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# Sustained Complete Responses in Patients With Lymphoma Receiving Autologous Cytotoxic T Lymphocytes Targeting Epstein-Barr Virus Latent Membrane Proteins

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See accompanying article on page 830 and Listen to the podcast by Dr Timmerman at www.jco.org/podcasts

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#### Δ S R Δ C T

#### Purpose

Tumor cells from approximately 40% of patients with Hodgkin or non-Hodgkin lymphoma express the type II latency Epstein-Barr virus (EBV) antigens latent membrane protein 1 (LMP1) and LMP2, which represent attractive targets for immunotherapy. Because T cells specific for these antigens are present with low frequency and may be rendered anergic by the tumors that express them, we expanded LMP-cytotoxic T lymphocytes (CTLs) from patients with lymphoma using autologous dendritic cells and EBV-transformed B-lymphoblastoid cell lines transduced with an adenoviral vector expressing either LMP2 alone (n = 17) or both LMP2 and  $\Delta$ LMP1 (n = 33).

### Patients and Methods

These genetically modified antigen-presenting cells expanded CTLs that were enriched for specificity against type II latency LMP antigens. When infused into 50 patients with EBVassociated lymphoma, the expanded CTLs did not produce infusional toxicities.

#### Results

Twenty-eight of 29 high-risk or multiple-relapse patients receiving LMP-CTLs as adjuvant therapy remained in remission at a median of 3.1 years after CTL infusion. None subsequently died as a result of lymphoma, but nine succumbed to complications associated with extensive prior chemoradiotherapy, including myocardial infarction and secondary malignancies. Of 21 patients with relapsed or resistant disease at the time of CTL infusion, 13 had clinical responses, including 11 complete responses. T cells specific for LMP as well as nonviral tumor-associated antigens (epitope spreading) could be detected in the peripheral blood within 2 months after CTL infusion, but this evidence for epitope spreading was seen only in patients achieving clinical responses.

## Conclusion

Autologous T cells directed to the LMP2 or LMP1 and LMP2 antigens can induce durable complete responses without significant toxicity. Their earlier use in the disease course may reduce delayed treatment-related mortality.

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# INTRODUCTION

Antigen-specific T cells targeting immunodominant viral antigens from cytomegalovirus and Epstein-Barr virus (EBV) have been used with dramatic success to treat viral reactivation after bone marrow transplantation.<sup>1-4</sup> In particular, donor-derived EBVspecific T cells produced complete responses (CRs) resulting in durable remissions in more than 70% of patients with EBV-associated post-transplantation lymphoproliferative disease (PTLD) with minimal in-

fusional toxicity.<sup>5,6</sup> However, PTLD, an EBV type III latency tumor expressing highly immunogenic EBV-derived antigens, can only develop in an immunocompromised host. By contrast, EBVassociated tumors of the immunocompetent host with Hodgkin lymphoma (HL; 40% of tumors) or non-Hodgkin lymphoma (NHL; 20% of diffuse large B-cell lymphomas [DLBCLs] and > 90%natural killer [NK]/T-cell NHL nasal type) are associated with type II EBV latency, where only restricted, weakly immunogenic (subdominant)

EBV antigens (latent membrane protein 1 [LMP1], LMP2, and EBNA1) are expressed.<sup>7-9</sup>

The frequency of T cells specific for type II latency antigens in patients with type II latency tumors is low, and such T cells may be rendered anergic in the tumor microenvironment.<sup>10,11</sup> Nonetheless, some immunocompetent patients with relapsed EBV-associated HL enter CR after treatment with autologous EBV-specific cytotoxic T lymphocytes (CTLs), even when these lines contain only low frequencies of LMP-specific T cells LMP-CTLs.<sup>12,13</sup>

To enhance activity against EBV type II latency lymphomas, we developed approaches that increase the frequency of relevant EBVlatency antigen-specific T cells and conserve the memory T-cell populations likely needed for long-term persistence and sustained antitumor responses. We used adenoviral vector (AdV) -transduced dendritic cells (DCs) and EBV-transformed B-lymphoblastoid cell lines (LCLs) as antigen-presenting cells to activate and expand LMPspecific T cells.14 We initially used an AdV-encoding LMP2 antigen alone<sup>15</sup> and subsequently used AdV-encoding LMP2 and LMP1, the latter in truncated form to reduce toxicity and potential oncogenicity.15,16 We infused LMP-CTLs into 50 patients who had either relapsed/resistant EBV-positive HL or NHL (n = 21) or were in remission from high-risk or multiple-relapse disease (n = 29). We now report the clinical responses to CTL treatment; the phenotype, fate, and antitumor function of the infused CTLs; and the development of epitope spreading beyond the initially targeted EBV antigens, which may promote and sustain the antitumor response.

# **PATIENTS AND METHODS**

#### Patients and LMP Status of the Tumors

The protocol for the use of LMP-CTLs as therapy for lymphoma was approved by the US Food and Drug Administration, Recombinant DNA Advisory Committee, and Baylor College of Medicine Institutional Review Board and Institutional Biosafety Committee. Patients were eligible for this study if they had EBV-associated type II or III latency HL or NHL detected by immunohistochemistry for LMP1 and/or in situ hybridization for EBER.<sup>17</sup>

Patients—who either had relapsed after receiving standard therapy (Table 1) or were considered at high risk for relapse (Table 2)—received two infusions of T cells 2 weeks apart in the General Clinical Research Center of Texas Children's Hospital or the Methodist Hospital, where their vital signs were monitored before and immediately after infusion. If patients had a partial response (PR) or stable disease 8 weeks after receiving CTLs, they were eligible to receive eight additional CTL infusions, consisting of the same number of cells as their second injection. After completing the dose-escalation component for the LMP1/2 study and finding no difference in outcome with dose, we amended the study so additional patients could be treated at the first dose level. Total doses of CTLs received are shown in Tables 1 and 2. Analysis of disease response to CTL therapy was performed using International Working Group response criteria, and scans were reviewed by an independent radiologist.<sup>18,19</sup>

#### Generation of LMP-Specific CTLs

The generation of Good Manufacturing Practice (GMP) –grade LMP-CTLs was performed as previously published.<sup>13,20</sup> Immature DCs were transduced with either the Ad5f35LMP2<sup>14</sup> or Ad5f35 $\Delta$ LMP1-I-LMP2 vector<sup>15,21</sup> and matured. Before coculture with peripheral blood mononuclear cells (PBMCs) with or without interleukin-15, DCs were gamma irradiated (30 Gy). From day 10, responder T cells were restimulated weekly with irradiated LCLs transduced with the same LMP vector. At the time of final cryopreservation, the patient-derived CTLs contained both effector-memory populations (CD62L-, CD45RA-) and central memory populations (CD62L+, CD45RA-) and comprised both CD4+ and CD8+ T cells.<sup>22,23</sup> Fewer than 1% of cells expressed monocyte or B-cell markers.

