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Strontium diffusion in magnetron sputtered gadolinia-doped ceria thin film barrier coatings for solid oxide fuel cells

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Keywords: $\text{Ce}_{0.9}\text{Gd}_{0.1}\text{O}_{2-\delta}$; CGO; GDC; Sr diffusion; X-ray diffraction

Abstract:

Strontium (Sr) diffusion in magnetron sputtered gadolinia-doped ceria (CGO) thin films is investigated. For this purpose, a model system consisting of a screen printed (La,Sr)(Co,Fe) $\text{O}_{3-\delta}$ (LSCF) layer, and thin films of CGO and yttria-stabilized zirconia (YSZ) is prepared to simulate a solid oxide fuel cell. This setup allows observation of Sr diffusion by observing SrZrO_3 formation using X-ray diffraction while annealing. Subsequent electron microscopy confirms the results. This approach presents a simple method for assessing the quality of CGO barriers without the need for a complete fuel cell test setup. CGO films with thicknesses ranging from 250 nm to 1.2 μm are tested at temperatures from 850 °C to 950 °C which yields an in-depth understanding of Sr diffusion through CGO thin films that may be of high scientific and technical interest for implementation of novel fuel cell materials. Sr is found to diffuse along column/grain boundaries in the CGO films but by modifying the film thickness and microstructure the breaking temperature of the barrier can be increased.

1. Introduction

In recent decades, the concerns about the environmental consequences of the increasing fossil fuel combustion have stimulated research into new energy technologies. Among these are solid oxide fuel cells (SOFC) which are capable of converting chemically bound energy directly into electricity at high conversion efficiency. A considerable challenge for commercialization of the SOFC is high operation temperatures (>800 °C) resulting in high cost for cell core components and interconnects as well as decreased durability due to thermal cycling and corrosion.^[1-4] Due to drawbacks of high temperature operation, research has focused on a reduction of the operating temperature to intermediate temperatures of 600-750 °C through development of novel SOFC materials.

Present-day SOFCs usually consist of a strontium-substituted lanthanum manganite/yttria-stabilized zirconia (LSM/YSZ) cathode, an YSZ electrolyte, and a Ni/YSZ composite anode. Of the different approaches to lower the operating temperature several studies have focused on electrolytes and the ability to tailor the microstructure in order to greatly enhance the ionic conductivity.^[5-9]

Looking at the SOFC system perspective it is insufficient only to improve a single cell component in order to drastically increase the cell performance as it has been shown that all cell components (cathode, electrolyte, and anode) contribute with considerable losses in the SOFC.^[10] Therefore, work on novel cathode materials is highly important. Fe-Co perovskites, such as (La,Sr)(Co,Fe)O_{3-δ} (LSCF), are an alternative class of cathode materials, instead of the traditional YSZ/LSM cathode, as they have lower polarization resistance.^[11-13] Often a composite cathode consisting of LSCF and gadolinia-doped ceria (CGO) is used as it performs superior to the pure LSCF cathode.^[14] However, LSCF type cathodes react with Zr from the YSZ electrolyte to form SrZrO₃ which have a detrimental effect on the performance of the cell as this reaction product has a low ionic conductivity compared to YSZ.^[15] To avoid

this reaction a barrier layer needs be applied between the cathode and the electrolyte. CGO is a preferred material for this purpose as it is a good ionic conductor and chemically inert towards YSZ and LSCF at the temperatures of SOFC fabrication and operation. Several studies have showed the capability of CGO as a barrier for Sr diffusion.^[16-20]

Traditionally, SOFC electrodes have been produced by spraying, tape casting, or screen printing techniques followed by high temperature sintering above 1200 °C. However, at these temperatures CGO and YSZ form a solid solution which has a significantly lower oxide ion conductivity compared to both of the pure compounds.^[21-23] An alternative way to fabricate CGO barriers are by physical vapor deposition techniques such as magnetron sputtering, pulsed laser ablation, and electron beam evaporation.^[16,20,24] Studies comparing deposition techniques have provided evidence that CGO barrier layers fabricated by reactive magnetron sputtering show better performance.^[16,25] This may be associated with higher density of such layers reached at lower temperatures than by wet ceramic deposition techniques.^[16,20,21,25] The effectiveness of the sputter deposited CGO as barrier is closely related to the microstructure and density of the film which can be controlled by tuning the deposition parameters such as deposition temperature and substrate bias voltage.^[26] As these studies have shown, it is of great scientific interest to understand the Sr diffusion mechanism in order to tailor the microstructure of the barrier layer in such a way that Sr diffusion may be prevented and the improvements possible by using Fe-Co perovskite based cathodes can be fully utilized. In addition, thin film technology is used in numerous applications resulting in a general interest for understanding diffusion mechanisms in thin films.

In this paper, the diffusion mechanism of Sr and the formation of SrZrO₃ is studied.

Furthermore the influence of film thickness and applied substrate bias voltage on the effectiveness of reactively sputtered CGO barriers at different temperatures is investigated.

We use a model system consisting of a screen printed and sintered LSCF/CGO composite cathode base layer coated with a CGO barrier layer and a thin YSZ top layer, and study any

zirconate formation by X-ray diffraction (XRD) while annealing. This approach allows for a simple way of assessing the quality of deposited CGO coatings as diffusion barriers without the need for cell assembling and testing in a dedicated SOFC test setup. At the same time, an in-depth understanding of Sr diffusion through sputtered CGO and SrZrO₃ formation and is obtained.

2. Results and discussion

2.1 Variation of CGO barrier thickness

Samples with CGO films of different thicknesses (250 nm, 600 nm, and 1.2 μm) and a reference sample without CGO film were prepared in order to study the breakage temperature of the CGO barrier. Subsequently an YSZ film was deposited on the CGO coating.

Figure 1 shows θ -2 θ x-ray diffractograms for samples annealed at 850 °C. In figure 1a diffractograms are shown for a sample with YSZ deposited directly on the LSCF/CGO cathode without a CGO barrier layer. The sample has been annealed for 20 h allowing 40 scan cycles to be conducted. All performed scans are shown in the figure with the first scan (performed after 30 min) being placed in the bottom and the last scan (20 h) in the top. Figure 1b presents diffractograms for a sample with a 250 nm thick CGO barrier which was also annealed for 20 h, resulting in 40 scan cycles. In order to clearly show even weak peaks, only selected scans are presented. Figure 1c shows diffractograms for the sample with a 600 nm CGO barrier. This sample has been continuously scanned for 61 h, in order to see even the weakest peaks, selected scans are presented.

