

The alliance of genes and environment in asthma and allergy

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The diseases of asthma, eczema and hay fever are typified by reactions to common allergens, which are mediated by immunoglobulin E. These allergic diseases are increasing in prevalence, and are now a major source of disability throughout the developed world. They are the result of complex interactions between largely unknown genetic and environmental mechanisms. The identification of the environmental factors offers the real possibility of prevention of disease, and unravelling the genetics of allergic illnesses is likely to change their classification and treatment. Early life seems particularly important, when the initiation of allergic disease may result from genetic and environmental modification of the immune interaction between mother and child.

Allergies in one form or another affect a large part of humanity. 'Allergy*' was originally intended to mean 'deviation from the original state' when vaccination and injection with proteins and sera were seen to lead to a variety of harmful immune reactions¹. The word 'atopy' (meaning 'strange disease') was coined to differentiate the familial syndrome of *asthma* and hay fever from these mixed reactions². Infantile *eczema* has subsequently been recognized to be part of the same syndrome, and is often referred to as atopic dermatitis. Common usage has meant that the original broad meaning of allergy is lost, and the terms 'allergy' and 'atopy' are often used interchangeably, which has been the practice in this supplement.

Allergies are caused by the immune reaction to common inhaled proteins, known as *allergens*. Typical allergen sources include house dust mite, grass pollens and animal danders (sheddings from skin and fur). From a worldwide perspective it is the house dust mite that is of most importance to asthmatics. The total annual exposure of an individual to allergens is often only in the order of micrograms.

Allergens appear harmless in themselves, and only cause disease because of the intensity of the immune reaction which they provoke. This reaction is typified by *immunoglobulin E* (IgE) responses against the allergen. IgE binds to its high-affinity receptor (FcεRI) on *mast cells* and other effector cells. Exposure to allergen in a sensitized individual leads to crosslinking of IgE/FcεRI complexes, which causes mast-cell degranulation and the initiation of inflammation.

The synthesis of IgE is under complex control, which is discussed in detail by Corry & Kheradmand in this supplement. Interleukin (IL)-4 in particular is associated with upregulation of IgE, and interferon-γ (IFN-γ) with downregulation. The modulation and maintenance of inflammation may be carried out by mechanisms that are independent of the IgE/mast-cell axis.

The atopic state is recognized clinically by skin prick tests, in which allergen solutions are placed on the skin, and minute quantities of allergen are introduced into the epidermis by pricking with a needle through the solution. If IgE specific for the allergen is present, mast-cell degranulation takes place, with a resulting visible weal and flare (Fig. 1a). This early reaction resolves within tens of minutes, but it can be followed some hours afterwards with a late response, in which immune cells infiltrate into the skin. Both early and late responses are seen in the lungs and nose, when exposure to allergens induces the symptoms of asthma or hay fever. Many people who are atopic by skin tests do not have symptoms of allergic disease, indicating that factors other than the atopic state are important in causing asthma or eczema. *Anaphylaxis* is an extreme form of allergic reaction, in which systemic mast-cell degranulation

takes place, followed by intractable bronchospasm, cardiovascular collapse and even death.

Atopic individuals can also be recognized by the presence of allergen-specific IgE in their serum, by elevations of the total serum IgE, and by the presence of eosinophilia in their blood. These patterns are also seen in normal individuals who are suffering from parasitic infection, indicating that the processes of atopic inflammation evolved to deal with such infections.

Asthma and eczema

Asthma and eczema are the most serious of the allergic diseases. Asthma has become an epidemic, affecting 155 million individuals in the world. The cost of treating the disease is substantial. In the United States the figure approximates US\$6 billion dollars per annum³, in Germany 5 billion DM (US\$3 billion)⁴, and in Britain £1 billion (US\$1.6 billion)⁵. More than half of this expense is spent on hospital care and 80% of the entire bill is attributable to the 20% of patients who require the most treatment⁶. The market to the pharmaceutical industry for asthma medication is \$5.5 billion per annum⁶.

Asthma is an inflammatory disease of the airways of the lung (Fig. 1b). Narrowing occurs because of inflammation and mucous hypersecretion, and is exacerbated as the smooth musculature in the bronchiolar walls becomes hyperresponsive to nonspecific stimuli. Intermittent airway constriction gives rise to the asthmatic symptoms of wheeze, cough, chest tightness and shortness of breath. Over time the bronchioles may become fibrosed or scarred, and the airflow limitation may become permanent.

