

1 **Test-retest reliability, validation, and ‘minimal detectable**
2 **change’ scores for frequently reported tests of objective**
3 **physical function in patients with non-dialysis chronic**
4 **kidney disease**

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ABSTRACT

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Physical function is an important outcome in chronic kidney disease (CKD). We aimed to establish the reliability, validity, and the ‘minimal detectable change’ (MDC) of several common tests used in renal rehabilitation and research. In a repeated measures design, 41 patients with CKD not requiring dialysis (stage 3b to 5) were assessed at an interval of 6 weeks. The tests were: the incremental shuttle walk test (ISWT), ‘sit-to-stand’ (STS) test, estimated 1 repetition maximum for quadriceps strength (e1RM), and VO_{2peak} by cardiopulmonary exercise testing (CPET). Reliability was assessed using intraclass correlation co-efficient (ICC) and Bland-Altman analysis, and absolute reliability by standard error of measurement and MDC. The ISWT, STS-60, e1RM, and CPET had ‘good’ to ‘excellent’ reliability (.973, .927, .927, and .866). STS-5 reliability was poor (.676). The MDC are: ISWT, 20 m; STS-5, 7.5 secs; STS-60, 4 reps; e1RM, 6.4 kg; VO_{2peak}, 2.8 ml/kg/min. There was strong correlation between the ISWT and VO_{2peak} ($r = 0.73$ and 0.74). Whilst there was poor correlation between the STS-5 and e1RM ($r = 0.14$ and 0.47), better correlation was seen between STS-5 and ISWT ($r = 0.55$ and 0.74). In conclusion, the ISWT, STS-60, e1RM, and CPET are reliable tests of function in CKD. The ISWT is a valid means of exercise capacity. The MDC can help researchers and rehabilitation professionals interpret changes following an intervention.

Keywords

Chronic Kidney Diseases; Muscle strength; Outcome Assessment; Rehabilitation; Renal Insufficiency; Walking

INTRODUCTION

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Chronic kidney disease (CKD) is associated with adverse clinical outcome and reduced quality of life (Levey et al., 2005). CKD patients have reduced physical functioning (Hiraki et al., 2013; Kuo et al., 2015; Segura-Ortí, Gordon, Doyle, and Johansen, 2017), which is partly attributable to fatigue and muscle wasting characteristic of the condition (Wang and Mitch, 2014). Reductions in physical function begin early in the disease process (Hiraki et al., 2013), and are independently associated with mortality (Roshanravan et al., 2013).

Consequently, physical function is an important target for research and rehabilitative intervention.

Physical function can be assessed using a range of field tests. The incremental shuttle walk test (ISWT) is a popular measure of exercise capacity, and has been used extensively in patient populations such as chronic obstructive pulmonary disease (COPD) (Singh, Jones, Evans, and Morgan, 2008), haemodialysis (HD) (Wilund et al., 2010), and non-dialysis CKD (Greenwood et al., 2012; Watson et al., 2015). Another well-established measure is the multi-variant ‘sit-to-stand’ (STS) test. The STS-5 repetition test is an assessment of lower body muscle strength, dynamic balance (Mong, Teo, and Ng, 2010), and exercise capacity (Jones et al., 2013), whilst the STS-60 second test measures lower body muscle endurance (Jones et al., 2013; Mong et al., 2010; Puthoff and Saskowski, 2013; Rikli and Jones, 2013; Segura-Ortí and Martínez-Olmos, 2011). These tests reflect a common activity of daily living (i.e. getting up from a chair), and are widely used in clinical and CKD research (Greenwood et al., 2012; McIntyre et al., 2006; Segura-Ortí et al., 2017; Segura-Ortí and Martínez-Olmos, 2011).

82 Reliability indicates the degree to which scores of a test are free of measurement errors.
83 Recognising the error inherent in outcome measures is imperative to the understanding of
84 changes and interpretation of research or rehabilitative interventions. Reliability can be
85 expressed in both relative and absolute terms. Relative (or test-retest) reliability can be
86 measured using intraclass correlation co-efficient (ICC). Absolute reliability refers to
87 individual performance variation and measurement error, and is quantified as standard error
88 of measurement (SE_M) (Ries, Echternach, Nof, and Blodgett, 2009; Stratford, 2004).

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90 Using the SE_M , a more clinically useful means of interpreting reliability is the ‘minimal
91 detectable change’ (MDC) (Haley and Fragala-Pinkham, 2006), defined as the smallest
92 amount of reliable change in a measurement necessary to conclude that the difference is not
93 attributable to error (Segura-Ortí and Martínez-Olmos, 2011). Change exceeding the MDC is
94 considered ‘true’ change (Haley and Fragala-Pinkham, 2006). The MDC can be calculated at
95 an individual (MDC_{indv}) and group (MDC_{group}) level. The MDC_{indv} shows whether observed
96 changes in the individual's status are greater than variations of chance (Lee et al., 2013),
97 whereas the MDC_{group} is required to determine the relevance of changes across samples
98 (Busija et al., 2008; De Vet, Bouter, Bezemer, and Beurskens, 2001).

99

100 Alongside reliability, validity is also an important construct of physical performance tests.
101 Whilst the ‘gold standard’ measure of exercise capacity is cardiopulmonary exercise testing
102 (CPET) of VO_{2peak} , it is often impractical in rehabilitative settings, particularly in vulnerable
103 clinical patients. As such, the ISWT is frequently used as its surrogate measure (Holland et
104 al., 2014; Singh et al., 1994). Although the ISWT has been validated against CPET (via cycle
105 ergometer and treadmill modality) in other clinical populations (Arnardóttir et al., 2006;

106 Green et al., 2001; Holland et al., 2014; MacSween, Johnson, Armstrong, and Bonn, 2001;
107 Moloney et al., 2003), it has *not* yet been validated in CKD.

