



## Temporal and spatial variability of water status in plant leaves by terahertz imaging

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# Temporal and spatial variability of water status in plant leaves by terahertz imaging

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*Abstract:* Water and its distribution and transport dynamics in green plant leaves are vital to the growth of plants. Owing to the high sensitivity of terahertz (THz) wave to water, THz spectroscopy has great advantages in analyzing the water status of plant leaves. This paper presents a new approach to estimate the water status of plant leaves by the THz time-domain spectroscopy (THz-TDS) technique. Spatial distribution of THz transmission amplitudes located in vein xylem and mesophyll of all three kinds of leaves including wintersweet, ginkgo and bamboo is detected by THz-TDS measurements. Based on the transmission amplitude, the reconstructed THz images show that the water loss in the basal leaf region is more than that in the distal region during the natural drying process for all three plants. A good agreement is reached between the THz imaging method and the direct water weight measurement. To illustrate the accuracy and the sensitivity of the THz technique, the temporal and spatial variations of the water content in the damaged ginkgo leaf with a wound by cutting are also investigated for comparison. The water flow from the basal region to the distal region of the leaf is inferred according to the variation of THz transmission amplitude with the leaf region in different dehydration periods, which is consistent with the string-of-lakes model prediction. This work shows the feasibility of using THz technology to monitor the temporal and spatial variability of the water status in plant

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leaves.

*Key words:* terahertz, plant leaf, imaging, water content, spatial variability, dehydration

For Peer Review

## I. INTRODUCTION

Water is a fundamental chemical constituent in plants and its content in vegetative tissues is a parameter of high importance to plant science. Water abundance in leaves is closely influenced by the leaf vigor and phylogenetic traits such as the leaf structure, the shape and the photosynthetic efficiency [1, 2]. The heterogeneous change of water content in leaves and the distribution and kinetics of water in plant tissues have been proved to be affected by many factors such as environmental stresses [3], diseases [4] and growth rhythm [5]. There are three representative leaf water models: two-pool model, Pe'clet effect, and string-of-lakes to describe the water status in plant leaves [1]. All these models can be used to describe the potential kinetics of water in leaves, but none can well account for the leaf water enrichment mechanism [1]. Describing the water spatial variability of plant leaves in detail can clearly indicate different capacities of plants to mobilize water between different mesophyll and venation parts of the leaf. It will also improve the criteria as to what parts of the leaf are the most appropriate for conducting physiological measurements at different metabolic states or environmental pressures, and will help understand the water enrichment mechanism in leaves [6].

Many imaging techniques, such as thermal imaging [7], near infrared imaging [8], hyperspectral imaging [9] and  $^1\text{H}$  magnetic resonance imaging (MRI) [6], have been proposed to provide visualization and analyses of detailed spectral and spatial information of water status in plant leaves, which have significantly advanced the plant physiology research. However, each of these techniques has its own limitations. For example, thermal imaging and hyperspectral imaging are usually classified as derivation methods, which reflect the comprehensive influence caused by the change of water content [7, 10]. Relying on the infrared or microwave spectrum, they either lack sensitivity to minor changes in water status in leaves, or are affected by the inorganic salt content of the plant, leading to significant disturbances in measurement [11]. Moreover, the imaging resolution based on the microwave radiation is confined to the minimal wavelength of approximately 2.5 mm. The MRI imaging shows higher resolution, but it is costly and its accessibility is limited [12].

Evolving measurement techniques based on the terahertz (THz) electromagnetic radiation, extending from approximately 100 GHz to 10 THz have shown unique advantages in monitoring minute changes of the water content due to the high sensitivity of THz radiation to water [13]. Furthermore, THz techniques do not bring additional environmental impacts caused by ionizing radiation because of its low photon energy. Thus, a growing attention in the plant physiology has been

