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## Targeting neoantigens to augment antitumour immunity

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### Abstract

The past decade of cancer research has been marked by a growing appreciation of the role of immunity in cancer. Mutations in the tumour genome can cause tumours to express mutant proteins that are tumour specific and not expressed on normal cells (neoantigens). These neoantigens are an attractive immune target because their selective expression on tumours may minimize immune tolerance as well as the risk of autoimmunity. In this Review we discuss the emerging evidence that neoantigens are recognized by the immune system and can be targeted to increase antitumour immunity. We also provide a framework for personalized cancer immunotherapy through the identification and selective targeting of individual tumour neoantigens, and present the potential benefits and obstacles to this approach of targeted immunotherapy.

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Recent advances in genome sequencing have revealed that during the process of initiation and progression, cancers acquire tens to thousands of different somatic mutations. Most of these mutations confer no intrinsic growth advantage (passenger mutations) and are often the result of genomic instability within the tumour. A smaller number of cancer mutations interfere with normal cell regulation and help to drive cancer growth and resistance to targeted therapies (driver mutations)<sup>1</sup>. To date, approximately 140 genes have been identified that can drive tumorigenesis<sup>2</sup>. However, both driver mutations and passenger mutations can alter amino acid coding sequences, collectively known as nonsynonymous mutations, causing tumours to express mutant proteins that are not expressed by normal cells<sup>3,4</sup>. These abnormal protein sequences are processed into short peptides (epitopes) and presented on the cell surface in the context of major histocompatibility complex (MHC; also known as human leukocyte antigen (HLA) in humans), thereby becoming recognizable to T cells as foreign antigens (FIG. 1).

Cancers with a single dominant mutation can often be treated effectively by targeting the dominant driver mutation<sup>5</sup>. Former American Society for Clinical Oncology (ASCO) president George Sledge has termed such cancers “stupid cancers” for their sensitivity to targeted therapy<sup>6</sup>. By contrast, “smart cancers” have a much higher number of mutations,

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multiple simultaneous driver mutations and are less amenable to treatment with traditional targeted therapies<sup>6</sup>. However, these smart cancers with high mutational burdens often have high expression of aberrant proteins<sup>6–8</sup>. In the current immunotherapy era, these aberrant proteins are increasingly recognized as opportunities for the immune system to recognize and control tumour growth.

Owing to their selective expression in tumours, the tumour-specific antigens (TSAs) that arise from non-synonymous mutations and other genetic alterations are called neoantigens<sup>9</sup> (TABLE 1). In the subset of human tumours with a viral aetiology, as with Merkel cell carcinoma (MCC) associated with the Merkel cell polyomavirus (MCPyV) and cancers of the cervix, oropharynx and other sites associated with the human papillomavirus (HPV), the proteins encoded by viral open reading frames are another type of neoantigen<sup>10–12</sup>. In addition to TSAs, there are two other broad categories of tumour antigen. Tumour-associated antigens (TAAs) are over-expressed in malignant cells but are also present in normal cells at low levels of expression<sup>9</sup>. Cancer/testis antigens (CTAs) are expressed by various tumour types and by reproductive tissues (for example, testes, fetal ovaries and trophoblasts) but have limited expression on other normal tissues in the adult and are generally not presented on normal reproductive cells, because these tissues do not express MHC class I molecules<sup>13,14</sup>.

Initial attempts to target TSAs, including efforts targeting virus-associated antigens<sup>15</sup>, mutated growth factor receptors<sup>16,17</sup> or mutated KRAS<sup>18,19</sup>, have provided an early validation of TSAs as tumour antigen targets. However, most neoantigens arise from unique mutations, and technical challenges related to the identification and targeting of neoantigens specific to individual tumours have prevented widespread adoption of an individualized approach. This Review discusses how such limitations are beginning to be overcome through major advancements in genomics and bioinformatics, including massively parallel sequencing (MPS) and epitope prediction algorithms. It is now widely recognized that the development of immune checkpoint inhibitors is transforming cancer care by providing unprecedented clinical benefit for some tumour types<sup>20,21</sup>. However, novel approaches to immunotherapy are now required to improve on current objective response rates (ORRs) and to extend benefit to all tumour types. In this Review we describe the relationship between neoantigens and sensitivity to current immunotherapies, and also provide a framework for the targeting of individual tumour neoantigens, with the potential to make antitumour immunity more specific, more effective and less toxic.

## TSAs, TAAs and CTAs as targets

TSAs, TAAs and CTAs have all been considered as targets for immunotherapy (TABLE 1). Until now, most cancer vaccines have focused on commonly overexpressed TAAs because of the potential to treat many patients with the same therapeutic vaccine. Although CTA targets are also shared between tumours, they are known to be expressed in only a limited number of tumour types, potentially reducing the applicability of CTA-targeted immunotherapy. Unfortunately, most attempts to target TAAs with vaccines have met with limited success<sup>22</sup>, potentially because TAAs are normal host proteins and are therefore subject to both central and peripheral tolerance mechanisms<sup>13</sup>. Although some TAA-specific T cells do avoid

negative selection, high-affinity T cell receptors (TCRs) for TAAs are preferentially depleted and the range of affinities of the remaining TCRs for TAAs is lower than TCR affinities for typical TSAs and other foreign antigens<sup>23,24</sup>. T cell cytotoxicity and activation are correlated with TCR binding affinity<sup>25</sup>, which supports the idea that immune responses against TAAs are less vigorous than those against TSAs.

A separate concern with TAAs and CTAs is the potential for autoimmune toxicities related to immune activation in non-target tissues, because these targets are expressed on normal host cells. Concerns about collateral damage to non-target tissues have received increased attention as modern immunotherapies have become potent enough to induce lethal autoimmunity<sup>26</sup>. In some cases the immune destruction of normal organs can be managed. For example, the destruction of all CD19-positive B lineage cells (both healthy and malignant) with anti-CD19 chimeric antigen receptor (CAR) T cells in patients with acute lymphoblastic leukaemia (ALL)<sup>27,28</sup> can be managed with immunoglobulin replacement, or through reconstitution of B lineage cells with allogeneic stem-cell transplantation. However, in other cases, toxicity from off-target immune activation has led to serious complications. For example, attempts to target the TAA carbonic anhydrase 9 in renal cell carcinoma (RCC) led to severe liver toxicity owing to expression of this antigen in bile duct epithelial cells<sup>29</sup>, and likewise, an attempt to target ERBB2 (also known as HER2 or NEU) in a patient with a metastatic colon cancer that overexpressed ERBB2 led to rapid respiratory failure and death, probably due to low levels of ERBB2 expression on lung epithelial cells<sup>30</sup>. Similarly, attempts to use adoptive cell therapy (ACT) to target the CTA melanoma-associated antigen 3 (MAGE-A3) resulted in severe neurological toxicity and death, which was probably related to previously unrecognized expression of MAGE-A family members in the brain<sup>31</sup>. This case challenges the concept of high CTA specificity. Although CAR T cells have shown considerable promise against some haematological cancers<sup>27,28</sup>, the ability to treat solid cancers with CAR T cells and other targeted immunotherapies has thus far been severely limited by the lack of suitable TAA and CTA targets.

TSAs are theoretically a more attractive immunotherapy target because they may be recognized as non-self by the host immune system, and are therefore less likely to establish complex immune tolerance mechanisms. Additionally, immunotherapies targeting TSAs should theoretically be less likely to induce autoimmunity, because the target is not expressed on normal cells. Despite these theoretical advantages, T cell responses against TSAs do not ensure clinically detectable anti-tumour activity, as evidenced by the inability of a host to eradicate melanoma despite the presence of high frequencies of cytotoxic T lymphocytes (CTLs) before vaccination<sup>32</sup>. Furthermore, neoantigen-specific approaches to immunotherapy cannot completely eliminate the risk of autoimmunity, because neoantigen-specific T cells can be cross-reactive with the non-mutated version of the antigen. This is exemplified by cancer-induced auto-immune disease. A recent study identified eight patients with cancer who concurrently developed scleroderma, an autoimmune disease, with detectable autoantibodies against RNA polymerase III subunit RPC1. Although these patients had various malignancies (breast, ovarian and colon), it was demonstrated that most tumours from these patients (six of eight) had specific genetic alterations in *POLR3A*, the gene that encodes RPC1. Therefore, the neoantigen-specific T cells against mutated

*POLR3A* in the context of a malignancy seem to be driving the autoimmune disease process<sup>33</sup>.