Asthma is unlikely to be a single disease. Although most childhood asthmatics are also atopic, there is a wide spectrum of severity with mild intermittent wheezing lumped diagnostically with severe intractable disease. Adult-onset asthma is a poorly defined illness, which is more common in women and difficult to treat, and which in many cases does not seem to be atopic. Cigarette smoking is often a contributing cause. Other asthma syndromes, such as aspirin-sensitive asthma and industrial asthma, may also operate through non-atopic mechanisms.

Eczema is as common as asthma, affecting between 10 and 20% of children in western populations^{7,8}. It is characterized by an itchy red rash that has an easily broken surface and is primarily a disease of early childhood. It has a predisposition for flexures in the skin, such as at the front of elbows or behind the knees, but in severe cases the rash becomes generalized. There is a very strong association between atopic dermatitis and asthma, so that 60% of children attending a clinic for eczema may also have the other disease⁹.

The nature of allergens

The respiratory organs deal with a multitude of airborne particles,

* Terms in *italic* are defined in the glossary on p. B39.

yet only a few of these particles from a limited number of sources consistently produce allergic reactions. Disease-associated allergens are usually soluble proteins. Most allergens have an enzymatic function in their natural state, but this is also true of most proteins, and a consistent activity shared by allergens has not been identified. Most allergens form particles of dimensions that allow them to become airborne and to penetrate the respiratory tree. Only a limited range of particle sizes are respirable, and are able to reach into the lung rather than being deposited in the nose and throat. It is still unclear whether the physical facts of allergens' ubiquity, respirability and solubility are sufficient to explain why they induce disease.

The allergens that most commonly produce reactions in susceptible individuals are known as 'major allergens'. Allergens are named according to their source and the order in which they were discovered. Major allergens from the house dust mite *Dermatophagoides pteronyssinus*, for example, include *Der p* I and *Der p* II. Other important major allergens are *Fel d* I from the cat *Felis domesticus*, *Bet v* I from pollen of the birch tree (*Betula verrucosa*) and *Phl p* I and *Phl p* V from the pollen of timothy grass *Phleum pratense*. Many other grass pollens, such as rye grass (*Lolium perenne*), have allergens that are similar to those of *Phleum pratense* (see ref. 10 for review).

Most common allergens have been extremely well characterized, with definition of the B- and T-cell antigenic determinants, and even the solution of the crystal structures (Fig. 2)¹⁰⁻¹². This intimate knowledge of structure means that the tools now exist for the complete description for the events controlling allergen reaction with the immune system.

Particular allergens are associated with different types of allergic illnesses. Grass pollen allergens cause hay fever, and allergens derived from house dust mites, cats and cockroaches cause

asthma¹⁰. This difference is probably due to the physical dimensions of the particles, as pollen particles are relatively large and do not penetrate low into the bronchial tree. Children with eczema do not show a consistent pattern of allergen sensitization, but react to many allergens, including those derived from eggs, milk and cheese as well as the common airborne allergens. Transient IgE responses to food proteins are seen to a lesser degree in most children¹³, so sensitization to food allergens may be a nonspecific marker of a heightened allergic propensity. How either food or respiratory allergens induce disease in the skin is problematic, but a simple physical solution might be that children with eczema have delicate skin, and that allergens dissolve in highest concentrations in the moist flexural areas that show predilection to disease.

The epidemic of asthma and atopic disease

Asthma was quite rare at the beginning of the century, but its prevalence in developed countries has now risen to true epidemic proportions. Although some of the rising prevalence is possibly spurious and due to improvements in diagnosis and increased reporting of symptoms¹⁴, the evidence for changes in objective measurements of asthma-associated phenotypes is overwhelming¹⁴⁻¹⁶. The number of children with diagnosed atopic dermatitis has doubled in the past 20 years¹⁵, and hay fever has shown a similar escalation in prevalence.

This increase in all atopic diseases can only be due to a factor or factors in the environment. The presence of the increase has a message of importance: identification of the responsible factors is very likely to make asthma and atopy preventable.

In the popular imagination, asthma is the result of air pollution. There is no doubt that air pollution with ozone and particulates can exacerbate existing asthma and precipitate hospital admission. However, pollution in most westernized countries has declined dramatically at the same time that the prevalence of asthma has been increasing.

