1	The Atmospheric Dynamics of Venus
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35	Abstract
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38	We review our current knowledge of the atmospheric dynamics of Venus prior to the Akatsuki
39	mission, in the altitude range from the surface to approximately the cloud tops located at about
40	100 km altitude. The three-dimensional structure of the wind field in this region has been
41	determined with a variety of techniques over a broad range of spatial and temporal scales (from
42	the mesoscale to planetary, from days to years, in daytime and nighttime), spanning a period of
43	about 50 years (from the 1960s to the present). The global panorama is that the mean

atmospheric motions are essentially zonal, dominated by the so-called super-rotation (an 44 45 atmospheric rotation that is 60 to 80 times faster than that of the planetary body). The zonal winds blow westward (in the same direction as the planet rotation) with a nearly constant speed 46 of ~ 100 m s<sup>-1</sup> at the cloud tops (65-70 km altitude) from latitude 50°N to 50°S, then decreasing 47 48 their speeds monotonically from these latitudes toward the poles. Vertically, the zonal winds decrease with decreasing altitude towards velocities ~ 1-3 m s<sup>-1</sup> in a layer of thickness ~ 10 km 49 close to the surface. Meridional motions with peak speeds of ~ 15 m s<sup>-1</sup> occur within the upper 50 cloud at 65 km altitude and are related to a Hadley cell circulation and to the solar thermal tide. 51 Vertical motions with speeds  $\sim 1-3$  m s<sup>-1</sup> occur in the statically unstable layer between altitudes of 52 ~ 50 - 55 km. All these motions are permanent with speed variations of the order of ~ 10%. 53 54 Various types of wave, from mesoscale gravity waves to Rossby-Kelvin planetary scale waves, 55 have been detected at and above cloud heights, and are considered to be candidates as agents for 56 carrying momentum that drives the super-rotation, although numerical models do not fully 57 reproduce all the observed features. Momentum transport by atmospheric waves and the solar 58 tide is thought to be an indispensable component of the general circulation of the Venus 59 atmosphere. Another conspicuous feature of the atmospheric circulation is the presence of polar 60 vortices. These are present in both hemispheres and are regions of warmer and lower clouds, 61 seen prominently at infrared wavelengths, showing a highly variable morphology and motions. 62 The vortices spin with a period of 2-3 days. The South polar vortex rotates around a geographical 63 point which is itself displaced from the true pole of rotation by  $\sim 3$  degrees. The polar vortex is 64 surrounded and constrained by the cold collar, an infrared-dark region of lower temperatures. We still lack detailed models of the mechanisms underlying the dynamics of these features and how 65 they couple (or not) to the super-rotation. The nature of the super-rotation relates to the angular 66 momentum stored in the atmosphere and how it is transported between the tropics and higher 67 latitudes, and between the deep atmosphere and upper levels. The role of eddy processes is 68 69 crucial, but likely involves the complex interaction of a variety of different types of eddy, either 70 forced directly by radiative heating and mechanical interactions with the surface or through 71 various forms of instability. Numerical models have achieved some significant recent success in 72 capturing some aspects of the observed super-rotation, consistent with the scenario discussed by 73 Gierasch (1975) and Rossow and Williams (1979), but many uncertainties remain, especially in 74 the deep atmosphere. The theoretical framework developed to explain the circulation in Venus's 75 atmosphere is reviewed, as well as the numerical models that have been built to elucidate the 76 super-rotation mechanism. These tools are used to analyze the respective roles of the different 77 waves in the processes driving the observed motions. Their limitations and suggested directions 78 for improvements are discussed.

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#### 81 **1. Introduction**

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This chapter reviews our current knowledge of Venus atmospheric dynamics prior to the Japanese JAXA mission, Akatsuki, in the altitude range from the surface to about 100 km, fully encompassing the region of thick clouds. The atmospheric pressure and temperatures decrease with height across this region from 91.2 bar and 735 K at the surface to 0.03 mbar and 175 K at 100 km (not far from the conditions found on Earth at 80-90 km). The approximate mean lapse rate is ~ 8 K km<sup>-1</sup>, the highest value found in any planetary atmosphere within the solar system.

89 Because of these properties, and a CO<sub>2</sub>-dominant composition (mean molecular weight is 44 g

mol<sup>-1</sup>, also the highest value in a Solar System planetary atmosphere), the atmosphere between 0 90 91 and 100 km is massive when compared to those of the other two terrestrial planets and Titan. 92 Importantly for atmospheric dynamics, this layer contains most of the aerosols and cloud 93 particles (about 75% made of H<sub>2</sub>SO<sub>4</sub> droplets) in the Venus atmosphere extending vertically in 94 the altitude range from  $\sim 30$  to 80 km. The proximity of Venus to the Sun, closer than that of 95 Earth, means that the solar irradiance is 1.9 times as large. About 80% of the solar radiation is reflected by these clouds. Most solar heat is deposited in and above the clouds with the radiative 96 97 time constant decreasing rapidly with height from  $\sim 116$  days at 40 km (lower cloud deck) to  $\sim$ 98 0.5 hr at 100 km. Taking into account that the length of a solar day is 117 days, this suggests that 99 the expected solar induced dynamical variability should occur in the upper part of the layer under study. In addition, because the orbital eccentricity and obliquity of the planetary rotation axis are 100 101 small, no substantial seasonal changes are expected. But the essential ingredient that 102 distinguishes Venus atmospheric dynamics from the others of the solar system is its super-103 rotation state at the upper cloud level. Venus's solid globe has a rotation period of 243 days, but 104 the upper cloud layer accessible to visible wavelengths rotates with a period of  $\sim 4$  days; a 60 105 times shorter than the planet. There is no other equivalent in the solar system, so Venus's 106 atmosphere is a singular and archetypical case for the study of dynamics under slow rotation of 107 the solid body. All these aspects have been integrated in Global Circulation Models (GCMs) that 108 try to simulate and help elucidate the three-dimensional structure of the observed wind field in 109 the lowest 100 km of the atmosphere. The above numbers summarising these properties are 110 taken from Sanchez-Lavega (2011) and can also be found in the other chapters of this book.

