



Parsons, C., Harvey, N. C., Shepstone, L., Kanis, J. A., Lenaghan, E., Fordham, R., Gittoes, N., Harvey, I., Holland, R., Redmond, N. M., Howe, A., Marshall, T., Peters, T.J., Torgerson, D., O'Neill, T. W., McCloskey, E., & Cooper, C. (2019). Systematic screening using FRAX® leads to increased use of, and adherence to, anti-osteoporosis medications: An analysis of the UK SCOOP Trial. *Osteoporosis International*. <https://doi.org/10.1007/s00198-019-05142-z>

Peer reviewed version

Link to published version (if available):
[10.1007/s00198-019-05142-z](https://doi.org/10.1007/s00198-019-05142-z)

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PDF-document

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1 **Systematic screening using FRAX® leads to increased use of, and adherence to, anti-osteoporosis**
2 **medications: An analysis of the UK SCOOP Trial**

3

4 C. Parsons PhD^{1*}, N. Harvey PhD^{1,2*}, L. Shepstone PhD³, J.A. Kanis MD^{4,5}, E. Lenaghan MSc³, S. Clarke
5 MD⁶, R. Fordham PhD³, N. Gittoes PhD⁸, I. Harvey PhD³, R. Holland PhD³, N.M. Redmond PhD^{6,7}, A.
6 Howe MD³, T. Marshall MD³, T.J. Peters PhD⁶, D. Torgerson PhD⁹, T.W. O’Neill MD^{10,11}, E. McCloskey
7 MD^{4,12}, C. Cooper DM^{1,2,13}, and the SCOOP Trial Group[¥]

8

- 9 ¹ MRC Lifecourse Epidemiology Unit, University of Southampton, Southampton, UK
10 ² NIHR Southampton Biomedical Research Centre, University of Southampton and University
11 Hospital Southampton NHS Foundation Trust, Southampton, UK
12 ³ University of East Anglia, Norwich, UK
13 ⁴ Centre for Metabolic Bone Diseases, University of Sheffield, Sheffield, UK
14 ⁵ Institute for Health and Ageing, Catholic University of Australia, Melbourne, Australia
15 ⁶ Bristol Medical School, University of Bristol, Bristol, UK
16 ⁷ NIHR CLAHRC West, University Hospitals Bristol NHS Foundation Trust, Bristol, UK
17 ⁸ Centre for Endocrinology, Diabetes and Metabolism, Queen Elizabeth Hospital, Birmingham, UK
18 ⁹ University of York, York, UK
19 ¹⁰ Arthritis Research UK Centre for Epidemiology, Faculty of Biology, Medicine and Health, The
20 University of Manchester, Manchester, UK
21 ¹¹ NIHR Manchester Biomedical Research Centre, Manchester University Hospitals Foundation Trust,
22 Manchester Academic Health Science Centre, Manchester, United Kingdom.
23 ¹² Mellanby Centre for bone research, Centre for Integrated research in Musculoskeletal Ageing,
24 University of Sheffield, Sheffield, UK and Sheffield Teaching Hospitals Foundation Trust, Sheffield
25 UK
26 ¹³ NIHR Oxford Biomedical Research Centre, University of Oxford, Oxford, UK
27 [¥] Birmingham: Nicola Crabtree, Helen Duffy, Jim Parle, Farzana Rashid, Katie Stant. Bristol: Kate
28 Taylor, Clare Thomas (née Emmett). Manchester: Emma Knox, Cherry Tenneson, Helen Williams.
29 Norwich: David Adams, Veronica Bion, Jeanette Blacklock, Tony Dyer. Sheffield: Selina Bratherton
30 (née Simpson), Matt Fidler, Katharine Knight, Carol McGurk, Katie Smith, Stacey Young.
31 Southampton: Karen Collins, Janet Cushnaghan. York: Catherine Arundel, Kerry Bell, Laura Clark,
32 Sue Collins, Sarah Gardner, Natasha Mitchell.

33 * CP and NH are joint first author.

