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Synthesis and Electrochemical Properties of Two-Dimensional Hafnium Carbide

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Keywords: *MXenes, selective etching, 2D materials, DFT calculations, electrochemical properties*

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3 **Abstract:** We demonstrate fabrication of a two-dimensional Hf-containing MXene, $\text{Hf}_3\text{C}_2\text{T}_z$,
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5 by selective etching of a layered parent $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6$ compound. A substitutional solution of Si
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7 on Al sites effectively weakened the interfacial adhesion between Hf-C and Al(Si)-C sub-layer
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9 within the unit cell of the parent compound, facilitating the subsequent selective etching. The
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11 underlying mechanism of the Si-alloying-facilitated etching process is thoroughly studied by
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13 first-principles density functional calculations. The result showed that more valence electrons of
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15 Si than Al weaken the adhesive energy of the etching interface. The MXenes were determined to
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17 be flexible and conductive. Moreover, this 2D Hf-containing MXene material showed reversible
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19 volumetric capacities of 1567 mAh cm^{-3} and 504 mAh cm^{-3} for lithium and sodium ions
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21 batteries, respectively, at a current density of 200 mA g^{-1} after 200 cycles. Thus, $\text{Hf}_3\text{C}_2\text{T}_z$ MXenes
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23 with 2D structure is a candidate anode material for metal-ion intercalation, especially for
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25 applications where size matters.
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4 Graphene has many exciting properties but is restricted in some applications such as
5 field-effect transistor by its relatively simple chemistry and intrinsic zero bandgap.^{1,2} Therefore,
6 investigations on other 2D materials with abundant elemental composition, such as metal
7 oxides,³ layered metal chalcogenides (LMDCs),⁴ hexagonal boron nitride (BN),⁵ and
8 hydroxides^{3,6} are of great interest. Since 2011, a new group of 2D transition metal carbides and
9 nitrides labeled MXenes have been developed.⁷⁻¹⁰ Generally, they are fabricated by selectively
10 etching of the A-layers from their 3D layered parent $M_{n+1}AX_n$ phases, where M is an early
11 transition metal, A is an A-group element, such as Al or Si, X is carbon and/or nitrogen, and $n =$
12 1, 2, or 3.¹¹ To date, synthesized MXenes include $Ti_3C_2T_z$, Ti_2CT_z , $Ta_4C_3T_z$, $TiNbCT_z$,
13 $(V_{0.5},Cr_{0.5})_3C_2T_z$, Ti_3CNT_z , Nb_2CT_z , V_2CT_z , $Nb_4C_3T_z$, $Mo_2TiC_2T_z$, $Mo_2Ti_2C_3T_z$, $Cr_2TiC_2T_z$,
14 Mo_2CT_z , $Ti_4N_3T_z$, $(Nb_{0.8},Ti_{0.2})_4C_3T_z$, $(Nb_{0.8},Zr_{0.2})_4C_3T_z$, $Mo_2ScC_2T_z$, and $Zr_3C_2T_z$ ^{7,8,12-21} where
15 T_z represents surface groups, generally O, OH, and F. MXenes generally have high specific
16 surface areas, favorable conductivity and hydrophilic surfaces, high elastic moduli and carrier
17 mobility,²²⁻²⁶ and low metal diffusion barriers on their surfaces.²⁷ Thus, MXenes are predicted to
18 have possible applications in electrochemical energy storage and functional polymer
19 composites.²⁸⁻³³ To further enrich the MXene family, more efforts should be made to synthesize
20 potential MXenes in system where their parent Al-containing MAX phases are not easy to
21 establish, such as Zr, Hf and Sc-containing MXenes. While there are a few very recent reports on
22 MAX phases in the Zr-Al-C and Hf-Al-C systems,³⁴⁻³⁶ it is noteworthy that the transition metals
23 Zr and Hf are more inclined to form a new family of layered ternary and quaternary
24 transition-metal carbides beyond the MAX phases, and hold a common formula of $(MC)_nAl_3C_2$
25 and $(MC)_n[Al(Si)]_4C_3$ (where $M = Zr$ or Hf , $n = 1-3$).³⁷ The crystal structure can be viewed as
26 alternating stacking of NaCl-type MC layers and Al_4C_3 -like $Al_3C_2/[Al(Si)]_4C_3$ units, and an
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3 atomic layer of carbon atoms are shared at their coupling boundary. Very recently, we have
4 synthesized the Zr-containing MXene through selective etching of Al-C units from layered
5 $Zr_3Al_3C_5$.¹³ Of special interest to this work is the synthesis and characterization of the
6 Hf-containing MXenes. In contrast to $Zr_3Al_3C_5$, the interfacial bonds between M-C and Al-C
7 layers are relatively strong in the layered Hf-Al-C carbides.³⁷ Thus, it is difficult to directly
8 exfoliate ternary Hf-Al-C carbides into MXenes simply by selective etching. Xie *et al.*³⁸ found
9 that the solid solution of Si-Al in the A layer of Ti_3SiC_2 weakened the bonds and facilitated the
10 exfoliation of $Ti_3(Si_{0.75}Al_{0.25})C_2$, but the underlying mechanism is not well understood. Since the
11 selective etching process is based on the difference in reactivity and stability between the
12 different fractions of the original material,¹⁰ activating the Al-C sub-layer and effectively
13 weakening the interfacial adhesion between Hf-C and Al-C sub-layers would be beneficial to
14 realize the selective etching process. Here, we instead alloy the ternary Hf-Al-C carbide with Si
15 on Al sites,³⁹ forming a $Hf_3[Al(Si)]_4C_6$ solid solution. Then, the exfoliation of Si-containing solid
16 solution by selective etching of [Al(Si)]-C sub-layer in concentrated hydrofluoric acid (HF) is
17 realized to form $Hf_3C_2T_2$ MXene. Combining with first-principles density functional calculations,
18 the underlying mechanisms of the Si-alloying facilitated etching process, and the structural,
19 mechanical and electronic properties of the as obtained 2D carbide are investigated. Furthermore,
20 the application of $Hf_3C_2T_2$ MXene as anode materials for rechargeable batteries with long cycling
21 performance is explored.

