### **Review article**

# Shira Meir Drexler, Christian J. Merz, Valerie L. Jentsch and Oliver T. Wolf\* Stress modulation of fear and extinction in psychopathology and treatment

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Abstract: The glucocorticoid cortisol, a major player in the development of stress-related psychopathology, can also be used for the augmentation of extinction-based psychotherapies (e.g., exposure therapy). Substantial evidence supports its beneficial effects in the treatment of post-traumatic stress disorder and specific phobias. In this review, we first present the role of stress and cortisol in the development of maladaptive emotional memories. Then, we describe the mechanisms that may account for the cortisol-induced augmentation of exposure, namely, the enhancement of extinction memory consolidation and the reduction of the contextual dependency of the extinction memory. Finally, we discuss several considerations and limitations for the use of cortisol in psychotherapy, focusing on the possible adverse effects of cortisol in a reconsolidation-based (as opposed to extinction-based) intervention.

Keywords: cortisol; exposure therapy; extinction learning; fear conditioning; reconsolidation.

Zusammenfassung: Das Glucocorticoid Cortisol ist beteiligt an der Entwicklung von stress-assoziierten Psychopathologien, kann aber auch benutzt werden um die extinktionsbasierte Psychotherapie (z.B. Exposition) zu verbessern. Substanzielle Befunde unterstützen seine vorteilhaften Effekte bei der Behandlung der Posttraumatischen

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Belastungsstörung und Phobien. Überblicksartig erläutern wir zuerst die Rolle von Stress und Cortisol bei der Entwicklung von maladaptiven emotionalen Erinnerungen. Danach beschreiben wir die Mechanismen, die für die Cortisol-induzierte Verbesserung der Expositionstherapie verantwortlich sein könnten, nämlich die Verstärkung der Konsolidierung und die Reduktion der Kontextabhängigkeit des Extinktionsgedächtnisses. Zuletzt diskutieren wir die Einbindung des Cortisols in die Psychotherapie mit einem Fokus auf mögliche negative Auswirkungen einer Cortisolgabe im Rahmen einer Rekonsolidierungsbasierten (im Gegensatz zu einer extinktionsbasierten) Intervention.

Schlüsselwörter: Cortisol; Expositionstherapie; Extinktionslernen; Furchtkonditionierung; Rekonsolidierung.

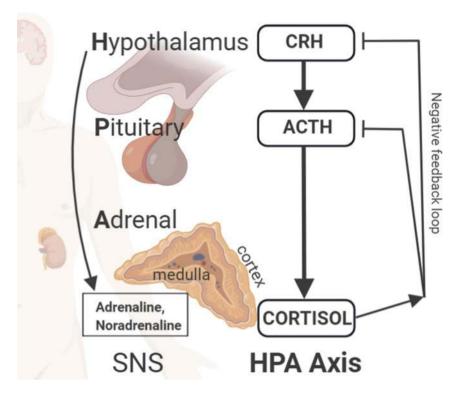
## Stress and the strength of emotional memories

Unusually challenging physical or psychological events may lead to stress, a subjective state of tension that is difficult to manage or endure (Colman, 2001). Two neuroendocrine systems come into play to promote an adaptive response to a stressful situation (see Figure 1): the sympathetic nervous system (SNS), mainly through the release of adrenaline and noradrenaline, and the hypothalamuspituitary-adrenocortical (HPA) axis, mainly through the release of the glucocorticoid (GC) cortisol. The SNS is responsible for the fast and short-term responses occurring in the initial phase of the stressful event (e.g., elevated heart rate and breathing, increased arousal), whereas the HPA axis responds slower and has long-lasting effects (e.g., increase in blood sugar, suppression of the immune system) that promote the response to the stressor and the subsequent return to homeostasis (McCarty, 2016; McEwen, 2019). The effects of the SNS and HPA axis are not limited to responding to present events; through their ability to modulate learning and memory processes, they influence the response to future events as well (Joëls et al., 2006).