34

1 **Correspondence and reprint request to:** Professor Cyrus Cooper, MRC Lifecourse Epidemiology Unit
2 (University of Southampton), Southampton General Hospital, Southampton, SO16 6YD, UK. Tel: +44
3 (0)23 8077 7624 Email: cc@mrc.soton.ac.uk

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5 **Word count: 2675**

6 **Figures: 3; Tables: 2**

7

8

1 **Abstract**

2 **Summary:** In the large community-based SCOOP trial, systematic fracture risk screening using FRAX®
3 led to greater use of AOM and greater adherence, in women at high fracture risk, compared with usual
4 care.

5

6 **Purpose:** In the SCreening of Older wOMen for Prevention of fracture' (SCOOP) trial we investigated
7 the effect of the screening intervention on subsequent long-term self-reported adherence to
8 antiosteoporosis medications(AOM).

9 **Methods:** SCOOP was a primary care-based UK multi-centre trial of screening for fracture risk. 12,483
10 women (70-85years) were randomised to either usual NHS care, or assessment using the FRAX® tool
11 +/- dual-energy X-ray absorptiometry(DXA), with medication recommended for those found to be at
12 high risk of hip fracture. Self-reported AOM use was obtained by postal questionnaires at 6, 12, 24,
13 36, 48 and 60 months. Analysis was limited to those who initiated AOM during follow-up. Logistic
14 regression was used to explore baseline determinants of adherence(good \geq 80%; poor $<$ 80%).

15 **Results:** The mean (SD) age of participants was 75.6 (4.2) years, with 6233 randomised to screening
16 and 6250 to the control group. Of those participants identified at high fracture risk in the screening
17 group, 38.2% of those on treatment at 6 months were still treated at 60 months; whereas the
18 corresponding figure for the control group was 21.6%. Older age was associated with poorer
19 adherence [OR per year increase in age 0.96 (95%CI: 0.93, 0.99), $p=0.01$], whereas history of parental
20 hip fracture was associated with greater rates adherence [OR 1.67 (95%CI: 1.23, 2.26), $p<0.01$].

21 **Conclusions:** Systematic fracture risk screening using FRAX® leads to greater use of AOM and greater
22 adherence, in women at high fracture risk, compared with usual care.

23

24 **Keywords:** Osteoporosis, epidemiology, adherence, medication, FRAX®, screening

25

1 **Introduction**

2 Osteoporosis risk assessment has advanced markedly in recent decades. The introduction of an
3 operational definition of osteoporosis based on dual-energy X-ray absorptiometry (DXA) bone mineral
4 density (BMD) by the World Health Organisation in the mid-1990s permitted identification of those at
5 risk of fracture due to a reduced bone mass.[1] Recognition of the contribution of risk factors other
6 than BMD, and the latter's sub-optimal sensitivity for fracture prediction, led to the development of
7 the FRAX[®] Fracture Risk Calculation tool. This uses a small number of intuitively reasonable and
8 clinically readily available risk factors, together with femoral neck BMD if measured, to calculate an
9 individualised 10-year probability of fracture, integrating risk of fracture with the competing hazard
10 of death.[2]

11 There are around 120 guidelines internationally that use the FRAX[®] tool.[3] Whilst the majority of
12 guidelines have suggested approaches based on opportunistic case finding (for example the earlier UK
13 Royal College of Physicians Guidelines and subsequently the National Osteoporosis Guideline
14 Group[4]), the effectiveness and cost effectiveness of systematic screening has recently been
15 demonstrated in the SCOOP trial.[5-8] Although there was no effect on fractures overall, in this trial,
16 identification in primary care of older women at high risk of fracture (using FRAX[®] probability of hip
17 fracture and subsequent recommendation for treatment) led to a 28% reduction in hip fractures over
18 5 years compared with usual care.[6] Such advances must be viewed in the context of an international
19 backdrop of declining medication use for both primary and secondary prevention for a variety of
20 reasons but, critically, this makes interventions that optimise identification and treatment of patients
21 at high fracture risk a global imperative.[9, 10]

22 Whilst the SCOOP trial demonstrated that the intervention was acceptable and associated with
23 increased medication initiation, a key component of efficacy is adherence (proportion of prescribed
24 doses taken).[11, 12] In this post hoc exploratory study, we used existing data from the trial to
25 investigate whether the SCOOP screening intervention was associated with increased self-reported
26 adherence to anti-osteoporosis medication, and explored the determinants thereof.