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112 Previous reviews on this subject were written as information arrived from successive space missions, the earliest ones by Moroz (1981) and Schubert (1983) covering the results from the 113 Pioneer-Venus mission and and the Soviet Venera missions up to Venera 14. Gierasch et al. 114 (1997) also covered atmospheric studies resulting from the Soviet Vega balloon exploration 115 missions and from the Galileo flyby. Until the arrival of Venus Express at the planet in 2005, the 116 117 newly available data on the atmosphere during the period after the Galileo flyby were obtained with ground-based telescopes, since there were no other space missions to study Venus. A recent 118 119 book entitled 'Towards understanding the Climate of Venus' (2013) that includes Venus Express 120 data (reviewed also by Drossart and Montmessin, 2015), contains many chapters dealing with atmospheric dynamics (Limaye and Rengel, Read, Lewis et al., Lebonnois et al.). A list of 121 122 spacecraft missions to Venus can be found in the Venus I book with some additions in Venus 123 book II, and is updated in Taylor et al. (this book).

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#### 125 **2. Wind data**

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127 In this section we describe the three-dimensional structure of the wind field and its temporal 128 variability as measured by a plethora of instruments on landers, balloons and orbiting spacecrafts

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### 130 **2.1. Wind measurement methods**

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The most commonly used methods to determine the winds of Venus are described in what
follows. In Table 1 we present a summary of the methods and measurements so far performed.

#### 135 **2.1.1.** Cloud tracking (0.35-5μm).

136 In this approach, cloud elements are assumed to act as passive tracers of the flow, allowing the 137 measurement of horizontal winds. Caution must be taken in identifying periodic cloud patterns 138 whose motions may be representative of the phase velocity of waves relative to the background 139 flow (see section 4). The following dynamic processes can lead to a misinterpretation of the 140 measured global wind speed: (a) Waves (observed wavelengths  $\sim 50 - 2,000$  km), (b) Solar tides 141 (local time dependence), (c) Cloud processes changing the element morphology (expansion, 142 contraction, evaporation or changes in absorber or opacity), (d) Vorticity and rotating features 143 around a vortex (but rare in Venus outside the poles).

144 In day time, images of the cloud field are observed in reflected sunlight and it is at ultraviolet 145 and violet wavelengths (350-410 nm, UV) and in the near infrared (950-980 nm) where the 146 contrast between cloud elements is higher, due to unknown absorbing chromophore(s), and 147 where cloud features are easy to identified and track. At 350-410 nm we sense clouds at altitudes 148 ~ 65-70 km while at 950-980 nm we sense clouds at ~ 60 km. Wind profiles retrieved from 149 tracking UV markings have been derived from orbital or fly-by missions, including Mariner 10 150 (Limaye and Suomi, 1981); Pioneer-Venus (Limaye, 2007); Galileo (Peralta et al., 2007; 151 Kouyama et al., 2012); Venus Express - VIRTIS (Sanchez-Lavega et al., 2008; Hueso et al., 152 2012, 2015); Venus Express-VMC (Khatuntsev et al., 2013), and also recently from ground-153 based telescopes (Sánchez-Lavega et al., 2016). On the night side we measure the motions of 154 cloud features identified by their opacity contrast in thermal emission at near infrared 155 wavelengths at 1.7 and 2.2  $\mu$ m (altitude sensed ~ 45-50 km) and as excess emission relative to the background in the polar regions at 3.8 and 5.1 $\mu$ m (altitude sensed ~ 65 km). In addition, 156 imaging in the 8-22 µm region has been used to capture the upper cloud at low contrast, except 157 158 in the polar areas where the features detach (Taylor et al., 1980; Orton et al., 1991; Sato et al., 159 2014).

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# *2.1.2. Entry and landing probes.*

163 The motion of probes descending through the atmosphere is used to measure the Doppler shifted 164 radio wavelengths they send to an orbiter or the Earth itself as the probe is dragged by the winds 165 (sounded wind altitudes 0 - 65 km). The Pioneer-Venus (PV) probes used Doppler shifted radio 166 signals to determine the velocity component along the Earth-Venus line of sight, while the two 167 other orthogonal components were retrieved using differential very-long-baseline interferometry techniques (Counselman et al., 1980). The probe data refer to the particular latitude-longitude of 168 169 the descent and its local time. There are vertical wind profiles available for the Venera (V) 170 missions V4, V7, V8, V9-V10, V12 (Kerzhanovich and Marov, Venus I, p. 766), V13 (Avduevskiy et al., Venus I, p. 280, Ch. 12), Vega 1 and 2 (Moroz and Zasova, 1997) and for the 171 172 Pioneer-Venus Day, Night, North and Sounder multi-probes (Coulseman et al., 1980). Direct 173 measurements of surface winds were determined by anemometers on the probes Venera 9 and 10 174 or using acoustic sensors on Venera 13 and 14 (Moroz in Venus I, p.58). These are the only methods so far used to measure winds at heights  $\leq 45$  km. Caution must be taken, however, 175 176 concerning the measured wind direction by the Venera probes (Kherzanovich and Marov, 1983, 177 fig. 1). A map of the entry locations of the probes (latitude-longitude and local time) can be 178 found in Schubert (1983, figure 1) and Kherzanovich and Marov (1983, figure 8).