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**Figure 1:** The stress response. Two systems come into play to promote an adaptive response to a stressful situation: the sympathetic nervous system (SNS; mainly through the secretion of adrenaline and noradrenaline) and the hypothalamus-pituitary-adrenocortical (HPA) axis (mainly through the secretion of the glucocorticoid cortisol). Cortisol is also involved in a negative feedback loop, affecting the HPA axis. ACTH: adrenocorticotropic hormone; CRH: corticotrophin-releasing hormone. The figure was created with BioRender. com.

The effects of stress of learning and memory processes depend on various modulating factors, such as intensity and duration of stress, the characteristics of the learning task, and individual differences, such as age, sex, and personality traits (Meir Drexler and Wolf, 2017a; Shields et al., 2017). Another significant modulating factor is the timing of stress in relation to the task: Did learning occur before stress or after it has subsided or did an older or unrelated memory have to be recalled during or after the stressful episode itself? In general, cortisol (through interaction with noradrenaline) promotes the consolidation of emotional or arousing memories but at the same time impairs the retrieval of previously consolidated memories (de Quervain et al., 2017; Roozendaal, 2002). For this reason, a stressed student might have difficulties recalling the learning material during an exam, but the memory of the stressful exam experience itself might be easily recalled later.

In addition to enhancing emotional memory consolidation, stress also affects the contextualization of memories. Because stress can disrupt the context dependency of memories (Schwabe et al., 2009), emotional memories are often not only stronger but also more easily generalized from the original learning context to other contexts. In extreme cases, strong and generalized emotional memories will not fade over time and might become overpowering and maladaptive. Strong maladaptive memories underlie posttraumatic stress disorder (PTSD), phobias (Merz et al., 2016), and chronic pain (Elsenbruch and Wolf, 2015).

# Exposure therapy and the problem of relapse

Exposure therapy is a type of cognitive behavioral treatment, which is often used for the treatment of PTSD and phobias (Craske et al., 2018). One of the possible underlying mechanisms of exposure therapy is extinction learning, which involves repeated confrontation with the conditioned stimulus (CS; e.g., dog) in the absence of the unconditioned stimulus (UCS; e.g., dog bite), typically resulting in a decrement of conditioned responses (e.g., fear). Extinction learning depends on the formation of a new inhibitory (i.e., safety) memory and does not erase the original (i.e., fear) memory (Bouton, 2014). Following extinction, the original and the extinction memory will compete against one another for the control over behavior. The challenge for exposure therapy stems from the differences in the strength and context dependency of both memories. The original memory is often robust, is not bound to a specific context, and is thus more easily generalized (e.g., generalizing the fear of dogs from the park, where a dog attack had happened, to other places). Extinction memory, in contrast, is not the first association that is learned about a stimulus and as such is encoded as a conditional (e.g., context-dependent) exception to the rule (for instance, feeling safe despite the presence of a dog but only while being at the clinic).

As a result, relapse (or "return of fear") may occur under various conditions: after an exposure to an aversive stimulus ("reinstatement"), after a change in context ("renewal"), or just by the passage of time ("spontaneous recovery"; Bouton, 2014). This significant challenge to the long-term success of exposure therapy has led many research groups to investigate various (e.g., cognitive, pharmacological) methods of extinction augmentation (Craske et al., 2018; Ressler et al., 2004). Growing knowledge on the role of stress and cortisol in learning and memory has shown that cortisol can act as an adjuvant in extinction-based therapy (de Quervain et al., 2017).

## What is the mechanism of cortisolinduced extinction augmentation?

Recently, we suggested the STaR model (the initials of which stand for "Stress Timing affects Relapse"; see Figure 2) to illustrate the consequences of stress timing on the strength and context dependency of extinction memories, resulting in either relapse or not (Meir Drexler et al., 2019a). These findings are based on several studies in which we used exposure to laboratory stress or a pharmacological cortisol administration at different times: before extinction learning (i.e., to affect the encoding and consolidation of the extinction memory), after extinction learning (i.e., to affect extinction memory consolidation only), or before extinction retrieval.

