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## Targeting the phosphoinositide 3-kinase (PI3K) pathway in cancer

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### Abstract

The phosphoinositide 3-kinase (PI3K) pathway, a critical signal transduction system linking oncogenes and multiple receptor classes to many essential cellular functions, is perhaps the most commonly activated signaling pathway in human cancer. This pathway thus presents both an opportunity and a challenge for cancer therapy. Even as inhibitors that target PI3K isoforms and other major nodes in the pathway including AKT and mTOR reach clinical trials, major issues remain. Here we highlight recent progress made in our understanding of the PI3K pathway and discuss both the promises and challenges for the therapeutic development of agents targeting the PI3K pathway in cancer.

### Introduction

Since its discovery in the 1980s, the family of lipid kinases termed phosphoinositide 3-kinases (PI3Ks) has been found to play key regulatory roles in many cellular processes including cell survival, proliferation and differentiation<sup>1-3</sup>. As major effectors downstream of receptor tyrosine kinases (RTKs) and G protein coupled receptors (GPCRs), PI3Ks transduce signals from various growth factors and cytokines into intracellular messages by generating phospholipids, which in turn activate the serine/threonine kinase AKT and other downstream effector pathways (FIG. 1). The tumor suppressor PTEN (phosphatase and tensin homolog deleted from chromosome 10) is the most important negative regulator of the PI3K signaling pathway<sup>4, 5</sup>. Recent human cancer genomic studies have revealed that many components of the PI3K pathway are frequently targeted by germline or somatic mutations in a broad spectrum of human cancers. These findings, and the fact that PI3K and other kinases in the PI3K pathway are highly suited for pharmacologic intervention, make this pathway one of the most attractive targets for therapeutic intervention in cancer<sup>6</sup>.

### Pathway background

PI3Ks have been divided into three classes according to their structural characteristics and substrate specificity<sup>7, 8</sup>(FIG. 2a). Of these, the most commonly studied are the class I enzymes that are activated directly by cell surface receptors. Class I PI3Ks are further divided into class IA enzymes, activated by RTKs, GPCRs and certain oncogenes such as the small G protein Ras, and class IB enzymes, regulated exclusively by GPCRs.

### Class IA PI3Ks

Are heterodimers consisting of a p110 catalytic subunit and a p85 regulatory subunit (FIG. 2a). The regulatory subunit mediates receptor binding, activation, and localization of the

enzyme. In mammals, there are three genes, *PIK3R1*, *PIK3R2* and *PIK3R3*, encoding p85 $\alpha$  (and its splicing variants p55 $\alpha$  and p50 $\alpha$ ), p85 $\beta$  and p55 $\gamma$  regulatory subunits, respectively, collectively called p85 (reviewed in REF<sup>1, 2</sup>). In response to growth factor stimulation and the subsequent activation of RTKs, PI3K is recruited to the membrane via interaction of its p85 subunit to tyrosine phosphate motifs on activated receptors directly (e.g. PDGFR) or to adaptor proteins associated with the receptors (e.g. insulin receptor substrate 1, IRS1). The activated p110 catalytic subunit generates phosphatidylinositol-3,4,5-trisphosphate, PI(3,4,5)P<sub>3</sub>, which in turn activates multiple downstream signaling pathways (FIG. 1 and 2b).

### Class IB PI3K

Is a heterodimer composed of a catalytic subunit p110 $\gamma$  and a regulatory subunit p101<sup>8</sup> (FIG. 2a). Two new regulatory subunits, p84 and p87PIKAP, have been recently described<sup>9, 10</sup>. p110 $\gamma$  is activated directly by GPCRs through interaction of its regulatory subunit with the G $\beta\gamma$  subunit of trimeric G proteins<sup>8</sup>. p110 $\gamma$  is mainly expressed in leukocytes but is also found in the heart, pancreas, liver and skeletal muscles<sup>11-13</sup>.

### Class II PI3Ks

Consist of a single catalytic subunit, which preferentially uses PI or PI(4)P as substrates<sup>1, 2</sup> (FIG. 2a). There are three class II PI3K isoforms, PI3KC2 $\alpha$ , PI3KC2 $\beta$  and PI3KC2 $\gamma$ , which can be activated by RTKs, cytokine receptors, and integrins; however, the specific cellular functions of this family remain unclear.

### Class III PI3K

Consists of a single catalytic Vps34 subunit (homolog of the yeast vacuolar protein-sorting defective 34). Vps34 only produces PI(3)P, an important regulator of membrane trafficking (reviewed in REF<sup>1</sup>), and has been shown to function as a nutrient-regulated lipid kinase mediating signaling through mTOR (mammalian target of mTOR), indicating a potential role in regulating cell growth<sup>14</sup>. Interestingly, it has also been implicated as an important regulator of autophagy (reviewed in REF<sup>14</sup>), a cellular response to nutrient starvation.

### PTEN

The phospholipid PI(3,4,5)P<sub>3</sub> generated by activated class I PI3Ks is the key second messenger that drives multiple downstream signaling cascades regulating cellular processes (FIG. 1). The cellular level of PI(3,4,5)P<sub>3</sub> is tightly regulated by the opposing activity of PTEN. PTEN, an important tumor suppressor, functionally antagonizes PI3K activity via its intrinsic lipid phosphatase activity that reduces the cellular pool of PIP<sub>3</sub> by converting PI(3,4,5)P<sub>3</sub> back to PI(4,5)P<sub>2</sub> (FIG. 2b). Loss of PTEN will result in unrestrained signaling by the PI3K pathway leading to cancer (reviewed in REF<sup>5</sup>)

### AKT

Also known as protein kinase B, is a serine/threonine kinase expressed as three isoforms, AKT1, AKT2 and AKT3, which are encoded by the genes PKB $\alpha$ , PKB $\beta$ , and PKB $\gamma$ , respectively (reviewed in REF<sup>3, 15</sup>). All three isoforms possess a similar structure: an N-terminal PH domain, a central serine/threonine catalytic domain, and a small C-terminal regulatory domain. AKT activation is initiated by translocation to the plasma membrane mediated by docking of the PH domain in the N-terminal region of AKT to PI(3,4,5)P<sub>3</sub> on the membrane, resulting in a conformational change in AKT, exposing two critical amino acid residues for phosphorylation<sup>16, 17</sup>. Both phosphorylation events, T308 by PDK1 and S473 by PDK2, are required for full activation of AKT<sup>316, 17</sup>. A number of potential PDK2s have been identified, including ILK (integrin-linked kinase), PKC $\beta$ II, DNA-PK (DNA-

dependent protein kinase) and ATM (ataxia telangiectasia mutated), and AKT itself<sup>15</sup>; however it is currently thought that mTORC2 (the mTOR/riCTOR complex) is the primary source of PDK2 activity under most circumstances<sup>18</sup>. Once phosphorylated and activated, AKT phosphorylates many other proteins, e.g. GSK3 (glycogen synthase kinase 3) and FOXOs (the forkhead family of transcription factors), thereby regulating a wide range of cellular processes involved in protein synthesis, cell survival, proliferation, and metabolism (reviewed in REF<sup>15, 19</sup>).

## mTOR

mTOR plays a pivotal role in the regulation of cell growth and proliferation by monitoring nutrient availability, cellular energy levels, oxygen levels, and mitogenic signals (reviewed in REF<sup>20</sup>). Notably, mTOR belongs to a group of Ser/Thr protein kinases of the PI3K superfamily referred to as class IV PI3Ks, including ATM, ATR (ataxia telangiectasia and Rad3 related), DNA-PK and SMG-1 (SMG1 homolog, phosphatidylinositol 3-kinase-related kinase). mTOR exists in two distinct complexes - mTORC1 and mTORC2. The mTORC1 complex is composed of the mTOR catalytic subunit, Raptor (regulatory associated protein of mTOR), PRAS40 (proline-rich AKT substrate 40 kDa) and the protein mLST8/GbL (reviewed in REF<sup>20, 21</sup>). mTORC2 is composed of mTOR, Rictor (rapamycin insensitive companion of mTOR), mSIN1 (mammalian stress-activated protein kinase interacting protein 1) and mLST8/GbL<sup>21</sup>.

AKT can activate mTOR by phosphorylating both PRAS40 and TSC2 (tuberous sclerosis complex) to attenuate their inhibitory effects on mTORC1<sup>22-24</sup>. The finding of the association of mTORC1 with the bipartite complex TSC1 and TSC2 of tumor suppressor proteins provided a molecular link between mTOR and cancer (reviewed in REF<sup>25</sup>). The best characterized downstream targets of mTORC1 are S6K1 (p70S6 kinase) and 4E-BP1 (4E-binding protein), both of which are critically involved in the regulation of protein synthesis (reviewed in REF<sup>26</sup>). Thus, activation of mTOR may provide tumor cells with a growth advantage by promoting protein synthesis. When bound to Rictor in the mTORC2 complex, mTOR functions as PDK2 to phosphorylate AKT<sup>18</sup>.

## Linking the PI3K pathway to human cancers

Although PI3K was originally characterized two decades ago via its binding to oncogenes and activated RTKs (reviewed in REF<sup>27</sup>), its association with human cancer was not established until the late 1990s, when it was shown that the tumor suppressor PTEN acts as a PI3-lipid phosphatase. Recent comprehensive cancer genomic analyses have revealed that multiple components of the PI3K pathway are frequently mutated or altered in common human cancers<sup>28-33</sup>, underscoring the importance of this pathway in cancer.

### The discovery of the PTEN tumor suppressor ties PI3K to human cancer

Germline mutations in the PTEN gene cause a variety of inherited cancer predisposition syndromes including Cowden syndrome and Bannayan-Zonana syndrome<sup>34</sup>. Somatic loss of PTEN by gene mutation or deletion occurs in a high percentage of common human tumors (TABLE 1). The discovery that the tumor suppressor PTEN works by antagonizing PI3K established the first direct link between PI3K activation and human cancer. While PTEN possesses protein tyrosine phosphatase activity<sup>35</sup>, it is also a lipid phosphatase capable of specifically removing the 3' phosphate from PI(3,4,5)P<sub>3</sub>, an action which is essential to its function as a tumor suppressor (reviewed in REF<sup>36, 37</sup>). Not surprisingly, PI3K signaling was found to be hyperactive in PTEN-null tumor cell lines and primary tumors<sup>4</sup>. The human disease phenotypes associated with PTEN loss have been recapitulated in mouse genetic models (reviewed in REF<sup>36, 38</sup>). Heterozygous loss or tissue specific homozygous loss of

PTEN in the mouse leads to hyperplastic proliferation and neoplastic transformation in multiple tissues<sup>39-43</sup>.

