

# **Online Research @ Cardiff**

This is an Open Access document downloaded from ORCA, Cardiff University's institutional repository: http://orca.cf.ac.uk/97000/

This is the author's version of a work that was submitted to / accepted for publication.

Citation for final published version:

Fagereng, Ake and den Hartog, Sabine A. M. 2017. Subduction megathrust creep governed by pressure solution and frictional-viscous flow. Nature Geoscience 10 (1), pp. 51-57. 10.1038/ngeo2857 file

Publishers page: http://dx.doi.org/10.1038/ngeo2857 <http://dx.doi.org/10.1038/ngeo2857>

Please note:

Changes made as a result of publishing processes such as copy-editing, formatting and page numbers may not be reflected in this version. For the definitive version of this publication, please refer to the published source. You are advised to consult the publisher's version if you wish to cite this paper.

This version is being made available in accordance with publisher policies. See http://orca.cf.ac.uk/policies.html for usage policies. Copyright and moral rights for publications made available in ORCA are retained by the copyright holders.

information services gwasanaethau gwybodaeth

#### 1 Subduction megathrust creep governed by pressure solution and

#### 2 frictional-viscous flow

- 3 Åke Fagereng<sup>1,2,\*</sup> & Sabine A. M. den Hartog<sup>3,4</sup>
- 4 <sup>1</sup>School of Earth & Ocean Sciences, Cardiff University, Cardiff, CF10 3AT, United

5 Kingdom.

- 6 <sup>2</sup>Department of Geological Sciences, University of Cape Town, Private Bag X3,
- 7 Rondebosch 7701, South Africa.
- <sup>8</sup> <sup>3</sup>*HPT Laboratory, Department of Earth Sciences, Utrecht University, Utrecht, The*

9 Netherlands.

- <sup>4</sup>Now at: Rock Deformation Laboratory, School of Environmental Sciences, University
  of Liverpool, Liverpool, United Kingdom
- 12 \*Corresponding author: FagerengA@cardiff.ac.uk

13 Subduction megathrust slip speeds range from slow creep at plate convergence 14 rates (centimetres per year) to seismic slip rates (metres per second) in the largest 15 earthquakes on Earth. The deformation mechanisms controlling whether fast slip 16 or slow creep occurs, however, remain unclear. Here, we present evidence that 17 pressure solution creep - fluid-assisted, stress-driven mass transfer - is an 18 important deformation mechanism in megathrust faults. We quantify megathrust 19 strength using a laboratory-constrained microphysical model for fault friction, 20 involving viscous pressure solution and frictional sliding. We find that at plate-21 boundary deformation rates, aseismic, frictional-viscous flow is the preferred

deformation mechanism at temperatures above 100 °C. The model thus predicts
aseismic creep at temperatures much cooler than the onset of crystal plasticity,
unless a boundary condition changes. Within this model framework, earthquakes
may nucleate when a local increase in strain rate triggers velocity-weakening slip,
and we speculate that slip area and event magnitude increase with increasing
spacing of strong, topographically derived irregularities in the subduction
interface.

29 Understanding why some megathrust segments accommodate displacement by 30 earthquake slip versus aseismic creep is a major challenge. Geophysically observed variation in seismic style along active subduction megathrusts, involving a continuum 31 32 of slip speeds from plate boundary creep rates to earthquake slip<sup>1</sup>, arises from processes within a fault zone in subducting sediments on top of potentially rugged ocean floor $^{2-6}$ . 33 34 Dominantly creeping margins are characterised by low seismic coupling coefficients -35 the observed seismic moment release rate over that required by plate motion vectors and lack of earthquake moment magnitudes > 8.0 (Supplementary Figure S1)<sup>7,8</sup>. Thus, 36 37 some margins produce small to medium magnitude earthquakes, but the total moment of 38 these earthquakes is insufficient to explain total geodetically observed displacement, and they must therefore be accompanied by aseismic creep $^{9}$ . 39

The megathrust interface is commonly inferred as seismogenic to a depth where temperature exceeds the 350°C required for crystal plasticity in quartz, or to the intersection with the hanging wall Moho, whichever is shallower<sup>10</sup>. However, geodetic inversions<sup>8,11-14</sup> reveal aseismic creep shallower than both the 350°C isotherm and the hanging wall Moho. The question thus arises: how do some megathrust segments, such as north Hikurangi<sup>11</sup>, the southern Japan Trench<sup>12</sup>, southern New Hebrides<sup>13</sup>, southern
Kermadec Arc<sup>13</sup>, and the Manila Trench<sup>14</sup> accommodate detectable displacement by
aseismic creep in addition to moderate size earthquakes, both originating at a similar
depth range? This observation requires average creep rates of centimetres per year at
temperatures less than 350°C. Identifying the associated creep mechanism is critical for
recognising where megathrust displacement can occur without great earthquakes, and
by contrast, interpret where great earthquakes may occur.

#### 52 The mechanism of creep at seismogenic zone conditions

Tectonic mélanges comprising sheared trench-fill and ocean floor sediments have 53 been interpreted as megathrust fault rocks (Fig. 1a)<sup>3-6,15</sup>. Deformation structures 54 55 developed at seismogenic pressure-temperature (P - T) conditions include both 56 discontinuities, such as faults and tensile fractures, and continuous structures such as 57 folds, boudins and foliations. One possible interpretation is that faults and associated 58 fractures represent seismic deformation styles, whereas continuous features characterise slower, distributed, aseismic mechanisms recorded as creep $^{5,6,15}$ . In this interpretation, 59 60 the mechanism accommodating deformation in continuous structures is responsible for 61 aseismic creep.

In exhumed subduction thrusts, cleavage defined by fine-grained phyllosilicates wraps around rigid quartz clasts (Fig. 1b). Comparable microstructures are reported in borehole samples from the creeping segment of the continental San Andreas transform fault<sup>16,17</sup>. Mass balance calculations on San Andreas samples indicate pressure solution, involving fluid-assisted, stress-driven mass transfer, as the cleavage-forming process<sup>16</sup>. 67 If empirical rates can be extrapolated, pressure solution is fast enough to account for
68 aseismic sliding<sup>16,18</sup>.

69 Pressure solution is also widely inferred as the dominant cleavage-forming process in mudrocks and phyllites sampled from exhumed subduction thrusts<sup>6,19-21</sup>. As 70 71 an example, we consider a sample representative of sheared, cleaved mudstone from an inferred exhumed megathrust in the Chrystalls Beach Complex, New Zealand<sup>21</sup>(Fig. 1a-72 73 e), where cleavage defined by illite-muscovite developed at  $T < 300^{\circ}$ C (ref. 22). In this 74 sample, cleavage seams are depleted in Si and enriched in Al (Fig. 1c; Supplementary 75 Figure 2). If cleavage develops by pressure solution, more soluble elements, such as Si, 76 are dissolved, whereas less soluble elements, such as Al, are retained. Thus, the 77 observations in the Chrystalls Beach sample are consistent with cleavage formation by 78 pressure solution. Stress shadows around quartz clasts lack evidence for opening of pore 79 space (Fig. 1d), and are sites of local silica enrichment (Fig. 1e). In addition to 80 formation of phyllosilicate cleavage, mass-transfer processes are therefore illustrated by 81 silica enrichment and clast elongation through mineral growth in pressure shadows (Fig. 82 1d,e).

#### 83 Microphysical model for fault gouge strength

The observations on exhumed megathrust rocks indicate that one of the microscopic processes that controls macroscopic frictional behaviour is viscous pressure solution. Indeed, microphysical modelling studies have shown that experimental observations on shear deformation at low strain rates in rocks comprising rigid clasts in a phyllosilicate matrix can be explained by *frictional-viscous flow*: frictional sliding

along cleavage planes coupled to viscous (time-dependent) pressure solution of 89 intervening rigid clasts<sup>23,24,25</sup>. The microstructures reported in these experimental studies 90 91 are essentially identical to those seen in samples from the exhumed Chrystalls Beach 92 Complex (Fig. 1b-e). Frictional-viscous flow is restricted to low strain-rates (and/or 93 high T); at higher strain rates (or lower T), slip is activated on anastomosing 94 phyllosilicates, and microphysical models predict an importance of compaction by pressure solution<sup>24,25</sup>. Here, we use the model by Den Hartog and Spiers<sup>25</sup>, coupled to 95 analytical thermal gradients<sup>26</sup>(Methods), to predict megathrust shear strength. This 96 97 microphysical model is based on friction experiments performed on materials and at 98 conditions representative for subduction megathrusts. Following this model, we assume 99 a matrix-supported megathrust shear zone where frictional sliding occurs on aligned 100 phyllosilicates, accommodated by pressure solution shear of intervening quartz grains or 101 dilatation (Fig. 1f). In this model, the relation between shear strain rate and shear stress 102 is derived by considering stress balances at the microscale for a unit cell defined in Fig. 103 1f. The megathrust shear strain rate ( $\dot{\gamma}$ ) is related to the slip velocity (V) assuming strain is distributed through a shear zone thickness (w) and therefore  $\dot{\gamma} = V/w$ . 104

105 Each unit cell consists of quartz clasts, which are uniformly distributed such that 106 horizontal rows overlap, and phyllosilicate foliations that are on average parallel to the 107 shear plane, but locally curve around rigid clasts (ref. 25; Fig. 1f; Supplementary Figure 108 3), resembling the natural microstructure (Fig. 1b). Slip along foliation is assumed to be 109 a frictional process governed by the frictional resistance of phyllosilicates, which varies 110 with temperature and normal stress according to experimental data for illite and 111 muscovite<sup>27-31</sup> (Methods). Depending on the conditions (e.g. slip velocity, temperature, 112 normal stress), the frictional resistance predicted by the model either decreases

113 (velocity-weakening) or increases (velocity-strengthening) as slip accelerates. Whereas 114 velocity-weakening behaviour is potentially unstable, and can promote fast earthquake 115 slip, velocity-strengthening behaviour is inferred to lead to stable sliding, recorded as aseismic creep $^{32-34}$ . In the microphysical model used here $^{25}$ , velocity-strengthening flow 116 117 occurs when easy shear of quartz clasts by thermally activated pressure solution, in 118 series with rate-independent slip on planar phyllosilicates, leads to non-dilatant 119 deformation (frictional-viscous flow). Velocity-weakening slip occurs when difficult 120 pressure solution shear of quartz results in increased shear stress and slip is activated on 121 curved phyllosilicate cleavages. This slip along curved foliation results in dilatation at 122 the clast-matrix interface under extension (Fig. 1f), which at steady state is balanced by 123 compaction via pressure solution.

