

## STUDY ON SUMMER RAINDROP SIZE DISTRIBUTION AND RETRIEVED POLARIMETRIC RADAR PARAMETER OVER LEIZHOU PENINSULA

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### ABSTRACT:

Leizhou peninsula is located in the south of Guangdong Province, near South China Sea, and has a tropical and subtropical monsoon climate. Based on observed drop size distribution (DSD) data from July 2007 to August 2007 with PARSIVEL disdrometers deployed at Zhanjiang and Suixi, the characteristics of DSDs are studied. Non-linear least squares method is used to fit Gamma distribution. Convective and stratiform averaged DSDs are in good agreement with Gamma distribution, especially in stratiform case. Convective average DSDs have a wider spectrum and higher peak. Microphysical parameter differences between convective and stratiform are discussed, convective precipitation has a higher mass-weighted mean diameter ( $D_m$ ) and generalized intercepts ( $N_w$ ) in both areas. The constrained relations between Gamma distribution parameter ( $\mu$ ,  $A$ ,  $N_0$ ) is derived. The retrieved polarimetric radar parameter ( $K_{DP}$ ,  $Z_{DR}$ ,  $Z_h$ ) have a good self-consistency, which can be used to improve the accuracy of  $K_{DP}$  calculation.  $R$ - $K_{DP}$ - $Z_{DR}$  is superior to the  $R$ - $K_{DP}$ ,  $R$ - $Z_{DR}$ - $Z_h$  in quantitative precipitation estimation (QPE), with a correlation coefficient higher than 0.98.

### 1. INTRODUCTION

A large number of studies have shown that drop size distribution (DSD) varies both spatially and temporally (Bring et al., 2003; Testud et al., 2001; Wen et al., 2019; Chen et al., 2017). Accurate measurement of precipitation in radar meteorology is very important. The  $Z$ - $R$  relationship is related to the distribution of raindrop spectrum (Maki et al., 2001). It is necessary to study DSD characteristics of different regions to improve radar quantitative precipitation estimation (Chen et al., 2013). The shape of the raindrop is mainly affected by collision-coalescence, breakup, condensation, evaporation, updraft, downdraft, and horizontal wind shear and so on (Tang et al., 2014). The raindrop spectrum reflects the structural characteristics of precipitation, and the microphysical process of rainfall can be quantified by studying DSD (Raupach et al., 2015). Research on DSD has great significance in soil erosion and pollutant dispersal (Raupach et al., 2015). In addition, the study of DSD is also important in terms of communication, because raindrops absorbing and scattering cause interference to signal (Uijlenhoet et al., 2006). Ulbrich (1983) proposed a three-parameter Gamma distribution  $N(D) = N_0 D^\mu e^{-\lambda D}$  to describe the distribution of raindrops.  $N_0$  is the intercept parameter,  $\mu$  is the shape parameter, and  $\lambda$  is the slope parameter. When  $\mu > 0$ , the curve is convex,  $\mu < 0$  the curve is concave. When  $\mu = 0$ , the equation is simplified to exponential distribution. Milbrandt and Yau (2005) studied the effect of  $\mu$  on water droplet sedimentation and the growth of microphysical processes. Precipitation types are generally divided into two categories: convective precipitation and stratiform precipitation, which is related to different microphysical processes (Testud et al., 2001; Milbrandt and Yau, 2005). Bringi et al. (2003) proposed the concept of continental convection and oceanic

