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## Targeted therapy for non-small-cell lung cancer: past, present and future

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### Abstract

Therapy for advanced non-small-cell lung cancer has developed significantly with new awareness of histologic subtype as an important factor in guiding treatment and the development of targeted agents for molecular subgroups harboring critical mutations that spur on cancer growth. In this comprehensive review, we look back at developments in targeted therapy for advanced non-small-cell lung cancer, reviewing in detail efforts, both successful and in some cases less so, to target EGFR, VEGF and ALK. This review provides an overview of where the field stands at present and the areas we feel are most likely to provide challenges and potential successes in the next 5 years including immune checkpoint inhibition, epigenetic therapy and driver mutation targeting.

### Keywords

ALK; crizotinib; EGFR; epigenetic; erlotinib; immune checkpoint; non-small-cell lung cancer; PD1; targeted therapy; VEGF

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Lung cancer is the most common cause of cancer death, with approximately 1.4 million deaths worldwide annually and more deaths in the USA attributable to lung cancer than the next three most common cancers combined [1,101]. Non-small-cell lung cancer (NSCLC) now accounts for more than 85% of lung cancers in western countries, with 20–30% of NSCLC occurring in never smokers [2,102]. The rise in NSCLC incidence in never or light smokers has coincided with the discovery that a proportion of NSCLC, in particular those occurring in never or light smokers, are driven by oncogenic mutations that, when selectively inhibited, can lead to dramatic tumor regression and prolonged survival. This finding has revolutionized the field of NSCLC research, and in conjunction with studies demonstrating that histologic subtype influences response to chemotherapy, has led to

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increasingly targeted efforts to personalize medicine. This article will review the background of personalized medicine in lung cancer, examining attempts to discover and target molecular pathways that drive NSCLC growth while providing a comprehensive review of recent developments in targeted therapy, and looking forward to an exciting and challenging time as novel therapeutics, including immune checkpoint inhibition and epigenetic therapy, are examined.

## The past

For the first 70 years after its emergence as a major health problem in the early 20th century, NSCLC was a surgical disease, with pneumonectomy and in later years lobectomy being curative for a small number of patients with localized disease, while no effective treatment was available for the majority of patients who presented with advanced disease [3]. From the early days of cytotoxic chemotherapy, numerous agents were studied in NSCLC without survival advantages until the advent of platinum-based doublet chemotherapy in the early 1980s [4]. During the subsequent two decades, research focused on adding novel cytotoxic drugs to a platinum backbone; however, differences in survival between chemotherapy doublets were only occasionally apparent and often not reproduced [5–8]. This led to platinum plus ‘another’ drug (e.g., etoposide, vinorelbine or paclitaxel) being the first-line standard of care chemotherapy for advanced NSCLC for approximately 25 years, with choice of the second drug largely based on toxicity profile and individual preference.

Many research efforts during this time focused on dose intensification of chemotherapy or addition of a third cytotoxic agent to a platinum doublet; however, the benefits of either approach were inconsistent [9,10]. Important developments in molecular biology were also occurring during this time, in particular, the elucidation of cellular pathways in tumor cells driving tumor growth and metastasis and these discoveries would lead to rapidly accelerated targeted agent development, particularly the development of small-molecule TKIs and targeted monoclonal antibodies. By the start of the 21st century, a general consensus was that a plateau in the development of cytotoxic chemotherapy had been reached and new directions were needed [9,10].

## EGF receptor

The EGF receptor (EGFR) is overexpressed in 50–80% of NSCLC, while increased gene copy number is noted in up to 60% of tumors [11–13]. EGFR plays an integral role in tumor growth as a component of two principal cellular pathways that drive tumor growth and spread, the PI3K/AKT/mTOR pathway and the RAS/RAF/MEK/MAPK pathway; therefore, it was an obvious target for drug development [14].

## Agents targeting EGFR in advanced NSCLC

### Tyrosine kinase inhibitors

EGFR TKIs are small molecules that selectively bind the tyrosine kinase region of the intracellular domain of EGFR, preventing adenosine triphosphate binding and EGFR autophosphorylation, thus inhibiting EGFR signal transduction [15]. Early studies of the EGFR TKIs, gefitinib and erlotinib, suggested that certain clinical characteristics correlated

with responsiveness to these agents; these included east Asian ethnicity, adenocarcinoma histology, female gender, and light or nonsmoking history [16].

Two early randomized studies of gefitinib in unselected advanced NSCLC patients, the INTACT 1 and 2 studies, compared first-line platinum doublet chemotherapy combined with gefitinib versus chemotherapy alone [17,18]. No benefit was shown for the addition of gefitinib in either study. The INTEREST study compared docetaxel chemotherapy with gefitinib in 1466 pretreated NSCLC patients; this study achieved its primary end point of noninferiority of gefitinib versus docetaxel [19]. Conversely, a smaller Japanese study in 489 pretreated patients failed to demonstrate noninferiority of gefitinib when compared with docetaxel, while a Korean study with the primary end point of progression-free survival (PFS) showed significantly prolonged PFS associated with gefitinib [20,21]. Subsequently, the ISEL study randomized 1692 patients with advanced NSCLC refractory or intolerant to chemotherapy to gefitinib or placebo [22]. The primary end point of median overall survival (OS) did not show a significant benefit for gefitinib. Despite the results of a preplanned subgroup analysis enriched for never smokers and Asian patients that did show improved survival in these groups, the ISEL study caused the US FDA to rescind its approval of gefitinib as second-line therapy for patients with advanced NSCLC. In contrast, the BR.21 study, which compared erlotinib with placebo in 731 pretreated patients, demonstrated a survival advantage for erlotinib over placebo, leading to the use of erlotinib rather than gefitinib in the second-line setting in North America [23].

These and other data suggested that further development of EGFR TKIs would benefit from the development of a biomarker to predict responsiveness. The presence of activating mutations of *EGFR* in tumor was a promising candidate when first examined in the IPASS study, which randomized 1217 Asian patients with advanced lung adenocarcinoma to first-line gefitinib or carboplatin/paclitaxel [24]. The patient population for this study selected patients with clinical characteristics (light/nonsmoker, adenocarcinoma) thought to confer sensitivity to EGFR TKIs. This study demonstrated an improvement in PFS for gefitinib, with subgroup analysis suggesting this benefit was principally in the 60% of patients with activating mutations in *EGFR*, in particular, exon 19 deletions, exon 21 mutation (*L858R*) or exon 18 mutation (*G719X*). Subsequent analysis of this study did not demonstrate an OS advantage for gefitinib in the study population as a whole or in *EGFR* mutation-positive patients; however, two-thirds of this subgroup received post-study treatment with an EGFR TKI [25]. By contrast, patients without an *EGFR* mutation had significantly shorter PFS with gefitinib compared with chemotherapy, while OS was not significantly different.

