

Surface-enhanced Raman Scattering

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OUTLINE

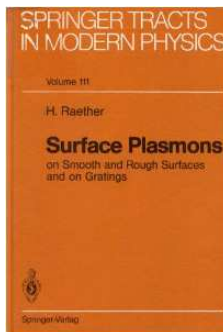
- Introduction
- Single molecule detections
- Working principles
- Enhancement of E-fields
- Summaries

References



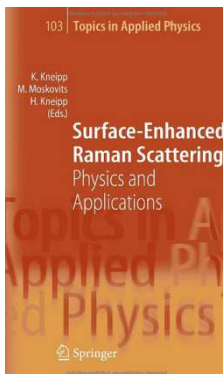
Plasmonics: fundamentals and applications

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Surface Plasmons on Smooth and Rough Surfaces and on Gratings

Heinz Raether



Surface-Enhanced Raman Scattering: Physics and Applications

Editors: Katrin Kneipp, Martin Moskovits, Harald Kneipp

Raman Scattering

- The observation

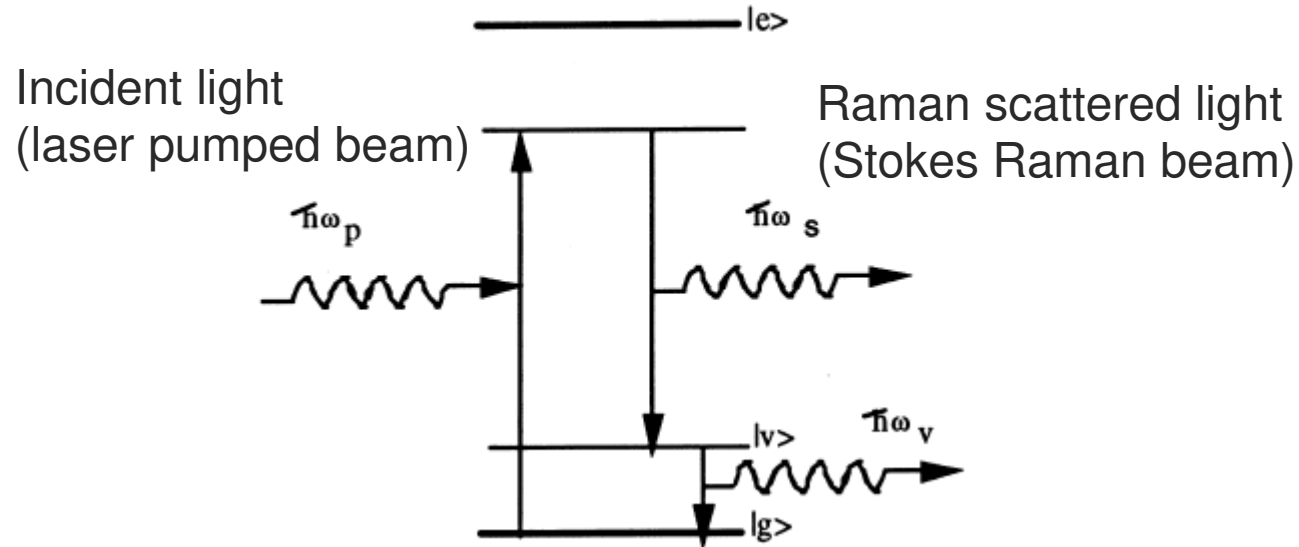
1928	C.V. Raman & K.S. Krishnan	Liquids
	G.S. Landsberg & L. I. Mandelshtam	Crystals

- Working principles

It is an inelastic scattering process between a photon & a molecule, mediated by the vibrational mode of the molecule

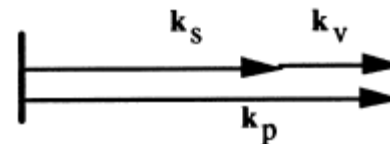
The incoming energy, $\hbar\omega_p$, is shifted by the characteristic energy of vibration, $\hbar\omega_v$

Raman Scattering



Energy conservation: $\omega_s = \omega_p - \omega_v$

Momentum conservation:



If the molecule is at
vibrational ground state

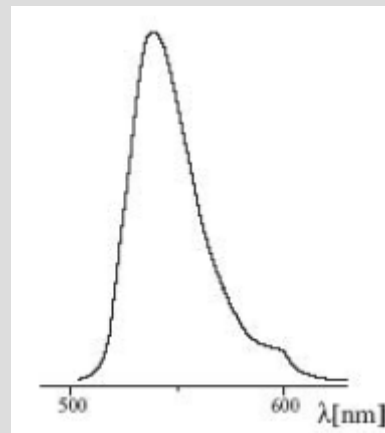
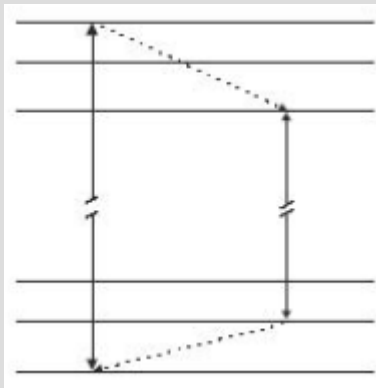
→ excite a vibrational mode → energy lose → red-shift (Stokes shift)

vibrational excited state

→ de-excitation → energy gain → blue shift (anti-Stokes shift)

Raman Scattering, Fluorescence, SERS

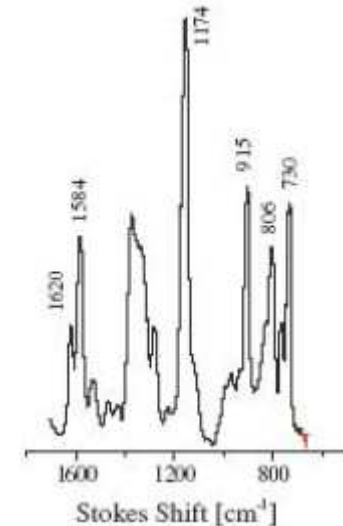
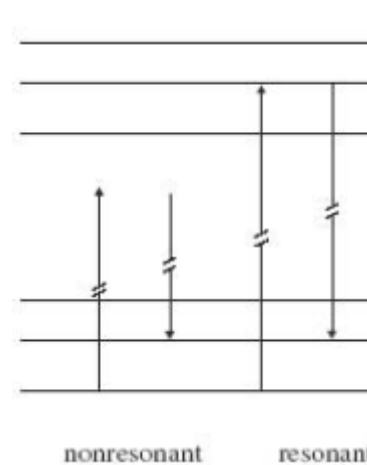
Fluorescence



Nonelastic electron relaxation to lower edge of the excited level

Broad linewidth

Raman scattering (spontaneous)



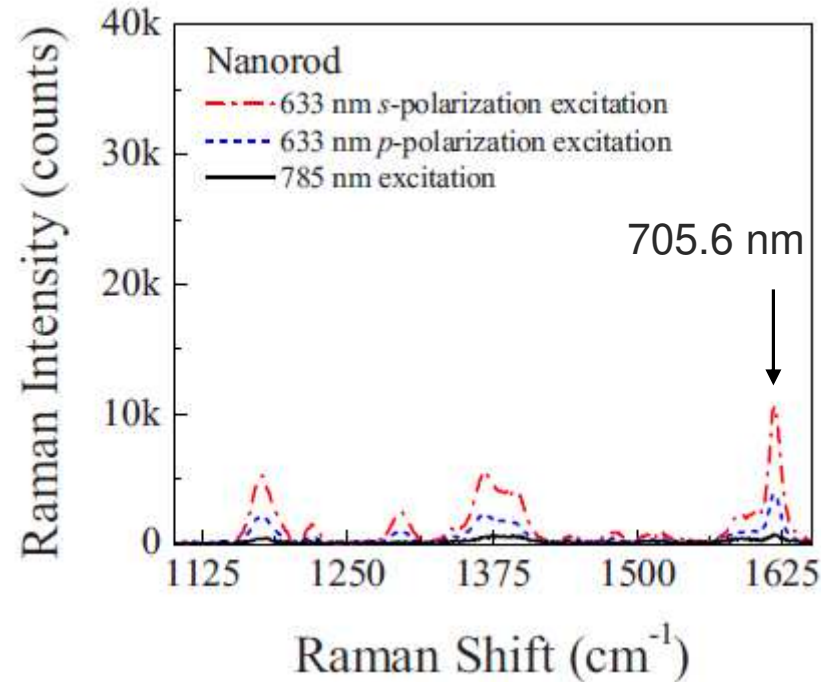
Inelastic scattering process

Sharp Raman line

- Resonant case: incoming photons resonate with electronic transition
- Resonant Raman scattering is stronger than normal Raman scattering
- Low efficiency
- Linear process: the total power of the inelastically scattered beam scales linearly with the intensity of the incoming intensity beam

Surface-enhanced Raman scattering: place Raman active molecules within the near-field of a metallic nanostructure (localized surface plasmons & lightning rod effect).

Raman/SERS Spectrum



- In SERS, the wavelength of the scattered light is shifted.
- It is due to the nature of Raman scattering (inelastic scattering)
- Raman shift

$$\Delta w(cm^{-1}) = \left(\frac{1}{\lambda_0(nm)} - \frac{1}{\lambda_1(nm)} \right) \times 10^7 \frac{(nm)}{(cm)}$$

SERS

SERS is a phenomenon that can be readily observed for a range of different molecules when they are adsorbed to curved noble metal* surface.

1974	First observation	Fleischman et al.	Wrong explanations
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1977	First discovery	Jeanmaire & Van Duyne Albrecht & Creighton
------	-----------------	---

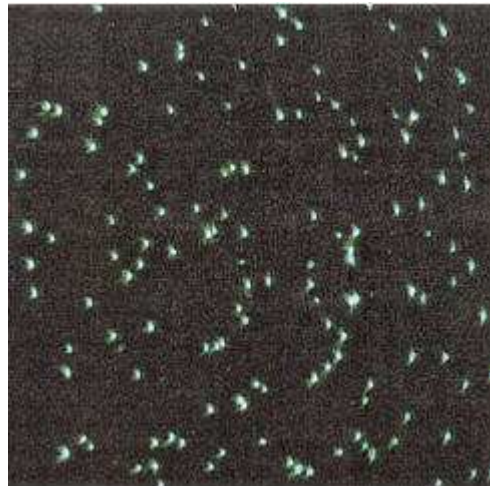
1997	Single molecules were detected on single nanoparticles using SERS
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- E-field enhancement ($|E|/|E_0|$) > 100

* Noble metals are metals that are resistant to corrosion and oxidation in moist air. 8

Single Molecule Detections [1]

- One Ag nanoparticle carries a rhodamine 6G (R6G) molecule.
- The particles are immobilized on a polylysine - coated surface.
- The particles are excited by evanescent-wave excitation.



Unfiltered photograph showing
laser scattering



Filtered photograph showing
Raman scattering

Two mechanisms dominates in the SERS phenomenon

- Classical electromagnetic effect (main [2,3])
- Chemical effects (a factor of 3)

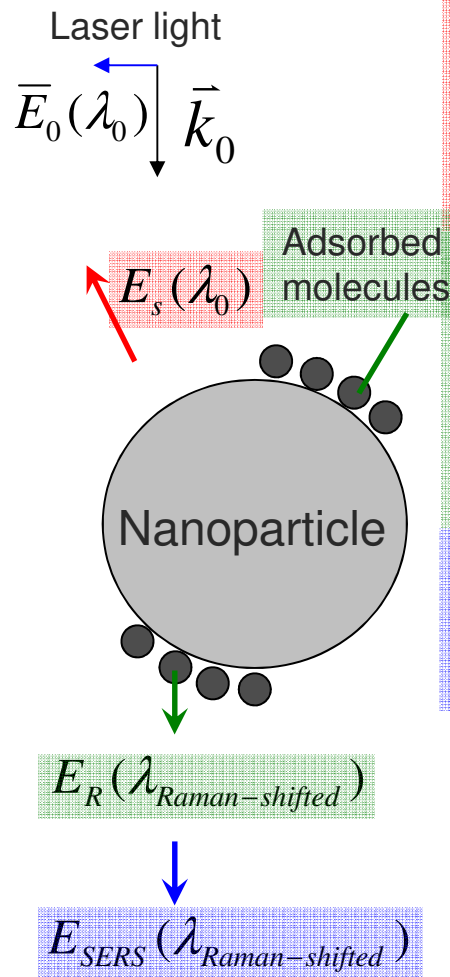
[1] S. Nie, et al, Science vol. 275, 1102, 1997

[2] A. Otto, Light Scattering in Solids IV, vol. 54, 1984

[3] A. Campion and P. Kambhampati, Chem. Soc. Rev., vol. 27, 241, 1998⁹

Working Principles

- E-field induces oscillating surface plasmon multipoles of various orders.
- When the dimension of the particle $\ll \lambda$, dipolar plasmons dominate.