As the sample without CGO barrier is annealed (figure 1a) a peak is seen to form around 30.5 °. This peak corresponds to cubic SrZrO₃ (ICDD JCP2 No. 76-167). Most phases of SrZrO₃ have an intense peak around 30.5 °, however definitive phase identification can be made from TEM investigations described below. The SrZrO₃ peak is detected after ~5 h of annealing and stops growing further in intensity after ~15 h, indicating that the reaction is

limited by the already formed SrZrO₃. In figure 1b the SrZrO₃ peak also appears, but after ~10 h, and the intensity is also much weaker than was the case when no CGO barrier was applied. This means the 250 nm thick CGO has some effect but is not capable of completely preventing Sr diffusion at 850 °C. It can be expected that had annealing been carried out for longer time the SrZrO₃ peak would become as intense as the peaks observed in the case without barrier as the formed SrZrO₃ reaches the maximum thickness for this temperature. In figure 1c no formation of SrZrO₃ is observed after 20 h. Therefore, the annealing time has been extended to 61 h, which also did not result in any SrZrO₃ formation observable by XRD. Thus, a 600 nm CGO barrier appears to be capable of preventing Sr diffusion at 850°C.

Figure 2 shows X-ray diffractograms for samples annealed at 900 °C. In figure 2a all 40 scans performed, on a sample with 600 nm CGO, during the 20 h annealing period are shown. The SrZrO₃ peak is seen to appear after ~ 7 h of annealing and increase in intensity until it reaches a certain level. Figure 2b shows selected scans performed on a sample with a 1.2 µm thick CGO barrier. In this case no SrZrO₃ peak appears showing that at 900 °C, 1.2 µm CGO is needed to withstand Sr diffusion. Even though the operation temperature of SOFCs with LSCF cathodes is below these temperatures, the barrier still needs to be able to withstand elevated temperatures during the sintering of the cathode.

Figure 3 shows X-ray diffractograms for the 1.2 µm thick CGO barrier annealed at 950 °C. The SrZrO₃ peak is appearing within the first 3 h (6 scan cycles) and rapidly grows to certain intensity after which any further increase is not seen. This indicates the formed SrZrO₃ stops growing as diffusion of reactants to the reaction zone is limited. Comparing figure 1a, figure 2a, and figure 3 it is clearly seen that after ended annealing the intensity of the SrZrO₃ peak is highest for the sample annealed at highest temperature which indicates a thicker SrZrO₃ layer is formed at higher temperatures.

Figure 4 shows a STEM micrograph and EDS mappings of the 600 nm thick CGO barrier layer after annealing at 900 °C. The EDS mapping of Sr shows the formation of a SrZrO₃

layer in the interface between the CGO and YSZ coatings. This finding corresponds to the SrZrO_3 peak seen in the X-ray diffractograms (figure 2a). Comparing the mappings of Fe and Sr shows SrZrO_3 only forms in YSZ-CGO interface above the La and Sr containing LSCF grains in the LSCF/CGO composite. This means the coverage of the insulating SrZrO_3 phase is discontinuous and ionic conduction through patches not covered by SrZrO_3 , should be possible. The Sr mapping also reveals channels of Sr going from the LSCF to the SrZrO_3 grains. This shows the Sr diffusion is not bulk diffusion but grain boundary diffusion which most likely takes place along the column boundaries of the deposited CGO. These findings correspond with earlier reports as grain boundary diffusion of Sr in $\text{Gd}_{0.2}\text{Ce}_{0.8}\text{O}_{1.9}$ has been found to be 10^5 times larger than the bulk diffusion and both calculations and experiments has shown grain boundaries as the dominant route for Sr diffusion.^[24,27,28]

Figure 5a shows STEM micrographs and EDS mappings of the YSZ-CGO interface of the 1.2 μm barrier layer after annealing at 900 °C. The mapping does not show any sign of Sr diffusion, and only, by a thorough investigation of the prepared sample an area with a SrZrO_3 grain was found (figure 5b). This indicates a 1.2 μm thick CGO coating is suitable as a barrier when working at 900 °C as the formed SrZrO_3 grains are too few and scattered to influence the performance of the CGO barrier.

The TEM results shown in figure 4 and figure 5 are in accordance with the observation of SrZrO_3 peaks in the X-ray diffractograms in figure 2. This demonstrates the approach of using XRD as a suitable alternative to preparation and testing of SOFCs in order to assess a number of CGO barriers against each other.

The SrZrO_3 grain seen in figure 5b is approximately 250 nm long and has a maximum thickness around 100 nm. At its widest the grain grows into a grain boundary in the CGO layer which shows the grain formed in this boundary as Sr diffused along it from the LSCF. The elongated growth along the CGO-YSZ interface is only approximately 30 nm thick which

indicates the diffusion of Zr is slow compared to Sr while the stretched out shape point to a high driving force for SrZrO₃ formation.

The grain seen in figure 5b and the Sr mapping in figure 4 present almost identical thicknesses of the Sr phase as the annealing temperature is 900 °C in both cases. Along the SrZrO₃ layer mapped in figure 4 the layer thickness varies regularly which corresponds to grains like the one shown in figure 5b forming in the YSZ-CGO interface at the grain boundaries and spreading out along the interface. In figure 4 the density of these grains over the LSCF substrate is so high that when they elongate along the YSZ-CGO interface they come into contact and form a large continuous layer. Sr diffusion into the YSZ layer is observed in the central part of the Sr mapping in figure 4. The Sr appears to be concentrated in channels as it is in the CGO. Keeping in mind the suggested growth mechanism, Sr is most likely diffusing along grain boundaries in the YSZ due to the high driving force for SrZrO₃ formation.

The prepared samples were investigated with SEM/EDS to determine if there were any alternative routes for Sr diffusion. Cracks, which could not be covered by the deposited films, formed in the LSCF during sintering due to the thermal mismatch between LSCF and Si (see supplementary Information, **figure S1**). Annealing of the samples during the XRD measurements increased the density of the films but did not induce additional cracks (**figure S2**). Even though a slight increase in Sr concentration was measured by EDS (**Table S1**) at the observed cracks, they cannot be a key route for the Sr diffusion detected by XRD due to distance between them.

As vacuum conditions are far from the operational setup of a SOFC system, samples were annealed under atmospheric conditions in a control experiment. **Figure 6a** shows X-ray diffractograms of samples with 600 nm thick CGO barriers annealed at under atmospheric conditions. At 900 °C no SrZrO₃ peak is observed whereas at 1000 °C the phase has clearly been formed. At 950 °C a weak SrZrO₃ may be seen. Figure 6b and 6c show cross-section

STEM images and EDS mappings of the sample annealed in air at 1000 °C. In figure 6b Sr precipitates are clearly seen in the YSZ layer explaining the Sr peak observed by XRD. In figure 6c a grain boundary has been mapped. It is seen that the Sr concentration is enriched along the grain boundary which show this to be the diffusion pathway. These results show the CGO barriers breaks at higher temperatures under atmospheric conditions but the diffusion mechanism is identical to the one observed under vacuum.

