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Stress weakens prefrontal networks: molecular insults to higher cognition

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Abstract

A variety of cognitive disorders are worsened by stress exposure and involve dysfunction of the newly evolved prefrontal cortex (PFC). Exposure to acute, uncontrollable stress increases catecholamine release in PFC, reducing neuronal firing and impairing cognitive abilities. High levels of noradrenergic $\alpha 1$ -adrenoceptor and dopaminergic D1 receptor stimulation activate feedforward calcium–protein kinase C and cyclic AMP–protein kinase A signaling, which open potassium channels to weaken synaptic efficacy in spines. In contrast, high levels of catecholamines strengthen the primary sensory cortices, amygdala and striatum, rapidly flipping the brain from reflective to reflexive control of behavior. These mechanisms are exaggerated by chronic stress exposure, where architectural changes lead to persistent loss of PFC function. Understanding these mechanisms has led to the successful translation of prazosin and guanfacine for treating stress-related disorders. Dysregulation of stress signaling pathways by genetic insults likely contributes to PFC deficits in schizophrenia, while age-related insults initiate interacting vicious cycles that increase vulnerability to Alzheimer’s degeneration.

Exposure to uncontrollable stress rapidly evokes chemical changes in brain that impair the higher cognitive functions of the PFC while strengthening primitive brain reactions. This flip from reflective to reflexive brain state may have survival value when we are in danger, but it can be ruinous for life in the Information Age, when we need higher cognitive abilities to thrive. It has been appreciated for decades that uncontrollable stress drives mental illness, including cognitive disorders such as schizophrenia, and new evidence suggests it may also contribute to the cognitive deterioration of Alzheimer’s disease. These disorders particularly afflict the most newly evolved pyramidal cell circuits in association cortex, circuits that are uniquely regulated at the molecular level. The following reviews the effects of stress on PFC circuits and its relevance to degenerative changes in stress-related cognitive disorders.

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The newly evolved prefrontal cortex

The evolution and organization of the PFC

The PFC subserves our highest order cognitive abilities, generating the mental representations that are the foundation of abstract thought and the basis for flexible, goal-directed behavior. In primates, the PFC is topographically organized: the dorsolateral PFC (dlPFC) guides thoughts, attention and actions¹, while the orbital and ventromedial PFC (vmPFC) regulate emotion² (Fig. 1a). The dlPFC has extensive connections with the association cortices and the dorsal aspects of the striatum¹ for the regulation of thought and action. In contrast, the most caudal and medial aspects of the PFC (for example, Brodmann's areas 24 and 25, also called the anterior cingulate cortex and the subgenual cortex, respectively) project to limbic structures such as the amygdala, ventral striatum, hypothalamus and brainstem for control of the autonomic nervous system² (Fig. 1b). These PFC areas, along with the insular cortex, are thought to be critical for the mental suffering aspects of pain³. These areas receive projections from more rostral and lateral PFC, providing opportunities for the integration of cognitive and emotional processing. PFC circuits are usually positioned to either facilitate or inhibit processing, thus allowing flexible, top-down control. Data from humans suggest that the right hemisphere may be particularly important for inhibitory control³.

The topographic organization of the PFC in humans is reflected in the sites of dysfunction in neuropsychiatric disorders. For example, there is loss of dlPFC gray matter in schizophrenia^{4,5} and Alzheimer's disease⁶, while changes in more ventral and medial PFC regions are evident in mood disorders⁷ and in post-traumatic-stress disorder (PTSD)⁸. In bipolar disorder, the disinhibitory symptoms of mania are associated with dysfunction of the right hemisphere⁷, consistent with the specialized inhibitory role of this hemisphere.

The integrity of dlPFC function is often tested in working memory tasks, where information must be held in mind and constantly updated to guide accurate, flexible responding. Studies of nonhuman primate PFC have shown that the pyramidal cell microcircuits that subserve visual spatial working memory reside in deep layer III of the dlPFC¹ (Fig. 2). These are the circuits that have expanded most in mammalian evolution, with increasing numbers of basal dendrites and spines⁹. This huge increase in dendritic spines allows the extraordinary number of neural connections needed for high-order cognition, where representations of representations expand the repertoire of cognitive abilities⁹.

Microcircuits for the generation of mental representations in primate dlPFC

'Delay cells' in the primate dlPFC are able to generate mental representations in the absence of sensory stimulation¹: for example, the representation of the 90° direction from a central fixation point (Fig. 2). This persistent firing across a delay period arises from the recurrent excitation of pyramidal cells with shared spatial tuning—for example, a group of cells that all receive information from the parietal association cortex for the location 90°, their 'preferred direction'. The spatial tuning of delay cells is refined by lateral inhibition from GABAergic interneurons (Fig. 2). Pyramidal cells interconnect on dendritic spines through glutamatergic NMDA receptor (NMDAR) type NR2B synapses¹⁰ (Fig. 3). The permissive

depolarization of the postsynaptic density needed for NMDAR opening is provided by cholinergic stimulation of nicotinic $\alpha 7$ receptors in the postsynaptic density¹¹ with only minor contributions from AMPA-type glutamate receptors (AMPA)¹⁰, consistent with the lower expression of AMPAR in layer III (ref. 12).

The functional strength of these NMDAR synapses is dynamically modulated to rapidly enhance or weaken connections and thus helps to shape the contents and strength of working memory (Fig. 3). These very rapid changes in synapse strength, called dynamic network connectivity¹³, are mediated by feedforward, cAMP–Ca²⁺ signaling, which opens K⁺ channels (HCN, KCNQ) near the synapse to weaken the connection. A constellation of cAMP-related proteins are observed next to the Ca²⁺-containing spine apparatus, where they can increase or decrease feedforward, cAMP–Ca²⁺ signaling¹⁴ (Fig. 3)

The dlPFC also contains response cells, neurons that fire just before or during the motor response (Fig. 2). These neurons are modulated in a more classical manner—for example, with a reliance on AMPAR actions¹⁰—consistent with the higher expression of AMPAR in layer V of monkey dlPFC¹². Layer V response-like cells appear to be the type of neuron most common in rodent PFC¹⁵. Thus, even within the dlPFC, delay cells have distinct molecular signatures compared to surrounding neurons that make them especially vulnerable to stress exposure.