Step 1: Laser light (λ_0) resonates with the dipolar plasmons,

- The scattered fields are amplified/enhanced, $E_s = g(\lambda_0)E_0$
 - $g(\lambda_0)$: **averaged** field enhancement factor
 - E_s : **averaged** magnitude of the radiated field
- It is coherent with the incident field & $\lambda_s = \lambda_0$

Step 2: E_s excites the adsorbed molecules to produce Raman-scattered fields, E_R ,

- E_R is at λ_R
- $E_R \propto \alpha_R E_s \propto \alpha_R g E_0$
 - α_R : Raman polarizability of the molecule

Step 3: Raman-scattered fields, E_R are enhanced. $E_{\text{SERS}} \propto \alpha_R g(\lambda_0)g'(\lambda_R) E_0$

- The enhancement factor, $g'(\lambda_R)$
- Wavelength of the scattered-field, $\lambda_R \neq \lambda_0$

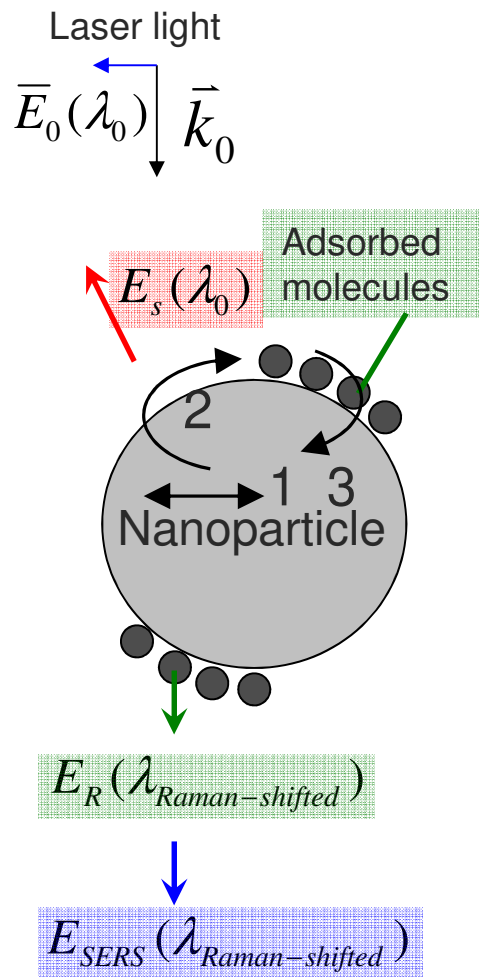
• $\Delta\lambda = \lambda_R - \lambda_0$ is much smaller than the linewidth of LSP $\rightarrow g(\lambda_0) \cong g'(\lambda_R)$;

• SERS intensity, $I_{\text{SERS}} \propto |\alpha_R|^2 |gg'|^2 I_0$

• The SERS enhancement factor = $\frac{I_{\text{SERS}}}{I_0} = \frac{|E_{\text{SERS}}|^2}{|E_0|^2} \cong |g|^4$

Working Principles

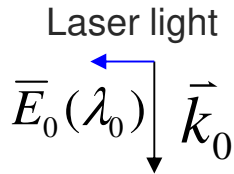
- E-field induces oscillating surface plasmon multipoles of various orders.
- When the dimension of the particle $\ll \lambda$, dipolar plasmons dominate.



1. E-field enhanced by the nanoparticles
2. Frequency shifted by the molecules
3. E-field enhanced again by the nanoparticles

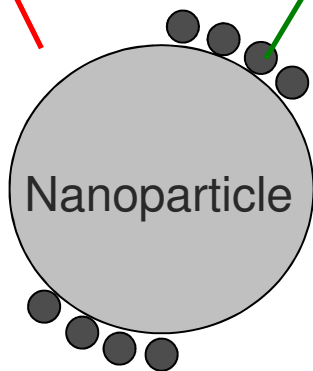
SERS vs Raman Scattering

SERS



$$E_s(\lambda_0) = g(\lambda_0)E_0$$

Adsorbed molecules*



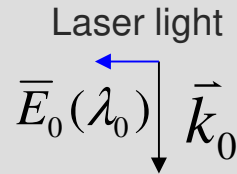
$$E_R(\lambda_R) \propto \alpha_R E_s \propto \alpha_R g E_0$$

$$E_{SERS}(\lambda_R) \propto g'(\lambda_R)E_R \propto \alpha_R g(\lambda_0)g'(\lambda_R)E_0$$

$$P_{SERS}(\omega_s) = N\sigma_{SERS}g^2(\omega_0)g'^2(\omega_s)I(\omega_0)$$

* The adsorbed molecule is also called probing molecule

Raman scattering



$$\omega_v = \omega_0 - \omega_R$$

$$P_s(\omega_s) = N\sigma_{RS}I(\omega_0)$$

$$E_s(\lambda_R)$$

Molecules

The enhancement

- Increased Raman cross section, σ_{SERS} (a maximum factor of 100 $\rightarrow |E_R|/|E_0| \sim 3$)
Chemical/electronic contribution
- EM contribution, E-field enhancement
 - Excitation of localized surface plasmons
 - Crowding of electric field lines (lightning rod effect) at the metal interface

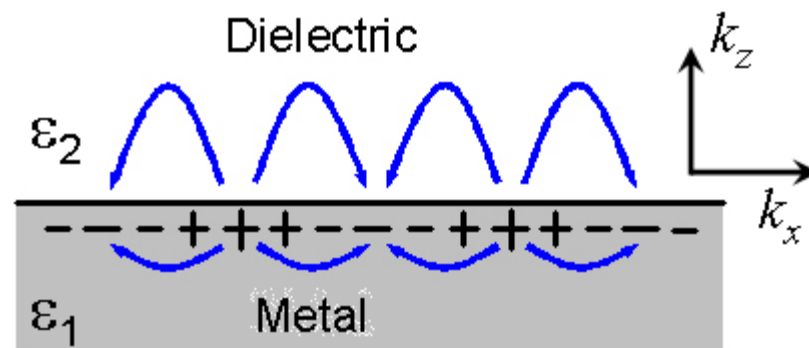
EM Contribution to the Enhancement

- Localized surface plasmons, $g_{SP}(\omega)$
 - Strong frequency dependence
 - Averaged enhancement factor
- Lightning rod effect, g_{LR}
 - Purely geometric phenomenon of field line crowding
 - The accompanying enhancement near sharp metallic features
 - It exists in structures with tips and/or sharp edges
- Total EM contribution $g(\omega) = g_{SP}(\omega) g_{LR}$

Surface Plasmons on Smooth Surfaces

- Surface plasmons are coherent electron oscillations on a dielectric-metal boundary ($\text{Re}(\epsilon)$ change signs across the interface).
- The coherent electron oscillations are called surface plasma oscillations.
- TM waves excite SPs.
- It is a longitudinal oscillation, with frequency, ω .

$$k_x = \frac{\omega}{c} \left(\frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \right)^{1/2}$$



Surface Plasmons on Smooth Surfaces

To obtain the relation: $k_x = \frac{\omega}{c} \left(\frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \right)^{1/2}$

Region 2 (dielectric)

$$\bar{H}_2 = H_{y2} \hat{y} e^{i(k_{x2}x + k_{z2}z - \omega t)}$$

$$\bar{E}_2 = (E_{x2} \hat{x} + E_{z2} \hat{z}) e^{i(k_{x2}x + k_{z2}z - \omega t)}$$

Region 1 (metal)

$$\bar{H}_1 = H_{y1} \hat{y} e^{i(k_{x1}x - k_{z1}z - \omega t)}$$

$$\bar{E}_1 = (E_{x1} \hat{x} + E_{z1} \hat{z}) e^{i(k_{x1}x - k_{z1}z - \omega t)}$$

⇒ • Maxwell's equations
• Boundary conditions



$$H_{y1} - H_{y2} = 0$$

$$\frac{k_{z1}}{\epsilon_1} H_{y1} + \frac{k_{z2}}{\epsilon_2} H_{y2} = 0$$



$$D_0 = \frac{k_{z1}}{\epsilon_1} + \frac{k_{z2}}{\epsilon_2} = 0$$

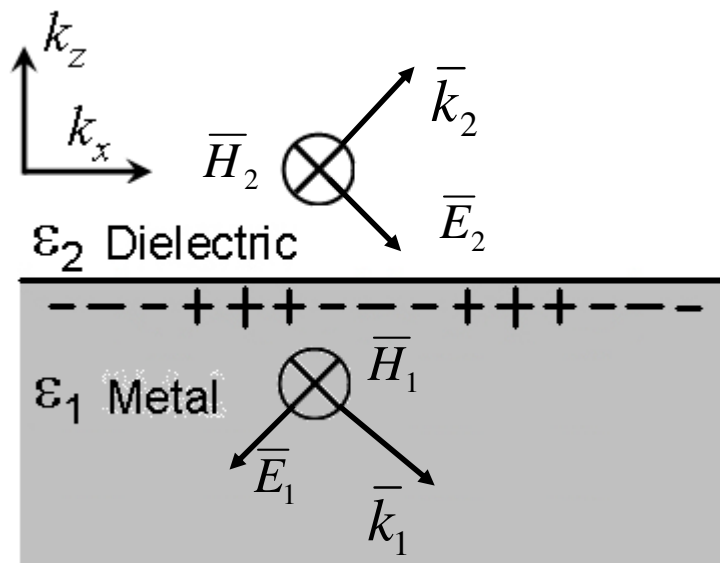


Dispersion relation

$$k_x^2 + k_{zi}^2 = \epsilon_i \left(\frac{\omega}{c} \right)^2$$



$$k_x = \frac{\omega}{c} \left(\frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \right)^{1/2}$$



Surface Plasmons on Smooth Surfaces

The charge fluctuation is localized in z-direction.

$$k_x^2 + k_{zi}^2 = \epsilon_i \left(\frac{\omega}{c} \right)^2$$

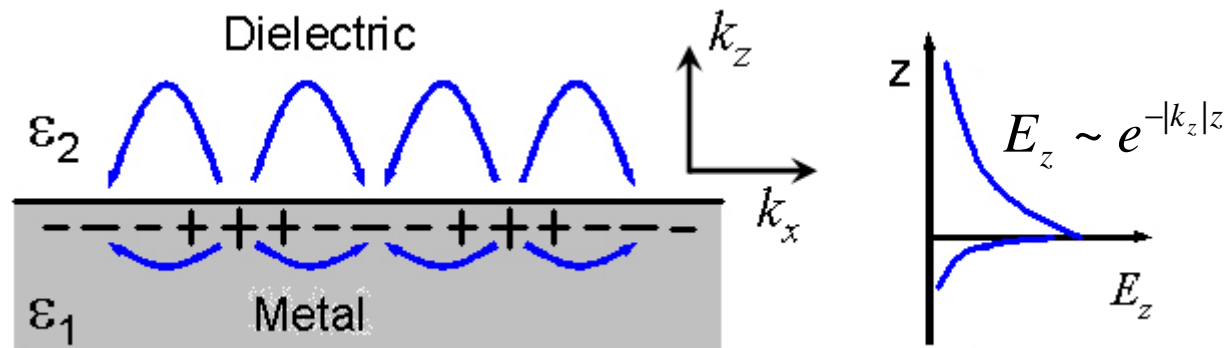
$$k_x = \frac{\omega}{c} \left(\frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \right)^{1/2}$$