convection. The study found that there are differences between convective precipitation in different regions, the concentration of small particles near the ocean is higher than that near land. The convective precipitation in western Pacific is between the maritime-like convective precipitation and the continental-like convective precipitation (Wu et al., 2019). Chang et al. (2009) studied typhoon precipitation in the western Pacific Ocean near northern Taiwan, and parameters obtained from the typhoon precipitation in Nari and Haima indicate that these precipitations are neither marine convective precipitation nor continental convective precipitation. They guessed the characteristics of raindrops have changed due to the typhoon landing. Wen et al. (2019) studied the precipitations of four seasons in eastern China, and classified precipitations into convective, stratiform, mixed, and shallow precipitation. The frequency of shallow precipitation is the lowest, about 3%. The  $D_m$ - $\log_{10}N_w$  in this area is closer to oceanic convection. Seliga and Bringi (1976) proposed quantitative precipitation estimation using the horizontal and vertical radar reflectivity of the particles. Additional parameters provided by the polarization radar can improve the accuracy of precipitation estimation (Brandes et al., 2002). Leizhou Peninsula is located in the southwestern part of Guangdong Province. The annual precipitation is about 1500 mm. It is affected by weather disasters such as typhoons and heavy rains all the year round, suffering serious economic losses. Through the study of precipitation data in this region, we will have a better understanding about characteristics of different precipitation types and improve the radar estimation precipitation algorithm accuracy. The second part of the article will describe instruments, data source, research methods. The third part is characteristics of DSDs and their differences, relations. The fourth part is the

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quantitative precipitation estimation of polarized radar, and the final part is summary and discussion.

## 2. INSTRUMENTS AND METHODS

### 2.1 Instruments and Data Source

The instruments used in this study are Parsivel disdrometers from OTT Hydeomet, Germany. The fundamental principle is a laser sensor that emits a horizontal beam. When no particles pass through the laser beam, the receiver gets maximum voltage. When the particles pass through the laser beam, part of the laser light is blocked, reducing the received voltage. The diameter of the particle is measured by the change of the voltage, and the falling speed of the particle is calculated basing on the time of blocking. The liquid precipitation particle size measured is 0.2 ~ 5 mm, and the velocity is 0.2 ~ 20 m s<sup>-1</sup>, 32 classes. Observation data used in this study is summer raindrops recording of Zhanjiang and Suixi in 2007. Two Parsivel instruments were placed in the local meteorological observation field, and the observation time was July 15 ~ August 22 and July 18 ~ August 13 respectively. The distance between two instruments is 26.4 km.

### 2.2 Methods

In order to minimize the instrument errors, the diameter obtained by Parsivel is corrected using Battaglia et al.(2010) method.  $D_{eq}^{PAR} < 1$  mm is assumed to be approximately spherical, and the axial ratio is taken as 1,  $1\text{ mm} < D_{eq}^{PAR} < 5$  mm axial ratio is 1.075-0.075  $D_{eq}^{PAR}$ ,  $D_{eq}^{PAR} > 5$  mm axis ratio is 0.7,  $D_{eq}^{PAR}$  is the equivalent diameter of the instrument. The first two classes of diameter are not used because of high signal noise ratio. At the same time, with the boundary effect, the effective area of sampling will change according to the diameter of the particles, and the effective sampling area is  $180\text{ mm} \times (30 - 0.5 \times D_i)$  (Jaffrain et al., 2011), where  $D_i$  is the corresponding particle diameter. If only a portion of the particles fall in the laser beam, the measured particle is mistaken for particles of smaller diameter, but have a faster falling velocity. At the same time, due to the influence of strong winds, large particles will have a unrealistic falling speed (Friedrich et al., 2013). We use the empirical fall speed-diameter relationship of Atlas et al. (1973) ( $V = 9.65 - 10.3e^{-0.6D}$ ) to obtain more reliable data. We remove particles outside the 60% error area and use the proposal of Friedrich et al. (2013) to discard large particles larger than 8 mm. The blue line in the middle of the Figure 1 represents the empirical curve of the particle diameter-falling velocity proposed by Atlas et al. (1973). The red line and the yellow line represent the curve 60% deviating from the standard curve.