#### 124 Application of flow law to natural subduction zones

125 We apply boundary conditions appropriate for the northern Hikurangi margin, a 126 megathrust shown to deform predominantly by aseismic creep, at least over the last few decades<sup>11</sup>. Pore fluid factors ( $\lambda = P_{f}/\sigma_{v}$ , where  $P_{f}$  is pore fluid pressure and  $\sigma_{v}$  is vertical 127 128 stress) of 0.8 and 0.95 are imposed to test variations between moderate and high fluid pressure conditions. We distribute a steady creep rate of 40 mm  $vr^{-1}$  over a 1 - 100 m 129 thick subduction thrust shear zone, a range representing strain rates from  $10^{-11}$  to  $10^{-9}$  s<sup>-</sup> 130 <sup>1</sup>, and a range in deforming thickness typical of exhumed mélanges and drilled 131 subduction megathrusts<sup>15</sup>. Quartz grain size varies from 10 to 100 µm, based on Fig. 1b-132 133 e. All model parameters are listed in Supplementary Table S1.

134	The frictional-viscous flow strength of quartz-phyllosilicate mixtures as a function	
135	of depth is compared to frictional strengths of mono-mineralic quartz and illite-	
136	muscovite faults (Fig. 2a,b). At all considered conditions, frictional sliding in quartz	
137	requires higher shear stress than any slip mechanism in phyllosilicates or quartz-	
138	phyllosilicate mixtures; we therefore note that frictional sliding in quartz is an unlikely	
139	deformation mechanism in phyllosilicate-rich megathrust shear zones. For both high	
140	and moderate fluid overpressure, there is a depth below which frictional-viscous flow	
141	requires a lower shear stress than that required for frictional sliding in mono-mineralic	
142	phyllosilicate fault gouges (Fig. 2a,b). For deforming zones of 100 m thickness,	
143	frictional viscous flow becomes favourable at $8 - 10$ km depth in moderate fluid	
144	pressure conditions (Fig. 2a), and at $12 - 16$ km depth under high fluid pressure (Fig.	
145	2b). In both cases, frictional-viscous flow becomes favourable at $T \ge 100 \pm 20$ °C (Fig.	
146	2c), where the corresponding shear stress, $\tau$ , is $\leq 10$ MPa at high fluid pressure, and $\leq$	
147	20 MPa at moderate fluid pressure (Fig. 2a,b). For a 1 m thick deforming zone, higher	
148	strain rates make frictional-viscous flow less favourable; at high fluid pressure,	
149	frictional sliding of phyllosilicates remains favourable until a depth of ~ 26 km ( $T \le 200$	
150	°C, $\tau$ < 20 MPa), whereas at lower fluid pressures, frictional sliding also requires higher	
151	stresses and frictional-viscous flow becomes favourable from 16 km depth ( $T < 150$ °C,	
152	$\tau \sim 40$ MPa).	

153 Calculated temperatures define low thermal gradients, partly because very low 154 stresses reduce temperatures relative to models with Byerlee friction (Fig. 2c). In our 155 warmest model, where  $\lambda = 0.8$ , shear zone width is 1 m, and quartz grain size 100 µm, 156 shear heating makes up approximately 30 % of the heat budget; for the coldest model, 157 with  $\lambda = 0.95$ , shear zone width of 100 m, and quartz grain size 10 µm, less than 10 % 158 of the heat budget is contributed by shear heating. Hikurangi is also a cool margin in the 159 global spectrum of subduction zone thermal models, where model temperatures<sup>35</sup> 160 compare to Fig. 2c. Compared to a recent numerical model<sup>36</sup>, calculations here with  $\lambda =$ 161 0.8 are cooler at depths below ~ 10 km, whereas  $\lambda = 0.95$  gives consistently lower 162 temperatures.

Aseismic frictional-viscous flow is the predicted deformation style at  $T \ge 100$  °C, 163 164 when average plate boundary shear strain rates are accommodated in a hundreds of 165 metres thick shear zone (Fig. 2a,b). Generation of run-away earthquake slip requires a 166 change in these boundary conditions. This is because, at low strain rates, pressure 167 solution of quartz clasts accommodates local finite strain around the rigid clasts created by slip on surrounding, planar phyllosilicate cleavages (Figs. 1d.e. 3a.b)<sup>25</sup>. At higher 168 169 strain rate, pressure solution requires greater driving stress, bulk fault zone strength 170 increases, and eventually dilatant, velocity-weakening behaviour occurs, allowing potentially unstable slip<sup>25</sup> (Fig. 3a,b). At each depth increment in Fig. 2, we calculate 171 172 the friction coefficient as a function of strain rate, as shown for a depth of 30 km in Fig. 173 3b. The strain rate required for a change from velocity-strengthening to velocity-174 weakening behaviour increases with depth (Fig. 3c). At depths greater than 15 km, 175 where frictional-viscous flow generally becomes favourable (Fig. 2), velocitystrengthening behaviour occurs at strain rates slower than  $10^{-12}$  s<sup>-1</sup> and shear zone 176 widths greater than tens of metres at 40 mm yr<sup>-1</sup> slip rates (Fig. 3c). At a depth of 30 177 178 km, where frictional-viscous flow is preferred for all our considered conditions with a 179 plate boundary slip rate (Fig. 2a,b), the shear strain rates required for velocityweakening behaviour range from  $10^{-9}$  to  $10^{-4}$  s<sup>-1</sup> (Fig. 3b,c). 180

181 At shallow depths, although commonly interpreted as a velocity-strengthening region<sup>10,34</sup>, potentially seismic slip is predicted at strain rates as low as  $10^{-12}$  s<sup>-1</sup> at 5 km 182 depth, and  $10^{-16}$  s<sup>-1</sup> at the surface (Fig. 3c). This is because shear deformation by 183 184 pressure solution of quartz is difficult at low temperature, yielding dilatant behaviour. 185 At greater depths, where  $T \ge 100 \pm 20$  °C, low strain rate frictional-viscous flow is the 186 predicted deformation mechanisms (Fig. 2), because a high quartz solubility yields efficient dissolution and re-precipitation at this temperature (Ref. 37, Supplementary 187 188 Figure 4). This potential change in deformation mechanism is reflected in exhumed 189 accretionary prisms, where mélange deformation at T < 100 °C is dominated by 190 distributed cataclasis, whereas a pressure solution cleavage and localised slip surfaces 191 are prevalent in rocks deformed at  $T > 150^{\circ}$ C (Refs. 6,21,38,39). In central and northern 192 Hikurangi, the margin we used for our thermal calculations, it is uncertain whether a 193 near-surface velocity-strengthening zone and updip limit of seismicity is present, as slow slip events may propagate to the trench<sup>40</sup>; the downdip limit of the interseismically 194 locked zone is here at less than 10 km depth<sup>11</sup>. This downdip limit of the locked zone is 195 196 in agreement with the onset of velocity-strengthening frictional-viscous flow at 10 km 197 depth and  $T \le 100^{\circ}$ C, in a margin of moderate fluid overpressure and distributed shear 198 (Fig. 2a,c).

Following Den Hartog and Spiers<sup>25</sup>, we conclude that frictional-viscous flow
involving pressure solution is a viable mechanism of velocity-strengthening, stable
creep. We consider the recently discovered phenomenon of slow slip along subduction
megathrusts<sup>41,42</sup>, defined as geodetically observed displacement that is faster than plate
convergence rates but too slow to generate seismic waves, as a form of unstable slip<sup>43</sup>.
Shallow slow slip, as observed near the trench in northern Hikurangi<sup>40</sup>, may therefore be

205	a manifestation of unstable, dilatant shear at $T < 100^{\circ}$ C (the 'potentially seismic slip' in	
206	Fig. 3c). Deeper slow slip events occurring down-dip of the locked zone and at depths $\geq$	
207	30 km, such as in Cascadia, are either independent of, or possibly load, the seismogenic	
208	region <sup>44</sup> . The application of the microphysical model predicts velocity-strengthening	
209	behaviour at such depths; thus, as for earthquakes, slow slip faster than steady-state	
210	plate convergence rates requires a local change in conditions, possibilities of which we	
211	discuss in the next section. Under the local triggering conditions, slow slip likely	
212	reflects competition between deformation modes within a heterogeneous fault zone <sup>45</sup> ,	
213	but may be an expression of either localised frictional sliding or distributed shearing	
214	flow; differentiating between these basic geometries requires currently missing	
215	knowledge of the deforming thickness during slow slip events.	

#### 216 Relating creep to subduction of rugged vs. smooth slab topography

217	Large earthquakes ( $M_w \ge 8.0$ ) have been associated with subduction of smooth sea
218	floor, because a lack of barriers to slip – such as local topography, seamounts, and
219	horst-and-graben structures – allows for large rupture areas <sup>2,46</sup> . By comparison,
220	subduction of rugged ocean floor has been suggested to lead to smaller earthquakes
221	because rupture areas are geometrically constrained <sup>2,12,36</sup> . We therefore consider the
222	implications of the model results for two end-member subducting plates, with (i)
223	smooth and (ii) rugged topography <sup>2,36,46</sup> .