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3 directed to THz spectroscopy techniques [14-18], as a non-invasive tool for measuring leaf water status  
4 [13, 19] under certain conditions such as drought stress [20, 21] and dehydration kinetics [22].  
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6 However, hitherto only a few researches have involved THz imaging to explore the organizational  
7 heterogeneity in the field of botany [23, 24]. Compared with the spectroscopy, imaging can actually  
8 provide more details about the spectral, temporal, and spatial variability [15]. Visualized description of  
9 the spatial heterogeneity in distribution and kinetics of the leaf water status across the leaf surface [25]  
10 can deepen our understanding of the complex traits related to the growth, yield and adaptation to biotic  
11 or abiotic stress (disease, insects, drought and salinity) for dissecting the metabolic processes of  
12 different leaf areas and tissues [26].  
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19 The absorption and dispersion coefficients, refractive index and other physical parameters can be  
20 employed as the signals of pixels to perform THz imaging [27]. Previously, THz imaging has been  
21 proved to be a useful complementary technology for nondestructive testing [28]. Objectively speaking,  
22 however, THz imaging is not advantageous enough due to the relative low spatial resolution resulting  
23 from its long wavelength from 30  $\mu\text{m}$  to 3 mm generally accepted. Particularly, the far-field spatial  
24 resolution of THz imaging is restricted to the Rayleigh criterion which corresponds to 180  $\mu\text{m}$  in  
25 vacuum at 1 THz [29]. Luckily, the size of plant leaves in centimeter is much larger than this scale.  
26 Besides, typical accuracy of  $\sim 100 \mu\text{m}$  can be achieved by improving the image processing schemes of  
27 the THz time-domain spectroscopy (THz-TDS) system [30]. Thus, the recent developments of sensitive  
28 THz imaging platforms and precise image processing schemes have opened up the possibility toward  
29 high-resolution studies on water variation of plant leaves and the related kinetics [8].  
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40 This paper illustrates the use of THz imaging as a flexible and powerful platform to study the  
41 temporal and spatial variations of water status in three kinds of plant leaves with typical venations. The  
42 temporal and spatial changes of water content in the whole leaf and different leaf regions are  
43 investigated by THz imaging based on semi-quantitative THz image signals. A strong correlation is  
44 identified between the THz imaging and the conventional water weight measurement, demonstrating  
45 the feasibility of this method for monitoring the temporal and spatial variability of leaf water content.  
46 In addition, *in situ* detection also can be achieved *in vivo* by adjusting the scanning operation shelf of  
47 the THz imaging system.  
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## II. EXPERIMENTAL SECTION

### A. Leaf samples

Three kinds of fresh leaves of wintersweet (*Chimonanthus praecox*), ginkgo (*Ginkgo biloba*) and bamboo (*Bambusa multiplex*) cultivated in Chongqing Institute of Green and Intelligent Technology, Chinese Academy of Sciences were picked and shown in Fig. 1. These fresh leaves were first used for THz imaging, and then weighed by an accurate electronic balance. Each of these leaves was tested individually and repeatedly every day at the same time under the same conditions during seven successive days. The tested environment temperature was  $22\text{ }^{\circ}\text{C} \pm 0.1\text{ }^{\circ}\text{C}$  and the humidity was  $50\% \pm 2\%$ .

### B. Setup of the THz imaging system

The THz imaging system used in experiment was based on the THz time-domain spectroscopy system (T-Ray 5000) produced by Advanced Photonix, Inc. (API). The schematic diagram of the whole system in transmission mode is shown in Fig. 2a, which had been described in detail in our previous work [30, 31]. Fig. 2b shows the measured sample fixed on the XY two-dimensional moving stage. The transparent holder for the sample is made of polyethylene with low absorption in the terahertz frequency band. The raster scanning step size is 0.25 mm and the scanning speed is 50 mm/sec, i.e., the dwell time at each pixel is 5 ms, longer than the spectral scanning time of 1 ms of the THz system. The imaging areas of the XY-stage are 10.4 cm  $\times$  6.3 cm for wintersweet, 6 cm  $\times$  7.5 cm for ginkgo, and 10.5 cm  $\times$  3.1 cm for bamboo, respectively.

### C. THz imaging

By collecting, analyzing and reconstituting the THz transmission spectrum data, the two-dimensional image of the sample containing all areas of the leaf is obtained. For the time-domain imaging, each pixel in the image corresponds to the signal waveform of THz pulse in time-domain on one certain point of the leaf. THz time-domain spectral imaging can reflect the obviously enhanced contrast difference [27]. While the frequency-domain pattern imaging based on the absorption coefficient, dispersive coefficient, refractive index or other physical parameters of each pixel can usually yield a relatively better imaging result [32]. Imaging resolution increases with the frequency, but on the other hand, the penetration depth of the THz radiation decreases, leading to a decreased signal-to-noise ratio. Therefore, the choice of imaging frequencies needs to be tested to get a trade-off

compromise between the two constraints [33].

THz frequency-domain imaging of the leaf is obtained according to the following expression:

$$a_{(x,y)} = \text{abs}(F_{sam(x,y)}(\omega)) / \text{abs}(F_{ref}(\omega)) \quad (1)$$

where  $a_{(x,y)}$  is the value of each pixel in the two-dimensional THz frequency-domain imaging, *i.e.*, the pixel information,  $\text{abs}(F_{sam(x,y)}(\omega))$  is the transmission amplitude after Fourier transform of the sample at each pixel, and  $\text{abs}(F_{ref}(\omega))$  is the that of the reference (air) at the same frequency under the same conditions.

#### D. Statistical analyses

The water status of the leaf relative to the first day is calculated as,

$$LWS(\%) = \frac{w_n}{w_1} \times 100\% \quad (2)$$

where  $w_1$  means the weight of the fresh leaf at the first day and  $w_n$  means the weight in one of the seven successive days.

