

AMERICAN METEOROLOGICAL SOCIETY

Journal of Atmospheric and Oceanic Technology

EARLY ONLINE RELEASE

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The DOI for this manuscript is doi: 10.1175/JTECH-D-18-0032.1

The final published version of this manuscript will replace the preliminary version at the above DOI once it is available.

If you would like to cite this EOR in a separate work, please use the following full citation:

Theocharous, E., N. Fox, I. Barker-Snook, R. Niclòs, V. Garcia Santos, P. Minnett, F. Göttsche, L. Poutier, N. Morgan, T. Nightingale, W. Wimmer, J. Høyer, K. Zhang, M. Yang, L. Guan, M. Arbelo, and C. Donlon, 2019: The 2016 CEOS infrared radiometer comparison: Part 2: Laboratory comparison of radiation thermometers.. J. Atmos. Oceanic Technol. doi:10.1175/JTECH-D-18-0032.1, in press.

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	STELLING INDUSTRY - COMPLEX
1	The 2016 CEOS infrared radiometer comparison: Part 2: Laboratory comparison of
2	radiation thermometers.
3	by
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Abstract

To ensure confidence, measurements carried out by imaging radiometers mounted on satellites 27 require robust validation using 'fiducial quality' measurements of the same 'in-situ' parameter. 28 29 For surface temperature measurements this is optimally carried out by radiometers measuring 30 radiation emitted in the infrared region of the spectrum, co-located to that of a satellite overpass. For ocean surface temperatures the radiometers are usually on-board ships to sample 31 large areas but for Land and Ice they are typically deployed at defined geographical sites. It is 32 of course critical that the validation measurements and associated instrumentation are 33 34 internationally consistent and traceable to international standards. The Committee on Earth Observation Satellites (CEOS) facilitates this process and over the last two decades has 35 36 organised a series of comparisons, initially to develop and share best practise, but now to assess 37 metrological uncertainties and degree of consistency of all the participants. The fourth CEOS 38 comparison of validation instrumentation: blackbodies and infrared radiometers, was held at the National Physical Laboratory (NPL) during June and July 2016 sponsored by the European 39 40 Space Agency (ESA). The 2016 campaign was completed over a period of three weeks and included not only laboratory based measurements but also representative measurements carried 41 42 out in field conditions, over land and water. This paper is one of a series and reports the results obtained when radiometers participating in this comparison were used to measure the radiance 43 temperature of the NPL ammonia heat-pipe blackbody during the 2016 comparison activities 44 i.e. an assessment of radiometer performance compared to international standards. This 45

46 comparison showed that the differences between the participating radiometer readings and the 47 corresponding temperature of the reference blackbody were within the uncertainty of the 48 measurements but there were a few exception, particularly for a reference blackbody 49 temperature of -30 °C. Reasons which give rise to the discrepancies observed at the low 50 blackbody temperatures were identified.

51

52 1 INTRODUCTION

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The measurement of the Earth's surface temperature and, more fundamentally, its temporal 54 and spatial variation, is a critical operational product for meteorology and an essential 55 56 parameter for climate monitoring (Yoder et al., 2014). Satellites have been monitoring global surface temperature for some time. However, it is essential for long-term records that such 57 measurements are fully anchored to international physical standards as represented by the 58 Systeme International (SI) units. Field-deployed infrared radiometers¹ currently provide the 59 most accurate measurements of the Sea Surface Temperature and are currently used for 60 61 calibration and validation of Earth observation radiometers (Minnett and Corlett, 2012). These radiometers are in principle calibrated traceably to SI units, generally through a blackbody 62 radiator. However, they are of varying design and are operated by different teams in different 63 64 parts of the globe, and the quality of the blackbody radiator can be variable. It is essential for the integrity of their use, that any differences in their measurements are understood, so that any 65 potential biases are removed and are not transferred to satellite sensors (Minnett et al., 2002). 66 67 One way of ensuring this is for the radiometers to be calibrated against a common high quality

¹ This report describes the comparison of instruments which are referred to by participants as "radiometers". However, radiometers generally measure and report radiometric parameters in radiometric units (W, Wm^{-2} , etc.). The instruments dealt with here measure temperature (in units of degrees C or K) so they are thermometers or "radiation thermometers". However, in view of the common usage of the terminology for this application, this report will continue to use the term "radiometer".

SI traceable blackbody and be tested alongside each other under field conditions. As part of this process, it is also essential that each radiometer and its procedure for use is welldocumented and a detailed uncertainty budget related to the traceability of its measurements to SI units is created. To recognise this rigour and distinguish such measurements from other 'insitu' measurements the term 'fiducial reference measurements' (FRM) has been established (https://earth.esa.int/web/sppa/activities/frm) and is being used for similar measurements of other Earth Observation parameters e.g. Ocean colour, Sea height etc.

Previous CEOS comparisons of terrestrial based infrared (IR) radiometric instrumentation used 75 76 to support calibration and validation of satellite borne sensors, with emphasis on sea/water 77 surface temperature, were completed in Miami (Florida, USA) in 2001 (Barton et al., 2004) (Rice et al., 2004) and at the National Physical Laboratory (NPL) and Miami (Florida, USA) 78 79 in 2009 (Theocharous and Fox, 2010) (Theocharous et al., 2010). However, seven years had passed, and many of the satellite sensors originally underpinned were at best nearing the end 80 of their life. Under the auspices of the Committee of Earth Observation Satellites (CEOS), the 81 European Space Agency (ESA) established a new comparison of terrestrial based Infra Red 82 (IR) radiometric instrumentation, in this case with their use expanded to support calibration 83 84 and validation of satellite borne sensors for sea/water/land/ice surface temperature, this was completed at NPL during June and July 2016. The expansion of applications reflected the 85 86 capabilities of new sensors such as the Sea and Land Surface Temperature Radiometer 87 (SLSTR) on the Copernicus Sentinel 3 satellite and the increasing importance of Land and Ice temperature measurements, particularly for climate monitoring. The objectives of the 2016 88 comparison were to establish the "degree of equivalence" between terrestrially based IR 89 90 Calibration/Validation (Cal/Val) measurements made in support of satellite observations of the 91 Earth's surface temperature and to ensure their traceability to SI units through the participation of National Metrology Institutes (NMIs). The comparison was organised through an ESA 92

project called Fiducial Reference Measurements for Surface Temperatures derived by Satellite
 (FRM4STS) which also carried out a critical review of community measurement practises,
 details can be found at http://www.FRM4STS.org .