# Cytotoxicity Assays

The cytotoxic specificity of each CTL line was analyzed in a standard 4-hour chromium-51 release assay as described.<sup>24</sup> Details are provided in the Appendix (online only).

# Immunophenotyping

Details are provided in the Appendix (online only).

#### LMP Multimers and Peptides

To detect LMP T cells in the CTL products and in PBMCs, we used pentamers (Proimmune, Springfield, VA) as previously described.<sup>17</sup> Panels of 15-mer peptides (overlapping by 11 amino acids) covering the entire amino acid sequence of LMP1 and LMP2 from the white prototype EBV strain B95-8 were synthesized as previously described.<sup>25-28</sup> For LMP1, 10 peptide pools were prepared using a strategy similar to that used for LMP2.<sup>29</sup>

## Enzyme-Linked Immunospot Assay

Enzyme-linked immunospot (ELISPOT) analysis was used to determine the frequency of T cells secreting interferon gamma (IFN- $\gamma$ ) in response to EBV- and tumor-associated antigen (TAA) pepmixes (JPT Peptide Technologies, Berlin, Germany) or LCLs as previously described.<sup>26</sup> Details are provided in the Appendix (online only).

#### Statistical Analysis

Survival data were analyzed using the Kaplan-Meier method, and comparisons between groups were performed with the log-rank test. Overall survival (OS) was calculated from the time of first CTL infusion to death resulting from any cause; observations were censored at the date of last follow-up. Event-free survival (EFS) was calculated from the time of first CTL infusion to the date of relapse, death, or last follow-up, whichever occurred first. Cumulative incidence was estimated using the competing risk method described by Gray.<sup>30</sup> *P* values less than .05 were considered statistically significant. Additional details are provided in the Appendix (online only).

# RESULTS

### **Patient Characteristics**

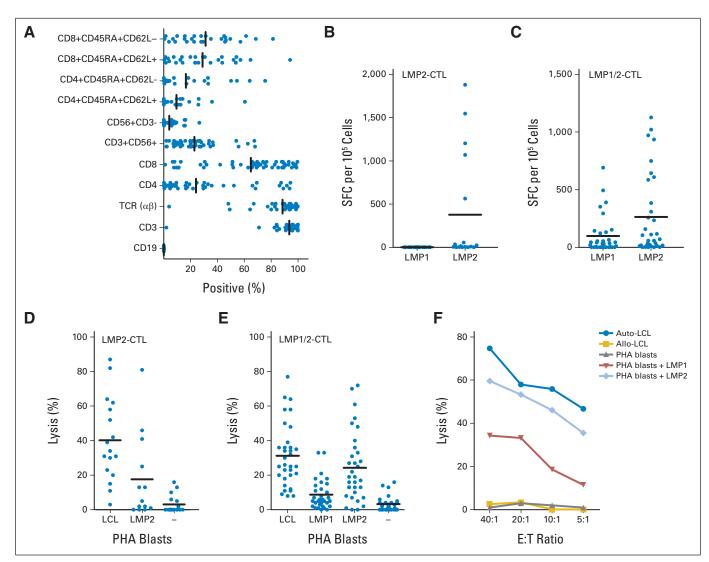
Ninety-five patients had EBV-positive tumors and elected to proceed with LCL and CTL generation. LMP1/2-specific CTLs were generated from 52 patients. Of the remaining 43 patients, 26 patients were ineligible. Nine patients (9.5%) died before completion and release of the CTL line, and in eight patients (9%), we were unable to generate the LCL or CTL line. Fifty patients received LMP-CTLs. All 50 patients had type II or III EBV-positive lymphoma as evaluated by EBER and/or LMP1 positivity and the presence or absence of known immune deficiency (Tables 1 and 2; Appendix Table A1, online only). Ages ranged from 7 to 79 years (median, 44.5 years), and initial disease presentation ranged from stage IA to IVB. Patients were recruited from 18 centers in the United States and internationally. We analyzed EFS and assessed the influence of underlying disease and other variables on outcome by stratifying patients into disease and treatment groups, as outlined:

*Histologic classification.* Twenty-five patients had HL, 11 had NK/T-cell NHL, seven had DLBCL, two had PTLD, one had peripheral T-cell NHL, and four had other lymphomas, including chronic active EBV infection and lymphomatoid granulomatosis (Appendix Table A1, online only).

*Classification by disease stage.* Twelve patients received CTLs as adjuvant therapy after entering initial remission of disease, which was considered to pose a high risk of relapse (eg, NK/T-cell lymphomas, primary refractory lymphomas, and lymphomas developing in immunocompromised host). The remaining 17 patients were in subsequent remissions after one to six relapses, thus generating a first- or