### Mutations of Class IA PI3Ks occur frequently in human tumors

The importance of PI3Ks in cancer was confirmed by the discovery that the *PIK3CA* gene encoding p110 $\alpha$  is frequently mutated in some of the most common human tumors<sup>29-32, 44</sup> (TABLE 1). These genetic alterations of *PIK3CA* consist exclusively of somatic missense mutations clustered in two “hotspot” regions in exons 9 and 20, corresponding to the helical and kinase domains of p110 $\alpha$ , respectively. Two of the most frequent *PIK3CA* mutations, *E545K* and *H1047R*, have been shown to enhance PI(3,4,5)P<sub>3</sub> levels, activate AKT signaling, and induce cellular transformation<sup>2, 45-48</sup>. While the exact molecular mechanism(s) by which these mutations activate p110 $\alpha$  has not been determined, current data point to a model in which the negative inhibitory effect brought about by interaction of p110 $\alpha$  with p85 is ablated<sup>45, 49, 50</sup>. This notion was supported by two recent structural studies of the p110 $\alpha$ /p85 $\alpha$  complex<sup>51, 52</sup>.

Recent cancer genomic analysis of human glioblastomas (GBM) showed that the *PIK3R1* gene, encoding the p85 $\alpha$  regulatory subunit, was mutated in up to 10% of tumors analyzed, making it one of the most frequently altered GMB cancer genes<sup>30, 31</sup>. Interestingly, while *PIK3CA* mutations were also found in ~7% of GBMs in the same cohort, they were mutually exclusive with *PIK3R1* mutations<sup>30</sup>. The presence of somatic mutations in *PIK3R1* was also previously reported in primary human colon and ovarian tumors and in one patient with GBM<sup>53, 54</sup>. Notably, most of these mutations are located within the iSH2 domain of p85 $\alpha$  and are predicted to disrupt the inhibitory contact of p85 $\alpha$  with p110, leading to constitutive PI3K activity<sup>30, 53, 54</sup>. In contrast to *PIK3CA*, cancer-specific mutations have not been found in *PIK3CB* gene encoding p110 $\beta$ , even though several groups have demonstrated that it is capable of acting as an oncogene in model systems<sup>2, 45</sup>. A recent study has shown that it may be more difficult to activate p110 $\beta$  than p110 $\alpha$  by missense mutation<sup>45</sup>, perhaps because p110 $\beta$  possesses much lower lipid kinase activity than p110 $\alpha$ <sup>55</sup>. However the *PIK3CB* gene, has been found to be amplified in some primary tumors and cancer cell lines<sup>56, 57</sup>.

### AKT and PDK1 in human cancer

Amplification of *AKT1/2* has been reported in various tumor types (TABLE 1). Recently, an activating mutation in the PH domain of AKT1 (E17K) was identified in melanoma, breast, colorectal and ovarian cancers<sup>44, 58, 59</sup>, which results in growth factor-independent membrane translocation of AKT and increased AKT phosphorylation levels<sup>58, 59</sup>. Interestingly, the analogous mutation was also detected in AKT3 in clinical specimens of melanoma as well as in melanoma cell lines<sup>59</sup>.

Unlike PI3K and AKT, there is only a single PDK1 isoform in mammals (reviewed in REF<sup>60</sup>). While mutations in PDK1 are rarely found in human cancer (two cases in colorectal cancer and one in glioma have been reported thus far<sup>61</sup>) amplification / overexpression of *PDK1* was found in ~20% of breast cancers<sup>57</sup>.

### Current nodes in the PI3K pathway being targeted in cancer

Activation of the PI3K signaling pathway contributes to cell proliferation, survival and motility as well as angiogenesis, which are responsible for all important aspects of tumorigenesis. For this reason many pharmaceutical companies and academic laboratories are actively developing inhibitors targeting PI3K and other key components in the pathway (FIG. 3a and b, TABLE 2).

## Targeting PI3K

Wortmannin and LY294002 are two well-known, first generation PI3K inhibitors. Wortmannin is a natural product isolated from *Penicillium wortmannin* that binds irreversibly to PI3K enzymes by covalent modification of a lysine necessary for catalytic activity. LY294002 (Lilly Research laboratories) was the first synthetic drug-like small molecule inhibitor capable of reversibly targeting PI3K family members at concentrations in the micromolar range. However, both wortmannin and LY294004 have little or no selectivity for individual PI3K isoforms and show considerable toxicity in animals (reviewed in REF <sup>62, 63</sup>). Despite their limitations, the preclinical studies of these broad-spectrum PI3K inhibitors have greatly contributed to our understanding of the biological importance of PI3K signaling and provided a platform for the discovery of novel PI3K inhibitors.

A number of PI3K inhibitor chemotypes, some displaying differential isoform-selectivity, have been described<sup>64,65</sup>. A recent study by Knight et al <sup>64</sup> presented a parallel comparison of isoform-selectivity profiles among a collection of potent and structurally diverse PI3K inhibitors, which underlined a critical role of p110 $\alpha$  insulin signaling and also provided important insights for the development of isoform-selective PI3K inhibitors. Subsequently, PI-103, a p110 $\alpha$ -specific inhibitor, was shown to have a potent effect in blocking PI3K signaling in glioma cells via its ability to inhibit both PI3K $\alpha$  and mTOR<sup>65</sup>. The seemingly off-target effects of PI-103 on mTOR complexes opened a new avenue in our search for an effective cancer therapy strategy relying on combinatorial inhibition of mTOR and PI3K.

At present, a number of PI3K-targeted compounds are being introduced into clinical trials (TABLE 2), many of which are dual PI3K/mTOR inhibitors. BEZ235 (Novartis) is an imidazoquinazoline derivative that inhibits multiple class I PI3K isoforms and mTOR kinase activity by binding to the ATP-binding pocket<sup>66</sup>. Preclinical data show that BEZ235 possesses strong anti-proliferative activity against tumor xenografts featuring abnormal PI3K signaling including loss of PTEN function or gain of function PI3K mutations <sup>67</sup>. BEZ235 has entered Phase I clinical trials in patients with solid tumors (reviewed in REF <sup>68</sup>). BGT226 (Novartis) is another potent pan-PI3K/mTOR inhibitor that has likewise entered Phase I. Distinguished from BEZ235 and BGT226, BKM120 (Novartis) is selective for class I PI3K enzymes with no mTOR inhibitory activity that has just entered Phase I clinical trial. XL765 and XL147 are class I PI3K inhibitors from Exelixis (XL765 also targets mTOR), currently under Phase I clinical investigation for treatment of solid tumors. Both are derivatives of quinoxaline as revealed by their recently disclosed structures<sup>63</sup>. GDC0941 (Piramed/Genetech) is a derivative of PI-103 that is active against all isoforms of class I PI3Ks in a nanomolar range. It displayed potent antitumor activity in preclinical xenograft tumors and is under Phase I trial in patients with advanced solid tumors or lymphoma. GSK1059615 (GlaxoSmithKline), another clinical candidate targeting PI3K, has recently entered clinical trial in patients with solid tumors or lymphoma (TABLE 2).

SF1126 (Semafore) is a covalent conjugate of LY294002 with an RGD (arg-gly-asp) peptide designed for increased solubility and enhanced delivery of the active drug to the tumor <sup>69</sup>. In preclinical studies, SF1126 has been shown to have potent inhibitory effects on cell growth, proliferation and angiogenesis with lowered toxicity compared to the parent LY294002. SF1126 has entered a Phase I clinical trial as a PI3K/mTOR inhibitor in a wide range of solid tumor cancers (TABLE 2).

A number of compounds that preferentially target selected isoforms of class I PI3Ks are also under development. For example, PX-866 (Oncothyreon) targets p110 $\alpha$ , p110 $\delta$  and p110 $\gamma$  with single-digit nanomolar IC<sub>50</sub> values <sup>70</sup>, while CAL-101 (Calistoga) is a p110  $\gamma$ -selective

inhibitor under Phase I clinic study in patients with relapsed or refractory hematologic malignancies (TABLE 2).

### Targeting AKT

As the most crucial proximal node downstream of the RTK/PI3K complex, AKT is another attractive therapeutic target. A large number of AKT inhibitors have been developed, which can be grouped into a number of classes including lipid-based phosphatidylinositol (PI) analogs, ATP competitive inhibitors, and allosteric inhibitors. The most clinically advanced inhibitor, Perifosine, is a lipid-based PI analog that targets the PH domain of AKT, thus preventing binding to PIP3 and hence its membrane translocation<sup>71</sup>. It is currently in clinical trials as a single agent or in combination with various drugs to treat multiple types of cancers (TABLE 2). Other AKT PH domain inhibitors, including PX316 (Pro1X Pharmaceutical)<sup>72</sup> and PIAs (National Cancer Institute/Georgetown University, reviewed in REF<sup>73</sup>), have shown inhibitory effects on the growth of tumor cells exhibiting high PI3K/AKT activity<sup>74</sup>.

Most ATP-competitive small molecules AKT inhibitors are non-selective, targeting all three AKT isoforms. GSK690693 (GlaxoSmithKline) is an ATP-competitive AKT kinase inhibitor, which targets all 3 AKT isoforms at low nanomolar range and is also active against additional kinases from the AGC kinase family<sup>75</sup>.

To address a major issue regarding the potential benefits of isoform specificity, a number of allosteric AKT inhibitors have recently been identified through screening of compound libraries and application of an iterative analog library synthesis. These allosteric AKT inhibitors have exhibited some level of isoform selectivity (reviewed in REF<sup>76</sup>). AKTi-1/2 (Merck), a naphthyridinone allosteric dual inhibitor of AKT1 and AKT2, has shown potent antitumor activity in tumor xenograft models, and its analogue MK2206 (Merck) is in phase I trial in patients with locally advanced or metastatic solid tumors (TABLE 2).

