- Title: Temperature and rainfall strongly drive temporal growth variation in Asian tropical forest
 trees
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- 17 Key words: climate-growth relation; global change; Thailand; tree rings; tropical tree

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18 Abstract

Climate change effects on growth rates of tropical trees may lead to alterations in carbon cycling 19 of carbon-rich tropical forests. However, climate sensitivity of broad-leaved lowland tropical 20 21 trees is poorly understood. Dendrochronology (tree-ring analysis) provides a powerful tool to 22 study the relation between tropical tree growth and annual climate variability. We aimed to 23 establish climate-growth relations for five annual-ring forming tree species, using ring-width 24 data from 459 canopy and understory trees from a seasonal tropical forest in western Thailand. Based on 183/459 trees, chronologies with total lengths between 29 and 62 years were produced 25 for four out of five species. Bootstrapped correlation analysis revealed that climate-growth 26 27 responses were similar among these four species. Growth was significantly negatively correlated with current year maximum and minimum temperatures and positively correlated with dry 28 season precipitation levels. Negative correlations between growth and temperature may be 29 30 attributed to a positive relation between temperature and autotrophic respiration rates. The positive relation between growth and dry season precipitation levels likely reflects the strong 31 32 water demand during leaf flush. Mixed-effect models yielded results that were consistent across species: a negative effect of current wet season maximum temperatures on growth, but also 33 additive positive effects of for example prior dry season maximum temperatures. Our analyses 34 35 showed that annual growth variability in tropical trees is determined by a combination of both temperature and precipitation variability. With rising temperature, the predominantly negative 36 37 relation between temperature and growth may imply decreasing growth rates of tropical trees as a result of global warming. 38

39 Introduction

Tropical forests are currently experiencing some of the most rapid rates of warming in 40 recent geological times (Marcott et al. 2013). And not only are temperatures rising around the 41 42 world, there are indications that temperature anomalies are becoming more frequent (Hansen et 43 al. 2012). The response of tropical forests to global warming may potentially have large impacts on global carbon cycling (Corlett 2011). Warming may reduce leaf-level photosynthesis 44 45 (Doughty 2011), increase autotrophic respiration rates (Lloyd and Farquhar 2008) and increase 46 evaporative demand (Galbraith et al. 2010), resulting in reduced tree growth. As tropical forests 47 contain one of the largest terrestrial carbon pools (Dixon et al. 1994; Malhi and Grace 2000), it is 48 crucial to understand their response to warming (Clark et al. 2003).

49 In the absence of *in situ* tree-level warming experiments in the tropics, analysis of temporal tree growth variability in relation to annual climatic variation can be the next best 50 source of information on global warming effects on tropical trees (Brienen et al. 2010; Clark et 51 52 al. 2010; Pumijumnong 2012). Repeated diameter measurements of tropical trees has shown that temporal variation in diameter growth was negatively correlated with temperature (Clark et al. 53 54 2003; Dong et al. 2012; Clark et al. 2013). However, due to the multi-year census interval of most permanent plot studies (e.g., Dong et al. 2012), the power of correlation analyses is 55 generally low and the multi-year census interval requires an integration of yearly climate 56 57 parameters over the census interval.

Tropical dendrochronology (tree-ring analysis) can provide long-term, high-resolution
tropical tree growth data to complement plot–based repeated diameter measurements (Worbes
1995; Zuidema et al. 2013). Tropical tree species that form reliable annual growth rings provide

61 annual-resolution growth data at multi-decadal to centennial scales, which enhance the detectability of climatic influences on growth variation in tropical trees (Zuidema et al. 2012). 62 Multi-species tree-ring studies on climate-growth relations of broad-leaved trees from moist 63 lowland tropical forests are rare, but studies in the Amazon (Dünisch et al. 2003; Brienen and 64 65 Zuidema 2005) and West Africa (Schöngart et al. 2006) have shown that temporal variation in 66 growth was positively correlated with total annual precipitation. In lowland tropical forests in Southeast Asia, analysis of the relation between climate and ring width has mainly focussed on 67 teak (Tectona grandis) (Pumijumnong et al. 1995; Buckley et al. 2007) and only a few examples 68 69 of other species exist (e.g., Zuidema et al. 2011). Besides the generally low representation of lowland moist tropical forest trees species in climate-growth studies, the focus has traditionally 70 been on precipitation correlations (Jacoby 1989; Rozendaal and Zuidema 2011; Pumijumnong 71 2012) and effects of temperature anomalies on annual growth variability were often not 72 evaluated (Dünisch et al. 2003; Brienen and Zuidema 2005; Schöngart et al. 2006) or determined 73 non-significant (Couralet et al. 2010). However, whereas there is large uncertainty regarding 74 predicted rainfall changes for the tropics, a clear warming trend across most of the tropics is 75 undisputed (IPCC 2007; Wright et al. 2009). 76

Here we use tree-ring analyses to evaluate tree growth sensitivity to climatic variation in Southeast Asia. We took tree ring samples from over 450 individuals belonging to five species known to form annual rings (Baker and Bunyavejchewin 2006). These species represent three different families, vary in leaf phenology and represent several ecological guilds. The availability of >60 years of high quality climate data from a nearby meteorological station allowed us to address questions and hypotheses on the relationship between climate variability and tree growth. Specifically we addressed the following questions: (1) Is tree growth correlated with 84 minimum and maximum temperature? (2) Is tree growth correlated with precipitation?