					Table 1. Patient Characteristics: Active Disease Cohort	lort				
	Age		Disease/EBV	No. of		ALC at Time	Total CTL	Toxicity Attributed to	Response	
UPN	(years)	) Sex	< Latency Type	Relapses	Most Recent Treatment	of Infusion	Dose	CTLs	to CTLs	Outcome
LMP2-specific CTL protocol										
824	00	Σ	T-cell CAEBV, latency II	2	AlloSCT (busulfan/cyclophosphamide/alemtuzumab)	728	$4 \times 10^{7}/m^{2}$	None	PR	Relapse at 4 years
652	39	Σ	DLBCL, latency II	2	Brentuximab	649	$8 \times 10^7/m^2$	None	CR	Relapse at 10 months
606	30	ш	HL/CVID, latency III	2	ABVD, rituximab	4,998	$8 \times 10^{7}/m^{2}$	None	CR	Durable CR (9+ years)
1316	54	Σ	NK/T-cell NHL, latency II	0	RT, CHOP	802	$1.2 \times 10^{8} \text{/m}^{2}$	None	CR	Durable CR (5+ years)
1187	17	Σ	HL, latency II	м	AutoSCT (BEAM)	334	$1.2 \times 10^8/m^2$	None	NR	NR
1006	19	Σ	HL, latency II	9	ESHAP	390	$1.2 \times 10^{8} / m^{2}$	None	NR	NR
1160	15	ш	HL/CVID, latency III	0	VP16, doxorubicin, rituximab	1,483	$3 \times 10^{8} / m^{2}$	None	CR	Durable CR (7+ years)
1054	64	ш	NK/T-cell NHL, latency II	С	R-CHOP	479	$3.2 \times 10^{8} \text{/m}^{2}$	None	CR	Relapse at 9 months
LMP1/2-specific CTL protocol										
1372	69	Σ	NK/T-cell NHL, latency II	-	CHOP, RT	671	$4 \times 10^7/m^2$	None	CR	Durable CR (5+ years)
1371	21	Σ	HL, post-SOT, latency III	-	Ifosfamide, vinorelbine, Ara-C, VP16, cisplatin, RT	476	$4 \times 10^7/m^2$	None	CB	Durable CR (5+ years)
2051	30	ш	T-cell CAEBV, latency II	0	CHOP, VP16, dexamethasone, acyclovir	1,308	$4 \times 10^{7}$ /m <sup>2</sup>	None	NR	NR
2336	52	Σ	DLBCL/PTLD, latency III	0	No treatment	444	$4 \times 10^{7}/m^{2}$	None	CR	Durable CR (2+ years)
2266	27	ш	HL, latency II	Ю	SGN-35, bendamustine	446	$4 \times 10^{7}/m^{2}$	None	NR	NR
2457	16	Σ	HL, latency II	0	COG protocol (AHOD0031)	1,332	$4 \times 10^7/m^2$	None	NR	NR
1811	60	Σ	NK/T-cell NHL, latency II	0	RT, hyperCVAD, high-dose MTX	411	$1 \times 10^{8} / m^{2}$	Inflammatory	NR	NR
								response?		
1409	18	Σ	NK/T-cell NHL, latency II	0	RT, CHOP	413	$1.2 \times 10^{8} / m^{2}$	None	NR	NR
1545	79	Σ	LYG, latency III	0	No treatment	659	$1.2 \times 10^{8} / m^{2}$	None	CR	Durable CR (4+ years)
1351	46	ш	HL, latency II	ო	AutoSCT (BEAM)	1,889	$2 \times 10^{8}$ /m <sup>2</sup>	None	PR	Received T cells on second
										protocol → durable CR
										(5+ years)
1356	28	ш	DLBCL, latency II	-	No treatment	1,730	$3 \times 10^{8}$ /m <sup>2</sup>	None	NR	NR
1990	65	Σ	CLL/DLBCL, latency III	0	FCR, alemtuzumab	732	$3 \times 10^{8}$ /m <sup>2</sup>	Inflammatory	CR	Durable CR (1 + year); died
								response?		as result of infection
1656	55	Σ	NK/T-cell NHL, latency II	0	RT	808	$5 \times 10^{8} / m^{2}$	None	PR→CR	Durable CR (4+ years)
Abbreviations: ABVD, doxo carmustine, etoposide, cytar Group: CR, complete resor methylprednisolone, cytarab methotrexate; LMP, latent post-transplantation lymphor	rubicin, abine, m onse; C <sup>7</sup> vine, cis membr	bleon nelpha TL, cy platin ane f	nycin, vinblastine, dacarba Ilan; CAEBV, chronic active (totoxic T lymphocyte; C/ ; FCR, fludarabine, cyclor protein; LYG, lymphoid g isease; R-CHOP, rituximat	zine; AL EBV infe VID, com ohosphar ranuloma	Abbreviations: ABVD, doxorubicin, bleomycin, vinblastine, dacarbazine; ALC, absolute lymphocyte count; alloSCT, allogeneic stem-cell transplantation; Ara-C, cytarabine; autoSCT, autologous SCT; BEAM, carmustine, etoposide, cytarabine, melphalan; CAEW, chronic active EBV infection; CHOP, cyclophosphamide, doxorubicin, vincristine, prednisone; CLL, chronic lymphocytic leukemia; COG, Children's Oncology Group; CR, complete response; CTL, cytotoxic T lymphocyte; CVID, common variable immunodeficiency disease; DLBCL, diffuse large B-cell lymphorna; EBV, Epstein-Barr virus; ESHAP, etoposide, methylprednisolone, cytarabine, cisplatin; FCR, fludarabine, cyclophosphamide, rituximab; HL, Hodgkin lymphorma; hyperCVAD, cyclophosphamide, doxorubicin, vincristine, dexamethasone, cytarabine, methotrexate; LMP, latent membrane protein; LYG, lymphoid granulomatosis; MTX, methotrexate; NHL, non-Hodgkin lymphoma; NK, natural killer; NR, no response; PR, partial response; PTLD, post-transplantation lymphoproliferative disease; R-CHOP, rituximab plus CHOP; RT, radiation therapy; SGN35, brentuximab vedotin; SOT, solid organ transplantation; UPN, unique patient number; VPIG, post-transplantation lymphoproliferative disease; R-CHOP, rituximab plus CHOP; RT, radiation therapy; SGN35, brentuximab vedotin; SOT, solid organ transplantation; UPN, unique patient number; VPIG, post-transplantation lymphoproliferative disease; R-CHOP, rituximab plus CHOP; RT, radiation therapy; SGN35, brentuximab vedotin; SOT, solid organ transplantation; UPN, unique patient number; VPIG, post-transplantation lymphoproliferative disease; R-CHOP, rituximab plus CHOP; RT, radiation therapy; SGN35, brentuximab vedotin; SOT, solid organ transplantation; UPN, unique patient number; VPIG, post-transplantation lymphoproliferative disease; R-CHOP, rituximab plus CHOP; RT, radiation therapy; SGN35, brentuximab vedotin; SOT, solid organ transplantation; UPN, unique patient number; VPIG, plus,	stem-cell trai stine, prednis diffuse larg /AD, cycloph mphoma; NK vedotin; SOT	asplantation; A one; CLL, chro e B-cell lymph osphamide, dd c, natural kille , solid organ t	ra-C, cytarabin nic lymphocyti noma; EBV, El oxorubicin, vin r; NR, no res ransplantation;	e; autoSCT c leukemia; ostein-Barr cristine, de ponse; PR, UPN, uniq	, autologous SCT; BEAM, COG, Children's Oncology virus: ESHAP, etoposide, xamethasone, cytarabine, partial response; PTLD, ue partient number; VP16,
etoposide.										