2.2 Effect of substrate bias voltage

In order to study the impact of substrate bias voltage on the ability of CGO coatings to prevent Sr diffusion, films were prepared at -30 V and -110 V substrate bias, while keeping all other parameters constant.

Figure 76 shows selected X-ray diffractograms for a 600 nm thick CGO sample deposited at -110 V bias and annealed at 900 °C (figure 76a) and 950 °C (figure 76b). Looking at figure 76a no SrZrO₃ peak is seen indicating the SrZrO₃ formation is below the detection limit whereas annealing at 950 °C (figure 76b) resulted in rapid Sr diffusion visible after 1 h. This is an obvious improvement compared to the 600 nm thick film grown at -30 V where a SrZrO₃ peak was clearly seen in the X-ray diffractogram after 10 h of annealing at 900 °C (figure 2a).

Cross-section SEM micrographs of the films grown at -30 V and -110 V are shown in **figure 87**. The CGO grown at -30 V bias voltage is clearly columnar whereas columns are not easily recognized in the microstructure of the CGO film grown at -110 V. The application of a negative substrate bias voltage attracts positively charged ions to bombard and modify the surface of the growing film. Increased negative bias is known to increase the energy flux of bombarding ions which disrupts grain growth, inhibits formation of columns, and results in denser films.^[29] As SrZrO₃ formation is not observed by XRD in the film deposited at -110 V

and annealed at 900 °C it is suggested that the removal of column boundaries eliminates a major route for Sr diffusion through the CGO barrier.

3. Conclusion

A model system consisting an LSCF/CGO composite cathode sintered onto a Si wafer and subsequently coated with a CGO film and a YSZ top layer by magnetron sputtering was prepared in order to study Sr diffusion. The thin YSZ top layer allowed Sr diffusion from the LSCF to the CGO-YSZ interface and the subsequent SrZrO₃ formation to be probed by XRD. CGO barriers were deposited with different thicknesses and at different substrate bias voltages and were subsequently annealed in vacuum while continuously being scanned by XRD. In this way the breakage temperature of the barriers could be determined by observing the appearance of a SrZrO₃ peak in the X-ray diffractograms. TEM and EDS investigation of selected films were performed in order to confirm the conclusions drawn from X-ray diffractograms and to study the Sr diffusion mechanism. For films deposited at -30 V it was found that 600 nm and 1.2 μm thick CGO barriers would break at 900 °C and 950 °C, respectively. TEM investigation confirmed these results.

TEM/EDS showed Sr diffusion to take place along grain boundaries. At 900 °C the SrZrO₃ formed approximately 100 nm thick precipitates at the grain boundaries which elongated along the CGO-YSZ interface to form an approximately 30 nm thick layer. This was attributed to a high driving force for SrZrO₃ formation and a limited Zr diffusion to the reaction zone. Interestingly, it was found that SrZrO₃ would only form above LSCF grains and did not spread enough along the CGO-YSZ interface to form a fully covering layer, leaving patches above CGO grains in the substrate open for conduction of ions.

Some samples were annealed in air to simulate SOFC operation conditions and were subsequently examined by XRD and STEM-EDS. It was found that the diffusion mechanism

was the same under atmospheric conditions and in vacuum but the breakage temperature was higher in air.

By depositing at -110 V bias instead of -30 V, the CGO barrier could withstand higher temperatures before Sr diffusion was observed. The effect of increased negative substrate bias is the inhibition of columnar film growth by the energetic ion bombardment. It is suggested that diffusion along column boundaries is a major route for Sr diffusion.

4. Experimental Section

A slurry used for composite LSCF/CGO cathodes with composition 50 wt.% LSCF – 50 wt.% CGO ($\text{La}_{0.58}\text{Sr}_{0.4}\text{Co}_{0.2}\text{Fe}_{0.8}\text{O}_{3-\delta}$ – $\text{Ce}_{0.9}\text{Gd}_{0.1}\text{O}_{2-\delta}$) was screen printed in an approximately 50 μm thick layer on a Si wafer and sintered at 1000 °C for 2 hours. On top of the sintered LSCF/CGO cathode material, a CGO barrier layer followed by a YSZ layer were deposited, both by reactive pulsed DC magnetron sputtering. This setup makes up a model system for studying the usefulness of the CGO layer as a barrier for stopping Sr diffusion from the LSCF/CGO cathode to the YSZ layer, which simulate an SOFC electrolyte. The deposited YSZ was 600 nm thick which made probing of SrZrO_3 formation in the YSZ-CGO interface possible by X-ray diffraction.

The YSZ and CGO layers were deposited using a CC800/9 SinOx coating unit from CemeCon AG. CGO ($\text{Ce}_{0.9}\text{Gd}_{0.1}\text{O}_{2-\delta}$) was deposited from two metallic Ce-Gd (90:10 at.%) targets of size 88×500 mm² with a purity of 99.9% sputtered in an Ar/O₂ atmosphere with a total pressure of 400 mPa. The base pressure was below 1 mPa. The samples were mounted on a stage carrying out a two-fold planetary motion. A bipolar substrate bias (Pinnacle Plus supply, Advanced Energy) could be applied to the stage and samples. Before starting deposition the samples were pre-heated to 400 °C whereas the coating temperature was slightly lower. Deposition was performed with a power of 2000 W on each cathode. Before running the depositions the voltage hysteresis loop for the system was determined. The films

were grown while running the system in the hysteresis transition region between the metallic and poisoned state of the targets in order to obtain both high deposition rate and stoichiometric films. In order to run the system in the transition region, the cathode current was used as an oxygen partial-pressure feedback signal for controlling the reactive sputtering process.

YSZ ($Zr_{0.84}Y_{0.16}O_{2-\delta}$) was deposited from two metallic Zr-Y (84:16 at.%) targets in an Ar/Kr/O₂ atmosphere. Kr was added as a sputtering gas as its atomic mass is close to the atomic mass of Zr and Y which results in increased momentum transfer that leads to a higher sputter rate but also may be beneficial for the kinetics of film growth. During deposition 3000 W was supplied to each target and a bipolar substrate bias of -30 V was applied to the samples. All other deposition parameters were similar to the ones used for depositing CGO. For both YSZ and CGO deposition the purity of all the applied sputtering and reactive gasses were 99.999%.