\Rightarrow $\epsilon_1 < 0 \quad |\epsilon_1| > 1$
 $\epsilon_2 = 1$

$\Rightarrow k_x > \frac{\omega}{c}$

\Rightarrow

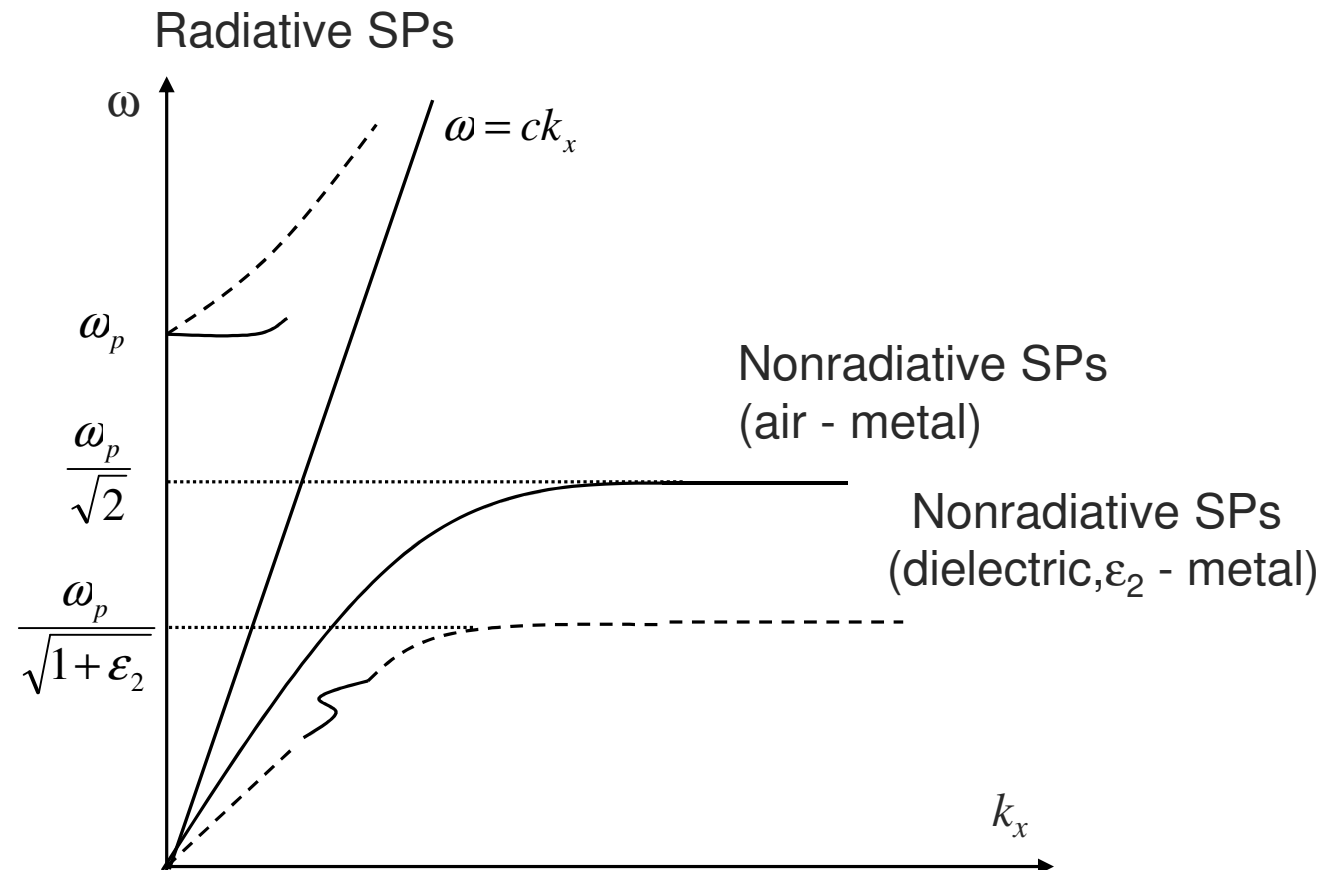
- k_{z1} is complex in metal
- k_{z2} is imaginary



Surface Plasmons on Smooth Surfaces

Dispersion relations

$$k_x = \frac{\omega}{c} \left(\frac{\epsilon_1 \epsilon_2}{\epsilon_1 + \epsilon_2} \right)^{1/2}$$



- $k_x > \frac{\omega}{c}$ ($\epsilon_2 = 1, \epsilon_1 < 0, |\epsilon_1| > 1$)
- When $k_x \rightarrow \infty$ ($f_x \rightarrow \infty, \epsilon_1 = -\epsilon_2$), the asymptotic value of ω is $\frac{\omega_p}{\sqrt{1+\epsilon_2}}$ (a plasma medium)

Excitation of Surface Plasmons by Light

Surface plasmons are excited by light - using grating to match phase

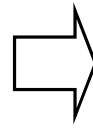
Light

$$k = \frac{\omega}{c}$$

Phase matching using grating coupler
(periodicity = a)

SPs

$$k_x > \frac{\omega}{c} \quad k_x > \frac{\omega}{c} \sin \theta_0$$



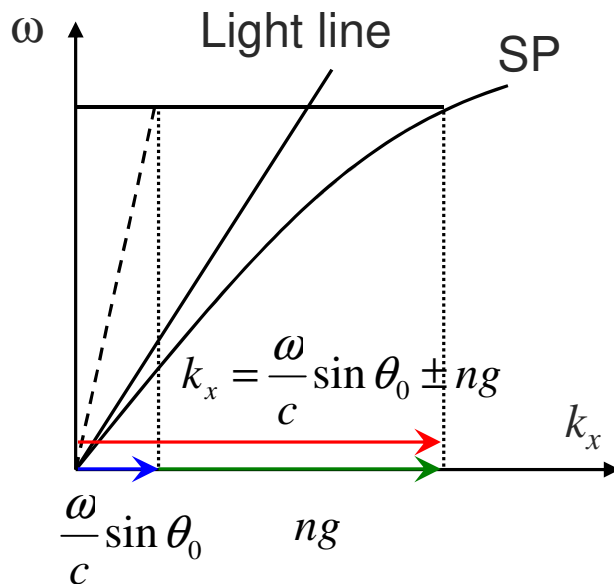
Equivalent wavenumber for the grating

$$g = 2\pi / a$$

θ_0 is the incident angle of light

Thus

$$k_x = \frac{\omega}{c} \sin \theta_0 \pm ng = \frac{\omega}{c} \sqrt{\frac{\epsilon}{\epsilon + 1}}$$



Localized Surface Plasmons/ localized plasmons

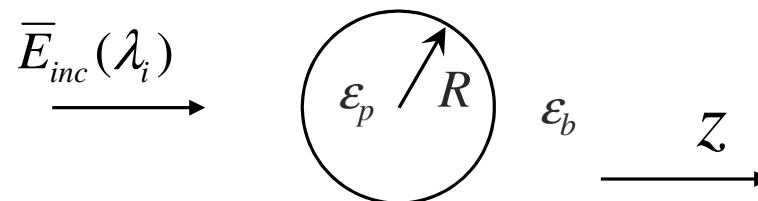
- They are excited by using light illuminating metallic spheres /cylinders
- Non-propagating
- Conditions for exciting localized SPs of a sphere

$$\epsilon_p(\omega) = -\epsilon_b \frac{\ell + 1}{\ell}, \ell = 1, 2, 3, \dots \quad (\text{infinite number of modes})$$

- The lowest mode is the radiative mode (light emission)

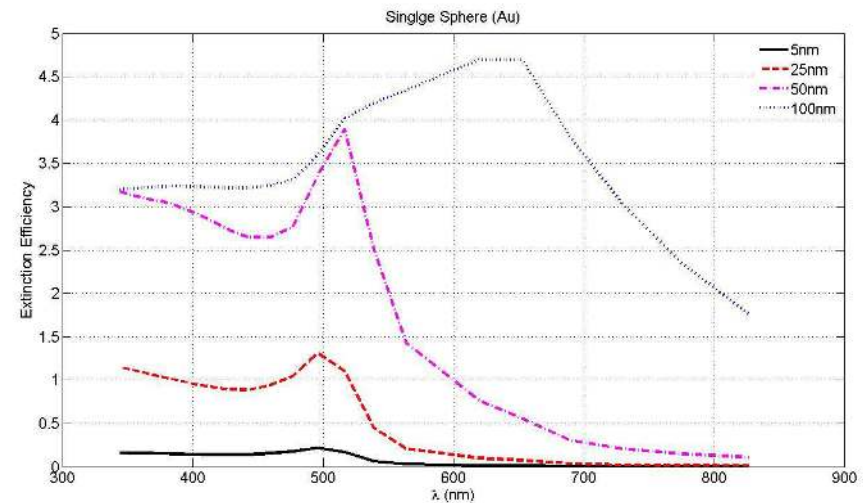
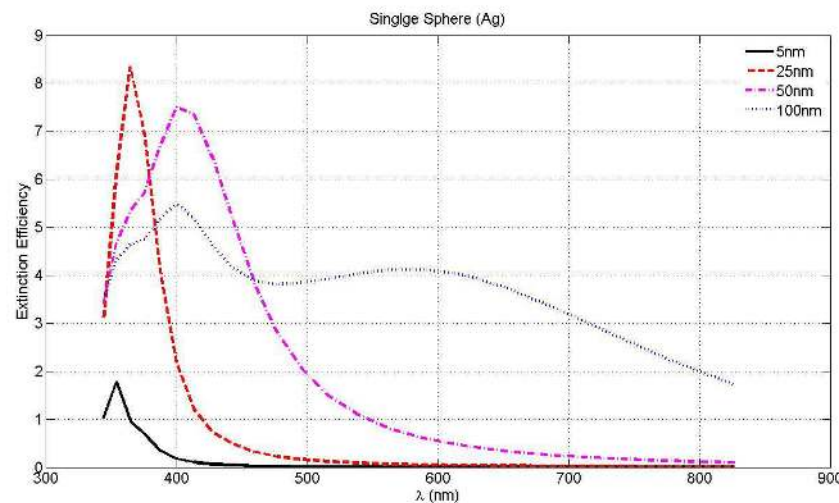
$$\epsilon_p(\omega) = -2\epsilon_b$$

- At $R \ll \lambda_i$, the dipolar mode dominates.



MIE Scattering Theory

- It is applicable to spherical nanoparticles of different dimensions
- The extinction efficiency predicts the resonance of a metallic sphere
- The localized surface plasmon resonances depends on the dimension of the sphere ($5 \text{ nm} < R < 100 \text{ nm}$)



- An increased in R
 - Broadens of the dipole plasmon resonance
 - Red-shifts the resonance

Localized Surface Plasmons (Small Particles)

Quasi-static approximation for small particles ($R \ll \lambda_i$)

- Laplace equation for the potential $\nabla^2\Phi = 0$

- The potentials inside and outside the sphere

$$\Phi_{in} = -\frac{3\epsilon_b}{\epsilon_p(\omega) + 2\epsilon_b} E_0 r \cos\theta \quad \Phi_{out} = -E_0 r \cos\theta + \frac{\epsilon_p(\omega) - \epsilon_b}{\epsilon_p(\omega) + 2\epsilon_b} E_0 a^3 \frac{\cos\theta}{r^2}$$

- The dipole moment

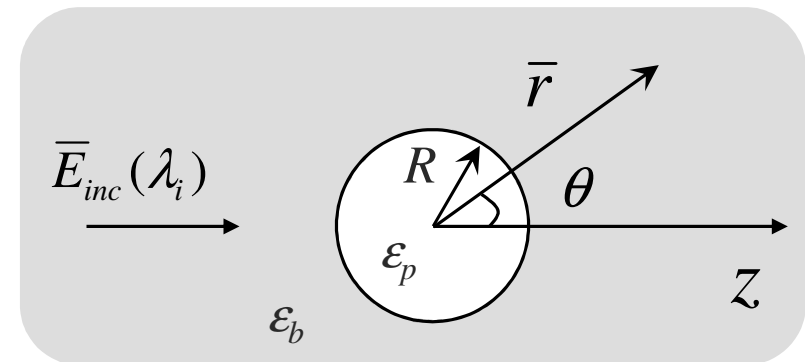
$$\bar{p} = 4\pi\epsilon_0\epsilon_b a^3 \frac{\epsilon_p(\omega) - \epsilon_b}{\epsilon_p(\omega) + 2\epsilon_b} E_0 \quad \text{thus} \quad \Phi_{out} = -E_0 r \cos\theta + \frac{\bar{p} \cdot \bar{r}}{4\pi\epsilon_0\epsilon_b r^3}$$

- The polarizability

$$\alpha = \frac{\bar{p}}{\epsilon_0\epsilon_b E_0} \quad \alpha = 4\pi a^3 \frac{\epsilon_p(\omega) - \epsilon_b}{\epsilon_p(\omega) + 2\epsilon_b}$$

- Condition for resonance

- When $|\epsilon_p(\omega) + 2\epsilon_b|$ is minimum, α experiences a resonant enhancement
- $\text{Re}[\epsilon_p(\omega)] = -2\epsilon_b$ (Frohlich condition)
- Silver & gold nano-spheres: resonances fall into the visible region (380 nm – 750 nm)



Localized Surface Plasmons (Small Particles)