XL418 (Exelixis), a small molecule that inhibits the activity of AKT and S6K with antineoplastic activity in preclinical studies, is under Phase I clinical trials in patients with advanced solid tumors (TABLE 2). VQD-002 (tricitiribine phosphate monohydrate or TCN-P, VioQuest), a water-soluble tricyclic nucleotide is currently being tested in Phase I clinical trials in patients with both solid and hematologic malignancies (TABLE 2). It was recently reported that TCN-P could play a role in reversing drug resistance in ovarian cancer in patients previously treated with chemotherapy<sup>77</sup>; however its mechanism of action is unclear.

### Targeting mTOR

Though mTOR was only recently defined as a PI3K pathway member, it is the first node of the pathway targeted in the clinic. Rapamycin (also known as sirolimus, Rapamune®, Wyeth), the prototypic mTOR inhibitor, is a bacterially derived natural product originally used as an antifungal agent<sup>78</sup> and later found to have immunosuppressive<sup>79</sup> and, even more recently, antineoplastic properties (reviewed in REF<sup>21808182</sup>). Rapamycin associates with its intracellular receptor, FK506-binding protein-12 (FKBP12), which then binds directly to mTORC1 and suppresses mTOR-mediated phosphorylation of its downstream substrates, S6K and 4EBP1<sup>2180</sup>. Analogues of rapamycin, such as CCI-779 (also known as temsirolimus, Torisel®, Wyeth), RAD001 (also known as everolimus, Afinitor®, Novartis) and AP23573 (also known as deforolimus, Merk/Ariad) have been developed as anti-cancer drugs. These rapamycin analogues, sometimes referred to as rapalogues, inhibit mTOR through the same mechanism as rapamycin, but have better pharmacological properties for clinical use in cancer. The results of many mTOR inhibitor studies in cancer patients have

been described (reviewed in REF<sup>80</sup>). AP23573 has been approved for the treatment of soft-tissue and bone sarcomas. Encouraging results from recent clinical studies with both CCI-779 and RAD001 used as single-agents showed that drugs targeting mTOR improved survival in patients with advanced renal cell carcinoma, leading to their clinical approval in that indication (RCC)<sup>80, 83</sup>. However preliminary results with mTOR inhibitors in many other tumor types, including advanced breast cancer and glioma, yielded a low response rate<sup>80</sup>.

Notably, mTOR also represents a potential second target via its mTORC2 complex that functions as a PDK2 responsible for phosphorylating the carboxyl terminus of AKT at ser473, an obligatory event necessary for full activation<sup>18</sup>. While the clinical importance of PDK2 function in cancer is unknown, a recent study showed that mTORC2 is required for the development of prostate tumors induced by PTEN loss<sup>84</sup>. Thus a kinase inhibitor of mTOR capable of targeting both mTORC1 and mTORC2 would be expected to block activation of the PI3K pathway more efficiently than rapamycin. Recent studies reported a few potent and selective ATP-competitive inhibitors of mTOR, TORKinibs and Torin1, that inhibit both mTORC1 and mTORC2 complexes and impair cell growth and proliferation more effectively than rapamycin<sup>85, 86</sup>. Interestingly, however, the enhanced activity of these mTOR kinase inhibitors may not be contributed by mTORC2 inhibition, and instead appears to be through more complete inhibition of mTORC1 activity as measured by mTORC1-dependent and rapamycin-independent 4E-BP1 phosphorylation and cap-dependent translation<sup>85, 86</sup>. Two ATP-competitive mTOR inhibitors, OSI-027 (OSI Pharmaceuticals) and AZD8055 (AstraZeneca), are currently in clinical trials in patients with advanced solid tumors and lymphoma (TABLE 2)

It is also worth noting that multiple kinases in the PI3K pathway are client proteins for the heat shock protein 90 (Hsp90)<sup>87,88</sup>. Thus compounds that inhibit Hsp90, such as Geldanamycin and its analogues, may have therapeutic effects at least in part through inhibition of the PI3K pathway (reviewed in REF<sup>68, 89,90</sup>).

## Ongoing issues and challenges

### Unraveling the specific roles of PI3K isoforms may be important for drug development

The two isoforms of class I PI3Ks most widely expressed outside of the immune system in mammals are p110 $\alpha$  and p110 $\beta$ , both of which are expressed in almost all tissue and cell types. Since both isoforms need to form a complex with the p85 adaptor in order to bind to RTKs, utilize the same substrates and generate the same lipid products, it was long thought that they functioned redundantly in cellular physiology. However, over a decade ago, it was found that mice with homozygous germline deletion of either p110 $\alpha$  or p110 $\beta$  die early during embryonic development<sup>91, 92</sup>, suggesting distinct roles for each isoform during embryogenesis. More recently several groups have created mice with conditional knockout of p110 $\alpha$  and p110 $\beta$  and mice with germline knockin of kinase-dead alleles of p110 $\alpha$  or p110 $\beta$ <sup>93-98</sup> (TABLE 3). Studies with these mice have revealed that the two PI3K isoforms have markedly different roles in cellular signaling, growth, and oncogenic transformation (FIG. 4a). The p110 $\alpha$  isoform performs most of the functions commonly assigned to PI3K in the literature. For instance, p110 $\alpha$  is responsible for most of the signaling downstream from RTKs and oncogenes such as Ras and middle T antigen of polyoma virus<sup>94, 99</sup>. Ablation of p110 $\alpha$  resulted in significantly reduced AKT phosphorylation in response to stimulation by various growth factors including insulin, epidermal growth factor (EGF) and insulin-like growth factor (IGF)<sup>94</sup>. Cells deficient in p110 $\alpha$  are resistant to oncogenic transformation induced by oncogenic alleles of RTKs<sup>94</sup>. Conversely, ablation of p110 $\beta$  has little effect on AKT phosphorylation in response to RTK signaling<sup>93</sup>. Instead, p110 $\beta$ , like p110 $\gamma$ , preferentially transduces signals from GPCRs via a mechanism yet to be elucidated<sup>93, 95, 96</sup>.

Since p110 $\gamma$  expression is largely limited to leukocytes, p110 $\beta$  with its broad tissue distribution may play an essential role in coupling GPCR signals to the PI3K pathway in cells or tissues outside of the immune system. In addition, p110 $\beta$  has been shown to play an important role in integrin mediated platelet adhesion and arterial thrombosis<sup>100</sup>. Interestingly, p110 $\beta$  also appears to possess significant kinase independent functions<sup>93, 95</sup>.

There is considerable evidence that targeting a single isoform of PI3K (or other pathway members) may be sufficient to block a particular tumor type, suggesting the potential desirability of generating isoform specific inhibitors. By targeting single isoforms, potential drugs might avoid toxicity to the immune system, which is largely dependent on p110 $\delta$  and p110 $\gamma$  for function. Similarly, since p110 $\alpha$  and p110 $\beta$  seem to have distinct roles in multiple cellular processes (FIG.4a), it is possible that a drug aimed at either target would have fewer side effects than one that inhibits both. Since p110 $\alpha$  is important for the growth and maintenance of a number of tumors featuring PI3K activation, several companies are already generating p110 $\alpha$  isoform specific inhibitors (reviewed in REF<sup>63, 68, 101</sup>). These compounds would be expected to bypass the problems of inhibiting p110 $\gamma$  and p110 $\delta$  while targeting the PI3K pathway in many tumor types.

Interestingly, recent mouse genetic models and chemical inhibitors as well as limited shRNA experiments, suggest that tumors driven by PTEN loss may be sensitive to inhibition of p110 $\beta$  rather than p110 $\alpha$ <sup>93, 102, 103</sup>. The exact mechanism by which p110 $\beta$  drives PTEN-null tumors has not been elucidated. Perhaps ligands such as LPA that work via GPCRs are driving PI3K activation in PTEN-null tumors, or perhaps PTEN loss allows a p110 $\beta$ -specific basal PIP3 synthesis mechanism to become the engine that drives tumor formation<sup>93</sup>. It may be worthwhile considering the generation of p110 $\beta$ -selective compounds, especially, since p110 $\beta$  seems to play a smaller role in insulin action than p110 $\alpha$ <sup>93, 95</sup>. There are a few inhibitors, e.g. TGX-115, TGX-286 and TGX-221, which are selective for p110 $\beta$  relative to other PI3K enzymes except p110 $\delta$ <sup>64, 100</sup>. Among them, TGX-221 is perhaps the most commonly used p110 $\beta$ -selective tool compound to interrogate p110 $\beta$  functions. It was shown to be able to inhibit platelet aggregation and thrombosis<sup>100</sup>, TGX-221 is also capable of suppressing the activation of PI3K and proliferation of PTEN-null cancer cells<sup>103</sup>. Further preclinical development of p110 $\beta$ -selective inhibitors is necessary to improve their pharmacological properties. However, there are reasons to believe that not all tumors driven by PTEN loss are dependent on p110 $\beta$  and the presence of other genetic alterations is likely to change the PI3K isoform dependence of these PTEN-null tumors (FIG. 4b). For instance, a number of tumor cell lines that feature loss of PTEN in conjunction with activating mutations in p110 $\alpha$  are sensitive to loss of p110 $\alpha$  not p110 $\beta$ <sup>103, 104</sup>.

Given the essential roles of p110 $\alpha$  in cellular physiology, development of inhibitors specific for the mutant form of p110 $\alpha$  found in tumors would be a particularly attractive route to therapy. Such inhibitors would presumably minimize side effects (i.e. alteration in insulin signaling) that will almost certainly be associated with inhibition of the wild-type p110 $\alpha$ , especially during prolonged treatment. It remains a great challenge for researchers to identify mutant-specific small-molecule inhibitors targeting the catalytic center of the mutant but not wild-type kinase. The structure of a complex between wild-type p110 $\alpha$  and the iSH2 domain of p85 has been recently reported<sup>52</sup>. It reveals many features in common with well-characterized protein kinases, including a hydrophobic ATP-binding pocket. Most small-molecule inhibitors targeting PI3K lipid kinases in current development, like most protein kinase inhibitors, work by binding to the ATP pocket and thus competing with ATP. The structure of wild-type p110 $\alpha$  suggests that the H1047R mutation in the kinase domain most likely improves substrate binding through a direct effect on the conformation of the activation loop. Given the proximity of the activation loop to the ATP binding pocket, a

kinase domain mutant specific inhibitor might be feasible using existing drug scaffolds. It may be much more difficult to target the mutated helical domain of the kinase (E542K or E545), as these mutations appear to affect protein-protein interaction by eliminating an auto-inhibitory contact with the p85 iSH2 domain <sup>51</sup>.