During the 2016 comparison, NPL acted as the pilot laboratory and provided traceability to SI 96 units during laboratory comparisons. Stage 1 consisted of Lab comparisons, and took place at 97 NPL during the week starting on 20th June 2016. This Stage involved laboratory measurements 98 of participants' blackbodies calibrated using the NPL Absolute Measurement of a Blackbody 99 Emitted Radiance (AMBER) reference transfer radiometer (Theocharous et al., 1998) and the 100 101 Physikalisch-Technische Bundesanstalt (PTB) infrared radiometer. In another exercise run concurrently, participants' radiometers were calibrated using the NPL ammonia heat-pipe 102 reference blackbody (Chu and Machin, 1999). Stage 2 took place at Wraysbury reservoir 103 (Spelthorne, TW19 5NX, UK) during the week starting on 27th June 2016 and involved field 104 measurements of the temperature of the surface of the water. Stage 2 included the testing of 105 the same radiometers alongside each other, completing direct daytime and night-time 106 measurements of the surface temperature of the water. Stage 3 took place in the grounds of 107 NPL during the week starting on 4th July 2016 and involved field measurements of the 108 109 temperature of the surface of a number of solid targets. Stage 3 included the testing of the same 110 radiometers alongside each other, completing direct daytime and night-time measurements of 111 the surface temperature of short grass, clover, soil, sand, gravel and tarmac/asphalt.

112 This paper provides the results of the comparison of the participants' radiometers while they 113 were viewing the NPL ammonia heat-pipe reference blackbody. All measurements reported by 114 the participants, along with their associated uncertainties, were analysed by the pilot laboratory 115 and are presented in this report.

The findings described in this paper are important because they confirm performance of the radiometers which participated in the comparison. This is a critical requirement because these radiometers are used to validate the surface temperature measurements provided by imaging radiometers mounted on satellites.

Section 2 of this paper summarises the organisation of the radiometer comparison, while
Section 3 provides the measurement procedure which was employed during this comparison.
Section 4 describes the characteristics of the radiometers which took part in the comparison while Section 5 compares and discusses the findings of the comparison.

124

125 2 ORGANISATION OF THE COMPARISON

126

127 Recognising the increasing reliance of satellite operators and their customers/users on the 128 quality of the data that comes from the satellite sensors it is essential that measurements used for their validation can be relied upon over a wide range of operational environments. 129 130 Investments in projects which support the long term delivery of data for decades to come, such 131 as the European Union (EU) Copernicus program, have encouraged the community to subject 132 such measurements to the scrutiny and practises common to other sectors of commerce i.e. comparison and/or audit by independent experts. The international metrology community has 133 134 a responsibility to support such initiatives and therefore undertake regular comparisons between themselves of key quantities and report the results in open literature to ensure global 135 consistency and transparency to the SI (https://kcdb.bipm.org/). To support this process, they 136 have established procedures and guidance on how to optimally carry out such comparisons and 137 analyse the results. The Earth Observation (EO) community is taking advantage of this 138 knowledge and adopting the guidance to meet its needs. The Quality Assurance Framework 139

for Earth Observation (QA4EO) [http://qa4eo.org/] developed by CEOS is the embodiment of
this, and the comparison described below was organised following these metrology-based
guidelines and practises.

This meant that before the comparison took place, a formal protocol describing the nature of the comparison, timelines, measurements to be undertaken, reporting format and, in particular, guidance on the content and presentation of an uncertainty budget was developed and agreed by all participants. Such protocols can then be subsequently used, with minor modifications, for similar comparisons in the future and will ensure a degree of consistency in how to interpret results.

During the 2016 comparison, NPL acted as the pilot laboratory and, with the aid of PTB, provided formal traceability to SI units during the laboratory comparisons at NPL. NPL was supported with specialist application advice from University of Southampton, Rutherford and Appleton Lab (RAL) and Karlsruhe Institute of Technology (KIT) during the development of the necessary protocols.

154 This report provides the results, together with uncertainties as provided by the participants, of the radiometer measurements of the NPL ammonia heat-pipe blackbody operating at seven 155 fixed temperatures as performed in one of NPL's temperature-controlled laboratories during 156 the week beginning 20th June 2016. The laboratory comparison of the participants' blackbodies, 157 as measured by the NPL AMBER radiometer and the PTB infrared radiometer, as well as the 158 159 Water Surface Temperature (WST) comparison at Wraysbury reservoir and the Land Surface Temperature (LST) comparison that took place in the NPL grounds are being presented 160 elsewhere. 161

During the 2016 comparison, all participants were encouraged to develop uncertainty budgetsfor all measurements they reported. In order to achieve optimum comparability, tables

164 containing the principal influence parameters for the measurements were provided to all participants, highlighting the importance of including in their uncertainty budgets uncertainty 165 contributions due to the primary calibration of the radiometer, the linearity of response of the 166 radiometer, drift since the last calibration, effects due to ambient temperature fluctuations, 167 atmospheric absorption/emission, as well as the repeatability and reproducibility of their 168 measurements. All measurements reported by the participants, along with their associated 169 170 uncertainties, were analysed by the pilot laboratory, blind to all participants, and are presented in this report. 171

