Steric engineering of metal-halide perovskites with tunable optical band gaps

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Owing to their high energy-conversion efficiency and inexpensive fabrication routes, solar cells based on metal-organic halide perovskites have rapidly gained prominence as a disruptive technology. An attractive feature of perovskite absorbers is the possibility of tailoring their properties by changing the elemental composition through the chemical precursors. In this context, rational *in silico* design represents a powerful tool for mapping the vast materials landscape and accelerating discovery. Here we show that the optical band gap of metalhalide perovskites, a key design parameter for solar cells, strongly correlates with a simple structural feature, the largest metal-halide-metal bond angle. Using this descriptor we suggest continuous tunability of the optical gap from the mid-infrared to the visible. Precise band gap engineering is achieved by controlling the bond angles through the steric size of the molecular cation. Based on these design principles we predict novel low-gap perovskites for optimum photovoltaic efficiency, and we demonstrate the concept of band gap modulation by synthesising and characterising novel mixed-cation perovskites.

Hybrid organic-inorganic perovskites $1-4$ $1-4$ recently surged to worldwide attention following the report of highly efficient solar cells based on methylammonium lead halides $5-8$ $5-8$. In fact, within less than two years of development, the photovoltaic energy-conversion efficiency of these devices increased from the initial 10.9% ^{[5](#page-14-2)} to the current record of 17.9% (NREL). This impressive trend fuelled the expectation that perovskite photovoltaics may soon revolutionise the solar energy landscape.

Given the unusually long lifetimes of the photoexcited carriers $9-11$ $9-11$ and the relatively low optical gap $12, 13$ $12, 13$, it has been proposed that the perovskite layer may act both as the light absorber and as the electron and hole transporter 14 , similarly to bulk semiconductor solar cells. Within this scenario, it is expected that the energy-conversion efficiency can be increased by following the standard Schockley-Queisser analysis, that is by reducing the optical band gap to values near 1.3 -1.4 eV 15 15 15 .

Substantial efforts are currently underway to optimise these solar cells by modifying the metalhalide network or the organic cation $5, 12, 13, 16-18, 20-22$ $5, 12, 13, 16-18, 20-22$ $5, 12, 13, 16-18, 20-22$ $5, 12, 13, 16-18, 20-22$ $5, 12, 13, 16-18, 20-22$ $5, 12, 13, 16-18, 20-22$ $5, 12, 13, 16-18, 20-22$. A major challenge in this research area is that, on the experimental front, the structural, electronic, and optical properties of metal-organic perovskites are very sensitive to processing conditions. Similarly, computational modelling studies appear sensitive to the level of theory and calculation details. This state of affairs suggests that it may be convenient to shift the focus from the investigation of a few prototypical perovskites to global searches relying on rational design approaches.

In this work we propose that, instead of modifying the metal-halide network as already done in Refs. ^{[12,](#page-15-3) [13](#page-15-4)}, it could be advantageous to start from MA-PbI₃ (MA = CH₃NH₃), and modify its electronic and optical properties without altering the PbI₃ network. This strategy would allow us to investigate potential improvements while retaining highly desirable features such as long carrier lifetimes $9,11$ $9,11$. In the following, using a combination of geometric arguments and first-principles calculations, we demonstrate that it should indeed be possible to modulate the optical gap of leadiodide perovskites over almost an electronvolt by acting on the Pb-I-Pb bond angles in the $PbI₃$ network. These angles, in turn, can be tailored using cations of different sizes, without altering the metal-halide chemistry.

Results

Platonic model of metal-halide perovskites $MA-PbI₃$ belongs to the family of perovskites of chemical formula ABX_3 . The simplest possible ABX_3 perovskite consists of a high-symmetry cubic structure belonging to the space group $Pm\bar{3}m$, as shown in Fig. [1a](#page-22-0) ^{[23](#page-16-4)}. In this structure the halogen atoms $X=I$ occupy the vertices of regular corner-sharing BX_6 octahedra, while the divalent metal atoms B=Pb sit at the centres of the octahedra. The smallest volume enclosed by neighboring octahedra defines a cuboctahedral cavity and hosts the monovalent cation $A=CH_3NH_3$. All the other possible perovskite structures can be imagined as obtained by rotating or distorting the BX_6 octahedra, displacing the B metal atoms off-centre, and rotating the A cations within the cuboctahedral cavity 2^3 .

Fig. [1b](#page-22-0) shows the electronic band structure of $MA-PbI₃$, which represents the starting point of our investigation. Here we see that the electronic states at the top of the valence bands are of halide *p*-character, while those at the bottom of the conduction band are mainly derived from the metal p states. At the same time the electronic states associated with the cation define bonding and antibonding bands arranged symmetrically around the band gap and located several electronvolts away from the band edges. An analysis of the electronic charge density shows that, as expected, the cation is singly ionised, and the PbI_3 network is negatively charged $24-26$ $24-26$. These observations suggest that the electronic structure of these metal-organic perovskites may be described by removing the cation from the structural model and compensating the negative charge of the $PbI₃$ network using a positive background. Fig. [1b](#page-22-0) shows that the band structure obtained within this approximation is in good agreement with that from a complete calculation. The removal of the cation is especially useful since it eliminates the complications associated with the observed orientational disorder ^{[12,](#page-15-3) [16,](#page-15-7) [27,](#page-17-0) [28](#page-17-1)}. Another important observation can be made by inspecting X-ray diffraction data, as reported for example in Ref. [16](#page-15-7). In fact, from the structural parameters reported in that work we deduce that the Pb-I bond lengths are extremely regular, the largest relative deviation from the average value of 3.18 Å being 0.1%. Furthermore, the diagonals of the PbI₆ octahedra are almost perpendicular to each other, the maximum deviation from right angles being of 4◦ . Therefore, to a good approximation the PbI_6 octahedra may be considered as Platonic solids carrying the full O_h symmetry.