85 Furthermore we used linear mixed-effects models to assess the relative importance of various

seasonal climate indices on standardized diameter growth rates.

87 Materials and methods

88 Study area and species

The study area was situated in the Huai Kha Khaeng Wildlife Sanctuary (HKK), Uthai 89 90 Thani province, western Thailand (15.60 N 99.20 E), approximately 550 m above sea-level. 91 Climate in Huai Kha Khaeng is strictly seasonal with a marked dry season from November to 92 April (Fig. 1a). Mean annual rainfall in HKK is 1473 mm and mean annual temperature is 23.5 93 °C (Bunyavejchewin et al. 2009). Soils are highly weathered, slightly acidic ultisols and soil 94 textures are sandy loam at the surface and sandy clay-loam in the subsurface horizons 95 (Bunyavejchewin et al. 2009). The vegetation in the area is classified as seasonal dry evergreen 96 and mixed deciduous forest (Bunyavejchewin et al. 2009). Canopy height in the forest is around 97 30 m, with occasional emergent trees reaching over 50 m tall. Members of the family 98 Dipterocarpaceae dominate the area in total basal area; other well-represented families include Annonaceae, Euphorbiaceae and Meliaceae (Bunyavejchewin et al. 2001). 99

100 We sampled five tree species: *Afzelia xylocarpa* (Kurz) Craib (Fabaceae), *Chukrasia*

101 tabularis A. Juss. (Meliaceae), Melia azedarach L. (Meliaceae), Neolitsea obtusifolia Merr.

102 (Lauraceae) and *Toona ciliata* M. Roem. (Meliaceae). See Table 1 for a summary of the species'

103 characteristics. All five species were known to form annual rings following a cambial wounding

104 experiment over the period 1988-1998 (Baker et al. 2005). Afzelia, Melia and Toona have a

105 distinctly deciduous leaf phenology, whereas *Chukrasia* is classified as brevi-deciduous and

Neolitsea is evergreen (Williams et al. 2008). All five species are canopy trees, reaching
maximum heights of 30-45 m.

108 Sampling and measurements

Wood samples for tree-ring analysis were collected from 459 trees during the period 109 between December 2010 and December 2011. All samples were collected within a ~300 ha area 110 of mixed deciduous and seasonal dry evergreen forest. Samples from the locally more abundant 111 species, *Neolitsea* and *Chukrasia*, were mainly collected following a clustered sampling design. 112 These trees were sampled in a 50 m radius around a randomly assigned location in the ~300 ha 113 area that was retrieved using a GPS device (Garmin GPSMAP 60C Sx). Because Afzelia, Melia 114 and *Toona* were (locally) rare in the area, these species were sampled more opportunistically, by 115 116 collecting additional samples from trees that were encountered in the forest. We aimed to gather a random subset of the local population of the five target species, therefore we took samples of 117 all trees >5 cm dbh, irrespective of for example canopy position. At a height of approximately 1 118 119 m on the stem, we manually extracted wood cores with a 5.0 mm diameter Suunto (Vantaa, Finland) or a 5.15 mm diameter Haglöf (Långsele, Sweden) increment borer. Depending on the 120 diameter of the tree we used borers with lengths varying between 40 and 70 cm. From trees <40 121 cm dbh two cores were taken, because the borer would go straight through the tree and we 122 123 therefore obtained two complete radii per core. From all larger trees we took three cores, because only one complete radius per core was obtained. Taking multiple cores allowed us to measure 124 rings over at least three different radii, thereby correcting for radial variation in diameter 125 increment and facilitating the detection of very narrow and partially missing rings (Stokes and 126 127 Smiley 1968). Extraction areas were left untreated (Dujesiefken et al. 1999).

128 The increment cores were glued to wooden mounts and cut perpendicular to the ring boundaries with a large sliding microtome (WSL, Swiss Federal Institute for Forest, Snow and 129 Landscape Research, Switzerland). Digital images (1600 dpi) of the tree cores were obtained 130 using a high-resolution flatbed scanner (Epson Expression 10000 XL) and analyzed in the 131 132 WinDENDRO program for tree-ring analysis (version 2009b; Regent Instruments Canada Inc.). 133 All tree-ring boundaries were marked manually on the screen by identifying the ring boundaries and measured to the nearest 0.001 mm. Identification of the growth rings, following standard 134 procedures (Worbes and Junk 1989; Worbes 2002), was facilitated by (1) within-ring variations 135 136 in the size and density of vessels for the ring-porous species *Melia* and *Toona*, (2) bands of marginal parenchyma delineating each ring in Afzelia and Chukrasia and (3) intra-annual wood-137 density variation in Neolitsea (Fig. S1). 138

139 Chronology development

Tree ring data quality was checked by visually crossdating tree ring series in 140 141 WinDENDRO. For trees sampled during the growing season of 2011, this year was excluded from analysis because this ring did not represent a full growing season. After visually 142 crossdating the tree-ring series, we checked for dating errors using the computer program 143 COFECHA (Holmes 1983). This iterative process of crossdating greatly reduces the number of 144 145 false and missing rings, both of which are known to occur in tropical species (Worbes 1995). Ring-width series that correlated poorly with the master chronology-for example, due to 146 prolonged growth suppressions—were removed from the dataset prior to chronology 147 development (Pederson et al. 2004). We used the dendrochronology program library in R (dplR; 148 149 Bunn 2008) to produce species–specific chronologies from the previously selected tree-ring series. Ring–width indices were obtained by detrending the raw ring-width series using a 20– 150

year cubic spline. A 20-year cubic spline was chosen because it showed the best result in removing low-frequency trends (e. g., related to ontogeny and canopy-dynamics), while preserving the high frequency variation in growth. Next all ring-width series were prewhitened using autoregressive modeling to remove any effect of temporal autocorrelation in growth. Upper and lower 95% confidence intervals around the mean chronologies were based on a 1000 bootstrap replicates (Bunn 2008). Residual ring widths after detrending were normally distributed in all species as recommended for climate correlations (Cook et al. 1990).