X-ray diffraction in the θ -2 θ geometry was performed with a Philips X'Pert diffractometer equipped with a variable-temperature stage placed in a vacuum chamber. The sample was heated to the desired temperature (850 – 950 °C) and continuously scanned for the duration of the annealing process. Each scan cycle lasted approximately 30 min. The measurements were carried out at a pressure of 1 mPa using CuK α radiation. A few samples were annealed under atmospheric condition in a tube furnace (Heraeus, Ro 4/25) and subsequently scanned in the XRD setup at room temperature. This was done for comparison as atmospheric is closer to a real SOFC setup. For determining the film thickness and investigating film morphology scanning electron microscopy (SEM) was performed using a Nova 600 nanoSEM from FEI equipped with an EDAX energy dispersive X-ray spectroscopy (EDS) system. Transmission electron microscopy (TEM) and ~~energy dispersive X-ray spectroscopy (EDS)~~ in scanning TEM (STEM) were performed on film cross-sections using a Tecnai G2 20 U-Twin 200 kV FEGTEM from FEI and a double-corrected Titan³ 300 kV TEM also from FEI. Cross-section

samples were prepared by mechanically polishing down to a thickness of approximately 55 μm followed by ion milling using Precision Ion Polishing System (PIPS; Gatan) operated at 5 keV and 5° incident angle with argon ions and a final polishing step at 2 keV for 10 min.

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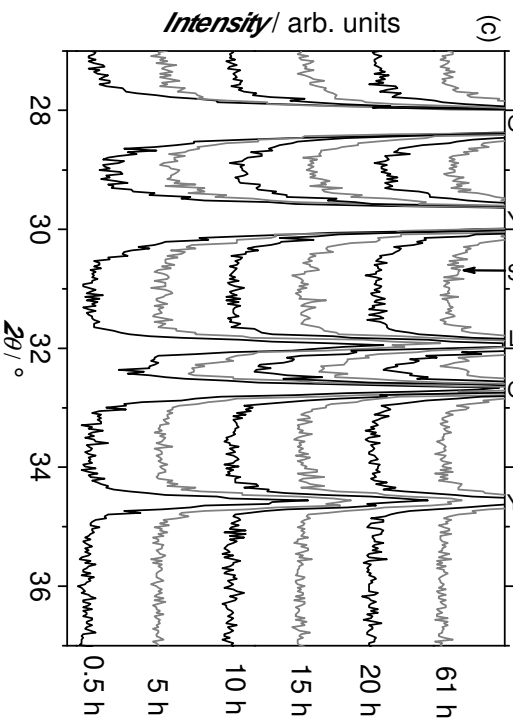
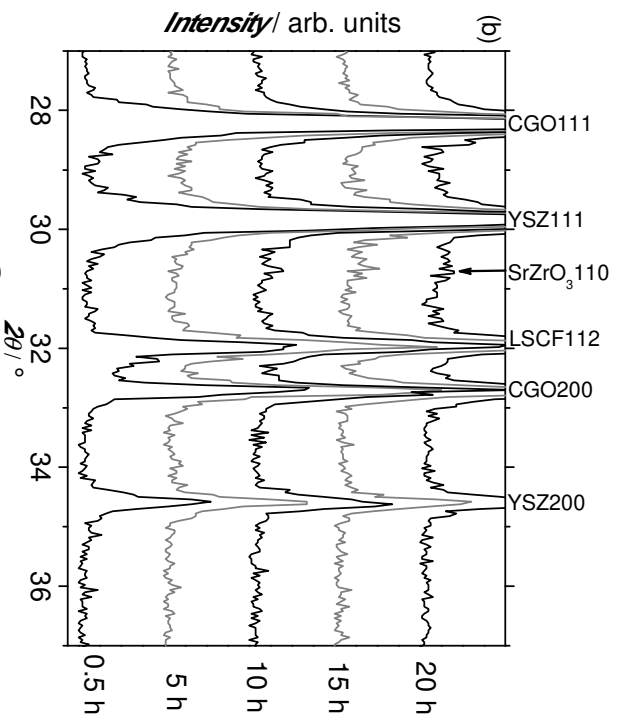
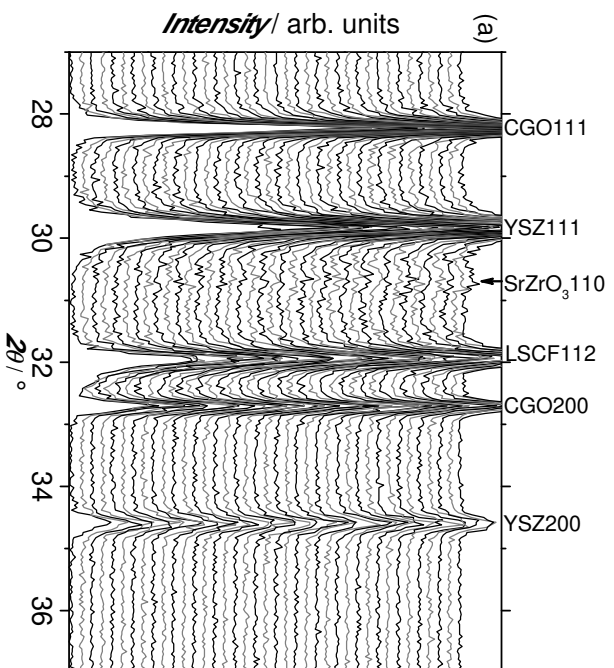


Figure 1. X-ray diffractograms of samples heated to 850 °C for 20 h to 61 h. a) Reference sample without a CGO barrier. The sample has been scanned continuously for 20 h, each scan lasting approximately 30 min. Bottom scan after 30 min, top after 20 h. b) Excerpt of scans on sample with 250 nm CGO barrier. c) Excerpt of scans on sample with 600 nm CGO barrier.