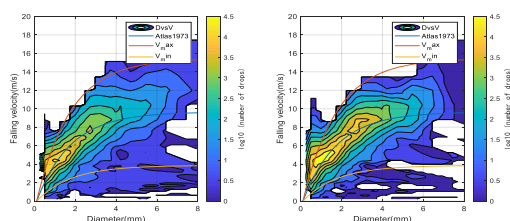


Figure 1. Particles diameter–velocity distribution in Zhanjiang and Suixi

The spectra of Zhanjiang and Suixi are similar. On the left side of the x-axis, there are some particles with a relatively fast falling velocity, and on the right side of the x-axis, a small number of large particles with a slow velocity are found. There are few particles larger than 8 mm.

With the obtained particle data, the following physical parameter can be calculated:

number concentration of raindrops

$$N(D_i) = \sum_{i=3}^{32} \left( \frac{n_{ij}}{A_i \times \Delta t \times V_j \times \Delta D_i} \right) \quad (1)$$

where  $D_i$  = particle diameter  
 $n_{ij}$  = number of particles with diameter  $i$  and velocity  $j$   
 $A_i$  = effective sampling areas  
 $\Delta t$  = sampling time interval  
 $V_j$  = particle falling velocity  
 $\Delta D_i$  = diameter interval

total number concentration

$$N_T = \sum_{i=3}^{32} N(D_i) \quad (2)$$

water content

$$W = \frac{\pi}{6000} \times \rho_w \times \sum_{i=3}^{32} \sum_{j=1}^{32} \frac{n_{ij}}{A_i \times \Delta t} \times D_i^3 \quad (3)$$

where  $\rho_w$  = density of water

rain rate

$$R = \frac{6\pi}{10000} \times \sum_{i=3}^{32} N(D_i) \times V(D_i) \times D_i^3 \times \Delta D_i \quad (4)$$

where  $V_j$  = particle falling velocity with  $D_i$   
 mass-weighted mean diameter

$$D_m = \frac{\sum_{i=3}^{32} N(D_i) \times D_i^4 \times \Delta D_i}{\sum_{i=3}^{32} N(D_i) \times D_i^3 \times \Delta D_i} \quad (5)$$

generalized intercept parameter

$$N_W = \frac{4^4}{\pi \times \rho_w} \left( \frac{1000 \times W}{D_m^4} \right) \quad (6)$$

volume median diameter

$$2 \int_{D_{min}}^{D_0} D^3 \times N(D) \times dD = \int_{D_{min}}^{D_{max}} D^3 \times N(D) \times dD \quad (7)$$

horizontally polarized radar reflectivity factor

$$Z_h = \frac{4 \times \lambda}{\pi^4 \times |K_w|^2} [(1 - 2\sigma_\phi^2) \langle |f_a(\pi)|^2 \rangle + 2\sigma_\phi^2 \langle f_a(\pi) f_b(\pi)^* \rangle] \quad (8)$$

where  $\lambda$  = radar wavelength, 5.33mm in C-brand radar.  
 $|K_w|^2$  = dielectric constant of the water phase particles  
 $\sigma_\phi$  = standard deviation of the canting angle  
 $f_a(\pi)$  = major axis backscattering amplitude  
 $f_b(\pi)^*$  = conjugate complex of the major axis backward amplitude

vertically polarized radar reflectivity factor

$$Z_v = \frac{4 \times \lambda}{\pi^4 \times |K_w|^2} [(1 - 2\sigma_\phi^2) \langle |f_b(\pi)|^2 \rangle + 2\sigma_\phi^2 \langle f_a(\pi) f_b(\pi)^* \rangle] \quad (9)$$

where  $f_b(\pi)$  = minor axis backscattering amplitude.  
 differential reflectivity

$$Z_{DR} = 10 \times \log_{10} \frac{Z_h}{Z_v} \quad (10)$$

specific differential phase

$$K_{DP} = \frac{180 \times \lambda}{\pi} (1 - 2\sigma_{\Phi}^2) \langle Re | f_a(0) - f_b(0) | \rangle \quad (11)$$

where  $f_a(0)$  = major axis forward scattering amplitude  
 $f_b(0)$  = minor axis forward scatter amplitude

### 2.3 Classification of Precipitation Types

We use the rain rate stronger than  $0.002 \text{ mm h}^{-1}$  as the start of precipitation, and precipitation time less than 30 minutes is ignored. One hour interval or more is considered to be a precipitation interval between two separate precipitations (Chen et al., 2017).

