

1 **Stunting is not a synonym of malnutrition.**

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4 Scheffler C1, Hermanussen M2, Bogin B3, Liana DS4, Taolin F5; Cempaka PMVP5, Irawan M5,
5 Ibbibah LF5, Mappapa NK4, Payong MKE4, Homalessy AV4, Takalapeta A4, Apriyanti S4, Manoeroe
6 MG4, Dupe FR4, Ratri RRR4, Touw SY4, K PV4, Murtani BJ4, Nunuhitu R4, Puspitasari R6, Riandra
7 IK7, Liwan AS7, Amandari P7, Permatasari AAI7, Julia M8, Batubara J9,10, Pulungan A10,11

8

9 1 University of Potsdam, Human Biology, 14469 Potsdam, Germany;

10 2 Aschauhof, 24340 Altenhof, Germany;

11 3 Centre for Global Health & Human Development, School of Sport, Exercise & Health Sciences,

12 Loughborough University, Leicestershire LE11 3TU, UK;

13 4 Medical Faculty Nusa Cendana University, Kupang-East Nusa Tenggara, Indonesia;

14 5 East Nusa Tenggara Indonesian Society of Pediatrician, Kupang-East Nusa Tenggara, Indonesia;

15 6 School of Medicine, University of Sumatera Utara, Medan, Sumatera Utara, Indonesia;

16 7 Regional General Hospital Wangaya Denpasar;

17 8 Department of Child Health, Faculty of Medicine, Public Health and Nursing, Universitas Gadjah

18 Mada, Yogyakarta, Indonesia;

19 9 Department of Child Health, Medical School, University of Indonesia, Jakarta 10430. Indonesia;

20 10 Indonesian Pediatric Society

21 11 Department of Child Health University of Indonesia, Dr. Cipto Mangunkusumo Hospital, Jakarta

22 10430, Indonesia.

23

24 **Running title: Stunting is not a synonym of malnutrition**

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26 **Authors emails:**

27 christiane.scheffler@uni-potsdam.de

28 michael.hermanussen@gmail.com

29 B.A.Bogin@lboro.ac.uk

30 rayadeborasl@gmail.com

31 franstaolin01@yahoo.com

32 drvinaparamitha@gmail.com
33 muhammadirawan86@gmail.com
34 laylaibbibah@yahoo.com
35 mnurmalinda@yahoo.com
36 esterpayong@gmail.com
37 alexandrohomalessy@gmail.com
38 anita.takalapeta@gmail.com
39 selvianaapriyanti@gmail.com
40 michelle.giovanny.mg@gmail.com
41 frenyrosadupe@gmail.com
42 theresiakenny@gmail.com
43 samytouw@gmail.com
44 priska_valinia@gmail.com
45 belindamurtani@yahoo.com
46 rendynunuhitu96@gmail.com
47 crystal.ria37@gmail.com
48 indahriandra@gmail.com
49 armandliwan@gmail.com
50 prabhaamandari@yahoo.com
51 aaindahpermatasari@gmail.com
52 madarinajulia@ugm.ac.id
53 josebatubara@gmail.com
54 amanpulungan@mac.com
55
56 **Corresponding author:**
57
58 Prof. Dr. Michael Hermanussen
59 Aschauhof 3
60 24340 Eckernförde - Altenhof
61 Germany
62 0049-(0)4351-41738

63 Mobile 0049-(0)174-6173023

64 michael.hermanussen@gmail.com

65

66

67 **Contributors' statement:**

68

69 Study design: Scheffler C, Hermanussen M, Bogin B

70 Literature search: Scheffler C, Hermanussen M, Bogin B

71 Measurements: Indonesia -- Scheffler C, Hermanussen M, and the Indonesian co-authors: Liana DS,

72 Fransiskus T; Cempaka PMVP, Irawan M, Ibbibah LF, Mappapa NK, Payong MKE, Homalessy AV,

73 Takalapeta A, Apriyanti S, Manoeroe MG, Dupe FR, Ratri RRK, Touw SY, K PV, Murtani BJ, Nunukitu

74 R, Puspitasari R, Riandra IK, Liwan AS, Amandari P, Permatasari AAI, equally contributed to the

75 measurements of the children.

76 Local organization of the study: Julia M, Batubara J, Pulungan A

77 Data analysis: Scheffler C, Hermanussen M, Bogin B

78 Data interpretation: Scheffler C, Hermanussen M, Bogin B

79 Writing: Scheffler C, Hermanussen M, Bogin B

80 Figures: Bogin B, Scheffler C

81

82

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87 The corresponding author Michael Hermanussen has full access to all the data in the study and had

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89

90

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92 Energy balance, malnutrition, stunting, skinfold thickness, Indonesia

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96 **Abbreviations:**

97 BMI body mass index

98 FI Frame Index (elbow breadth/height)

99 GDP gross domestic product

100 hSDS height standard deviation score

101 LMIC low and middle-income countries

102 MUAC mid upper arm circumference

103 PEM protein-energy malnutrition

104 SD standard deviation

105 SES socioeconomic status

106 WHO World Health Organisation

107 \bar{x} SF mean of triceps and subscapular skinfolds

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110

111 **Abstract**

112

113 **Background**

114 WHO documents characterize stunting as, "...impaired growth and development that children
115 experience from poor nutrition, repeated infection, and inadequate psychosocial stimulation." The
116 equation of stunting with malnutrition is common. This contrasts with historic and modern observations
117 indicating that growth in height is largely independent of the extent and nature of the diet.

