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Study on the horizontal bearing characteristics of pile foundation in coral sand

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1 **Study on the horizontal bearing characteristics of pile foundation in coral**
2 **sand**

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4 Chunyan Wang^{1,2}, Hanlong Liu^{1,2*}, Xuanming Ding^{1,2}, Wang Chenglong^{1,2}, Qiang Ou^{1,2}

5
6 **Chunyan Wang**

7 Ph.D. Student, Key Laboratory of New Technology for Construction of Cities in Mountain
8 Area, Chongqing University, College of Civil Engineering, Chongqing, 400045, China.

9 E-mail: wangchyanl@163.com

10

11 ***Hanlong Liu (Corresponding Author)**

12 Professor, Ph.D, Key Laboratory of New Technology for Construction of Cities in Mountain
13 Area, College of Civil Engineering, Chongqing University, 83 Shapingba North Street,
14 Chongqing, 400045, China.

15 *Corresponding Author, E-mail: hliuhhu@163.com

16

17 **Xuanming Ding**

18 Professor, Ph.D., Key Laboratory of New Technology for Construction of Cities in Mountain
19 Area, Chongqing University, College of Civil Engineering, Chongqing, 400045, China.

20 E-mail: dxmhhu@163.com

21

22 **Chenglong Wang**

23 Research Fellow, Ph.D., Key Laboratory of New Technology for Construction of Cities in
24 Mountain Area, Chongqing University, College of Civil Engineering, Chongqing, 400045,
25 China.

26 E-mail: wangclong@cqu.edu.cn

27

28 **Qiang Ou**

29 Research Fellow, Ph.D., Key Laboratory of New Technology for Construction of Cities in
30 Mountain Area, Chongqing University, College of Civil Engineering, Chongqing, 400045,
31 China.

32 E-mail: ouq126@cqu.edu.cn

33

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Draft

35 **Abstract:** This paper presents the horizontal bearing characteristics of piles in coral sand and
36 silica sand from comparative experimental studies. A total of 6 model piles with different
37 diameters are tested. The horizontal bearing capacity, deformation characteristic, bending
38 moment, p - y curve, the change in soil horizontal pressure, as well as the particle breakage
39 behaviour of coral sand are investigated. The results show that, in coral sand foundation, the
40 horizontal bearing capacities of piles and the increments of soil horizontal pressures are
41 obviously greater than those in silica sand. Accordingly, the lateral displacement, the rotation
42 of pile head, the bending moment and the corresponding distribution depth in coral sand are
43 significantly smaller than that in silica sand. The p - y curves indicate that the horizontal
44 stiffness of coral sand is greater than that of silica sand. Remarkably, the breakage behaviour
45 of coral sand is mainly distributed in the range of 10 times pile diameter depth and 5 times
46 pile diameter width on the side where the sand is squeezed by pile. Furthermore, in coral
47 sand, the influence of pile size is more pronounced, the squeezing force generated by pile
48 spread farther and its influence range is larger compared to those in silica sand.

49

50 **Key words:** Coral sand, Silica sand, Horizontal load, Particle breakage, P - y curve.

51

52 **Introduction**

53 In recent years, with the increasing exploitation of oil, wind and gas resources, the
54 construction projects of marine structures are developing rapidly around the world, such as
55 offshore wind turbines and offshore drilling platforms. In these offshore engineering, the
56 lateral loads, result from waves, winds, etc., are primarily born by pile foundations
57 (Bhattacharya et al. 2013; Ding et al. 2021; Luan et al. 2020b). Noting that, on a global scale,
58 the coral sand is distributed widely between 30°S and 30°N, such as the South China Sea and
59 the Australia Sea, and the problems of coral sand sediment will inevitably be encountered.
60 Therefore, in the design and construction of offshore engineering, it is highlighted from a
61 practical standpoint to understanding the horizontal bearing characteristics of piles in coral
62 sand.

63 Numerous tests and engineering examples show that the bearing capacity of pile is mainly
64 determined by the properties of soil (Ding et al. 2020a). By investigating the micro-structures
65 and the basic engineering properties of coral sand, the mechanical properties of coral sand are
66 found to be significantly different from those of general continental and marine sediments
67 (Luan et al. 2020a; Lv et al. 2019a; Lv et al. 2017). The coral sand is characterized by
68 irregular shape, low unit weight, easily cemented, high porosity and large permeability, etc.
69 (Konrad and Salami 2018; Ma et al. 2019; Zhu et al. 2016). Particularly, the coral sand
70 particles are easy to break and disintegrate as compared with siliceous particles, which greatly
71 affects the mechanical behaviour of coral sand (Coop and Atkinson 1994; Leung et al. 1996;
72 Peng et al. 2019; Peng et al. 2020; Xiao and Liu 2017; Yu 2017). These small grains from the

73 fractures and separation of protogenetic sand could fill the void space among particles,
74 resulting in volumetric deformation and shrink under high pressures (Konrad and Salami
75 2018; Lee and Farhoomand 1967; Lv et al. 2019a). Significantly, the dilation phenomenon
76 could be observed in coral sand at low confining pressure, which is different from silica sand
77 (Miao and Airey 2013; Xiao et al. 2017; Zhang et al. 2015). Therefore, the design method of
78 pile in the conventional foundation is not applicable in coral sand.

79 Up to now, a number of experimental and theoretical researches on the horizontal bearing
80 characteristics of piles in silica sand (Guo 2006, 2013; Lin et al. 2015; Matlock 1970; Reese
81 et al. 1974) and clay (Cecconi et al. 2019; Ding et al. 2020b; Hong et al. 2017; Huang et al.
82 2017) have been conducted, but the data concerning the coral materials is very limited (Dyson
83 and Randolph 2001; Guo and Zhu 2005; Novello 1999; Wesselink et al. 1988; Williams et al.
84 1988). Nevertheless, the unique mechanical properties of coral sand have not been taken into
85 account, and the derived load-transfer curves are not cast in a general form that would allow
86 an extension to different conditions.

87 In view of above issues, a series of model pile tests in coral sand and silica sand are
88 conducted in this study, aiming to reveal the lateral bearing characteristics of pile in coral
89 sand. The lateral displacement and bending moment of pile are measured by means of
90 displacement transducers and closed-spaced strain gauges. Besides, the changes in soil
91 horizontal pressures and the particle breakage behaviour of coral sand are carefully measured
92 and calculated. Furthermore, the p - y curves in coral sand and silica sand are discussed.

93 **Overview of the experimental model**

94 **Model piles**

95 In order to better simulate the pile-soil interaction in actual engineering, prefabricated
96 reinforced concrete piles are employed in present study, and the detailed parameters are
97 tabulated in Table 1. The pile diameters are 35 mm, 45 mm and 55 mm, respectively (Luan et
98 al. 2020b). The same reinforcing cages composed of four straight steel wires and three
99 stirrups with the same diameter of 2.6 mm are set in all model piles, and the thickness of
100 protective layer is 5 mm. The measured bending stiffness of model pile is $2.73 \text{ kN}\cdot\text{m}^2$, 5.33
101 $\text{kN}\cdot\text{m}^2$ and $9.68 \text{ kN}\cdot\text{m}^2$ respectively.

102 **Sand**

103 In this study, the coral sand is brought from the South China Sea, and the silica sand is the
104 Fujian standard sand that is commonly used in engineering. Fig. 1(a) shows the initial grading
105 of the adopted coral sand and silica sand with the same mean particle diameter ($D_{50}=0.6$). In
106 addition, the shear behaviours of the sand used in this study are presented in Fig. 1(b) by the
107 ring shear test. It is clear that the shear strength of coral sand is greater than that of silica sand,
108 and the friction angle is 32° and 42° , respectively. Besides, the scanning electron micrographs
109 of the sand used in this study are presented in Fig. 1(c-d). The intro-particle voids could be
110 obviously observed in coral sand, indicating that this material possesses a high void ratio and
111 crushable characteristics. The median values of flatness ratio, elongation ratio, convexity and
112 angularity index of silica sand are 0.36, 0.34, 0.71 and 0.53 respectively, and these values of
113 coral sand are 0.78, 0.45, 0.45 and 0.28 respectively (Bagheri et al. 2015; Blott and Pye 2007;

114 Kong and Fonseca 2018; Xiao et al. 2019). These morphology parameters exhibit that the
115 silica sand is relatively round and its surface is smooth. In contrast, the particle shape of this
116 coral sand is angular and its surface is rough. All other relevant mechanical parameters are
117 provided in Table 2.

118 **Testing equipment**

119 Fig. 2 and Fig. 3 show the arrangement of experimental instruments and devices, which are
120 composed of sand container, lateral loading system, measuring instrument and data
121 acquisition system. The applied lateral load, pile head rotation, the lateral displacement of
122 pile, bending moment, soil horizontal pressure and particle breakage of coral sand are
123 carefully measured, respectively.

124 The sand container used in present study is locally manufactured by 12 mm thick organic
125 glass and section steel with an internal dimension of 1 m (length) \times 0.8 m (width) \times 0.8 m
126 (depth). The model piles are installed in the center of the sand container prior to sand. Then, 8
127 sand layers are prepared by the dry pluvial deposition method (Ganju et al. 2020; Ng et al.
128 2015; Zhu et al. 2019) to achieve a relative density of 40%. In order to facilitate the
129 application of lateral load and the arrangement of measuring instruments, the top of the model
130 piles is 150 mm higher than sand surface. Therefore, the effective embedded length of pile is
131 shortened to 700 mm.

132 Horizontal load is applied to the installed model pile at ground elevation. The lateral loading
133 system is formed by a fixed pulley, wire rope, counterweight blocks and other devices. The
134 loading method is the slow load maintenance method with the load classification of 0.1 kN .