Perceptions of the role of pollution in asthma changed with the work of von Mutius and her colleagues, who, once Germany was reunified, compared the prevalence of asthma in schoolchildren from the cities of Munich and Leipzig. The level of pollution was far higher in Leipzig, and the researchers expected to find a concomitant increase in asthma frequency. Surprisingly, there was significantly less allergic disease in polluted Leipzig than in clean Munich¹⁷. Subsequent studies showed that atopy, measured by skin-test responses, was also less common in the east than in the west¹⁸. These findings have been mirrored by comparisons between other European populations¹⁹. In addition, the prevalence of atopy has risen steadily in east Germany as it has become more westernized²⁰,

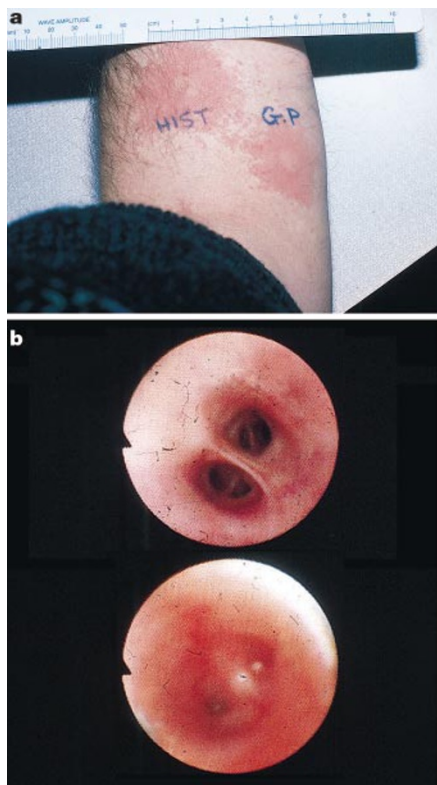


Figure 1 Consequences of allergic inflammation. **a**, Typical skin-test responses in an allergic subject, showing two wheals from grass pollen and histamine. **b**, Endoscopic appearances of an asthmatic airway, before and after local challenge with an allergen solution, showing inflammation in an asthmatic airway. (Courtesy of A. Frew.)



Figure 2 The crystal structure of the birch pollen allergen, *Bet v* I. (Courtesy of M. Gajhede.)

Box 1.

Epidemiological observations about asthma and allergy

- There are tenfold regional differences in the prevalence of asthma and atopic diseases
- Allergic disease is more common in clean westernized environments
- Asthma and atopy are less common in the children of animal farmers
- Asthma and atopy are less common in younger siblings
- Asthma and atopy are less common in households with dogs as pets

although an increase in the prevalence of asthma is not yet evident. The results suggest that the increase in the prevalence of allergic disease is due to factors associated with a western lifestyle.

International studies of children²¹ and adults²² have shown substantial regional differences in the prevalence of atopic disease, indicating that epidemiological studies may be able to identify the factors predisposing to disease (Box 1). Asthma, diagnosed by questionnaire, is most common in children from Britain, Australia, New Zealand and the Republic of Ireland, and lowest in eastern Europe, Indonesia, Greece, China, Taiwan, Uzbekistan, India and Ethiopia²¹. A rural lifestyle is protective both in Europe and Africa^{19,23,24}. Within Europe, the prevalence of atopic disease and atopic sensitization (measured by skin tests) is lowest in the children of farmers who keep animals near to the house^{23,25}. In non-farming households, the presence of a dog is also protective against disease²⁶. There is an effect of birth order, with the youngest children of large sibships also being protected²⁷, and the presence of an older brother being particularly effective²².

Events in early infancy are critical in determining the subsequent course of allergic disease. In the Scandinavian countries, a short intense spring flowering of birch trees is accompanied by symptoms in many individuals. Children born in the three months around the pollen season carry an increased risk of allergy to birch pollen for the rest of their life²⁸. In English children the level of house dust mite in infants' bedding during the first year of life correlates with the subsequent risk of childhood asthma²⁹.

The patterns of atopic disease could be explained by differences in the nature of childhood infection or changes in the bacterial flora of the bowel that follow from a clean western lifestyle^{17,19,30}. It has been argued that our immune systems need to be taught, in the same way as our nervous systems³¹. We have co-evolved with our parasites and the constant threat of bacterial and viral infections, and now that infancies in the westernized world are largely free from such companionship, 'immune deviation' and allergic and other diseases may be the result. The cellular arguments behind this hypothesis are developed in the subsequent review in this supplement by Holt *et al.*

Epidemiology is a branch of medical science which moves slowly but with great force. The power of the epidemiological approach is exemplified by an investigation of outbreaks of severe life-threatening asthma in Barcelona³². Twenty-six circumscribed outbreaks took place between 1981 and 1986, filling the city's hospitals and causing several deaths. An epidemiological investigation was set up, which after examining many possibilities recognized that the cases of asthma were clustered near to the Barcelona harbour. The investigators looked for correlations between the type of products unloaded from ships and days in which outbreaks of asthma took place. All asthma-epidemic days in the years that they tested had coincided with the unloading of soybeans. The investigators also found that the wind conditions favoured the movement of air from the harbour to the city on every epidemic day. Finally, they tracked the source of the soybean dust to a single harbour silo that lacked bag filters at its top.