172

173 **3** MEASUREMENT PROCEDURE FOR THE RADIOMETER LAB COMPARISON 174

The NPL ammonia heat-pipe reference blackbody (Chu and Machin, 1999) was used in the 175 comparison of the participating radiometers. A schematic of this blackbody is shown in 176 Figure 1. This blackbody uses a heat-pipe to control the blackbody cavity temperature which 177 results in negligible temperature gradients along the length of the cavity. The length of the 178 179 ammonia heat-pipe blackbody cavity is 300 mm, and it has a 75 mm internal diameter with a 120° cone angle at the end wall. The blackbody cavity is coated with a high-emissivity Nextel 180 black paint. The emissivity of the blackbody cavity has been calculated using the series integral 181 method (Berry, 1981). The effective emissivity of the cavity was estimated to be 0.9993, 182 assuming an emissivity of 0.96 for the Nextel black coating (Betts, et al., 1985). 183

The temperature of the blackbody cavity was obtained from an ITS-90 calibrated Platinum Resistance Thermometer (PRT) which was inserted into a well of 150 mm depth in the rear of the cavity. The front of the blackbody contained a circular support which allowed aperture plates with different diameters to be positioned in front of the blackbody cavity. The blackbody 188 had a 75 mm diameter aperture mounted on the blackbody casing. There was a total distance of approximately 75 mm from the front of this aperture to the actual blackbody cavity. This, in 189 turn, meant that if radiometers with a large field of view were measuring the reference 190 191 blackbody, then there was a possibility that they could be seeing parts which were outside of the blackbody cavity, even when they were placed right up against the front of the blackbody 192 casing. While participants were free to position and align their blackbodies at any position in 193 front of the reference blackbody, most of the participants placed their radiometers right up 194 against the reference blackbody, in order to ensure that the blackbody cavity overfilled the 195 196 entire Field of View of their radiometers.

197 The temperature of the blackbody cavity was controlled by a cylindrical heat exchanger which 198 fitted closely around the blackbody cavity. Heat transfer fluid was circulated through a 199 continuous 6 mm wide helical groove which was machined in the surface of the internal 200 cylinder. Full information on the ammonia heat-pipe blackbody can be found elsewhere (Chu 201 and Machin, 1999).

202 At sub-ambient temperatures i.e. at temperatures below the Dew point, the blackbody cavity was purged with dry nitrogen, in order to prevent water from condensing on the internal 203 204 surfaces of the cavity which could damage the internal black coating and change the effective emissivity. The dry nitrogen gas was fed into the blackbody cavity from the rear. Its 205 temperature was iso-thermalised within the feed tube which was embedded within the wall of 206 the heat pipe. The gas was introduced into the front of the blackbody cavity via a gas 207 distribution ring consisting of 12 holes of 1.5 mm diameter. In order to reduce the effect of 208 convection currents from the surroundings, the aperture of the blackbody cavity was open 209 whilst measurements were being made but was blocked at all other times with an insulation 210 211 plug.

For each comparison point, the reference blackbody was set at a nominal temperature known only to NPL and enough time was allowed for its cavity temperature to stabilise to the new setting. Once the operating temperature had been selected, the system required just 30 minutes to reach temperatures greater than 0 °C, but as much as 3 hours to reach temperatures on the region of -30 °C. Once the set-point had been reached, the blackbody required another 0.5 to 1 hour to stabilize at the new temperature.

Once the temperature of the reference blackbody was stabilised at a particular temperature, 218 each participant was allowed a maximum period of 30 minutes to position their radiometer, 219 align it to the aperture of the blackbody and take measurements at that particular temperature 220 setting. The order with which radiometers completed the measurements at the beginning of the 221 comparison depended on the readiness of the radiometers of the different participants to do 222 223 measurements at that particular time. Towards the end of the comparison, participants were allocated 30 minute periods, according to timetables which were circulated to all participants. 224 Participants with more than one radiometer were asked to arrange for the 30 minute 225 measurement period to be shared between all their measuring radiometers. Figure 2 shows the 226 Rosenstiel School of Marine and Atmospheric Sciences (RSMAS) M-AERI radiometer 227 228 viewing the ammonia heat-pipe blackbody during the comparison.

The temperature of the reference blackbody was continuously logged referenced to Universal Time Coordinated (UTC) and the participants were asked to use the same time reference. This allowed the direct comparison of the measurements of each participant with the corresponding measurements of the reference blackbody.

Participants were asked to provide their measurements in pre-defined spreadsheets. The top of
each spreadsheet indicated the date on which the measurements shown in the spreadsheet were
performed. Each spreadsheet consisted of a minimum of three columns. The first column

indicated the time of the measurement, in a UTC format. The second column gave the
brightness temperature of the reference blackbody, as measured by the participant, at the time
indicated in the first column. The third column provided the combined standard uncertainty of
the measurement of the brightness temperature estimated by the participant corresponding to
the measurement indicated in the second column.

241 Participants were encouraged to develop and provide full uncertainty budgets for their measurements. In order to help participants to do this, tables were provided listing the 242 parameters which were likely to contribute to the uncertainty of the measurement. Some 243 participants provided completed tables, providing extensive information on each uncertainty 244 contribution, while other participants provided considerably less information on their 245 uncertainty budgets, and this is recognised by the community as an area where more work is 246 needed. Full information on the uncertainty budgets provided by participants can be found 247 elsewhere (Barker Snook, et al., 2017). 248

The measurements were carried out in a lab whose temperature was controlled to ± 1 °C around 20 °C and the humidity was controlled to $\pm 5\%$ around 45 % during these measurements.

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252 4 PARTICIPANTS' RADIOMETERS AND MEASUREMENTS

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A total of 19 radiometers operating on 24 different measurement channels took part in the 2016 radiometer lab comparison. This section gives brief descriptions of the participating radiometers. A summary of the most important parameters of the participating radiometers is given in Table 1.