### 180 2.1.3. Balloons.

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182 Two balloons were deposited in 1985 in the Venus atmosphere at an altitude of ~ 53 km by the 183 Vega 1 and Vega 2 spacecraft. They were used to measure winds by Doppler-shifted radio 184 signals from global tracking, and by means of onboard anemometers for vertical winds in this 185 layer (Blamont et al., 1986; Linkin et al., 1986). No other similar experiment has so far been 186 performed.

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### 188 2.1.4. Doppler spectroscopy techniques.

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The line-of-sight wind velocity is determined from Doppler-shifted lines from ground-based
observations of three types of spectra: (a) Visible sunlight radiation reflected at the upper clouds
(Fraunhofer solar spectrum) and atmospheric CO<sub>2</sub> absorption (altitudes sounded 65-75 km). This

technique allows also to measure the meridional wind component (Machado et al., 2017). The

194 The Doppler and cloud tracking techniques have repeatedly shown agreement on measurements

of wind velocities (Machado et al. 2014; 2017).; (b) CO absorption lines at millimeter and

submillimeter wavelengths (altitudes sounded ~ 90-105 km); (c)  $CO_2$  non-LTE emissions at 5

197 and 10  $\mu$ m (altitudes sounded ~ 110 km). See Lellouch et al. (1997) and Limaye (2013) for a 198 detailed explanation of the wind determination at these altitudes.

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## 200 2.1.5. Tracking emission features from O<sub>2</sub> (airglow) and NO.

201 Distinct emission elements (as bright spots and filaments) are observed at  $1.27 \mu m$  (from O<sub>2</sub>) and 202 in the ultraviolet at 190-300 nm (from NO) and their tracking is used to retrieve motions in the 203 high atmosphere (altitudes ~ 95-110 km) (see Drossart and Montmessin, 2015 and references 204 therein; Gerard et al., this book). However, it is questionable whether these features can be 205 considered as passive tracers of the atmospheric flow.

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## 207 2.1.6. Cyclostrophic balance.

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This is an indirect method used for wind determination. Radio-occultation profiles and thermal radiation spectra are used to retrieve the three-dimensional pressure and temperature field (altitudes ~ 45 - 90 km) (Newman et al., 1984; Limaye, 1985; Piccialli et al., 2008). Assuming that the atmosphere is in cyclostrophic balance, the winds can be retrieved from meridional pressure gradients or temperature gradients (Sanchez-Lavega, 2011; see sections 2.5 and 3 for details).

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## 216 **2.2. Zonal winds: The Super-rotation**

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In this section we present all the available data on the three-dimensional structure of the zonal winds that dominate Venus's atmospheric circulation and confirm what is called the Retrograde Super-rotation (RSR). As a convention, we define the rotation of the atmosphere and measure wind speeds relative to the solid body of Venus that rotates in a direction contrary relative to the Earth's rotation or to the general momentum direction of the bodies in the solar system. Then Venus rotation is retrograde. The zonal winds are therefore negative and accordingly are said retrograde (as indicated above, RSR). However, from the atmospheric dynamics point of view it

must be understood that the zonal winds are "prograde" relative to the surface, i. e. they flow in the same sense as the surface rotation.

We focus in this paper on the measurements in the altitude range from the surface to  $\sim$  70 km. In section 2.5 we briefly review some data for the 70-100 km range since this topic is addressed in Chapter IX.

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#### 231 2.2.1. Zonal wind: Vertical structure.

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233 The direct measurements of winds by the methods above shows unambiguously that, 234 independent of the latitude and local time, the vertical profile of Venus's mean winds are 235 (Schubert, 1983; Gierasch et al., 1997; see Figure 1): (a) predominantly zonal between  $z \sim 10$  -100 km with the flow directed from East to West (westward); (b) these zonal winds increase in 236 speed with altitude from  $z \sim 10$  to 65-70 km, being weak ( $u \le 2 \text{ m s}^{-1}$ ) below 10 km; (c) the zonal 237 winds reach peak velocities  $u \sim 100 \text{ m s}^{-1}$  at ~ 65 km in the upper cloud deck, implying that the 238 239 cloud elements have a rotation period around  $\sim 4$  days. Since the planet's sidereal rotation period 240 is 243 days, the ratio of the upper cloud rotation period to that of the planet is  $\sim 60$  and the 241 atmosphere is said to be in a state of "super-rotation"; (d) the vertical profile of the meridional 242 component of the wind shows fluctuations in this altitude range ( $z \sim 0.60$  km) but they always have  $v \le \pm 10$  m s<sup>-1</sup> (+ northward, - southward). All methods employed in the wind 243 244 measurements give consistent results in the vertical profiles (within the observed fluctuations 245 with latitude and local time). For example, those determined by the probes agree with those 246 measured by the Vega 1 and 2 balloons at z = 53 km and with those from cloud tracking at  $z \sim$ 247 45-50 km (nighttime) and at  $z \sim 60$  and 65-70 km (daytime). Peralta et al. (2017) show the 248 altitude sensitivity in Venus atmosphere as a function of wavelength (day and night time). Since 249 the measurements cover a period of about 50 years, a firm conclusion about Venus's super-250 rotation and wind field below the upper clouds is that it is permanent in time, with fluctuations in 251 velocity smaller than 10-20%. Good examples of this are shown by the following comparisons 252 between precise *in-situ* probes and balloon results (i)-(iii):