Two Japanese studies comparing first-line gefitinib with platinum doublet chemotherapy in *EGFR* mutant patients have confirmed a significant PFS benefit for gefitinib; however, these again did not show an OS benefit, probably due to post-study crossover [26,27]. Based on these data, gefitinib has achieved regulatory approval in Europe for the initial treatment of patients with advanced NSCLC harboring activating mutations of EGFR [103].

Erlotinib has been compared with chemotherapy in the first-line setting in two recently published studies conducted in China and Europe. The Chinese OPTIMAL trial randomized 154 EGFR-mutant patients to erlotinib or carboplatin/gemcitabine [28]. PFS was

significantly increased by 4 months in the erlotinib arm; however, the high rate of crossover – 76% of the patients received postprotocol EGFR TKI – meant that no OS advantage was demonstrated [29]. The European EURTAC study randomized 174 patients to erlotinib or platinum doublet chemotherapy [30]. Median PFS was significantly prolonged by almost 5 months for erlotinib versus chemotherapy; however, no difference was seen in OS [104]. Most recently, the LUX-Lung 3 trial, which compared the irreversible EGFR/HER2 TKI afatinib versus cisplatin/pemetrexed as first-line therapy for *EGFR-mutant* patients, demonstrated a 4.2-month improvement in PFS in the intention-to-treat population and a 6.7-month PFS increase in those patients with the most common sensitizing mutations of *EGFR* [31].

The toxicity profile of EGFR TKIs such as gefitinib, erlotinib and afatinib is significantly different to chemotherapy, with much less myelosuppression, nausea and neurotoxicity; however, more frequent rash and diarrhea. In most cases, EGFR TKIs appear to be better tolerated by patients than chemotherapy. Taken collectively, these studies confirm the role of *EGFR* mutation testing in guiding the management of advanced NSCLC patients and represent the first predictive molecular marker to be discovered in the disease. Advanced NSCLC patients with tumors harboring sensitizing mutations in *EGFR* are likely to have a much higher response rate, prolonged PFS, and may have prolonged OS when treated with EGFR TKIs instead of chemotherapy in the first-line setting, which has led the National Comprehensive Cancer Network to recommend routine testing for EGFR mutations in nonsquamous NSCLC and the use of first-line erlotinib for *EGFR-mutant* advanced NSCLC in recent guidelines [105]. In the second and subsequent line setting, erlotinib has been shown to be superior to placebo and *EGFR* TKIs are a reasonable alternative treatment option to single-agent chemotherapy, particularly for nonsquamous NSCLC.

### Cetuximab

Cetuximab is a monoclonal antibody targeting EGFR that has been approved for the treatment of advanced colorectal cancer and advanced head and neck cancer. The Phase III FLEX study randomized 1125 patients with newly diagnosed advanced NSCLC to platinum doublet chemotherapy with or without cetuximab, which was administered both concurrently and as a weekly maintenance therapy after six cycles of chemotherapy [32]. The cetuximab-containing arm of this study showed approximately a 6-week survival advantage over chemotherapy alone. This benefit appeared to be present for all major analyzed histologic and clinical subgroups, and the objective response rate was also higher for the cetuximab-containing arm (36 vs 29%). Importantly, however, significantly increased serious toxicities in the cetuximab-containing arm included rash, febrile neutropenia, diarrhea and infusion reactions. Subsequent analysis suggested that development of an acneiform rash in the first 3 weeks of treatment was associated with a better response to treatment and median survival (15 vs 8.8 months;  $p < 0.0001$ ) and this has been suggested as a possible clinical marker for continuing the drug [33]. Analysis of tumor specimens from this study also suggested that high EGFR immunohistochemical expression may predict benefit from cetuximab when compared with low expression [34]. Another Phase III study, BMS-099, which evaluated the addition of cetuximab to carboplatin/paclitaxel, demonstrated a 1.3-month difference in survival favoring cetuximab that did not

reach statistical significance; however, this was similar numerically to that seen in the FLEX study [35]. An ongoing Southwest Oncology Group Phase III study is evaluating the addition of cetuximab to platinum doublet chemotherapy combined with the VEGF antibody, bevacizumab (ClinicalTrials.gov identifier: NCT00946712) [106]. To date, cetuximab has not achieved regulatory approval in the USA or Europe for the treatment of advanced NSCLC, while the 6-week survival benefit and toxicity seen in FLEX allied to the high cost of the drug have stirred debate on its role in the management of advanced NSCLC [36].

## VEGF

High levels of VEGF expression are associated with a poor prognosis in NSCLC and are a potent stimulant of tumor angiogenesis and growth [37]. This finding has driven the investigation of VEGF-targeted agents in advanced NSCLC.

## Bevacizumab

The recombinant humanized monoclonal antibody, bevacizumab, binds VEGF, thereby blocking the VEGF pathway. The first-line Phase III ECOG study, E4599, randomized 878 patients with advanced nonsquamous NSCLC to carboplatin/paclitaxel for six cycles with or without concurrent bevacizumab followed by maintenance bevacizumab until disease progression [38]. Patients with squamous carcinoma, history of hemoptysis, therapeutic anticoagulation or brain metastases were excluded due to bleeding events in an earlier phase study that led to the Phase III studies [39]. Patients who received carboplatin/paclitaxel/bevacizumab in the Phase III study had a 2-month improvement in median OS compared with chemotherapy alone, response rate was more than doubled (35 vs 15%) and, importantly, 1-year survival (51 vs 44%) and 2-year survival (23 vs 15%) were significantly better in patients who received bevacizumab. While bevacizumab was well tolerated overall, seven deaths did occur due to hemoptysis or hematemesis, and grade 3–4 hypertension was more common in the bevacizumab-containing arm (7 vs 0.7%).

The Phase III AVAiL trial evaluated two different doses of bevacizumab (7.5 and 15 mg/kg) in a similar design and study population to E4599; however, it differed in choice of platinum doublet, and cisplatin/gemcitabine was used in place of carboplatin/paclitaxel [40]. This study met its primary end point, which was to prolong PFS for both doses of bevacizumab while response rate was also significantly increased. However, subsequent updated analysis failed to show an OS benefit for the addition of bevacizumab to cisplatin/gemcitabine [41].