Although the worldwide increase in the prevalence of asthma and allergies may not eventually be attributable to such a well defined source, the rich and varied pattern of prevalence of atopic diseases

suggests that further epidemiological victories are possible.

The genetic basis of asthma and atopic disease

Asthma, eczema and the atopic state are strongly familial and have a genetic basis. Studies of twins have shown generally that concordance rates for asthma are significantly higher in monozygotic twins than dizygotic twins, and that the heritability of asthma may be as high as 75% (ref. 33). Analysis of the segregation of asthma within families indicates that asthma is influenced by a few genes with a moderate effect ('oligogenes'), rather than many genes of small effect ('polygenes')³⁴⁻³⁶. Twin studies of eczema in children have shown concordance rates of 77% and 15% in monozygotic and dizygotic twins, respectively³⁷, indicating strong genetic effects on the disease. Parental eczema confers a higher risk of eczema in offspring than parental asthma or *rhinitis*³⁸, suggesting the presence of eczema-specific genes. Analysis of the inheritance of the total serum IgE, which is the principal marker of the atopic state, has also suggested the presence of genes of strong effect^{39,40}.

Given the likely presence of genes of strong effect, it is a reasonable expectation that understanding the genetics of both asthma and eczema will lead to improvements in their diagnosis, prevention and treatment. As a result, programmes aimed at the discovery of genes that predispose individuals to these illnesses are being carried out both within the industrial and the academic spheres.

Molecular genetics is about matching polymorphism in phenotype to polymorphism in the genetic material. Common diseases such as asthma are likely to be due to common variants ('alleles') in genes that alter gene functions in a subtle way rather than ablate gene function. This variation is as likely to be concentrated within the regulatory elements of the gene as within coding sequences.

Two general techniques are possible for identifying genetic effects on a disease. The first, known as the candidate gene approach, is to find polymorphism in a known gene and to compare the frequency of alleles in cases and controls. The second, and far more ambitious and expensive approach is that of positional cloning, which relies initially on linking the inheritance of specific chromosomal regions with the inheritance of disease⁴¹. Positional cloning has been extremely successful in identifying the genetic defects underlying single gene disorders, such as muscular dystrophy, cystic fibrosis and Huntington's disease. It is likely to make similar contributions to complex diseases such as asthma and atopy.

As a rule, academic groups are confined to the candidate gene approach because it is easier and cheaper, and industrial groups prefer positional cloning because it is systematic and because it has the ability to identify unexpected genes and mechanisms. In addition, the resources of industry allow the rational matching of the US\$5.5 billion annual market for asthma against the few million dollars required for gene discovery programmes.

Candidate gene studies

Although candidate gene studies may not find new genes, they still help the choice of targets for therapeutic intervention. Matching polymorphism in a candidate gene to a particular phenotype will demonstrate that interference with the action of this gene will produce a change in the phenotype. It will also show that the modulation of that gene's function is likely to be safe, because variation in this pathway has been encouraged in nature. The absence of polymorphism does not infer absence of a critical role in a particular pathway, but the corollary that conservation of response is important in evolutionary terms may be a warning against interference with a particular gene or pathway.

Several candidate genes have been implicated in allergic disease. The first effect to be recognized was the human leukocyte antigen (HLA) class II restriction of the response to particular allergens (Fig. 3). The strongest and most consistent association is between a minor component of ragweed antigen (*Amb a V*) and HLA-DR2. Many other possible positive and negative associations of the major