Acute stress exposure rapidly impairs higher PFC functions in animals and humans

Exposure to uncontrollable stress impairs the higher cognitive functions of the PFC

The study of stress effects on cognitive abilities began after the Second World War, when it was realized that highly skilled pilots crashed their planes in the stress of battle as a result of mental errors (reviewed in ref. 16). A key aspect of these findings was that the subject had to feel a lack of control over the stressor¹⁷, a factor also found in animal studies¹⁸. Later research in animals demonstrated that exposure to acute, uncontrollable stress impairs the working memory abilities of the PFC^{19,20}, while tasks that rely on the habitual functions of basal ganglia circuits, for example^{20,21}, or the emotional conditioning of the amygdala²² are spared or even enhanced by stress exposure (Fig. 1b).

A variety of stressors have been used to observe how stress affects functioning in the rodent brain. Early studies often used restraint stress²³ and/or inescapable shock¹⁸, as well as conditioned fear (for example, a tone previously paired with shock)²⁴. Biochemical and then behavioral studies also used a pharmacological stressor, FG7142, a benzodiazepine inverse agonist (that is, a compound with an action opposite to that of Valium) that generates a classic glucocorticoid response and increases catecholamine release in the PFC¹⁹. Studies of stress effects in monkeys as well have employed FG7142, or loud white noise, a stressor used in early studies of humans²⁰. More recent stress research in humans has employed a variety of stressors, including social stress, watching an upsetting video and listening to an account of stressful effects in one's own life.

Exposure to an acute, uncontrollable stress impairs the performance of PFC cognitive tasks in rodents, monkeys and humans²⁵. For example, rats exposed to either 2 h of restraint stress or administered the pharmacological stressor, FG7142, are impaired on the spatial delayed alternation task, a test of spatial working memory that depends on the medial PFC^{19,26}. Performance of this task also requires decision-making capabilities and the ability to inhibit a prepotent but inappropriate response, functions linked to the PFC. Stressed rats make more perseverative errors on the task, consistent with the inflexible behavior patterns that often occur under conditions of PFC dysfunction¹⁹. In contrast, the performance of a visual spatial discrimination task with similar sensory, motor and motivational demands, but no need for PFC abilities, is unchanged by stress exposure¹⁹. A similar pattern is seen in monkeys, where acute exposure to loud white noise stress²⁰ or FG7142 (ref. 19) impairs performance of a spatial delayed response working memory task, but has no effect on performance of a spatial discrimination task. Human subjects exposed to an acute social stress also exhibit impairments in working memory and attention, for example²⁷, indicating that this effect is found across many species.

The effects of acute stress on hippocampal physiology and function are more complex. Acute stress appears to enhance hippocampus-dependent fear-related memory consolidation (for example, contextual fear conditioning), but impairs spatial learning that is unrelated to the fear-inducing conditions²⁸. The severity of the acute stressor also appears to influence whether hippocampal physiology is affected. In many studies, acute, mild restraint stress had subtle or no effects on LTP²³, or if brief, could even enhance LTP²⁹. However, the addition of inescapable shocks to the restraint paradigm impairs LTP²⁸. As restraint stress alone is sufficient to impair the spatial working memory functions of the PFC²⁶, it appears that the hippocampus is less sensitive to impairment by acute stress exposure than is the PFC.

With the advent of brain imaging, stress studies have now begun to examine the neural circuit activity altered by acute stress in humans^{30,31}. Functional MRI studies have shown that listening to a stressful account of one's own life, compared to listening to a neutral passage, increases the blood oxygen level-dependent (BOLD) response in the medial PFC (anterior cingulate cortex), especially in the right hemisphere³⁰. These results are consistent with the role of the anterior cingulate in processing mental suffering³. Studies have also shown evidence of acute stress impairing dlPFC function in humans. Subjects who watched an upsetting video showed impaired performance of an *N*-back working memory task and reduced BOLD activity over the dlPFC³¹. This study also found that acute stress exposure diminished the normal deactivation of the default mode network, including relative increases in the BOLD signal in the vmPFC and insula, circuits that normally deactivate during cognition and activate with stress³¹. The stress-induced impairment in working memory performance and reduction in dlPFC activity were particularly evident in subjects with greater catecholamine actions; that is, in those subjects with a methionine substitution in the catabolic enzyme, COMT, which weakens catecholamine degradation. These results are consistent with stress-induced catecholamine release impairing dlPFC working memory function³² (see below). Stress-induced impairment of working memory during an *N*-back task has also been linked to electrophysiological signs of PFC dysfunction: cognitive impairment correlated with reduced PFC theta activity³³.

In contrast to the impairments in dlPFC working memory, an earlier study showed that watching an upsetting video enhanced the memory consolidation of the emotionally charged events in the film³⁴. This improvement in memory consolidation correlated with increased activity in the amygdala while the subject watched the video³⁴. The increased activity in the amygdala also involved increased catecholamine actions³⁵ (see below), accentuating how chemical changes during acute stress exposure can switch neural orchestration of behavior from top-down to more primitive brain states (Fig. 1b).

Relevance to mental disorders

It has been appreciated for many years that stress exacerbates mental illness³⁶—for example, the initial descent into schizophrenia³⁷ or the switch from euthymia to illness in bipolar disorder³⁸. Prolonged or traumatic stress exposure can lead to depression or PTSD, disorders that are more prevalent in women^{39,40}. Data from animal studies indicate that estrogen can exaggerate stress-induced PFC dysfunction in female rats^{26,41}. Similar mechanisms in humans may contribute to the increased vulnerability of women of cycling age for stress-induced mental disorders⁴². Notably, there is recent evidence that women exposed to serious stressors in middle age have an increased incidence of Alzheimer's disease 20 years later⁴³. This study is consistent with others showing that distress may hasten dementia⁴⁴. Thus, stress exposure may increase risk of a variety of mental or cognitive disorders.

