

The adequacy of response rates to on-line and paper surveys:

What can be done?

Duncan D. Nulty

Griffith Institute for Higher Education, Griffith University.

This is a Pre-print of an article submitted for consideration in the Assessment and Evaluation in Higher Education (2008) (copyright Taylor and Francis). Assessment and Evaluation in Higher Education is available online at:

<http://journalonline.tandf.co.uk>

**Final version in press 2008: Assessment and Evaluation in Higher Education.
Expected publication to be Volume 33 Number 3 (June 2008).**

Abstract

This article is about differences between, and the adequacy of, response rates to on-line and paper-based course and teaching evaluation surveys. Its aim is to provide practical guidance on these matters.

The first part of the article gives an overview of on-line surveying in general, a review of data relating to survey response rates and, practical advice to help boost response rates. The second part of the article discusses when a response rate may be considered big enough for the survey data to provide adequate evidence for accountability and improvement purposes. The article ends with suggestions for improving the effectiveness of evaluation strategy. These suggestions are: to seek to obtain the highest response rates possible to all surveys; to take account of probable effects of survey design and methods on the feedback obtained when interpreting that feedback;

and, to enhance this action by making use of data derived from multiple methods of gathering feedback.

On-line surveying in general

There are many advantages associated with the use of information technology to support approaches to evaluation (Dommeyer, Baum, Hanna, & Chapman, 2004; Salmon, Deasy, & Garrigan, 2004; Watt, Simpson, McKillop, & Nunn, 2002). As examples, Watt et al. (2002) note that "using web-based evaluation questionnaires can bypass many of the bottlenecks in the evaluation system (e.g. data entry and administration) and move to a more 'just in time' evaluation model." (p.327). Another advantage is avoiding the need to administer surveys in-class (Dommeyer, Baum, Hanna, & Chapman, 2004). Unsurprisingly, there is an increasing growth in the use of web-based surveying for course and teaching evaluation (Hastie & Palmer, 1997; Seal & Przasnyski, 2001). This growth is happening despite concerns from students (e.g. regarding confidentiality and ease of use) (Dommeyer, Baum, & Hanna, 2002), and concerns from staff (e.g. about the adequacy of response rates) (Dommeyer, Baum, Chapman, & Hanna, 2002).

On-line surveying practice varies greatly. For example, in Australia, the University of South Australia uses a system supporting *solely* on-line administration of surveys, while Murdoch University and Curtin University among others are moving the same way. Griffith University and Queensland University of Technology have each developed

integrated web-based systems that take a hybrid approach offering academics a choice of paper or on-line administration for their surveys. Respondents, however, have no choice: they either receive a paper-based survey or an on-line survey. Other emerging systems allow choice of response mode by combining multiple modes of administration and response (Pearson Assessments, 2006), thereby allowing survey designers to better match the method of survey administration to the needs, abilities or preferences of respondents and avoid skewing the data.

Despite these variations, there are some common features to on-line surveying practice. These have been described by (Dommeyer, Baum, Hanna, & Chapman, 2004). They reported that: a typical online evaluation involves: giving students assurances that their responses will be de-identified and that aggregate reports will be made available only after the final grades are determined; providing students URL to access to the survey - generally using their student ID number; students responding numerically to multiple response items and typing answers to open-ended questions; providing students with a receipt verifying that they have completed the evaluation; and, providing at least 2 weeks in which the students can respond, usually near the end of term/semester.

(p.612)

Comparability of on-line and on-paper survey response-rate data

McCormack (2003) reported that there are "new expectations in relation to the evaluation of teaching, for example, expectations about the role of evaluation of

teaching in promotion and probation and about the public availability of student evaluation results on institution web sites ...". More specifically, the expectations are that teaching evaluations should be used directly, openly and compulsorily in promotion and probation decisions, and that data on student evaluation of courses should be made available publicly to inform the public. Such expectations may be seen as an extension of the change in the focus of teaching and course evaluations from formative to summative (Ballantyne, 2003).

These changes in expectations and focus are occurring at the same time that the use of on-line surveying is increasing. Considered together, this has raised interest in issues around response rates to these surveys. Yet, a recent review of literature regarding instruments for obtaining student feedback (Richardson, 2005) claimed that "little is known about the response rates obtained in electronic surveys, or whether different modes of administration yield similar patterns of results" (p.406).

Closer scrutiny of the literature however, reveals that a good deal *is* known. Moreover, there is also a fair amount of information available in relation to the comparison between patterns of results obtained through using different modes of administration of surveys. Some of that literature is reviewed below – with the caveat that while it is strongly suggestive of what one might call a "prevailing position", it also illustrates substantial variability.

In general, on-line surveys are much less likely to achieve response rates as high as surveys administered on paper – despite the use of various practices to lift them. Some literature demonstrating this follows and has been summarised in Table 1. In addition, in some cases (such as Griffith University), the reported response rate for paper based surveys is conservative because an academic may only hand out paper surveys to one sub-group (e.g. one class) of students rather than to all that were enrolled. Given that this practice is not reported centrally, there is no way to take it into account when calculating the overall response rate.