In previous work [20, 22], the THz transmission was extracted as the signal to explore the water content of the leaves. Without any absorbing or reflecting materials, the transmission is defined as 100%, *i.e.* the THz transmission of air is used as a standard [20]. Since the THz transmission is reversely proportional to water content due to the high absorption coefficient of water, there is an opposite trend between the increasing THz transmission amplitude and the decreasing water content of the leaf. For the convenience of discussion, in this work, we keep the numerical THz image signals to have the same trend with the water content of leaves. Therefore, the THz image signal is defined in reverse proportion to the THz transmission amplitude for investigating the dehydration kinetics of leaves. To be consistent with the definition of the leaf water status as Eq. 2, the THz image signal ( $T$ ) of the leaf is defined as,

$$T(\%) = \frac{a_1}{a_n} \times 100\% \quad (3)$$

where  $a_1$  means the pixel information (Eq. 1) of the sample on the first day and  $a_n$  means the pixel information on one of the seven successive days at the same location. The pixel information for THz imaging is collected and averaged from a serial of pixels at the same spatial location on each day during the water loss process.

In order to examine whether THz imaging has the ability to explore the spatial distribution of water at different regions of the leaf, we compare the THz image signals at different locations on the

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3 mesophyll and vein, and at least 20 independent points were chosen respectively. The definite basal,  
4 intermediate and distal regions on the leaf are determined referred to the previous study [6]. Correlation  
5 between the gravimetric water content and the THz image signals of each measured leaf is successively  
6 analyzed by Pearson correlation coefficient.  
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### 10 **III. RESULTS AND DISCUSSION**

#### 11 **A. Determine the optimal imaging frequency for each plant**

12 The THz time-domain waveforms were measured for every pixel during the imaging procedure.  
13 Fig 3a is plotted by the peak-to-peak feature of the time-domain waveform imaging. Figs. 3b, c and d  
14 were plotted by the THz transmission amplitude at fixed frequency points of 0.6, 0.89 and 1.15 THz,  
15 respectively. Vein outline in the frequency-domain image with anyone of the three frequencies, shows  
16 a much clearer structure compared with that in the time-domain imaging (Fig. 3a). The  
17 frequency-domain images with higher frequencies reflect obviously more elaborate structure in the leaf  
18 tissue. The electromagnetic beams converge more as the frequencies of the beams increase and thus the  
19 resolution increases, so the veins has a much clearer outline in higher frequencies [34-36]. But  
20 meanwhile, the absorption coefficient also increases with the increase of the frequency, causing the  
21 penetration depth of THz beams and the signal-to-noise ratio to decrease [37]. Thus, there are different  
22 colors of the backgrounds in Figs. 3b-d, representing different THz transmission amplitudes of the  
23 sample holder at different frequencies. With the increase of the imaging frequency, the background  
24 signal decreases as shown in Figs. 3b-d. The imaging result at 0.89 THz achieves a trade-off between  
25 the appropriate imaging resolution and the good signal-to-noise ratio.  
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#### 41 **B. Temporal and spatial variations of water content in leaves**

42 The optimal imaging frequencies are 0.89 THz for wintersweet, 0.85 THz for ginkgo and 0.9 THz  
43 for bamboo, respectively, since the thickness difference and the component diversity of these three  
44 plant leaf samples give rise to different optimal frequencies [38]. During the entire drying process of  
45 the leaf, THz image signals representing the reverse of the transmission amplitude of every plant leaf  
46 decrease significantly, which indicates the water loss process of the leaves (Fig. 4). The THz image of  
47 the wintersweet leaf exhibits an obvious reticulate venation and a heterogeneous patch between  
48 mesophyll and vein, which reflects the spatial variability of the leaf water status(Fig. 4a). However, the  
49 boundary is blurred and the demarcation lines drawing the outline of the venation are vague in shape,  
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3 which makes the dichotomous venation of ginkgo and the parallel venation of bamboo not easy to be  
4 observed because of their thinner veins compared to that of the wintersweet, and leads to a consequent  
5 relatively low resolution in millimeter scale [39]. The THz imaging method allows for the detection of  
6 the spatial variability of the leaf water status among distal, intermediate and basal leaf regions over  
7 time, because of the large absorption coefficient of water [40] and the relatively small absorption  
8 coefficient of the dry-matter leaf [17, 41]. It is noteworthy that in Figs. 4a and b, there are weak fringes  
9 in the background. **These fringes similar to the Newton ring are caused by the interference phenomenon  
10 due to the reflections of the uneven surface of the leaf and the flat plastic holder. These undesired  
11 fringes can be effectively removed using an appropriate imaging algorithm, but it is not involved in the  
12 present work.**

