp$  (idle stage), reading r, and writing w. The meaning will become clear in what follows.<sup>3</sup> A state s is called stable if  $\forall a \in aset, \forall (\cdot, \kappa) \in v(a), \kappa = \bot$ . The initial state  $s_0 = (\gamma_0, v_0)$  where  $\forall a \in aset, v_0(a) = \emptyset$ , and  $\gamma_0$  maps each channel to a given number of initial tokens. The initial state (which gets modified with different set of initial tokens) determines the behavior of the model.

Following [16], we define operations on channel quantities. If  $\gamma_1, \gamma_2$  are channel maps from sets of channels  $cset_1, cset_2$ , with  $cset_2 \subseteq cset_1$ , then  $\gamma_2 \preceq \gamma_1$  if  $\forall c \in cset_2$ ,  $cset_2(c) \leq cset_1(c)$ . The operation  $\gamma_1 + \gamma_2$  is defined as pointwise addition. If  $\gamma_2 \preceq \gamma_1$ , then the operation  $\gamma_1 - \gamma_2$  is defined as pointwise subtraction. We will use  $\gamma = 0$  to denote that token counts on all channels are 0,  $\gamma \geq 0$  to denote that all channels map to  $\mathbb{N}_{+0}$ , and  $\gamma \leq \beta$  where  $\beta \in \mathbb{N}_{+0}$ to denote that channel counts are bounded by  $\beta$ . For acto denote that channel counts are bounded by  $\beta$ . For action a and  $i \in \{1, ..., et(a)\}$ , we define the following channel quantities:  $\gamma_{a,i}^{R}$  (resp.  $\gamma_{a,i}^{W}$ ) maps every input (resp. output) channel c of a to cp(c, i) (resp. pp(c, i)). For source actors,  $\gamma_{a,i}^{R} = 0$  and for sink actors,  $\gamma_{a,i}^{W} = 0$ , for all i. A transition  $\delta = (s, l, s')$  of  $\mathcal{M}$  from state  $s = (\gamma, v)$  to

state  $s' = (\gamma', v')$  labeled with label l, also denoted  $s \stackrel{l}{\to} s'$ , can be any one of those shown in Table 1. s' is called a successor of s. A transition labeled begin(a) adds a new instance of a to the set of active actor instances. The clock counter of the new instance is initialized to 0 and the instance is idle (i.e., not ready to read or write). A transition labeled end(a) removes an instance of a from the set of active instances, provided the instance has finished its firing, i.e., its clock counter has reached et(a). A transition labeled *clock* marks the beginning of a clock cycle: all active actor instances increase their clock counter by 1 and move from the idle stage  $\perp$  to the reading stage r. A transition labeled read(a) (resp. write(a)) corresponds to a reading from (resp. writing to) its input (resp. output) channels. Once it has read, an actor instance moves from reading stage r to writing stage w. Once it has written, it moves back to stage  $\perp$ , until the beginning of the next clock cycle.

Note that read transitions may result in channel capacities becoming negative. This is because no precondition on having enough tokens in the channel is imposed for taking a read transition. Similarly, nothing prevents writing, which means that no a-priori bounds on channel size are imposed. This approach makes the semantics easier to formalize. We identify below situations where a negative token count models non-executable vs. transient behaviors as well as distinguish between bounded and unbounded executability.

Also note that reads and writes occur asynchronously between actor instances (i.e., different instances interleave) while for a given instance, the read always occurs before the write. The latter is done to model causality where a consuming actor needs to wait till a producing actor places a token in the channel. A synchronous semantics is also possible, where all actors read simultaneously, then write simultaneously, to complete a clock cycle. The synchronous semantics results in far fewer transitions than the asynchronous semantics. However, the synchronous semantics does not allow to distinguish between non-executable and certain executable models (see discussion on Figure 2 in Section 4).

