

Supramolecular Photochemistry Concepts Highlighted with Select Examples

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Abstract

‘Supramolecular Photochemistry’ (SP) deals with a study of the properties of molecules in their excited states where the medium plays a significant role. While ‘Molecular Photochemistry’ (MP) deals with studies in isotropic solution, the SP deals with reactant molecules that interact weakly with their surroundings. The surroundings in general are highly organized assemblies such as crystals, liquid crystals, micelles, host-guest structures etc. The behavior of excited molecules in SP unlike in isotropic solution is controlled not only by their inherent electronic and steric properties but also by the immediate surroundings. The weak interactions that control the chemistry include van der Waals, hydrophobic, C-H--- π , π --- π and several types of hydrogen bonds. In this review the uniqueness of SP compared to MP is highlighted with examples chosen from reactions in crystals, micelles and host-guest assemblies. In spite of distinctly different structures (crystals, micelles etc.) the influence of the medium could be understood on the basis of a model developed by G. M. J. Schmidt for photoreactions in crystals. The principles of reaction cavity model are briefly outlined in this review. There are a few important features that are specific to SP. For example, highly reactive molecules and intermediates could be stabilized in a confined environment; they enable phosphorescence to be observed at room temperature and favor chiral induction in photochemical reactions. Using such examples the uniqueness of SP is highlighted. The future of SP depends on developing efficient and unique catalytic photoreactions using easily available reaction ‘containers’. In addition, their value in artificial photosynthesis should be established for SP to occupy a center stage in the future.

Key words: Supramolecular chemistry, Reaction cavity, Solid state photochemistry, Micellar chemistry, Chiral photochemistry, Host-guest chemistry, Cavitands.

1. Introduction

The momentous term, ‘supramolecule’ coined by J. M. Lehn, the Nobel Prize awarded to Pederson, Lehn and Cram “for their development and use of molecules with structure-specific interactions of high selectivity” in 1978 and Lehn’s book [1] attracted a number of researchers to the topic of supramolecular photochemistry that has already been of sporadic interest for over a century. Thermal and photoreactions carried out in the preferred solid state during late 1800 and early 1900 were replaced by ‘liquid’ by mid-1900. Reaction controls that were largely achieved by varying the reagent, temperature, pressure and solvent were difficult in light activated reactions. In this context the strategy of controlling photoreactions by confinement and through weak interactions has been extensively investigated since 1970s. The several reviews and monographs emphasizing the value of highly ordered media to manipulate photoreactions [2-13] published during 1975-1991 were quite pivotal to the development of the field.

Under the broad canopy ‘supramolecular’, several media have been explored to carry out photoreactions. These include crystals, liquid crystals, micelles, LB films, internal and external surfaces of solids (silica, clay and zeolites), polymer films, host-guest complexes in solution and solid state. Of the various assemblies, crystals have received considerable attention and numerous reviews have been written; readers are directed to these for in depth understanding of the topic.[14-110] Despite the crystal’s ability to pre-organize molecules toward a particular product, reliable predictability of crystal structure and packing remains unsatisfactory. Tremendous experimental and technological progress in X-ray crystal structure solution has contributed to the renaissance of the field of solid-state photochemistry. Difficulty in handling large amounts of samples for preparative scale irradiations of liquid crystals, polymer films and LB films have curtailed their becoming a medium of choice for manipulating photoreactions. Reviews should be consulted to comprehend the opportunities and limitations involved in the use of these media.[3, 7, 111-117] Amongst the solid surfaces considerable control on excited state reactions have been achieved in zeolites which are easier to handle and commercially available at modest cost; even large pore zeolites (MCMs) can be easily synthesized. Availability of zeolites with varying internal structures and dimensions has attracted a number of groups to explore them as reaction

media. For in depth coverage of photochemistry within zeolites readers are directed to reviews on this topic.[118-147] One and two-dimensional surfaces such as silica, clay and Zr phosphate have also been examined as reaction media. Better predictable confinement of zeolites than silica, clay and Zr phosphate is noteworthy. Several reviews on photochemistry and photophysics of organic molecules on solid surfaces are available for consultation.[8, 142, 148-163] Lastly, organic solid-state host-guest complexes have also been examined to probe the role of hosts on the excited state behavior of guests. Examined hosts include cyclodextrins, urea, deoxycholic acid, Dianin's compound, tris-orthothymotide etc. Despite initial promising examples, progress on this topic has been limited.[164-166] The idea of employing organic hosts to control photoreactions has been revived and a recently published review on this topic should be of considerable interest to the readers.[165]

Impressive examples have been reported in crystals, zeolites, micelles, and host-guest complexes in aqueous solution that have shown considerable promise among the various confined media. Concepts involved in supramolecular photochemistry will be illustrated utilizing some of these examples. Micelles, zeolites and host-guest complexes in aqueous solution have been demonstrated to enhance fluorescence, phosphorescence, and emission from excimers and provide selectivity during photodimerization of olefins, recombination of singlet and triplet radical pairs resulting from photo-Fries and Norrish type I and type II reactions of carbonyl compounds and geometric isomerization of olefins. Classic studies on magnetic isotope and magnetic field effects on radical recombination reactions in micellar media conducted by Turro[167] stimulated further interest in supramolecular photochemistry. This review highlights examples of zeolites and cyclodextrins that have played an important role in the development of 'chiral photochemistry', yet another topic of importance specific to confined media. Readers are directed to the numerous published reviews on micelles, cyclodextrins, cucurbiturils, Pd-nanocage and capsules [4, 168-197] and recent books for additional information.[198-200]

II. Organization

This review focuses mainly on highlighting concepts. For detailed reports on this topic readers are directed to reviews and monographs cited in reference section. In Section III, the role of confined media on reactants in the ground and excited states and on intermediates and products are brought to light with chosen examples from zeolites, micelles and host-guest complexes in solution. In Section IV, a model enabling an understanding of photoreactions in various confined media is presented with supporting examples. Section V highlights two phenomena, namely room temperature phosphorescence and asymmetric induction in photochemical reactions in which supramolecular structures have played an important role. These selected examples should help the readers appreciate the value and potential of supramolecular assemblies in bringing out the hidden side of reactive molecules.

III. Controlling photoreactions through the influence of media on reactants, intermediates and products.

As illustrated in **Scheme 1** photochemical reactions are comprised of four stages, reactant in the ground state (R), reactant in the excited state (*R), intermediate (I) and product in the ground state (P).[201] Organized media could control product distributions by influencing the reaction at any or all stages. Examples of these are discussed in the following sections. For easy conceptualization, the influence of organized media on photoreactions is discussed under four sections, R, *R, I and P.

IIIa. Effect of organized media on reactants in the ground state (R)

For an olefin with two substituents (**Scheme 2**) geometric isomerization from S_1 and T_1 in solution is typical.[201] At higher concentrations however, dimerization is likely and of the several isomeric dimers possible in principle, single dimer can be targeted by preventing geometric isomerization and by pre-organization of olefin molecules and their continued preservation during the excited state lifetime. The latter conditions can be achieved with the help of organized media. Examples of solid-state photodimerization provided below illustrate the concept.

Trans-cinnamic acid yields the *cis* isomer upon irradiation in solution. On the other hand, irradiation of the crystalline material results in [2+2] dimerization (**Scheme 3**)[17, 18] and more importantly a single one from arrested isomerization due to increased barrier for the process in the excited state. How does the crystalline state favor a single dimer? Cinnamic acids crystallize in three polymorphic forms, namely, α , β and γ determining their photochemical behavior. In the β -type packing with the molecules separated by a short repeat distance of 3.9-4.1 Å, the neighboring molecules above the stack being translationally equivalent and with considerable face to face overlap as shown for a substituted cinnamic acid in **Figure 1**. All cinnamic acids, which crystallize thus react photochemically to give products of the same stereochemistry (mirror symmetric dimers). In the α -type the double bond of a molecule in one stack overlaps with that of a centrosymmetrically related molecule in an adjacent stack. The distance between the equivalent double bonds is greater than 5.5 Å, but that between the overlapping double bonds is less than 4.2 Å. This type of crystal produces centrosymmetric dimers upon irradiation. α -Type packing arrangement of a substituted cinnamic acid is shown in **Figure 2**. Crystals with the γ -type structure have the adjacent molecules offset such that the reactive double bonds do not overlap, and have large distance (4.7-5.1 Å) between them and are photostable.

In the example above, pre-organization is achieved during crystallization. With the less understood knowledge of factors controlling crystal packing that prevents extrapolating information from one molecule to another, a strategy known as ‘inter-molecular templation’ is utilized to orient molecules. This concept illustrated with an example below shows how molecules could be preorganized with the help of a carefully chosen host molecule. In this strategy utilizing a carefully chosen template the packing of the template/host molecules in the crystalline state is tailored to enable the potentially reactive guest molecules to pack in a manner facilitating photodimerization.

trans-1,2-Bis(4-pyridyl)ethylene (4, 4'-BPE) upon irradiation in solution undergoes *cis-trans* isomerization. Irradiation of its crystals does not give any products. Scrutiny of the crystal structure reveals that the molecules crystallize in a layered structure in which olefins of neighboring molecules are separated by more than 5.7 Å (γ -type packing; **Figure 3**), a distance too large for dimerization to occur. However, this molecule can be

engineered to dimerize in the crystalline state when co-crystallized in the presence of 1,3-dihydroxybenzene or thiourea (**Figure 3**).^[55, 56, 202] For example, contrary to the geometric isomerization observed on irradiation of a mixture of 1,3-dihydroxybenzene and *trans*-1,2-bis(4-pyridyl)ethylene in solution a single photodimer was obtained in quantitative yield when the mixture was irradiated in the crystalline state. The hydrogen bonding between 1,3-dihydroxybenzene and *trans*-1,2-bis(4-pyridyl)ethylene keeps the olefins parallel to each other and within 4.2 Å, to facilitate the dimerization process.

Thiourea is another molecule that functioned as a template by the same principle in our hands.^[202] We noted that the anti N–H atoms were free to interact with a hydrogen bond acceptor such as pyridyl unit when thiourea self-assembled into tapes *via* N–H⋯S hydrogen bonded dimer synthon using *syn* N–H atoms from a published structure. The co-crystal engineering strategy yielded 1:1 co-crystals of thiourea with *trans*-1,2-bis(4-pyridyl)ethylene. The parallel alignment of the alkene double bonds of the alkene pairs and within 4.15 Å resulted in *syn* dimer in quantitative yield on photoexcitation (**Scheme 4, Figure 4a**). Surprisingly thiourea forms 2:1 co-crystals with *trans*-1-(2-pyridyl)-2-(4-pyridyl)ethylene where each thiourea molecule interacts with only one pyridyl nitrogen *via* an acceptor bifurcated (N–H)_{2(thiourea)}⋯N_(pyridyl) hydrogen bond (**Figure 4b**). The reactant molecules here preorganized in head to tail fashion with the double bonds separated by 3.95 Å and gave *anti* H-T dimer in 100 % yield upon photoexcitation (**Scheme 4**). An inspection of this co-crystal structure hinted at the auxiliary role of the thiourea molecule at 2-pyridyl position and that the interdigitation 4-stilbazole class of molecules would form a similar packing in 1:1 co-crystal with thiourea to direct them to align in head to tail fashion.

An experimental investigation of the above hypothesis revealed the versatility of thiourea template (**Figure 5**). Six stilbazole derivatives aligned in head to tail orientation in their 1:1 thiourea cocrystals successfully yielded *anti* H-T dimer products in about 95% by photoirradiation (**Scheme 4**). In 1:1 cocrystals of thiourea and cyanostilbazole the cyano group accepts hydrogen bond similar to pyridine nitrogen in 1:1 cocrystals of thiourea and 4,4'-BPE and forms a similar packing. Photoexcitation of these cocrystals where the cyanostilbazole molecules preorganized in head to head fashion leads to the formation of *syn* HH dimer with 100 % yield. Achieving similar packing patterns with

different molecules in a family of compounds in solid-state remains a challenge since very small changes in the geometrical and chemical properties of a functional group could lead to entirely different supramolecular arrangements. We were able to successfully employ thiourea as a template for nine different pyridyl olefin compounds to yield a single regio-isomer with > 95 % yield and have recently published the results.[203]

Photodimerization of coumarin in the solid state provides the most impressive product control achieved. Excitation of coumarin dissolved in organic solvents yields several dimers while dimerization does not occur in crystalline state due to unfavorable packing. Coumarin can however be steered to yield a single optically active *anti-head-head* dimer when crystallized within an optically active host (**Scheme 5**).[65, 66] The host not only brings the two molecules within the reactive distance but also aligns them in a single pro-chiral form (**Figure 6**). Such a control has not been achieved in solution.

Above remarkable pre-orientation of reactants during cycloaddition reactions is not restricted to solid state. It can occur in aqueous solution in microheterogeneous medium. Micellar surfaces and water-soluble hosts such as cyclodextrins, cucurbiturils and Pd host can prealign the reactants prior to excitation. In these organized media the boundary of the 'reaction cavity' may separate two phases of very different properties. For example the boundary of a micelle separates the non-polar hydrocarbon interior from the polar aqueous exterior (**Figure 7**). Similarly cyclodextrins, cucurbiturils and Pd host provide both a non-polar interior and a polar aqueous exterior region (**Figure 7**). These interfacial boundaries allowing one to simultaneously expose a reactant molecule to two phases with different properties work well with reactant molecules with both hydrophobic and hydrophilic components.

For example, a molecule with a hydrophobic nonpolar hydrocarbon part and hydrophilic polar functional groups such as OH, COOH and CO when solubilized in water with the help of a micelle or a water-soluble host will adopt a specific arrangement with the hydrophobic part in the hydrocarbon like phase and the hydrophilic part exposed to the aqueous phase of the micelle. The alignment of the reactants at the interface might restrict the randomness in their orientation and could hence lead to regioselectivity during bimolecular reactions. For example, photocycloaddition of 3-*n*-butylcyclopentanone in

the presence of heptenyl acetate in organic solvents gives a single adduct **B** (**Scheme 6**).^[204-206] Irradiation of these two compounds solubilized in a potassium decanoate micelle yields a mixture of two adducts **A** and **B** in which the **A** is the major product and consistent with the notion that a micellar interface helps orient the reactant molecules (**Figure 8**).

The doughnut shaped cyclodextrins (CDs) are cyclic oligosaccharides consisting of six to eight glucose units (α -, β - and γ -CDs, respectively) with a hydrophobic inner cavity and a hydrophilic outside. These cavities with internal diameters varying from 4.7 to 8.3 Å, and with an almost same depth (7.8 Å) allow formation of inclusion complexes with a variety of guest molecules. Complexation between CDs and substrates in solution has been successfully exploited to induce selectivity in some unimolecular and bimolecular photochemical reactions. Tamaki and co-workers' first exploration of the utility of the CD-cavity in effecting regio- and stereo-selective dimerization of guest molecules such as anthracene-2-sulfonate and anthracene-2-carboxylate [207] has been followed by several groups' exploration of photodimerization of acenaphthylene, coumarin, stilbene derivatives, *trans*-2-styrylpyridine and bispyridylethylenes included within γ -CD (**Scheme 7**).^[208-211] The dimer formed in majority of these examples could be rationalized on the basis of pre-orientation due to hydrophobic-hydrophilic alignment at the interface and confinement by the CD cavity.

Cucurbiturils with reaction cavities similar to cyclodextrins form water-soluble host-guest complexes. Kim and coworkers first demonstrated that the cavity of CB[8] can accommodate and orient the olefinic bonds of diaminostilbene hydrochloride to high yields of *syn* dimer (**Scheme 8**).^[212] The selective formation of *syn* dimer within the host was proposed to be due to the arrangement of the olefins within the cavity favoring the formation of the *syn* dimer.

A few years ago, our group demonstrated that alkenes photostable in the solid state dimerize within cucurbiturils.^[211, 213-215] The three cinnamic acids listed in **Scheme 10** do not react in solid state and geometrically isomerize in solution. However, excitation of these included in cucurbituril [8] in water readily yielded *syn*-H-H dimer exclusively. While the two reactive olefin molecules could be brought together within the confined cavity, it is the weak intermolecular forces that orient them in a proper geometry

for dimerization. We believe that the inclusion of the two olefins in a head-head fashion is a combination of π — π , hydrogen bonding and hydrophobic interactions. While the former two facilitate orientation, the later favors inclusion. In the head-head arrangement the two acid groups face the water and the two-phenyl groups would be forced to stay parallel favoring π — π interaction (**Figure 9**).

Owing to space limitations we refer the readers to the publications by the groups of Sivaguru and Li-Zhu Wu on the use of cucurbiturils as templates in aqueous solution for photodimerization reactions (**Schemes 8 and 9**).[178-180, 216-221] In addition to cyclodextrins, cucurbiturils and micelles, an organometallic host synthesized by Fujita also used as a host in water to orient, confine and dimerize molecules.[181-184, 222-231] Most of the examples reported by Fujita that are listed in **Scheme 11, 12 and 13** seem to rely on the confinement provided by the host. Two examples namely cinnamic acids and coumarins provided by our group highlight the importance of hydrophobic-hydrophilic interface during the alignment of olefins within these cages.

The Pd nanocage shown in **Figure 7** readily included the cinnamic acids and coumarins listed in **Schemes 14 and 15** and formed water-soluble complexes which upon irradiation gave a single, corresponding *syn-head-head* dimer clearly attributable to the confinement and templation afforded by the host.[232, 233] The hydrophobic effect alone can't be responsible for such selectivity. While it could force the cinnamic acid and coumarin molecules to stay within the cage it is likely that the interface between hydrophobic exterior and hydrophilic interior aligned the polar groups at the interface towards water and favored *syn-head-head* dimers (**Figure 10**).

In the photochemistry of various olefins discussed above control is exerted by the organized medium where the reactant molecules are pre-organized prior to excitation. In the organized medium's confined reaction cavity the restricted mobility of the reactants in the ground as well as in the excited state reduces their entropy and the free energy barrier for competing reactions (*e.g.*, isomerization) is increased in the excited state. Thus in the examples discussed above the media has an influence on the reactant(s) in their ground as well as in excited states. Thus one can't separate the influence of the medium on R and R*, it has on both.

IIIb Effect of organized media on reactant in the excited state (R*)

The example in this category deals with intramolecular γ -hydrogen abstraction reaction in ketones shown in **Scheme 16**. In solution the excited carbonyl will abstract the both prochiral hydrogen atoms in these molecules with equal efficiency leading to 1:1 mixture of the two optical isomers of the product cyclobutanol.[28, 31, 33-35] Thus the two pathways have identical barriers. However, irradiation of crystals obtained from the salts of the corresponding acids and a chiral amine results in cyclobutanol in fairly high enantiomeric excess (ee) proving a preference for one of the hydrogen atoms. The main effects of the medium in this reaction is the ordering of the reactants in a single homochiral conformation that allows selective abstraction of one of the two available enantiotopic hydrogens. The two prochiral hydrogens are no longer identical and so are the barriers for abstraction of the two hydrogens. The X-ray crystal structure shown for a ketone in **Figure 11** clearly shows that one of the hydrogens is closer to the carbonyl chromophore. The chiral packing of the crystal ensured by the base ephedrine provides identical arrangement of all molecules in the crystal with the same prochiral hydrogen closer to the carbonyl chromophore. In this strategy termed the 'ionic chiral auxiliary approach' though the ground state molecule is entropically prepared to form a single chiral product, the effect is felt during the reaction of *R. In the supramolecular structure the activation barrier for one of the two possible reaction paths in the excited state is lower.

IIIc Effect of organized media on reaction intermediates (I)

In the examples discussed above the reaction medium influenced the photochemical outcome by lowering the entropy (restricting the freedom) of R and *R. Organized media could likewise control the mobility (entropic control) of the reactive intermediates (I) generated in a photochemical reaction. The best examples of these are found in photofragmentation reactions of carbonyl compounds.[201] As illustrated in **Scheme 17** excitation of 3-(4-methylphenyl)-1-phenylacetone (MeDBK) results in a radical pair intermediate yielding at least three products in a statistical ratio of 1:2:1. An organized medium such as micelles could influence the diffusional mobility of the radical pair A and B and thereby affect product distribution. The reaction cavity of a micelle is made up of a hydrophobic core and a hydrophilic exterior. A highly polar boundary

separates the hydrophobic core from the aqueous exterior. Such a boundary provides features unique to these media absent in isotropic solution. Hindrance of the translational motion of a guest present within the reaction cavity by the well-defined boundary is a feature common to all heterogeneously organized media such as cyclodextrins, mono and bilayers, host-guest complexes and vesicles in water.

As shown in **Scheme 17** photolysis of 3-(4-methylphenyl)-1-phenylacetone (MeDBK) results in a 1:2:1 mixture of three products AA, BB, and AB. Photolysis of the same molecule solubilized in a micelle (hexadecyltrimethylammonium chloride) gives AB as the major product.[167, 234] The distinctive change in product distribution occurring at and above the critical micelle concentration (cmc) (the minimum concentration at which a micelle is formed) due to the restricted mobility of the radical pair within the micellar structure is consistent with the radical intermediates trapped in a small hydrophobic reaction cavity. How does the reaction cavity present in an isotropic solution differ from that in a micelle? One of the differences lies in the size of the reaction cavity. In principle during the time required for a reaction, the entire volume of the solution in the flask is accessible to the excited molecule in an isotropic medium while it may be confined to a single micelle in a micellar medium. The space available for the reacting molecule has thus shrunk. In isotropic solution, the A and B radicals generated from DBK, experience unrestricted translational mobility (depending on the viscosity of the solution) that enables them to rapidly diffuse apart and find partners based only on a statistical probability (AA, AB, BB). On the other hand, in a micellar medium, at low occupancy levels, the single A and B radical pair likely to be generated within a single micelle with movement restricted by the hydrophobic-hydrophilic boundary is forced to find a partner within the same micelle (AB), to result in their coupling.