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21 Furthermore, to quantitatively describe the temporal and spatial variability of the leaf water status,  
22 exact numerical values extracted from these images were used. The THz image signals of wintersweet  
23 and ginkgo decrease almost linearly, while that of the bamboo leaf decreases in a nonlinear form with  
24 the increase of the dehydration days (Fig 5). Unlike the wintersweet and ginkgo, the mesophyll cells of  
25 bamboo do not differentiate palisade and spongy tissues [42, 43] and they are irregularly shaped cells  
26 that have many well-developed intercellular spaces that allow the passage of gases [44], therefore the  
27 water loss rate of bamboo is slower than those of the wintersweet and ginkgo, **especially in the later  
28 dehydration days.** Comparing with the ginkgo leaf, there is a relatively higher dehydration rate for the  
29 wintersweet leaf during the first three days (Figs. 5a and b), because the ginkgo leaf has a waxy  
30 protective layer on the surface, which can retard the evaporation of water from the leaf surface [45].  
31 More detailed comparison of the difference between the mesophyll and vein parts based on the THz  
32 image signals of the wintersweet leaf shows the water reduction is greater in leaf veins than that in leaf  
33 parenchyma parts (Fig. 6). In addition, the THz image signals gradually decrease along the distal,  
34 intermediate and basal regions on the same dehydration day (Fig. 6), indicating the rate of water loss in  
35 the basal region is higher, which is in good agreement with the previous report [6].

### 50 51 **C. Correlation analysis of different measurement methods for each plant leaf**

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53 The response of photosynthesis and respiration can affect the process of metabolism, which is  
54 related to the productivity of plants [46]. Using THz imaging for crop water status monitoring helps  
55 grasp the growth of plants at different stages, avoid irreversible damage, and thus substantially reduce  
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3 or prevent yield losses [47]. In addition, the water loss rate of leaves *in vitro* have been proved to be  
4 used as one of the physiological indices for drought resistance evaluation [48]. Therefore in this work,  
5 in order to test the reliability and applicability of the method, the water content was also measured  
6 through a traditional weighing method (Fig.7). Here, the correlation analyses were performed to test the  
7 consistency of results obtained by different methods. From the Pearson analysis results, the correlation  
8 coefficients of the three leaves are 0.996 (wintersweet, Fig. 8a), 0.991 (ginkgo, Fig. 8b) and 0.993  
9 (bamboo, Fig. 8c), respectively. The results indicate that there is a **strong** linear positive correlation  
10 between the THz image signal and the water content measured by the conventional weighing method  
11 regardless of the kinds of leaves. The Pearson correlation coefficients suggest that the THz imaging can  
12 be well used to detect the temporal and spatial variability of the water status of plant leaves among  
13 different tissues and different parts of leaves.  
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#### 25 **D. Influence of the wound on the dehydration kinetics of the ginkgo leaf**

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27 Water transport in the plant leaf is a key and important problem for the leaf growth. To look into  
28 the water transport in the leaf, a knife cut near the basal region of the ginkgo leaf was performed to  
29 obtain a damaged leaf with a wound. Data of THz transmission amplitudes extracted from Fig. 4b at  
30 thirteen different locations ranging from the distal to the basal regions along the veins for the control  
31 and damaged ginkgo leaves on the first and seventh days are compared in Fig. 9. As seen from Fig. 9,  
32 the transmission amplitude at the distal region is higher than that at the basal region for all curves,  
33 confirming the above finding that the water content in the basal region is higher than that in the distal  
34 region of the leaf. For the control leaf, the increase of the transmission amplitude from the first day to  
35 the seventh day at the basal region is slightly higher than that at the distal region, indicating more water  
36 loss at the basal region, which confirms our above finding and agrees well with the report in Ref. 6.  
37 While for the damaged ginkgo leaf, the transmission amplitude has a distinct increase on the seventh  
38 day around the ninth location, the same position to the knife cut, indicating the accuracy and the  
39 sensitivity of the THz technique. Furthermore, the THz transmission amplitude becomes higher at the  
40 distal and intermediate regions and almost keeps unchanged at the basal region comparing with the  
41 control leaf on the seventh day. This may indicate there is a water flow from the basal region to the  
42 distal region for the control leaf and the water supply is cut off for the damaged leaf, resulting in the  
43 water loss at the distal and intermediate regions in a more serious degree. The water flow direction  
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3 inferred by the THz technique is in agreement with the prediction from the string-of-lakes model [1].  
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#### 6 7 **IV. CONCLUSIONS**