Table 1: Comparisons of response rates to paper-based and on-line surveys.

Table 1 about here.

In summary, of the 8 examples cited in Table 1, most of the on-line surveys achieved response rates that were much lower than the paper based ones (on average, 33% compared to 56% = 23% lower). Thus, in general, this data shows that on-line surveys do not achieve response rates that are even close to what is achieved with paper based surveys. There are just two exceptions which will be detailed next.

In Watt et al.'s (2002) research, the overall response rate for on-line surveys was 32.6%. while for paper surveys it was 33.3% (p.333) This finding is inconsistent with the other data reported in Table 1. However, the context for the low on-paper response rate

in Watt et al.'s research is that the courses surveyed were all taught in distance education mode. This means that these paper surveys were not handed out in a face-to-face environment as they were in the other studies. This finding raises a question about the impact of face-to-face administration of surveys. The data clearly show that face-to-face administration results in higher response rates. What is unknown is if response rates to on-line surveys would rise to the same level if they were also conducted in a face-to-face way.

The author has not found any study reporting on this question. It seems likely that this is because one of the main benefits (and uses) of the on-line survey process is to avoid the need to conduct the survey in class (Dommeyer, Baum, Hanna, & Chapman, 2004). Clearly, if the only way to achieve high response rates with on-line surveys was to administer them in a face-to-face setting it would negate these benefits. In general, such steps are not taken – and are unlikely to be taken.

Watt et al's (2002) research suggests that when paper surveys of courses and teaching are not administered face-to-face, the response rates might be as low as for non-face-to-face on-line surveys. It is reasonable to hypothesise that in a non-face-to-face setting, it is easier to submit an on-line response than it is to physically mail a paper one. It follows that in non-face-to-face settings this should advantage on-line survey response rates. It is not, therefore, a conclusion of this article that on-paper surveys are intrinsically "better" than on-line surveys.

The second exception to the data reported in Table 1 is contained within the detail of the study conducted by Dommeyer, Baum, Hanna, & Chapman (2004). These researchers conducted an experiment in which they found that response rates to on-line surveys were lower than for on-paper surveys in 14 cases out of 16 – significantly so in 10 of these. Where response rates were not significantly different was usually when students were offered a (very) small grade incentive (respondents' grades were increased by one quarter of one percent). When the grade incentive was applied, the response rates for both on-line and on-paper surveys were high - and almost identical (86.67% and 86.99% respectively). This result appears to be unique: that is, I have found no other literature to demonstrate that it can be, or has been, repeated. Overall however, Dommeyer et al. reported that on-line surveys achieved 43% response rate, while on-paper achieved 75%.

Boosting on-line survey response rates

The most prevalent methods for boosting on-line survey response rates are:

1. repeat reminder emails to non-respondents (students)
2. repeat reminder emails to survey owners (academics)
3. incentives to students in the form of prizes for respondents awarded through a lottery .

Methods used in the institutions investigated, together with the response rate achieved for on-line surveys are summarised in Table 2. This data suggests that, generally speaking, the greater the number of measures taken to boost on-line response rates, the higher those rates are.

Table 2: Methods used to boost on-line survey response rates in 5 universities.

Table 2 about here.

In addition to the measures specified above, Ballantyne (2005) reported that for each survey at her university the email sent to students contained a URL which allowed them to access the survey more easily. This same URL was also embedded in the course WebCT pages and the course welcome pages. All surveys were also, by default, open for 20 days. Aside from these extensive mechanisms, Ballantyne speculated on the reasons for the relative success at Murdoch University. She noted that Murdoch had been using on-line surveys since 1998 and that it has had mandatory surveying since 1993. She proposed that this has helped to create a culture in which such surveys were accepted by students and staff.

Neither Griffith University nor QUT used email reminders for on-line surveys, nor any form of incentive scheme to potential respondents. Academics were simply advised to ensure that they encourage the students to respond. Clearly, given that these

institutions achieved the lowest on-line response rates (20% and 23% respectively) encouragement alone appears to have little effect.

Additional approaches to boosting response rates

Two web-sites offer particularly succinct, credible and partly overlapping advice regarding practices that can boost response rates. These are Zúñiga (2004) from the US Teaching and Learning with Technology / Flashlight Group, and Quinn (2002) from the University of South Australia. Zúñiga offered a set of seven "... best practices for increasing response rates to online surveys". These are:

1. *Push the survey* – which basically means making it easy for students to access the survey by, for example, providing them with the survey URL in an e.mail sent directly to them.
2. *Provide frequent reminders* – Zúñiga advocated "At least three reminders" Others, however, point to the inevitable diminishing return on this investment coupled with the possibility of irritating the survey population (Cook, Heath, & Thompson, 2000; Kittleson, 1995). In the context of surveying multiple lecturers in any one course, and multiple courses in any one semester, respondents are likely to have several surveys to complete. The potential for a barrage of reminders – and commensurately higher levels of irritation – is evident.