The concomitant increase of the cage effect (see **Figure 12** for definition) with the number of methyl groups is consistent with the hydrophobicity of the secondary radical pair (A and B). For example, the cage effect within hexadecyl trimethyl ammonium chloride micelle for DBK is 31% whereas that for 4,4'-di-*tert*-butyl DBK is 95%[235]. The results of photolysis of MeDBK in micelles with chain lengths varying between 6 - 14 as shown in **Figure 13** suggests an inverse relationship between the rate

of radical escape from a micellar cage and micelle size (larger the micelle, greater the radical pair retention). The estimated exit rates of the benzyl radical from micelles of different sizes (sodium decyl sulfate: $2.7 \times 10^6 \text{ s}^{-1}$; sodium dodecyl sulfate: $1.8 \times 10^6 \text{ s}^{-1}$ and sodium tetradecyl sulfate: $1.2 \times 10^6 \text{ s}^{-1}$) correlate well with the observed trends in the cage effect. Thus, the extent to which the radicals (A and B) can escape the micellar reaction cavity as estimated experimentally by measuring the cage effect depends upon both the size of the reaction cavity (micellar size) and the hydrophobicity of the radical pair. The more hydrophobic the radical, less it would venture outside the micellar reaction cavity. The larger the micelle, the less the radical pair escapes the reaction cavity. Thus, the cage effect, measuring the extent to which the radicals (A and B) can escape the micellar reaction cavity depends upon both the size of the reaction cavity (micellar size) and the hydrophobicity of the radical pair.

The difference in the nature of the restriction provided by an isotropic solvent and a micelle is nicely demonstrated by the isotope enrichment observed during the photolysis of DBK. This process can be understood using the detailed mechanism for cleavage process presented in **Scheme 18**. α -Cleavage of the triplet excited DBK results in a triplet geminate primary radical pair ($\text{C}_6\text{H}_5\text{CH}_2^\circ$ and $\text{C}_6\text{H}_5\text{CH}_2\text{CO}^\circ$; PRP^3). This initial radical pair loses CO to generate the secondary radical pair ($2\text{C}_6\text{H}_5\text{CH}_2^\circ$; SRP). The two benzylic SRP combines to yield the final product, 1,2-diphenylethane. An option, unavailable in isotropic solution to the PRP^3 , becomes available within a micellar reaction cavity. If the PRP^3 is constrained long enough to allow intersystem crossing to the singlet state PRP^1 , it can recombine to yield the starting ketone with or without rearrangement. The latter would yield 1-phenyl-4-methylacetophenone (PMAP). In a micelle, the triplet-to-singlet intersystem crossing of PRP^3 competes with the decarbonylation process and the cage escape. The rate of decarbonylation of phenylacetyl radical has been established to be $6.4 \times 10^6 \text{ s}^{-1}$ at room temperature, and the rate of cage escape from the micelle has been estimated to be in the range of 10^6 s^{-1} . Intersystem crossing facilitated by the hyperfine interaction between ^{13}C nuclei at the carbonyl carbon (or the benzylic position) and the unpaired electron spin could occur in the microsecond time scale. Since ^{12}C nuclei do not enhance intersystem crossing, the competition between decarbonylation and intersystem crossing of PRP^3 to PRP^1 can only

occur in molecules containing C^{13} nuclei. Based on the established mechanism for the nuclear hyperfine-induced intersystem crossing one would expect a higher yield of PRP¹ from molecules containing ^{13}C nuclei and decarbonylation from those with ^{12}C nuclei. This selective process would be expected to yield ^{13}C enriched DBK and PMAP. This has been demonstrated by photolysis of DBK that is 48% enriched in ^{13}C at the carbonyl carbon.[236-239] After 90% photolysis the CO carbon of the remaining DBK has 62% ^{13}C as compared to the 48% at the start. Similar enrichment in PMAP was also noted. The absence of such effects in homogeneous solutions is due to the lifetime of the solvent cage that is much shorter ($<10^{-10}$ s) than that of a micelle ($\sim 10^{-6}$ s). Within the short time of the solvent cage nuclear hyperfine coupling will not be able to induce intersystem crossing of PRP³ to form PRP¹. The above remarkable results reported by Turro and co-workers prompted considerable interest in supramolecular photochemistry.[167, 192, 197]

III.d. Effect of organized media on photoproducts (P)

Photochemical reactions are often capable of producing highly energy rich and less stable products and intermediates. For example, molecules such as cyclobutadiene, benzyne, benzocyclopropanone etc. generally having a fleeting existence in solution at room temperature when generated within a confined space (organized structure) in solution, are stable for hours to days. In this regard, a class of organized host systems known as hemicarcerands has been very useful for this purpose (**Scheme 19**).[185-187]

Cyclobutadiene while stable only in inert gas matrices at extremely low temperatures when generated upon irradiation of α -pyrone trapped in a hemicarcerand cyclobutadiene (**Scheme 20**) under oxygen free condition is indefinitely stable at room temperature. Stabilization of cyclobutadiene at room temperature in a confined container is a masterpiece in the area of supramolecular photochemistry.[240, 241]

Photolysis (>400 nm) of benzocyclobutenedione trapped within a hemicarcerand yields the highly strained benzocyclopropanone (**Scheme 21**). Benzocyclopropanone while normally unstable at room temperature when trapped hemicarcerand can even be subjected to X-ray crystallographic studies. Upon irradiation (>300 nm) this trapped molecule eliminates carbon monoxide to yield benzyne which is stable for several hours

at -78°C in this condition while stable only at cryogenic temperature in inert matrices.[242]

Benzyl radicals when generated in solution with lifetimes less than a microsecond when generated by photolysis of dibenzyl ketones trapped in cyclodextrins or zeolites, in solid state, have lifetime of days. For example, phenylethyl radical generated by photolysis of α,α' -dimethyldibenzylketone included in β -cyclodextrin is stable for a minimum of three days.[243] The lifetime of diphenylmethyl radical in solution being controlled only by diffusion limited radical-radical coupling has a lifetime in the order of micro to nanoseconds. The same radical generated in the channels of ZSM-5 type zeolites by photolysis of tetraphenyl acetone is stable for weeks (**Scheme 22**).

In this regard, one should note that a number of carbenes and nitrenes have been stabilized in confined environments and their photochemical and photophysical properties investigated (**Scheme 22**). [144, 244-254] In this context one should note that radical cations of stilbene and other polyenes have been stabilized for even months in the channels of ZSM-5 zeolites. 1,n-Diphenyl alkene cation radicals, with normal lifetimes of nanoseconds, remain stable for months in the channels of ZSM-5.[255, 256] Their lengthy lifetimes enables their absorption and emission recordings at room temperature (**Figure 14**).

From the examples above, it should be clear that the concept of time within organized media is different from that in isotropic solution. What we normally consider as fleeting and short-lived become stable within organized media. Under such conditions, characterizing the excited state properties of radicals, radical ions, carbocations and reactive species such as cyclobutadiene requires no special time resolved techniques. In organized media, intermediates and products, which based on behavior in solution would be defined to be highly reactive, become 'tame' with lifetimes several orders longer than that in solution. That the organized medium stabilizes the products by restricting their mobility and accessibility by other reactants is noteworthy.

IV. A model for conceptualizing photoreactions in organized media: Reaction cavity model

From the above discussion it is clear that reaction environment provided by an organized medium has unique features mimicking those of proteins. While crystals (and zeolites) provide inflexible reaction cavities a whole spectrum of organized media (*e.g.*, micelles, host-guest complexes, monolayers and bilayers, liquid crystals etc.) allow different degrees of freedom to the reactant molecules. These systems demonstrate the clever usage of favorable entropy an important requisite to natural systems. An understanding of the unique features of individual organized media should enable appropriate choice of reaction medium to achieve the desired selectivity. In this section we discuss some of the common characteristics of the reaction cavities of the various organized media.[20, 41, 257-259]

A chemical reaction can be viewed as a molecular shape changing topological phenomenon. Occurrence of a particular reaction will depend upon whether the product would fit within the reactant occupied 'reaction cavity' (**Figure 15**). The reaction cavity by definition is the space occupied by the reactant molecules that includes the void space surrounding them (**Figure 16**). The reaction cavity wall is made up of molecules adjacent to the reacting molecules. Since the boundaries of a reaction cavity are undefined in an isotropic solution, size matching of the reactant, products and the reaction cavity is not important in this medium. On the other hand, when the reaction cavity has a well-defined boundary, as in most organized assemblies (especially in solid state and host-guest complexes), size matching between the reactant and the product can become important and occasionally may even be the sole factor controlling the feasibility of a reaction.

Consider for example conversion of reactant R into products P1 and P2 of different shapes (**Figure 17**). In gas phase both products would be formed. In isotropic solution fast relaxation of solvent molecules would accommodate the shape changes from R to both P1 and P2. On the other hand in an organized medium where the reaction cavity is time independent (crystals, host guest complexes in solid state and solution, zeolites and clay) only one of the two products would be formed. The free volume within a reaction cavity is an important parameter whose shape, size, location, directionality and dynamics control in large part the extent to which the medium influences a photoreaction.

IVa. Importance of free volume

In order to accommodate the shape changes as the reactants transform to products, the reaction cavity must contain a certain amount of free volume. All condensed media, with the possible exception of perfect crystals of monatomic solids, contain free volume. Normally, the free volume in a liquid state is greater than in the crystalline state of the same substance, as evidenced by the decrease in density on melting of most solids except ice. In isotropic liquids, the free volume is highly mobile by virtue of the motions (translation, rotation, vibration, internal rotation, etc.) of the constituent molecules. In organized media, the free volume may be essentially immobile, as in crystals, or have mobility ranging from that found in crystals to that of isotropic liquids. Since the surfaces of silica, the inter planar regions of clay, and the interiors of zeolites, possess time-independent structures similar to those of crystals (whose relaxation times are much greater than that necessary to transform a reactant molecule to its products), the free volume needed to accommodate the shape changes during the course of a reaction must be intrinsic to the reaction cavity. The “stiff” reaction cavities of such media may be characterized as being ‘hard’ (**Figure 18**).

Distinct differences exist between the rigidly organized structures discussed above and media such as micelles, microemulsions, molecular aggregates, and liquid crystals. In the latter group, the guest reaction cavities may contain minimal intrinsic free volume at the time of photoexcitation. However, since the molecules constituting these organized assemblies are mobile, the reaction cavity can respond to shape changes as the reaction proceeds. The extent and ease with which each medium can accommodate shape changes will determine the selectivity that can be obtained. The cavities of these media with ‘flexible’ walls may be characterized as being ‘soft’ (**Figure 18**). The ease of a medium’s response to shape changes during the course of a reaction depends on the extent of co-operative motions involving guest and host molecules. For a guest molecule to react in the restrictive host’s environment, requisite adjustments must be made by the medium within the time frame determined by the rate limiting paths leading to the transition state(s). No reaction will occur if the host medium fails to respond in a ‘timely’ fashion.

The importance of free volume is nowhere more evident than in the photoreactions of crystalline molecules. Irradiation of crystalline 7-chlorocoumarin yields a single dimer (*syn head-to-head*) (**Figure 19**).^[15, 16, 260, 261] The packing arrangement shown in **Figure 19** reveals two potentially reactive pairs of 7-chlorocoumarin molecules in a unit cell; the translationally related pair has a center-to-center distance of 4.54 Å (favored to yield the *syn head-to-head* dimer); the other centrosymmetrically related pair has a center-to-center distance of 4.12 Å (favored to yield the *anti head-to-tail* dimer). Despite the favorable arrangement of the latter pair the dimer is obtained from the former pair only. Lattice energy calculations reveal a much higher relative increase if the centrosymmetrically related pair were to react. In other words, the larger free volume around the translationally related pair allows dimerization of this less favored pair while despite the centrosymmetrically related pair whose double bonds are initially closer the lack of free volume in the most topochemically favored pair leads to no reaction.

A comparison of the solid state photochemistry of two crystals, 7-methoxycoumarin and methyl *m*-bromocinnamate, further exemplifies the importance of free volume near the reaction site (**Figures 20 and 21**).^[262, 263] Photodimerization occurs in crystals of 7-methoxycoumarin to give the *syn head-to-tail* isomer despite the reactive double bonds being rotated by 65° with respect to each other and a center-to-center double-bond distance of 3.83 Å (**Figure 20**). On the other hand, methyl *m*-bromocinnamate, with the distance between the centers of adjacent double bonds is 3.93 Å, rotated and makes an angle of 28° when projected down the line joining the centers of the bonds does not undergo photochemical dimerization in the crystalline state (**Figure 21**). Between these two poorly oriented molecules one yields a dimer while the other does not. Based on the estimated increase in lattice energy (200 kcal/mol) upon reorientation of the reactive pairs in the crystal lattice 7-methoxycoumarin's was found to be roughly the same order of magnitude as for many photoreactive crystals with favorably oriented pairs while enormous (6726 kcal/mol) for methyl *m*-bromocinnamate. The large increase in the lattice energy in the latter case is indicative of resistance from the cavity wall for the required reorganization. In terms of free volume within a reaction cavity the above conclusion could be interpreted as a pair of 7-methoxycoumarin

molecules containing a greater amount of free volume than in the case of methyl *m*-bromocinnamate thus the reaction cavities in 7-methoxycoumarin crystals can tolerate the large motions executed during dimerization. More important than availability of free volume is its presence in the right place.

IVb. Role of cavity-guest interactions

An important feature of reaction cavities in many organized media is that their functionalities can selectively interact with the solute molecules. The reaction cavity wall is termed "*active*" when there is an attractive or repulsive interaction between a guest molecule and the wall of the cavity and the interaction may serve as a template for the guest as it proceeds to products (**Figure 22**). The presence of active walls necessitates consideration of possible wall-guest interactions during the prediction (and rationalization) of product selectivity. If sufficiently attractive or repulsive, the interactions will influence the location or conformation of guest molecules and thereby the final products. Additionally, if the interactions are to have a discernable influence on the course of the transformations, they must persist for times at least comparable to those required for the reaction to occur. Interactions may vary from weak van der Waal's forces, to hydrogen bonds to strong electrostatic forces between charged centers. For example, a number of hosts capable of forming inclusion complexes (such as cyclodextrins, urea, cucurbuturil and deoxycholic acid) contain functional groups that can form hydrogen bonds with guest molecules; silanol groups of silica surfaces may orient the adsorbed molecules through hydrogen bonding; the large number of cations on the surfaces of clays and zeolites can interact electrostatically with guests. Most reaction cavity walls may, in fact, be "*active*" to some extent. Understanding this feature generally allows exploitation of the medium to the maximum extent.

Examples of asymmetric induction chosen from studies in zeolites illustrate the importance of cavity-guest interactions. Two sets of photoreactions illustrated in **Schemes 23** and **24** demonstrate the uniqueness of cation-guest interactions in achieving chiral selectivity in photoreactions within zeolites. In one set of molecules (**Scheme 23**) the less than 10% diastereomeric excess (de) obtained in isotropic solution is enhanced within cation exchanged zeolites to over 80%. In the other (**Scheme 24**), moderate

enantiomeric excess (ee) is observed within zeolites from the lack of any chiral induction in solution. Examination of the results observed within zeolites in the case of (S(-)-1-phenylethyl amide *cis*-diphenylcyclopropane carboxylic acid (**Scheme 23**) supports the conclusion that the cation-guest interaction is key to the observed chiral selectivity.[121] The de is dependent on the nature of the alkali metal ion (decreased with increasing mass; e.g., NaY to CsY) and water content (decreased with higher water content of the NaY). The de is very low for silica gel, a surface lacking cations and decreased significantly on changing the Si/Al ratio of LiY zeolite from 2.4 to 40. Such a change in the Si/Al ratio results in a drastic decrease in the number of cations per unit cell from 55 to 5. For the current discussion important point to note is that interactions (cation-guest) that do not persist in isotropic solution do so in an organized media and play a critical role in controlling photoproduct selectivity.

IVc. Role of interface

A reaction cavity can be pictured as an enclosure that reduces the mobility of the reactant molecules and provides a boundary that the reactant molecules may not cross without overcoming an energy barrier. In certain organized media the boundary of the 'reaction cavity' may separate two phases of very different properties. As mentioned earlier the boundary of a micelle separates the non-polar hydrocarbon interior from the polar aqueous exterior. In **Section IIIa** we discussed the use of an interface to align molecules for photocycloaddition reactions. The example provided below illustrates how interface could be utilized to control molecular conformations and thereby photoproducts.

Photoproduct selectivity in unimolecular reactions can be achieved through conformational control of the reactant molecule at the interface. For example, the only observable Norrish type I reaction in organic solvents with benzoin alkyl ethers (**Scheme 25**) is completely suppressed and Norrish-Yang reaction becomes the major pathway for benzoin methyl ether in cetyl trimethyl ammonium bromide and cetyl trimethyl ammonium chloride micelles (**Scheme 26**) due to the cage effect as well as the conformational control at the interface. Of the two conformations A and B shown in **Scheme 27** for benzoin methyl ether, conformer B at the micellar interface (probably from the preference for the polar carbonyl and methoxy groups to be situated in the

aqueous phase) leads to the Norrish-Yang products. Production of only the *para*-substituted benzophenone from benzoin octyl ether in the same medium is consistent with the rationale that the long alkyl chain would prefer to remain in the hydrocarbon interior to lead predominantly to conformer **A** at the micellar interface (**Scheme 27**). Such a condition would not favor Norrish-Yang reaction.

V. Influence of the Supramolecular Assemblies on Excited State Processes:

Exemplars

In the above presentation we highlighted the features of supramolecular assemblies that could be utilized to modify excited state chemistry. We attempted to bring out common features of various types of supramolecular structures so that direct comparison between each of them could be made. For example one might ask how is cyclodextrin different from micelles, should I use zeolite or clay to bring about a desired change etc. More importantly, some of the features are unique to supramolecular assemblies and one can't achieve the same goal in isotropic solution. To highlight this aspect of supramolecular photochemistry we use two examples, room temperature phosphorescence (RTP) and chiral photochemistry.

Va. Influence of Media on a Photophysical Process—Phosphorescence

Phosphorescence from organic molecules is an area where organized media have made a tremendous impact. Phosphorescence emission from the triplet state of organic molecules is rare in neat solvents at room temperature. Intense phosphorescence has been observed at room temperature from arenes solubilized in sodium lauryl sulfate (SDS) micelles in the presence of Tl^+ or Ag^+ ions (**Figure 23**).^[264, 265] Cyclodextrin has also proven to be an excellent matrix to observe phosphorescence at room temperature. In this case co-inclusion of a heavy atom inert co-guest is required to induce phosphorescence. For example, inclusion of aromatic molecules within cyclodextrin containing brominated alcohols (2-bromoethanol, 2,3-dibromo-1-propanol) results in RTP of aromatic molecules.^[266-270]

Phosphorescence at room temperature (RTP) from a number of arenes and olefins has been observed with heavy-cation exchanged zeolites media. For example,

naphthalene, when included in the X-type faujasites $M^+ X^-$ exchanged with Rb^+ or Cs^+ , exhibits intense RTP along with weak fluorescence. In faujasites exchanged with Li^+ , Na^+ , or K^+ ions, intense fluorescence and weak phosphorescence have been observed (**Figure 24**).^[271, 272] The correlation of phosphorescence intensity with cation mass clearly suggests an external heavy-atom perturbation. The magnitude of the heavy-atom effect observed in zeolites is significantly larger than that observed for a similar cation included 1,5-naphtho-22-crown-6 complexes, where the cation is rigidly held over the naphthalene π face. The observed heavy atom effect with zeolite samples is nearly as large as for a series of 1-halonaphthalenes where the perturbers are covalently attached to the chromophore. The unusually high external heavy-atom effect observed here is attributed to the close approach between naphthalene and the heavy cation, that is re-enforced by the zeolite supercage, and to the presence of more than one heavy cation per supercage, which leads to high effective concentrations of the heavy atom in the vicinity of the naphthalene.

The normally absent or weak phosphorescence from olefins (e.g., *trans*-stilbene, 1,6-*all-trans*-diphenylhexatriene) even in the presence of conventional heavy atom solvents at low temperature is observable at room temperature due to the efficient close proximity between the cations and the olefin within a zeolite (**Figure 25**).^[271]

Before closing this section we like to point out that RTP has been observed on silica surfaces and papers impregnated with heavy metal salts such as thalium nitrate.^[273-276] Thus the heavy cation and its proximity to the emitter are the key factors that lead to enhancement of phosphorescence and the confined container prevents quenching by oxygen and by itself (self-quenching; see below).

The final example in this category deals with phosphorescence of thioketones, molecules analogous to ketones.^[277] These molecules with high values of k_{ST} and k_P do not phosphoresce in solution at room temperature due to quenching by oxygen and by ground state thioketone molecules (self-quenching) at diffusion controlled rates. Supramolecular approach helps solve the lack of measurable phosphorescence of these thioketones at concentration above 10^{-6} M. For example, thiocamphor (**Figure 26**) showing no phosphorescence in aqueous solution (10^{-4} M), phosphoresces intensely when encapsulated in a 2:2 complex with octa acid in water. The absence of self-quenching

though the effective concentration within the complex is 0.35 M is ascribed to the supramolecular pre-organization of the two incarcerated thioketones into a relative orientation such that the two C=S groups are situated at a significant distance from each other. Thus self-quenching is eliminated by host enforced pre-organization occurring as a result of guest-host complexation. Furthermore, the thioketone is also protected from quenching by oxygen by the octa acid capsule.