8 In summary, we proposed a quantitative method to evaluate the water content of plant leaves by  
9 the THz imaging technique. We used the THz-TDS imaging system to examine the water content of  
10 leaves and studied the relationship between the water content and the THz image signals. By THz  
11 imaging, changes of the water content and the water distribution in a plant leaf could be determined.  
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13 The significance of the work lies in the systematic application of THz imaging technology to monitor  
14 the dehydration kinetics of leaves from different regions of the leaf and different plant species. The  
15 good agreement between the THz image signal and the weight measurement suggests that THz imaging  
16 has a good potential to measure the water content of plant leaves in a simple, fast, and label-free way.  
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18 More structure details of the margin, intercostal, venous and basal mesophyll and vein of plant leaves  
19 can be detected by THz technique if the imaging enhancement processing is performed or by  
20 combining THz imaging with the photoacoustic imaging [49,50], which will be our future work  
21 directions.  
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**Figure captions:**

**Fig. 1.** Visible optical images of leaves (a) wintersweet, (b) ginkgo, and (c) bamboo.

**Fig. 2.** (a) Schematic diagram of a typical THz-TDS setup. (b) Physical diagram of the THz-TDS system in the transmission mode. The measured sample was fixed on the XY two-dimensional moving stage for imaging.

**Fig. 3.** Time-domain peak-to-peak imaging (a) and frequency-domain imagings at 0.6 THz (b), 0.89 THz (c), and 1.15 THz (d) of the wintersweet leaf in the fourth day.

**Fig. 4.** Frequency-domain imagings of wintersweet (a), ginkgo (b), and bamboo (c) at the optimal frequency in seven successive days.

**Fig. 5.** Variations of THz image signals with drying days at the distal, intermedial and basal regions of different leaves. (a) wintersweet; (b) ginkgo; (c) bamboo.

**Fig. 6.** Variations of THz image signals with drying days at different regions for different parts of the wintersweet leaf. (a) main vein; (b) lateral vein; (c) mesophyll.

**Fig. 7.** Water content of wintersweet, ginkgo and bamboo leaves measured by the weighing method.

**Fig. 8.** Correlation between THz image signals and gravimetric water content for wintersweet (a), ginkgo (b), and bamboo (c) with the linear regression fitting line and the Pearson correlation coefficient.

**Fig. 9.** Variations of THz transmission amplitude with the region of the control (a) and damaged (b) ginkgo leaves on the first and seventh days.

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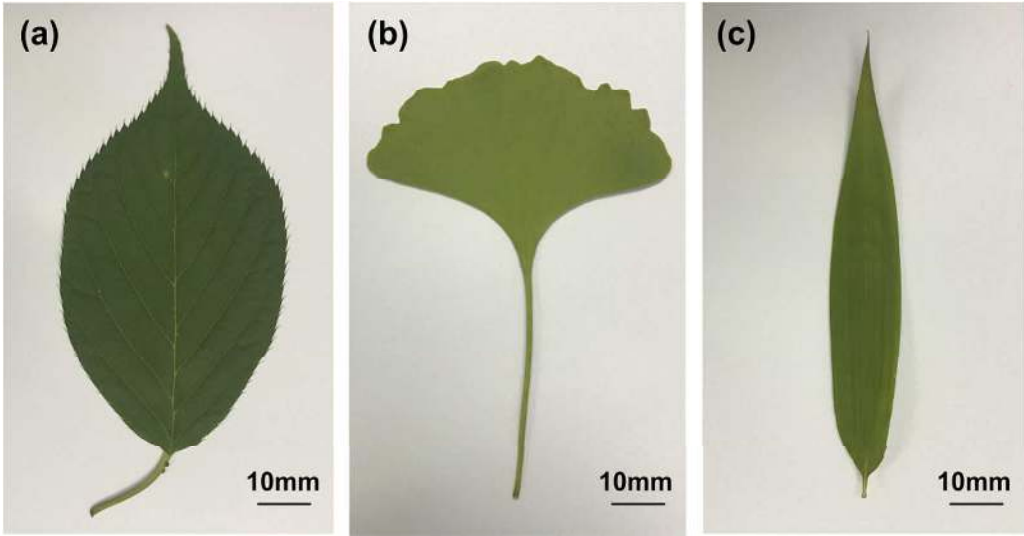