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Table 1 Wind measurement methods (z = 0 - 100 km)

Technique	Mission(s)	Trajectory	Epoch	Notes		
Doppler spectroscopy	Ground-based Optical and Radio	Remote sensing	1987 - present	Fraunhofer spectra (z = 65-75 km) Radio (mm – submm) (z = 90-105 km)		
Tracking O <sub>2</sub> , NO emissions	Ground-based Venus Express	Remote sensing Orbit	1979 - present	Airglow $O_2$ and NO emissions (z = 95 -110 km)		
Radio Doppler tracking	Venera 4,7, 8, 9, 10, 12, 13	Entry-probe (descent)	29 Aug. 1967; 10 Nov. 1970; 22Jul. 1972; 22 Oct. 1975; 25 Oct. 1975; 21 Dec.	Vertical wind profile $(z = 0 - 60 \text{ km})$		

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			1978; 30 Oct. 1981; 4 Nov. 1981	
Radio Doppler tracking	Pioneer Venus: Sounder, North, Day, Night	Entry-probes	9 Dec. 1978	Vertical wind profile $(z = 0 - 60 \text{ km})$
Radio Doppler tracking	Vega 1, 2	Entry-probes (descent)	11 and 15 June 1984	Vertical wind profile (z = 0-60 km)
Anemometer Acoustic sensors	Venera 9-10 Venera 13-14	Landing Landing	22-25 Oct 1975 30 Oct-4 Nov 1981	Surface winds Surface winds
Cloud tracking in images	Mariner 10 NASA	Flyby	5 Feb 1974	Vidicon cameras (UV) (z = 65 - 70  km)
Cloud tracking in images	Pioneer Venus	Orbit	1979 – 1985 5 periods of 80 days	OCPP: UV (365 nm) Winds at $z = 65 - 70$ km. Latitude coverage ~ $80^{\circ}$ S - $70^{\circ}$ N
Balloons	Vega 1 and 2	Orbiter - balloons	11-15 Jun. 1985	Winds at $z = 53$ km
Cloud tracking in images	Galileo	Flyby	Feb. 1990	Vio (400 nm), NIR (980 nm), IR (2.3 µm) Winds at z = 45 – 70 km)
Cloud tracking in images	Venus Express	Polar orbit	2006 - 2014	Southern Hemisphere VMC : UV (365 nm); NIR (965 nm); VIRTIS M : UV (380 nm), NIR (980 nm), IR (1.7 $\mu$ m) (Winds at z = 45 - 70 km)
Cloud tracking in images	Ground-based telescopes	-	~ 1960 – present 1990 – present	UV (380-400 nm) IR (2.3 μm)
Cyclostrophic thermal wind equation	Pioneer-Venus Venera 15 Galileo Venus Express	Orbit and PV probes	1979 - 2014	Zonal winds (z = 40 - 90 km)

(i) Day-night profiles: PV night and PV day probes entered on the same day (Dec. 9, 1978) at
about the same latitude (-28.7° and -31.7° respectively) but at different local times (0:07 –night-

and 06:46 –day-, respectively) (Colin, 1983). The retrieved vertical profiles u(z) are similar in their structure within measurement errors (Coulseman et al., 1980). They also agree with the long-term cloud tracking at three altitudes (47, 60 and 65 km) using VIRTIS onboard Venus Express (Sanchez-Lavega et al., 2008; Hueso et al., 2015).

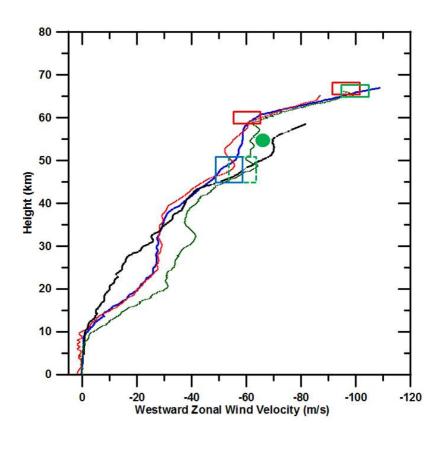
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(ii) Day-night winds at points close in latitude and altitude: Vega 1 and 2 balloons were deposited at close equatorial latitudes ( $+7.3^{\circ}$  and  $-6.6^{\circ}$ ) and at the same altitude (z = 53 km) and both measured the same velocity ( $-69 \pm 1$  and  $-66 \pm 1$  ms<sup>-1</sup>) in their path of ~ 11,000 km (~ 100° in longitude) when traveling in both day and night hemispheres (Sagdeev et al., 1986).

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(iii) Long-term variability: The PV sounder entered near the equator (latitude +4.4°) on 9 December 1978, at a latitude close to that sounded by the Vega 1 and 2 balloons on 11 and 15 June 1985 (6.5 years later). When comparing the balloons' zonal wind with that from the PV sounder at z = 53 km we see essentially no difference. They also agree with the winds derived from long-term cloud tracking (2006-2014) at three altitudes (47, 60 and 65 km) in equatorial latitudes using VIRTIS onboard Venus Express (Sanchez-Lavega et al., 2008; Hueso et al., 2015).