An execution trace  $\tau$  is an infinite sequence of transitions  $\tau = s_0 \xrightarrow{l_1} s_1 \xrightarrow{l_2} s_2 \cdots$ , where  $s_0$  is the initial state. Any sub-sequence  $\tau' = s_i \xrightarrow{l_{i+1}} \cdots \xrightarrow{l_n} s_n$  for some  $i, n \in \mathbb{N}_{+0}$  and  $i \leq n$ is a *sub-trace*. The set of traces of  $\mathcal{M}$  is denoted  $traces(\mathcal{M})$ . The set of states visited along a trace  $\tau$  is denoted  $states(\tau)$ . Refer to Supplemental Section S1 for traces from the running example. A state s is called *reachable* from initial state  $s_0$  if  $s \in states(\tau)$  for some trace  $\tau$ . Note that our semantics guarantees that any reachable state s has a successor state s'. State s is a post clock transition (PCT) state if  $s' \stackrel{clock}{\rightarrow} s$  for some state s'. Given a PCT state s, a stable state s' is a *next stable state* of s, denoted NSS(s), if there exists a sub-trace  $\tau' = s \xrightarrow{l_1} \cdots \xrightarrow{l_n} s'$  (for some  $n \in \mathbb{N}$ ) such that none of the labels  $l_1, l_2 \cdots l_n$  are of the types begin(a), end(a) or clock for all actors  $a \in aset$ . Our semantics guarantees that for any PCT state s there is a unique next stable state NSS(s) (refer to Supplemental Section S2 for formal reasoning). A PCT state s corresponds to the beginning of a clock cycle, and NSS(s) corresponds to the end of that cycle. If  $s = (\cdot, v)$  is a PCT state where  $\forall a \in aset, v(a) = \emptyset$ , then s is a stable state, and is a next stable state of itself. This corresponds to a situation when no actor has fired, and hence no read transition is enabled.

Given a trace  $\tau$ ,  $all(\tau)$  is the set of traces generated by

<sup>&</sup>lt;sup>1</sup>We denote integers by  $\mathbb{Z}$ , natural numbers (without 0) by  $\mathbb{N}, \mathbb{N} \cup \{0\}$  by  $\mathbb{N}_{+0}$ , and binary numbers by  $\mathbb{B} = \{0, 1\}$ .

 $<sup>^{2}\</sup>emptyset$  and  $\varnothing$  denote empty set and empty mapping, respectively.  $^{3}$  The definition of state is inspired by the definition used in [16], but differs in several respects. In particular, the flag  $\kappa$  is necessary to track read and write activities at each clock cycle. This is not an issue in [16] since in CSDF reads and writes occur at the beginning and at the end of firings and not at arbitrary times during a firing, as in SDF-AP.

Table 1: State Transitions (transition  $\delta = s \stackrel{l}{\rightarrow} s', s = (\gamma, v), s' = (\gamma', v')$ )

Type	Label $l$	Precondition	Action					
begin fire	begin(a)	s is stable	$v'(a) = v(a) \uplus \{(0, \bot)\}, v'(a' \neq a) = v(a')$					
end fire	end(a)	s is stable, and $(et(a), \perp) \in v(a)$	$\upsilon'(a) = \upsilon(a) \setminus \{(et(a), \bot)\}, \upsilon'(a' \neq a) = \upsilon(a')$					
clock	clock	$\cdot$	$\forall a \in aset, \text{ if } v(a) = \emptyset, \text{ then } v'(a) = \emptyset$					
		s is stable $\#(\gamma, \upsilon) \longrightarrow$	else each $(i, \perp) \in v(a)$ is updated to $(i + 1, r) \in v'(a)$					
read	read(a)	$(i,r)\in \upsilon(a)$	$\gamma' = \gamma - \gamma^R_{a,i}, v'(a) = v(a) \setminus \{(i,r)\} \uplus \{(i,w)\}, v'(a' \neq a) = v(a')$					
write	write(a)	$(i,r) \in v(a)$	$\gamma' = \gamma + \gamma_{a,i}^W, v'(a) = v(a) \setminus \{(i,w)\} \uplus \{(i,\bot)\}, v'(a' \neq a) = v(a')$					

model  $\mathcal{M} = (aset, cset)$ , actors  $a, a' \in aset$ , and  $\forall$  and  $\setminus$  denote multiset union and difference

combining  $\tau$  with all possible sub-traces between all the PCT states of  $\tau$  and their corresponding NSS states.  $all(\tau)$  can be seen as a set of traces, but also as a transition system, which is a part of the transition system of the model. The set of states in  $all(\tau)$  is denoted as  $states(all(\tau))$ .

SDF-AP actors are auto-concurrent, i.e., multiple instances of an actor can execute simultaneously. However this may not be feasible in practice due to restrictions like finite resources, IP block properties etc. Such constraints are captured through initiation interval  $ii \in \mathbb{N}_{+0}$  which specifies the minimum time between two firings of an actor. If  $ii \geq et$ , then actor execution cannot be concurrent; otherwise actors can execute in parallel. If ii is specified for an actor, then enabling condition of a begin fire transition should check that the state is stable, and ensure that a minimum of iiclock cycles has passed after the latest firing of the actor.