Figure. 1



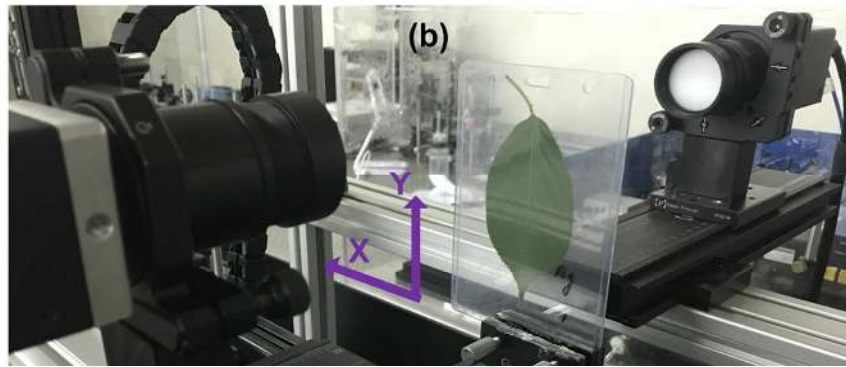
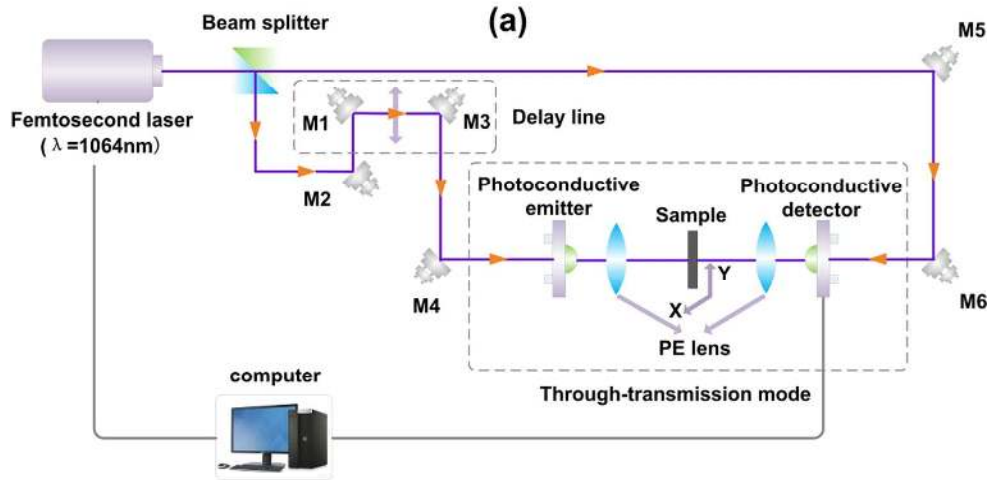
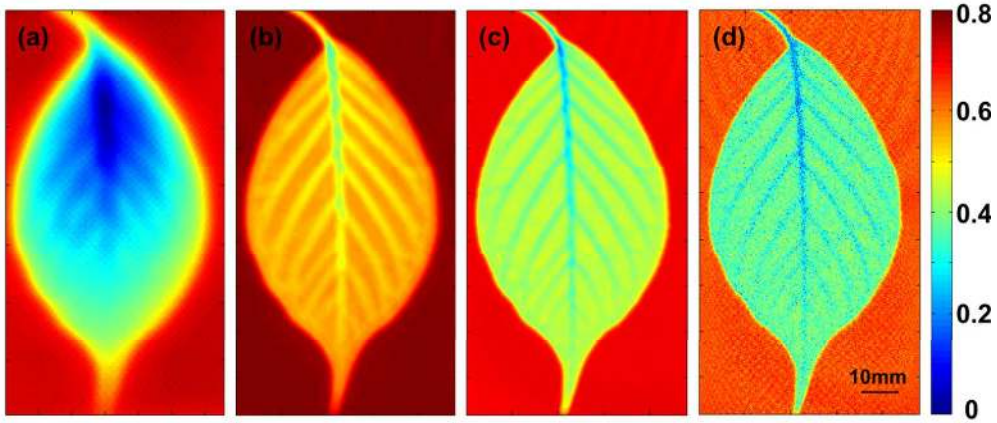