Rapid molecular events with acute stress exposure

Increased catecholamine release in PFC

Exposure to acute, uncontrollable stress induces a number of chemical changes in brain that rapidly impair PFC function. In addition to global increases in glucocorticoids, stress increases catecholamine release in PFC^{19,24,45}. In primates, even a very mild stress can activate the dopaminergic 'saliency' neurons that respond to both aversive and rewarding events⁴⁶ and can increase dopamine release in dlPFC⁴⁷. Stress also activates the noradrenergic neurons of the locus coeruleus via stimulation by the amygdala of corticotropin-releasing factor (CRF) receptors on locus coeruleus neurons⁴⁸, increasing norepinephrine release in PFC⁴⁹. Indeed, a subset of locus coeruleus neurons project selectively to PFC⁵⁰, which may accentuate the stress response in this region. Catecholamine levels are further increased by glucocorticoids, which block the transporters on glia that normally remove catecholamines from the extracellular space⁵¹. These catecholamine actions may be increased in females by estrogen. For example, CRF activation of the locus coeruleus is accentuated in females⁵² and dopamine in the PFC is increased by estrogen⁵³, suggesting mechanisms that may underlie the increased vulnerability of females to stress exposure.

High levels of catecholamine release in PFC lead to cognitive deficits. For example, the degree of cognitive impairment during stress exposure correlates with levels of dopamine release in the rat PFC¹⁹. In both rats and monkeys, stress-induced PFC dysfunction can be blocked by dopamine D1 receptor (D1R) or norepinephrine α 1-adrenoceptor (AR) antagonists^{19,54}, and conversely, it can be mimicked by high levels of D1R⁵⁵ or α 1-AR^{56,57}

stimulation in PFC. For example, infusion of an α 1-AR agonist into the monkey dlPFC or rat medial PFC produces a marked impairment in spatial working memory performance⁵⁶.

Higher catecholamine levels have been linked to stress-induced impairment of PFC function and changes in brain state in humans as well. As mentioned above, those with a methionine substitution in COMT have weaker enzymatic activity and thus higher levels of catecholamines. These people show much greater working memory impairment and dlPFC hypoactivity during stress than those subjects with the more effective enzyme³². High levels of norepinephrine β -AR stimulation during acute stress increase the coupling of the vmPFC to subcortical limbic areas⁵⁸ and enhance the memory consolidation processing of the amygdala³⁵. High levels of norepinephrine combined with glucocorticoids have also been shown to promote habitual responding and reduce the sensitivity of the vmPFC to changes in outcome value⁵⁹. Thus, the importance of norepinephrine in switching control from reflective, dlPFC circuits to more reflexive subcortical circuits can be seen in humans as well as in animals.

Intracellular signaling pathways that weaken PFC function

We have begun to understand the intracellular actions that impair PFC function during stress¹⁶ (Fig. 3). Norepinephrine α 1-ARs activate Ca^{2+} -protein kinase C (PKC) signaling, which reduces delay-cell firing in the primate dlPFC⁵⁷, while high levels of dopamine D1R stimulation reduce dlPFC delay-cell firing by increasing cAMP-protein kinase A (PKA) signaling⁶⁰. Norepinephrine may also drive cAMP signaling via the β 1-AR⁶¹, although this pathway requires further study. Physiological, behavioral and immunoelectron microscopic evidence suggest that these pathways interact: feedforward Ca^{2+} -cAMP signaling opens nearby HCN and KCNQ K^+ channels to weaken the efficacy of nearby NMDAR synaptic connections¹³. This reduces the persistent firing of the dlPFC neurons that generate the mental representations needed for working memory and top-down control. Conversely, inhibition of Ca^{2+} -PKC or cAMP-PKA signaling, or blockade of HCN channels, can rescue PFC delay-cell firing and working memory functions^{57,60,62}.

In contrast to delay cells, which reduce firing with high levels of dopamine D1R stimulation, layer V sensory-motor response cells in dlPFC show increased firing with high levels of dopamine D2 receptor stimulation⁶³. As response cells are inhibited by delay cells during the delay epoch, they also may become disinhibited as a result of loss of this top-down regulation. As layer V response-like cells appear to predominate in rodents, recordings from rodent PFC may give a misleading view of what occurs in primate dlPFC, where the higher cognitive circuits in layer III show reduced rather than elevated levels of firing with high levels of catecholamines.

In contrast to that in PFC, high levels of catecholamines strengthen the affective responses of the amygdala^{22,64}, the habitual or compulsive responses of the striatum⁶⁵ and sensory processing in the primary somatosensory cortex⁶⁶. Similarly, PKC signaling excites sensory processing in the barrel cortex⁶⁷ and reinforces fear conditioning in the amygdala⁶⁸. Glucocorticoids have been shown to accentuate the effects of catecholamines in both the PFC and the amygdala⁶⁹, thus coordinating and exaggerating the switch from thoughtful to habitual responding during exposure to stress (Fig. 1).

Exaggeration of changes with chronic stress exposure

Circuit-specific, architectural changes with chronic stress

Chronic stress exposure accentuates many of the effects of acute stress exposure, as architectural changes exaggerate the switch from highly evolved to more primitive brain circuits. Sustained stress exposure induces loss of dendrites and spines in layer II/III pyramidal cells of rodent PFC^{70–73} and loss of the dendritic tufts of layer V pyramidal cells⁷⁴. Dendritic spine loss from layer II/III pyramidal cells in the prelimbic medial PFC correlates with impaired working memory on the delayed alternation task⁷⁵. Similarly, dendritic retraction from layer II/III pyramidal cells in the dorsal medial PFC correlates with weaker attentional flexibility on a perceptual set-shifting task⁷¹. These findings indicate that architectural changes have functional relevance. In young adult rodents, layer II/III PFC pyramidal cell dendrites can regrow with sufficient time spent under safe conditions, but this plasticity is lost with advanced age⁷⁶.