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109 Lower body strength is important in CKD as the muscle of the legs are typically atrophied
110 (Wang and Mitch, 2014). Whilst the STS-5 may act as a surrogate measure of strength
111 (McCarthy, Horvat, Holtsberg, and Wisenbaker, 2004; Mong et al., 2010; Rikli and Jones,
112 2013), calculating 1 rep maximum (1RM) strength using resistance machines may be more
113 applicable in non-laboratory settings (i.e. a gymnasium) where dynamometry is not available
114 (Gail and Künzell, 2014).

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116 No previous estimates of MDC for physical function tests exist for non-dialysis CKD
117 patients, and neither the ISWT nor STS-5 have not been validated in this group. Despite only
118 ~5% of CKD patients progressing to end-stage renal disease (and requiring renal replacement
119 therapy e.g., HD) (Dalrymple et al., 2011), research, particularly in regard to rehabilitation,
120 into non-dialysis patients often falls behind that of HD (Heiwe and Jacobson, 2014). With
121 reductions in physical function, an independent measure of mortality (Roshanravan et al.,
122 2013), evident in the early stages of CKD (Hiraki et al., 2013), it appears fundamental that
123 interventions designed to improve functional status (e.g., exercise (Heiwe and Jacobson,
124 2014)) are initiated promptly in the disease process and before it can progress. Furthermore,
125 with non-dialysis patients often experiencing differing functional capacities to other disease
126 populations (e.g., COPD), and indeed other CKD groups (e.g., those on HD (Hiraki et al.,
127 2013; Segura-Ortí et al., 2017)), the identification of the reliability and validity of physical
128 function tests specific to the non-dialysis population is vital in the correct interpretation of
129 functional changes.

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131 The aims of the current study were to: 1) determine the test-retest reliability and estimated
132 MDC of the common physical function tests in patients with non-dialysis CKD; and 2)
133 confirm the validity of the ISWT as a measure of exercise capacity (VO_{2peak}), and the STS as
134 a measure of lower body strength.

METHODS

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All assessments took place between December 2013 and June 2016 at Leicester General Hospital, Leicester, UK. Patients were recruited from nephrology outpatient clinics at the University Hospitals of Leicester NHS Trust. Patients gave written informed consent in accordance with the Declaration of Helsinki and local Research Ethics Committee approval was obtained. This analysis forms part of a larger body of work completed by our group (ISRCTN registration 36489137). To ensure accurate reporting of study measurement properties and analysis parameters, the ‘Consensus-based Standards for the selection of health Measurement Instruments’ (COSMIN) checklist was adhered to (Mokkink et al., 2010).

Participants

Patients were recruited if they had: CKD stages 3b-5 (i.e. an estimated glomerular filtration rate (eGFR) of ≤ 44 ml/min/1.73²) *not* requiring renal replacement therapy (e.g., HD); were aged ≥ 18 ; no significant co-morbidity (e.g., unstable hypertension, potentially lethal arrhythmia, myocardial infarction within the previous six months) contraindicative to physical exercise; no significant physical impairment; and sufficient ability to give informed consent. Prior exercise and physical activity level was not a pre-requisite for inclusion.

The severity of comorbidity was recorded and scored according to Charlson Comorbidity Index (CCI). A higher CCI score indicated greater comorbidity, and CCI scores of 1–2 were classified as mild; scores of 3–4 as moderate; and scores ≥ 5 as severe (Huang et al., 2014).

Physical function assessments

160 Assessments were performed at two time points (test 1 and 2) separated by a six week period
161 in which patients were instructed to maintain their habitual lifestyle. The six week interval
162 forms part of a control period in the main trial, thus we used pre- and post-data as an
163 opportunity to assess the reliability of the tests employed. All researchers performing the tests
164 followed strict operating procedures for each of the tests performed to reduce investigator
165 bias. As different researchers were used, we do not present *intra*-rater reliability, but *inter*-
166 rater reliability. Each test was performed in the same order (STS tests, ISWT, and e1RM). As
167 CPET was used to screen patients for cardio-pulmonary contraindications, and due to the
168 relative exhaustive aspect of the test, CPET was performed on a separate visit several days
169 before. All tests, apart from CPET due to logistic and cost factors, had a familiarisation test
170 several days prior to their first test to minimise learning effect (Holland et al., 2014). The
171 familiarisation testing session involved an explanation of the test to the patient, short
172 demonstration of the basic movement(s) by the researcher present, a practice repetition (if
173 appropriate), and then the full test. On the day of testing, following a re-explanation of the
174 test, patients performed each test once.

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176 ISWT

177 The ISWT is maximally progressive test that involves walking at a pace externally dictated
178 by an auditory tone (Holland et al., 2014; Singh et al., 1994). During the test, the patient
179 walked a total of 10m back and forth and around two cones. The walking pace was increased
180 by a rate of 0.17m/sec every minute for twelve stages until the patient could no longer keep
181 up with the pace because of breathlessness, pain, or other symptoms. Only completed shuttles
182 were counted. The outcome was distance walked (m).

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184 The ISWT was preferred over other measures of exercise capacity, such as the 6-minute walk
185 test (6MWT), as this test requires at least 30m of walking space (Holland et al., 2014);
186 provisions not available at our research facility. However, along with reducing limitations
187 associated with being self-paced, the ISWT may also provide superiority when prescribing
188 exercise intensity as a % of peak performance (Holland et al., 2014), and thus may be more
189 beneficial in a renal rehabilitation setting when tailoring individualised exercise
190 interventions.