								Toxicity	
NPN	Age (years)	) Sex	Disease	No. of Relapses	Most Recent Treatment	ALC at Time of Infusion	Total CTL Dose	Attributed to CTLs	Outcome (time post-CTLs)
LMP2-specific CTL protocol									
959	57	ш	DLBCL, latency II	2	AutoSCT (BEAM-R)	706	$4 \times 10^{7} / m^{2}$	None	Relapse (2 months); died as result of complications related to alloHSCT (2 years)
871	99	ш	DLBCL, latency II	ю	AutoSCT (BEAM-R)	2,321	$4 \times 10^{7}/m^{2}$	None	CCR; died as result of secondary malignancy (6 years)
964	50	ட	HL, latency II	4	Liposomal vincristine	819	$4 \times 10^{7}$ /m <sup>2</sup>	None	CCR; died as result of second cancer (2 years)
1053	30	Σ	HL, latency II	4	ABVD	740	$1.2 \times 10^{8} \text{/m}^{2}$	None	CCR; died as result of secondary MDS (7 years)
1263	52	Σ	NK/T-cell NHL, latency II	0	RT, CHOP	2,259	$1.2 \times 10^{8}/m^{2}$	None	CCR (6+ years)
1286	69	ш	Peripheral T-cell NHL,	Primary refractory	RT, EPOCH, intrathecal MTX	1,184	$1.2 \times 10^{8} / m^{2}$	None	CCR; died as result of complications related to lung
1007	25	ш	H latency II		AutoSCT (busulfan/melphalan/thiotepa)	1 462	$1.2 \times 10^{8} \text{/m}^{2}$	None	CCR (8+ vears)
1272	59	Σ	HL, latency II		AutoSCT (BEAM)	1,338	$1.2 \times 10^{8}/m^{2}$	None	CCR; died as result of infection (3 years)
1057	7	Σ	HL, immune suppressed, latency III	0	Rituximab	3,494	$3 \times 10^8/m^2$	None	CCR (7+ years)
LMP1/2-specific CTL									
2053	48	Σ	NK/T-cell NHL, latency II	0	VP16, dexamethasone, ifosfamide,	1,033	$4  imes 10^7/m^2$	None	CCR (2+ years)
2056	56	ш	DLBCL, latency II	9	R-CHOP	672	$4 \times 10^7/m^2$	None	Not evaluable; died as result of cardiac disease (< 8 $\dots$
7313	99	Σ	HI latency II	-	ABVD	110	$4 \times 10^{7}$ /m <sup>2</sup>	Anon	Meeks) CCB: diad as result of infantion (1 vears)
2122	17	2		- <	SGN 26	760	$1 < 10^{7}$ /m <sup>2</sup>		
1369	- 22	2 2	H latency II	t <del>-</del>		968	$4 \times 10 / m^2$ $4 \times 10^7 / m^2$	None	CCII (I + Yeals) CCR (F+ veare)
0001	2 6						1 ~ 107/2		
1595	5 0	2 2	H latency II		Autosci (BEAM) RT	285	$4 \times 10 / m^2$ $4 \times 10^7 / m^2$	None	CCR (4+ vears)
2493	17	Σ	HL and NPC, latency II	0	ABVE-PC	1,035	$4 \times 10^{7}$ /m <sup>2</sup>	None	CCR (1+ years)
1984	65	ш	HL and melanoma, latency	<del>~-</del>	Rituximab, AVD $ imes 4$	530	$6 \times 10^{7}/m^{2}$	None	CCR; died as result of CNS hemorrhage (1 year)
1806	59	ш	III NK/T-cell NHL, latency II	0	AutoSCT (BEAM)	1,145	$1 \times 10^{8} / m^{2}$	None	CCR (2+ years)
1370	61	Σ	HL, latency II	-	ABVD, RT	1,220	$1.2 \times 10^{8} / m^{2}$	None	CCR (5+ years)
1842	38	Σ	HL, latency II	-	AutoSCT (BEAM-R)	347	$1.2 \times 10^{8} / m^{2}$	None	CCR (3+ years)
1884	14	Σ	HL, latency II	Primary refractory	AutoSCT (BEAM), mantle RT	1,114	$1.2 \times 10^{8} / m^{2}$	None	CCR (3+ years)
1905	18	Σ	HL, latency II	Primary refractory	AutoSCT (BEAM)	1,694	$1.2 \times 10^{8} / m^{2}$	None	CCR (3+ years)
1455	47	Σ	NK/T-cell NHL, latency II	0	RT, CHOP	512	$1.2 \times 10^{8} / m^{2}$	None	CCR (4+ years)
1511	62	ш	LYG, immune suppressed, latency III	0	Surgery, HDMTX, rituximab, evclophosnhamide	540	$3 \times 10^{8}$ /m <sup>2</sup>	None	CCR (3+ years)
1888	52	Σ	DLBCL, latency II	0	CHOP-R	472	$3 \times 10^{8}$ /m <sup>2</sup>	None	CCR (3+ years)
2135	43	Σ	NK/T-cell NHL, latency II	Primary refractory	AutoSCT (busulfan/melphalan/gemcitabine)	769	$3 \times 10^{8} / m^{2}$	None	CCR (2+ years)
2368	64	ш	HL, latency II	-	AutoSCT (BEAM-R)	563	$3 \times 10^{8} / m^{2}$	None	CCR (1+ years)
2095	24	Σ	PTLD, immune suppressed, latency III	-	AutoSCT (high-dose melphalan)	3,171	$3 \times 10^{8} / m^{2}$	None	CCR (1+ years)



**Fig 1.** Characteristics of latent membrane protein (LMP) –specific cytotoxic T lymphocyte (CTL) lines derived from patients with Epstein-Barr virus (EBV) –positive lymphoma. Bars indicate median values. (A) Phenotype of LMP-specific CTL lines at time of freezing, showing predominance of CD3 + and CD8 + T cells. Recognition of LMP1 and LMP2 in interferon gamma (IFN-γ) enzyme-linked immunospot (ELISPOT) assay by CTLs, generated with APCs transduced with (B) Ad5f35LMP2 or (C) Ad5f35ΔLMP1-1-LMP2 vector. Spot counts in response to target antigen stimulation by IFN-γ ELISPOT assay of LMP-CTL lines are shown as solid circles. (D) LMP2-specific CTL lines demonstrate cytotoxicity against autologous lymphoblastoid cell lines (LCLs) and LMP2 pepmix–pulsed pHA blasts, at effector cell to target cell (E:T) ratio of 20:1. (E) LMP1/2-specific CTL lines demonstrate similar cytotoxicity against autologous LCL and LMP2 pepmix–pulsed PHA blasts but less against LMP1-pulsed PHA blasts. Unpulsed PHA blasts were not killed. (F) LMP1- and LMP2-specific CTL line generated from patient with relapsed Hodgkin lymphoma. Results represent patients whose CTLs recognized both LMP1 and LMP2. LMP-specific CTL line from this patient showed killing of autologous (auto) LCL and PHA blasts only if pulsed with LMP1 or LMP2 pepmix. There was no killing of PHA blasts alone. Allo, allogeneic; SFC, spot-forming cell.

later-remission cohort (Table 2). An additional 21 patients received CTLs as treatment for relapsed or resistant disease refractory to standard treatment (active disease cohort; Table 1).

*Classification by treatment.* Treatment included T cells enriched for LMP2 versus T cells enriched for LMP1 and LMP2. Seventeen patients received LMP2-enriched T cells, including 16 previously described,<sup>17</sup> whereas 33 received LMP1- and LMP2-enriched T cells (Tables 1 and 2). Overall, 22 CTL lines were derived from patients at diagnosis and 28 after first or subsequent relapse.