3. *Involve academics* – Zúñiga contended that "Nothing helps more than regular reminders to students from faculty." This assertion does not appear to be entirely supported by the literature. As shown earlier in this paper, institutions that did not use direct e.mail reminders to students – implicitly relying on academics to promote participation – achieved much lower response rates than those who did. The combination of direct reminders backed up by encouragement from academics however was certainly better than either method alone. It may be particularly so if, the academics also take the opportunity to demonstrate and/or convince students that their feedback has been, or will be, used to good effect (see #4 below).
4. *Persuade respondents that their responses will be used.* The issue here is whether students believe that the academics will take the feedback seriously (Nulty, 1992). There are a range of ways to achieve this but all involve some active demonstration to students that feedback is valued and acted upon.
5. *Provide Rewards* - Zúñiga stated that "Many institutions have found that a drawing for a prize of general interest ... [helps]." He went on: "even one point earned for the course also works well even though it is not enough to change any individual student's grade. Sometimes this reward is given to individuals, and sometimes to the whole class if more than a certain percentage of students responds." However, he echoed a warning made more clearly by Ehrmann (2004) that thoughtful participation is best achieved by ensuring the survey is worth students' time, and that using

6. *Help students understand how to give constructive criticism.* When such help is given it seems likely that there will be at least two benefits. First, students will improve their ability to make points of value in ways that are unlikely to bruise academics egos. Secondly, providing this kind of help to students will help convince them that their responses will be used (point #4).
7. *Create surveys that seek constructive criticism.* If a survey does not demand constructive criticism – for example if all the items require a simple numerical rating – then there will likely be less engagement with the survey because the survey itself sends a message that conflicts with attempts made under #4.

Quinn (2002) specified eight strategies that have been used by people who have achieved high response rates to on-line surveys. Some of these overlapped with those already detailed above, but the following five did not:

1. *Extend the duration of a survey's availability* – the longer it is there the higher the chance students will respond.
2. *Involve students in the choice of optional questions.* Aside from making the survey intrinsically more interesting to students, this also addresses Zúñiga's #4.

3. *Assure students of the anonymity of their responses.* Dommeyer, Baum, & Hanna (2002) indicated that this was a concern for students, so anonymity seems likely to boost responses if it is managed effectively.
4. *Familiarise students with on-line environments by using on-line teaching aids/methods.* Related to this point, Richardson (2005) gave the following advice:

"It would be sensible to administer feedback surveys by the same mode as that used for delivering the curriculum (classroom administration for face-to-face teaching, postal surveys for correspondence courses and electronic surveys for on-line courses). (p.406.)

In the context of on-line surveying, it seems reasonable that the more familiar students are with the medium to be used for the survey, the more likely they will use it. Consistency of mode is likely to help achieve this outcome.

5. *Keep questionnaires brief.* The proposition here is that the less time it takes for a student to complete a survey, the more likely it is they will do so.

From the evidence available (e.g.: Ballantyne, 2005) it seems reasonable to suggest that the effect of these measures will be additive: those who use more of these approaches will achieve higher response rates. Clearly, the literature and practice

reviewed in the first section of this paper show that there is a long way to go before on-line survey response rates will match those of on-paper. There is an argument that can be made here. The two primary purposes of teaching and course evaluation surveys are for monitoring quality, and for improving quality. Hence the actions of academics which relate to Zúñiga's fourth point "*Persuade respondents that their responses will be used*" are the most critical – yet also the most difficult – to impact on.

In summary, there are many methods for boosting response rates to on-line surveys. Many of these would apply equally well to boosting response rates to any kind of survey. At present, few of the methods advocated above are used for on-paper surveys, yet on - paper surveys already achieve relatively high response rates – perhaps because they are administered to a captive audience, often with some dedicated class time sacrificed for the purpose. If classes were conducted in computer laboratories, on-line surveying done in-class could possibly reap similar rewards. This suggestion may therefore be added to the lists offered by Zúñiga (2004) and Quinn (2002). Conversely, if some of the measures above were used with on-paper surveys, their response rates might be even higher than they already are.

What is an adequate response rate?

It might be strictly more correct at this point to be asking what is an adequate sample size. However, in the context of teaching and course evaluation surveys, sampling is not

likely to be in the minds of academics. It is much more likely that they will ask a question about response rates. Furthermore, if a determination is made regarding sample size, the size of the population being sampled needs to be known first and so the corresponding response rate can be readily calculated from these two figures.