(i) Smooth subducting slabs lack geometrical barriers to rupture propagation and
the fault zone has similar thickness and strain rate at all depths (Fig. 4a). However,
small-scale heterogeneities may locally elevate strain rates, causing velocity-weakening

227 behaviour (Fig. 3b,c), and triggering rupture propagation over a large area without hindrance by large-scale barriers<sup>4</sup>. (ii) Rugged subducting ocean floor also deforms 228 229 predominantly via creep by frictional-viscous flow, and small-scale heterogeneities may 230 again lead to local velocity-weakening behaviour. However, in this case, strong, 231 topographically derived irregularities on the interface create barriers to earthquake 232 propagation, constraining earthquakes to smaller slip areas and therefore moderate 233 magnitudes (Fig. 4b). At and around such barriers, local brittle deformation occurs to accommodate subduction of the topographic feature<sup>2,12</sup>. Extrapolating from continental 234 235 strike slip faults<sup>47</sup>, we suggest that geometrical barriers - such as deformed, subducting seamounts - that result in a discontinuity of potential slip surfaces by more than  $\sim 4$  km, 236 237 are likely to arrest rupture propagation. Moreover, because of numerous stress and 238 strain-rate peaks, megathrusts associated with rugged subducting topography may appear strong in stress calculations from heat flow measurements<sup>36</sup> or Coulomb wedge 239 240 mechanics<sup>48</sup>, relative to fault segments where smooth subducting slabs allow large slip 241 areas on a through-going weak surface or a system of anastomosing slip surfaces. A 242 caveat to this broad, end-member interpretation is that subducting topography and 243 megathrust structure may evolve with depth. For example, subducting seamounts may be progressively destroyed if they are indeed areas of increased brittle deformation<sup>2</sup>, and 244 245 the microscale geometry within the fault zone can change through development of 246 through-going fault surfaces, mineral precipitation and reactions, and evolving grain shapes and sizes, through progressive deformation, metamorphism and fluid flow<sup>49</sup>. As 247 248 such, the subduction thrust is a dynamic structure, displacing a footwall with inherently 249 complex geometry, and accurate predictions require high-resolution subsurface data.

250	In summary, our model offers an explanation for why megathrusts creep in some
251	places, and slip seismically in others. It implies that creep by frictional-viscous flow is
252	the preferred deformation mechanism of most if not all subduction thrust interfaces,
253	below some depth determined by thermal structure, strain rate, and fluid pressure (Figs.
254	2,3; Supplementary Figure 4). However, earthquakes may nucleate at local
255	heterogeneities where the behaviour is velocity-weakening $50$ . Slip area and earthquake
256	magnitude should then depend on the spacing of strong, topographically derived
257	irregularities in the subduction interface, with giant earthquakes requiring this spacing
258	to be large.
259	
260	References

- 261 1. Peng, Z. & Gomberg, J. An integrated perspective of the continuum between
- 262 earthquakes and slow slip phenomena. *Nat. Geosci.* **3**, 599-607 (2010).
- 263 2. Wang, K. & Bilek, S.L. Do subducting seamounts generate or stop large earthquakes?
  264 *Geology* 39, 819-822 (2011).
- 265 3. Shreve, R.L. & Cloos, M. Dynamics of sediment subduction, mélange formation, and
- 266 prism accretion. J. Geophys. Res. 91, 10229-10245 (1986).
- 267 4. Bachmann, R. *et al.* Exposed plate interface in the European Alps reveals fabric
- styles and gradients related to an ancient seismogenic coupling zone. J. Geophys. Res.
- 269 **114**, B05402, doi:10.1029/2008JB005927 (2009).
- 5. Fagereng, Å. & Sibson, R.H. Mélange rheology and seismic style. *Geology* 38, 751-
- 271 754 (2010).

- 272 6. Rowe, C.D., Meneghini, F. & Moore, J.C. in Geology of the Earthquake Source: A
- 273 Volume in Honour of Rick Sibson (eds. Fagereng, Å., Toy, V.G. & Rowland, J.V.), 77-
- 274 95 (Geol. Soc. London, 2011).
- 275 7. Heuret, A., Lallemand, S., Funiciello, F., Pitomallo, C. & Faccenna, C. Physical
- 276 characteristics of subduction interface type seismogenic zones revisited. *Geochem*.
- 277 *Geophys. Geosyst.* **12**, Q01004, doi: 10.1029/2010GC003230 (2011).
- 278 8. Scholz, C.H. & Campos, J. The seismic coupling of subduction zones revisited. J.
- 279 Geophys. Res. 117, B05310, doi:10.1029/2011JB009003 (2012).
- 280 9. Rubin, A.M., Gillard, D. & Got, J.-L. Streaks of microearthquakes along creeping
- 281 faults. *Nature* **400**, 635-641 (1999).
- 282 10. Hyndman, R.D., Yamano, M. & Oleskevich, D.A. The seismogenic zone of
- 283 subduction thrust faults. *Isl. Arc* **6**, 244-260 (1997).
- 284 11. Wallace, L.M. et al. Characterizing the seismogenic zone of a major plate boundary
- subduction thrust: Hikurangi Margin, New Zealand. Geochem. Geophys. Geosyst. 10,
- 286 Q10006, doi: 10.1029/2009GC002610 (2009).
- 287 12. Mochizuki, K., Yamada, T., Shinohara, M., Yamanaka, Y. & Kanazawa, T. Weak
- interplate coupling by seamounts and repeating M ~ 7 earthquakes. *Science* 321, 11941197 (2008).
- 290 13. Power, W., Wallace, L.M., Wang, X. & Reyners, M. Tsunami hazard posed to New
- 291 Zealand by the Kermadec and Southern New Hebrides subduction margins: An
- assessment based on plate boundary kinematics, interseismic coupling, and historical
- 293 seismicity. Pure Appl. Geophys. 169, 1-36 (2012).

- 14. Hsu, Y.-J., Yu, S.-B., Song, T.-R. & Bacolcol, T. Plate coupling along the Manila
  subduction zone between Taiwan and northern Luzon. *J. Asian Earth Sci.* 51, 98-108
  (2012).
- 15. Rowe, C.D., Moore, J.C., Remitti, F. & IODP Exp. 343/343T Scientists. The
- thickness of subduction plate boundary faults from the seafloor into the seismogenic
- 299 zone. *Geology* **41**, 991-994 (2013).
- 300 16. Gratier, J.-P. *et al.* Aseismic sliding of active faults by pressure solution creep:
- 301 Evidence from the San Andreas Fault Observatory at Depth. *Geology* 39, 1131-1134
  302 (2011).
- 502 (2011).
- 303 17. Richard, J., Gratier, J.P., Doan, M.-L., Boullier, A.-M. & Renard, F. Rock and
- 304 mineral transformations in a fault zone leading to permanent creep: Interactions
- between brittle and viscous mechanisms in the San Andreas Fault. J. Geophys. Res. 119,
  8132-8153 (2014).
- 307 18. Gratier, J.-P., Guiguet, R., Renard, F., Jenatton, L. & Bernard, D. A pressure
- 308 solution creep law for quartz from indentation experiments. J. Geophys. Res. 114,

309 B03403 (2009).

- 310 19. Schwarz, S. & Stöckhert, B. Pressure solution in siliciclastic HP-LT rocks -
- 311 constraints on the state of stress in deep levels of accretionary complexes.
- 312 *Tectonophysics* **255**, 203-209 (1996).
- 313 20. Kawabata, K., Tanaka, H. & Kimura, G. Mass transfer and pressure solution in
- 314 deformed shale of accretionary complex: examples from the Shimanto Belt, southwest
- 315 Japan. J. Struct. Geol. 29, 697-711 (2007).

- 316 21. Fagereng, Å. in Geology of the Earthquake Source: A Volume in Honour of Rick
- 317 *Sibson* (eds. Fagereng, Å., Toy, V.G. & Rowland, J.V.), 55-76 (Geol. Soc. London,
  318 2011).
- 319 22. Fagereng, Å. & Cooper, A.F. The metamorphic history of rocks buried, accreted and
- 320 exhumed in an accretionary prism: an example from the Otago Schist, New Zealand. J.
- 321 Metamorph. Geol. 28, 935-954 (2010).
- 322 23. Bos, B. & Spiers, C. J. Frictional-viscous flow of phyllosilicate-bearing fault-rock:
- 323 microphysical model and implications for crustal strength profiles. J. Geophys. Res.
- **107,** B2, 2028 (2002).
- 325 24. Niemeijer, A. & Spiers, C.J. in High-Strain Zones: Structure and Physical
- 326 Properties (eds. Bruhn, D. & Burlini, L.), 303-327 (Geol. Soc. London, 2005).
- 327 25. Den Hartog, S.A.M. & Spiers, C.J. A microphysical model for fault gouge friction
- 328 applied to subduction megathrusts. J. Geophys. Res. 119, 1510-1529 (2014).
- 329 26. Molnar, P. & England, P. Temperatures, heat flux and frictional stress near major
- 330 thrust faults. J. Geophys. Res. 95, 4833-4856 (1990).
- 331 27. Den Hartog, S.A.M., Saffer, D.M. & Spiers, C.J. The roles of quartz and water in
- 332 controlling unstable slip in phyllosilicate-rich megathrust fault gouges. *Earth Planets*
- 333 Space 66, doi:1186/1880-5981-66-78 (2014).
- 28. Den Hartog, S.A.M., Niemeijer, A.R. & Spiers, C.J. Friction on subduction
- 335 megathrust faults: Beyond the illite-muscovite transition. Earth Planet. Sci. Lett. 373, 8-
- 336 19 (2013).

- 337 29. Van Diggelen, E.W.E., De Bresser, J.H.P., Peach, C.J. & Spiers, C.J. High shear
- 338 strain behaviour of synthetic muscovite fault gouges under hydrothermal conditions. J.
- 339 Struct. Geol. 32, 1685-1700 (2010).
- 340 30. Den Hartog, S.A.M. & Spiers, C.J. Influence of subduction zone conditions and
- 341 gouge composition on frictional slip stability of megathrust faults. *Tectonophysics* **600**,

342 75-90 (2013).