### **Feedback loops and pathway crosstalk can alter signaling circuitry, thereby changing therapeutic outcomes**

A hallmark of signaling networks is the presence of multiple nodes with feedback loops and crosstalk between pathways. Two negative feedback loops have been described, involving S6K and JNK (Jun N-terminal kinase), which attenuate insulin induced PI3K activation via IRS <sup>105-107</sup>. S6K- or JNK-knockout mice show increased insulin sensitivity in response to high-fat diets <sup>108, 109</sup>. Perturbing these feedback loops can have dramatic effects on drug responses, as exemplified by the response of certain tumors to rapalogues. When mTOR is activated, it can initiate a signaling cascade via S6K1 that results in a feedback loop that downregulates PI3K/AKT activity. Thus, when tumors in which this loop is activated are treated with rapalogues, the net effect can be elevated AKT activity that can, in turn, ultimately enhance tumor growth <sup>110</sup>. PI3K or AKT inhibitors should not be affected by this problem, but may suffer from issues arising from crosstalk with other pathways. Specifically, for cancers bearing mutant RTKs, or oncogenes such as Ras that activate both the Raf-MAPK and PI3K pathways, blocking the PI3K pathway can actually upregulate signaling of the Raf-MAPK pathway, since the two pathways have cross-inhibitory effects <sup>111</sup>. Raf-MAPK pathway signaling can, in turn, drive tumor growth, defeating the effect of the PI3K pathway inhibition. Pandolfi and colleagues recently showed that mTORC1 inhibition led to the activation of MAPK in a PI3K-dependent manner, providing another example for such signaling feedback loop and crosstalk <sup>112</sup>. As will be discussed below, combined inhibition of both pathways for therapy may possibly alleviate this problem.

### **Identifying biomarkers that predict drug responses**

For PI3K pathway inhibitors, as with all targeted therapies, it is crucial to develop clinically tractable biomarkers predictive of drug response. Biomarkers can be divided into two categories: those that indicate drugs are reaching intended targets in the PI3K pathway, and those that can predict patients likely to respond. Readily obtainable tissues, such as skin, hair follicles and peripheral blood mononuclear (PBMCs), have been used as surrogate tissues to assess the effect of PI3K inhibitors currently undergoing clinical trials <sup>113</sup>. The molecular markers of PI3K inhibition commonly used in the clinic are phospho-AKT and phospho-S6K1 levels in biopsies of these surrogate tissues and when possible, tumor tissue. However, there is great variability in the robustness and reproducibility of biomarkers in monitoring the efficacy of PI3K pathway inhibitors. Thus, identification of new and potentially more robust biomarkers is a critically important part of the preclinical development of PI3K inhibitors and in the conduct and interpretation of clinical studies using these inhibitors.

Preclinical studies have indicated that PI3K/AKT inhibition may be assessed by measuring blood insulin levels, which are increased due to disruption of insulin signaling through the PI3K/AKT pathway <sup>64, 98, 114, 115</sup>. Studies in mice featuring genetic inactivation of PI3Ks or AKT <sup>93, 95, 98, 114</sup> also suggested that changes in blood glucose levels in response to PI3K inhibition may be exploited clinically, but early clinical results suggest that these effects may be transient and difficult to measure. Since the PI3K pathway plays a major role in insulin-mediated glucose transport and metabolism, inhibition of the PI3K pathway in tumors can be measured by scanning positron emission tomography (PET) using fluoro-deoxy-D-glucose (FDG) as a probe (FDG-PET scan). A recent study demonstrated that the effect of BEZ235 on the reduction of tumor vasculature permeability could be monitored by

dynamic contrast-enhanced magnetic resonance imaging (DCE-MRI)<sup>116</sup>. These types of molecular imaging offer a minimally invasive approach to determine the efficacy of targeted PI3K inhibition<sup>117</sup>, and may possibly be predictive of clinical outcome.

### Sensitivity and resistance to PI3K targeted therapies

One of the key lessons drawn from targeted therapies has been the importance of matching the therapy to the patient. Perhaps the best predictor of potential success for a kinase inhibitor has been the presence of an activating mutation or other genomic alteration in the targeted kinase. Examples include the use of imatinib (Gleevec®; Novartis) for chronic myelogenous leukemia (CML) patients with BCR-ABL fusions, trastuzumab (Herceptin®; Genentech) and lapatinib (Tyverb®; GlaxoSmithKline) with Her2 positive breast tumors, and gefitinib (Iressa®, AstraZeneca) and erlotinib (Tarceva®; Genentech) for lung cancer patients with mutations and/or amplification of EGFR. This logic suggests that PI3K inhibitors will be effective in tumors featuring activating mutations in p110 $\alpha$  or loss of PTEN, while AKT mutations would be expected to sensitize a tumor to AKT inhibitors. It also seems likely that targeting a pathway just downstream from the genetic lesion will be effective. Thus, PI3K and AKT inhibitors may be useful for the treatment of tumors featuring activated RTKs or oncogenic ras (perhaps in combination with Raf/MAPK inhibitors). Finally, mTOR inhibitors and inhibitors of kinases further downstream in the pathway may likewise be effective in tumors featuring PI3K activation. However it is not clear as to how distant a mutation may be from the targeted node in the pathway and it still be sensitive to the inhibition.

Since most tumors are genetically complex, it is likely that mutations/alterations in genes other than PI3K will predispose a tumor to be sensitive or, even more likely, resistant to PI3K-targeted therapy. An obvious source of resistance is a mutation or amplification of a downstream pathway component. Just as mutations of p110 $\alpha$  or loss of PTEN can render Her2 positive tumors resistant to trastuzumab<sup>118, 119</sup>, it is likely that tumors with amplifications or mutations in various downstream kinases will block the action of inhibitors targeted against their upstream components. It is thus important to select patients likely to respond to PI3K-targeted cancer therapy and identify non-responder patients.

Notably, these resistance events can be either primary or acquired during therapy. For instance, so called “gatekeeper” mutations may occur after targeted protein kinase therapy (reviewed in REF<sup>120</sup>). These mutations occur at a residue in the kinase domain of the targeted kinase and block binding of the inhibitor while allowing catalysis to proceed. Shokat and colleagues have used a functional screen against a structurally diverse panel of PI3K inhibitors and identified a potential hotspot for resistance mutations in p110 $\alpha$ , but surprisingly found a lack of resistance mutations at the “gatekeeper” residues<sup>121</sup>. Other known resistance mechanisms include the activation of alternative pathways, such as the induced gefitinib resistance via reactivation of HER3 signaling in lung cancer as a result of incomplete HER2 inhibition or amplification of MET<sup>122-124</sup>. A comparable mechanism in the case of PI3K inhibitors would be the activation of the Raf-MAPK pathway mentioned earlier. Current preclinical research and clinical studies will undoubtedly reveal more resistance mechanisms and facilitate the development of therapeutic strategies to overcome drug resistance.

### Emerging candidates/approaches

#### The wisdom of simultaneously targeting two kinases in the pathway

A number of the early PI3K inhibitor clinical candidates are dual-specificity drugs, targeting not only multiple PI3K isoforms but also the kinase activity of mTOR (TABLE 2). Generation of this class of compounds was relatively easy since mTOR is in the PI3K

superfamily and hence bears considerable structural similarity to class I PI3Ks. A strong argument can be made that by targeting two nodal points in the pathway concurrently, a compound may be more efficacious than if it has only a single target (FIG 3a and b). For example, PI-103 (Piramed) was found to be a potent inhibitor of both PI3K and mTOR and demonstrated an unexpectedly high ability to block the growth of aggressive glioma cells in vivo as well as in vitro<sup>65</sup>. A second class of inhibitors that selectively target both particular tyrosine kinases and PI3Ks have been reported by Knight and colleagues<sup>125</sup>. A single agent with dual specificity may have the added advantage in being less likely to induce drug resistance. Clinical resistance to a kinase inhibitor has often come via second-site mutations within the targeted kinase. With two kinases targeted simultaneously, there is a greatly diminished possibility that a given tumor can generate two resistant kinases during the course of a single drug treatment. Of course this argument assumes that both kinases being essential for tumor survival and/or growth.

### The combination of PI3K pathway inhibitors with drugs targeting other pathways

While knockout of PI3K isoforms can block oncogenic transformation driven by various activated RTKs and oncogenes<sup>9493</sup>, targeting PI3K may not be sufficient to regress established tumors. For instance, mice in which p110 $\alpha$  was mutated to ablate its binding to Ras are resistant to lung tumor development driven by activated K-ras<sup>126</sup>. However, the same K-ras lung tumor model has been found to be insensitive to PI3K inhibition by BEZ235 once the tumors have formed. In this case, a combination of PI3K and Raf pathway inhibition by BEZ235 plus a MEK1/2 inhibitor (ARRY142886, also known as ADZ6244; AstraZeneca), effectively induced tumor regression<sup>127</sup>. Consistently, activation of the MEK effector pathway downstream of Ras was found to be responsible for resistance to PI3K inhibitors in tumor cell lines harboring Ras mutations, and therefore the combination of MEK inhibitors and PI3K inhibitors synergistically blocked growth of tumor cells expressing oncogenic Ras<sup>102128</sup>. Recent comprehensive cancer genomic studies reveal that tumors with PI3K mutations or PTEN loss often harbor other genetic lesion(s) that can act independently to promote tumor development (reviewed in REF<sup>129</sup>). The presence of these or other genetic alterations is likely to change the sensitivity of tumors to PI3K inhibition. Thus, combinations of PI3K inhibitors with other targeted drugs may achieve optimal clinical benefits (FIG. 3a).