# Specificity and Clonality of Ex Vivo-Expanded T Cells

At the time of cryopreservation, CTLs comprised CD8+ T cells (median, 72%; range, 6% to 99%), CD4+ T cells (median, 9%; range,

1% to 94%), and NK cells (CD3-/CD56+; median, 1%; range, 1% to 27%). Although the T-cell phenotype was predominantly effector and effector memory (CD45RA-/CD62L-; median, 31%; range, 2% to 92%), a median 25% (range, 2% to 94%) of the infused T cells were CD45RA-/CD62L+ (Fig 1A). No T regulatory cells (CD4+/CD25+/FoxP3+), B cells, or DCs were detected in the final product. The specificity of the LMP-CTLs was determined with IFN- $\gamma$  ELISPOT assays after stimulation with LMP peptides. Cytotoxicity was tested against LMP-expressing target cells. When available, HLA peptide pentamers were used (data not shown). Of lines generated with Ad5f35LMP2, 53% had LMP2-specific activity, but none had LMP1 activity (Fig 1B; Appendix Table A2, online only). By contrast, 66% lines generated with Ad5f35\DeltaLMP1-LMP2 had LMP1 and/or

LMP2 activity (Fig 1C; Appendix Table A2, online only). Many of the remaining 18 CTL lines were predominantly CD4+ HLA class II restricted, and LMP-specific activity could not be confirmed. Nevertheless, these CD4+ CTLs were cytotoxic and had antitumor activity in vivo. The LMP-directed T cells were also cytolytic to target cells pulsed with peptides derived from LMP2 or LMP1/2 and against EBV LCLs, which also express LMP1 and LMP2 (Fig 1D). As shown in Figures 1E and IF, there was a hierarchy of killing by LMP1/2-specific CTLs: greatest against autologous LCLs, intermediate against targets pulsed with LMP2 peptides, and lowest against targets expressing LMP1. We saw no killing of unpulsed or irrelevant peptide-pulsed targets. The LMP-responding cells were polyspecific because relevant HLA multimers demonstrated the presence of T cells enriched for multiple specificities of LMP1 and LMP2 (Appendix Tables A2 and A3, online only). Flow cytometric analysis confirmed that LMPspecific lines were polyclonal and that a majority of V $\beta$  families were represented (data not shown).

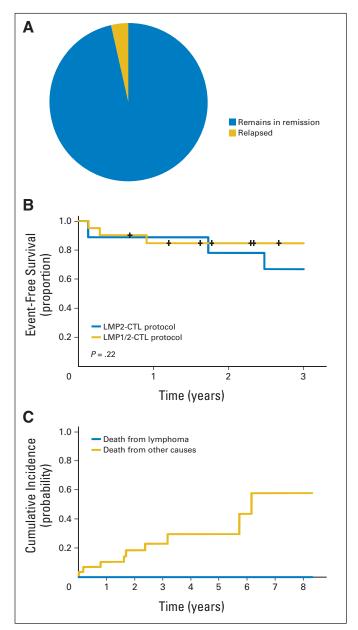
# LMP-Specific CTLs As Adjuvant Therapy

Of the 29 patients in first or later remissions, nine received CTLs generated against LMP2, and 20 received CTLs generated against LMP1/2. One patient died as a result of complications from preexisting cardiac disease before the 8-week disease evaluation, but 27 of the remaining 28 evaluable patients remained in CR (Table 2; Figs 2A and 2B). However, there were nine deaths resulting from nonrelapse causes (Fig 2C), for a 2-year EFS of 82%. Of the 12 high-risk patients treated with CTLs as first-line therapy, one patient (8%) died as a result of complications related to lung transplantation. In contrast, of the 17 patients in remission after receiving T cells for multiple-relapse disease, eight (47%) died (Fig 2C), all of nonrelapse causes associated with extensive prior chemoradiotherapy (Table 2). Univariate analysis found no differences in EFS by LMP2 compared with LMP1/2-specific T cells or lymphoma subtype (P = .22; Fig 2B).

# **Outcome of CTL Therapy for Relapsed Disease**

No immediate or delayed infusional toxicities were attributable to CTL infusion, although one patient had CNS deterioration 2 weeks after infusion. Although this was attributed to disease progression, we cannot exclude an inflammatory response at a site of CNS disease. A second patient developed respiratory complications approximately 4 weeks after the second CTL infusion, coincident with achieving CR. Although this event was attributed to an intercurrent infection, and the patient completely recovered, a systemic inflammatory response syndrome related to CTLs could not be excluded. Overall, 11 of the 21 patients in the active disease cohort achieved CR. Two more achieved PRs, one of whom entered CR after additional CTL therapy (Fig 3A). The probability of response trended higher in recipients with CTL lines containing LMP1 specificity (five of seven responded) versus those receiving lines lacking LMP1 activity (one of six responded), but this trend did not reach significance (P = .103; Fig 3B). The presence of LMP2 specificity had no discernible impact on response rates (Fig 3C). This lack of correlation may be related to the relative insensitivity of the ELISPOT assay, which underestimates the actual frequency of antigen-specific T cells by 10- to 100-fold. Univariate analysis showed no difference in response rates among patients with HL versus NHL, and responses were independent of the total CTL dose.

Overall, the 2-year EFS rate among patients treated for resistant/recurrent disease was approximately 50% for both the LMP2



**Fig 2.** Outcomes in 29 patients in first or later remission who received latent membrane protein (LMP) – cytotoxic T lymphocyte (CTL) as adjuvant therapy. (A) Remissions were sustained in all but one patient. (B) Two-year event-free survival for 29 patients treated with either LMP2- (n = 9) or LMP1/2-specific CTLs (n = 20; P = .22). (C) Cumulative incidence of death resulting from lymphoma or nonlymphoma causes (eg, cardiac disease, secondary malignancy, infection).

and LMP1/2 T-cell groups (P = .626; Fig 3D), with deaths evenly distributed between relapse and nonrelapse causes in both groups (Fig 3E).

# Tumor Responses to Adoptively Transferred LMP-Specific CTL Lines Associated With Increases in Frequency of Circulating LMP-Specific T Cells

We measured changes in the frequency of LMP1- and LMP2specific CTLs in the blood before and after LMP-CTL infusion using IFN- $\gamma$  ELISPOT assays. Figures 4A and 4B shows that most patients

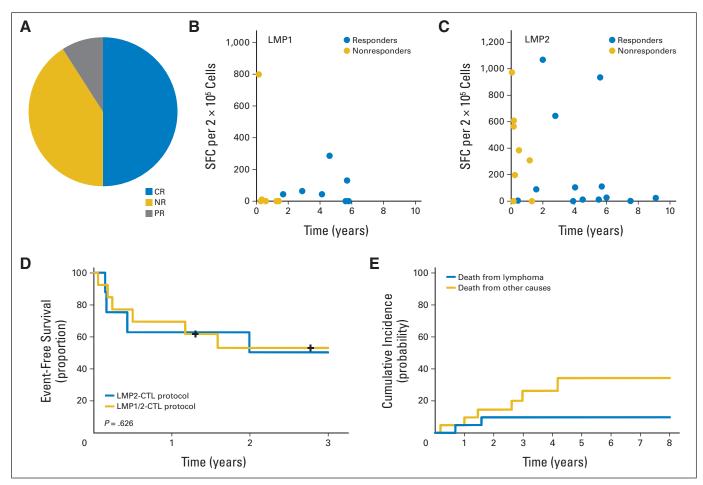


Fig 3. Outcomes in patients who received latent membrane protein (LMP) – cytotoxic T lymphocytes (CTLs) as treatment for relapsed or refractory disease. (A) Distribution of clinical responses among 21 patients. (B) Increased proportion of responding versus nonresponding patients had CTLs with specific activity against LMP1 by interferon gamma enzyme-linked immunospot assay. (C) This relationship was not apparent when analysis was based on CTLs with LMP2-specific activity. (D) Two-year event-free survival for patients treated in LMP2-CTL protocol (n = 8) versus LMP1/2-CTL protocol (n = 13; P = .626). (E) Cumulative risk of death resulting from lymphoma or other causes. CR, complete response; NR, no response; PR, partial response; SFC, spot-forming cell.