118

119 **Subjects**

120 We measured 1716 Indonesian children, aged 6.0-13.2 years, from urban Kupang/West-Timor and
121 rural Soe/West-Timor, urban Ubud/Bali, and rural Marbau/North Sumatra. We clinically assessed
122 signs of malnutrition and skin infections.

123

124 **Results**

125 There was no relevant correlation between nutritional status (indicated by skinfold thickness) and
126 height SDS (hSDS). 53% of boys, and 46% girls in rural Soe were short and thin, with no meaningful
127 association between mean of triceps and subscapular skinfolds (\bar{x} SF) and height. Skinfold thickness
128 was close to German values. Shortest and tallest children did not differ relevantly in skinfold thickness.
129 The same applied for the association between hSDS and mid upper arm circumference (MUAC) using
130 linear mixed effects models with both fixed and random effects. 35.6% boys and 29.2% girls in urban
131 Ubud were overweight; 21.4% boys and 12.4% girls obese, but with hSDS = -0.3, still short. Relevant
132 associations between hSDS and \bar{x} SF and MUAC were only found among the overweight urban
133 children confirming that growth is accelerated in overweight and obese children. There were no visible
134 clinical signs of malnutrition in the stunted children.

135

136 **Conclusion**

137 The present data seriously question the concept of stunting as *prima facie* evidence of malnutrition
138 and chronic infection.

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141

142

143 **Introduction**

144

145 The clinical audience is wedded to the idea that stunting is nutritional. “There is convergence [also]
146 among the nutrition community on the use of length-for-age as the indicator of choice in monitoring the
147 long-term impact of chronic nutritional deficiency” [1]. The conventional definition of height stunting is,
148 “...impaired growth and development that children experience from poor nutrition, repeated infection,
149 and inadequate psychosocial stimulation” (WHO 2018). We accept that malnutrition can result in
150 stunting but question the reverse equation, stunting = malnutrition. Stunting is frequent among children
151 of low and middle-income countries (LMIC) [2]. But it is not specific for poverty, poor health and
152 nutrition. Short stature when compared to modern references has been a frequent feature also among
153 the healthy and wealthy European societies of the past. The pediatric journals of the late 19th and
154 early 20th century reported that breastfed European infants and children, independent of social strata,
155 grew far below WHO standards. Some 15–30% of adequately-fed historic European children would
156 today be classified as stunted by the WHO standards [3]. Historic literature explicitly states that
157 “...growth in height is largely independent of the extent and nature of the diet” [4]. Such statements
158 are even more surprising when considering that the authors of these words were the pediatricians and
159 school doctors of the starving German children raised during and after World War I. These
160 pediatricians were not only aware of the physical effects of starvation on growth, they were also aware
161 of the effects of nutrition interventions in starving children. Bloch and Abderhalden [5] described
162 exceptional catch-up growth in height of 3–5 cm within 6–8 weeks during re-feeding. These outcomes
163 significantly differ from the results of modern nutrition intervention studies in the LMIC [6]. Repeated
164 infections and poor sanitation have also been claimed to be responsible for the shortness of stature in
165 the LMIC. Yet, recent systematic reviews of water-sanitation-hygiene (WaSH), and educational
166 interventions to prevent or treat stunting find little evidence for beneficial effects or effects so small as
167 to fall within the measurement error of body length/height [7]. We feel that the failure of many of the
168 modern interventions is not due to some general insensitivity to improvements in nutrition and living
169 conditions, but it is due to a misconception of the interpretation of short stature.

170

171 In this context, we note especially the articles by Seoane and Latham [8] and by Waterlow [9,10].
172 These articles provided a medical classification of malnutrition based on height-for-age that served as
173 the basis for the modern understanding of the causes of short stature. The reasoning of these papers

174 originated from observations and classification of Gomez et al. [11] who, when drawing up their
175 classification, were assessing the prognosis of malnutrition according to the weight on admission to a
176 hospital in Mexico City in the early 1950s. The significance of these observations was questioned later
177 [12], and even Seoane and Latham criticized a classification based on single parameters.
178 Nevertheless, the basic strategy of associating nutrition and height remained and became entrenched
179 in the literature by the publications of Waterlow who described a deficit in height-for-age due to
180 undernutrition over a long period as, "...*nutritional growth failure*, but for the sake of brevity I shall call
181 this condition *stunting*" [10]. This purely anthropometric definition of nutritional status was discussed in
182 detail in a World Health Organization 1971 report [13] and was broadly accepted after publication of a
183 Nestlé Nutrition Workshop in 1988 [14].
184 These papers have become the corner stones for the 21st century diagnosis of undernutrition. The
185 terms "stunting", "malnutrition", and "undernutrition" are used as synonyms in the epidemiological,
186 medical and scientific literature. Prendergast & Humphrey [15] summarized: "Linear growth failure is
187 the most common form of undernutrition globally." We question this statement.