Precipitation are classified using Testud et al. (2001) method: Basing on the time series of rain rate, for the sample  $i$ , if the rain rate of samples between time series  $i-5$  and  $i+5$  is less than  $10 \text{ mm h}^{-1}$ , sample  $i$  is considered to be stratiform precipitation. Otherwise, it is classified as convective precipitation. We get 28 rain events, 11 events in Zhanjiang and 17 events in Suixi. The total number of samples was 1335 and 2813 respectively. Zhanjiang convection 29.4%, stratiform 70.6%, Suixi convection 25.4%, stratiform 74.6%.

Table 1. Rain classification results

Area	Convective	Stratiform
Zhanjiang	392	943
Suixi	714	2099

## 3. DSD CHARACTERISTIC

### 3.1 Raindrop Spectral Features and Distributions

Figure 2 is a plot of  $\log_{10}N_w$  and  $D_m$ . The dotted line in the figure is the stratiform boundary obtained by Bringi et al. (2003). The rectangle areas are maritime and continental area, the horizontal and vertical lines represent a standard deviation. In accordance with the opinions of Bringi et al. (2003), convective precipitation and stratiform precipitation distribute on both sides of the stratiform case line. The convective precipitation  $D_m$  is 2.03 mm and the  $\log_{10}N_w$  is 3.72 in Suixi. The corresponding stratiform precipitation  $D_m$  is 1.35 mm,  $\log_{10}N_w$  is 3.35. Zhanjiang convection  $D_m$  is 1.80 mm, and  $\log_{10}N_w$  is 3.80. Zhanjiang stratiform  $D_m$  is 1.18 mm, and  $\log_{10}N_w$  is 3.53. Precipitations in this area are neither continental precipitation nor maritime precipitation.

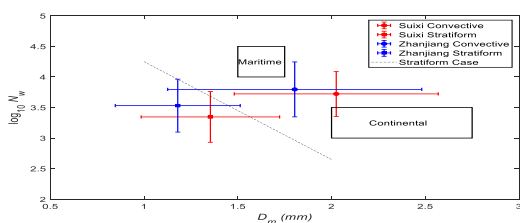


Figure 2.  $\log_{10}N_w$  and  $D_m$  in Zhanjiang and Suixi

The Gamma distribution function is  $N(D) = N_0 D^\mu e^{-AD}$ . The calculation methods of parameters mainly include matrix method, maximum likelihood method and non-linear least squares method. Non-linear least squares method is used in this study to calculate the Gamma parameters. The results indicate that the Gamma distribution can well describe precipitations in the two places, the lowest correlation coefficient square is 0.64. Although some large particles deviate from fitting curve in Zhanjiang stratiform obviously, it works better with stratiform raindrop. Generally, convective precipitation has a larger spectral width than stratiform precipitation and contains more large particles.

Convective precipitation also has a higher small particles concentration.

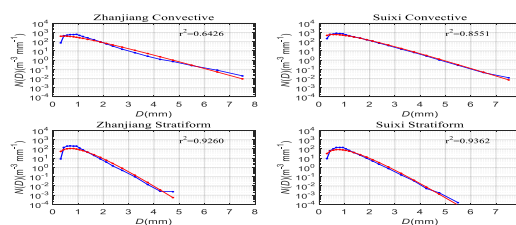


Figure 3. Average raindrop spectra in Zhanjiang and Suixi

Table 2 and 3 show integral microphysical parameters of rain.  $N_1$ ,  $R_1$ ,  $W_1$  indicate integral parameters caused by particles smaller than 1 mm. In total number concentration and water content, convective precipitation is much larger than stratiform precipitation. The total concentration of convective precipitation in Zhanjiang and Suixi is  $622.8$  and  $873.7 \text{ m}^{-3}$  respectively. The corresponding stratiform is  $167.7$  and  $119.9 \text{ m}^{-3}$ . The water content was  $0.8 \text{ g m}^{-3}$  (Zhanjiang convection) and  $1.39 \text{ g m}^{-3}$  (Suixi convective),  $0.09 \text{ g m}^{-3}$  (Zhanjiang stratiform) and  $0.08 \text{ g m}^{-3}$  (Suixi stratiform).