Vb. Asymmetric photochemical transformations within zeolites

Following the communication on the use of optically active sensitizers in the photosensitized isomerization of *cis*-diphenylcyclopropane by Hammond and Cole in 1965 [278] several groups have performed enantio- and diastereoselective phototransformations, both in solution and the solid state.[279-281] The best results in solution have been obtained via the chiral auxiliary methodology, yielding in select examples diastereomeric excesses (de) close to 100%.[282, 283] There are relatively few examples of asymmetric induction during photolysis of achiral molecules in the crystalline state [70] due to the limited probability of the crystallization of achiral molecules crystallizing in chiral space groups.[284] Scheffer and co-workers have provided a number of examples that yield photoproducts in very high ee (or de) in the crystalline state using the “ionic chiral auxiliary approach” that facilitates the crystallization of achiral molecules in chiral space groups.[285-290]. Recognizing the above stated problem of crystallizing achiral molecules in chiral space groups, several researchers have explored chiral hosts as the reaction medium starting from Natta on the photopolymerization of 1,3-dienes included in the channels of optically active perhydrotriphenylene,[291] to the most achieved success by Toda using chiral diol hosts.[292, 293] While crystalline and host–guest assemblies have been extremely useful in conducting enantioselective photoreactions, their general applicability has been limited. Not all molecules crystallize alone or in the presence of organic host systems. Due to the paucity of reliable prediction capabilities of the molecular packing that control the reactivity of molecules in the crystalline state and in solid host–guest assemblies successful use of above methods offers no guarantee that the products will react in the crystalline state. Hence readily available and inexpensive zeolites have been employed

as media to bring about asymmetric induction in photochemical reactions. In this section the different approaches to bring about chiral induction during photoreaction within zeolites will be discussed. Discussing the various methods utilized to achieve chiral induction in photochemical reactions is beyond the scope of the current review. The value of supramolecular assemblies in chiral photochemistry will be highlighted with examples from zeolite and cyclodextrins.

Provision of the asymmetric environment within a zeolite by adsorption of the chiral inductor such as ephedrine ('chiral inductor method') renders the nonchiral interior surface of the zeolite "locally chiral". This simple method affords easy isolation of the chiral photoproduct. The chiral inductor used will determine the magnitude of the enantioselectivity of the photoproduct.

Employing chirally modified zeolites as reaction media requires inclusion of two different molecules, a chiral inductor (CI) and a reactant (R), within the interior space of the zeolite, which by its very nature does not allow quantitative asymmetric induction. The six possible occupancies of molecules CI and R included within a given zeolite are shown in **Figure 27**: cages containing a single R or CI molecule (type C, Type E), two R or CI molecules (type A, type D), an R and one CI (type B) and neither R or CI molecule (type F). Of the three possible scenarios of photoreaction of R, namely A, B and C, depending on the interaction between the chiral inductor and the reactant asymmetric induction is possible from B alone. High asymmetric induction therefore requires the placement of each reactant molecule next to a chiral inductor i.e., enhancement of the ratio of type B cages to the sum of types A and C.

Reactions such as *viz.*, electrocyclic reactions,[294-296] the Zimmerman di- π -methane reaction,[297] the oxa-di- π -methane rearrangement,[298] Yang cyclization,[299, 300] geometric isomerization of 1,2-diphenylcyclopropane derivatives,[121, 122, 301-307] and the Schenk-ene reaction[297] that gave racemic products even in the presence of chiral inductors in solution (see **Schemes 28** and **29** for selected examples) were explored to examine the viability of this approach. Two reactions gave respectable ee's within NaY, namely, the photocyclization of tropolone phenylethyl ether (78%, **Scheme 24**)[294-296] and photocyclization of 1-(3-phenylpropyl)-2-pyridone (50%, **Scheme 24**) while the others gave moderate ee's

(15–50%).[308] The results within zeolites are significant and mechanistically interesting relative to the racemic products in solution. Best results were obtained with ephedrine, norephedrine or pseudoephedrine as the chiral inductor.[121]

High stereoselectivity is unlikely by the above method without the development of a strategy placing each reactant molecule next to a chiral inductor within a zeolite. This led to the chiral auxiliary method in which the chiral perturber was covalently bound to the reactant. [285, 286, 295, 301, 305, 306, 309, 310] In this approach most cages are expected to contain both the reactant as well as the chiral inductor components (**Figure 27 II**). The diastereomeric excesses (de) obtained within zeolites using the chiral auxiliary method explored in several systems (for selected examples see **Scheme 29**) were far superior to those in solution. Diastereomeric excesses of >50% have routinely been obtained within MY zeolites for systems that yield photoproducts in 1:1 diastereomeric ratio in solution.

Despite high stereoselection during various phototransformations with the chiral auxiliary method, residence of the reactant and its covalently linked chiral inductor in different cages by adopting an extended conformation that could result in <100% de (type B in Figure 27II) is possible. To provide an asymmetric environment to such molecules chirally modified Y zeolites were employed as the reaction media (Figure 27 III). Within (-)-ephedrine modified NaY, the de in the photoproduct from tropolone-2-methylbutyl ether increased from 53% to 90% (**Figure 28**),[285].

In addition to zeolites, inherently chiral cyclodextrins thus eliminating the need for additional chiral inductors for photochemical reactions that have been explored as media both in solution and solid state have produced low to moderate chiral induction. [311-325] A few examples are listed below in **Schemes 30-33**.

VI. Perspectives

Supramolecular photochemistry has its origin in organic solid state photochemistry that has been explored since late 1800.[326] The explosion of the interest in this field during the last forty years is evident from the more than 200 reviews and book chapters in the literature. During this time, from the perspective of photochemists the term supramolecular has included micelles, LB films, surfaces of silica, clay and zeolites, liquid crystals, organic and inorganic host-guest complexes in

solution and solid state and biological assemblies such as proteins, membranes and proteins. Duplicating enzyme chemistry has been the hidden but unaccomplished goal in most cases. Though realized in most examples, selectivity in products comparable to the enzymes in biological systems has been elusive. Making reactions catalytic has been a major challenge. These studies have nevertheless advanced the knowledge on weak interactions and behavior of molecules in confined spaces. The challenge of making these selective reactions catalytic and identifying the most suitable supramolecular assembly for a given goal[180, 218] lies in understanding the dynamics of supramolecular assemblies, especially in aqueous solution.[327-329] The concepts of supramolecular photochemistry have recently found applications in the capture, storage and use of sunlight.[330-333] Realization of the full potential of this technique awaits better understanding of the behavior of supramolecular assemblies on active and inactive solid surfaces.[334-336]

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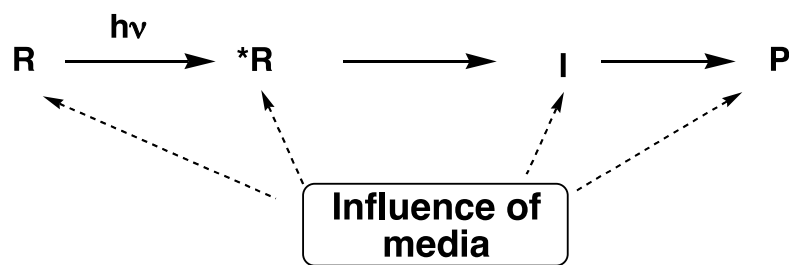
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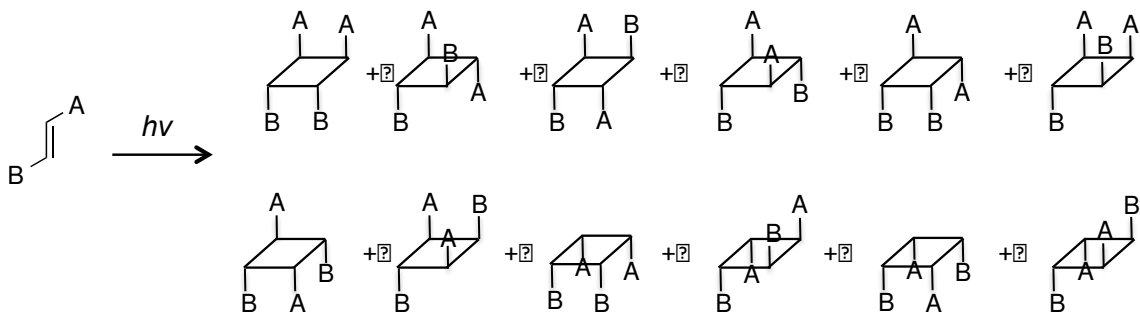
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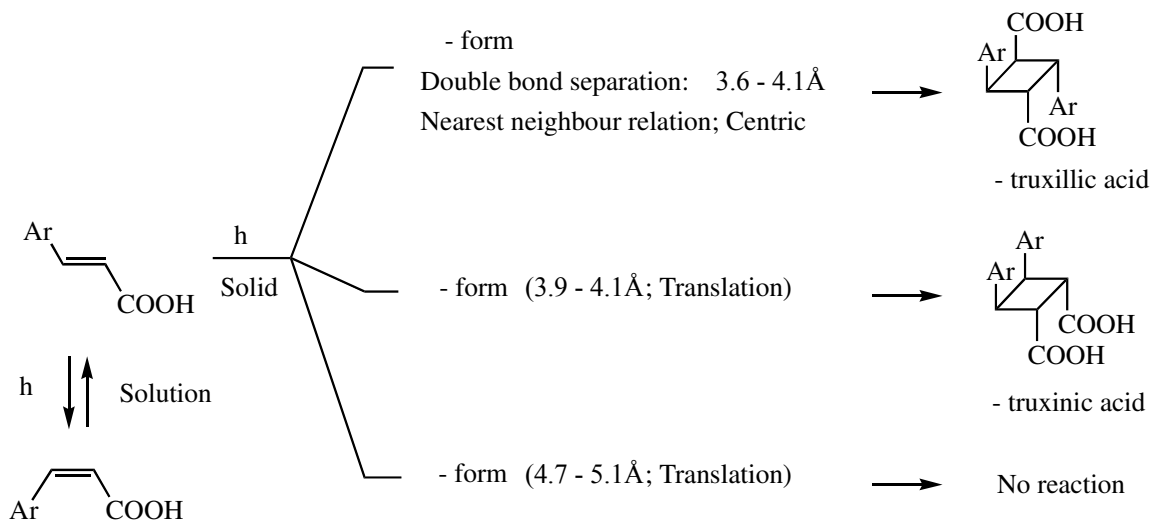
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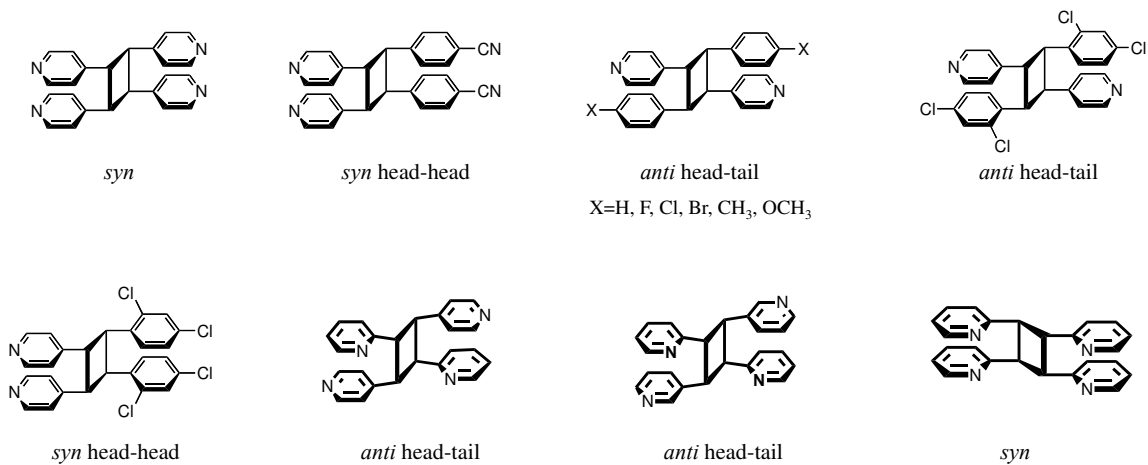
Scheme 1 Influence of media on various stages in a photochemical reaction. R, *R , I and P are reactant in the ground state, in the excited state, reaction intermediate, and product in the ground state respectively.



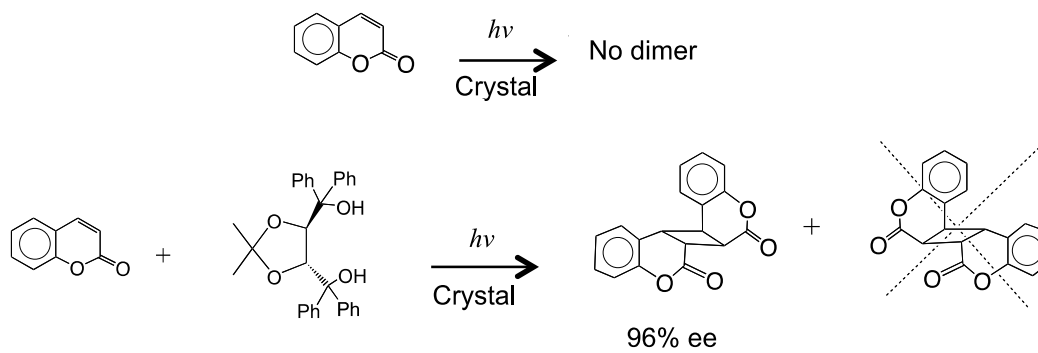
Scheme 2 Isomeric dimers possible from an olefin with two substituents, upon photodimerization.



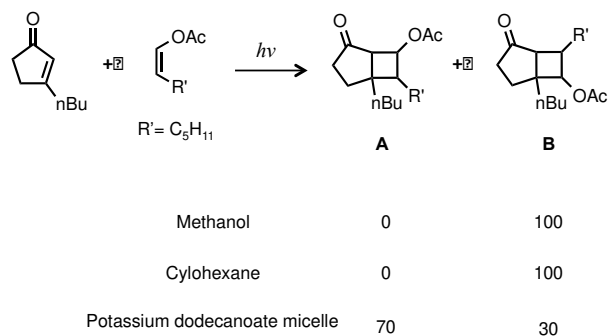
Scheme 3 Photodimerization of *trans*- cinnamic acid upon irradiation in solution and in solid state. The scheme illustrates the topochemical rules formulated by G. M. J. Schmidt.



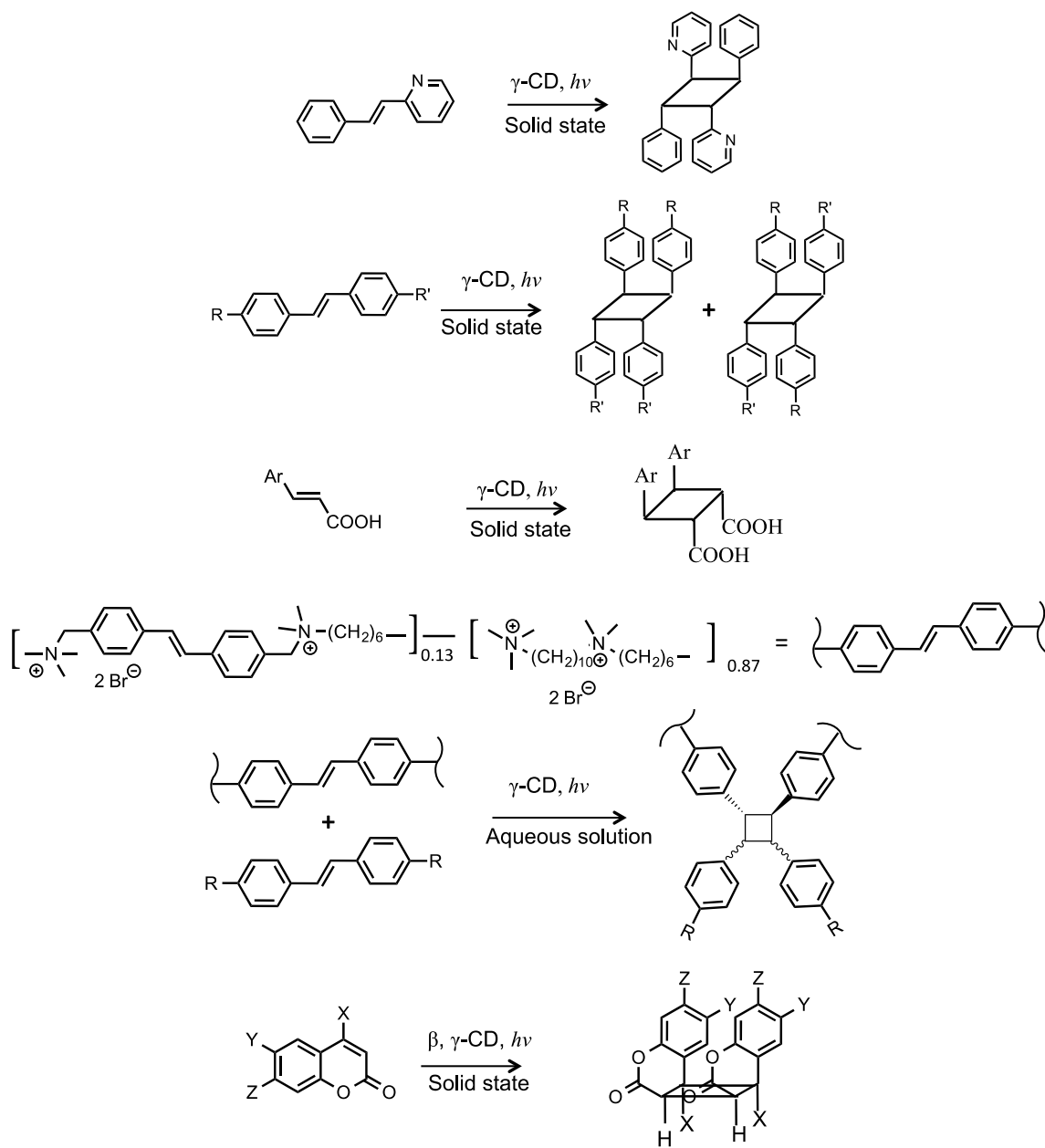
Scheme 4 Various dimers obtained using thiourea as a template to pre-orient stilbazole and bispyridylethylene derivatives.



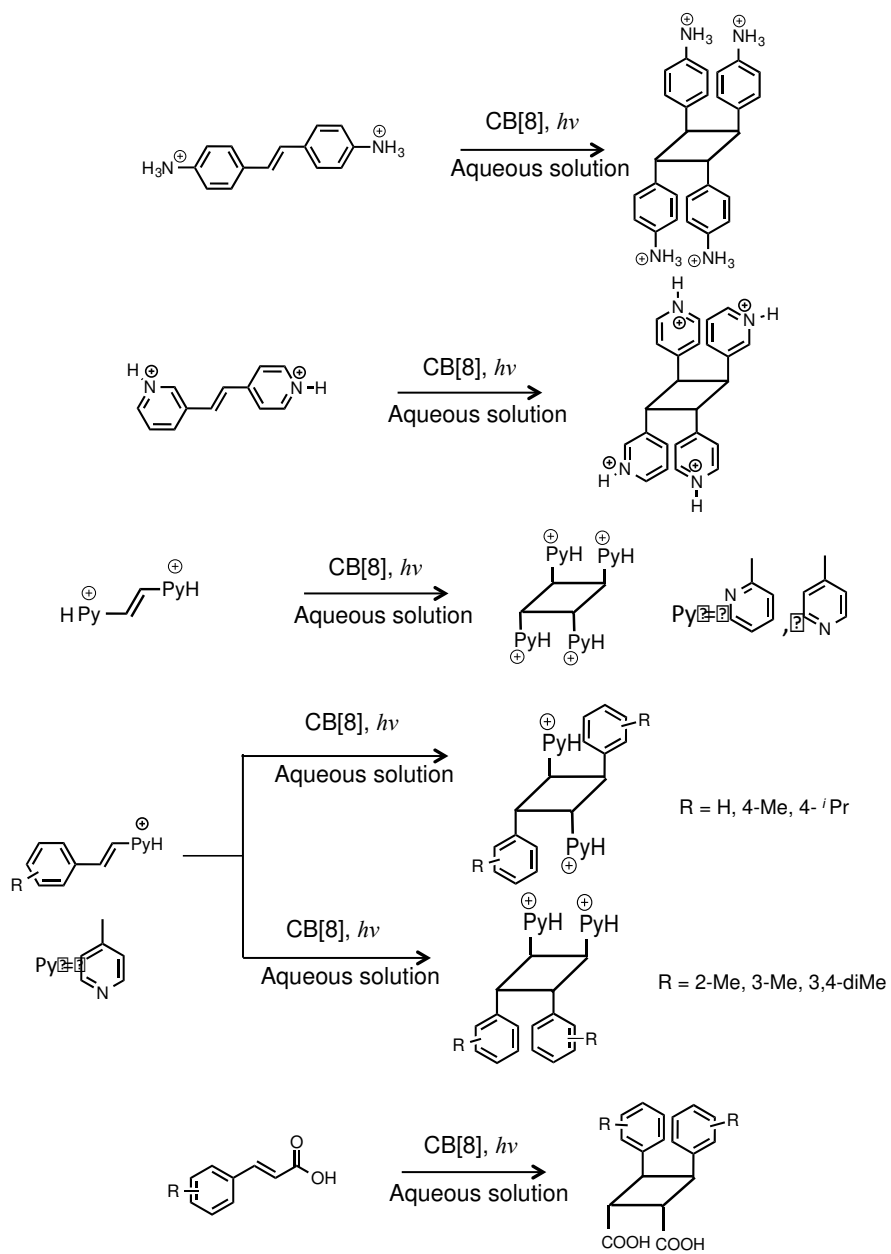
Scheme 5 Top: Coumarin is photostable in the crystalline state. Coumarin yields a single *anti*- head-head dimer when crystallized with an optically active host (template).