188

189

190

191 Considering that the history of the word "stunting" originates from observations in hospitalized Mexican
192 children almost 70 years ago, and was used with weight cut-off points at 90%, 80%, and 70% of
193 "expected weight for height", and 95%, 87.5%, and 80% of "expected height for age" based on
194 "Boston 50th percentiles" and that this approach was presented again by Waterlow in 1973 [10] by the
195 example of "two hypothetical children", rather than empirical data, we feel justified to question if the
196 terminology and limited geographical criteria are still valid for global use and classification of
197 undernutrition.

198

199 Starvation inhibits growth. This statement cannot be questioned, and there is ample evidence also in
200 historic studies that this is the case. Keys and colleagues explicitly state that "there can be no doubt
201 from the evidence in the literature that the growth of children can be and is influenced by a restriction
202 in the food intake." [16, p. 1000-1001]. But reversing the line of argument by connecting short stature
203 with shortage of food, lacks substantiation [17]. Scheffler et al. [18] re-analyzed cross-sectional growth
204 studies of middle-class school children performed in Kolkata, India, and failed to detect an association

205 between nutritional status (as indicated by skinfold thickness) and body height [19]. Even in
206 socioeconomically disadvantaged children with below average skinfold thickness, when nutritionally
207 supplemented the net effect of nutrition on body height is generally small [20]. Out of 22 nutrition
208 intervention studies in urban areas of LMICs only 6 interventions had a small positive effect on length-
209 or height-for-age, often within the 0.3 cm measurement error, weight and fat increased in 9, and all
210 other studies showed no beneficial effect on body height or body mass [21]. Even when nutrition
211 interventions on nutritional status were integrated with a child development component, Grantham-
212 McGregor et al. summarized [22]: “There was generally little benefit of at-scale programs to nutritional
213 status”, and reported an effect size on height-for-age z-score of 0.23 SD. Though significant
214 statistically this is a small biological effect compared with the historic observations on re-feeding
215 reported by Bloch and Abderhalden [5] with effect sizes of more than 1.0 SD. Based on the reviews
216 cited here and others with similar findings we propose that though widely used for assessing the
217 effectiveness of health and nutrition intervention programs, stature has falsely been adopted as the
218 tool of choice for detecting undernutrition.

219

220 We measured Indonesian children, with particular focus on West Timor, one of the poorest provinces
221 of Indonesia, with a prevalence of stunting of up to 50% [23]. Skinfold thickness is a valid indicator of
222 body fat stores and reflects the nutritional status as recognized historically by Keys et al. [16]. We
223 used the association of skinfold thickness as an indicator of the nutritional status and mid-upper arm
224 circumference and elbow breadth as a proxy for skeletal frame size and physical activity [24], and
225 based on the conventional definition of height stunting, and the well-known association of parental
226 education with childhood undernutrition in low- and middle-income countries [25,26] we hypothesize:

227

2281. Stunted children are characterized by depleted fat stores, measurable by decreased triceps and
229 subscapular skinfold thickness [16,27].

2302. Better nutrition leads to less stunting.

231 1. Fat stores of less stunted children are less depleted, measurable by positive correlations between
232 height standard deviation scores (hSDS) and skinfold thickness.

233 2. Positive correlations between hSDS and the educational level of the parents assuming a priori that
234 better parental education might minimize the risk of child malnutrition [25,26].

2353. 3. Stunted children exhibit one or more visible clinical signs of malnutrition [27].

236

237 We question that “Linear growth failure is the most common form of undernutrition globally” [15],
238 especially when stunting is prevalent in wealthy populations of LMICs. We present such data for
239 Indonesia.

240

241 **Subjects and methods**

242

243 We measured 1716 elementary school children, age between 6.0 and 13.2 years, from three
244 Indonesian provinces in February and March 2018. Indonesia is not a poor country, it ranks 7th out of
245 190 countries in the World Bank list of GDP [28], but Indonesian children are short in stature, and
246 officially considered malnourished. 35.6% of Indonesian children were stunted in 2010. The
247 prevalence of stunting increased to 37.2% in 2013 [29]. With a global hunger index of 22, Indonesia is
248 considered “seriously” affected by starvation [30]. This view, however, is strongly questioned by
249 Indonesian pediatricians with direct clinical experience.