258

259 The University of Valencia CIMEL Electronique CE312-2 radiometers

260 Two radiometers were provided by the Dept. of Earth Physics and Thermodynamics of the University of Valencia, Spain. Both radiometers were of the CIMEL Electronique CE312-2 261 type and operated in six spectral bands, 8.0 µm to 13.3 µm, 10.9 µm to 11.7 µm, 10.2 µm to 262 11.0 µm, 9.0 µm to 9.3 µm, 8.5 µm to 8.9 µm, and 8.3µm to 8.6 µm. These radiometers were 263 able to provide measurements of the brightness temperature of the reference blackbody for 264 each of the six bands on which they were able to operate. Both radiometers employed 265 germanium windows and used narrow band filters with zinc sulphide substrates to select the 266 different wavelength bands. Both instruments had a 10 degree full angle Field of View and 267 268 included a built-in radiance reference made of a concealable gold-coated mirror which enabled comparison between the target radiance and the reference radiation from inside the detector 269 cavity. The temperature of the detector was measured with a calibrated PRT, thus allowing 270 271 compensation for the cavity radiation. The relevant outputs of the radiometer were the detector temperature and the difference in digital counts between the signals from the target and the 272 detector cavity. The quoted uncertainty of measurements made by the first radiometer (Unit 1) 273 was 370 mK, while the corresponding value for the second radiometer (Unit 2) was 360 mK 274 (Barker-Snook et al., 2017). Further information on these radiometers can be found in Sicard 275 et al., (1999) and in Legrand et al., (2000). 276

277

278 The KIT Heitronics KT15.85 IIP radiometer

The radiometer provided by the Institute of Meteorology and Climate Research - Atmospheric 279 Trace Gases and Remote Sensing (IMK-ASF), Karlsruhe Institute of Technology (KIT), 280 281 Germany Heitronics KT15.85 IIP radiometer with L6 lens was а 282 (https://www.heitronics.com/fileadmin/content/Prospekte/KT15IIP_e_V510.pdf). This was a 283 single channel radiometer based on a pyroelectric infrared detector. This type of sensor links radiance measurements via beam-chopping to internal reference temperature measurements
and thermal drift can practically be eliminated. The field of view of this radiometer was 8.3°
(full angle). The KT15.85 IIP responded in the 9.6 µm to 11.5 µm spectral range, had a quoted
uncertainty of approximately 0.3 K (Barker Snook, et al., 2017, page 29) over the temperature
range relevant to land surfaces and claimed good long-term stability.

289

290 The ONERA radiometers

291 Four radiometers were provided by the Office National d'Etudes et de Recherches Aérospatiales (ONERA), France. The first three radiometers were Heitronics KT19.85 II 292 (https://www.heitronics.com/fileadmin/content/Prospekte/KT15IIP_e_V510.pdf) which had a 293 294 95 mm target diameter when viewing a target at a distance of 2 m. These radiometers operated in the 9.6 µm to 11.5 µm spectral band and offered a 60 mK temperature resolution. Their 295 quoted measurement uncertainty was ± 0.5 °C + 0.7 % of the difference between target and 296 housing temperature. The fourth ONERA radiometer was a BOMEM MR304SC 297 Spectroradiometer 298

299 (https://library.e.abb.com/public/654dfb800019d7168525712d00693379/4314%20MR304SC

300 $\frac{\%20\text{Spec.pdf}}{\%20\text{Spec.pdf}}$ covering the 3 µm to 13 µm wavelength range with two detectors, one InSb and 301 one MCT detector, with a 4 cm⁻¹ resolution. This radiometer had a 20° (full angle) FoV. The 302 measured radiance spectrum was converted into brightness temperature and averaged over the 303 9.6 µm to 11.5 µm wavelength range of the Heitronics radiometers. The temperature 304 uncertainty was quoted for each measurement and ranged from 0.2 K to 0.4 K, depending on 305 the blackbody set temperature (Barker-Snook et al., 2017).

306

307 The CSIRO Infrared Sea surface temperature Autonomous Radiometer (ISAR)

The radiometer provided by the Marine National Facility, Commonwealth Scientific and Industrial Research Organisation (CSIRO), Australia was an ISAR 5D radiometer. This radiometer had a Field of View of 7 degrees (full angle) and responded to wavelengths in the 9.6 μ m to 11.5 μ m spectral band. This radiometer offered a 10 mK temperature resolution. The measurement uncertainty was quoted for each blackbody temperature measured and ranged from 85 mK at -30 °C to 57 mK at 45 °C. Full information on this type of radiometer is given by Donlon et al., (2008) and Wimmer and Robinson, (2016).

- 315
- 316 The STFC RAL SISTeR radiometer

The radiometer provided by the Science and Technology Facilities Council Rutherford 317 318 Appleton Laboratory, UK, was the Scanning Infrared Sea Surface Temperature Radiometer (SISTeR). SISTER was a chopped, self-calibrating filter radiometer manufactured by RAL 319 Space. It had a single-element Deuterated Lanthanum Alanine-doped TriGlycine Sulphate 320 321 (DLATGS) pyroelectric detector, a filter wheel containing up to six band-defining filters and 322 two internal reference blackbodies, one operating at ambient temperature and the other heated to approximately 17 K above ambient. During operation, the radiometer selected (with a scan 323 324 mirror) successive views of each of the blackbodies and the external scene in a repeated sequence. For Sea Surface Temperature (SST) measurements, the external measurements 325 included views of the sea surface and the sky at the complementary angle. The instrument field 326 of view was approximately 13° (full angle). During this comparison, a filter centred at 10.8 µm 327 was used. The measurement uncertainty was quoted for each blackbody temperature measured 328 and ranged from 128 mK at -30 °C to 19 mK at 45 °C. Further information on the SISTER 329 radiometer can be found in http://www.stfc.ac.uk/research/environment/sister/ 330

332 The Southampton University ISAR radiometer

The radiometer provided by the National Oceanography Centre of Southampton University, UK, was an ISAR-5D with a Field of View of 7 degrees (full angle). The radiometer responded to wavelengths in the 9.6 µm to 11.5 µm spectral band. This radiometer offered a 10 mK temperature resolution. The measurement uncertainty was quoted for each blackbody temperature measured and ranged from 120 mK at -30 °C to 60 mK at 30 °C. Full information on the ISAR radiometer can be found in the papers by Donlon et al., (2008) and Wimmer and Robinson, (2016).