Data from the AVAPERL study that examined the addition of maintenance pemetrexed to bevacizumab after induction cisplatin/pemetrexed/bevacizumab showed a 3.6-month improvement in PFS for the addition of maintenance pemetrexed; however, OS data are not yet mature [107]. An ongoing Phase III study is analyzing combination chemotherapy with dual-antibody blockade using cetuximab and bevacizumab (ClinicalTrials.gov identifier: NCT00946712) [106]. The ATLAS study compared maintenance bevacizumab versus the combination of bevacizumab/erlotinib after platinum doublet chemotherapy with bevacizumab in 768 first-line patients [42]. While there was a 1-month improvement in PFS, no OS benefit for the addition of erlotinib was shown. Most recently, the POINTBREAK

study randomized 939 nonsquamous patients to first-line carboplatin/paclitaxel/bevacizumab (CTB) followed by maintenance bevacizumab versus carboplatin/pemetrexed/bevacizumab (CPB) followed by maintenance bevacizumab/pemetrexed [108]. This study failed to achieve its primary end point of prolonged OS for the pemetrexed/bevacizumab-containing arm and, while there was a marginal improvement in PFS of 2 weeks, response rates were similar between the two groups. Toxicity was different in the two arms with more anemia, thrombocytopenia and fatigue in the pemetrexed arm, and increased rates of febrile neutropenia, peripheral neuropathy and alopecia in the paclitaxel arm. Of note, in this era of rising drug expenditures, the cost of carboplatin/pemetrexed/bevacizumab is approximately US\$13,000 per cycle, almost twice that of carboplatin/paclitaxel/bevacizumab.

## VEGF TKIs & other agents targeting tumor vasculature

Several small-molecule TKIs targeted at VEGF and other growth pathways have been studied in advanced NSCLC; however, results to date have been disappointing, with several agents increasing PFS; however, no durable OS advantage has been demonstrated to date.

Cediranib, a TKI that blocks multiple VEGF receptors, was studied in a large Phase II study in advanced NSCLC (including squamous and nonsquamous patients), with 296 patients being randomized to carboplatin/paclitaxel chemotherapy with or without cediranib [43]. While the cediranib-containing arm showed a higher response rate than chemotherapy alone, it was also associated with significantly higher rates of hypertension, hand-foot syndrome and gastrointestinal upset, and treatment was implicated in 13% of deaths in the cediranib arm. This led investigators to conclude that the combination was not tolerable at the investigated cediranib 30 mg dose. Survival was not significantly increased in the cediranib arm.

Similarly sorafenib, a multitargeted TKI that inhibits VEGF, showed a 2-week improvement in median PFS; however, no difference in OS compared with placebo was seen when added to cisplatin/gemcitabine chemotherapy [44]. In the second and subsequent-line setting, sunitinib failed to show a survival benefit when compared with erlotinib [45].

Vandetanib, a TKI that inhibits EGFR, VEGF and RET-dependent signaling, showed prolonged PFS combined with docetaxel in a Phase III study but no OS advantage, while in the ZEAL study, combination with pemetrexed failed to prolong PFS or OS [46,47]. In a Phase III study of EGFR TKI-pretreated patients vandetanib again failed to prolong survival as a single agent when compared with placebo [48].

Motesanib, the selective inhibitor of VEGF receptors 1, 2 and 3, PDGF receptor and Kit did not prolong OS when added to first-line carboplatin/paclitaxel in nonsquamous NSCLC [49].

Aflibercept, a recombinant human fusion protein targeted at VEGF, recently failed to demonstrate a survival advantage in a 913-patient Phase III study when added to docetaxel for platinum-pretreated nonsquamous NSCLC [50]. The vascular-disrupting agent, ASA404, which targets established vasculature in tumors, did not improve survival when added to carboplatin/paclitaxel in the frontline setting [51].



Collectively, Phase III studies of small molecules targeting tumor angiogenesis or vasculature in NSCLC (Table 2) have enrolled more than 8000 patients over the past decade without demonstrating an improvement in OS for any of the agents studied. These failures highlight the need for the development of efficacy biomarkers at an earlier stage in drug development.

## ALK translocations & crizotinib

The *EML4-ALK fusion* oncogene, which arises from an inversion on the short arm of chromosome 2 joining exons 1–13 of *EML4* to exons 20–29 of *ALK*, was first reported in NSCLC in 2007 [52]. Fusion of *ALK* with other partners occurs but is rare [53]. Subsequent studies confirmed the presence of *ALK* fusion genes in 2–7% of NSCLC, arising more commonly in nonsmokers and almost exclusively in tumors of nonsquamous histology [54].

Crizotinib is a potent oral ATP-competitive inhibitor of both ALK and the c-Met/HGF receptor tyrosine kinase [55]. In a Phase I dose-escalation study of 82 predominantly pretreated NSCLC patients with tumors harboring the *ALK* translocation, an overall response rate of 57% was seen and the estimated 6-month PFS was 72% [56]. Updated results from this study confirmed a median PFS of 9.7 months and estimated 12-month OS of 74.8% [57].

These results led to the accelerated approval of crizotinib for the treatment of advanced NSCLC harboring *ALK* translocations by the FDA in August 2011 [109].

Preliminary results from PROFILE 1005, a large, single-arm Phase II study of crizotinib in pretreated advanced NSCLC harboring the *ALK* fusion gene, reported a response rate of 53% and a median PFS of 8.5 months [58]. Two international Phase III registration trials of crizotinib have recently reported PFS results in *ALK* rearranged advanced NSCLC.

In the second-line setting, PROFILE 1007 compared crizotinib with either docetaxel or pemetrexed and randomized 347 patients in 20 countries [59]. The median PFS for crizotinib was 7.7 versus 3.0 months with chemotherapy ( $p < 0.0001$ ), while the response rate was 65.3% with crizotinib versus 19.3% for chemotherapy ( $p < 0.0001$ ). Quality of life was superior for crizotinib-treated patients based on patient-reported outcomes regarding time to deterioration in lung cancer symptoms, which showed a median of 5.6 months with crizotinib versus 1.4 months with chemotherapy ( $p < 0.0001$ ). Overall survival from this study is yet to mature but is likely to be confounded by high rates of post-progression crossover from chemotherapy to crizotinib.

In the first-line setting, PROFILE 1014 is an international Phase III study comparing crizotinib with cisplatin/pemetrexed or carboplatin/pemetrexed in ALK-positive nonsquamous advanced NSCLC (ClinicalTrials.gov identifier: NCT01154140) [106].

Despite these very encouraging results, the majority of patients develop resistance to crizotinib within a year of commencing treatment and several secondary resistance mutations have been described [60]. Recent data suggest that an even rarer molecular subtype of NSCLC harboring a translocation in *ROS1* may also respond to crizotinib [61].

## The present: treating advanced NSCLC in 2013

The management of advanced NSCLC has developed significantly over the past 5 years.