250

251 1. West-Timor, belonging to East Nusa Tenggara, is the poorest province of Indonesia with a nominal
252 per capita GDP of US\$ 1,288 [31]. The population of this island is among the shortest of Indonesia
253 [23], with an exceptionally high rate of stunted children. We measured in two representative
254 elementary schools in urban Kupang/West-Timor , and one representative school in rural Soe/West-
255 Timor. Kupang and Soe are situated 110 km apart from each other, connected by one asphalted road,
256 driving time approximately three hours by private car. Kupang has some 330,000 inhabitants, a
257 university, an airport and a harbor. Rural Soe has some 40,000 inhabitants, there is very little eco-
258 tourism and no remarkable industry. The population of West-Timor is comparably homogeneous.
259 Except for refugees from nearby East-Timor, there was little migration in recent history. The people
260 are very short, physical contact with modern tourists is virtually absent, but the pleasures of modern
261 communication, such as television, internet and cellular phones are ubiquitously present in the young
262 generation.

263

264 2. The “tourist island” Bali, is densely populated and economically more prosperous with a nominal per
265 capita GDP of US\$ 3,791. Balinese people are the tallest Indonesians [23]. . We studied elementary
266 school children of the city of Ubud.

267

268 3. We studied elementary school children of rural North Sumatra, near Marbau that was selected
269 because its nominal per capita GDP with \$ 3,588 is close to that of Bali, but the region is known for
270 high stunting rates. Marbau has some 2500 inhabitants surrounded by rural settlements along the
271 roads and is strongly involved in modern agro-industry. .

272

273 Parental informed consent was given. Ethical approval was provided by the Medical and Health
274 Research Ethics Committee, Faculty of Medicine, Gadjah Mada University, Yogyakarta, Ref. nr.
275 KE/FK/0175/EC/2018. We excluded one child with trisomy 21, one child with hydrocephalus, one child
276 with club feet, and one child with gait disorder. Six children refused being measured.

277

278 All measurements were performed in the presence of the children's teachers, and supervised and
279 accompanied by 26 local physicians, pediatricians, and medical residents. Birth date and information
280 on parent education was obtained from school records. Parent education was expressed as the total
281 number of school years of both parents including university education. We measured body height
282 (technical error 2.5 mm), weight (technical error 0.15 kg), triceps (technical error 1.5 mm), subscapular
283 skinfolds (technical error 2.0 mm), and clinical signs of malnutrition (hair, skin, and general
284 appearance [13]). The children were lightly dressed and measured without shoes. Weight of the
285 school uniforms was found to be close to 200g in children below age 10 years, and about 300g in
286 children above age 10 years, and was subtracted from the weight measurements. Body height was
287 determined by digital laser rangefinder GLM Professional® Bosch 250 VF [32] to the nearest
288 millimeter, weight by digital scales (Soehnle, Nassau, Germany, Style Sense Compact 100) to the
289 nearest 100g, and skinfold thickness by caliper (Holtain, Ltd. Crosswell, Crymych, UK) to the nearest
290 0.2 millimeter. All measurements were taken under standardized conditions [33]. The study included
291 travelling of some 900 km by car, and extensive walking through residential areas surveying housing
292 conditions, food markets, and sanitary facilities.

293

294 To estimate fatness, energy balance, and nutritional status we used the average of three
295 measurements of triceps and three measurements of subscapular skinfold thickness (\bar{x} SF). Skinfold
296 thickness changes with age and the distribution of fat depends on sex [37-39]. By averaging two

297 skinfolds, we tried to avoid possible confounding due to age, sex, SES, and ethnic influences, and
298 rather focused on the association between height, body fat and external skeletal robusticity.
299 Standard deviation scores for height (hSDS) and body mass index (BMI_SDS) were calculated
300 according to WHO references [3]. To test whether particular effects only occurred in the very thin, or in
301 the overweight/obese group of children, we focused on children with “normal BMI” (BMI_SDS<-1.28;
302 and BMI_SDS>1.28). “Thinness” was defined as BMI below the 10th centile, i.e. BMI_SDS<-1.28. The
303 terms “overweight” and “obesity” were defined in the usual way with BMI_SDS>+1 or >+2,
304 respectively.

305 To further estimate nutritional status and external skeletal robusticity, we measured mid-upper-arm
306 circumferences (MUAC) and elbow breadth and calculated the frame index (FI, elbow breadth/height
307 [34-36]). The latter has been used as a proxy for everyday physical activity levels [19].

308

309

310 *Statistical analysis*

311

312 We performed correlation analyses and linear mixed effects models with both fixed and random
313 effects for hSDS, MUAC and \bar{x} SF, we then plotted the samples and fitted with LOWESS. LOWESS,
314 also referred to as robust locally weighted regression, is a method for fitting a smooth line through x-y
315 data points [40]. Calculations were performed using SPSS version 25 (IBM SPSS Statistics, Armonk,
316 NY), Statistica version 13.2., and with the programming language “R” (R-version 3.5.1 2018).