135 The measuring system used in this test consists of strain gauge, linear variable differential
136 transformer (LVDT) and earth pressure cell. 24 strain gauges are symmetrically attached to
137 the pile at two opposite sides, and keep a fixed interval of 50 mm along the pile embedded
138 length. Six LVDTs are adopted to measure the lateral displacements of pile. Two of them are
139 installed above the sand surface, one is placed at the loading position, and another one is
140 placed at the position where is 100 mm above the sand surface. The rotation of pile head
141 could be calculated by the difference between these two measured displacements. The
142 remaining four LVDTs are connected to four rigid thin wires fixed at the measurement points
143 through thin steel pipes and fixed pulleys, to measure the displacements of pile below the
144 sand surface. These measuring points have a fixed interval of 150 mm along the pile
145 embedded depth. Furthermore, 42 earth pressure cells are placed in sand with an interval 100
146 mm in the horizontal and vertical directions to measure the change in soil horizontal pressure,
147 and the stress surface of cell is arranged vertically and facing the pile. In order to avoid the
148 measurement error and ensure its reliability, the earth pressure cells are calibrated by the
149 testing apparatus of one-dimensional compression prior to testing. The coral sand and silica
150 sand used in this test are used to make the sand sample of one-dimensional compression test.
151 The earth pressure cell is horizontally placed in the middle of the sand sample, which ensures
152 the stress surface of the pressure sensor in good contact with the sand. The earth pressure cells
153 can be well calibrated by comparing the vertical pressure applied on the sand sample and the
154 value measured by the sensor. All measuring instruments are balanced (zeroed) before the
155 load is applied, and all readings are simultaneously recorded by a multichannel data logger.

156 Coral sand is a kind of granular materials that is easy to break (Konrad and Salami 2018).
157 Most of the existing indexes for quantitatively evaluating the crushability of granular
158 materials are obtained by comparing the gradation curves before and after the particles
159 crushing (Einav 2007; Hardin 1985; Peng et al. 2019; Xiao et al. 2016). The measure range of
160 particle breakage behaviour is clearly shown in Fig. 2, and 140 sand samples are collected at
161 an interval of 50 mm after the loading is completed. The obtained soil samples are sieved
162 again to obtain the current gradation curves of coral sand.

163 **Results and analysis**

164 **Horizontal bearing capacities of piles**

165 An ultimate criterion proposed by Byrne et al. (2015) is defined by the minimum load level at
166 either a ground level lateral displacement of $10\%D$ or a ground level rotation of 2° , where D
167 is the diameter of model pile. Fig. 4(a) and Fig. 4(b) show the pile lateral displacement (at
168 loading position) and the pile head rotation, respectively. The work-hardening behaviour can
169 be clearly observed, implying that the pile foundation is still capable of bearing further lateral
170 load even at a considerably large displacement of $10\%D$ (Zhu et al. 2019). Fig. 4(c)
171 summarizes the applied lateral load and the corresponding pile head rotation when the
172 displacements at ground level reach $10\%D$. Noting that the ground level rotations are still less
173 than 2° when the lateral displacements at ground level reach $10\%D$. Therefore, the
174 corresponding load when the lateral displacement at ground level reaches $10\%D$ is defined as
175 the horizontal bearing capacity of pile.

176 It is worth noting that the horizontal bearing capacities of piles in coral sand are obviously

177 higher than those in silica sand. For example, the bearing capacities of piles in coral sand are
178 0.47 kN (C35), 0.58 kN (C45) and 0.77 kN (C55), which are respectively 1.24 times, 1.29
179 times and 1.38 times of those in silica sand. Remarkably, the differences between the pile
180 bearing capacities in coral sand and silica sand increase with the increase of pile diameter.
181 This may be attributed to two respects: one is the irregular shape and rough surface of the
182 coral sand can result in the stress dilatancy at low confining pressures, which can significantly
183 improve the resistance to mutual movement between particles; and the other is the fine
184 particles generated by particles crushing in coral sand can raise its compressive capacity by
185 filling the void space.

186 Besides, the bearing capacities of piles, to a certain extent, increase by decreasing the
187 length-diameter ratio. Especially in coral sand, the improvement of the bearing capacity
188 caused by increasing pile diameter is more significant. For instance, when the diameter
189 increases from 35 mm to 45 mm and 55 mm, the bearing capacity increases by 23.4% and
190 63.8% in coral sand, while the bearing capacity only increases by 18.4% and 47.4% in silica
191 sand, respectively. This indicates that, in coral sand foundation, the bearing capacity of pile is
192 more sensitive to the change in pile size than that of pile in silica sand. The improvement
193 caused by increasing pile diameter in coral sand possibly results from two aspects: one is the
194 bending stiffness of pile increases by increasing diameter, which is similar to the pile in silica
195 sand. The other one is, the sand range squeezed by pile increases with the increase of
196 diameter, resulting in the effects of stress dilatancy and the improvement of compressive
197 stiffness caused by particle crushing behaviour of coral sand are more significant, which is

198 unique to coral sand.

199 **Lateral displacements of piles**

200 The lateral displacements along pile depth under different loading levels (0.3 kN and 0.6 kN)
201 are shown in Fig. 5. It is obvious that the lateral displacements mainly take place in the upper
202 part of the piles, and show an increasing trend as the diameters decrease. The depth range,
203 where the lateral displacements of piles mainly take place, increases with the increase of
204 diameter under a relatively high loading level. For example, when the applied load is 0.6 kN,
205 the lateral displacements of model piles with diameters 35 mm and 45 mm mainly occur
206 within the depth of about 0.3 m. It is close to 0 at the lower part of pile, showing the
207 characteristics of elastic long pile. When the diameter increases to 55mm, the displacements
208 could be clearly observed in the entire depth of pile, illustrating that the sand around the pile
209 is mobilized to resist external load in the entire depth range of pile. Noting that a slight
210 reverse displacement can be observed near the pile bottom. The main reason may be the slight
211 rigid rotation caused by the large bending stiffness in C55. Moreover, under the same loading
212 level, the lateral displacements of piles in coral sand are significantly smaller than those in
213 silica sand. At ground level, the average lateral displacement of piles in coral sand is
214 approximately 52% of that in silica sand. This can be explained by that, the irregular shape
215 and rough surface of coral sand can increase the resistance to mutual movement between
216 particles, which can improve its ability to resist the development of pile displacement.

217 Fig. 6(a) shows the rotation angle of pile head at different loading levels. Obviously, the
218 rotation of pile head exhibits a decreasing trend by increasing the pile diameter, resulting

219 from the greater bending stiffness and pile-soil contact area of large-diameter pile. Moreover,
220 the rotation of pile in coral sand is significantly smaller than that in silica sand, indicating that
221 the inclination of the superstructure caused by pile deformation in coral sand is significantly
222 smaller than that in silica sand. In addition, the rotation of pile head increases with the applied
223 load, but the increment of pile rotation in coral sand is smaller than that in silica sand. For
224 instance, when the applied lateral load is 0.3 kN, the pile head rotations in coral sand are
225 0.37°, 0.2° and 0.13°, which are smaller than those in silica sand (0.52°, 0.35° and 0.28°),
226 respectively. When the applied load increases to 0.6 kN, the pile head rotations increase to
227 1.11°, 0.62° and 0.45° in coral sand, which are about 3 times of those at load level of 0.3 kN.
228 Meanwhile, the pile rotations in silica sand also increase to 3.96 times (2.06°), 4.11 times
229 (1.44°) and 3.43 times (0.96°) of those at load level of 0.3 kN respectively, which are
230 significantly larger than those of piles in coral sand. Fig. 6(b) shows that, when the lateral
231 displacements of all piles reach the same value at ground level, the displacement along the
232 pile depth in coral sand is obviously smaller than that of the pile in silica sand.

233 **Bending moment distribution on pile shaft**

234 By attaching strain gauges symmetrically to pile at two opposite sides, the changes in tensile
235 and compressive strains over the embedded length of pile could be carefully monitored.
236 According to Eq. 1, the bending moment of model pile can be calculated, as shown in Fig. 7.

$$M = EI\Delta\varepsilon / D \quad (1)$$

237 where $\Delta\varepsilon$ is the difference between the tensile strain and the compressive strain of each
238 section; D is the diameter of model pile; EI is the bending stiffness of model pile.

239 Fig. 7 shows the failure mode of the model pile and the distribution of the bending moment
240 along pile depth. All model piles exhibit fracture failure finally, and the cracks are close to the
241 depth associated with the maximum bending moment, which confirms the accuracy of
242 measurement and the rationality of the calculation method of bending moment. The
243 magnitudes and distribution areas of bending moment gradually increase with the lateral load.
244 The reverse bending points, where the bending moment is equal to 0, always appear in the
245 lower part of model piles and gradually move downward. This indicates that the depth ranges,
246 where the piles mainly undergo bending deformation, gradually increase with the applied
247 load. Also noting that, the value of the bending moment below the reverse bending point is
248 rather small, showing the characteristics of flexible pile.

249 Fig. 8 shows the comparison of bending moment of model piles with different diameters and
250 sediment materials under the same loading level (0.3 kN). The changes in sediment material
251 and pile diameter greatly influence the distribution of bending moment, as shown in Table 3.
252 As the pile diameter increases, the magnitudes of bending moment decrease, while the
253 distribution areas increase obviously. Meanwhile, the positions associated with the maximum
254 bending moment, the reverse bending point and the crack move downward, demonstrating
255 that a larger range of sand would be mobilized to resist the pile deformation, and a longer pile
256 length would be mobilized to generate bending deformation to resist the applied external load.
257 However, the small-diameter pile with small bending stiffness would take place more
258 significant deformation, but its deformation just occurs in a small range. For example, when
259 the applied lateral load is 0.3 kN, the corresponding depth of the maximum bending moment

260 (153.32 N·M) is 0.14 m below the sand surface in C35. With the increasing of pile diameters,
261 the maximum bending moment decrease by 14.4% and 20.9% to 131.28 N·M and 121.14
262 N·M, respectively. Meanwhile, the position associated with the maximum bending moment
263 moves downward to -0.20 m and -0.26 m, and the depth of crack also moves downward to
264 0.17 m and 0.28 m, respectively.