Based on these considerations we set to build a 'Platonic' model of metal-halide perovskites, consisting of regular corner-sharing PbI_6 octahedra and no cation, as shown in Fig. [1d](#page-22-0). In order to construct our model we start from a single regular octahedron in a orthorhombic unit cell^{[16](#page-15-7)}, with one diagonal parallel to the c axis, and the four perpendicular edges parallel to the axes a and b . In the reference frame of the octahedron this diagonal and its perpendicular edges define the apical and equatorial directions, respectively. Within this model, the most general perovskite structure is obtained by three successive rotations around c, a, and c again using the standard Euler angles^{[29](#page-17-2)}, as shown in Fig. [1c](#page-22-0): the spinning ψ around the apical direction, the tilt θ around the a axis, and the precession ϕ around the c axis. After these rotations, the location and orientation of all the remaining octahedra in the orthorhombic unit cell are completely determined by the corner-sharing connectivity, the metal-halide bond length, and the periodicity of the crystal structure. The details

of this geometric construction are given in the Methods.

An immediate result of our construction is that the only way to ensure corner-sharing connectivity is by having a vanishing spinning angle ψ . This leaves us with only two independent angular parameters, θ and ϕ , which refer to a spherical system of coordinates clamped on the crystallographic unit cell. It is convenient to make a change of variables to intrinsic angular parameters which are independent on the choice of the unit cell. To this end we express θ and ϕ in terms of the metalhalide-metal bond angles α_a and α_e , as indicated in Fig. [1d](#page-22-0). α_a denotes the Pb-I-Pb bond angles along the apical direction, and α_e those along the equatorial direction. The relation between these intrinsic angles and the Euler angles is given in the Methods.

At this point the atomic structure of the Platonic perovskite model is uniquely determined by the choice of two metal-halide-metal bond angles and the metal-halide bond length. Given that the effect of bond length variations on the electronic and optical properties of these systems has been studied in detail, both theoretically^{[26](#page-16-6)} and experimentally^{[12](#page-15-3)}, our geometric analysis clearly directs attention to the role of the two inequivalent Pb-I-Pb angles.

Band gap and metal-halide-metal bond angle In Fig. [2](#page-23-0) we show the band gap of the Platonic model calculated using density functional theory (DFT) as a function of the apical and equatorial bond angles α_a and α_e . For definiteness we set the Pb-I bond length to the average value measured in Ref. [16](#page-15-7). The incomplete coverage of the parameter space in Fig. [2](#page-23-0) reflects the fact that only certain pairs of apical and equatorial angles are compatible with the corner-sharing connectivity, as discussed in the Methods. The extremal parameters of this map are, on the one side, $\alpha_a = \alpha_e =$ 180° (bottom left corner), corresponding to the most symmetric cubic perovskite structure with collinear Pb-I-Pb bonds. On the other side we have $\alpha_a = \alpha_e = 120^{\circ}$ (top right corner), which we have chosen by considering a limiting structure where the shortest distance between iodine atoms belonging to different octahedra matches the I₂ bond length ($\alpha_a = 0$ and $\alpha_e = 126^{\circ}$).

The variation of the angular coordinates in Fig. [2](#page-23-0) clearly induces a substantial modulation of the band gap, from the top of the mid-infrared (1.1 eV) to the beginning of the visible spectrum (1.9 eV). This trend is in line with earlier calculations on simpler two-dimensional Sn-I perovskites for solution-processable electronics [30](#page-17-3).

The qualitative trend shown in Fig. [2](#page-23-0) can be interpreted using elementary tight-binding arguments. If we consider for definiteness the bottom of the conduction band at Γ, which is most affected by bond angles, in the case of $\alpha_a = \alpha_e = 180^\circ$ there are three degenerate electronic states derived from the 6p orbitals of Pb (without considering spin-orbit coupling for simplicity; this coupling is fully included in all our final results presented in Fig. [3b](#page-24-0)). It is intuitive that the energy of these states results mainly from $pp\sigma$ bonding integrals since all the Pb-I bonds are collinear ^{[31](#page-17-4)}. By moving away from the bottom left corner, the degeneracy is lifted as the bond integrals acquire ppπ components weighted by the angles α_a and α_e . Both components tend to raise the energy levels, therefore the conduction band bottom results from the competition between the antibonding orbitals with the lowest energy along the apical or the equatorial direction. This is consistent with the approximate symmetry of the map in Fig. [2](#page-23-0) with respect to the line corresponding to $\alpha_a = \alpha_e$. More importantly this reasoning suggests that the band gap of the Platonic perovskite model is governed by the largest Pb-I-Pb bond angle in the metal-halide network, consistent with the trend in Fig. [2.](#page-23-0) This relation between band gap and bond angles holds unchanged when considering fully relativistic calculations including spin-orbit corrections. In fact, as shown in Supplementary Figure 1, relativistic effects induce a large but slowly-varying red shift of 0.8-1.1 eV across the family of compounds considered in this work. For the sake of completeness we also checked that excitonic effects play only a minor role, with exciton binding energies never exceeding 80 meV as shown by Supplementary Figure 2. Details on the calculation of exciton binding energies are given in the Supplementary Methods, and the results are discussed in the Supplementary Note 1.

After taking into account the presence of the cation and the variations in the Pb-I bond lengths and Pb-I-Pb angles, the calculated band gaps deviate from the idealised predictions in Fig. [2](#page-23-0) by 0.1-0.3 eV (see Fig. [3](#page-24-0) and discussion below). Nonetheless the general trend, which spans a range of up to 1 eV, is robust. This picture is also confirmed by relativistic GW calculations on a few selected compounds, as shown in Supplementary Figure 4. The trend identified here suggests that in order to make low-gap perovskites for optimum photovoltaic efficiency we need to engineer structures with minimal octahedral tilt. On the other hand, in order to make large-gap perovskites for light-emitting diodes operating in the visible, we need to design structures with maximum tilt. The remaining question is how the tilt angle can be controlled.