317

318 **Results**

319

320 Nutritional status as indicated by \bar{x} SF and hSDS do not correlate (Figure 1).

321

322 Indonesian children lack relevant associations between \bar{x} SF and hSDS (table 1). Rural boys of Soe
323 were shortest (hSDS -2.08), and thinnest (BMI_SDS -1.41), closely followed by the girls (hSDS -1.90,
324 BMI_SDS -1.25). 53% of the Soe boys, and 46% of the Soe girls were stunted. \bar{x} SF (boys 5.50 mm,
325 girls 6.72 mm) was significantly less than in the children of Kupang (boys 7.65 mm, girls 8.75 mm).
326 Even though the very thin children of Kupang were slightly shorter, they were still significantly taller
327 than the children of Soe. The correlation between \bar{x} SF and hSDS ranged from $r=0.12$ to $r=0.38$,

328 explaining a maximum of 14.4% of the hSDS variance. The average number of parental school years
329 was less in Soe (fathers 11.15 years, mothers 10.73 years) than in Kupang (fathers 13.33 years,
330 mothers 13.05 years, $p < 0.01$). But the correlation between parental education and anthropometry was
331 weak and only explain some 6.5% of the variance in hSDS and some 3.5% of the variance in \bar{x} SF.

332

333 To minimize the effects of overweight and thinness on growth, we eliminated the extremes and
334 repeated the calculations with “nutritionally normal” children (BMI between ± 1.28 BMI_{SDS} [3]).

335 Doing so, the weak correlations between \bar{x} SF and hSDS disappeared completely (table 1).

336

337 We also studied the thin children from West Timor, with \bar{x} SF below the 10th centile for mean
338 subscapular and triceps skinfold thickness of German children [41]. 124 boys and 115 girls from
339 Kupang, and 86 boys and 98 girls from Soe belonged to this sample. In these children, hSDS was
340 slightly lower than the average hSDS of the respective groups of all West Timor children. Yet, the
341 association between hSDS and \bar{x} SF was insignificant. In the children of Kupang, the regression
342 analysis showed insignificant betas of -0.015 (95% CI -0,246, 0,207; boys) and 0.048 (95%CI -0.120,
343 0.204; girls), and in the children of Soe, the regression analysis showed insignificant betas of -0.065
344 (95% CI -0,190, 0,351; boys) and -0.136 (95%CI -0.349, 0.067; girls).

345

346 On the other side, many children from urban Ubud and rural Marbau were obese (table 1), though still
347 shorter than the WHO reference (hSDS = -0.3). The correlation between hSDS and skinfold thickness
348 was as weak, as in the urban children of Kupang ($r = 0.354$). In all samples the correlation between
349 hSDS and \bar{x} SF was negligible (Table 1).

350 Using linear mixed effects models with both fixed and random effects, we further studied the
351 association between hSDS, MUAC and \bar{x} SF. When considering the whole sample, MUAC and \bar{x} SF
352 together explained 25.5% of the hSDS variance in boys, and 18.5% of the hSDS variance in girls. But
353 the associations markedly decreased when considering the two parameters, and each population
354 separately (Table 1). It is of particular interest that the shortest population, the children from rural Soe
355 with stunting rates of 53.3% (boys) and 46.0% (girls), showed no meaningful association between
356 hSDS, MUAC and \bar{x} SF. The hSDS variance explained by both parameters together, was 6.5%
357 ($p = 0.03$) in boys, and 4.8% ($p < 0.01$) in girls. The FI of the frequently stunted rural children of Soe, with
358 40.9 (SD=2.1) mm (boys) and 39.0 (SD=1.8) mm (girls) was highest among the various regions

359 investigated. The FI of the frequently obese urban children of Ubud, however, was lowest with 38.6
360 (SD=2.8) mm (boys) and 37.3 (SD=2.6) mm (girls). Previous research reports that FI is positively
361 correlated with physical activity levels and uncorrelated with body fatness [42]. On this basis the high
362 level of physical activity of the Soe children rather contracts the vision that the short stature of these
363 children results from malnutrition or infection.

364

365 It is of interest to note that the associations between hSDS and both skinfold thickness and MUAC
366 were least in the stunted rural children of Soe, and greatest in the urban overweight children of Ubud.

367

368 We found no visible clinical signs of PEM such as edema, irritability, apathy, or decreased social
369 responsiveness, and anxiety, nor glossitis and nail changes (iron deficiency), goiter (iodine deficiency),
370 hair changes (vitamin A deficiency), nor signs of diminished immune response such as multiple skin
371 infections and poor wound healing [27]. We rather met happy, vivid, and very interested children in
372 apparently good health. We incidentally found an almost 100% prevalence of untreated dental caries,
373 indicating very poor dental hygiene in the rural children of Soe and Marbau. A systematic review of
374 longitudinal studies reported that, "Evidence of the association between anthropometric
375 measurements and dental caries is conflicting and remains inconclusive" [43]. Less than one percent
376 of the children wore eyeglasses indicating underdiagnosed visual impairments.

