

Tailoring nanoparticle designs to target cancer based on tumor pathophysiology

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1 **Tailoring nanoparticle designs to target cancer based on tumour pathophysiology**

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15

16 **Keywords:** Nanomedicine, Tumour Size, Nanoparticle Targeting, Tumour Pathophysiology,

17 Extracellular Matrix, Rational Design, Personalized Medicine.

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20

21 **Abstract:** Nanoparticles can provide significant improvements in the diagnosis and treatment of
22 cancer. How nanoparticle size, shape, and surface chemistry can affect their accumulation,
23 retention, and penetration in tumours remain heavily investigated as such findings provide
24 guiding principles for engineering optimal nanosystems for tumour targeting. To date,
25 researchers have approached nanoparticle optimization by altering the physico-chemical
26 properties of the nanomaterial. In such attempts, the experimental focus has been on particle
27 design and not the biological system. Here, we varied tumour volume to determine whether
28 cancer pathophysiology can influence tumour accumulation and penetration of different sized
29 nanoparticles. Monte-Carlo simulations were also employed to model the process of nanoparticle
30 accumulation. We discovered that changes in pathophysiology associated with tumour volume
31 can selectively change tumour uptake of nanoparticles of varying size. We further determine that
32 nanoparticle retention within tumours depends on their frequency of interaction with the
33 perivascular extracellular matrix for smaller nanoparticles, while transport of larger
34 nanomaterials is dominated by Brownian motion. These results reveal that nanoparticles can
35 potentially be personalized according to a patient's disease-state to achieve optimal diagnostic
36 and therapeutic outcomes.

37

38 **Significance Statement:** Nanotechnology is a promising approach for improving cancer
39 diagnosis and treatment with reduced side-effects. A key question that has emerged is what is the
40 ideal nanoparticle size, shape, or surface chemistry for targeting tumours? Here, we show that
41 tumour pathophysiology and volume can significantly impact nanoparticle targeting. This
42 presents a paradigm shift in nanomedicine away from identification of a universal nanoparticle
43 design for cancer detection and treatment. Rather, our results suggest that future clinicians will

44 be capable of using tumour characteristics to tailor nanoparticles according to the patient. This
45 concept of "personalized nanomedicine" was tested for detection of prostate tumours and
46 successfully demonstrated to improve nanoparticle targeting by over 50%.

47 **\body**

48 **INTRODUCTION**

49 Nanotechnology remains an emerging and important research discipline for detecting and
50 treating cancer. Unlike small molecules, nanomaterials such as gold nanoparticles, quantum dots,
51 polymeric nanocapsules, and micelles may provide a means of tailoring cancer delivery vehicles
52 for a specific tumour size, state, or type. Nanomaterials can be engineered with different sizes,
53 shapes, and surface chemistries and more recently, they can be assembled into hierarchical
54 nanosystems (1). Nanomaterials can also be engineered with unique properties such as emission
55 of light for fluorescence detection (2), magnetism for magnetic resonance imaging (3, 4), and
56 thermal emission for ablation of tumour cells (5). Despite the potential of nanomaterials,
57 typically less than 5% of an administered dose reaches the tumour compartment (6) due to poor
58 retention within the tumour space and non-specific uptake by the skin (7), spleen, and liver(8–
59 10). Refinements to the size, shape, and surface chemistry of nanomaterials have improved their
60 blood half-lives (11, 12) and interactions with cancer cells (13–15). Unfortunately, targeting
61 efficiency remains stagnated by adherence to the pharmacological ideology that chemicals can be
62 designed to “universally” detect and treat tumours independent of type or stage of cancer
63 progression by varying therapeutic doses. Tumour growth leads to physiological changes in their
64 tissue composition (cell density, vascularity, necrosis, and stroma). If nanoparticles could be
65 tailored according to the physiological state of each tumour, cancer detection and treatment may

66 be drastically improved. However, investigations into the effect of tumour pathophysiology on
67 nanoparticle accumulation and kinetics have been limited.

68 Fundamental analysis of tumour pathophysiology has identified unique cellular and
69 structural properties associated with various stages of cancer progression. We currently
70 understand that the increasing vascular tortuosity, inhomogeneity and restricted blood flow (and
71 subsequent low blood pressure) associated with tumour growth prevents chemotherapeutic
72 agents from reaching their target. This impairment of drug delivery may lead to poor therapeutic
73 efficacy and cancer recurrence (16, 17). As we learn more about the cellular, vascular, and
74 compositional characteristics of tumours, it is increasingly evident that tailoring drug delivery
75 vehicles to the physiological state of a tumour may be instrumental to improving treatment of
76 this disease (18, 19). However, enabling clinicians to personalize patient care will require a
77 deeper understanding of how to detect and exploit tumour anatomy and pathophysiology for
78 precise delivery and release of medicinal agents at the tumour site.

79 Here, we determine whether the delivery of spherical gold nanoparticles (AuNPs) can be
80 affected by changes in tumour volume - a surrogate of cancer progression. Specifically, we (i)
81 characterize how the physiological structures in the microenvironment of orthotopic MDA-MB-
82 435 tumour xenografts of human breast melanoma mature with increasing tumour volume and
83 (ii) explore how such changes can impact uptake, permeation, and retention of polyethylene
84 glycol (PEG)-coated AuNPs. Understanding these variations will enable clinicians to personalize
85 cancer therapy by catering nano-therapeutic regimens according to tumour characteristics. As a
86 proof of concept, we successfully demonstrate that observable changes in tumour
87 pathophysiology can be used in a decision matrix to rationally select AuNP-designs according to
88 desired function.

89 RESULTS

90 *Characterization of tumours.* Pathophysiological changes associated with tumour volume were
91 studied to identify biological parameters that might impact AuNP targeting. The degree of
92 vascularization, cell density and extracellular matrix (ECM) content of different-sized orthotopic
93 human breast melanoma xenograft tumours derived from MDA-MB-435 cells in CD1 nude
94 athymic mouse models were characterized. These parameters were selected as they have been
95 shown to individually impact nanoparticle uptake rate, accumulation, and retention (20–22).
96 Histological sections stained with CD31 antibodies were used to colourimetrically visualize
97 tumour blood vessels while Movat's Pentachrome staining was performed to highlight nuclei and
98 ECM components such as proteoglycans, mucopolysaccharides, and collagen. Vascular density
99 was calculated by counting the number of vessels per tumour cross-section. We observed that
100 the concentration of blood vessels increased with tumour volume but plateaued at 44 ± 3 blood
101 vessels/mm² for tumour volumes exceeding 1.0 cm³ (fig. 1A). Interestingly, the tumour
102 vasculature was only uniformly distributed in small tumours. Tumour blood vessels became
103 increasingly concentrated near necrotic regions and at the tumour perimeter as tumours enlarged
104 (fig. S1).