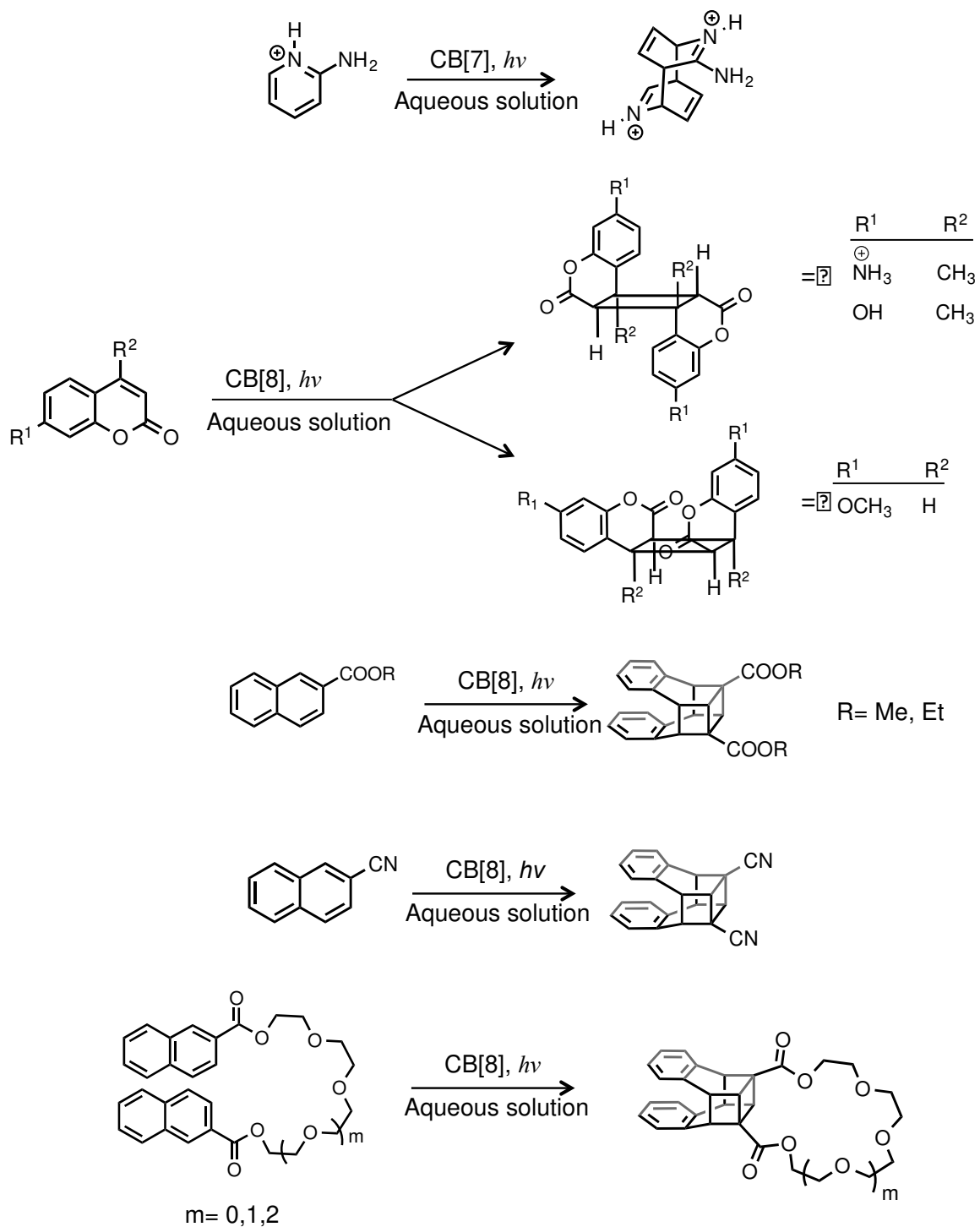
Scheme 6 Photocycloaddition of 3-*n*-butylcyclopentanone with heptenyl acetate in organic solvents and in potassium dodecanoate micelle. Note the ratio of the two dimers change between isotropic solvent and micelles.



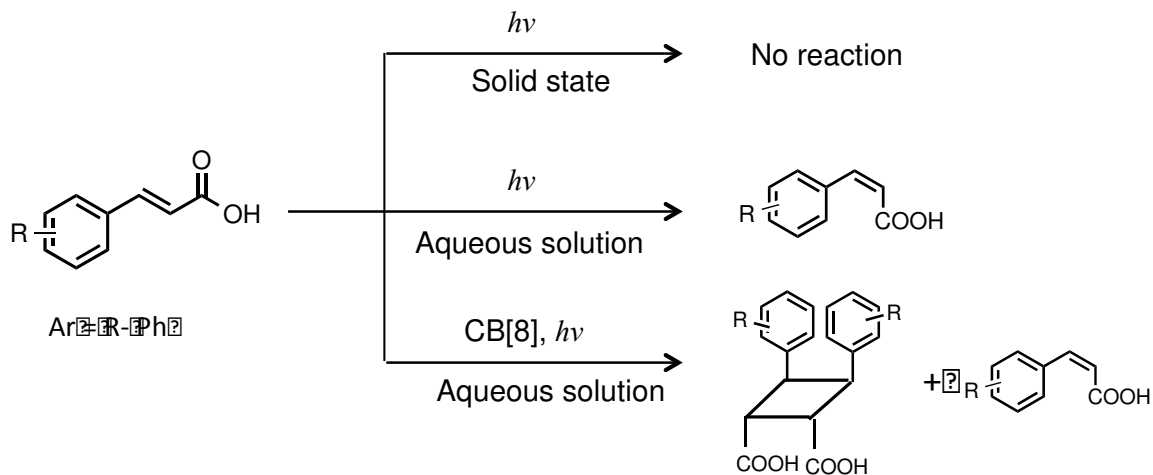
Scheme 7 Selected examples of dimerization of olefins included within cyclodextrin. Note: most studies are in solid state. Cyclodextrin complexes are not very soluble in water.



Scheme 8 Selected examples of dimerization of olefins included within cucurbit[n]uril.

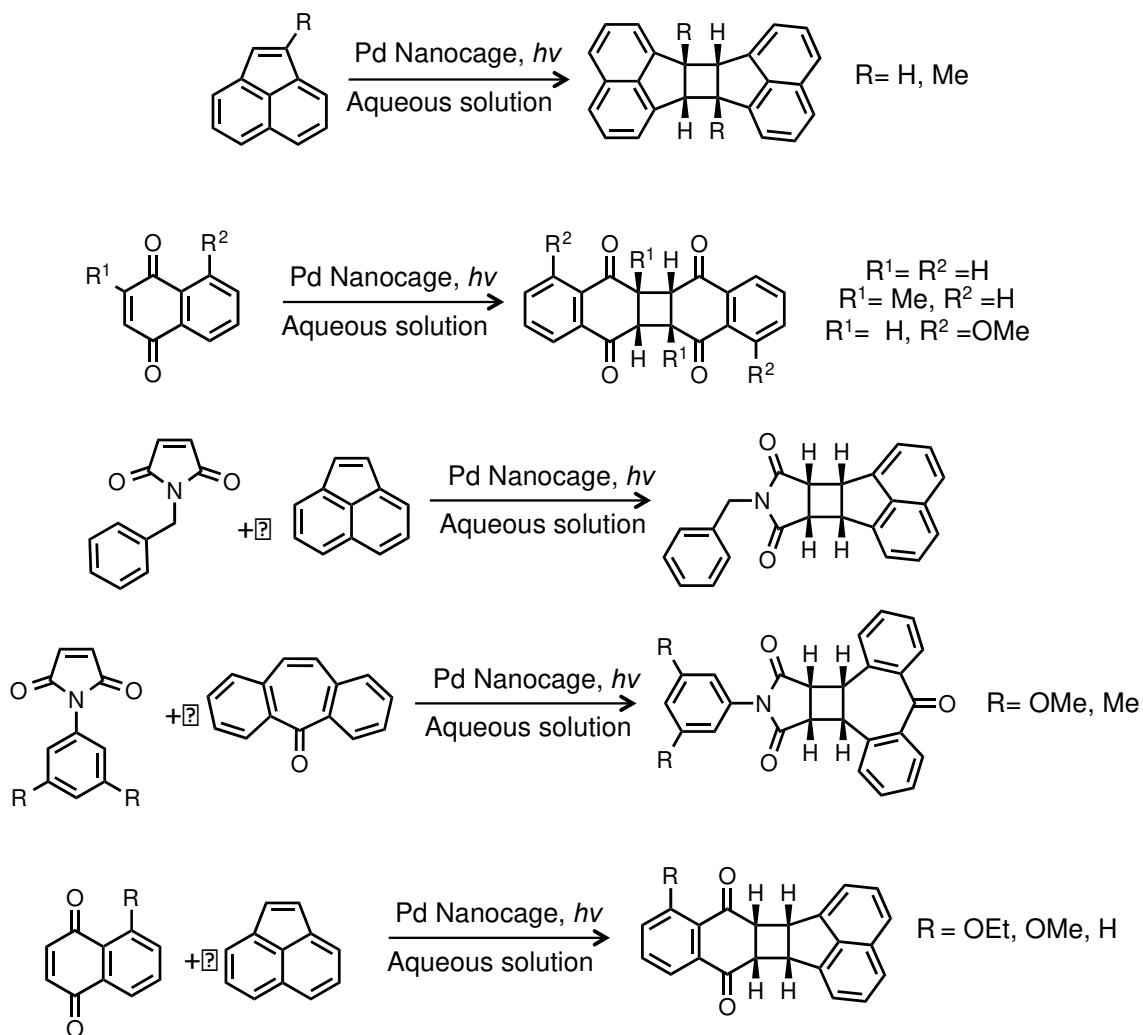


Scheme 9 Selected examples of dimerization of olefins included within cucurbit[n]uril.

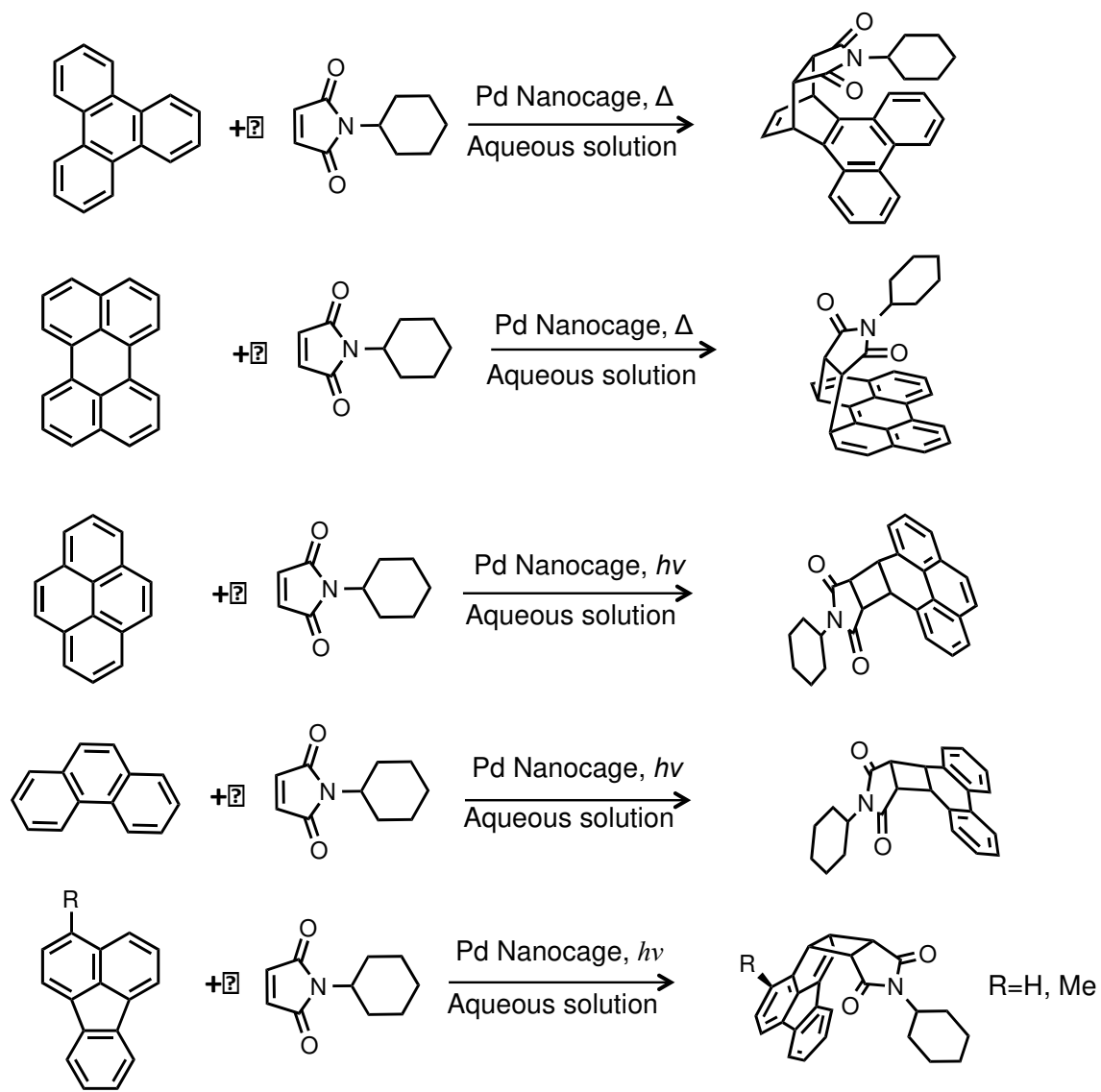


| Ar | % dimer in CB[8] | % of <i>cis</i> isomer |
|------------------------|------------------|------------------------|
| R = 4-OCH ₃ | 72 | 28 |
| R = 3-OCH ₃ | 72 | 28 |
| R = 2-OCH ₃ | 83 | 17 |

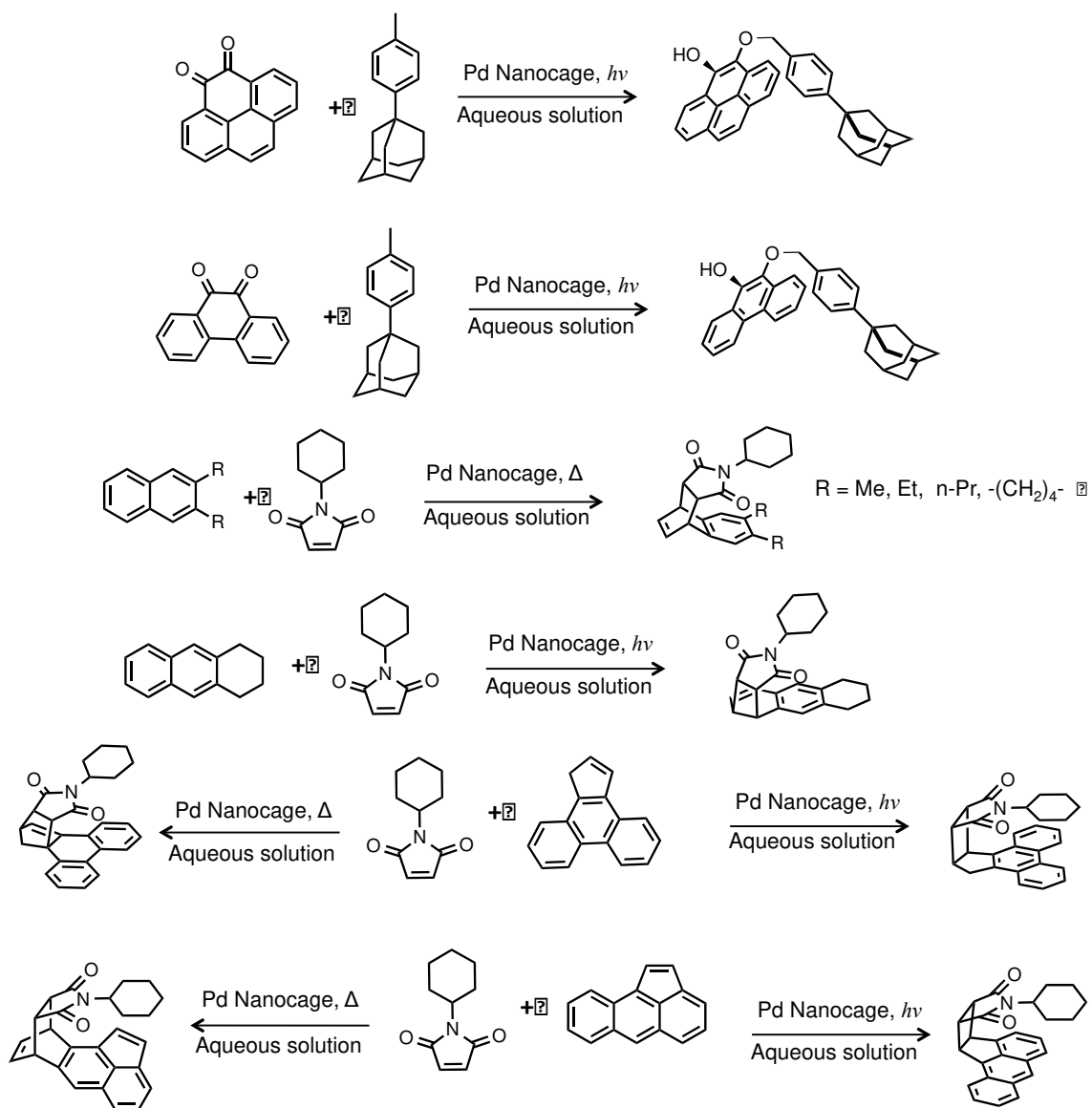
Scheme 10 Photodimerization of cinnamic acids included within cucurbit[8]uril. Yields are reported in relative mole percentage.



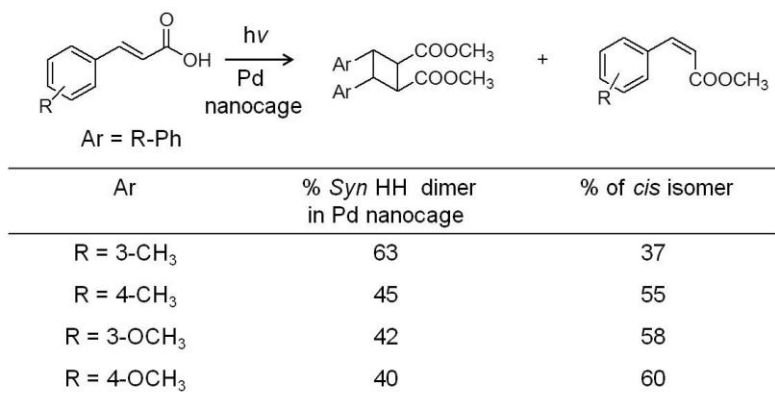
Scheme 11 Examples of photodimerization within Pd nanocage reported by Fujita group.



Scheme 12 Additional examples of photodimerization within Pd nanocage reported by Fujita group.

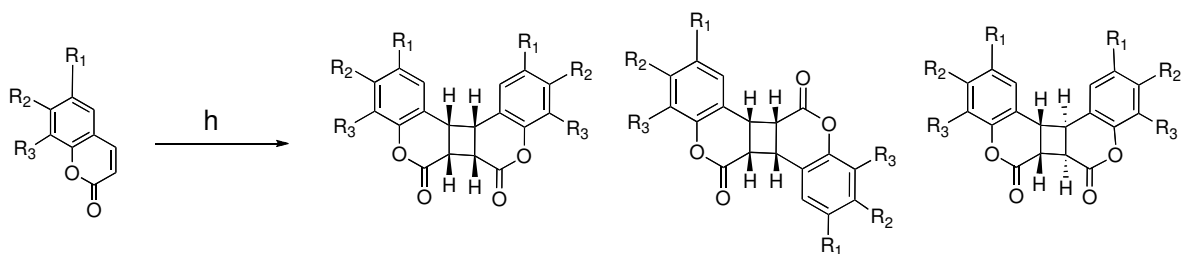


Scheme 13 Further examples of photodimerization within Pd nanocage reported by Fujita group.



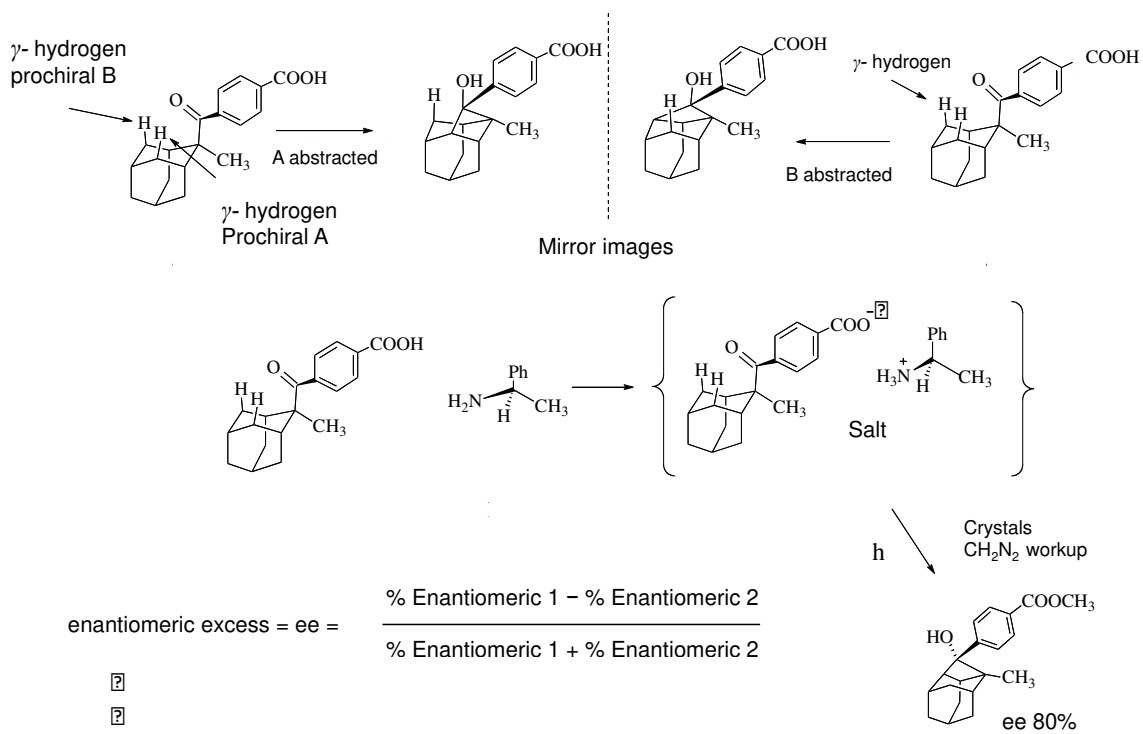
Scheme 14 Photodimerization of cinnamic acid derivatives included within Pd nanocage.