### Advanced NSCLC without a targetable driver mutation

The importance of histology in guiding the choice of systemic therapy was shown in studies by Scagliotti *et al.* of platinum doublet chemotherapy incorporating pemetrexed compared with non pemetrexed-containing chemotherapy [62]. High immunohistochemical expression of thymidylate synthase in squamous NSCLC may provide the basis for the reduced sensitivity of these tumors to pemetrexed-based regimens; however, this has yet to be prospectively validated [63]. For advanced nonsquamous NSCLC not harboring an *EGFR* mutation or *ALK* translocation, platinum/pemetrexed is now a first-line standard of care providing an approximate 2-month survival advantage over non pemetrexed-containing platinum doublet chemotherapy. The role of maintenance therapy with single-agent pemetrexed after initial platinum doublet chemotherapy has been clarified to a degree by the recently reported PARAMOUNT study, which showed a 22% reduction in the risk of death in favor of maintenance pemetrexed versus placebo for patients with response or stable disease after initial treatment with platinum/pemetrexed [64]. Switch maintenance, that is, immediate use of pemetrexed for patients with stable disease or response to a different platinum doublet has also been shown to prolong survival [65]. The POINTBREAK study failed to demonstrate a survival advantage for carboplatin/pemetrexed/bevacizumab followed by maintenance pemetrexed/bevacizumab versus carboplatin/paclitaxel/bevacizumab followed by maintenance bevacizumab alone [108]. ECOG 5508, which is testing pemetrexed/bevacizumab versus bevacizumab alone after carboplatin/paclitaxel/bevacizumab induction, should further clarify the switch maintenance question (ClinicalTrials.gov identifier: NCT01107626) [106]. The role of cetuximab combined with chemotherapy is as yet unclear given the relatively significant toxicity and moderate survival benefit demonstrated in studies to date, it is currently not approved in Europe or North America for the treatment of NSCLC. For both squamous and nonsquamous patients, the SATURN study demonstrated that maintenance erlotinib prolonged OS by approximately 5 weeks when compared with placebo [66]. Molecular analyses from this study suggest that, while patients with NSCLC harboring an *EGFR* mutation derived the greatest PFS benefit from maintenance erlotinib, wild-type *EGFR* patients also benefited and the presence of *KRAS* mutations was the only marker prognostic for reduced PFS [67].

Overall, the relatively high number of patients in recent maintenance studies who did not receive standard second-line chemotherapy and the initial use of four instead of six cycles of platinum doublet chemotherapy has led to continued debate among experts regarding the maintenance approach [68]. Current guidelines reflect the recent rapid pace of progress in the understanding of NSCLC and are flexible in recommending platinum doublet plus bevacizumab as first-line therapy for mutation-negative patients until further trial data become available [105].



## Advanced NSCLC with a sensitizing *EGFR* mutation or *ALK* translocation

It is currently recommended that all patients with nonsquamous NSCLC have their tumors tested for *EGFR* mutations or *ALK* translocations, and planned updates to guidelines will also suggest mutation testing in squamous patients who are nonsmokers [105]. If a sensitizing mutation of *EGFR* is found to be present, first-line treatment with oral erlotinib (or gefitinib if approved) is recommended and provides a PFS benefit (and may prolong OS) over first-line platinum doublet chemotherapy. For patients with an *EGFR* mutation that have not received first- or subsequent-line EGFR TKI, then erlotinib or gefitinib is recommended upon disease progression. For patients with *ALK* translocations, the recommendations are for first-line crizotinib or for it to be given on disease progression if not received previously.

Despite the efficacy of EGFR TKIs and crizotinib for tumors harboring sensitizing *EGFR* mutations or *ALK* translocations, almost all patients ultimately develop disease progression on these agents, usually due to the development of resistance mutations. Currently, the standard treatment for these patients reverts to chemotherapy similar to that for mutation-negative patients; however, this may change as agents targeting resistance are developed and pathways of resistance are further elucidated.

## Expert commentary & five-year view

While significant progress has been made to decode the NSCLC genome, in particular demonstrating that approximately 50% of the tumors harbor critical driver mutations that if targeted successfully may lead to rapid tumor regressions and prolonged survival, developments in squamous NSCLC and lung tumors that lack apparent driver mutations have lagged behind [69]. Promising developments in immunotherapy, mutation targeting and epigenetic therapy are discussed in this section, providing a glimpse of where therapy for advanced NSCLC may be by 2018.

## Immune checkpoint inhibition in NSCLC

CTLA-4 is the prototypical immune checkpoint, a transmembrane receptor whose expression is induced by T-cell activation leading to downregulation of T-cell responses and consequent suppression of the innate response to foreign tumor neoantigens [70]. Ipilimumab is a fully human IgG1 monoclonal antibody that binds to CTLA-4, blocking its interaction with its ligand on antigen-presenting cells and thus preventing suppression of antitumor immunity [71]. In NSCLC, a Phase II study of 204 chemotherapy-naive patients assigned patients to carboplatin/paclitaxel chemotherapy with placebo or combined with concurrent or phased ipilimumab [72]. The primary end point was PFS by immune response (irPFS). The phased ipilimumab regimen of two cycles of chemotherapy followed by four cycles of chemotherapy with ipilimumab demonstrated improved irPFS compared with the control arm of chemotherapy plus placebo (5.7 vs 4.6 months;  $p = 0.05$ ) and a trend toward improved OS, which did not meet statistical significance (12.2 vs 8.3 months;  $p = 0.23$ ). Interestingly, in subgroup analysis, the effect of phased ipilimumab appeared to be confined to squamous histology patients leading to a Phase III trial currently being conducted in this

cohort, a group for whom recent progress has been limited (ClinicalTrials.gov identifier: NCT01285609) [106].

Another immune checkpoint, programmed death-1 (PD-1) is a coinhibitory molecule expressed on the surface of activated T cells, antigen-specific T cells after chronic antigen exposure, B cells and myeloid cells. Its ligand, programmed death ligand-1 (PD-L1), can be expressed in human tumors and has been associated with a poor prognosis [73–75]. Data from a large Phase I study of anti-PD-1 antibody (nivolumab) in 296 patients with advanced melanoma, renal cell carcinoma or NSCLC were recently reported and results in a heavily pretreated NSCLC cohort of 76 patients were particularly encouraging [76]. Tumor response and prolonged stabilization of disease was seen in 18% of the lung cancer patients with 26% of the patients being progression free 6 months after starting therapy while in the squamous subset, six out of 18 patients responded to therapy. Expression of PD-L1 on tumor cells as a putative marker of response, however, requires further evaluation in larger studies. Phase III studies of this agent are ongoing or planned, including in combination with chemotherapy in NSCLC, while other PD-1-targeted agents are also in clinical development in various tumor types including NSCLC (ClinicalTrials.gov identifier: NCT01721772 [106]) [77].