We found that exposure to stress or cortisol administration before extinction learning promotes extinction memory consolidation in a context-independent way (Meir Drexler et al., 2017, 2018; but see: Merz et al., 2018), making extinction memory more resistant to relapse after context change (see similar GCs-related contextual impairments in other tasks: McGlade et al., 2019; Schwabe et al., 2009; van Ast et al., 2013). In contrast, exposure to stress/cortisol after extinction leads to an enhanced, but context-dependent, extinction memory trace (Hamacher-Dang et al., 2013, 2015), making extinction retrieval more likely, but only in the context in which it had been learned (see GCs-related context-dependency in other tasks: van Ast et al., 2013). Finally, we found that when stress or cortisol is given before a retrieval test, extinction retrieval is impaired (Hamacher-Dang et al., 2013; Kinner et al., 2016, 2018; but see: Merz et al., 2014), making relapse more likely to occur (see GC-related retrieval deficit in other tasks: Shields et al., 2017).



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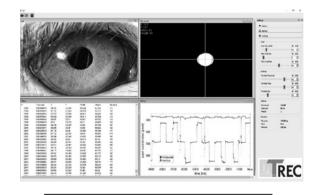
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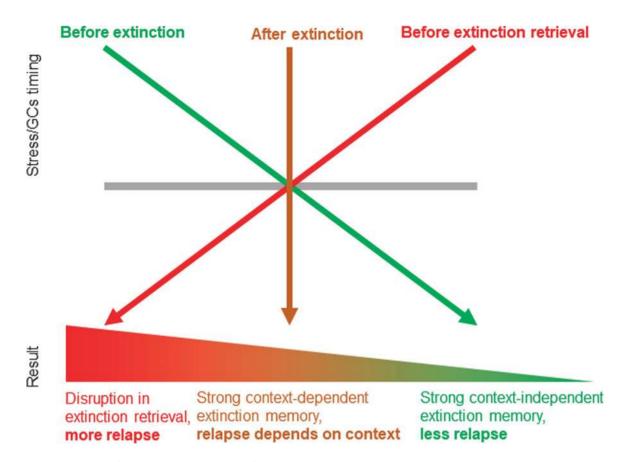
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**Figure 2:** The STaR (Stress Timing affects Relapse) model represents the timing-dependent modulation of extinction and relapse by stress/ glucocorticoids (GCs). Stress or GCs before extinction promote memory consolidation in a context-independent manner, making extinction memory more generalized and thus resistant to relapse after context change. Stress or GCs after extinction also enhance extinction consolidation, but in a context-bound manner, thus making extinction retrieval more likely only in the context in which it had been learned. In contrast, stress or GCs before an extinction retrieval test impair extinction retrieval and promote relapse. Reprinted from Meir Drexler et al. (2019a), Copyright (2019), with permission from Elsevier.

At the neural level (as illustrated in Figure 3), we could show that the timing-dependent effects of stress/cortisol on extinction memories are modulated by alterations in the amygdala, the hippocampal complex, the prefrontal cortex, and their communication with other brain regions (Meir Drexler et al., 2019a). In line with evidence from previous works on animals and humans (Milad and Quirk, 2012), our findings suggest that the ventromedial prefrontal cortex (vmPFC) and the hippocampus are activated and determine, based on the given context, whether or not extinction memory is expressed under nonstressful conditions. However, if cortisol was administered before extinction learning, activity of the hippocampus and its functional connectivity to the vmPFC increases in a later retrieval task, leading to enhanced extinction retrieval and thus reduced fear (Merz et al., 2018). In contrast, exposure to cortisol before the retrieval task itself suppresses vmPFC activation and its connectivity with the parahippocampal gyrus, enhances

activation of the amygdala, and leads to impaired extinction retrieval and thus enhanced fear (Kinner et al., 2016, 2018).