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Figure 1. Vertical profiles of the zonal winds: comparing different measurements by different methods. Continuous lines as measured by the Pioneer-Venus probes (9 Dec., 1978): Sounder

(green), North (black), Night (red), Day (blue). The Night and Day probes entered at similar
latitudes (-31.7°, -28.7°) but at different local times (6:46, 0:07). The green dot is the velocity
measured by the Vega 1 and 2 balloons (11-15 June, 1985) at latitudes (+7.3°, -6.6°) and
sounded day and night time. Their data can be compared with the Sounder (or large probe) that

entered at latitude (+4.4°). The rectangles are mean values from long-term measurements of cloud tracers using the VIRTIS instrument on Venus Express (2006-2012) at different altitudes:

- 270 cloud fracers using the virtins instrument on venus Express (2000-2012) at alfferent allity 201 daytime (red) and nighttime (blue)
- 291 *daytime (red) and nighttime (blue).*
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# 294 2.2.2. Surface winds.295

296 Direct measurements (anemometers and acoustic sensors) by Venera 8-9, 13-14 gave very low values (0.25-1 m s<sup>-1</sup>) consistent with Doppler measurements by the Venera and PV probes. All 297 probe data (Veneras and PV probes) coincide in showing that the speed from the surface to  $\sim 10$ 298 299 km altitude is practically constant (independent of solar time and location on the globe) and remains low (< 2-3 m s<sup>-1</sup> within measurement errors; Counselman et al., 1980, Kherzanovich and 300 Marov, 1983; Kherzanovich and Limaye, 1985). The Venera 8 wind speed profile from the 301 302 surface to 11 km indicates that the wind speed slightly increases with altitude from 0.5 to 3 m s<sup>-1</sup> 303 (Kerzhanovich and Marov, 1983). This layer with thickness ~ 10 km (the scale height at the surface is ~ 16 km and 14 km at 10 km altitude) contains about 40% of the atmospheric mass 304 (the atmospheric density is ~ 65 kg m<sup>-3</sup> at the surface, decreasing to ~ 38 kg m<sup>-3</sup> at 10 km), but 305 the mass flow and kinetic energy density of this layer are the lowest anywhere in the atmosphere. 306 307 The above data refer to measurements at the surface without considering its elevation due to 308 topography relative to the Venus reference geoid. The PV Night probe and the V8 winds were 309 prograde in the z = 0.10 km altitude range. Lorenz (1996) presented a compilation of wind speeds in the lowest 100 m of the atmosphere as measured by the Veneras and PV probes, 310 showing in all cases that the wind speed in this layer was  $< 1 \text{ m s}^{-1}$ . Kherzanovich and Marov 311 312 (1983), Dobrovolskis (1993) and Gierasch et al. (1997) discuss the properties and effects of a 313 boundary layer on Venus's atmospheric dynamics and on the excitation of waves, but the basic 314 balances determining their characteristics and vertical extent are at present undetermined. 315 Indirect evidence of surface winds (orientation and strength) was reported by Greeley et al. 316 (1995) who investigated aeolian surface features and found evidence of equatorward winds at 317 high latitudes.

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#### 320 2.2.3. Zonal wind: meridional profiles

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322 Horizontal maps of the wind velocity vectors (each vector at a given longitude-latitude position) 323 are obtained from the motions of cloud elements and are used to retrieve the zonal (u) and 324 meridional (v) components on the wind. The East-West (zonal) velocity distribution of the zonal 325 wind velocity in latitude bands gives information on the solar local time dependence. The North-326 South (meridional) profile of the mean zonal wind gives information on the global pole to pole 327 planetary circulation. As shown in section 2, cloud tracking at different wavelengths (UV, NIR 328 and 1.7 and 2.2 µm) sense different altitude levels, and the above-mentioned East-West and 329 North-South profiles can be applied at the three altitudes, 65-70 km and 60 km in daytime and 47

330 km in night time. To complement this, the meridional profile of the zonal wind for the cloud tops

(65-70 km) can be compared with that derived from Doppler shifted lines in the reflected solarspectra.

333 Figure 2 shows the meridional profiles of the zonal winds as measured from cloud tracking in the 334 UV in the upper cloud (65-70 km, Venus daytime) along different epochs using a variety of 335 instruments on board different spacecraft missions: Mariner 10 (Limaye and Suomi, 1981); 336 Pioneer-Venus (Rossow et al., 1990; Limaye, 2007); Galileo (Belton et al., 1991; Peralta et al., 337 2007; Kouyama et al., 2012); Venus Express - VIRTIS (Sanchez-Lavega et al., 2008; Hueso et 338 al., 2012, 2015); Venus Express-VMC (Khatuntsev et al., 2013) and ground-based small 339 telescopes (Sánchez-Lavega et al., 2016). The spacecraft temporal coverage extends from 1974 340 to 2014 and two main conclusions are immediately apparent: (a) the profile consists of two parts, a zonal velocity that is nearly constant at ~ -100 m s<sup>-1</sup> between latitudes ~  $\pm 45^{\circ}$ , decreasing toward the pole with a meridional wind shear  $\partial u/\partial y \sim 2.5 \times 10^{-5}$  s<sup>-1</sup> (two orders of magnitude 341 342 higher than the planetary vorticity  $f = 2\Omega \sin \varphi = 4.7 \times 10^{-7} \text{ s}^{-1}$ ; (b) this profile is quasi-stable in 343 the long-term (so far explored ~ 40 years or ~ 62 Venus years) with zonal velocity fluctuations  $\leq$ 344 345 10%, although an apparent systematic increase in the upper cloud super-rotation has been 346 reported since 2006 (see details in section 2.4.3). It should be noted that the instantaneous 347 velocity fluctuations measured with cloud tracking can be larger than this 10% from the mean, 348 they involve eddy and wave motions and the cloud top altitude where the winds are measured 349 varies with latitude, decreasing in particular near the poles (Ignatiev et al., 2009; Hueso et al., 350 2015). This result is confirmed by the measurements of the radial and derived zonal velocity profiles obtained from high spatial resolution, Doppler-shifted, solar spectra reflected from 351 352 Venus's cloud tops (Widemann et al., 2007, 2008; Machado et al., 2012, 2014).