22 Results and Discussion

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25 The $Hf_3[Al(Si)]_4C_6$ and Hf-Al-C composite powders were synthesized by an *in situ* reactive
26 pulsed electric current sintering (PECS) process similar to our previous study.⁴⁰ Then the
27 subsequent exfoliation process was carried out by using concentrated HF. The as-synthesized
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4 powders are mainly composed of $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6]$ besides a small amount of $\text{Hf}_2[\text{Al}(\text{Si})_4\text{C}_5]$ and
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6 rock-salt-like cubic HfC secondary phase (Figure 1a, black). When the as-fabricated powders
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8 were added in a 35 wt % HF aqueous solution, bubbles were observed, possibly to be CH_4 or H_2 ,
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10 which had also been observed during the fabrication process of $\text{Zr}_3\text{C}_2\text{T}_z$ and $\text{Ti}_3\text{C}_2\text{T}_z$ MXenes
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12 from corresponding $\text{Zr}_3\text{C}_3\text{C}_5$ and Ti_3AlC_2 precursors reported previously.^{7,8} XRD pattern of the
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14 etched material, in its vacuum-dried state, show the intensity of diffraction peaks belonging to
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16 the parent $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6]$ is weakened substantially after immersion in the concentrated HF
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18 solution (Figure 1e, red). The (0003) peak downshift to a lower angle of $2\theta = 5.4^\circ$, attributing to
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20 an increased c lattice parameter of 32.70 Å, from the original 31.88Å ($2\theta = 5.54^\circ$) of
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22 $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6]$ crystal (see magnified view in Figure 1f). Concomitantly, another newly emerged
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24 two (0001) peaks located at lower angles of $2\theta = 16.25^\circ$, and $2\theta = 27.32^\circ$ can be detected,
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26 respectively, which also show a downshift of about 0.14° from original $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6]$ crystal
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28 (Figure S9). The three newly formed lower angle (0001) peaks are similar to our previously
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30 reported work on $\text{Zr}_3\text{C}_2\text{T}_z$ MXene, and is also typical for most HF-etched MXenes from parent
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32 MAX phases. Moreover, some unreacted $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6]$, together with a small amount of cubic
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34 HfC can also be detected. The significant decrease of the peaks belonging to parent
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36 $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6]$ crystal and the three newly formed lower angle (0001) peaks implied that the
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38 sample was partially converted to MXene. Unlike the broad peaks typical of HF-etched MXene
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40 from MAX phases precursors,^{8, 16, 22} all (0001) peaks observed in our present study exhibit
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42 sharper shape and increased intensity, similar to our previously work on the preparation of
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44 $\text{Zr}_3\text{C}_2\text{T}_z$ MXene, which is more typical of intercalated MXenes.^{29, 41} The enlarged c lattice
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46 parameter might be associated with spontaneous intercalation of water and other etched-out
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48 product during the etching process. Meanwhile, the (0003) peak ($2\theta = 6.58^\circ$) originating from the
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3 Hf₂[Al(Si)]₄C₅ secondary phase broadens and downshifts to a lower angle of $2\theta = 6.37^\circ$ (Figure 1a
4 and 1b), which implies that Hf₂[Al(Si)]₄C₅ converted into MXenes after the HF treatment as
5 well. Furthermore, in contrast with the peaks with large downshift in most HF-etched MXenes,^{7,}
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16 a lower degree of downshift of (0001) peaks in our current study is likely because of the
selective etching of [Al(Si)]C slabs, which are much thicker than the A-layers in MAX phases.

SEM images of Hf₃[Al(Si)]₄C₆ before and after the HF treatment are shown in Figure 1c and
1d, respectively. The as-synthesized Hf₃[Al(Si)]₄C₆ phase exhibits a typical lamellar morphology
(Figure 1c). In contrast, delaminated few layered thin flakes with large lateral size (2-5 μm)
(Figure 1d) are observed in the HF etched material after mild sonication and centrifugation. The
corresponding EDS analysis of the flakes show the presence of Hf, C, O, F, but disappearance of
the Al, and Si signals (Figure S1c in supporting information, SI). Additionally, the obtained
Hf:[Al(Si)] ratio was about 3.0:1.3 (Figure S1a-b) from an area of about 2000 μm² of the
as-etched powders, implying that more than 65% of the Hf₃[Al(Si)]₄C₆ phase was converted into
Hf₃C₂T_z.¹⁶ Moreover, X-ray photoelectron spectroscopy (XPS, **Figure S4-S5**) results in the Al 2p
region, Si 2p region, and C 1s region show significantly decrease in the content of Al(Si)-C
bonding after HF treatment (Figure S4-S5), which suggests that the selective etching of Al(Si)-C
layer from the primitive unit cell has occurred. Concomitantly, fitted XPS results in Hf 4f region
and O1s region also show evidence of Hf-F and Hf-O bonding (Figure S2-S3), suggests the
presence of Hf₃C₂T_z layers with O- and F-containing surface terminations, as has been
characterized for HF-produced MXenes previously.⁴² It is interesting to note that the
multilayered Hf₃C₂T_z materials obtained in our current study are able to delaminate and form a
stable colloidal solution in deionized water (insets in Figure S1c) without pre-treatment with an
additional intercalate agent. However, in most previously reported HF-etched multilayer

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3 MXenes,^{8,22} a preceding intercalation of species between the layers before sonication is need to
4 obtain the corresponding colloidal suspension of single/few layered MXenes.^{9,41,43} Thus it is
5 reasonable to speculate that the residual force in HF etched multi-layered $\text{Hf}_3\text{C}_2\text{T}_z$ might be more
6 weakly than those of previously reported MXenes. In our current study, the weakened interface
7 binding between the Hf-C and Al(Si)C sub-layers induced by substitution might be beneficial to
8 the delamination of as-synthesized multilayer $\text{Hf}_3\text{C}_2\text{T}_z$ MXenes in deionized water. Moreover,
9 our calculated results (Figure S6) show that multilayer $\text{Hf}_3\text{C}_2\text{F}_2$ MXene has the relatively lower
10 adhesive energy when compared with that of typical $\text{Ti}_3\text{C}_2\text{F}_2$ MXene. Thus, the as-synthesized
11 Hf-containing MXene with multilayer configuration is readily to be delaminated in solution, and
12 the detailed discussion is given in the SI. TEM micrographs and EDS analysis of the HF-treated
13 $\text{Hf}_3[\text{Al}(\text{Si})]_4\text{C}_6$ powders after sonication and centrifugation are shown in Figure 1e-g, thin flakes
14 with scrolled behavior can be observed (Figure 1e), and corresponding EDS results confirm the
15 presence of Hf, C, and O signals (Figure 1g). The selected area electron diffraction (SAED, inset
16 in Figure 1e) patterns of the two overlapping flakes with a slight difference in orientation
17 demonstrates the hexagonal symmetry.³⁷ Figure 1f shows stacked bilayer MXene sheets, and the
18 thickness of a monolayer sheet is estimated to be about 1.2 nm, demonstrating that the obtained
19 material is indeed two-dimensional.

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On the contrary, bare ternary Hf-Al-C composite powders cannot be selectively etched into Hf-containing MXenes in HF solutions even with different concentrations (Table S3). According to the XRD results shown in Figure 2a, the as-fabricated Hf-Al-C powders are composed of $\text{Hf}_3\text{Al}_4\text{C}_6$, $\text{Hf}_2\text{Al}_4\text{C}_5$, and $\text{Hf}_3\text{Al}_3\text{C}_5$ phases, after HF treatment, the mainly etching product is cubic HfC phase (Figure 2a). Figure 2c and 2d show the SEM morphologies of the etched powders, where particles with an average size of about 200 nm can be observed. The