Our findings provide additional support for the beneficial (time-specific) use of cortisol in psychotherapy (de Quervain et al., 2017). By focusing on the contextual factor, which is a crucial element not only in renewal but also in other relapse phenomena (Bouton, 2014), these findings may help in developing more efficient interventions. In particular, our data suggest that the use of cortisol or stress should be promoted shortly before and avoided after extinction-based psychotherapy, taking into account possible factors that can affect cortisol concentrations (e.g., sex, sex hormones, and medication including hormonal contraceptives; see Kudielka et al., 2009; Merz and Wolf, 2017; Raeder et al., 2019). In cases wherein cortisol administration is not feasible, behavioral interventions might promote the desired moderate and timelimited cortisol response (Lass-Hennemann and Michael, 2014; Meir Drexler et al., 2017, 2018).

# Cortisol and memory reconsolidation

While repeated presentations of conditioned cues usually lead to the formation of a new memory trace (i.e., extinction learning, as discussed previously), a single brief presentation of the CS triggers a reconsolidation process, resulting in an alteration or update of the original memory itself (Merlo et al., 2014). It was suggested that the strength of the prediction error (i.e., the discrepancy between a predicted and an actual outcome) in each case leads to either an update of the old memory when the prediction error is moderate or a formation of a new memory altogether when a stronger prediction error occurs (Gershman et al., 2017).

Much like newly acquired memories, reactivated memories are sensitive to various (e.g., behavioral, pharmacological) manipulations that can be designed to weaken or strengthen the memory until its reconsolidation is complete (Josselyn and Tonegawa, 2020). Among these are stress and cortisol manipulations (Akirav and Maroun, 2013), yet the direction of the effect is still debated (Meir Drexler and Wolf, 2017c, 2018; Shields et al., 2017). These conflicting findings may result from methodological differences that are common in the general reconsolidation field (Meir Drexler and Wolf, 2017c), such as variations in the type or age of the memory, study sample, or the manipulation itself. For instance, although we previously found an enhancing effect of cortisol on fear memory reconsolidation in men (Meir Drexler et al., 2015), we found no effect in women (possibly owing to interactions with female sex hormones: Meir Drexler et al., 2016). In contrast, exposure to mild stress led to a fear memory impairment in men (possibly through an interruption of memory reconsolidation: Meir Drexler and Wolf, 2017b). Moreover, while the previous studies used the commonly used CS-based reconsolidation paradigm (i.e., reactivation by a single unreinforced CS presentation), in an alternative UCS-based reconsolidation paradigm (i.e., through a single weaker UCS presentation), the reactivation method itself prevented the return of fear regardless of the pharmacological (cortisol or placebo) treatment (Meir Drexler et al., 2019b).

As these findings demonstrate, one has to bear in mind that a given behavioral or pharmacological manipulation can lead to different behavioral outcomes when paired with either extinction (e.g., less fear: Meir Drexler et al., 2019a) or reconsolidation (e.g., more fear, at least in men: Meir Drexler et al., 2015). Unlike cortisol, more promising targets for future reconsolidation-based therapies may include the GC receptor antagonist mifepristone (Nikzad et al., 2011; Pitman et al., 2011), the noradrenergic  $\beta$ -blocker



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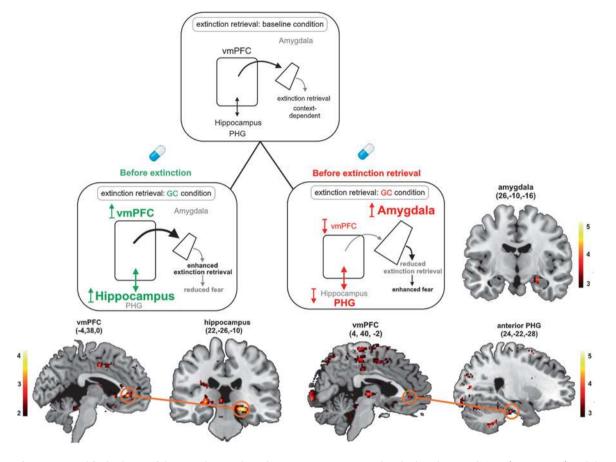