Crossdating of annual growth rings was successful for four out of five species (Fig. 2a-d). 158 However, for the non-ring-porous species (Afzelia, Chukrasia), this lead to the exclusion of a 159 160 large fraction of trees (>60%) from their respective species–specific chronologies (Table 2). Because of high dating uncertainty remaining after attempting to crossdate *Neolitsea* ring-width 161 series, we excluded this species from all further analyses. For the other four species a species– 162 163 specific chronology was produced (Fig. 2e-h). Out of the total sample size the proportion of trees included in the chronology was 38% for Afzelia, 27% for Chukrasia, 89% for Melia and 79% for 164 Toona (see Fig. S2 for diameter distributions of trees included in the chronologies). In Afzelia 165 and *Chukrasia*, false, wedging and generally indistinct rings were frequently observed, especially 166 in periods when growth was suppressed, resulting in higher rejection rates. The resulting 167 chronologies were cut off when the running expressed population signal (EPS) was <0.85 168 (Wigley et al. 1984; Speer 2010). The EPS is used to assess how well a chronology of a finite 169 number of trees estimates the hypothetical (noise-free) population chronology (Wigley et al. 170 171 1984). When the EPS value is <0.85 the chronology starts to be dominated by the individual 172 tree-level signal, rather than the stand level signal and is therefore less useful for climate-growth analysis (Speer 2010). 173

174 Climate data

175 We used monthly climate data from the Nakhon Sawan meteorological station (15.80N, 100.20E) for the climate-growth analysis. All climate data were obtained from the KNMI 176 Climate Explorer website (Trouet and Van Oldenborgh 2013). The Nakhon Sawan 177 meteorological station is situated ~ 100 km east of the sampling area. Because the climate station 178 is situated at a lower elevation, mean yearly temperatures are on average 5 °C higher in Nakhon 179 Sawan and mean annual precipitation 350 mm lower compared to the study site. The temperature 180 181 dataset from the Nakhon Sawan station was nearly complete for the period 1951-2010 (<0.5% of the data points were missing), monthly precipitation data were missing for <4% of the months 182 183 (1951-2010). Any missing values were replaced by gridded climate data for the location of the climate station (Trouet and Van Oldenborgh 2013). Significant positive trends in the annual 184 maximum temperature data (0.011 °C, P < 0.01) and annual minimum temperatures (0.025 °C, P185 186 < 0.001) were found (Fig.1b). Because this study did not aim to analyze growth response to longterm climate change, but instead focusses on drivers of annual variability in growth, all climate 187 data was linearly detrended prior to analysis (Fig. 1b). Therefore all climate parameters were 188 expressed as deviations from the long-term trend. After detrending we found no evidence for 189 temporal autocorrelation in the climate data. 190

191 Climate-growth analysis

All statistical analyses were performed in the R program environment for statistical computing, version 3.0.0 (R Core Team 2013). The climate response of each species was determined by correlating mean standardized ring–width series (chronologies) with monthly climate indices. All monthly correlations were performed using a 24-month window, from January in the prior growing season to December in the current growing season. We included prior year climate variables in the analysis because a lagged effect of climate on tree growth has frequently been found for both temperate and tropical tree species (Pederson et al. 2004; Brienen and Zuidema 2005; Buckley et al. 2007). To test climate-growth relationships, Pearson correlations were calculated using 1000 bootstrapped correlations by random extraction with replacement of values in the climate dataset, following the DENDROCLIM approach (Biondi and Waikul 2004), as applied in the bootRes package in R (Zang and Biondi 2013).

To compare the relative contribution of temperature and rainfall on diameter growth, we 203 used mixed-effects models, with a second order autocorrelation structure and individual trees as 204 205 a random factor (nlme package in R; Pinheiro et al. 2011). The second order autocorrelation structure was added to the model to account for the effect of growth in year t_{-2} and year t_{-1} on 206 growth in year t_0 . A total of four different models was used, because each species was included 207 208 in a separate model. First, we reduced the number of initial fixed factors to be included in the 209 model by calculating mean 6-month seasonal indices from the monthly precipitation data, minimum- and maximum temperature data. We defined the dry season as November_{t-1} to April_{t0} 210 and the wet season as May_{t0} to October_{t0}. In the analysis both the current dry and wet season 211 indices were included as well as prior year dry and wet season indices. This resulted in a total of 212 12 initial variables to be included as fixed factors in the full model. All seasonal climate 213 214 variables were linearly detrended prior to analysis and the variance was stabilized. Only standardized diameter growth rates for those trees that were also included in the chronology were 215 216 used as dependent variables. In this way only those trees that had a strong common signal in 217 growth were used. The full model was subsequently simplified by step-wise removal of the least

significant variables and the model with the lowest Akaike's Information Criterion (AIC) value
was selected (Zuur et al. 2009).