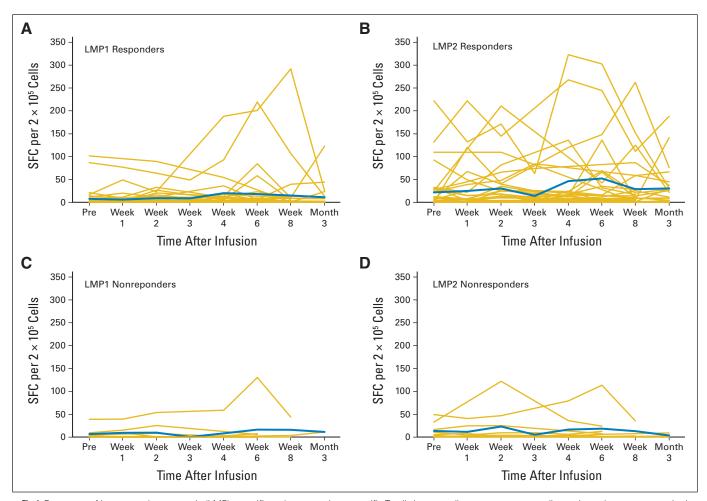
who achieved a clinical response or remained in a durable remission (responders) had circulating LMP1- and/or LMP2-specific T cells. Few nonresponding patients showed this pattern (Figs 4C and 4D). Of note, neither responders nor nonresponders had a concomitant rise in cytomegalovirus-specific T cells. Thus, changes in LMP1/2 T-cell frequency were not simply a marker of a generalized increase in virus reactivity (data not shown). We also evaluated suppressive T regulatory cells (CD4+/CD25+/CD69-) immune reconstitution in patients who received LMP1/2 CTLs. The mean change from 0 to 2 weeks was -50.1% in the nonresponders and 9.7% in the responders; from 2 to 8 weeks, it was 25.8% in the nonresponders and 11.2% in the responders (data not shown).

To determine if an LMP-CTL–mediated attack on tumor cells elicited broader immune reactivity against tumor cells, we investigated 12 cases of NHL or HL in which patients received LMP-CTLs as treatment. We evaluated tumor-specific T-cell populations from seven responding patients and five nonresponding patients to identify epitope spreading. In four of the seven responders, infusion of LMP-directed T cells was followed over an 8-week period by a striking increase in T cells specific for the lymphoma-associated antigens MAGE A4, survivin, and PRAME. In contrast, none of the five nonresponders demonstrated such epitope spreading (Fig 4C).

# DISCUSSION

We administered LMP2- or LMP1/2-specific CTLs to 50 patients with EBV-associated HL or NHL, showing that administration is safe and that 29 patients treated in remission from high-risk or multiple-relapse disease had an 82% EFS rate at 2 years (Fig 2B). Among 21 patients with active disease, 11 entered sustained CR with CTL therapy alone, and two more achieved PRs. Responses were associated with effector and central memory LMP1-specific T cells in the infused population but not with type of disease treated or recipient's lymphopenic status. Strikingly, CRs were seen even in patients with limited apparent in vivo expansion of LMPdirected T cells, and this effect was associated with epitope spreading, as evidenced by the emergence of fresh/endogenous nonviral tumor antigen–directed T cells targeting non-EBV antigens.

Although high cure rates are achievable with conventional therapeutics for patients with HL and NHL, such treatment may



**Fig 4.** Frequency of latent membrane protein (LMP) –specific and tumor antigen–specific T cells in responding versus nonresponding patients. Immune reconstitution was evaluated in individual patients who received LMP–cytotoxic T lymphocytes. (A-D) Peripheral blood T cells were incubated with LMP1 or LMP2 pepmixes. Number of interferon gamma (IFN-γ) spot-forming cells (SFCs) per 2 × 10<sup>5</sup> mononuclear cells was measured in enzyme-linked immunospot (ELISPOT) assays. Gold lines represent individual LMP-specific T cells; blue lines represent mean of LMP-specific T cells over time. Note greater frequencies of reactivity for responding patients. (A) LMP1 responders. (B) LMP2 responders. (C) LMP1 nonresponders. (D) LMP2 nonresponders. (continued)

induce unacceptable organ toxicities and immune suppression and can lead to secondary cancers and cardiac disease. These problems are accentuated in patients receiving salvage therapy after relapse and have led to increasing interest in more targeted immunotherapies such as monoclonal antibodies and more recently T lymphocytes genetically modified with CAR-CD19 constructs.<sup>31-37</sup> However, monoclonal antibodies have a limited half-life and require repeated infusions, and when targeted to a pan-B-cell antigen, they deplete the normal B-cell pool for at least 6 months. CAR-CD19-modified T cells require prior lymphodepleting chemotherapy, may be associated with a systemic inflammatory response syndrome, and deplete normal B cells indefinitely. By contrast, T cells targeting viral antigens via their native T-cell receptors persist long-term, do not require prior chemotherapy to potentiate their action, have minimal toxicity, and do not eliminate healthy tissues.

EBV-directed T-cell therapy for PTLD, which displays a type III latency motif, is a robust model for immunotherapy because of the highly immunogenic nature of these tumors. Here, we predominantly focused on EBV latency type II lymphomas. Compared with type III latency tumors, type II latency lymphomas are substantially less immunogenic, because viral gene expression is limited to the immune subdominant latent membrane proteins. When developing our studies, we chose to target LMP1 and/or LMP2, because EBNA1 is not well processed by the major histocompatibility complex class I processing machinery. CD4restricted EBNA1 epitopes have been described, but although healthy donor-derived EBNA1-specific T cells have shown efficacy in patients with PTLD after allogeneic stem-cell transplantation,<sup>38</sup> there is only a single case report of activity of EBNA1-specific T cells in the autologous setting or against type II latency tumors.<sup>39</sup> However, we cannot rule out the activity of T cells specific for other viral proteins presented by LCLs from the second simulation. Nevertheless, this is the first large study to our knowledge to demonstrate effective control of both type II and III latency EBV lymphomas using patients' own LMP1- and LMP2-specific T cells.