105 Beyond tumour vascularization, the fraction of the tumour composed of proteoglycans
106 and mucopolysaccharides increased at a rate of 4.2 a.u./cm³ (fig. 1B) while tumour-cell density
107 increased at a rate of 1.70 cells/cm³ (fig. 1C) with tumour volume. Unstained acellular space also
108 proportionally decreased with tumour growth (fig. 1D). These factors coincided with heightened
109 ECM production at regions surrounding tumour blood vessels and necrotic tissue, while ECM-
110 content in regions of dense tumour tissue became reduced (fig. S2). A closer examination of
111 ECM composition by Picrosirius red staining (fig. 2A) and second harmonic generation (SHG)

112 imaging (fig. 2B) identified that these regions contained type I collagen whose density and
113 structure evolved with tumour growth. Picrosirius red stained samples spectrally shifted from
114 deep red to pale pink (fig. 2C) while SHG microscopy images decreased in intensity (fig. 2D) as
115 tumours enlarged. The 9% cm^{-3} reduction in Picrosirius red intensity and spectral shift in SHG
116 peak intensity were characteristic of a loss in structural ECM via reduction in collagen fiber
117 thickness and length (23–25).

118 Together, these results indicate that as tumours mature through growth, their tissue and
119 vasculature become denser and more chaotic. In particular, the ECM appears to remodel during
120 tumour enlargement, thus leading to a more amorphous phenotype. Given that ECM components
121 were observed to encapsulate tumour blood vessels (fig. S3) and are known to biologically
122 function as a basal-support for blood vessels that interfaces with the stroma, changes in ECM
123 may be a primary mediator of nanoparticle entry into the tumour compartment.

124 ***Gold nanoparticle model system.*** Having characterized the evolution of tumour tissues during
125 growth, we sought to determine whether these physiological changes could be used to tune the
126 tumour targeting efficacy of nanoparticles. As tumour uptake is dependent on nanoparticle
127 diameter (12, 26, 27), a library of methoxy-PEG coated AuNPs of varying diameter were
128 designed to examine how tumour growth would affect particle delivery. While clinical trials for
129 AuNPs are limited, AuNPs were selected over more clinically appropriate polymeric
130 nanomaterials as they can be reproducibly and precisely synthesized in a broad range of sub-100
131 nm sizes. Furthermore, AuNPs provide a non-deformable formulation for testing the effect of
132 core-diameter on tumour uptake, are easily surface modified, and can be quantified in tissues
133 with high sensitivity. A schematic illustrating the AuNP design employed in this study is
134 depicted in **fig. S4A.**

135 Spherical AuNPs with core-diameters of 15, 30, 45, 60, and 100 nm (fig. S4B) were
136 synthesized using standard citrate and hydroquinone reduction techniques (28). These sizes were
137 selected to systematically characterize how the tumour microenvironment would impact a broad
138 range of particle diameters. AuNP surfaces were modified with hetero-bifunctional 5 kDa
139 polyethylene glycol (PEG) with methoxy- and sulfhydryl- termini as well as Alexa Fluor 750
140 labelled 10 kDa sulfhydryl-PEG to respectively stabilize particles for blood transport and to
141 fluorescently track particles *in vivo*. Although it is difficult to use fluorescence as an absolute
142 quantification technique, we have shown previously that it is an accurate modality for monitoring
143 relative changes in nanoparticle biodistribution (26, 29). Surface modifications resulted in
144 AuNPs with a PEG-packing density of 0.3-1.5 ligands/nm². At these densities, surface-bound
145 PEG moieties were calculated according to their Flory diameter to be in the brush layer
146 conformation ensuring that the tested nanoparticles were sufficiently passivated (table S1).
147 Surface modifications were also found to increase nanoparticle hydrodynamic diameters by 20 –
148 40 nm (fig. S4C), and positively shift nanoparticle zeta potentials by 20 – 30 mV (fig. S4D).
149 Particle fluorescence was confirmed by the migration of distinct fluorescent bands during
150 agarose gel electrophoresis (fig. S4E). AuNP-fluorescence was shown to increase proportionally
151 with particle diameter (fig. S5). Fluorescent PEG groups were also confirmed to be stably bound
152 to particle surfaces as the rate of desorption in the presence of serum remained below 0.2
153 PEG/hour (fig. S5D). *In vivo* pharmacokinetics of our functionalized AuNPs was also
154 characterized by analysis of blood plasma at 0, 2, 4, 8, and 24 hours post-tail vein injection (HPI)
155 in non-tumour bearing CD1 nude athymic mice. Inductively coupled plasma atomic emission
156 spectroscopy (ICP-AES) analysis of blood samples revealed that the blood half-lives of our

157 AuNPs ranged from 2 to 10 hours. A complete characterization of our formulations is presented
158 in [table S2](#).

159 ***Analysis of nanoparticle accumulation in tumours.*** AuNP accumulation was evaluated *via* tail-
160 vein injection of formulations into CD1 nude athymic mice bearing orthotopic MDA-MB-435
161 human breast melanoma tumours. Tumours volumes evaluated in this study ranged from 0.05 –
162 3.00 cm³. AuNP delivery to the different sized tumours was fluorescently profiled in mice to
163 assess tumour accumulation kinetics and to measure total AuNP exposure. Fluorescent tracking
164 was achieved by whole animal imaging using a Carestream *In Vivo Imaging System* at time
165 points ranging from 0 – 24 HPI.