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192 STS tests

193 The STS-5 and -60 tests were employed as measures of lower body strength and muscle
194 endurance (Mong et al., 2010; Rikli and Jones, 2013; Segura-Ortí and Martínez-Olmos,
195 2011). The patient sat on a seat (43.2 cm from the ground). With their hands across their
196 chest, patients were asked to: 1) perform five complete STS cycles as fast as possible (STS-
197 5); and 2) perform as many complete STS cycles in 60 secs (STS-60). The STS-5 time was
198 stopped when the patient was seated following their fifth repetition. If the patient was half-
199 way through a stand when STS-60 time had expired, this was counted as one repetition (Rikli
200 and Jones, 2013). The STS-5 test was preferred over other STS versions (e.g., STS-10) as its
201 short duration reduces patient burden during testing sessions involving multiple other
202 outcomes (Nilsagård, Andreasson, Carling, and Vesterlin, 2017).

203

204 Lower limb strength

205 The maximal strength (kg) of the quadriceps muscle was measured using a leg extension
206 machine (TechnoGym, Italy). Performing a true 1RM test is associated with an increased
207 injury risk and stress on the muscles and joints, particularly in untrained (Gail and Künzell,
208 2014) and clinical groups (Abdul-Hameed, Rangra, Shareef, and Hussain, 2012), therefore

209 we estimated 1RM (e1RM) for the leg extension exercise from a 5-rep maximum (5RM)
210 (Brzycki, 1993; Dudgeon et al., 2010). During the test, weight was progressively increased by
211 a minimum of 2.5 kg depending on participant feedback and ease of the previous 5
212 repetitions. To reduce cumulative fatigue and to ensure an accurate 5RM, patients were given
213 a minimum 60 second rest in-between each attempt, although researcher discretion was also
214 used. The 5RM was determined as the maximal weight the patient could lift five times with
215 correct technique.

216

217 CPET

218 Peak exercise capacity (VO_{2peak}) was assessed using CPET. Patients were asked to cycle for
219 as long as possible at a revolutions per minute (RPM) ≥ 60 . Following a 3 minute warm up,
220 the resistance on the static ergometer (Lode Excalibur, Netherlands) increased from 30 Watts
221 by 1 Watt every 3 secs in a ramp protocol. Throughout the test, an echocardiogram was
222 performed and reviewed by an experienced exercise cardiac nurse or doctor. The test was
223 stopped if: RPM < 60 ; the patient reached volitional exhaustion; or at the discretion of the
224 medical professional. Using online direct breath-by-breath measurement (Cortex Metalyzer,
225 Cranlea, UK) of oxygen consumption (VO_2), we calculated relative VO_{2peak} (peak
226 ml/kg/min).

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228 Statistical methods

229 Test-retest relative reliability of data was assessed using the ICC (r). An ICC between .600-
230 .749 is considered 'fair', $\geq .750$ 'good', whilst a value $\geq .900$ is considered 'excellent' for
231 clinical measures (Cicchetti, 1994). Data is also represented graphically as Bland-Altman
232 plots with mean bias and limits of agreement set at 95% confidence intervals (95CI) (Bland

233 and Altman, 1999). Here the difference of the two paired measurements is plotted against the
234 mean of the two measurements.

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236 The SE_M and MDC were calculated as a measure of absolute reliability (Haley and Fragala-
237 Pinkham, 2006; Stratford, 2004). The SE_M was calculated as:

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$$239 SE_M = SD * \sqrt{(1-r)}.$$

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241 This method of calculating SE_M has been used previously (Chiu et al., 2016; Ries et al., 2009;
242 Segura-Ortí and Martínez-Olmos, 2011). The MDC at an individual level (MDC_{indv}) was
243 calculated at the 95CI. The equation used was:

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$$245 MDC_{indv} = SE_M * 1.96 * \sqrt{2}.$$

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247 The 1.96 represents the z-score at the 95CI. The ' $SE_M * 1.96$ ' is multiplied by the $\sqrt{2}$ to
248 account for errors associated with repeated measures (De Vet et al., 2001; Haley and Fragala-
249 Pinkham, 2006; Segura-Ortí and Martínez-Olmos, 2011; Stratford, 2004). The MDC at group
250 level (Busija et al., 2008; De Vet et al., 2001) (MDC_{group}) was calculated as:

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$$252 MDC_{group} = MDC_{indv} / \sqrt{n}.$$

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254 Construct validity between the ISWT and CPET derived VO_{2peak} , and between the STS-5 and
255 e1RM was assessed using simple linear regression and data are represented as scatterplot
256 graphs with a trend line showing r and 95CI interval bands.

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258 A minimum sample size of 39 patients was needed to estimate an ICC r of .600 (the minimal
259 acceptable ICC in clinical investigations (Shoukri, Asyali, and Donner, 2004)) with a β of
260 0.80 at a significance level of $P = 0.050$ (Walter, Eliasziw, and Donner, 1998). Data was
261 assessed using SPSS v24. Data are reported as mean (SD), if normally distributed, or as
262 median (interquartile range, IQR). Distribution was assessed using the Kolmogorov-Smirnov
263 test. Paired comparisons were tested using paired t -tests, or non-parametric Wilcoxon signed
264 rank test as appropriate. Difference (with 95CI) is reported as mean (if data were normally
265 distributed), or median (if data were non-normally distributed). Data for some individuals
266 were not collected for different assessments due to missed measures or an inability to
267 complete (see footnote of **Table 2**); consequently, with no comparable data, this patient was
268 excluded (listwise approach) from analysis in that test.
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RESULTS

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Forty-one patients (23 females, 56%) with non-dialysis CKD were recruited. Mean patient age was 62 (SD: 11) years old with a body mass index of 30.1 (SD: 5.7). The majority of patients were Caucasian (66%). The mean eGFR was 25 (SD: 8) ml/min/1.73². Over half of patients (63%) had previously diagnosed hypertension and 22% of patients had diabetes mellitus type II. The mean CCI score was 2.5 (SD: 0.7), with the majority of patients (59%) classified as having a mild CCI score. Full patient clinical and demographic characteristics are shown in **Table 1**. Apart from anticipated exercise-induced fatigue during the tests, no adverse events or complaints were recorded.