220

221 **Results**

222 Tree-ring chronologies

223 Total chronology lengths ranged from 29 to 62 years (running EPS > 0.85), with the longest chronology spanning the period between 1950 and 2011 for Toona. The independently 224 225 constructed chronologies were significantly positively correlated among all pairs of species (*Pearson r*, P < 0.05), except for *Afzelia* and *Toona* (P < 0.10). This similarity in year-to-year 226 variation in growth is also expressed by the occurrence of synchronous marker years (years of 227 228 abnormally low growth) in the chronologies, such as 1972,1990 and 1997 (Fig. 2). Both the high correlation among chronologies and the simultaneous occurrence of marker years provides 229 strong evidence for the presence of an external factor driving annual variability in growth. We 230 used the Monsoon Asia Drought Atlas (MADA) data (Cook et al. 2010) as an independent 231 reference chronology to verify dating accuracy of the four chronologies constructed in this study. 232 We found that *Melia* and *Toona* chronologies were highly significantly positively correlated with 233 the MADA chronology (P < 0.01), $R^2 = 0.19$ and $R^2 = 0.34$ respectively. However, the Afzelia 234 chronology was only marginally correlated with the MADA chronology (P = 0.07) and the 235 236 *Chukrasia* chronology was not significantly correlated with the MADA chronology (P = 0.36).

237 Correlation between ring width and climate

238 We started the climate growth analysis by correlating standardized ring-width indices 239 with monthly climate indices of the current and prior year. Significant positive correlations between total monthly precipitation and ring-width index values were found in all species. A 240 241 significant correlation with current year April precipitation was found in *Chukrasia* and *Toona*, 242 indicating that growth may be positively influenced by early growing season rainfall (Fig. 3b,d). 243 *Melia* and *Toona* ring–width indices were also significantly positively influenced by prior year rainfall (Fig. 3c,d). We tested the correlation between total current-year precipitation and 244 chronologies of the four species, but this revealed no significant correlations. 245

Afzelia, *Chukrasia*, *Melia* and *Toona* all showed strong negative correlations with current
wet season monthly minimum temperatures (Fig. 4). *Chukrasia* and *Toona* also showed
significant negative correlations between growth and current dry season minimum temperatures.
Positive correlations between growth and minimum temperature of the prior dry season were
only found for *Melia* (Fig. 4c).

251 When correlating monthly maximum temperatures and growth similar patterns arise as those for monthly minimum temperatures. All four species showed significant negative 252 correlations with current year wet season maximum temperatures (Fig. 5). To illustrate the strong 253 synchrony between temperature and growth, we plotted anomalies in current-year wet-season 254 maximum temperature and growth of *Melia* (Fig. 6), the species with the strongest temperature-255 256 growth correlation (Fig 5c). *Toona* also showed significant negative correlations between growth 257 and current-year dry season maximum temperatures (Fig. 5d). In addition, both *Chukrasia*, *Melia* and *Toona* also showed significant positive correlations between growth and prior dry 258 259 season monthly maximum temperatures (Fig. 5b-d). It is highly unlikely that the significantly negative correlation between mean current year December maximum temperatures and Afzelia 260

growth (Fig. 5a) has a direct causal relation, because by December the ring has already been
completely formed. The significant correlation most likely resulted from temporal
autocorrelation in mean monthly maximum temperatures, as it can be seen that the preceding
months also show a trend towards negative correlations.

We used linear mixed-effect models for each species to determine the additive effects of 265 climate on diameter growth (Fig. 7). AIC values of the selected models were 0.34-1.98 units 266 lower than that of the model with one-but-lowest AIC value. For Afzelia the model explaining 267 diameter growth revealed that current year dry season minimum temperature was positively 268 related with diameter growth, whereas the effect of wet season maximum temperatures was 269 270 negative (Fig. 7a). Prior year dry season maximum temperature had a positive effect on Afzelia growth and dry season precipitation, minimum temperature and wet season minimum 271 temperature were all negatively related with Afzelia growth. 272

273 Selected models for *Chukrasia*, *Melia* and *Toona* were relatively similar (Fig. 7b,c,d). 274 Current year dry season precipitation was positively related with diameter growth, whereas there was a negative relation between diameter growth and wet season maximum temperatures. 275 Models for Melia and Toona also included a significant effect of current year wet season 276 precipitation, which was negatively related with diameter growth. Chukrasia, Melia and Toona 277 showed a positive relation with prior year dry season maximum temperature and prior year wet 278 279 season precipitation levels. We also selected best fit linear mixed-effects models in which we 280 modelled raw annual diameter growth based on seasonal climate indices (results not presented). By using relations established in these models (modelling raw growth data instead of detrended 281 282 growth data) we determined that an increase of wet season maximum temperatures with 1 °C

was associated with a reduction in mean diameter growth of 0.3 mm for Afzelia, 0.9 mm for

284 *Chukrasia*, 2.3 mm for *Toona* and 3.0 mm for *Melia* (see also Fig. 6).