340

341 The DMI radiometers

Two radiometers were provided by the Danish Meteorological Institute (DMI), Denmark. The 342 343 first radiometer was an ISAR-5D. The measurement uncertainty of this radiometer was quoted for each blackbody temperature measured and ranged from 83 mK at -15 °C to 59 mK at 45 344 345 °C. Full information on this radiometer can be found in the paper by Donlon et al., (2008) and 346 in the sections dealing with the CSIRO and Southampton University radiometers. The second radiometer was a Campbell Scientific IR120. This was a broadband radiometer measuring over 347 the 8 µm to 14 µm wavelength range. This radiometer offered a 10 mK temperature resolution 348 349 and a quoted measurement uncertainty of 200 mK. For further information on the Campbell Scientific IR120 radiometer see: 350

351 <u>https://s.campbellsci.com/documents/eu/manuals/ir100_ir120.pdf</u>

352

353 The OUC, Qingdao radiometers

Two radiometers were provided by the Ocean University of China (OUC), Qingdao, China. The first radiometer was an ISAR 5C radiometer. This radiometer had a Field of View of 7 degrees (full angle) and responded to wavelengths in the 9.6 µm to 11.5 µm spectral band. This radiometer offered a 10 mK temperature resolution and a quoted measurement uncertainty of 100 mK for all blackbody temperatures measured. Full information on this radiometer can be found in Donlon et al., (2008) and in Wimmer and Robinson, (2016).

The second radiometer provided by the OUC was an Ocean University of China First Infrared 360 Radiometer (OUCFIRST) developed for measurements of the sea surface temperature. The 361 OUCFIRST radiometer was similar to the ISAR radiometer and was based on the Heitronics 362 363 KT15.85 IIP detector which responds in the 9.6 µm to 11.5µm wavelength range. The OUCFIRST radiometer also included two internal reference blackbody sources. This 364 radiometer was calibrated before and after each measurement campaign using an external 365 blackbody. The quoted measurement uncertainty of this radiometer was 100 mK for all 366 blackbody temperatures measured. 367

368

369 The GOTA CIMEL Electronique CE312-2 radiometer

The radiometer provided by Grupo de Observacion de la Tierra y la Atmosfera (GOTA) Universidad de La Laguna, Spain was a CIMEL Electronique CE312-2 radiometer. This radiometer incorporated a thermopile detector and was able to operate over six wavelength bands spread over the 8 μ m to 13 μ m wavelength range. The measurement uncertainty of this radiometer was quoted for each blackbody temperature measured and ranged from 400 mK to 500 mK for all measurements completed by this radiometer. Further information on this 376 radiometer can be found in Sicard et al., (1999) and Legrand et al., (2000) as well as in the
377 section dealing with the University of Valencia radiometers.

378

379 The RSMAS M-AERI radiometer

380 The radiometer provided by the Rosenstiel School of Marine and Atmospheric Science (RSMAS), University of Miami, USA was a Marine-Atmospheric Emitted Radiance 381 Interferometer (M-AERI) Mk-3. This radiometer, like its predecessors, was based on a Fourier-382 Transform Infrared Spectro-radiometer, which uses a Michelson-Morley interferometer design, 383 with the path differences generated by an oscillating yoke with a corner-cube reflector on each 384 385 arm. Wavelength calibration is accomplished using a He-Ne laser. Radiometric calibration is achieved by using two blackbodies whose cavities are maintained at known temperatures at 386 each of which the field of view of the interferometer is directed sequentially before and after 387 388 scene measurements. It had a 25 mm diameter entrance aperture and a spectral resolution of 0.5 cm⁻¹. Its temperature resolution was quoted as 5 mK. The M-AERI Mk-3 had a field of 389 view of 2.58 degrees (full angle) and responded over the 3300 cm⁻¹ to 525 cm⁻¹ range (3 µm to 390 19 µm wavelength range). The brightness temperature of the ammonia heat-pipe blackbody 391 was provided at two wavenumbers, 1000 cm⁻¹ (10 μ m) and 1302 cm⁻¹ (7.68 μ m) with quoted 392 combined uncertainties of 18 mK and 40 mK respectively. Full information on this radiometer 393 can be found in Minnett et al., (2001). 394

395

396 5 RESULTS AND DISCUSSION

398 Figure 3 plots, as an example, the measurements provided by the STFC RAL SISTER radiometer (orange circles) when viewing the NPL blackbody maintained at about 10 °C and 399 the corresponding measurements of the cavity temperature made by the NPL (blue dashes). 400 401 Similar plots corresponding to all participating radiometers and for all ammonia heat-pipe blackbody temperatures for which measurements were made can be found elsewhere (Barker 402 Snook, et al., 2017). Also plotted in the same figure are the combined uncertainty values of the 403 404 measurements made by SISTeR (orange error bars) and those of the NPL blackbody measurements (blue error bars). From the measurements shown in Figure 3, the difference 405 406 between the average of the measurements made by the SISTeR radiometer over this time period and the average of the corresponding NPL measurements of the blackbody temperature was 407 408 estimated to be 60 mK.

Figures 4 to 10 show the plots of the mean of the differences between the radiometer readings and the corresponding NPL measurements of the temperature of the ammonia heat-pipe reference blackbody, for all the blackbody temperatures at which the radiometers were compared. The uncertainty bars shown in these Figures represent the combined standard uncertainty (k = 1) of the measurements provided by the participants and includes the uncertainty contribution due to the ammonia heat-pipe blackbody.