The changes in dendrites and spines with chronic stress are circuit specific. In contrast to the PFC, chronic stress exposure increases dendritic growth in the amygdala⁷⁷, thus accentuating the imbalance of amygdala over PFC function. Even within the PFC, there are circuit-specific alterations that lead to amygdala dominance with chronic stress: the subset of PFC neurons that activate the amygdala do not atrophy during stress (indeed, in females, these dendrites can be extended with stress), while the PFC neurons engaged in cortico-cortical connections show the expected loss of dendritic material⁷³. Similarly, the dendrites of pyramidal cells in the rodent orbital PFC extend rather than retract with chronic stress⁷¹. Chronic stress has no effect on performance of a reward reversal task that depends on orbital PFC function in rats⁷¹, further delineating this dissociation. Overall, a simplistic interpretation of this body of work is that pyramidal cells in cognitive circuits lose dendrites with chronic stress while those in emotional circuits are unchanged or strengthened. In contrast to pyramidal cells, the dendrites of GABAergic Martinotti interneurons hypertrophy with chronic stress in mouse PFC⁷⁸, which may further reduce pyramidal cell excitation in cognitive circuits.

The loss of PFC gray matter with chronic stress has also been documented in humans. Structural imaging has shown that lower PFC gray matter volume correlates with exposure to adverse events⁷⁹. Chronic stress has also been shown to weaken PFC functional connectivity⁸⁰ and PFC regulation of the amygdala⁸¹, and to increase the volume of the putamen, thus accentuating the switch from flexible goal-directed to habitual responding⁸². Thus, sustained stress exposure in both animals and humans maintains the brain in a more primitive, reactive state.

Molecular changes with chronic stress that contribute to spine loss

The actions of norepinephrine are exaggerated with chronic stress exposure: there is increased expression of the synthetic enzymes tyrosine hydroxylase and dopamine β -hydroxylase in noradrenergic neurons and axons in both rats^{83–85} and primates⁸⁶. Chronic stress also increases the tonic firing of locus coeruleus neurons via increased CRF–PKA activation of pacemaker cation channels⁸⁷. Interestingly, CRF is increased in the locus

coeruleus of patients with depression⁸⁸, suggesting that this mechanism may be central to a chronic stress response in humans as well. Physical exercise can be protective during stress by increasing the expression of galanin in the locus coeruleus, which reduces locus coeruleus firing, decreases stress-induced catecholamine release and protects PFC spines⁸⁹. In contrast to noradrenergic neurons, the dopaminergic axons projecting to rodent PFC become depleted with chronic stress exposure^{90,91}. However, remaining dopamine release appears sufficient for detrimental actions, as D1R blockade during chronic stress prevents dendritic retraction in rat PFC⁹².

The mechanisms underlying stress-induced spine loss are just beginning to be understood and are an important arena for further research given their relevance to cognitive disorders. How do stress pathways interact with the normal processes of spine pruning, for example, during adolescence⁹³? Are they related to spine loss with advancing age⁹⁴? More specifically, how do the feedforward Ca^{2+} -cAMP signaling mechanisms induced by stress exposure interact with inflammatory events and with signaling pathways that regulate actin dynamics in spines? Studies of the developing visual system show that activation of complement signaling induces phagocytosis of spines and synapses by astrocytes⁹⁵, but this may be a ‘cleanup’ system that works in tandem with other mechanisms—for example, mechanisms that actively disassemble the actin cytoskeleton.

One possible link between stress signaling pathways and actin regulation involves PKC phosphorylation of MARCKS (myristoylated, alanine-rich C-kinase substrate), which normally anchors the actin skeleton to the cell membrane. *In vitro* studies of hippocampal pyramidal cell cultures have shown that PKC phosphorylation of MARCKS induces collapse of the actin cytoskeleton by disconnecting actin from the neuronal membrane⁹⁶ (Fig. 4, gold). Inhibition of PKC signaling before daily stress exposure in rats prevents the loss of spines from layer II/III PFC pyramidal cells normally observed with chronic stress⁷⁵. The protection of dendritic spines correlates with preserved working memory function⁷⁵. Future studies could examine whether the preservation of spines involves MARCKS stabilization of the actin cytoskeleton and whether medications that similarly inhibit PKC signaling (for example, lithium, valproic acid, atypical anti-psychotics) similarly rescue PFC dendritic spines from the effects of stress exposure.

A more recent study found that inhibition of cAMP-PKA signaling with the α 2A-AR agonist guanfacine is also protective of PFC dendritic spines and cognitive function in rats⁹⁷. Guanfacine’s beneficial effects during chronic stress likely arise from a number of interrelated mechanisms. Guanfacine strengthens dIPFC connectivity via stimulation of postsynaptic α 2A-ARs on layer III dendritic spines, inhibiting cAMP opening of HCN channels near the synapse⁹⁸ (Fig. 4). Guanfacine may also diminish the harmful effects of stress through actions outside the PFC. Stimulation of α 2A-ARs weakens amygdala function⁹⁹, reduces stress-induced dopamine release in the PFC¹⁰⁰ and reduces the tonic firing of locus coeruleus neurons and thus reduces norepinephrine release^{87,101}. Guanfacine may also prevent spine loss by reducing inflammation in the brain (Fig. 4, purple). Microglia and astrocytes are activated by β -AR stimulation, while activated microglia are deactivated by α 2A-AR stimulation¹⁰². As guanfacine is approved by the US Food and Drug

Administration for use in adolescents¹⁰³, it may offer a practical approach for reducing the inflammatory response and gray matter loss found in prodromal schizophrenia⁵.

Stress may also reduce the number of dendritic spines in the PFC by suppressing new spine formation. Recent studies have shown that mTor (mammalian target of rapamycin) signaling increases spine generation in the apical tuft of layer V pyramidal cells in the rat PFC and that stress exposure inhibits this effect by increasing the expression of REDD1 (regulated in development and DNA damage responses 1) (Fig. 4, pink)⁷⁴. Norepinephrine stimulation of β -AR–cAMP–PKA signaling increases the expression of REDD1 in macrophages¹⁰⁴. Similar events in PFC neurons could provide a bridge between cat-echolamine-induced increases in cAMP and reductions in mTor signaling during stress exposure. It is not known, however, whether the mechanisms underlying spine loss are universal or are specific to particular brain regions or circuits, or why stress causes dendritic expansion in some neurons and atrophy in others. These are important areas for future research.