## Neoantigens and the immune response

### Evidence for immune system recognition of tumour neoantigens

The immune system has an extraordinary ability to distinguish self from non-self. This inherent property of the immune system gives it the ability to recognize and target non-self antigens on cancer cells to control cancer. In 1943, Gross and colleagues<sup>34</sup> were among the first to report that the immune system can recognize and destroy cancer cells. They showed that mice with resected tumours were protected against subsequent re-exposure from the same tumour cells, and that similar protection against tumour cells could be induced by first exposing mice to lethally irradiated tumour cells. However, at the time, the nature of the antigens that enabled the immune system to reject cancers was not clear. In the late 1980s the Boon group<sup>35,36</sup> made the important initial observation in a mouse model that antitumour T cells can recognize aberrant peptides derived from tumour-specific mutations. Several years later, somatic mutations were also shown by several separate groups to be a source of neo-antigens recognized by T cells in human tumours<sup>37–40</sup>. Another important advance in our understanding of TSAs occurred in 2005, when Wölfel and colleagues<sup>41</sup> analysed the naturally occurring antitumour T cell response against melanoma in a single patient. They found that the T cells of the patient were reactive against five mutated epitopes resulting from nonsynonymous mutations and that this immunoreactivity against melanoma neoantigens predominated over the response to TAAs. Similarly, the Rosenberg group<sup>42</sup> showed that the adoptive transfer of *ex vivo*-expanded tumour-reactive tumour-infiltrating lymphocytes (TILs) into a patient with melanoma could cause complete tumour regression, and that these T cells reacted to mutated forms of two neoantigens on the melanoma cells. These neoantigen-specific T cells persisted at high levels in the tumour 1 month after transfer. Together, these studies provided support for the role of neoantigens in the naturally occurring antitumour T cell response.

More recent support for neoantigens as important tumour antigens for the human immune system has emerged from studies of novel immune checkpoint inhibitors targeting cytotoxic T lymphocyte-associated protein 4 (CTLA4) and programmed cell death protein 1 (PD1), which are expressed by activated T cells<sup>43,44</sup>. The remarkable clinical activity of immune checkpoint inhibitors against a wide variety of human cancers has provided indisputable evidence that the immune system can recognize and destroy established cancers<sup>20,21</sup>. To destroy established cancers in the presence of immune checkpoint inhibitors, T cells must recognize antigens displayed by MHCs on tumour cells (FIG. 1). Theoretically, any class of tumour antigen could be recognized by T cells; however, it is increasingly clear that TSAs serve as the most important tumour antigens in certain cancers where immune checkpoint inhibitors have shown clinical efficacy. For example, TSAs have been demonstrated to be T cell targets of sarcoma-bearing mice treated with immune checkpoint inhibitors, and administration of peptide vaccines incorporating these antigens into mice could achieve similar outcomes to immune checkpoint blockade<sup>45</sup>. More recently, PD1-expressing neoantigen-specific T cells have been identified in the peripheral blood of patients with

melanoma, correlating with the recently documented activity of PD1 inhibitors in this population<sup>46</sup>.

### **Tumour somatic mutation frequency and sensitivity to immune checkpoint blockade**

Although the full therapeutic potential of immune checkpoint inhibitors remains undefined, it is evident from the available human clinical data that the frequency of somatic mutations within a tumour type, and by extension, the potential for neoantigens within a tumour type, is largely correlated with sensitivity to immune checkpoint inhibitors (FIG. 2). Two tumour types with notably high ORRs to immune checkpoint blockade are melanoma<sup>47–51</sup> and non-small-cell lung cancer (NSCLC)<sup>52–54</sup>. Both cancers are usually formed in the presence of mutagens (ultraviolet light in the case of melanoma and tobacco smoke in the case of NSCLC<sup>55</sup>), and as a result they have amongst the highest somatic mutation burdens of any cancer type. By comparison, immune checkpoint inhibitors thus far have shown little or no activity in the subset of cancers with lower mutation burdens, such as Ewing sarcoma<sup>56</sup> and prostate cancer<sup>26,57</sup>. The clinical observation that somatic mutations and potential for neoantigens in a tumour type are correlated with objective clinical responses to immune checkpoint inhibitors strongly supports the idea that neoantigens are important immunotherapy tumour antigens.

Somatic mutation burden seems to be an important marker of immune response even within a particular tumour type. This was recently illustrated in a series of clinical trials in colorectal cancer. Although most colorectal cancer has DNA mismatch repair proficiency (MMR-P), a small subset of colorectal cancer (<5%) have DNA mismatch repair deficiency (MMR-D), also referred to as microsatellite instability (MSI). As mismatch repair proteins correct errors in DNA replication, colorectal cancers with MMR-D are marked by genomic instability, a remarkably high mutation burden and the potential for high numbers of neoantigens. In clinical trials of PD1 inhibitors in unselected populations of patients with colorectal cancer, little to no activity was observed<sup>26,58</sup>. However, a clinical trial of the PD1 inhibitor pembrolizumab in a selected population of patients with MMR-D colorectal cancer demonstrated high response rates<sup>58</sup>. Therefore, within colon cancer, MMR-D predicts responses to immune checkpoint inhibition. A similar correlation between intratumour mutation burden and clinical benefit from immune checkpoint inhibition has been demonstrated in melanomas<sup>43</sup> and lung cancers<sup>44</sup>, providing additional support for the role of tumour mutations and associated neoantigens in the antitumour immune response.

Although tumour somatic mutation burden is largely correlated with clinical response to currently available immunotherapy agents, the neoantigens resulting from somatic mutations are only one component dictating the rate and quality of immune response to immune checkpoint inhibitors. As a result, the association between potential neoantigens and response to immune checkpoint blockade is not linear (FIG. 2) and does not preclude multiple additional factors influencing responses to immune checkpoint inhibitors. For example, in the study discussed above that correlated intratumour mutation burden in melanoma with response to immune checkpoint blockade, there were multiple patients with high mutational burden who did not benefit from CTLA4 blockade, indicating that mutation burden alone is not sufficient to predict benefit<sup>43</sup>. One possible explanation for this varied

therapeutic benefit may be that not all tumour neoantigens are expressed and/or confer increased MHC class I binding. Another potential explanation is that neoantigens may need to be expressed in every tumour cell in order to be targeted, as patients with NSCLC and melanoma whose tumours contain increased clonal neoantigen burden have improved overall survival and increased response to PD1 and CTLA4 blockade<sup>59</sup>. It is also possible that some patients lack T cells that are capable of recognizing the available neoantigen pool, or that capable T cells are present but suppressed by additional or other regulatory mechanisms. Many additional genomic<sup>60</sup> and non-genomic features<sup>61</sup> have recently been described that also contribute to anti-PD1 response patterns. As demonstrated in FIG. 2, there are also some cancers that respond to immune checkpoint blockade at a higher or lower frequency than would be anticipated from mutation burden alone. For example, RCC, despite having a modest mutation burden, was one of the few cancers to show durable responses to the cytokine interleukin-2 (IL-2), the first effective systemic cancer immunotherapy<sup>62</sup>. IL-2 induces the growth and proliferation of T cells and natural killer (NK) cells and acts by expanding pre-existing cancer-targeting effector T ( $T_{\text{eff}}$ ) cells<sup>63</sup>. More recently, RCC also demonstrated high sensitivity to PD1 immunotherapy<sup>64</sup>. Additional research on the RCC tumour microenvironment (TME) is needed to understand why this tumour type responds disproportionately well to current immunotherapies.