- 343 31. Niemeijer, A.R., Spiers, C.J. & Peach, C.J. Frictional behaviour of simulated quartz
- 344 fault gouges under hydrothermal conditions: Results from ultra-high strain rotary shear
- 345 experiments. *Tectonophysics* **460**, 288-303 (2008).
- 346 32. Dieterich, J. H. Modeling of rock friction: 1. Experimental results and constitutive
- 347 equations. J. Geophys. Res. 84, 2161-2168 (1979).
- 348 33. Marone, C. Laboratory-derived friction laws and their application to seismic
- 349 faulting. Annu. Rev. Earth Planet. Sci. 26, 643-696 (1998).
- 350 34. Scholz, C. H. Earthquakes and friction laws. *Nature* **391**, 37-42 (1998).
- 351 35. Syracuse, E.M., van Keken, P.E. & Abers, G.A. The global range of subduction
- 352 zone thermal models. *Phys. Earth. Planet. Inter.* **183**, 73-90 (2010).
- 353 36. Gao, X. & Wang, K. Strength of stick-slip and creeping subduction megathrusts
- 354 from heat flow observations. *Science* **345**, 1038-1041 (2014).
- 355 37. Tester, J.W., Worley, W.G., Robinson, B.A., Grigsby, C. & Feerer, J.L. Correlating
- 356 quartz dissolution kinetics in pure water from 25 to 625 °C. Geochim. Cosmochim. Acta
- **58**, 2407-2420 (1994).

- 358 38 Hashimoto, Y., Nakaya, T., Ito, M. & Kimura, G. Tectonolithification of sandstone
- 359 prior to the onset of seismogenic subduction zone: Evidence from tectonic mélange of
- 360 the Shimanto Belt, Japan. Geochem. Geophys. Geosyst. 7, Q06013 (2006).
- 361 39. Moore, J. C., Rowe, C. D. & Meneghini, F. in The Seismogenic Zone of Subduction
- *Thrust Faults* (eds. Dixon, T. H. & Moore, J. C.), 288-315 (Colombia University Press,
  2007).
- 364 40. Wallace, L. M. *et al.* Slow slip near the trench at the Hikurangi subduction zone,
- 365 New Zealand. *Science* **353**, 701-704 (2016).
- 366 41. Dragert, H., Wang, K. & James, T. A silent slip event on the deeper Cascadia
- 367 subduction interface. *Science* **292**, 1525-1528.
- 368 42. Obara, K., Hirose, H., Yamamizu, F. & Kasahara, K. Episodic slow slip events
- 369 accompanied by non-volcanic tremors in southwest Japan subduction zone. *Geophys.*
- 370 *Res. Lett.* **31**, L23602 (2004).
- 43. Liu, Y. & Rice, J. R. Aseismic slip transients emerge spontaneously in three-
- 372 dimensional rate and state modelling of subduction earthquake sequences. J. Geophys.
- 373 *Res.* **110,** B08307 (2005).
- 44. McCrory, P. A., Hyndman, R. D. & Blair, J. L. Relationship between the Cascadia
- 375 fore-arc mantle wedge, nonvolcanic tremor, and the downdip limit of seismogenic
- 376 rupture. Geochem. Geophys. Geosyst. 15, 1071-1095 (2014).
- 45. Saffer, D. M. & Wallace, L. M. The frictional, hydrologic, metamorphic and thermal
- habitat of shallow slow earthquakes. *Nat. Geosci.* **8**, 594-600 (2015).
- 46. Ruff, L. Do trench sediments affect great earthquake occurrence in subduction
- 380 zones? Pure Appl. Geophys. 129, 263-282 (1989).

- 381 47. Wesnousky, S.G. Predicting the endpoints of earthquake ruptures. *Nature* 444, 358382 360 (2006).
- 48. Fagereng, Å. Wedge geometry, mechanical strength, and interseismic coupling of
- the Hikurangi subduction thrust, New Zealand. *Tectonophysics* **507**, 26-30 (2011).
- 385 49. Knipe, R. J. Deformation mechanisms Recognition from natural tectonites. J.
- 386 Struct. Geol. 11, 127-146 (1989).
- 387 50. Hicks, S. P. et al. The 2010 M<sub>w</sub> 8.8 Maule, Chile earthquake: Nucleation and
- rupture propagation controlled by a subducted topographic high. *Geophys. Res. Lett.* **39**,
- 389 L19308 (2012).

- 391 ACKNOWLEDGEMENTS: Initial work on this project was funded through NRF
- 392 Incentive Funding for Rated Researchers to Å.F. while at the University of Cape Town.
- 393 We thank Christel Tinguely (Cape Town) and Duncan Muir (Cardiff) for
- 394 microprobe/SEM assistance, and Andrew Cross and three anonymous reviewers for
- 395 constructive reviews that significantly improved the manuscript.
- 396 AUTHOR CONTRIBUTIONS: Both authors contributed to designing the study,
- making the calculations, and writing the paper. Å.F. provided the microstructural
- 398 observations.
- 399 COMPETING INTERESTS: The authors declare that they have no competing financial400 interests.
- 401 AUTHOR INFORMATION: Correspondence and requests for materials should be
  402 addressed to Å. Fagereng (email: FagerengA@cardiff.ac.uk).

#### 403 **Figure captions**

404 Figure 1: Example of pressure solution microstructures in a sample from the Chrystalls405 Beach Complex, New Zealand.

- 406 **a**, Photograph of outcrop-scale mélange shear zone with sandstone lenses in cleaved
- 407 mudstone matrix. **b**, Photomicrograph (plane-polarised light) of sample from mélange
- 408 matrix, cleavage wraps around quartz clasts. c, Close-up of cleavage seams, rectangle

409 shows location of element maps of Si and Al; warm and cold colours show high and

410 low relative abundance, respectively. **d** Backscatter electron image of quartz clasts in

- 411 phyllosilicate matrix, accompanied by composite element map in e. f, Model
- 412 microstructure where matrix (grey) deforms by frictional sliding along foliations
- 413 (dashed lines), and clasts (black) deform by pressure solution<sup>25</sup>. All panels show dextral
  414 sense of shear.

415 Figure 2: Strength curves calculated along a subduction thrust interface with properties

416 representative of the northern Hikurangi margin.

417 The pore fluid factor  $\lambda = P_f / \sigma_v$ , where  $P_f$  is pore fluid pressure and  $\sigma_v$  is vertical stress, is 418 moderate (0.8) in **a** and high (0.95) in **b**. The curves labelled 'microphysical model' 419 represent the strength of a fault where deformation occurs by slip on phyllosilicate 420 surfaces and pressure solution of intervening quartz. Microphysical model predictions 421 depend on grain size, *D*, and shear zone thickness, *w*, as shown in the legend, and 422 thermal profiles as shown in **c**, including initial thermal structure where the frictional 423 coefficient,  $\mu$ , is 0.6. Supplementary Table S1 reports the full list of parameters.

424

#### 425 Figure 3: Relations between slip velocity and frictional behaviour

426	a, Schematic relationship between friction coefficient and strain rate in the	
427	microphysical model used here, indicating a change from velocity-strengthening to	
428	velocity-weakening at high strain rate <sup>25</sup> . <b>b</b> , quantifies friction coefficient at a fixed	
429	depth, as a function of strain rate or shear zone width at a fixed slip velocity, whereas $\mathbf{c}$	
430	combines calculations of friction coefficient vs. strain rate at all considered depths, to	
431	depict the depth-dependent strain rates where a change from velocity-strengthening to	
432	velocity-weakening is predicted (parameters as in Fig. 2).	
433	3 Figure 4: Representations of the effect of frictional-viscous flow on megathrust seismic	
434		
435	Subduction interfaces related to smooth (a) and rugged (b) topography on subducting	
436	oceanic crust. Inferred transient and steady-state strain rate variations along these	
437	interfaces are shown below, as is the inferred depth vs. creep strength profile as based	

438 on Fig. 2 and down-dip variation in shear zone width.

#### 440 Method

#### 441 Element Maps

442	Element maps (Fig. 1c,d,e; Supplementary Figure 2) were plotted from energy	
443	dispersive spectroscopy (EDS) data, which give relative abundance of elements,	
444	measured on a carbon-coated, 30 $\mu$ m thick sample. Maps in Fig. 1c were collected using	
445	an electronprobe microanalyser at the University of Cape Town, with beam conditions	
446	of 15 kV, 18.5 nA, 12 ms dwell time, and spot size of 1 $\mu$ m. Electron backscatter	
447	images in Fig. 1d, and map in Fig. 1e were acquired using a Zeiss Sigma HD scanning	
448	electron microscope in the School of Earth & Ocean Sciences at Cardiff University. The	
449	EDS data for these element maps were acquired with an beam accelerating voltage of 20	
450	kV, nominal beam current of 4.7 nA, and a 20 ms dwell time. Resulting pixels are	
451	approximately 1 µm.	

452 **Pressure-temperature estimates** 

453 To calculate the shear stress predicted by the microphysical model as a function of 454 depth, approximations of temperature, *T*, and effective normal stress,  $\sigma_n'$ , as functions 455 of depth are required. Because the subduction thrust interface is gently dipping,  $\sigma_n'$  is 456 approximated as the effective vertical stress<sup>51,52</sup> so that

457 
$$\sigma_n' = \rho g z (1 - \lambda) \tag{1}$$

458 where  $\rho$  is the average density of overlying rock, taken as 2650 kg/m typical of 459 quartzofeldspathic rocks, g is gravitational acceleration,  $\lambda$  is pore fluid factor as defined 460 in the main text, and z is depth.