Test-retest relative and absolute reliability

There was minimal difference between the two tests for the ISWT, STS-60, e1RM, and VO_{2peak} by CPET with ICC *r* values of .973, .927, .927, (all rated as ‘excellent’) and .866 (rated ‘good’), respectively. Conversely, the ICC for the STS-5 displayed only ‘fair’ agreement (*r* = .676). Data analysis revealed no statistically significant differences between the two tests for each measure of function (**Table 2**). **Figure 1A–E** shows Bland-Altman plots with bias and limits of agreement (at 95CI) for each of these tests. Absolute reliability data (both SE_M and MDC) are shown in **Table 3**.

The proportion of patients whom performed best in test 1 was as follows: ISWT (n = 16, 39%), STS-5 (n = 18, 44%), STS-60 (n = 14, 35%), e1RM (n = 9, 23%), and VO_{2peak} (n = 20, 54%). No change between test 1 and 2 was observed in n = 3 (7%) for the ISWT; n = 2 (5%) for the STS-60; n = 9 (23%) for the e1RM; and n = 1 (3%) for VO_{2peak} by CPET.

295 **Validation of the ISWT and STS-5**

296 We found a ‘strong’ correlation between the ISWT and $VO_{2\text{peak}}$ test with an r of 0.73 at time
297 point 1 ($P < 0.001$), and an r of 0.74 at time point 2 ($P < 0.001$) (**Figure 2A–B**). The
298 correlation between the STS-5 and the e1RM test was ‘poor’ at time point 1 ($r = 0.14$, $P =$
299 0.371), and (albeit better) ‘weak’ at time point 2 ($r = 0.47$, $P = 0.003$) (**Figure 2C–D**). When
300 we compared the STS-5 with ISWT, we found ‘moderate’ correlation at time point 1 ($r =$
301 0.55 , $P < 0.001$), and ‘strong’ correlation at time point 2 ($r = 0.74$, $P < 0.001$) (**Figure 2E–F**).
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DISCUSSION

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This study is the first investigation into the test-retest reliability and identification of the MDC for tests of physical function in a non-dialysis CKD population. Our results have large clinical relevance in CKD research and rehabilitation, and demonstrate that the ISWT, STS-60, e1RM, and $VO_{2\text{peak}}$ via CPET have ‘good’ to ‘excellent’ reliability in non-dialysis CKD. Conversely, the STS-5 test performed poorly with only ‘fair’ agreement. Using SEM data, we were able to calculate the MDC at an individual and group level. We also confirmed the ISWT as a valid measure of $VO_{2\text{peak}}$, but found the STS-5 only weakly associated with lower limb strength.

The ISWT in our trial showed excellent test-retest reliability with an ICC of .973. This corresponds well with previous estimates in cardiac rehabilitation patients (.990) (Hanson, Taylor, and McBurney, 2015), patients with peripheral vascular disease (.990) (Cunha-Filho et al., 2008), and non-cystic fibrosis (.950) (Lee et al., 2015). The ISWT is used extensively in research and rehabilitation as a measure of exercise capacity, and as stated previously, was chosen above the 6MWT, another common field test of exercise capacity, for several reasons including space considerations and the limitations associated with self-paced tests.

Furthermore, given its progressive nature, the ISWT is superior when prescribing exercise intensity (e.g., % of maximum) (Holland et al. 2014), and thus potentially more useful in a renal rehabilitation setting. Studies validating the ISWT against CPET-measured $VO_{2\text{peak}}$ have found good agreement ($r = 0.74$ to 0.88) regardless of exercise modality (i.e. treadmill versus cycle ergometer) (Arnardóttir et al., 2006; Green et al., 2001; Holland et al., 2014; MacSween et al., 2001; Moloney et al., 2003). Our r values of 0.73 and 0.74 represent a

327 strong agreement, and confirm the ISWT as a valid and easy means to assess exercise
328 capacity in a CKD population.

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330 The STS-60 had excellent reliability with an ICC of .927. Similarly, Segura-Ortí and
331 Martínez-Olmos (2011) found an ICC of .970 in HD patients; however, other clinical
332 research into the reliability of the STS-60 is limited. Conversely, the STS-5 displayed
333 relatively poor reliability with an ICC of .676 ('fair'). Whilst this contrasts with previous
334 estimates in clinical populations (ICC values between .940 and .990), (Jones et al., 2013;
335 Mong et al., 2010; Thomas and Hageman, 2002), similar reliability (ICC of .640) was
336 reported by Ostchega et al (Ostchega et al., 2000) in older adults. Unfortunately, the authors
337 in this trial offer no explanation for their moderate reliability score. The lack of reliability in
338 our study may be due to the large within-patient variability seen in our sample. Indeed,
339 several patients took considerably longer on their first attempt than on their second: one
340 patient performed 25.8 seconds quicker in the STS-5 at visit 2. When we removed this
341 patient, the ICC for the STS-5 increased to .752 (.577 - .860), and although defined as now
342 'good', this still lacked the reliability observed relative to our other tests. As the aim of this
343 study was to assess natural variation, and with no clinical justification to remove this patient,
344 we retained this data point in the analysis

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346 As recommended by Nilsagård et al. (2017), the STS-5 was preferred over the STS-10,
347 another commonly reported STS variant, to: 1) ease patient burden (i.e. STS-5 takes ~50%
348 less time); and 2) to isolate the assessment of strength. Given the requirement to complete
349 double the repetitions, the STS-10 has been described as a measure of muscular endurance
350 (Nilsagård et al. 2017), whereas the STS-5 is purported as a measure of lower limb strength.
351 Therefore, to avoid multiple assessments of muscular endurance we used the STS-5.