MCC is another cancer that illustrates the complexity of the relationship between tumour antigens and the antitumour immune response. MCC is a relatively rare and aggressive cutaneous neoplasm that can either be caused by MCPyV or can form as a result of somatic mutations resulting from ultraviolet light. MCPyV-positive MCCs generally have a low mutation burden, whereas MCPyV-negative MCCs consistently demonstrate a higher number of ultraviolet light-induced somatic mutations<sup>65</sup>. If the absolute number of neoantigens singularly mediated sensitivity to immune checkpoint blockade, one would anticipate that MCPyV-positive MCC would show little sensitivity to such therapies. And yet MCPyV-positive MCCs are characterized by a robust lymphoid infiltrate, high PD1 ligand 1 (PDL1) expression and high sensitivity to immunotherapy<sup>66,67</sup>. In fact, despite having a fraction of the number of somatic mutations, MCPyV-positive MCCs have demonstrated a response rate to PD1 immune checkpoint blockade equivalent to or even higher than that of MCPyV-negative MCC<sup>66</sup>. In the case of MCPyV-positive MCC, MCPyV DNA is clonally integrated into the tumour genome<sup>10</sup>, and viral antigens probably serve as TSAs and induce a robust immune response. This supports the idea that neoantigens derived from viruses can serve as strong immune stimulants and may be an attractive target for cancer immunotherapy in some cancers.

It remains unclear what frequency of somatic mutations generate expressed and targetable neoantigens, what percentage of neoantigens are depleted during tumour progression as a result of immunoediting (discussed in detail below) and ultimately what percentage of human cancers contain candidate neoantigens for successful immune targeting. However, there is still reason to believe that tumours with low mutation burdens continue to express neoantigens and will be susceptible to some forms of immune attack, even if clinical responses with single-agent PD1 immune checkpoint inhibitors are rarer in such tumours. For example, medulloblastoma has one of the lowest mutational burdens of any adult cancer type<sup>68</sup>, yet frequently expresses indoleamine 2,3 dioxygenase 1 (IDO1), an enzyme



implicated in immunosuppression, ostensibly to foster immune escape<sup>69</sup>. Similarly, acute myeloid leukaemia (AML), which has a low mutation burden<sup>68</sup>, often overexpresses PDL1 (REF. 70) as well as IDO1 (REF. 71). In a separate study, the leukaemic cells from 12 of 15 patients with AML were found to suppress T cell proliferation *in vitro* by secreting arginase II, an enzyme that actively converts arginine into urea. Arginine is an important modulator of lymphocyte and monocyte function, indicating that leukaemic cells deplete arginine from the TME in an arginase II-dependent manner to create an immunosuppressive microenvironment. Therefore, leukaemic cells in most patients with AML actively modulate the TME, presumably to avoid immune detection<sup>72</sup>. Although the clinical utility of novel immunotherapies remains undefined in this disease, early studies using the cytokine IL-2 in AML also provide some preliminary clinical evidence that immunotherapy may be successful in tumours with low mutation burdens<sup>73,74</sup>. Recent work from the Rosenberg group<sup>75</sup> further demonstrated that cancers with lower mutational frequencies may be susceptible to immunotherapy. This group successfully identified tumour mutation-specific T cells in 9 of 10 patients with metastatic gastrointestinal tumours, a collection of tumours with relatively modest mutation burden. Collectively, these observations suggest that most adult malignancies have neoantigens, are recognizable by the immune system and are potentially susceptible to immune-targeting interventions. Additional research is needed to determine whether childhood malignancies, which often arise from the dysregulation of developmental pathways and have markedly fewer somatic mutations than adult malignancies<sup>76</sup>, will also be amenable to therapies enhancing the immune recognition of neoantigens.

In cancers with higher mutation burdens, in which clinical benefit is observed more often with immune checkpoint inhibitors, objective clinical responses still occur in only a minority of patients and complete clinical responses in which all measurable tumours completely disappear are rare<sup>47-54</sup>. Combinations of immune checkpoint inhibitors may increase response rates, but such combinations are often limited by treatment-related adverse events associated with immune activation in non-target tissues. For example, in the pivotal phase III clinical trial in melanoma combining the PD1 antibody nivolumab with the CTLA4 antibody ipilimumab, 84% of patients receiving this combination required immunomodulatory agents such as topical or systemic steroids to manage adverse immunological events<sup>51</sup>. Therefore, even in cancers with a high number of mutations, immune checkpoint inhibitors have a relatively small therapeutic index, operating in the narrow space between antitumour immunity and autoimmunity. How to potentiate antitumour immune responses against TSAs in cancers with low or high mutation burdens, without inducing autoimmunity, remains one of the challenges of current immunotherapy research.

## Cancer immunoediting and antigen loss

Increasing evidence suggests that the immune system interacts actively with tumour antigens before tumours become clinically discernible. This process has been proposed to include three phases: elimination, equilibrium and escape, known collectively as cancer immunoediting<sup>77,78</sup> (FIG. 3).

The elimination phase refers to elimination of pre-cancerous lesions via innate and adaptive immune mechanisms. This is implied by evidence that lymphocyte-deficient and interferon- $\gamma$  (IFN $\gamma$ ) receptor (IFNGR)-deficient mice acquire more tumours following exposure to the carcinogen 3'-methylcholanthrene (MCA) when compared with wild-type mice, suggesting that both lymphocytes and IFN $\gamma$  participate in the tumour elimination process<sup>79</sup>. IFN $\alpha$  receptor (IFNAR) expression on dendritic cells (DCs) also seems to be essential for tumour elimination<sup>80</sup>. Tumours are also eliminated by NK cell-induced apoptosis, which is mediated by perforin, granzyme and tumour necrosis factor (TNF)-related apoptosis inducing ligand (TRAIL)<sup>81</sup>. Expression of other molecules, such as the ligands for the activating NK cell receptor D (NKG2D), by tumours results in tumour eradication<sup>82-84</sup>.

Precancerous lesions that are not eliminated proceed to the equilibrium phase, in which the immune system holds the lesion in check and prevents it from becoming clinically detectable. The equilibrium process has been inferred from clinical observations. For example, two patients with renal failure who received kidney transplants from the same donor were placed on immunosuppressants, and both of these patients subsequently developed melanoma<sup>85</sup>. It was later discovered that the donor had a history of excised melanoma but had been clinically cancer-free for 15 years. This suggests that the immune system of the donor had maintained a state of equilibrium with the melanoma for many years, keeping the cancer from becoming clinically detectable until it was transplanted into immunosuppressed recipients. Furthermore, mice treated with MCA without overt evidence of tumour formation, subsequently grew tumours after treatment with antibodies blocking adaptive immunity<sup>86</sup>. This suggests that a robust immune system prevents some precancerous lesions that survive the elimination phase from further growth.

Throughout the equilibrium phase, tumours may undergo a process of editing, in which the most immunostimulatory antigens are lost through the immunoselection of less immunogenic disease clones. This process selects for clones with downregulation of antigen processing and presentation on MHC class I, as well as clones that have silenced or deleted genes that provide antigens targeted by the immune system<sup>33,87-90</sup>. Evidence for immunoeediting first came from the Boon group<sup>91</sup> who showed that tumour escape in the P815 mastocytoma tumour mouse model coincided with the acquisition of tumour clones with antigen loss. The authors also showed that these mice were simultaneously able to eliminate injected tumours formed from clones that had not lost antigens, demonstrating that the host had not become tolerant of the tumour cells but that the tumour had evolved to evade the host immune response. In subsequent experiments, primary MCA-induced sarcomas formed in lymphocyte-deficient mice were rejected when implanted into immunocompetent mice, whereas primary MCA-induced sarcomas formed in wild-type mice continued to grow when implanted into lymphocyte-deficient mice<sup>86,92</sup>. This suggests that tumours growing in immunodeficient mice are more immunogenic, thus demonstrating that immune system-tumour interactions in early tumorigenesis led to tumour immunoeediting and subsequent escape.