#### 4. MODEL PROPERTIES

Interesting properties for standard SDF/CSDF models are *deadlock/livelock-freedom* (can the model execute with some/all actors firing infinitely often?), *boundedness* (can the model execute forever with finite buffers?), etc. In this section we define properties similar in spirit for SDF-AP.

DEFINITION 4.1. A trace  $\tau$  is live if both  $\stackrel{begin(a)}{\longrightarrow} \forall a \in aset$ and  $\stackrel{clock}{\longrightarrow}$  appear infinitely often in  $\tau$ .

The semantics of SDF-AP allows token counts to be negative. Hence, every model has live traces. In reality buffers cannot have negative token count. However, there is an interesting situation where a trace models an implementable behavior, even though the trace visits states with negative token counts. Consider a channel c whose token count becomes -1 between a PCT state s and NSS(s). This implies a situation c is empty and an actor writes to c while another actor reads from c at the same clock cycle. If the read happens before the write (our asynchronous semantics allows that) c will have a (transient) negative token count of -1. This scenario can however be implemented with a fast buffer that allows writing and reading a token in the same cycle. A model that can be executed without a fast buffer is strongly executable, otherwise, it is weakly executable.

DEFINITION 4.2. An SDF-AP model  $\mathcal{M}$  is weakly executable if there exists a live trace  $\tau \in traces(\mathcal{M})$  such that  $\forall s = (\gamma, \cdot) \in states(\tau), \ \gamma \geq 0$ .  $\mathcal{M}$  is strongly executable if there exists a live trace  $\tau \in traces(\mathcal{M})$  such that  $\forall s = (\gamma, \cdot) \in states(all(\tau)), \ \gamma \geq 0$ .

We distinguish between executability and *bounded* executability. The former only captures problems of negative token counts (i.e., deadlocks or livelocks in standard SD-F/CSDF parlance). Bounded executability is stronger and requires in addition ability to execute with bounded buffers.

DEFINITION 4.3. An SDF-AP model  $\mathcal{M}$  is bounded weakly (resp. strongly) executable if  $\exists \beta \in \mathbb{N}_{+0}$  and live trace  $\tau$  such that (1)  $\mathcal{M}$  is weakly (resp. strongly) executable with respect to  $\tau$ , and (2)  $\forall s \in \text{states}(\tau)$ (resp. states(all( $\tau$ ))),  $\gamma(s) \leq \beta$ .



Figure 2: Liveness, Executability and Boundedness

Fig. 2(a) is not executable as any trace generated will always have negative token counts on channels. Note that the synchronous semantics would be unable to detect the deadlock, as the channel counts are 0 at all stable states. With an initial token in one of the channels (Fig. 2(b)) the model is strongly executable. Similarly Fig. 2(c) is not executable. However with a token on channel c65, the model (Fig. 2(d)) is weakly executable for the following reason. Consider a cycle when a5 fires (with the token from c65) and a6 is idle; a5 consumes 1 token and produces 1 token, i.e., token count on c65 and c56 are 0 and 1, respectively. In the next cycle a5 can continue executing as it does not need any token, and a6 starts firing by consuming the token from c56; at the end of the cycle, token count on c65 and c56 are 1 and 0, respectively. In the following cycle, a5 consumes 1 token from c65, and produces 1 token on c56. Actor a6 (in the second cycle of its execution) consumes the token and continues execution. Note that this scenario is possible as the token produced by a5 can be consumed by a6 in the same cycle thus making the model weakly executable. Fig. 2(e) is strongly executable as a7 has execution time of 1, and hence can wait indefinitely between firings until required amount of token has been generated in the incoming channel. Fig. 2(b), (d) and (e) are bounded. Fig. 2(f) is strongly executable but not bounded.

We define throughput for bounded executable traces and models. Throughput  $\Gamma(\mathcal{M}, a, \tau)$  of an actor a, for a bounded executable trace  $\tau$  of a model  $\mathcal{M}$ , is the average rate of firing of the actor a in  $\tau$ . In a model, one is typically interested in the throughput of certain actors, e.g., certain sources or sinks. We assume that a is such a fixed actor for given model  $\mathcal{M}$ , and we denote  $\Gamma(\mathcal{M}, \tau) = \Gamma(\mathcal{M}, a, \tau)$  to be the throughput of the model for the trace  $\tau$ . The optimal throughput of the model is then  $\Gamma(\mathcal{M}) = \max_{\tau \in T} \{\Gamma(\mathcal{M}, \tau)\}$ , where T is the set of all bounded executable traces of the model.

## 5. ALGORITHMS FOR STATIC ANALYSIS

We now show that bounded strong executability is *decid-able* by providing algorithms to check the property. As we are concerned with strong executability, synchronous semantics suffice, with the benefit of making analysis efficient.