461 Temperature (in °C) is calculated according to the analytical derivation of Molnar
462 and England<sup>26</sup>, as also applied to the Hikurangi margin by McCaffrey et al.<sup>53</sup>, that sums
463 advective, radiogenic, and shear heating terms where

464 
$$T = \frac{K_m}{SK_s} \frac{T_0 z}{\sqrt{\pi\kappa (t_0 + t_s)}} + \frac{A_r z^2}{2SK_s} + \frac{\tau V z}{SK_s}$$
(2)

465 in which the dimensionless parameter *S* is defined as

466 
$$S = 1 + b \frac{K_m}{K_s} \sqrt{\frac{Vz\sin\delta}{\kappa}}$$
(3)

467 In these formulations,  $K_m$  and  $K_s$  are mantle and accretionary prism conductivities, respectively,  $T_0$  is temperature at the base of the lithosphere,  $\kappa$  is thermal diffusivity,  $t_0$ 468 469 is the age of the subducting oceanic crust at the trench,  $A_r$  is average radioactive heat 470 production rate in the forearc materials,  $\tau$  is shear stress, and b is a geometrical factor.  $t_s$ is the time to subduct the slab to depth z, approximated as  $t_s = z/(V \sin \delta)$  where V is 471 472 slip velocity, assuming the megathrust accommodates the trench-normal component of the plate convergence vector, and  $\delta$  is the average dip angle of the subduction thrust 473 474 interface. Values for all the above parameters are listed in Supplementary Table 1. To 475 obtain the shear heating term in the initial thermal structure,  $\tau$  is estimated as  $\sigma_n$ multiplied by a frictional coefficient of  $\mu = 0.6$ , estimating the lower end of the Byerlee 476

477 range<sup>54</sup>. After calculating shear stress according to the microphysical model, the
478 calculated shear stress as a function of depth is used to re-calculate the thermal
479 structure, which is then used to re-calculate shear stress. The change in thermal structure
480 from the first calculation to calculations involving shear stresses from the microphysical
481 model can be seen in Fig. 2c.

#### 482 Temperature-dependent mono-mineralic friction

483 Values for the friction coefficient for phyllosilicates were determined assuming 484 the dominant phyllosilicate mineral to be illite and muscovite at temperatures below and above 300 °C, respectively, and by assuming that temperature rather than effective 485 normal stress dominantly affects the friction coefficient. The friction coefficient of illite 486 487 as a function of temperature was determined by fitting a linear trend line to a combination of the data by Tembe et al.<sup>55</sup> at 20°C and the data of Den Hartog et al.<sup>27</sup> at 488 489 200, 350 and 500°C, all representing final friction values (at 9.21 and ~40 mm shear 490 displacement, respectively) at a sliding velocity of 1  $\mu$ m/s. Note that the sliding velocity at ~40 mm shear displacement in the experiments of Den Hartog et al.<sup>27</sup> was 10  $\mu$ m/s. 491 and we thus recalculated it to 1  $\mu$ m/s using the value for  $\Delta \mu / \Delta \ln V$ , or (*a-b*), for a 492 493 velocity step from 10 to 1 µm/s obtained in the same experiment. Similarly, the friction 494 coefficient of muscovite as a function of temperature was determined by fitting two linear trendlines (joining at 600°C) to the data by Den Hartog et al.<sup>28</sup> at 200, 400 and 495 600°C and the data by Van Diggelen et al.<sup>29</sup> at 400, 500 and 700°C. These data 496 represent close to final friction coefficients, those by Den Hartog et al.<sup>28</sup> taken at a shear 497 498 strain of 50 and recalculated for 1 um/s by the method described for illite and those by Van Diggelen et al.<sup>29</sup> reported for the 0.5  $\mu$ m/s step, which occurred at near steady state 499

500 friction. The resultant empirical function for phyllosilicate friction coefficient,  $\mu_{ph}$ ,

#### 501 becomes

502 
$$\mu_{ph} \begin{cases} 0.320 + 9.10 \times 10^{-4}T, & T < 300^{\circ}C \\ 0.300 + 6.18 \times 10^{-4}T, & 300^{\circ}C \le T < 600^{\circ}C \\ 1.997 - 2.24 \times 10^{-3}T, & T \ge 600^{\circ}C \end{cases}$$
(4)

503 This definition for the phyllosilicate friction coefficient was used to construct the 504 strength profiles for pure phyllosilicates and as input to the microphysical model.

The friction coefficient of quartz, for plotting the frictional strength of monomineralic quartz aggregates in Fig. 2a,b, is estimated based on the room temperature data of Tembe et al.<sup>55</sup>, data at 140 °C of Den Hartog and Spiers<sup>30</sup> and the data at 400-600 °C of Niemeijer et al.<sup>31</sup>. Based on similar arguments as for creating an empirical function of phyllosilicate friction as a function of temperature, we obtain a function for quartz friction,  $\mu_{qtz}$ :

511 
$$\mu_{qtz} \begin{cases} 0.750 - 1.04 \times 10^{-4} T, & T < 500^{\circ} C \\ 1.41 - 1.43 \times 10^{-3} T, & T \ge 500^{\circ} C \end{cases}$$
(5)

### 512 Microphysical model by Den Hartog and Spiers<sup>25</sup>

513 The microphysical model used to calculate the strength profiles (Fig. 2) was 514 derived by Den Hartog and Spiers<sup>25</sup>. The model describes the steady state frictional 515 behaviour of sheared illite-quartz mixtures, and assumes a matrix-supported shear zone 516 consisting of phyllosilicates and quartz clasts (Supplementary Figure 3). The quartz

517	clasts are uniformly distributed, arranged such that horizontal rows of clasts overlap. On	
518	average, the phyllosilicates are aligned parallel to Y-shear bands, but locally	
519	anastomose around the rigid clasts. Note that the Y-shear bands considered in the model	
520	by Den Hartog and Spiers <sup>25</sup> will on average be parallel to the megathrust interface,	
521	which implies that foliation that is parallel to these shear bands, described as	
522	"horizontal" in the model, will be gently dipping in the megathrust setting.	

523 Within the model microstructure, shear deformation occurs either within the "clast body" zones containing a horizontal phyllosilicate foliation and quartz clasts (Type B 524 525 zones, Supplementary Figure 3) or in the "clast overlap" regions containing 526 anastomosing phyllosilicates and overlapping quartz clast edges (Type O zones, 527 Supplementary Figure 3). The horizontal foliation in the Type B zones abuts against the 528 quartz clasts, so that sliding on this foliation requires serial simple shear of the clast "bodies". Shear of the clasts is assumed to occur by thermally activated deformation. By 529 530 contrast, in the Type O zones, the foliation anastomoses around the clast "overlaps". In 531 these zones, deformation can occur either by slip on the phyllosilicates at the zone 532 margins accommodated by shearing of the clast overlaps, or by slip on the curved 533 foliation accompanied by dilatation at extensional clast-matrix interface sites. Sliding on 534 the foliation is assumed to be a purely frictional process, which implies that slip on the 535 curved foliation will not occur unless a critical value of the macroscopic shear stress, 536  $\tau_{dil}$ , is attained. When slip is activated, it will cause dilatation and porosity development. Den Hartog and Spiers<sup>25</sup> assumed that developing porosity concentrates at the 537 538 extensional quartz-illite interfaces (Supplementary Figure 3), resulting in a decrease in 539 the clast overlap distance, and hence in the mean inclination of the curved foliation. 540 This in turn causes a decrease in the rate of dilation per unit horizontal displacement on

541 the inclined foliation, i.e. a decrease in the dilatation angle  $\psi_{dil}$ , with increasing porosity. Den Hartog and Spiers<sup>25</sup> assumed that the appearance of porosity, via clast/matrix 542 543 debonding, initiates compaction by thermally activated deformation of the clasts, which 544 accelerates as porosity increases. At steady state, dilation due to slip on the curved 545 foliation and compaction by the thermally activated mechanism must balance. This 546 competition between dilatation and compaction is of key importance since it will lead to higher steady state porosities, a flatter foliation and lower frictional strength as sliding 547 548 velocity increases, and hence to velocity-weakening slip. This as opposed to non-549 dilatant deformation, where the serial nature of deformation implies that the velocity-550 dependence of friction is governed by thermally activated deformation of the quartz 551 clasts which is by definition velocity-strengthening. Dilatation, when active, is assumed 552 to continue until a limiting or critical state porosity is reached.

The model by Den Hartog and Spiers<sup>25</sup> does not strictly apply to muscovite. 553 However, in the absence of a microphysical model for the steady state frictional 554 555 behaviour of muscovite-quartz fault gouge, and since muscovite-quartz gouge shows broadly similar behaviour to illite-quartz gouge<sup>28</sup>, we have applied this model also at 556 557 temperatures >300°C where muscovite is expected to be the dominant phyllosilicate.

#### 558 **Model calculations**

559

The reader is referred to Den Hartog and Spiers<sup>25</sup> for the derivation of the 560 equations governing the steady state frictional behaviour of the model microstructure 561 shown in Supplementary Figure 3 and described below.

562 Den Hartog and Spiers<sup>25</sup> derive their equations for the unit cell shown in 563 Supplementary Figure 3b, which has a horizontal dimension equal to horizontal clast 564 spacing:

565 
$$L = \frac{k_f \pi D^2}{(D - x_0) f_{qtz}}$$
(6)

where  $k_f$  is a factor accounting for clast shape, *D* is grain size (clast diameter),  $f_{qtz}$ is the volume fraction of quartz clasts, and  $x_0$  is the vertical overlap of the clasts at zero porosity defined by Den Hartog and Spiers<sup>25</sup> as

569 
$$x_0 = D\left(1 - \sqrt{\frac{k_f \pi}{2f_{qtz}}}\right)$$
(7)

As porosity,  $\phi$ , increases due to dilatational slip on the curved foliation, this overlap decreases from  $x_0$  to an instantaneous value *x* according to the relation  $x = (x_0 - \phi D)/(1-\phi)$ . The decrease in overlap in turn leads to a decrease in the width, *d*, of overlapping clast segments (Supplementary Figure 3b), given  $d = 2\sqrt{(Dx-x^2)}$ .

