

# Systemic Therapy for Stage IV Non–Small-Cell Lung Cancer: American Society of Clinical Oncology Clinical Practice Guideline Update

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## ABSTRACT

### Purpose

To provide evidence-based recommendations to update the American Society of Clinical Oncology guideline on systemic therapy for stage IV non–small-cell lung cancer (NSCLC).

### Methods

An Update Committee of the American Society of Clinical Oncology NSCLC Expert Panel based recommendations on a systematic review of randomized controlled trials from January 2007 to February 2014.

### Results

This guideline update reflects changes in evidence since the previous guideline.

### Recommendations

There is no cure for patients with stage IV NSCLC. For patients with performance status (PS) 0 to 1 (and appropriate patient cases with PS 2) and without an *EGFR*-sensitizing mutation or *ALK* gene rearrangement, combination cytotoxic chemotherapy is recommended, guided by histology, with early concurrent palliative care. Recommendations for patients in the first-line setting include platinum-doublet therapy for those with PS 0 to 1 (bevacizumab may be added to carboplatin plus paclitaxel if no contraindications); combination or single-agent chemotherapy or palliative care alone for those with PS 2; afatinib, erlotinib, or gefitinib for those with sensitizing *EGFR* mutations; crizotinib for those with *ALK* or *ROS1* gene rearrangement; and following first-line recommendations or using platinum plus etoposide for those with large-cell neuroendocrine carcinoma. Maintenance therapy includes pemetrexed continuation for patients with stable disease or response to first-line pemetrexed-containing regimens, alternative chemotherapy, or a chemotherapy break. In the second-line setting, recommendations include docetaxel, erlotinib, gefitinib, or pemetrexed for patients with nonsquamous cell carcinoma; docetaxel, erlotinib, or gefitinib for those with squamous cell carcinoma; and chemotherapy or ceritinib for those with *ALK* rearrangement who experience progression after crizotinib. In the third-line setting, for patients who have not received erlotinib or gefitinib, treatment with erlotinib is recommended. There are insufficient data to recommend routine third-line cytotoxic therapy. Decisions regarding systemic therapy should not be made based on age alone. Additional information can be found at <http://www.asco.org/guidelines/nscl> and <http://www.asco.org/guidelineswiki>.

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## INTRODUCTION

The purpose of this guideline update is to revise the American Society of Clinical Oncology (ASCO) guideline on the systemic treatment of patients with stage IV non–small-cell lung cancer (NSCLC). The full ASCO clinical practice guideline update on chemotherapy for stage IV NSCLC was last published in 2009.<sup>1</sup> A focused update on switch maintenance was published in 2011.<sup>2</sup> Since the 2009 guideline, the

understanding of histologic and molecular subtypes of NSCLC has increased, and as a result, the clinical questions have been reformulated for presentation in terms of histology and molecular subtype.

This update includes 73 phase III randomized controlled trials (RCTs) on systemic therapy. It reviews and analyzes new and updated evidence, including data regarding afatinib, ceritinib, crizotinib, erlotinib, continuation maintenance, and switch maintenance.

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Editor's note: This American Society of Clinical Oncology clinical practice guideline provides recommendations, with comprehensive review and analyses of the relevant literature for each recommendation. Additional information, including a Data Supplement with additional evidence tables, a Methodology Supplement, slide sets, and clinical tools and resources, is available at <http://www.asco.org/guidelines/nscl>; links to patient information can be found at <http://www.cancer.net>.

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Authors' disclosures of potential conflicts of interest are found in the article online at [www.jco.org](http://www.jco.org). Author contributions are found at the end of this article.

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## THE BOTTOM LINE

**Recommendations for Systemic Treatment of Patients With Stage IV Non–Small-Cell Lung Cancer: ASCO Clinical Practice Guideline Update****Guideline Question**

What systemic therapy treatment options should be offered to patients with stage IV non–small-cell lung cancer (NSCLC), depending on the subtype of the patient's cancer?

**Target Population**

Patients with stage IV NSCLC.

**Target Audience**

This clinical practice guideline update is targeted at health care providers (including medical oncologists, nurses, social workers, and any other relevant members of comprehensive multidisciplinary cancer care teams), and patients and their caregivers in North America and beyond.

**Methods**

An Update Committee was convened to develop clinical practice guideline recommendations based on a systematic review of the medical literature.

**Key Points**

See Recommendations section for full details.

- There is no cure for patients with stage IV NSCLC.
- Decisions on chemotherapy should not be made on the basis of age alone.

**First-Line Treatment for Patients:**

- Without an *EGFR*-sensitizing mutation or *ALK* gene rearrangement and performance status (PS) 0 to 1 (or appropriate PS 2): a variety of combination cytotoxic chemotherapies are recommended. Platinum-based doublets are preferred, along with early concurrent palliative care and symptom management. Based on tumor histology (ie, squamous v nonsquamous), there are some variations (evidence quality: high; strength of recommendation: strong).
- Adding bevacizumab to carboplatin plus paclitaxel is recommended if there are no contraindications (evidence quality: intermediate; strength of recommendation: moderate).
- With PS 2: combination or single-agent chemotherapy or palliative care alone may be used (chemotherapy: evidence quality: intermediate; strength of recommendation: weak; palliative care: evidence quality: intermediate; strength of recommendation: strong).
- With sensitizing *EGFR* mutations: afatinib, erlotinib, or gefitinib is recommended (evidence quality: high; strength of recommendation: strong for each).
- With *ALK* gene rearrangements: crizotinib is recommended (evidence quality: high; strength of recommendation: strong).
- With *ROS1* rearrangement: crizotinib is recommended (type: informal consensus; evidence quality: low; strength of recommendation: weak).
- With large-cell neuroendocrine carcinoma: platinum plus etoposide or the same treatment as other patients with nonsquamous carcinoma may be administered (type: informal consensus; evidence quality: low; strength of recommendation: weak).
- First-line cytotoxic chemotherapy should be stopped at disease progression or after four cycles in patients with nonresponsive stable disease (no change).

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## THE BOTTOM LINE (CONTINUED)

- With stable disease or response after four cycles of a first-line pemetrexed-containing regimen: pemetrexed continuation maintenance may be used; if initial regimen does not contain pemetrexed, an alternative chemotherapy (switch) may be used, or a break from chemotherapy may be recommended until disease progression (addition of pemetrexed: evidence quality: intermediate; strength of recommendation: moderate).

**Second-Line Treatment for Patients:**

- With nonsquamous cell carcinoma (NSCC): docetaxel, erlotinib, gefitinib, or pemetrexed are acceptable (evidence quality: high; strength of recommendation: strong).
- With SCC: docetaxel, erlotinib, or gefitinib are acceptable (evidence quality: high; strength of recommendation: strong).
- With sensitizing *EGFR* mutations who did not respond to a first-line epidermal growth factor receptor (EGFR) tyrosine kinase inhibitor (TKI): combination cytotoxic chemotherapy is recommended for those with NSCC, as listed in under first-line treatment (type: informal consensus; evidence quality: intermediate; strength of recommendation: strong).
- With sensitizing *EGFR* mutations who received a first-line EGFR TKI and experienced disease progression after an initial response: may be switched to chemotherapy or another EGFR TKI as second-line therapy (type: informal consensus; evidence quality: low; strength of recommendation: weak).
- With *ALK* rearrangement and progression after first-line crizotinib: chemotherapy or ceritinib may be offered (chemotherapy: evidence quality: high; strength of recommendation: strong; ceritinib: evidence quality: intermediate; strength of recommendation: moderate).

**Third-Line Treatment for Patients:**

- Who have not received erlotinib or gefitinib and have PS 0 to 3: erlotinib may be recommended.
- Data are insufficient to recommend routine third-line cytotoxic drugs.

**Note.**

For all recommendations, benefits outweigh harms. The type of recommendation is evidence based, except where otherwise noted. ASCO believes that cancer clinical trials are vital to inform medical decisions and improve cancer care and that all patients should have the opportunity to participate.

**Additional Resources**

More information, including a Data Supplement with additional evidence tables, a Methodology Supplement with information about evidence quality and strength of recommendations, slide sets, and clinical tools and resources, is available at <http://www.asco.org/guidelines/nsclc>. Patient information is available at <http://www.cancer.net>.

Because ASCO recently published the ASCO endorsement of the International Association for the Study of Lung Cancer/College of American Pathologists molecular marker guideline,<sup>3</sup> this guideline update will not specifically address the histologic classification or molecular pathology of NSCLC. The reader is also referred to the WHO/International Association for the Study of Lung Cancer adenocarcinoma classification<sup>4</sup> and the ASCO palliative care provisional clinical opinion.<sup>5</sup> The latter provides guidance regarding concurrent palliative care for patients with lung cancer.

patients with stage IV NSCLC, depending on the subtype of the patient's cancer? Subquestions include: What are the most effective first- and second-line therapies? What is the role of maintenance (both switch and continuation) therapy? What other clinical characteristics, besides the specified histologic and molecular subgroups, should affect drug selection? Is there a role for third-line therapy or beyond? A detailed list of clinical questions is provided in Data Supplement 5 (available at <http://www.asco.org/guidelines/nsclc>).

## GUIDELINE QUESTIONS

This clinical practice guideline addresses an overarching clinical question: What systemic therapy treatment options should be offered to

## METHODS

**Guideline Update Development Process**

The Update Committee (members listed in Appendix Table A1, online only) met via teleconference and Webinar and corresponded

through e-mail. On the basis of the consideration of the evidence, the authors were asked to contribute to the development of the guideline, provide critical review, and finalize the guideline recommendations. Members of the Update Committee were responsible for reviewing and approving the penultimate version of the guideline, which was then submitted to *Journal of Clinical Oncology* for editorial review and consideration for publication. All ASCO guidelines are ultimately reviewed and approved by the Update Committee and the ASCO Clinical Practice Guideline Committee before publication.

The recommendations were developed by an Update Committee with multidisciplinary representation using a systematic review of phase III RCTs and clinical experience. The PubMed database was searched for evidence reporting on outcomes of interest, published from January 2007 to February 2014 for non-switch maintenance questions and from June 2009 to February 2014 for switch maintenance questions. Articles were selected for inclusion in the systematic review of the evidence based on the following criteria: (1) population of patients with stage IV NSCLC (many trials also included patients with stage IIIB NSCLC), and (2) fully published presentations of English-language reports of phase III RCTs.

Articles were excluded from the systematic review if they were: (1) meeting abstracts not subsequently published in peer-reviewed journals (with one exception made for 2014 ASCO abstract presenting only phase III data on agent recently approved by US Food and Drug Administration [FDA]<sup>6</sup>); (2) editorials, commentaries, letters, news articles, case reports, or narrative reviews; or (3) published in a language other than English.

The guideline recommendations were crafted, in part, using the Guidelines Into Decision Support (GLIDES) methodology and accompanying BRIDGE-Wiz software (<http://gem.med.yale.edu/BRIDGE-Wiz>). Ratings for the type and strength of recommendation, evidence, and potential bias are provided with each recommendation (Methodology Supplement).

Detailed information about the methods used to develop this guideline update is also available in the Methodology Supplement at [www.asco.org/guidelines/nscl](http://www.asco.org/guidelines/nscl), including an overview (eg, Update Committee composition, development process, and revision dates), literature search and data extraction, the recommendation development process (GLIDES and BRIDGE-Wiz), and quality assessment.

In some selected cases where evidence was lacking but there was a high level of agreement among the Update Committee, informal consensus was used (as noted with Recommendations).

### Guideline Disclaimer

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### Guideline and Conflicts of Interest

The Update Committee was assembled in accordance with the ASCO Conflicts of Interest Management Procedures for Clinical Practice Guidelines (summarized at <http://www.asco.org/rwc>). Members of the Update Committee completed the ASCO disclosure form, which requires disclosure of financial and other interests that are relevant to the subject matter of the guideline, including relationships with commercial entities that are reasonably likely to experience direct regulatory or commercial impact as a result of promulgation of the guideline. Categories for disclosure include employment; leadership; stock or other ownership; honoraria; consulting or advisory role; speaker's bureau; research funding; patents, royalties, other intellectual property; expert testimony; travel, accommodations, expenses; and other relationships. In accordance with these procedures, the majority of the members of the Update Committee did not disclose any such relationships.

## RESULTS

A total of 87 publications concerning 73 phase III RCTs met the systematic review eligibility criteria and form the evidentiary basis for the guideline recommendations. Findings from 10 of these trials were published in multiple reports,<sup>7-28</sup> indicated in the tables and text where appropriate. There were 51 trials in the first-line and maintenance settings, including seven trials for the treatment of patients with epidermal growth factor receptor (*EGFR*) mutations or increased *EGFR* expression.<sup>11,13,14,20,29-32</sup> Twenty-five trials included patients with multiple histologies (including squamous cell carcinoma [SCC]). Nine trials reported specifically on patients who had stable disease or experienced response after four to six cycles (maintenance).<sup>7,8,33-40</sup> There were 22 trials of second- and third-line therapies; two reported on the treatment of patients with *ALK*-positive tumors; none of these 22 trials required *EGFR*-positive test results; one study required all participants to have wild-type *EGFR* in their tumors<sup>41</sup>; 18 of 22 studies included some proportion of patients with SCC. As a result of FDA approval, one phase I trial that did not meet eligibility criteria was included in the evidence base.<sup>42,43</sup>

The publications identified spanned from 2007 to 2014. The primary outcome for most trials was therapeutic efficacy, either overall (OS) or progression-free survival (PFS). The primary outcome for all but two of the trials for clinical questions on first-line and maintenance settings was therapeutic efficacy, as with all of the 22 trials for clinical questions on second- and third-line therapies. Morbidity and quality of life (QoL) were the primary outcomes for two studies.<sup>18,44</sup>

### Study Characteristics

Most studies had two arms and made a variety of comparisons; intervention arms usually included one to three agents. Of first-line trials of *EGFR* inhibitors, the percentage of participants known to have *EGFR* mutations was 0% to 100%. In second-line trials, 0% to 70% of participants had *EGFR* mutations in *EGFR*-inhibitor studies. Seven trials in the second-line setting stipulated that participants could not have received prior *EGFR* tyrosine kinase inhibitors (TKIs).<sup>45-51</sup> Characteristics of the studies and study participants are provided in the Data Supplement.



## Study Quality Assessment

Study quality was formally assessed for the RCTs directly relevant to current recommendations<sup>6,7,8,11,13-15,29,31,33,37,41,52-57</sup> (Table 1). Quality assessment of studies not directly cited in support of recommendations is available in the Data Supplement. Design aspects related to the individual study quality were assessed by one reviewer, with factors such as blinding, allocation concealment, placebo control, intention to treat, and funding sources generally indicating an intermediate to high potential risk of bias for most of the identified evidence. Some factors varied between studies, lowering the comparability of the results. The Methodology Supplement provides for definitions of ratings for overall potential risk of bias.

## Outcomes

**Efficacy outcomes: first-line trials.** Six trials reported significant differences for OS or median survival.<sup>7,8,19,31,52,53,55,58</sup> Eighteen trials reported significant differences for PFS or time to progression (TTP). Table 2<sup>6-8,11,13,14,20-22,29-31,33,37,40,52-55,60</sup> includes results of key outcomes, primarily OS and PFS or TTP, which were reported in selected trials. Other trials and efficacy results are reported in the Data Supplement evidence tables.