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353 Nonetheless, we observed a weak relationship between the STS-5 and lower limb strength
354 (by e1RM), suggesting that, in our patients, the STS-5 may not be a comprehensive proxy
355 measure of strength. Whilst this supports previous work which found the STS-5 had only a
356 modest relationships with lower limb strength in COPD (Jones et al., 2013; Roig et al., 2011),
357 it conflicts with research that suggests in both elderly and stroke patients, the STS-5 is a good
358 marker of lower body strength (Mong et al., 2010). Interestingly, although we were unable to
359 demonstrate any strong relationship between STS-5 and lower limb muscle strength, we did
360 observe a strong to moderate association between the STS-5 and the ISWT. Similar
361 observations have been reported by Jones et al (2013) in COPD patients. In CKD clinical
362 practice and research, other methods to measure lower limb strength should be employed
363 over the STS-5.

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365 Limited research exists on the reliability of the e1RM estimated by a 5RM test. Our ICC
366 value of .927 compares well with previous estimates in healthy recreational athletes (.900)
367 (Gail and Künzell, 2014), and in untrained diabetic patients (.990) (Abdul-Hameed et al.,
368 2012). Although dynamometry is considered the ‘gold standard’ for the assessment of
369 strength, e1RM testing is commonly applied for ease and simplicity, and represents a valid
370 means to assess leg muscle strength (Verdijk, Van Loon, Meijer, and Savelberg, 2009). We
371 experienced no serious difficulties performing a 5RM test, and therefore in gymnasium-based
372 rehabilitative setting, where dynamometers are unavailable, a 5RM test may be a safe and
373 reliable tool in strength measurement in CKD.

374

375 As expected, the ‘gold standard’ of assessing exercise capacity (VO_{2peak}) by CPET showed
376 good (bordering on ‘excellent’) test-retest reliability. Our ICC of .866 compares well with

377 previous testing in clinical groups, including patients with multiple sclerosis (.933) (Heine et
378 al., 2015) and coronary heart disease (.970) (Coeckelberghs et al., 2016).

379

380 The MDC is the smallest change that falls outside the expected range of error (Segura-Ortí
381 and Martínez-Olmos, 2011), and is invaluable to know as any change from a clinical
382 intervention exceeding the MDC can be considered a ‘true’ change (Haley and Fragala-
383 Pinkham, 2006). We were able to calculate the MDC at both an individual and group level.
384 An MDC at individual level is should be used to determine whether a single patient has made
385 an improvement, not attributable to error, following an intervention (Haley and Fragala-
386 Pinkham, 2006; Lee et al., 2013). This may be useful to physical therapists, or other allied
387 professionals, whom work with, and interpret changes of, individual patients. Conversely, as
388 the majority of clinical trials compare changes between groups of patients, the MDC_{indv}
389 should be adapted to quantify the MDC of an outcome measure in a group of patients (De Vet
390 et al., 2001). Often the MDC_{group} is smaller than the corresponding MDC_{indv} implying
391 superiority at detecting change at group level (De Vet et al., 2001).

392

393 At an individual level, the MDC for the ISWT was 20m. The small MDC_{group} (3m) found
394 suggests that, at group level, small changes in ISWT scores can be reliably identified. To our
395 knowledge, this is the *first* estimate of the MDC for this test in *any* clinical population.

396

397 For the STS-60, we found an MDC_{indv} of 4 reps. Similar findings have been reported in HD
398 patients (4 reps) (Segura-Ortí and Martínez-Olmos, 2011). This is perhaps due to the similar
399 mean number of repetitions (26) between their HD patients and our non-dialysis CKD
400 sample. Whilst such comparable functional capacity between HD and non-dialysis CKD
401 patients may be unexpected, the majority of patients in the Segura-Ortí and Martínez-Olmos

402 trial were almost exclusively male (82%). Male CKD patients (including those on HD)
403 display superior functional capacity than females (Hiraki et al., 2013); as our sample was
404 majority female (56%), this may explain the comparable results observed. At a group level,
405 the high reliability and low measurement error of the STS-60 means the MDC_{group} is just 1
406 rep.

407

408 Whilst an MDC of 3.1 secs has been reported for the STS-5 in patients undergoing cardiac
409 rehabilitation (Puthoff and Saskowski, 2013) and 5.0 secs in those with stroke (Pardo et al.,
410 2013), our data revealed an MDC_{indv} of 7.5 secs and an MDC_{group} of 1.2 secs. Our larger
411 MDC values could be due to the poor reliability for this test as discussed previously.

412

413 Whilst no MDC exists for 1RM testing, using dynamometry, in patients with knee
414 osteoarthritis, the MDC using peak isokinetic knee extension torque was estimated at 3.5 kg
415 (Kean et al., 2010). This value is smaller than our estimated MDC_{indv} of 6.4 kg. A possible
416 explanation for the larger value in our trial may be due to equipment limitations. The machine
417 used only increased in increments of 2.5 kg and above, thus limiting the ability to increase
418 weight in smaller increments. Whilst the e1RM has been shown to represent a valid measure
419 of strength assessed by dynamometer (Verdijk et al., 2009), further research is needed to
420 assess the validity in a CKD group.

421

422 The MDC_{indv} for VO_{2peak} was 2.8 ml/kg/min, and 0.5 ml/kg/min at group level. Brehm et al.
423 (Brehm, Balemans, Becher, and Dallmeijer, 2014) previously estimated an MDC_{indv} of 5.7
424 ml/kg/min in patients with cerebral palsy, whilst in patients with multiple sclerosis, Heine et
425 al. (Heine et al., 2015) calculated the MDC_{indv} at 4.6 ml/kg/min and the MDC_{group} at 0.8
426 ml/kg/min. Like the ISWT, the small MDC_{group} identified suggests that small changes in

427 VO_{2peak} can be identified. This is perhaps unsurprising given the highly technical analysis of
428 CPET.

429

430 We have confirmed the ISWT as a valid assessment of exercise capacity, although
431 disappointingly the STS-5 was not associated with lower limb strength. The low r at time
432 point 1 between the STS-5 and e1RM may be attributed to the outlier situated to the far right
433 of the Bland-Altman plot. However, as stated above, with no clinical justification to remove
434 this patient, we retained this data point in the analysis. Nonetheless, even at time point 2, the
435 r value (0.47) was still poor. The STS test as a proxy measure of lower limb strength (Mong
436 et al., 2010; Rikli and Jones, 2013) is increasingly being questioned by researchers
437 (McCarthy et al., 2004), and study has shown that only a moderate proportion of STS
438 performance is attributable to strength, with factors such as balance and sensorimotor ability
439 also contributing (McCarthy et al., 2004). Indeed, our data, and that of others (Jones et al.,
440 2013), suggests that the STS-5 may actually be a better measure of exercise capacity than
441 strength. As such, additional physiological factors may be contributing, and further research
442 is required into the identifying these elements and assessing the validity of the STS-5.