377

378

379 **Discussion**

380

381 The present analysis rejects the three hypotheses proposed here, all of which are based on the
382 conventional definition of height stunting as due primarily to nutritional inadequacy: (1) stunted
383 children are not uniformly characterized by depleted fat stores; (2) fat stores of less stunted children
384 are not less depleted and better parental education does not minimize the risk of child undernutrition;
385 (3) stunted children do not exhibit visible clinical signs of PEM. Quite in contrast to common
386 expectations, the associations between growth and parameters reflecting nutritional status such as
387 skinfold thickness, FI, and MUAC were least in the stunted rural children of Soe, and greatest in the
388 urban overweight children of Ubud. Small subcutaneous fat depots and narrow upper arm
389 circumferences do not appear to inhibit growth. The data rather confirm that growth is accelerated in

390 overweight and obese children. The investigation in 1716 Indonesian school children with stunting
391 rates up to 53% in rural Soe, thus, does not support the modern concept of stunting as an indicator of
392 malnutrition.

393

394 Arguments have been raised that observing signs of adequate or even overnutrition in stunted school
395 age children does not exclude undernutrition at younger age, as stature is the summary of all previous
396 height increments. Such arguments are compelling at first view. But growth is plastic. Long periods of
397 childhood starvation are usually followed by catch-up growth [44] and complete recovery. This has
398 repeatedly been shown in school children born during and shortly after wars [45,46]. The effect of re-
399 feeding was particularly well documented in historic studies of severely undernourished children raised
400 during World War I [47,48] with average catch-up growth rates of 3-5 cm within eight weeks of re-
401 feeding. We found no evidence in the historic European pediatric literature that supports the view that
402 intermittent infant undernutrition due to war and post-war food shortages result in permanent height
403 deficits.

404

405 Thus, we cannot share the opinion expressed in the quotation in our Introduction that length-for-age is
406 the indicator of choice to monitor chronic nutritional deficiency [1]. We appreciate that this is the
407 working agenda of public health specialists, governments, the food industry and funding bodies. In
408 recent communication with the German Federal Ministry for Economic Cooperation and Development
409 (*Bundesministerium für wirtschaftliche Zusammenarbeit und Entwicklung*) we were told that this
410 Ministry, "...follows the international definition that stunting results from chronic malnutrition - so-called
411 "hidden hunger". Affected people often receive a sufficient (energy-rich) but not a balanced diet" (email
412 communication to MH, 09 May 2018). The belief that height growth depends on an ideal intake of food
413 and essential nutrients is intuitive. But intuition may not necessarily be true [49]. We are aware that the
414 estimates of the global prevalence of stunting for under 5-year-olds is close to 155 million [50]. We do
415 not question that these children are short in stature, but we question that all these children are
416 undernourished [51]. Nearly 100 years ago, after World War I, the German pediatrician and school
417 physician Schlesinger summarized that "*the child's longitudinal growth is largely independent of the*
418 *extent and nature of the diet ...*". Very similar data were published by other paediatricians [4,5].

419

420 Our new Indonesian study consisted of extensive ethnographic observations of residential areas and
421 was supervised and accompanied by 26 local physicians, pediatricians, and medical residents, with
422 direct clinical experience of urban and rural communities. All of us failed to detect visible clinical signs
423 of PEM and essential nutrient deficiencies. Instead we detected obesity except for Soe children. A
424 positive energy balance has mild stimulatory effects on developmental tempo and growth [25], but the
425 obese children were not the tall children. Quite in contrast, even the obese populations were shorter in
426 height than WHO references. In Guatemala it has been known since the 1990s that the high
427 prevalence of stunting is caused by factors other than nutrient intake [52]. A survey of eight rural,
428 Maya villages and an urban medical clinic in Quetzaltenango, Guatemala with a high percentage of
429 Maya patients found that for 306 newborns, with a median age of 19 days postpartum, 38% were
430 'stunted' at birth and that maternal height explained only 3% of the variability in hSDS of the newborns
431 [53]. The nutrient intake of the mothers may have been inadequate but as we discussed above,
432 systematic reviews of numerous nutrient supplementation interventions in Guatemala and elsewhere
433 find little or no positive impact on newborn length.