### Angiogenesis as a specific target of the PI3K pathway

Interestingly, inhibition of the PI3K pathway may attack tumors by two distinct directions, (a) by blocking tumor cell growth directly and (b) by inhibiting tumor angiogenesis. It is notable that the PI3K pathway plays an important role in the production of the key endothelial cell growth factor, VEGF, and in the signaling of the VEGF receptor. Rapamycin and its analogues have been studied most extensively in the clinic as anti-angiogenic agents. Rapamycin was found to have anti-angiogenic activities associated with markedly reduced production of VEGF, and it completely abrogated the response of vascular endothelial cells to stimulation by VEGF<sup>130</sup>. When used at relatively low (minimally immunosuppressive) doses, Rapamycin significantly inhibits the growth of established vascularized tumors<sup>130</sup>. This work suggested that Rapamycin might affect tumor growth primarily through its anti-angiogenic properties, leading to the hypothesis that it might be particularly effective in treating highly vascularized tumors. Indeed, Rapamycin significantly inhibits the progression of Kaposi's sarcoma where the driving oncogenic lesion is presumed to be activated VEGF/VEGFR signaling<sup>131</sup>. In renal cell carcinoma, loss of the VHL (von Hippel-Lindau syndrome) gene that normally inhibits HIF1A (hypoxia-induced factor 1,  $\alpha$  subunit) leads to enhanced vascularization, and sensitizes cancer cells to the mTOR inhibitor CCI-779<sup>132</sup>. From a mechanistic perspective, Rapamycin inhibits

mTORC1-dependent translation and action of HIF1A and thus decreases VEGF production<sup>133134</sup>.

Preclinical data in tissue culture and mouse models suggests that an anti-angiogenic effect may well be part of the anti-tumor effects of inhibiting PI3K or AKT<sup>97, 116, 129, 135, 136</sup>. Cantley and colleagues have recently shown that genetic ablation of class IA PI3K, specifically in the endothelium, resulted in impaired vessel integrity during development as well as tumor angiogenesis<sup>129</sup>. Vanhaesebroeck's group further demonstrated that angiogenesis selectively requires p110 $\alpha$  isoform, as it is critical in mediating VEGF signaling and controlling endothelial cell migration<sup>97</sup>. Indeed, a particular blockage of tumor vasculature in xenograft tumor models by BEZ235, a dual PI3K/mTOR inhibitor, was correlated with inhibition of PI3K/AKT, but not of mTORC1<sup>116129</sup>. It is quite possible that PI3K or AKT inhibitors may be as effective as rapamycin analogues in the treatment of highly vascularized tumors.

## Conclusions and future directions

The PI3K pathway clearly presents both a great therapeutic opportunity and a tremendous challenge for cancer therapy. As compounds targeting PI3K (or AKT and mTOR) travel through various stages of clinical trials, potential issues associated with toxicity and resistance can be expected. PI3K mutants resistant to a kinase inhibitor may arise during treatment, analogously to what has been seen for BCR-ABL inhibition by imatinib in the treatment of CML. Oncogenic changes in other components in the PI3K pathway, or other parallel and/or interconnected pathways, may likewise render cancer cells resistant to PI3K inhibition. Thus it is important to identify new therapeutic targets for the development of drugs that may either be used in place of PI3K inhibitors, or may be used to enhance the efficacy of PI3K inhibitors at subtoxic doses.

Lastly, it is worth noting that reduced expression of PDK1<sup>137</sup>, AKT1<sup>138</sup> or p110 $\alpha$  (TMR and JJZ unpublished) can suppress tumor formation in animal models. This suggests that it might be possible to use low levels of inhibitors of these enzymes to block tumorigenesis in certain instances. Thus familial diseases such as Cowden disease with germline loss of PTEN might be treated in this manner. Clearly, for chemoprevention to work, it would be optimal to have the inhibitors present prior to tumor initiation. Similarly, it is possible that a chemopreventive approach might work to block outgrowth of cells that have metastasized to distant sites after successful treatment of a primary tumor. Further experimentation will be required to determine if doses can be found that are sufficient to block tumor outgrowth but engender only minimal side effects during long-term therapy.

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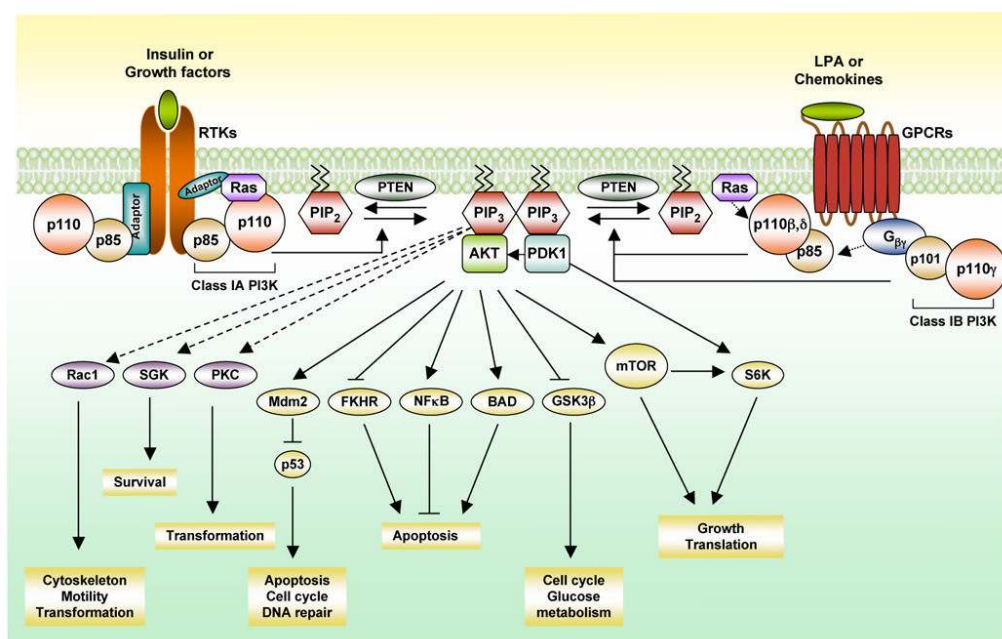
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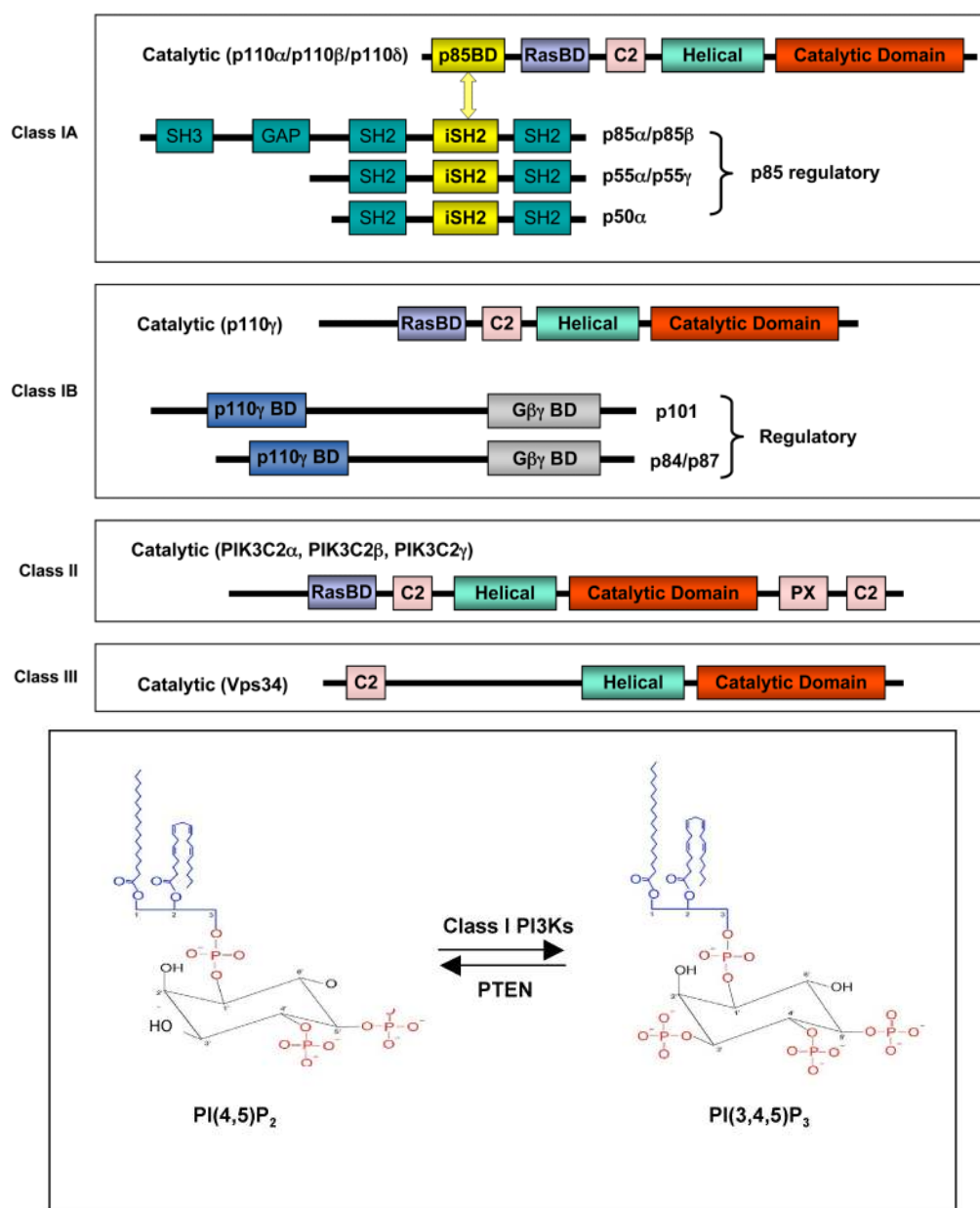
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**Figure 1. The Class I phosphoinositide 3-kinase (PI3K) signaling pathway**