Table 2. Zhanjiang integral rainfall parameters

Rain type	Convective	Stratiform
$N_T (\text{m}^{-3})$	622.8	167.7
$D_{\max} (\text{mm})$	6.5	4.25
$W (\text{g m}^{-3})$	0.8	0.09
$R (\text{mm h}^{-1})$	18.3	1.6
$N_1/N_T (\%)$	66.1	82.2
$R_1/R (\%)$	7.9	29.1
$W_1/W (\%)$	14	40.1

Table 3. Suixi integral rainfall parameters

Rain type	Convective	Stratiform
$N_T (\text{m}^{-3})$	873.7	119.9
$D_{\max} (\text{mm})$	6.5	4.75
$W (\text{g m}^{-3})$	1.39	0.08
$R (\text{mm h}^{-1})$	33.7	1.6
$N_1/N_T (\%)$	63.3	75.6
$R_1/R (\%)$	5.4	21.3
$W_1/W (\%)$	10.1	30.9

### 3.2 Correlation between Spectral Parameters

$\mu$  and  $N_0$  are the main parameters in Gamma distribution. The shape parameter  $\mu$  is often considered as a constant,  $A$  and  $N_0$  is diagnostic parameter. These three parameters are not independent (Ulbrich, 1983; Zhang et al., 2001). Zhang et al. (2001) and Brandes et al. (2003) proposed a constraint relationship between the parameters of Gamma distribution, and proposed a polynomial formula to fit  $\mu-A$ . The formula is as following:

$$A = 0.0365 \times \mu^2 + 0.735 \times \mu + 1.935 \quad (12)$$

Since  $\mu$  and  $A$  have a fluctuating value when the rain rate is small, and the large value corresponding to small particles is considered to be instrumental error rather than physical process (Zhang et al., 2003). In order to reduce the impact of sample error, data filtering is required. Zhang et al. (2003) fitted  $\mu-A$  relationship using samples with rain rate  $R > 5 \text{ mm h}^{-1}$  and total number count  $C_1 > 1000$ . Cao et al. (2008) suggested fitting data with  $0 < A < 20 \text{ mm}^{-1}$ . Chen et al. (2017) used samples with a particle number more than 300 for the  $\mu-A$  relationship. Here we use the Chen et

al. (2013) method to filter out samples with a total particle counts less than 1000. Since the  $\mu$ - $A$  relationship in the stratiform precipitation is weak, we only discuss the  $\mu$ - $A$  relationship in the convective precipitation. The least squares method is used to fit the quadratic polynomial, and the following results are obtained:  
 (1) Zhanjiang area:  $A=0.0403 \times \mu^2 + 0.6297 \times \mu + 1.1847$   
 (2) Suixi area:  $A=0.0367 \times \mu^2 + 0.671 \times \mu + 1.1745$

The coefficients of correlation are 0.9932 and 0.9789, respectively, and the  $\mu$ - $A$  relationship is almost same in two areas. In Figure 4 we compare our results with others. When the  $\mu$  value is relatively large, Zhanjiang, Suixi is between the curves of Tang et al. (2014) Beijing and Zhang et al. (2003). When the value of  $\mu$  is small, the results are similar with the result of Yangjiang.