It is a challenge in heavily pretreated patients with relapsed lymphoma to expand sufficient autologous CTLs for treatment.<sup>14</sup> In fact, 120 mL of blood sufficed for T-cell expansion, obviating the need for an apheresis procedure. The ease of blood collection

#### Bollard et al

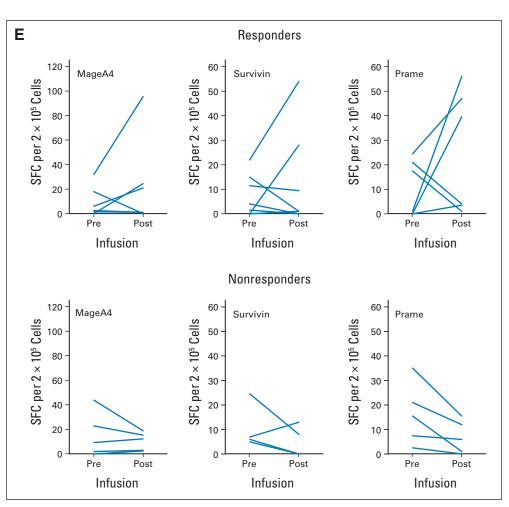


Fig 4. (E) Evidence for epitope spreading in 12 patients with non-Hodgkin or Hodgkin lymphoma. Peripheral blood T cells were incubated with lymphoma antigen pepmixes (MAGE A4, survivin, PRAME); number of IFN-γ SFCs per 2 × 10<sup>5</sup> mononuclear cells was measured in ELISPOT assays.

enabled blood samples to be shipped to our GMP facility, allowing recruitment of patients both nationally and internationally. Because pretreatment with chemotherapy was not required,<sup>40</sup> T-cell infusions could be delivered with a 1- to 4-hour postinfusion outpatient monitoring period, thus simplifying the treatment approach. Furthermore, clinical responses were achieved with few major toxicities.<sup>33,37,41,42</sup>

A limitation of adoptive immunotherapy outside the setting of hematopoietic stem-cell transplantation is poor lymphocyte persistence in vivo and a lack of durable antitumor responses. Naive T cells have the most aggressive antitumor effects in murine models, but they are also associated with significant toxicities.<sup>33,37,41,42</sup> The LMP-specific T-cell lines we used contained a combination of CD45RA-/CD62L- and CD45RA-/CD62L+ T cells (Fig 1A), the progeny of which is responsible for long-term persistence in nonhuman primates.<sup>43</sup> The persistence of LMPspecific T cells is also facilitated by the continued presence of EBV in memory B-cell reservoirs, where the relevant antigens are constantly available for effective immune responses.

Tumors frequently modulate target antigen expression to prevent T-cell recognition; indeed, treatment failures resulting from loss of a single targeted antigen are already becoming evident.<sup>42</sup> Hence, after initially targeting only LMP2, we added LMP1. Although the numbers were small, the number of responding patients was greatest among those who received an infused product with abundant LMP1-specific T cells, compared with those with little or no LMP1-specific activity. The success of the LMP-CTL approach in eliciting clinical responses in 13 of 21 patients may also be related to epitope spreading (as previously observed in vaccine trials),<sup>44-46</sup> which implies a beneficial change in the immunosuppressive tumor microenvironment.47 In contrast to the apparent lack of epitope spreading in nonresponding patients, more than 50% of patients achieving durable clinical responses produced T cells specific for the nonviral TAA within 2 months of T-cell therapy. We and others have previously demonstrated upregulation of TAAs by hematologic malignancies using hypomethylating agents, decitabine, or 5-azacytidine.48,49 Therefore, given the potential importance of eliciting a T-cell response to TAAs, epigenetic modifiers to increase TAA expression by tumor cells could be incorporated into LMP-CTL therapy.

In conclusion, we have shown that it is possible to resurrect powerful immunity to subdominant tumor-associated viral antigens in heavily pretreated patients with lymphoma. Our results suggest that such targeted therapies have a place not only in eliminating chemoradiotherapy-resistant malignant cell populations in relapsed patients but also in preventing relapse and achieving durable remissions without off-target adverse effects or long-term toxicities when administered early in the disease process.

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Although all authors completed the disclosure declaration, the following author(s) and/or an author's immediate family member(s) indicated a financial or other interest that is relevant to the subject matter under consideration in this article. Certain relationships marked with a "U" are those for which no compensation was received; those relationships marked with a "C" were compensated. For a detailed description of the disclosure categories, or for more information about ASCO's conflict of interest policy, please refer to the Author Disclosure Declaration and the Disclosures of Potential Conflicts of Interest section in Information for Contributors.

**Employment or Leadership Position:** None **Consultant or Advisory Role:** Catherine M. Bollard, Cellmedica (U); Daniel Lee, Cellmedica

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Final approval of manuscript: All authors

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# Appendix

# Cytotoxicity Assays

The cytotoxic specificity of each cytotoxic T lymphocyte (CTL) line was analyzed in a standard 4-hour chromium-51 release assay. The target cells tested were: autologous lymphoblastoid cell lines (LCLs), HLA class I and II mismatched LCLs, or phytohemagglutinin (PHA) -stimulated peripheral blood mononuclear cells (PBMCs; ie, PHA blasts) pulsed with latent membrane protein 1 (LMP1) or LMP2 pepmix (JPT Peptide Technologies, Berlin, Germany). As additional controls, we used LMP-negative target cells or autologous PHA blasts either alone or pulsed with irrelevant peptides from a CMVpp65 pepmix.

# Immunophenotyping

CTL lines were stained with CD3, CD4, CD8, CD16, CD56, TCR $\alpha\beta$ , TCR $\gamma\delta$ , CD19, CD28, CD62L, CCR7, CD45RA, and CD45RO (Becton Dickinson, San Jose, CA). For each sample, 10,000 cells were analyzed by FACSCalibur using Cell Quest software (Becton Dickinson).

# Enzyme-Linked Immunospot Assay

Enzyme-linked immunospot (ELISPOT) assay analysis was used to determine the frequency and function of T cells secreting interferon gamma in response to Epstein-Barr virus– and tumor-associated antigen pepmixes (JPT Peptide Technologies) or LCLs. To reduce interassay variability, patient PBMC samples were cryopreserved and batched for ELISPOT analysis. Spots were quantified by Zellnet Consulting (New York, NY), and the frequency of spot-forming cells was calculated based on the input cell numbers.

# Statistical Analysis

Descriptive statistics were calculated to summarize CTL line characteristics and immune reconstitution data. Comparisons were made between groups using the nonparametric Wilcoxon rank sum test for continuous variables and Fisher's exact test for categoric variables. Survival data were analyzed using the Kaplan-Meier method, and comparisons between groups were performed with the log-rank test. Overall survival was calculated from the time of first CTL infusion to death resulting from any cause; observations were censored at the date of last follow-up. Event-free survival was calculated from the time of first CTL infusion to the date of relapse, death, or last follow-up, whichever occurred first. Cumulative incidence was estimated using the competing risk method. *P* values less than .05 were considered statistically significant.