265 When the sediment material is replaced to silica sand, the similar rule discussed above can be
266 obtained. However, in silica sand, the magnitudes and the distribution depths of bending
267 moments are significantly greater than those in coral sand. Therefore, the required pile length
268 mobilized to generate bending deformation for resisting external load would become longer.
269 Meanwhile, the sand ranges mobilized to resist the pile deformation would also become
270 larger. In addition, the increment of bending moment in silica sand induced by increasing the
271 pile diameter is not as significant as that in coral sand. For example, the maximum bending
272 moments of piles are 213.96 N·M, 188.86 N·M and 175.03 N·M in silica sand respectively,
273 which are greater than those in coral sand. When the diameter increases from 35 mm to 45
274 mm and 55 mm, the maximum bending moment only decreases by 11.7% and 18.2% in silica
275 sand respectively, which is less than the decrement in coral sand (14.4% and 20.9%). This
276 implies that the influence of pile size on the bending moment is more significant in coral sand
277 than that in silica sand.

278 **Soil-pile interaction force-displacement relationships (*p-y* curves)**

279 The primary method used to obtain the load transfer curves (*p-y* curves), for this study, is
280 based on the manipulation of recorded experimental bending moment data (Dyson and
281 Randolph 2001; Lin et al. 2015; Suits et al. 2007; Wesselink et al. 1988). According to Dyson

282 and Randolph (2001), the experimental bending-moment data are fitted with two polynomials
 283 using the least-squares technique, then spliced the two polynomials together using the
 284 boundary conditions of the continuity of shear force and force per unit length as well as zero
 285 pile tip moment. The soil-pile interaction force per unit length P is obtained by double
 286 differentiating the bending moment profile, and the lateral displacements y is calculated by
 287 double integrating the curvature M/EI . It is well-known that double differentiation is very
 288 sensitive to data noise. Up to now, several techniques have been proposed to minimize
 289 numerical errors due to double differentiation (Dou and Byrne 2011; Dyson and Randolph
 290 2001; Reese et al. 1975). In order to further minimize the influence of data noise on double
 291 differentiation, the authors improve the method proposed by Dyson and Randolph (2001) by
 292 fitting the experimental bending moment data below the soil surface with five-order
 293 polynomials rather than four-order polynomials. In this study, four horizontal displacement
 294 monitoring points are arranged along the pile length below the sand surface, as shown in Fig.
 295 2. Therefore, the four measured lateral displacements below the sand surface are also taken as
 296 the boundary condition to modify the fitting parameters so as to reduce the data noise. The
 297 pile deformation is very small below -0.2 m (see Figs. 5 and 6), therefore, only the pile-soil
 298 interaction relationship above -0.2 m is discussed.

$$p = \frac{d^2 M}{dz^2} \quad (2)$$

$$y = \iint \frac{M}{EI} dz^2 \quad (3)$$

299 The p - y curves at several depths along the pile length are presented in Fig. 9. It should be
 300 pointed out that the last numerals in the legend denote the depth below soil surface. As
 301 expected, the p - y curves have a general trend of increasing initial slope (initial stiffness) and
 302 ultimate soil reaction as the depth and diameter increase. Noting that the soil-pile interaction
 303 force P in coral sand is larger than that in silica sand under the same lateral displacement y ,
 304 indicating the horizontal stiffness of coral sand is greater than that of silica sand foundation.

305 This can well explain the previous conclusion that, the horizontal bearing capacity of pile in
306 coral sand is obviously greater than that in silica sand foundation, from the perspective of
307 mechanical mechanism.

308 **Increase in soil horizontal pressure around pile**

309 During the test, the change in soil horizontal pressure near the pile is measured by the in-soil
310 null pressure sensors, which are placed in the soil during the sand-raining process and
311 arranged at an equal interval along the load applied direction. The measuring width is 400mm
312 (the 100mm on the left of pile plus the 300mm on the right of pile) and the measuring depth is
313 the entire embedment depth of pile (in Fig. 2). The measurements of each in-soil pressure
314 sensor then are used to generate contours, to show the change in soil horizontal pressure at
315 each loading step.

316 The lateral displacement of the pile at ground level is an important index to determine the
317 bearing characteristics. When the ground level displacements of all model piles reach the
318 critical displacement ($10\%D$), the changes in soil horizontal pressures within the measuring
319 ranges are shown in Fig. 10 and Fig. 11. Noting that, the positive numbers in the legend
320 represent the increment of soil horizontal pressure, and negative numbers represent the
321 decrement of soil horizontal pressure. The horizontal soil pressure illustrates a significant
322 increasing trend in the upper right part of the sand. On the right side of pile, the soil horizontal
323 pressure initially increases and then shows a decreasing trend along the depth. On the left side
324 of pile, some slight increments can be observed near the pile bottom due to the pile's reverse
325 displacement at the lower part, more details are shown in Table 4.

326 As the pile diameter increases, the maximum increment and the change zone of soil horizontal
327 pressures increase dramatically, and the depth associated with the maximum increment also
328 moves downward. In test C35, the maximum increment of soil pressure is 36.88 kPa at the
329 depth of -0.17 m. By increasing the pile diameter to 45 mm and 55 mm, the maximum
330 increments of soil pressure in coral sand increase to 75.49 kPa and 127.81 kPa, which are
331 approximately 2.05 times and 3.47 times of that in C35, respectively. The point corresponding
332 to the maximum increments also moves down to -0.23 m and -0.26 m, respectively.
333 Significantly, farther away from the pile, the smaller the changes in soil horizontal pressures.
334 Taking the vertical plane about 0.3 m away from the right side of the pile as an example, the
335 maximum increment of soil horizontal pressure reduces by 85.87% from 36.88 kPa to 5.26
336 kPa in C35. The decrements are 78.35% in C45 and 73.44% in C55, which are smaller than
337 that in C35, indicating that the soil horizontal pressure produced by the squeeze action of pile
338 spreads farther when the diameter increases.

339 Remarkably, the influence of sediment material on the change in soil horizontal pressure also
340 cannot be ignored. In coral sand, the increments of soil horizontal pressure are much larger
341 than those in silica sand, but the influence of pile diameter is more significant than that in
342 silica sand. For instance, the maximum increments of soil horizontal pressures in silica sand
343 are only 26.72 kPa, 46.84 kPa and 70.61 kPa, which are obviously smaller than those in coral
344 sand, respectively. When the pile diameter increases, the maximum increments in S45 and
345 S55 are only 1.75 times and 2.64 times of that in S35, which are still smaller than the
346 increases caused by changing the pile diameter in coral sand (2.05 times and 3.47 times). This

347 phenomenon can be explained by the stress dilatancy of coral sand at low confining pressures,
348 indicating that the change in soil horizontal pressure in coral sand is more sensitive to the
349 variation of pile diameter. Moreover, in the vertical plane where 0.3 m away from the right
350 side of the pile, the maximum increments of soil horizontal pressures reduce by 90.4% (S35),
351 87.0% (S45) and 80.8% (S55) in silica sand respectively, which are greater than that in coral
352 sand, implying that the squeezing force generated by the pile spreads farther and has a larger
353 influence range in coral sand.

354 **Particle breakage distribution in coral sand**

355 The bearing capacity of the pile is mainly determined by the properties of the soil. In nature,
356 the soil is usually composed of granular materials and will display crushing behaviour under
357 considerable stress. To date, there are many kinds of indexes can quantify the particle
358 breakage characteristics of granular particles. Among them, the particle breakage indexes
359 proposed by Hardin (1985) and Einav (2007) are most widely used. The main difference
360 between the two methods is the definition of the breakage potential (B_p). Accordingly, the
361 ratio of Hardin's particle breakage index (B_r) to that of Einav is equal to the ratio of Einav's
362 breakage potential (B_p) to that of Hardin. No matter whether the method proposed by Hardin
363 (1985) or Einav (2007) is used, the distribution and level of breakage around the laterally
364 loaded piles are not affected. Since the model proposed by Einav is affected by the value of
365 the fractal dimension of coral sand, the Hardin's relative particle breakage index (expressed as
366 Eq. 4) is adopted in this study, as shown in Fig. 12.

$$B_r = B_t / B_p \quad (4)$$

367 where B_p is the particle breakage index ; B_t is the total breakage, expressed by the area
368 surrounded by the particle size line of 0.074 mm, the initial gradation curve and the current
369 gradation curve; B_p is the breakage potential, expressed by the area surrounded by the
370 particle size line of 0.074 mm and the initial grading.

371 After the loading is completed, the sand samples selected at the same intervals are sieved by
372 geo-sieve to obtain the particle gradation curves. By substituting the particle gradation curves
373 of each measurement point before and after testing into Hardin's relative breakage index
374 model, the distribution of particle breakage behaviour could be obtained. Due to the fact that
375 the silica sand is not easy to break at the low earth pressure and its influence is negligible (Lv
376 et al. 2019b; Lv et al. 2017; Xiao et al. 2018), the particle breakage of the silica sand is not
377 measured in this experiment. The distributions of particle breakage indexes are shown in Fig.
378 13, which are similar to the distribution of the change in soil horizontal pressure.

379 In coral sand, the breakage behaviour is mainly distributed in the sand range of 10 times pile
380 diameter depth and 5 times pile diameter width on the right side of pile. The degree and
381 distribution range of particle breaking behaviour increase significantly when the pile diameter
382 increases. It clearly shows that the particle breakage behaviour on right side of the pile is very
383 significant in C55, and the sand zone with the relative breakage index B_r greater than 0.8% is
384 mainly distributed in the depth range from -0.07 m to -0.50 m and the width is 0.25 m. Even
385 in the sand range where is 0.30 m away from the pile, the particle breakage phenomenon can
386 still be measured. On the left side of pile in C55, the particle breakage behaviour is not
387 obvious, and it only occurs below the depth of -0.4 m. When the pile diameter is 45 mm, the

388 sand zone, where the relative breakage index B_r is greater than 0.8%, is reduced by half, as
389 compared to C55. Noting that the degree and distribution of particle breakage in C35 are very
390 small, indicating that the improvement of the bearing capacity in C35 resulted from the
391 particle crushing behaviour is negligible. Therefore, the relatively high horizontal bearing
392 capacity of C35 (as compared to S35) is mainly caused by the stress dilatancy and the large
393 mutual sliding friction resistance of coral sand.