**Efficacy outcomes: second-line trials.** Two trials reported significant differences for OS or median survival.<sup>41,57</sup> Fifteen trials reported significant differences for PFS or TTP.<sup>15,34-36,41,47,49,56,57,62-67</sup> Additional data regarding key outcomes of interest are reported in Table 3<sup>15,41,53,56,57</sup> and in the Data Supplement.

**Adverse events.** Table 4<sup>7,11,13,14,20,29,33,37,52-55</sup> lists selected adverse events from the first-line trials that reported them. Twenty-four trials reported significant differences. Table 5<sup>15,16,41,53,56,57</sup> lists selected adverse events from the second-line trials that reported them. Additional studies are reported in the Data Supplement. Six trials reported significant differences.

**QoL.** QoL outcomes are reported in Tables 6 and 7 of Data Supplement 2.

## RECOMMENDATIONS

### CLINICAL QUESTION A1

Which patients with stage IV NSCLC should be treated with chemotherapy?

#### Recommendation A1.a

For patients with performance status (PS) of 0 or 1, a combination of two cytotoxic drugs is recommended. Platinum combinations are recommended over nonplatinum therapy; however, nonplatinum therapy combinations are recommended for patients who have contraindications to platinum therapy. Chemotherapy may also be used to treat selected patients with PS 2 who desire aggressive treatment after a thorough discussion of the risks and benefits of such treatment (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong).

#### Recommendation A1.b

Because there is no cure for patients with stage IV NSCLC, early concomitant palliative care assistance has improved the survival and well being of patients and is therefore recommended (type: evidence

based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong).

**Literature review update and analysis.** This recommendation is supported by evidence reviewed in previous versions of this guideline and in the ASCO palliative care provisional clinical opinion.<sup>5</sup>

**Clinical interpretation.** Combination therapy improves outcomes for patients with PS 0 to 1 and appropriate patients with PS 2 who are willing to accept the potential risks and is therefore recommended by the Update Committee. More specific information about regimens and palliative care is provided in subsequent recommendations.

### CLINICAL QUESTION A2

What is the most effective first-line therapy for patients with stage IV NSCLC with non-SCC (NSCC), negative or unknown *EGFR*-sensitizing mutation and *ALK* gene rearrangement status, and PS 0 to 1 or possibly PS 2?

#### Recommendation A2

For patients who have the characteristics described in Clinical Question A2 and who have nonsquamous histology, the following options are acceptable:

- Cisplatin-based combinations (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong)
- Cisplatin plus docetaxel (FDA-approved combination; <http://www.cancer.gov>)
- Cisplatin plus paclitaxel (FDA-approved combination; <http://www.cancer.gov>)
- Cisplatin plus pemetrexed (FDA-approved combination; <http://www.cancer.gov>)
- Cisplatin plus vinorelbine (FDA-approved combination; <http://www.cancer.gov>)
- Carboplatin-based combinations (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong)
- Carboplatin plus albumin-bound (nab) –paclitaxel (FDA-approved combination; <http://www.cancer.gov>)
- Carboplatin plus paclitaxel (FDA-approved combination; <http://www.cancer.gov>)
- Carboplatin plus pemetrexed
- Carboplatin plus docetaxel
- Nonplatinum doublets (type: evidence based, benefits outweigh harms; evidence quality: intermediate; strength of recommendation: weak)

**Literature review update and analysis.** It is beyond the scope of this guideline update to review the entire evolution of cytotoxic chemotherapy for patients with stage IV NSCLC and good PS. Prior versions of this guideline simplified recommendations for a multitude of combinations with several basic principles on the basis of consistent observations or meta-analyses: Two-drug combinations were superior to single-agent therapy, platinum-based two-drug combinations were slightly superior to nonplatinum combinations in meta-analysis, and cisplatin was slightly superior in efficacy to carboplatin in meta-analysis but perhaps not worth the added toxicity in the palliative care setting.

Table 1. Quality Assessment

Study	Adequate Randomization	Concealed Allocation	Sufficient Sample Size	Similar Groups	Blinded	Validated and Reliable Measures	Adequate Follow-Up	ITT	Insignificant COIs	Overall Risk of Bias (RCTs)
Barlesi et al <sup>33</sup>	?	?	✓	✓	?	✓	✓	✓	—	High
Garassino et al <sup>41</sup>	✓	—	Partially	✓	Partially <sup>a</sup>	Partially	?	✓ <sup>b</sup>	?	Intermediate
Garon et al <sup>57</sup>	?	?	✓	✓	—	✓	✓	✓	—	Intermediate
Georgoulas et al <sup>52</sup>	?	?	—	✓	—	✓	✓ <sup>c</sup>	✓	✓	Intermediate
Patel et al <sup>37</sup>	?	?	✓	✓	—	✓	?	✓ <sup>d</sup>	—	High
Paz-Ares et al <sup>7,8</sup>	✓	✓	?	✓	✓	✓	✓	✓	—	Low
Quoix et al <sup>53</sup>	✓	?	✓	✓; partially <sup>e</sup>	?	✓	?	✓	✓	Low
Rosell et al <sup>29</sup>	✓	✓	✓	✓	— <sup>f</sup>	✓	✓	✓	Partially	Low
Miller et al <sup>15</sup>	✓	✓	✓	✓	✓	✓	✓	✓	—	Intermediate
Sequist et al <sup>11</sup>	?	?	✓	✓; partially <sup>g</sup>	Partially	✓	✓	✓; efficacy	—	Intermediate
Shaw et al <sup>56</sup>	?	?	✓	✓	—	✓	✓	✓ <sup>h</sup>	—	Intermediate
Mok et al <sup>6</sup>	?	?	✓	✓	—	✓	✓	✓	—	Intermediate
Solomon et al <sup>54</sup>	✓	✓	✓	✓	Partially	✓	?	✓	—	Intermediate
Wu et al <sup>31</sup>	✓	✓	✓	✓	—	Partially	✓	—	—	Intermediate
Zhou et al <sup>13</sup>	✓	✓	✓	✓	—	Partially	✓	—	—	Intermediate
Chen et al <sup>14</sup>	Partially	?	✓	✓	?	✓	?	✓	—	High

NOTE. ✓ indicates criteria were met; — indicates criteria were not met; ? indicates insufficient detail, not reported, and/or uncertain whether criteria were met. Ratings are based on estimation of whether criteria were met and extent of potential bias, not simply on reporting.

Abbreviations: COI, conflict of interest; ITT, intention to treat; RCT, randomized controlled trial.

<sup>a</sup>Investigators who administered treatment and assessed outcomes were not masked to treatment allocation, but investigators who analyzed results were.

<sup>b</sup>Overall and progression-free survival (not for overall response or safety).

<sup>c</sup>A predefined interim analysis revealed that there was a statistically significant difference in OS [overall survival] in favor of the DG [docetaxel plus gemcitabine] regimen, which obliged to prematurely terminate the study for ethical reasons.<sup>52(p58)</sup>

<sup>d</sup>For efficacy.

<sup>e</sup>More patients in the monotherapy group presented with weight loss of more than 5% in the 3 months before randomisation. As a consequence, patients in the monotherapy group had lower body-mass index values than patients in the doublet chemotherapy group.<sup>53(p1082)</sup>

<sup>f</sup>Open-label study.

<sup>g</sup>There was a higher proportion of patients with stage IIIB disease in the chemotherapy arm compared with the afatinib arm.

<sup>h</sup>As treated, used for comparison of crizotinib with pemetrexed v docetaxel.

<sup>i</sup>Randomly assigned patients who received ≥ one dose included in efficacy; safety included all who received ≥ one dose.

Table 2. First-Line and Maintenance Efficacy

Reference	Study	Intervention	Outcome	No. of Patients Analyzed	OS	PFS	Response Rate	
							No.	%
Rosell et al <sup>29</sup>	Eurtac	Erlotinib	PFS/TTP	86	Median, 19.3 months (95% CI, 14.7 to 26.8)	Median, 9.7 months (95% CI, 8.4 to 12.3)	48	56 <sup>a</sup>
		Standard chemotherapy		87	Median, 19.5 months (95% CI, 16.1 to not assessable)	Median, 5.2 months (95% CI, 4.5 to 5.8)	13	15 <sup>a</sup>
					HR, 1.04 (95% CI, 0.65 to 1.68; <i>P</i> = .87)	HR, 0.37 (95% CI, 0.25 to 0.54; <i>P</i> < .001)		
Quoix et al <sup>53</sup>		Four cycles carboplatin plus taxol	OS <sup>b</sup>	226 (144 received second line)	Median, 10.3 months (95% CI, 8.3 to 12.6)	Median, 6 months (95% CI, 5.5 to 6.8)	NR	27.1
		Five cycles vinorelbine or gemcitabine		225 (145 received second line)	Median, 6.2 months (95% CI, 5.3 to 7.3)	Median, 2.8 months (95% CI, 2.6 to 3.7)	NR	10.2
					HR, 0.64 (95% CI, 0.52 to 0.78; <i>P</i> < .001)	<i>P</i> < .001		<i>P</i> < .001 <sup>c</sup>
Maemondo et al <sup>20</sup>	Maemondo (see <i>EGFR</i> PCO <sup>59</sup> )	Gefitinib	PFS/TTP	ITT, 114; PFS, 114; QoL, 72	Median, 27.7 months	Median, 10.8 months	84	73.7
Inoue et al <sup>21</sup>		Carboplatin plus paclitaxel		ITT, 114; PFS, 110; QoL, 76	Median, 26.6 months	Median, 5.4 months	35	30.7
Oizumi et al <sup>22</sup>				ITT, 228 <sup>d</sup> ; PFS, 214; QoL, 148	HR, 0.887 ( <i>P</i> = .483)	HR, 0.32 (95% CI, 0.24 to 0.44; <i>P</i> < .001) <sup>e</sup>		<i>P</i> < .001
Georgoulis et al <sup>52</sup>		Docetaxel and gemcitabine	OS	157	Median, 9.4 months (95% CI, 0.5 to 52.7)	Median, 3.5 months (95% CI, 0.5 to 40.1)	42	26.8
		Docetaxel		155 <sup>f</sup>	Median, 8.3 months (95% CI, 0.5 to 41.4)	Median, 2.3 months (95% CI, 0.5 to 35.8)	18	11.6
					Log-rank <i>P</i> = .037 <sup>g</sup>	Log-rank <i>P</i> = .054 <sup>h</sup>		<i>P</i> < .001
Patel et al <sup>37</sup>	PointBreak	Pemetrexed, carboplatin, and bevacizumab followed by pemetrexed plus bevacizumab	OS	472	Median, 12.6 months (95% CI, 11.3 to 14.0)	Median, 6 months (95% CI, 5.6 to 6.9)	NR	34.1
		Paclitaxel, carboplatin, and bevacizumab followed by bevacizumab		467	Median, 13.4 months (95% CI, 11.9 to 14.9)	Median, 5.6 months (95% CI, 5.4 to 6.0)	NR	33.0
					HR, 1.00 (95% CI, 0.86 to 1.16; <i>P</i> = .949)	HR, 0.83 (95% CI, 0.71 to 0.96; <i>P</i> = .012)		
Barlesi et al <sup>33</sup>	AVAPERL	Maintenance bevacizumab 7.5 mg/kg	PFS/TTP	128	From random assignment: median, 12.8 months (range, 0 to 16); from time of first induction: median, 15.7 months (range, 2.8 to 18.8)	Median, 3.7 months	NR	50.0
		Bevacizumab 7.5 mg/kg plus pemetrexed 500 mg/m <sup>2</sup>		125	From time of first induction: not yet reached (range, 3.0 to 19) <sup>i</sup>	Median, 7.4 months	NR <sup>j</sup>	55.5
						HR, 0.48 (95% CI, 0.35 to 0.66; <i>P</i> < .001)		
Paz-Ares et al <sup>7,8</sup>	PARAMOUNT	Pemetrexed plus cisplatin induction and maintenance pemetrexed	PFS/TTP; PFS of maintenance arms	359	Median, 13.9 months (95% CI, 12.8 to 16.0)	Median, 4.4 months (95% CI, 4.1 to 5.7) <sup>k</sup>	Overall: n = 9 (3%) of 316	
		Pemetrexed plus cisplatin induction and placebo		180	Median, 11 months (95% CI, 10.0 to 12.5)	Median, 2.8 months (95% CI, 2.6 to 3.0)		
					Unadjusted HR, 0.78 (95% CI, 0.64 to 0.96; log-rank <i>P</i> = .019)	HR, 0.60 (95% CI, 0.50 to 0.73; <i>P</i> < .001) <sup>l</sup>		

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In the interest of clarity, the Update Committee decided to list acceptable regimens on the basis of the new evidence. In the past 10 years, major findings influencing the selection of first-line chemother-

apy include that addition of bevacizumab to carboplatin and paclitaxel improves OS in select patients with NSCC and that the combination of cisplatin and pemetrexed is superior to that of cisplatin and

# Chemotherapy for Stage IV NSCLC

**Table 2.** First-Line and Maintenance Efficacy (continued)

Reference	Study	Intervention	Outcome	No. of Patients Analyzed	OS	PFS	Response Rate	
							No.	%
Wu et al <sup>31</sup>	FASTACT-2	Chemotherapy plus erlotinib (intercalated erlotinib with gemcitabine plus platinum followed by erlotinib)	PFS/TTP <sup>k</sup>	226 <sup>l</sup>	Median, 18.3 months (95% CI, 16.3 to 20.8)	Median, 7.6 months (95% CI, 7.2 to 8.3)	97	43.0
		Chemotherapy plus placebo (intercalated placebo with gemcitabine plus platinum followed by placebo)		225	Median, 15.2 months (95% CI, 12.7 to 17.5)	Median, 6 months (95% CI, 5.6 to 7.1)	41	18.0
		Statistics and significance			HR, 0.79 (95% CI, 0.64 to 0.99; <i>P</i> = .042)	HR, 0.57 (95% CI, 0.47 to 0.69; <i>P</i> < .001) <sup>k</sup>	<i>P</i> < .001	
Zukin et al <sup>55</sup>		Carboplatin plus pemetrexed	OS	103 <sup>m</sup>	Median, 9.3 months (95% CI, 7.2 to 11.2)	Median, 5.8 months (95% CI, 4.7 to 6.9)	19	18.4
		Pemetrexed		102	Median, 5.3 months (95% CI, 4.1 to 6.5)	Median, 2.8 months (95% CI, 2.5 to 3.2)	7	6.9
		Statistics and significance			HR, 0.62 (95% CI, 0.46 to 0.83; <i>P</i> = .001)	HR, 0.46 (95% CI, 0.35 to 0.63; <i>P</i> < .001)	<i>P</i> = .032 <sup>n</sup>	
Perol et al <sup>40</sup>		Continuation maintenance with gemcitabine	PFS/TTP	154	Median, 12.1 months	Median, 3.8 months		
		Switch maintenance with erlotinib		155	Median, 11.4 months	Median, 2.9 months		
		Observation		155	Median, 10.8 months HR v gemcitabine, 0.89 (95% CI, 0.69 to 1.15; log-rank <i>P</i> = .3867); HR v erlotinib, 0.87 (95% CI, 0.68 to 1.13; log-rank <i>P</i> = .3043)	Median, 1.9 months HR v gemcitabine, 0.56 (95% CI, 0.44 to 0.72; log-rank <i>P</i> < .001); HR v erlotinib, 0.69 (95% CI, 0.54 to 0.88; log-rank <i>P</i> = .003)		
Zhou et al <sup>13</sup>	OPTIMAL	Erlotinib	PFS/TTP	82	Deaths, 16 (20%) of 82 <sup>o</sup>	Median, 13.7 months (95% CI, 10.6 to 15.3)	68	83.0
Chen et al <sup>14</sup>		Four cycles gemcitabine plus carboplatin		72	Deaths, 12 (17%) of 72	Median, 4.6 months (95% CI, 4.21 to 5.42)	26	36.0
		Statistics and significance			Not yet reached <sup>13</sup>	HR, 0.164 (95% CI, 0.105 to 0.256; <i>P</i> < .001) <sup>14</sup>	<i>P</i> < .001	
Fukuoka et al <sup>30</sup> Thongprasert et al <sup>60</sup>	IPASS	Gefitinib versus carboplatin plus paclitaxel		609	Median, 18.8 months <sup>p</sup>	Median, 5.7 months		43.0 <sup>61</sup>
		Carboplatin plus paclitaxel		608	Median, 17.4 months	Median, 5.8 months		32.2
		Statistics and significance			HR, 0.9 (95% CI, 0.79 to 1.02; <i>P</i> = .109)	HR, 0.74 (95% CI, 0.65 to 0.85)	OR, 1.59 (95% CI, 1.25 to 2.01; <i>P</i> < .001)	
Sequist et al <sup>11</sup>	Lux	Afatinib	PFS/TTP	230	Median, not reached (16.6 months)	Median, 11.1 months	NR	56.0
		Cisplatin plus pemetrexed		115	Median, not reached (14.8 months)	Median, 6.9 months	NR	23.0
		Statistics and significance			HR, 1.12 (95% CI, 0.73 to 1.73; <i>P</i> = .60; 25th percentile, 16.6 v 14.8 months) <sup>q</sup>	HR, 0.58 (95% CI, 0.43 to 0.78; <i>P</i> = .001) <sup>r</sup>	Other: DCR, 90% v 81%; median duration of response, 11.1 v 5.5 months; median duration of DC, 13.6 v 8.1 months	