166 Total area under the curve (AUC) was calculated from the kinetic curves seen in [fig. S6](#)
167 as a metric for AuNP accumulation within the tumour. Overall, AUC values increased with
168 tumour volume ([fig. 3A](#)). Accumulation for 15, 30, and 45 nm AuNPs steadily increased with
169 tumour volume from 490±70 to 720±30% ID·h, 280±50 to 750±10 ID·h, and 480±70 to
170 960±100%ID·h respectively. Changes in accumulation of larger formulations occurred as step
171 increases at discrete tumour volumes. Uptake of 60 nm formulations was ~1.5 times higher once
172 tumours exceeded 2.2 cm³ while 100 nm particles exhibited a ~4.6 times increase in
173 accumulation for volumes 0.5 cm³ and larger. These trends were confirmed by ICP-AES
174 measurements of gold content in tumours at 24 HPI ([fig. 3B](#)). [The ICP-AES results indicated that](#)
175 [by 24 HPI tumour uptake of 15 and 30 nm particles were consistently higher than all other](#)
176 [formulations and steadily increased from 0.39±0.04 to 0.99±0.18%ID and 0.28±0.03 to](#)
177 [0.90±0.18%ID respectively \(two-way ANOVA, p = 0.05\), while larger particles such as 60 nm](#)
178 [trended higher \(though statistically not significant\) from 0.18±0.02 to 0.26±0.12%ID as tumour](#)
179 [volumes were enlarged.](#)

180 In combination with our histological observations, these results suggest that the higher
181 porosity of the ECM increasingly accommodates the entry of larger nanoparticles at later stages
182 of tumour growth. This implies that a minimum tumour size must be reached to support entry of
183 each AuNP diameter. An AuNP accumulation threshold of 500% was selected to illustrate this
184 point (fig. 3A). This threshold was defined as the mean AUC of 15 nm AuNPs in sub 0.5 cm³
185 tumours as particles in this size range would experience the least steric hindrance. AUC values
186 for each AuNP diameter were statistically compared to the threshold (two-way ANOVA, p =
187 0.05). 15 nm AuNPs reached this accumulation threshold at tumour volumes of 0.5 cm³ and
188 larger, while 30 nm nanoparticles achieved a similar trend at threshold of 0.5-1.0 cm³ and above.
189 Similarly, 45 nm formulations attained statistically higher accumulation at tumour volumes
190 above 1.0 cm³ and 60 nm AuNPs exceeded this threshold (though statistically insignificant)
191 when tumour volumes were beyond 2.2 cm³. 100 nm particles never reached the defined
192 threshold accumulation at any of the tumour volumes tested. It has been shown that AuNPs
193 greater than 100 nm in diameter sequester near tumour blood vessels and do not penetrate into
194 MDA-MB-435 tumours (26, 27). Hence, the difference in the accumulation pattern of 100 nm
195 AuNPs over the other tested formulations was attributed to steric hindrance (possibly due to
196 obstruction of ECM pores).

197 ***Nanoparticle kinetics within the different sized tumours.*** Kinetics of AuNP delivery to tumours
198 were analyzed in an effort to explain the dependence between accumulation and tumour volume.
199 Tumour uptake rates were calculated by taking the instantaneous slope at 3 HPI of the AuNP
200 accumulation profiles presented in fig. S6. We observed that the speed of AuNP accumulation
201 (fig. 3C) was largely insensitive to changes in tumour volume (two-way ANOVA, p = 0.05). 15,
202 60 and 100-nm AuNPs maintained tumour entry rates of 4.2 ± 0.6 , 3.2 ± 0.9 , and 2.9 ± 0.8

203 %ID·hour⁻¹ as tumours grew up to 1.0 cm³. Particles with 30 nm and 45 nm diameters were the
204 exception as their rate of uptake steadily rose from 2.3 ± 0.3 to 5.7 ± 0.9 %ID·hour⁻¹ and 2.8 ±
205 0.9 to 7 ± 1 %ID·hour⁻¹ respectively, as tumours grew beyond 0.5 cm³. Although the rate of
206 delivery did not statistically vary with growth, AuNP entry into the tumour compartment trended
207 higher as tumours increased in size. The 15, 30, and 45 nm AuNPs also consistently accumulated
208 in tumours ~1.2-1.7 times faster than our 60 and 100 nm formulations. However, these
209 differences became less apparent as tumour volumes increased. These results further reinforce
210 the relationship between ECM porosity and particle size whereby smaller pores restrict larger
211 nanoparticles from deep tumour infiltration and conversely become washed out of the tumour at
212 a faster rate than smaller nanomaterials.

213 Since it is difficult to probe nanoparticle transport through ECM in animal models, we
214 developed an *in vitro* system to measure diffusion of AuNPs into a hydrogel to mimic the effects
215 of collagen structure on the transport of nanoparticles into the tumour (fig. 4A). Although this *in*
216 *vitro* model only evaluates diffusion through a collagen matrix independent of fluid flow or
217 cellular interactions, it provides a means to determine how the velocity of transport and quantity
218 of AuNPs within tumours are dictated by the perivascular stroma upon initial AuNP entry. Self-
219 assembled hydrogels composed of either 2.5 or 4.0 mg/mL of type I collagen were used to mimic
220 stromal changes caused by tumour growth. Type I collagen was selected as a stromal-phantom as
221 it is the primary component of the tumour-blood vessel interface (30, 31). Entry of AuNPs from
222 a fluid reservoir into the hydrogel was kinetically monitored by AuNP fluorescence using
223 scanning confocal microscopy at different time-points over 900 minutes. Overall, AuNP
224 transport into the collagen gel occurred in two phases: (i) rapid concentration at the periphery of

225 the hydrogel and (ii) gradual movement from the concentrated zone to deeper regions of the
226 matrix (fig. 4B).