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3 corresponding EDS analysis shown in Figure 2b indicates the presence of mainly Hf and C
4 elements. The corresponding molar ratio of Hf:C approximate 1:1, which is consistent with the
5 aformentioned XRD results.
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11 Figure 3a shows an AFM topography image of delaminated $\text{Hf}_3\text{C}_2\text{T}_z$ sheets deposited on
12 Highly Oriented Pyrolytic Graphite (HOPG) substrate. Interestingly, a neat surface of $\text{Hf}_3\text{C}_2\text{T}_z$
13 flakes can be easily formed on HOPG surface (Figure 3a). The flakes have a thickness similar to
14 that of bilayer MXene (Figure 3b). In addition, the $\text{Hf}_3\text{C}_2\text{T}_z$ flakes can replicate the steps of the
15 HOPG surface, which implies its mechanical flexibility and affinitive to graphite. It is thus
16 reasonable to speculate that delaminated $\text{Hf}_3\text{C}_2\text{T}_z$ or hybrid structures there of combined with
17 graphene or other 2D materials may be useful in flexible and stretchable electronics.^{44, 45}
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29 According to XPS results (Figure S2-S5) and our previous theoretical work on $\text{Zr}_3\text{C}_2\text{T}_z$
30 MXene,¹³ we have determined that the shared carbon atomic plane between the Hf-C and Al-C
31 sublayers in $\text{Hf}_3\text{Al}_4\text{C}_6$ [or $\text{Hf}_3[\text{AlSi}]_4\text{C}_6$] unit cell shown in Figure 4a is also etched away, which
32 means the reaction products are $\text{Hf}_3\text{C}_2\text{T}_z$ MXenes. Thus, the etching interface exists between the
33 Hf and C atomic planes as the red dashed line shown in Figure 4b, where the Hf-C bonds are
34 broken during etching. Regarding to the etching process, an important issue that needs to be
35 figure out is why the introduction of silicon can significantly accelerate the etching of the layered
36 ternary carbide $\text{Hf}_3\text{Al}_4\text{C}_6$. In order to understand this behavior, the adhesive energy between two
37 detached layers which directly implies the strength of the layer interaction is first investigated.
38 Seen from the atomic configuration of $\text{Hf}_3\text{Al}_4\text{C}_6$ as shown in Figure 4a, the supercell is
39 comprised by three monomers stacking along the c-axis. Therefore, to reduce the large
40 computational cost of DFT calculations, a representative monomer (shown in Figure 4b) rather
41 than the entire unit cell is adopted to investigate the adhesive energy. The Hf_3C_2 and Al_4C_4 can
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4 be assumed as the two detached layers after the HF etching, and the adhesive energy between the
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6 two layers is defined as:

$$E_{adhesive} = \frac{1}{A} (E_{Hf_3C_2} + E_{Al_4C_4} - E_{Hf_3Al_4C_6}) \quad (1)$$

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13 where $E_{adhesive}$ denotes the adhesive energy, and $E_{Hf_3C_2}$, $E_{Al_4C_4}$ and $E_{Hf_3Al_4C_6}$ are the total energies of
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15 the Hf_3C_2 , Al_4C_4 layers and $Hf_3Al_4C_6$ monomer, respectively. A denotes the area of etching
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17 interface. In view of the substitution of silicon, a $2 \times 1 \times 1$ supercell with an aluminum atom
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19 replaced by silicon is adopted. The substitution of silicon respectively in the top and second
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21 aluminum layers are studied considering the structural symmetry, as shown in Figure 4c and 4d.
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23 Similarly, the adhesive energies for the interfaces (denoted in red dashed lines) of these
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25 $Hf_3Al_{3.5}Si_{0.5}C_6$ are also studied. All the chemical equations for etching behaviors and
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27 corresponding adhesive energies are given in Table 1, where the subscripts “t” and “s” are
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29 adopted to distinguish the silicon position in the top and the second aluminum layers,
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31 respectively. Evidently, the adhesive energy is reduced drastically through silicon substitution,
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33 especially in the $Hf_3Al_{3.5}Si_{0.5t}C_6$ configuration with an aluminum atom in the top-layer replaced
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35 by silicon. For the pure $Hf_3Al_4C_6$ monomer, the adhesive energy between the two detached
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37 layers is $0.442 \text{ eV}/\text{\AA}^2$, and which reduces to $0.211 \text{ eV}/\text{\AA}^2$ in $Hf_3Al_{3.5}Si_{0.5t}C_6$. These data explain
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39 our experimental results, and imply that the accelerated etching behavior is mainly caused by the
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41 silicon substitution in the top aluminum layer. It is noteworthy that here the silicon alloying
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43 effect is elaborated based on the $2 \times 1 \times 1$ supercell for simplicity. To be more convinced, more
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45 diluted silicon alloying ratios with an aluminum atom replaced by silicon based on those $3 \times 1 \times 1$
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47 ($Hf_3Al_{3.67}Si_{0.33t}C_6$) and $2 \times 2 \times 1$ ($Hf_3Al_{3.75}Si_{0.25t}C_6$) supercells have also been investigated. The
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49 corresponding adhesive energies are giving in Table 1 as well. Evidently, silicon alloying can
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effectively reduce the adhesive energy of the etching interface, and the reduced magnitude of adhesive is proportional to the alloying ratio.

Table 1. The chemical equations for etching behaviors and corresponding adhesive energies (in eV/Å²).

Etching processes	Adhesive energies
$\text{Hf}_3\text{Al}_4\text{C}_6 \rightarrow \text{Hf}_3\text{C}_2 + \text{Al}_4\text{C}_4$	0.442
$\text{Hf}_3\text{Al}_{3.5}\text{Si}_{0.5\text{t}}\text{C}_6 \rightarrow \text{Hf}_3\text{C}_2 + \text{Al}_{3.5}\text{Si}_{0.5\text{t}}\text{C}_4$	0.211
$\text{Hf}_3\text{Al}_{3.5}\text{Si}_{0.5\text{s}}\text{C}_6 \rightarrow \text{Hf}_3\text{C}_2 + \text{Al}_{3.5}\text{Si}_{0.5\text{s}}\text{C}_4$	0.283
$\text{Hf}_3\text{Al}_{3.67}\text{Si}_{0.33\text{t}}\text{C}_6 \rightarrow \text{Hf}_3\text{C}_2 + \text{Al}_{3.67}\text{Si}_{0.33\text{t}}$	0.351
$\text{Hf}_3\text{Al}_{3.75}\text{Si}_{0.25\text{t}}\text{C}_6 \rightarrow \text{Hf}_3\text{C}_2 + \text{Al}_{3.75}\text{Si}_{0.25\text{t}}$	0.418

To understand the underlying mechanisms why the silicon alloying reduces the adhesive energy, the bond strengths in the vicinity of the etching interface are investigated. Since our compound $\text{Hf}_3\text{Al}_4\text{C}_6$ is mostly ionic material (shown in Figure S7), and the strength of an ionic bond is proportional to the atomic charge and reverse to the bond length for a giving bond,⁴⁶ thus the atomic charge for each atom and relevant bond lengths are studied. After introducing silicon, we find that the atomic charges of the Hf and C atoms are decreased, and the bond lengths of Hf-C are increased. As a consequence, the bond strength of the Hf-C is significantly decreased. Going a step further, the adhesive energy is reduced. The relevant values and detailed discussions are given in the supporting information. The weakening of the bond strength of Hf-C can be ascribed to the larger atomic charge of silicon (2.36) than that of aluminum (2.19) (shown in Table S1), thus the shared carbon layer are bonded much stronger with the aluminum layer, which weakens the adhesive energy of the neighbouring etching interface.

The intrinsic physical properties of the as-synthesized $\text{Hf}_3\text{C}_2\text{T}_2$ (T = O, F, OH) MXenes are studied further in theoretical way. Firstly, the stable structures of these MXenes are investigated. Follow the work of Khazaei *et al.*,²³ six different structural models are studied as shown in

Figure S8, and their total energies are given in Table S2. It is found that Model II with the functional groups T on the top-sites of the hafnium atoms in the middle hafnium layer is the most stable configuration, regardless of functional groups. Based on the predicted stable configurations, the electronic, electrical and mechanical properties are studied. The structural parameters and elastic constants in the basal plane are given in Table 2, while the electronic energy bands and the electrical conductivities are given in Figure 5.

Table 2. The lattice parameters, layer thickness, and elastic constants of the $\text{Hf}_3\text{C}_2\text{T}_2$ (T=O, F, OH) MXenes.