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Table 2. First-Line and Maintenance Efficacy (continued)

Reference	Study	Intervention	Outcome	No. of Patients		OS	PFS	Response Rate	
				Analyzed				No.	%
Mok et al <sup>6</sup>	PROFILE 1014	Crizotinib	OS	172	Median, not reached	Median, 10.9 months (95% CI, 8.3 to 13.9)	128	74.0	
Solomon et al <sup>54</sup>		Pemetrexed plus cisplatin or pemetrexed plus carboplatin	171	Median, not reached	Median, 7.0 months (95% CI, 6.8 to 8.2)	77	45.0		
Statistics and significance					HR, 0.821 (95% CI, 0.536 to 1.255; <i>P</i> = .36)	HR, 0.454 (95% CI, 0.35 to 0.60; <i>P</i> < .001)	<i>P</i> < .001		

Abbreviations: DC, disease control; DCR, disease control rate; HR, hazard ratio; IFCT, Intergroupe Francophone de Cancérologie Thoracique; IPASS, Iressa Pan-Asia Study; ITT, intention to treat; NR, not reported; OS, overall survival; PCO, provisional clinical opinion; PFS, progression-free survival; QoL, quality of life; TTP, time to progression.

<sup>a</sup>Partial response.

<sup>b</sup>Survival was censored at last follow-up or at final analysis.

<sup>c</sup>Response was assessable in 418 patients: 215 in monotherapy group and 203 in doublet chemotherapy group.

<sup>d</sup>Sample size in PFS group.

<sup>e</sup>HR for death or disease progression with gefitinib, 0.36;  $P < .001$ .

<sup>f</sup>For response.

<sup>g</sup>Resulting in the premature termination of the study.<sup>52(p57)</sup>

<sup>h</sup>TTP.

<sup>i</sup>Median OS from time of first induction (median, 10.9 months follow-up).

<sup>j</sup>Treatment difference in best overall response rate, 5.5% (95% CI, 7.3% to 18.2%;  $P = .878$ ).

<sup>k</sup>Investigator assessed.

<sup>l</sup>Per protocol: 222 v 221 (patients with no majority protocol violations with at least one dose of drug).

<sup>m</sup>Started treatment.

<sup>n</sup>Evaluable patients.

<sup>o</sup>Data for OS were not yet mature; 88 were still in follow-up as of January 2011.

<sup>p</sup>EGFR positive: 21.6 v 21.9 (HR, 1; 95% CI, 0.76 to 1.133).

<sup>q</sup>Preliminary (death threshold not yet reached).

<sup>r</sup>At time of data cutoff, investigators had observed 238 PFS events, with median PFS of 11.1 months for afatinib and 6.7 months for chemotherapy (HR, 0.49; 95% CI, 0.37 to 0.65;  $P = .001$ ).

gemcitabine in NSCC. A major gap in current evidence is a lack of direct comparisons of platinum plus pemetrexed with or without bevacizumab. Given the historical lessons learned, the Update Committee does not think it would be fruitful to put valuable resources into a large RCT comparing cisplatin plus pemetrexed with carboplatin plus pemetrexed or with other historical platinum doublets. Therefore, the Update Committee elected to exclude platinum doublets containing gemcitabine for patients with NSCC, whereas carboplatin plus pemetrexed is included as an acceptable regimen for patients with NSCC.

This section will review evidence found in the updated systematic review; as stated, evidence supporting most of the regimens appears in previous versions of the guideline. Many of the current trials included patients with SCC. Specific issues for patients with SCC are discussed under Recommendation A3. The other regimens were in control arms or the standard treatment to which an investigational agent was added.

Regarding cisplatin-based combinations, the trial reported by Scagliotti et al<sup>168</sup> was reviewed in the 2009 guideline.<sup>1</sup> It compared cisplatin plus gemcitabine with cisplatin plus pemetrexed and demonstrated improved OS for patients with adenocarcinoma treated with cisplatin plus pemetrexed (whereas cisplatin plus gemcitabine was superior for patients with SCC). In a trial of cisplatin plus gemcitabine versus gemcitabine, OS and PFS were statistically significantly longer with the combination therapy. QoL was also better; however, the trial had low accrual and was stopped early<sup>58</sup> (see discussion of PS 2 under Recommendation A2.b). Studies comparing agents in the list of cisplatin-based chemotherapies included one trial that included cisplatin plus docetaxel in the control arm and did not find the interven-

tion arm to be superior (intravenous vinorelbine and oral vinorelbine plus cisplatin).<sup>69</sup> Another trial compared two courses of cisplatin plus gemcitabine plus three courses of gemcitabine (arm one) versus five courses of cisplatin plus gemcitabine (arm two); the study did not demonstrate noninferiority in efficacy, and there was a higher rate of adverse events in arm two.<sup>39</sup>

The only study showing positive results in a comparison between one of the listed cisplatin-based combinations and a new agent was for patients with EGFR-positive NSCLC (see Recommendation A4), in which afatinib was more efficacious than cisplatin plus pemetrexed.

As with the cisplatin regimens, none of the carboplatin regimens listed have shown clear superiority over the others. Nab-paclitaxel was studied in combination with carboplatin. The trial included participants with NSCC and SCC and compared nab-paclitaxel plus carboplatin with solvent-based paclitaxel plus carboplatin and found an improvement in the primary end point of response rate. There was no statistically significant difference in OS. Some adverse events occurred at a lower rate with the intervention.<sup>26</sup> One trial used two recommended options; carboplatin plus docetaxel was the control arm, and pemetrexed plus carboplatin was the intervention arm. OS, PFS, and response rate were not statistically significantly different. The study did meet its primary end point of OS without grade 3 to 4 toxicity with pemetrexed plus carboplatin.<sup>70</sup> In a study of pemetrexed plus carboplatin versus gemcitabine plus carboplatin, there was no statistically significant difference in efficacy; however, there were fewer hematologic adverse events with pemetrexed plus carboplatin.<sup>18</sup> A related study investigated the association of comorbidity with OS, toxicity, or deterioration of health-related QoL (HRQoL). In this instance, the

Table 3. Second- and Third-Line Efficacy Outcomes

Reference	Study	Treatment Line	Intervention	Primary Outcome	No. of Patients Analyzed	OS		PFS		Response Rate (CR + PR)	
										No.	%
Garassino et al <sup>41</sup>	TAILOR	Second	Erlotinib	OS	109	Median, 5.4 months (95% CI, 4.5 to 6.8)	Median, 2.4 months (95% CI, 2.1 to 2.6)	3 <sup>a</sup>	3.0		
			Docetaxel		110	Median, 8.2 months (95% CI, 5.8 to 10.9)	Median, 2.9 months (95% CI, 2.4 to 3.8)	15	15.5		
						HR, 0.78 (95% CI, 0.51 to 1.05; <i>P</i> = .10)	HR, 0.7 (95% CI, 0.55 to 0.94; <i>P</i> = .01)			<i>P</i> = .003	
Shaw et al <sup>56</sup>		Second	Crizotinib	PFS	173	Median, 20.3 months (95% CI, 18.1 to not reached)	Median, 7.7 months (95% CI, 6.0 to 8.8)	112	65.0		
			Chemotherapy (pemetrexed or docetaxel)		174	Median, 22.8 months (95% CI, 18.6 to not reached)	Median, 3.0 months (95% CI, 2.6 to 4.3)	34	20.0		
						HR, 1.02 (95% CI, 0.68 to 1.54; <i>P</i> = .54) <sup>b</sup>	HR, 0.49 (95% CI, 0.37 to 0.64; <i>P</i> < .001)			<i>P</i> < .001 <sup>c</sup>	
Miller et al <sup>15</sup>	Lux-Lung 1	Second, third, and beyond	Afatinib	OS	390	Median, 10.8 months (95% CI, 10.0 to 12.0)	Median, 3.3 months (95% CI, 2.79 to 4.40)	29 <sup>d</sup>	7.0		
			Placebo		195	Median, 12.0 months (95% CI, 10.2 to 14.3)	Median, 1.1 months (95% CI, 0.95 to 1.68)	1 <sup>d</sup>	0.5		
						HR, 1.08 (95% CI, 0.86 to 1.35; <i>P</i> = .74)	HR, 0.38 (95% CI, 0.31 to 0.48; <i>P</i> < .001)			<i>P</i> = .0071 <sup>e</sup>	
Quoix et al <sup>53</sup>	IFCT-0501	First and second	Carboplatin plus taxol	OS <sup>f</sup>	226 (144 received second line)	Median, 10.3 months (95% CI, 8.3 to 12.6)	Median, 6.0 months (95% CI, 5.5 to 6.8)	NR	27.1		
			Vinorelbine or gemcitabine		225 (145 received second line)	Median, 6.2 months (95% CI, 5.3 to 7.3)	Median, 2.8 months (95% CI, 2.6 to 3.7)	NR	10.2		
						HR, 0.64 (95% CI, 0.52 to 0.78; <i>P</i> < .001)	<i>P</i> < .001			<i>P</i> < .001 <sup>g</sup>	
Garon et al <sup>57</sup>	REVEL	Second	Ramucirumab plus docetaxel	OS	628	Median, 10.5 months (IQR, 5.1 to 21.2)	Median, 4.5 months (IQR, 2.3 to 8.3) <sup>h</sup>	144	23.0		
			Placebo plus docetaxel		625	Median, 9.1 months (IQR, 4.2 to 18)	Median, 3 months (IQR, 1.4 to 6.9) <sup>i</sup>	85	14.0		
						HR, 0.86 (95% CI, 0.75 to 0.98; <i>P</i> = .023)	HR, 0.76 (95% CI, 0.68 to 0.86; <i>P</i> < .001)			<i>P</i> < .001	

Abbreviations: CR, complete response; HR, hazard ratio; IFCT, Intergroupe Francophone de Cancérologie Thoracique; IQR, interquartile range; NR, not reported; OS, overall survival; PFS, progression-free survival; PR, partial response.

<sup>a</sup>Disease control rate.

<sup>b</sup>Interim analysis.

<sup>c</sup>Intention to treat.

<sup>d</sup>PR.

<sup>e</sup>Confirmation of response rate was reported separately by independent review and investigator assessment.

<sup>f</sup>Survival was censored at last follow-up or at final analysis.

<sup>g</sup>Response was assessable in 418 patients: 215 in monotherapy group and 203 in doublet group.

<sup>h</sup>Censoring, 11.1%.

<sup>i</sup>Censoring, 6.7%.

multiple chronic conditions (MCCs) burden was assessed from hospital medical records using the Cumulative Illness Rating Scale for Geriatrics. Patients with severe comorbidity had survival similar to that of other patients (6.9 v 8.1 months; *P* = .34) and a similar frequency of neutropenia (48% v 42%; *P* = .16) but experienced more neutropenic fevers (12% v 5%; *P* = .012) and deaths resulting from neutropenic infections (3% v 0%; *P* = .027). They also experienced more thrombocytopenia (46% v 36%; *P* = .03). Patients with severe comorbidity reported poorer HRQoL but not significantly greater deterioration of HRQoL.<sup>19</sup> In one trial of four cycles of carboplatin plus paclitaxel versus five cycles of vinorelbine or gemcitabine, OS and PFS were statistically significantly longer with carboplatin plus paclitaxel, and adverse events occurred at a higher frequency<sup>53</sup> (see Recommendation A8 for more on this trial).

Carboplatin plus paclitaxel (ie, taxane plus carboplatin control) was used in both arms of a cetuximab trial that found no statistically significant difference in PFS; it lacked the power to determine an OS

difference.<sup>71</sup> In two trials of gefitinib,<sup>20,30,61</sup> carboplatin plus paclitaxel constituted the control arm. In the study by Maemondo et al,<sup>20</sup> this regimen resulted in poorer outcomes in PFS and TTP and no statistically significant differences in OS with chemotherapy; adverse events occurred at a higher frequency with carboplatin plus paclitaxel. Similar results were published from the IPASS (Iressa Pan-Asia Study) trial.<sup>30,61</sup> Carboplatin plus paclitaxel was administered to the control arm in a trial of carboplatin plus oral S-1, a regimen used in Japan but not in the United States. The results of this trial showed noninferiority in OS with S-1.<sup>72</sup> Finally, no new trials included the combination of carboplatin plus irinotecan or carboplatin plus vinorelbine.

There are no FDA-approved nonplatinum regimens. Most of the evidence for nonplatinum combinations was provided in past versions of this guideline. Nonplatinum regimens studied include docetaxel plus vinorelbine, docetaxel plus gemcitabine, gemcitabine plus vinorelbine, paclitaxel plus gemcitabine, and paclitaxel plus vinorelbine.