The E-field inside and outside the sphere $\bar{E} = -\nabla\Phi$

$$\bar{E}_{in} = \frac{3\epsilon_b}{\epsilon_p(\omega) + 2\epsilon_b} \bar{E}_0 \quad \bar{E}_{out} = \bar{E}_0 + 2a^3 \frac{\epsilon_p(\omega) - \epsilon_b}{\epsilon_p(\omega) + 2\epsilon_b} \frac{1}{r^3} \bar{E}_0$$

$$\bar{E}_{out}|_{r=a} = \bar{E}_0 + 2 \frac{\epsilon_p(\omega) - \epsilon_b}{\epsilon_p(\omega) + 2\epsilon_b} \bar{E}_0 = \frac{3\epsilon_p(\omega)}{\epsilon_p(\omega) + 2\epsilon_b} \bar{E}_0$$

The enhancement of E-fields

Spheres

$$\text{Enhancement of E-fields} = \left| \frac{E_{out}|_{r=a}}{E_0} \right| = \left| \frac{3(\epsilon_{pR}(\omega) + i\epsilon_{pI}(\omega))}{\epsilon_{pR}(\omega) + i\epsilon_{pI}(\omega) + 2\epsilon_b} \right|_{\omega=\omega_R} = \left| \frac{3\epsilon_{pR}(\omega_R)}{\epsilon_{pI}(\omega_R)} \right|$$

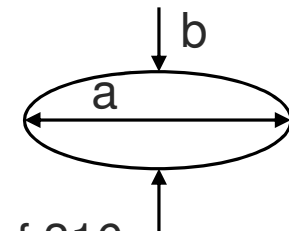
- e.g. Silver ($\epsilon = -2 + 0.28i$ at 350nm), a small silver sphere has an enhancement factor of $|-2 \cdot 3 / 0.28| = 21 \gg$ intensity enhancement of 460

Spheroid $\bar{E}_{tip} = \frac{\epsilon_p(\omega)}{1 + (\epsilon_p(\omega) - 1)A} \bar{E}_0$

- Resonance condition: $1 + (\epsilon_{pR} - 1)A = 0$
- A is the depolarization factor

$$\text{Enhancement of E-fields} = \left| \frac{E_{tip}(\omega_R)}{E_0} \right| = \left| \frac{\epsilon_{pR}(\omega_R)}{\epsilon_{pI}(\omega_R)A} \right|$$

- Small $b/a \gg$ small A \gg higher enhancement;
 - E.g. $b/a = 1/3$, $\epsilon = -9 + 0.3i$ at 496nm, an E-field enhancement of 316



Localized Surface Plasmons (Small Particles)

Quasi-static approximation for a core/shell particle

- The dielectric core & a thin, concentric metallic shell
- Wide tunability of the plasmon resonance
- The polarizability

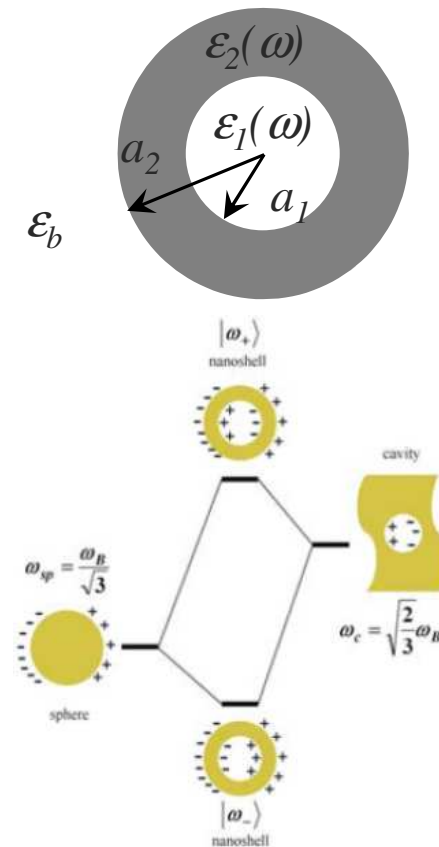
$$\alpha = 4\pi a_2^3 \frac{(\epsilon_2 - \epsilon_b)(\epsilon_1 + 2\epsilon_2) + (a_1^3 / a_2^3)(\epsilon_1 - \epsilon_2)(\epsilon_b + 2\epsilon_2)}{(\epsilon_2 + 2\epsilon_b)(\epsilon_1 + 2\epsilon_b) + (a_1^3 / a_2^3)(2\epsilon_2 - 2\epsilon_b)(\epsilon_1 - \epsilon_2)}$$

- Obtain resonance by minimizing the denominator, $(\epsilon_2 + 2\epsilon_b)(\epsilon_1 + 2\epsilon_b) + (a_1^3 / a_2^3)(2\epsilon_2 - 2\epsilon_b)(\epsilon_1 - \epsilon_2)$

- It has two fundamental dipolar modes
- They can be thought to arise via the hybridization of the dipolar modes of
 - Metallic spheres
 - A dielectric void in the metallic substrate

- Applying the hybridization, the particle plasmon is described as an incompressible deformation of the conduction electron gas of the metallic nanostructure

$$\omega_{l,\pm}^2 = \frac{\omega_p^2}{2} \left[1 \pm \frac{1}{2l+1} \sqrt{1 + 4l(l+1) \left(\frac{a}{b}\right)^{2l+1}} \right]$$



Localized Surface Plasmons (Big Particles)

- The polarizability of a sphere of volume V is approximated by taking the first TM mode of Mie theory

$$\alpha_{\text{Sphere}} = \frac{1 - \left(\frac{1}{10}\right) (\epsilon + \epsilon_m) x^2 + O(x^4)}{\left(\frac{1}{3} + \frac{\epsilon_m}{\epsilon - \epsilon_m}\right) - \frac{1}{30} (\epsilon + 10\epsilon_m) x^2 - i \frac{4\pi^2 \epsilon_m^{3/2}}{3} \frac{V}{\lambda_0^3} + O(x^4)} V$$

- Red-shift of the resonance

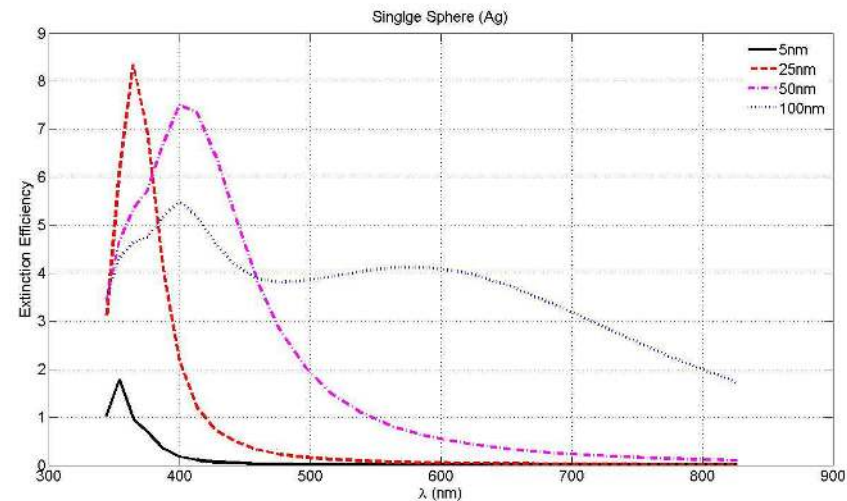
Reason: the smaller restoring force in a big particle \rightarrow smaller energy \rightarrow lower frequency

- Broadened linewidth

Reason: radiation damping dominates in larger particles

Homogeneous linewidth, $\Gamma = \frac{2\hbar}{T_2}$

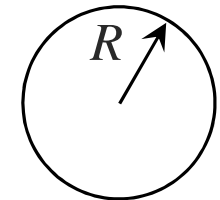
T_2 is the dephasing time, $T_2 \approx 2T_1$,
population relaxation/decay time



Localized Surface Plasmons (Very Small Particles)

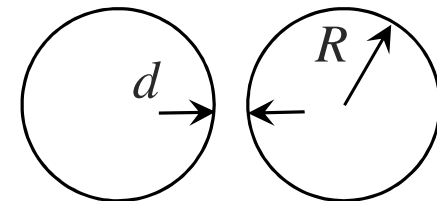
$R < 10 \text{ nm}$

- An additional damping process: chemical interface damping
- $2R < \text{electron free path (30 – 50 nm)}$
 - elastic scattering at the particle surface
 - the rate of dephasing of coherent oscillation increases
 - decrease in decay time
 - **Broad linewidth**



$R < 1 \text{ nm}$

- Quantum effect sets in
- The coherent electron oscillation breaks down
- The problem has to be treated using the quantum mechanical picture of a multiple-particle excitation



$d < 0.5 \text{ nm}$, quantum-mechanical effects such as charge transfer become important

[1] Quantum description of the plasmon resonances of a nanoparticle dimer, Nano Lett. 2009, 9, 887-891

[2] Quantum plasmonics: Optical properties and tunability of metallic nanorods, ACS Nano, 2010,4,5269-5276

SERS Enhancement Factor

- SERS enhancement factor, $\frac{I_{SERS}}{I_0} = \frac{|E_{SERS}|^2}{|E_0|^2} \cong |g|^4$

- In SERS experiments,

$$\text{SERS enhancement factor} = \frac{\text{Measured Raman cross section (in the presence of metal particles)}}{\text{Measured Raman cross section (in the absence of metal particles)}}$$

- Average $|E|/|E_0|$ is relevant to conventional SERS measurements
 - Most early experiments utilized large probe volumes
- The peak of $|E|$ is important to single molecule detection
 - The site(s) with the maximum E-field are called hot sites/hot spots

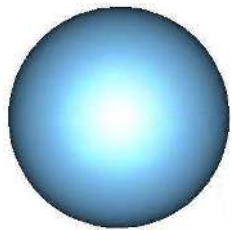
		SERS enhancement factor	E-field enhancement factor
Conventional SERS	Silver colloids	10^3 - 10^6	6-32
Single molecule SERS	Silver and gold colloids	10^{10} - 10^{15}	316 - 5623

‘What gives the giant enhancement factor?’

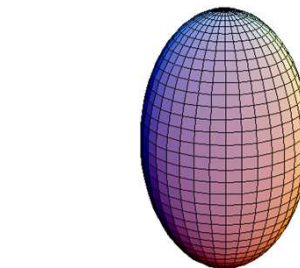
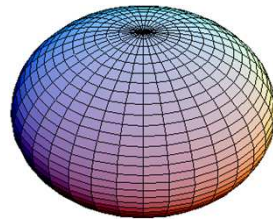
→ There are factors that affect the E-field enhancement

Factors Affecting the E-field Enhancement

1. The **shape/geometry** of the nanoparticles (wet-chemistry methods, lithographic techniques)



$$|E|/|E_0| \sim 10$$

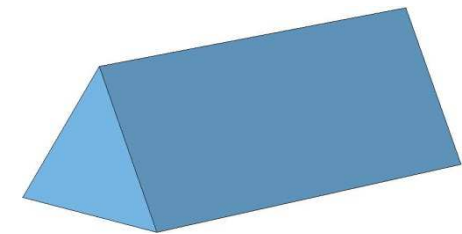


$$|E|/|E_0| > 30$$

- Spheroids have higher E-field enhancement than spheres (quasi-static approximation)

- Additional field enhancement at the tips due to continuity of \bar{D}
- Lightning rod factor, $g_{LR} = |\epsilon_p|/|\epsilon_b|$