394 **5 Conclusions**

395 This paper presents an experimental study on the horizontal bearing characteristics of pile in
396 coral sand and silica sand, which could lay a great reference for further theoretical and
397 experimental study of pile-soil interaction in coral sand. These key experimental findings are
398 summarized as follows:

399 (1) The lateral bearing capacity of pile in coral sand is obviously greater than that in silica
400 sand, and the improvement of bearing capacity caused by increasing pile diameter is more
401 significant in coral sand. Furthermore, the soil-pile interaction force in coral sand is greater
402 than that in silica sand through the calculated p - y curve, indicating that the horizontal stiffness
403 of coral sand is greater than that of silica sand.

404 (2) The lateral displacements of pile and the pile head rotations in coral sand are significantly
405 smaller than those in silica sand. Moreover, in coral sand, the pile displacement is more
406 sensitive to the change in pile sizes, and the pile head rotation is more insensitive to the
407 applied load, as compared to the pile in silica sand foundation.

408 (3) All model piles exhibit fracture failure and show the characteristics of flexible pile. The

409 bending moments and corresponding distribution depth in silica sand are larger than those in
410 coral sand. Besides, the bending moments show a decreasing trend as the pile diameter
411 increases, and the variation of bending moment induced by the pile diameter in coral sand is
412 more significant than that in silica sand.

413 (4) The increment of soil horizontal pressure caused by the squeezing action of pile in coral
414 sand is much greater than that in silica sand, and shows an increasing trend by increasing the
415 pile diameter. Significantly, in coral sand foundation, the influence of pile size is more
416 pronounced, and the squeezing force generated by the pile spreads farther and its influence
417 range is larger, as compared to silica sand foundation.

418 (5) The breakage behaviour is mainly distributed in the sand range of 10 times pile diameter
419 depth and 5 times pile diameter width along the load applied direction. Remarkably, the
420 distribution and level of breakage are affected greatly by the pile diameter. As the pile
421 diameter increases, the particle crushing behaviour become more obvious, and the effect on
422 the bearing capacity of pile cannot be ignored.

423

424 **Acknowledgments**

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Tables

Table 1. Parameters of model piles

Test no.	Pile diameter (mm)	Pile length (mm)	Embedded pile length (mm)	Bending stiffness (kN·m ²)	Number of strain gauges	Sediment material
C35	35	850	700	2.73	24	Coral sand
C45	45	850	700	5.33	24	
C55	55	850	700	9.68	24	
S35	35	850	700	2.73	24	Silica sand
S45	45	850	700	5.33	24	
S55	55	850	700	9.68	24	

Table 2. Sand Parameters

Property	Coral sand	Silica sand
Maximum dry density, ρ_{\max} : g/cm^3	1.62	1.69
Minimum dry density, ρ_{\min} : g/cm^3	1.22	1.56
Specific gravity, G_s	2.81	2.63
Mean particle diameter, D_{50} : mm	0.6	0.6

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Table 3. Bending moment and crack location of piles

Test no.	C35	C45	C55	S35	S45	S55
Maximum bend moment at load level of 0.3 kN: N • M	153.32	131.28	121.14	213.96	188.86	175.03
Corresponding depth of maximum bending moment: m	-0.14	-0.20m	-0.26	-0.2	-0.26	-0.32
Depth of crack: m	-0.13	-0.17	-0.28	-0.16	-0.24	0.3
Depth of reverse bending points: m	-0.38	-0.46	-0.54	-0.43	-0.5	0.57

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Table 4. Variation of the soil horizontal pressure

Test no.	C35	C45	C55	S35	S45	S55
the maximum increment of soil horizontal pressures, kPa	36.88	75.49	127.81	26.72	46.84	70.61
Depth of extreme point, m	-0.17	-0.23	-0.26	-0.22	-0.25	-0.25
the maximum increment of soil horizontal pressures where 0.3 m away from piles, kPa	5.26	16.34	33.94	2.56	6.09	10.67

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Figure Captions

Figure 1. (a) Initial particle-size distributions; (b) Shear strength of silica sand and coral sand; (c) Scanning electron microscopy for silica sand; (d) Scanning electron microscopy for coral sand.

Figure 2. Arrangement of the experimental instruments: (a) Front view; (b) Vertical view.

Figure 3. Physical layout of the experimental instruments.

Figure 4. (a) Lateral load-displacement curves at loading position; (b) Lateral load-rotation curves; (c) Horizontal bearing capacities of model piles.

Figure 5. The lateral displacements of piles along depth at different load levels: (a) $D=35$ mm; (b) $D=45$ mm; (c) $D=55$ mm

Figure 6. (a) Rotation of pile head at different loading levels; (b) Lateral displacements along pile depth when the lateral displacements at ground level reach $10\%D$.

Figure 7. Distribution of bending moments of piles with different diameters; (a) C35; (b) C45; (c) C55; (d) S35; (e) S45; (f) S55.

Figure 8. The comparison of bending moment at loading level of 0.3 kN.

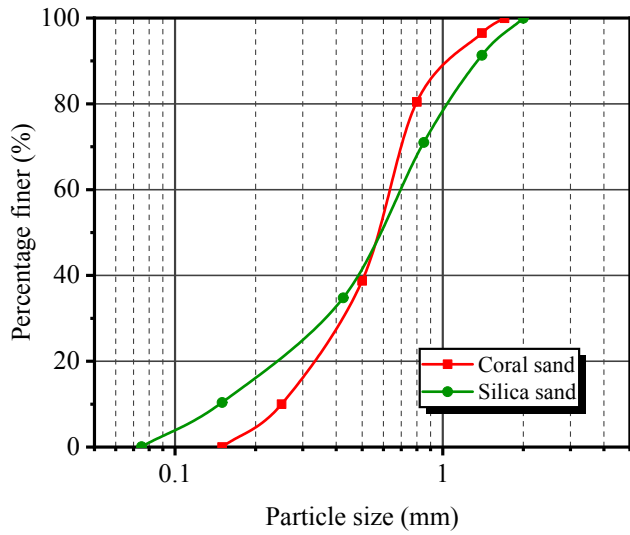
Figure 9. P - y curves at several depths along the pile length: (a) $D=35$ mm; (b) $D=45$ mm; (c) $D=55$ mm.

Figure 10. The changes in soil horizontal pressures of coral sand foundation: (a) C35; (b) C45; (c) C55.

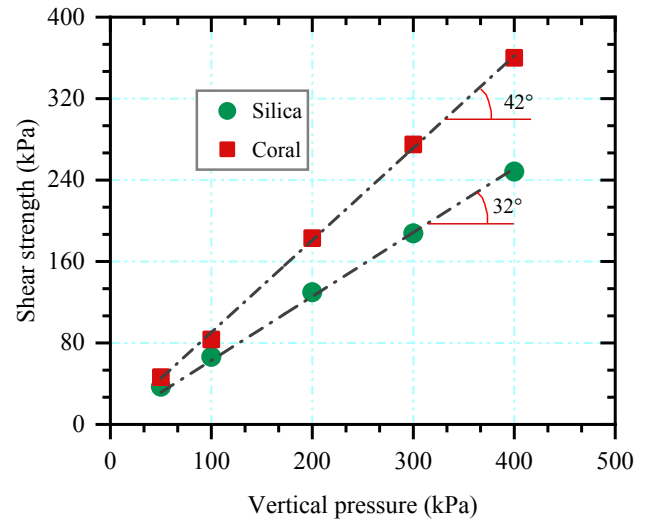
Figure 11. The changes in soil horizontal pressures of silica sand foundation: (a) S35; (b) S45; (c) S55.

Figure 12. Definition of relative breakage index.

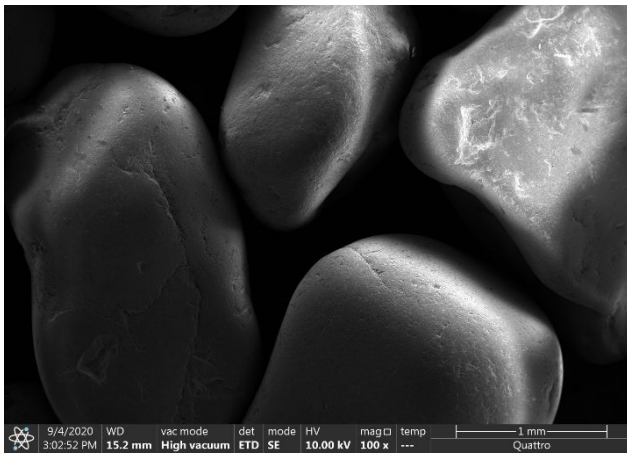
Figure 13. The distribution and level of particle breakage around the laterally loaded piles in coral sand: (a) C55; (b) C45; (c) C35.