Upon growth factor stimulation and subsequent activation of receptor tyrosine kinases (RTKs), class IA PI3Ks, consisting of p110 $\alpha$ /p85, p110 $\beta$ /p85 and p110 $\delta$ /p85, are recruited to the membrane via interaction of the p85 subunit to the activated receptors directly (e.g. PDGFR) or to adaptor proteins associated with the receptors (e.g. insulin receptor substrate 1, IRS1). The activated p110 catalytic subunit converts phosphatidylinositol-4,5-bisphosphate (PIP<sub>2</sub>) to phosphatidylinositol-3,4,5-triphosphate (PIP<sub>3</sub>) at the membrane, providing docking sites for signaling proteins with pleckstrin-homology (PH) domains including the phosphoinositide-dependent kinase 1 (PDK1) and the Ser-Thr kinase AKT. PDK1 phosphorylates and activates AKT (also known as PKB). The activated AKT elicits a broad spectrum of downstream signaling events. Class IB PI3K (p110 $\gamma$ /p101) can be activated directly by G-protein coupled receptors (GPCRs) through interacting with the G $\beta\gamma$  subunit of trimeric G proteins. The p110 $\beta$  and p110 $\delta$  can also be activated by GPCRs. PTEN (phosphatase and tensin homologue) antagonizes the PI3K action by dephosphorylating PIP<sub>3</sub>. G  $\beta\gamma$ , guanine nucleotide binding protein (G protein),  $\beta\gamma$ ; FKHR, forkhead transcription factor; NF $\kappa$ B, nuclear factor kappa-light-chain-enhancer of activated B cells; BAD, Bcl-2-associated death promoter protein; SGK, Serum and glucocorticoid-inducible kinase; PKC, protein kinase C; GSK3 $\beta$ , glycogen synthase kinase 3 beta; mTOR, mammalian target of rapamycin; Rac1, Ras-related C3 botulinum toxin substrate 1; S6K, ribosomal protein S6 kinase; LPA, lysophosphatidic acid.



**Figure 2.**

**Figure 2a. The members of the phosphoinositide 3-kinase (PI3K) family.** PI3Ks have been divided into three classes according to their structural characteristics and substrate specificity. **Class IA** PI3Ks are heterodimers consisting of a p110 catalytic subunit and a p85 regulatory subunit. In mammals, there are three genes, *PIK3CA*, *PIK3CB* and *PIK3CD*, encoding p110 catalytic isoforms: p110α, p110β and p110δ, respectively. While the expression of p110δ is largely restricted to the immune system, p110α and p110β are ubiquitously expressed<sup>1, 8</sup>. The p110 catalytic isoforms are highly homologous and share five distinct domains: an N-terminal p85-binding domain (p85BD) that interacts with the p85 regulatory subunit, a Ras-binding domain (RasBD) that mediates activation by members of the Ras family of small GTPases, a putative membrane-binding domain C2, the helical domain, and the C-terminal kinase catalytic domain. There are also three genes, *PIK3R1*, *PIK3R2* and *PIK3R3*, encoding p85α (and its splicing variants p55α and p50 α), p85β and

p55 $\gamma$  regulatory subunits, respectively, collectively called p85. These regulatory subunits share three core domains including a p110-binding domain (denoted as inter-SH2 or iSH2) flanked by two Src-homology 2 (SH2) domains (N-terminal nSH2 and C-terminal cSH2). The two longer isoforms, p85 $\alpha$  and p85 $\beta$ , have a Src-homology 3 (SH3) domain and a BCR homology (BH) domain located in their extended N-terminal regions. In the basal state, p85 binds to the N-terminus of the p110 subunit via its iSH2 domain, inhibiting its catalytic activity<sup>7, 8</sup>. **Class IB** PI3K is a heterodimer composed of a catalytic subunit p110 $\gamma$  and a regulatory subunit p101. p110 $\gamma$  is mainly expressed in leukocytes and can be activated directly by GPCRs<sup>8</sup>. **Class II** PI3Ks are monomers with only a single catalytic subunit. There are three class II PI3K isoforms, PI3KC2 $\alpha$ , PI3KC2 $\beta$  and PI3KC2 $\gamma$ , each of which has a divergent N-terminus followed by a Ras binding domain (RasBD), C2 domain, helical domain, and catalytic domain with PX and C2 domains at the C-termini (reviewed in REF<sup>1, 2</sup>). **Class III** PI3Ks consists of a single catalytic subunit Vps34 (homolog of the yeast vacuolar protein-sorting defective 34).

**Figure 2b. The level of phosphatidylinositol-3,4,5-triphosphate, PI(3,4,5)P<sub>3</sub>, is regulated by Class I phosphoinositide 3-kinase (PI3K) and phosphatase and tensin homologue (PTEN).** PI(3,4,5)P<sub>3</sub> is an important lipid second messenger that regulates multiple cellular processes. Class I PI3Ks phosphorylates the inositol ring of phosphatidylinositol-4,5-triphosphate, PI(4,5)P<sub>2</sub> on the 3-position, to generate PI(3,4,5)P<sub>3</sub>. PTEN is a lipid phosphatase that removes phosphate on the 3-position of PI(3,4,5)P<sub>3</sub> and converts it back to PI(4,5)P<sub>2</sub>.