Emerging data also suggest that different kinds of stress (physiological or psychological) may evoke similar signaling pathways to lead to PFC dysfunction and spine loss. For example, hypoxia increases REDD1 expression¹⁰⁵ and also induces spine loss in PFC and impaired PFC cognitive function¹⁰⁶. As with psychological stressors, these effects are prevented by treatment with guanfacine¹⁰⁶. Similarly, traumatic brain injury (TBI) induces elevated catecholamine signaling in the PFC¹⁰⁷ and elevated α 1-AR expression that contributes to working memory impairment¹⁰⁸. As TBI increases the risk of PTSD¹⁰⁹ and Alzheimer's disease¹¹⁰, these data may help us understand the factors that make higher brain circuits so vulnerable to insult.

Translation to humans

At least some of these mechanisms studied in animals are immediately relevant to stress-related disorders in humans. For example, increases in REDD1 have been found in the dlPFC of depressed patients, which is similar to what is seen in the stressed rat PFC⁷⁴. Notably, there is evidence that treatment strategies arising from basic research are effective in stress-related disorders¹¹¹. The α 1-AR antagonist prazosin is now in widespread use to treat PTSD in veterans, active duty soldiers and civilians (reviewed in ref. 111). Prazosin reduces flashbacks, improves concentration and thinking, and reduces substance abuse, signs of improved PFC function.

Guanfacine is now in widespread use for the treatment of PFC disorders on the basis of research in animals, and it has been shown to improve PFC functions and reduce cravings in subjects with stress-induced substance abuse^{112,113}. Guanfacine also appears to help children who have been traumatized, one of the few medications helpful in this arena¹¹⁴. The positive findings with guanfacine and prazosin are reassuring, as they validate the mission of basic research.

Potential relevance to spine loss in mental disorders

A major goal of current research is to understand how activation of stress signaling pathways in PFC contributes to psychiatric symptoms and to dendritic spine loss in mental illness.

There is evidence of vmPFC gray matter loss in mood disorders^{7,115,116}, which implies dendritic atrophy. However, there have been no direct studies of changes in spine numbers in these circuits. Similarly, there have been no studies of the molecular regulation of vmPFC circuits in primates, and so we do not know whether these circuits are modulated in a manner similar to that of dlPFC. These are both important arenas for future research. However, there have been several studies of dendritic spine changes in the dlPFC in schizophrenia. Neuronal cell bodies are preserved, but there is extensive loss of dendrites and spines from layers III and possibly layer V pyramidal cells^{4,117,118}. Indeed, the onset of schizophrenia is accompanied by waves of PFC gray matter loss, as well as increased signs of inflammation⁵. The loss of spines from newly evolved cognitive circuits in schizophrenia likely contributes to their profound hypoactivity¹¹⁹. Understanding the causes of dendritic spine loss may help identify treatments to slow or prevent the descent into disease.

Clues from DISC1

Emerging data indicate that the scaffolding protein Disrupted In Schizophrenia 1 (DISC1) is critical for regulation of the stress response in PFC, suggesting that genetic insults that interfere with the function of this protein increase the risk for stress-related psychiatric disorders. Mutations in DISC1 are associated with high rates of mental illness^{120,121}, and more subtle polymorphisms are associated with decreased PFC gray matter and impaired working memory^{122,123}. DISC1 anchors many proteins and thus regulates their functional localization and molecular interactions¹²⁴. Particularly relevant to stress signaling in PFC, DISC1 anchors the phosphodiesterases (PDE4s) that catabolize cAMP and regulate its signaling^{121,125}. Immunoelectron microscopy studies of human¹²⁶ and rhesus monkey^{13,127} dlPFC show that DISC1 is located in layer III spines, where it anchors PDE4A next to the spine apparatus, critically positioned to regulate feedforward cAMP–Ca²⁺ stress signaling pathways^{13,127}. Notably, genetic insults in PDE4A are also linked to schizophrenia¹²⁸. The onset of schizophrenia is associated with signs of increased inflammation and PFC gray matter loss⁵, and biochemical studies *in vitro* have shown that inflammation reduces the ability of DISC1 to anchor PDE4A via increases in MK2 signaling¹²⁵ (Fig. 4, purple). Loss of DISC1 anchoring of PDE4A due to inflammation or genetic insults would thus disinhibit the stress response and lower the threshold for stress-induced PFC dysfunction.

Studies in rodents with genetic alterations of DISC1 are consistent with this hypothesis. Knockdown of DISC1 in rodent PFC increases cAMP signaling in PFC neurons¹²⁹ and increases sensitivity to stress-induced PFC cognitive deficits¹³⁰. DISC1 also regulates the integrity of PFC spines by anchoring kalirin-7 (Kal7, the rodent homolog of Duo) and preventing its stimulation of Rac1 signaling¹³¹. Loss of DISC1 leads to constituent activation of Rac1 signaling and spine loss via p21-activated kinase (PAK) signaling^{131,132} (Fig. 4, orange). Interestingly, PKA can form a complex with Rac1 that induces constitutive Rac1 activity¹³³, a mechanism that may contribute to stress-induced spine loss. As loss-of-function mutations in DISC1 are associated with a variety of mental illnesses, especially an increased incidence of depression¹²⁰, loss of spines may contribute to a variety of disorders, with symptoms related to the subcircuit(s) most affected—for example, changes in vmPFC increasing risk of depression and impairment of dlPFC circuits increasing risk of schizophrenia.

Molecular differences in the dlPFC of patients with schizophrenia

Molecular analyses of the dlPFC from patients with schizophrenia have also begun to provide clues regarding potential mechanisms driving dendritic spine loss. Tissue analyses have found reductions in mRNAs for CDC42 and Duo that correlate with decreased spines¹³⁴. A later study found increased expression of the CDC42 effector protein CDC42EP3 specifically in layer III, as well as reduced septin-7 (SEPT7), suggesting altered regulation of septin filaments in layer III synapses¹³⁵. *In vitro* data indicate that high levels of Ca²⁺-calmodulin signaling can disrupt CDC42-IQGAP interactions needed for actin regulation¹³⁶ (Fig. 4, gold), suggesting another possible link between stress signaling and actin dynamics. However, it is not known whether such interactions occur in layer III dlPFC spines. Bridging signaling events in dlPFC neuronal circuits with molecular changes in the neurons of patients with mental illness is an important goal for further research.