Recently reported studies of anti-PD-L1 antibody have also shown promising activity and good tolerability in advanced NSCLC, with 31% of heavily pretreated patients on a Phase I study progression free at 6 months [78].

These developments represent a significant change in the traditional perception of NSCLC as a nonimmunogenic tumor and we believe that these agents will add to the treatment paradigm for this disease within the next 5 years.

### Epigenetic therapy in NSCLC

Aberrant epigenetic regulation of gene expression has been implicated in both tumor growth and chemoresistance [79]. DNA promoter hypermethylation and chromatin deacetylation are two of the most important proposed mechanisms of epigenetic tumorigenesis [80]. The reversal of epigenetic alterations is one of the most promising areas of cancer research, with the potential to modify the underlying biology of tumors and their response to cytotoxic and other therapies.

Azacytidine is a cytidine analog that inhibits DNA methyl-transferase activity, causing loss of DNA methylation that has been approved for the treatment of myelodysplastic syndrome [81]. Histone deacetylase inhibitors such as etinostat also affect gene expression, and combination therapy with azacytidine may synergistically reactivate silenced gene sensitizing tumors to therapy [82]. In resected early-stage NSCLC, gene methylation has been associated with a significantly worse prognosis [83]. Recently reported data from a Phase I study of combination epigenetic therapy with azacytidine and etinostat in 45 heavily pretreated advanced NSCLC patients demonstrated good tolerance and promising signals of efficacy, with two patients having prolonged responses of 8 and 14 months, respectively [84]. In addition, ten patients had stable disease for more than 3 months, with two of these patients having stable disease for 14 and 18 months. Interestingly, patients who received epigenetic therapy appeared to have unexpectedly good responses to post-study treatment,

with four out of 19 patients having a major response to the immediate subsequent therapy and two long-term survivors of more than 3 years who received only one post-study therapy. The authors have also developed a target gene methylation signature that may predict response to therapy; however, this requires validation in future studies.

### Driver mutations & resistance

As discussed, more than 50% of lung adenocarcinoma tumors have identifiable mutations in critical oncogenes that potentiate tumor proliferation and spread (Figure 1) [69]. While approved agents, erlotinib and crizotinib, targeting the *EGFR* and the *ALK* fusion gene, respectively, are available, these mutations account for less than 20% of lung adenocarcinoma and drug resistance develops within 1 year of commencing treatment for the majority of patients. There is an urgent need for investigation of currently available and novel agents targeting *KRAS*-mutant NSCLC and rarer mutations including *PIK3CA*, *NRAS*, *HER2* and *KIF5B-RET*. Despite the rarity of some of these mutations, due to the overall high incidence of lung cancer, effective agents have the potential to benefit thousands of patients. For example, while *RET* kinase fusions are present in only 1% of the lung cancer patients; this would represent more than 10,000 patients annually worldwide [85]. While the *RET*-targeting agent, vandetanib, and the *HER2*-targeting agent, trastuzumab, have been studied in unselected NSCLC populations, it is likely that the potential efficacy in molecularly selected subgroups may have been missed, with recent evidence suggesting that *HER2*-mutant NSCLC may be targeted with currently available therapies [86].

*BRAF* mutations stimulate the MAPK pathway in NSCLC and are present in 1–5% of NSCLC tumors [87,88]. Unlike *EGFR* and *ALK* molecular aberrations, *BRAF* mutations appear to occur more frequently in current or former smokers with lung adenocarcinoma, and while the V600E subset are associated with a poor prognosis, other more common NSCLC *BRAF* mutations do not appear to be prognostic [88]. Several agents that target mutant *BRAF* or its pathways are currently under investigation in solid tumors, including advanced NSCLC with early-phase results pending (ClinicalTrials.gov identifiers: NCT01086267, NCT00888134, NCT01248247 and NCT01336634 [106]).

Mutations in *KRAS* are the most common lung cancer-driver mutations occurring in approximately 25% of lung adenocarcinomas, and almost exclusively in current or former smokers [89]. Ongoing studies aimed at targeting pathways activated in *KRAS*-mutant NSCLC include those involving the MEK- and MET-mediated signaling (ClinicalTrials.gov identifiers: NCT01395758 and NCT01362296 [106]).

The *MET* oncogene is amplified in 21% of NSCLC in Caucasians and drives tumor cell proliferation and metastasis [90,91]. *MET* amplification is also a frequent mechanism of resistance to *EGFR* tyrosine kinase inhibition in *EGFR*-mutant patients [92]. Spigel *et al.* conducted a randomized, 137-patient Phase II study of erlotinib combined with a MET-targeted monoclonal antibody (onartuzumab [MetMab]) versus erlotinib alone in second- or third-line advanced NSCLC [93]. Patients with high MET expression by immunohistochemistry appeared to benefit from the addition of MetMab to erlotinib in both PFS (erlotinib/MetMab; 2.9 vs 1.5 months erlotinib alone;  $p = 0.04$ ) and by almost 9 months in OS (erlotinib/MetMab; 12.6 vs 3.8 months erlotinib;  $p = 0.002$ ). This finding has

led to a Phase III study comparing these combinations in the MET-positive advanced NSCLC population, while studies of a MET-directed TKI (tivantinib) are also in progress (ClinicalTrials.gov identifiers: NCT01456325, NCT01519804, NCT01496742 and NCT01395758 [106]) [94].

Efforts to target resistance mutations such as T790M, the most common mechanism of resistance to EGFR TKIs in *EGFR*-mutant NSCLC, are in progress along with the development of irreversible TKIs such as afatinib, which may have activity in combination with cetuximab in *EGFR*-mutant NSCLC resistant to first-generation EGFR TKIs [95,96].

As the field develops, we hope that by 2018 many, if not all, of these driver mutations will have therapeutic agents approved or in late-stage development along with effective strategies to overcome inherent and acquired resistance.