Whether or not a response rate is adequate depends (in part) on the use that is being made of the data. If the data gathered from a teaching evaluation survey were to be used only to bring about improvements by that teacher, and there is even one response that provides information that can be used in this way, the survey's purpose has, at least in part, been served and the response rate is technically irrelevant. If such a single useful response were just one from (say) a hundred or more possible respondents, that is of no consequence – unless that response is entirely at odds with what the majority of other students would have said. A more likely outcome would be that a single response would be regarded as completely inadequate in the context of a summative appraisal of the performance of the teacher. Generally, course and teaching evaluation data are used for both of these purposes, increasingly the latter (Ballantyne, 2003).

Accepting that course and teaching evaluations are rarely conducted for solely formative purposes, there is certain to be widespread concern about the adequacy of the responses to these surveys. In part, this will translate into a concern about response rates. It should be noted however, that this concern occurs without sufficient awareness of the importance of sample size and population size.

Richardson (2005) cited Babbie (1973, p.165) and Kidder (1981, pp.150–151) when stating that 50% is regarded as an acceptable response rate in social research postal surveys. Baruch (1999) researched the response rates reported by 141 published studies and 175 surveys in five top management journals published in 1975, 1985 and 1995. He found that the overall average response rate was 55.6%. Richardson (2005), however, indicated that the Australian Vice-Chancellors' Committee & Graduate Careers Council of Australia (2001) regarded "an overall institutional response rate for the Course Experience Questionnaire (CEQ) of at least 70% [to be] both desirable and achievable" (p.4.). But, in concluding comments, he stated "Response rates of 60% or more are both desirable and achievable for students who have satisfactorily completed their course units of programmes." (p.409.), despite having noted earlier that this rate "clearly leaves ample opportunity for sampling bias to affect the results." (p.406).

Assertions about the adequacy or otherwise of a particular percentage response rate appear to be made without reference to any theoretical justification – nor to the total number of potential respondents. Behind the assertions appears to be a balance between rational and political considerations of acceptability. It would be better if there was a theoretically justified, systematic way to calculate the response rate required.

Calculating required response rates

When academics survey their students to gather opinions on their teaching, or the quality of courses, they may either ask every student enrolled in those courses to respond, or may select only a smaller sub-set of students. If every student is surveyed,

the purpose is to establish the views of the entire group of students. In this instance the population is every student enrolled in the course.

When academics elect to survey a sub-set of the enrolled students, there is one of two purposes. They might only be interested in the opinions of that particular sub-set of students because they possess some characteristic that is of particular interest. For instance, the population could consist of only the mature-age students who are enrolled in the course. In these circumstances it follows that the academics have neither the interest nor the intent to deduce anything about other students, nor to subsequently take actions which in any way relate to those students or their views.

Alternatively, an academic might be interested in the views of all students enrolled in their course but simply finds it more practical to survey only one sub-set. In this case, the population remains all students enrolled in the course. The sub-set which is surveyed is a sample of that population. It is common that an academic may survey those students who attend a particular class on a particular day of the week and not other students who attend on other days. In these circumstances, the academic will seek to extrapolate findings from the sample to the population. Whether it is valid to do so is the issue.

In all three scenarios outlined above, it is unlikely that every student who is asked to respond to a survey will actually do so. As a result, there are a number of matters to consider before it is possible to determine if it is valid to extrapolate findings derived

from the students who did respond, to either the sample from which they came, or the population to which they belong.

In the first two scenarios, every student in the population is surveyed but not all respond. The respondents represent a non-random sample of the population. An appropriate question is whether the respondents differ systematically from the non-respondents, and if so, whether these differences would cause them to respond differently to the questions asked. If the answer to both questions is "yes", the sample is biased and simple extrapolation of findings from the sample to the population is not valid.

It is reasonable to expect that any survey that samples a population (or that achieves only a sample by way of respondents) will incur some sampling error and possibly also some sample bias. The former is the extent to which any statistical measure applied to the sample (such as the mean) gives a result which deviates from the mean of the population as a result of random variation in the membership of the sample. The latter is where a statistical measure applied to the sample deviates from the population measure because of systematic bias in the membership of the sample. In principle, both can be reduced by increasing the sample size and/or response rate – however, neither of these steps *guarantees* a reduction in either error or bias (Dillman, 2000).

There are different ways in which sample bias can be introduced. In the context of course and teaching evaluation surveys, sample bias might be introduced if the academic chooses to administer a survey in a day-time lecture in preference to an

evening lecture. The evening lecture might consist of a higher proportion of people who are in full employment, study part-time, and are older. The views of these people may deviate systematically from the views expressed by those who attend the day-time lecture.

Sample bias can also be introduced as a product of the survey method that is chosen. Watt et al., (2002. p.329) have reported that web users are demographically different from other users. Salmon, Deasy and Garrigan (2004) reported that variance in data from web surveys was less than for paper surveys. It is reasonable to suppose that an on-line survey will attract responses from students who are demographically different from, students who would respond to a paper survey.