Controlling metal-halide-metal bond angles via the steric size of the cation The Pb-I-Pb bond angles define the volume of the cuboctahedral cavity, therefore it is intuitive that the use of larger cations may lead to values of α_a and α_e closer to straight angles^{[32](#page-17-5)}. In the search for such cations we perform DFT calculations for existing structures as well as for many hypothetical structures not considered hitherto, including full structural optimisations (atomic positions, unit cell, and lattice parameters) and spin-orbit interactions, in the presence of the cation (see Methods). In order to relate these structures and their properties to the predictions of the Platonic model, we consider the average values of the Pb-I-Pb bond angles and of the Pb-I bond length over a crystalline unit cell. These values are used as the coordinates needed for locating each structure on the map of Fig. [2.](#page-23-0)

Previously reported cations are methylammonium CH₃NH₃^{[16](#page-15-7)}, formamidinium CH₂(NH₂)^{+[12,](#page-15-3)17}, the alkali metal Cs^{+ [17](#page-16-7)} (synthesised) and ammonium NH_4^+ [33](#page-17-6)-35 (proposed). As shown in Fig. [2](#page-23-0) all these cations cluster around the centre of the map, therefore they are not expected to yield a significant modulation of the band gap. In line with this result their measured optical absorption onsets are very similar, within 0.25 eV 12,17 12,17 12,17 .

In order to explore a wider portion of the map we consider four different families of cations generated from those above. The first family consists of secondary, tertiary, and quaternary ammonium cations, namely di-, tri- and tetra-methylammonium. These are large molecules obtained by replacing hydrogen atoms bonded to the N atom with methyl groups. The second family is generated from ammonium by descending the pnictogen column in the periodic table, that is by substituting N for P, As, or Sb. Members of this family include phosphonium (PH_4^+), arsonium (AsH_4^+), stibonium (SbH₄⁺), and similarly the methylammonium analogues CH_3PH_3^+ , $\text{CH}_3\text{AsH}_3^+$ and $\text{CH}_3\text{SbH}_3^+$. The third family of cations that we consider is obtained by replacing hydrogen in ammonium by halogen atoms, and possibly nitrogen by another pnictogen: in this group we have NF_4^+ , NCl_4^+ , PF_4^+ , and PCI_4^+ . The fourth and final family simply consists of the alkali metals Li^+ , Na⁺, K⁺, and Rb⁺. In total we consider 22 structures, including 18 hypothetical compounds not reported to date.

In order to quantify the steric size of each cation, we use the radius of the sphere which contains 95% of the DFT electron density. This choice ensures that the steric radii of the alkali metals are in agreement with their standard ionic radii 3 . For completeness the calculated steric sizes are reported in Supplementary Table 1. Fig. [3](#page-24-0) shows that the steric radii of the cations considered in this work span a wide range, from 0.7 Å (Li^+) to 2.9 Å (PCl_4^+).

Our structural optimisations indicate that for some of the large cations the three-dimensional perovskite network is significantly distorted. This is in line with previous studies showing that large molecular cations determine a reorganisation of the three-dimensional (3D) perovskite network into a two-dimensional (2D) layered structure.^{[37,](#page-17-9) [38](#page-18-0)} These large molecules include tertiary and quaternary methylammonium cations, as well as the tetrafluoride NF_4^+ and the tetrachloride PCI_4^+ . As these structures depart substantially from the three-dimensional metal-halide network considered in this work, a separate analysis would be required. Accordingly we do not consider them further.

Fig. [3a](#page-24-0) shows that the largest Pb-I-Pb angles correlate strongly with the steric size of the cation (Spearman correlation 88%), in line with our initial expectation. The newly proposed structures span a range of angles from 130° to 170°, thereby covering a much wider portion of the band gap map in Fig. [2](#page-23-0) than presently possible. In addition Fig. [3b](#page-24-0) shows that, in agreement with the predictions of the Platonic model, the band gap correlates strongly with the largest Pb-I-Pb angle in the unit cell (Spearman correlation 91%). For completeness in Fig. [3b](#page-24-0) we report the band gaps obtained from this model as well as those calculated using the fully optimised structures within fully-relativistic DFT calculations. The scatter of the data which is clearly visible in Fig. [3b](#page-24-0) is mostly due to the variation of the Pb-I bond lengths in the metal-halide network. We calculated the correlation coefficient between band gap and bond lengths using the same data, and we obtained a very weak correlation (Spearman coefficient 9%). This test clearly indicates that the role of bond lengths represents a second-order effect, thereby providing further support to our Platonic model. Finally, Fig. [3c](#page-24-0) shows our main finding, namely that by suitably choosing the cation it is possible, at least in principle, to fine-tune the band gap almost continuously over a very wide range of photon energies.

Our analysis highlights several new promising structures, in particular perovskites with phosphonium (PH⁺), arsonium (AsH⁺), and stibonium (SbH⁺), all with band gaps ranging between 1.2 and 1.4 eV. These values fall in the middle of the range for optimum photovoltaic efficiency, and suggest that our new structures hold potential as novel solar cells materials. Besides the low gap, these perovskites are expected to exhibit higher mobilities than $MA-PbI₃$. In fact, as shown in Supplementary Figure 2a and 2b, the carrier effective masses decrease towards larger bond angles,

consistent with the concomitant reduction of the band gap. In addition to lower gaps and effective masses, large cations have the advantage that the filling of the cuboctahedral cavity should counter the known tendency of perovskite solar cells to degrade by water incorporation ^{[13](#page-15-4)}.

In order to evaluate the practical feasibility of the hypothetical perovskites identified in this work we searched for possible dynamical instabilities in one of the structures with the smallest band gap, AsH₄PbI₃ (NCl⁺ is less interesting due to safety concerns related to chlorides). This structure is especially interesting since the preparation could proceed through the corresponding iodide AsH₄I^{[39](#page-18-1)}, similarly to the case of methylammonium iodide CH_3NH_3I . As shown by Supplementary Figure 3, the vibrational density of states calculated using density-functional perturbation theory $¹$ $¹$ $¹$ </sup> does not exhibit any soft modes, thereby indicating that the 3D perovskite structure of AsH_4PbI_3 should be stable against distortions. More generally, in order to assess the stability of all the structures considered here, we move to a simple semi-empirical approach based on the Goldschmidt tolerance factor $t^{32,41}$ $t^{32,41}$ $t^{32,41}$ (see Methods for the definition of t). It is known empirically that 3D perovskites should form when the tolerance factor falls within a narrow range $t = 0.7 - 1.1^{32,41-43}$ $t = 0.7 - 1.1^{32,41-43}$. Fig. [4a](#page-25-0) shows that the tolerance factors derived from our calculated steric sizes falls within this range for most of the structures considered here. In particular, according to this criterion, all the cations up to Rb and K should be stable.