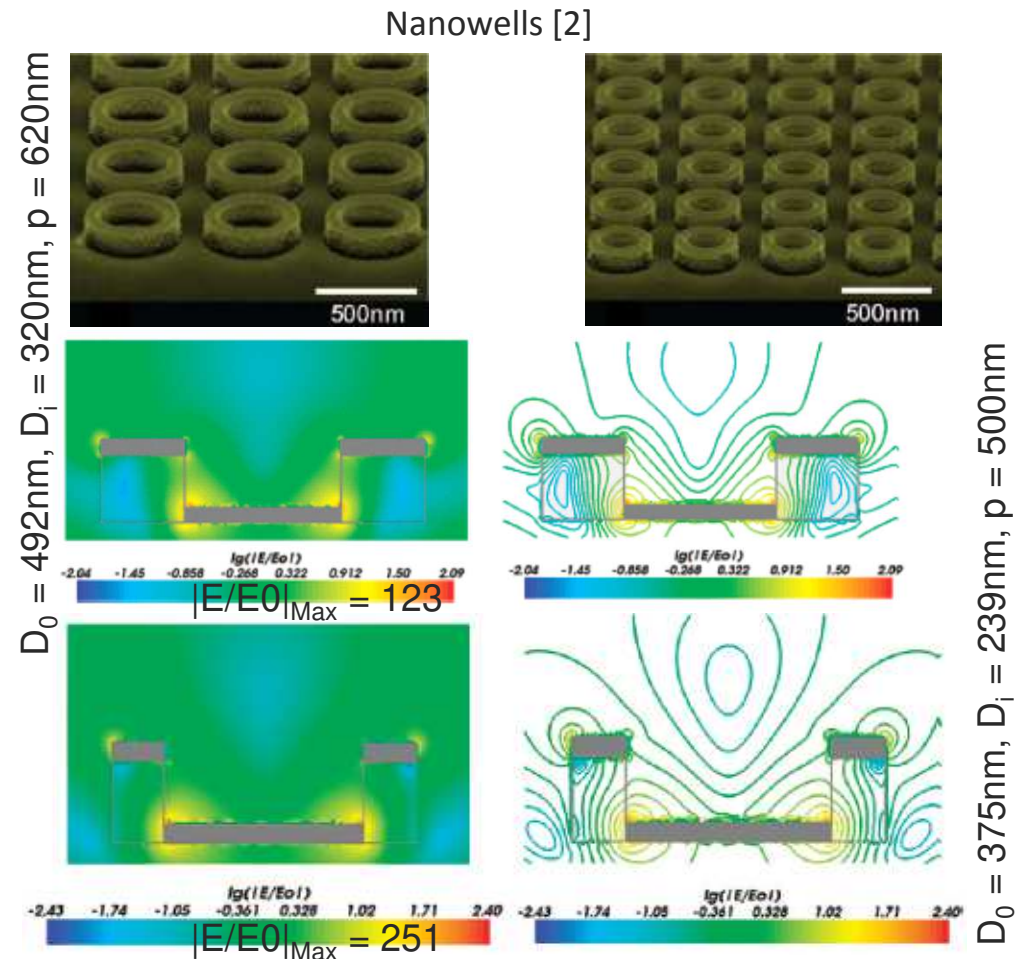
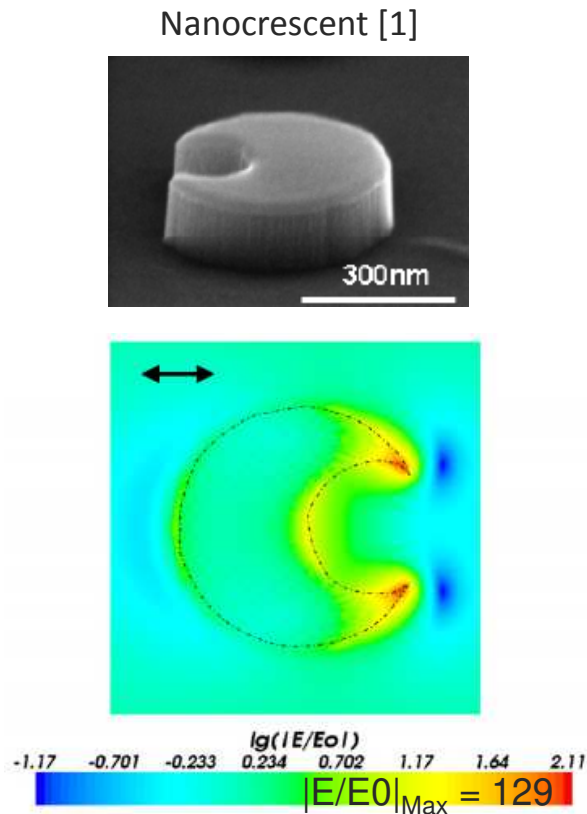
- Nanoparticles with tips/sharp edges show high E-field enhancement



- Nanoparticles with complicated geometries $\rightarrow |E|/|E_0|$ is cal. numerically

Factors Affecting the E-field Enhancement

1. The **shape/geometry** of the nanoparticles (lightning rod effect)
2. The **physical size** \leftrightarrow the incident wavelength (localized surface plasmons)



[1] K. Li, et al, Nanotechnology, vol. 19, page 145305, 2008

[2] K. Li, et al, Analytical Chemistry, vol. 80, page 4945, 2008

Factors Affecting the E-field Enhancement

3. The number of particles

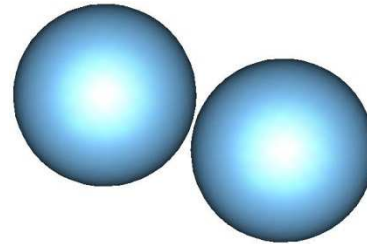
4. The space between the particles

Monomer: a single nanoparticle

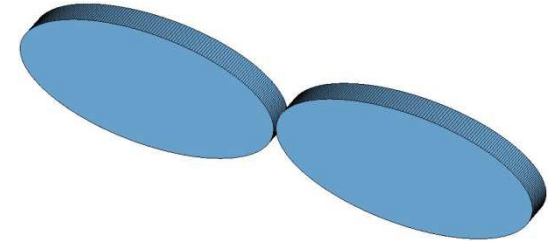


$$|E|/|E_0| \sim 10$$

Dimers: two nanoparticles that are in close proximity



$$|E|/|E_0| > 320$$



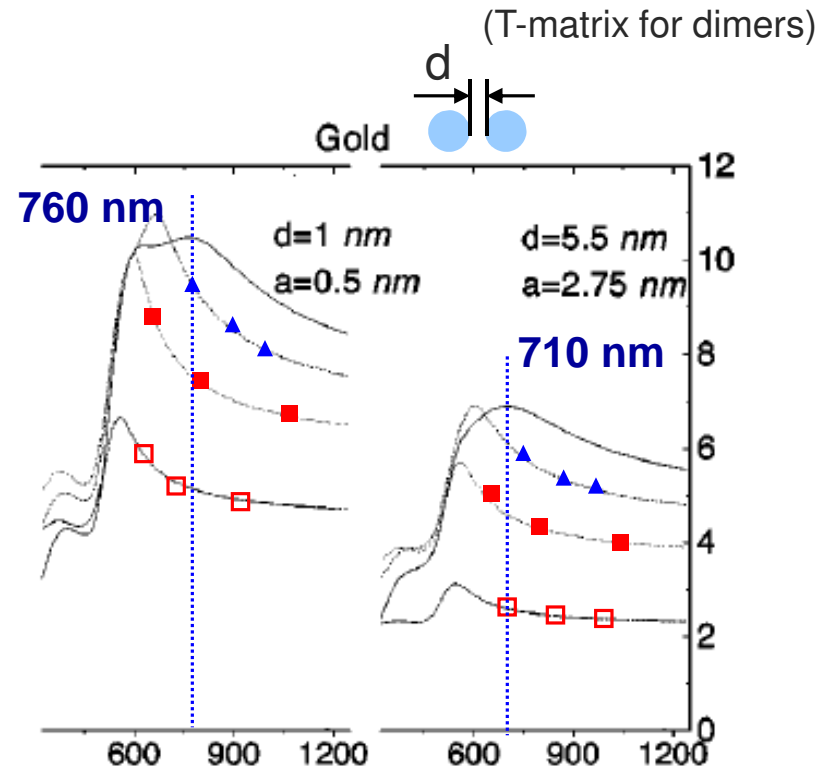
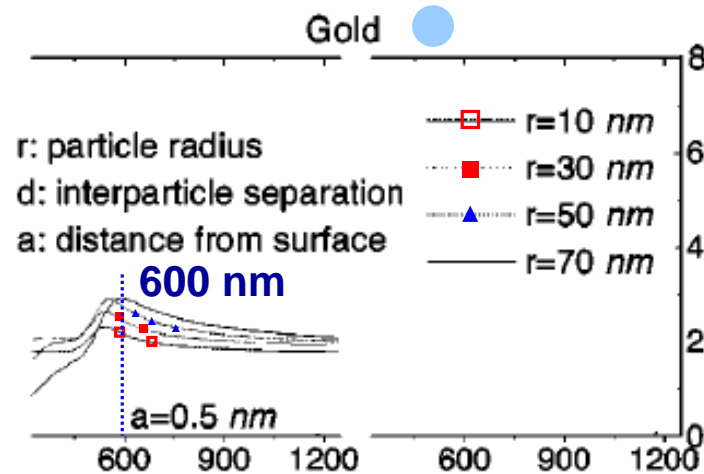
- High E-field enhancement [1,2]
- It is used to interpret high E-field enhancement in single molecule SERS

[1] E. Cai, and G. Schatz, J. Chemical Physics vol. 120, No. 1, p357 – 365, 2004

[2] H. Xu, et al. PR E, vol. 62, No. 3, 4318, 2000

Factors Affecting the E-field Enhancement

3. The number of particles
4. The space between the particles

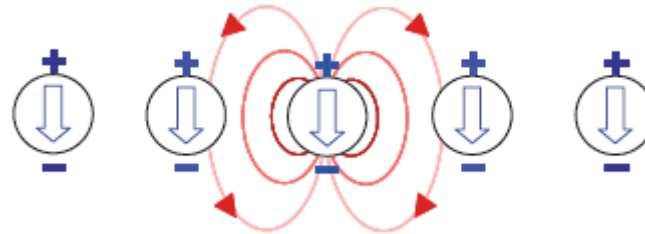


- Dimer has coupling between localized surface plasmons
- The coupling has two effects
 1. Shifting the resonant frequency
 2. Suppress the coupling of the nearby particle & further localize the field

Coupling Btw Localized Surface Plasmons

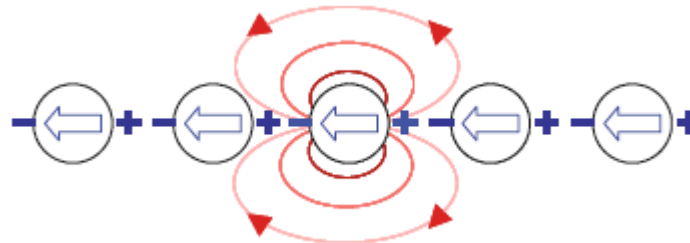
The shifting of resonant frequency

Polarization 1
Transverse excitation (E_T)



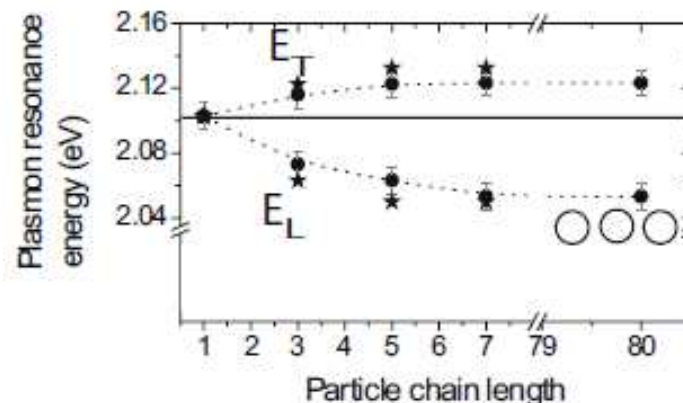
- Higher potential
- Higher frequency
- Blue-shift

Polarization 2
Longitudinal excitation (E_L)



- Potential cancellation
- Lower frequency
- Red-shift

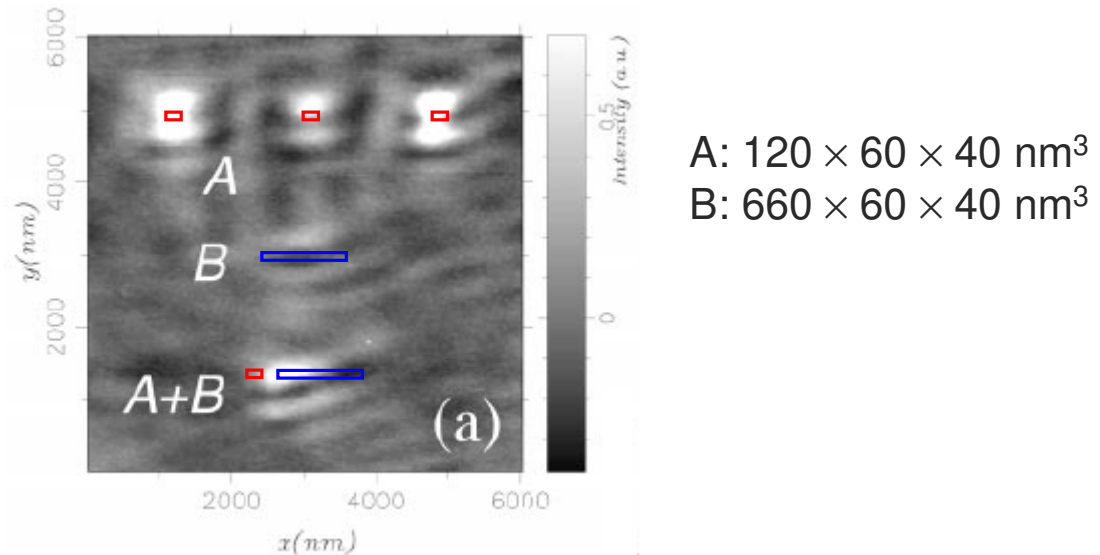
Due to the near-field nature, the effect becomes negligible for a chain length of about 5 particles