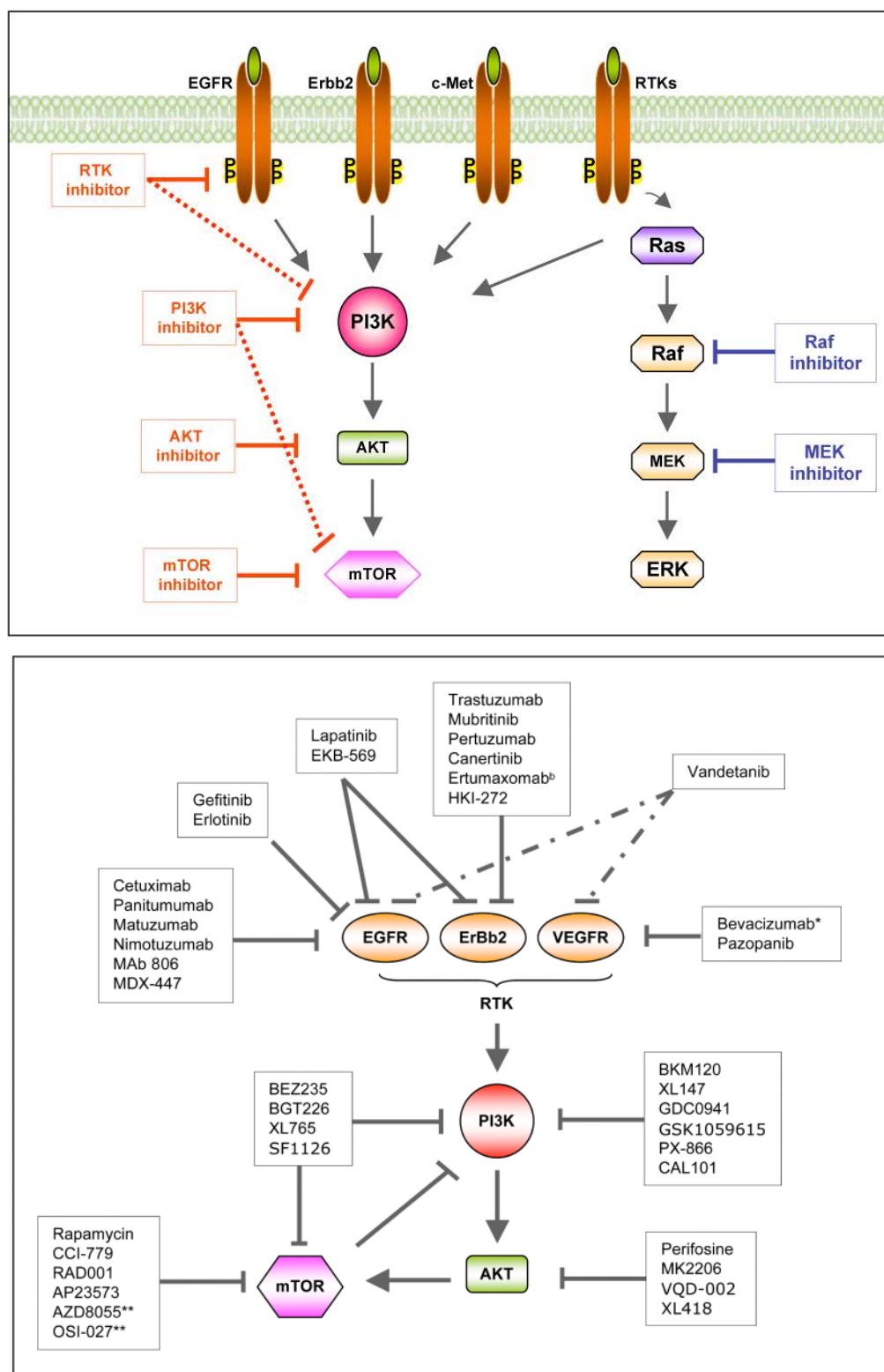


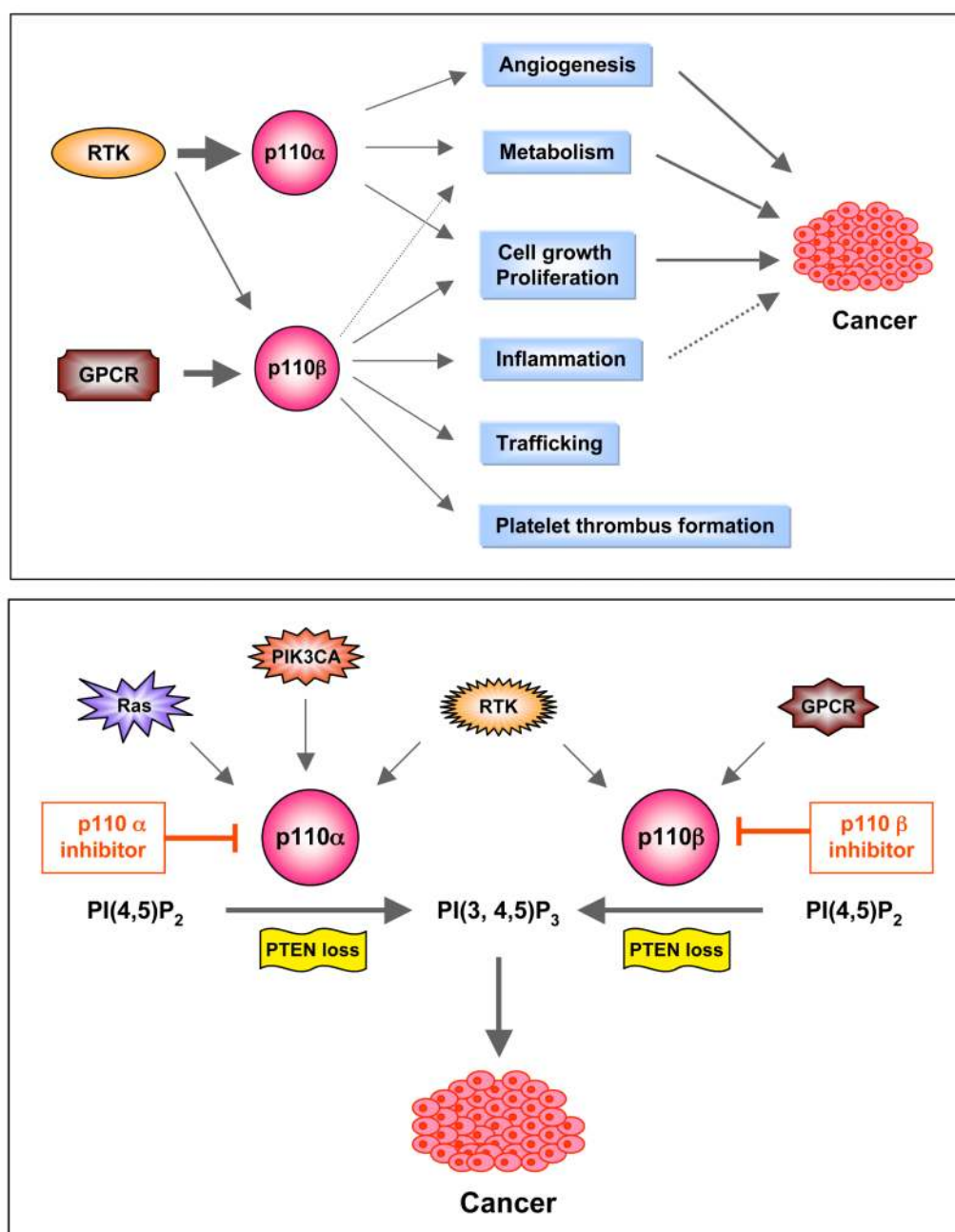
Figure 3.

**Figure 3a. Targeting the phosphoinositide 3-kinase (PI3K) pathway in cancer.**

Inhibitors targeting major nodes of the PI3K signaling pathway, including RTKs, PI3K, AKT and mTOR, have reached clinical trials. Dual inhibitors targeting both PI3K and RTK or PI3K and mTOR (as shown in connected solid and dashed lines) may provide more potent therapeutic effects in suppressing the PI3K signaling. Combinations of PI3K and Raf/MAPK inhibitors may achieve more effective clinical results. mTOR, mammalian target of rapamycin; RTK, receptor tyrosine kinase; EGFR, epidermal growth factor receptor; Erbb2, Epidermal growth factor Receptor 2; c-Met, mesenchymal-epithelial transition factor Ras, a small GTPase protein, Raf, a serine/threonine kinase; MEK, mitogen-activated protein kinase kinase; ERK, extracellular signal regulated kinase.

**Figure 3b. Inhibitors in clinical development that target the PI3K or related pathways.**

EGFR, epidermal growth factor receptor; Erbb2, Human Epidermal growth factor Receptor 2; VEGFR, vascular endothelial growth factor receptor; RTK, receptor tyrosine kinase; mTOR, mammalian target of rapamycin. \*Bevacizumab targets VEGF-A instead of VEGFR directly. \*\*Both AZD8055 and OSI027 are ATP-competitive mTOR inhibitors that targets both mTORC1 and mTORC2.



**Figure 4.**

**Figure 4a. Differential functions of p110α and p110β isoforms.** p110α is the major effector downstream of RTKs and p110β is an effector for both RTKs and GPCRs. Their differential roles in many biological functions are indicated. Many of these roles are associated with cancer (solid lines). An association between chronic inflammation and cancer has been indicated (dashed line). RTK, receptor tyrosine kinase; GPCR, G-protein coupled receptor.

**Figure 4b. Schematic of PI3K isoform-selective inhibition in the treatment of cancers featuring specific oncogenic lesions.** Recent studies point to p110β as a primary target for PTEN-deficient cancers. However, in the case oncogenic lesions such as RTK amplification or mutation, Ras mutation, or activating PIK3CA mutations, the PI3K signaling largely

depends on p110 $\alpha$ , perhaps even in the absence of PTEN. RTK, receptor tyrosine kinase; GPCR, G-protein coupled receptor; PTEN, phosphatase and tensin homologue. PI(3,4,5)P<sub>3</sub>, phosphatidylinositol-3,4,5-triphosphate; PI(4,5)P<sub>2</sub>, phosphatidylinositol-4,5-bisphosphate.

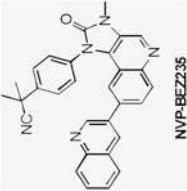
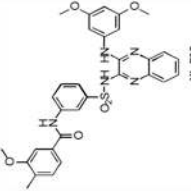
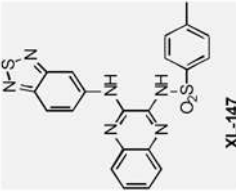
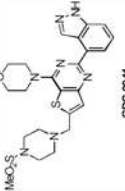
**Table 1**  
**Incidence of genetic alterations in the PI3K pathway in cancer**

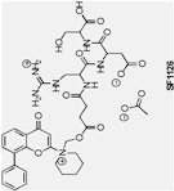
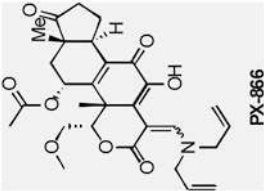
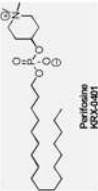
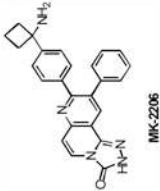
Genetic alterations	Cancer type	Incidence of tumors with alterations	References
<b>p110a (PIK3CA)</b>			
Mutations	Breast	27% (468/1766)	*
	Endometrial	24% (102/429)	*
	Colon	15% (448/3024)	*
	upper digestive tract	11% (38/352)	*
	gastric	8% (29/362)	*
	Pancreas	8% (8/104)	*
	Ovarian	8% (61/787)	*
	Liver	6% (19/303)	*
	brain	5.9% (59/996)	*, 29, 30
	Esophageal	5% (13/239)	*
	Lung	3% (28/962)	*
	melanoma	9% (24/278)	*
	urinary tract	17% (28/162)	*
	prostate	2% (1/57)	*
	thyroid	2% (7/394)	*
Amplifications	Lung		
	SQC	53%(40/75)	147-149
	ADC	12.5% (15/120)	147-149
	SCC	21.4% (3/14)	147-149
	NSC	12.0% (11/92)	150
	Cervical	69% (11/16)	151
	Breast	8.7% (8/92)	152, 153
	Head and neck	32.2% (52/161)	146, 153, 154
	Gastric	36% (20/55)	155
	Thyroid	9% (12/128)	156
	Esophageal	6% (5/87)	157
	Cervical	9% (2/22)	158
	Endometrial	10% (3/29)	158
	Ovarian	11.9% (16/134)	159, 161
	Glioblastoma	6.1%(21/344)	29, 160
<b>p110b (PIK3CB)</b>			
Amplifications	Ovarian	5%	57
	Breast	5%	57
Increase in activity and expression	Colon	70% (7/10)	56
	Bladder	89% (8/9)	56
<b>PDK1</b>			
Amplification and overexpression	Breast	20%	57

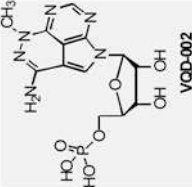
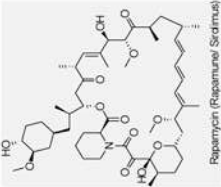
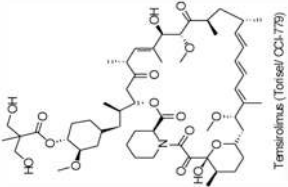
Genetic alterations	Cancer type	Incidence of tumors with alterations	References
<b>AKT</b>			
AKT1 mutation (E17K)	Breast	3.7% (31/845)	44, 58, 139, 140
	Colon	2.8%(4/139)	58, 139
	Ovarian	2% (1/50)	58
	Lung	1.9% (2/105)	141
AKT1 amplifications	Gastric	20% (1/5)	145
AKT2 amplifications	Ovarian	14.1%(30/213)	142, 144, 151, 159
	Pancreas	20% (7/35)	143
	Head and neck	30% (12/40)	146
	Breast	3% (3/106)	142
AKT3 mutation (E17K)	Skin	1.5% (2/137)	59
AKT3 amplifications	Glioblastoma	2% (4/205)	29
<b>p85a (PIK3R1)</b>			
Mutations	Glioblastoma	9.9% (9/91); 8% (8/105)	29, 30
	Ovarian	4% (3/80);	58
	Colon	2% (1/60);	58
<b>PTEN</b>			
Loss of heterozygosity	Gastric	25.3% (84/332)	155, 162, 163
	Breast	24.9%(99/398)	164-167
	Melanoma	37%(53/143)	168-171
	Prostate	30%(70/230)	172-176
	Glioblastoma	28% (113/404)	29, 30, 177-179
Mutations	Endometrial	38% (604/1569)	*
	brain	21%(611/2913)	*, 29, 30
	skin	17% (96/555)	*
	Prostate	14% (51/371)	*
	large intestine	13% (53/416)	*
	Ovary	9% (55/645)	*
	Breast	6% (34/561)	*
	Haematopoietic & lymphoid tissue	6% (54/866)	*
	stomach	6% (28/499)	*
	Liver	5% (20/372)	*
	kidney	5% (14/294)	*
	vulva	65% (17/26)	*
	urinary tract	9% (13/142)	*
	thyroid	5% (27/591)	*
	Lung	9% (48/548)	*

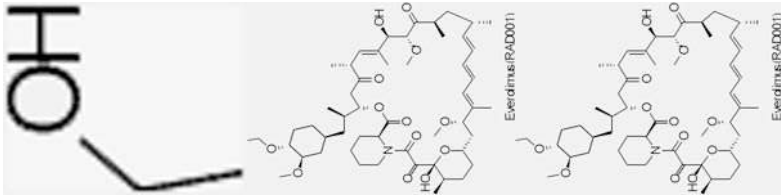
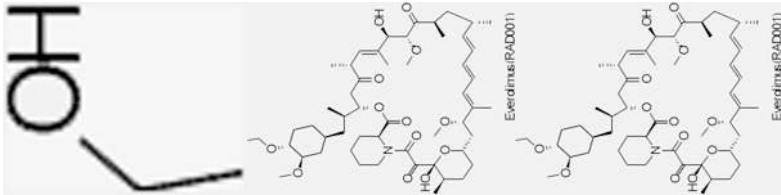
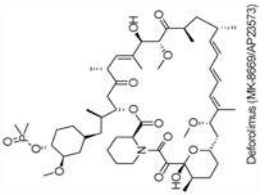
\* The values are taken from <http://www.sanger.ac.uk/genetics/CGP/cosmic/>.