System	Lattice parameter(Å)	Layer thickness(Å)	c_{11} (GPa)	c_{12} (GPa)
$\text{Ti}_3\text{C}_2\text{O}_2$	3.04	9.83	370	107
$\text{Hf}_3\text{C}_2\text{O}_2$	3.27	9.90	417	113
$\text{Hf}_3\text{C}_2\text{F}_2$	3.29	10.10	318	90.9
$\text{Hf}_3\text{C}_2(\text{OH})_2$	3.30	11.80	287	67.2

From the table, $\text{Hf}_3\text{C}_2\text{O}_2$ presents smaller structural parameters and much larger elastic constants compared to $\text{Hf}_3\text{C}_2\text{F}_2$ and $\text{Hf}_3\text{C}_2(\text{OH})_2$, similar to many other MXenes,²⁶ the c_{11} of $\text{Hf}_3\text{C}_2\text{O}_2$ is determined to be as high as 417 GPa. The high mechanical strength in the basal plane and the relatively thin layer thickness may partly explain the observed flexibility of this MXenes.⁴⁷ From Figure 5, all the three MXenes are metallic, and the predicted electrical conductivities σ_{xx}/τ are of the order of $10^{20} \Omega^{-1}\text{m}^{-1}\text{s}^{-1}$.

We further explored the feasibility of using delaminated $\text{Hf}_3\text{C}_2\text{T}_z$ ($d\text{-Hf}_3\text{C}_2\text{T}_z$) MXene flakes-based as anodes in lithium-ion batteries (LIBs) and sodium-ion batteries (SIBs). In most cases, the gravimetric capacity has been the main focus to evaluate the performance of electrodes. However, the volumetric capacity is much more important than gravimetric capacity for applications where size matters, such as wearable or portable electronics, automotive

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3 batteries.^{48, 49} Figure 6a displays the cycling performance and coulombic efficiency of the
4 *d*-Hf₃C₂T_z MXene anodes. The first discharge volumetric capacity and coulombic efficiency
5 (orange triangle and green diamond) of *d*-Hf₃C₂T_z in LIBs at a current density of 200 mA g⁻¹ are
6 1554 mAh cm⁻³ (145 mAh g⁻¹), 59%, respectively. Then, there is a slight drop in volumetric
7 capacity. After initial 50 cycles, it can be observed that the reversible capacity of the as-prepared
8 electrodes is slowly increasing, and the volumetric capacity increased back to 1567 mAh cm⁻³
9 (146 mAh g⁻¹) at the 200th cycle, which is higher than that of the Ti₃C₂T_z (640 mAh cm⁻³)
10 measured under the same conditions (Figure S10a). According to the reference predicted
11 previously,⁵⁰ a maximum of 4 Li/per formula MXene can be achieved, thus a theoretical capacity
12 of 1854 mAh cm⁻³ (173 mAh g⁻¹) can be expected. The capacities increased with increasing
13 cycles, this effect has been observed in previously reported work on MXene, which can be
14 attributed to the exposure of increased electrochemically active sites with prolonged cycling.^{49, 51}
15 The coulombic efficiencies approach more than 99% after the 20th cycle. There is a large
16 irreversible capacity in the initial cycle, which can be ascribed to the solid electrolyte interface
17 (SEI) formation on the electrode surface as well as the irreversible reduction of
18 electrochemically active surface groups,⁵⁰ and similar behaviors have been observed for the
19 previously reported Ti₃C₂T_z and Ti₂CT_z MXenes.^{52, 53} In fact, the formation of the SEI further
20 limits electrolyte decomposition to improve the cycle life performance of the cell. Figure 6c
21 shows the typical discharge/charge profiles of the anode electrode for the 1st, 2nd, 50th, 100th, and
22 200th cycles at a current density of 200 mA g⁻¹ in a voltage range of 0.01~3.0 V, respectively. A
23 broad irreversible plateau, which was observed near 0.6 V (vs. Li/Li⁺) during the first lithiation
24 cycle, is most probably due to a formation of a SEI layer, as the peak does not appear in the
25 following cycles. The irreversible reaction of Li with hydroxyl or fluorine on the surfaces of
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3 $d\text{-Hf}_3\text{C}_2\text{T}_z$ flakes can also contribute to the first cycle irreversibility.⁵¹ The $d\text{-Hf}_3\text{C}_2\text{T}_z$
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5 MXene-based anode exhibited respectable volumetric capacities, which is comparable to what
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7 was reported for Sn^{4+} ion decorated Ti_3C_2 nanocomposites (PVP- $\text{Sn(IV)}@\text{Ti}_3\text{C}_2$, 1375 mAh cm^{-3}
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9 after 50 cycles at 100 mA g^{-1}),⁵⁴ and is higher than that of commercial graphite electrode (550
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11 mAh cm^{-3}).⁵⁴ The cycling performance and coulombic efficiency of $d\text{-Hf}_3\text{C}_2\text{T}_z$ MXene-based
12
13 anodes in SIBs at a current density of 200 mA g^{-1} were also drawn in Figure 6a (blue triangle and
14
15 blue square), the $d\text{-Hf}_3\text{C}_2\text{T}_z$ anode has a maximum capacity of 1468 mAh cm^{-3} (137 mAh g^{-1}) in
16
17 the first cycle. Then the specific capacity decreases gradually, and a volumetric capacity of about
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19 504 mAh cm^{-3} (47 mAh g^{-1}) can be maintained after 200 cycles, and which is slightly higher
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21 than that of $\text{Ti}_3\text{C}_2\text{T}_z$ (427 mAh cm^{-3}) when tested under the same conditions (Figure S10b). The
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23 improved performance of $\text{Hf}_3\text{C}_2\text{T}_z$ could be caused by the larger atomic charge of hafnium than
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25 that of titanium,²⁴ and the relatively higher Li/Na adsorption energy of the Hf-containing
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27 MXene.⁵⁰ Moreover, the relatively high ratio of oxygen terminations as shown in the XPS results
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29 could also be beneficial for the Li/Na capacities of $\text{Hf}_3\text{C}_2\text{T}_z$.⁵⁵ The corresponding galvanostatic
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31 charge/discharge profiles of the electrodes for SIBs measured in the potential range of $0.01\sim 3.0$
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33 V are presented in Figure 6d. The first discharge of $d\text{-Hf}_3\text{C}_2\text{T}_z$ exhibited a clear plateau at around
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35 0.75 V , which might be ascribed to the formation of SEI film. The first discharge/charge
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37 capacities are $1468/563 \text{ mAh cm}^{-3}$, which corresponds to the initial coulombic efficiency of
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39 39.8% . Initial high discharge capacity and large irreversible capacity of the electrodes indicate
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41 formation of SEI and irreversible Na^+ loss.⁴⁹ When charged / discharged in SIBs under a current
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43 density of 50 mA g^{-1} , a reversible sodium intercalation capacity of 815 mAh cm^{-3} (76 mAh g^{-1})
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45 can be reached (Figure 6a, red square and pink circle), From Fig. 6e, we can find that the
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47 charge/discharge curves of SIBs at a current density of 50 mA g^{-1} also show a steep slope and an
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3 indistinct plateau. A large irreversible capacity of about 1318 mAh cm^{-3} (123 mAh g^{-1}) is
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5 observed during the first discharge-charge process. This irreversibility could be minimized by
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7 controlling the surface chemistry of the MXenes.⁵⁶ To effectively activating the electrode
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9 material,¹⁶ more appropriate electrolytes should be considered to further improve the coulombic
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11 efficiency.⁵⁷
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16 To further assess the performance of this $d\text{-Hf}_3\text{C}_2\text{T}_z$ MXene-based electrode, rate cycling
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18 performance in SIBs was tested. As shown in Figure 6b, a highly symmetric pattern was
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20 obtained for the electrode discharged at various current densities (except for the first cycle due to
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22 the irreversible capacity loss). Similar to $\text{Ti}_3\text{C}_2\text{T}_z$ and Ti_2CT_z ,^{58,59} the $d\text{-Hf}_3\text{C}_2\text{T}_z$ material showed
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24 a very good rate performance. Even at 1 Ag^{-1} , the electrode had a stable charge/discharge cycle
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26 with no obvious of capacity loss (the volumetric capacity was 312 mAh cm^{-3} after ten cycles at
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28 1 Ag^{-1}), which suggests the improved structural/chemical stability of this interleaved architecture.
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30 When the current density comes back to 50 mA g^{-1} , the volumetric capacity (733 mAh cm^{-3}) is
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32 higher than that of the initial (683 mAh cm^{-3}), indicating that the structure of the material has not
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34 been damage. The very preliminary results show that $d\text{-Hf}_3\text{C}_2\text{T}_z$ MXene material is a candidate
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36 anode for Na-ion storage. It is reasonable to assume that a better electrochemical performance
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38 can be obtained through further attempts, such as using hybridization strategy to improve
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40 accessibility for electrolyte, and by optimizing and engineering the surface chemistry, structure
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42 and composition of the $d\text{-Hf}_3\text{C}_2\text{T}_z$ MXene-based electrodes.^{56,60}
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50 Conclusions