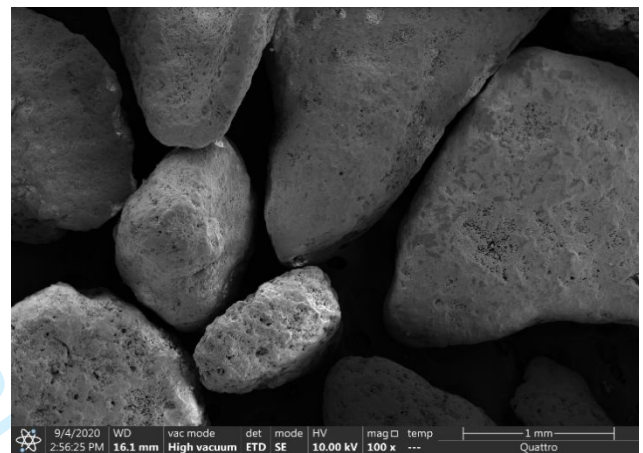
(a)



(b)

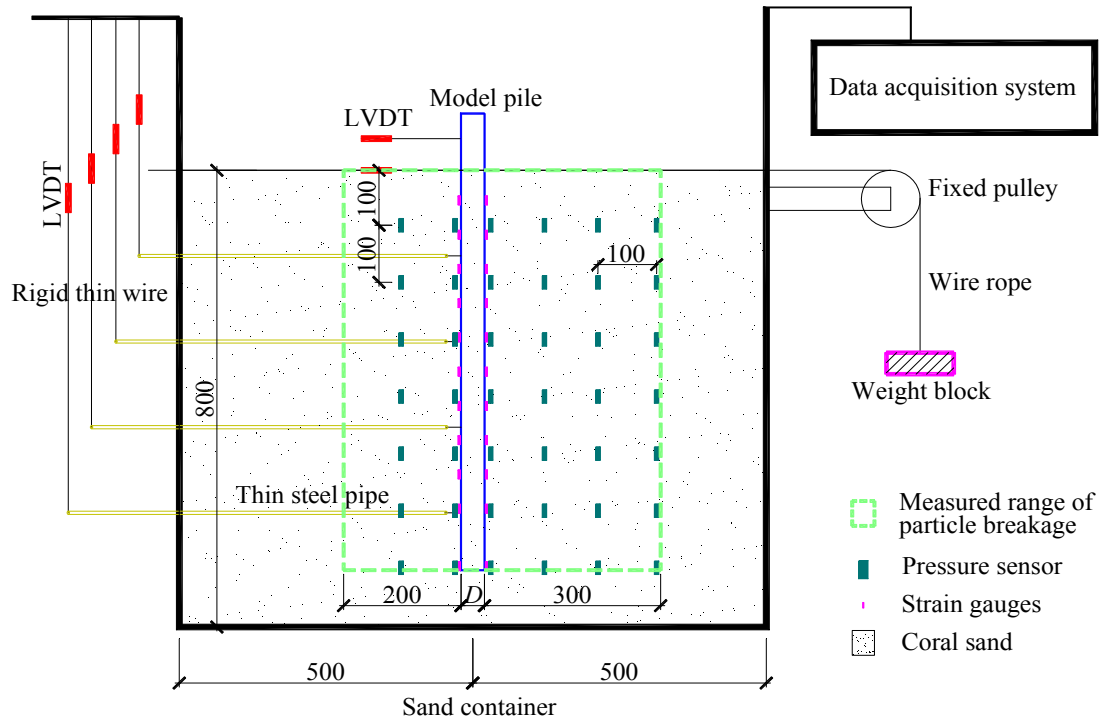


(c)

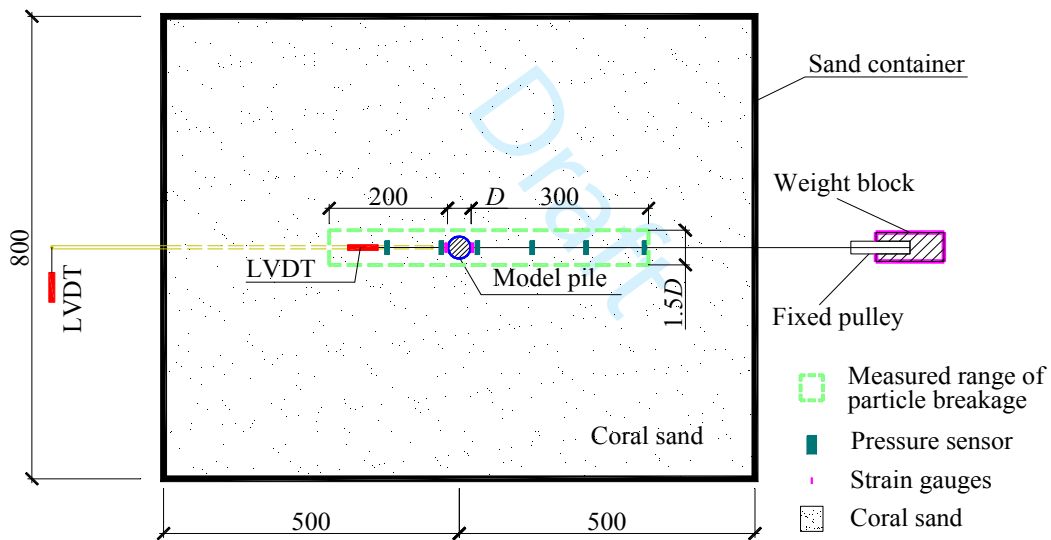


(d)

Figure 1. (a) Initial particle-size distributions; (b) Shear strength of silica sand and coral sand; (c) Scanning electron microscopy for silica sand; (d) Scanning electron microscopy for coral sand.



(a)



(b)

Figure 2. Arrangement of the experimental instruments: (a) Front view; (b) Vertical view

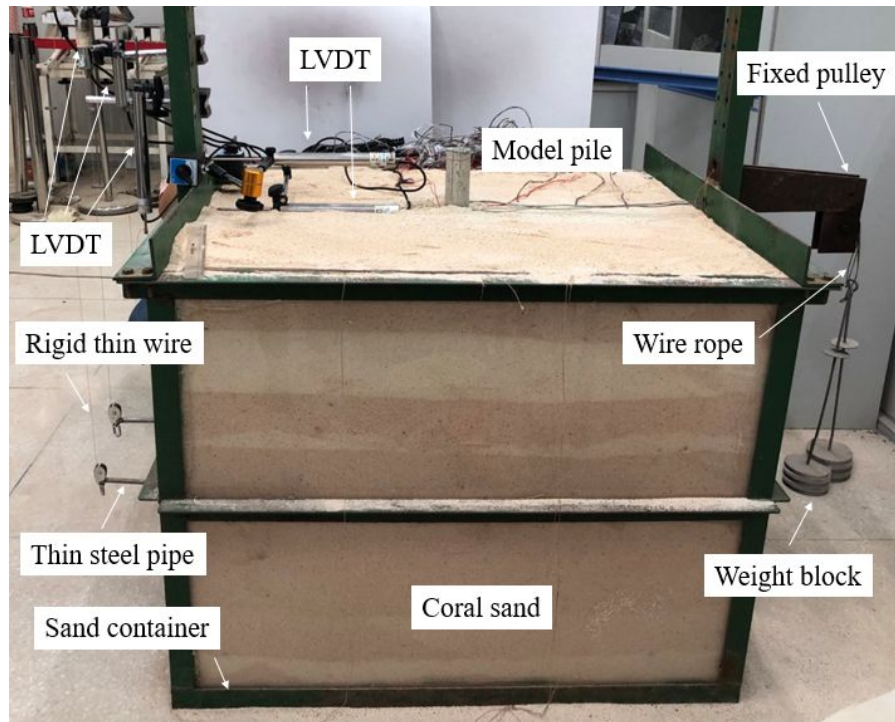


Figure 3. Physical layout of the experimental instruments.

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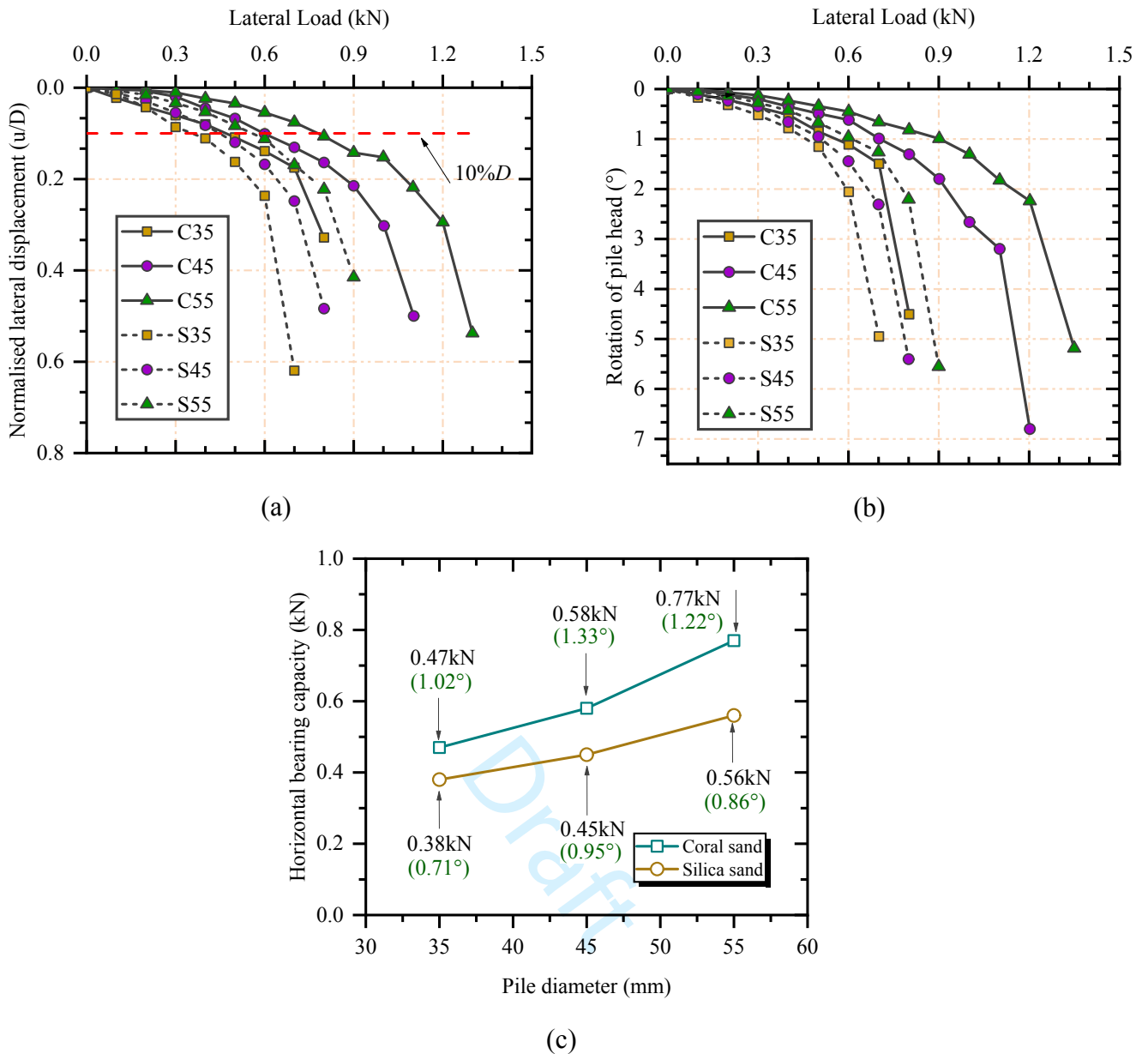


Figure 4. (a) Lateral load-displacement curves at loading position; (b) Lateral load-rotation curves; (c)

Horizontal bearing capacities of model piles.