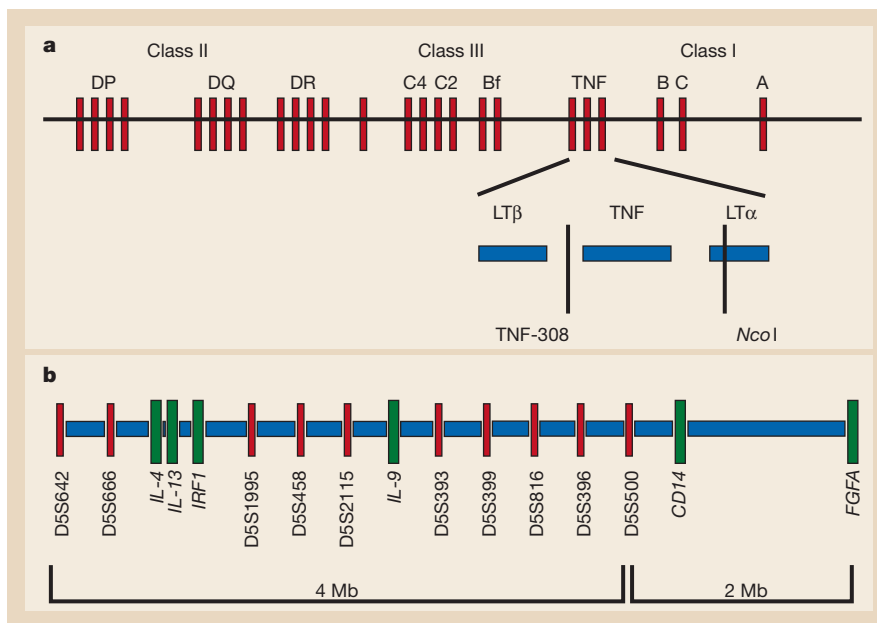


Figure 3 Gene clusters affecting allergic inflammation. **a**, The human major histocompatibility complex. HLA class II genes influence the ability to react to particular allergens, and TNF genes may modulate the intensity of airway inflammation independently of IgE responses. **b**, The cytokine cluster on chromosome 5. Polymorphisms are recognized in several of these genes (including those encoding IL-4,

IL-13, IRF1, IL-9, CD14 and FGFA), which may modulate the intensity of the allergic response. It is possible that genes within the cluster are co-regulated to give a consistent individual pattern of cytokine expression. D5S numbers refer to anonymous microsatellite markers used in the genetic mapping of the locus.

histocompatibility complex (MHC) with allergen reactivity have been described, most of which have not been confirmed (see ref. 10 for review). The overall effect of the MHC on allergens seems to be a multiplicity of small effects, usually conferring a risk factor less than twice as high as normal¹⁰. These results are unlikely to be useful clinically. Stronger HLA effects may be seen when the antigen is small and contains a single or very few antigenic determinants. This may be the case with aspirin-induced asthma and DPB1*0301, and sensitivity to inhaled acid anhydrides and HLA-DR3 (ref. 10).

Linkage of atopy to a genetic marker on the long arm (q) of chromosome 11 at band 13 (11q13) was reported ten years ago⁴², and was followed by a protracted argument about the significance of results⁴³. The experience gained from the investigation of this particular locus illustrates how the problems of sample size and selection, replication of genetic linkage, parent of origin effects (discussed below), *genetic heterogeneity*, and phenotypic *pleiotropy* must be solved for the effective and systematic identification of the genes predisposing to the atopic disorders.

The beta chain of the high-affinity receptor for IgE (*FcεRI-β*) was subsequently localized to the same region, and polymorphism with the gene related in different studies to atopy⁴⁴, asthma⁴⁵, *bronchial hyperresponsiveness*⁴⁶ and severe atopic dermatitis⁹. Polymorphism within the gene has also been associated with levels of IgE in heavily parasitized Australian aborigines, implying a protective role for the gene in helminth infestation⁴⁷. Although a few coding changes have been identified within *FcεRI-β*^{45,48}, they are conservative and do not seem to alter gene function.

The *FcεRI* receptor acts as the allergic trigger on mast and other cells, and is central to the allergic response. Its biology is described in detail by Turner & Kinet in the supplement. The β-chain is not essential for *FcεRI* function, but it both augments the surface expression of the receptor, and acts as an amplifying element within it. Any variation in the level of the β-chain expression may therefore modify receptor function. The controlling elements of the gene are now under study for genetic polymorphism.

Several associations have been noted between measures of atopy

and genes of the chromosome 5 *cytokine* cluster, including *IL-4* and *CD14* (refs 49, 50; Fig. 3). The congregation of cytokine genes in the region may have evolved for their co-regulation, and claims for the importance of particular polymorphisms within the cluster should be interpreted in the context of *linkage disequilibrium* with other markers and other known or unknown genes. An effect on atopy and serum IgE levels of *IL-4 receptor* (on chromosome 16) has been recognized⁵¹, and may be stronger than the effects of polymorphism in *IL-4* itself. Coding variants within the *β-adrenergic receptor* have been shown *in vitro* to be functionally important⁵², but associations with asthma have been weak and inconsistent⁵³.

Tumour necrosis factor (TNF) is a pro-inflammatory cytokine that is abundant in asthmatic airways. An association between *TNF* alleles and asthma has been observed⁵⁴ and seems independent of the serum IgE or other measures of atopy, illustrating that asthma is not the result of only allergic mechanisms⁵⁴.