#### 5.1 Boundedness

A model is bounded if it can be executed without termination using buffers with finite capacity. In SDF models, bounded execution is verified by proving that the model is sample rate consistent [13]. An SDF model is sample rate consistent if there exists a fixed non-zero number of firings for each actor, called the *repetitions vector*, such that executing these firings reverts the model to its original state.

The concept of sample rate consistency can be applied to check boundedness of SDF-AP models. If the underlying SDF model is sample rate consistent, then there exists a nonzero repetitions vector  $r : aset \to \mathbb{N}$  such that the number of tokens produced and consumed on each channel is balanced, i.e.  $\forall c \in cset, r(a)ot(c) = r(a')it(c)$ , where a and a' are the producing and consuming actors of c. The repetitions vector provides a recipe for a non-terminating periodic execution of the SDF-AP model in bounded memory.

#### 5.2 Bounded Executability

The concept of bounded executability can be translated to the model being bounded and deadlock free. An SDF model is deadlock free if it can be executed without interruption for one full *iteration* (in which each actor fires as many times as specified in the repetitions vector). The algorithmic solution is to compute a *self timed schedule* for one iteration (in which an actor fires as soon as all its input tokens are available) [1].

However, for SDF-AP models, the underlying SDF being deadlock free is a sufficient but not necessary condition. For SDF-AP models, it may be necessary to fire an actor before all tokens are available. Consider the SDF-AP model in Fig. 2(d). The underlying SDF model is deadlocked. But in the SDF-AP model, *a*5, which has a consumption access pattern of [101], can begin firing and consume the initial token. Hence, the SDF-AP model is bounded executable though the underlying SDF model is deadlocked.

We formalize the problem of checking bounded executability of SDF-AP models. The objective is to determine start times for actor firings that respect data dependence and access patterns. Let r be the repetitions vector of a bounded SDF-AP model  $\mathcal{M}$ . An actor *a* must produce r(a)ot(c) tokens on output channel  $c \in oc$  in one iteration. For each token, we associate a firing index fpand time offset op to characterize when it is produced:  $\forall a \in aset, c \in oc(a), n \in \mathbb{N}, fp(a, c, n) = \lceil n/ot(c) \rceil,$ and  $op(a, c, n) = \theta(pp(c), (n \mod ot(c)) + 1)$ , where  $\theta$ :  $\{pp(c)\} \times \{1..ot(c)\} \rightarrow \mathbb{N}_{+0}$  is the offset from the start of a firing when a token is produced. E.g., given pattern pp = [11001] for an actor that produces 3 tokens in 5 cycles,  $\theta(pp, 1) = 0, \theta(pp, 2) = 1, \theta(pp, 3) = 4$ . We similarly characterize the firing index fc(a, c, n) and offset oc(a, c, n) at which a token on an input channel is consumed by substituting ot(c) by it(c) and pp(c) by cp(c) in the prior equations.

We present a constraint system to determine if an SDF-AP model is bounded executable. The variables are the start times of actor firings:  $x(a,i) \in \mathbb{Z}, \forall a \in aset, \forall i \in \{1..., r(a)\}$ . The dependencies in start times are encoded as  $(\gamma_0(c))$  is the number of initial tokens on channel c):

$$\forall c \in cset, \forall n \in \{\gamma_0(c) + 1, \dots, r(a)ot(c)\} \\ x(a, fp(a, c, n - \gamma_0(c))) + op(a, c, n) + 1 \leq \\ x(a', fc(a', c, n)) + oc(a', c, n)$$

These constraints are all of the form  $x_1 - x_2 \leq k$ , where  $x_1$  and  $x_2$  are variables and k is a constant. Such a system of difference constraints can be solved by encoding it as a problem of finding shortest paths in a weighted directed graph [3]. The Bellman-Ford algorithm is applied to solve the shortest path problem. Two outcomes are possible: (a) Bellman-Ford returns the delay of the shortest path to each vertex, or (b) Bellman-Ford detects a negative cycle proving that the constraint system is infeasible. Outcome (a) corresponds to the SDF-AP model being bounded executable. The shortest path delays correspond to valid start times for all actor firings in one iteration. Outcome (b) proves that the SDF-AP model is not bounded executable. Thus, this translation to a well-known graph theoretic problem provides an effective mechanism to check bounded executability.

The number of constraints is equal to the total number of firings in one iteration, which is exponential in the worst case in the number of actors in the model. However, the problem of checking whether an SDF model deadlocks also incurs the same complexity [12]. As our experiments show, this is a feasible solution method for practical SDF-AP models.