Yields are reported in relative mole percentage.

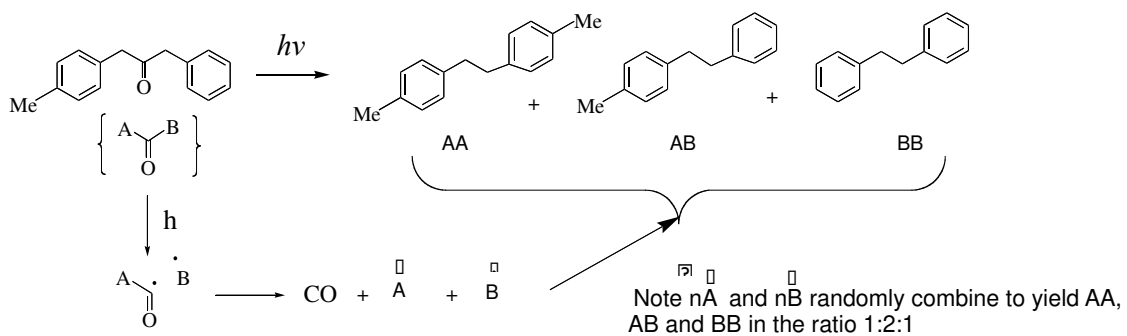


| | | Syn HH | Syn HT | Anti HH |
|---|-------------|---------------|---------------|----------------|
| R₁=R₂=R₃= H | Water | 60 | 40 | - |
| | Pd-nanocage | >90 | - | - |
| R₁ = Me R₂=R₃= H | Water | 15 | - | 85 |
| | Pd-nanocage | >90 | - | - |
| R₂ = OMe R₁=R₃= H | Water | | >90 | - |
| | Pd-nanocage | >90 | - | - |
| R₃ = OMe R₁=R₂= H | Water | Not soluble | | |
| | Pd-nanocage | >90 | - | - |

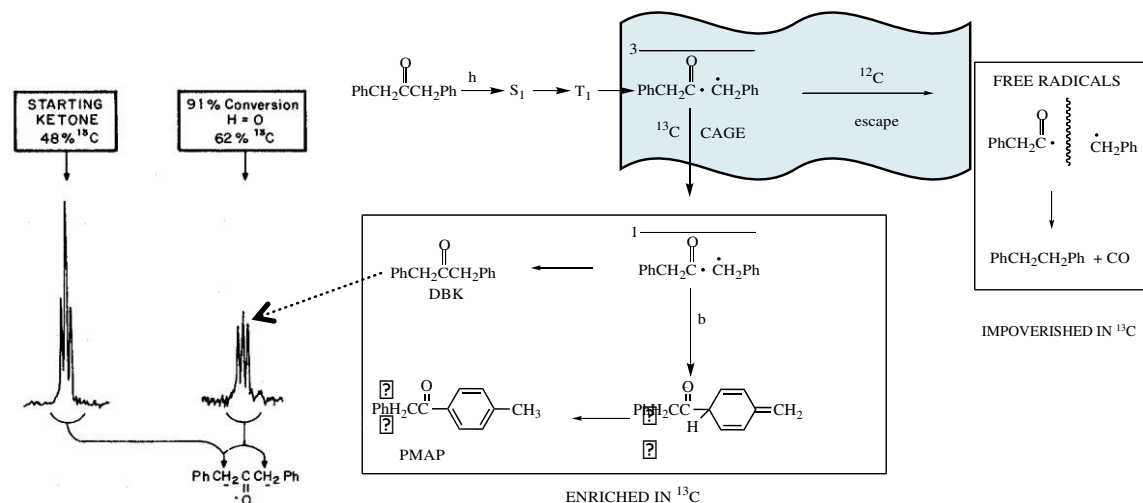
Scheme 15 Photodimerization of coumarin derivatives included within Pd nanocage.



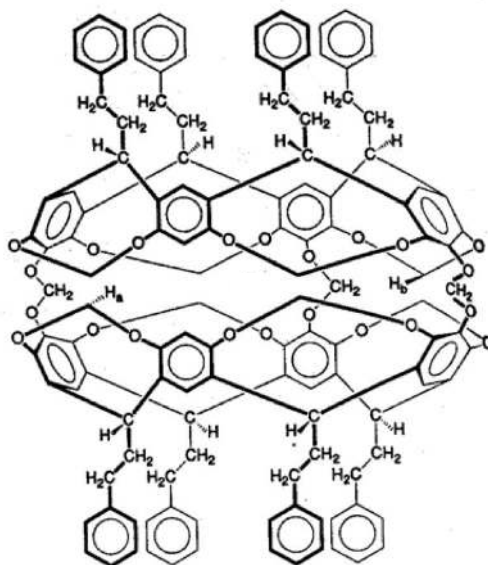
Scheme 16 Intramolecular γ -hydrogen abstraction reaction in ketones to yield enantiomeric cyclobutanols. Depending on which pro-chiral hydrogen is abstracted different enantiomer is obtained.



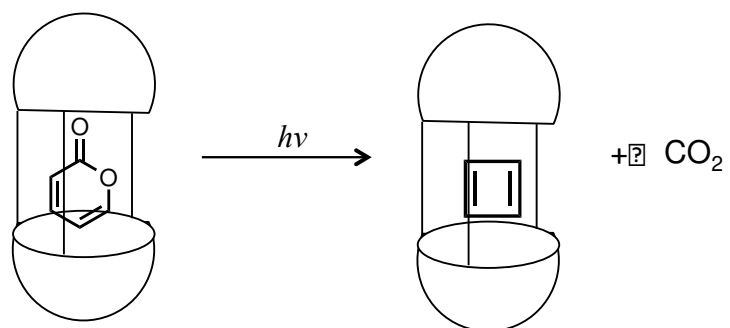
Scheme 17 Photolysis of 3-(4-methylphenyl)-1-phenylacetone (MeDBK) in isotropic solvent results in a 1:2:1 mixture of three products AA, BB, and AB.



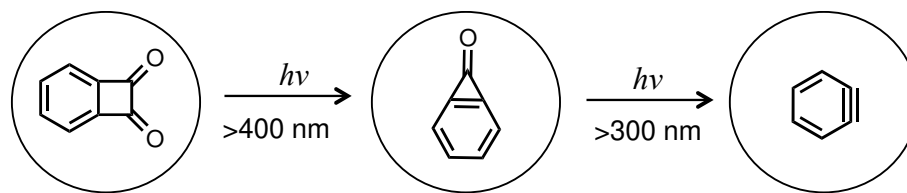
Scheme 18 Isotope enrichment observed during the photolysis of DBK due to cage effect provided by an isotropic solvent and micelle. A mixture of ^{12}C and ^{13}C enriched (C=O) DBK was irradiated in a micelle and the enrichment in the recovered DBK was followed by ^{13}C NMR.



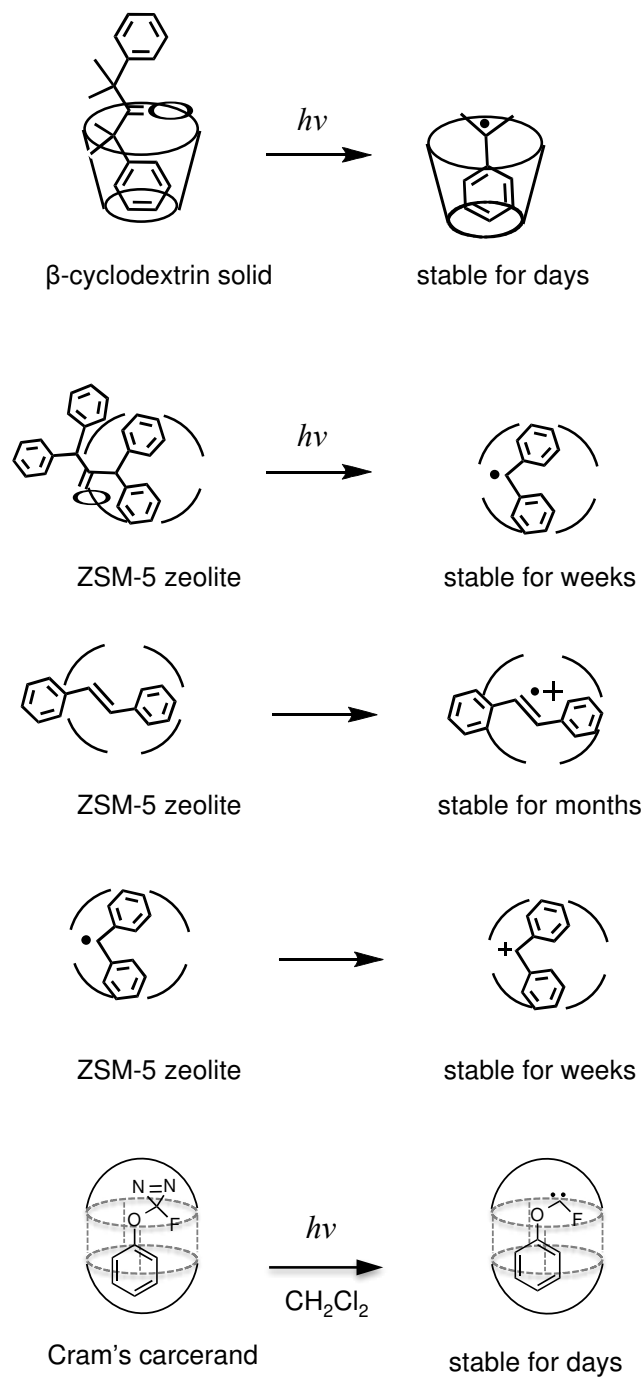
Scheme 19 Structure of a hemicarcerand used to store reactive molecules and intermediate. Note unlike cyclodextrin this host is closed at both (top and bottom) ends.



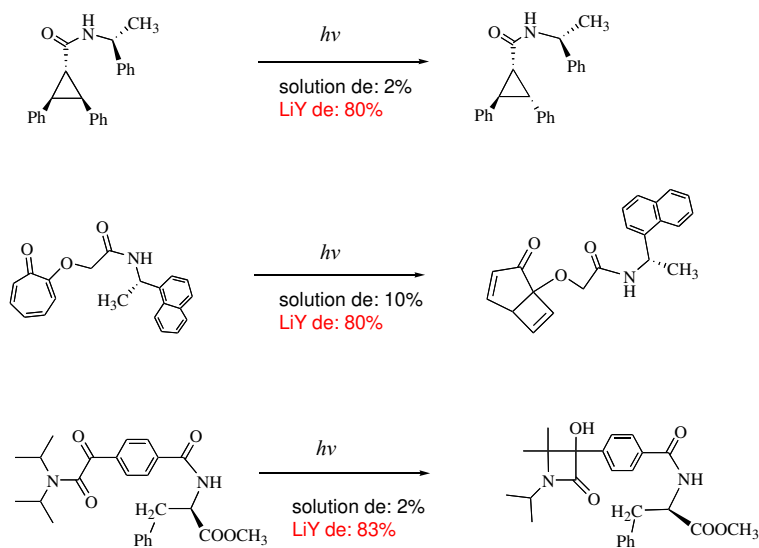
Scheme 20 α -Pyrone trapped in a hemicarcerand generates cyclobutadiene upon irradiation.



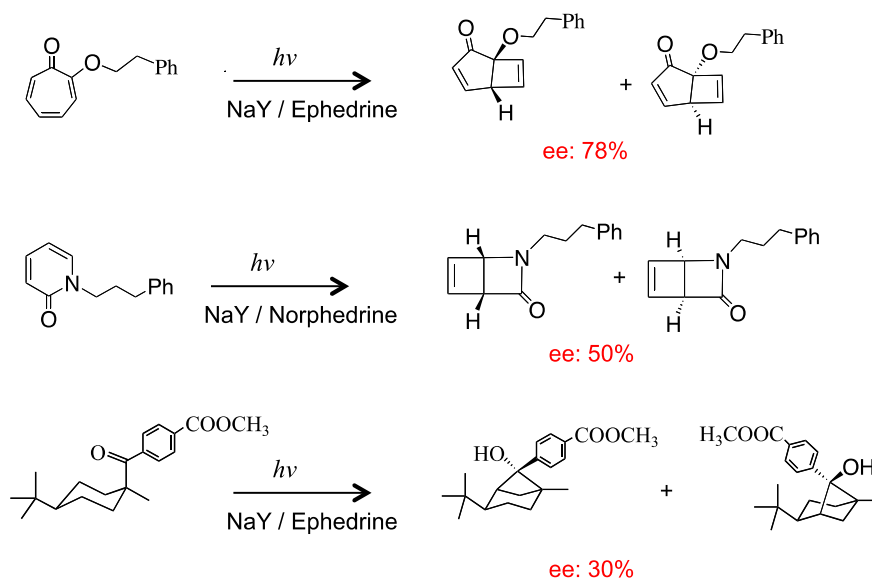
Scheme 21 Photolysis (>400 nm) of benzocyclobutenedione trapped in a hemicarcerand generates benzocyclopropanone and upon further irradiation (>300 nm) of benzocyclopropanone yields benzyne.



Scheme 22 Normally short lived (μs) radicals, cation radicals, cations and carbenes in solution at ambient temperature upon generation within cyclodextrin, zeolite or carcerand have lifetime of days, weeks or even months.

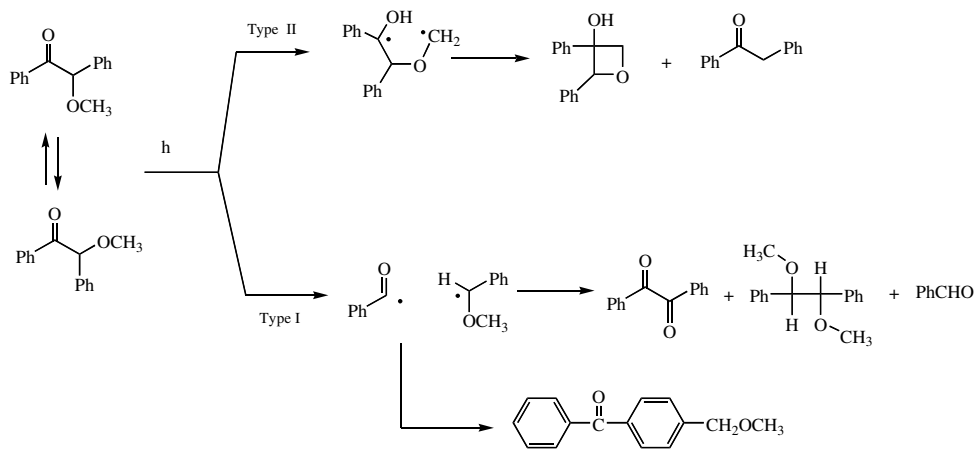


Scheme 23 Chiral induction in a photochemical reaction is achieved within an active reaction cavity of a zeolite. Interaction between the reactant and the zeolite cation plays an important role in the chiral induction. The chiral inductor is covalently linked to the reactant.

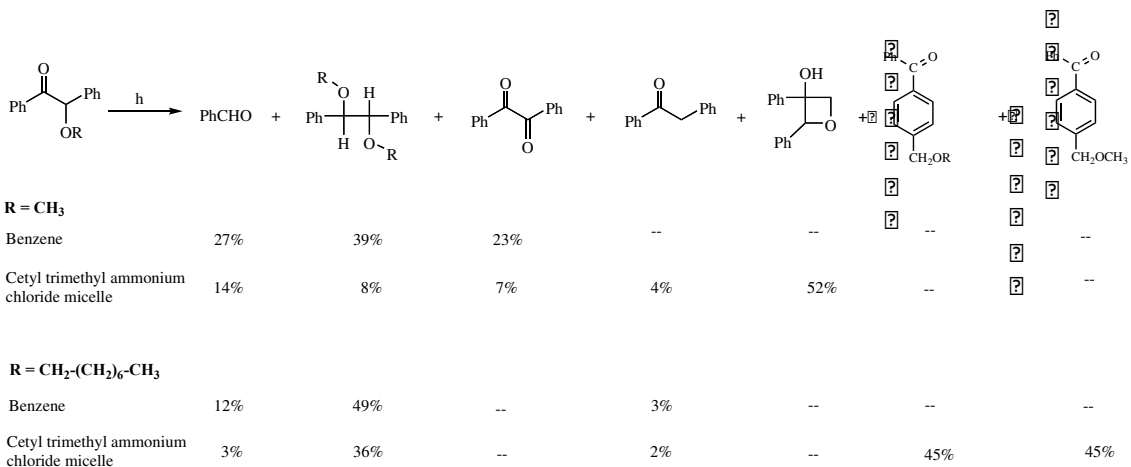


In solution the same chiral indicator has no effect

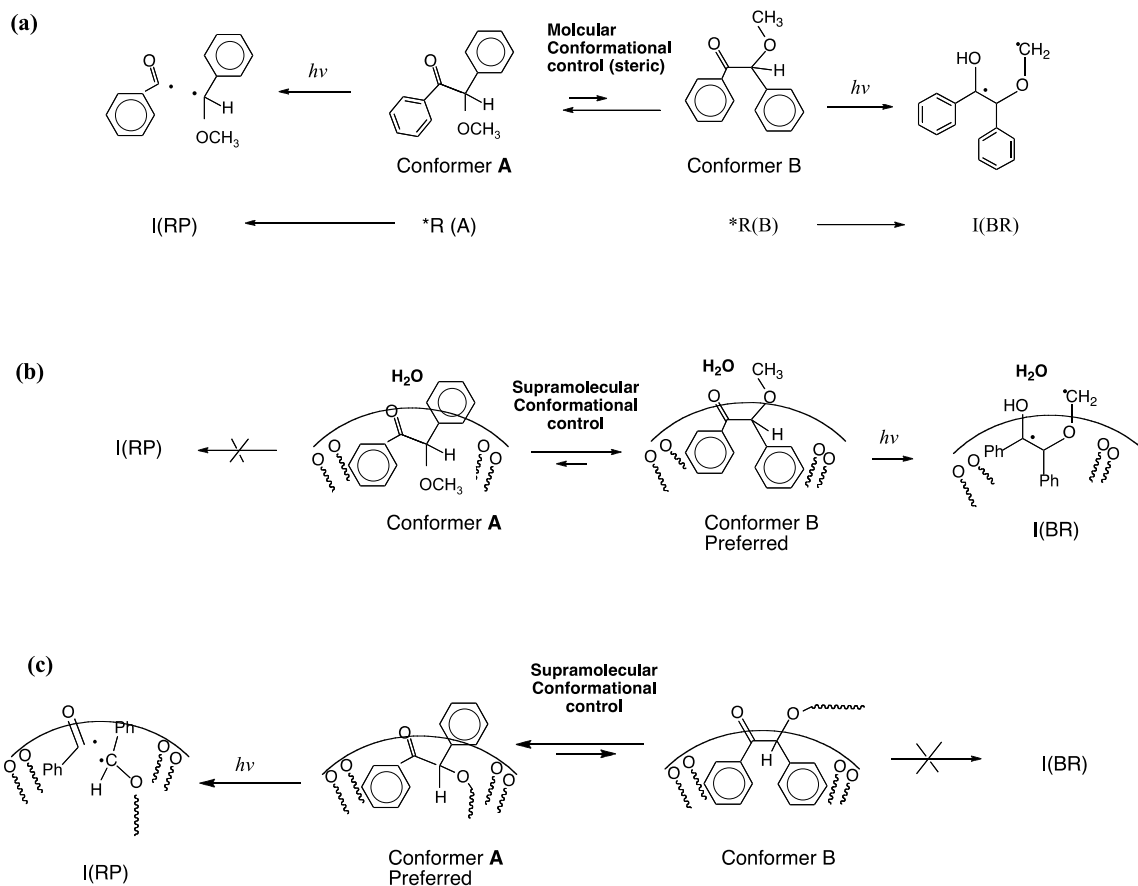
Scheme 24 Chiral induction in a photochemical reaction is achieved within an active reaction cavity of a zeolite. Interaction between the reactant and the zeolite cation plays an important role in the chiral induction. The chiral inductor is present as an independent molecule.