Potential relevance to degeneration in Alzheimer's disease

Dysregulation of stress signaling pathways with advancing age may also increase vulnerability to degeneration in Alzheimer's disease—for example, due to an age-related loss of PDE4A. Alzheimer's disease is characterized by amyloid- β (A β) plaques and by neurofibrillary tangles composed of hyperphosphorylated tau (pTau). Cognitive impairment correlates with the number of neurofibrillary tangles¹³⁷, which selectively affect highly connected pyramidal cells in association cortex but not in primary sensory cortex^{6,138,139}. Research is beginning to uncover why pyramidal cells in association cortex are so vulnerable, why advancing age is such a large risk factor for neurodegeneration and why stress may drive disease.

Although the largest risk factor for Alzheimer's disease is advanced age, TBI is also an established risk factor¹⁴⁰, and new evidence suggests that psychological distress⁴³ and female sex¹⁴¹ are also risk factors for Alzheimer's degeneration. Indeed, the increased risk of Alzheimer's disease associated with the E4 allele of the *APOE* gene is especially pronounced in women and is associated with increased pTau¹⁴¹. As described above, TBI and psychological distress share signaling events in PFC, and females have an exaggerated stress response. Intriguingly, animal studies have shown that stress exposure increases the phosphorylation of tau¹⁴². Thus, these seemingly disparate risk factors may share underlying molecular mechanisms that confer risk of degeneration.

Feedforward stress signaling pathways are dysregulated by advancing age

The phosphodiesterase PDE4A is critically positioned to regulate stress signaling pathways in the dlPFC pyramidal cell circuits needed for higher cognition¹⁴. PDE4A is anchored to the spine apparatus, where it can catabolize cAMP and reduce feed-forward Ca²⁺-cAMP signaling in spines (Figs. 3–5). Studies of the aging monkey cortex have found that PDE4A is lost from these spines with advancing age, perhaps as a result of age-related increases in inflammation that may unanchor PDE4A¹²⁵. Age-related reductions in PDE4A are associated with increased pTau in the dlPFC but not the primary visual cortex, a pattern similar to the pattern of neurofibrillary tangles in Alzheimer's disease¹⁴. Increased tau phosphorylation occurs at sites phosphorylated by PKA and by Ca²⁺-activated kinases¹⁴

(Fig. 5, brown). pTau accumulates over the spine apparatus and in the postsynaptic density of putative glutamatergic-like (but not inhibitory) synapses on spines, where there is evidence of pTau trafficking in vesicles. In the nearby dendrite, pTau aggregates on microtubules, where it may interfere with intracellular trafficking, including the trafficking of amyloid precursor protein (APP).

Multiple, interacting vicious cycles increase risk of neuro-degeneration

Dysregulation of feedforward Ca^{2+} -cAMP signaling in dlPFC spines could drive multiple, interacting, vicious cycles that increase vulnerability to degeneration (Fig. 5, red). APP can be cleaved to $\text{A}\beta$ (Fig. 5, magenta) when it is trapped in endosomes that contain β -secretase (BACE)¹⁴³, a process exacerbated by the *APOE*E4 genotype^{144,145}. The aggregation of pTau on microtubules may similarly trap APP-containing endosomes and lead to the generation of $\text{A}\beta$ oligomers. $\text{A}\beta$ oligomers can drive additional vicious cycles by stimulating metabotropic glutamate receptor 5 (mGluR5)^{146,147}, which activates feedforward Ca^{2+} -cAMP signaling and drive tau phosphorylation (Fig. 5, brown). $\text{A}\beta$ fibrils also increase inflammation¹⁴⁸ (Fig. 5, purple), which may unanchor residual PDE4A¹²⁵, and further disinhibit stress signaling pathways. Increased stress signaling may also dysregulate mitochondrial function, which also leads to tau phosphorylation¹⁴⁹ and $\text{A}\beta$ production¹⁵⁰ (Fig. 5, orange). These in turn cause more mitochondrial dysfunction, thus feeding yet another intracellular vicious cycle.

The presence of so many interacting vicious cycles suggests that the degenerative process could be initiated by a variety of precipitating events, any of which could set the entire process in motion. For example, genetic errors in APP processing such as presenilin mutations can increase the production of $\text{A}\beta$ early in life and thus cause early-onset illness, or the loss of PDE4A regulation of the stress response with advancing age can drive the phosphorylation of tau and lead to late-onset disease. Future research may determine whether this ‘signature of vulnerability’ observed in the dlPFC is also evident in other association cortices that degenerate in Alzheimer’s disease (for example, entorhinal cortex, parietal association cortex) and whether inhibition of stress signaling events (for example, with α 2A-AR or mGluR3 agonists, or mGluR5 antagonists) can provide strategies for prevention.

Closing

Studies of the molecular pathways activated by stress exposure have begun to explain why PFC circuits deteriorate in so many cognitive disorders. The presence of intrinsic mechanisms to actively weaken connections during stress exposure in these newly evolved circuits renders them particularly vulnerable when they are dysregulated owing to genetic or environmental insults. This contrasts with the stress effects on subcortical regions such as the amygdala that are strengthened by stress exposure, thus switching the brain into a more primitive, reflexive state. Much more research is needed to understand the mechanics of spine loss, the generality of the stress response to other high-order association cortices, and how genetic insults interact with stress signaling pathways to hasten disease. However, the benefits of this basic research are already evident in new, effective treatments for stress-related cognitive disorders.