Coupling Btw Localized Surface Plasmons

Further enhancement of E-fields

- Experimental observation [1]



Photon scanning tunneling microscope (PSTM) image

- Explanation using interaction between the molecule & an EM cavity mode

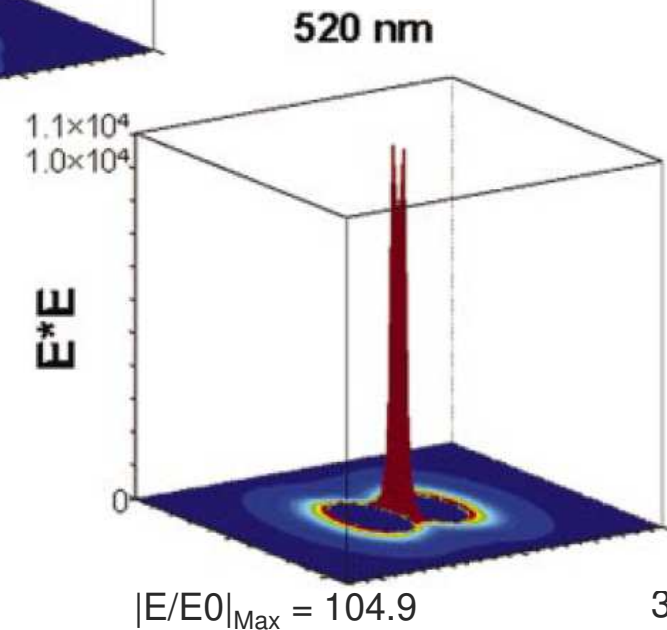
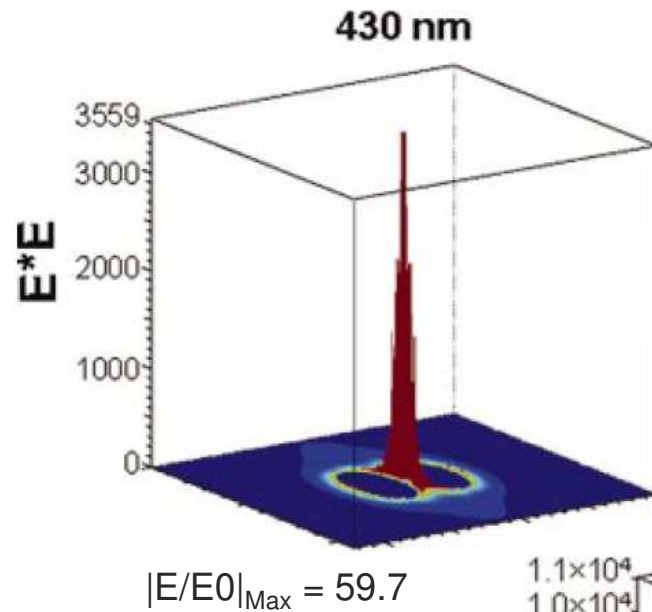
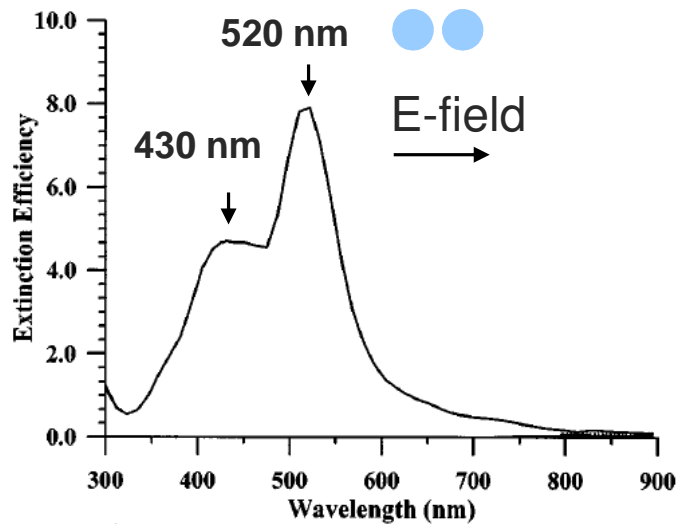
$$\frac{|E_{loc}|^2}{|E_i|^2} = \frac{\gamma_{rad} A_c}{4\pi^2 c^2 \eta \epsilon_0 \lambda_0} \frac{Q^2}{V_{eff}}$$

[1] J. R. Krenn, et al, PRL, vol. 82, 2590, 1999

Factors Affecting the E-field Enhancement

3. The number of particles, dimers

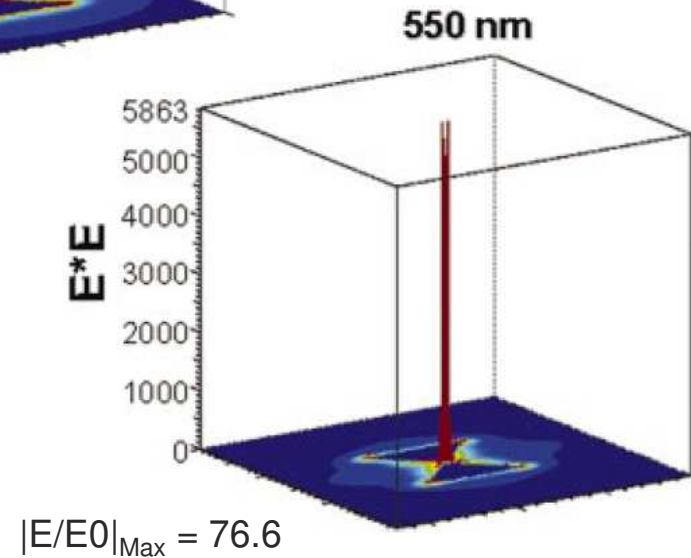
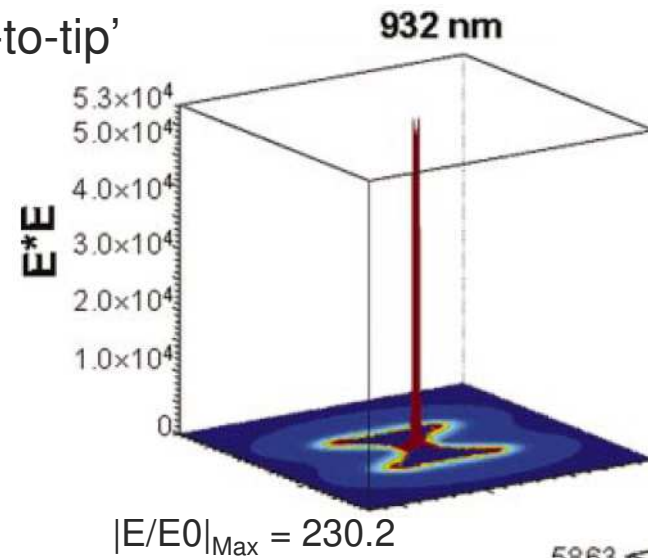
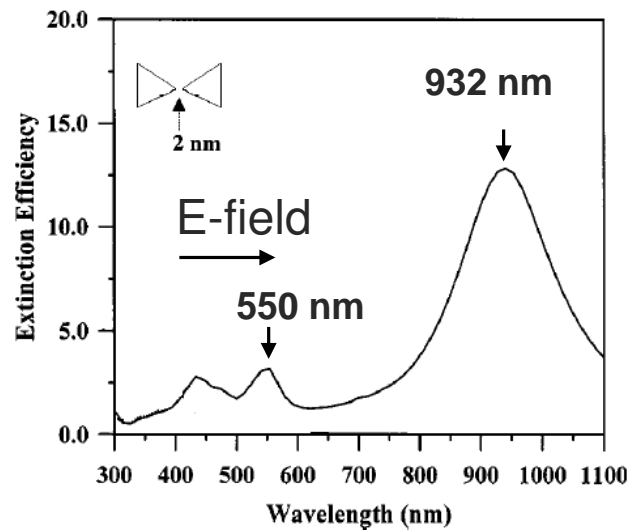
Spherical dimers



Factors Affecting the E-field Enhancement

3. The number of particles, dimers

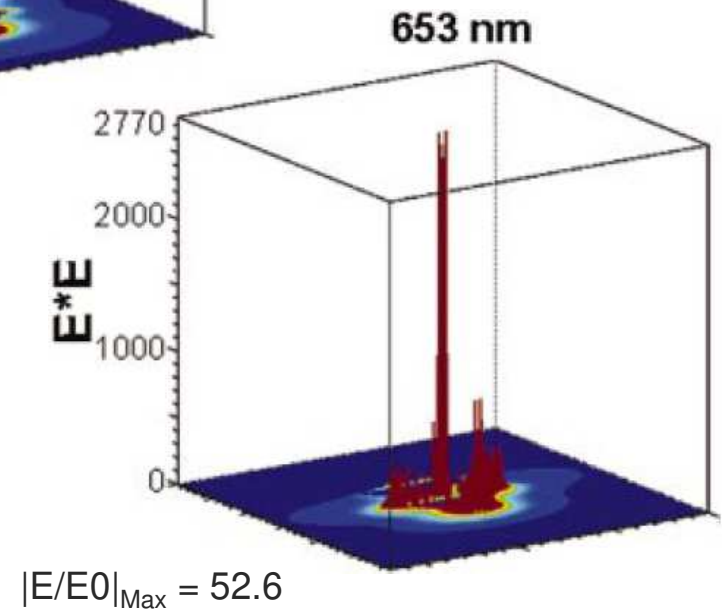
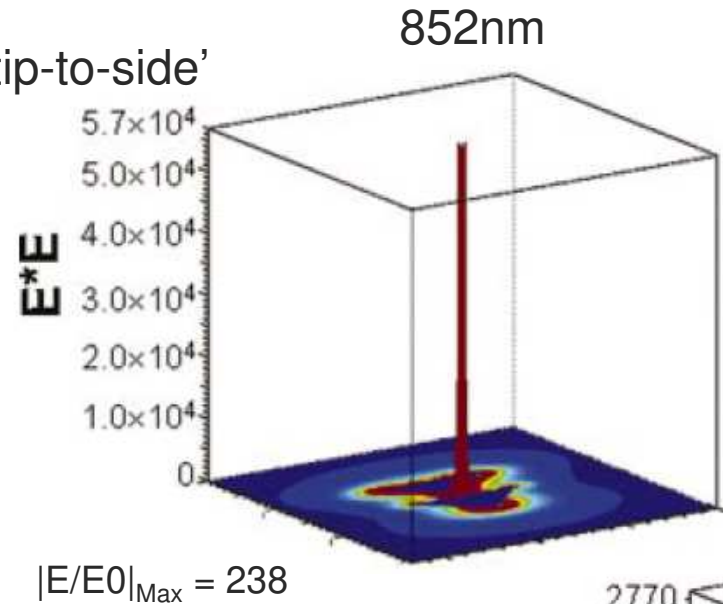
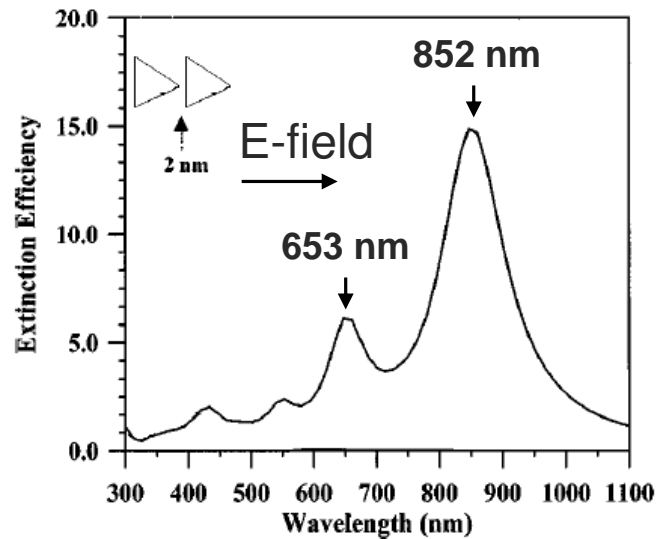
Triangular cylinder dimers, 'tip-to-tip'



Factors Affecting the E-field Enhancement

3. The number of particles, dimers

Triangular cylinder dimers, 'tip-to-side'