285 **Discussion**

286 Species suitability for climate-growth analyses

287 We showed that Afzelia, Chukrasia, Melia and Toona rings can be used for climategrowth analysis. Unfortunately, poor crossdating precluded *Neolitsea* from climate-growth 288 289 analysis, although a cambial wounding experiment has independently demonstrated the annual 290 nature of Neolitsea rings (Baker et al. 2005). The frequent association of Neolitsea with non-291 dominant canopy positions, in contrast to the other four species (Vlam, M. unpublished results), 292 may have resulted in an overriding effect of local stand dynamics on annual growth variability 293 (Denslow 1980; Druckenbrod et al. 2013) resulting in the more frequent occurrence of false 294 rings. The highly significant correlations of the *Melia* and *Toona* chronologies with the 295 independently constructed MADA-chronology (Cook et al. 2010) further supported our 296 confidence in the dating accuracy of these two species. Unfortunately, Melia and Toona are 297 relatively short-lived, limiting the establishment of century-long climate-growth relations. The chronology length of Afzelia and Chukrasia was mainly confined by dating uncertainty and 298 299 limited crossdating success prior to 1970. For tropical tree species with such complex wood anatomy and high potential for dating errors, tree-ring analyses would ideally be performed on 300 301 whole stem cross-sections.

We are aware that our study species are a non-random selection and small subset of the most abundant species at our study site. They represent just two families and do not include the most dominant family (Dipterocarpaceae), but they do differ in for example ecological guild and the degree of deciduousness. The number of species fore which tree-ring analyses can be done at
a given site will likely increase when recently tested techniques to reconstruct annual growth
patterns of (apparently) ring-less species become more accessible (Poussart et al. 2004; Loader et
al. 2011).

309 Climate correlations

Both the correlation analyses and linear mixed-effects models showed that tree growth 310 was strongly driven by climate variability and growth responses were often similar among 311 species. The correlation analysis showed that growth variability in all four species was strongly 312 negatively correlated with current year minimum and maximum temperatures. This finding is 313 similar to that of Clark et al. (2003), who showed that growth rates of trees in an old-growth 314 315 tropical rain forest in Costa Rica were negatively correlated with current year daily minimum 316 temperatures. Furthermore, Dong et al. (2012) showed that growth anomalies of whole tropical forest stands in Malaysia, Panama and Thailand were negatively correlated with five-year-317 318 averaged temperature anomalies. In addition, similar negative effects of temperature on tree growth were found in tropical sites with higher latitude and elevation (e.g., Buckley et al. 2007). 319 320 The mechanism for a negative relation between temperature and tree growth in the tropics may be through higher temperatures leading to reduced carbon assimilation rates, by having both a 321 322 direct negative impact on photosynthesis rates (Galbraith et al. 2010) and increasing autotrophic respiration rates (Clark 2007; Feeley et al. 2007). Maintenance costs of plant tissue increase with 323 higher ambient temperatures (Lloyd and Farquhar 1996). For tropical forests it has been shown 324 that an air temperature increase of 10 °C leads to roughly a doubling of respiration rates (Meir et 325 326 al. 2008). However, this was contrasted by Doughty (2011) finding little evidence of enhanced 327 respiration rates in an *in situ* warming experiment with tropical trees. It has also been shown for

328 deciduous temperate trees that leaf respiration rates show rapid acclimation to increased air 329 temperature (Lee et al. 2005). Temperature also influences leaf-to-air vapour pressure deficit and tree evapotranspiration rates (Galbraith et al. 2010; Choat et al. 2012). When evaporative 330 demand increases due to higher temperatures, the leaf stomata tend to close to reduce water loss, 331 332 resulting in lower CO₂-uptake and decreased carbon assimilation rates (Lloyd and Farquhar 333 2008). Because the majority of annual net primary production of trees in a seasonal forest is concentrated in the months with high rainfall (April-October in HKK), it is likely that growth is 334 most sensitive to temperature variability during this time of the year. And thirdly, temperature is 335 336 negatively correlated with rainfall (Devall et al. 1995). Thus, both increased evaporative demand leading to lower carbon assimilation rates, increased respiration costs and reduced precipitation 337 rates during warm years could potentially be driving negative correlations between tree growth 338 and current wet season temperature. 339

340 Unlike previously described climate-growth relations for seasonal tropical forests (Dünisch et al. 2003; Brienen and Zuidema 2005; Schöngart et al. 2006; Couralet et al. 2010), 341 the mixed model analysis showed that the relation between growth and temperature were 342 generally stronger than those between growth and precipitation. Weak correlations between 343 single-year drought and tree growth were also found in two large-scale drought experiments in 344 the Amazon (Brando et al. 2008; Metcalfe et al. 2010). Both studies provided evidence that net 345 346 primary productivity of trees declined during the simulated drought, but this reduction was relatively modest in the first year. But as expected a period of consecutive years with low 347 rainfall, such as the period 1989-1993 in western Thailand, did result in one of the clearest 348 349 reductions in growth rates among the four species. The positive relation between growth and early growing season precipitation levels has been commonly found in tropical 350

351 dendrochronological studies (Pumijumnong et al. 1995; Buckley et al. 2007; Rozendaal and 352 Zuidema 2011; Soliz-Gamboa et al. 2011). This strong relation likely reflects the importance of adequate water amounts for the flushing of new leaves (Brando et al. 2008), which occurs around 353 the spring equinox for most species in HKK (Williams et al. 2008). The absence of long-term 354 climate data from the HKK study site forced us to use of data recorded at the Nakhon Sawan 355 356 meteorological station (~100 km east of HKK) for all climate-growth analyses. Although most of the rainfall during the wet season in HKK originates from the Asian summer monsoon system 357 (Wang and Ho 2002), regional variability in rainfall is comparatively higher than regional 358 359 variability in temperature. The high local variability in rainfall amounts, combined with a ~100 km distance between to the meteorological station, may have led to an underestimation of the 360 positive growth-precipitation correlations. 361