415 It is clear that the uncertainty of measurements reported by radiometers which included internal 416 blackbodies for continuous calibration of their responsivity is significantly lower that the corresponding uncertainty of radiometers which did not include internal references. This was 417 418 to be expected because the responsivity of infrared detectors is known to drift due to a number of reasons (see for example, Theocharous and Theocharous, 2006). The use of internal 419 420 references such as the blackbodies included within the radiometers allowed the effects of these 421 drifts to be arrested, thus reducing the combined uncertainty of their measurements. This is also reflected in the difference between the measurements made by these radiometers and the 422

temperature of the ammonia heat pipe blackbody. Measurements made by radiometers with
internal blackbodies generally provided better agreement compared to measurements reported
by radiometers which did not have internal references.

Examination of Figures 4 to 10 indicate that some cases exists in which the measurements 426 reported by the ammonia heat-pipe blackbody were well outside the uncertainty bars of 427 428 measurements reported by participating radiometers, even radiometers which included internal reference blackbodies. A major part of this discrepancy can be explained on the basis that the 429 uncertainty bars shown in Figures 4 to 10 represent the one-sigma (k=1) uncertainty values. If 430 431 the uncertainty bars were extended to represent the three-sigma (k=3) case, then the uncertainty bars of all measurements reported by radiometers which included internal reference 432 blackbodies would have included the corresponding measurements reported by the ammonia 433 434 heat-pipe blackbody.

Figures 4 to 10 show that the differences between the participants' radiometer readings and the 435 corresponding temperature of the NPL reference blackbody became progressively larger, 436 particularly as the reference blackbody temperature decreased to -15 °C and -30 °C. This 437 observation is not altogether surprising because measurements were made in a lab, with the 438 measuring radiometers operating at ambient temperatures. This means that the internal 439 blackbodies within the participating radiometers (which provided the reference against which 440 441 the radiometers were basing their measurements) were also operating at near ambient temperatures; hence for low temperatures of the ammonia heat-pipe blackbody, the difference 442 between the temperature of the test blackbody and the internal reference blackbodies increased, 443 probably leading to the observed discrepancies. The discrepancies are likely to arise due to the 444 large extrapolation ranges (up to 50 °C) and may be enhanced by other effects. If, for example, 445 the out-of-band response of a radiometer was measured incorrectly or had a small undetected 446 spectral leak, then discrepancies are likely to arise. It is estimated that the output of a radiometer 447

responding in the 10 μ m to 11 μ m region, which is calibrated at 30 °C and extrapolated to -30 °C, will be 0.26 % different from the output obtained if the radiometer had an out-of-band response in the 5 μ m to 6 μ m region which was just 1 % of the response in the 10 μ m to 11 μ m band.

It is important to point out that if the radiometers were used to measure low temperature targets, 452 453 such as the surface temperature of ice in the arctic, then the radiometers (as well as the internal blackbodies) will also be at low temperatures so the extrapolation will not be over a significant 454 temperature range. This means that the discrepancies between the radiometer measurement of 455 456 the ice and the true surface temperature of ice are likely to be much smaller. For future comparisons where such low temperatures are important, consideration should be given to how 457 the ambient temperature of the radiometers can be reduced to be more representative of the 458 459 operational environment.

Moreover, as the temperature of the reference blackbody decreases, the signal detected by the photodetectors within the radiometers also decreases, resulting in poorer signal-to-noise ratios. The poorer signal-to-noise ratios would result in measurements with poorer Type A uncertainty and thus more unreliable measurements due to the resulting higher combined uncertainty.

It is important to note that the NPL AMBER radiometer was used in the past to measure the 464 temperature of the same ammonia heat-pipe reference blackbody used in this comparison and 465 466 the agreement between the NPL AMBER measurements and the blackbody measurements was good, indicating its reliability. In fact the difference between the NPL AMBER measurements 467 and the reference blackbody measurements are included in the Figures for blackbody 468 469 temperatures of -30 °C, 0 °C, 10 °C, 20 °C and 30 °C. The agreement between the AMBER and 470 the reference blackbody measurements indicates that the discrepancies observed in the measurements of some radiometers (which can be as large as 2 K for blackbody temperatures 471

around -30 °C) do not arise due to issues with the blackbody but are likely to be associated with
the participants' measurements. Furthermore, NPL AMBER radiometer was used to measure
the temperature of the ammonia heat-pipe blackbody of PTB, the German national standards
lab, and that comparison also showed good agreement between the measurements provided by
NPL AMBER and those provided by the PTB reference blackbody, as shown in Figure 4 in the
paper by Gutschwager (Gutschwager et al., 2013) which deals with that comparison.

The NPL reference blackbody had an aperture of 75 mm in diameter which could be decreased 478 by adding apertures with diameters smaller than 75 mm on the blackbody casing (see Figure 1). 479 480 The distance between the front of the blackbody cavity and the aperture formed/mounted on the blackbody casing was also 75 mm, meaning that the Field of View (FoV) of a radiometer 481 placed against the casing would be overfilled by the blackbody cavity, provided its half angle 482 was less than 26.5° (53° full angle). Although the 75 mm diameter of the blackbody and its 483 position were defined and open for review in the protocol before the measurements took place, 484 this could be a source of error for radiometers with a large angle field of view (e.g. the ONERA 485 MR354SC, IR120, SISTeR and CE312-2 radiometers), as well as radiometers which could not 486 be positioned close to the blackbody casing aperture (e.g. M-AERI Mk-3). For these 487 488 radiometers, the measurements taken would likely capture the edges of the blackbody cavity, 489 as well as radiation emitted by blackbody cavity, thus introducing biases to the measurements. 490 To avoid this problem, some participants made their measurements with their radiometers as 491 close to the blackbody front aperture as possible. Although this was considered to be a satisfactory compromise, care should be taken because the emissivity of the NPL reference 492 493 blackbody is not unity. This meant that when a test radiometer was brought very close to the 494 blackbody aperture it partly "saw itself" reflected by the blackbody because the blackbody is 495 no longer exposed to ambient temperature but the temperature of the radiometer. This is a particular problem with radiometers which operate at cryogenic temperatures. 496