#### 5.3 Throughput and Buffer Sizing

We address the problem of checking if a bounded executable SDF-AP model meets a specified throughput  $\Gamma$ . The constraint formulation can be extended to solve this problem. Intuitively, the throughput constraint is an upper bound on the time between successive firings of an actor. This can be expressed as a difference equation of the form  $x(a, i+1) - x(a, i) \leq 1/\Gamma$ . The constraint system can still be solved as a shortest path problem. The optimal throughput of the model can be computed by a binary search over the range of feasible values for  $\Gamma$ .

Further, the constraint formulation can be repeatedly applied explore buffer sizes for channels to meet a specified throughput. The buffer size of a channel can be encoded as a back edge with initial tokens corresponding to the size [16]. The solution approach is a search algorithm in which an outer loop fixes buffer sizes and the constraint formulation is analyzed to check throughput of each configuration. One direction of future work is to find efficient heuristics to guide exploration of buffer sizes for SDF-AP models.

#### 6. EXPERIMENTAL RESULTS

We evaluate the benefits of the SDF-AP model on several streaming applications (see Table 2). The first seven applications are SDF models of realistic FPGA implementations consisting of streaming hardware IP blocks. We compute the access patterns for the IP blocks from the cycle-level timing information in their datasheets. The other applications are benchmarks from the SDF<sup>3</sup> [17] analysis tool. For our experiments, we choose different amounts of buffer space for each application, and determine throughput (an average rate of firing of the actors) and latency (total duration of a single iteration of the model) using: (1) traditional SDF analysis using eager, self-timed symbolic simulation [16], and (2) our SDF-AP analysis using difference constraints.

Namo	#Actors,	Firings/	Optimal Thro-	Buffer	Throughput (Hz)		Latency $(\mu sec)$		Run-time (seconds)	
Ivame	#Channels	Iteration	ughput(Hz)	Space	SDF	SDF-AP	SDF	SDF-AP	SDF	SDF-AP
OFDM Ty 2mene	11, 14	585	833	14210	496	833	2051	1251	0.86	0.16
OF DW 1X 2118ps				15760	833	833	1314	1249	0.70	0.14
OFDM Tx 5msps	11, 14	585	2083	14210	783	2083	1309	537	0.70	0.11
OI DIM IX OHISPS				17928	2083	2083	594	535	0.67	0.11
OFDM Tx 25msps	9, 12	6723	6250	13858	1590	6250	682	205	0.99	0.23
or bin th comps				27294	6250	6250	282	203	0.97	0.22
	46, 66	107054	1667	8350	390	422	2684	2362	40.18	378.9
OFDM Rx Full				52716	1437	1573	760	699	39.65	308.9
				53584	1437	1667	734	697	39.85	305.65
OFDM Tx Full	17, 22	40400	1667	3572	510	1667	1994	658	9.69	25.29
ZeroPad 600	4, 4	2	48804	7	-	48804	-	20	0.01	0.003
	19, 28	28164	39032	12820	662	685	1510	1480	7.78	54.30
Van de Beek				21059	36141	39032	77	52	6.39	0.32
Van de Deek				12059	-	39032	-	52	0.18	0.34
				11112	-	-	-	-	0.15	11.25
MP3Decoder	14, 18	911	27	9264	17	27	66103	37017	0.16	0.28
ini obeccouci				10500	27	27	54344	37497	0.15	0.27
H263Decoder	4, 3	1783	15	596	14	15	68243	64831	0.15	0.01
11200200000				600	15	15	65361	65361	0.12	0.01
H263Encoder	5, 5	201	120	299	54	120	18548	8347	0.02	0.03
				301	81	120	12409	8314	0.02	0.05

Table 2: Throughput and latency analysis for different applications for given buffer spaces

Table 2 summarizes the results. For each model, we specify the number of actors, channels, firings per iteration, and optimal throughput (assuming unbounded buffers). The throughput is relative to a sink actor which has a repetition count of one. Then for each model, throughput and latency analysis is done by bounding the buffer space. Rest of the columns compare throughput, latency and CPU run-time for the two models. We observe that in all cases SDF-AP models have higher throughput and lower latency. In two cases, the SDF model is deadlocked (denoted by "-"), though the SDF-AP model is not.

While run-time for a majority of examples is better for the SDF-AP model, there are instances for which the runtime is worse than the underlying SDF model. The SDF-AP analysis uses a novel algorithmic method based on difference constraints, which can be further optimized for better performance. Independent of how efficiently SDF-AP can be analyzed, the main value lies in its expressiveness which allows to obtain better throughput and latency than SDF.