Evidence for a lagged climate-growth response is commonly found in 362 363 dendrochronological investigations across the tropics (e.g., Dünisch et al. 2003; Brienen and 364 Zuidema 2005). Both misdating of the rings and temporal autocorrelation in the growth data have been suggested as methodological causes for observed lagged responses (Soliz-Gamboa et 365 al. 2011). However, temporal autocorrelation in the tree-ring and climate data was low and 366 dating certainty, especially of *Melia* and *Toona*, was high, both were therefore unlikely to have 367 caused the observed lagged responses. Alternatively, physiological pathways leading to lagged 368 369 climate-growth responses in tropical trees have been suggested but remain poorly investigated so far. In temperate trees the presence of preformed shoots in trees with strong seasonality in leaf 370 371 formation has been suggested to lead to lagged growth responses because growth for the first 372 flush is set during bud formation in the prior year (Oliver and Larson 1996; Pederson et al. 2004). Also favorable conditions in the current year may promote the formation of reserves, 373

rather than growth in the current year and these reserves can then be used in the beginning of the
next growing season (Dünisch et al. 2003; Pederson et al. 2004). For instance, the three
deciduous species in our study (*Afzelia*, *Melia* and *Toona*) completely rely on reserve materials
for the flushing of new leaves and possibly also for the initial stages of xylem formation (Ohashi
et al. 2009). We do not understand how prior-year temperatures may have a positive effect on
growth in our study species.

380 Tropical tree growth and climate change

Daily maximum temperatures in western Thailand have been steadily rising over recent 381 decades (Trouet and Van Oldenborgh 2013). The combination of rising temperature and a strong 382 negative relation between diameter growth and current growing season maximum temperatures 383 384 in all four study species, may indicate that tree growth rates will likely decrease over time. These reduced diameter growth rates may result in a reduced carbon sequestration capacity of the forest 385 386 as a whole (Brienen et al. 2010). However, historical growth responses to annual climate 387 variability, e.g. linking past negative growth anomalies with relatively warm years, should not be translated into oversimplified projections of long term growth responses to long term changes in 388 climate (Zuidema et al. 2013). Trees may for example respond to a structural increase in 389 temperatures and drought through physiological acclimation of both photosynthesis and 390 respiration (Galbraith et al. 2010), potentially reducing the long term effect of increased 391 temperature on growth. The negative effect of rising temperatures may also be compensated by a 392 393 CO₂-fertilization effect (Baker et al. 2004; Lloyd and Farquhar 2008) through a strong increased water-use-efficiency of trees (Hietz et al. 2005; Nock et al. 2011). The extent of this CO₂-394 395 fertilization effect on tropical tree growth is the subject of ongoing scientific debate (rner 2003; Clark 2004; Lloyd and Farquhar 2008; Körner 2009) and requires further in-depth 396

investigation (Zuidema et al. 2013). Analyses of tree rings, climate-growth relations and isotope
analyses of tree species from tropical lowland forests can assist in predicting tropical forest
dynamics under global change.

400

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577

Tables

Table 1 Study species characteristics (Nguyen et al. 1996; Nghia 1998; Kalinganire and

581 Pinyopusarek 2000; Williams et al. 2008; LaFrankie 2010).

Species	Family	Light	Leaf habit (months leafless)	Ring structure (ID quality)	Natural distribution range	
Afzelia xylocarpa	Fabaceae	Shade intolerant	Deciduous (1.5)	Marginal parenchyma (+)	Mainland Southeast Asia	
Chukrasia tabularis	Meliaceae	Shade intolerant; moderately shade tolerant	Evergreen/ brevi- deciduous	Marginal parenchyma (+/-)	South Asia, Southeast Asia	
Melia azedarach	Meliaceae Shade intolerant		Deciduous (2)	Ring porous (++)	South Asia, Southeast Asia, Australia	
Neolitsea obtusifolia	Lauraceae	Shade tolerant	Evergreen	Density gradient (-)	Mainland Southeast Asia	
Toona ciliata	Meliaceae	Shade intolerant: moderately shade tolerant	Deciduous (0.5)	Ring porous (++)	South Asia, Southeast Asia, Australia	

Table 2 Descriptive statistics of the ring-width series. All the statistics displayed concern only

those series that were used for the chronology production.

Species	<i>n</i> sampled (trees/radii)	<i>n</i> chronology (trees/radii)	Time span	<i>n</i> years	r ^a	ms ^b	Rbar.wt ^c	Rbar.bt ^d	EPS ^e
Afzelia	100/341	38/133	1976-2011	36	0.38	0.42	0.45	0.13	0.90
Chukrasia	104/330	28/70	1982-2010	29	0.46	0.57	0.46	0.18	0.88
Melia	90/330	71/252	1970-2011	42	0.48	0.42	0.51	0.20	0.96
Toona	61/220	46/168	1950-2011	62	0.50	0.65	0.64	0.26	0.95

585

 a *r* is the mean series intercorrelation of every series with the master chronology as produced by the program COFECHA (Holmes 1983).

^b *ms* is the mean sensitivity, which is a measurement of the year-to-year variability in tree-ring
width as produced by the program COFECHA (Holmes 1983).

- ^c Rbar.wt is the mean of the correlations between series from the same tree.
- ^d Rbar.bt is the mean interseries correlation between all series from different trees.
- ^e EPS is the expressed population signal.