For the temperatures below 0 °C, ice began to form near the aperture of the reference blackbody 497 cavity. While the ice only formed near the entrance to the cavity (the cavity was continuously 498 purged with dry nitrogen gas), the presence of the ice may have affected the effective emissivity 499 500 of the areas of the blackbody cavity on which ice was deposited and thus alter the effective emissivity of the reference blackbody for radiometers with very large FoVs. This may also 501 have impacted some of the results associated with the measurement of the temperature of 502 503 blackbody cavity. However, the same measurements were made using the NPL AMBER radiometer and no discrepancies were observed for blackbody temperatures as low as -45 °C, 504 505 indicating that no ice was formed inside the reference blackbody cavity.

506 For the majority of radiometers being compared, their intended use was for sea surface temperature measurements. For this reason, the majority of the participants used blackbodies 507 508 to calibrate their radiometers which could not operate below 0 °C, while some participants used blackbodies which could not operate below ambient temperature. This meant that the 509 temperature range over which the majority of radiometers were calibrated was for temperatures 510 above 0 °C and in some cases temperatures above ambient. This means that some 511 measurements taken during this laboratory comparison were outside the range of calibrated 512 513 temperatures for these instruments so measurements made at the lower temperatures relied on the extrapolation of the calibrations at higher temperatures. Any consideration of irregularities 514 515 with the values for measurements and their associated uncertainties made below 0 °C should 516 take this into account.

517 During the 2016 radiometer comparison, a 30 minute period was allocated to each participant 518 to allow for the alignment of the radiometer to the reference blackbody aperture and the making 519 of the measurements at a particular blackbody temperature. Some participants reported that 520 30 minutes was not enough. However, because of the number of radiometers participating in 521 the 2016 comparison and the number of temperatures which had to be completed over the week-long comparison, the 30 minute period could not be extended. It is recommended that in future comparisons, participants should be asked to state how long they would ideally require in order to align and complete a measurement (at a particular blackbody temperature). If the total duration of the comparison could not be extended, or the number of participating radiometers could not be reduced, then the number of reference blackbody temperatures at which measurements are done should be reduced to allow participants the extra time periods they require to complete their measurements.

529

530 6 CONCLUSIONS

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The performance of a number of radiometers was compared in the lab by measuring the 532 533 brightness temperature of the NPL ammonia heat-pipe blackbody at a number of temperatures in the -30 °C to +45 °C temperature range. The results show that measurements of the reference 534 blackbody for cavity temperatures above 0 °C reported by radiometers which include internal 535 536 blackbodies exhibit superior measurement uncertainties and provide better agreement with measurements reported by the ammonia heat-pipe blackbody compared to radiometers which 537 rely on infrequent re-calibration using external blackbodies. Furthermore, although the 538 Figures indicate that some cases exists in which the measurements reported by the ammonia 539 540 heat-pipe blackbody were well outside the uncertainty bars of measurements reported by 541 participating radiometers (even radiometers which included internal reference blackbodies), this can be explained on the basis that the uncertainty bars shown in the Figures represent the 542 one-sigma (k=1) uncertainty values. If the uncertainty bars were extended to represent the 543 544 three-sigma (k=3) case, then the uncertainty bars of all measurements reported by radiometers which included internal reference blackbodies would have included the corresponding 545 546 measurements reported by the ammonia heat-pipe blackbody.

547 Participants were encouraged to provide detail uncertainty budgets for all measurements they provided. Although uncertainty estimates were provided by all participants for all 548 measurements they reported as part of the 2016 comparison, the level of detail which was 549 550 included in the uncertainty budgets varied significantly from one participant to the next, with some participants providing only a value for the estimate of the uncertainty of their 551 measurements. It is recommended that participation in future comparisons should be made 552 553 conditional on participants providing full uncertainty budgets for all measurements they provide as part of the comparison activity. 554

555 The 2016 comparison showed that the differences between the readings of the participating radiometer and the corresponding temperature of the reference blackbody increased, 556 particularly for measurements corresponding to reference blackbody temperatures below 0 °C. 557 558 Reasons for the discrepancies observed at low blackbody temperatures were put forward, including the extrapolation from the calibration of the radiometers using blackbodies operating 559 at ambient temperatures, combined with the absence of any information on the relative spectral 560 responsivity of the radiometers. These discrepancies are not expected to arise, if the 561 radiometers were calibrated with a reference blackbody operating at these low temperatures. 562 563 Furthermore, any discrepancies which were measured at low blackbody temperatures may be considered irrelevant because the majority of the radiometers taking part in this comparison 564 565 will be used to measure sea surface temperature i.e. temperatures above 0 °C.

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7 ACKNOWLEDGEMENTS

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The authors wish to thank Miss Helen McEvoy and Mr Jamie McMillan (both of NPL) for their assistance in operating the ammonia heat-pipe blackbody and with the testing surrounding the radiometer laboratory comparison. The authors also wish to thank ESA for funding this work

572 under ESA Contract No: 4000113848_15I-LG. The University of Valencia participation in the 2016 FRM4STS comparison was funded by the Spanish Ministerio de Economía y 573 Competitividad and the European Regional Development Fund (FEDER) through the project 574 CGL2015-64268-R (MINECO/FEDER, UE) and by the Ministerio de Economía y 575 Competitividad through the project CGL2013-46862-C2-1-P (MINECO). The Ocean 576 University of China participation in the 2016 FRM4STS comparison was funded by the 577 National Natural Science Foundation of China under Grant 41376105 and the Global Change 578 Research Program of China under Grant 2015CB953901. The Universidad de La Laguna 579 580 participation was supported by Spanish Ministerio de Economía y Competitividad under project CGL2013-48202-C2-1-R. 581