Figure. 2

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Figure. 3

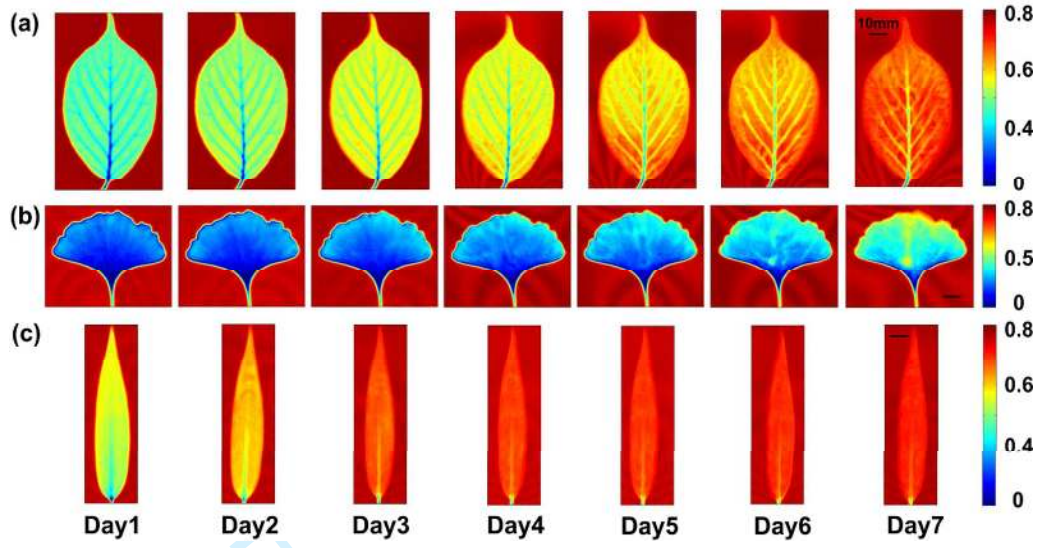


Figure. 4

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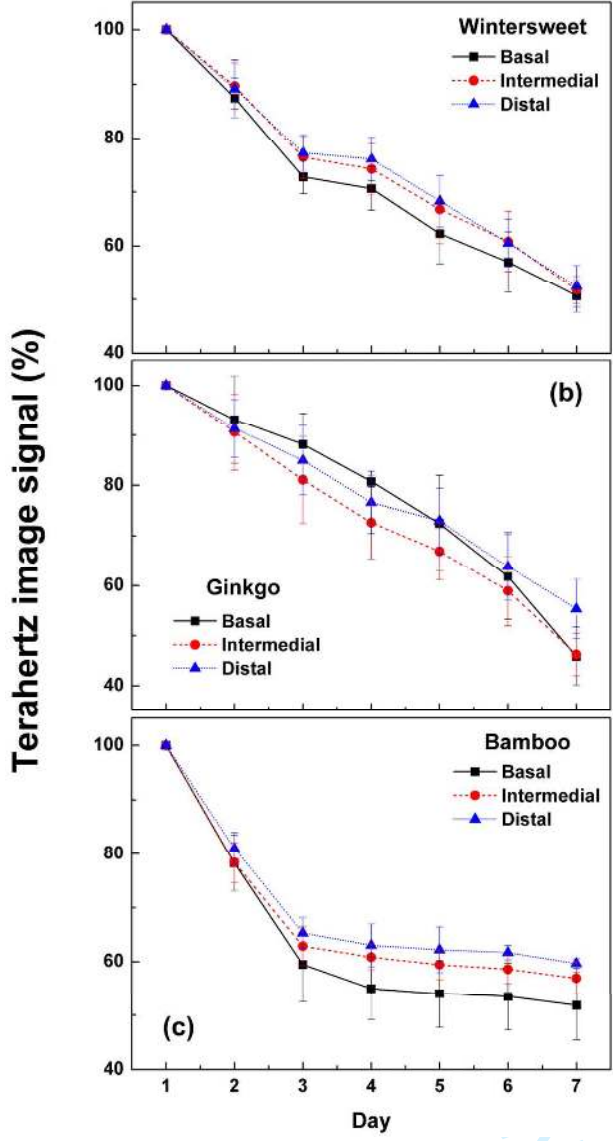


Figure. 5

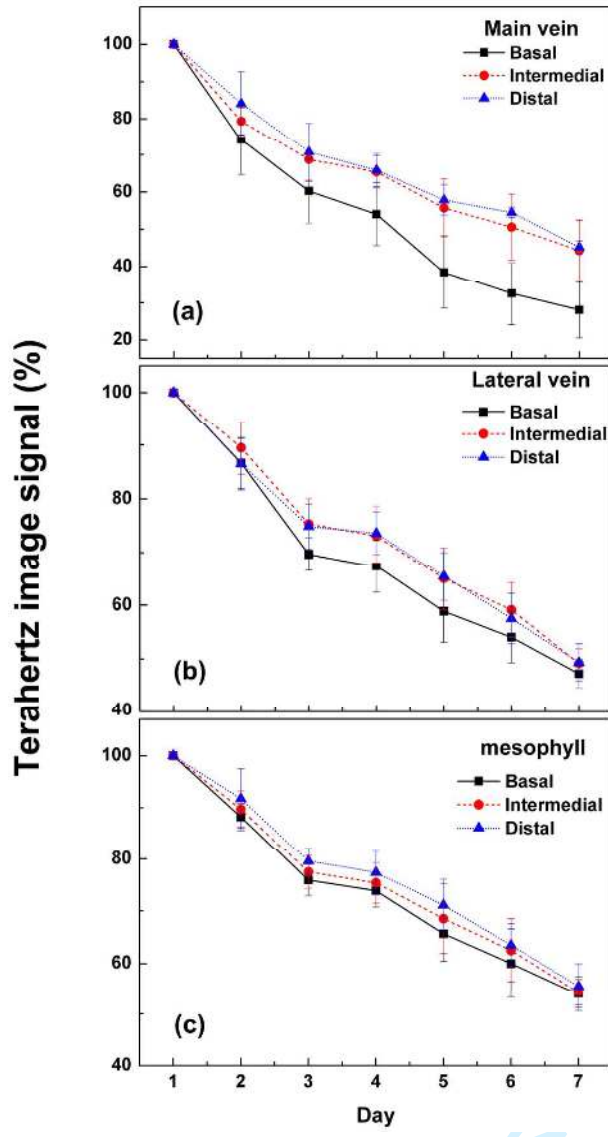
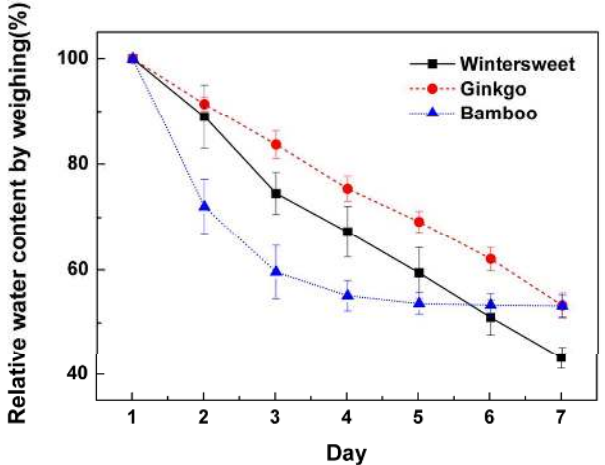