227 The quantity of hydrogel-infiltrating AuNPs plateaued within 120-240 min post-exposure
228 for all formulations greater than 45 and 15 nm for our 2.5 and 4.0 mg/mL collagen hydrogels
229 respectively (fig. S7A). The AuNP diffusion-front also plateaued by 480 minutes post-exposure
230 for all particle diameters independent of collagen density (fig. S7B). Rather, AuNP penetration
231 into the hydrogel at later time points occurred by diffusing away from the concentrated zone into
232 the surrounding gel (seen in fig. S9 as a broadening of the diffusion-front). This penetration was
233 dictated by particle diameter. 45 nm AuNPs achieved the highest permeation at $17.0 \pm 2.0 \mu\text{m}$
234 and $13.2 \pm 0.4 \mu\text{m}$, whilst 100 nm AuNPs exhibited the poorest penetration at $8.0 \pm 1.0 \mu\text{m}$ and
235 $5.4 \pm 0.6 \mu\text{m}$ for collagen densities of 2.5 and 4.0 mg/mL respectively (fig. 4C). Although AuNP
236 permeation appeared to decrease with collagen concentration, differences were not statistically
237 significant (two-way ANOVA $p > 0.05$). These trends were consistent with our tumour
238 permeation results seen at 24 HPI where AuNP infiltration did not vary with tumour volume (fig.
239 4D). Particle permeation also did not change statistically between the tumour periphery, regions
240 neighboring necrotic zones, or within the core of the tumour tissue. Despite the lower collagen
241 density, diffusion of 15 nm AuNPs into the 2.5 mg/mL collagen gels was unexpectedly 2.0 and
242 1.3 times lower than our 45 nm formulation *in vitro* and *in vivo* respectively. These differences in
243 diffusion were similar to previous studies (26, 27) and were attributed to the speed of AuNP
244 uptake by and expulsion from the collagen matrix (fig. 4E & F). Uptake and expulsion of 15 nm
245 formulations were respectively 14% slower and 51% faster in 2.5 mg/mL gels than the denser
246 matrix. This leads to a lower AuNP concentration within the collagen gel and accordingly,
247 slower particle diffusion. Alternatively, as the uptake rate of AuNPs exceeding 45 nm does not

248 vary with collagen density (two-way ANOVA, $p = 0.05$), their slower depletion from the
249 collagen matrix allows for greater nanoparticle retention and consequently greater infiltration
250 distances.

251 ***Computational modelling of nanoparticle diffusion through porous matrices.*** To help
252 understand how nanoparticles interact with the collagen matrix, Monte-Carlo numerical
253 simulations of AuNP diffusion through collagen matrices were conducted. Two-dimensional
254 models were used to examine the frequency of AuNP collisions with collagen-fibers within
255 square pores of the hydrogel matrix. The frequency of such collisions can cause an AuNP's path
256 to deviate. Three-dimensional simulations were also conducted to compare AuNP permeation
257 capacity through stroma of different collagen densities. These computational models were
258 conducted in Matlab using custom algorithms to simulate AuNP interaction and diffusion within
259 collagen matrices. These simulations followed similar strategies employed by Stylianopoulos et
260 al. (32). AuNP motility was modelled as step-wise random walk obeying Einstein-Stokes
261 diffusion (Equation 2) while particle-fiber interactions were modelled as elastic collisions. Figure
262 5A provides an illustration delineating the path of AuNP motion within a collagen pore. Obeying
263 Brownian motion, AuNPs move randomly and can collide with collagen fibers. For our three-
264 dimensional simulations, collagen fibers were approximated as cylinders with radii between 0.05
265 – 0.50 μm . Representative images of the collagen matrices of varying collagen density simulated
266 in Matlab have been presented in fig. 5B. The modeled radii were chosen according to measured
267 thicknesses from scanning electron microscopy images of our 2.5 and 4.0 mg/mL collagen
268 hydrogels (fig. S9). A detailed summary of our model and its underlying assumptions can be
269 found in the methods section.

270 AuNP movement in our two-dimensional models for 1000 particle replicates was
271 simulated in 0.005, 0.020, 0.108 and 0.640 μm^2 square stromal-pores for 10000 steps at 0.1
272 second intervals. Our simulations determined that AuNP-fiber collision rates increased with
273 reducing pore size and decreasing AuNP diameter (fig. 5C). 15 nm AuNPs achieved the highest
274 frequency of interaction with collagen fibers at rates between 0.038 - 0.023 collisions/second
275 (cps) while 100 nm formulations ranged from 0.016 – 0.001 cps for pore sizes between 0.005 –
276 0.640 μm^2 . Interestingly, collision rates for 15, 45, and 60 nm AuNPs were statistically similar
277 for 0.005 μm^2 pores (ANOVA $p = 0.05$) but became increasingly dissimilar as pores enlarged.
278 These simulations suggest that impact of particle size on Brownian motion is a primary mediator
279 of AuNP motility within the hydrogel over its frequency of collision with the ECM. This
280 suggests that the greater that nanoparticles interact with collagen, the longer they will be retained
281 within the tumour.

282 Expanding on these results, AuNP diffusion was also modelled in three-dimensions to
283 compare how AuNP diameter and collagen density might impact stromal accumulation and
284 infiltration. Stromal-ECM of increasing collagen density was modelled computationally as
285 27000 μm^3 cubes containing anisotropically oriented collagen fibers. The number of fibers were
286 chosen to achieve collagen volume fractions (8.72 – 87.20%) reflective of conditions found
287 within tumours (33, 34). Diffusion distance for 500 AuNP-replicates was tracked for 5000
288 discrete steps at 1 second intervals. Our simulations indicate that diffusion rates changed with
289 AuNP-diameter but did not change with collagen density (fig. 5C). 15 nm AuNPs exhibited the
290 greatest mobility at 1.95 ± 0.03 nm/s in the simulated hydrogels while 45, 60, and 100 nm
291 particles diffused at rates of 0.78 ± 0.01 , 0.60 ± 0.01 , and 0.36 ± 0.01 nm/s respectively. These

292 findings support our AuNP permeation observations from histological tumour sections whereby
293 AuNP diffusion away from blood vessels (fig. S10) did not vary with tumour volume (fig. 5D).

294 Together, these 2D and 3D models elucidate how the stromal matrix is implicated in
295 particle permeation. Although these simplified 2D and 3D models ignore the effect of fluid flow,
296 oncotic pressure, and inelastic collagen-AuNP interactions, they provide a mechanism for our *in*
297 *vitro* and *in vivo* permeation observations. They suggest that AuNP permeation is the balance
298 between the effects of particle size on Brownian motion and the frequency of particle collision
299 with the ECM. The increased mobility of smaller AuNPs afforded greater diffusion but was also
300 inhibitory due to the higher frequency of collision with the ECM. Conversely, AuNPs of larger
301 diameters exhibited slower motion but also a lower propensity to interact with the stroma. The
302 volume fractions tested and simulated in this study equate to ECM pore sizes ranging from 0.45
303 – 1.74 μm . As these pores exceed the size of our AuNPs, differences in diffusivity associated
304 with collagen density would be negligible for all tested particle diameters. Extended further,
305 these computational findings demonstrate that AuNP transport within the tumour can be distorted
306 through collisions with ECM fibers. This can limit retention within the tumour compartment if
307 AuNP volume approaches the porosity of the stromal ECM. It is likely that as nanoparticles
308 move through the tumour matrix, they interact with ECM fibers circumferential to pores or
309 become trapped in zones of varying size.