593 Figure legends

Fig. 1 (a) Climate diagram for the Nakhon Sawan meteorological station, ~100 km east of the study site. (b) Annual precipitation and temperature observations from the Nakhon Sawan meteorological station. Upper line (Tmax, filled circles) represents the mean daily maximum temperature, middle line rainfall (triangles) and lower line (Tmin, open circles) is the mean daily minimum temperature. Linear regression models were fit to the temperature data and decadal regression coefficients are indicated in the figure (*,**,*** for P < 0.05,0.01 and 0.001 respectively).

Fig. 2 (a-d) Raw ring widths of all individual *Afzelia*, *Chukrasia*, *Melia* and *Toona* trees that
were included in the chronology. (e-h) Chronologies of the study species. Black line represents
the mean standardized ring-width index (chronology). Grey area indicates the 95% confidence
envelop of the chronology based on 1000 time bootstrap analysis with replacement of individual
series. Marker years (1972, 1990 and 1997) were indicated by the vertical dotted lines.

Fig. 3 Bootstrapped correlation between ring width index and detrended monthly precipitation data from prior January to current December. Solid black bars represent significant correlations at the P > 0.05 confidence level.

Fig. 4 Bootstrapped correlation between ring width index and detrended mean monthly minimum temperature data from prior January to current December. Solid black bars represent significant correlations at the P > 0.05 confidence level.

Fig. 5 Bootstrapped correlation between ring width index and detrended mean monthly

613 maximum temperature data from prior January to current December. Solid black bars represent

614 significant correlations at the P > 0.05 confidence level.

615	Fig. 6 An example of the strong synchrony between growth and temperature. Shown are mean
616	annual raw diameter growth anomalies of <i>Melia</i> $(n = 71)$ and current-year wet season maximum
617	temperature anomalies for the period 1970-2011. Note that the temperature-axis is inverted.
618	Fig. 7 Linear mixed-effects model results of the best model explaining mean standardized
619	diameter growth as a function of standardized seasonal climate indices for the current and prior
620	year. "Prior dry" and "prior wet" refer to the six month dry and wet season of the prior year,
621	"current wet" and "current dry" refer to the six month dry and wet season of the current year.
622	Only parameters of the selected model with the lowest AIC value are shown.

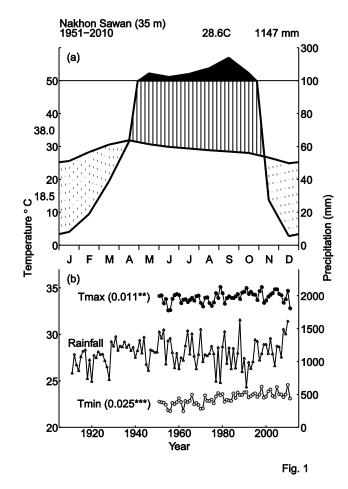
624 Supporting information legend

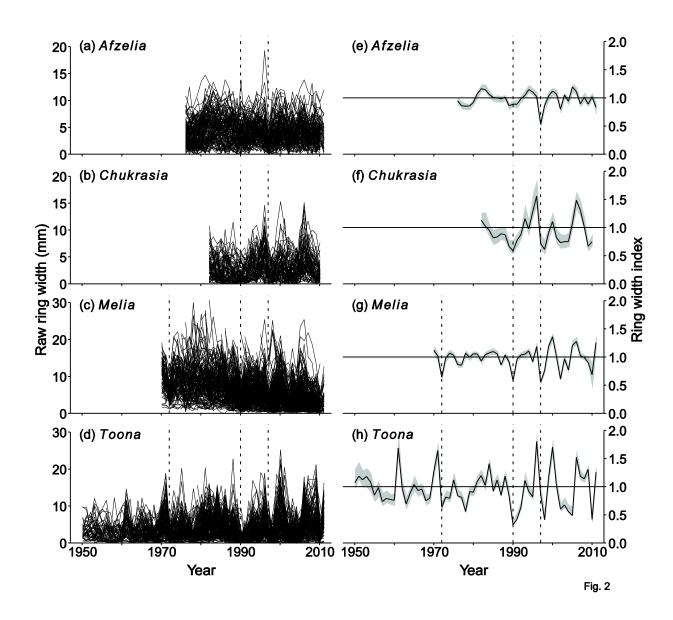
Fig. S1 Ring structure of the five study species, direction of growth is from right to left. White

626 arrows indicate ring boundaries.

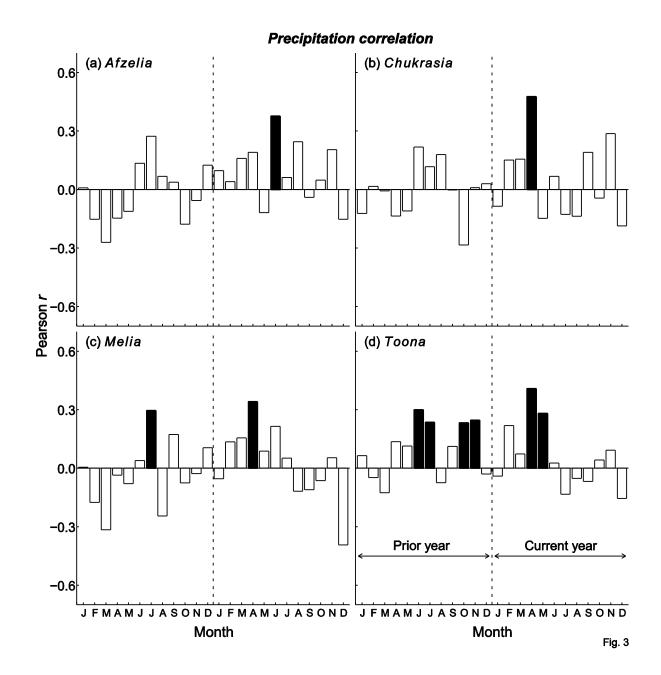
Fig. S2 Boxplots showing the dbh distribution of all sampled trees and those trees included in the

628 chronology.