574 During non-dilatant deformation at low slip velocities and/or high temperatures, 575 thermally activated shear deformation of the quartz clasts will be easy. The total 576 resistance to slip on the horizontal foliation will then be lower than the shear stress to 577 activate slip and dilatation on the anastomosing foliation. Under these conditions, Den 578 Hartog and Spiers<sup>25</sup> assumed that non-dilatant deformation takes place by the parallel 579 processes of (i) slip on the horizontal foliation with serial shear of the clast bodies in the 580 B zones of the microstructure plus (ii) slip on the horizontal phyllosilicates with serial shear of clast overlaps at the margin of the O zones. Equilibrium between the shear stresses supported by the B and O zones ( $\tau_B$  and  $\tau_O$ , respectively) requires  $\tau_m = \tau_B = \tau_O$ where  $\tau_m$  is the macroscopic shear stress. The shear stresses in the B and O zones were derived by Den Hartog and Spiers<sup>25</sup>:

585 
$$\tau_B = \tau_{ph} \left( 1 - \frac{A_{qtz-b}}{LD} \right) + \tau_{qtz-b} \frac{A_{qtz-b}}{LD}$$
(8)

586 
$$\tau_{o} = \tau_{ph} \left( 1 - \frac{A_{qtz-o}}{LD} \right) + \tau_{qtz-o} \frac{A_{qtz-o}}{LD}$$
(9)

587 where  $\tau_{ph}$  is the shear stress needed to drive frictional slip on the horizontal 588 phyllosilicate foliation and  $\tau_{qtz-b}$  and  $\tau_{qtz-o}$  are those needed to drive thermally activated 589 clast body and overlap deformation, respectively.  $A_{atz-b}$  represents the average horizontal 590 area occupied by a single clast body within zone B of the unit cell, and is given  $A_{qtz-b} =$  $[(\frac{1}{4}\pi D^2 - 2A'_{seg})D]/(D - 2x)$ , where  $A'_{seg} = [16x^2(D - x) + 3x^3]/[12\sqrt{(Dx - x^2)}]$  (Ref. 56) 591 592 is the area of an individual clast segment located in the overlap zone of the cell in the plane of Supplementary Fig. 3 and  $A_{qtz-o} = dD = 2D\sqrt{(Dx-x^2)}$  is the area over which the 593 overlap is displaced by slip at its base. Note that  $\tau_{ph} = \mu_{ph}\sigma_n$  where  $\mu_{ph}$  is defined by 594 595 equation (4).

The parallel shear processes (i, ii) operating in the O and B zones mean that the total, measured shear strain rate during non-dilatant deformation is  $\dot{\gamma}_m = \dot{\gamma}_B + \dot{\gamma}_O$ , where  $\dot{\gamma}_B$  and  $\dot{\gamma}_O$  denote the shear strain rate contributed to the unit cell by each zone respectively (i.e.  $\dot{\gamma}_B$  and  $\dot{\gamma}_O$  are determined by taking into account the thickness of the B or O zone relative to the unit cell thickness). Note that the serial coupling of rate601 independent slip on the phyllosilicates with thermally activated deformation of clasts 602 implies that  $\dot{\gamma}_B = \dot{\gamma}_{qtz-b}$  and  $\dot{\gamma}_O = \dot{\gamma}_{qtz-o}$ , where,  $\dot{\gamma}_{qtz-b}$  and  $\dot{\gamma}_{qtz-o}$  are the shear strain 603 rate contributions to the unit cell due to thermally activated deformation of the clast 604 bodies and clast overlaps, respectively. Thermally activated deformation was assumed 605 to occur via pressure solution by Den Hartog and Spiers<sup>25</sup>, yielding:

$$606 \qquad \dot{\gamma}_{qtz-b} = \frac{AI\tau_{qtz-b}\Omega}{RT} \frac{D-2x}{D(D-x)}$$
(10)

$$607 \qquad \dot{\gamma}_{qtz-o} = \frac{2I\tau_{qtz-o}\Omega}{RT} \frac{1}{\sqrt{Dx-x^2}} \tag{11}$$

608 Where *A* is a shape factor, *I* is the product of the dissolution rate coefficient  $k_+$ 609 and molar volume  $\Omega$  of quartz, and *R* is the gas constant.

Following Den Hartog and Spiers<sup>25</sup>, we obtained  $\tau_m$  as a function of  $\dot{\gamma}_m$ , by first imposing  $\dot{\gamma}_m$ , defined as  $\dot{\gamma}_m = V/w$  where *w* is the shear zone width. We next solved  $\dot{\gamma}_m = \dot{\gamma}_B + \dot{\gamma}_O$  together with  $\tau_m = \tau_B = \tau_O$  to obtain  $\dot{\gamma}_B$  or  $\dot{\gamma}_{qtz-b}$ . We subsequently used  $\dot{\gamma}_{qtz-b}$  to determine  $\tau_{qtz-b}$  via equation (10). The value of  $\tau_{qtz-b}$  obtained, then yielded  $\tau_B$  $= \tau_m$  through equation (8). Note that in the current calculations we prevented  $\dot{\gamma}_O$  from taking a negative value in the non-dilatant regime<sup>57</sup>.

616 At high slip rates or low temperatures, thermally activated shear deformation of 617 the quartz clasts is difficult, leading to an increase in the total resistance to shear on the 618 horizontal foliation. In the model microstructure of Den Hartog and Spiers<sup>25</sup> this would 619 ultimately activate slip on the curved phyllosilicates in the overlap (O) zones of the

620 microstructure. The measured shear strength in that case is equal to that required to

621 activate slip on the anastomosing foliation,  $\tau_{dil}$ , derived by Den Hartog and Spiers<sup>25</sup> to

622 be

623 
$$\tau_{dil} = \left\{ \frac{\mu_{ph} \left( 1 + \tan^2 \Psi_{fr} \right)}{1 - \mu_{ph}^2 \tan^2 \Psi_{fr}} \right\} \sigma'_n$$
(12)

624 where  $\tan \Psi_{fr}$  is a straight line approximation of the curved foliation, i.e.

625 
$$\tan \Psi_{fr} = \frac{2(D - x_0)f_{qtz}}{k_f \pi D^2} x$$
(13)

Stress equilibrium between B and O zones means that in the dilatant case  $\tau_m = \tau_{dil}$   $= \tau_B = \tau_O$ . The total shear strain rate  $\dot{\gamma}_m$ , in turn, is given  $\dot{\gamma}_m = \dot{\gamma}_B + \dot{\gamma}_O + \dot{\gamma}_{dil}$ , or equivalently  $\dot{\gamma}_m = \dot{\gamma}_{qtz-b} + \dot{\gamma}_{qtz-o} + \dot{\gamma}_{dil}$ , where  $\dot{\gamma}_{dil}$  is the shear strain rate contribution to the unit cell by dilatant slip on the curved phyllosilicates. This mechanism produces an associated dilational strain rate,  $\dot{\varepsilon}_{dil}$ , which Den Hartog and Spiers<sup>25</sup> defined following

631 the classical soil mechanics approach to granular flow, i.e.

632 
$$\dot{\varepsilon}_{dil} = \left(\frac{\mathrm{d}\varepsilon_{dil}}{\mathrm{d}\gamma_{dil}}\right) \frac{\mathrm{d}\gamma_{dil}}{\mathrm{d}t} = \left(\tan\Psi_{dil}\right) \dot{\gamma}_{dil} \tag{14}$$

633 Den Hartog and Spiers<sup>25</sup> defined the dilatation angle  $\Psi_{dil}$  as the steepest portion of 634 the curved, i.e. sinusoidal, foliation:

635 
$$\tan \Psi_{dil} = \sqrt{\frac{\pi f_{qtz}}{2k_f}} - \frac{\pi}{2(1-\phi)}$$
(15)

636 This angle  $(\Psi_{dil})$  decreases with increasing porosity, reaching zero at a limiting or 637 "critical state" porosity, defined  $\phi_c = x_0/D$  when x = 0.

The porosity generated by dilatant slip will induce compaction by thermally activated deformation of the quartz clasts at a rate  $\dot{\varepsilon}_{comp}$ . Taking compaction as positive, the total, measured compaction strain rate is therefore given  $\dot{\varepsilon}_m = \dot{\varepsilon}_{comp} - \dot{\varepsilon}_{dil}$ . At steady state, dilatation and compaction must balance, resulting in a steady state porosity corresponding to the condition that  $\dot{\varepsilon}_m = 0$  or  $\dot{\varepsilon}_{comp} = \dot{\varepsilon}_{dil}$ . Following Den Hartog and Spiers<sup>25</sup>,  $\dot{\varepsilon}_{comp}$  is given by:

$$\dot{\varepsilon}_{comp} = \frac{2I\sigma'_n \Omega}{RT} \frac{A_{pore}}{(D-x)DL}$$
(16)

645 Compaction occurs by pressure solution transfer from compressively stressed 646 illite-quartz interfaces to debonded (dilated) interfaces (pore walls) with surface area 647  $A_{pore}$ , written  $A_{pore} = (A_{pore-c}/2)(\phi/\phi_c)^n$  where  $\phi_c$  and  $A_{pore-c}$  are the porosity and pore area 648 per clast at the critical state. Den Hartog and Spiers<sup>25</sup> derived that  $A_{pore-c} = (\pi D^2)/2$ .