310 ***Nanoparticle selection according to tumour maturity.*** Given the complex dependence of
311 tumour-AuNP uptake on both particle size and tumour pathophysiology, we asked whether there
312 was a means to rationally select AuNP formulations according to tumour volume. In our proof-
313 of-concept work, we evaluated whether a decision matrix could be used to select nanomaterials
314 for either tumour detection (diagnostic) or drug delivery (treatment). AuNP formulations with

315 rapid delivery and high tumour contrast were defined as effective probes for delineating tumours
316 while AuNPs capable of high tumour retention and homogeneous tissue distribution were
317 anticipated to fare well as drug delivery vehicles.

318 Relative measurements of AuNP-fluorescence *in vitro* and *in vivo* (fig. S5A & S5B) were
319 used as an estimate of tumour contrast achievable by each formulation. Surface area-to-volume
320 ratios were also calculated to approximate the drug-loading capacity of each AuNP size (fig.
321 S7B). These parameters in conjunction with tumour accumulation, uptake rate, and penetration
322 capacity were ranked from best [4] to worst [1] for each AuNP diameter. Each parameter was
323 also given a multiplier according to its importance to a given AuNP-function. The weighted sum
324 of these rankings was then calculated for each AuNP design for the different tumour size ranges.
325 Equation 1 is a summary of the scoring scheme where μ is the importance multiplier, β
326 represents the ranking factor, and i denotes the ranked AuNP parameters. Figure 6B highlights
327 these parameters and the associated values used to calculate the scores found in our decision
328 matrices (fig. 6A-6B).

$$329 \text{Score}_{AuNP|Tumour} = \sum_i \mu_i \cdot \beta_i \quad (1)$$

330 Overall, smaller (< 45 nm) AuNPs were favored for both diagnostic and therapeutic applications
331 across all tumour sizes. Diameters in the 100 nm range were consistently predicted as poor
332 candidates for either application while 15 nm and 45 nm particles were both expected to be
333 useful for detection and treatment of large (> 1.0cm³) tumours. AuNPs in the 60 nm range were
334 the exception to these trends as they were predicted to be better for detection of small, early-
335 stage tumours (<0.5 cm³). This was empirically attributed to the statistical similarity in AUC
336 values for particles with diameters between 15-60 nm (fig. 3A) as well as the higher tumour
337 contrast seen for 60 nm particles (fig. S5) in the 0.0-0.5 cm³ range. As macrophage uptake of

338 nanoparticles increases with particle diameter (35), the enhanced utility of 60 nm AuNPs may
339 also be related to changes in phagocytic capacity of tumour associated macrophages (36) as their
340 phenotypes evolve during tumour progression (37).

341 These results imply that passively targeted AuNPs with smaller diameters would be more
342 applicable for detection and drug delivery when tumour size is unknown. However, 45 nm
343 AuNPs may be the more effective vehicle for later-staged tumours as their larger surface area to
344 volume ratio (fig. S5C) theoretically allows for 900% greater drug loading than 15 nm particles
345 with merely a drop to tumour accumulation by less than 57.3%. Although these findings are
346 specific to passively targeted AuNPs with further research and amalgamation with the existing
347 wealth of information on nanoparticle-tumour targeting, the proposed decision matrix schema
348 can be generalized to provide a systematic method for assessing other particle types. A flow
349 chart detailing a potential means of implementing this strategy is outlined in fig. 6C.

350 ***Validation of the decision matrix for personalized targeting of prostate tumours.*** Towards
351 validating our results, we evaluated whether our formulated decision matrices could be used to
352 predict the ideal AuNP design for other tumour models. A blinded study was conducted in CD1
353 nude athymic mice bearing orthotopic PC3 prostate human tumours to verify whether our
354 tumour-size dependent predictions were accurate. 15 and 100 nm AuNPs were tail-vein injected
355 into tumour bearing mice to evaluate AuNP efficacy for tumour detection and accumulation.
356 Both particle designs were effective at delineating the location of the tumour (fig. 7A) but at
357 varying efficacies. Tumour detection speed and contrast for 15 nm AuNPs were respectively
358 53.7% and 50.8% higher than 100 nm particles. 15 nm achieved greater tumour accumulation
359 than 100 nm designs and trended higher with increasing tumour size (fig. 7C-7E). These findings

360 were consistent with our decision matrix alluding to the potential of our system for use on other
361 particle formulations and tumour types.

362 ***DISCUSSION***

363 Given the observed limitations of AuNP accumulation in tumours, it is clear that careful
364 design of nanomaterials is necessary to achieve optimal tumour delivery. It is currently known
365 that manipulating the diameter, shape, and surface chemistry of a nanomaterial can yield
366 particles that minimally interact with the hepatic and renal clearance mechanisms of the body
367 (38). However, the design of AuNPs must also be finely balanced with function to achieve
368 optimal delivery of payloads and signal intensities. Unfortunately, optimization of the synthetic
369 identity of nanomaterials has reached an impasse whereby tumour targeting efficiency remains
370 stagnated at 5% (6, 39). In our work, we have alternatively approached tumour delivery from the
371 biological perspective by characterizing the unique physiological changes that occur during
372 tumour growth to tailor nanoparticles according to the state of disease progression.

373 We determined that for MDA-MB-435 orthotopic human tumour xenografts, malignant
374 tissues become more disordered as they increase in volume. Starting from homogeneously-
375 vascularized tissues with minimal necrotic space, tumours transition towards higher cell densities
376 with vasculature that concentrates at sparsely distributed regions. This disproportionate
377 vascularization coincides with an increase in necrotic tissue and expression of collagen, and
378 other ECM components that surround tumour blood vessels. Type I collagen in the tumour ECM
379 was found to convert from long filamentous fibers to shorter and more amorphous structures as
380 tumours increase in volume. Through use of an *in vitro* collagen hydrogel model, we rationalized
381 that these structural changes in the ECM are a primary mediator of passive tumour delivery of
382 spherical AuNPs. This collagenous basal membrane acts as a “sponge” for extravasating AuNPs

383 that delays particle infiltration. The densely packed ECM of early-stage tumours appears to
384 sterically restrict AuNP entry based on particle diameter while in larger tumours, the more
385 porous and less rigid structure of type I collagen facilitates entry of larger AuNPs and enhances
386 accommodation of smaller particles by the stroma. As AuNP infiltration depth did not change
387 with tumour size *in vivo* nor with variations to collagen density *in vitro*, bulk tumour
388 accumulation of particles likely depends (i) on the capacity of ECM to take up AuNPs and (ii) on
389 the number of blood vessels available for AuNP entry for a given tumour volume.