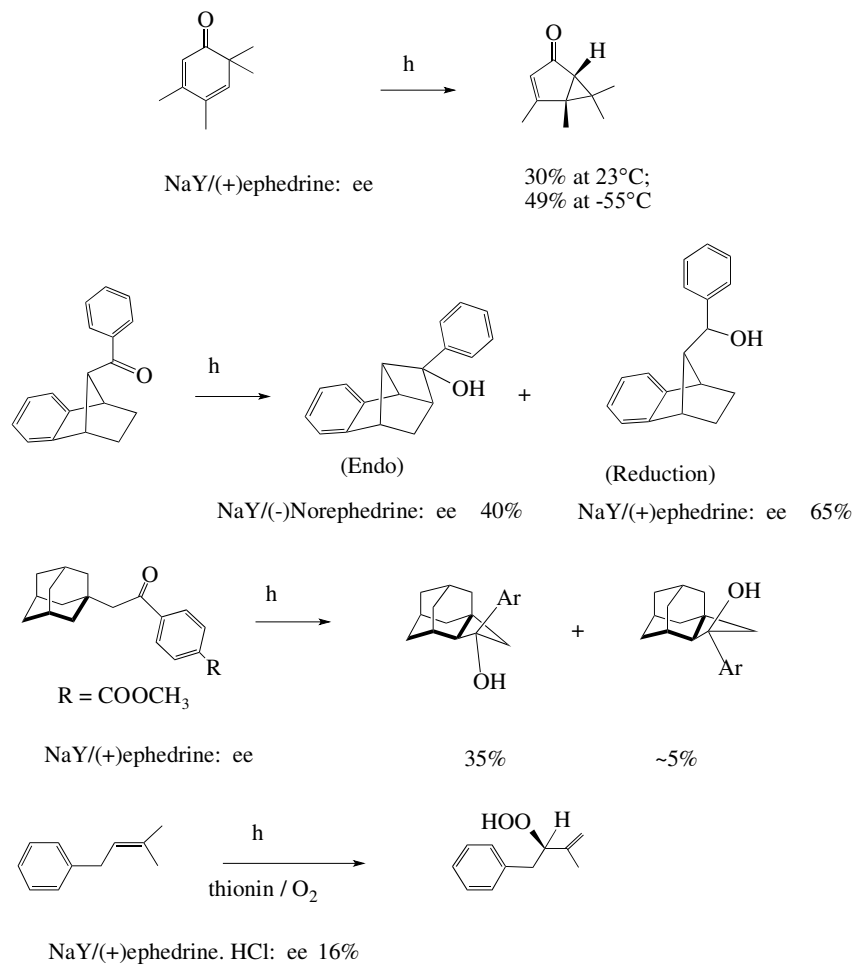
Scheme 25 Type I and Type II photoreaction of benzoin alkyl ethers.



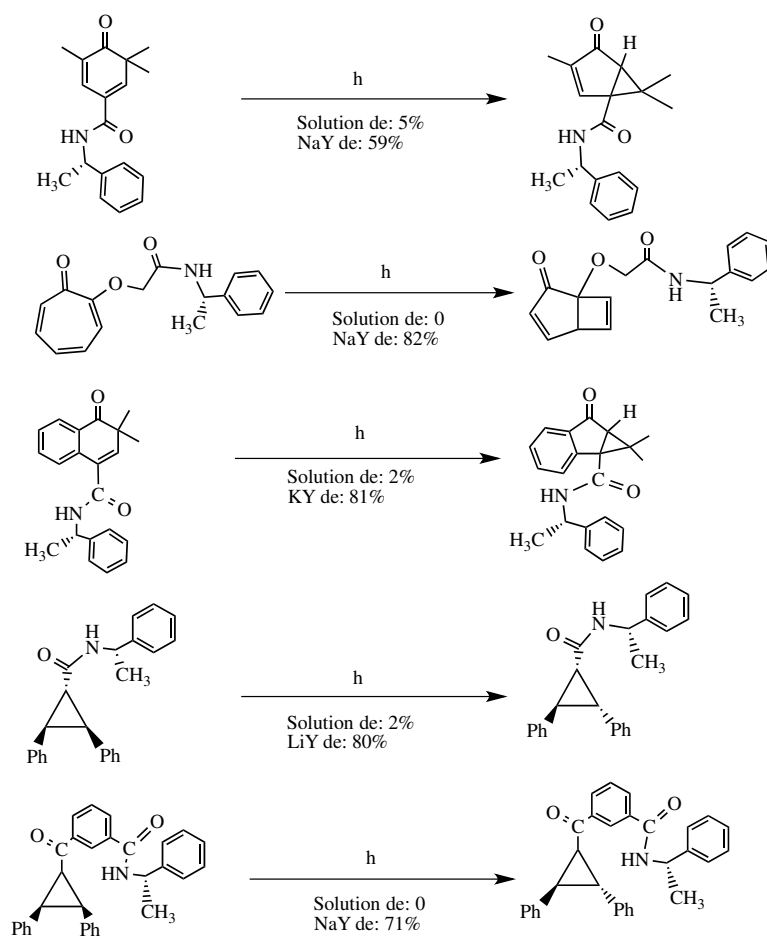
Scheme 26 Product distribution upon irradiation of benzoin methyl ether and benzoin octyl ether in benzene and CTAB micelle.



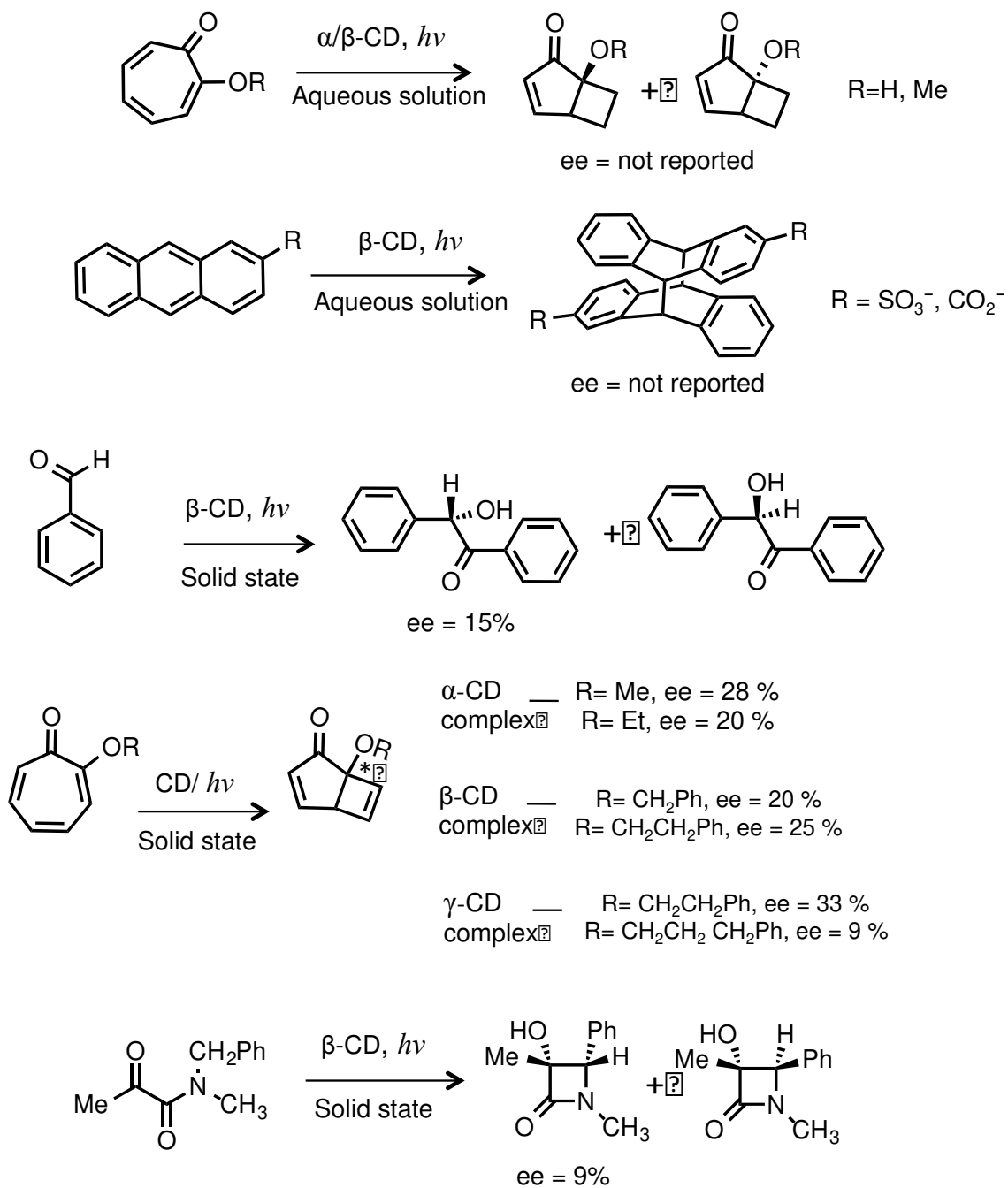
Scheme 27 Preferred orientation of benzoin methyl ether and benzoin octyl ether at micelle-water interface. Benzoin alkyl ether can exist in two conformations; depending on the chain length only one is preferred at the interface.



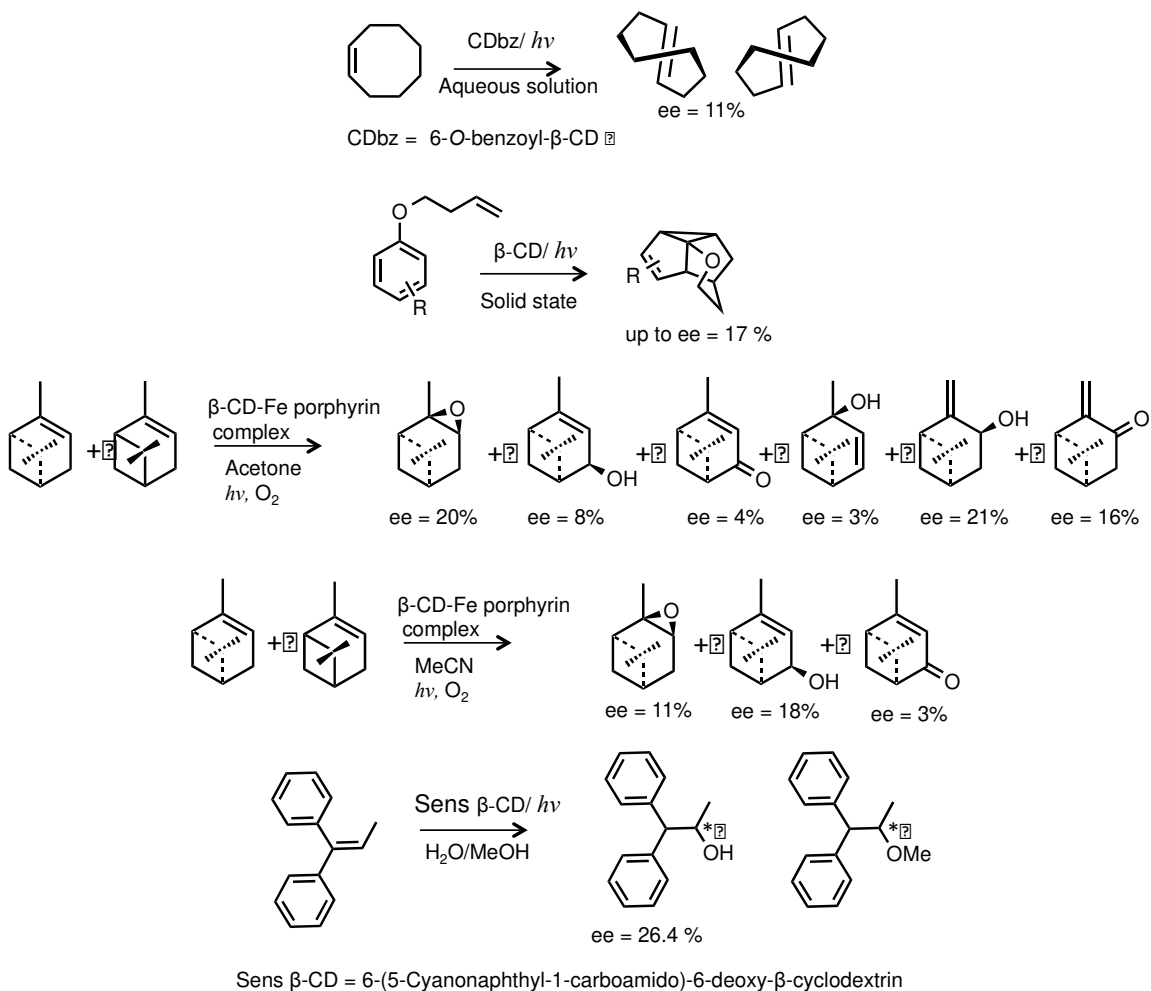
Scheme 28 Selected examples of asymmetric photoreactions in zeolites. Chiral induction is brought forth by co-inclusion of a chiral inductor with reactant molecules.



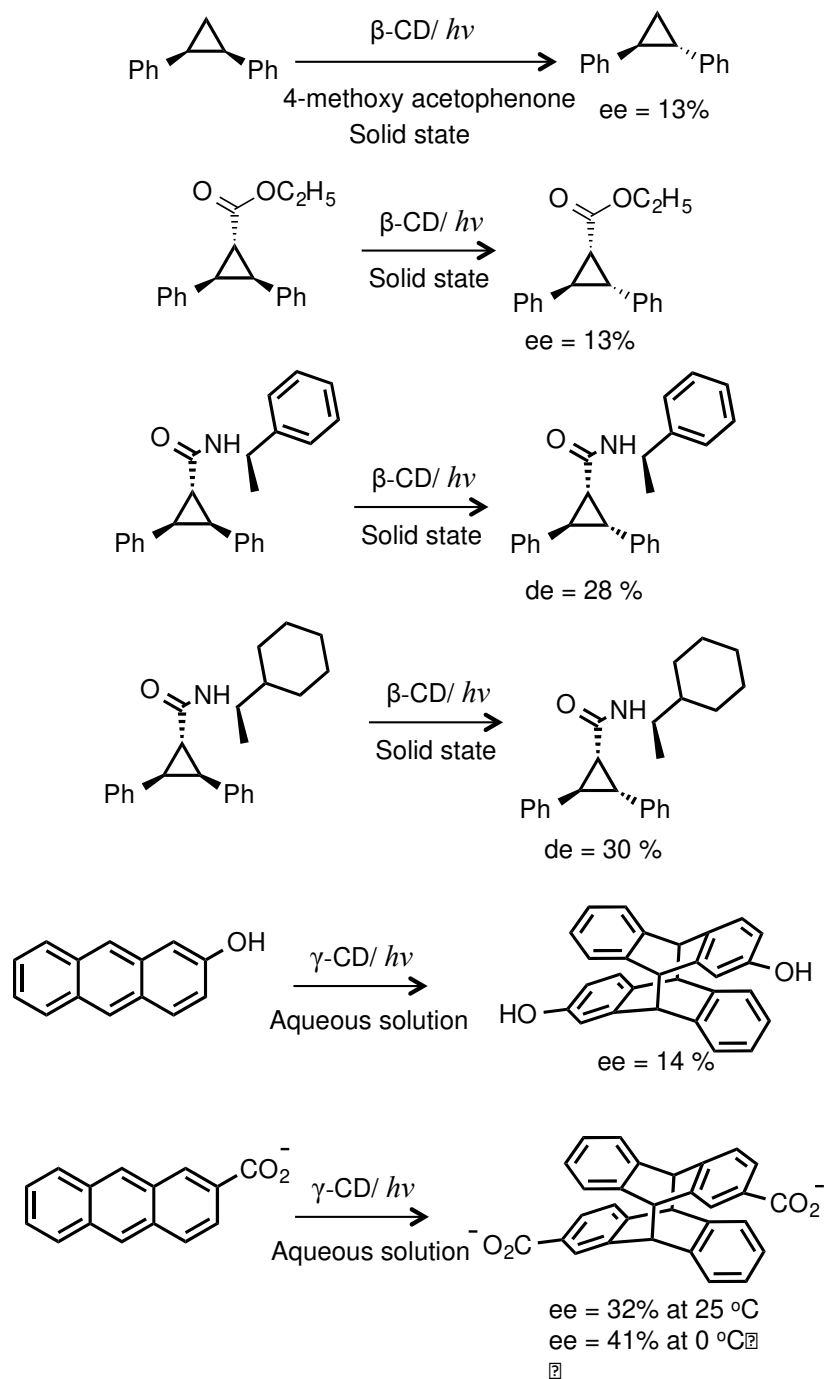
Scheme 29. Selected examples of asymmetric photoreactions in zeolites. Chiral induction is brought forth by chiral auxiliary covalently linked to the reactant molecules. Enhanced diastereomeric excess is obtained within a zeolite.



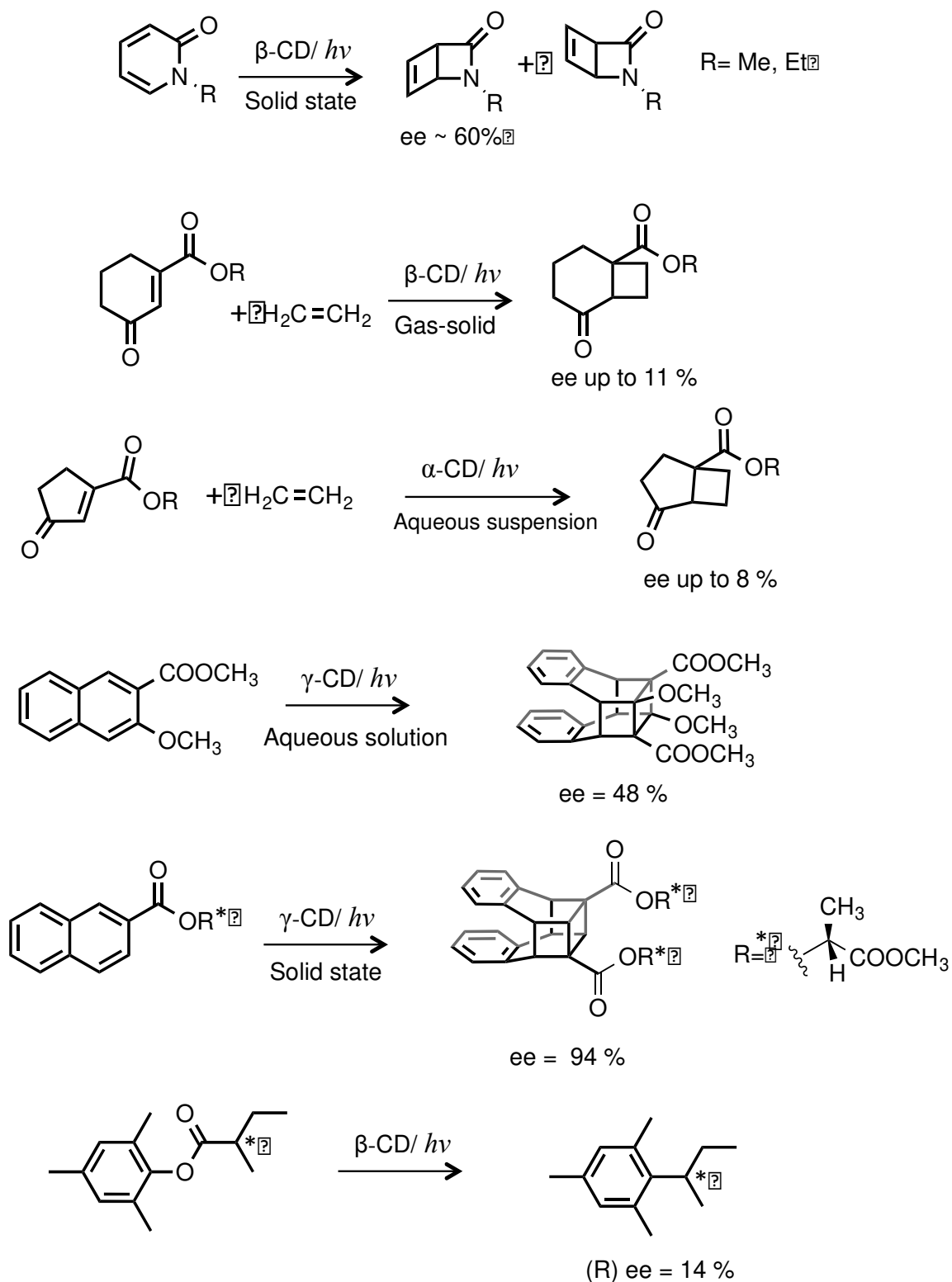
Scheme 30 Selected examples of cyclodextrins used as chiral inductor for photochemical reactions.



Scheme 31 Selected examples of cyclodextrins used as chiral inductor for photochemical reactions.



Scheme 32 Selected examples of cyclodextrins used as chiral inductor for photochemical reactions.



Scheme 33 Selected examples of cyclodextrins used as chiral inducer for photochemical reactions.

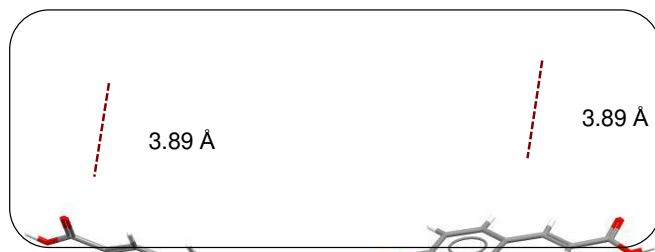


Figure 1 β -Type packing arrangement of *trans* 4-bromo cinnamic acid. Note the two molecules are related by mirror symmetry and the reactive double bonds are within 4 Å and parallel to each other.

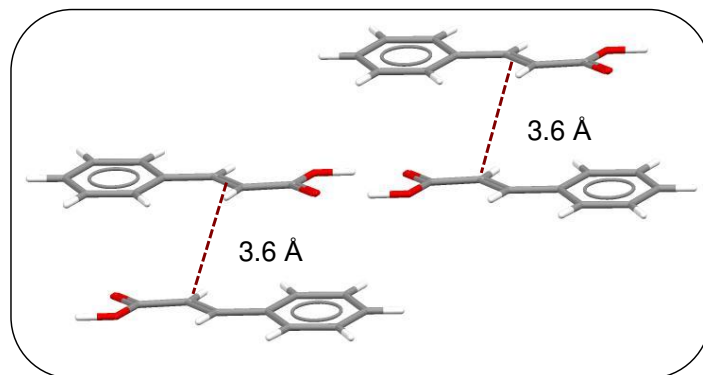


Figure 2 α -Type packing arrangement of *trans* cinnamic acid in crystals. Note the two molecules are related by centrosymmetry and the reactive double bonds are within 4 Å and parallel to each other.