Escape refers to how tumours evade immune control and ultimately become clinically discernible. Four mechanisms by which this occurs are: via tumour expression of immunosuppressive molecules and antiphagocytosis signals; via tumour secretion of



immunosuppressive factors; via tumour modulation of metabolism; and via recruitment of immune cells that actively mediate tolerance. Tumours can acquire an immunosuppressive phenotype via expression of PDL1, IDO1 or other immuno-suppressive proteins<sup>93–95</sup> and expression of CD47 to inhibit phagocyte-dependent clearance<sup>96</sup>. Factors secreted by tumours to modulate immune function include vascular endothelial growth factor (VEGF), adenosine, IDO1, IL-10, transforming growth factor- $\beta$  (TGF $\beta$ ) and galectins<sup>97</sup>. Activation of metabolic pathways, such as arginase, inducible nitric oxide synthase (iNOS) and glucose consumption by tumours also leads to immunosuppression in the TME and tumour growth<sup>72,98,99</sup>. Immunosuppressive immune cells such as IDO1-expressing DCs, activated regulatory T (T<sub>reg</sub>) cells and myeloid-derived suppressor cells (MDSCs) are recruited into the TME, leading to tumour tolerance<sup>100,101</sup>. For example, tumours induce DCs in the TME to express IDO1 (REF. 102), resulting in T<sub>reg</sub> cell activation and PD1-dependent T cell suppression by T<sub>reg</sub> cells<sup>100</sup>. MDSCs exert their immunosuppressive function via reactive oxygen species, generated by NADPH oxidase 2 (NOX2, encoded by *CYBB*)<sup>103,104</sup>, and IDO1-expressing DCs require T cells to have functional general control non-derepressible 2 (GCN2; also known as eIF2AK4), a protein kinase that becomes upregulated in response to amino acid deprivation, in order to exert immunosuppressive function<sup>105</sup>. Collectively, this suggests that the immunosuppressive TME and tumour growth are promoted by multiple intricate signals involving the tumour, several different immune cells and varied metabolic pathways.

The popular framework of elimination, equilibrium and escape may not occur as originally described in all cases. For example, tolerance may develop earlier, even in the premalignant phase<sup>106</sup>, and the immune system may fail to have a notable impact on tumour development in some situations<sup>107</sup>. Furthermore, there is no clear distinction between the various phases in tumour progression, as both equilibrium and escape describe the same biological phenomenon of reduced immunogenicity and antigenicity. However, the hypothesis of tumour elimination, equilibrium and escape illustrates the principle that tumours orchestrate a complex signalling network to induce immune tolerance. Neoantigen-directed immunotherapies seek to overcome the immunosuppressive mechanisms that have been established by tumours, by targeting the neoantigens that have not been eliminated during this process.

## A framework for targeting neoantigens

Although neoantigens are appealing targets for cancer immunotherapies, most neoantigens arise from unique mutations and are not shared between different patients. Thus, neoantigen-directed immunotherapy will probably need to be personalized. Until recently, the discovery of neoantigens relied on classic screening approaches that were highly labour intensive and impractical on a large scale. However, with the advent of relatively inexpensive next-generation sequencing, it is now possible to systematically predict cancer neoantigens for individual patients<sup>7,8</sup> (FIG. 4).

## Approaches to predicting and prioritizing neo-antigens

Tumour-specific somatic mutations can be identified by comparing DNA sequences isolated from tumour and matched normal host cells using MPS<sup>2,3,75</sup>. Of the approximately 3 billion nucleotides in the human genome, only a small percentage (30 Mb or 1%) of these nucleotides code for known expressed genes (the exome)<sup>108,109</sup>. Therefore, sequencing only the functional exome can significantly reduce costs and minimize the complexity of the analysis. Detailed information describing whole-exome sequencing can be found elsewhere<sup>108,109</sup>. Briefly, the process begins with extraction of DNA from host and tumour cells and breakdown of the DNA into fragments. Coding fragments of DNA are sequestered with artificial DNA or RNA baits that are complementary to the targeted DNA, and the noncoding sequences are discarded. The coding sequences are then amplified, sequenced and analysed using a reference genome. The DNA mutations can subsequently be constructed into an altered sequence of amino acids by matching each DNA codon with its corresponding amino acid, providing the initial data required to prioritize tumour neoantigens.

Multiple approaches have been used to prioritize these candidate neoantigens. Dedicated software algorithms have been developed that attempt to predict how these aberrant proteins will be processed into smaller peptides through proteasomal cleavage<sup>110</sup> and which peptides will be transported into the endoplasmic reticulum by transporter associated with antigen processing (TAP)<sup>111,112</sup> (FIG. 1). However, such algorithms are not reliable at present, and for this reason algorithms based on proteasomal processing and TAP transport are not a preferred approach. Currently, the best established and most promising tool for identifying T cell neoepitopes are software algorithms designed to predict MHC/HLA binding affinity<sup>113–115</sup>. However, there are some challenges with using current MHC/HLA binding predictions. First, human tumour cells, like normal cells, can express up to six MHC class I molecules and binding affinities cannot be predicted for all MHC class I molecules. Second, as current approaches rely on whole-exome sequencing, they can reliably predict only neoantigens arising from point mutations and insertions and/or deletions of 8–10 nucleotides or smaller present in the exome<sup>116</sup>. Therefore, they cannot predict certain neo-antigens arising from long insertion and/or deletion variants, splicing aberrations, gene fusions, epigenetic alterations and post-translational modifications such as phosphorylation or deglycosylation. Lastly, predicting binding affinities for MHC class II molecules has proved more difficult, as these molecules present longer sequences of amino acids (11–20 amino acids, or even longer, as the peptide-binding groove is open, unlike that of MHC class I) than MHC class I molecules (8–11 amino acids)<sup>117,118</sup>. As a result, the predictive performance is generally not as reliable<sup>119</sup>. The need for improved predictive algorithms for MHC class II molecules, which present exogenous antigens to CD4<sup>+</sup> T cells, has received renewed attention because of recent evidence that CD4<sup>+</sup> T cells recognize a higher number of neoantigens than was previously appreciated and, moreover, can generate potent antitumour activity<sup>120,121</sup>.

Using current MHC/HLA binding prediction algorithms, a significant fraction of mutations have been predicted to generate peptides that will bind to MHC class I molecules. In 2008, Segal *et al.*<sup>3</sup> predicted that the average human breast or colon cancer would carry 40–60

neoantigens and that approximately 10% of somatic mutations generate peptides that bind to MHC class I molecules. This estimation does not take into account certain factors that might limit peptide generation, transport and MHC binding, such as processing by the proteasome and by peptidases<sup>110–112</sup>. But how many of these predicted neoantigens are bona fide neoantigens that can be recognized by patients' T cells? The precise answer is not known, but it is clear that in most cases only a small fraction of predicted neoepitopes are recognized by T cells from the patient<sup>122,123</sup>. For example, Robbins *et al.*<sup>124</sup> predicted 229 tumour-specific neoepitopes across three patients with melanoma using NetMHC, an MHC class I binding prediction algorithm. However, T cell immune responses were detected to only 11 (4.8%) of these neoepitopes. Despite these limitations, selection based on predicted binding affinity does seem to enrich for true neoepitopes that can be recognized by T cells from the patient. For example, Fritsch *et al.*<sup>125</sup> and van Buuren *et al.*<sup>126</sup> retrospectively applied a prediction algorithm to try to predict naturally recognized tumour neoepitopes that are associated with immune responses. Both groups found that most neoepitopes were successfully predicted to have strong or moderate binding affinity using current prediction algorithms. These studies suggest that predictive algorithms demonstrate high sensitivity (and therefore would not be expected to overlook many neoepitopes); however, the specificity of these algorithms, that is, their accuracy in correctly identifying bona fide neoantigens that can be recognized by the T cells of the patient, is difficult to assess. The simultaneous use of multiple algorithms averaged together into a single score to prioritize neoantigens might improve the specificity of available algorithms.