	Table A1. Patient Characteristics	
		UPNs
Treatment Protocol	HL	NHL/Other*
Patients treated as adjuvant therapy		
LMP2-specific CTLs	964, 1053, 1007, 1272, 1057	<b>959</b> , 871, 1263, 1286
LMP1/2-specific CTLs	2313, 2433, 1369, 1420, 1595, 1984, 1370, 1842, 1884, 1905, 2368, 2493	2053, 2056, 1806, 1455, 1511, 1888, 2135, 2095
Patients with active disease		
LMP2-specific CTLs	909, <b>1187</b> , <b>1006</b> , 1160	0824, 652, 1316, 1054
LMP1/2-specific CTLs	1371, <b>2266, 2457</b> , 1351	1372, <b>2051</b> , 2336, <b>1811</b> , <b>1409</b> , 1545, <b>1356</b> , 1990, 1656

NOTE. Bold font indicates patients who did not respond to CTL therapy.

Abbreviations: CTL, cytotoxic T lymphocyte; HL, Hodgkin lymphoma; LMP, latent membrane protein; NHL, non-Hodgkin lymphoma; UPN, unique patient number. \*NHL/other includes: natural killer/T-cell NHL, diffuse large B-cell lymphoma, post-transplantation lymphoproliferative disease, chronic active Epstein-Barr virus infection, and lymphoid granulomatosis.

# Bollard et al

UPN	HLA Type	Diagnosis	Strength of LMP2-Specific Response in ELISPOT Assay*	No. of Epitopes Recognized by CTL Line
1006	A23,24/B35,55	HL	+4	3
1187	A1,3/B7,8	HL	0	None identified
964	A2/B8,51	HL	+4	2
1007	A3,68/B7,1402(65)	HL	+4	2
1053	A1,68/B27,37	HL	+1	1
1272	A3/B7,44	HL	0	None identified
1057	A1,3/B14,37	HL, immune suppressed	0	None identified
909	A3,24/B18	HL, CVID	+1	1†
1160	A3,24/B41,52	HL, CVID	0	None identified
1286	A1,2/B7,39	Peripheral T-cell NHL, immune suppressed	0	None identified
1263	A66/B15(63),58	NK/T-cell NHL	0	None identified
1316	A3,36/B15(71),53	NK/T-cell NHL	+2	None identified
1054	A1,32/B1401(64)	NK/T-cell NHL	0	None identified <sup>†</sup>
652	A3,24/B35	DLBCL	+4	2
871	A2,29/B13,27	DLBCL	+4	4
959	A2,68/B27,51	DLBCL	0	2
824	A2,3/B51,57	T-cell CAEBV	0	None identified

Abbreviations: CAEBV, chronic active Epstein-Barr virus infection; CTL, cytotoxic T lymphocyte; CVID, common variable immunodeficiency disease; DLBCL, diffuse large B-cell lymphoma; ELISPOT, enzyme-linked immunospot; HL, Hodgkin lymphoma; LMP, latent membrane protein; NHL, non-Hodgkin lymphoma; NK, natural killer; SFC, spot-forming cell; UPN, unique patient number. \*SFCs per 10<sup>5</sup>: 0-24  $\rightarrow$  0; 25-49  $\rightarrow$  +1; 50-99  $\rightarrow$  +2; 100-499  $\rightarrow$  +3; and > 500  $\rightarrow$  +4. †CD4+ CTL line.

	Table A	<b>3.</b> LMP1 and LMP2 Spec	ificity of CTL Lines Generated for LMP			
					f Response OT Assay	
	UPN	HLA Type	Diagnosis	LMP1 Specific	LMP2 Specific	No. of Epitopes Recognized by CTL Line
Patients with	active disease					
2266		A3,26/B7,38	HL	0	+3	1*
2457		A2,23/B44,51	HL	0	0	None identified
1351		A24/B7;52	HL	+2	+4	3
1371		A26,68/B15(62),49	HL, immune suppressed	0	0	1*
2336		A2,31/B35,50	DLBCL/PTLD	+2	+4	4
1356		A2,24/B51	DLBCL	0	+3	3
1990		A2,30/B27,38	DLBCL (Richter's transformation)	+1	+1	2
1372		A11/B46;51	NK/T-cell NHL	0	+3	1
1811		A2,3/B7,39	NK/T-cell NHL	+3	+3	5*
1409		A23,31/B38,52	NK/T-cell NHL	0	+4	1
1656		A2,68/B15,51	NK/T-cell NHL	+1	+3	2
2051		A24,29/B40,44	T-cell CAEBV	0	0	None identified
1545		A3,31/B35	LYG	+3	0	2
Patients treat	ed as adjuvant therapy					
2313		A3/B7	HL	0	0	None identified
2433		A3,26/B38,47	HL	0	0	None identified
1369		A2,29/B7,15(62)	HL	+3	+4	5
1420		A1,24/B37,51	HL	+2	+2	2
1595		A2,31/B15,27	HL	+1	0	2
1984		A1,68/B15(72),57	HL and melanoma	+1	0	1
1370		A29,68/B15(71),49	HL	0	0	None identified
1842		A1,33/B14(65),37	HL	+1	+1	3
1884		A2,32/B44,51	HL	0	+1	2
1905		A1,31/B15(62),51	HL	0	0	None identified
2368		A30,33/B15(71),18	HL	0	0	None identified
2493		A2,3/B42,45	HL and NPC	0	0	None identified
2056		A1,26/B35,38	DLBCL	+1	+1	1
1888		A1,29/B8,44	DLBCL	+3	+2	2
2053		A24,32/B7,27	NK/T-cell NHL	+3	+4	3
2135		A24,31/B40(61),44	NK/T-cell NHL	+3	+4	2
1806		A3,24/B18,35	NK/T-cell NHL	0	0	None identified
1455		A2,24/B39	NK/T-cell NHL	+3	+4	4
1511		A2,11/B8,14	LYG, immune suppressed	0	0	None identified
2095		A2/B40(60),58	PTLD, immune suppressed	+4	+3	2

Abbreviations: CAEBV, chronic active Epstein-Barr virus infection; CTL, cytotoxic T lymphocyte; DLBCL, diffuse large B-cell lymphoma; ELISPOT, enzyme-linked immunospot; HL, Hodgkin lymphoma; LMP, latent membrane protein; LYG, lymphoid granulomatosis; NHL, non-Hodgkin lymphoma; NK, natural killer; NPC, nasopharyngeal carcinoma; PTLD,post-transplantation lymphoproliferative disease; UPN, unique patient number. \*CD4+ CTL line.