#### 7. CONCLUSIONS

The SDF-AP model aims to strike a balance between the analyzability of SDF-like models while accurately capturing the interface timing behavior by including access patterns. In this paper, we formalize the SDF-AP model, discuss its operational semantics, and define executability and boundedness properties. We also present algorithms to check these properties. The experimental results validate their performance. As future work, we will develop an analysis framework to automatically reason about these properties, and further investigate the effectiveness of SDF-AP as an abstraction for hardware synthesis.

#### 8. **REFERENCES**

- S. S. Bhattacharyya, P. K. Murthy, and E. A. Lee. *Dataflow Graphs*. Kluwer Academic Press, Norwell, MA, 1996.
- [2] G. Bilsen, M. Engels, R. Lauwereins, and J. Peperstraete. Cyclo-static data flow. In *IEEE Intl. Conf. Acoustics, Speech,* and Signal Processing, 1995.
- [3] T. H. Cormen, C. Stein, R. L. Rivest, and C. E. Leiserson. Introduction to Algorithms. McGraw-Hill Higher Education, 2nd edition, 2001.

- [4] M. Edwards and P. Green. The Implementation of Synchronous Dataflow Graphs Using Reconfigurable Hardware. In FPL, 00.
- J. Eker, J. W. Janneck, E. A. Lee, J. Liu, X. Liu, J. Ludvig, S. Neuendorffer, S. Sachs, and Y. Xiong. Taming Heterogeneity - The Ptolemy Approach. *Proc. of IEEE*, 91(1):127–144, 2003.
- [6] A. Girault, B. Lee, and E. Lee. Hierarchical finite state machines with multiple concurrency models. *IEEE Trans. Computer-Aided Design*, 18(6):742–760, 1999.
- [7] C.-J. Hsu, M.-Y. Ko, and S. S. Bhattacharyya. Software Synthesis from the Dataflow Interchange Format. In Intl. Worksop on Software and Compilers for Embedded Processors, 2005.
- [8] J. W. Janneck, I. D. Miller, D. B. Parlour, G. Roquier, M. Wipliez, and M. Raulet. Synthesizing hardware from dataflow programs. J. Signal Process. Syst., 2009.
- [9] H. Jung, H. Yang, and S. Ha. Optimized RTL Code Generation from Coarse-Grain Dataflow Specification for Fast HW/SW Cosynthesis. J. Signal Process. Syst., 52(1):13–34, 2008.
- [10] R. Lauwereins, M. Engels, M. Adé, and J. A. Peperstraete. Grape-II: A System-Level Prototyping Environment for DSP Applications. *Computer*, 28(2):35–43, 1995.
- [11] E. A. Lee. Concurrent models of computation for embedded software. Technical Report UCB/ERL M05/2, EECS Department, University of California, Berkeley, Jan 2005.
- [12] E. A. Lee and D. G. Messerschmitt. Static Scheduling of Synchronous Data Flow Programs for Digital Signal Processing. *IEEE Trans. on Computers*, 36(1):24–35, 1987.
- [13] E. A. Lee and D. G. Messerschmitt. Synchronous Data Flow. Proc. of the IEEE, 75(9):1235–1245, 1987.
- [14] O. M. Moreira and M. J. G. Bekooij. Self-Timed Scheduling Analysis for Real-Time Applications. EURASIP Journal on Advances in Signal Processing, 2007(83710):1–15, April 2007.
- [15] P. Poplavko, T. Basten, M. Bekooij, J. van Meerbergen, and B. Mesman. Task-level Timing Models for Guaranteed Performance in Multiprocessor Networks-on-Chip. In CASES, 2003.
- [16] S. Stuijk, M. Geilen, and T. Basten. Throughput-buffering trade-off exploration for cyclo-static and synchronous dataflow graphs. *IEEE Trans. Computers*, 57(10):1331–1345, 2008.
- $[17]\,$  S. Stuijk, M. C. Geilen, and T. Basten.  ${\rm SDF}^3\colon {\rm SDF}$  For Free. In Proc.~of~ACSD,~2006.
- [18] B. D. Theelen, M. C. W. Geilen, T. Basten, J. P. M. Voeten, S. V. Gheorghita, and S. Stuijk. A scenario-aware data flow model for combined long-run average and worst-case performance analysis. In *Proc. of MEMOCODE*, 2006.
- [19] S. Tripakis, H. Andrade, A. Ghosal, R. Limaye, K. Ravindran, G. Wang, G. Yang, J. Kornerup, and I. Wong. Correct and non-defensive glue design using abstract models. In *Proc. of* the CODES+ISSS, 2011.
- [20] M. H. Wiggers, M. J. G. Bekooij, and G. J. M. Smit. Efficient Computation of Buffer Capacities for Cyclo-Static Dataflow Graphs. In *Proc. of DAC*, 2007.