353 On the night side, the local probe data tend to support the maintenance of the super-rotation at 354 the 65-70 km altitude level (Figure 1) but full wind measurement coverage is required to explore 355 the potential effects of the solar tide, waves and other processes related to the heating/cooling 356 rate daily variability.

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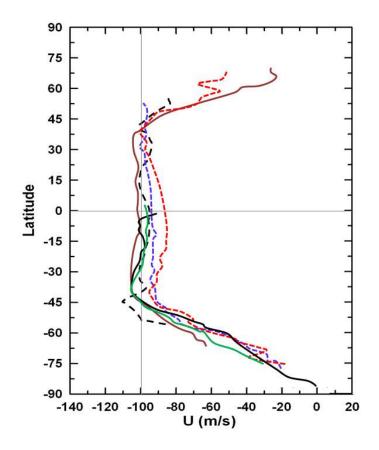
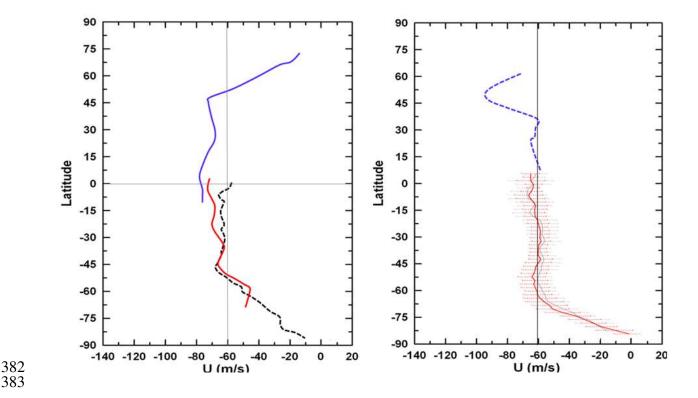


Figure 2. Meridional profiles of the zonal wind measured from cloud tracking at UV
wavelengths (altitude range 65-70 km). Averages from the following missions: Mariner 10, 1974
(black dashed); Pioneer-Venus, 1980 (blue dotted); Pioneer-Venus, 1982 (red dotted); Galileo,
1990 (brown line); Venus Express VIRTIS, 2006-2012 (black line); Venus Express VMC, 20062012 (green line).

Figure 3 (left) shows the meridional profile of the zonal wind measured in the 960-980 nm wavelength range at the base of the upper cloud ( $\sim 60$  km height). There are fewer data available than in the UV, and these come from the Galileo mission for the northern hemisphere (Peralta et al, 2007; Kouyama et al., 2012) and from Venus Express for the southern hemisphere with two instruments VIRTIS (Sanchez-Lavega et al., 2008; Hueso et al., 2014) and VMC (Khantuntsev et al., 2013). The measurements show the same trend in the meridional structure of the zonal velocity as in the UV but with decreasing values. The mean constant velocity found between latitudes ~  $\pm 45^{\circ}$  is 70 ms<sup>-1</sup>, these values being consistent with probe measurements at a lower altitude. 



**Figure 3.** Left: Meridional profiles of the zonal wind measured from cloud tracking at a wavelength of 980 nm (altitude range ~ 60 km). Averages from the following missions: Galileo , 1990 (blue); Venus Express VIRTIS , 2006-12 (black dotted) VEX; Venus Express VMC , 2006-12 (red line). Right: Meridional profile of the zonal wind measured from cloud tracking at a wavelength of 1.7  $\mu$ m (altitude range ~ 45-50 km; nighttime). Averages from the following mission: Galileo, 1990 (blue dashed); Venus Express VIRTIS, 2006-12 (red and thin line, two sets of data) with errors bars indicated.

392

393

Finally in Figure 3 (right), we also show the meridional profile of the zonal wind as measured in the night-time in the 1.7  $\mu$ m spectral window that senses motions in the lower cloud at an altitude ~ 47 km (Barstow et al., 2010). The data are noisy for the northern hemisphere (Carlson et al, 1991) but relatively good for the southern hemisphere, which come from the Venus Express VIRTIS instrument (Sanchez-Lavega et al., 2008; Hueso et al., 2012). According to the southern hemisphere data, the profile shows a similar pattern to that of the upper cloud, but the latitude where the inflexion point initiates is located poleward, around latitude -75°.

401

402 A firm conclusion from these data is that the zonal winds decrease globally with decreasing 403 altitude within the cloud layers. Extrapolating to the whole planet from the probe measurements 404 of the vertical structure of the zonal winds at particular latitudes and longitudes (and time), a 405 major conclusion is that the zonal winds increase globally in Venus's atmosphere from the 406 surface to around the 65-70 km altitude level.

# 408 2.3. Meridional and vertical components of the wind409

This section is devoted to the available measurements of the meridional (North-South) and vertical components of the Venus winds for the altitude range from the surface to 70 km.