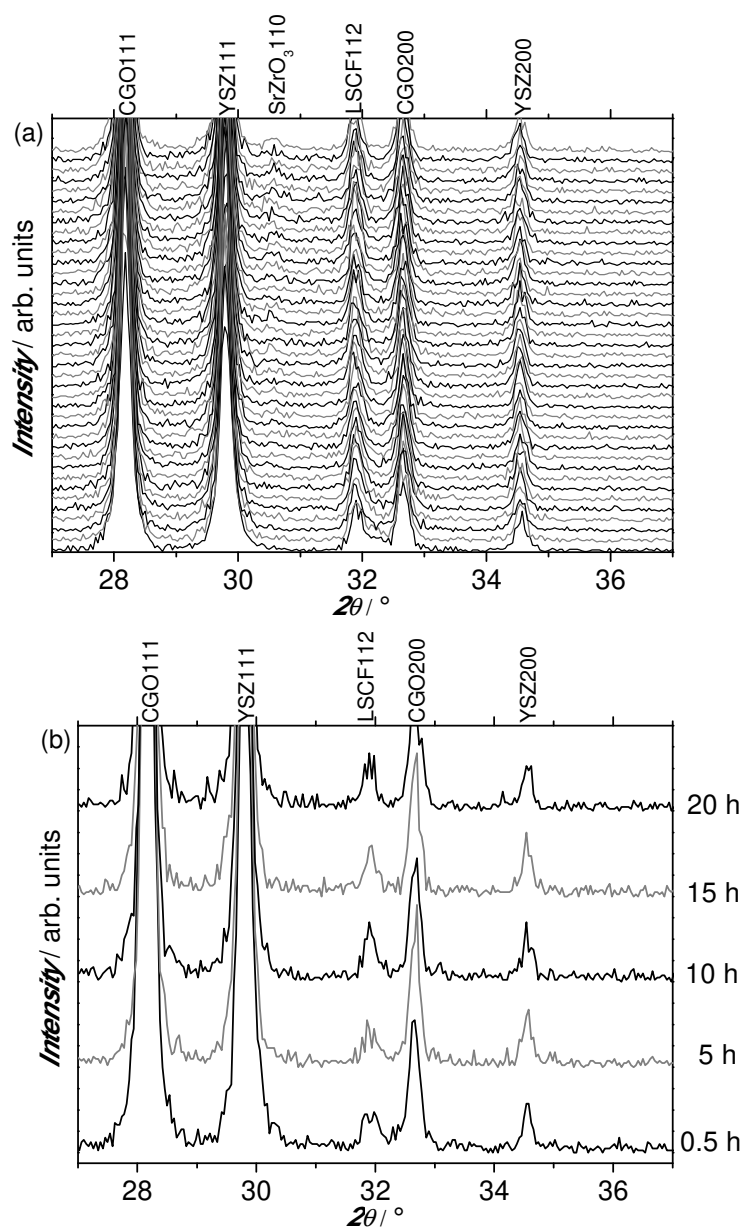


Figure 2. X-ray diffractograms of samples heated to 900 °C for 20 h. a) Sample with 600 nm thick CGO barrier. The sample has been scanned continuously, each scan lasting approximately 30 min. Bottom scan after 30 min, top after 20 h. b) Selected scans from sample with 1.2 μm CGO barrier.

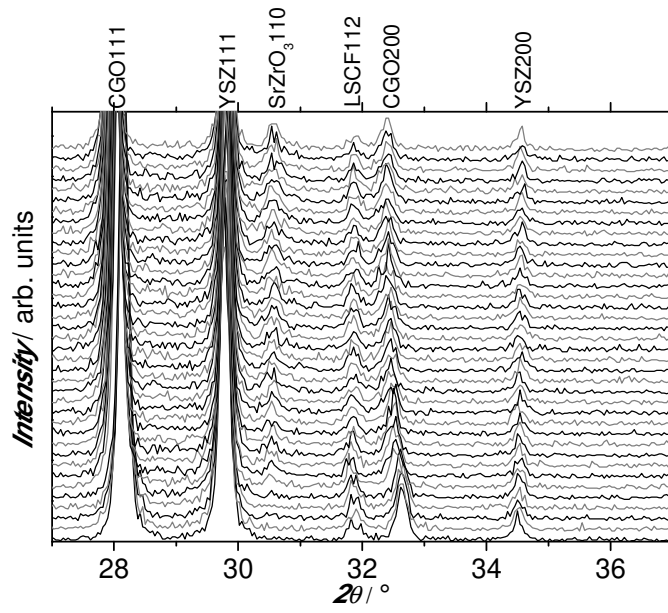


Figure 3. X-ray diffractograms of sample with a 1.2 μm thick CGO barrier heated to 950 $^\circ\text{C}$ for 20 h. Bottom scan after 30 min, top after 20 h.

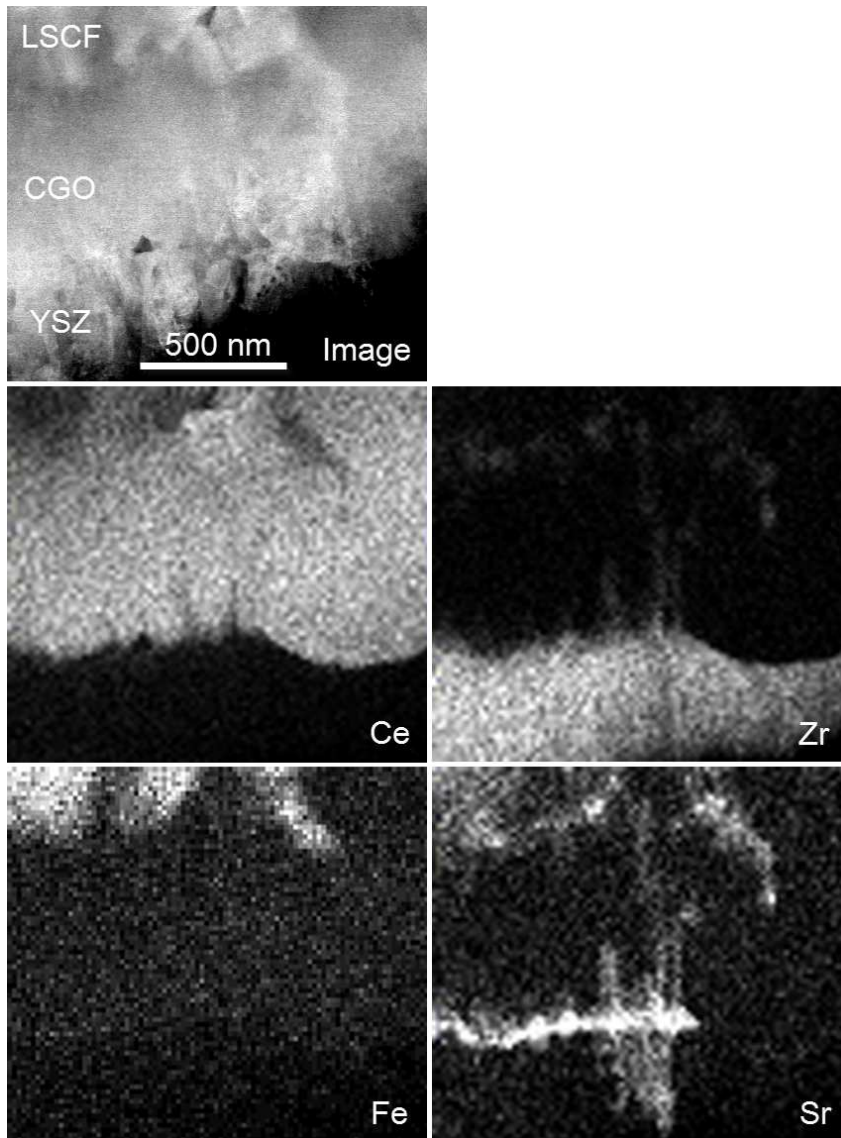


Figure 4. STEM cross-section EDS mapping of the 600 nm thick CGO barrier annealed at 900 °C.