390 These tumour growth-associated changes highlight physiological parameters that are
391 exploitable for selection of AuNPs according to tumour volume. The reduction of available
392 interstitial volume and enhanced porosity of stroma caused by tumour growth hinder permeation
393 of AuNPs but allow for higher AuNP extravasation into the tumour space. This suggests that
394 although large AuNPs become more effective when tumours mature, this improvement in
395 accumulation comes at the expense of deep tissue permeation. Early prognosis and treatment of
396 cancer is associated with increased patient survival (40–42). Unfortunately, smaller AuNPs
397 which are best suited to target low-volume tumours may be less effective drug delivery vehicles
398 as their payloads maybe smaller than their counterparts. This illustrates the dichotomy of AuNP
399 selection, as a trade-off must be made between the intended function of a nanomaterial and
400 optimal tumour delivery.

401 Towards personalized medicine, a simplified decision matrix was developed to illustrate
402 a means of personalizing the selection of AuNPs according to tumour stage and desired AuNP
403 function. Our proof of concept decision matrix facilitates the personalization of a nanomaterial
404 according to the patient by providing an unbiased score of how well a formulation might fare
405 based on tumour volume and the AuNP's design parameters: tumour signal (fluorescence),

406 accumulation, uptake rate, and permeation. Figure 6B presents a flow chart illustrating how such
407 a decision matrix might be used clinically to select nano-therapeutic regimens.

408 Simulations established that for MDA-MB-435 tumours, passively targeted AuNPs with
409 60 nm diameters provide the best contrast for detecting early stages of tumour growth, and sites
410 of metastasis. Alternatively, particles in the 15 – 45 nm range appear to be more effective for
411 diagnostics as tumours increase in size or in situations where tumour maturity and phenotype are
412 unknown. For therapeutic regimens, our work also identifies that AuNPs with diameters between
413 15 – 45 nm are best employed for tumours exceeding 1.0 cm^3 as their permeation distance
414 exceed 60 – 100 nm AuNPs despite having lower loading capacities. These results imply that
415 AuNPs must be rationally designed according to the intended function. Formulations optimized
416 for diagnostic applications may not necessarily be effective designs for drug delivery or vice
417 versa. Although we have shown that our AuNP-tumour size trends were also valid for a PC3
418 prostate tumour model, our results may not necessarily be generalizable to all tumour types as
419 the decision matrix presented here was constructed from a single tumour type and nanoparticle
420 design. However, as our proposed decision matrix utilizes phenotypic parameters that are
421 common to malignant tissues allowing the proposed strategy to be easily adopted by pathologists
422 and researchers. With a concerted effort amongst researchers to elucidate how different
423 nanoparticle schemes as well as the different micro-architectures of different tumour models and
424 host species can impact nanoparticle entry and retention within tumours, a generalized decision
425 matrix may be realized. Production of this large database may allow future clinicians to utilize
426 standard magnetic resonance, computer tomographic, histological imaging techniques to
427 landmark and approximate the size of a tumour so as to synthesize nanoparticle based treatments
428 that are catered specifically to the patient.

429 **CONCLUSIONS:**

430 To improve cancer detection and therapy, researchers are now investigating how the
431 physicochemical properties of a nanomaterial can mediate nanoparticle transport and function.
432 Although it is clear that the synthetic properties of the nanoparticle are critical to their biological
433 interactions, how the physiological characteristics of the tumour can impact nanoparticle fate
434 remains largely unexplored. Here, we show that tumour biology is equally important as
435 nanoparticle size in dictating nanoparticle targeting efficacy. We further show that a thorough
436 assessment of tumour composition can be used to develop a simple algorithm for rational
437 selection of AuNPs according to cancer stage. Implementation of nanomaterials in tandem with
438 radiological imaging and tissue biopsies may be clinically useful to optimally detect nascent
439 tumours and personalize therapeutic regimens. However, realization of this personalized
440 approach to cancer nanomedicine will require a greater understanding of the physical changes in
441 tumour microenvironment associated with cancer progression and its implications on
442 nanoparticle function.

443 The conclusions presented here have been formulated with passively targeted AuNPs
444 using an orthotopic MDA-MB-435 tumour model. Although we successfully demonstrate that
445 our proposed decision matrix can predict AuNP targeting efficacy for orthotopic prostate
446 tumours, ascertaining how tumour growth can affect malignant tissues in other tumour models
447 remains critical to ensure that animal and nano-based research can be translated to humans. It
448 would also be prudent to study how other nanoparticle types and targeting schemes may change
449 nanoparticle interactions with the host and tumour microenvironment. For example, analysis of
450 how tumour pathophysiology influences active targeting may help to explain why the decoration
451 of bio-recognition molecules on nanoparticle surfaces appear to only enhance tumour targeting

452 for nanoparticles within the 60 nm range (26). Further investigation on such topics will broaden
453 our understanding of nano-bio interactions and allow for the development of a fundamental
454 framework for design of cancer-centric nanomaterials. Nevertheless, our results illustrate that
455 tumour maturity is a critical parameter that both impacts the fate of a nanomaterial and can be
456 exploited to rationally design better diagnostic probes and therapeutic vehicles in the future.

457 **METHODS**

458 **Tumour accumulation measurements.** Efficiency of AuNP delivery to tumours was measured
459 by ICP-AES. Tumours were harvested at 24 HPI and digested in 1 mL of *aqua regia* (1:3 v/v
460 nitric acid to hydrochloric acid) supplemented with 1 µg/mL yttrium for 2 hours at 70°C. Yttrium
461 was used as an internal reference to account for sample loss during the digestion and purification
462 process. Post-digestion, acidic solutions were diluted with 2 mL of double distilled water and
463 filtered through 0.22 µm PVDF membranes to remove un-digested tissue. Volumes of the
464 digested samples were then adjusted to achieve a final volume of 4 mL via addition of double
465 distilled water. Gold and yttrium contents in each sample were measured using a Perkin-Elmer
466 Optima 3000. AuNP accumulation in tumours at 24 HPI was determined by normalizing
467 measured gold concentrations to yttrium content and tumour mass.