Note: The letter u means the lateral displacement of model pile at ground level and D is the diameter of model pile.

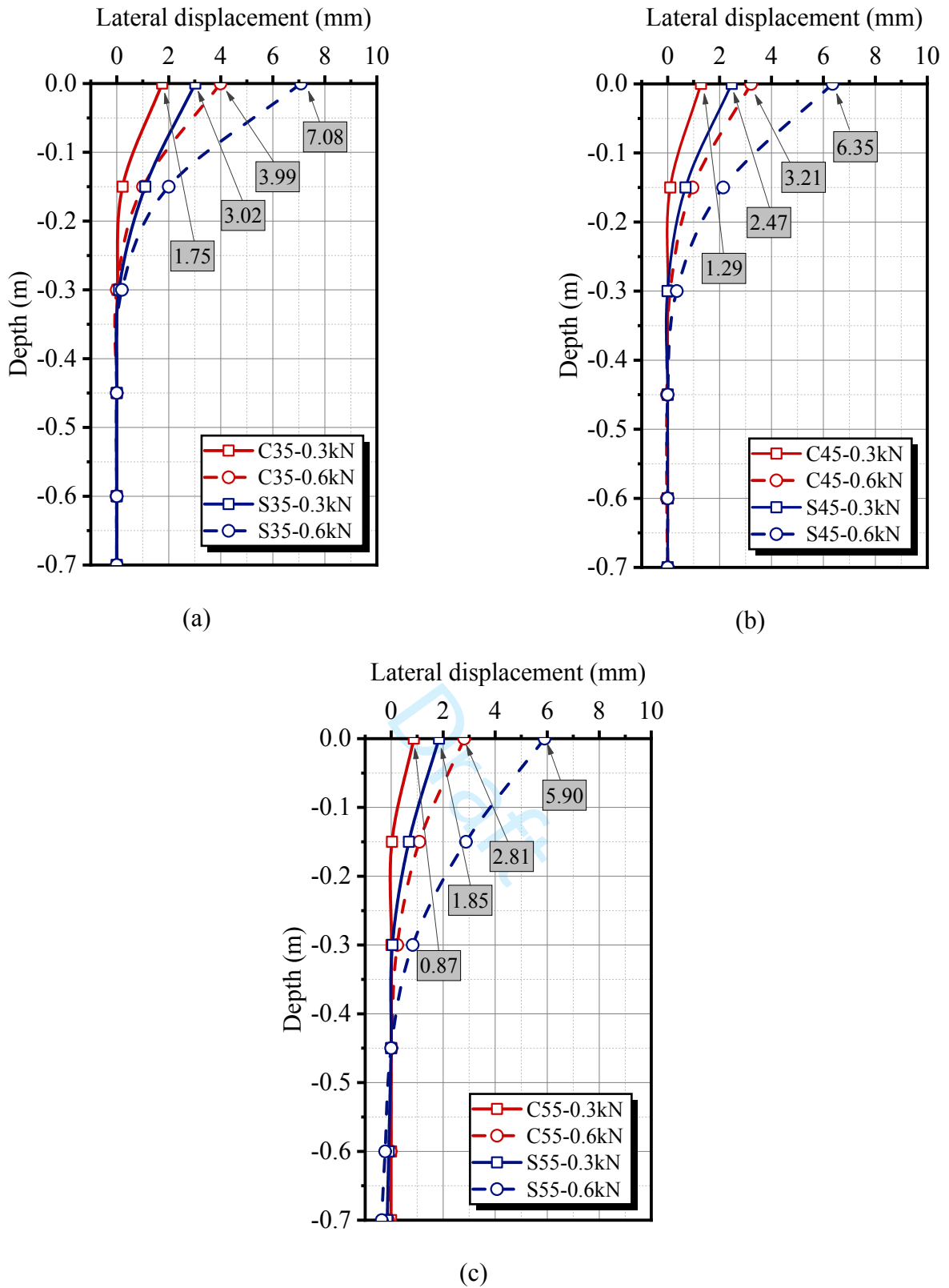
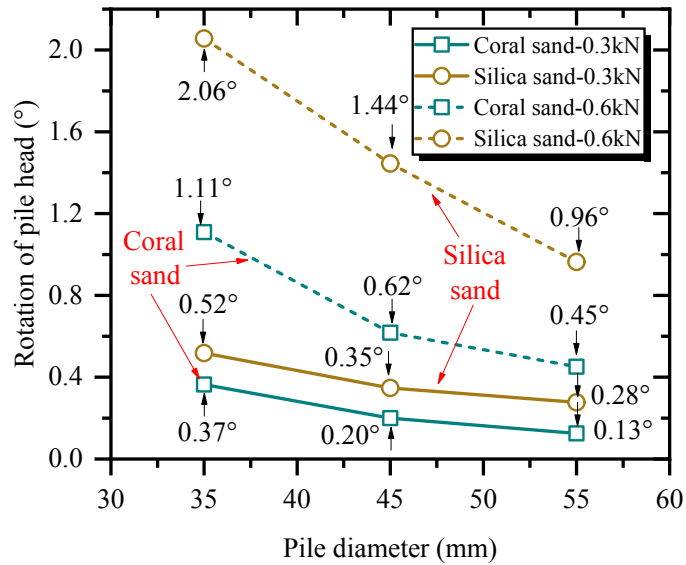
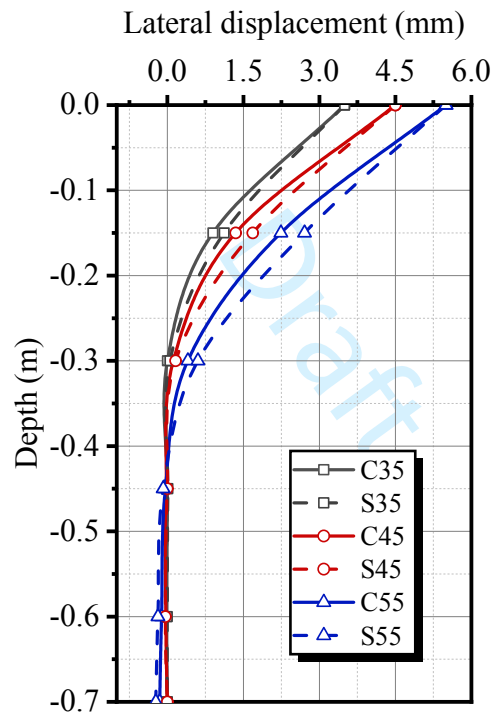


Figure 5. The lateral displacements of piles along depth at different load levels: (a) D=35 mm; (b) D=45 mm; (c) D=55 mm.



(a)



(b)

Figure 6. (a) Rotation of pile head at different loading levels; (b) Lateral displacements along pile depth when the lateral displacements at ground level reach 10%D.