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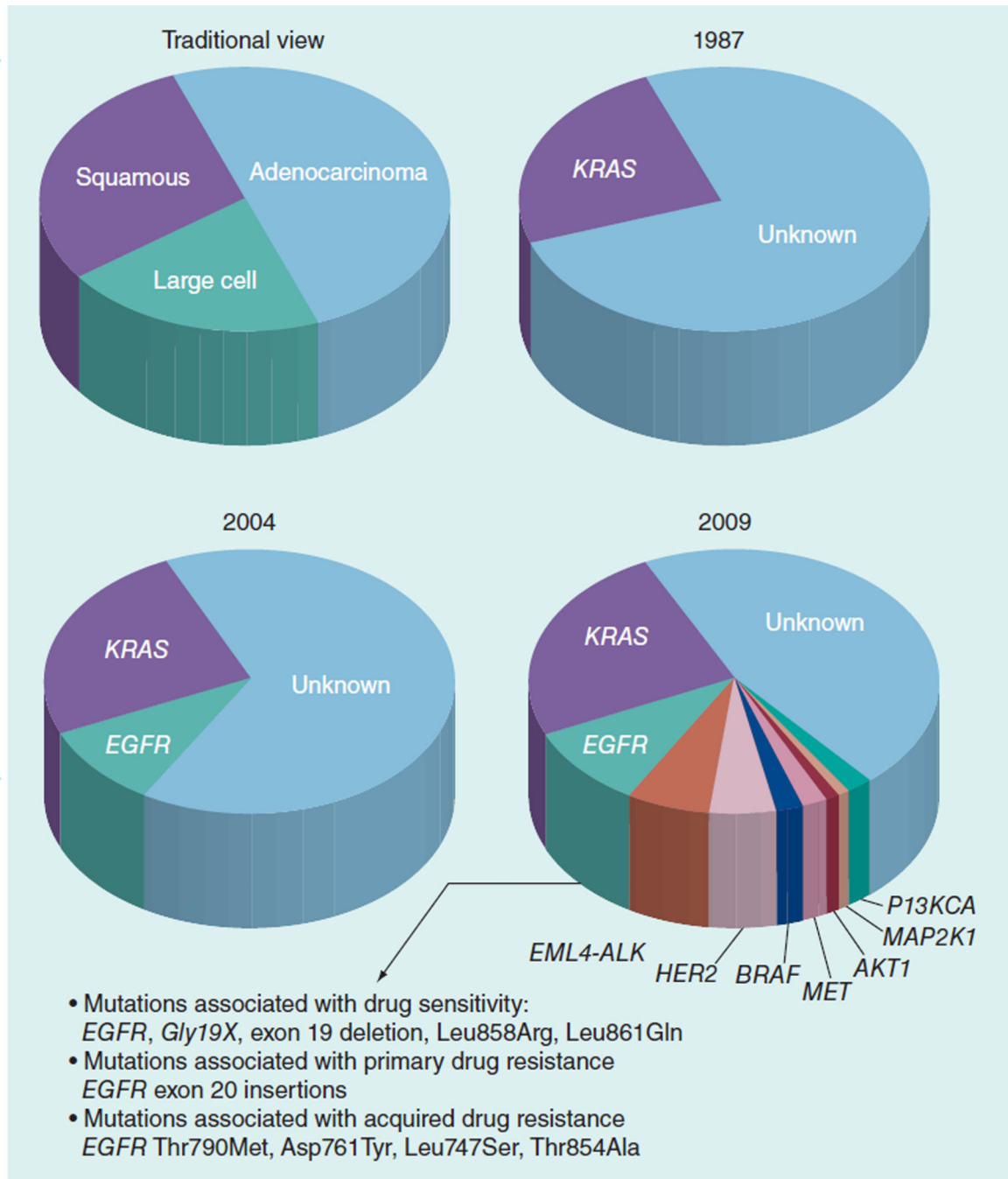
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### Key issues

- The key to the future of advanced non-small-cell lung cancer therapy will be discovering rational molecular targets and testing new agents in those subgroups most likely to benefit. EGF receptor tyrosine kinase inhibitors and crizotinib represent notable successes using this strategy.
- Driver mutations that spur cancer growth in the majority of lung adenocarcinoma have been discovered, and agents targeting these mutations are in preclinical and clinical development. Squamous tumors have proven more difficult to target to date; however, developments with immune checkpoint inhibition and epigenetic therapy are promising for this subgroup.
- While bevacizumab prolongs survival when added to chemotherapy, studies using other agents have been disappointing and a biomarker of response is needed to guide antiangiogenic therapy.
- Resistance to targeted agents is a major problem, and strategies aimed at overcoming resistance are likely to include next-generation tyrosine kinase inhibitors and combination therapy targeting multiple resistance pathways.



**Figure 1.**  
New driver mutations in non-small-cell lung cancer.



**Table 1**  
Selected randomized studies of EGF receptor targeted agents in advanced non-small-cell lung cancer.

Study (year)	Patient population	Study arms	PFS/TTTF	OS	Ref.
<i>EGFR tyrosine kinase inhibitors</i>					
INTACT 1, mainly Europe/USA (2004)	n = 1093; first line – unselected for clinical characteristics or mutation status	Cis/Gemcitabine × 6 cycles ± gefitinib 500 or 250 mg	Gefitinib 500 mg – 5.5 months; gefitinib 250 mg – 5.8 months; placebo – 6.0 months; p = 0.7633; NS	Gefitinib 500 mg – 9.9 months; gefitinib 250 mg – 9.9 months; placebo – 10.9 months; p = 0.4560; NS	[17]
INTACT 2, mainly USA (2004)	n = 1037; first line – unselected	Carbo/paclitaxel × 6 cycles ± gefitinib 500 or 250 mg	Gefitinib 500 mg – 4.6 months; gefitinib 250 mg – 5.3 months; placebo – 5.0 months; p = 0.0562; NS	Gefitinib 500 mg – 8.7 months; gefitinib 250 mg – 9.8 months; placebo – 9.9 months; p = 0.64; NS	[18]
INTEREST Europe/Asia/USA (2008)	n = 1466; second line – unselected	Gefitinib vs docetaxel	Gefitinib – 2.2 months; docetaxel – 2.7 months; p = 0.47; NS	Gefitinib – 7.6 months; docetaxel – 8 months; gefitinib was noninferior	[19]
V-15-32 Japan (2008)	n = 489; second line – unselected, although 32% never smoker	Gefitinib vs docetaxel	Gefitinib – 2 months; docetaxel – 2 months; p = 0.335; NS	Gefitinib 11.5 months; docetaxel 14 months; gefitinib noninferiority not demonstrated	[20]
ISTANA Korea (2010)	n = 161; second line – unselected, although 41% never smoker	Gefitinib vs docetaxel	Gefitinib – 3.3 months; docetaxel – 3.4 months; p = 0.0441; S	Gefitinib 14.1 months; docetaxel – 12.2 months; p = 0.437; NS	[21]
BR.21 Americas/Europe/Australia (2005)	n = 731; second line and not a candidate for further chemotherapy – unselected	Erlotinib vs placebo	Erlotinib – 2.2 months; placebo – 1.8 months; p < 0.001; S	Erlotinib – 6.7 months; placebo – 4.7 months; p < 0.001; S	[23]
ISEL Asia (2009)	n = 1129; second line; unselected	Gefitinib vs placebo	Gefitinib – 3.0 months; placebo – 2.6 months; p = 0.0006; S	Gefitinib – 5.6 months; placebo – 5.1 months; p = 0.087; NS	[22]
IPASS Asia (2009)	n = 1217; first line; all adenocarcinoma and light/nonsmoker; 60% EGFR mutant	Gefitinib vs Carbo/paclitaxel	In the EGFR-mutant subgroup: gefitinib – 9.5 months; Carbo/paclitaxel – 6.3 months; HR:0.48; S	Gefitinib – 18.8 months; Carbo/paclitaxel – 17.4 months; p = 0.109; NS	[25]
WJOG 172 Japan (2010)	n = 177; first line; EGFR mutant	Gefitinib vs cisplatin/docetaxel	Gefitinib – 9.2 months; Cis/docetaxel – 6.3 months; p < 0.0001; S	Gefitinib – 36 months; Cis/docetaxel – 39 months; HR: 1.185; 95% CI: 0.767–1.829; NS	[26]
NEJSG Japan (2010)	n = 230; first line; EGFR mutant	Gefitinib vs Carbo/paclitaxel	Gefitinib 10.8 months Carbo/paclitaxel – 5.4 months; p < 0.001; S	Gefitinib – 27.7 months; Carbo/paclitaxel – 26.6 months; p = 0.483; NS	[27]
OPTIMAL China (2011)	n = 154; first line; EGFR mutant	Erlotinib vs Carbo/gemcitabine	Erlotinib – 13.1 months; Carbo/gemcitabine – 4.6 months; p < 0.0001; S	No significant difference in OS	[28,29]