Thirdly, sample bias can be introduced because of systematic differences between respondents and non-respondents. As noted by Richardson (2005), research shows that "demographic characteristics of people responding to surveys are different from those who do not respond in terms of age and social class (Goyder, 1987, chapter 5). While that may not matter to most academics conducting evaluations of their teaching and courses, Goyder more importantly, reported that "respondents differ from non-respondents in their attitudes and behaviour" (Goyder, 1987, Chapter 7) and other research has shown that "... students who respond to surveys differ from those who do not respond in terms of their study behaviour and academic attainment ... (Astin, 1970; Neilsen, Moos, & Lee, 1978; Watkins & Hattie, 1985) (p. 406.).

Richardson (2005) concluded: "It is therefore reasonable to assume that students who respond to feedback questionnaires will be *systematically* different from those who do not respond in their attitudes and experience of higher education." (p. 406. Emphasis added) and furthermore, "it is not possible to predict attitudes or behaviours on the basis of known demographic characteristics" (Goyder, 1987, Chapter 7, emphasis added). This means it impossible to use demographic data about students to construct a sampling frame that might seek to overcome sampling bias.

Thus, not only are the expressed views of respondents likely to be different from non-respondents, but responses gathered using web surveys are likely to be different from those gathered using paper-based surveys.

In the face of evidence of this kind, are we still prepared to accept response rates of 50% - 60% - 70% as adequate? It seems reasonable to argue that despite our best efforts it will often be difficult and/or expensive to obtain response rates above 70%. Politically, it is discomfoting to accept low response rates because the proportion of non-respondents may be too high for us to be sure that those who responded are representative of the others who did not. The issue becomes "what are we prepared to accept?". As such, there is some degree of arbitrariness about the decision.

But there is some theory to guide us in the domain of statisticians and mathematicians beginning with a seminal paper by Neyman (1934), which discusses "the method of stratified sampling" compared to "the method of purposive selection", followed in 1955 with a paper titled "A unified theory of sampling from finite populations" (Godambe,

1955) and more recently by Smith (1983) in a paper "On the validity of inferences from non-random sample". A more accessible account of the salient points has been provided in Chapter 5 of Dillman (2000) (p.194-213).

First, there is a systematic way to calculate the sample size required for a specified level of confidence in the result, in relation to a population of a specified size, with a specified degree of sampling error, given a specified level of probability for a particular answer to be provided by a respondent (Dillman, 2000, p. 206-7).

Specifically, and in relation to the context of teaching evaluation, under the following conditions, it is possible to use a formula provided by Dillman (2000) to calculate how many respondents are required (and therefore also the required response rate).

The conditions are:

- The total number of students in the population that is being surveyed is known.
- All students in the population are surveyed. (Note: It is not actually necessary to survey all the students, but this assumption is necessary for the argument being made about *response rate*. In practice, if the reader wants to calculate sample size instead, the requirement to survey all the students can be removed.)
- There is a known probability of any one student providing a certain answer to a question on a survey.
- The required/ desired level of accuracy of result is known or set.

- There is a known or chosen level of confidence required/ desired for the same result to be obtained from other samples of the same size from the same total group of students in the course.

In order to seek to present data representing the "best possible scenario" (i.e. one which maximises the probability of needing the lowest response rates) the formula supplied by Dillman (2000) was initially applied with liberal conditions set. These were: to set a 10% sampling error (higher than the normal 3%), to assume a simple yes/no question is to be answered equally by respondents in 50:50 ratio (the most conservative situation), and to accept a 80% confidence level (much lower than the normal 95% used by statisticians).

However, in practice it is known that students' responses to questions on teaching and course evaluation surveys use the top ratings more frequently than the lower ones. Considering data gathered in one Australian University over an eight year period with over 25,000 surveys using a 1 to 5 scale, actual percentages are 72% of students responding with a rating of 4 or 5, the remainder using a rating of 1, 2, or 3. Thus, the assumption of a 50:50 split on a "yes/no" question can be altered to a (nominal) 70:30 split. Applying this more liberal condition yields lower required response rates which are tabulated in Table 3 in the columns headed "Liberal Conditions".

Columns under the heading "Stringent Conditions" present the required responses and response rates when more stringent (and more common) conditions are set: specifically 3% sampling error, and 95% confidence level.

TABLE 3 ABOUT HERE

Table 3: Required response rates by class size

Starting with the data from the liberal conditions, the table shows that for class sizes below 20 the response rate required needs to be above 58%. This is greater than the maximum achieved by all but one of the universities cited earlier when using paper-based surveys (that maximum was only a little higher at 65%). In other words, the table suggests that even the relatively good response rates obtained to paper surveys of teaching and courses are only adequate when the class size is 20 or higher – and, even then, only when liberal conditions in relation to the acceptable sampling error and required confidence level are acceptable.

Similarly, considering the response rates achieved with on-line surveys, the table shows that the highest response rate reported earlier (47%) is only adequate when class sizes are above (approximately) 30 – and again, even then, only when liberal conditions in relation to the acceptable sampling error and required confidence level are acceptable.