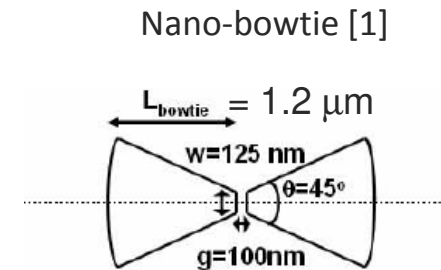
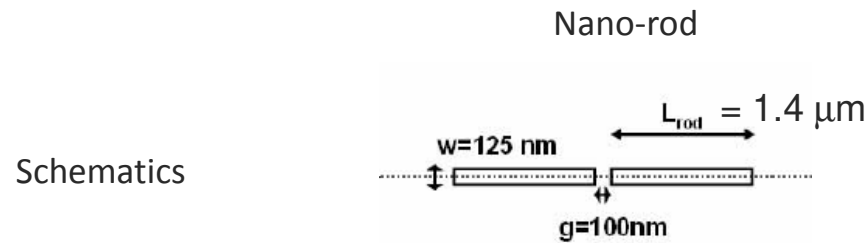


Dimers, Optical Antennas

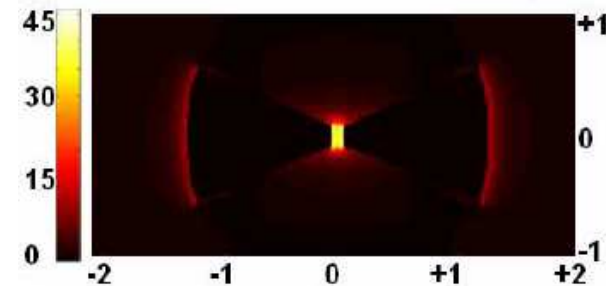
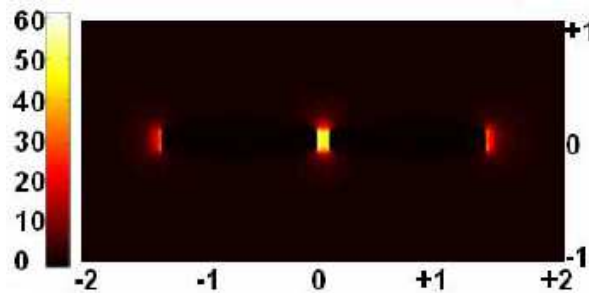
Dimers with high E-field enhancement working at THz

They are called optical antennas.

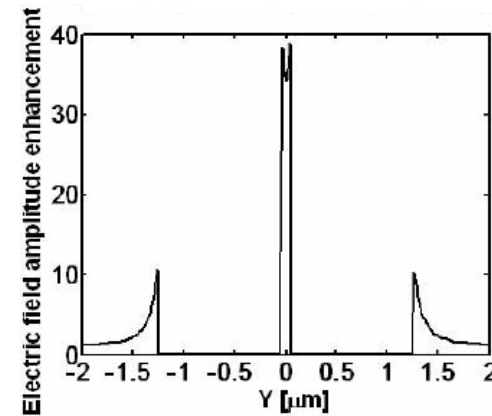
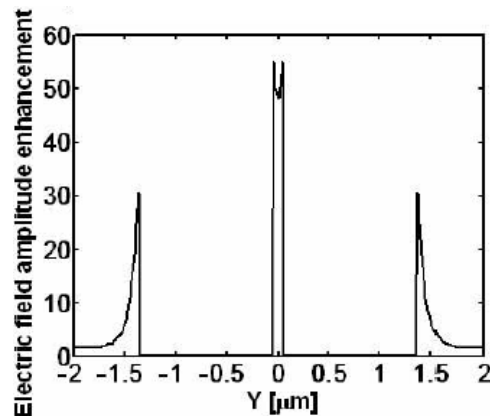
Incident wavelength = $7\mu\text{m}$



FDTD simulations



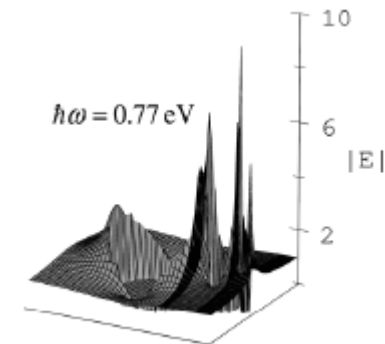
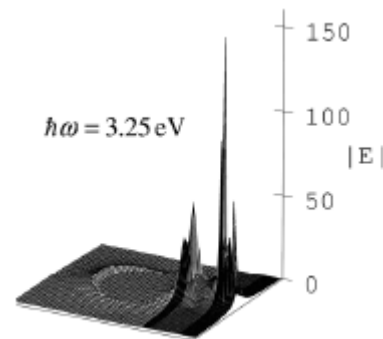
Line scans
(FDTD with adapted meshing)



Factors Affecting the E-field Enhancement

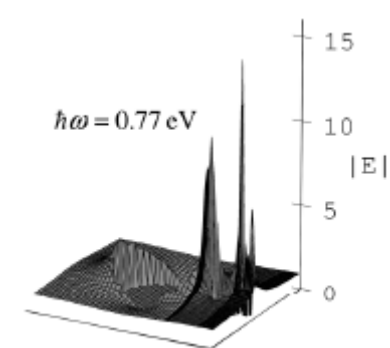
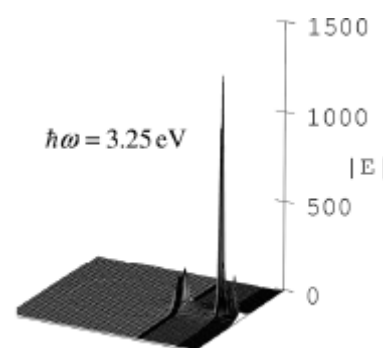
4. The space between the particles

$$d_{i,i+1} = 0.6R_{i+1}$$



$$R_{i+1}/R_i = 1/3$$

$$d_{i,i+1} = 0.3R_{i+1}$$

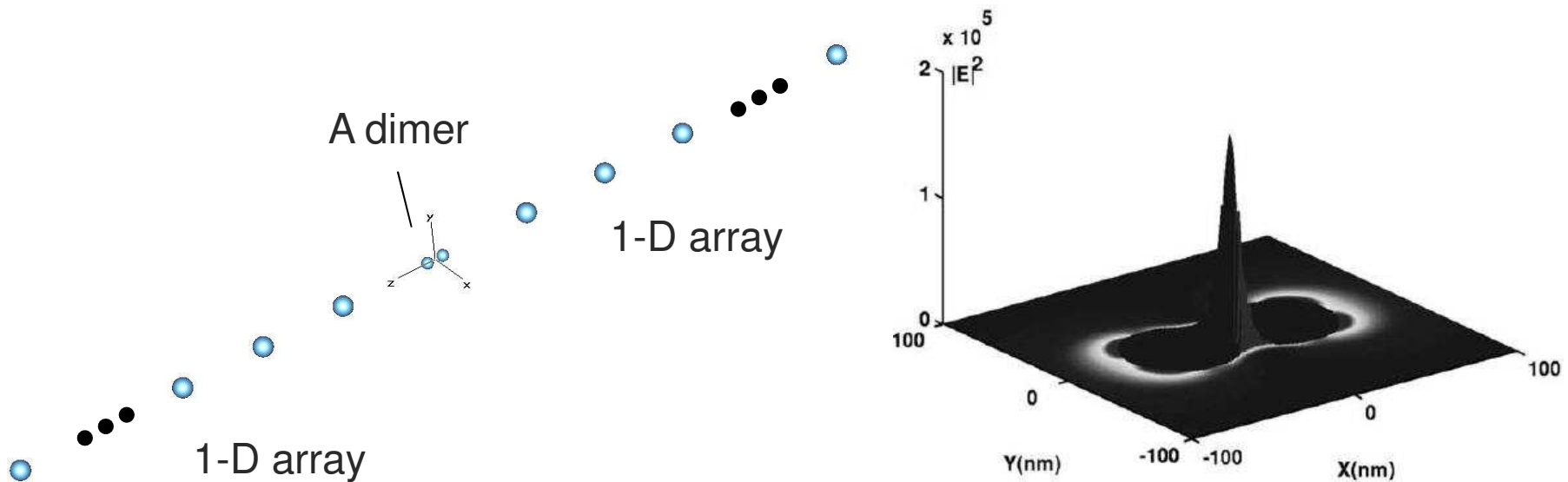


A self-similar chain of three silver nanospheres at different distance between successive spheres [1]

[1] K. Li, et al, PRL, vol. 91, 227402, 2003

Factors Affecting the E-field Enhancement

5. The periodicity



A dimer (radius=30nm) in a 1-D sphere array (radius=50nm, period=470nm) [1]

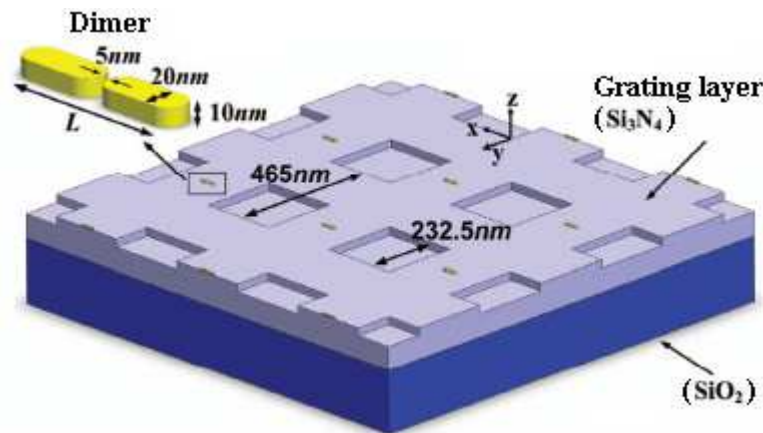
$|E/E_0| = 400$ at 471.4nm, 4 times of an isolated dimer

[1] S. Zou, et al, Chemical Physics Letters, vol. 403, page 62, 2005

E-field Enhancement

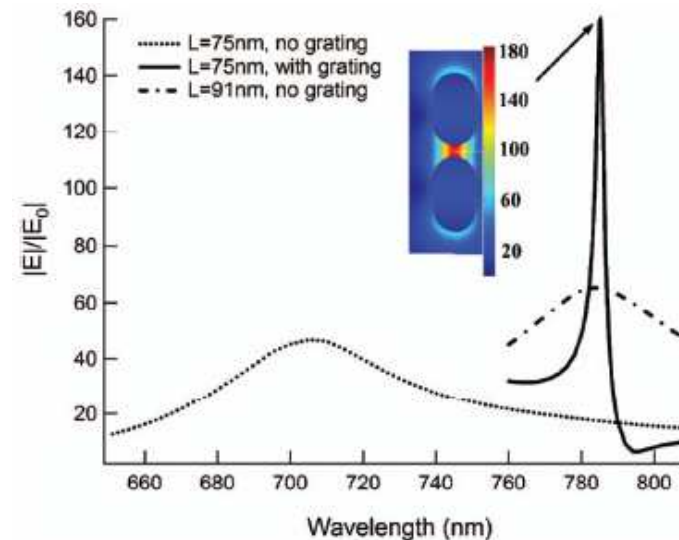
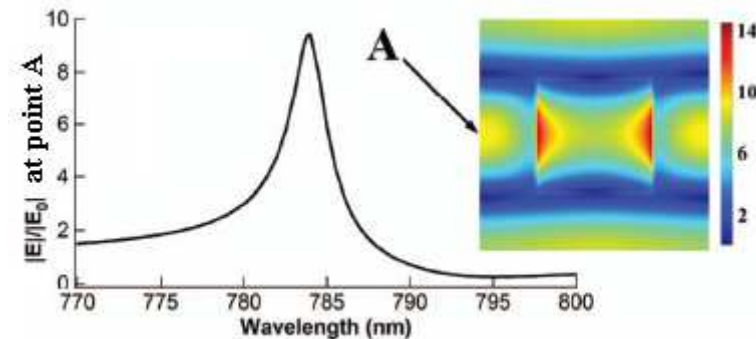
Using structures with grating to provide additional enhancement at resonance [1]

A silver dimer placed on top of a Si₃N₄ grating layer on a SiO₂ substrate



- The dimension of the grating surface is determined to resonate at 785 nm based on rigorous coupled wave analysis (x-polarized normal incident waves)
- Location A
 - Max E-fields
 - Place a dimer

A E-field enhancement of 10 at 785 nm



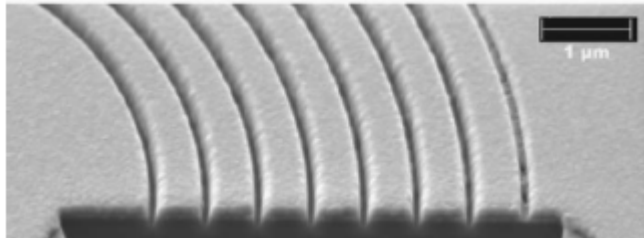
Simulated results using COMSEL. The dimer is resonating by tuning L (L=75 with grating, L = 91 without grating)

[1] J. Li et al, APL, vol. 94, 263114, 2009

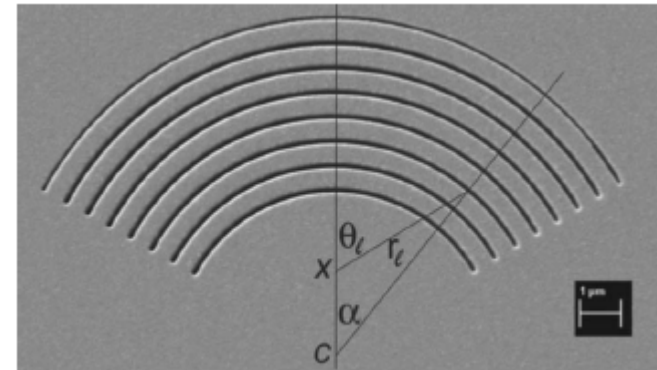
Other SERS Active Substrates

A large variety of structures show / predicted to have large E-field enhancements.