27

28 **Materials and methods**

29 *Study Design*

30 The 'Screening of older women for prevention of fracture' (SCOOP) study was a pragmatic, unblinded,
31 two group, parallel randomised controlled trial to assess the effectiveness of screening to prevent
32 fractures in older women. Details of the study have been published:[5] in brief, women aged 70-85

1 years were invited from primary care lists within seven UK centres; those responding were randomly
2 assigned (1:1) to either a screening arm or a control arm. Randomisation was completed using an
3 online, web-based system, and was set up by an independent database programme from the Norwich
4 Clinical Trials Unit. In the screening arm, the FRAX[®] risk algorithm was used to determine baseline
5 fracture risk (10-year probability of hip fracture) and those participants identified as being at moderate
6 or high risk of fracture (using an age-dependent threshold, equivalent to the 10-year probability
7 consequent to the presence of a previous fracture) had a DXA scan to obtain femoral neck bone
8 mineral density (BMD). Their 10-year hip fracture probability was then recalculated including BMD.
9 Those in the control arm received usual UK NHS care (opportunistic discussion of osteoporosis). In the
10 screening arm, anti-osteoporosis medication was recommended to those participants found to be at
11 high risk of fracture after inclusion of the BMD measurement in FRAX[®]. If required anti-osteoporosis
12 medication was issued by the study participants' Primary Care physicians, in accordance with national
13 guidance from the United Kingdom Royal College of Physicians and National Osteoporosis Guideline
14 Group.[4]

15 *Data collection*

16 Self-reported anti-osteoporosis medication (AOM) use was obtained by postal questionnaire at 6, 12,
17 24, 36, 48 and 60 months after randomisation for both study arms. Since it was not possible to assess
18 whether medicines were actually taken, prescription adherence was assessed over the full 60-month
19 study duration, and calculated as the percentage of subsequent time points at which the participants
20 reported taking anti-osteoporosis medication, following a positive report of medication use at the 6
21 month (or subsequent) questionnaire.

22

23 *Statistical analysis*

24 Characteristics of participants were described using means and standard deviations (SD) for normally
25 distributed continuous variables, and using medians and inter-quartile ranges for skewed variables.
26 Frequencies and percentages were used to summarise binary and categorical variables. Study
27 participants were then grouped into two adherence groups,[13] good adherence (defined as
28 medication adherence 80% or more) or poor adherence (defined as less than 80% adherence). Logistic
29 regression was used to investigate whether FRAX[®] probability or FRAX[®] component clinical risk factors
30 at baseline were associated with adherence. Since some patients may have been commenced on
31 treatment as a result of experiencing a fracture during follow-up rather than as a direct result of the
32 screening, we also examined initiation and adherence firstly amongst those who did not experience
33 an incident (post-baseline) fracture before initiation of treatment, and secondly amongst the group

1 who did experience an incident fracture before commencing medication. Given that information on
2 fractures and medication was obtained at the follow-up questionnaires, it was not possible to establish
3 the order of such events prior to 6 months, and so analysis of initiation at 6 months assumes no prior
4 fracture between baseline and this time point. The analysis based on medication initiation after an
5 incident fracture thus only used follow-up from 12 months onwards. All analyses were undertaken
6 using Stata 14.[14]

7 Full ethics approval was obtained from the North Western – Haydock Research Ethics Committee of
8 England in September 2007 (REC 07/H1010/70). The trial was registered on the International Standard
9 Randomised Controlled Trial Register in June 2007 (ISRCTN55814835). All participants gave written,
10 informed consent.