In general, these studies of candidate genes have been done on limited sample sizes. The next step in defining the utility of genetic polymorphisms in the clinical classification of asthma and allergies must be their application to large-scale epidemiological studies. It is also desirable to test sub-groups of allergic disease, such as children with severe illness, or illness that is resistant to treatment. In this context, the association of *FcεRI-β* polymorphisms with severe atopy, asthma, and eczema^{9,48,55} may eventually define a syndrome of early-onset severe allergic disease.

Pharmacogenetics

Individuals vary widely in their response to treatments with drugs. At present, optimal doses that balance side effects against therapeutic actions in a particular patient can often be determined only by trial and error. The study of pharmacogenetics may be able to use genetic polymorphism to predict the response of individuals to particular treatments. In the case of asthma, a variant within the promoter of the *5-lipoxygenase* gene has recently been suggested to predict the response to the antileukotriene ABT-761 (ref. 56). A *Leukotriene C4 synthase* promoter polymorphism has been shown

Table 1 Genetic loci affecting asthma-associated phenotypes

Chromosome	Candidate genes	Authors
2	<i>IL-1, IL-1-RA</i>	71–73
5	<i>IL-4, IL-13, IL-9</i>	62, 63, 73
6p	MHC	67, 70, 71
12q	<i>IFN-γ</i>	64, 65, 70
13q		67–70

The loci presented in the table are those that have been identified consistently by genome screens and genetic-linkage studies. Localizations in many regions are only approximate, and the regions of linkage may cover large areas. Definite co-localization awaits typing with the same markers in all panels of families.

to be associated with increased risk of aspirin-induced asthma, and may also predict the response to treatment⁵⁷. It also seems possible that the polymorphisms in the β -adrenergic receptor regulate the response to the stock asthma treatment of β -adrenergic agonists. These results require confirmation and considerable extension, but may mark the beginning of the clinical use of genotyping as an adjunct to pharmacotherapy for asthma and many other disorders.

Positional cloning studies

Positional cloning is based on the study of families in which a particular disease or phenotype is transmitted to (or ‘segregates’ through) family members. The families are studied by visualizing nonspecific genetic polymorphisms that are in known positions on the chromosomes. These ‘markers’ typically contain repeat sequences of DNA, such as (CA)_n, which vary greatly between individuals and can differentiate between individual chromosomes in a family. Many thousands of such markers have been identified and integrated into a dense map that covers all chromosomes⁵⁸.

Once markers have been typed, the families are examined to see if any of the markers is inherited in the same way as the phenotype of interest. When marker and phenotype are co-inherited, genetic linkage is said to exist. The decision about whether genetic linkage is real or not is highly statistical, and made more difficult when hundreds of markers and several phenotypes have been tested. Stringent criteria need to be applied in these circumstances, so that if a genome-wide search for linkage has been carried out, a probability of less than 0.0007 may only be ‘suggestive’ of linkage, and a significant linkage may require a probability less than 0.00002 (ref. 59).

The discovery of genetic linkage usually means that a broad chromosomal region is likely to contain a gene influencing the disease phenotype. Saturation of the region with more closely spaced markers may reduce the interval for localization to between 5 and 30 million base pairs of DNA. Regions of this size will contain far too many genes to be studied in detail for effects on disease.

Happily, distinctive alleles of individual polymorphisms show non-random association with alleles of neighbouring polymorphisms in the DNA. This phenomenon, known as linkage disequilibrium, extends for far shorter distances than genetic linkage, and close localization of disease genes is likely to depend on the detection of linkage disequilibrium between marker alleles and disease phenotypes. The remaining intervals of 0.5 to 1.0 megabases can be then be dissected systematically.

The choice of phenotype is critical in determining the success of positional cloning. *Atopic asthma* is easily recognized clinically, and has an obvious familial clustering. For this reason, many genetic studies have concentrated on the asthma of childhood, and the underlying atopic state. The simplest type of genetic linkage study relies on families that contain two affected children. The assumption behind this approach is that environmental factors will have acted on both siblings, and may be discounted in the statistical analyses of data. Unfortunately, the high frequency of asthma and atopy in the population has adverse effects on the power to detect linkage to affected sibling pairs⁶⁰. Simulation studies indicate that

Table 2 Coincidence of loci affecting asthma-associated phenotypes and other disorders

Chromosome	Candidate genes	Diseases
Chromosome 2	IL-1 cluster	Inflammatory bowel disease, Rheumatoid arthritis
Chromosome 6	MHC	Multiple
Chromosome 7		Inflammatory bowel disease
Chromosome 11		Type I diabetes
Chromosome 12	<i>IFN-γ</i>	Inflammatory bowel disease
Chromosome 14	<i>TCR-α</i>	Rheumatoid arthritis
Chromosome 16		Inflammatory bowel disease

500 affected sibling pairs will give less than 50% power to detect linkage to a given marker, and that over 1,000 affected pairs will be required to achieve 90% power. The use of quantitative traits underlying asthma together with selection for phenotypic discordance within families may be important if studies are to detect linkage with sufficient power to generate consistent findings^{60,61}.