In order to demonstrate the band gap tunability we attempted the synthesis of perovskites based on elemental cations, building on our experience with $CsPbI₃$ ^{[17](#page-16-7)} (see Methods). As deposited, we observed that $CsPbI₃$ formed a pale phase with a wide bandgap. Upon annealing this structure turned into a dark phase with a band gap of 1.73 eV, which we identify as the 3D perovskite structure discussed in this work. The synthesis of films with cations smaller than Cs (Rb, K, Na, Li) yielded similar pale phases. However, upon annealing, some of the films degraded via sublimation before we could observe a dark phase as for $CsPbI₃$, suggesting that 3D perovskites were not formed. This finding is in agreement with our analysis of the Goldschmidt tolerance factor shown in Fig. [4a](#page-25-0). While the proposed 3D perovskites could possibly be synthesised using higher pressures or longer annealing runs at lower temperatures, here for the sake of simplicity we did not pursue this direction. Instead we considered an alternative approach and explored mixtures of cations, as suggested in Ref. [44](#page-18-5): if mixtures of Cs and smaller cations could gradually shift the bandgap towards the larger values, this would confirm our predicted tunabiliy via steric effects.

As a proof-of-concept we prepared perovskites with Rb/Cs cation mixtures, namely $Rb_xCs_{1-x}PbI₃$ with $x = 0$, $x = 0.1$, and $x = 0.2$ (never hitherto reported). Optical characterisation of these films, shown in Fig. [4b](#page-25-0), indicates that the absorption edge blue-shifts from 720 nm to 690 nm in going from Cs to Rb. This result is in excellent agreement with our calculations, which predict a corresponding blue shift of 40 nm.

Our findings are also in line with a recent study (which appeared while the present manuscript was under review) where the authors demonstrated band gap tunability via mixing of $CH_3NH_3^+$ Cs⁺mixtures 45 . Taken together our present results and those of Ref.^{[12,](#page-15-3) [17,](#page-16-7) [46](#page-18-7)} confirm the tunability of the band gap via the steric size of the cation over almost half of our predicted range, thereby providing strong support to our theory.

Discussion

In conclusion, by means of first-principles computational design we have established that it should be possible to continuously tune the band gap of lead-iodide perovskites over almost one electronvolt by controlling the octahedral tilt via the steric size of the cation. We identified the largest metal-halide-metal bond angle as a reliable descriptor of the band gap in these systems. Following the predictions of a geometric model we investigated many new potential candidate perovskites retaining the metal-halide network of methylammonium lead-iodide, including fourteen structures not reported to date. Prompted by our theoretical analysis, we proceeded to the experimental synthesis and characterization of novel mixed-cation $Rb_xCs_{1-x}PbI₃$ perovskites, and we confirmed our predicted band gap trends via optical spectroscopy.

Since our theory is based on very general considerations and its predictions are not linked to the underlying calculation method, it is expected that it will carry similar predictive power across other families of metal-halide perovskites. More fundamentally, the ability to control the band gap via the steric size of the cation, as proposed in this work, may become a new paradigm in the solutiondeposition of solar cells, light-emitting diodes, and photonic structures with layer-by-layer control of their optical properties.

Methods

Computational methods

All calculations were performed within the local density approximation to density functional theory [47,](#page-18-8) [48](#page-18-9) using planewaves and pseudopotentials, as implemented in the Quantum ESPRESSO software package ^{[49](#page-19-0)}. Only valence electrons were described explicitly, and the core-valence interaction was taken into account by means of ultrasoft pseudopotentials $50,51$ $50,51$ with non-linear core correction [52](#page-19-3). For Pb and I we used fully relativistic ultrasoft pseudopotentials. In the case of Pb we included the 5d semicore states, and for Sb, Rb, and Cs we used norm conserving pseudopoten-tials ^{[53](#page-19-4)}. The electron wavefunctions and the charge density were expanded in planewaves basis sets with kinetic energy cutoffs 40 Ry and 200 Ry, respectively. Structural optimization was performed by sampling the Brillouin zone using uniform and unshifted $4\times4\times4$ meshes. Electronic structure calculations were performed using a $6\times6\times6$ Brillouin zone grid. All structural optimizations were initialized using the crystallographic data for $MA-PbI₃$ reported in Ref. ^{[16](#page-15-7)}. The initial coordinates of the molecular cations were chosen in such a way that the centre of mass is located in the middle of the cuboctahedral cavity, and the orientation of the four inequivalent cations in one unit cell follows the symmetry properties of the $Pnma$ space group. Given the complexity of the total energy landscape of these systems, we checked the sensitivity of the structure with respect to the choice of the initial configuration in the case of NH_4PbI_3 . This compound lies close to the stability limit (Figure 4b), and can form quasi-1D structures in the presence of water ^{[54,](#page-19-5) [55](#page-19-6)}. In this case we performed structural optimizations using three additional initial configurations: (i) $\alpha_a = \alpha_e = 0$, (ii) $\alpha_a = 0$ and $\alpha_e \neq 0$ with octhaedra in phase along the apical direction, (iii) same as in (ii) but with octahedra out of phase. The optimized structures are all 3D perovskites, with total energy differences within 6 meV/atom. The largest deviation from the band gap reported in Fig. 3c is of 0.2 eV, and reflects the differences in the bond angles, following the trend predicted by the Platonic model in Fig. 2.

In the case of $MA-PbI₃$ it has been established that the spin-orbit interaction tends to reduce the scalar-relativistic band gap by approximately 1-1.1 eV 5.21 . This is consistent with our results shown in Fig. [3b](#page-24-0). On the other hand, GW quasiparticle corrections do increase the value of the band gap [21](#page-16-8). Given these compensating corrections, and the fact that the very small relativistic band

gaps are likely to induce significant errors in the calculation of the screened Coulomb interaction W, it is sensible to take scalar-relativistic calculations as the most consistent and unambiguous way to capture trends and identify the most promising structures. In order to check that the band gap trend obtained with DFT/LDA holds when using a higher level theory, we performed G_0W_0 cal-culations using the Yambo code ^{[12](#page-19-7)} for a few selected structures, namely AsH_4PbI_3 , $\text{CH}_3\text{NH}_3\text{PbI}_3$, CsPbI₃ and LiPbI₃. Full details of these calculations are given in the Supplementary Methods and Supplementary Note 2.