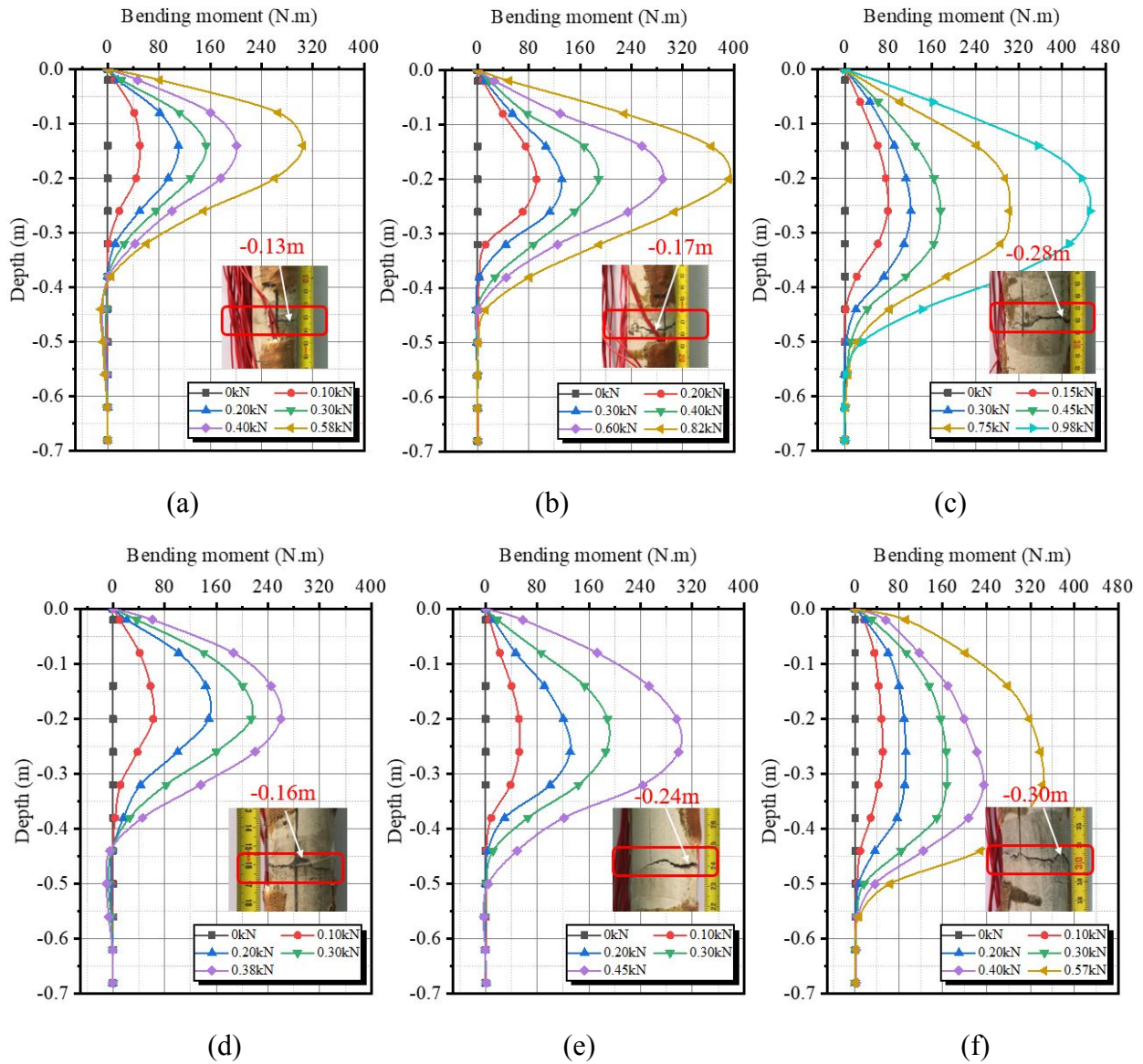


Figure 7. Distribution of bending moments of piles with different diameters; (a) C35; (b) C45; (c) C55; (d) S35; (e) S45; (f) S55.

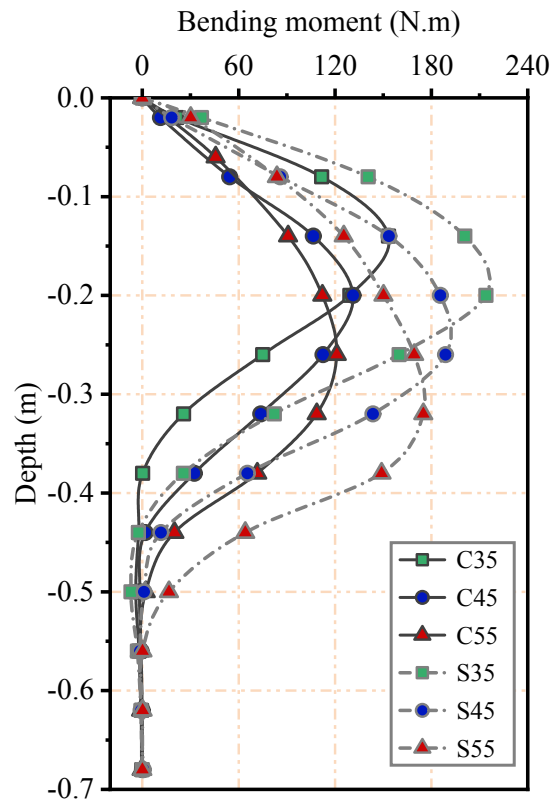


Figure 8. The comparison of bending moment at loading level of 0.3 kN.

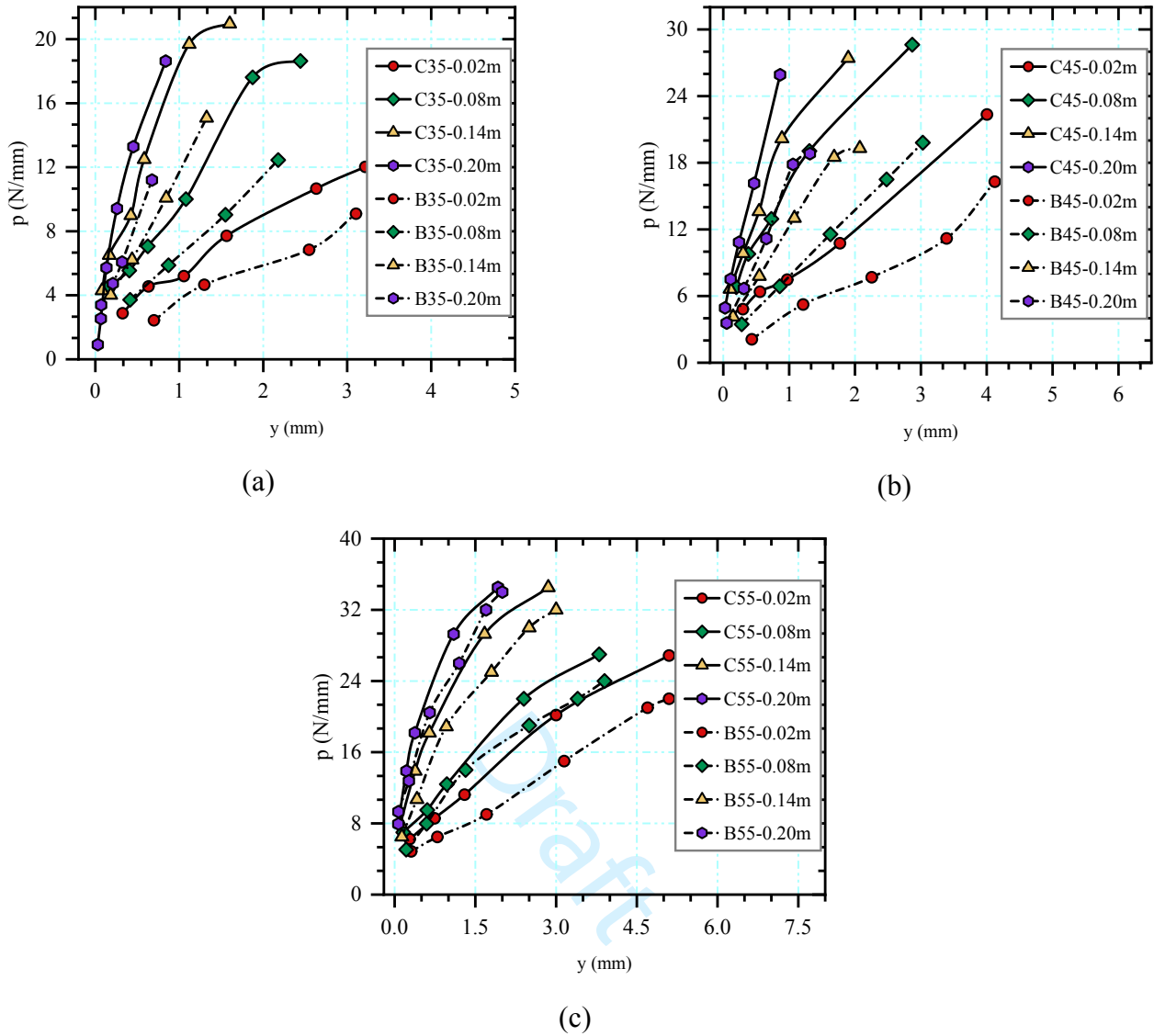


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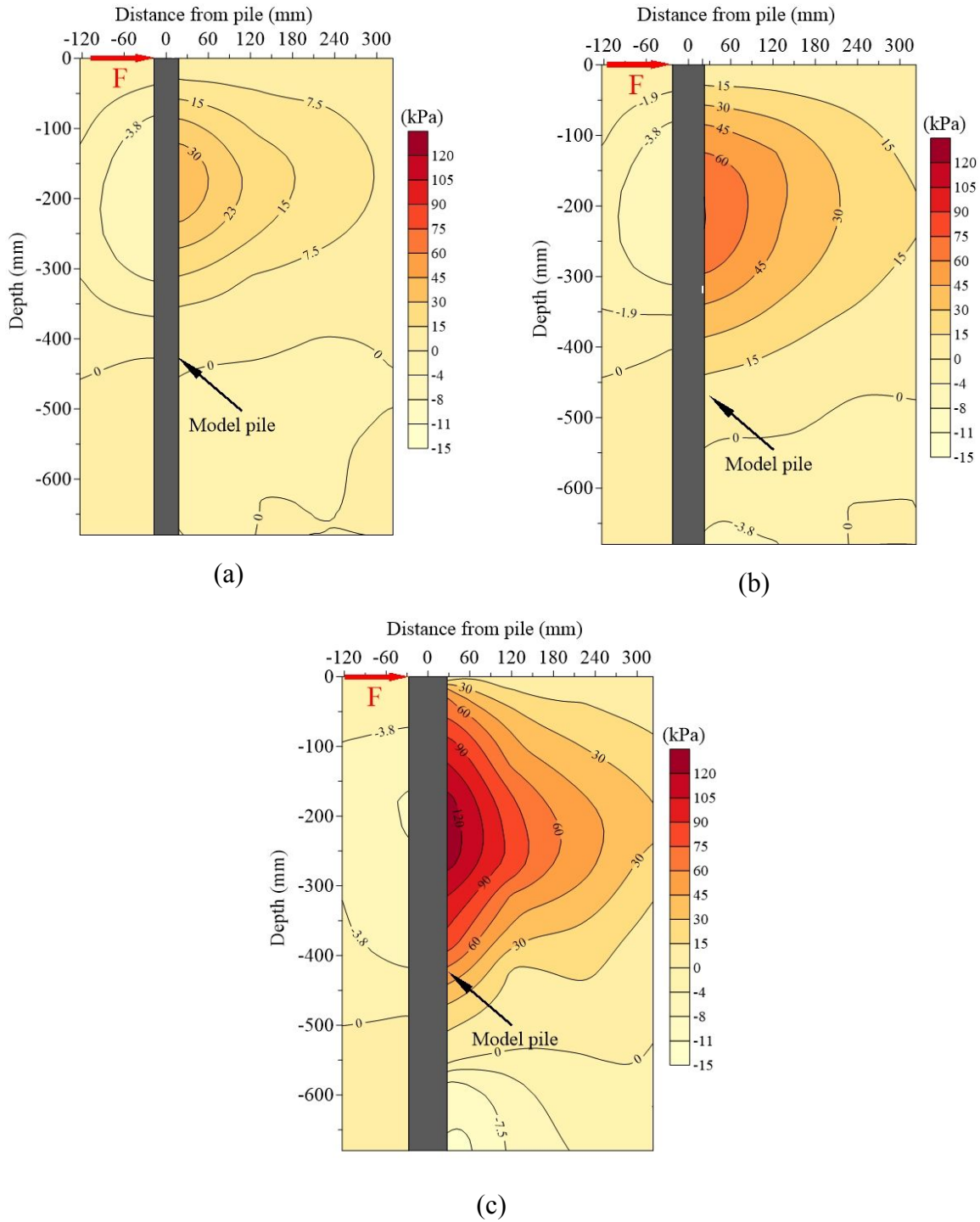


Figure 10. The changes in soil horizontal pressures of coral sand foundation: (a) C35; (b) C45; (c) C55.