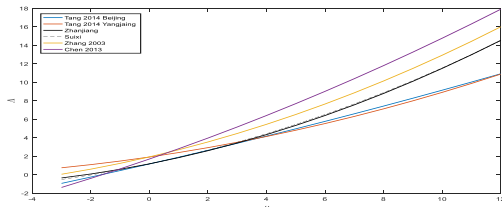


Figure 4.  $\mu$ - $A$  curve in different areas

As for the relationship between  $N_0$  and  $\mu$ , the calculation of  $N_0$  ( $\text{m}^{-3} \text{mm}^{-1-\mu}$ ) depends on the value of  $\mu$ , which indicates that there is a computational correlation between the two calculated parameters, thus making it difficult to distinguish whether there is a physical correlation (Bumke et al., 2011) between  $N_0$  and  $\mu$ . Chen et al. (2017) expressed the relationship between  $N_0$  and  $\mu$  with an exponential form:

$$N_0 = 323 \times e^{1.5\mu} \quad (13)$$

We use a similar method to calculate  $N_0$  and  $\mu$ , (Figure 5 is the fitting result). The fitting results show a linear fit correlation between  $\mu$  and  $\log_{10}(N_0)$  in Zhanjiang, Suixi. The correlation coefficients are 0.96 and 0.85. Because small value change in  $\mu$  will cause great change in  $N_0$ , this relationship is not suitable for retrieving.

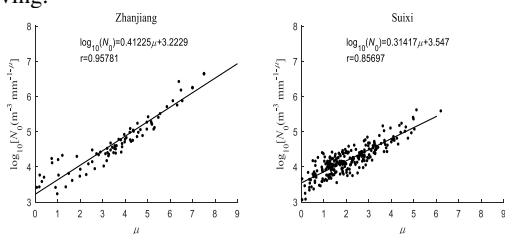


Figure 5.  $\mu$  and  $\log_{10}(N_0)$  fitting result

#### 4. POLARIZATION RADAR AND PRECIPITATION ESTIMATION

##### 4.1 Radar Parameter Correlation

$K_{DP}$  is basically not affected by radar calibration, echo attenuation, etc., and is superior in precipitation estimation. Optimizing estimation of  $K_{DP}$ , the quantitative precipitation estimation accuracy can be improved. Scarchilli et al. (1996) pointed out that there is self-accuracy between  $K_{DP}$ - $Z_h$ - $Z_{dr}$ , and the relationship between  $Z_h$ - $Z_{dr}$  and  $K_{DP}$  can be used to improve  $K_{DP}$  accuracy.

$$Z_{dr} = 10^{\frac{Z_{DR}}{10}} \quad (14)$$

$$K_{DP}(Z_h, Z_{dr}) = a \times Z_h^b \times Z_{dr}^c \quad (15)$$

where  $a, b, c =$  coefficients

By fitting, we find that the precipitation in both places is in good agreement with this relationship, points that deviate from the fitted line may be due to scale election effects (Kumjian and Ryzhkov, 2011).

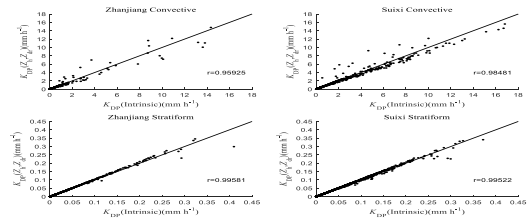


Figure 6.  $K_{dp}$ - $Z_h$ - $Z_{dr}$

##### 4.2 Quantitative Precipitation Estimation

Most common algorithms for quantitative precipitation estimation using dual polarization parameters are  $R$ - $K_{DP}$ ,  $R$ - $K_{DP}$ - $Z_{DR}$  and  $R$ - $Z_{DR}$ - $Z_h$ .

$$R = a \times K_{DP}^b \quad (16)$$

$$R = a \times K_{DP}^b \times Z_{DR}^c \quad (17)$$

$$R = a \times Z_{DR}^b \times Z_h \quad (18)$$

The fitting coefficients are given in Table 4, 5, and 6, Figure 7, 8, 9 are the fitting results.