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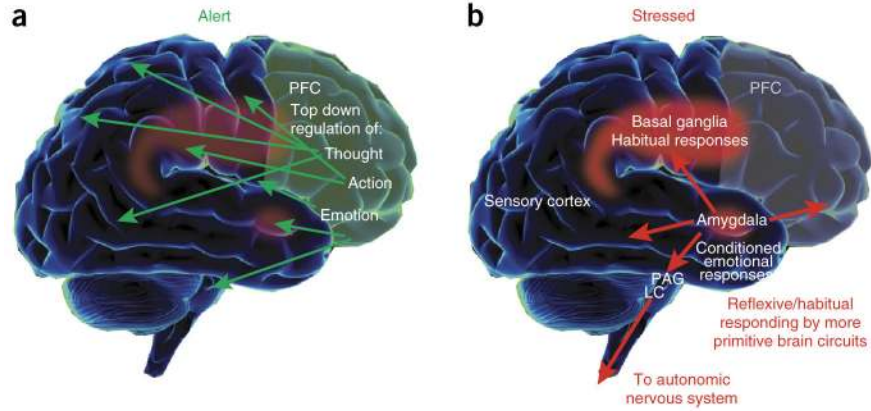


Figure 1. Changes in brain systems controlling behavior under conditions of alert safety versus uncontrollable stress. **(a)** Under conditions when a subject feels alert, safe and interested, phasic release of catecholamines strengthens the higher cognitive functioning of the PFC, thus allowing top-down regulation of thought, action and emotion. In primates, the PFC is topographically organized, with the dorsal and lateral surfaces mediating attention, thought and action while the ventral and medial aspects mediate emotion. The anatomical projections of these areas reflect these specializations. **(b)** During stress exposure, high levels of catecholamines take the PFC ‘off-line’ while strengthening the functions of more primitive circuits—for example, the conditioned emotional responses of the amygdala and the habitual actions of the basal ganglia. The amygdala activates brainstem stress systems, which in turn activate the sympathetic nervous system.

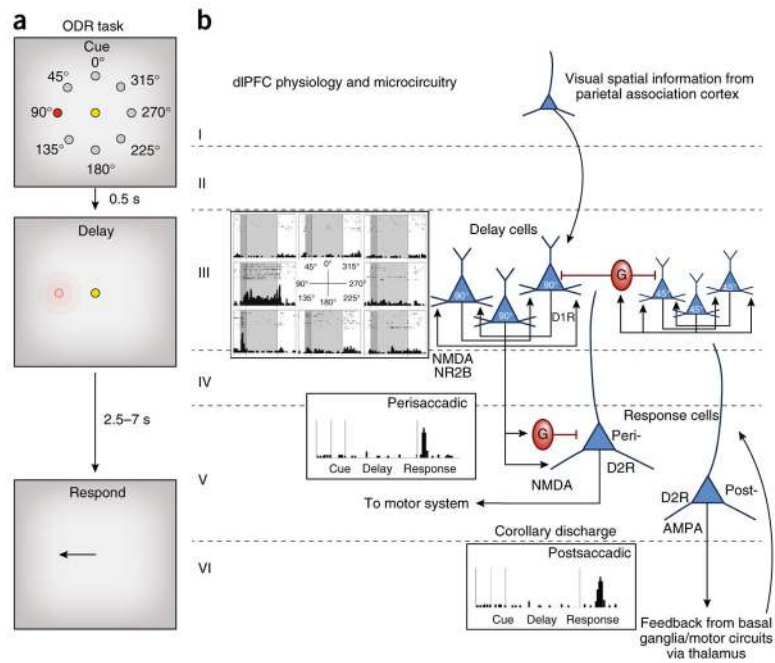


Figure 2. The cellular basis of working memory, as discovered by Goldman-Rakic. **(a)** The oculomotor delayed response (ODR) task. A monkey fixates on a central spot while a cue is briefly lit at one of eight locations. The monkey must remember that location over a delay period while maintaining fixation. At the end of the delay, the fixation point is extinguished and the monkey can move its eyes to the remembered location for juice reward. The cue location constantly changes over hundreds of trials, requiring the constant updating of working memory. **(b)** The physiology and microcircuitry of the primate dIPFC. Delay cells maintain persistent firing across the delay period for their preferred location, but not other locations. The persistent firing is generated by the recurrent excitation of pyramidal cells with shared preferred directions, likely receiving their information from area 7 of the parietal association cortex. These pyramidal cells excite each other via NMDAR NR2B synapses on spines; there are only subtle influences of AMPARs. The spatial tuning of delay cells is enhanced via lateral inhibition from GABA (G) interneurons. Delay-cell microcircuits reside in deep layer III and possibly superficial layer V. Delay cells are modulated by dopamine actions at D1R but not D2R. In contrast, response cells are modulated by D2R but not D1R and likely reside in layer V. Perisaccadic response cells fire immediately before the motor response and likely convey orders to the motor system, while postsaccadic response cells convey feedback (corollary discharge) about the response. Some response cells show both pre- and postsaccadic firing; that is, both motor and feedback characteristics. Postsaccadic response cell firing relies on AMPAR as well as NMDAR stimulation. Response cells are what are most common in rodent PFC, which has a very large layer V.

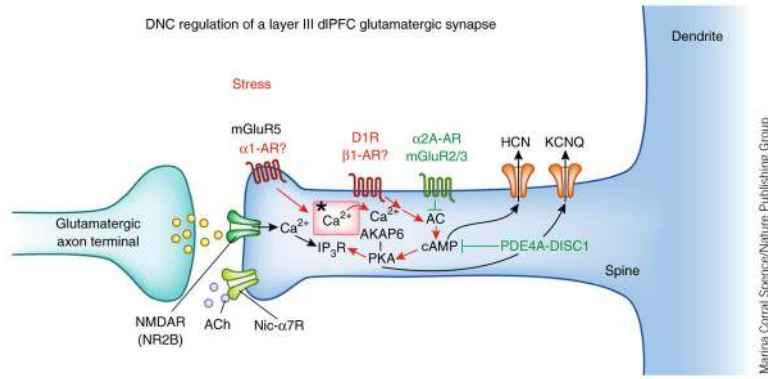


Figure 3. Dynamic network connectivity (DNC) in the primate dIPFC. Layer III NMDAR synapses on spines in the primate dIPFC are powerfully modulated by the arousal systems (acetylcholine (ACh), norepinephrine, dopamine). ACh has permissive effects on NMDAR opening via nicotinic $\alpha 7$ receptors (nic- $\alpha 7$ R) in the synapse. Feedforward Ca^{2+} -cAMP signaling, as driven by stress exposure, can rapidly weaken synaptic efficacy and network connectivity by opening K^{+} channels (HCN, KCNQ) near the synapse and in the spine neck (red). Conversely, inhibition of feedforward Ca^{2+} -cAMP signaling strengthens connections (green). The ultrastructural locations of $\alpha 1$ -AR and $\beta 1$ -AR in primate dIPFC are not yet known. Asterisk indicates the spine apparatus, the extension of the smooth endoplasmic reticulum into the spine. AC, adenylyl cyclase.