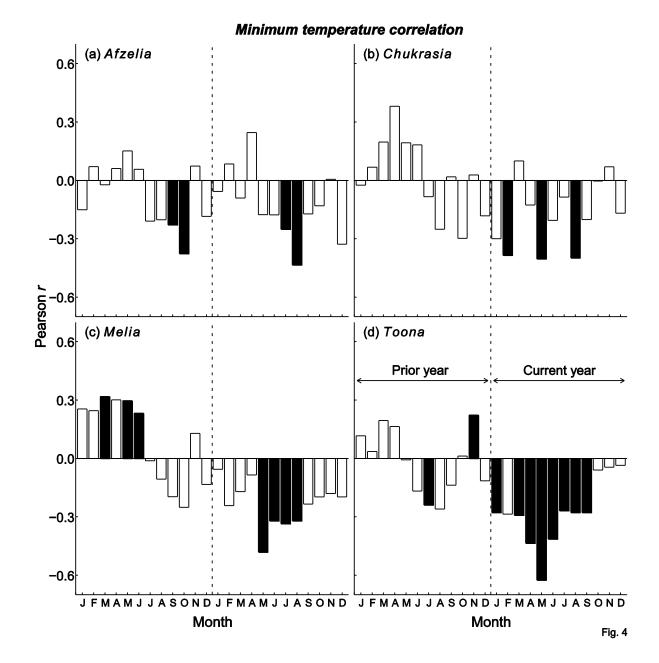


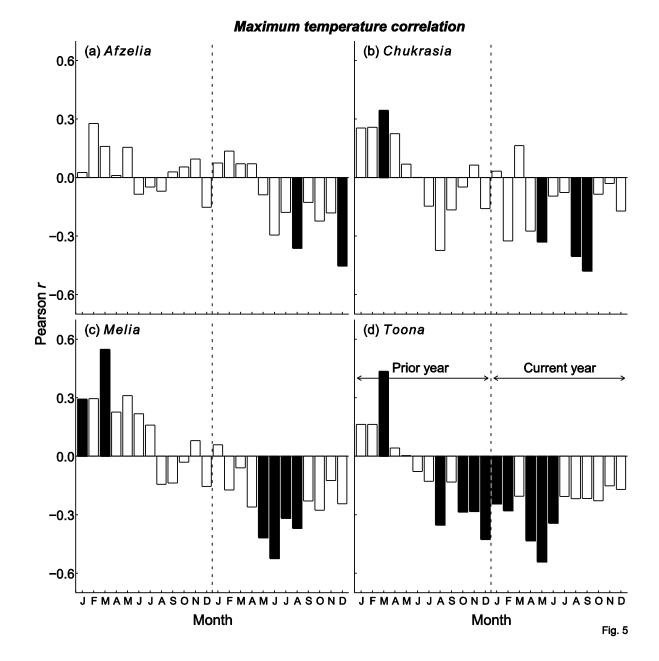


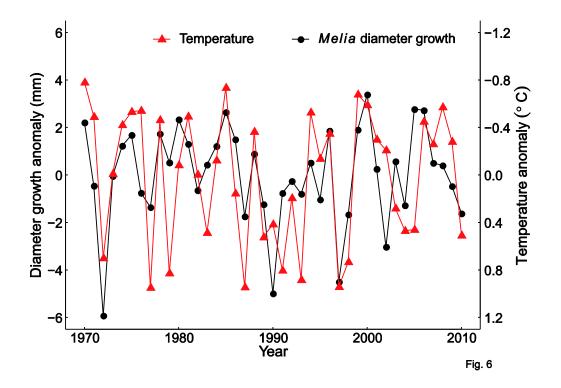


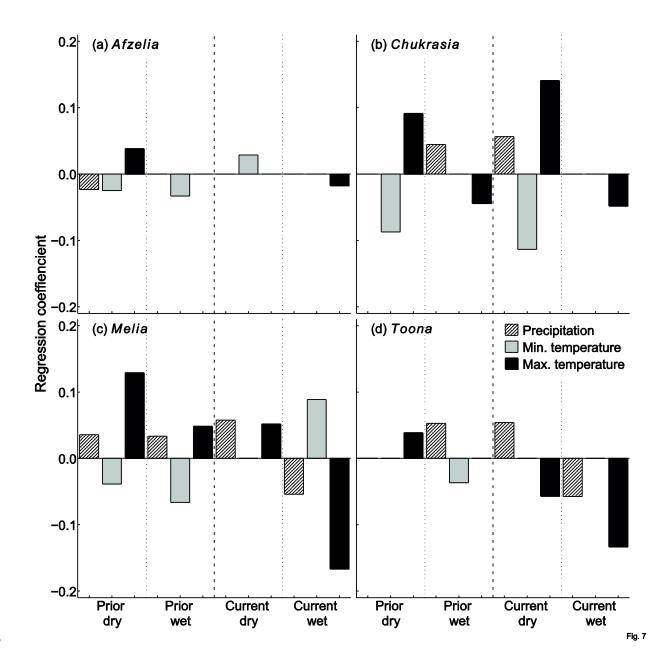














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