Table 4. First-Line and Maintenance AEs

Reference	Study	Intervention	No. of Patients Analyzed (%)	Febrile												Grade 3 to 4	
				Anemia No. (%)	Hypertension No. (%)	Neutropenia No. (%)	Neutropenia No. (%)	Leucopenia No. (%)	Thrombocytopenia No. (%)	Diarrhea No. (%)	Nausea No. (%)	Vomiting No. (%)	Fatigue No. (%)	Rash No. (%)	Neuropathy No. (%)	Overall AEs No. (%)	
Rosell et al <sup>29</sup>	Eurtac	Erlotinib	84 (51)	1 (1.0)	—	0 (0.0)	0 (0.0)	—	0 (0.0)	4 (5.0)	—	—	5 (6.0)	11 (13.0)	1 (1.0)	82 (98.0)	
P	Quoix et al <sup>53</sup>	Standard chemotherapy	82 (49)	3 (4.0)	—	18 (22.0)	3 (4.0)	—	12 (14.0)	0 (0.0)	—	—	16 (20.0)	0 (0.0)	1 (1.0)	81 (99.0)	
			.3644		<.001	.1183		<.001				.0086	<.001	1.0			
P	Maemondo et al <sup>20</sup>	Four cycles carboplatin and paclitaxel	225	21 (9.4)	—	28 (12.5)	6 (2.6)	—	2 (0.9)	6 (2.7)	6 <sup>a</sup>	—	—	—	1 (0.4)	—	
		Five cycles vinorelbine or gemcitabine	223	10 (4.4)	—	108 (48.4)	21 (9.4)	—	15 (6.7)	2 (2.9)	2 (0.9)	—	—	—	7 (3.1)	—	
P	Maemondo et al <sup>20</sup>	Gefitinib	114	0 (0.0)	—	1 (0.9)	0 (0.0) <sup>b</sup>	0 (0.0)	0 (0.0)	1 (0.9)	—	—	1 (0.9)	3 (2.6)	0 (0.0)	NR (41.2)	
		(see EGFR Carcinoma and PCO <sup>59</sup> )	113	6 (5.3)	—	74 (65.5)	1 (0.9)	31 (27.4)	4 (3.5)	0 (0.0)	—	—	1 (0.9)	1 (0.9)	3 (2.7)	7 (6.2)	NR (71.7)
P	Georgoulas et al <sup>52</sup>	Docetaxel plus gemcitabine	155	<.001	—	<.001	<.001	—	<.001	<.001	<.001	—	.002	<.001	<.001	<.001	<.001
			39 (24.8) <sup>c</sup>	—	62 (40.0)	3 (1.9)	—	8 (5.0)	—	—	—	—	—	—	96 (5.7) <sup>c</sup>	—	
P	Patel et al <sup>37</sup>	Pemetrexed, carboplatin, and bevacizumab followed by pemetrexed plus bevacizumab	442	64 (14.5)	6 (2.1)	114 (25.8)	6 (1.4)	—	103 (23.3)	—	—	—	48 (10.9)	—	0 (0.0)	NR	
		Paclitaxel, carboplatin, and bevacizumab followed by bevacizumab	443	12 (2.7)	0 (0.0)	180 (40.6)	18 (4.1)	—	25 (5.6)	—	—	—	22 (5.0)	—	18 (4.1)	NR	
P	Barlesi et al <sup>33</sup>	Maintenance bevacizumab 7.5 mg/kg	120	<.001	.01	<.001	.02	—	<.001	—	—	—	<.001	—	<.001	26 (21.7)	
		Bevacizumab 7.5 mg/kg plus pemetrexed 500 mg/m <sup>2</sup>	125	4 (3.2)	6 (4.8)	7 (5.6)	1 (0.8)	—	0 (0.0)	—	—	—	3 (2.4)	—	—	47 (37.6)	
P	Paz-Ares et al <sup>7</sup>	Pemetrexed and cisplatin induction plus maintenance pemetrexed	359	NR (6.4)	—	NR (5.8)	NR (1.9)	NR (2.2)	NR (1.9)	NR (0.3)	NR (0.6)	NR (0.3)	NR (4.7)	0 (0.0)	NR (0.3)	NR	
		Pemetrexed and cisplatin induction plus placebo	180	NR (0.6)	—	0 (0.0)	0 (0.0)	0	0	0	0	0	NR (1.1)	0	NR (0.6)	NR	
P	Zukin et al <sup>55</sup>	Carboplatin and pemetrexed	103	12 (11.7)	—	7 (6.8)	1 (1.0)	—	1 (1.0)	1 (1.0)	5 (4.9)	—	—	—	NR	619	
		Erlotinib	83	0 (0.0)	—	0 (0.0)	—	—	0 (0.0)	1 (1.0)	1 (1.0)	0 (or vomiting; 0.0)	—	0 (0.0)	2 (2.0)	—	14 (17.0)
P	Zhou et al <sup>13</sup> Chen et al <sup>14</sup>	Four cycles gemcitabine plus carboplatin	72	9 (13.0)	—	30 (42.0)	—	—	29 (40.0)	0 (0.0)	1 (1.0)	—	1 (1.0)	0 (0.0)	—	47 (65.0)	
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**Table 4.** First-Line and Maintenance AEs (continued)

Reference	Study	Intervention	No. of Patients Analyzed (%)	Anemia No. (%)	Hypertension No. (%)	Neutropenia No. (%)	Febrile Neutropenia No. (%)	Leucopenia No. (%)	Thrombocytopenia No. (%)	Diarrhea No. (%)	Nausea No. (%)	Vomiting No. (%)	Fatigue No. (%)	Rash No. (%)	Neuropathy No. (%)	Grade 3 to 4 Overall AEs No. (%)
Sequist et al <sup>11</sup>	Lux	Afatinib	229	1 (0.4)	—	1 (0.4)	—	1 (0.4)	—	33 (14.4)	2 (0.9)	7 (3.1)	3 (1.3)	37 (16.2)	—	112 (49.0)
		Cisplatin and pemetrexed	111	7 (6.3)	—	20 (18.0)	—	9 (8.1)	—	0 (0.0)	4 (3.6)	3 (2.7)	14 (12.6)	0 (0.0)	—	53 (48.0)
Mok et al <sup>6</sup>	PROFILE	Crizotinib	171	0 (0.0)	—	19 (11.0)	0	3 (2.0)	0 (0.0)	4 (2.0)	2 (1.0)	3 (2.0)	5 (3.0)	—	2 (1.0)	
Solomon et al <sup>54e</sup>	1014	Pemetrexed plus cisplatin or pemetrexed plus carboplatin	169	15 (9.0)	—	26 (15.0)	2	9 (5.0)	11 (7.0)	1 (1.0)	3 (2.0)	5 (3.0)	4 (2.0)	—	0 (0.0)	

Abbreviations: AE, adverse event; NR, not reported; PCO, provisional clinical opinion.

<sup>a</sup>Nausea and vomiting.<sup>b</sup>Leukocytopenia.<sup>c</sup>Grade 2 to 4.<sup>d</sup>AEs reported in  $\geq 3\%$  of participants in either arm.<sup>e</sup>AEs resulting from any cause in as-treated population in  $\geq 15\%$  of patients.

**Table 5.** Second- and Third-Line Grade 3 or 4 AEs

Reference	Intervention	No. of Patients Analyzed (%)	Anemia			Febrile			Nausea			Fatigue	Fash	Neuropathy	Overall AEs
			No.	(%)	No.	No.	(%)	No.	No.	(%)	No.	No.	(%)	No.	(%)
Garassino et al <sup>41</sup>	Erlotinib	107	—	—	0 (0.0)	0 (0.0)	—	—	3 (3.0)	1 (1.0) <sup>a</sup>	—	—	NR	NR	15 (14.0)
	Docetaxel	104	—	—	21 (21.0)	4 (4.0)	—	—	2 (2.0)	3 (3.0)	—	—	NR	NR	7 (17.0) <sup>b</sup> ; 8 (13.0) <sup>c</sup>
Shaw et al <sup>56</sup>	Crizotinib	172	4 (2.0)	—	23 (13.0)	1 (1.0)	—	—	0 (0.0)	1 (1.0)	2 (1.0)	4 (2.0)	0 (0.0)	—	NR (32.0)
	Chemotherapy (pemetrexed or docetaxel)	171	9 (5.0)	—	33 (19.0)	16 (9.0)	—	—	1 (1.0)	2 (1.0)	0 (0.0)	7 (4.0)	0 (0.0)	—	NR (32.0)
Miller et al <sup>15</sup>	Atatinib	390 (67)	9 (2.0)	—	—	—	—	—	66 (17.0)	8 (2.0)	9 (2.0)	23 (6.0)	56 (14.0)	—	NR (10.0)
	Placebo	195 (33)	1 (0.5)	—	—	—	—	—	0 (0.0)	0 (0.0)	1 (0.5)	3 (2.0)	0 (0.0)	—	NR (< 0.1)
Hirsh et al <sup>16</sup>	Carboplatin plus taxol	225	21 (9.4)	—	108 (48.4)	21 (9.4)	—	—	15 (6.7)	6 (2.7)	—	—	—	7 (3.1)	NR
	Quoix et al <sup>63</sup>	223	10 (4.4)	—	28 (12.4)	6 (2.7)	—	—	2 (0.9)	2 (0.9)	—	—	—	1 (0.4)	NR
Garon et al <sup>67</sup>	Vinorelbine or gemcitabine	627	18 (3.0)	35 (6.0)	306 (49.0)	100 (16.0)	86 (14.0)	18 (3.0)	29 (5.0)	7 (1.0)	8 (1.0)	88 (14.0)	—	17 (3.0)	495 (79.0)
	Ramucirumab plus docetaxel	618	35 (6.0)	13 (2.0)	246 (39.0)	62 (10.0)	77 (12.0)	4 (1.0)	19 (3.0)	27 (1.0)	12 (2.0)	65 (10.0)	—	10 (2.0)	444 (71.0)

Abbreviations: AE, adverse event; NR, not reported.

<sup>a</sup>Nausea and vomiting.<sup>b</sup>Once per week.<sup>c</sup>Once every 3 weeks.

In the updated systematic review, in the three-arm study by Treat et al,<sup>73</sup> although efficacy did not differ between the two platinum-containing arms and the paclitaxel plus gemcitabine arm, there were fewer adverse effects (eg, neuropathy) without the platinum agent. In a trial comparing docetaxel plus gemcitabine with docetaxel alone, the OS results showed a statistically significant difference of 1.1 months in favor of the doublet; the study was closed prematurely.<sup>52</sup> Another trial, in which vinorelbine plus gemcitabine followed by docetaxel was compared with carboplatin plus paclitaxel, found that OS and PFS were not statistically significantly different for efficacy, although the response rate was higher with carboplatin plus paclitaxel; each regimen had different toxicity rates that were higher than the other.<sup>74</sup> One trial compared two nonplatinum regimens (paclitaxel plus gemcitabine v paclitaxel plus vinorelbine); paclitaxel plus vinorelbine did not improve efficacy and increased toxicity.<sup>75</sup>

**Clinical interpretation.** Multiple trials have evaluated chemotherapy treatment options for stage IV NSCLC. A variety of agents in combination with cisplatin or carboplatin can be recommended in the first-line treatment of NSCLC. There were no compelling data regarding any single regimen for this population; therefore, clinical judgment should guide the choice of therapy among regimens. The choice between regimens may be based on potential toxicities.

## CLINICAL QUESTION A2.a

What is the most effective first-line therapy for patients with stage IV NSCLC with negative or unknown *EGFR/ALK* status, NSCC, and no contraindications to bevacizumab?

### Recommendation A2.a.1

For patients receiving carboplatin plus paclitaxel, the Update Committee recommends the addition of bevacizumab 15 mg/kg once every 3 weeks, except for patients with SCC histologic type, clinically significant hemoptysis, inadequate organ function, Eastern Cooperative Oncology Group PS > 1, clinically significant cardiovascular disease, or medically uncontrolled hypertension. Bevacizumab may be continued, as tolerated, until disease progression (no change since 2011; type: evidence based, benefits outweigh harms; evidence quality: intermediate; strength of recommendation: moderate).

### Recommendation A2.a.2

There is insufficient evidence (for or against) to recommend pemetrexed in combination with bevacizumab plus carboplatin for patients who do not have contraindications to bevacizumab.

**Literature review update and analysis.** As of the 2011 guideline update, evidence of improvements in OS and PFS with the addition of bevacizumab to carboplatin plus paclitaxel supported the affirmative recommendation for this combination for patients meeting certain criteria, especially the criterion of having NSCC. In the present updated systematic review, four RCTs were identified that included bevacizumab<sup>33,35,37,76</sup>—three in the maintenance setting. A fourth trial in the maintenance setting investigated the addition of pemetrexed to bevacizumab. It compared pemetrexed plus carboplatin and bevacizumab followed by maintenance pemetrexed and bevacizumab (arm one) versus paclitaxel plus carboplatin and bevacizumab followed by maintenance bevacizumab (arm two).<sup>37</sup> PFS, but not OS, was improved using bevacizumab plus pemetrexed. Some adverse events occurred at a higher frequency in one arm and some in the other (eg, neutropenia and febrile neutropenia were more frequent in

arm two; thrombocytopenia and anemia were more frequent in arm one). A second trial<sup>33</sup> compared maintenance pemetrexed plus bevacizumab with bevacizumab alone (after first-line induction with bevacizumab, cisplatin, and pemetrexed). The study met its primary end point of statistically significantly longer PFS with bevacizumab plus pemetrexed (7.4 v 3.7 months; hazard ratio [HR], 0.48; 95% CI, 0.35 to 0.66;  $P < .001$ ). At the time of study publication, OS had not yet been reached. The adverse events were not statistically significantly more frequent in the intervention arm.<sup>33</sup> One trial of two different doses of bevacizumab in combination with cisplatin plus gemcitabine versus a placebo provided updated OS results (earlier results were reviewed in 2009 guideline). The results were not statistically significantly different and therefore do not provide support for changing the current recommendation.<sup>76</sup>

One trial that compared bevacizumab plus erlotinib versus bevacizumab plus placebo resulted in a 1.1-month longer PFS—the primary end point of the study—but there was no increase in OS, and adverse events were more frequent or of higher grade with the intervention.<sup>35</sup> Participants with SCC were included in the negative erlotinib trial and were not eligible for the other trials.

**Clinical interpretation.** None of the trials found provide support for adding bevacizumab to chemotherapy regimens other than carboplatin plus paclitaxel. The Update Committee believes that carboplatin plus paclitaxel is the only regimen for which the data support the addition of bevacizumab. The data regarding adding bevacizumab to carboplatin plus pemetrexed are not yet mature; therefore, there are insufficient data on which to base a recommendation.

As discussed in the 2009 guideline,<sup>1</sup> there were unplanned retrospective analyses of the pivotal trial and additional observational data on patients age  $\geq 65$  or 70 years, suggesting caution in this population.<sup>77,78</sup> “There is special concern for toxicity in the elderly population, based on a subgroup analysis of the major phase III trial, which showed increased toxicity and no obvious improvement in OS in the elderly subgroup.”<sup>1(p6257)</sup>

## CLINICAL QUESTION A2.b

What is the most effective first-line therapy for patients with stage IV NSCLC with PS 2, NSCC, and negative or unknown *EGFR*-sensitizing mutation and *ALK* gene rearrangement status?

### Recommendation A2.b

In the context of shared decision making, combination therapy, single-agent chemotherapy, or palliative therapy alone may be used for patients in this population with PS 2 (chemotherapy: type: evidence based, benefits outweigh harms; evidence quality: intermediate; strength of recommendation: weak; palliative care: type: evidence based, benefits outweigh harms; evidence quality: intermediate; strength of recommendation: strong).