Several other tools have been explored to improve the reliability of available algorithms. One explanation for the low success rate of available MHC class I binding prediction algorithms such as NetMHC is that most neoepitopes will have non-mutated versions that also bind to MHC class I molecules. Therefore, T cells that would potentially react to the neoepitopes may be depleted or tolerized by the non-mutated counterpart of the antigen. To select for neoantigens with peptide-binding determinants that are most dissimilar from their non-mutated counterparts and are therefore more likely to be recognized by the patient's T cells, Duan *et al.*<sup>127</sup> recently created a novel algorithm that they named the "differential agretopicity index" (DAI; where agretopicity is the ability of a peptide to bind to MHC) that subtracts the NetMHC scores of the non-mutated form of the neoepitope from the corresponding NetMHC scores of the predicted neoepitope. Interestingly, whereas Fritsch *et al.*<sup>125</sup> suggest that neoepitopes are more commonly generated from mutations in residues in exposed regions of the peptides (these TCR-exposed regions are crucial for the interaction with the TCR), Duan *et al.*<sup>127</sup> found that amino acid substitutions of residues that anchor peptides within MHC molecules make the biggest contributions (both positive and negative) to the DAI. A separate tool for validating presented neoantigens has been to confirm the presentation of predicted epitopes using mass spectrometry. In a recent report of this approach, only a small fraction of predicted high-binding peptides were confirmed by mass spectrometry<sup>122</sup>. This indicates that the sensitivity of the peptide purification and mass spectrometry is not sufficient to detect certain antigens. Moreover, reliance on mass spectrometry is probably too impractical for clinical use.

Interestingly, Carreno *et al.*<sup>123</sup> observed a much higher hit rate than other reported studies. This study has key differences compared with others. First, this group used a more

complicated predictive approach and included physical peptide-binding studies. Therefore, they confirmed that the peptides they used bound to their predicted HLA class I molecules. Second, they immunized the three patients with advanced melanoma with seven selected peptides. This is unique in that no other study has used lymphocytes from vaccinated individuals. In each of the three patients, they saw T cells specific for one of the seven peptides before vaccination and three of the seven peptides after vaccination. More specifically, they found that even for neoepitopes that had pre-existing T cell responses, the T cell repertoire expanded after vaccination and that for neoepitopes that were undetectable before vaccination T cell responses were induced. This study demonstrates that vaccination can induce neoantigen-specific T cell responses that do not occur naturally. Thus, neoantigens can be divided into two broad categories: ‘dominant’ neo-antigens that spontaneously induce immune responses and ‘subdominant’ neoantigens that do not naturally induce immune responses but instead require immunization to induce a response against them. At this point, as most studies have not focused on vaccinated patients, it remains somewhat unclear how common subdominant neoantigens are or how useful they are as tumour antigen targets; the work of Carreno *et al.*<sup>123</sup> proves only that they exist. However, if the dominant neoantigens of a tumour are eliminated as a result of immunoediting, subdominant neoantigens may provide an attractive target for immunotherapies, as long as they are processed and presented by HLA molecules on the surface of tumour cells.

### Therapeutic approaches for targeting predicted neo-antigens

Multiple approaches for targeting personalized neoantigens have been proposed, but the two approaches that are best established are ACT using neoantigen-specific T cell products and personalized vaccines encoding predicted neoantigens. Antibody-based therapies, including bispecific T cell-engaging antibodies<sup>128–130</sup> and CAR T cells<sup>27,28,131,132</sup>, are also potent immunotherapies with remarkable therapeutic activity against certain TAAs. Although such therapies are currently used only to target extracellular proteins, they could theoretically be designed to target intracellular tumour antigens presented on the cell surface in the context of MHC by specifically engaging the peptide–MHC complex on the cell surface<sup>133</sup>. However, the process of antibody design is currently too impractical and costly for widespread use targeting individual tumour neoantigens.

In 2014, the Rosenberg group<sup>121</sup> provided proof of principle for neoantigen-specific ACT by successfully inducing partial regression of a metastatic cholangiocarcinoma by infusing neoantigen-specific CD4<sup>+</sup> T cells against a neoantigen identified by whole-exome sequencing. The cholangiocarcinoma of this patient had only 26 nonsynonymous mutations, again supporting the idea that tumours with modest numbers of neoantigens are susceptible to neoantigen-specific immunotherapy approaches. More recently, the Rosenberg group<sup>18</sup> successfully treated a patient with KRAS-mutant colorectal cancer with an enriched population of CD8<sup>+</sup> T cells that reacted to the specific KRAS mutation expressed by the colorectal cancer. The patient had a partial response upon therapy that lasted approximately 9 months, at which point one of the lung metastases of the patient began to increase in size. Upon resection of the single progressing lung lesion, it was revealed that the progressing lesion contained the targeted KRAS mutation but had acquired a defect in antigen

presentation, thereby providing a direct mechanism of immune evasion by the progressing lesion. Although autologous T cell transfer has shown promise, recent work from Strønen *et al.*<sup>134</sup> suggests that ‘outsourced’ exogenous T cells obtained from healthy blood donors, rather than endogenous patient T cells, may broaden neoantigen-specific T cell reactivity and enable the targeting of neoantigens that have not been recognized by the patient’s own immune system. This study showed that T cells from healthy donors were able to specifically recognize 11 of 57 predicted neoepitopes across three patients, supporting the idea that T cell repertoires from healthy donors can provide a rich source of T cells and can enable personalized immunotherapy, independently of endogenous immune function.

Personalized vaccines, designed to present the neo-antigen or neoantigens coupled to an adjuvant to prime and activate DCs, could also be used to selectively target predicted neoantigens. Although multiple therapeutic vaccines have entered clinical development, most have failed in isolation to demonstrate objective clinical responses when administered to humans with established tumours. At this point, a survival benefit has conclusively been demonstrated in humans for only one therapeutic vaccine, sipuleucel-T, an autologous DC-based vaccine approved for the treatment of castration-resistant metastatic prostate cancer<sup>135</sup>. Many therapeutic cancer vaccines probably failed because of flawed antigen targets (such as antigens with low immunogenicity, low specificity or low expression level on tumour cells<sup>136</sup>) or weak adjuvants. Novel adjuvants that activate specific innate immune responses, including Toll-like receptor (TLR) and stimulator of interferon genes (STING) pathways, to enhance antigen-specific immune responses are under clinical development<sup>137,138</sup>. However, the failure of so many therapeutic cancer vaccines may also imply a barrier to antitumour immunity, or tolerance, that in most cases cannot be overcome with vaccination alone<sup>22</sup>. We and others have shown that antitumour vaccines can increase tumour-infiltrating CD8<sup>+</sup> T<sub>eff</sub> cells, but these cells produce IFN $\gamma$ , leading to upregulation of the PD1–PDL1 pathway and other immunosuppressive pathways<sup>139,140</sup>. Thus, the upregulation of immunosuppressive regulatory mechanisms by cancer vaccines may be one reason why many cancer vaccines in isolation have been unsuccessful in demonstrating objective clinical responses in clinical trials and why cancer vaccines need to be re-examined in combination with immune checkpoint inhibitors or other therapies that modify the TME. Multiple preclinical models have demonstrated the potential for synergy between antitumour vaccines and immune checkpoint inhibitors<sup>141–144</sup>, and clinical trials combining therapeutic vaccines with immune checkpoint inhibitors are now ongoing.

It remains unclear which immune-based therapy or combinations of immune-based therapies will be most effective in combination with neoantigen-specific vaccines or ACT in overcoming the immunosuppressive mechanisms within the TME and enhancing immune responses. Two classes of immune checkpoint inhibitor are approved for clinical use at this time (inhibitors of the CTLA4 and PD1–PDL1 pathways); however, multiple additional immune checkpoint inhibitors are in clinical development (see Topalian *et al.*<sup>145</sup>). In addition to traditional immune checkpoints, many other negative feedback loops within the TME have recently been identified, and multiple novel immunotherapies are now in clinical development alone or in combination with traditional immune checkpoint inhibitors. Examples of other TME targets that may synergize with neoantigen-specific therapies and immune checkpoint inhibitors include inhibitors of IDO1 (REF. 146), adenosine signalling

through the adenosine A2A receptor (A2AR)<sup>147</sup> and other metabolic signalling pathways<sup>97</sup>, tumour extracellular matrix and stromal inhibitors<sup>148</sup> and MEK inhibitors<sup>149</sup>. Clinical trials are needed to identify the most effective combinations of immune-based therapies for each tumour type. Eventually, it may become possible to personalize not only the neoantigen-targeted therapy, but also the selection of other immunotherapies targeting the TME based on tumour type or even on an individual patient basis. However, this type of personalized approach to immunotherapy may require improved biomarkers of response beyond those such as PDL1 expression patterns that are in clinical use today<sup>150</sup>. In summary, neoantigen-specific therapy may be significantly enhanced by combining such agents with immune checkpoint inhibitors, immune adjuvants and TME modulators, with the eventual goal of converting all cancers to be responsive to immunotherapy.