Platonic model of metal-halide perovskites

Our ideal model of ABX_3 metal-organic halide perovskites consists of four inequivalent BX_6 Pla-tonic octahedra in an orthorombic unit cell ^{[16](#page-15-7)}, in absence of the cation. The octahedra are labelled O_1-O_4 , as indicated in Fig. [1d](#page-22-0), and are connected in a corner-sharing configuration. The B atoms occupy the centres of the octahedra, the X atoms are located at the corners, and the metal-halide B-X distance is denoted by d . We set the origin of the reference frame at the centre of the octahedron O_1 , with its axes along the primitive vectors of the crystal lattice. The centres of the remaining three octahedra O_2-O_4 are denoted by $(x, y, 0)$, $(0, 0, z)$, and (x, y, z) , respectively. The coordinates x , y , and z will be determined below starting from the Euler angles described in the main text. The lattice parameters are expressed in terms of the centres of the octahedra as $a = 2x$, $b = 2y$ and $c = 2z$. The corners of the octhedra are labelled as X_i^j , with the superscript j identifying the octahedron, and the subscript i identifying a corner of the octahedron, as shown in Fig. [1c](#page-22-0). The coordinates of each corner X_i^j are referred to as (x_i^j) $_i^j, y_i^j$ $\frac{j}{i}, z_i^j$ $\binom{j}{i}$.

Octahedron O_1 . We consider an initial configuration where the octahedron O_1 has its apical diagonal along the c axis, and its equatorial edges along the a and b axes. The coordinates of the corners X_1^1 , X_3^1 , and X_5^1 are by $(1, 1, 0)d/\sqrt{2}$, $(-1, 1, 0)d/\sqrt{2}$, and $(0, 0, 1)d$, respectively, while X_2^1 , X_4^1 , and X_6^1 are obtained from these by changing the sign of all coordinates. In order to generate the most general structural models we perform a rotation of O_1 according to the three Euler angles ψ , θ, and φ. These angles define a sequence of spin, tilt, and precession around the c axis. The tilt θ is performed via a rotation around a. This is illustrated in Fig. 1c. Following Ref. ^{[29](#page-17-2)} the matrix defining the rotation of the octahedron is:

$$
\begin{array}{ccc}\n\cos\psi\cos\phi - \cos\theta\sin\phi\sin\psi & -\sin\psi\cos\phi - \cos\theta\sin\phi\cos\psi & \sin\theta\sin\phi \\
\cos\psi\sin\phi + \cos\theta\cos\phi\sin\psi & -\sin\psi\sin\phi + \cos\theta\cos\phi\cos\psi & -\sin\theta\cos\phi \\
\sin\theta\sin\psi & \sin\theta\cos\psi & \cos\theta\n\end{array}
$$

.

By applying this rotation to the initial coordinates of O_1 we obtain the position of the corners X_i^1 $(i = 1, \ldots, 6)$ in their final orientation. These new coordinates are used to locate the centres and orientation of the remaining three octahedra.

Octahedron O_2 In order to determine the centre $(x, y, 0)$ of the octahedron O_2 we use the following observations: O₁ shares the corner $X_1^1 = X_2^2$ with O₂, and the corner $X_3^1 = X_4^{2'}$ with O_{2'}, the periodic replica of O₂ along the a axis. Furthermore O₂ shares the corner $X_3^2 = X_4^{1'}$ with O_{1'}, the periodic replica of O_1 along the b axis. By requesting that the distance between the centre $(Cⁱ)$ of each octahedron and each corner is d in all cases, and that O_2 and $O_{2'}$ are both regular, we obtain the following equations relating the centre of O_2 with the position of the corner X_1^1 :

$$
C1X11 = C2X11: x2 + y2 - 2xx11 - 2yy11 = 0,C1'X41' = C2X41: x2 + y2 + 2xx31 - 2yy31 = 0,C2X41 + C2X11: x2 - y2 + x(x31 - x11) + y(y11 + y31) = 0.
$$

After expressing the coordinates of X_1^1 in terms of the Euler angles ψ , θ , and ϕ , we find that the above relations are verified simultaneously only when the spinning angle vanishes, $\psi = 0$. In this case the coordinates of the centre of O₂ are given by $x = d\sqrt{2}\cos\phi$, $y = d\sqrt{2}\cos\theta\cos\phi$,

and the coordinates of its corners are determined using the condition that the octahedron be regular. The explicit expressions for the coordinates of O_2 in terms of the corners of O_1 are:

$$
X_1^2: (2x - x_1^1, 2y - y_1^1, -z_1^1) \t X_2^2: (x_1^1, y_1^1, z_1^1) \t X_3^2: (x_4^1, y_4^1 + 2y, z_4^1) X_4^2: (2x - x_4^1, -y_4^1, -z_4^1) \t X_5^2: (x + p, y + q, r) \t X_6^2: (x - p, y - q, -r)
$$

where the auxiliary variables p , q , r are given by:

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$$
p = \frac{(y_4^1 + y)z_1^1 - z_4^1(y_1^1 - y)}{d}, q = \frac{(x_1^1 - x)z_4^1 - z_1^1(x_4^1 - x)}{d},
$$

$$
r = \frac{(x_4^1 - x)(y_1^1 - y) - (y_4^1 + y)(x_1^1 - x)}{d}.
$$

From the location of O₂ can can also determine the lattice parameters: $a = 2d\sqrt{2}\cos\phi$, $b =$

 $2d\sqrt{2}\cos\theta\cos\phi$, and $c = 4d\cos\theta$, where the last equation follows simply from the common tilt angle of O_1 and O_2 and the fact that they represent half of the unit cell along the c axis.

In the case of vanishing tilt angle, $\theta = 0$, the spinning angle and the precession angle identify the same rotation, hence their distinction is not meaningful. In this case the relevant parameter appearing in all the equations is their sum $\phi + \psi$. For consistency with the above rule that $\psi = 0$ whenever $\theta \neq 0$, we here adopt the convention that $\psi = 0$ always. In this case, when $\theta = 0$ the only other independent parameter is the precession angle ϕ . Obviously this choice does not affect our results in any way.