**Literature review update and analysis.** The updated systematic review identified two small trials specifically comparing single agent versus combination chemotherapy in patients with PS 2. The first trial,<sup>55</sup> which included 205 participants, compared carboplatin plus pemetrexed with pemetrexed alone. In the results, OS and PFS were statistically significantly longer with the combination. Adverse events were slightly more frequent with the combination, but the results were not statistically significant.<sup>55</sup> One trial with 56 participants compared cisplatin plus gemcitabine with gemcitabine and found that OS and PFS were statistically significantly longer with the combination and



QoL was also higher; however, the study was stopped early and had few participants.<sup>58</sup> Twenty<sup>6,13,18,27,28,34,36,38,39,44,52,53,55,58,70,79-82</sup> of 44 new first-line studies in the updated systematic review included patients with PS 2. The Cochrane systematic review on chemotherapy and supportive care, updated in 2012, continued to show that chemotherapy and best supportive care versus supportive care alone benefit patients, including those who have PS 2.<sup>83</sup>

**Clinical interpretation.** Combination treatment can improve outcomes for patients with negative or unknown *EGFR/ALK* status with NSCC histology and PS 0 to 2 who may also receive treatment with either combination or single-agent chemotherapy or palliative care concurrently or alone. It is difficult for clinicians to know which patients have a higher PS score because of tumor burden alone, who will improve with response to treatment, and who can be supported for 6 weeks until a response is evident. There are not yet sufficient objective tools available to clinicians to determine which patients would benefit and tolerate combined therapy in comparison with single-agent chemotherapy. Patients with PS 2 make up fewer than half of patients enrolled onto clinical trials.<sup>84</sup> Trials limited to patients with PS 2 have typically not reported the cause for designation of PS 2 (ie, cancer-related symptoms secondary to large tumor burden v poor PS designation because of MCC). The data informing chemotherapy decisions for patients with PS 2 are insufficient to make a strong recommendation favoring combination chemotherapy. Patients and clinicians should discuss the risks and benefits of combination chemotherapy. Some patients may choose single-agent chemotherapy if their perception of risk outweighs perceived benefits of combination therapy.

### CLINICAL QUESTION A3

What is the most effective first-line therapy for patients with stage IV NSCLC with SCC, negative or unknown *EGFR*-sensitizing mutation and *ALK* gene rearrangement status, and PS 0 to 1 or possibly PS 2?

### Recommendation A3

Patients with the characteristics listed in Clinical Question A3 and with SCC histology should be offered the following options:

- Cisplatin-based combinations (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong)
  - Cisplatin plus docetaxel (FDA-approved combination; <http://www.cancer.gov>)
  - Cisplatin plus gemcitabine (FDA-approved combination; <http://www.cancer.gov>)
  - Cisplatin plus paclitaxel (FDA-approved combination; <http://www.cancer.gov>)
  - Cisplatin plus vinorelbine (FDA-approved combination; <http://www.cancer.gov>)
- Carboplatin-based combinations (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong)
  - Carboplatin plus gemcitabine
  - Carboplatin plus paclitaxel (FDA-approved combination; <http://www.cancer.gov>)
  - Carboplatin plus nab-paclitaxel (FDA-approved combination; <http://www.cancer.gov>)

- Carboplatin plus docetaxel
- Nonplatinum doublets (type: evidence based, benefits outweigh harms; evidence quality: low; strength of recommendation: weak)

**Literature review update and analysis.** All regimens discussed in Recommendation A2, with the exception of pemetrexed-containing regimens and bevacizumab, are also recommended for patients with SCC. Non-FDA-approved regimens include the following nonplatinum combinations: docetaxel plus vinorelbine, docetaxel plus gemcitabine, gemcitabine plus vinorelbine, paclitaxel plus gemcitabine, and paclitaxel plus vinorelbine.

**Clinical interpretation.** Most of the trials evaluating chemotherapy options in the first-line setting did not report any differential efficacy in patients with SCC. A retrospective review of trials of antimicrotubule plus platinum chemotherapy conducted by SWOG reported no differential effect of histology on treatment.<sup>85</sup> The trial by Scagliotti et al<sup>68</sup> comparing cisplatin plus gemcitabine with cisplatin plus pemetrexed demonstrated improved OS for patients with SCC treated with cisplatin plus gemcitabine. The results of this trial suggest that a platinum agent plus pemetrexed should not be administered in patients with SCC, and in fact, licensing in many health care jurisdictions limits the use of pemetrexed for patients with nonsquamous histology. Platinum-based combinations are generally considered to be more effective than non-platinum-based doublets. There is no evidence of any differential effect of histology on treatment for non-platinum combinations.

As with other regimens discussed in this guideline, choice of therapy should take into account both the treatment schedule and toxicity profile of the specific chemotherapy drugs. Toxicity concerns, particularly major bleeding complications, are relative contraindications to the use of bevacizumab in patients with SCC.

### CLINICAL QUESTION A3.a

What is the most effective first-line therapy for patients with stage IV NSCLC with negative or unknown *EGFR/ALK* status, SCC, and PS 2?

### Recommendation A3.a

In the context of shared decision making, combination chemotherapy, single-agent chemotherapy, or palliative therapy alone may be used for patients with the characteristics described in Clinical Question A3.a. (Chemotherapy: type: evidence based, benefits outweigh harms; evidence quality: intermediate; strength of recommendation: weak. Palliative care: type: evidence based, benefits outweigh harms; evidence quality: intermediate; strength of recommendation: strong.)

**Literature review and analysis.** See Recommendation A2.b.

**Clinical interpretation.** Patients with negative or unknown *EGFR/ALK* status with SCC histology and PS 2 may benefit from treatment with either combination or single-agent chemotherapy. This recommendation is based on clinical trial evidence with intermediate overall evidence quality. The benefits are greater than the harms, although the strength of the recommendation is weak, because too few patients were studied in the RCTs, and there is no effective tool to determine which patients will tolerate doublet therapy and experience an improvement in PS with a reduction of symptoms and which patients will experience an acceleration of their decline with treatment. These patients should also be offered concurrent palliative care or palliative care alone as an alternative to chemotherapy.

**CLINICAL QUESTION A4**

What is the most effective first-line therapy for patients with stage IV NSCLC with an *EGFR*-sensitizing mutation and PS 0 to 1 or possibly PS 2?

**Recommendation A4**

If patients have stage IV NSCLC and a sensitizing *EGFR* mutation, first-line afatinib (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong), erlotinib (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong), or gefitinib (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong) is recommended.

*Literature review update and analysis.* Since the publication of the ASCO 2009 guideline and the ASCO *EGFR* provisional clinical opinion,<sup>59</sup> results from seven trials of first-line *EGFR* TKIs for patients with *EGFR* mutations have been published.<sup>11,13,29,31,34,36,40</sup> Three studies specifically required evidence that all participants had *EGFR* mutations.<sup>11,13,29</sup> Two trials, in which PFS was the primary end point, compared first-line erlotinib with chemotherapy. In one small study, there was a PFS benefit with erlotinib (9.7 v 5.2 months; HR, 0.37; 95% CI, 0.25 to 0.54;  $P < .001$ ); OS had not been reached by the time of publication.<sup>29</sup> There was incidence of higher fatigue, rash, and diarrhea with erlotinib compared with chemotherapy. In the second small study, which was a publication of an abstract in the provisional clinical opinion, there was a longer PFS (erlotinib: 13.7 months; 95% CI, 10.6 to 15.3; control: 4.6 months; 95% CI, 4.2 to 5.4; HR, 0.164; 95% CI, 0.11 to 0.26;  $P < .001$ ); OS had not yet been reached.<sup>13,14</sup> Rash incidence was higher with erlotinib, although only small numbers of participants experienced grade 3 to 4 rash.<sup>13</sup> In both studies of selected patients, incidence of neutropenia, thrombocytopenia, and anemia was higher with chemotherapy.<sup>13,29</sup>

Afatinib is a second-generation, irreversible ErbB family inhibitor. One study, with PFS as primary outcome, compared first-line afatinib with cisplatin plus pemetrexed. The results showed improvement with afatinib (11.1 v 6.9 months; HR, 0.58; 95% CI, 0.43 to 0.78;  $P = .001$ ). Survival was not significantly longer (16.6 v 14.8 months). Afatinib was approved by the FDA on the basis of this study<sup>86</sup> for patients with L858R mutations and/or exon 19 deletions. A prespecified analysis of patients with these common mutations showed a PFS of 13.6 versus 6.9 months for chemotherapy (HR, 0.47; 95% CI, 0.34 to 0.65;  $P = .001$ ).<sup>11</sup>

Briefly, the ASCO provisional clinical opinion<sup>59</sup> discussed results of the IPASS trial comparing gefitinib with carboplatin plus paclitaxel. A statistically significant PFS was found for all patients in the trial treated with gefitinib, including those whose tumors were *EGFR* mutation positive.<sup>61</sup> The updated systematic review included final OS results, which were not statistically significantly different (overall: 18.8 v 17.4 months; *EGFR* positive: 21.6 v 21.9 months).<sup>30</sup> The report also noted that “although these values [PFS] were reported in the original publications, a single HR is not readily interpretable because the survival curves cross, suggesting a violation of the proportional hazards assumption.”<sup>59(p4)</sup> Updated results of another trial discussed in the *EGFR* provisional clinical opinion that compared gefitinib versus carboplatin plus paclitaxel continued to show statistically significant outcomes for PFS but not OS and will not be further discussed here.<sup>20,21</sup> Two studies of gefitinib as switch maintenance found PFS but not OS benefits.<sup>34,36</sup>

*Clinical interpretation.* There is overwhelming and consistent evidence now from multiple trials that gefitinib, erlotinib, or afatinib have greater activity than platinum-based chemotherapy in the first-line treatment of patients with advanced NSCLC with activating *EGFR* mutations. There have been significant improvements in response rate and TTP favoring gefitinib, erlotinib, or afatinib. These agents have more favorable toxicity profiles than platinum-based chemotherapy and have demonstrated improvements in QoL. Despite the absence of clear improvements in OS, gefitinib, erlotinib, or afatinib is a preferred treatment based on large improvements in other outcomes.

The choice of which *EGFR* TKI to recommend to patients should be based on the availability and toxicity of the individual agent. There are no results from direct comparative trials of different *EGFR* TKIs. Therefore, it is not possible to make a recommendation favoring one *EGFR* TKI over another. RCTs are ongoing, comparing gefitinib with afatinib, as well as gefitinib with dacomitinib, another pan-*HER* inhibitor. The results of these trials may help refine this recommendation in the future.

**CLINICAL QUESTION A5**

What is the most effective first-line therapy for patients with stage IV NSCLC with *ALK* gene rearrangement and PS 0 to 1 or possibly PS 2?

**Recommendation A5**

If patients have stage IV NSCLC and *ALK* rearrangements, first-line crizotinib is recommended (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong).

*Literature review update and analysis.* FDA approval of crizotinib is based on data from the second-line setting comparing crizotinib with chemotherapy.<sup>56</sup> The chemotherapy used was pemetrexed, unless a patient had received prior pemetrexed or had SCC, in which case the patient received docetaxel. An interim analysis found a median OS of 20.3 months (95% CI, 18.1 to not reached) for crizotinib versus 22.8 months (95% CI, 18.6 to not reached) for chemotherapy (HR, 1.02; 95% CI, 0.68 to 1.54;  $P = .54$ ). The PFS results were 7.7 months (95% CI, 6.0 to 8.8) with crizotinib compared with 3.0 months (95% CI, 2.6 to 4.3) with chemotherapy (HR, 0.49; 95% CI, 0.37 to 0.64;  $P < .001$ ). Incidence of grade 3 to 4 febrile neutropenia was lower with crizotinib, but grade 3 to 4 elevated liver aminotransferase levels were higher with crizotinib (16% v 2%). Vision disorders of any grade were experienced by 60% versus 9% of patients (but there were no incidences of grade 3 to 4 disorders in either arm). The overall grade 3 to 4 adverse event rate was 33% versus 32% for the crizotinib versus control arm, respectively, and treatment-related serious adverse events were experienced by 12% versus 14% of patients.

Since the publication of the second-line trial,<sup>56</sup> one phase III trial (PROFILE 1014; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT01154140) identifier NCT01154140)<sup>54</sup> comparing crizotinib with standard first-line chemotherapy (either platinum drug plus pemetrexed) for patients with known *ALK* rearrangements was presented and published (after data cutoff for this guideline). This trial of 343 participants reached its primary end point of higher PFS with crizotinib (10.9 v 7 months; HR, 0.454; 95% CI, 0.346 to 0.596;  $P < .001$ ). The response rate was also higher at  $P < .001$ . Survival was not significantly different ( $P = .36$ ). Adverse events that were more frequent with crizotinib included diarrhea, elevated transaminases,

and vision disorders. Some hematologic adverse events were more frequent with chemotherapy, as was vomiting.<sup>6,54</sup>

**Clinical interpretation.** *ALK* gene rearrangement in NSCLC is a perfect model for the rapidly evolving field of molecular profiling in oncology. *ALK* gene rearrangements are identified in a small proportion of newly diagnosed patients with NSCLC (approximately 5%).<sup>77,87-89</sup> However, these uncommon tumors are important to identify, because they are treatable with FDA-approved oral agents (crizotinib and ceritinib). These agents offer equal or superior efficacy and toxicity profiles compared with standard cytotoxic chemotherapy. The availability of these agents and companion diagnostics now makes testing for these mutations a new standard of care.<sup>3</sup>

The toxicity of these agents includes a low risk of any grade 3 or 4 toxicity. This is generally comparable to standard cytotoxic chemotherapy, with the exception of liver toxicity. Grade 3 to 4 elevation of liver transaminase is much more frequent with these agents than traditionally seen with cytotoxic chemotherapy and needs careful monitoring. In rare cases, crizotinib has been associated with severe interstitial pneumonitis that has been fatal. Visual disturbance is a frequent abnormality noted with crizotinib, but this is always mild (grade 1 or 2) and does not require drug termination. Crizotinib is preferred for patients with PS 0 to 2 and *ALK* gene rearrangement on the basis of its impressive efficacy in early studies. There has not yet been a published peer-reviewed report of phase III results.

## CLINICAL QUESTION A6

What is the most effective first-line therapy for patients with stage IV NSCLC with *ROS1* rearrangement, no *ALK* gene rearrangement, negative or unknown *EGFR*-sensitizing mutation status, and PS 0 to 1 or possibly PS 2?

### Recommendation A6

If patients have stage IV NSCLC with *ROS1* rearrangement, single-agent crizotinib is recommended, because it has shown some results indicating improved response rate and duration of response (type: informal consensus, benefits outweigh harms; evidence quality: low; strength of recommendation: weak).

**Clinical interpretation.** Because no data were found in the systematic review to inform this clinical question, the Update Committee chose to make an informal consensus recommendation. The Update Committee relied on clinical experience, training, and judgment to formulate this recommendation, given that there were no conclusive data regarding this question. A study<sup>78</sup> was published after the close of the date parameters for the systematic review that included 50 patients from a second-line crizotinib trial who had *ROS1* rearrangements. The objective response rate was 72% (95% CI, 58 to 84), and there were three complete responses and 33 partial responses. Median duration of response was 17.6 months (95% CI, 14.5 to not reached). Median PFS was 19.2 months (95% CI, 14.4 to not reached). The authors state that “the safety profile of crizotinib was similar to that seen in patients with *ALK*-rearranged NSCLC.”<sup>78(p1)</sup> Although these results are from an early trial, they are impressive.

## CLINICAL QUESTION A7

What is the most effective first-line therapy for patients with stage IV NSCLC with negative or unknown *EGFR/ALK* status and large-cell neuroendocrine carcinoma?

### Recommendation A7

Patients with large-cell neuroendocrine carcinoma may receive the same treatment as other patients with NSCC or treatment with etoposide in platinum combinations (type: informal consensus, benefits outweigh harms; evidence quality: low; strength of recommendation: weak).