Despite these difficulties, several genetic regions have been identified consistently by different groups (Table 1). Regions studied because they contain important candidates include chromosome 5q (containing the *IL-4/IL-9* interleukin cluster)^{62,63} chromosome 12q (near the *IFN* gene)^{64,65} and chromosome 14q (near the *TCR- α / δ* cluster)⁶⁶.

Several genome-wide searches have been carried out. A search for quantitative traits underlying asthma identified significant evidence for linkage on chromosomes 4q, 6 (near the MHC), 7, 11q (containing *Fc ϵ RI- β*), 13q and 16 (ref. 67). A replication sample of families in the same study confirmed linkage to chromosomes 4, 11, 13 and 16 (ref. 67). Linkage of the total serum IgE to a protein polymorphism on chromosome 13 had been identified several years earlier⁶⁸, and linkage to the region has been again confirmed by a single locus study of Japanese families⁶⁹. The same study identified potential linkage disequilibrium between disease and a particular marker⁶⁹. A two-stage screen in Hutterite families from the United States found evidence for linkage in several chromosome regions, with replication for loci on chromosomes 5q, 12q, 19q and 21q (ref. 70). Linkage to 13q was seen in the first panel of families only. A screen in German families identified evidence for linkage to asthma on chromosomes 2q, 6p (near the MHC), 9 and 12q (ref. 71). A genome screen for responsiveness to house dust mite allergen found suggestive linkage to chromosomes 2q, 6p (MHC) and 13q, as well as chromosome 8p (ref. 72). A genome screen in American families from three racial groups found possible linkage to the same regions as other studies on chromosomes 2q, 5q, 6p, 12q, 13q and 14 q (ref. 73). Several other possible loci were identified, but have not yet been confirmed. A two-stage genome screen in French families found replicated linkage on chromosomes 1p, 12q and 17q (ref. 74).

Genome screens have also been done by several industrial groups⁶, the results of which remain secret. The replication of linkage results is necessary before committing to identifying genes across a large region. In these circumstances, the industrial instinct of secrecy may be counter-productive.

The data from all these studies indicate that chromosomes 2q, 5q, 6q, 12q and 13q contain loci that consistently affect asthma and atopy with sufficient strength to be detected by genetic linkage. The challenge is to distil all this data and to refine the localizations sufficiently for gene identification to begin. This process would be helped by agreement between groups to saturate these regions with the same panels of markers, and to allow meta-analysis of the pooled data. Progress towards this goal has been taken by the agreement of some groups to pool data from chromosome 5 in the Consortium on Asthma Genetics (COAG) study.

Genetic studies of other disorders may also have an impact on asthma and atopy (Table 2). Crohn’s disease and ulcerative colitis are inflammatory bowel diseases of unknown aetiology which show

familial clustering. Genome-wide screens have implicated loci on chromosomes 3, 7, 12 and 16 (refs 75–77). The regions on chromosomes 7, 12 and 16 coincide closely with the asthma and atopy loci on the same chromosomes. Polymorphism in the *IL-1* cluster on chromosome 2 has also been shown to influence the severity of inflammatory bowel disease⁷⁸. A genome-wide screen in families with rheumatoid arthritis has similarly shown linkage near the asthma locus on chromosome 2 and the *TCR- α* locus on chromosome 14 (ref. 79). Linkage to type I diabetes is found near *Fc ϵ RI- β* on chromosome 11q13 (ref. 80). These findings indicate that important genes or gene families may be common to several inflammatory and immune disorders.

The practice of genetics is changing rapidly. The genome will be largely sequenced within a year, so that the painstaking construction of physical maps of individual regions will no longer be needed. The technology that allows the expression of thousands of genes to be measured simultaneously⁸¹ allows the facile observation that the expression of many genes differs between asthmatics and non-asthmatics. The sheer volume of nonspecific genes activated in any cellular process may limit the usefulness of this approach.