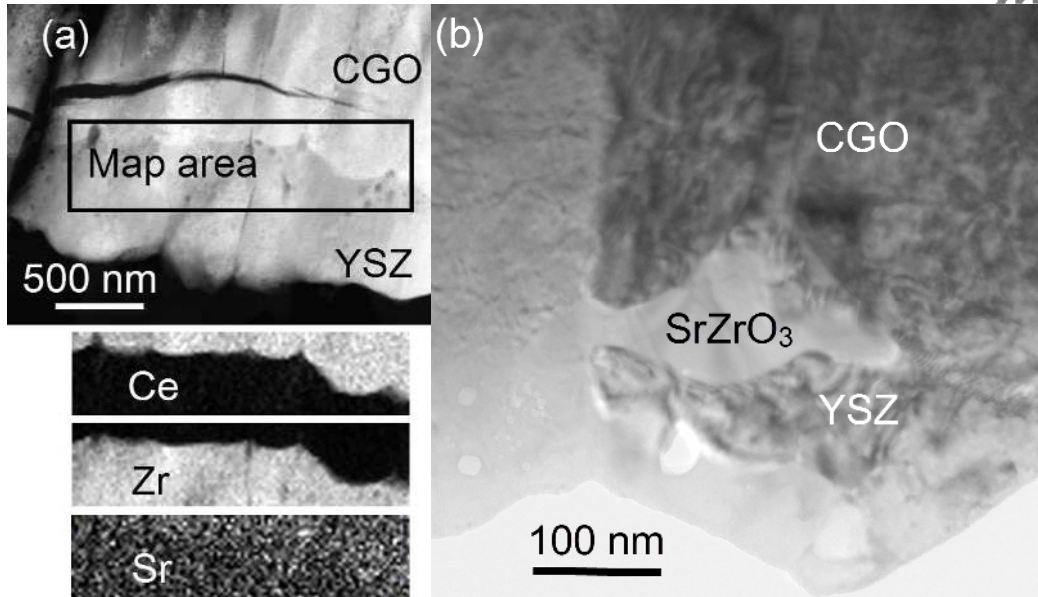
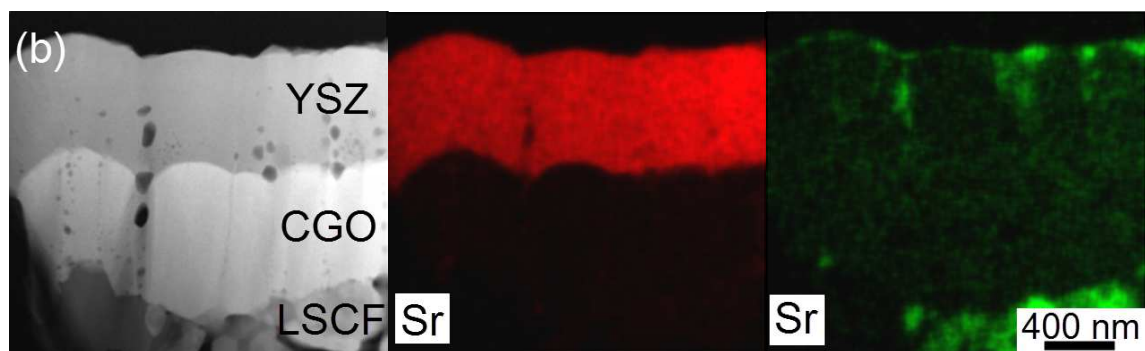
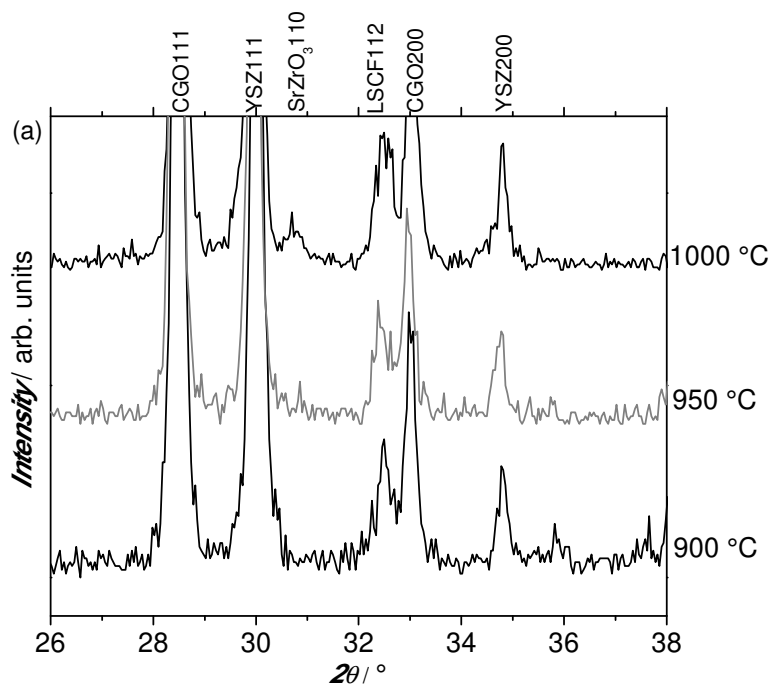


Figure 5. (a) STEM cross-section EDS mapping of YSZ-CGO interface of 1.2 μm thick CGO barrier annealed at 900 $^{\circ}\text{C}$. (b) TEM micrograph of SrZrO₃ grain found in the YSZ-CGO interface.