443

444 **Study limitations**

445 Our patient population was an opportunistic sample taken from a larger body of work
446 completed by our group. Nonetheless, the 41 patients included in the current analysis was
447 above the minimum sample size of 39 patients required to estimate an ICC r of .600 (the
448 minimal acceptable ICC in clinical investigations). Consequently, our analysis was
449 sufficiently powered to detect any differences between time points.

450

451 Another limitation in our trial was the six week test-retest interval. However, as our data is
452 derived from the control period the larger trial, this period was unavoidable. Nevertheless,
453 our trial was suitably powered and our data shows even over a six week period, the reliability
454 of our function tests was good. Furthermore, previous test-retest estimates of the reliability of
455 objective function such as the STS (Schaubert and Bohannon, 2005a; Schaubert and
456 Bohannon, 2005b) and strength (Schaubert and Bohannon, 2005b) over a similar six week
457 interval have also established good agreement.

458

459 In order to ensure accurate baseline data and to reduce learning effects (Holland et al., 2014;
460 Johnson-Warrington, Sewell, Morgan, and Singh, 2015), patients completing the ISWT at
461 visit 1 did so after a familiarisation test several days before. However, due to time constraints
462 at the second visit, it was feasible that the ISWT, and other outcomes, were repeated only
463 once. Whilst we observed good reliability between the two visits for the ISWT without re-
464 familiarisation at visit 2, it is unclear from our data if lack of re-familiarisation has effected
465 outcome measure scores at the second visit and whether one test is sufficient if the test has
466 previously been conducted is still unclear (Holland et al., 2014). In intervention trials,
467 repeating, or re-familiarising patients with physical function tests may prevent
468 underestimation of the impact of an intervention (Holland et al., 2014). Interestingly
469 however, due to impracticality and costing, patients did not undergo familiarisation CPET at
470 baseline; however, our results show even without this familiarisation test, reliability for
471 CPET is high, perhaps due to the technical accuracy of the method, and a familiarisation is
472 perhaps unnecessary for this test.

473

474 In order to further improve the methodology of our study, further research should investigate
475 the identification of the MDC in earlier stages of CKD (1 and 2), as well as potentially

476 identifying differences in gender or ethnicity. In our sample, a large proportion (46%) of our
477 patients were obese (defined by BMI), with 34% 'overweight'. Whilst more current obesity
478 prevalence statistics are needed to fully determine the demographic of our sample, the
479 external validity of the results may be reduced. Dynamometry, over 1- 5RM testing, should
480 be used to accurately measure strength; as such, associations with the STS-5 should be
481 reassessed. Additional physiological processes that contribute to function, such as balance,
482 should also be considered in future trials assessing performance. The minimally clinical
483 important difference, which is the minimal meaningful important difference from a patient
484 perspective, should also be investigated.

485

CONCLUSIONS

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In conclusion, the ISWT, STS-60, e1RM, and CPET are reliable and valid tests of function in non-dialysis CKD patients. The MDC's we have calculated in this trial are imperative in the interpretation of changes as a result of intervention trials or rehabilitation programmes. In order for a 'true' change to occur, i.e. that above individual performance variability or inherent measurement error, the value should exceed the MDC's presented here. The MDC at an individual level is useful in evaluating changes in a single patient, whilst the MDC at group level should be employed in research comparing changes between groups. The MDC should be used to help clinicians, researchers, and rehabilitation professionals interpret reliable changes in their patients and clinical trials.

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747 Table 1. Patient clinical and demographic characteristics

748

	<i>n</i> = 41
Age (years) (SD) [min-max]	62 (11) [27-80]
Females, <i>n</i> (%)	23 (56%)
BMI (kg/m ²) (SD) [min-max]	30.1 (5.7) [16.4-41.5]
eGFR (ml/min/1.72 ²) (SD) [min-max]	25 (8) [9-41]
Haemoglobin (g/l) (SD) [min-max]	118 (14) [90-161]
Albumin (mg/l) (SD) [min-max]	41 (2.7) [34-47]
Ethnicity	
Caucasian, <i>n</i> (%)	27 (66%)
South Asian, <i>n</i> (%)	6 (15%)
Indian, <i>n</i> (%)	4 (10%)
Black Caribbean, <i>n</i> (%)	2 (5%)
Other, <i>n</i> (%)	2 (5%)
Cause of disease	
Diabetic nephropathy type II, <i>n</i> (%)	5 (12%)
Interstitial nephritis, <i>n</i> (%)	5 (12%)
IgA nephropathy, <i>n</i> (%)	3 (7%)
Polycystic kidney disease, <i>n</i> (%)	3 (7%)
Other, <i>n</i> (%)	5 (12%)
Unknown / aetiology uncertain, <i>n</i> (%)	20 (49%)

Comorbidities

Diabetes mellitus type II, <i>n</i> (%)	9 (22%)
Hypertension, <i>n</i> (%)	26 (63%)
CCI score (SD) [min-max]	2.5 (0.7) [2-4)
- Mild CCI score (1-2), <i>n</i> (%)	24 (59%)
- Moderate CCI score (3-4), <i>n</i> (%)	17 (41%)
- Severe CCI score (≥ 5), <i>n</i> (%)	0 (0%)
Median <i>n</i> of morbidities [min-max]	3 [0-6]