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52 In summary, we successfully synthesized a 2D hafnium-containing $\text{Hf}_3\text{C}_2\text{T}_z$ MXene by
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54 selective etching of Si-alloyed Al-C sub-layer from the parent $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6]$ compound.
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56 Adhesive energy and atomic charge-based calculations well revealed the underlying mechanisms
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3 of the Si-facilitated etching process. The valence electrons in Si atom strengthened the Al(Si)-C
4 bonding and weakened the adhesive energy of the Hf-C in the vicinity of the etching interface in
5 Hf₃[Al(Si)]₄C₆. In addition, the structural, mechanical, and electronic properties of the
6 as-obtained Hf₃C₂T_z MXenes have been predicted based on first-principles density functional
7 calculations. All of simulated Hf₃C₂T_z (T = O, F, OH) MXenes are metallic with good electrical
8 conductivity, and Hf₃C₂O₂ presents the strongest mechanical strength with the *c*₁₁ value of 417
9 GPa. Electrochemical measurements indicated that the charge storage in *d*-Hf₃C₂T_z was due to
10 the intercalation of Li⁺ and Na⁺ ions rather than a conversion reaction. It exhibited reversible
11 volumetric capacities of 1567 mAh cm⁻³ and 504 mAh cm⁻³ for lithium and sodium ions batteries
12 at a current density of 200 mA g⁻¹, respectively. These results suggest that *d*-Hf₃C₂T_z with 2D
13 structure is a candidate anode material for metal-ion intercalation, especially for applications
14 where size matters. Moreover, our obtained Hf₃C₂T_z MXene that has abundant electrons may be
15 important for catalysis and electromagnetic interference shielding applications beyond energy
16 storage.
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37 **Methods/experimental**