## S1: Supplemental Section 1

The SDF-AP model  $\mathcal{M}$  of the example introduced in Section 3 is  $\mathcal{M} = (aset, cset)$  where  $aset = \{i1, i2, bs, o\}$  and  $cset = \{c1, c2, c3\}$ . The actors are defined as follows:

- $i1 = (\emptyset, \{c1\}, \emptyset, \{c1 \mapsto 1\}, 2, \emptyset, \{c1 \mapsto 01\})$
- $i2 = (\emptyset, \{c2\}, \emptyset, \{c2 \mapsto 3\}, 4, \emptyset, \{c2 \mapsto 1101\})$
- $bs = (\{c1, c2\}, \{c3\}, \{c1 \mapsto 2, c2 \mapsto 4\}, \{c3 \mapsto 6\}, 6, \{c1 \mapsto 110000, c2 \mapsto 001111\}, \{c3 \mapsto 111111\})$
- $o = (\{c3\}, \emptyset, \{c3 \mapsto 6\}, \varnothing, 6, \{c3 \mapsto 111111\}, \varnothing)$

In the running example, there are no initial tokens. So the initial state  $s_0 = (\gamma_0, v_0)$  where  $\gamma_0 = (\{c1 \mapsto 0, c2 \mapsto 0, c3 \mapsto 0\}$ , and  $v_0 = \{i1 \mapsto \emptyset, i2 \mapsto \emptyset, bs \mapsto \emptyset, o \mapsto \emptyset\}$ ).

Figure 3 shows a possible sub-trace with one complete firing of actor i1. The start state remains the same as above. For the rest of the states, only the token count for c0 and the instance information for i1 change; hence only those values have been shown. The trace starts with a begin(i1) transition which adds one instance of actor i1 to the instance information in state s1. A clock transition updates the instance of i1 to read stage. i1 being a source actor, no token is consumed, and the read transition between states s2 and s3 does not change token counts. A write transition for i1from state s3 does not change token count as the output pattern for i1 is 01, and one clock cycle has passed after the begin fire transition. A clock transition from s4 increases the execution time info for running instance of i1 to 2. A read transition from s5 does not change any token count, but a write transition from s6 produces one token on channel c1, and the token count for the channel is updated to 1. An end transition at state s7 removes the running instance of i1. Figure 4 shows a possible sub-trace where both i1and i2 fires. The start state s0 remains the same. In the remaining states, only the token counts for c0 and c1, and the instances for i1 and i2 change.

#### S2: Supplemental Section 2

LEMMA 8.1. Any reachable state has a successor state.

PROOF. Consider a reachable state s which does not have a successor state. Given that s is reachable, there must be a transition  $s'' \stackrel{l}{\rightarrow} s$  for some transition label l. If s is stable (i.e., either l = begin(a)|end(a) for some  $a \in aset$ , or l = clock but none of the actors are executing, or l = write(a) with no further write transitions possible), then a begin fire transition, or an end fire transition (if precondition is met), or a clock transition (if no end transitions are enabled) are possible from state s. If l = read(a)|write(a)and s is unstable, then at least one read or write transition is enabled at s; if s is stable, then see above. Hence at least one transition is enabled at s, and thus s must have a successor state.  $\Box$ 

THEOREM 8.2. For any PCT state s, NSS(s) is unique.

PROOF. Let PCT state  $s = (\gamma, v)$  has multiple next stable states. The possible scenarios are discussed below.

Scenario 1: If  $\forall a \in aset, v(a) = \emptyset$ , then s is a NSS(s) by definition. Hence NSS(s) is unique for this scenario.

Scenario 2: If  $v(a) = \{(e, r)\}$   $(e \in \mathbb{N})$ , and  $\forall a' \in aset \setminus \{a\}, v(a') = \emptyset$ , then a possible sub trace from s is  $\tau' = s \xrightarrow{read(a)} s' \xrightarrow{write(a)} s''$ . The first stable state in the trace is

s'' and is NSS(s). There are no other transitions possible for s and s'. Hence NSS(s) is unique for this scenario. Without loss of generality, the same argument can be made for any other actor  $a' \in aset \setminus \{a\}$ .