11 **Results**

12 *Participant characteristics*

13 A total of 12,483 participants were randomised: 6,233 women to the screening arm and 6,250 to the
14 control arm. Overall, the mean age was 75.6 years and the median body mass index (BMI) 26kg/m².
15 At baseline, the median FRAX[®] hip fracture probability of all participants calculated without BMD was
16 6.3% and of those with BMD measured the mean T-score was -1.7. Just under 5% of participants
17 reported smoking at baseline, 3.6% drank more than 3 units of alcohol a day and 10% of participants
18 reported a parental history of hip fracture. Characteristics of all study participants are presented by
19 randomisation group in Table 1, demonstrating that the baseline characteristics were well balanced
20 between the two groups. Of those in the screening arm, 14.3% were classified at high risk of fracture
21 based on FRAX[®] 10-year hip fracture probability (Figure 1). Over the 60 month study duration, 15.7%
22 reported an incident fracture.

23 *Medication initiation by time and group*

24 At six months, 7.2% of the whole study population reported using anti-osteoporosis medication
25 (AOM)(Figure 2). Of those study participants in the screening arm identified to be at high risk of
26 fracture, 75.8% were taking AOM compared with only 2.0% in the control arm overall. By 60 months,
27 11.5% of all study population were taking an AOM, with 56.6% of those identified as at high risk of
28 fracture reporting taking medication, compared with 9.7% in the control arm overall.

29 *Medication adherence*

30 Of the 823 SCOOP participants who self-reported AOM use at 6 months (and assumed not to have
31 experienced a fracture between the baseline assessment and the 6 month questionnaire), 79.2%
32 (n=652) remained on treatment at 12 months, 65.0% (n=535) at 24 months and 34.9% (n=287)

1 remained on treatment for the entire 60 month duration of follow-up. Similar patterns of treatment
2 decay were seen when study participants commenced medication at later study time points (restricted
3 to those individuals who had not experienced a fracture between baseline assessment and treatment
4 commencement, demonstrated graphically in Figure 3a). Of the 628 study participants who were
5 identified at high risk of fracture in the screening arm and reported treatment at 6 months, 38.2%
6 (n=240) remained on treatment for the 60 month duration; the respective figure for the control group
7 was 21.6% (n=25).

8 *Medication adherence following initiation after an incident fracture*

9 Figure 3b demonstrates the decay in adherence following initiation of medication after an incident
10 fracture. At 12 months, 30 participants had initiated treatment following a post-baseline fracture prior
11 to this assessment. 96.7% (n=29) were still adherent at 24 months and 36.7% (n=11) at 60 months.
12 Patterns of adherence decay were similar with treatment initiation at later time points.

13 *Baseline characteristics associated with 60 month adherence*

14 As expected, the components of the FRAX[®] score were associated with initiation of treatment, and on
15 univariate modelling, on average the odds of having good adherence to AOM reduced with each year
16 higher age [OR 0.96 (95%CI: 0.93, 0.99), p<0.01], whereas the odds of having good adherence to AOM
17 over the five-year study duration was higher in those with a history of a parental hip fracture [OR 1.67
18 (95%CI: 1.23, 2.26), p<0.01] (Table 2). In the screening arm, participants who underwent a DXA
19 assessment had odds nearly twice as high as those without a DXA assessment for reporting good
20 adherence to AOM [OR 1.89 (95%CI: 1.33, 2.68), p<0.01] and participants who were identified at high
21 fracture risk after inclusion of the BMD measurement in FRAX[®] had higher odds of good adherence
22 [OR 2.80 (95%CI: 1.21, 6.50), p=0.02].

23

24 **Discussion**

25 In this pragmatic randomised trial of systematic screening for fracture risk in older women, using
26 FRAX[®] in primary care, the screening intervention was associated with greater rates of AOM
27 prescription, and self-reported medication adherence, than that those observed with usual NHS care.
28 Furthermore, greater adherence was associated with younger age and a history of parental hip
29 fracture. Since further routine FRAX[®] calculation and BMD scanning were not part of the protocol, our
30 findings highlight the importance of the initial screening assessment.