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39 **Materials:** The Hf₃[Al(Si)]₄C₆ powders were prepared by pulsed electric current sintering
40 (PECS) of Hf/Al/Si/graphite powder mixtures with a molar ratio of Hf:Al:Si:C, 3:4.05:0.15:5.8,
41 respectively. In detail, the weighted powders were blended in a polyethylene jar for 16 h in a
42 planetary ball mill at a rate of 250 rpm with absolute ethanol and agate balls as grinding media.
43 The powder mixture was then dried in vacuum oven at 40°C for 24 h. Subsequently, the resulting
44 powders were poured into a graphite die (20 mm in diameter) and cold pressed to load
45 corresponding to a pressure of 5 MPa, and the sample was heated in a PECS apparatus (HP
46 D25/1, FCT Systeme GmbH, Germany) to 1900°C for 20 min with holding pressure of 5 MPa in
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3 an Ar atmosphere, and then vacuum annealing was employed at 1600°C for 10min during the
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5 cooling process. After cooling to room temperature, bulk samples were pulverized and sieved
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7 through a 300-mesh screen. The Hf-Al-C powders were made by mixing elemental
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9 Hf/Al/graphite, in the molar ratio of 3:3.2:4.8, respectively, in a ball mill for 12 h. The mixed
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11 powders were then heated 50 °C /min to 1900°C and held at that temperature in a PECS
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13 apparatus (HP D25/1, FCT Systeme GmbH, Germany) for 20 min with holding pressure of 5Mpa
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15 in an Ar atmosphere. After cooling to room temperature, powders were produced by milling as
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17 above. Roughly 1g of the as-prepared 300-mesh $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6$ powders was immersed in 35 wt
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19 % HF (10 ml) solution at room temperature for about 60 h, and the overall yield was around 73%
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21 (defined as the weight of powder after HF treatment/ weight of the pristine powder \times 100%). In
22
23 the case of Hf-Al-C system, powders were immersed at room temperature in HF solutions of
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25 varying potency for various times, Table S3 summarizes the times and concentrations used. Then
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27 the resulting suspension was cleaned by deionized water and alcohol for several times and
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29 filtered with microfiltration membrane (polyvinylidene fluoride, PVDF, 0.45 μm , Shanghai
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31 Xinya) to separate the powders. The final product was finally dried in vacuum oven at room
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33 temperature for 48 h. For SEM, AFM and TEM characterization of the etching product from
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35 $\text{Hf}_3[\text{Al}(\text{Si})_4\text{C}_6$ precursor, the as-etched powders were mixed with distilled water (0.25g in
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37 25mL distilled water), sonicating under Ar to prevent oxidation for about 20min
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39 (working frequency:53kHz; full power: 250watts; Shanghai Kudos Ultrasonic Instrument
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41 co., LTD; and 60% of the power was used during the sonication process), and
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43 centrifuging at 2500 rpm for 5 min to separate large unreacted particles, and finally
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45 decanted (~2mg/mL). The suspension was then re-dispersed in 75mL distilled water,
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47 sonication under Ar to prevent oxidation for another 30-40min, centrifuging at 5000rpm
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4 for 30min, and decanted to obtain the final stable colloidal solution of delaminated
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6 $\text{Hf}_3\text{C}_2\text{T}_z$ flakes ($\sim 0.3\text{mg/mL}$). Then droplets from the supernatant were left to dry on a silicon
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8 wafer (p(100) oriented) for SEM and AFM study, and on a lacey-carbon coated copper grid (200
9
10 mesh) for TEM study, respectively.
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14 **Characterization:** The phase composition of the as-prepared samples was analyzed by X-ray
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16 diffractometry, XRD, (D8 Advance, Bruker AXS, Germany) with Cu K_α radiation. X-ray
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18 diffractograms were collected at a step size of $0.02^\circ 2\theta$ with a collection time of 1s per step. The
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20 microstructure and chemical composition were observed by Field Emission Scanning Electron
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22 Microscope, FESEM (QUANTA 250 FEG, FEI, USA) coupled with an energy dispersive
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24 spectrometer (EDS). The chemical state of the materials before and after exfoliation was
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26 analyzed by X-ray photoelectron spectroscopy, XPS (AXISUltra DLD, Kratos, Japan).
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28 Transmission electron microscopy (TEM) and high-resolution TEM images were carried out on a
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30 Tecnai F20 (FEI, USA) electron microscope at an acceleration voltage of 200 kV. Atomic force
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32 microscopy (AFM) analysis was performed by means of Dimension 3100V system (Veeco, USA)
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34 under tapping mode.
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41 **Preparation and Testing of LIB and NIB Electrodes:** Working electrodes were fabricated
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43 by standard slurry casting procedure. The as-etched powders were mixed with distilled water
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45 (0.5g in 50mL distilled water), sonicating for about 20min, and centrifuging at 2500
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47 rpm for 5 min to separate large unreacted particles, and finally decanted. The suspension
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49 was then re-dispersed in 150mL distilled water, sonication for another 30-40min,
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51 centrifuging at 5000rpm for 30min, and decanted. The colloidal suspension was finally
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53 filtered with microfiltration membrane (polyvinylidene fluoride, PVDF, $0.20\mu\text{m}$,
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4 Shanghai Xinya), and dried in vacuum oven at room temperature for 48 h, and the
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6 obtained powders of delaminated $\text{Hf}_3\text{C}_2\text{T}_z$ flakes ($d\text{-Hf}_3\text{C}_2\text{T}_z$) was used to prepare
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8 anodes. $d\text{-Hf}_3\text{C}_2\text{T}_z$ (70 wt %), conductive carbon black (Super P carbon 20 wt %) and
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10 polyvinylidene fluoride (PVDF 10 wt %) were intimately mixed in N-methyl-2-pyrrolidone
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12 (NMP) to form a uniform slurry. Then the casted film (on Cu foil) was heated at 110 °C for 12 h
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14 (NMP) to form a uniform slurry. Then the casted film (on Cu foil) was heated at 110 °C for 12 h
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16 in a vacuum oven to evaporate the solvent. After cooling down to room temperature, and cut into
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18 circular discs of 15 mm in diameter. The loaded mass of the anode is in the range of 0.5-0.7 mg
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20 (average thickness ~ 4 μm). Metallic lithium foil was used as counter as well as reference
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22 electrode. Celgard 2400 polypropylene film was used as a separator. Electrolyte was prepared by
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24 dissolving 1 M lithium hexafluorophosphate (LiPF_6) in a mixture of ethylene carbonate
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26 /dimethyl carbonate (EC:DMC, 1:1 by volume) and supplemented by 10 vol% of fluoroethylene
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28 carbonate (FEC). There is a little difference between lithium and sodium batteries. In sodium
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30 batteries, metallic sodium foil was used as counter as well as reference electrode. Whatman
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32 Glass Fiber was used as a separator. Electrolyte was prepared by dissolving 1 M sodium
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34 perchlorate (NaClO_4) in Tetraethylene glycol dimethyl ether. Finally, all the cells were assembled
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36 in an argon-filled glove box, where water and oxygen concentrations were limited to below 0.1
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38 ppm. Charge/discharge measurements of the coin cells were carried out using a commercial
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40 battery test system (LAND model, CT2001A) at a constant current in the potential range of
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42 0.01~3.00 V(vs. Li/Li^+ ; Na/Na^+). The volume capacity was converted from the measured
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44 gravimetric capacity through the estimated density of active material delaminated $\text{Hf}_3\text{C}_2\text{T}_z$ with
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46 oxygen termination ($\text{Hf}_3\text{C}_2\text{O}_2$, 10.72g/cm³).
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54 **Computational details:** All the first-principles calculations are implemented in the
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56 plane-wave VASP code. The energy cutoffs are set to 500 eV in describing the electronic
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3 wavefunctions based on the projected augmented wave (PAW) approach. The generalized
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5 gradient approximation (GGA) authored by Perdew-Burke-Ernzerhof (PBE) is used to describe
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7 the exchange and correlation functional. During optimization, all the structures are relaxed until
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9 the forces on each atom are smaller than 1.0×10^{-4} eV/Å, and the criterion for energy
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11 convergence is set as 1.0×10^{-5} eV. To simulate the 2D MXenes and monomers discussed in the
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13 main text, a vacuum space at least 15 Å is adopted along the direction perpendicular to the
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15 surface. A Γ -centered $12 \times 12 \times 1$ k-point mesh is employed to describe the entire Brillouin zone
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17 (BZ). For optimizations of the multilayer MXenes, a $12 \times 12 \times 4$ k-point mesh is adopted. In order
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19 to investigate the bonding behaviors in the vicinity of the layers where etching occurred, the
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21 corresponding atomic charge and bond lengths are studied. The Bader charge analysis based on a
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23 $180 \times 180 \times 1$ grid is performed. The electrical conductivity is obtained from Boltzmann theory
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25 implemented in the BoltzTraP code, the corresponding energy files are calculated based on a 80
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27 $\times 80 \times 1$ k-points mesh. All the structures are visualized in the VESTA code.
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33 34 35 **Supporting Information**

36
37 High-resolution XPS spectra of samples before and after etching treatment in the Hf 4f region, in
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39 the O 1s region, in the C 1s region, in the Al 2p and Si 2p region. The EDS results as-synthesized
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41 $\text{Hf}_3[\text{Al}(\text{Si})]_4\text{C}_6$ powders, HF-etched powders and delaminated $\text{Hf}_3\text{C}_2\text{T}_z$ flakes. Side-views of
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43 multilayer $\text{Ti}_3\text{C}_2\text{F}_2$ and $\text{Hf}_3\text{C}_2\text{F}_2$ MXene before and after optimization. The electronic density
44
45 distribution of the $\text{Hf}_3\text{Al}_4\text{C}_6$ monomer, the atomic charges and corresponding bond lengths near
46
47 the breaking bonds in $\text{Hf}_3\text{Al}_4\text{C}_6$ and $\text{Hf}_3\text{Al}_{3.5}\text{Si}_{0.5}\text{C}_6$. The side-views of six different structural
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49 models of the as-synthesized $\text{Hf}_3\text{C}_2\text{T}_2$ (T = O, F, OH) MXenes. The total energies of different
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51 models for $\text{Hf}_3\text{C}_2\text{T}_2$ (T = O, F, OH) MXenes. Exfoliation process parameters of Hf-Al-C
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60 composite powders.