In other institutions, such as Griffith University for example, class size (at best) needs to exceed 100 before its existing response rate of 20% can be considered adequate. In

other words, for this institution, unless the response rate can be boosted, on-line surveys should not be used on classes with less than 100 students.

When the more traditional and conservative conditions are set, the best reported response rate obtained to on-paper surveys (65%) is only adequate when the class size exceeds approximately 500 students. The best reported response rates for on-line surveys (47%) is only adequate for class sizes above 750 students. The 20% response rate achieved to on-line surveys by Griffith university would not be adequate even with class sizes of 2000 students.

Table 3 is, however, only a **guide** as it is based on the application of a formula derived from a theory which has random sampling as a basic requirement. With teaching and course evaluations *this requirement is not met*. If the total enrolment of a course is sampled, it is generally a convenience sample – selecting all students who show up to the Monday day-time lecture for example. If all students enrolled are surveyed, or if a random selection of these are surveyed, random sampling is still not achieved in practice because those who respond are not a random selection. Indeed, those who respond are systematically different from those who do not, and that those who respond will be different depending on the method of evaluation selected (Astin, 1970; Goyder, 1987; Nielsen et al., 1978; Watkins & Hattie, 1985, Watt et al., 2002).

Discussion

What are the consequences of ignoring these facts? If the sample size is too small, results obtained will not be representative of the whole group of students. That is, the results will suffer from both sample error and sample bias. This means that the results obtained (from a sample) are not likely to be an indication of what the group as a whole (the population) would have said. Given that the respondents may be systematically different from non-respondents it is possible that the feedback provided could influence an academic to respond in ways that are counter to what they would do if they had feedback from all students. Similarly, if the data are used summatively to judge a teacher's performance, it may lead a person to make an erroneous judgment. Although academics (like the rest of us) have to make judgments all the time in the absence of useful information, it would be helpful if the parameters affecting the feedback were more transparently obvious. It would also be helpful if the information available was not itself misleading – as may be the case.

For example, let us consider a hypothetical scenario. If an on-line survey is used, the respondents are more likely to be students who are familiar with and able to use this medium. As such, these students may also comment more favourably about on-line teaching matters than the other students would. Hypothetically, these students may also constitute a minority. The result will be a survey with a low overall response rate, made up of students who are mostly familiar with, able to use, and favourably disposed toward on-line teaching and learning provisions of the course. If this happens, and these are the only data considered, the academic concerned could form a false view that they should do more to boost the use of on-line teaching approaches.

It should be noted that the problem here is not simply that the responses to the survey have come from a minority of students, but that the survey results suffer from systematic bias. This means that these data may also misrepresent and misinform summative judgments regarding the performance of the teacher. Unfortunately, it is not possible to determine the direction of that bias. Although (in this hypothetical) students responding to on-line surveys may be more positively disposed toward on-line teaching approaches, this does not mean that they will also be more positively disposed toward the teacher's teaching.

The hypothetical scenario above serves to illustrate another problem too: imagine an on-line survey of all students yields a 30% response and an on-paper survey of the same students yields a 60% response. The temptation would be to regard the results of the latter as more valid and more worthy of consideration. However, as already described above, it may be that the on-line survey attracted responses from those who predominantly make use of on-line teaching and learning resources, while the respondents to the paper survey may contain few of these people. Effectively the two surveys have sampled two different sub-groups of students with systematically different views which may (or may not) be reflected in the nature of their answers to survey questions (depending on the questions). *Neither* survey may be a valid reflection of the whole group but each one may be a valid reflection of each sub-group.

In practice, it is likely that only one of these two surveys would be conducted – the academic will not have both sets of data for comparison. The academic's responses to improve their teaching and/or their course might therefore be erroneous. Similarly, the data for either survey may be misleading if used for summative purposes. This is not a problem resulting from low response rate per se. but rather a problem associated with the potential for systematic sample bias in respect of the respondents to any one survey type – or, indeed, any survey.

This last point takes us into territory which is beyond the scope of this paper. Suffice it to say that the design of a survey, not only the mode of administration, may also affect who responds to it and what they say. Thus, when interpreting survey results, it is important to think about what was asked, how it was asked, and how these variables may have resulted in bias in respect of who responded, what they said and how these responses may have differed if the survey itself, the mode of administration, and the resultant pool of respondents had been different. The implication is that data derived from surveys are likely to be somewhat more easily and validly used if the surveys themselves are appropriately designed and used for particular targeted purposes. Given that doing this is difficult, even in the best of worlds, this observation underscores the need to evaluate courses and teachers using multiple methods, and to carefully consider the differences between the pictures that emerge from each in order to triangulate a more accurate position.

It follows from all this discussion, that although Table 3 gives us a guide for response rates which could (in a theoretically ideal world) be considered adequate, the reality is that even if the response rates suggested are achieved, great care is needed to be sure that results for a survey are representative of the whole group of students enrolled. Although this is known, current practice frequently ignores this need for caution. Generic course and teaching surveys are often used to evaluate situations they were not designed for, and response rates which are below those advocated by Table 3 are generally accepted. Despite this a high weight is simultaneously placed on student evaluation results.