Study (year)	Patient population	Study arms	PFS/TTTF	OS	Ref.
EURTAC Europe (2012)	n = 174; first line; EGFR mutant	Erlotinib vs platinum doublet	Erlotinib – 9.7 months; chemotherapy – 5.2 months; p < 0.0001	Erlotinib – 22.9 months; chemotherapy – 20.8 months; NS	[30]
LUX-Lung 3 Asia (72%)/Europe/North America (2012)	n = 345; first line; EGFR mutant	Afatinib vs cisplatin/pemetrexed	Afatinib – 11.1 months; Cis/pemetrexed – 6.9 months; p = 0.0004	OS not yet mature	[31]
FLEX Europe (2009)	n = 1125; first line; EGFR-expressing	Cis/Vin × 6 cycles ± cetuximab followed by maintenance weekly cetuximab (in the cetuximab arm only)	Cis/Vin/cetuximab – 4.8 months; Cis/Vin alone – 4.8 months; p = 0.39; NS	Cis/Vin/cetuximab – 11.3 months; Cis/Vin alone – 10.1 months; p = 0.169; S	[31]
BMS099 USA (2010)	n = 676; first line; unselected	Carbo/paclitaxel × 6 ± cetuximab followed by maintenance cetuximab	Carbo/paclitaxel/cetuximab – 4.4 months; Carbo/paclitaxel – 4.2 months; p = 0.236; NS	Carbo/paclitaxel/cetuximab – 9.7 months; Carbo/paclitaxel – 8.4 months; p = 0.169; NS	[34]

Carbo: Carboplatin; Cis: Cisplatin; EGFR: EGF receptor; HR: Hazard ratio; n: Number of subjects enrolled; NS: No statistically significant difference; OS: Overall survival; PFS: Progression-free survival; RR: Objective response rate; S: Statistically significant difference; TTTF: Time to treatment failure; Vin: Vinorelbine.

**Table 2**

Selected randomized studies of VEGF inhibition in advanced non-small-cell lung cancer.

Study (year)	Patient population	Study arms	PFS	OS	Ref.
<i>Bev</i>					
E4599 USA (2006)	n = 878; first-line nonsquamous NSCLC, no brain metastases, hemoptysis or therapeutic anticoagulation	Carbo/paclitaxel × 6 cycles ± concurrent Bev followed by maintenance maintenance	Carbo/paclitaxel + Bev – 6.2 months; Carbo/paclitaxel – 4.5 months; p < 0.001; S	Carbo/paclitaxel + Bev – 12.3 months; Carbo/paclitaxel – 10.3 months; p < 0.001; S	[38]
AVAIL Europe (2009)	n = 1043; first-line nonsquamous NSCLC, no brain metastases, hemoptysis or therapeutic anticoagulation	Cis/Gem × 6 cycles ± concurrent Bev followed by maintenance	Cis/Gem – Bev 6.5 m and 6.7 months; Cis/Gem – 6.1 months; p = 0.003; p = 0.03; NS	Cis/Gem + Bev – 13.6 m and 13.4 months; Cis/Gem – 13.1 months; p = 0.42, p = 0.761; NS	[41]
AVAPERL Europe Preliminary report (2011)	n = 253; first-line nonsquamous NSCLC, no brain metastases, hemoptysis or therapeutic anticoagulation	Cis/Pem/Bev – 4 cycles followed by maintenance Bev ± maintenance Bev ± Pern	Maintenance Pem/Bev – 10.2 m Maintenance Bev – 6.6 months; p < 0.001; S	Not yet mature	[104]
ATLAS USA Preliminary report (2010)	n = 768; first-line nonsquamous NSCLC, no brain metastases, hemoptysis or therapeutic anticoagulation	Platinum doublet/Bev × 4 cycles followed by maintenance Bev ± erlotinib	Maintenance Bev + placebo – 3.7 months; Maintenance Bev + erlotinib – 4.8 months; p = 0.0012; S	Bev + placebo – 13.3 months; Bev + erlotinib – 14.4 months; p = 0.56; NS	[42]
POINTBREAK USA Preliminary report (2012)	n = 939; first-line nonsquamous NSCLC, no brain metastases, hemoptysis or therapeutic anticoagulation	Carbo/Pem/Bev × 4 → maintenance Pem/Bevs Carbo/taxol/Bev → maintenance Bev	Carbo/Pem/Bev – 6 months; Carbo/taxol/Bev – 5.6 months; p = 0.012; S	Carbo/Pem/Bev – 12.8 months; Carbo/taxol/Bev – 13.4 months; p = 0.949; NS	[108]
<b>VEGF-targeted TKIs</b>					
BR24 Americas/Europe/Australasia (2009)	n = 296; first-line NSCLC, unselected	Carbo/paclitaxel × 6–8 cycles ± cediranib p.o. daily	Carbo/paclitaxel + cediranib – 5.6 months; Carbo/paclitaxel – 5 months; p = 0.13; NS	Carbo/paclitaxel + cediranib – 10.5 months; Carbo/paclitaxel – 10.1 months; p = 0.11; NS	[43]
NexUS Europe (2012)	n = 772; first-line NSCLC (squamous patients excluded from analysis)	Cis/Gem × 6 cycles ± sorafenib	Cis/Gem + sorafenib – 6 months; Cis/Gem – 5.5 months; p = 0.008	Cis/Gem + sorafenib – 12.4 months; Cis/Gem – 12.5 months; p = 0.40; NS	[44]
SUN 1087 Europe/Asia (2012)	n = 960; second or subsequent line NSCLC, unselected	Erlotinib ± sunitinib	Erlotinib + sunitinib – 3.6 months; erlotinib – 2 months; p = 0.0023; S	Erlotinib + sunitinib – 9.0 months; erlotinib – 8.5 months; p = 0.14; NS	[45]
ZODIAC USA/Asia/Europe (2010)	n = 1391; second-line NSCLC	Docetaxel ± vandetanib	Docetaxel + vandetanib – 4 months; docetaxel – 3.2 months; p < 0.0001; S	Docetaxel + vandetanib – 10.3 months; docetaxel – 9.9 months; p = 0.371; NS	[46]
ZEAL Europe/Australia (2011)	n = 434; second line, unselected	Pern ± vandetanib	Pern + vandetanib – 4.4 months; Pern – 3 months; p = 0.108; NS	Pern + vandetanib – 10.5 months; Pern – 9.2 months; p = 0.219; NS	[47]