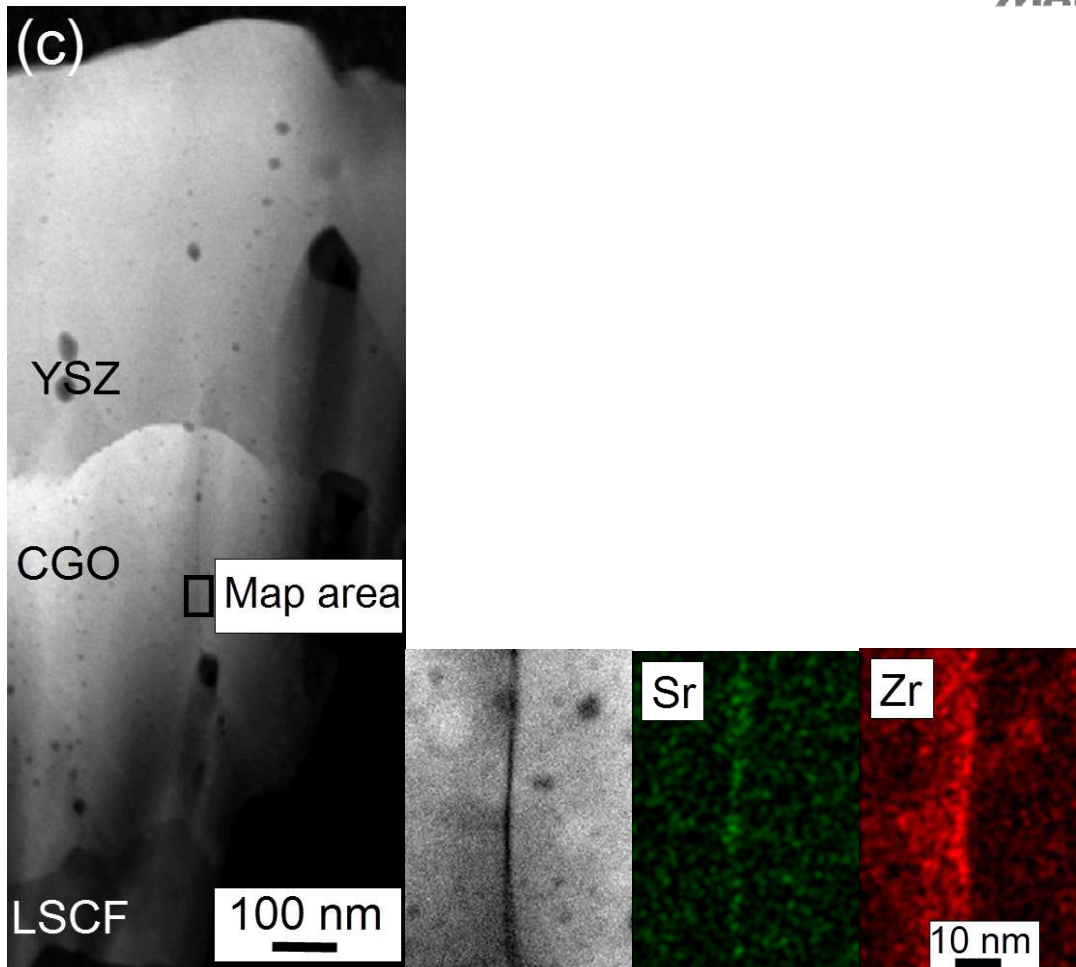


Figure 6. (a) X-ray diffractograms of samples with a 600 nm thick CGO barrier deposited annealed under atmospheric conditions for 20 h. (b) and (c) STEM cross-sections and EDS mappings of the sample with a 600 nm barrier annealed at 1000 °C.

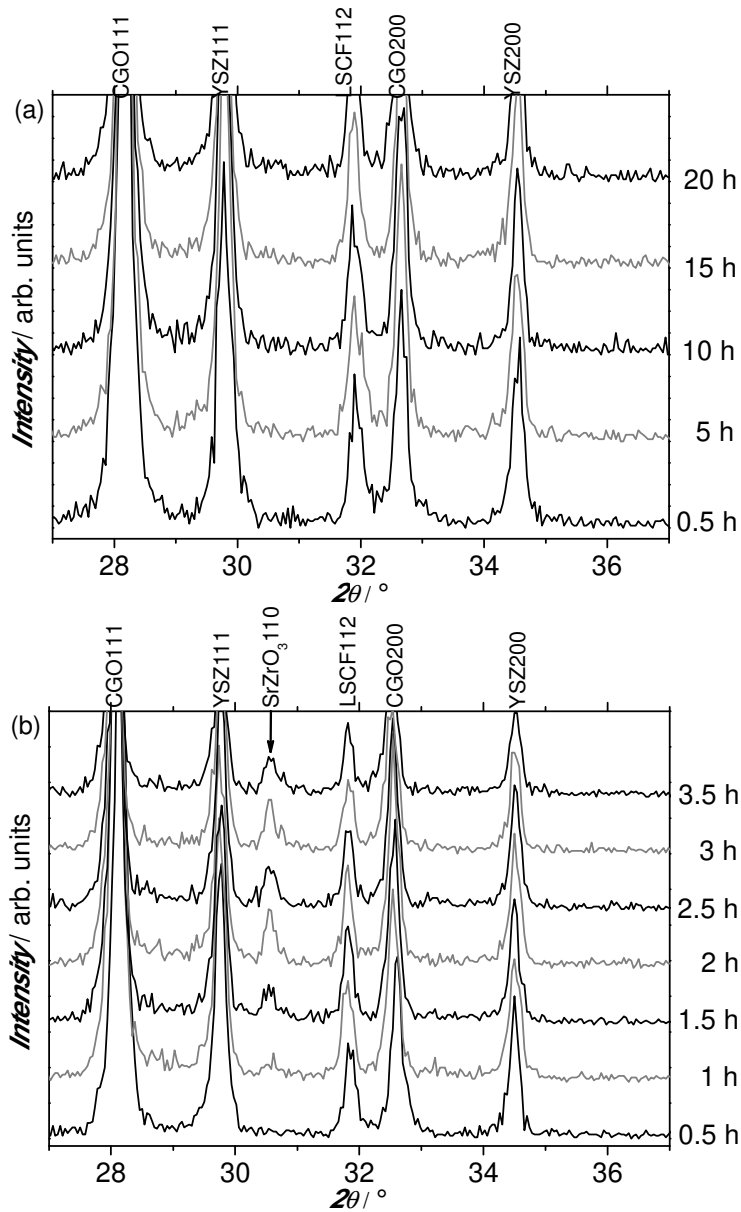
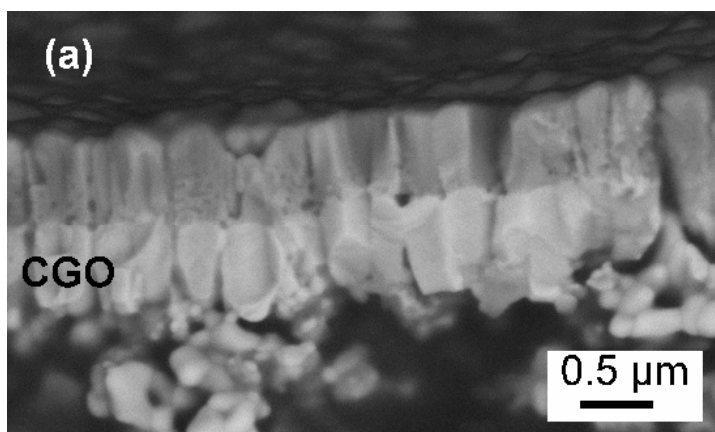


Figure 76. X-ray diffractograms of samples with 600 nm thick CGO barrier deposited at -110 V substrate bias voltage. The samples have been annealed at 900 °C (a) and 950 °C (b).