434 The same research team published a more recent report based on their participatory action research
435 conducted within a socioecological framework [54]. The study enrolled a longitudinal cohort of 155
436 women, followed during pregnancy (6-9 months), early (0-6 weeks), and later (4-6 months)
437 postpartum, and 2 cross-sectional cohorts (60 early and 56 later postpartum). The authors report that
438 diet diversity and adult food security (38%) were low. Urinary and gastrointestinal infections were rare
439 (<5%) but reports of distress in meaningful Maya social categories was frequent (20%-50%).
440 "Participants reported low maternal autonomy (81%), high paternal support (70%), small social
441 support networks (2.7 +/- 1.3 individuals), and high trust in family (88%) and community-based
442 institutions (61%-65%) but low trust in government services (6%). Domestic violence was commonly
443 reported (22%). Infant stunting was common (36% early postpartum and 43% later postpartum)
444 despite frequent antenatal care visits (7.5 + 3.8). Participant engagement with the research team did
445 not influence study outcomes based on comparisons between longitudinal and cross-sectional
446 cohorts" (p. 415). These findings confirm the earlier study of high stunting prevalence at birth and
447 associates this with maternal psychosocial distress and insecurity more than diet or infection.

448

449 Education matters [23,24]. Social strata and education are associated with child growth. The
450 differences in height between the Indonesian groups of lower SES and higher SES support this view.

451 But again, the within-group correlations were weak. Within each group, parental education failed to
452 suggest major impact of education on height. We found no satisfying educational explanation for the
453 one standard deviation height difference between rural Soe and urban Kupang.

454

455 Heredity has been an argument for short stature. West-Timor, a far-off region with little migration from
456 outside and almost no physical contact with modern Europeans, might serve as an example of genetic
457 isolation, but it fails to explain the difference of one SD between height of children raised only 110 km
458 apart from each other. Similar considerations apply for Maya children from Guatemala born in the
459 United States. They are, on average, 11 cm taller than their siblings born and raised in Guatemala
460 [55]. The genetic argument is further weakened when considering recent Genome-Wide Association
461 Studies stating that genetic loci associated with height only explain some 12.3% of the total variance
462 in adult human height [56].

463

464

465 Why are these children so short?

466 This question is difficult, as it might imply a negative connotation of being short. We rather suggest
467 asking why are these children not as tall as modern European children? Modern Indonesians are
468 slightly taller than wealthy Europeans some 150 years ago. Kotelmann studied upper class adolescent
469 boys from an elite humanistic school in Hamburg [57]. These boys were delayed in pubertal
470 development by almost two years and reached an average near final height around 165 cm. Similar
471 patterns of growth delay and short height were reported from aristocratic and working-class boys
472 educated at Carlsschule, Stuttgart, an 18th century boarding school [58].

473 Recent evidence suggests community effects on height [59]. Among social mammals, strategic growth
474 adjustments have been described [60], mechanisms that may also apply for the regulation of human
475 growth [61].

476 We propose that living in poverty with food and housing insecurity, emotional trauma, and other stress
477 insults are some, but not all of the factors that explain the comparably short stature of those many
478 modern nations listed by the NCD Risk Factor Collaboration [62].

479

480

481

482 In addition, we propose that children can be short because they lack those social, economic, political
483 and psychological infrastructures that in the modern Western world have stimulated growth beyond all
484 previously recorded limits for height [62]. Good nutrition, health, general living conditions and care-
485 giving are essential prerequisites for growth, but they do not in themselves, maximize stature. We
486 emphasize the bilateral link between height and social position [63]. Taller stature is associated with
487 higher socioeconomic status [64], but also social position and political environment modulate growth
488 [59, 65], a vision that opens new fields of biosocial research. Here, the classical verdict of Liberté,
489 Égalité, Fraternité, becomes more than just a revolutionary statement. Groups who feel liberty, who
490 practice social equality and peaceful coexistence – the Northern European countries may serve as
491 political examples - are known to be tall.

492

493 There are limits to this study. The underlying mechanisms of social-emotional growth adjustments are
494 still unclear. The present investigation lacks detailed information on daily food intake and the
495 composition of the diet, and confirmative laboratory data on serum iron, zinc, thyroid hormones,
496 vitamin D levels, etc.

497

498 This work does not question the obvious causal association of energy insufficiency, essential nutrient
499 and chronic infection with reduced linear growth. We are not advocating the discredited idea that the
500 short stature of children and adults living in poverty may be a genetic adaptation or beneficial
501 homeostatic response acquired from generations of malnutrition and is without any pathological
502 consequence.

503

504 But we do question the inappropriate use of global growth standards to conclude that stunting is *prima*
505 *facie* evidence of malnutrition and chronic infection. We question that government ministries and non-
506 governmental organizations base their *raison d'être* on the term “stunting” as a synonym for “chronic
507 malnutrition”. We intend to stimulate the debate about the inappropriate misapplication of a global
508 growth reference derived from high SES and mostly westernized populations when applied to
509 apparently healthy and well-nourished low SES children raised in remote areas of former European
510 colonies. We propose a more precise identification of growth inhibition caused by malnutrition based
511 on a combination of measurements, including height, triceps and subscapular skinfolds, and other
512 indicators of energy balance and nutrient adequacy.