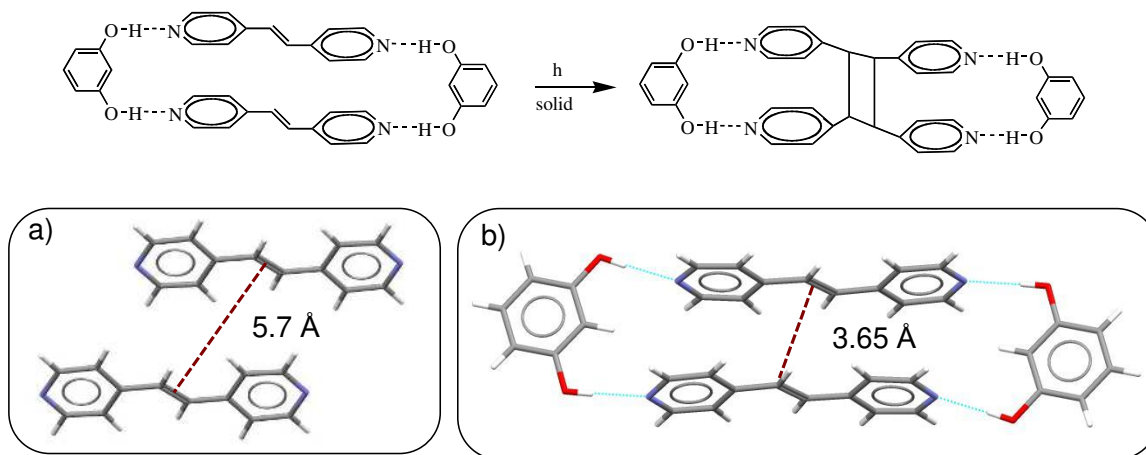


Figure 3 Top: Irradiation of a mixture of 1,3-dihydroxybenzene and *trans*-1,2-bis(4-pyridyl)ethylene in crystalline state results in a single photodimer. Bottom: Crystal packing of (a) *trans*-1,2-bis(4-pyridyl)ethylene and (b) co-crystal of 1,3-dihydroxybenzene with *trans*-1,2-bis(4-pyridyl)ethylene. Note: In the absence of the templating agent 1,3-dihydroxybenzene the reactive double bonds are separated by $\sim 5.7\text{\AA}$.

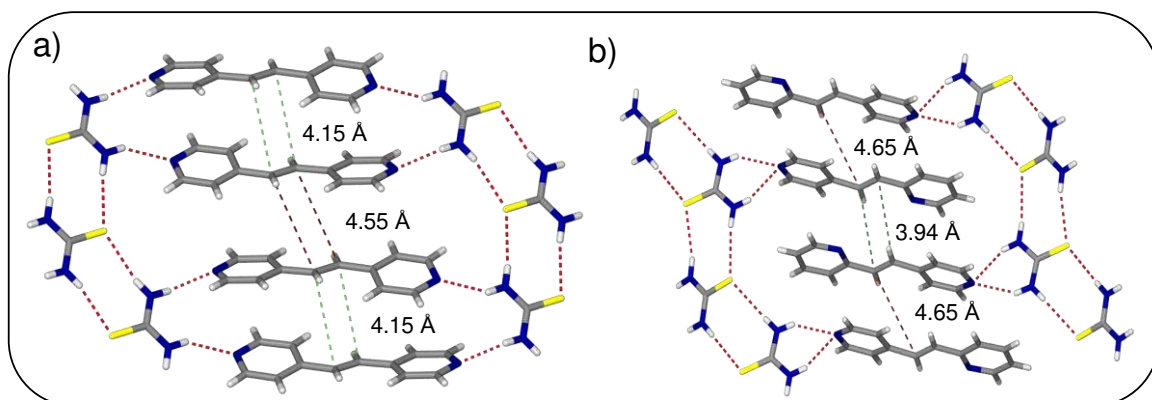


Figure 4 Crystal packing of (a) 1:1 co-crystal of thiourea with *trans*-1, 2 bis (4-pyridyl)ethylene and (b) 2:1 co-crystal of thiourea with *trans*-1-(2-pyridyl)-2-(4-pyridyl)ethylene.

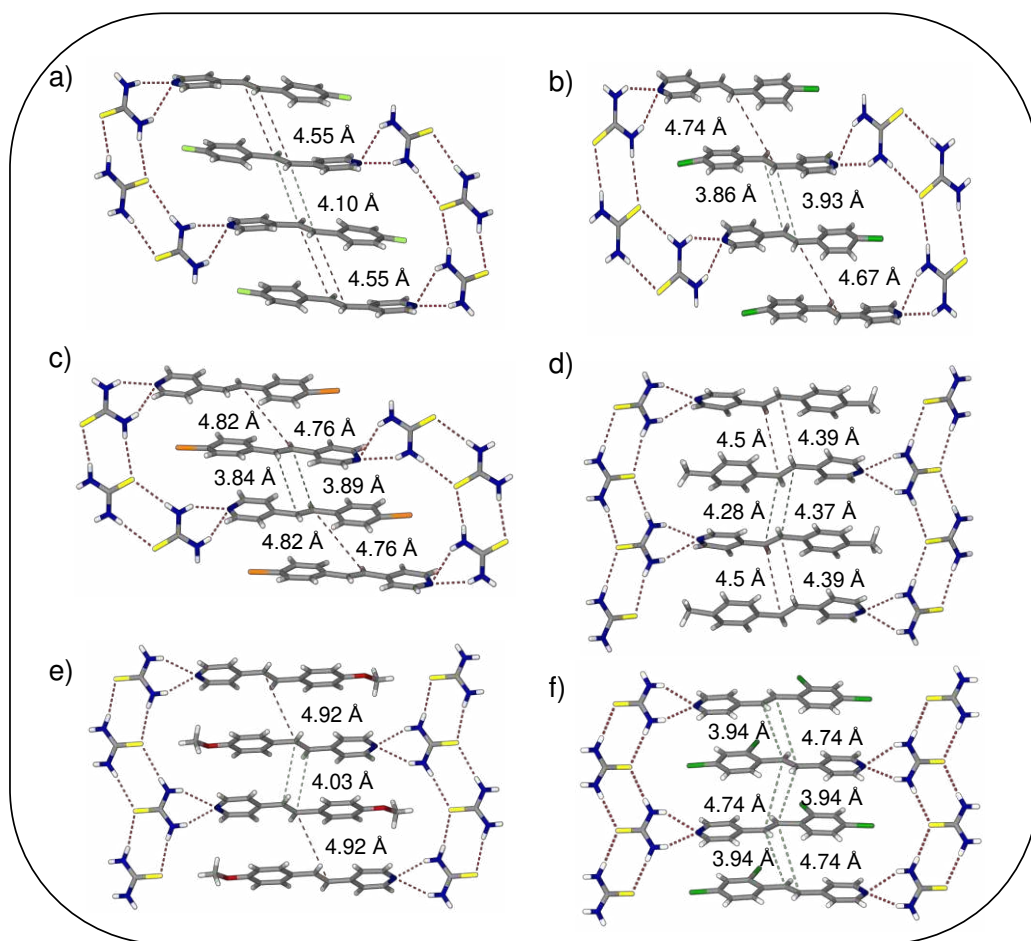


Figure 5 Crystal packing of co-crystals of thiourea with (a) *trans*-4-fluoro stilbazole, (b) *trans*-4-chloro stilbazole (c) *trans*-4-bromo stilbazole, (d) *trans*-4-methyl stilbazole, (e) *trans*-4-methoxy stilbazole, and (f) *trans*-2,4-dichloro stilbazole

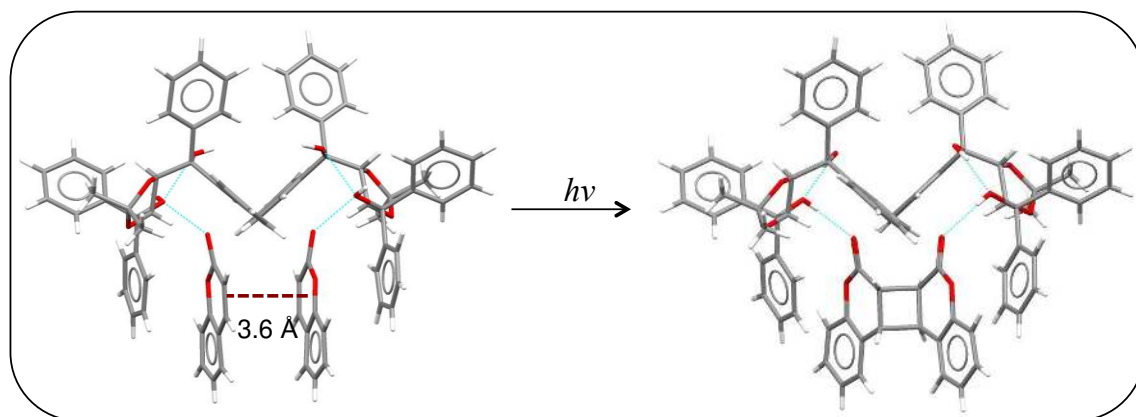


Figure 6 Packing arrangement of coumarin and the host shown in **Scheme 5**. Coumarin is oriented within reactive distance by optically active host and yields a single optically active dimer upon irradiation.

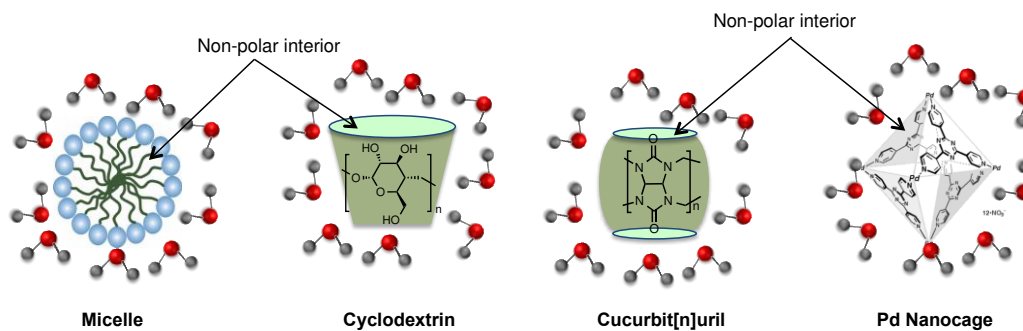


Figure 7 Cartoon representation of structures of micelle, cyclodextrin, cucurbituril and Pd nanocage in water. In these structures water molecules surround the hosts.

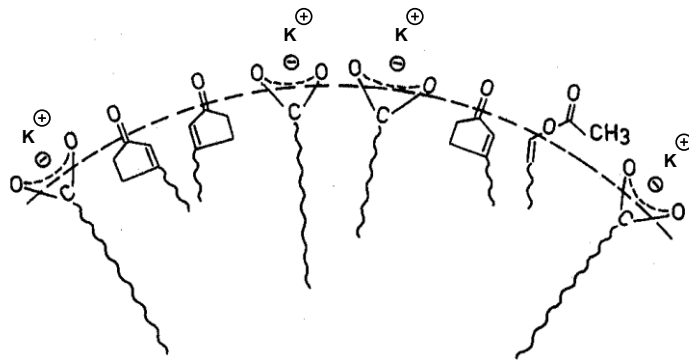
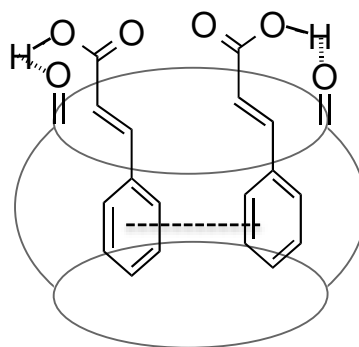


Figure 8 Orientation of 3-*n*-butylcyclopentanone and heptyl acetate at the micellar-water interface. Polar head group face water while non-polar alkyl chains stay within the micellar core.



π - π interaction

Figure 9 Head-head alignment of cinnamic acids in cucurbit[8]uril facilitated by π — π , and hydrogen bonding.

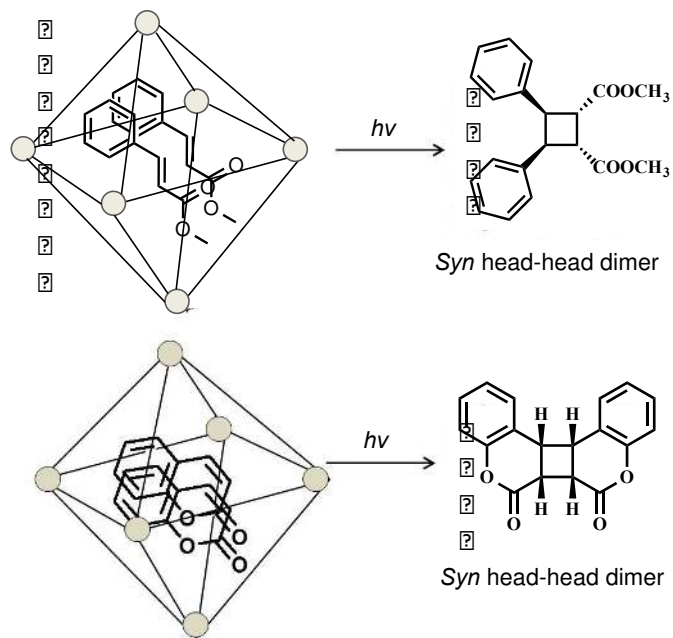


Figure 10. Cartoon representation of alignment of cinnamic acid and coumarin derivatives included in Pd nanocage. Cage-water interface is believed to facilitate the orientation presented in the figure.

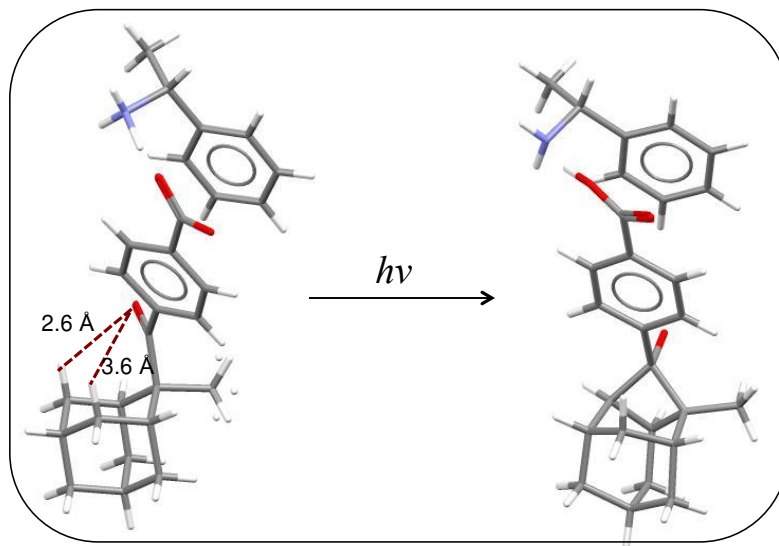


Figure 11 X-ray crystal structure of ketone-carboxylic acid-chiral amine salt shows that one prochiral hydrogen is closer than the other to the carbonyl chromophore.

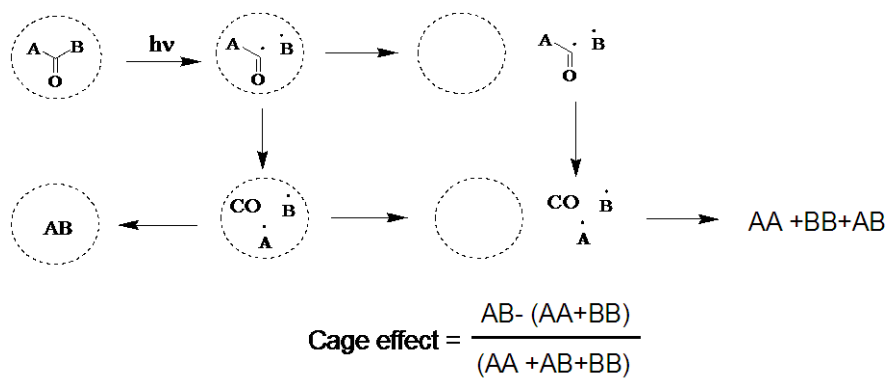


Figure 12 Photolysis of DBK like molecules in a confined space. Cage effect defined.

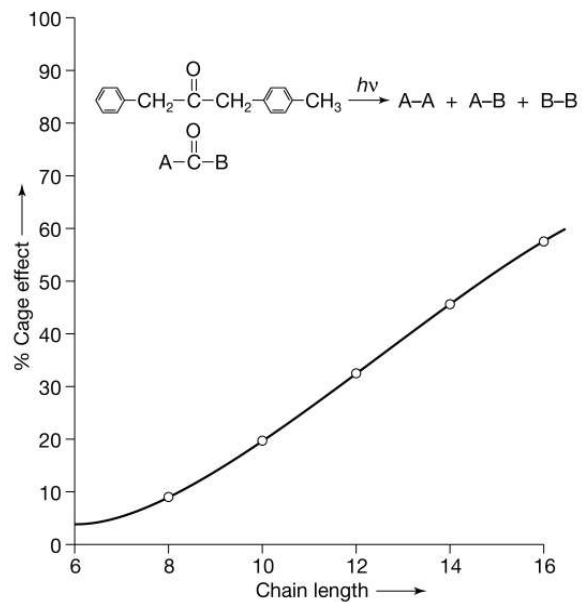


Figure 13 A plot of % cage effect vs the chain length of the alkanolic acid salt that forms the micelle. The cage effect depends on the size of the micelle.

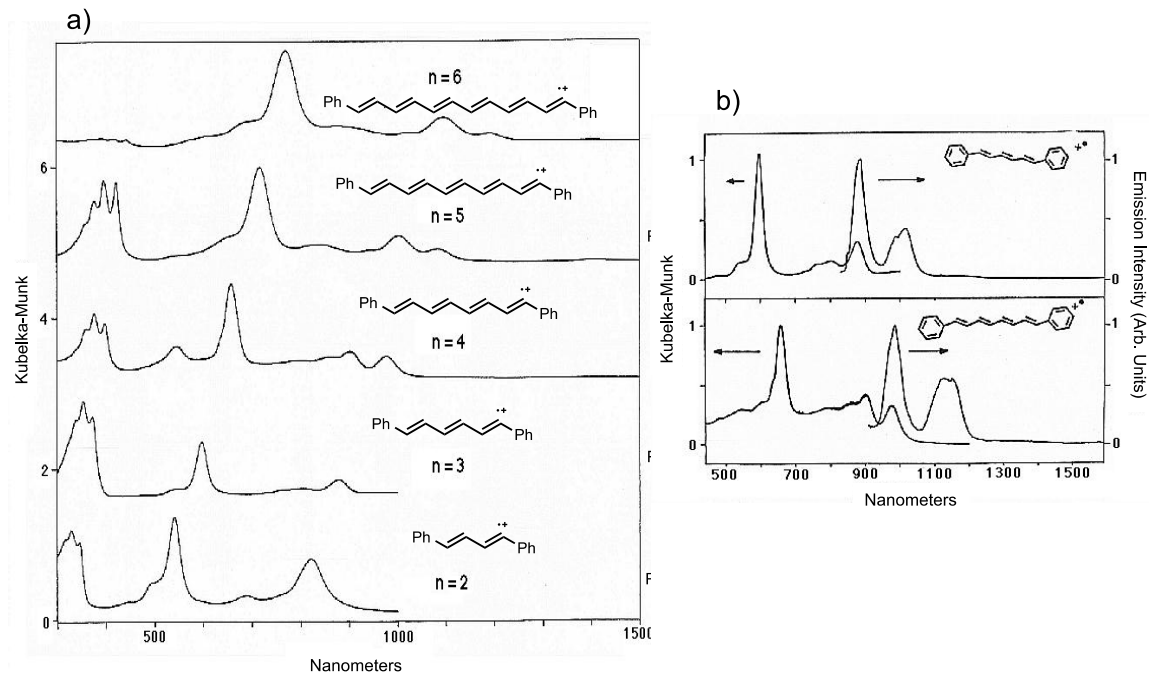


Figure 14 1, n -Diphenyl alkene cation radicals, spontaneously generated in the channels of ZSM-5 have a long lifetime. Absorption and emission spectra could be recorded under ambient condition. (a) Absorption and (b) excitation and emission spectra of a few radical cations trapped in ZSM-5 zeolites are presented.

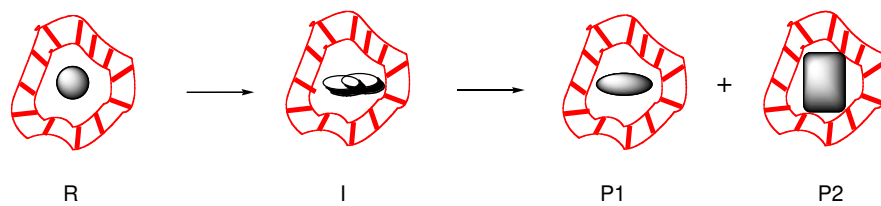


Figure 15 Cartoon representation of a chemical reaction that proceeds in a series of steps (reactant-intermediate-product). Note: two products formed have different size and shape. The surrounding reaction cavity is represented in red and it does not change with the reaction.

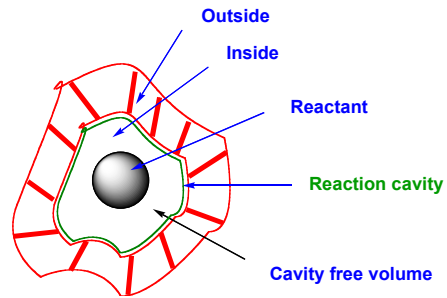


Figure 16 Cartoon representation of reaction cavity showing reactant, cavity free volume (void space surrounding reactant).