Scenario 3: If  $v(a) = \{(e, r), (e', r)\}$   $(e, e' \in \mathbb{N})$ , and  $\forall a' \in aset \setminus \{a\}, v(a') = \emptyset$ , there are several possible sub traces starting from s. We will first consider the traces where all read transitions precede all the write transitions. where all read transitions precede all the write transitions. Consider the trace  $\tau : s \xrightarrow{read(a)} s_1 = (\gamma_1, v_1) \xrightarrow{read(a)} s_2 = (\gamma_2, v_2) \xrightarrow{write(a)} s_3 = (\gamma_3, v_3) \xrightarrow{write(a)} s_4 = (\gamma_4, v_4)$  where  $v_1(a) = \{(e, w), (e', r)\}, v_2(a) = \{(e, w), (e', w)\}, v_3(a) = \{(e, \bot), (e', w)\}, and v_1(a) = \{(e, \bot), (e', \bot)\}$ . The channel quantity is computed as  $\gamma_4 = \gamma + \gamma_{a,e}^R + \gamma_{a,e'}^R - \gamma_{a,e'}^R - \gamma_{a,e'}^W$ . The states  $a_1 = a_2$  are unstable and  $s_4$  is a NSS(s) Note The states  $s_1, s_2, s_3$  are unstable, and  $s_4$  is a NSS(s). Note that different order of the two reads and the two writes (but all reads preceding all writes) will lead to three other traces starting from s; all of which will have three unstable states (excluding s) and one stable state at the end. The value of v in the stable states will be identical to  $v_4$ . And given that ordering of addition and subtraction does not matter, the channel quantities for the stable states will be identical to that of  $\gamma_4$ . Next we will consider the traces where the reads and writes are interspersed. Without loss of generality, reads and writes are interspersed. Without loss of generatory, consider the trace  $\tau': s \xrightarrow{read(a)} s'_1 = (\gamma'_1, v'_1) \xrightarrow{write(a)} s'_2 = (\gamma'_2, v'_2) \xrightarrow{read(a)} s'_3 = (\gamma'_3, v'_3) \xrightarrow{write(a)} s'_4 = (\gamma'_4, v'_4)$  where  $v'_1(a) = \{(e, w), (e', r)\}, v'_2(a) = \{(e, \bot), (e', r)\}, v'_3(a) = \{(e, \bot), (e', w)\}, \text{ and } v'_4(a) = \{(e, \bot), (e', \bot)\}.$  The channel quantity is computed as  $\gamma'_4 = \gamma + \gamma^R_{a,e} - \gamma^W_{a,e} + \gamma^W_{a,e'} - \gamma^W_{a,e'}$ . The states  $s' \xrightarrow{s'} s'$  are unstable and s' is a NSS(s) Using The states  $s'_1, s'_2, s'_3$  are unstable, and  $s'_4$  is a NSS(s). Using the same logic as above,  $s'_4 = s_4$ . Note that if the order of the read-write combination is changed, there will be a new sub trace with three unstable state followed by a stable state. However the value of channel quantity and execution map at the unstable state will be identical to  $s'_4$  and thus to  $s_4$ . Hence NSS(s) is unique under this scenario, but there are many possible sub traces between the states s and NSS(s). The argument remains the same, if more than two instances of the actor is executing, or actor a is replaced by any other actor  $a' \in aset \setminus \{a\}$ .

Scenario 4: If  $a, a' \in aset, v(a) = \{(e, r)\}, v(a') = (e', r)\}$ (e,  $e' \in \mathbb{N}$ ), and  $\forall a'' \in aset \setminus \{a, a'\}, v(a'') = \emptyset$ , then the argument above can be extended to show that there are multiple possible sub traces starting from s. In each such sub trace, there are three unstable states (excluding s) followed by a stable state. The four states are generated by two read transitions and the two corresponding write transitions. The transitions can be interspersed in any order, but the channel quantity and the execution map would be identical for the stable state along all of the sub traces implying that NSS(s) is unique for this scenario.

The arguments discussed for the last three scenarios can be extended for arbitrary number of active actors with arbitrary number of instances.  $\hfill\square$ 

COROLLARY 8.3. For a trace  $\tau$ , the set of stable states of  $\tau$  is identical to the set of stable states in  $all(\tau)$ .

PROOF. Every PCT state s has an unique NSS(s) (Lemma 3.3). This implies that generating sub traces between s and NSS(s) do not affect any state before s or any state after NSS(s). States on sub traces between s and NSS(s) are all unstable. Hence generating the sub traces neither adds nor removes any stable state.  $\Box$ 



Figure 3: A sub trace with one complete firing of actor i1



Figure 4: A sub trace where actors i1 and i2 fire