To calculate  $\tau_m$  as a function of  $\dot{\gamma}_m$  in the dilatant regime, we followed the procedure by Den Hartog and Spiers<sup>25</sup> and incremented the porosity from 0 to  $\phi_c$  and calculated the corresponding values of  $\tan \Psi_{dil}$  and  $\tan \Psi_{fr}$  using equations (15) and (13). Using  $\tan \Psi_{fr}$ , equation (12) gives  $\tau_{dil}$ . The corresponding shear strain rate  $\dot{\gamma}_m$  is 653 calculated via  $\dot{\gamma}_m = \dot{\gamma}_{qtz-b} + \dot{\gamma}_{qtz-o} + \dot{\gamma}_{dil}$  and using the flow laws in equations (10) and 654 (11). Here,  $\dot{\gamma}_{dil}$  is obtained via equation (14) and using the steady state condition 655  $\dot{\varepsilon}_{comp} = \dot{\varepsilon}_{dil}$ , where  $\dot{\varepsilon}_{comp}$  is calculated using equation (16).

In our calculations, we assumed cylindrical quartz clasts ( $k_f = 0.25$ ) of either 10 or 100 µm in diameter, taking up a volume fraction of 0.45. Following Den Hartog and Spiers<sup>25</sup>, we assume that pressure solution is controlled by the interfacial reactions of dissolution and precipitation and can be described using the empirical equation for the dissolution rate coefficient provided by Tester et al.<sup>37</sup>:

661 
$$k_{+} = 276 \exp\left(\frac{-90100}{RT}\right)$$
 (17)

with *T* in Kelvin. We used a shape factor *A* of  $\pi$  in our calculation of the clast body shear strain rate, while a factor of 2 was used in the original model. We also follow the assumption that the porosity can be characterised by an exponent *n* of 0.3 (Ref. 25).

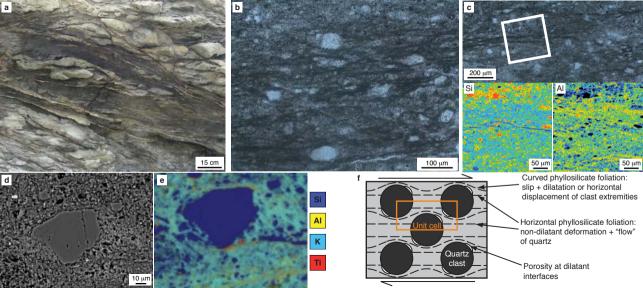
To determine our shear strength versus depth profiles predicted by the microphysical model, we selected  $\sigma_n'$ , *T* and the corresponding  $\mu_{ph}$  at each depth. Using this input, we obtained  $\tau_m$  as a function of  $\dot{\gamma}_m$  (incorporating both non-dilatant and dilatant deformation) following the above procedure. We next used the assumed subduction velocity of 40 mm/yr and shear zone thickness (1 to 100 m in the current calculations) to select relevant  $\dot{\gamma}_m$  and determined  $\tau_m$  at that shear strain rate.

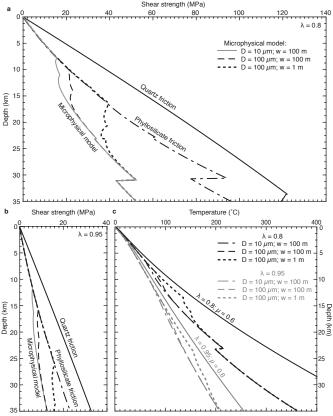
671 The result of our calculations, shown in Fig. 2, yield dilatant deformation at672 shallow depths and low temperatures for the shear strain rates explored in this study.

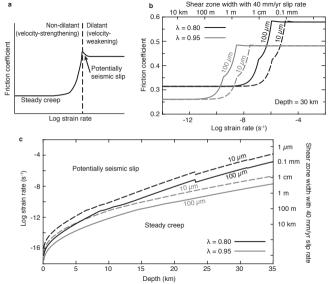
673	With increasing depth and temperature shear deformation of the quartz clasts by	
674	pressure solution becomes easier, resulting in a transition to non-dilatant deformation.	
675	To illustrate this effect, we show the calculated values of $\tau_{ph}$ , $\tau_{qtz-b}$ (for non-dilatant	
676	shear), and the inferred shear stress as a function of depth, for the scenario where $D$ is	
677	100 $\mu$ m, w is 100 m, and $\lambda$ is 0.95, in Supplementary Figure 4. For any given set of	
678	conditions, the transition to non-dilatant deformation depends on strain rate, and we plot	
679	the strain rate at which the transition occurs, as a function of depth, in Fig. 3c.	
680	Code and data availability	
681	Code and additional data are available from the authors on request.	
682	Additional References	
683	51. Lamb, S. Shear stresses on megathrusts: Implications for mountain building behind	
684	subduction zones. J. Geophys. Res. 111, B07401, doi:10.1029/2005JB003916 (2006).	
685	52. Wada, I., Wang, K., He, J. & Hyndman, R.D. Weakening of the subduction interface	
686	and its effects on surface heat flow, slab dehydration, and mantle wedge	
687	serpentinization. J. Geophys. Res. 113, B04402, doi:10.1029/2007JB005190 (2008).	

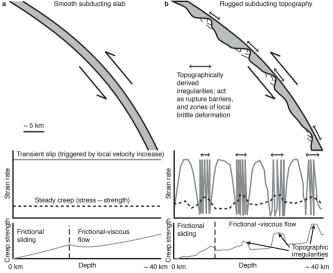
- 688 53. McCaffrey, R., Wallace, L.M. & Beavan, J. Slow slip and frictional transition at low
- temperature at the Hikurangi subduction zone. *Nat. Geosci.* **1**, 316-320 (2008).
- 690 54. Byerlee, J. D. Friction of rocks. *Pure Appl. Geophys.* **116**, 615-626 (1978).
- 691 55. Tembe, S., Lockner, D.A. & Wong, T.-F. Effect of clay content and mineralogy on
- 692 frictional sliding behaviour of simulated gouges: Binary and ternary mixtures of quartz,

- 693 illite and montmorillonite. J. Geophys. Res. 115, B03416, doi:10.1029/2009JB006383
  694 (2010).
- 695 56. Harris, J.W. & Stocker, H. Handbook of Mathematics and Computational Science.
- 696 (Springer-Verlag, New York, 1998).
- 697 57. Noda, H. Implementation into earthquake sequence simulations of a rate- and state-
- 698 dependent friction law incorporating pressure solution creep. Geophys. J. Int. 205,
- 699 1108-1125 (2016).

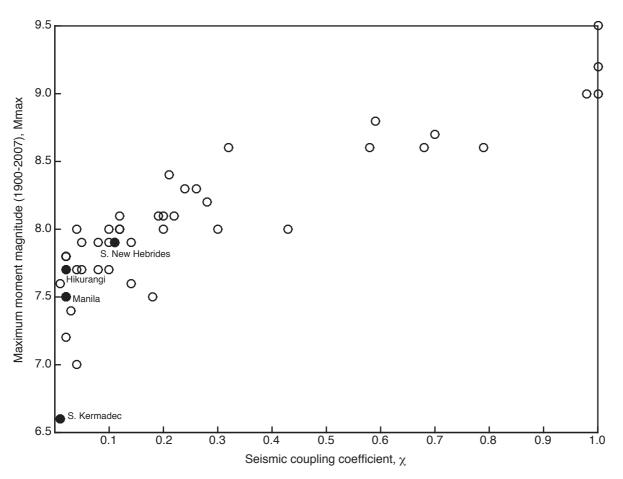








## Subduction megathrust creep governed by pressure solution and frictional-viscous flow



Åke Fagereng and Sabine A.M. den Hartog

**Supplementary Figure S1:** Plot of maximum moment magnitude, *Mmax*, against seismic coupling coefficient,  $\chi$ . The data are from the compilation of Heuret et al. (2011), but limiting the maximum seismic coupling coefficient to 1.0. Examples in the main text are highlighted in solid circles. Note that Hikurangi in this plot includes both northern and southern Hikurangi, and that the M<sub>w</sub>7.7 event in the Heuret et al. (2011) compilation may have included significant slip on a splay fault in the overlying accretionary prism (Wallace et al., 2009). Thus, both the coupling coefficient and the maximum magnitude may be overestimated. The southern Japan Trench is not highlighted despite being mentioned in the main text, as the area referred to is relatively small, but described in detail by Mochizuki et al. (2008); it is not added to retain consistency in the plotted data.

Data in Fig. S1 were compiled by Heuret et al. (2011) using data from the Harvard CMT catalogue for  $M_w \ge 5.5$  earthquakes from the 1976-2007 time period, including 1900-1975 for  $M_w \ge 7.0$ events in the Centennial catalogue of (Engdahl and Villasenor, 2002). Earthquake locations were, if possible, relocated from the EHB catalogue of Engdahl et al. (1998). Thus, Heuret et al. (2011) extracted earthquakes with locations and, if available, nodal planes that align with the subduction thrust interface. From this data set, they defined the seismogenic zone of a number of megathrust interfaces, 49 for which they provide both *Mmax* and  $\chi$ . For the 49 subduction interfaces plotted in Fig. S1, *Mmax* is the largest subduction thrust earthquake identified in the Heuret et al. (2011) compilation, i.e. that occurred between 1900 and 2007, and fell on the inferred megathrust interface. We note that overestimates may occur, through inclusion of poorly located events, that were not actually megathrust events, particularly events prior to 1964 that were not relocated in the EHB catalogue.

To calculate  $\chi$ , the amount of seismic slip and the rate of plate convergence must be estimated for each region. Defining seismic moment of a single earthquake as  $M_0 = GLWu$ , where G is shear modulus (50 GPa), L and W are the length and width of the rupture area, and u is average slip, the seismic slip rate for a time period T is  $v_s = Su/T = SM_0/(GLWT)$  (Brune, 1968). The seismic coupling coefficient,  $\chi$ , can be defined as the ratio of  $v_s$  to the subduction velocity as defined by global plate kinematic models, and was calculated accordingly by Heuret et al. (2011).