513 We protest against the misinterpretation of short stature as a proxy indicator for malnutrition.

514 **Malnutrition leads to stunting, but stunting by itself does not indicate malnutrition.**

515

516

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525

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527

528 The authors have nothing to disclose

529

530

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745

746

747 **Legend to figure 1:**

748

749 hSDS and mean skinfold thickness [(subscapular + triceps)/2] of 206 children from urban

750 Kupang/West-Timor, Indonesia, 107 children from rural Soe/West-Timor, Indonesia, and 591 children

751 from urban Ubud/Bali, Indonesia. Age and sex averaged centiles (p3, p50, and p97) of mean

752 subscapular and triceps skinfold thickness of German children [41] (bars) are added for comparison.

753 hSDS and \bar{x} SF were plotted and fitted with LOWESS to better highlight the overall shape of the

754 relationship between the x and y variables. Please note that the scale of the Ubud children differs due

755 to the exuberant prevalence of obesity.

756

757

Table 1 Anthropometric data and parental education of 908 boys and 808 girls from elementary schools in Ubud (Bali), Kupang (two schools, West-Timor), Soe (West-Timor), and Marbau (two schools, North Sumatra). SD scores were obtained using WHO references [3].

	Ubud	Kupang	Soe	Marbau
boys (6-13 years old)				
n	317	206	107	278
n - normal body mass (BMI_SDS +/- 1.28)	186	93	46	196
% - BMI between SDS +/-1.28	58.7	45.2	43.0	70.5
% - hSDS < -2 (% stunted)	5.4	21.8	53.3	25.2
% - BMI_SDS < - 1.28 (% thinness)	9.2	40.8	55.1	19.8
% - BMI_SDS > 1 (% overweight)	35.6	15.0	1.9	11.5
% - BMI_SDS > 2 (% obese)	21.4	11.6	0.0	4.7
mean hSDS	-0.34	-1.10	-2.08	-1.33
SD for hSDS	1.00	1.00	0.93	0.99
mean BMI_SDS	0.54	-0.69	-1.41	-0.37
SD for BMI_SDS	1.61	1.78	1.06	1.19
mean skinfold (SF [mm])	12.01	7.65	5.50	8.67
SD for skinfold [mm]	7.86	4.66	1.50	4.60
MUAC [cm]	21.4	18.4	16.6	20.4
SD for MUAC [cm]	2.1	1.9	1.3	1.7
Frame index	38.6	39.0	40.9	39.8
SD for Frame index	2.8	2.4	2.1	1.8
coeff corr SF * hSDS	0.38	0.38	0.12	0.32
coeff corr SF * hSDS (BMI_SDS +/- 1.28)	0.20	0.12	0.09	0.13
coeff corr MUAC * hSDS	0.51	0.35	0.25	0.43
girls (6-13 years old)				
N	274	197	113	224
n - normal body mass (BMI_SDS +/- 1.28)	182	106	53	156
% - BMI between SDS +/-1.28	66.4	53.8	46.9	69.6
% - hSDS < -2 (% stunted)	4.0	17.8	46.0	23.7
% - BMI_SDS < - 1.28 (% thinness)	8.8	34.0	53.3	18.4

% - BMI_ SDS > 1 (% overweight)	29.2	13.7	4.4	8.9
% - BMI_ SDS > 2 (% obese)	12.4	5.1	0.0	3.6
mean hSDS	-0.27	-1.00	-1.90	-1.27
SD for hSDS	0.97	1.02	1.11	0.94
mean BMI_ SDS	0.30	-0.65	-1.25	-0.41
SD for BMI_ SDS	1.26	1.49	1.01	1.10
mean skinfold (SF [mm])	12.33	8.75	6.72	10.34
SD for skinfold [mm]	5.40	4.06	2.15	4.31
MUAC [cm]	20.9	18.4	17.0	20.0
SD for MUAC [cm]	1.8	1.7	1,4	1,7
Frame index	37.3	37.4	39.0	38.2
SD for Frame index	2.6	2.3	1.8	1.7
coeff corr SF * hSDS	0.33	0.24	0.21	0.12
coeff corr SF * hSDS (BMI_ SDS +/- 1.28)	0.27	0.07	0.13	-0.04
coeff corr MUAC * hSDS	0.37	0.35	0.19	0.34

parental education – both sexes				
paternal education (years)	12.28	13.33	11.15	9.18
maternal education (years)	12.40	13.05	10.73	8.62
coeff corr hSDS * paternal education	0.04	0.13	0.04	0.12
coeff corr SF *paternal education	0.00	0.15	0.10	0.10
coeff corr hSDS * maternal education	0.04	0.09	0.06	0.13
coeff corr SF * maternal education	-0.07	0.06	0.16	0.10

Height SDS versus mean skinfold [(subscapular + triceps)/2]

Indonesia