Figure. 6

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Figure. 7

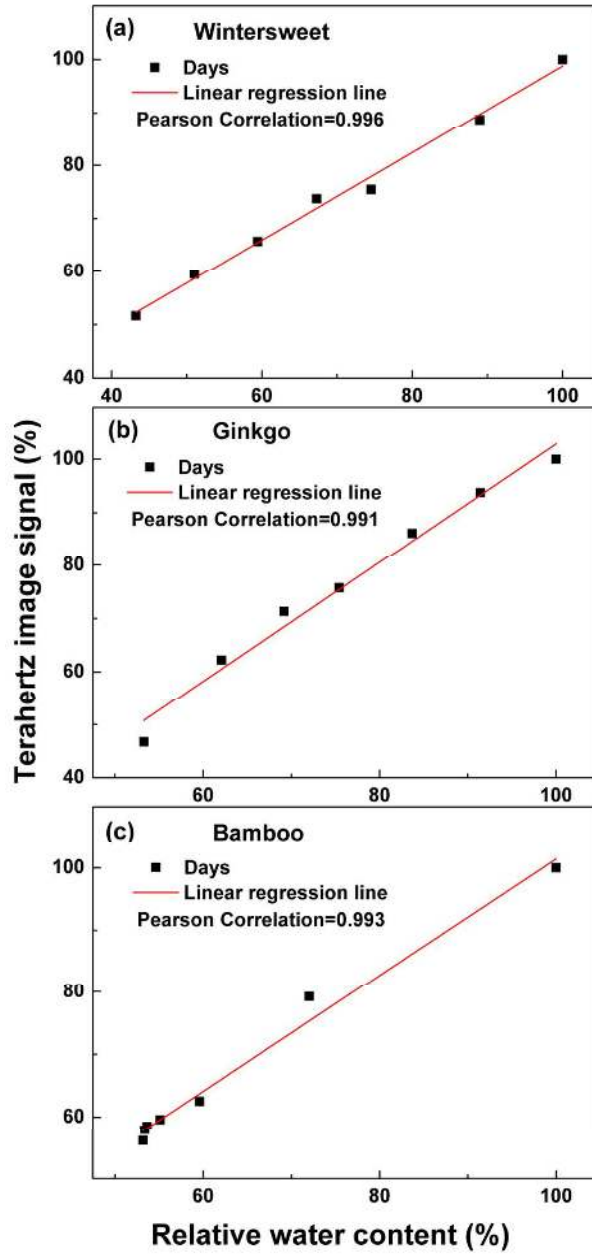
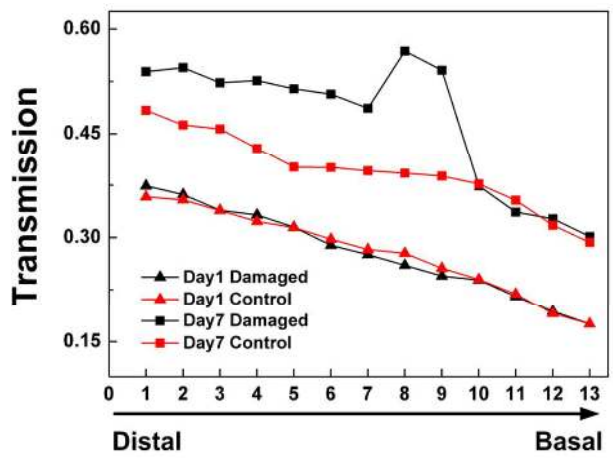


Figure. 8

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Figure. 9