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**Figure 3:** Simplified scheme of the neural network mediating extinction retrieval under baseline conditions (upper panel) and the proposed neural mechanisms underlying the effects of glucocorticoids (GCs) on this network, when administered before extinction learning (lower left panel) or before extinction retrieval (lower right panel). Neural activation and functional connectivity are additionally shown for the comparison between conditioned stimuli in the respective brain regions. The ventromedial prefrontal cortex (vmPFC) and the hippocampus are activated and determine, based on the given context, whether or not extinction memory is expressed under nonstressful (baseline) conditions. However, if cortisol was administered before extinction learning, activity of the hippocampus and its functional connectivity to the vmPFC increases in a later retrieval task, leading to enhanced extinction retrieval and thus reduced fear. In contrast, exposure to cortisol before the retrieval task itself suppresses vmPFC activation and its connectivity with the parahippocampal gyrus (PHG), enhances activation of the amygdala, and leads to impaired extinction retrieval and thus enhanced fear. The size of the structures indicates activation dominance. The colors of the arrows depict the proposed modulating influence (black = modulation; gray = reduced modulation by GCs; green = enhancing GC effects; red = impairing GC effects). Reprinted from Meir Drexler et al. (2019), Copyright (2019), with permission from Elsevier.

propranolol (Soeter and Kindt, 2015), or cognitive techniques for memory updating (Josselyn and Tonegawa, 2020; Meir Drexler and Wolf, 2017b, 2018), but more evidence in clinical populations is needed.

## Conclusion

Cortisol, a GC involved in the development of maladaptive memories, can also be used as a pharmacological agent for the augmentation of exposure therapy. We suggest that the beneficial effect of cortisol in exposure-based psychotherapy results from its modulation of extinction processes, in particular the enhancement of extinction memory consolidation and the reduction of its contextual dependence. When used in a reconsolidation paradigm, however, cortisol may lead to an enhancement of the original fear memory and, thus, to adverse effects. The current findings encourage further investigation of the clinical use of cortisol in extinction-based (but not reconsolidation-based) interventions.

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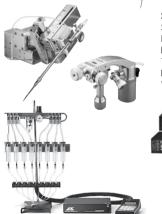
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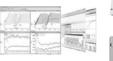
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Oliver T. Wolf is a full professor and head of the Department of Cognitive Psychology at the Ruhr University Bochum. He received his diploma in psychology from Trier University, where he also conducted his PhD thesis. After a postdoc at The Rockefeller University and New York University, he moved to Heinrich Heine University Düsseldorf, where he obtained his habilitation. In 2005, he became a full professor at Bielefeld University before moving to the Ruhr University Bochum in 2007. For more than 20 years, he has investigated the impact of acute and chronic stress on cognitive processes taking a psychoneuroendocrine perspective. Although his focus has been on learning and memory, additional processes of interest such as decision-making, social cognition, and emotion regulation have emerged over the years.

Valerie L. Jentsch is a postdoctoral researcher in the Department of Cognitive Psychology at the Ruhr University Bochum. She studied psychology at the Ruhr University Bochum, where she also obtained her PhD in 2017, investigating the effects of the stress hormone cortisol on the neural mechanisms of extinction learning and its retrieval. In her current position, she continues working on the neuroendocrine regulation of fear and extinction memories and their multidimensional assessment via behavioral, psychophysiological, and neural indices. She is also involved in several meta-research projects in the field of fear conditioning. Furthermore, she has a special interest in the bidirectional effects of stress and emotion regulation processes, which has become her second major line of research.