412

#### 413 2.3.1. Meridional (North-South) winds.

414

415 The vertical profile of the meridional wind velocity v(z) (North-South – Equatorward) was 416 measured by the North-Day-Night-Sounder PV probes (Counselman et al., 1980, Figure 4). 417 These profiles show that, below the clouds, the meridional winds are very weak v < 1-2 m s<sup>-1</sup>, but in the cloudy region (above ~ 45 km) they vary between -5 and + 5 ms<sup>-1</sup>. The North-South 418 wind component has been measured on a global scale at the three cloud level altitudes sounded 419 420 in both daytime (UV and 950 nm) and nighttime (1.74 µm). This is shown in Figure 5. In the UV 421 at 65-70 km altitude, the wind data from Pioneer Venus, Galileo and Venus Express (VIRTIS 422 and VMC) show unambiguously that the winds increase from equator to pole, from 0 to  $\sim 10-15$ m s<sup>-1</sup> with a peak at latitude ~ 60°, directed southward in the southern hemisphere (v < 0) and 423 424 northward in the northern hemisphere (v > 0). Ground-based measurements of the meridional 425 profile from Doppler-shifted spectral lines confirm this result (Machado et al., 2014, 2017). This 426 structure of the meridional wind has usually been interpreted as a manifestation of the upper 427 branch of a Hadley cell. This meridional motion, however, is not observed in the lower clouds (60 and 45 km) (Figure 5), as might have been expected from the PV probes data. It remains 428 429 open, therefore, to know if the variations seen in the probe data for v(z) are due to the lower 430 branch or branches of the Hadley cell, as interpreted by Schubert (1983), or are due to vertically 431 propagating gravity waves (Gierasch et al., 1997).

432

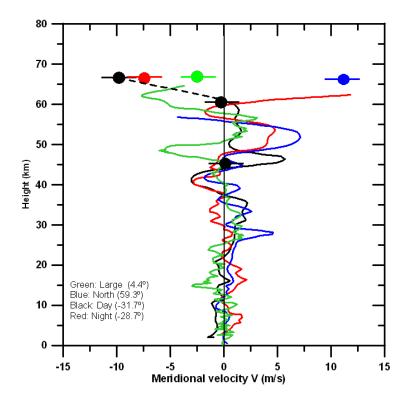
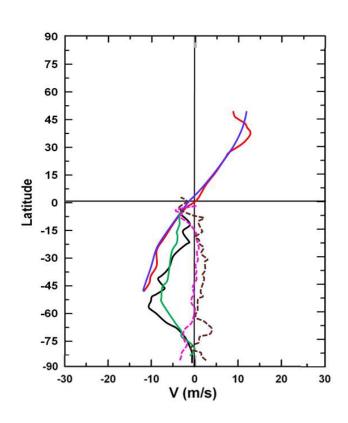


Figure 4. Vertical profile of the meridional (North-South) velocity as measured by the Pioneer-Venus probes as indicated. For comparison, the points show the averaged meridional winds determined at the same latitude and approximately the same altitude as measured from cloud tracking as shown in Figures 2-3. The dashed line indicates the vertical tendency of the meridional winds from cloud tracking measurements (black dots).

440



441 442

Figure 5. Meridional profiles of the mean North-South velocity as measured at different heights.
(1)Upper cloud (65-70 km): Pioneer-Venus, 1979-1983 (blue line); Galileo, 1990 (red line);
Venus Express VIRTIS, 2006-12 (black line); Venus Express VMC, 2006-12 (green line); (2)
Altitude ~ 60km: Venus Express VIRTIS, 2006-12 (dashed magenta); (3) Altitude 45-50 km in
nighttime: Venus Express VIRTIS, 2006-12 (dashed brown).

448

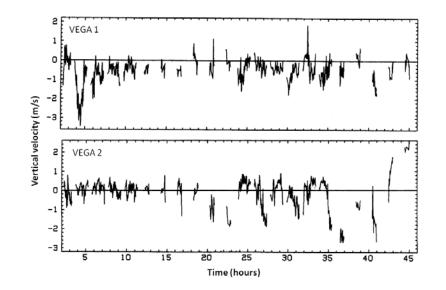
#### 449

#### 450 2.3.2. Vertical (upward-downward) winds.

451

The vertical (upward-downward) wind velocity *w* was directly measured by the Vega 1 and 2 balloons at an altitude level of 53 km during their longitudinal drift of about 11,000 km, dragged by mean zonal winds of 70 m s<sup>-1</sup> at this altitude level (Blamont et al., 1986; Linkin et al., 1986). The atmospheric velocity fluctuated between -3 and +3 m s<sup>-1</sup> (Figure 6; 1 hr corresponds approximately to a path of 250 km). In addition vertical profiles of w(z) have been retrieved 457 during the descent of the Venera probes from direct measurements and from the descent 458 aerodynamics that show that w fluctuated between  $\pm 1$  m s-1 between 0 and 60 km 459 (Kherzanovich and Marov, 1983). These vertical velocities could originate from different 460 processes (e.g. waves, in particular gravity waves excited by mountains; convective motions; 461 Hadley cell circulations and topographically forced winds), and thus vary with altitude. Kerzhanovich and Marov (1983) and Gierasch et al. (1997) present calculations of the vertical 462 463 profiles of w(z), based on simple models of these processes, that predict slow velocities in Hadley cells ( $w \sim 0.1 \text{ m s}^{-1}$ ) and moderate velocities for upward propagating waves and 464 465 convective motions ( $w \sim 0.5-5 \text{ m s}^{-1}$ ).

- 466
- 467
- 468



469 470

471 Figure 6. Vertical velocity at ~ 53 km altitude level as measured by the balloons Vega 1 and 2
472 during their longitudinal drift by the zonal winds (approximately 1 hour = 252 km). Adapted
473 from Linkin et al. (1986).