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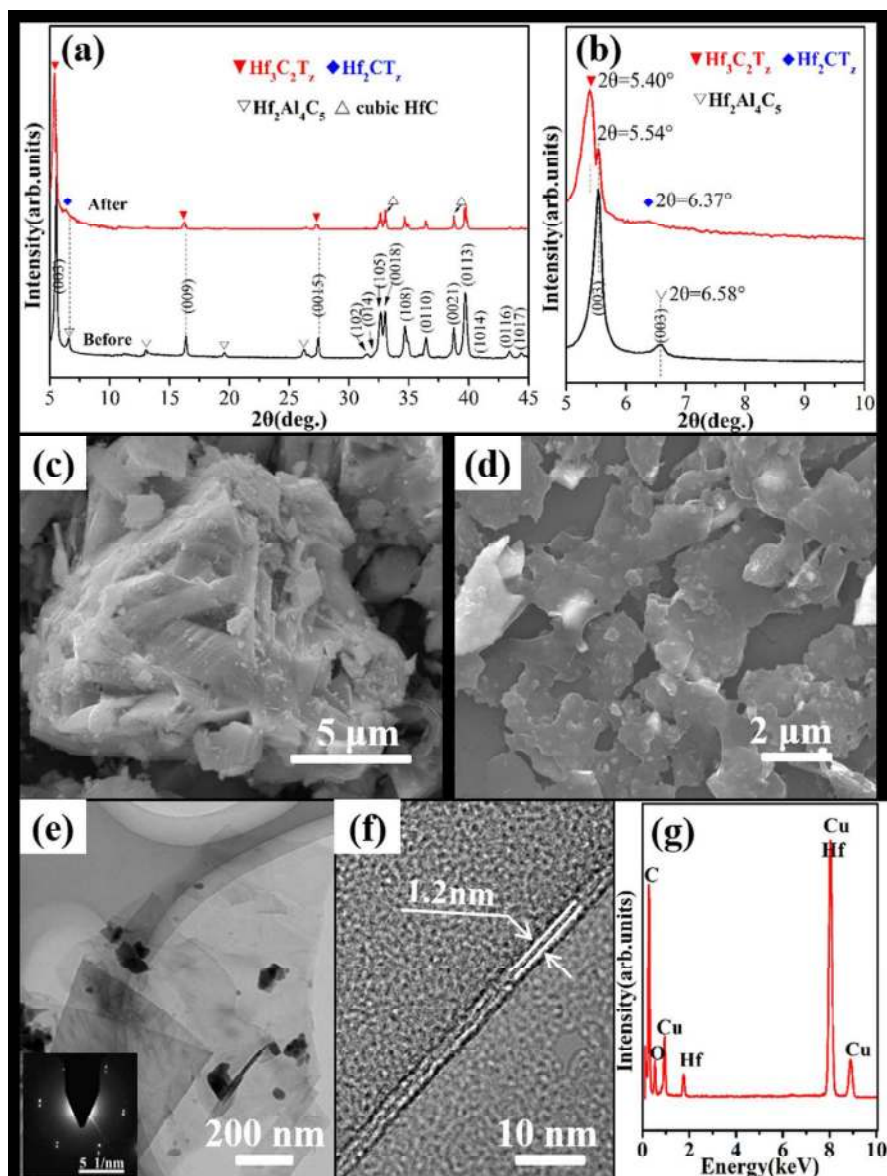


Figure 1 (a) X-ray diffraction patterns of Hf₃[Al(Si)]₄C₆ powders before and after treatment with concentrated HF solution, and (b) is an enlarged view of the area with 2θ from 5° to 10°. (c) SEM images of as-synthesized Hf₃[Al(Si)]₄C₆ powders, (d) SEM image of HF treated powders after sonication and centrifugation, then filtered from the aqueous suspension, showing delaminated flakes with large lateral size; (e) typical bright-field TEM images of the delaminated Hf₃C₂T_z flakes, depicting scrolled behavior; (f) TEM image of few layered Hf₃C₂T_z flakes; and (g) corresponding EDX results of the Hf₃C₂T_z flakes shown in (e). Left inset in (e) is a SAED pattern confirming the hexagonal basal plane symmetry.

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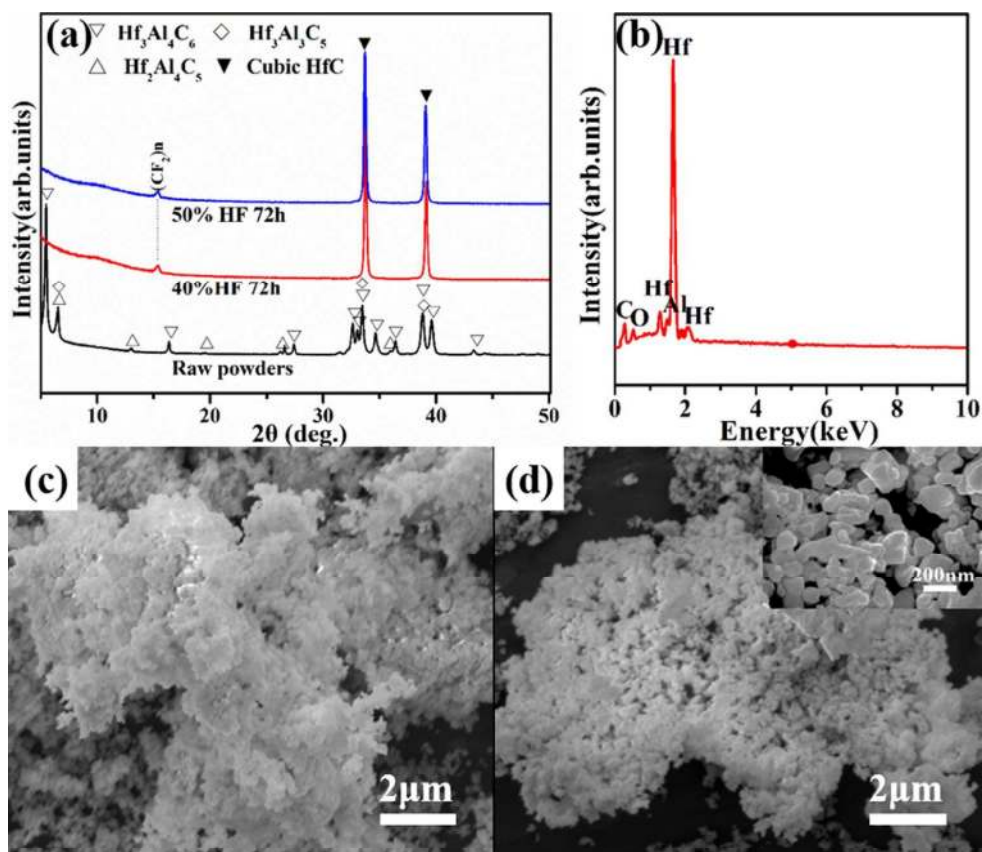


Figure 2 (a) X-ray diffraction patterns of Hf-Al-C composite powders before and after HF treatment with varied concentration, (b) the EDS results of the HF-etched powders, and the corresponding molar ratio of Hf:C approximate 1:1, (c)-(d) secondary electron SEM micrographs for Hf-Al-C composite powders after HF treatment with varied concentration, respectively, and right inset in (d) is a magnified image of the obtained cubic HfC particles.

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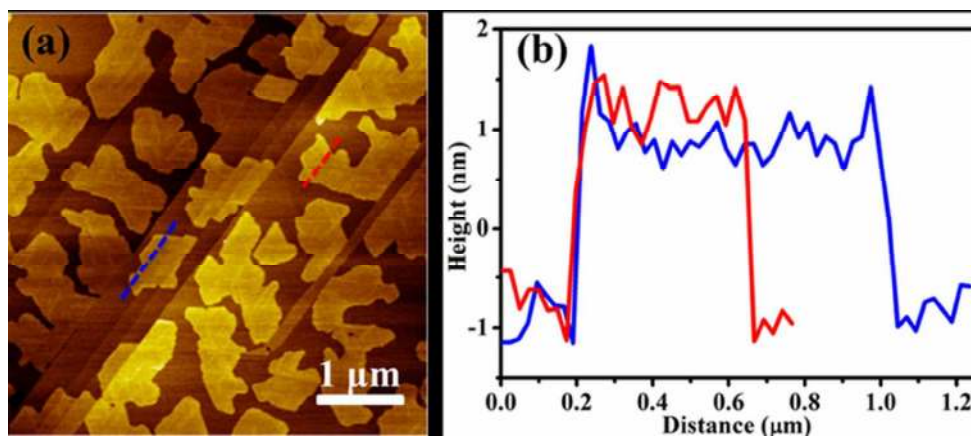


Figure 3 (a) AFM topography image of Hf₃C₂Tz nanosheets deposited on HOPG substrate, and (b) the corresponding height profile.