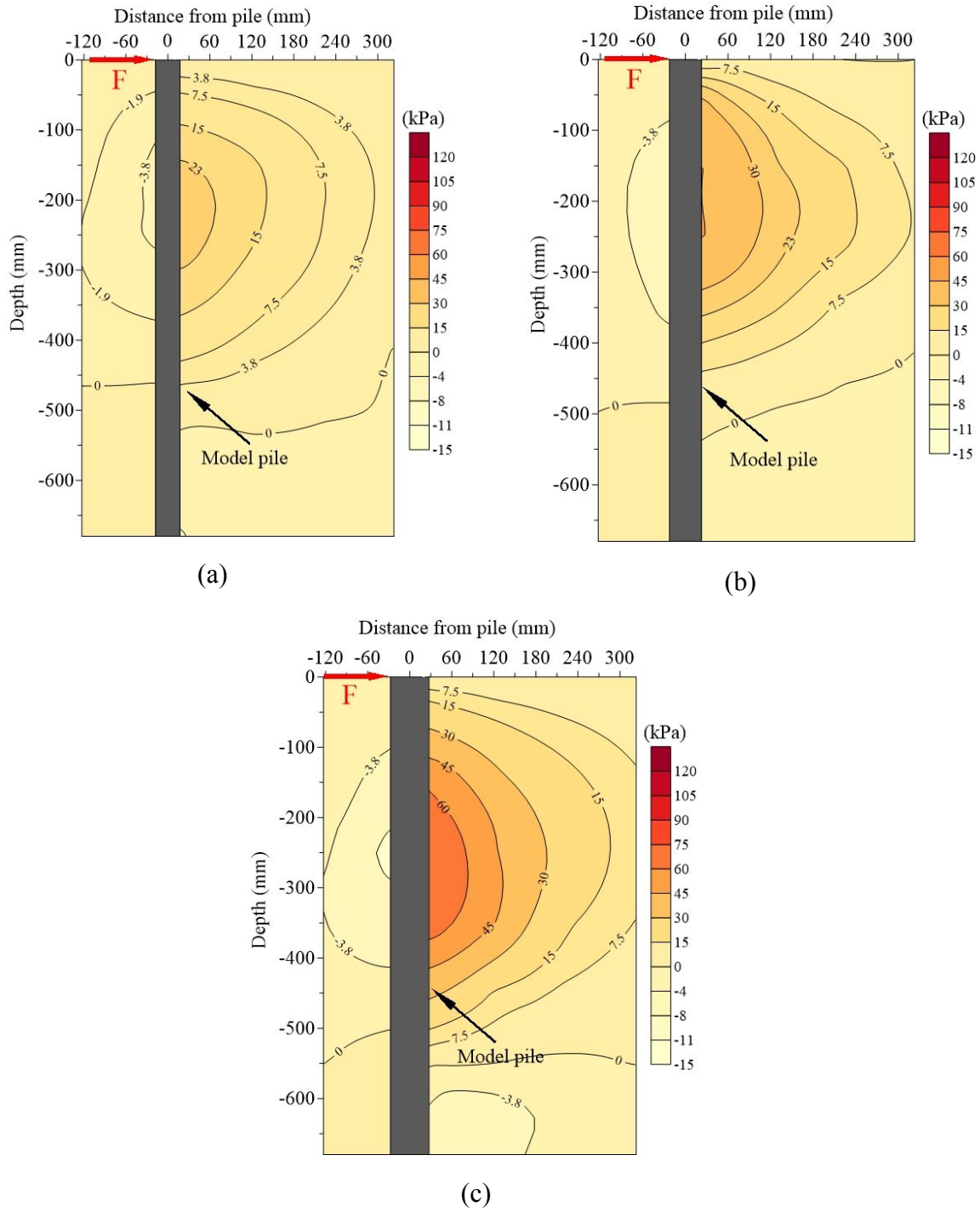


Figure 11. The changes in soil horizontal pressures of silica sand foundation: (a) S35; (b) S45; (c) S55.

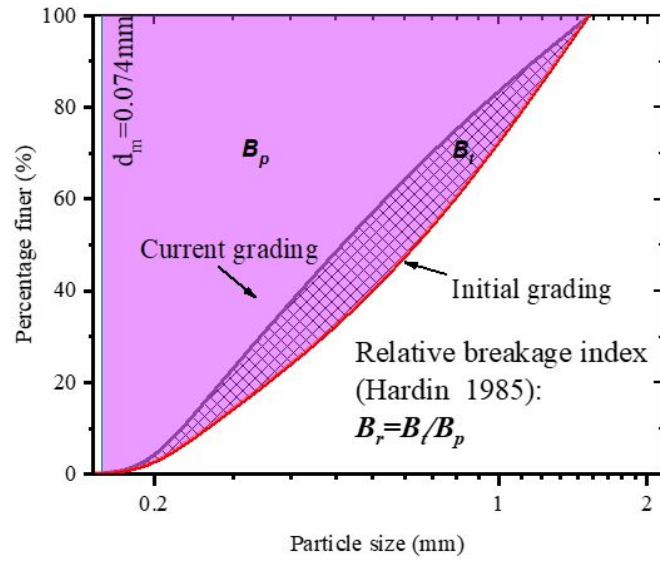


Figure 12. Definition of relative breakage index.

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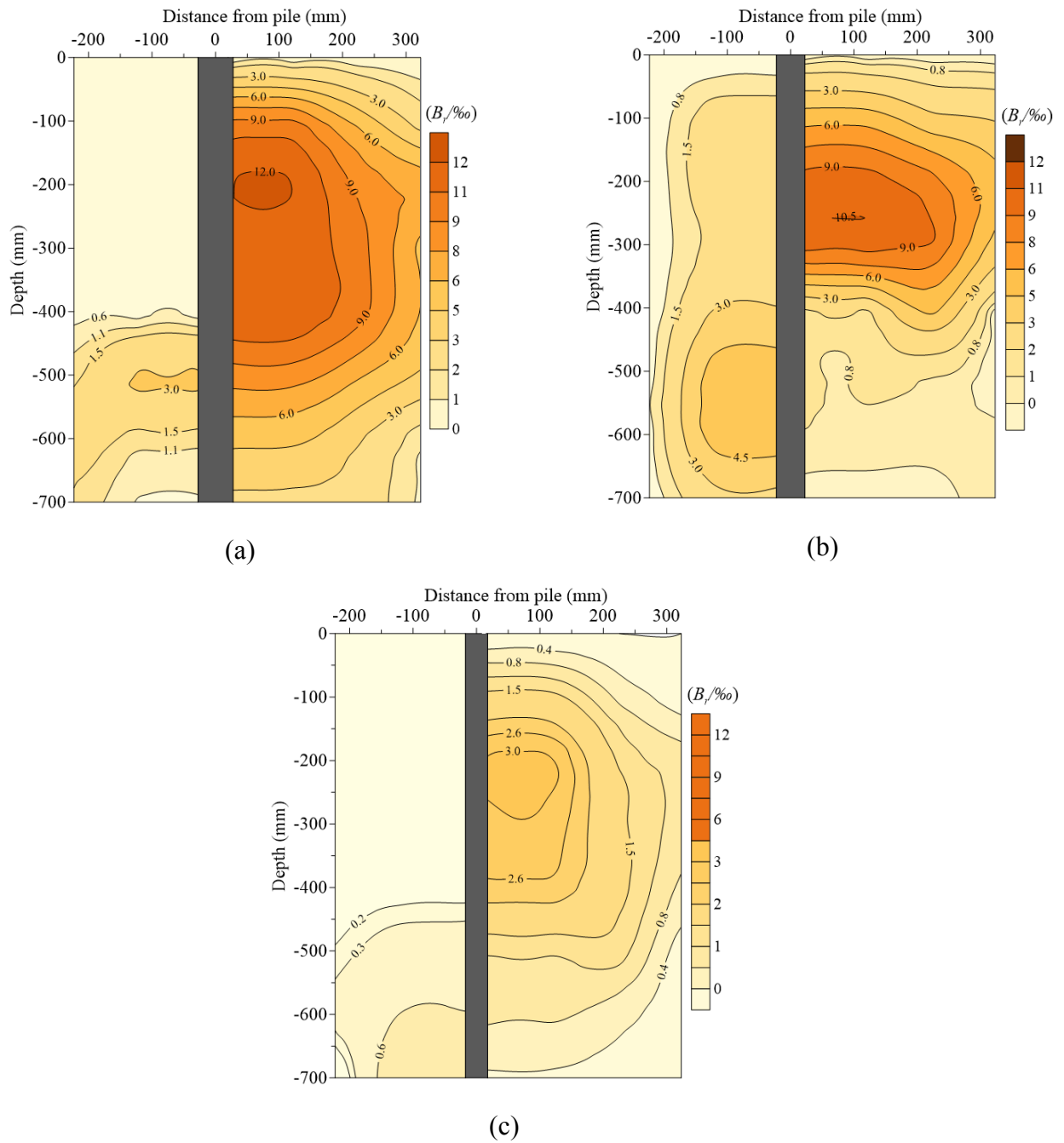


Figure 13. The distribution and level of particle breakage around the laterally loaded piles in coral sand:

(a) C55; (b) C45; (c) C35.