468

469 **Analysis of nanoparticle infiltration into collagen matrices.** Synthesized nanoparticles were
470 tested *in vitro* for their permeation capacity through type I collagen hydrogels. Self-assembled
471 hydrogels were first prepared by mixing pre-solubilized rat tail type I collagen on ice with 10x
472 phosphate buffered saline, and 1 M sodium bicarbonate at an 8:1:1 volumetric ratio followed by
473 dilution with double distilled water to achieve final collagen concentrations of 2.5 and 4.0
474 mg/mL. Collagen solutions were then placed into gel moulds and allowed to self-assemble at

475 37°C for 3 hours. Post-polymerization, hydrogels were equilibrated in double distilled water for
476 2 hours followed by immediate water-exchange and introduction of AuNPs. AuNP infiltration
477 into hydrogels was monitored every 30 minutes for 15 hours via laser scanning confocal
478 microscopy using an Olympus Fluoview FV1000. A trans-illumination lamp was used to
479 determine the collagen edge while differential interference contrast (DIC) and fluorescence were
480 invoked to profile AuNP distribution within the hydrogel. AuNP permeation was profiled along
481 the length of the collagen hydrogel by analyzing confocal images of AuNP fluorescence in
482 ImageJ. Fluorescent intensity profiles were then placed into Graphpad Prism to calculate total
483 AuNP uptake and track the mean AuNP infiltration distances. Calculated values were used to
484 determine AuNP accumulation rates for the different hydrogel densities by taking the slope of
485 the linear regression curves seen in **fig. S12A**.

486

487 **Analysis of nanoparticle expulsion from collagen matrices.** Collagen hydrogels were
488 constructed using a similar pH-based self-assembly process as mentioned above. Prior to
489 gelation, AuNPs equivalent to a total surface area of 30 cm² were thoroughly mixed with
490 hydrogel solutions on ice. AuNP-collagen mixtures were then allowed to set overnight at 37°C,
491 rinsed with phosphate buffered saline, and suspended in 1 mL of phosphate buffered saline. At 0,
492 1, 2, 3, 5, 6, 8, and 24 hours the phosphate buffered saline suspension solution was sampled (90
493 µL) to track AuNP expulsion from the hydrogels. AuNP quantity in sample solutions was
494 approximated by measurement of sample fluorescence in 384 fluorescent well plates (Nunc 384-
495 well optical well plates) using a Carestream Multispectral MS Fx Pro in vivo imager (ex/em:
496 750/830 nm) at an exposure time of 10 minutes. Fluorescent images were analyzed by

497 densitometry in ImageJ. AuNP expulsion rates were obtained by taking the slope of the linear
498 regression curves seen in [fig. S12B](#).

499

500 **Simulation of nanoparticle diffusion in collagen matrices.** Two- and three-dimensional
501 stochastic models of AuNP movement in collagen matrices were programmed and simulated in
502 Matlab. Two-dimensional models were used to study how AuNP diameter and differences in the
503 available area fraction of collagen matrices would affect the frequency of AuNP-collagen
504 collisions. Three-dimensional simulations were conducted to investigate the impact of collagen
505 density on AuNP diffusion distance. For both models, AuNP movement was taken as discrete
506 random walk steps obeying Einstein-Stokes Brownian motion as dictated by [equation 2](#) where
507 K_B , η , T , and r denote the Boltzmann constant, solvent viscosity, temperature in kelvins, and
508 AuNP radii respectively.

$$D = \frac{\kappa_B T}{6\pi\eta r} \quad (2)$$

509 Particle movement was approximated as the mean square displacement according to Fick's
510 second law ([equation 3](#)). Where δ and dt were taken as the discrete distance and time interval
511 between steps.

$$\delta = \sqrt{2Ddt} \quad (3)$$

512 AuNPs were approximated as circles and spheres for two- and three-dimensions respectively.
513 AuNP-collagen fiber collisions were assumed to be elastic with collagen fibers approximated as
514 immobile cylinders. Simulations were also conducted in the limit of dilute AuNP concentrations
515 where AuNP-AuNP collisions could be neglected and particles could be independently tracked.

516 For the two-dimensional simulations, collagen matrices of differing available area fractions were
517 approximated as square pores of varying size. Pore sizes were selected based on empirically
518 measured spaces between collagen fibers seen in scanning electron microscopy images of
519 collagen hydrogels of varying concentration (fig. S9). Images were imported into ImageJ and
520 thresholded to differentiate collagen fibers from pores. The size of each pore was measured by
521 calculating the rolling ball radius of each pore using ImageJ's built-in algorithm. Initial AuNP
522 positions were randomized within the collagen pores and were permitted to move stochastically
523 within the square. Upon AuNP movement beyond the dimensions of the pore, collision events
524 were counted and AuNP trajectories were elastically reflected. Particles were tracked for 10000
525 steps at 0.1 second intervals. AuNP-collagen collisions were tallied for each condition.

526 To simulate three-dimensional collagen matrices composed of 100, 300, 600, and 1000 cylinders
527 ranging in length from 0-30 μm and radii ranging from 0.05-0.50 μm were randomly distributed
528 and oriented within 30 x 30 x 30 μm cubes to mimic hydrogels with collagen volume fractions
529 between 8.72 – 87.20%. Collagen volume fractions were determined by calculating the ratio of
530 volume occupied by collagen fibers (approximated as cylinders) versus the total region of
531 interest (30 x 30 x 30 μm cube). Volume fractions were equated to empirical collagen pore sizes
532 by taking a 2D projection of the generated tissue followed by calculation using the same ImageJ
533 process as mentioned for our 2D simulations. For particle motility simulations, AuNPs were
534 randomly placed in our 3D matrices, allowed to move freely within the confines of the cube, and
535 reflect off collagen fibers. The direction of AuNP motion was randomized with each step.

536 AuNPs were tracked for 5000 steps at 0.5 second intervals. Displacement between start and end
537 points were measured to determine AuNP diffusion distances.