31 To our knowledge, this is the first study to demonstrate the benefit of systematic screening on
32 osteoporosis medication adherence. Good adherence to osteoporosis medications is clearly essential,

1 demonstrated by the reduced efficacy of medications such as alendronic acid when prescription
2 regimens are poorly followed.[15, 16] Similar to the prevention of many other common chronic non-
3 communicable diseases, adherence to bisphosphonates is generally sub-optimal, with reported rates
4 as low as 40%.[11, 17, 18] Reasons for poor adherence are not well understood, but there is evidence
5 from a large, international cohort study of 60,000 older women, that appreciation of individual risk is
6 variable, and the majority of women underestimate their risk of fracture, even having experienced a
7 prior fracture.[19, 20] In recent years, there has been concern over rare serious side effects of long-
8 term antiresorptive treatment.[9, 10] These have often been excessively reported in the media (and
9 sometimes also in the scientific press); in particular, communication of the appropriate balance
10 between risk and benefit has usually not occurred, especially in the context of the global media.[21,
11 22] It is notable that rates of medication use for both primary and secondary prevention appear to be
12 falling over recent years.[10, 21, 23-25]

13 Previous investigations have explored a variety of methods to improve medication adherence. These
14 have included measurement of bone turnover markers, BMD, nurse/practitioner review, and
15 educational programmes, with the aim of providing positive feedback and monitoring of progress.[11,
16 16, 26] However, there are clear resource implications for such interventions, and the value of specific
17 measures such as bone turnover markers over and above simple contact with a health professional is
18 not certain.[11] It is therefore notable that the present screening intervention, undertaken in primary
19 care using the FRAX® tool, led not just to increased uptake of medication but also to improved
20 adherence compared with those individuals prescribed medication in the usual care group.

21 That family history of hip fracture was associated with better adherence is easily comprehensible,
22 although the lack of association with prior fracture is perhaps counterintuitive, albeit consistent with
23 findings from the GLOW study.[19] Increased adherence in those who underwent BMD testing may
24 simply reflect collinearity with other risk variables, since these individuals were by definition at
25 moderate to high fracture risk, or potentially a positive effect of the DXA scan on adherence. Our
26 analysis explored adherence amongst those individuals who had (or had not) experienced a fracture
27 after the baseline assessment, but before initiation of medication, and suggested perhaps greater
28 adherence in the first 12 months after initiation for the post-incident fracture group commencing
29 medication at one year. However, the percent adherence was similar at the end of the study, and the
30 numbers were not large enough to permit a logistic regression analysis based on incident fracture. We
31 were unable to reliably assess the temporal relationships between medication initiation and fracture
32 occurrence in the first 6 months of follow-up, and so assumed that medication initiation preceded a
33 fracture event during this period. The validity of this assumption cannot be tested, but given the
34 findings in relation to medication adherence for initiation following a fracture in our subsequent

1 analyses, it is possible that the balance of fractures either side of the 6 month questionnaire could
2 have influenced our results.

3 Our finding of lower adherence at older ages may reflect a higher burden of comorbidity and
4 associated medications. Indeed, key perceptions that influence older women with regard to
5 adherence to such medications was investigated in a qualitative study, nested within the SCOOP
6 trial[27]. In this investigation of 30 women aged 70-85 years who were offered anti-osteoporosis
7 medication, there were no overall predictors of adherence across two years of assessment. The
8 women's perceptions and motivations related to persistence with medication were influenced by
9 factors such as their understanding of adherence/non-adherence, motivations and self-care,
10 appraising/prioritising risk, anticipating/managing side effects and issues relating to problems of
11 understanding and decision-making. Importantly, those engaged with supportive professionals better
12 tolerated/overcame potential barriers posed by side effects.[27] The present results complement
13 these detailed findings from interviews in a small group of women, by elucidating overarching
14 predictors of adherence across the whole trial population.