Table 4.  $R$ - $K_{DP}$  coefficients

Precipitation	Coefficient a	Coefficient b
Zhanjiang Convective	23.93	0.72
Suixi Convection	24.23	0.768
Zhanjiang Stratiform	18.54	0.694
Suixi Stratiform	15.84	0.679

Table 5.  $R$ - $K_{DP}$ - $Z_{DR}$  coefficients

Precipitation	Coefficient a	Coefficient b	Coefficient c
Zhanjiang Convective	0.0026	0.9227	-1.017
Suixi Convection	0.0019	0.9655	-1.1156
Zhanjiang Stratiform	0.0021	0.9728	-0.7806
Suixi Stratiform	0.0018	0.9828	-0.8518

Table 6.  $R-Z_{DR}-Z_h$  coefficients

Precipitation	Coefficient a	Coefficient b	Coefficient c
Zhanjiang Convective	30.28	0.943	-0.5297
Suixi Convection	30.52	0.943	-0.503
Zhanjiang Stratiform	29.79	0.968	-0.681
Suixi Stratiform	30.41	0.979	-0.7046

The  $R-Z_{DR}-Z_h$  algorithm estimate is not suitable for convective precipitation in Zhanjiang, it always overestimates rain rate in most cases.  $R-K_{DP}-Z_{DR}$  algorithm has a good performance. The fitting goodness is above 0.98, but in the estimation of convective cloud precipitation, when the rainfall is strong, underestimation occurs.

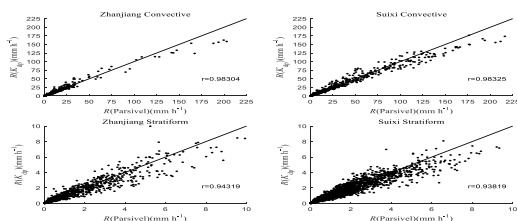


Figure 7.  $R-K_{DP}$

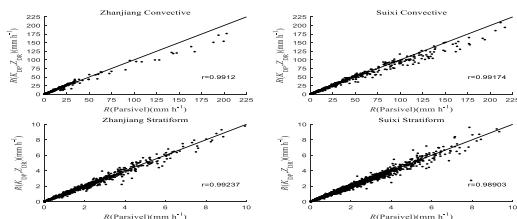


Figure 8.  $R-K_{DP}-Z_{DR}$

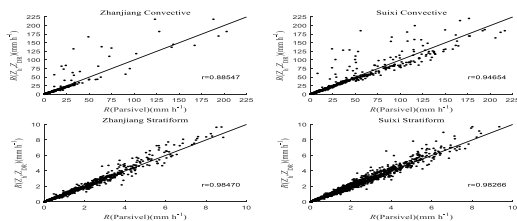


Figure 9.  $R-Z_{DR}-Z_h$

### 5. SUMMARY

This study is based on the observations data of the Parsivel laser disdrometer from July to August in Zhanjiang and Suixi. Through statistical analysis, the following conclusions are drawn:

1. Convective precipitation has a larger  $N_w$  and  $D_m$  than stratiform precipitation. Although Suixi and Zhanjiang are near enough, they still show relative differences in microphysical parameter.
2. The precipitation in the Zhanjiang and Suixi conforms to Gamma distribution. DSD spectra show great differences in convective and stratiform precipitation.
3. The  $\mu-A$  relationships of the two regions are basically identical, and they can be used to retrieve DSD with radar observation.

4. There is a good correlation between  $K_{DP}-Z_h-Z_{dr}$ , which can be used to obtain a more accurate  $K_{DP}$ . The  $R-K_{DP}-Z_{dr}$  quantitative precipitation estimation algorithm gets a good result in this region.

The analyses above further confirm the obvious variations of DSD and similarity in different regions. The variations in DSD and instrument errors is the main error source of QPE, we will further study more sensitive QPE methods and reliable parameter relation. And try to retrieve DSD using polarization radar parameters and constrained relation.

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