Conclusion

This article has confirmed earlier research (Cook et al, 2000) which showed that response rates to on-line surveys of teaching and courses are nearly always very much lower than those obtained when using on-paper surveys. While a wide range of methods exist for boosting response rates, institutions do not make full use of these. The methods that are used are more likely to be applied to boosting response rates to on-line surveys than on-paper surveys. This is despite the fact that this article has shown that in many cases the response rates obtained to course and teaching evaluation surveys are not adequate regardless of the method of surveying used.

Given the anonymity of responses and the impossibility of using demographic data to predict attitudinal variables in students (and therefore there being no viable way to systematically target surveys at a minimal sample of students which would be representative of the whole group). Appropriate paths of action that remain are to:

- (1) use multiple methods to boost survey response rates as high as possible (regardless of whether on-paper or on-line surveys are used – but *especially* when on-line surveys are used)
- (2) consider the probable effect that use of a particular survey design and method might have on the makeup of the respondents and take this into account when interpreting the feedback obtained, and
- (3) use multiple methods of evaluation to elucidate findings – so as to construct a better informed understanding of what the true picture is.

Without these actions being taken, relying heavily on student evaluations of courses and teaching is, at best, likely to be inadequate, at worst, misleading.

References

- Astin, A. W. (1970). The methodology of research on college impact, part two. *Sociology of Education*, 43, 437-450.
- Australian Vice-Chancellors' Committee, & Graduate Careers Council of Australia. (2001). Code of practice on the public disclosure of data from the Graduate Careers Council of Australia's Graduate Destination Survey, Course Experience Questionnaire and Postgraduate Research Experience Questionnaire. from http://www.avcc.edu.au/documents/policies_programs/graduates/2003/COP_2001.pdf
- Babbie, E. R. (1973). *Survey Research Methods*. Belmont, CA.: Wadsworth.
- Ballantyne, C. (2003, November 24-25). *Measuring quality units: Considerations in choosing mandatory questions*. Paper presented at the Paper presented at Evaluations and Assessment Conference: a commitment to quality, University of South Australia, Adelaide.
- Ballantyne, C. (2005). *Moving student evaluation of teaching online: Reporting pilot outcomes and issues with a focus on how to increase student response rate*. Paper presented at the 2005 Australasian Evaluations Forum: University learning and teaching: Evaluating and enhancing the experience., UNSW, Sydney. 28-29 November.
- Baruch, Y. (1999). Response rates in academic studies- a comparative analysis. *Human Relations*, 52, 421-434.
- Cook, C., Heath, F., & Thompson, R. L. (2000). A Meta-analysis of response rates in web or internet-based surveys. *Educational and Psychological Measurement*, 60(6), 821-836.
- Dillman, D. A. (2000). *Mail and internet surveys: The tailored design method*. Brisbane: John Wiley & Sons, Inc.
- Dommeyer, C. J., Baum, P., Chapman, K., & Hanna, R. W. (2002). Attitudes of Business Faculty Towards two Methods of Collecting Teaching Evaluations: Paper vs. Online. *Assessment and evaluation in Higher Education*, 27(5), 455-462.
- Dommeyer, C. J., Baum, P., & Hanna, R. W. (2002). College students' attitudes toward methods of collecting teaching evaluation: in-class versus on-line. *Journal of Education for Business*, 78(2), 11-15.
- Dommeyer, C. J., Baum, P., Hanna, R. W., & Chapman, K. S. (2004). Gathering faculty teaching evaluations by in-class and online surveys: their effects on response rates and evaluations. *Assessment & Evaluation in Higher Education*, 29(5), 611-623.
- Ehrmann, S. (2004). Increasing Survey Response Rates by "Closing the Loop" from Survey Back to Respondent. Retrieved 15-03-2006, from <http://www.tltgroup.org/resources/Flashlight/Participation.html>
- Godambe, V. P. (1955). A unified theory of sampling from finite populations. *Journal of the Royal Statistical Society*, 17(2), 269-278.
- Goyder, J. (1987). *The silent majority: non-respondents on sample surveys*. Cambridge: Polity Press.