Study (year)	Patient population	Study arms	PFS	OS	Ref
ZEPHYR Asia (2012)	n = 924; second-line or subsequent line NSCLC, unselected	Vandetanib vs placebo	Vandetanib – 1.9 months; placebo – 1.8 months; p < 0.001; S	Vandetanib – 8.5 months; Placebo – 1.8 months; p = 0.527; NS	[48]
MONET1 Europe/Asia/USA (2012)	n = 1090; first-line nonsquamous	Carbo/paclitaxel ± motesanib	Carbo/paclitaxel + motesanib – 5.6 months; Carbo/paclitaxel – 5.4 months; p < 0.001; S	Carbo/paclitaxel + motesanib – 13 months; Carbo/paclitaxel – 11 months; p = 0.14; NS	[49]
<b>Other VEGF/vascular-targeted agents</b>					
VITAL Europe/Americas (2012)	n = 913; second-line nonsquamous	Docetaxel ± aflibercept	Docetaxel + aflibercept – 5.2 months; docetaxel – 4.1 months; p = 0.0035; S	Docetaxel + aflibercept – 10.1 months; docetaxel – 10.4 months; p = 0.9; NS	[50]
ATTRACT-1 USA/Asia/Europe (2011)	n = 1299; first-line NSCLC; unselected	Carbo/paclitaxel ± ASA404	Carbo/paclitaxel + ASA404 – 5.5 months; Carbo/paclitaxel – 5.5m; p = 0.727; NS	Carbo/paclitaxel + ASA404 – 13.4 months; Carbo/paclitaxel – 12.7 months; p = 0.535; NS	[51s]

Bev: Bevacizumab; Carbo: Carboplatin; Cis: Cisplatin; Gem: Gemcitabine; NS: No statistically significant difference; NSCLC: Non-small-cell lung cancer; OS: Overall survival; p.o.: Perorrem; Perm: Pemetrexed; PFS: Progression-free survival; S: Statistically significant difference.

**Table 3**

Studies of crizotinib in advanced ALK-positive non-small-cell lung cancer.

Study (year)	Phase	Study arm(s)	ORR and PFS	OS	Ref.
Initial dose-escalation/expansion study (2010); n = 143 ALK-positive NSCLC patients; 84% pretreated	I	Crizotinib 250 mg b.i.d. p.o. daily	ORR: 60.8% (95% CI: 52.3–68.9); PFS: 9.7 months (95% CI: 7.7–12.8)	OS at 12 months: 74.8% (95% CI: 66.4–81)	[56]
PROFILE 1005(2012); n = 255; 85% pretreated	II	Single-arm crizotinib 250 mg b.i.d. p.o. daily	ORR: 53% (95% CI: 47–60); PFS: 8.5 months (95% CI: 6.2–9.9)	OS: not yet mature	[58]
PROFILE 1007(2013); n = 347; second line	III	Crizotinib vs docetaxel or pemetrexed	ORR: crizotinib 65.3% vs 19.3% chemotherapy; p < 0.0001 PFS: crizotinib 7.7 vs 3.0 months chemotherapy; p < 0.0001	OS: not yet mature	[59]
PROFILE 1014; first-line advanced ALK-positive nonsquamous NSCLC (ClinicalTrials.gov identifier: NCT01154140)	III	Crizotinib vs cisplatin/pemetrexed or carboplatin/pemetrexed	Not available as yet	Not available as yet	[106]

b.i.d.: Two-times a day; NSCLC: Non-small-cell lung cancer; ORR: Overall response rate; OS: Overall survival; PFS: Progression-free survival; p.o.: Per ore.

**Table 4**

Selected ongoing and planned studies of novel targeted agents in non-small-cell lung cancer.

Agent(s)	Phase	ClinicalTrials.gov identifier <sup>†</sup>	Patient population and status
<b><i>Anti-PD-1</i></b>			
Nivolumab + first-line platinum doublet	I	NCT01454102	First-line NSCLC; recruiting
Nivolumab vs docetaxel	III	NCT01642004	Second-line NSCLC; recruiting
Nivolumab	II	NCT01721759	Third-line squamous; recruiting
MK-3475	I	NCT01295827	Solid tumors including NSCLC; recruiting
<b><i>Epigenetic therapy</i></b>			
5-azacytidine + etinostat	II	NCT01207726	Adjuvant therapy for resected stage 1 NSCLC; recruiting
<b><i>Driver mutations/amplifications</i></b>			
Selumetinib (MEK inhibitor) + erlotinib	II	NCT01229150	KRAS-mutant NSCLC; recruiting
Erlotinib + tivantinib vs chemotherapy	II	NCT01395758	KRAS-mutant NSCLC; recruiting
Onartuzumab + erlotinib	III	NCT01456325	Met-positive NSCLC; recruiting
Dabrafenib	II	NCT01336634	BRAF-mutant NSCLC; recruiting

NSCLC: Non-small-cell lung cancer; PD-1: Programmed death-1.

<sup>†</sup>Data taken from [106].