749

750 Unless stated, data presented as mean and SD: standard deviation; BMI: body mass index;

751 CCI: Charlson Comorbidity Index; eGFR: estimated glomerular filtration rate

752

Table 2. Relative reliability results of physical function tests in patients with CKD

Test	<i>n</i>	Test 1 score	Test 2 score	Difference (95CI)	ICC (<i>r</i>) [†]	95CI for ICC (<i>r</i>)	<i>P</i> [†]
ISWT (m)	41 [‡]	400 (SD: 186)	409 (SD: 185)	9 (-4 – 23)	.973	.950 - .986	0.164
STS-5 (secs)	41 [§]	11.0 (IQR: 8.8 – 13.4)	10.5 (IQR: 8.7 – 12.4)	-0.1 (-0.9 – 0.4)	.676	.468 - .813	0.248
STS-60 (repetitions)	40	26 (IQR: 20 – 29)	28 (IQR: 22 – 30)	2 (0 – 2)	.927	.866 - .961	0.093
e1RM (kg)	40 [¶]	50.6 (IQR: 34.5 – 64.7)	51.8 (IQR: 36.6 – 64.7)	2.7 (0.0 – 4.3)	.927	.866 - .961	0.053
VO _{2peak} (ml/kg/min)	37 [#]	19.6 (SD: 5.4)	19.6 (SD: 5.7)	0.0 (-0.9 – 1.0)	.866	.755 - .929	0.936

95CI: 95% confidence interval; e1RM: estimated 1 rep maximum-leg extension strength; ICC: intraclass correlation coefficient; ISWT:

incremental shuttle walk test; IQR: interquartile range; SD: standard deviation; STS: sit-to-stand; [†] refers to test 1 versus test 2 analysis; [‡] 1 (2%)

patient removed due to arthritic pain in hips and knees during test, 1 (2%) patient had incomplete data missing at time point 1 or 2; [§] 1 (2%)

patient removed due to arthritic pain in hips and knees during test, 1 (2%) patient had incomplete data missing at time point 1 or 2; ^{||} 2 (5%)

patients removed due to arthritic pain in hips and knees during test, 1 (2%) patient had incomplete data missing at time point 1 or 2; [¶] 3 (7%)

patients had incomplete data missing at time point 1 or 2; [#] 6 (14%) patients had incomplete data missing at time point 1 or 2

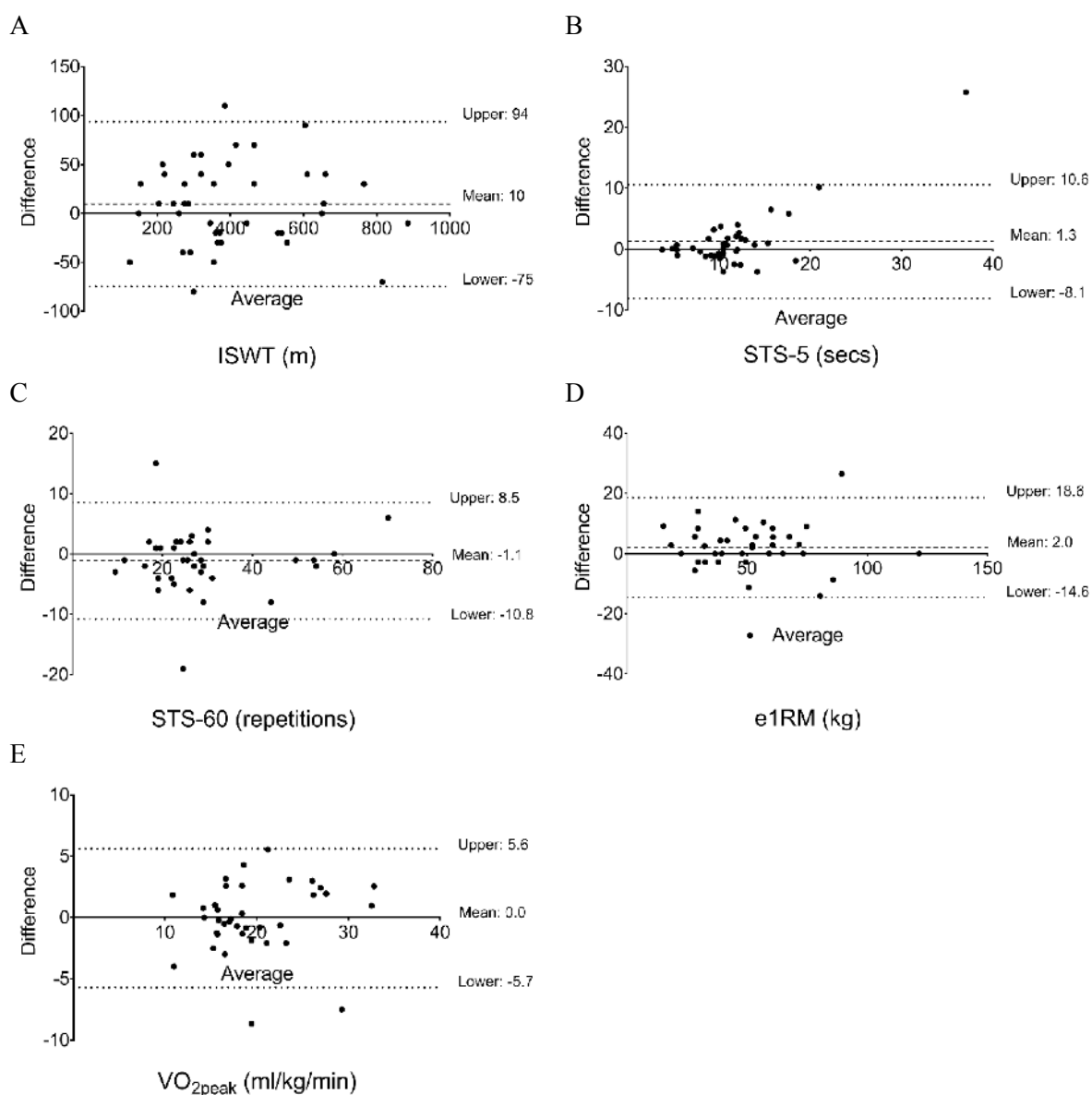
Table 3. SEM and MDC at both individual and group levels at 95CI for physical function tests in patients with CKD