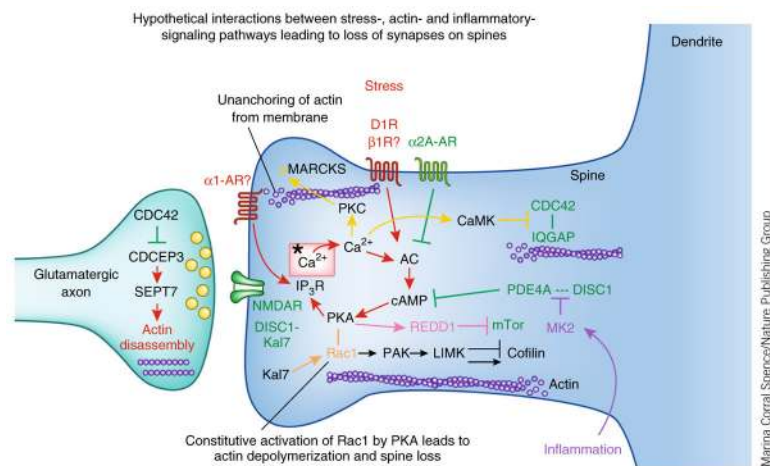


Figure 4. Hypothetical interactions between the intracellular signaling pathways activated by stress exposure and pathways that regulate actin dynamics and inflammation. Stress signaling pathways are shown in red, regulatory pathways and mechanisms that strengthen connectivity are shown in green. Inflammatory pathways are shown in purple; calcium-related signaling events are shown in yellow; Rac1 constitutive activation by PKA is shown in gold; REDD1 inhibition of mTor signaling is shown in pink. Note that the regulation of actin is often studied in cultured neurons and rarely in PFC neurons. Thus, future research will be needed to see stress signaling events alter spine number in PFC pyramidal cells through activation of these pathways.

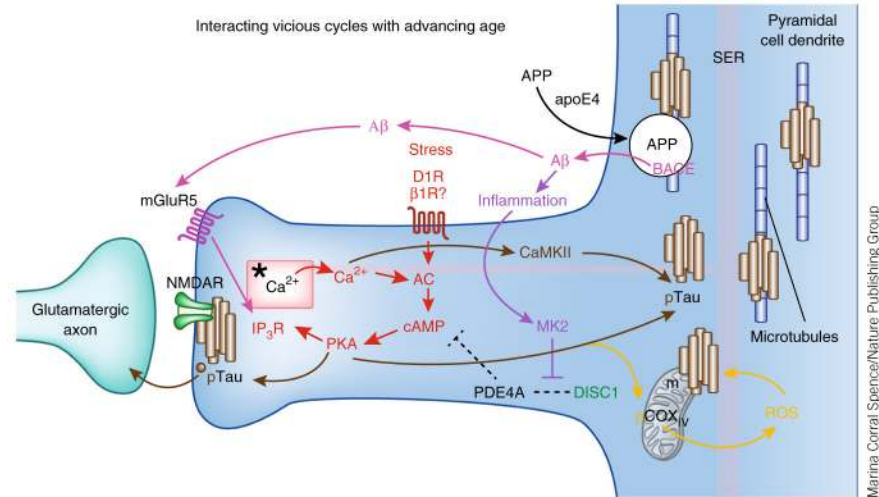


Figure 5. The multiple, interacting, feedforward vicious cycles that may be disinhibited in the aging dIPFC, contributing to increased vulnerability to degeneration. Red: stress activates feedforward Ca^{2+} -cAMP signaling pathways near the glutamate NMDAR synapses on spines. In the young adult dIPFC, the phosphodiesterase PDE4A is anchored by DISC1 next to the spine apparatus (*), an extension of the smooth endoplasmic reticulum (SER), critically positioned to regulate feedforward Ca^{2+} -cAMP signaling in dIPFC spines. PDE4A is lost from spines with advancing age, dysregulating Ca^{2+} -cAMP signaling and increasing the activation of kinases (for example, PKA and calcium/calmodulin-dependent kinase II (CaMKII)) that phosphorylate tau¹⁴. IP₃R, inositol-1,4,5-trisphosphate receptor. Brown: pTau aggregates over the spine apparatus, at glutamatergic synapses, and over microtubules in dendrites and traffics in vesicles between neurons¹⁴. The aggregation of pTau on microtubules in dendrites likely interferes with intracellular trafficking, including the trafficking of APP, the precursor to A β . Magenta: APP is cleaved to A β when it is trapped in endosomes that contain β -secretase (BACE)—for example, when there is interference with APP endosomal trafficking¹⁴³. Indeed, the increased risk of Alzheimer’s disease conferred by the apoE4 variant is thought to involve increased localization of APP into endosomes¹⁴⁵. The aggregation of pTau on microtubules may similarly trap APP-containing endosomes and lead to the increased generation of A β oligomers. The generation of A β oligomers can drive additional vicious cycles by stimulating mGluR5 (ref. 147). mGluR5 are localized near the synapse on spines in dIPFC, positioned to activate feedforward Ca^{2+} -cAMP signaling and thus drive more tau phosphorylation. Purple: A β fibrils drive inflammation¹⁴⁸, which can unanchor residual PDE4A¹²⁵ and further disinhibit stress signaling pathways. Orange: increased stress signaling may also dysregulate mitochondrial function, as PKA can phosphorylate cyclooxygenase IV (COX_{IV}) to increase reactive oxygen species (ROS)¹⁴⁹, which also increase tau phosphorylation and A β production¹⁵⁰, leading to additional mitochondrial dysfunction. Thus, dysregulation of stress signaling pathways in the dIPFC with advancing age may contribute to many deleterious molecular events that increase vulnerability to degeneration. Alzheimer’s disease pathology may begin anywhere along these pathways (for example, genetic alterations in APP processing or environmental

stressors promoting pTau) and, by driving these interacting cycles, lead to the same degenerative phenotype.

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