Octahedra O₃ *and* O₄. From Fig. [1d](#page-22-0) we see that octahedron O₃ shares the corner $X_5^1 = X_6^3$ with O₁. As a consequence we have that octahedra O_3 and O_4 are centered at the positions $2d(0, 0, \cos \theta)$ and $d\sqrt{2}(\cos\phi, \cos\theta\cos\phi, \sqrt{2}\cos\theta)$, respectively. The corners of these octahedra are readily obtained by taking into account their regularity and the corner-sharing connectivity between O_3 and O_4 and between O_2 and O_4 .

From the relations stated here it is clear that a cubic perovskite is obtained in the special case $\theta = \phi = 0$. Furthermore, when the tilt angle vanishes, $\theta = 0$, we obtain two inequivalent tetragonal structures with identical lattice parameters. These structures correspond to the situations where the spinning angles of neighboring octahedra along the apical direction are in-phase (same magnitude and same sign) or out-of-phase (same magnitude but different sign).

As a general remark, the fact that the structure of the Platonic model is completely defined once we specify the values of two angles and the B-X bond length is quite remarkable. This property simplifies considerably the task of rationalizing the physics of metal-organic halide perovskites. Furthermore the model illustrated here carries general validity and it can easily be adapted to describe other idealized perovskite structures.

Apical and equatorial bond angles. The Euler angles θ and ϕ can be related to the intrinsic B-X-B bond angles. To this end we observe in Fig. [1d](#page-22-0) that α_e is the angle between O_1 and O_2 through the corner X_1^1 , and α_a is the angle between O_1 and O_3 through X_5^1 . Using the coordinates of the centres of O_1-O_3 and the corners X_1^1 and X_5^1 determined as above, we find immediately $\cos \alpha_a = 1 - 2 \cos^2 \theta$ and $\cos \alpha_e = 1 - \cos^2 \phi (1 + \cos^2 \theta)$. Since $\cos^2(\phi) \le 1$ the last two relations

can be combined to yield the constraint $\cos(\pi - \alpha_a) \geq 2\cos(\pi - \alpha_e) - 1$. For all the angles considered in Fig. [2](#page-23-0) the expansion of this inequality to first order in the angles is accurate to within 3% and reads $\pi - \alpha_e \ge (\pi - \alpha_a)/\sqrt{2}$. As a result, the Platonic model does not admit solutions with continuous corner-sharing connectivity in a region of the map bound by a straight line, as can be seen in Fig. [2.](#page-23-0)

Tolerance Factor

The Goldschmidt tolerance factor is given by $t = (R_A + R_X)/\sqrt{2}(R_B + R_X)$, with R_A , R_B , and R_X the ionic radii of the elements in ABX_3 perovskites ^{[41](#page-18-3)}. It was found empirically that 3D perovskite form when t is in the range 0.7 -1.1^{[32,](#page-17-5) [41](#page-18-3)[–43](#page-18-4)}. The lower bound corresponds to a situation where the cation is close enough to the halogen component to form a bond, thereby preventing the formation of a perovskite structure. The upper bound describes the case of the close-packed cubic perovskite structure. For values of t larger than 1 the perovskite structure is expected to distort or even form a layered perovskite structure ^{[57](#page-19-8)}. For each structure we evaluated the Goldschmidt tolerance factor by using the steric sizes calculated via the DFT electron density and reported in Supplementary Table 1.

Materials synthesis and characterization

Perovskite precursor preparation: APbI₃ precursors were prepared by dissolving equimolar amounts of AI (where $A = Li$, Na, K, Rb, Cs) and PbI₂ in N,N-dimethylformamide at 0.5M, in a nitrogenfilled glovebox. Mixtures were made by simply mixing the prepared precursors in the desired ratio. *Film formation*: Glass substrates were cleaned with acetone, isopropanol, and oxygen plasma treatment. Thin films were formed by spin-coating on the substrates at 2000 rpm in the glovebox, and subsequently annealed on a hotplate by increasing the temperature until the formation of the dark phase was observed. This occurred at ~400°C for CsPbI₃ and even higher for the Cs/Rb mixtures discussed in the main text. The 11% Rb film changed colour at $420\degree$ C and the 20% Rb film at 470◦C.

Optical measurements: Absorbance spectra were collected with a Varian Cary 300 UV-Vis spectrophotometer with an internally coupled integrating sphere.

Note added during revision

While this work was under peer review a related work exploring the relation between the size of cations and the electronic and optical properties of metal-halide perovskites was submitted and published ^{[58](#page-19-9)}. The key novelty of the present work is that we develop a predictive universal theory of band gap tuning via steric effects, and we perform experiments which confirm *a posteriori* our predictions.

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Author contributions M.R.F. performed the computational research and analysed the results. G.E.E. and H.J.S. performed the experimental synthesis and characterisation. F.G. designed the research and led the project. All authors participated in the preparation of the manuscript.

Additional information Supplementary information accompanies the paper online. Correspondence and requests for materials should be addressed to F.G. (feliciano.giustino@materials.ox.ac.uk).

Competing financial interests The authors declare that they have no competing financial interests.

Figure 1: Platonic model of metal-halide perovskites a Ball-and-stick representation of MA-PbI₃ in the high-temperature cubic phase: the dark spheres inside the octahedra represent Pb atoms, the red spheres at the octahedral corners are I atoms, and the molecule inside the cuboctahedral cavity is CH_3NH_3 . **b** DFT scalar-relativistic electronic band structure and partial density of states of MA-PbI₃ in the low-temperature orthorombic phase. In the band structure plot we compare the electronic structure of MA-PbI3 with (left) or without (right) the cation. The density of states shows that the electronic states associated with the cation are located far from the band gap. The top of the valence band is derived from I-5p states and, to a smaller extent, the Pb-6s states. The bottom of the conduction band is derived primarily from Pb-6p and I-5p states. The calculated band gap is direct at Γ. c Schematic representation of the Euler angles defining the rotation of the Platonic octahedron: tilt (θ) , spinning (ψ) , and precession (ϕ) . The convention for labeling the corners of the octahedron is indicated, together with the crystallographic axes. d Schematic representation of the orthorhombic unit cell considered in this work consisting of four inequivalent octahedra, O_1-O_4 (dark blue) and their periodic replicas $O'_1-O'_4$ (light blue). The labelling of the corners follows the same convention indicated in (c). The intrinsic metal-halide-metal bond angles are highlighted: apical angle (α_a) and equatorial angle (α_e) . The geometric properties of this model are derived in the Methods section.