Test	SEM	MDC _{indv}	MDC _{group}
ISWT (m)	7.1	20	3
STS-5 (secs)	2.7	7.5	1.2
STS-60 (reps)	1.3	4	1
e1RM (kg)	2.3	6.4	1.0
VO _{2peak} (ml/kg/min)	1.0	2.8	0.5

e1RM: estimated 1 rep maximum-leg extension strength; ISWT: incremental shuttle walk test; MDC_{indv}: minimal detectable change at individual level; MDC_{group}: minimal detectable change at group level; SEM: standard error of measurement; STS: sit-to-stand.

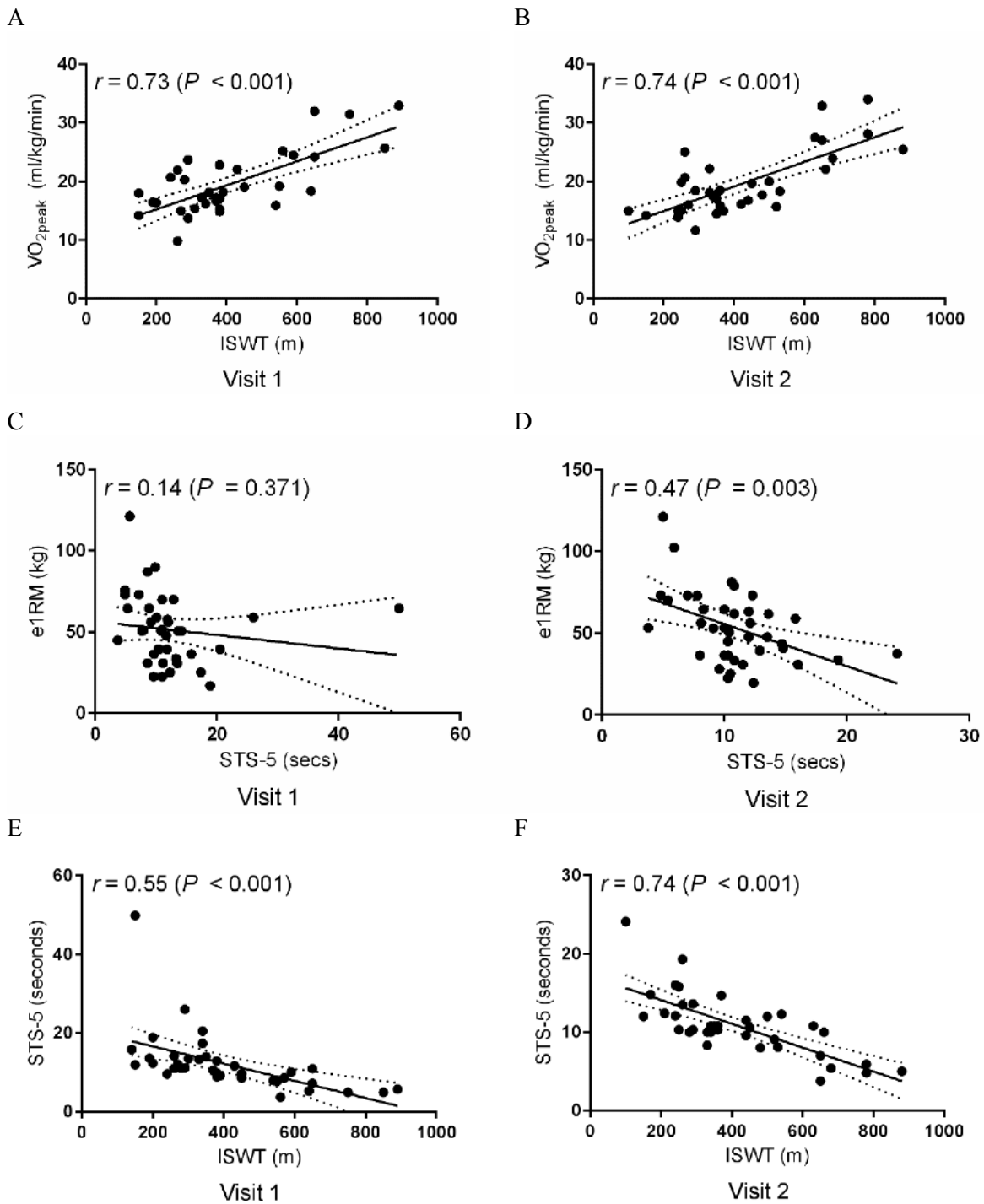
Figures

Figure 1. Bland-Altman plots showing test-retest reliability between test 1 and test 2



e1RM = estimated 1 repetition maximum-leg extension strength; ISWT = incremental shuttle walk test; STS = sit-to-stand. Top and bottom dotted lines represent upper and lower 95% confidence limits of agreement, respectively. Dashed line represents mean bias.

Figure 2. Linear regression line showing the ISWT against VO_{2peak} , and the STS-5 against e1RM and ISWT over the two testing visits



e1RM = estimated 1 repetition maximum-leg extension strength; ISWT = incremental shuttle walk test; STS-5 = sit-to-stand 5 repetition test. 95% confidence interval bands are shown as dotted lines that embrace the regression line in solid black fill.