## Perspectives for clinical translation

In the past decade, there has been an astounding growth in our understanding of the role of immunity in cancer and a rapid clinical uptake of novel immunotherapies in the care of cancer patients. By specifically targeting tumour neoantigens, it may be possible to maintain or improve on the antitumour effects of current immunotherapies while minimizing off-target toxicities. Therefore, the targeting of tumour neoantigens may be more specific, more effective and less toxic than other cancer immunotherapy approaches. However, clinical trials are needed to demonstrate the superiority of this approach and to rule out unanticipated autoimmune side effects. Many challenges persist, and several key questions remain unanswered.

One practical concern that must be addressed before such therapies could enter mainstream use will be that of cost. Although personalized neoantigen-directed immunotherapies may already be technically feasible, this approach represents a massive undertaking for the care of a single patient, at a time when there is an increasing focus on value in cancer care. Because the approach described here will be customized for an individual patient, it is intrinsically more expensive than off-the-shelf pharmaceutical drugs developed for larger groups of patients. However, technologies for neoantigen sequencing and interpretation are improving rapidly. The cost of whole-exome sequencing has already fallen from several hundred million US dollars for the first human genome as part of the Human Genome Project, to approximately \$10 million in 2007 and to less than \$1,000 today. There is reason to hope that the entire process of neoantigen identification and targeting could eventually become scaled and automated to reduce costs. Additionally, if this approach results in durable clinical responses, the value of this therapy, a measure that incorporates not only cost but also efficacy<sup>151</sup>, would probably be acceptable. Increased funding for personalized medicine and institutional infrastructures to accommodate personalized cancer therapies will help to accelerate the development of such approaches. A separate challenge is that regulatory agencies may be unprepared to evaluate neoantigen-directed therapies, because each personalized neoantigen therapy could theoretically carry its own risks and benefits. This approach is a significant departure from the current model of drug development, and regulatory processes are not currently tailored to individualized therapies.



Tumour heterogeneity also presents a clinical challenge. A single core tumour biopsy may underestimate the total number of antigen clones present in the tumour<sup>152</sup>, and treatments with antigen-directed therapies can select for tumour clonal variants that lack the target antigen and can therefore escape the directed immune response. This is exemplified by recent clinical trials of CAR T cells or bispecific antibodies targeting CD19 in ALL. In these trials, many patients who initially responded to such therapies eventually relapsed with CD19-negative ALL<sup>28,89,90,130</sup>. Therefore, targeting a single antigen may lead to relapsed disease via tumour evasion of immune control, which as a consequence restores immune tolerance. The main mechanisms of acquired tumour resistance to immune checkpoint inhibitors have only recently been investigated. In some cases, acquired defects in pathways involved in IFN-receptor signalling or antigen presentation may explain disease relapse in patients who initially respond to such therapies<sup>88</sup>. Somewhat paradoxically, we anticipate that tumours with higher levels of genomic instability and high mutation burdens may be the most likely to initially respond to immune checkpoint inhibitors, but would also be expected to display more tumour heterogeneity and have a greater propensity to acquire resistance to targeted immunotherapies. The idea that cancer can acquire resistance to targeted therapies through the clonal evolution of resistant variants of disease is not new. For example, it has been recognized for many decades that selective pressure on oestrogen-positive breast cancers with anti-oestrogen therapies can result in loss of sensitivity to anti-oestrogens<sup>153</sup> and more recently that cancers can acquire resistance to tyrosine kinase inhibitors<sup>154</sup>. These observations have led to the development of novel inhibitors that overcome resistance to primary therapy and combinations of therapies that reduce the chance of resistance (as with the addition of palbociclib to reduce resistance to endocrine therapy in breast cancer<sup>155</sup> or the addition of the MEK inhibitor cobimetinib to the BRAF inhibitor vemurafenib in BRAF-mutant melanoma<sup>156</sup>). Similar approaches to combination therapy, through the concomitant targeting of multiple neoantigens may eventually be needed to prevent resistance to neoantigen-directed immunotherapy. Targeting of tumour driver mutations that are essential for carcinogenesis, whenever feasible to do so, may also improve the chance of a durable clinical response. Finally, the presumption that tumour heterogeneity increases as tumours progress presents a strong biological argument for treating patients as early in the course of their disease as possible, when tumour heterogeneity is at its lowest.

In conclusion, the best approach for predicting neo-antigens is unknown. So far, the most useful tool for identifying T cell neopeptides are algorithms designed to predict MHC class I/HLA binding affinity. However, improvements in current prediction algorithms are needed to predict certain types of neoantigen, including those that form MHC class II antigens, as well as proteins arising from gene fusions and neoantigens arising from errors in translation. Clinical trials will also need to establish the best platform for delivering neoantigen-specific immunotherapy. Finally, to maximize immunity, we will need novel approaches to overcome immunosuppressive mechanisms in the TME that can inhibit neoantigen-specific immune responses. We anticipate steady progress in all of these areas as we build on our current understanding of the immune system and the mechanisms that occur in tumours to subvert the immune system and to escape detection and destruction.

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## Glossary

### Passenger mutations

Mutations that have no effect on the fitness of the cell, and are therefore not implicated in oncogenesis.

### Driver mutations

Mutations that cause a selective advantage to a cell clone and are therefore causally implicated in oncogenesis.

### Nonsynonymous mutations

Point mutations and missense mutations that alter the amino acid sequence of proteins.

### Major histocompatibility complex (MHC)

Cell surface proteins that bind to short sequences of amino acids (epitopes) and display them on the cell surface for recognition by the immune system. MHC class I is present on the surface of virtually all nucleated cells including tumour cells and presents intracellular antigens to CD8<sup>+</sup> T cells; MHC class II is present on antigen presenting cells and presents exogenous antigens to CD4<sup>+</sup> T helper cells.

### Neoantigens

Mutations in the tumour genome can cause tumours to express mutant proteins that are tumour specific and not expressed on normal cells — referred to as neoantigens.

### Objective response rates (ORRs)

ORR is a common efficacy end point used in clinical trials of cancer therapies in solid tumours, usually defined as the percentage of patients who experience at least a 30% decrease in tumour diameter on an imaging scan.

### Central and peripheral tolerance

A state in which immune cells are unresponsive to antigens as a result of clonal deletion of autoreactive B cells and T cells, as well as the dampening of potentially autoreactive B cells and T cells through regulatory immune cells and subsequent downregulation of costimulatory molecules.

### Chimeric antigen receptor (CAR) T cells

T cells that are modified to express an antigen recognition domain of a specific antibody fused to an intracellular signalling domain.

### Adoptive cell therapy (ACT)

An immunotherapy treatment in which antitumour lymphocytes are identified, expanded *in vitro* and then infused into a patient with cancer. The administered antitumour lymphocytes can be autologous (patient's own) or allogeneic (donor).

**Microsatellite instability (MSI)**

Increased propensity for changes in microsatellite (short tandem repeat) sequences resulting from defects in DNA mismatch repair.

**Immunogenic**

The ability to induce an immune response.

**Adjuvant**

An immunostimulatory agent designed to enhance the immune response to a vaccine.