Figure 2: Mapping the band gap landscape of metal-halide perovskites a Two-dimensional map of the DFT band gap of the Platonic model of PbI_3 -based perovskites as a function of the apical and equatorial metal-halide-metal bond angles. The Pb-I bond length is set to the experimental value for $MA-PbI₃$ ^{[16](#page-15-7)}. The angles α_a and α_e are indicated in Fig. [1d](#page-22-0). The calculations for the Platonic model were performed within scalar-relativistic DFT (fully-relativistic calculations are shown in Fig. [3b](#page-24-0), see Methods). The dashed line at 45° is a guide to the eye and shows the approximate symmetry of the map with respect the the exchange of the apical and equatorial angles. The dark discs represent the angular coordinates (α_a, α_e) of realistic models of PbI3-based perovskites including the cation (indicated by the chemical formula), with structures fully optimized within DFT. The apical and equatorial angles are the averages among all the inequivalent angles of the same type. The size of each circle represents the deviation of the Pb-I bond length from that of MA-PbI₃ (3.18 Å). This quantity is displayed because the metal-halide bond length has a small but nonnegligible effect on the band gap, which we quantify as a linear shift of \sim 3 eV/Å^{[26,](#page-16-6)30}. For the same angular coordinates, the band gap difference between the largest and the smallest circle in the figure is 0.2 eV. The white discs correspond to perovskites already synthesized ^{[12,](#page-15-3) [16,](#page-15-7) [17](#page-16-7)}. This map shows that the band gap can be modulated over a much wider range than currently possible. b-c Atomistic models of the hypothetical metal-halide perovskites identified in this work exhibiting the smallest (LiPbI₃) and the largest (NCl₄PbI₃) metal-halide-metal bond angles, respectively.

Figure 3: Tuning the band gap of metal-halide perovskites via the steric size of the cation a Correlation between the apical and equatorial bond angles of PbI₃-based perovskites and the steric radius of the cation (discs). As the size of the cation increases the metal-halide-metal bonds (see Fig. [1d](#page-22-0)) tend to become collinear. A linear least-square fit to the data (straight line) yields a slope of 18°/Å. **b** Correlation between the DFT band gap and the largest metal-halide-metal bond angle in the structure. We show calculations for the Platonic model (circles) and fully optimized structures within scalar-relativistic (grey discs) and fully-relativistic (blue discs) DFT. The arrows point to the scales corresponding to each set of data. The significant data dispersion at large angles is due to the distortions of the octahedra. The calculations based on the Platonic model include the effect of the average Pb-I bond length on the band gap, as discussed in Fig. [2.](#page-23-0) c Calculated band gaps (in eV) of all the PbI₃-based perovskites considered in this work. The band gaps were obtained after full structural optimization within scalar relativistic DFT (see Methods).

Figure 4: Materials stability and optical measurements a Calculated Goldschmidt tolerance factors for all the perovskite structures considered in this work. The open circles are obtained using the steric sizes calculated from DFT (Supplementary Table 1). For comparison we also show the tolerance factors obtained by using the ionic radii reported in Ref.^{[3](#page-17-8)} (filled circles). The grey area bound by the solid grey lines correspond to the geometric criterion for the Goldschmidt tolerance factor $41,42$ $41,42$, while the dashed lines correspond to the empirical range proposed in Ref. 43 . **b** Measured absorbance spectra of the dark phase of $Rb_xCs_{1-x}PbI₃$ perovskite thin films, with $x =$ $0, x = 0.1$, and $x = 0.2$. When increasing the Rb content a continuous blue shift of the absorption onset is observed, from 720 nm ($x = 0$) to 690 nm ($x = 0.2$). This blue shift corresponds to an increase in band gap, and is assigned to the more pronounced octahedral tilt in the PbI₃ network, as illustrated in the inset.

Steric engineering of metal-halide perovskites

with tunable optical band gaps

Supplementary Information

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Supplementary Figure 1 Two-dimensional map of the spin-orbit coupling correction to the scalarrelativistic DFT/LDA band gap. The calculations were performed for the Platonic model of PbI₃-based perovskites as a function of the apical and equatorial metal-halide-metal bond angles. Calculation details are provided in the Methods. The region beyond [∼]165◦ on the bottom left corner should not be considered as reliable, since the relativistic DFT band gap closes in this range (this is only an artefact).

Supplementary Figure 2 Calculated carrier effective masses, dielectric constant, and exciton binding energy for the Platonic model of PbI₃-based perovskites. a-c Isotropic average of the effective mass tensor for holes (a), electrons (b), and electron-hole pairs (c). d. The calculated static (electronic) dielectric constant. e Exciton binding energy within the Wannier model. Effective masses are only meaningful for angles smaller than \sim 165[°], since in the bottom left corner of the map the calculated band gaps vanish.

Supplementary Figure 3 Calculated vibrational density of states of AsH_4PbI_3 . The calculations were performed using density functional perturbation theory ^{[1](#page-18-2)}, and a $2 \times 2 \times 4$ Brillouin zone grid. The vibrational density of states was then generated using the linear tetrahedron method 2 . The inset shows a close up of the low-energy region of the spectrum.

Supplementary Figure 4 Quasiparticle band gaps. a Convergence of the gap with respect to the planewaves kinetic energy cutoff of the exchange self-energy, for AsH_4PbI_3 , $\text{CH}_3\text{NH}_3\text{PbI}_3$, CsPbI_3 , and LiPbI3. The calculations were performed using a Γ-point sampling, a cutoff of 5 Ry for the correlation self-energy, and 400 Kohn-Sham states. b Convergence of the gap with respect to the expansion of the polarizability over virtual Kohn-Sham states, for two different values of the correlation cutoff, 5 Ry (open circles) and 7 Ry (filled circles). The horizontal axis represents the eigenvalue of the highest state included in such expansion, relative to the valence band top. The rightmost datapoint corresponds to a calculation with 400 states. In this case the calculations were performed for AsH₄PbI₃ using a $2 \times 2 \times 2$ Γ-centered Brillouin zone mesh, and a cutoff of 20 Ry for the exchange part of the self-energy. c Comparison between scalar relativistic DFT/LDA band gaps (light rods) and fully relativistic GW band gaps (dark rods). Fullyrelativistic GW calculations yield lower band gaps but confirm the general trend. All band gaps are in units of eV.