#### References

Brune, J.N. Seismic moment, seismicity, and rate of slip along major fault zones. *J. Geophys. Res.* **73**, 777-784 (1968).

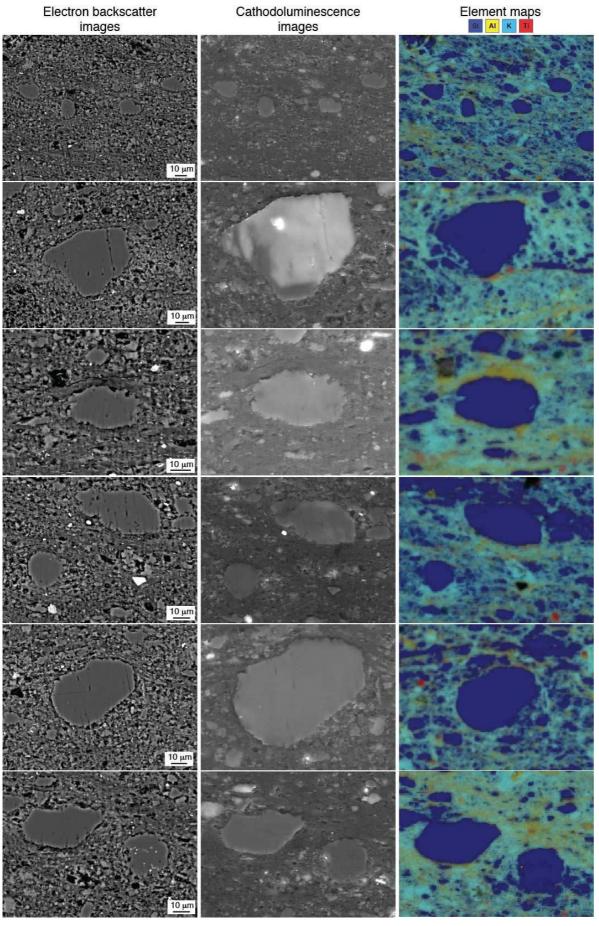
Engdahl, R. & Villaseñor, A. Global seismicity: 1900-1999, in *International Handbook of Earthquake and Engineering Seismology, Part A* (eds Lee, W.H.K. *et al.*) 665-690 (Academic, Amsterdam, 2002).

Engdahl, R., Van Der Hilst, R. & Buland, R. Global teleseismic earthquake relocation with improved travel times and procedures for depth determination. *Bull. Seismol. Soc. Am.* **88**, 722-743 (1998).

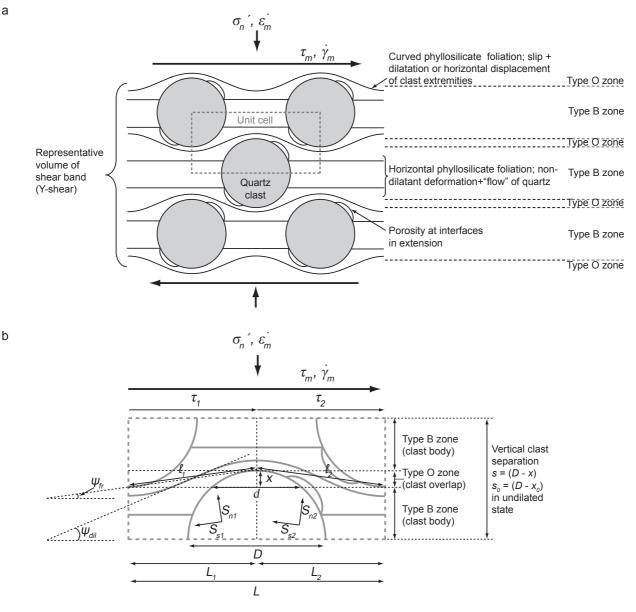
Heuret, A., Lallemand, S., Funiciello, F., Pitomallo, C. & Faccenna, C. Physical characteristics of subduction interface type seismogenic zones revisited. *Geochem. Geophys. Geosyst.* **12**, Q01004, doi: 10.1029/2010GC003230 (2011).

Mochizuki, K., Yamada, T., Shinohara, M., Yamanaka, Y. & Kanazawa, T. Weak interplate coupling by seamounts and repeating  $M \sim 7$  earthquakes. *Science* **321**, 1194-1197.

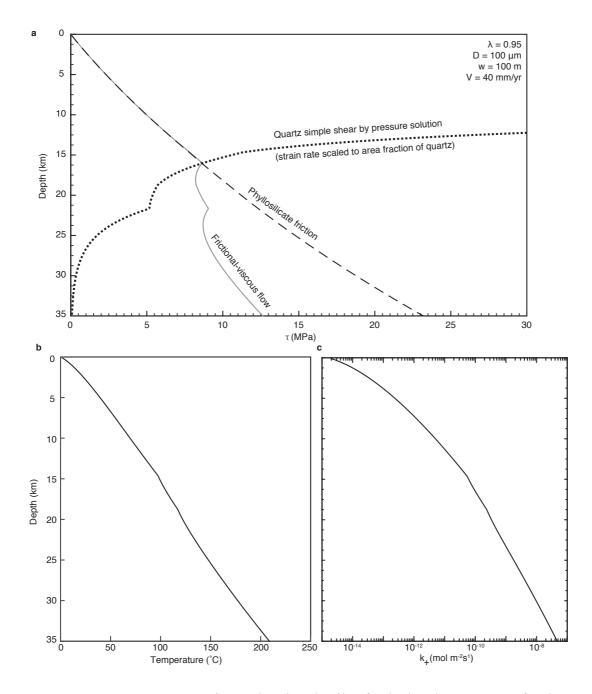
Wallace, L.M. *et al.* Characterising the seismogenic zone of a major plate boundary subduction thrust: the Hikurangi Margin, New Zealand. *Geochem. Geophys. Geosyst.* **10**, Q10006, doi:10.1029/2009GC002610 (2009).



**Supplementary Figure S2:** Scanning electron microscope images of Chrystalls Beach sample. Minor pore space development in pressure shadows can be seen in BSE images in the left column, and silica enrichment in pressure shadows can be discerned from EDS maps in the right column. The middle column shows little CL variation within most quartz clasts.



**Supplementary Figure S3:** Model microstructure assumed for phyllosilicate-quartz gouge undergoing shear deformation. **a**. Shows the representative microstructure, and the definition of clast body zones (B), clast overlap zones (O), and the unit cell as referred to in the method, and originally defined by Den Hartog and Spiers (2014, 25 in main text). In this figure,  $\sigma_n$ ' is the effective normal stress,  $\tau_m$  is the macroscopic shear stress,  $\dot{\gamma}_m$  is the macroscopic or imposed shear strain rate and  $\dot{\epsilon}_m$  is the macroscopic rate of compaction. **b**. Shows the definition of the microstructural variables; the key variables are referred to and defined in the Methods. Note that the curved foliation is drawn with an exaggerated amplitude for clarity. Figure taken from Den Hartog and Spiers (2014, Ref 25 in the main text).



**Supplementary Figure S4:** Figure showing details of calculated parameters, for the scenario where quartz clast size, *D*, is 100 µm, shear zone width, *w*, is 100 m, and the pore fluid factor,  $\lambda$ , is 0.95. **a.** calculated values of phyllosilicate frictional resistance,  $\tau_{ph}$ , shear stress required for simple shear of quartz clast bodies,  $\tau_{qtz-b}$  (for non-dilatant shear), and the inferred shear stress for frictional-viscous flow at the given strain rate of  $v/w = 1.3 \times 10^{-11} \text{ s}^{-1}$ , as a function of depth. **b.** Temperature as a function of depth. **c.** Dissolution rate constant for quartz in water,  $k_+$ , as a function of depth.

Parameter	Meaning	Value
	Temperature and s	tress
$A_r$	Average radiogenic heat production in the forearc	10 <sup>-6</sup> W m <sup>-3</sup>
b	Geometric constant	1.0
$K_m$	Mantle conductivity	3.3 W m <sup>-1</sup> K <sup>-1</sup>
$K_s$	Accretionary prism conductivity	2.55 W m <sup>-1</sup> K <sup>-1</sup>
$t_0$	Age of subducting oceanic crust at the trench	80 Ma
$T_0$	Temperature at the base of the lithosphere	1300 °C
V	Average fault slip rate	40 mm yr <sup>-1</sup>
δ	Average dip of subduction thrust interface	15°
λ	Pore fluid factor	0.8 or 0.95
К	Thermal diffusivity	10-6
ρ	Average density above the shear zone	2650 kg m <sup>-3</sup>
	Microphysical mo	del
A	Shape factor in the clast body shear strain rate	π
	equation	
D	Clast diameter (grain size)	10 or 100 µm
$f_{qtz}$	Volume fraction of quartz	0.45
k <sub>f</sub>	Constant depending on the 3-D clast shape, 1/4 for	0.25
	cylinder	
$k_+$	Dissolution rate coefficient	= $276 \times \exp(-90100/[R \times T (K)]) \mod m^{-2} s^{-1}$
п	Exponent in relation describing pore area, pore shape	0.3
	evolution parameter	
R	Universal gas constant	8.31462 J mol <sup>-1</sup> K <sup>-1</sup>
W	Average shear zone thickness	1 - 100 m
$\mu_{ph}$	Friction coefficient within phyllosilicates	$0-300^{\circ}\text{C}$ : = $0.3199 + 9.101 \times 10^{-4} T (^{\circ}\text{C})$
		$300-600^{\circ}\text{C}$ : = 0.2997 + 6.180 x $10^{-4} T$ (°C)
		$600-700^{\circ}\text{C}$ : = 1.9967 – 2.244 x 10 <sup>-3</sup> T (°C)
Ω	Molar volume of quartz	$2.27 \times 10^{-5} \text{ m}^3 \text{ mol}^{-1}$

## **Supplementary Table S1** List of parameter values used in the calculations performed in this paper.