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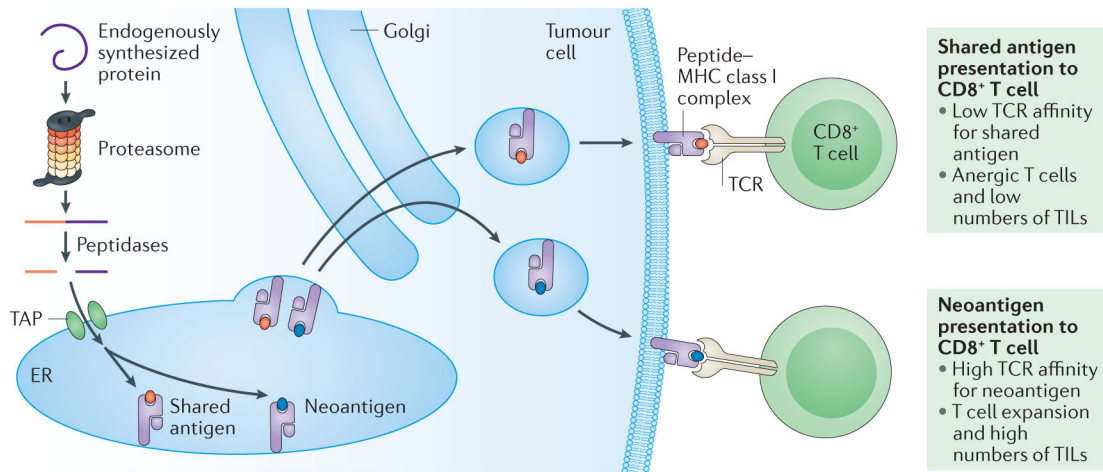


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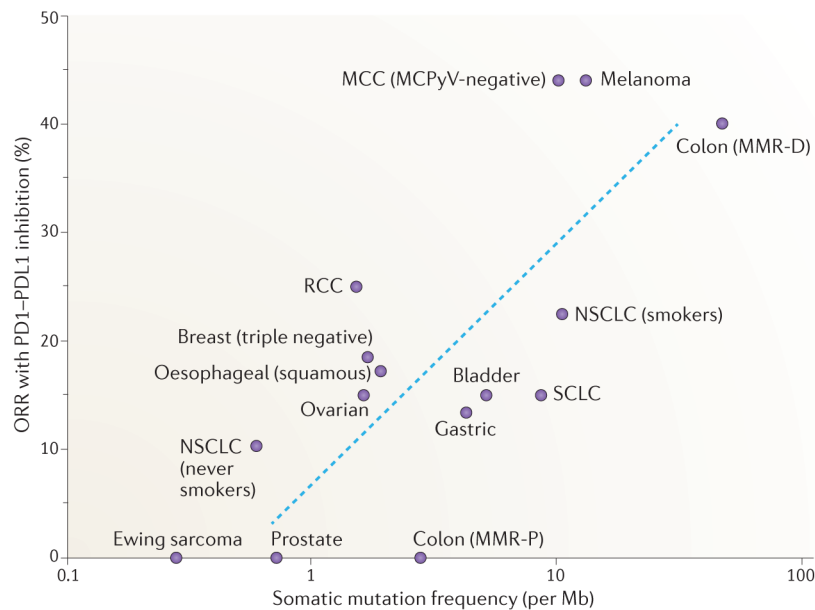
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### Figure 1. Tumour antigen processing and presentation on MHC class I

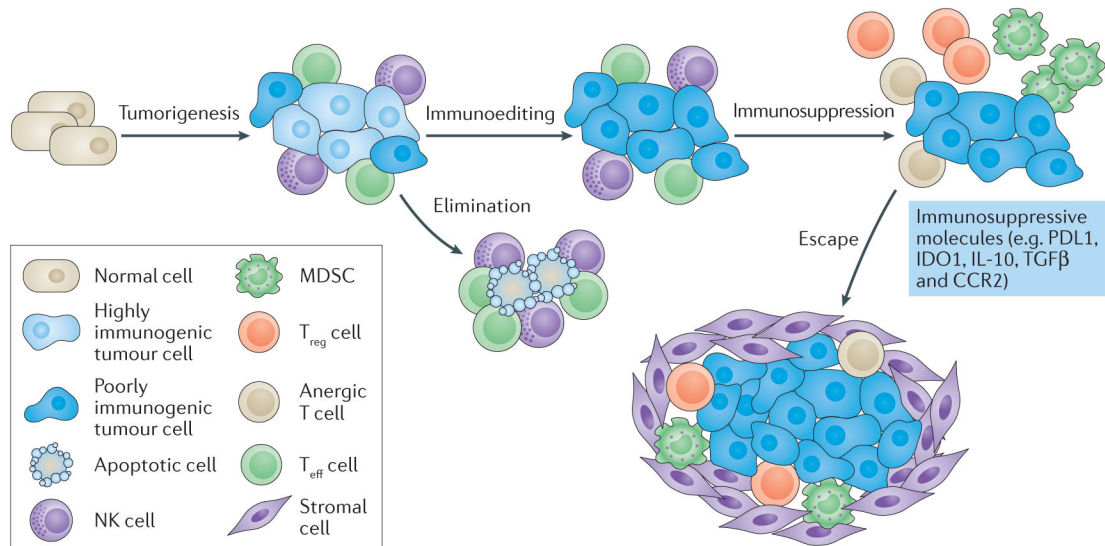
To destroy established cancers, CD8<sup>+</sup> T effector cells must recognize antigens displayed by major histocompatibility complex (MHC) class I molecules on tumour cells. This process begins with the ubiquitylation and proteasome degradation of endogenously synthesized proteins in the tumour cell into shorter sequences of 8–11 amino acids. These smaller peptides may undergo further cleavage by peptidases (including aminopeptidases and carboxypeptidases) in the cytosol and also in the endoplasmic reticulum (ER). Peptides enter the ER by way of the transporter associated with antigen processing (TAP) complex. In the ER, these peptides bind with variable affinities to MHC class I. Together, the peptide MHC class I complexes are delivered to the plasma membrane through the Golgi complex, where the peptide can be recognized by CD8<sup>+</sup> cytotoxic T cells. Although some T cells do recognize antigens shared between both normal and tumour cells, T cell receptors (TCRs) typically bind with higher affinity to neoantigens<sup>23,24</sup>, and tumours expressing higher numbers of neoantigens are more likely to induce immune-mediated tumour elimination. The processing and presentation of exogenous antigens to CD4<sup>+</sup> T helper cells via MHC class II on professional antigen presenting cells (APCs) follows somewhat similar steps, but MHC class II presents longer sequences of amino acids (11–20 amino acids or longer)<sup>118,119</sup>. TILs, tumour-infiltrating lymphocytes.



**Figure 2. Correlation of tumour somatic mutation frequency with objective response rates to immune checkpoint blockade**