**Clinical interpretation.** Given that there were no data informing this question, the Update Committee relied on clinical experience, training, and judgment to formulate this recommendation. As a result of the clinical similarity of large-cell neuroendocrine carcinoma to NSCC, the Update Committee believes a platinum plus etoposide combination may provide optimal efficacy to patients whose tumors have this histology.<sup>90</sup>

## CLINICAL QUESTION A8

What is the best chemotherapy for treatment of the elderly with stage IV NSCLC?

### Recommendation A8

Decisions on the selection of chemotherapy should not be made or altered based on age alone (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong).

**Literature review update and analysis.** This recommendation is based on evidence presented in the 2009 guideline update.<sup>1</sup> No evidence was found to contradict this recommendation in the current systematic review. The studies found in the current systematic review included the French multicenter randomized phase III trial Intergroupe Francophone de Cancérologie Thoracique (IFCT) 0501,<sup>53</sup> the largest prospective trial to successfully compare a platinum-based combination regimen with single-agent chemotherapy in an elderly population. It compared four cycles of carboplatin plus paclitaxel versus monotherapy; OS favored the doublet for an elderly population. Median OS was superior for doublet chemotherapy versus monotherapy (10.3 v 6.2 months; HR, 0.64;  $P < .001$ ). This benefit was retained with doublet therapy in the subgroup with PS 2 and in those age  $> 80$  years, which each constituted approximately one fourth of the study population. However, the OS of patients with PS 2 was inferior to that of patients with PS 0 to 1 in multivariable analysis. Also, the study exclusions included “patients with comorbidities that impaired administration of chemotherapy or who had respiratory impairment that required chronic oxygen.”<sup>53(p1080)</sup> A trial of docetaxel versus vinorelbine showed no significant differences in efficacy and closed early as a result of low accrual.<sup>79</sup> A QoL study of carboplatin plus gemcitabine versus carboplatin plus paclitaxel found no statistically significant difference.<sup>44</sup>

**Clinical interpretation.** ASCO recognizes the wide variability in patient tolerance to various chemotherapy and targeted agents for lung cancer. However, multiple trials did not identify age as a pretreatment risk factor for either tolerance or response to treatment with cytotoxic therapy.<sup>1</sup> The 2009 full guideline<sup>1</sup> and the 2011 update<sup>2</sup> of the guideline for chemotherapy for stage IV NSCLC strongly support treatment based on functional status and comorbidity. There is no change from the previous version of the guideline.

Current trials of targeted agents such as *EGFR* inhibitors have not addressed the age variable explicitly. These trials required that participants be age  $> 18$  years to participate but did not exclude elderly patients on the basis of age. There is no evidence as yet that these agents are more harmful or less active in elderly patients. Consequently,



elderly patients should be treated on the basis of functional status and stage as per previous guidelines.<sup>2</sup> Because both PS 2 and elderly populations are heterogeneous, and diminished PS can be a consequence of cancer-related symptoms or MCC, chemotherapy decisions in these subgroups must be individualized, with patient and caregiver input, to optimize outcomes fully with regard to efficacy and treatment-related toxicities. There is no change from the previous guideline, and the Update Committee emphasizes that age alone should not be a criterion for deciding therapy for elderly patients.

## CLINICAL QUESTION A9

What is the optimal treatment for patients with stable disease or response after four cycles of cytotoxic chemotherapy?

## Recommendation A9

In patients with stage IV NSCLC, first-line cytotoxic chemotherapy should be stopped at disease progression or after four cycles in patients whose disease is stable but not responding to treatment; two-drug cytotoxic combinations should be administered for no more than six cycles. For patients with stable disease or response after four cycles of a first-line pemetrexed-containing regimen, continuation maintenance treatment with pemetrexed is recommended. For patients with stable disease or response after four cycles of a regimen that did not include a pemetrexed-containing combination, alternative single-agent chemotherapy, such as pemetrexed in patients with nonsquamous histology, docetaxel in unselected patients, or erlotinib in unselected patients, or a break from cytotoxic chemotherapy with initiation of second-line chemotherapy at disease progression may be recommended (addition of pemetrexed: type: evidence based, benefits outweigh harms; evidence quality: intermediate; strength of recommendation: moderate).

**Literature review update and analysis.** Because the ASCO 2011 update focused on the question of switch maintenance,<sup>2</sup> this recommendation has been updated to add continuation maintenance, specifically with pemetrexed, for those with stable disease or response after first-line pemetrexed. This is based on the PARAMOUNT trial (Phase 3, Double-Blind, Placebo-Controlled Study of Maintenance Pemetrexed Plus Best Supportive Care Versus Best Supportive Care Immediately Following Induction Treatment With Pemetrexed + Cisplatin for Advanced Non-Squamous Non-Small Cell Lung Cancer; [ClinicalTrials.gov](http://ClinicalTrials.gov) identifier NCT00789373) of 539 participants receiving pemetrexed plus cisplatin induction plus maintenance pemetrexed versus pemetrexed plus cisplatin induction plus placebo. The PFS result was improved (4.4 months; 95% CI, 4.1 to 5.7) with pemetrexed maintenance versus the control (2.8 months; 95% CI, 2.6 to 3.0). OS was 2.9 months longer (13.9 v 11 months; unadjusted HR  $P = .019$ ). Neutropenia, anemia, and fatigue occurred more frequently in the intervention arm.<sup>7,8</sup> The results of the PointBreak trial of maintenance pemetrexed and bevacizumab after pemetrexed, carboplatin, and bevacizumab are reviewed under Recommendation A2.a.1. In brief, this intervention was superior in PFS but not OS to maintenance bevacizumab after carboplatin, paclitaxel, and bevacizumab. The authors refer readers to reports by Murray et al<sup>91</sup> and Paz-Ares et al<sup>92</sup> for further discussion.

Other studies found in the updated systematic review did not result in evidence supporting other changes in the recommendation. These studies investigated thalidomide,<sup>38</sup> bevacizumab plus erlo-

tinib,<sup>35</sup> gemcitabine,<sup>39</sup> bevacizumab plus pemetrexed,<sup>33,37</sup> bevacizumab alone,<sup>37</sup> and gefitinib.<sup>34,36</sup>

**Clinical interpretation.** Maintenance therapy is used to prolong a clinically favorable state after completion of a predefined number of induction chemotherapy cycles. Continuation maintenance therapy involves uninterrupted administration of a component of the initial chemotherapy regimen, most commonly the nonplatinum cytotoxic drug or a molecular targeted agent. Sequential or switch maintenance involves the introduction of a new and potentially non-cross-resistant agent on completion of first-line chemotherapy. To date, switch maintenance therapy strategies with pemetrexed and erlotinib have demonstrated improved OS, resulting in FDA approval for this indication. Recently, continuation maintenance with pemetrexed was found to prolong OS as well. Several factors influence the decision to implement maintenance therapy, including tumor histology, clinical and radiologic response to induction, tumor mutations, and, most importantly, patient choice.

## CLINICAL QUESTION B1

What is the most effective second-line therapy for patients with stage IV NSCLC with negative or unknown *EGFR/ALK* status and NSCC?

## Recommendation B1

For patients with advanced NSCLC, NSCC, negative or unknown *EGFR/ALK* status, and adequate PS, when disease has progressed during or after first-line platinum-based therapy, docetaxel, erlotinib, gefitinib, or pemetrexed is acceptable as second-line therapy (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong).

**Literature review update and analysis.** Recommendations B1 and B2 represent a separation by histology of the 2009 recommendation.<sup>1</sup> There has been no change to the content of Recommendation B1. Most of the trials in the systematic review did not find differences between the four agents that this guideline presents as second-line options. The trials found continue to support this recommendation for treatment with docetaxel, erlotinib, gefitinib, or pemetrexed. There were four trials of erlotinib versus chemotherapy.<sup>41,46,93,94</sup> One study that compared pemetrexed and erlotinib did not find significant efficacy differences but did find a higher rate of hematologic adverse effects in the pemetrexed arm (neutropenia:  $P = .001$ ; thrombocytopenia:  $P = .013$ ; anemia:  $P = .001$ ).<sup>93</sup> One trial performed a direct comparison of erlotinib versus docetaxel for a population with wild-type *EGFR*. The participants who received chemotherapy experienced longer OS compared with those who received erlotinib (8.2 v 5.4 months;  $P = .10$ ). PFS was 0.5 months longer. Expected chemotherapy adverse effects were more common in the docetaxel arm, and rash (but not diarrhea) occurred more frequently in the erlotinib arm.<sup>41</sup> One study compared two different strategies for sequencing treatment—that is, first-line erlotinib followed by second-line chemotherapy (cisplatin plus gemcitabine) compared with first-line chemotherapy (cisplatin plus gemcitabine) followed by second-line erlotinib. Efficacy outcomes were lower with first-line erlotinib, but the differences were not statistically significant. Some hematologic adverse events were more frequent with first-line chemotherapy; as expected, rash occurred more often with first-line erlotinib.<sup>94</sup> The TITAN (Tarceva in Treatment of Advanced NSCLC; [ClinicalTrials.gov](http://ClinicalTrials.gov) identifier NCT00556322) study, which compared erlotinib versus docetaxel

or pemetrexed for patients with poor prognosis who had not received previous anti-EGFR therapy, did not show efficacy differences between these agents. Treatment-related serious adverse events more frequent with chemotherapy, although rash was more common with erlotinib.<sup>46</sup>

Gefitinib was compared with chemotherapy in three studies.<sup>23,47,49</sup> In one trial,<sup>47</sup> PFS, but not OS, was higher with chemotherapy. Serious adverse events were more frequent with chemotherapy, although treatment-related deaths were more frequent among patients treated with gefitinib.<sup>47</sup> There was no efficacy advantage in another trial<sup>23</sup>; overall adverse events more frequent with chemotherapy.<sup>23</sup> In the last trial,<sup>49</sup> PFS, but not OS, was longer with gefitinib versus pemetrexed, and serious adverse events more frequent with gefitinib.

Combinations have been investigated in the second-line setting; for example, one trial compared docetaxel plus carboplatin with docetaxel alone.<sup>67</sup> Although PFS was statistically significantly longer at 0.7 months with the combination, the OS improvement (ie, 2.57 months longer) did not reach statistical significance. Adverse events were not uniformly less common in one arm versus the other.<sup>67</sup> The individual patient data meta-analysis by Di Maio et al<sup>95</sup> of single versus doublet therapy in the second-line setting found that “doublet chemotherapy as second-line treatment of advanced NSCLC significantly increases response rate and progression-free survival, but is more toxic and does not improve overall survival compared with single-agent.”<sup>95(p1836)</sup>

Other trials of agents not recommended in the previous version of this ASCO guideline for second-line therapy were found in the updated systematic review. When compared with the agents previously recommended, as well as those recommended in this update (ie, docetaxel, erlotinib, gefitinib, or pemetrexed), the new agents did not show OS benefits. These interventions include afatinib,<sup>15</sup> aflibercept,<sup>62</sup> cetuximab,<sup>45</sup> erlotinib plus bevacizumab,<sup>48,50</sup> icotinib,<sup>48</sup> nintedanib,<sup>64</sup> sunitinib,<sup>65</sup> vandetanib plus docetaxel,<sup>63</sup> vandetanib plus erlotinib,<sup>51</sup> vandetanib plus pemetrexed,<sup>96</sup> and vinflunine.<sup>97</sup>

One trial tested a new vascular endothelial growth factor inhibitor for NSCLC, ramucirumab (plus docetaxel); the intervention arm experienced longer PFS (by 1.5 months) and OS (by 1.4 months), both statistically significant. Hematologic adverse events were more frequent with ramucirumab plus docetaxel, as were other adverse events, such as diarrhea, dyspnea, and fatigue.<sup>57</sup> On December 12, 2014, the FDA approved ramucirumab for use in combination with docetaxel for the treatment of patients with metastatic NSCLC who experience disease progression during or after platinum-based chemotherapy; the drug is also approved for treatment of gastroesophageal junction adenocarcinoma, and the label carries a black-box warning for hemorrhage.<sup>97a</sup>

**Clinical interpretation.** Clinical trials support the use of single-agent therapy for patients with relapsed nonsquamous NSCLC after first-line chemotherapy. In the absence of *EGFR/ALK* mutations, chemotherapy may be a preferable approach. Patients with unknown *EGFR/ALK* status who have clinical demographics seen commonly in patients with gene mutations (young, female, Asian, and nonsmoking) may be better served with targeted drugs such as erlotinib or gefitinib. In the IPASS trial comparing gefitinib with carboplatin plus paclitaxel, in the subgroup of patients with unknown *EGFR* mutation status, there were statistically significantly longer PFS and higher response rates.<sup>61</sup> Future efforts to fully characterize tumor biology and

molecular subtype may include less invasive liquid biopsies of circulation tumor cells or DNA in the blood or other bodily fluids, which may reduce the incidence of unknown status.

Patients with nonsquamous histology who have not received pemetrexed therapy in the first-line setting may benefit from receiving pemetrexed in the second-line setting. This recommendation does not include gemcitabine as an option, because evidence was not found in the systematic review. There is weak evidence from studies that did not meet the study design inclusion criteria.

There is no definite evidence that combination therapy is superior, but it may be more toxic. Therefore, single-agent therapy is preferred in the second-line setting.

The role for vascular endothelial growth factor inhibitor therapy in the second-line setting is not clear. An early clinical trial showed a benefit for ramucirumab when administered with docetaxel compared with docetaxel alone, with a 1.4-month OS advantage. Patients with *EGFR* or *ALK* genomic tumor aberrations or mutations are most appropriately treated with FDA-approved agents targeting those aberrations before receiving ramucirumab. Whether this benefit will stand up to further testing is unclear.

## CLINICAL QUESTION B2

What is the most effective second-line therapy for patients with stage IV NSCLC with negative or unknown *EGFR/ALK* status and SCC?

### Recommendation B2

For patients with advanced NSCLC, SCC, negative or unknown *EGFR/ALK* status, and adequate PS, when disease has progressed during or after first-line platinum-based therapy, docetaxel, erlotinib, or gefitinib is acceptable as second-line therapy (type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong).

**Literature review update and analysis.** Most second-line studies in this systematic review included patients with SCC. Because there is evidence that pemetrexed is less effective for patients whose tumors have SCC histology, pemetrexed is included only in the NSCC recommendation (Recommendation B1). A trial of pemetrexed and erlotinib was amended, after the results of the trial by Scagliotti et al<sup>68</sup> became available, to exclude patients with SCC; however, 21.7% to 23.5% of participants had SCC, and the investigators analyzed results according to histology. No significant differences in OS were found for participants with SCC, but TTP was longer with erlotinib (both findings were identified in exploratory analyses by histology; OS:  $P = .006$ ).<sup>93</sup> A similar protocol amendment occurred in the TITAN trial, in which 35% to 38% of participants had SCC; however, participants were not stratified according to histology, and analyses by histology were not preplanned.<sup>46</sup>

A phase II trial of single-agent nivolumab was published after the systematic review; the study involved patients with SCC who had received  $\geq$  two prior regimens.<sup>98</sup> Nivolumab is an anti-programmed death receptor-1 (anti-PD-1) immune checkpoint inhibitor. It is thought to block the ability of a tumor cell to evade immune surveillance. The primary end point was overall response rate, which occurred in 17 (14.5%) of 117 patients (95% CI 8.7 to 22.2). Median time to response was 3.3 months; median duration of response had not been reached at the time of publication. Adverse effects included dyspnea, fatigue, pneumonitis, diarrhea, musculoskeletal pain, and

severe immune-mediated adverse effects<sup>98,99</sup>; 59% of patients experienced serious adverse events.<sup>100</sup>

On March 14, 2015, the FDA approved nivolumab for the treatment of patients with metastatic squamous cell NSCLC with disease progression who had received  $\geq$  one prior platinum-based regimen on the basis of an RCT with 272 participants, in which patients were randomly assigned to receive single-agent nivolumab versus docetaxel. Median OS was 9.2 versus 6 months, favoring nivolumab (HR, 0.59; 95% CI, 0.44 to 0.79;  $P < .001$ ). This trial was published while this ASCO guideline update was in press; therefore, the final impact cannot yet be determined.<sup>101</sup> The Update Committee awaits fuller data on adverse events before full incorporation into this guideline.