538

539 **Statistical analysis.** All statistical analysis comparing between groups were performed using
540 one-way ANOVA (one variable per group) and two-way ANOVA (two variables per group) in
541 GraphPad Prism.

542

543 **Supplementary information.** Please see supporting information section for detailed materials
544 list, gold nanoparticle synthesis and characterization, tumour induction, nanoparticle
545 administration, tumour histology analysis, and whole animal imaging.

546 **References and Notes:**

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554 **Author contributions:** E.A.S. and W.C.W.C. conceived the project and wrote the paper.
555 E.A.S., Q.D., and C.S. conducted the experiments. D.C. and K.D.R. helped designed the collagen
556 experiments. J.R. helped in the setup of simultaneous SHG and fluorescence imaging. J.C. and
557 G.Z. produced the prostate cancer mouse models used in this study. D.H. conducted the
558 pathology analysis. All authors edited the manuscript.

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661

662

663 **FIGURE CAPTIONS**

664 **Fig. 1.** Summary of the pathophysiological changes in tumours during growth. Graphs depict the
665 changes in vascular density (A), the proportion of tumours occupied by ECM
666 components (B), acellular space (C), and cellular density (D) associated with tumour
667 volume. All values were normalized to tumour cross-sectional area.

668 **Fig. 2.** Structural changes to type I collagen associated with tumour size. (A) Representative
669 bright-field images of Picrosirius red stained sections that depict the evolution of collagen
670 with tumour size. (B) Representative SHG microscopy images of collagen (green)
671 overlaid with DRAQ5-stained nuclei (blue). (C) Graph delineating how Picrosirius red
672 intensity fades with rising tumour volume as collagen fibrils convert to lesser organized
673 constructs. (D) Histograms of SHG intensity in collagen enriched zones validates that
674 type I collagen becomes increasingly amorphous with tumour enlargement. Scale bars
675 represent 50 μm

676 **Fig. 3.** Results delineating how tumour uptake of AuNPs varies with tumour volume. (A) Bar
677 graph of calculated AUC measurements for AuNP uptake by tumours. Yellow dotted line
678 denotes our defined successful accumulation threshold. Overall, AuNP accumulation
679 increases with tumour volume. (B) Total AuNP content in tumours of different volumes
680 as measured by ICP-AES at 24 HPI. Results were normalized to injection dose per gram
681 of tumour. (C) Bar graph summarizing how the speed of AuNP uptake varies with
682 increasing tumour volume and particle diameter. Uptake rates remain constant for tumour
683 volumes above 0.5 cm^3 apart for 45 nm AuNPs. Error bars denote standard error of mean
684 values ($n > 3$). Asterisks denote statistically significant data (two-way ANOVA, $p =$
685 0.05).

686 **Fig. 4.** *In vitro* collagen hydrogel model of AuNP transport through tumour ECM. (A)
687 Schematic depicting the *in vitro* setup used to profile AuNP infiltration into type I
688 collagen hydrogels. (B) Illustration of the observed AuNP (red) infiltration process for
689 the collagen hydrogels (green). AuNPs first concentrate at the gel-reservoir interface
690 dependent on particle size and collagen density. Once an equilibrium is reached between
691 AuNPs in the matrix and interface, the AuNP-front gradually diffuses deeper into the
692 hydrogel. (C) Bar graph depicting the permeation of AuNPs within the collagen
693 hydrogels at 900 minutes post-exposure. (D) Whisker plot depicting the cumulative
694 results of AuNP penetration from blood vessels into tumour tissues at 24 HPI. No
695 differences were found between tumour sizes. Bar graphs (E) and (F) summarize the
696 differences in AuNP entry and exit from hydrogels based on collagen density and AuNP
697 diameter. Error bars denote standard error of the mean for $n = 3$. Asterisks denote
698 statistically significant data (two-way ANOVA, $p = 0.05$).

699 **Fig. 5.** Monte Carlo models simulating the dynamics of AuNP transport through and interactions
700 with collagen matrices. (A) Pictorial representation of AuNP random walk in two
701 dimensions within collagen pores. Number of collisions with the pore was tracked as
702 measure of AuNP interactions with collagen matrices. (B) Representative images of
703 simulated hydrogels of varying collagen densities in three dimensions. Images were
704 rendered in Matlab using the same algorithms employed for assessment of AuNP
705 diffusion through collagen matrices three dimensions. (C) Bar graph comparing the rate
706 of AuNP collisions with collagen matrices of varying pore size obtained from two-
707 dimensional simulations. Collision frequency decreases with increasing pore and AuNP
708 size. Asterisks denotes scenario whereby AuNP size exceeded the dimensions of the pore.

709 (D) Line graph depicting the simulated changes to AuNP diffusion rate in collagen gels
710 as collagen density increases. Collagen density did not appear to impact AuNP diffusivity
711 but was instead dictated by AuNP size.

712 **Fig. 6.** Proposed method of selecting AuNPs according to tumour maturity. (A) Pseudo-colored
713 heat maps qualitatively depict the utility of each AuNP diameter for therapeutic (left) and
714 diagnostic (right) applications predicted by our proposed decision matrices. Rankings for
715 particle utility for a given tumour volume have been rated from high (red) to low (green).
716 Tabular values were calculated by taking the weighted sum of empirically ranked tumour
717 accumulation potential, uptake rate, contrast, and permeation data according to AuNP
718 diameter and tumour size. (B) Weighted importance (μ) of decision matrix parameters for
719 application of nanoparticles to tumour diagnosis and treatment. (C) Flow diagram
720 illustrating a proposed method of personalizing AuNP selection in the clinic for cancer
721 detection and treatment.

722 **Fig. 7.** Blinded study assessing passive AuNP targeting of prostate tumours. (A) Whole animal
723 fluorescent images of mice bearing orthotopic prostate tumours. Bright regions highlight
724 areas of AuNP accumulation. (B) Magnetic resonance images used to confirm the
725 presence and size of prostate tumours in mice. Dotted circles demarcate the location of
726 the tumour. Graphs (C), (D) and (E) respectively compare the ICP-AES measured
727 accumulation, tumour uptake rates, and tumour contrast of 15 and 100 nm AuNPs in
728 small and large tumours. Error bars in all graphs denote standard error mean values for n
729 = 3. Asterisks denote statistically significant data (two-way ANOVA, $p = 0.05$).

730