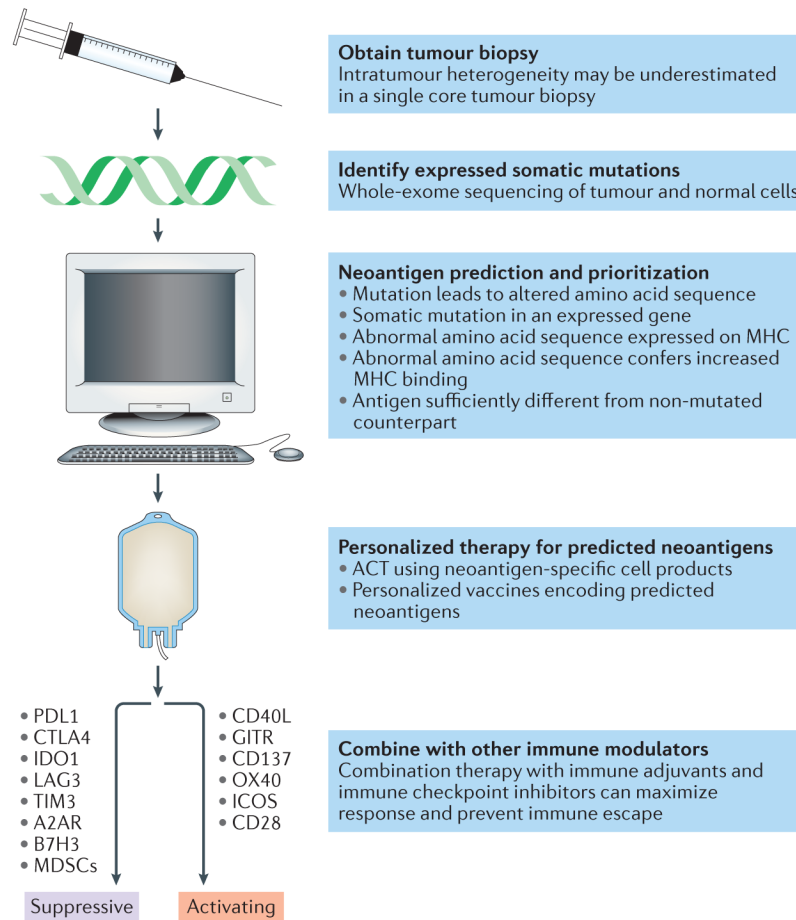
The full potential of programmed cell death protein 1 (PD1)–PD1 ligand 1 (PDL1) checkpoint inhibitors remains undefined; however, from the available clinical data there is a positive correlation between tumour somatic mutation frequency and clinical benefit, measured by the objective response rate (ORR). The ORR is defined in the context of these clinical studies as the proportion of patients who achieved 30% decrease in the sum of the longest diameters of target tumours based on modified Response Evaluation Criteria in Solid Tumours (RECIST)<sup>157</sup>. Here, we show the somatic mutation frequency (somatic mutations per megabase) and ORR to single-agent PD1 or PDL1 inhibition across multiple solid tumours of non-viral origin for which clinical and sequencing data are available. Tumours with the highest somatic mutation rates (mismatch repair-deficient (MMR-D) colon cancer, Merkel cell polyomavirus (MCPyV)-negative Merkel cell carcinoma (MCC), melanoma and non-small-cell lung cancer (NSCLC) in current or prior tobacco smokers) have amongst the highest ORRs to PD1 PDL1 inhibition (23–44%), whereas tumours with lower mutation rates have demonstrated less frequent responses. These data are obtained from a diverse group of clinical trials conducted at different stages of disease and included are some early-stage exploratory clinical trials, which probably accounts for some of the variability that is observed. However, extrapolating from these data, we can predict the ORR to single-agent PD1–PDL1 blockade for any tumour type with the formula  $ORR (\%) = 0.08 \times \ln(x) + 9$ , where  $x$  is the somatic mutation frequency per Mb of DNA. The blue dashed line on the graph represents the line of best fit calculated from the formula. Many PD1–PDL1 inhibitor trials are ongoing and will further clarify the slope of this correlation. MMR-P, mismatch repair proficient; RCC, renal cell carcinoma; SCLC, small-cell lung cancer.





### Figure 3. Cancers acquire immune tolerance

During tumorigenesis, cancers acquire genetic changes that result in the presentation of neoantigens. Innate immune cells and primed adaptive immune cells recognize neoantigens and work together to eliminate the newly formed cancer cells. Cancers that survive the initial elimination phase enter an equilibrium phase in which the tumour remains at a stable size. During this phase, some of the most immunogenic neoantigens are edited out as the immune system selects for less immunogenic disease clones. This process selects for clones with downregulation of antigen processing and presentation on major histocompatibility complex (MHC) class I, as well as clones that have silenced or deleted genes that provide antigens targeted by the immune system. Cancers further avoid immune recognition and destruction through the downregulation of immune activation pathways (including CD137, OX40, CD40 and CD40 ligand (CD40L)), the upregulation of immunosuppressive pathways (including programmed cell death protein 1 ligand 1 (PDL1), PDL2, lymphocyte activation gene 3 (LAG3), T cell immunoglobulin mucin receptor 3 (TIM3), indoleamine-2,3-dioxygenase 1 (IDO1), cytotoxic T lymphocyte-associated antigen 4 (CTLA4)), and changes in expression of cytokines and chemokines or their receptors. Tumours also induce the production of immunosuppressive cytokines (for example, transforming growth factor- $\beta$  (TGF $\beta$ ), interleukin-10 (IL-10) and vascular endothelial growth factor (VEGF)) and recruit immune cells that actively mediate tolerance (e.g. myeloid-derived suppressor cells (MDSCs), regulatory T (T<sub>reg</sub>) cells). Activation of metabolic pathways, such as arginase, adenosine, inducible nitric oxide synthase (iNOS) and glucose consumption by tumours, also leads to immunosuppression in the tumour microenvironment. The remaining T effector (T<sub>eff</sub>) cells in this immunosuppressive tumour microenvironment become anergic (unresponsive and cannot be activated by antigen) and exhausted. As solid tumours begin to grow, they further impair T<sub>eff</sub> cells by inducing a stroma, a physical barrier for the immune system that consists of fibroblasts, endothelial cells and other cell types. At this point cancer begins to ‘escape’ the immune system and become clinically evident. CCR2, C-C motif chemokine receptor 2; NK, natural killer. This figure has been adapted from REF. 77, Macmillan Publishing Limited.



**Figure 4. A framework for identifying and targeting tumour neoantigens**

Whole-exome sequencing is carried out on tumour cells and matched normal tissue to identify the somatic mutations expressed in the tumour cells. Software algorithms designed to predict major histocompatibility complex (MHC)/human leukocyte antigen (HLA) binding affinity are then used to prioritize which predicted neoantigens are most attractive for immune targeting. Attributes that may be considered during the selection of a neoantigen target are listed. Next, neoantigen vaccines or cellular therapies are used to target the predicted neoantigens. Such therapies should be combined with immune checkpoint inhibitors and other immune-based therapies to overcome immunosuppressive mechanisms in the tumour microenvironment that inhibit neoantigen-specific immune responses. A2AR, adenosine A2A receptor; ACT, adoptive cell therapy; B7H3, also known as CD276; CD137, also known as 4-1BB; CD40L, CD40 ligand; CTLA4, cytotoxic T lymphocyte-associated antigen 4; GITR, glucocorticoid-induced tumour necrosis factor receptor family related protein; ICOS, inducible T cell co stimulator; IDO1, indoleamine-2,3-dioxygenase 1; LAG3, lymphocyte activation gene 3; MDSCs, myeloid derived suppressor cells; PDL1, programmed cell death 1 ligand 1; TIM3, T cell immunoglobulin mucin receptor 3.

**Table 1**

## Broad categories of tumour antigen

Antigen type	Description	Examples of antigen type	Examples of approved immunotherapies for target antigen
Tumour-specific antigens <sup>8,9</sup>	<ul style="list-style-type: none"> <li>Completely absent from normal host cells</li> <li>Arise in cancer cells from oncogenic viral proteins or nonsynonymous somatic mutations</li> </ul>	<ul style="list-style-type: none"> <li>HPV oncoproteins E6 and E7 (HPV-associated cancers of the cervix, anus and oropharynx)<sup>11,12</sup></li> <li>Individual KRAS mutations (pancreatic, colon, lung and various other cancers)<sup>18,19</sup></li> </ul>	None approved, multiple in clinical development
Tumour-associated antigens <sup>9</sup>	<ul style="list-style-type: none"> <li>Low levels of expression on normal host cells</li> <li>Disproportionately expressed on tumour cells</li> <li>Often result from genetic amplification or post-translational modifications</li> <li>Can be selectively expressed by the cell lineage from which the cancer evolved</li> </ul>	<ul style="list-style-type: none"> <li>ERBB2 (some breast cancers and various other cancers)<sup>158</sup></li> <li>Mesothelin (pancreatic cancer and mesothelioma)<sup>159–161</sup></li> <li>CD19 on B cell malignancies<sup>27,28</sup></li> </ul>	<ul style="list-style-type: none"> <li>Sipuleucel-T (anti-PAP vaccine, prostate cancer)<sup>135</sup></li> <li>Blinatumomab (CD19–CD3 bispecific antibody, ALL)<sup>130</sup></li> </ul>
Cancer/testis antigens <sup>13,14</sup>	<ul style="list-style-type: none"> <li>Absent on normal adult cells, except in reproductive tissues (e.g. testes, fetal ovaries and trophoblasts)</li> <li>Selectively expressed by various tumour types</li> </ul>	<ul style="list-style-type: none"> <li>MAGE (various cancers)<sup>162</sup></li> <li>NY-ESO-1 antigen (various cancers)<sup>163</sup></li> </ul>	None approved, multiple in clinical development

ALL, acute lymphoblastic leukaemia; HPV, human papillomavirus; MAGE, melanoma-associated antigen; PAP, prostatic acid phosphatase.