Genome-wide association studies that use the typing of thousands of single nucleotide polymorphisms (SNPs) have been proposed as a more powerful method of detecting genetic effects than linkage mapping⁸². This approach would seem to bring considerable difficulties of statistical analysis, if only for the need to apply a correction of more than 100,000 for multiple comparisons to each data point. Assumptions about the extent of linkage disequilibrium through the genome have yet to be tested in real data, and the effects of allele frequency on detection of linkage disequilibrium to disease alleles has not been investigated. Nevertheless, it is quite possible that expression studies and SNP mapping may form the bridge between localization to large regions and the identification of disease genes and their function.

Maternal effects on asthma and allergic disease

Many epidemiological studies have indicated that maternal phenotype influences the inheritance of asthma and allergy (ref. 83 for review), although in general the studies were not designed specifically to test for parent-of-origin effects. The presence of asthma, eczema, elevated serum IgE levels and positive skin-prick tests in children have all been accompanied by an increased prevalence of asthma or atopy in mothers⁸³. The differential risk of transmission between parents is approximately fourfold. Parent-of-origin effects have been noted in other immunological disorders, most notably type I diabetes⁸⁴, rheumatoid arthritis⁸⁵, inflammatory bowel disease⁸⁶ and selective IgA deficiency⁸⁷, indicating that parental effects on the developing infant's immune system may be an important common process.

The immune systems of the maternal–fetal unit operate in a narrow space between rejection and nurture, in which proteins derived from paternal genes may be differentially antigenic to those derived from maternal alleles. Two types of maternally driven mechanisms may possibly operate to control or modify the fetal immune system. First, the maternal effect might result from immune interactions between the fetus and the mother. Maternal–fetal immune interaction is well recognized, and takes place through the placenta as well as through breast milk (see review by Holt *et al.*, this supplement). This interaction may have profound effects on the developing fetal immune system. Second, the maternal effect may be the result of genomic imprinting. Genomic imprinting is a process in which the allele from one parent is differentially expressed relative to the allele derived from the other parent⁸⁸. Imprinting seems important when there is a conflict of interest between maternal and paternal genes, such as those that control fetal growth.

A window to the investigation of maternal effects may come from the observation that linkage of severe atopy to the *Fc ϵ RI- β* locus on

chromosome 11q13 has been characterized by a maternal pattern, with linkage and association seen most strongly to maternally derived alleles⁸⁹. A recent family-based study of association between the alleles of an *Fc ϵ RI- β* SNP and atopic eczema showed a highly significant transmission of one of the maternal alleles, with an intriguing suggestion that the same allele was protective when transmitted from the father⁹.

Genomic imprinting would lead in an unselected population to preferential maternal transmission of a disease-associated allele regardless of maternal phenotype. Investigation of the effects of parental phenotype on linkage of the total serum IgE to *Fc ϵ RI- β* has been done in families taken from a general population sample. Parents were classified as affected if their age- and sex-adjusted total serum IgE was above 70% of the population. Linkage to the locus was seen most strongly when the mother was unaffected, or when the father was affected⁹⁰, which is not consistent with simple genomic imprinting. A maternal pattern of linkage to atopy does not seem to be confined to *Fc ϵ RI- β* , and the phenomenon has been observed at loci on chromosomes 4 and 16 (ref. 67). These results also indicate that the maternal linkage is not due to a simple genetic mechanism at a single locus.

Parent-of-origin linkages operate in other disorders. Preferential linkage of paternal alleles has been observed for the insulin locus and type I diabetes⁹¹, and HLA alleles for selective IgA deficiency⁸⁷, indicating that the mechanisms responsible for these findings may be common to several disorders.

Mono-allelic expression has been identified for a number of cytokines⁹² and allelic exclusion is a feature of T-cell antigen receptor (TCR) alleles expressed in individual cells. These phenomena are probably the result of the need for individual cells to commit to a consistent pattern of cytokine production. So far there is no evidence that the expressed allele derives preferentially from one parent or another. It is possible however, that the bias to one parent or another may be incomplete, or that it is most marked at a critical period in development of the immune system.

The maternal effect therefore seems deserving of further study, as it will throw light on the pathogenesis of allergic disease, and could also show a great deal in general about the nature of immunological reactions between mother and child.

Conclusions

The mechanisms of asthma, eczema and atopy follow from interactions between a system that has evolved to deal with parasitic and other infections, and a limited number of ubiquitous airborne protein antigens. Allergic diseases are a feature of westernized societies, and possibly result from reductions in the nature and frequency of childhood infections. Progress is being made in defining the genetic basis of asthma and atopy, with the hope of better classification and new treatments of disease. The relevance of some genes and genetic regions have been established, several of which may be active in other inflammatory diseases. Events early in life seem critical, and the development of atopy is fostered by the mother's strained immunological relationship with the fetus. □

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