61x26mm (300 x 300 DPI)

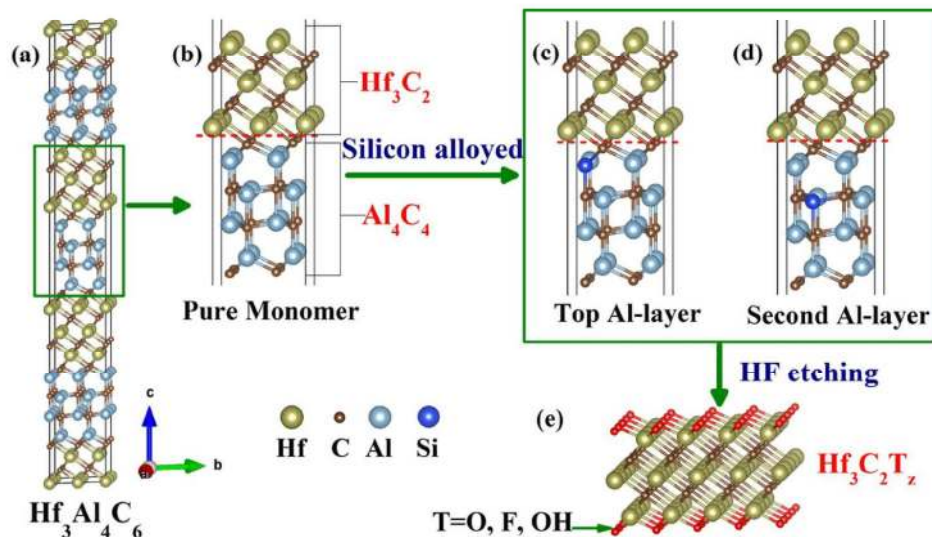


Figure 4 The schematic diagram showing the fabrication process of the Hf₃C₂T_x MXene (a) shows the pure Hf₃Al₄C₆ supercell; (b) depicts the Hf₃Al₄C₆ monomer in its supercell, where the red dashed line denotes the etching interface. (c) and (d) show the silicon alloying in the Hf₃Al₄C₆ monomer. Two different replacement positions as (c) in the top Al-layer and (d) in the second Al-layer are presented. (e) shows the side-view of Hf₃C₂T_x MXene.

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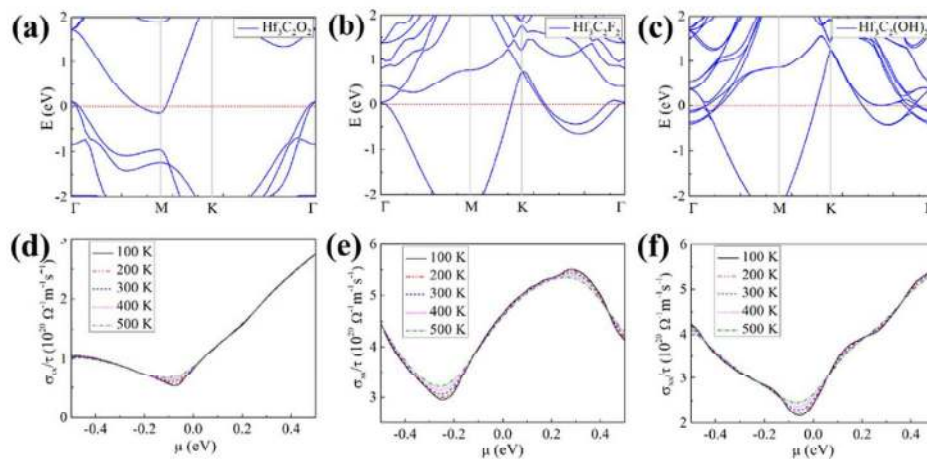


Figure 5 The electronic energy bands and electrical conductivities of the Hf₃C₂T₂(T=O, F, OH) MXenes. (a), (b) and (c) are the electronic energy bands for Hf₃C₂O₂, Hf₃C₂F₂, Hf₃C₂(OH)₂, respectively. (d), (e) and (f) represent the relationships between the values of electrical conductivity respect to scattering time and the chemical potentials, which are for Hf₃C₂O₂, Hf₃C₂F₂, Hf₃C₂(OH)₂, respectively.

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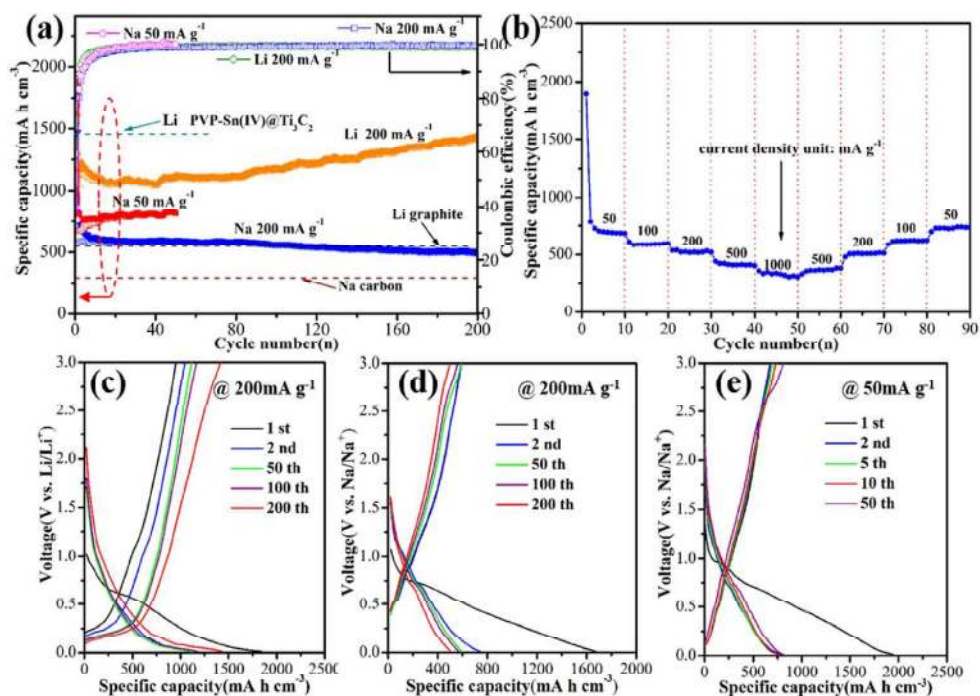


Figure 6 Electrochemical performance of d-Hf₃C₂Tz MXene-based electrodes. (a) Specific charge (hollow symbols), discharge (solid symbols) capacities and coulombic efficiency vs cycle number at different current densities for d-Hf₃C₂Tz MXene in LIBs and SIBs, respectively; (b) the rate capability of d-Hf₃C₂Tz MXene from 50 mA g⁻¹ to 1 A g⁻¹ in SIBs; (c) Voltage profile of d-Hf₃C₂Tz MXene between 0.01-3.0V vs Li/Li⁺ at a current density of 200 mA g⁻¹; (d) and (e) Voltage profile of d-Hf₃C₂Tz MXene between 0.01-3.0V vs Na/Na⁺ at current densities of 200 mA g⁻¹ and 50 mA g⁻¹, respectively.

160x112mm (300 x 300 DPI)