**Clinical interpretation.** Single-agent therapy is appropriate for patients with SCC in the second-line setting. These patients are unlikely to harbor *EGFR/ALK* gene mutations and may benefit from chemotherapy rather than targeted therapy with an EGFR TKI. Since the systematic review was performed for this guideline, nivolumab has emerged for patients with SCC in the second- and third-line settings who experience progression during or after platinum-based therapy.<sup>100</sup> The Update Committee will consider a future guideline revision regarding the published phase III data (see Methodology Supplement: Revision Dates—The SIGNALS Approach to Guideline Updating). Nonetheless, there is no absolute preference for chemotherapy versus targeted therapy.

### CLINICAL QUESTION B3.a

What is the most effective second-line therapy for patients with stage IV NSCLC with a sensitizing *EGFR* mutation who received a first-line EGFR TKI and experienced disease progression?

#### Recommendation B3.a

For patients with a sensitizing *EGFR* mutation who did not respond to a first-line EGFR TKI, combination cytotoxic chemotherapy is recommended (Recommendation A2), following the first-line recommendations for patients with NSCC (type: informal consensus, benefits outweigh harms; evidence quality: intermediate; strength of recommendation: strong).

**Literature review update and analysis.** Given that there were no data meeting the inclusion criteria to inform this question, the Update Committee relied on clinical experience, training, and judgment to formulate this recommendation. There have been no prospective, randomized studies investigating the efficacy of second-line chemotherapy in patients with *EGFR* mutations who have responded to a first-line EGFR TKI. A subset analysis of the IPASS study, in which patients with adenocarcinoma of the lung were randomly assigned to receive gefitinib or carboplatin plus paclitaxel, demonstrated no difference in OS in either the *EGFR* mutation–positive arm or the *EGFR* mutation–negative arm. Of patients with *EGFR* mutation–positive NSCLC who were randomly assigned to carboplatin plus paclitaxel, 64.3% subsequently received EGFR TKIs. OS for the patients who had *EGFR*-positive disease was 21.6 months in the gefitinib arm and 21.9 months in the chemotherapy arm (HR, 1.00; 95% CI, 0.76 to 1.33).<sup>30</sup> Similarly, another phase III study of gefitinib versus carboplatin plus paclitaxel in patients with *EGFR*-sensitizing mutations also found an improvement in PFS but not OS, again presumably secondarily to crossover to chemotherapy in the gefitinib arm. Neither study reported the survival of patients who did or did not experience an initial response to gefitinib.<sup>20,21</sup>

In the trial by Gridelli et al,<sup>102</sup> which was stopped early and is discussed under Recommendation B1, participants were unselected for *EGFR* mutation status; 13.8% of the participants in the intervention arm and 14.6% in the control arm had *EGFR* mutation–positive disease. Outcomes were worse with first-line erlotinib, followed by an immediate switch to chemotherapy at progression. There was crossover in this study, so the authors could not analyze the association of mutation status with OS.

Other studies have randomly assigned patients with *EGFR* mutations to a first-line EGFR TKI or cytotoxic chemotherapy and found that PFS was improved in those who received the EGFR TKI. However, final results of OS have not been reported for most studies; therefore, the outcomes of those patients who crossed over to chemotherapy have not been yet analyzed.<sup>11,13,20,29,94</sup>

**Clinical interpretation.** Given that there are no data to suggest that patients with *EGFR* mutations for whom EGFR TKIs fail do poorly with chemotherapy, the Update Committee opted to make a consensus recommendation for chemotherapy as a second-line treatment.

### CLINICAL QUESTION B3.b

What is the most effective second-line therapy for patients with stage IV NSCLC with a sensitizing *EGFR* mutation who received a first-line EGFR TKI and experienced disease progression after an initial response?

#### Recommendation B3.b

Patients who received an EGFR TKI in the first-line setting, had an initial response, and subsequently experienced disease progression may be switched to chemotherapy or another EGFR TKI as second-line therapy (type: informal consensus, balance of benefits and harms; evidence quality: low; strength of recommendation: weak).

**Literature review update and analysis.** Given that there were no data meeting the inclusion criteria to inform this question, the Update Committee relied on clinical experience, training, and judgment to formulate this recommendation. Afatinib has shown preclinical activity in *EGFR*-mutant models with the exon 20 T790M mutation, which has been shown to confer resistance to EGFR-reversible TKIs. A phase IIB/III randomized clinical trial (LUX-Lung 1)<sup>15</sup> investigated the role of afatinib for patients whose disease had progressed with both chemotherapy and an EGFR inhibitor. This study included many participants whose tumors had developed resistance to treatment with an EGFR TKI; however, *EGFR* mutation status was not an eligibility criterion. The study found no improvement in the primary end point of OS between patients randomly assigned to afatinib and those randomly assigned to placebo, although PFS was longer in the afatinib group (3.3 v 1.1 months; HR, 0.38; 95% CI, 0.31 to 0.48;  $P < .001$ ). Response rate was 7% versus 0.5%. Ninety-six patients had tumors that were positive for *EGFR* mutations. Among these 96 patients, PFS was 3.3 months for those who received afatinib and 1.0 month for those who received placebo (HR, 0.55; 95% CI, 0.31 to 0.85;  $P = .009$ ).<sup>15</sup>

In a prespecified analysis, participants with a complete or partial response to a first-line EGFR TKI whose tumors also had known *EGFR* mutation test results (58 [88%] of 66), the HR for PFS was significant (0.23), but the HR for OS was not (0.90) in the afatinib arm. Sixty-three percent of the patients in the afatinib



group and 76% in the control group received  $\geq$  one subsequent regimen (all mutation statuses).<sup>15</sup>

**Clinical interpretation.** There is a lack of conclusive data for treating this population, especially with a second TKI. In the afatinib trial, response rates in both arms were lower than in studies with chemotherapy; however, given the longer PFS, afatinib after gefitinib or erlotinib in patients with *EGFR*-sensitizing mutations who experienced an initial response may be an option.

There are indications that it is not beneficial to continue an *EGFR* inhibitor after acquired resistance. European Society for Medical Oncology results from IMPRESS (Iressa Mutation Positive Multi-center Treatment Beyond Progression Study; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT01544179) identifier NCT01544179), in which the control arm, composed of patients with resistance to an *EGFR* TKI (gefitinib) and chemotherapy, continued to receive an *EGFR* inhibitor with chemotherapy, the addition of (or continuation) of the TKI did not add efficacy or adverse event benefits. These results have not yet been released in a peer-reviewed publication.<sup>102a</sup>

## CLINICAL QUESTION B4

What is the most effective second-line therapy for patients with stage IV NSCLC with *ALK* rearrangement with progression after first-line crizotinib?

### Recommendation B4

Patients whose tumors have *ALK* rearrangements and who received crizotinib in the first-line setting may be offered the option of chemotherapy (after first-line recommendations for patients with NSCC [see Recommendation A2]) or ceritinib in the second-line setting (chemotherapy: type: evidence based, benefits outweigh harms; evidence quality: high; strength of recommendation: strong; ceritinib: type: evidence based, benefits outweigh harms; evidence quality: intermediate; strength of recommendation: moderate).

**Literature review update and analysis.** Support for including ceritinib in this recommendation is based on FDA approval. There are no published phase III trials on ceritinib (or other studies that met inclusion criteria for this systematic review). The FDA approved ceritinib on the basis of a phase I single-arm trial.<sup>102b</sup> The results were published,<sup>42</sup> and updated results were presented as an abstract at the 2014 ASCO Annual Meeting,<sup>43</sup> both outside of the date parameters of the systematic review for this guideline update. The noncomparative study reported by Kim et al<sup>43</sup> included 163 participants who had received first-line crizotinib and 83 who had not. PFS was 6.9 months (95% CI, 5.39 to 8.41) for those pretreated with crizotinib, and the overall response rate was 54.6 (95% CI, 46.6 to 62.4). PFS in the population of patients who were not pretreated was not estimable at the time of the presentation. Grade 3 to 4 adverse effects in all patients included increases in ALT, AST, and glucose, as well as diarrhea. In a report by Shaw et al,<sup>42</sup> 80 of 122 of previously treated patients had received crizotinib, and the response rate with ceritinib was 56% (95% CI, 45 to 67). At the subsequently approved dose of 750 mg once daily, the response rate was 59% (95% CI, 47 to 70). Grade 3 to 4 adverse effects included increased ALT and increased AST levels, diarrhea, and increased lipase levels. There were four cases of interstitial lung disease. In the group of 80 patients who had received crizotinib, median PFS was 6.9 months (95% CI, 5.3 to 8.8). In an interim analysis, 12-month OS was 65% for all participants.<sup>42</sup> The FDA-approved label for patients who receive ceritinib who have previously re-

ceived crizotinib states that “continued approval for this indication may be contingent on verification and description of clinical benefit in confirmatory trials.”

**Clinical interpretation.** Given that there are not any published phase III trials for patients whose tumors have *ALK* rearrangements and who received crizotinib in the first-line setting, ceritinib is an option based on early clinical trials. Chemotherapy may still be appropriate in the absence of phase III data. This guideline update recommends crizotinib in the first line for patients with *ALK* rearrangements, and current FDA approval allows for initial therapy with crizotinib for patients with stage IV NSCLC harboring *ALK* mutations (see Recommendation A5). Patients whose cancers initially respond to crizotinib often experience a relapse of the disease.<sup>56</sup>

The optimal treatment for patients with *ALK* mutations who experience disease progression with crizotinib is rapidly evolving. There is no high-level evidence to guide the physician or patient in this situation. Standard cytotoxic chemotherapy remains a reasonable option for all patients who meet guidelines for first-line treatment with cytotoxic chemotherapy (see Recommendation A1), especially if the patient experienced significant toxicity with crizotinib.

However, the recent FDA approval of ceritinib for patients with *ALK*-positive NSCLC who experience disease progression with crizotinib is a potentially practice-changing event. Ceritinib is an oral agent targeting *ALK*, with much higher potency than crizotinib. Toxicities (and durability) seem similar to those of crizotinib, including a low risk for serious pneumonitis; long-term outcomes are still under study. This agent will likely be the choice of patients and physicians over chemotherapy in the second line for patients who tolerated crizotinib but experienced disease progression. The Update Committee awaits more data.

## CLINICAL QUESTION B5

What is the optimal second-line treatment for elderly patients with stage IV NSCLC?

### Recommendation B5

The evidence does not support the selection of a specific second-line chemotherapy drug or combination based on age alone. This recommendation has not changed. As stated in Recommendation A8, age alone is not a contraindication to chemotherapy for NSCLC.

## CLINICAL QUESTION C

Is there a role for third-line therapy or beyond in the treatment of stage IV NSCLC?

### Recommendation C1

When disease progresses during or after second-line chemotherapy, treatment with erlotinib may be recommended as third-line therapy for patients with a PS of 0 to 3 who have not received prior erlotinib or gefitinib (no change).

**Literature review update and analysis.** No studies were found to recommend a change from the previous recommendation. Some of the second-line studies included patients who had received  $>$  two previous regimens.<sup>15,23,47,48,51,65</sup>

**Clinical interpretation.** Future use of new treatments, including new targeted therapies or immunotherapy, will await more data.

## Recommendation C2

Data are not sufficient to make a recommendation for or against using cytotoxic drugs as third-line therapy; patients should consider experimental treatment, clinical trials, and continued best supportive (palliative) care (no change from previous recommendations).

There are no data that suggest a benefit to additional chemotherapy compared with alternative forms of non-chemotherapy-based care, such as hospice or palliative care. In distinction from chemotherapy after the second-line setting, palliative care has been associated with equal<sup>103-106</sup> or longer<sup>107-109</sup> survival in randomized and nonrandomized<sup>110</sup> trials of patients with advanced cancer. These studies have also reported better QoL, less depression and anxiety, and less caregiver distress with palliative care. In the largest trial of concurrent palliative care plus oncology care versus oncology care alone, patients treated by their oncologists alone had less prognostic awareness that they could not be cured, received more intravenous chemotherapy in the last 60 days of life, used hospice less often and for shorter periods, and died 2.7 months sooner than those who used palliative care.<sup>111</sup>

There are no data to suggest that the benefits of continued chemotherapy outweigh the risks; there is some evidence that continued chemotherapy may do harm, including the foregoing of advance care planning, palliative care consultation, and early use of hospice. ASCO recommends concurrent palliative care alongside usual oncology care from the onset of diagnosis of advanced lung cancer<sup>5</sup> because the clinical case is so strong.<sup>112</sup> Further discussion of palliative care is found in the Discussion section.

## DISCUSSION

### Cetuximab

Previous versions of this guideline (2009<sup>113</sup> and 2011<sup>2</sup>) included a recommendation that clinicians “consider addition of cetuximab to cisplatin/vinorelbine in first-line therapy in patients with EGFR-positive tumor as measured by immunohistochemistry.”<sup>2(p3)</sup> The phase III FLEX (First-Line Erbitux in Lung Cancer; [ClinicalTrials.gov](http://ClinicalTrials.gov) identifier NCT00148798) trial on which the previous guideline recommendation was based included an entry criterion of one immunohistochemistry-positive cell. In the intervening years, this method of assessing *EGFR* expression has not been shown to be feasible. Cetuximab is not approved by the regulatory agencies in the United States, Canada, or Europe for patients with NSCLC. The BMS099 trial of cetuximab in addition to taxane plus carboplatin versus taxane plus carboplatin resulted in a shorter PFS with cetuximab and some adverse effects.<sup>71</sup> Therefore, the Update Committee decided to remove this recommendation from the current update.

### Palliative Care

This stage IV guideline update includes both a discussion on the updated evidence in disease-modifying therapies since the 2011 systematic review was completed and a discussion of palliative care, in this section, to complement the ASCO 2012 provisional clinical opinion.<sup>5</sup>

In 2012, ASCO reviewed the evidence from an RCT showing an increase in median OS for patients receiving palliative care services concurrently with disease-modifying therapy. The participants in that study had stage IV NSCLC. This confirmed the evidence from

multiple randomized trials that included patients with cancer who showed an improvement in symptoms, including less anxiety and depression, higher satisfaction, less aggressive end-of-life care, and less caregiver distress.<sup>108</sup> In addition, a cluster RCT published since the provisional clinical opinion, which included participants with NSCLC, showed important improvements in QoL, symptoms, and caregiver distress.<sup>106</sup> It is important to note that these studies and others in the ASCO provisional clinical opinion used a palliative care team in addition to usual oncology care, suggesting the benefits of referral.