**Table 2**  
**Summary of drugs targeting the PI3K pathway in clinical trials for cancer treatment\***

Category	Agent	Target	Sponsor	Phase	Cancer Type/Condition	Chemical structure
<b>PI3K Inhibitors</b>						
	BEZ235	Class I PI3K/mTOR	Novartis	Phase I/II	Advanced solid tumors Advanced Breast cancer	 NVP-BEZ235
	BGT226	Class I PI3K/mTOR	Novartis	Phase I/II	Solid tumors Advanced breast cancer Cowden syndrome	ND
	BKM120	Class I PI3K	Novartis	Phase I (1st Qtr 2009)	Solid tumors	ND
	XL765	Class I PI3K/mTOR	Exelixis	Phase I	Solid tumors Non-Small Cell Lung Cance Malignant Gliomas	 XL-765
	XL147	Class I PI3K	Exelixis	Phase I	Advanced solid tumors Endometrial Carcinoma Ovarian Carcinoma Non-Small Cell Lung Cance	 XL-147
	GDC0941	Class I PI3K	Genentech	Phase I	Advanced solid tumors Non-Hodgkin's lymphoma	 GDC-0941

Category	Agent	Target	Sponsor	Phase	Cancer Type/Condition	Chemical structure
	SF1126	pan-PI3K/mTOR	Semafore	Phase I	Advanced solid tumors	
	GSK1059615	pan-PI3K	GlaxoSmithKline	Phase I	Advanced solid tumors Metastatic breast cancer Endometrial cancer Lymphoma	ND
	PX-866	PI3K ( $\alpha$ , $\delta$ , and $\gamma$ )	Oncothyreon	Phase I	Advanced solid tumors	
	CAL-101	PI3K ( $\delta$ )	Calistoga	Phase I	Chronic lymphocytic leukemia (CLL) Acute myeloid leukemia (AML) Non-Hodgkin's lymphoma	ND
Akt Inhibitors	Perifosine (KRX-0401)	AKT	Keryx	Phase I/II	Advanced solid tumors Multiple myeloma Ovarian cancer Soft Tissue sarcoma Malignant melanoma	
	MK2206	AKT	Merck	Phase I	Advanced solid tumors	

Category	Agent	Target	Sponsor	Phase	Cancer Type/Condition	Chemical structure
	VQD-002 (API-2/TCN)	AKT	VioQuest	Phase I	Hematologic malignancies Leukemia Non-small cell lung cancer	
<b>mTOR Inhibitors</b>						
	XL418	AKT/ S6K	Exelixis	Phase I**	Solid Tumors	ND
	Rapamycin (Sirolimus, Rapamune®)	mTORC1	Wyeth	Phase I/II	Advanced solid tumors Metastatic breast cancer Myeloid leukemia	
	CCI-779 (Temsirolimus, Torisel®)	mTORC1	Wyeth	Phase I/II/III	Advanced renal cell carcinoma (RCC)  Advanced solid tumors Multiple myeloma Ovarian cancer Endometrial Cancer Mantle cell lymphoma Brain tumors Non-small cell lung cancer Malignant melanoma	

Category	Agent	Target	Sponsor	Phase	Cancer Type/Condition	Chemical structure
				Approved	Advanced renal cell carcinoma (RCC)	
	RAD001 (Everolimus, Afinitor®)	mTORC1	Novartis	Phase I/II/III	Advanced solid tumors Advanced Hepatocellular Carcinoma Bladder Cancer Head and Neck Cancer Glioma/Astrocytoma Advanced Prostate Cancer Brain tumors Advanced Gastric Cancer Metastatic Breast Cancer Metastatic Pancreatic Cancer	
	AP23573 (Deforolimus, MK-8669)	mTORC1	Merck/Ariad	Phase I/II/III	Soft-tissue and bone sarcomas Advanced malignancies Relapsed Hematologic Malignancies Progressive Glioma Endometrial Cancer Metastatic Breast Cancer Brain tumors Non-small cell lung cancer Prostate Cancer	
	AZD8055	mTORC1/mTORC2	AstraZeneca	Phase I/II	Advanced solid tumors Endometrial Carcinoma	ND

Category	Agent	Target	Sponsor	Phase	Cancer Type/Condition	Chemical structure
	OSI-027	mTORC1/mTORC2	OSI	Phase I	Solid tumor Lymphoma	ND

\* Information presented is compiled from company websites and from [www.clinicaltrials.gov](http://www.clinicaltrials.gov) and [www.fda.gov](http://www.fda.gov)

\*\* The trial has been suspended due to low drug exposure.

ND, not disclosed

**Table 3**  
**Gene-targeted mouse models of Class I PI3K**

Class I PI3K	Genotype	Phenotypes	References
p110 $\alpha$	<i>p110<math>\alpha</math> -/-</i>	Embryonic lethality (E10.5)	92
	<i>p110<math>\alpha</math> D933A/D933A</i>	Embryonic lethality (E10.5) E10-11; severe vascular abnormalities at E10.5	97, 98
	<i>p110<math>\alpha</math> +/D933A</i>	Defective in growth and metabolic regulation associated with hyperinsulinemia and glucose intolerance	98
	<i>p110<math>\alpha</math> RBD/RBD</i>	Defective lymphatic development ; a small fraction survived into adult associated with proliferative defects and altered growth factor signaling to PI3K; protected from Kras-driven tumorigenesis in a lung cancer model	126
	<i>Endothelial p110<math>\alpha</math> -/-</i>	Severe vascular abnormalities at E10.5 and died before E12.5	97
	<i>Prostate p110<math>\alpha</math> -/-</i>	Normal for prostate development; not protected from PTEN-loss induced high grade PIN	93
p110 $\beta$	<i>p110<math>\beta</math> -/-</i>	Embryonic lethality (E3.5)	91
	<i>p110<math>\beta</math> K805R/K805R</i>	Some survived to adult associated with retarded growth and mild insulin resistance with age; attenuated Erbb2-driven mammary tumor development	95
	<i>Liver p110<math>\beta</math> -/-</i>	Impaired insulin sensitivity and glucose homeostasis	93
	<i>Prostate p110<math>\beta</math> -/-</i>	Normal for prostate development; protected from PTEN-loss induced high grade PIN	93
p110 $\delta$	<i>p110<math>\delta</math></i>	Viable; impaired B, NK cell development and functions; decreased immunoglobulin levels and defective humoral response; impaired neutrophil chemotaxis	180-186
	<i>p110<math>\delta</math> D910A/D910A</i>	Viable; defective B, NK and mast cell development and function; impaired antigen receptor signaling in B and T cells, and attenuated immune and allergic response	180-186
p110 $\gamma$	<i>p110<math>\gamma</math></i>	Viable; reduced insulin secretion; increased insulin sensitivity and $\beta$ -cell mass; impaired mast cell functions and inflammatory response; reduced neutrophil and macrophage migration and oxidative burst; increased heart contractility	10, 187-192
	<i>p110<math>\gamma</math> KD/KD</i>	Viable; reduced inflammatory reactions with no alterations in cardiac contractility	9
	<i>p110<math>\delta</math> p110<math>\gamma</math></i>	Viable; severe defects in T and NK cell development and functions	193-195
p85	<i>p85<math>\alpha</math> -/-</i>	Hypoglycemia and hypoinsulinemia and impaired B cell development and functions but normal T cell activation	196, 197
	<i>p55<math>\alpha</math> -/-p50<math>\alpha</math> -/-</i>	Viable; enhanced insulin sensitivity	198
	<i>p85<math>\alpha</math> -/- p55<math>\alpha</math> -/-p50<math>\alpha</math> -/-</i>	Perinatal death; liver necrosis and hypoglycemia; increased insulin sensitivity; impaired B cell development and functions	199, 200
	<i>p85<math>\beta</math> -/-</i>	Improved insulin sensitivity, increased T cell proliferation and accumulation in response to various stimuli	201
	<i>Liver p85<math>\alpha</math> -/- p55<math>\alpha</math> -/-p50<math>\alpha</math> -/- p85<math>\beta</math> -/-</i>	Defects in glucose and lipid homeostasis; hyperinsulinemia and hypolipidemia	202
	<i>Muscle <math>\alpha</math> -/- p55<math>\alpha</math> -/-p50<math>\alpha</math> -/- p85<math>\beta</math> -/-</i>	Viable; reduced muscle growth, insulin response, and hyperlipidemia	203
	<i>Endothelial p85<math>\alpha</math> -/- p55<math>\alpha</math> -/-p50<math>\alpha</math> -/- p85<math>\beta</math> -/-</i>	Acute embryonic lethality at E11.5 due to hemorrhaging	129
	<i>Endothelial p85<math>\alpha</math> +/- p55<math>\alpha</math> -/-p50<math>\alpha</math> -/- p85<math>\beta</math> -/-</i>	Viable but with localized vascular abnormalities when challenged with pathological insults	129