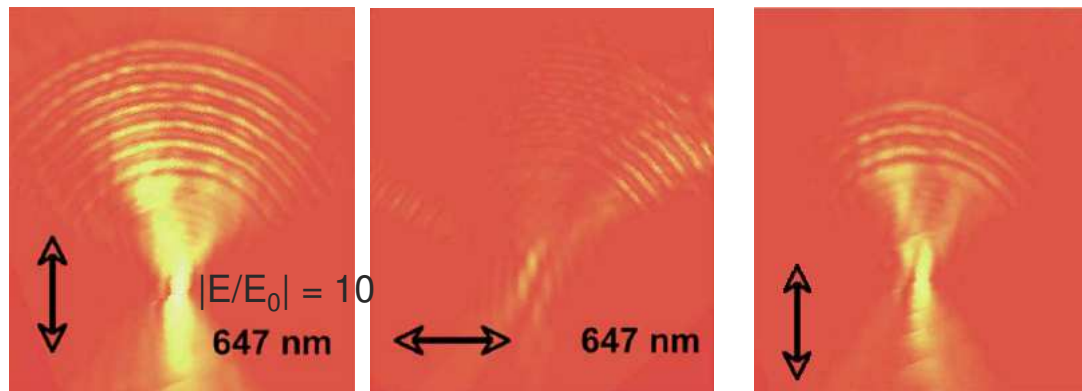
Concentric Arcs Nanoslits



Cross-section [1]



Plane view



Eight arcs

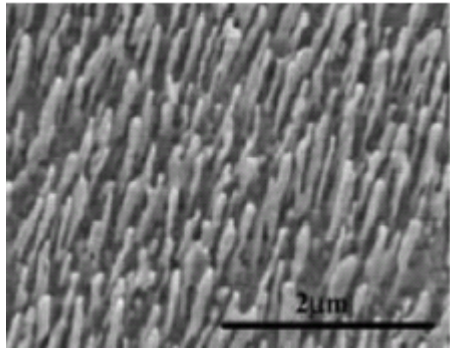
Four arcs

Near-field scanning optical microscope images of the surface plasmon polaritons

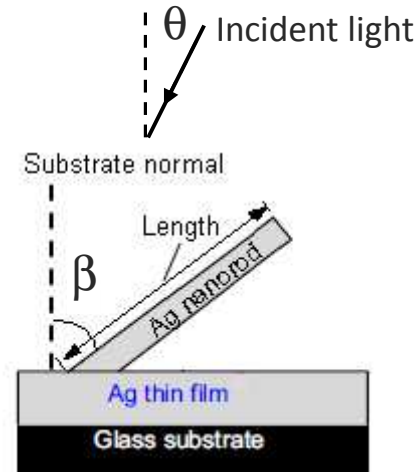
[1] J. T. Bahns, et al, APL, vol. 91, 081104, 2007

Other SERS Active Substrates

Oblique angle deposited Ag nanorod arrays [1][2]



A top view scanning electron microscopy (SEM) image



2-D cross-sectional schematics

E-field enhancement factor of more than 100 is obtained when

- The rod with 508.29 ± 44.86 nm;
- Tilting angle, $50^\circ < \beta < 60^\circ$;
- $L/\text{diameter}$ of the rod = 5.69 ± 1.49

When $\beta = 73^\circ$, the optimal incident angle $\theta = 45^\circ$ [3]

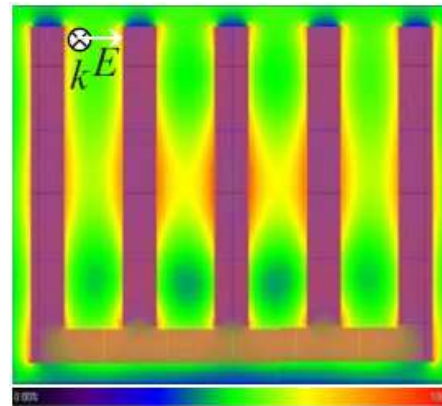
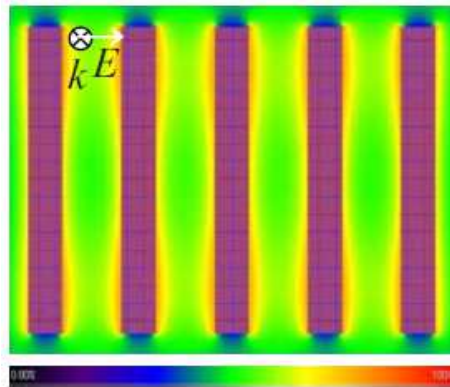
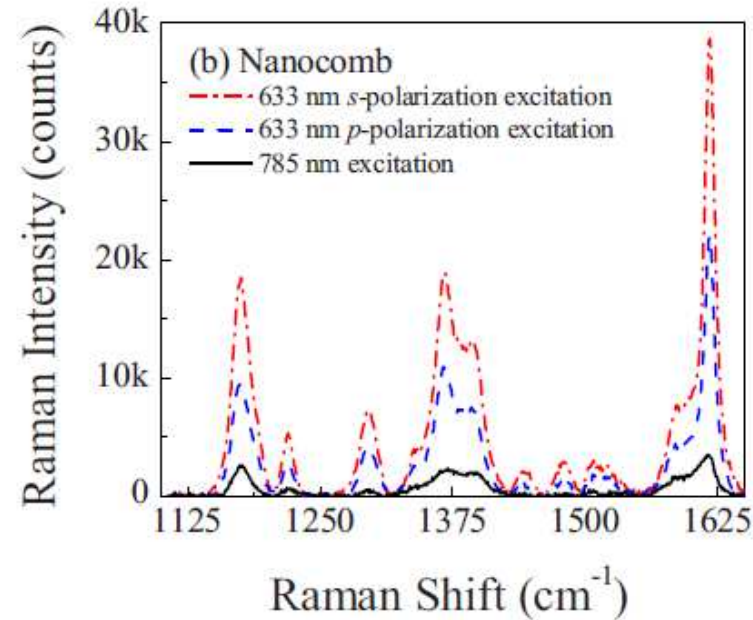
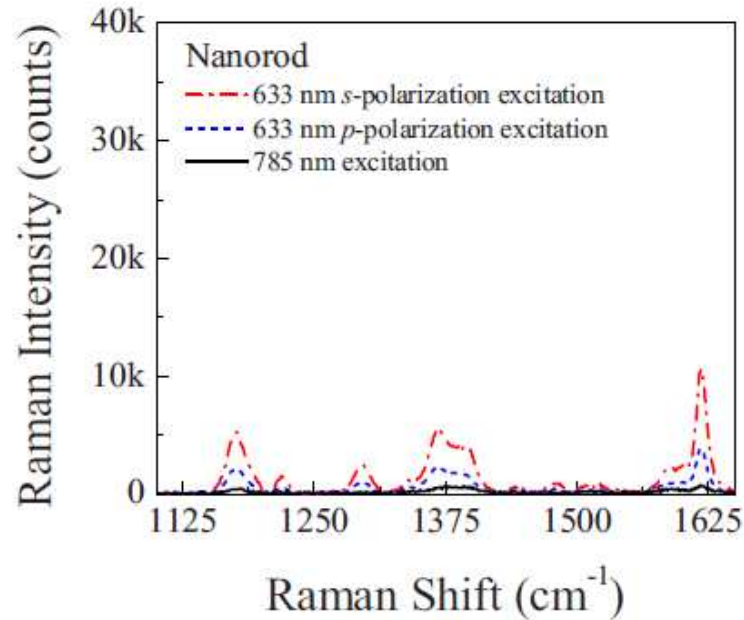
[1] S. B. Chaney, et al, APL, vol. 87, 031908, 2005

[2] Y-J. Liu, et al, PR-B, vol. 78, 075436, 2008

[3] Y-J. Liu, et al, APL, vol. 89, 173134, 2006 41

Other SERS Active Substrates

Effects of the Ag thin film for oblique angle deposited Ag nanorod arrays [1]



E-field distribution at 633 nm (FDTD)

[1] Y-J. Liu, et al, APL, vol. 94, 033103, 2009

Computation for SERS

Possible contributions: to describe the electrodynamics of nanoparticles with

- Arbitrary shapes
- Degree of aggregation
- Complex external dielectric environments

Methods

- MIE scattering theory >> metal spheres
- Quasi-static approximation >> nanostructure of regular geometries
- Numerical methods
 - Discrete dipole approximation (DDA)
 - FDTD
 - T-matrix methods (multiple multipole method)
 - Modified long wavelength approximation (MLWA)

Expected outputs

- Extinction efficiency (far-field) >> resonance of the structure
- Near-field E-field (SERS enhancement) >> suitable location for molecules

Raman Scattering

- Main applications:
 - Spectroscopic chemical analysis
 - Laser technology
- Raman spectroscopy

– The laser light interacts with molecular vibrations, phonons, or other excitations in the system

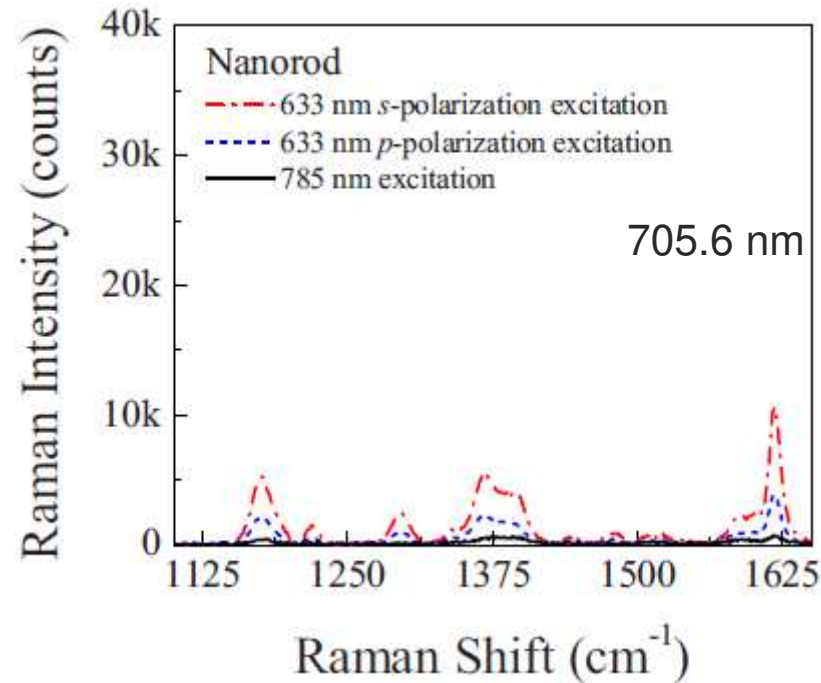


The energy of the laser photons being shifted up (anti-Stokes) or down (Stokes)

– The shift in energy gives information about the phonon modes in the system.

- Raman lasers: achieve gain through stimulated Raman scattering (SRS) process

Raman Spectrum



Raman Lines

- The linewidth: it depends on the lifetime of the vibrational excitation
- The intensity: it depends on the effective scattering cross section (the light-matter interaction)

Summary

- Introduction
- Single molecule detections
- Working principles
- Enhancement of E-fields