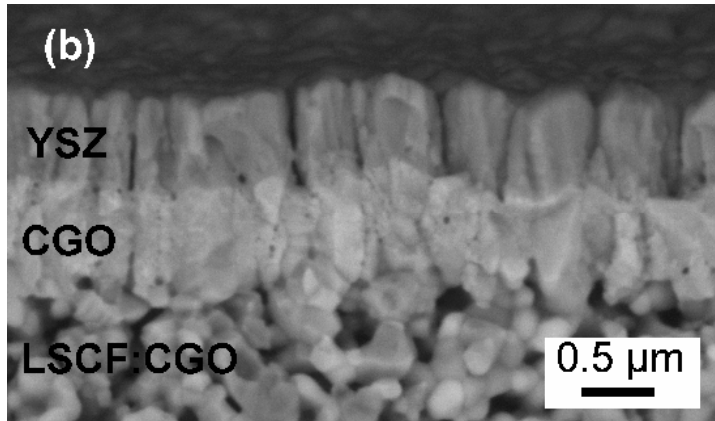


Figure 87. Cross-sectional SEM micrographs of samples after annealing at 900 °C for 20 h. Sample deposited at -30 V substrate bias (a) and sample deposited at -110 V substrate bias (b).

The table of contents entry: Strontium diffusion in magnetron sputtered gadolinia-doped ceria thin film is studied in a model system by X-ray diffraction and electron microscopy. The study shows that diffusion takes place along column boundaries and yields an in-depth understanding of the Sr diffusion mechanism. This also demonstrates how to prepare efficient diffusion barriers for solid oxide fuel cells.

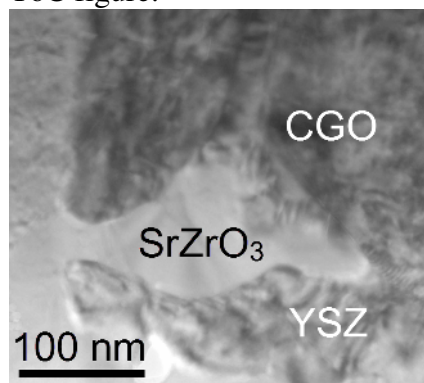
Keyword: Fuel Cells, Thin Films

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ToC figure:



Supporting Information

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Strontium diffusion in magnetron sputtered gadolinia-doped ceria thin film barrier coatings for solid oxide fuel cells

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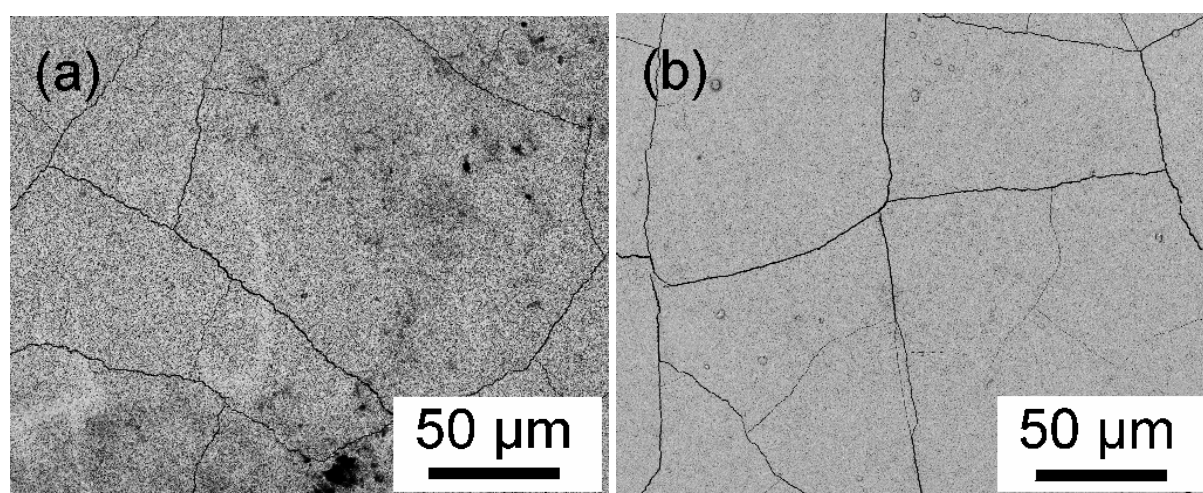


Figure S1. (a) Top-view SEM micrograph of LSCF sintered to silicon. Cracks have appeared during sintering. (b) Top-view SEM micrograph of sample after coating and annealing.

Table S1. Composition of annealed sample determined by EDS at areas with cracks and areas without cracks

Position	O [At. %]	Sr [At. %]	Y [At. %]	Zr [At. %]	Gd [At. %]	Ce [At. %]
At crack	58.4	4.5	5.2	27.0	4.2	0.7
Away from crack	60.1	3.8	5.4	28.1	2.1	0.5

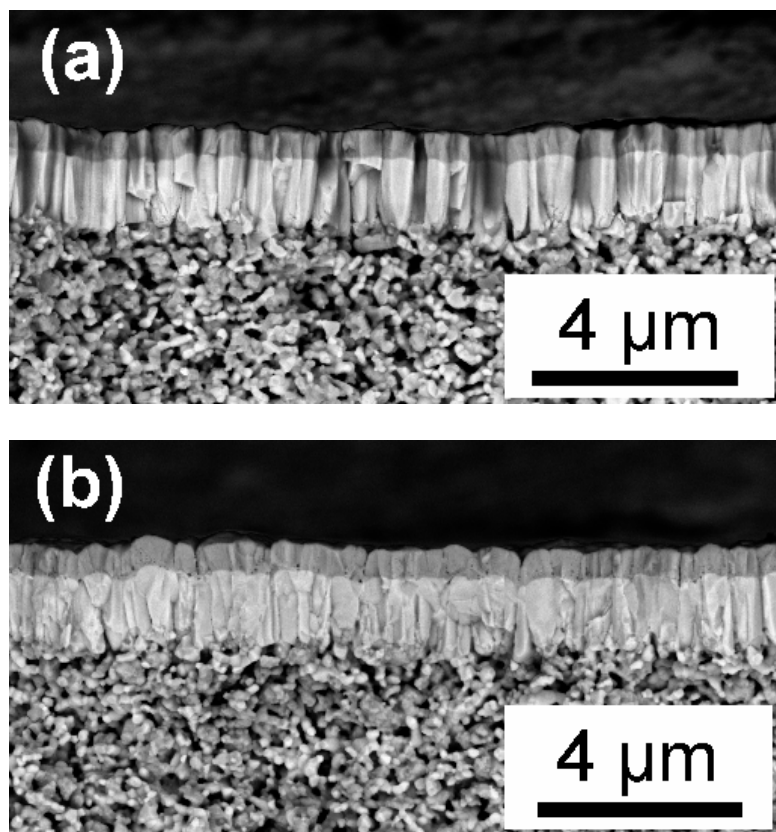


Figure S2. (a) Cross-section SEM micrograph of a sample before annealing. (b) Cross-section SEM micrograph of a sample after annealing. The film becomes denser during annealing, but does not crack.