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Radiometer	Institute	Waveband (µm)	Detector	Field of View (°)	Reference
CE312-2 (2 units)	UV ^a	8.0 - 13.3	Thermopile	10	Sicard et al. (1999)
KT15.85 IIP	KIT ^b	9.6 – 11.5	Pyroelectric	8.3	https://www.heitronics. com/fileadmin/content/ Prospekte/KT15IIP_e_ V510.pdf
KT19.85 II (3 units)	ONERA°	9.6 – 11.5	Pyroelectric	1.36	https://www.heitronics. com/fileadmin/content/ Prospekte/KT15IIP_e_ V510.pdf
MR354SC	ONERAC	3.0 - 13.0	MCT Photoconductiv e	20	https://library.e.abb.co m/public/654dfb80001 9d7168525712d00693 379/4314%20MR304S C%20Spec.pdf
ISAR-5D	CSIRO ^d	9.6 - 11.5	Pyroelectric	7	Wimmer & Robinson, (2
SISTeR	STFC/RAL ^e	10.8	Pyroelectric	13	Barton et al., (2004)
ISAR-5D	NOC ^f	9.6 - 11.5	Pyroelectric	7	Wimmer and Robinson, (2016)
ISAR-5D	DMI ^g	9.6 – 11.5	Pyroelectric	7	Wimmer and Robinson, (2016)
IR120	DMI ^g	8.0 - 14.0	Thermopile	20	www.campbellsci.eu/ir 120
ISAR-5C	OUC ^h	9.6 – 11.5	Pyroelectric	7	Wimmer and Robinson, (2016)
OUCFIRST	OUC ^h	9.6 - 11.5	Pyroelectric	7	
CE312-2	GOTA/ULL ⁱ	8.1 – 11.7	Thermopile	10	Sicard et al. (1999)
M-AERI	RSMAS ^j	3.0 - 18.0	Cooled InSb and HgCdTe	2.58	Minnett et al., (2001)

638	Table 1. Instruments involved in the 2016 CEOS infrared radiometers laboratory co	omparison.
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640 ^a University of Valencia (Spain)

641 ^b Karlsruhe Institute of Technology (Germany)

642 ^c Office National d'études et de Recherches Aérospatiales (France)

^d Commonwealth Scientific and Industrial Research Organisation (Australia)

^e Science and Technology Facilities Council Rutherford Appleton Laboratory (UK)

⁶⁴⁵ ^f National Oceanography Centre (UK)

646 ^g Danish Meteorological Institute (Denmark)

647 ^h Ocean University of China (China)

ⁱ Grupo de Observación de la Tierra y la Atmósfera/Universidad de La Laguna (Spain)

^j Rosenstiel School of Marine and Atmospheric Sciences (USA)

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652	Figure	captions:
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Figure 1: Schematic of the ammonia heat-pipe blackbody.

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Figure 2: The RASMAS M-AERI radiometer viewing the ammonia heat-pipe blackbodyduring the 2016 radiometer comparison.

658

659 Figure 3: Measurements of the STFC RAL SISTeR radiometer viewing the NPL reference

660 blackbody maintained at approximately 10 °C (in orange) and the corresponding measurements

661 made by NPL of the blackbody temperature (in blue).

662

Figure 4: Plot of the mean of the differences of the radiometer readings from the temperature
of the NPL reference blackbody, maintained at a nominal temperature of -30 °C.

665

- Figure 5: Plot of the mean of the differences of the radiometer readings from the temperature
- of the NPL reference blackbody, maintained at a nominal temperature of -15 °C.

668

669 Figure 6: Plot of the mean of the differences of the radiometer readings from the temperature

of the NPL reference blackbody, maintained at a nominal temperature of 0 $^{\circ}$ C.

- Figure 7: Plot of the mean of the differences of the radiometer readings from the temperature
- 673 of the NPL reference blackbody, maintained at a nominal temperature of 10 °C.

0/4	6	7	4
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675	Figure 8: Plot of the mean of the differences of the radiometer readings from the temperature
676	of the NPL reference blackbody, maintained at a nominal temperature of 20 °C.
677	
678	Figure 9: Plot of the mean of the differences of the radiometer readings from the temperature
679	of the NPL reference blackbody, maintained at a nominal temperature of 30 °C.
680	
681	Figure 10: Plot of the mean of the differences of the radiometer readings from the temperature
682	of the NPL reference blackbody, maintained at a nominal temperature of 45 $^{\circ}$ C.





Figure 1: Schematic of the ammonia heat-pipe blackbody



Figure 2: The RSMAS M-AERI radiometer viewing the ammonia heat-pipe blackbody duringthe 2016 radiometer comparison.



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- 694 made by NPL of the blackbody temperature (in blue).



Figure 4: Plot of the mean of the differences of the radiometer readings from the temperature

699 of the NPL reference blackbody, maintained at a nominal temperature of -30 °C.



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of the NPL reference blackbody, maintained at a nominal temperature of -15 °C.



Figure 6: Plot of the mean of the differences of the radiometer readings from the temperature

of the NPL reference blackbody, maintained at a nominal temperature of 0 $^{\circ}$ C.





Figure 7: Plot of the mean of the differences of the radiometer readings from the temperature

of the NPL reference blackbody, maintained at a nominal temperature of $10 \,^{\circ}$ C.



Figure 8: Plot of the mean of the differences of the radiometer readings from the temperature

of the NPL reference blackbody, maintained at a nominal temperature of 20 $^{\circ}$ C.



Figure 9: Plot of the mean of the differences of the radiometer readings from the temperature

of the NPL reference blackbody, maintained at a nominal temperature of 30 °C.



Figure 10: Plot of the mean of the differences of the radiometer readings from the temperature

of the NPL reference blackbody, maintained at a nominal temperature of 45 $^{\circ}$ C.