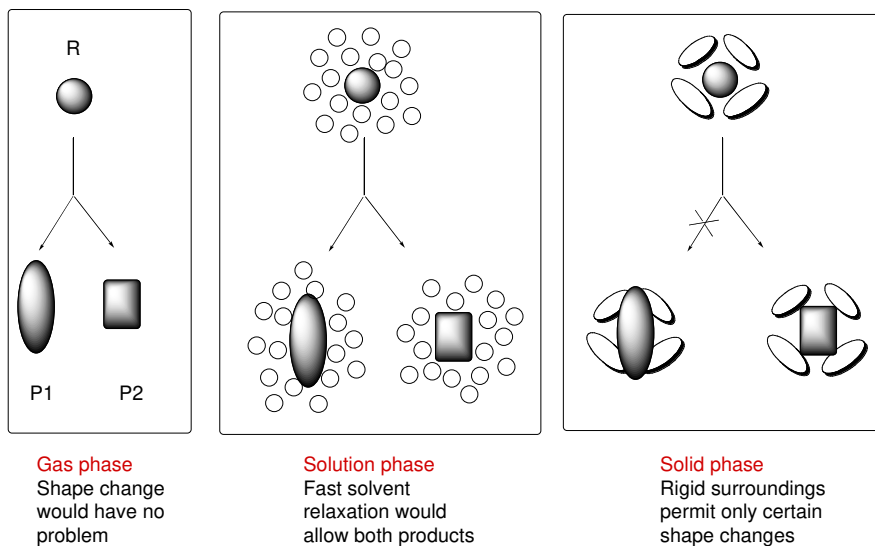


Figure 17 Cartoon representation of influence of media on conversion of reactant to possible products. In organized media, surrounding favors one product (square) over the other (oval).

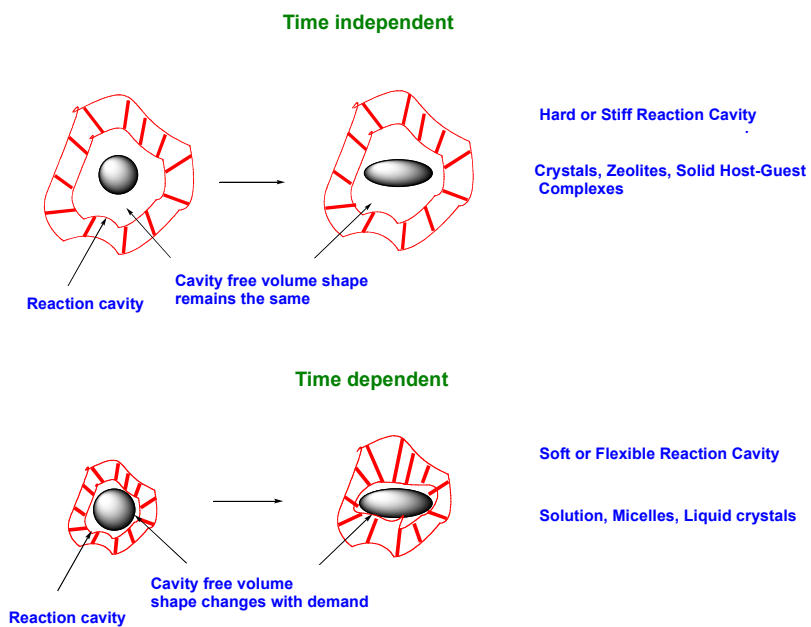


Figure 18 Cartoon representation of importance of free volume around a reactant in a reaction cavity. Two types of reaction cavity (stiff and flexible) are shown. Note: in the latter the extent of free volume changes with the demand.

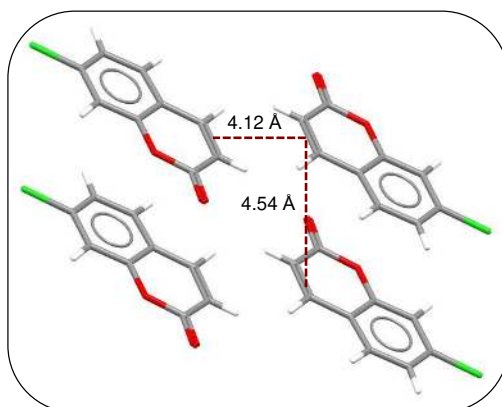
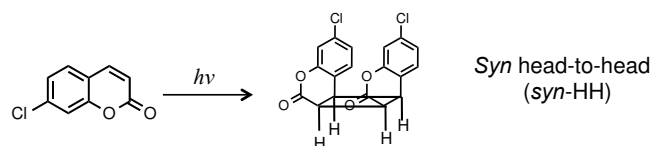


Figure 19 Irradiation of crystalline 7-chlorocoumarin yields a single dimer (*syn* head-to-head). The packing arrangement of 7-chlorocoumarin is shown in the figure below.

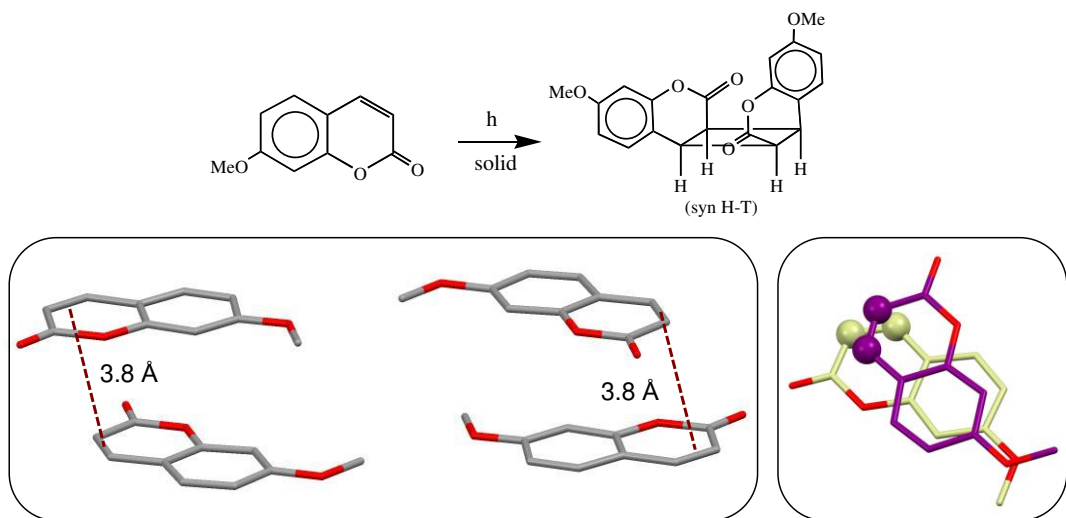


Figure 20 Irradiation of crystalline 7-methoxycoumarin yields *syn* head-to-tail dimer. In the figure below the packing arrangement of two molecules of 7-methoxycoumarin is shown. The reactive double bonds are rotated by 65°.

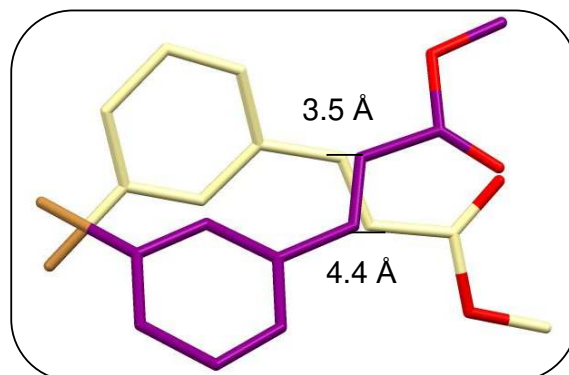
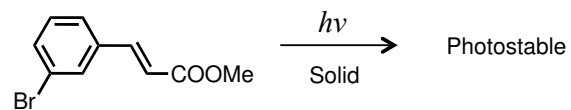


Figure 21 Methyl *m*-bromocinnamate is photostable in the crystalline state. In the figure below the packing arrangement of two molecules of methyl *m*-bromocinnamate is shown. The reactive double bonds rotated by 28°.

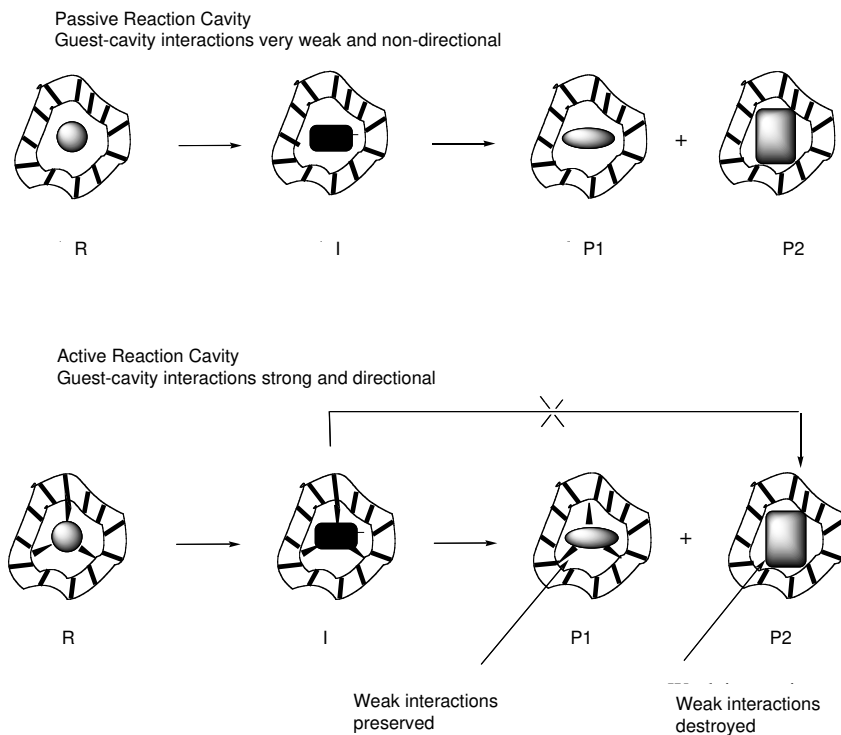


Figure 22 A cartoon representation of two types of reaction cavities, passive and active. In the passive reaction cavity there is no interaction between the reactant and the cavity whereas in the active reaction cavity there are weak interactions between the reactant and the cavity wall.

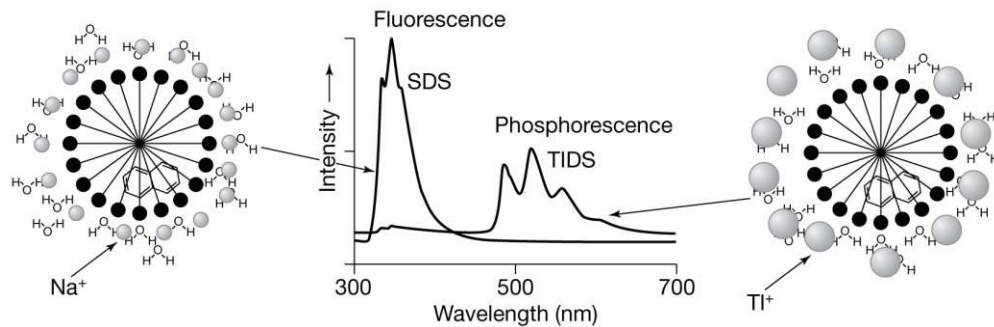


Figure 23 Emission spectra of naphthalene in Na⁺ and TI⁺ dodecyl sulfate micelle at room temperature. Note: in Na⁺ micelle only fluorescence and in TI⁺ micelle only phosphorescence is seen.

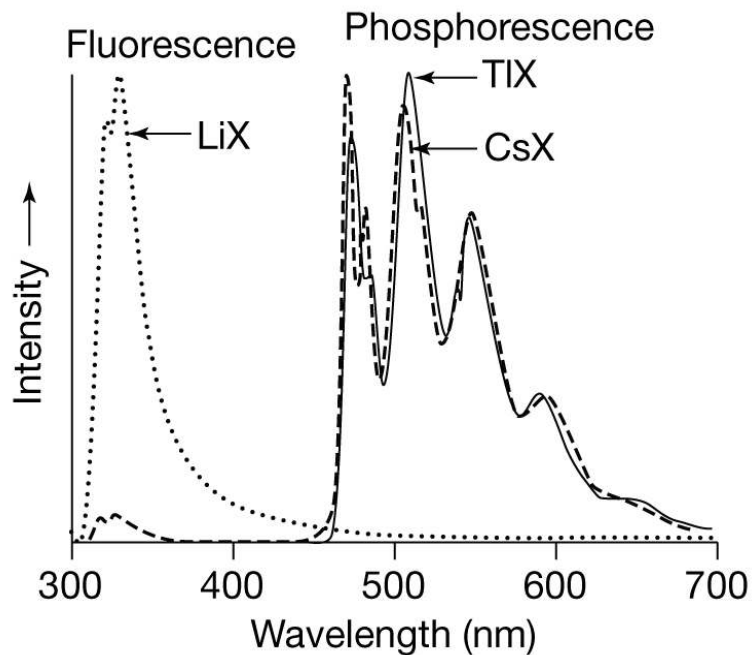


Figure 24 Emission spectra of naphthalene included in the MX-type zeolites where M = Li^+ , Cs^+ , or Ti^+ . Note: in Li^+ zeolite only fluorescence and in Ti^+ zeolite only phosphorescence is seen. In Cs^+ zeolite a mixture of fluorescence and phosphorescence is seen.

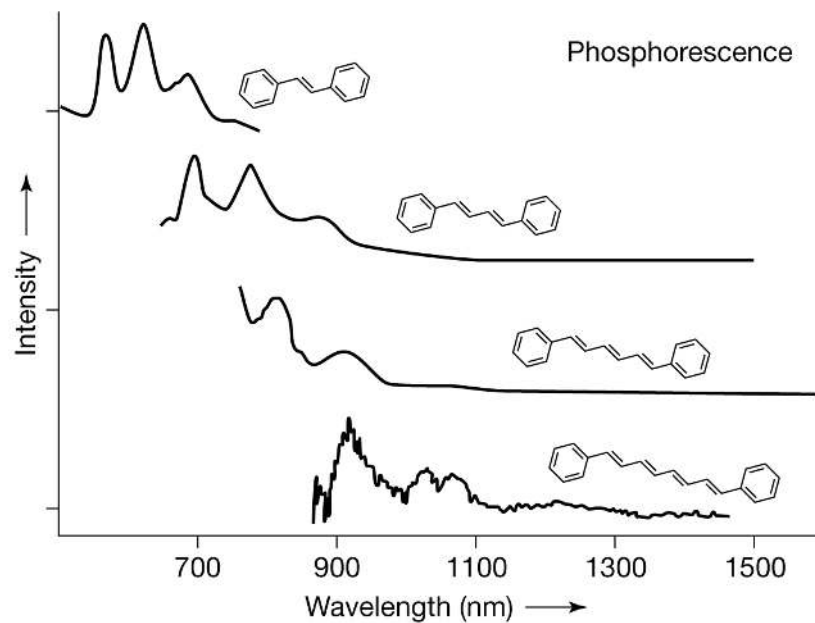


Figure 25 Phosphorescence at room temperature from *trans*-stilbene, *all-trans*-1,4-diphenylbutadiene, *all-trans*-1,6-diphenylhexatriene and *all-trans*-1,8-diphenyloctatetraene when included in with Ti^+ exchanged X zeolites.

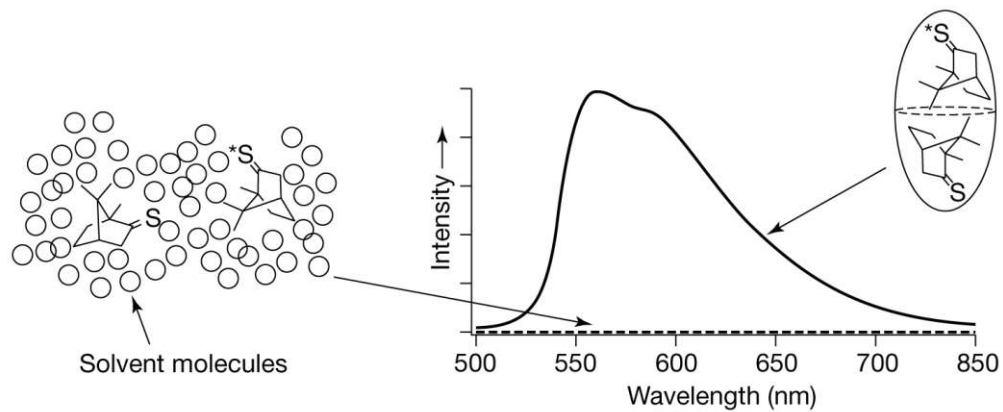
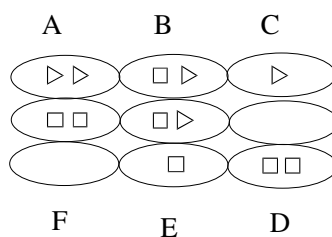


Figure 26 Phosphorescence from thiocamphor included within octa acid in water (solid line) and perfluorodimethylcyclohexane solvent (dotted line) at room temperature.

a) Chiral Inductor Method (CIM)

△ Achiral Reactant (R)

□ Chiral Inductor (CI)

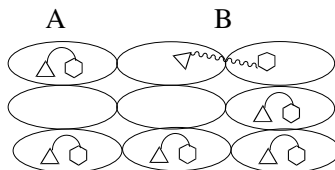
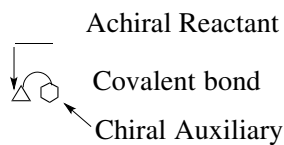


A, C = 0% ee

B = 0 - 100% ee

D, E, F = No reaction

b) Chiral Auxiliary Method (CAM)



A de : 0 - 100%

B de : 0

c) [Chiral Inductor + Chiral Auxiliary Method] (CIAM)

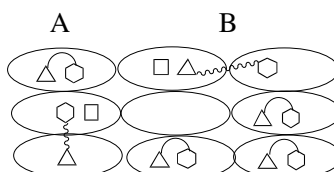
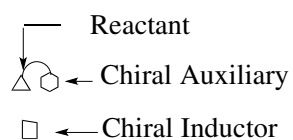


Figure 27 Distributions of reactant and chiral inductor molecules in zeolite cages.

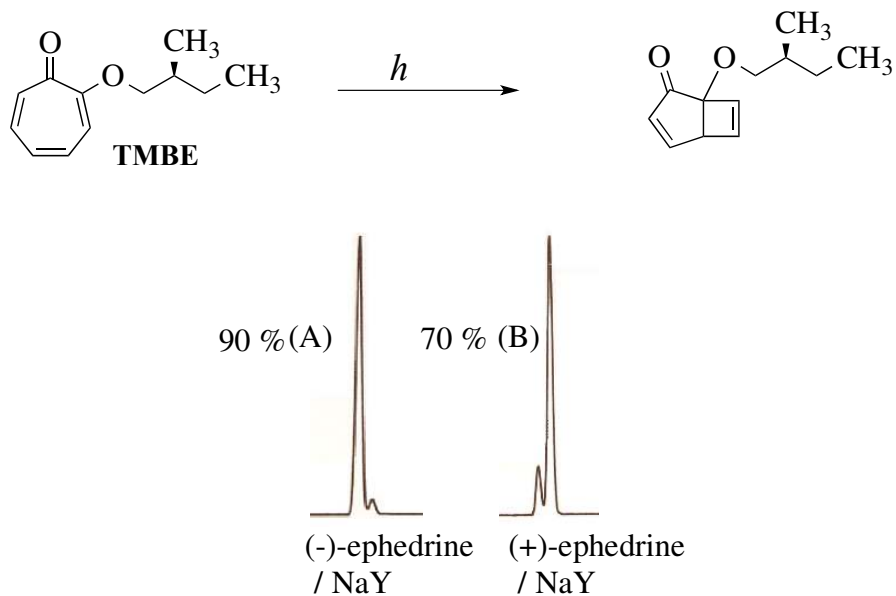
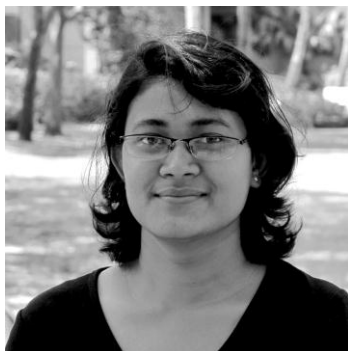


Figure 28 Results on the photocyclization of (*S*)-tropolone 2-methylbutyl ether within a chirally modified NaY zeolite. The % diastereomeric excess and the isomer enhanced are shown on the GC traces. Note that the extent of chiral induction brought forth by the antipodes is not the same with achiral and chiral tropolone ether.



V. Ramamurthy is Professor of Chemistry at University of Miami, Coral Gables, Florida. The author of over 375 publications, editor of fourteen monographs (1991-2011), guest editor of six special journal issues (1987-2014), co-author of a recently (2010) published textbook *Modern Molecular Photochemistry of Organic Molecules* and Senior Editor of *Langmuir*, he received his Ph. D. from the University of Hawaii, Honolulu, USA (R. S. H. Liu), and pursued his postdoctoral training at the University of Western Ontario, London, Canada (P. de Mayo) and Columbia University, New York, USA (N. J. Turro). Before joining the University of Miami in 2005, he was Bernard-Baus Professor of Chemistry at Tulane University, New Orleans, Louisiana, Senior Scientist at the Du Pont Company in Wilmington, Delaware, and Associate Professor at the Indian Institute of Science, Bangalore, India. His research interests are in the area of supramolecular photochemistry that encompasses photochemistry in crystals, zeolites, cavitands and capsules.



Barnali Mondal received her Ph. D at University of Miami, under the supervision of Prof. V. Ramamurthy in December, 2014 for her thesis in the area of ‘Supramolecular Photochemistry’. Prior to joining University of Miami, she received her B.Sc (2006) and M.Sc (2008) from Presidency College, Kolkata. Her research at Miami focused on solid-state photochemistry and use of supramolecular host systems in stabilizing nanoparticles in aqueous solution. She is continuing in the laboratory of Prof. Ramamurthy as a post-doctoral fellow.