Supplementary Table 1 Steric radii of the cations. We define the steric radius as the radius of the sphere which contains 95% of the total electron density. The density is calculated using density functional theory, as described in the manuscript, for molecules in their singly ionized state. For comparison, the ionic radii of Rb⁺ and Cs⁺ are 1.66 Å and 1.81 Å, respectively ^{[3](#page-17-8)}.

Supplementary Notes

Supplementary Note 1

Using the effective masses and the dielectric constants thus obtained, we calculate the variation of the exciton binding energy E_b shown in Supplementary Figure 2e. Our calculations are in line with recent measurements on $CH_3NH_3PbI_{3-x}Cl_x$ films ^{[4](#page-35-1)}, which reported an exciton binding energy of 55 meV^{[4](#page-35-1)}. Given the small variations of the dielectric constant with the apical and equatorial bond angles, we note that the exciton binding energy shows a similar dependence on the Pb-I-Pb bond angles as the effective mass and the band gap. Therefore, structures with a lower degree of octahedral tilt should also exhibit lower binding energies.

Supplementary Note 2

Supplementary Figures 4a and 4b show the convergence of the quasiparticle band gap with the planewaves kinetic energy cutoff and with the number of virtual states, for a few selected structures $(AsH_4PbI_3, CH_3NH_3PbI_3, CsPbI_3, LiPbI_3)$. In particular, Supplementary Figure 4a shows that the convergence tests for the four structures considered here exhibit very similar behavior. This suggests that our predicted trend for the band gaps should not be affected by numerical convergence. We also tested the effect of Brillouin-zone sampling on the quasiparticle band gaps. We find a difference of less that 0.1 eV when increasing the zone sampling from $1 \times 1 \times 1$ to $4 \times 4 \times 3$, irrespective of the planewaves cutoff.

Our calculated quasiparticle correction does not fully compensate the red-shift due to spin-orbit effects in any of the four test structures. As a result our relativistic GW band gaps are smaller than the ones obtained within scalar-relativistic DFT/LDA (Supplementary Figure 4c). This finding is in line with previous attempts at calculating the quasiparticle band gap of $MA-PbI₃$ and $CsPbI₃$ within a G_0W_0 calculation including spin-orbit coupling ^{[5,](#page-16-0)6}.

Moreover, our GW band gaps of $CH_3NH_3PbI_3$ and $CsPbI_3$ underestimate experimental optical gaps. This suggests that going beyond the G_0W_0 approximation may be necessary. Here we speculate that the lack of self-consistency or the use of the plasmon pole model may be at the origin of this underestimation, as hinted in Ref. $6,7$ $6,7$.

While a fine-tuning of the theoretical methodology used in our calculations may lead to closer agreement with experimental optical gaps for individual structures, in the present work we focus on band gap trends. Such trends are consistent throughout largely different levels of theory, from scalar-relativistic DFT, to fully-relativistic DFT, to relativistic many-body perturbation theory.

Supplementary methods

Exciton binding energy

In order to estimate the magnitude of excitonic effects on the band gaps we calculate the exciton binding energies using a simple Wannier exciton model ^{[8](#page-35-4)}. In the Wannier model the exciton binding energy is given by $E_b = \mu^*/\epsilon_0^2 E_{1s}$, where E_{1s} is the energy of the fundamental state of the hydrogen atom, μ^* is the effective mass of electrons and holes (i.e. $1/\mu^* = 1/m_e + 1/m_h$), and ε_0 the static (electronic) dielectric constant of the solid. This model is very approximate but should provide a fair representation of the trends in exciton binding energies in our compounds.

We calculated the effective mass tensors by approximating the second derivatives of the relativistic Kohn-Sham eigenvalues via finite differences, and taking the isotropic average in each case. Supplementary Figures 2a and 2b show the hole and electron effective masses thus obtained for the Platonic perovskite model, as a function of equatorial and apical metal-halide-metal bond angles. Our calculated effective masses are in line with previous calculations for $CH_3NH_3PbI_3$ and $CsPbI_3$ $5,7,9$ $5,7,9$. As expected from the variation of the band gap with the bond angles, the electron and hole masses decrease when increasing the Pb-I-Pb bond angles.

For the dielectric constant we performed finite-electric field calculations using the Berry-phase technique ^{[10,](#page-35-6) [11](#page-35-7)}. In this case we adopted a Γ -centered $2 \times 2 \times 4$ Brillouin-zone mesh, with a finite electric field of 0.001 a.u. directed along the c-axis. While in this case we did not not evaluate the isotropic average (purely in order to contain computational costs), Supplementary Figure 2d shows that this should not pose a problem since ε_0 does not depend strongly on the bond angles in the range of interest ($\varepsilon_0 = 5.5 - 6.0$).

Band gaps from many-body perturbation theory

In order confirm the band gap trend obtained within DFT/LDA we have performed G_0W_0 calcu-lations using the Yambo code ^{[12](#page-19-7)} for the following compounds: AsH_4PbI_3 , $CH_3NH_3PbI_3$, $CsPbI_3$, LiPbI₃. For these calculations we used LDA norm conserving fully relativistic pseudopotentials for Pb and I, with semicore 5d states included for Pb. The Kohn-Sham eigenfunctions and eigenvalues were calculated using a 100 Ry kinetic energy cutoff for all structures except $LiPbI₃$, for which we used a cutoff of 130 Ry. The exchange and the correlation parts of the GW self-energy were calculated using planewaves cutoffs of 13 Ry and 5 Ry, respectively (this is at the limit of what we can afford given the large unit cells). The screened Coulomb interaction W was described by means of the Godby-Needs plasmon-pole model [13](#page-36-0), and 400 bands were included in the sum over virtual states. The wavevector-dependence of the screened Coulomb interaction was described by sampling the Brillouin zone using a Γ -centered $2 \times 2 \times 2$ grid.

Supplementary References

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