- Hastie, M., & Palmer, A. (1997). *The development of online evaluation instruments to compliment web-based educational resources*. . Paper presented at the Paper presented at the Third Australian World Wide Web Conference., Lismore, New South Wales.
- Kidder, L. H. (1981). *Research methods in social relations*. New York: Holt, Rinehart & Winston.
- Kittleson, M. (1995). Determining effective follow-up of e-mail surveys. *American Journal of Health Behavior*, 21(3), 193-196.
- Nair, C. S., Wayland, C., & Soediro, S. (2005, 28-29 November). *Evaluating the Student Experience: A Leap into the Future*. Paper presented at the 2005 Australasian Evaluations Forum: University learning and teaching: Evaluating and enhancing the experience., UNSW, Sydney.
- Neilsen, H. D., Moos, R. H., & Lee, E. A. (1978). Response bias in follow-up studies of college students. *Research in Higher Education*, 9, 97-113.
- Neyman, J. (1934). On the two different aspects of the representative method: The method of stratified sampling and the method of purposive selection. *Journal of the Royal Statistical Society*, 97(4), 558-625.
- Nulty, D. D. (1992). Students' Opinions on Students' Opinions. In M. S. Parer (Ed.), *Research and Development in Higher Education: Academia Under Pressure: Theory and Practice for the 21st Century*. (Vol. 15, pp. 498-502). Jamieson, ACT, Australia: Higher Education Research and Development Society of Australasia, Inc. .
- Ogier, J. (2005). *The response rates for online surveys - a hit and miss affair*. Paper presented at the 2005 Australasian Evaluations Forum: University learning and teaching: Evaluating and enhancing the experience., UNSW, Sydney. 28-29 November.
- Pearson Assessments. (2006). Survey Tracker Plus. Retrieved 3 May 2006, from <http://www.pearsonncs.com/surveytracker/index.htm>
- Quinn, D. (2002). Improving online response rates. Retrieved 15-03-2006, from <http://www.unisanet.unisa.edu.au/sei/website/Online-respnrates.asp>
- Richardson, J. T. E. (2005). Instruments for obtaining student feedback: a review of the literature. *Assessment & evaluation in higher education*, 30(4), 387-415.
- Salmon, P., Deasy, T., & Garrigan, B. (2004). *What escapes the Net? A statistical comparison of responses from paper and web surveys*. Paper presented at the 2004 Evaluation Forum: Communicating Evaluation Outcomes: Issues and Approaches., Melbourne, Australia. 24-25 November 2004.
- Seal, K. C., & Przasnyski, Z. H. (2001). Using the world wide web for teaching improvement. *Computers and Education*, 36, 33-40.
- Smith, T. M. F. (1983). On the validity of inferences from non-random sample. *Journal of the Royal Statistical Society*, 146(4), 394-403.
- Sweep, T. (2006). Personal communication: E.mail 20/02/2006: Response rates 2005. QUT, Brisbane.
- Watkins, D., & Hattie, J. (1985). A longitudinal study of the approaches to learning of Australian tertiary students. *Human Learning*, 4, 127-141.

- Watt, S., Simpson, C., McKillop, C., & Nunn, V. (2002). Electronic Course Surveys: does automating feedback and reporting give better results? *Assessment & Evaluation in Higher Education*, 27(4), 325-337.
- Zúñiga, R. E. (2004, March). Increasing Response Rates for Online Surveys – A Report from the Flashlight Program's BeTA Project. Retrieved 15-03-2006, from <http://www.tltgroup.org/resources/F-LIGHT/2004/03-04.html#BeTA>

TABLES

Table 1: Comparisons of response rates to paper-based and on-line surveys.

Who	Paper-based response rate	On-line response rate	Difference
Cook et al. (2000)	55.6%	-	
Baruch (1999)	-	39.6%	-16%
Domeyer et al (2004)	75%	43%	-32%
Ballantyne (2005)	55%	47%	-8%
Ogier (2005)	65%	30%	-35%
Nair et al. (2005)	56%	31%	-31%
Griffith University (2005)	57%	20%	-37%
(Sweep, 2006)	56%	23%	-33%
Watt et al. (2002)	32.6%	33.3%	<1%
Overall	56%	33%	-23%

Table 2: Methods used to boost on-line survey response rates in 5 universities.

University	Methods used	On-line survey response rate
Murdoch University Ballantyne (2005)	1, 2 & 3	47%
Canterbury University Ogier (2005)	1 & 3	30%
Monash University Nair et al. (2005)	1	31%
Griffith University	no measures taken	20%
QUT Sweep (2006)	no measures taken	23%

Table 3: Required response rates by class size

	"Liberal Conditions"		"Stringent Conditions"	
	10% sampling error; 80% confidence level; 70:30 split responses 4 or 5 compared to 1, 2, 3.		3% sampling error; 95% confidence level; 70:30 split responses 4 or 5 compared to 1, 2, 3.	
Total # students in the course	Required # respondents	Response rate required	Required # respondents	Response rate required
10	7	75%	10	100%
20	12	58%	19	97%
30	14	48%	29	96%
40	16	40%	38	95%
50	17	35%	47	93%
60	18	31%	55	92%
70	19	28%	64	91%
80	20	25%	72	90%
90	21	23%	80	88%
100	21	21%	87	87%
150	23	15%	123	82%
200	23	12%	155	77%
250	24	10%	183	73%
300	24	8%	209	70%
500	25	5%	289	58%
750	25	3%	358	48%
1000	26	3%	406	41%
2000	26	1%	509	25%