15 We studied a unique multicentre, primary care-based UK randomised controlled trial with
16 comprehensive assessment of medication use. However, there are some limitations that could should
17 be considered in the interpretation of our findings. Firstly, medication use was obtained by self-report
18 questionnaire at specific time points, and was not validated by semi-objective measures such as pill
19 counts. It is possible that transient use was therefore underestimated, though if anything this would
20 tend to reduce the chances of observing differences between the groups. Furthermore, self-report
21 may lead to over-estimation of adherence compared with pill counts, but it is likely, given the context
22 of participation in a trial for the prevention of osteoporotic fracture, that participants were motivated
23 to take treatment[28]. The self-report question within the SCOOP postal questionnaire asked study
24 participants whether they were currently taking AOM, and no detail of the types of medication were
25 captured at this time. AOM were prescribed by General Practitioners and so the vast majority are likely
26 to be oral bisphosphonates; however in this study we are unable to assess whether the type of medication
27 impacted the level of adherence: for example we could not readily assess any influence of annual
28 intravenous zoledronate prescription on our findings. Additionally, we lacked information relating to
29 new prescriptions of corticosteroids. Secondly, we have limited capacity to explore psychosocial
30 aspects related to adherence, but these have been investigated previously in subsets of the trial.[27,
31 29] Thirdly, the study population consisted of older women, limiting the generalisability of these
32 findings to younger women and to men, and we had limited information about aspects of clinical care
33 in the control group, for example, use of DXA scanning. Finally, it is possible that trial participants were
34 somewhat healthier than the general population. This "healthy selection effect" may limit

1 generalisability, but should not materially influence differences between the two groups, since
2 participants were randomly allocated to screening or usual care. Further studies in a population of
3 men and women, in which in-depth analysis examining whether different AOM medications had
4 significantly differing levels of adherence and treatment adherence captured using more sophisticated
5 methods would be warranted.

6 In conclusion, systematic screening for fracture risk using FRAX® in primary care led to increased use
7 of, and adherence to, anti-osteoporosis medications, compared with usual care. Taken with recent
8 evidence that this intervention results in a reduction in risk of hip fracture, the present findings further
9 support the use of systematic screening approaches for fracture prevention.

10

11 **Acknowledgements**

12 This study was jointly funded by Arthritis Research UK (formerly the Arthritis Research Campaign) and
13 the UK Medical Research Council. NMR's time is supported by the National Institute for Health
14 Research Collaboration for Leadership in Applied Health Research and Care West (NIHR CLAHRC West)
15 at University Hospitals Bristol NHS Foundation Trust. The SCOOP study was designed and done with
16 substantial input from the Norwich Clinical Trials Unit, UK, particularly the construction of the study
17 database and provision of online randomisation (completed by Tony Dyer). We thank Margaret
18 McWilliams and Ann Pulford, the study's public and patient involvement representatives, for
19 invaluable advice and support, and our trial steering committee and data monitoring committee. CP
20 and NH are joint first author.

21

22 **Disclosures**

23 CC has received consultancy fees and honoraria from Amgen, Danone, Eli Lilly, GlaxoSmithKline,
24 Medtronic, Merck, Nestlé, Novartis, Pfizer, Roche, Servier, Shire, Takeda, and UCB. NH has received
25 consultancy, lecture fees, and honoraria from Alliance for Better Bone Health, Amgen, MSD, Eli Lilly,
26 Servier, Shire, UCB, Consilient Healthcare, and Internis Pharma. JK has held grants from Amgen, Lilly,
27 Unigene, and Radius Health; has received non-financial support from Medimaps, Asahi, and AgNovos;
28 and is the architect of FRAX®, but has no financial interest. EM has been, or currently is, an adviser or
29 speaker for and has received research support from ActiveSignal, Amgen, AstraZeneca, Consilient
30 Healthcare, GlaxoSmithKline, Hologic, Internis, Eli Lilly, Medtronic, Merck, Novartis, Pfizer, Roche,
31 Sanof-Aventis, Servier, Synexus, Tethys, UCB, and Warner Chilcott; and has received research support

1 from I3 Innovus, International Osteoporosis Foundation, and Unilever. All other authors declare no
2 competing interests.