For patients with stage IV NSCLC lung cancer, as well as for those with other solid tumors with distant metastases, it is incumbent on the clinicians and patients to consider the goals of interventions offered when this diagnosis is made. This includes not only disease-modifying therapy but also assessment of patient needs for supportive care, symptom management, symptom relief, and psychosocial interventions and patient preferences and values and other interventions that may be offered under various umbrellas depending on the setting.

Assessment, diagnosis, and relief of pain and other distressing symptoms, psychological and social support before death, consideration of interventions that the patient does and does not want, and clinician self-care are all important aspects of care for patients with incurable disease.<sup>114</sup> Attention to the ratio of benefits to harms is crucial in communication between the clinician and patient, as discussed in the 2009 ASCO NSCLC guideline.<sup>113</sup> These services may be provided by the oncology team alone or in collaboration with or referral to palliative care specialists.

At a minimum, such concurrent care should include discussion of advance care planning using the “ask, tell, ask” method; a structured symptom assessment, such as with the Memorial or Edmonton Symptom Assessment Scale (with special attention to depression); a structured goals-of-care discussion; a structured inquiry about spiritual care, such as with the FICA (Faith, Importance, Community, Actions); and an assessment of caregiver needs.<sup>115</sup> Readers are referred to ASCO guidelines on prevention and management of chemotherapy-induced peripheral neuropathy<sup>116</sup>; screening, assessment, and management of fatigue<sup>117</sup>; and screening, assessment, and care of anxiety and depressive symptoms.<sup>118</sup> Hospice use of any type and duration is strongly associated with better OS in patients with advanced cancer,<sup>119</sup> including those specifically with lung cancer.<sup>120</sup> Therefore, a hospice information visit is recommended when the patient has approximately 6 months to live. We also suggest specific language, based on well-designed clinical trials, to help with the planned transition to hospice and a specific statement of nonabandonment, such as “whatever action we do take, and however that develops, we will continue to take good care of you; we will be with you all the way” (personal communication, T.J. Smith, April 2015),<sup>121</sup> which have been proven to help with the transition to end-of-life care.

There are obstacles to integrating palliative care. “These challenges include the need for a cultural or paradigm shift, potential barriers to accessing services, variability of practice setting, and initial increases in cost of implementation. As a community, we need to overcome the perception of the cure/care dichotomy and recognize that palliative care belongs throughout the continuum of care.”<sup>122(p3335)</sup> Studies mentioned here and other evidence will be addressed further in future guidelines from the ASCO Supportive Care Guidelines Advisory Group.

## PATIENT AND CLINICIAN COMMUNICATION

The 2009 full version of this guideline contained an extensive discussion of patient–clinician communication.<sup>113</sup> An environmental scan of related literature published since 2009 found that patients with NSCLC continue to grapple with complex medical, psychological, and social issues. For example, in the study of early palliative care for patients with NSCLC discussed in the ASCO provisional clinical opinion, 14% of 151 patients had major depressive syndrome, which significantly predicted worse survival.<sup>123</sup> A stigma is connected with lung cancer because of the association with smoking; this stigma increases depression and decreases QoL.<sup>124</sup> Because of a misunderstanding about prognosis, one third of patients (46 of 145) reported that their cancer was curable at baseline, and a majority of patients (86 of 124) endorsed getting rid of all of the cancer as a goal of therapy.<sup>125</sup> Insufficient time and training of physicians and such patient misconceptions complicate communication. Some patients may prefer not to know their prognosis, so it is important to ask: “What do you know and what do you want to know?”

A dedicated session with the patient and preferably a caregiver should take place immediately after diagnosis to honestly and completely discuss the diagnosis, treatment (benefits and risks), prognosis, and palliative care concurrent with any anticancer therapy. Physicians should “talk with patients about palliative care and end of life preferences early on, not in the weeks before death.”<sup>126(pSR14)</sup> In a lecture to medical students at the University of Virginia, a physician with stage IV colon cancer said, “We need to sketch for such persons, preferably in advance of a crisis, what the likely course of the disease is both with and without medical or surgical treatment and describe our idea of the better choice.”<sup>127(p7)</sup>

A new discussion on risks, benefits, and prognosis should be initiated before each new therapy (especially third line and beyond) is considered, with palliative care only listed as an option. This is particularly important when a patient’s PS falls below PS 2. “In medical consultations, patients experience a double-need: to know and understand and to feel known and understood.”<sup>121(p3274),127</sup> There are more details on patient communication and palliative care in the Palliative Care section of the Discussion. The Data Supplement to this current version of the guideline suggests language for clinicians on presenting benefits and risks of NSCLC treatment to consider using in consultations.

## HEALTH DISPARITIES

Although ASCO clinical practice guidelines represent expert recommendations on the best practices in disease management to provide the highest level of cancer care, it is important to note that many patients have limited access to medical care, and many disparities persist. An environmental literature search was conducted for reviews on health disparities and lung cancer published between the years 2008 and 2014. The results show that disparities in race,<sup>128-131</sup> sex,<sup>132</sup> socioeconomic status,<sup>132-135</sup> level of education,<sup>136</sup> residence,<sup>128,136</sup> and insurance status,<sup>137-139</sup> among other factors, continue to be associated with outcomes such as mortality,<sup>128,140</sup> incidence,<sup>137,140</sup> stage at diagnosis, and timely receipt of recommended treatment<sup>129,131</sup> for patients with NSCLC.

Black men continue to have higher incidence rates of lung cancer than white non-Hispanic men.<sup>141</sup> Race is associated with lack of any treatment, lack of timely treatment, and lack of appropriate treatment.<sup>129,132,142</sup> Elderly and female patients also receive disparate treatment.<sup>132</sup> Socioeconomic factors, insurance coverage, and residential segregation also play a role in lung cancer disparities.<sup>128,136-138</sup> Socioeconomic status is likely an independent prognostic factor for lung cancer survival.<sup>134</sup> Death rates in lung cancer are higher for black men than white men,<sup>141</sup> as well as for American Indians and Alaska natives.<sup>143</sup> However, as stated in the 2009 guideline,<sup>113</sup> equal access to care can provide similar outcomes.

Potential changes could come from research involving physicians, patients of color, and patients from various socioeconomic backgrounds, especially with regard to successful interventions, health system strengthening, improvements in health education, improved patient–clinician communication, quality standards, tracking of patients, and navigators.<sup>130,144,145</sup>

In summary, racial, ethnic, and socioeconomic disparities in health care contribute significantly to health disparities in the United States. Patients with cancer who are members of racial or ethnic minorities may disproportionately experience comorbidities, experience more substantial obstacles to receiving care, be more likely lack insurance, and face a greater risk of receiving poor-quality care than other Americans.<sup>140,141,146,147</sup> Many other patients may lack access to care because of geographic location and distance from appropriate treatment facilities. Awareness of these disparities in access to care should be considered in the context of this clinical practice guideline, and health care providers should strive to deliver the highest level of cancer care to vulnerable populations. More information regarding the review is provided in the Data Supplement.

## MCCS

Creating evidence-based recommendations to inform treatment of patients with additional chronic conditions, a situation in which the patient may have  $\geq$  two such conditions—referred to as MCCs—is challenging. Patients with NSCLC often have MCCs; the five most commonly encountered chronic conditions in patients with lung cancer irrespective of age include hypertension, chronic obstructive pulmonary disease, hyperlipidemia, ischemic heart disease, and anemia<sup>148</sup> (see Data Supplement for other common MCCs). Each of those listed here is reported with a frequency  $> 50\%$  in patients age  $\geq 65$  years, with the prevalence of hypertension, hyperlipidemia, and ischemic heart disease being significantly greater in the older age group. In addition, almost one third of older patients have diabetes, heart failure, and chronic kidney disease, which have significant repercussions on treatment planning, administration, and tolerance. There are various validated tools to study comorbidity, particularly in the geriatric literature; these include the Charlson comorbidity index, the Cumulative Illness Rating Scale, the Older Americans Resources and Services (OARS) Multidimensional Functional Assessment Questionnaire, and the Adult Comorbidity Evaluation–27 Index (latter index has been validated irrespective of age).<sup>149-152</sup> MCCs are most relevant for treatment of older adults and those with PS  $\geq 2$ . Although there have been several therapeutic trials in advanced NSCLC that have



been limited to these populations, few have reported the specific association of MCCs with toxicity and efficacy of treatment as a distinct end point. MCCs are associated with greater risk of hematologic toxicity and infectious complications in patients with advanced NSCLC treated with platinum-based chemotherapy. MCCs are also associated with early treatment discontinuation. Patients with MCCs make up a complex and heterogeneous population, which makes it difficult to account for all of the possible permutations involved in developing specific recommendations for care. There are few data regarding the impact of specific chronic conditions on outcomes (chemotherapy-related adverse events and survival) in advanced NSCLC. Most available data regarding MCCs in advanced NSCLC are collected and reported as comorbidity.<sup>19</sup> In addition, the best available evidence for treating index conditions often comes from clinical trials, the study selection criteria of which may exclude these patients to avoid potential interaction effects or confounding of results associated with MCCs. As a result, the reliability of outcome data from these studies may be limited, thereby creating constraints against making recommendations for care in this heterogeneous patient population.

Because many patients for whom guideline recommendations apply present with MCCs, any treatment plan needs to take into account the complexity and uncertainty created by the presence of MCCs and highlight the importance of shared decision making regarding guideline use and implementation. Therefore, in consideration of recommended care for the target index condition, clinicians should review all other chronic conditions present in the patient and take those conditions into account when formulating treatment and follow-up plans (see Data Supplement 6 for more information).

## GUIDELINE IMPLEMENTATION

ASCO guidelines are developed for implementation across health settings. Barriers to implementation include the need to increase awareness of the guideline recommendations among front-line practitioners, survivors of cancer, and caregivers and the need to provide adequate services in the face of limited resources. The guideline Bottom Line Box was designed to facilitate implementation of recommendations. This guideline will be distributed widely through the ASCO Practice Guideline Implementation Network. ASCO guidelines are posted on the ASCO Web site and are also often published in *Journal of Clinical Oncology* and *Journal of Oncology Practice*.

## LIMITATIONS OF RESEARCH

There are not yet sufficient objective tools available to clinicians to determine which patients would benefit from and tolerate combined therapy in comparison with single-agent chemotherapy. The data informing chemotherapy decisions for patients with PS 2 are insufficient. There are not enough data on patients with large-cell neuroendocrine carcinoma or enough mature data on *ROS1* and crizotinib or on second-line treatment for patients who received first-line treatment with an EGFR TKI and experienced disease progression or for those who received an EGFR TKI and had an initial response. In addition, there are not enough mature data on adding pemetrexed to

bevacizumab plus carboplatin; there has been only one phase III trial.<sup>153</sup> ASCO believes that cancer clinical trials are vital to inform medical decisions and improve cancer care and that all patients should have the opportunity to participate.

## FUTURE DIRECTIONS

As a result of the lack of data in certain areas, the Update Committee hopes new results will inform future versions of this guideline, including in the following specific areas:

- Results of studies comparing gefitinib with afatinib and gefitinib with dacomitinib
- Further study of the optimal integration of chemotherapy and targeted agents in the treatment of patients with gene mutations in various lines of therapy
- Further study of third-line therapy
- Results from examples of ongoing studies on resistance mechanics and new agents (note this is not comprehensive list):
  - Third-generation EGFR inhibitors,<sup>154,155</sup> for example, AZD9291 (AURA3 trial [AZD9291 v platinum-based doublet chemotherapy in locally advanced or metastatic NSCLC]; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT02151981) identifier NCT02151981) and CO1686, now in phase II trials (TIGER-2 [Open Label Safety and Efficacy Study of CO-1686 in Patients With T790M Positive NSCLC Who Have Failed One Previous EGFR-Directed TKI]; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT02147990) identifier NCT02147990; TIGER-1 [Safety and Efficacy Study of Rociletinib (CO-1686) or Erlotinib in Patients With EGFR Mutant NSCLC Who Have Not Had Any Previous EGFR Directed Therapy]; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT02186301) identifier NCT02186301; and TIGER-X [Study to Evaluate Safety, Pharmacokinetics, and Efficacy of CO-1686 in Previously Treated Mutant Epidermal Growth Factor Receptor (EGFR) Non-Small Cell Lung Cancer (NSCLC)]; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT01526928) NCT01526928)
  - Ramucirumab; ongoing trials in the second-line setting include a phase II trial (Study of Docetaxel and Ramucirumab Versus Docetaxel and Placebo in the Treatment of Stage IV Non-Small Cell Lung Cancer; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT01703091) identifier NCT01703091), a phase III trial (Study of Chemotherapy and Ramucirumab Versus Chemotherapy Alone in Second Line Nonsmall Cell Lung Cancer Participants Who Received Prior First Line Platinum Based Chemotherapy; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT01168973) identifier NCT01168973), and a phase II trial (Study of Pemetrexed and Carboplatin/Cisplatin or Gemcitabine and Carboplatin/Cisplatin With or Without IMC-1121B in Patients Previously Untreated With Recurrent or Advanced Non-Small Cell Lung Cancer; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT01160744) identifier NCT01160744)
- Results of a phase III trial of ceritinib for those who have previously received crizotinib and chemotherapy ([ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT01828112) identifier NCT01828112)
- Immunotherapy, such as PD-1 or PD-1 ligand (PD-L1) inhibitors

- Nivolumab in NSCC, for example, in the CheckMate trials (Open-Label, Randomized, Phase 3 Trial of Nivolumab Versus Investigator's Choice Chemotherapy As First-Line Therapy for Stage IV or Recurrent PD-L1+ Non-Small Cell Lung Cancer [CheckMate 026]; [ClinicalTrials.gov](#) identifier NCT02041533 and Safety Trial of Nivolumab [BMS-936558] in Subjects With Advanced or Metastatic Non-Small Cell Lung Cancer Who Have Progressed During or After Receiving at Least One Prior Systemic Regimen [CheckMate 153]; [ClinicalTrials.gov](#) identifier NCT02066636)
- Pembrolizumab,<sup>156</sup> for example, in the KEYNOTE trials (Study of MK-3475 [Pembrolizumab] Versus Platinum-Based Chemotherapy for Participants With PD-L1-Positive Advanced or Metastatic Nonsmall Cell Lung Cancer [MK-3475-042/KEYNOTE-042]; [ClinicalTrials.gov](#) identifier NCT02220894 and Study of Pembrolizumab [MK-3475] Compared With Platinum-Based Chemotherapies in Participants With Metastatic Non-Small Cell Lung Cancer [MK-3475-024/KEYNOTE-024] [ClinicalTrials.gov](#) identifier NCT02142738)

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## ADDITIONAL RESOURCES

More information, including a Data Supplement with additional evidence tables, a Methodology Supplement with information about evidence quality and strength of recommendations, slide sets, and clinical tools and resources, is available at <http://www.asco.org/guidelines/nsclc>. Patient information is available at <http://www.cancer.net>.

## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at [www.jco.org](http://www.jco.org).

## AUTHOR CONTRIBUTIONS

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## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

### Systemic Therapy for Stage IV Non–Small-Cell Lung Cancer: American Society of Clinical Oncology Clinical Practice Guideline Update

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**Appendix****Table A1.** Guideline Update Committee Membership

Committee Member	Affiliation/Location
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Abbreviations: ASCO, American Society of Clinical Oncology; PGIN, Practice Guideline Implementation Network.