3

4

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3 screening for postmenopausal osteoporosis. WHO Geneva
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1 **Figure legends**

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3 **Figure 1:** Consort diagram

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5 **Figure 2:** Anti-osteoporosis medication use over the duration of the SCOOP trial by randomisation
6 group [screening (intervention) vs usual care (control)].

7

8 **Figure 3a:** Anti-osteoporosis medication (AOM) adherence over the 5 year duration of the SCOOP trial
9 in study participants who initiated treatment, and who had not experienced a fracture between
10 baseline and commencement of medication. (Calculated as the percent study participants who
11 remained on AOM at each subsequent timepoint having initiated treatment at each index timepoint.)

12

13 **Figure 3b:** Anti-osteoporosis medication (AOM) adherence over the 5 year duration of the SCOOP
14 trial in study participants who initiated treatment after the occurrence of a fracture post-baseline.
15 (Calculated as the percent study participants who remained on AOM at each subsequent timepoint
16 having initiated treatment at each index timepoint.)

Table 1: Participant characteristics at baseline assessment.

Characteristic	Screening arm			Control arm		
	n	Mean	SD	n	Mean	SD
Age (years)	6233	75.5	4.2	6250	75.6	4.1
Height (cm)	6233	160.7	6.3	6250	160.9	6.4
T-Score	2818	-1.7	1.0	-	-	-
	n	Median	Inter-quartile range	n	Median	Inter-quartile range
BMI (kg/m ²)	6233	26.0	23.4-29.3	6250	26.1	23.4-29.2
Weight (kg)	6233	67.1	60.3-76.2	6250	67.6	60.3-76.2
FRAX [®] Probability (hip without BMD)	6233	6.3	3.8-10.5	6250	6.3	3.8-10.5
	n	%	n	%		
Parental history of hip fracture	585	9.4	577	9.2		
Incident fracture (post baseline)	956	15.3	1010	16.2		
Prior fracture ^b	1399	22.7	1463	23.6		
Smoker	291	4.7	290	4.6		
Taken corticosteroids for more than a few weeks	316	5.1	312	5.0		
Rheumatoid arthritis	426	6.8	410	6.6		
> 3 units of alcohol a day	219	3.5	225	3.6		
Risk category ^a						
Low	5342	85.7	-	-		
High	891	14.3	-	-		

^a Risk categorisation undertaken in intervention arm only; FRAX[®] high risk threshold 70-74 years 5.24%, 75-79 years 6.87%, 80-84 years 8.52%, 85 years 8.99%

^b Broken bone since age of 50 years

Table 2: Univariate associations between participant characteristics (at baseline) and adherence to anti-osteoporosis medication (AOM) over the 5 year follow-up period (Logistic regression).

	Adherence during follow-up		
	Odds ratio	95% CI	p-value
Age (years) ^a	0.96	(0.93,0.99)	0.01
Weight [log(kg)] ^b	1.15	(0.52,2.51)	0.74
Height (cm) ^c	1.01	(0.99,1.03)	0.42
Prior fracture (Y/N)	0.89	(0.67,1.17)	0.40
Parent broken hip (Y/N)	1.67	(1.23,2.26)	<0.01
Smoker (Y/N)	1.00	(0.61,1.64)	1.00
Taken corticosteroids (Y/N)	0.81	(0.54,1.23)	0.32
Rheumatoid arthritis (Y/N)	0.80	(0.50,1.27)	0.34
Alcohol consumption (Y/N)	0.57	(0.32,1.03)	0.06
DXA Scan (Y/N)	1.89	(1.33, 2.68)	<0.01
Total hip BMD T-score (SD)	1.02	(0.82,1.27)	0.85
Incident fracture (post baseline) (Y/N)	1.03	(0.76,1.40)	0.86
FRAX [®] risk category (High/Low) ^d	2.80	(1.21,6.50)	0.02

^a OR for each year higher in age; ^b OR for each log(kg) increase in weight; ^c OR for each cm increase in height;

^d in a subgroup of study participants with a FRAX[®] category using BMD