474

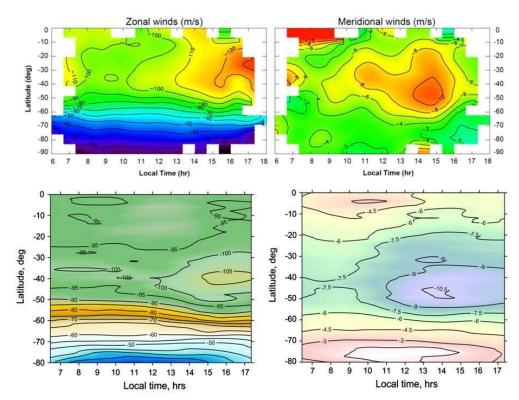
#### 475 **2.4. Wind variability**

476

477 Systematic studies of the variability of the winds have been performed using consistent time 478 series of data from long duration orbital missions. The Pioneer Venus orbiter provided 479 hemispheric long-term measurements of the zonal and meridional winds using cloud-tracking on 480 the UV images provided by the OCCP instrument from 1979 to 1985 (Rossow et al., 1990; 481 Limaye, 1987). Venus Express VIRTIS and VMC instruments provided wind data for the 482 southern hemisphere from 2006 to 2012 (Kouyama et al., 2013; Khatuntsev et al., 2013; Hueso 483 et al., 2015). The VIRTIS data cover at least two altitude levels, the upper cloud from UV 484 images and the base of the cloud from images at 900-955 nm. 485

- 486 2.4.1. Dependences on Local Time
- 487

488 The zonal and meridional (u, v) components of the wind at the upper cloud (65-70 km) show an 489 unambiguous dependence on the velocity with the local time (LT, or solar longitude) as shown 490 by Rossow et al. (1990), Sánchez-Lavega et al. (2008), Kouyama et al. (2012), Khatuntsev et al. 491 (2013) and Hueso et al. (2015). The precise, near simultaneous, independent and complementary 492 studies using two different instruments on board Venus Express confirm this point (Figure 7). On 493 one hand, the data show that, in the latitude band between  $\sim 10^{\circ}$  and  $40^{\circ}$ , the zonal wind speed increases by  $\delta u \sim 5-15$  m s<sup>-1</sup> from LT = 7 hr to 17 hr, accelerating towards the local afternoon. 494 This represents a signature of the action of the solar (thermal) tide on the wind field (see below). 495 On the other hand, the meridional wind shows an increase of  $\delta v \sim 10 \text{ m s}^{-1}$  at LT  $\sim 14 - 16 \text{ hr}$  in 496 latitudes ~  $40^{\circ}$  -  $50^{\circ}$ , probably resulting from the combined action of the Hadley cell and the 497 498 thermal tide (Peralta et al., 2012). There is no evidence of a dependence of the horizontal winds 499 on LT at the other two altitude levels where there are available data (60 km and 47 km). 500



501

Figure 7. The long-term averaged horizontal wind velocity as a function of latitude and local
time from the tracking of cloud motions with Venus Express. Upper panel: VIRTIS measurements
2006-12 (Hueso et al., 2014). Lower panel: VMC measurements (Kathuntsev et al., 2013).

505

#### 506 2.4.2. Short term variability

507

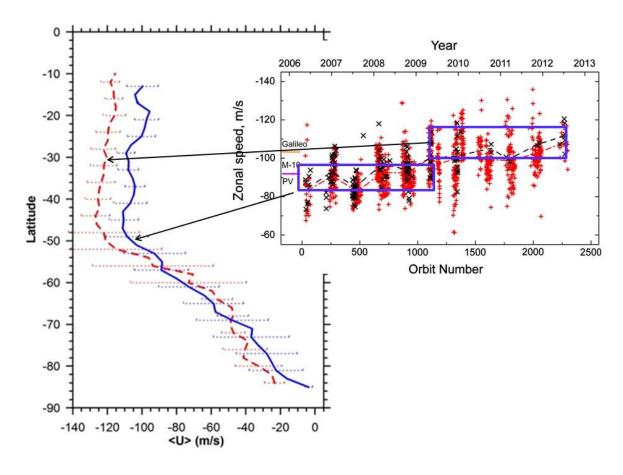
The Venus Express VIRTIS and VMC analysis of the zonal velocity at the upper cloud level shows rapid fluctuations in its meridional profile on timescales of ~24 hr (the VEX orbital period) (Khatuntsev et al., 2013; Hueso et al., 1015). These fluctuations result from uncertainties in the measurements, the above described LT dependence (solar tide) and the presence of propagating waves (section 4). For example, Del Genio and Rossow (1990) and Rossow et al. (1990) identified oscillations in the zonal velocity with a period of 4.4 days and cloud brightness variability with a four and five day period; phenomena attributed to the presence of wavenumber-1 Kelvin and Rossby waves, respectively. The presence of these waves was also detected in Galileo wind data (Toigo et al., 1994; Peralta et al., 2007; Kouyama et al., 2012, 2013), and among them there is the conspicuous and semi-permanent feature known as the "Y", "C" or "Psi"-wave due to the cloud morphology, recently interpreted by Peralta et al. (2015) as an equatorially trapped Kelvin wave distorted due to the spherical geometry and to the fact that the Kelvin wave phase extends to high latitudes.

521

#### 522

# 523 2.4.3. Long-term variability524

525 Inter-comparison between profiles of the mean zonal wind obtained by different missions since 526 the 1970s reflects its long-term variability (Figure 2). The Pioneer Venus orbiter covered a long 527 observing period (1979-1985), but no long-term drift in the wind field was detected (Rossow et 528 al., 1990; Limaye, 2007). However, the data are suggestive of long-term multi-year cyclic 529 variations in cloud top winds (Del Genio and Rossow, 1990, Rossow et al., 1990). Systematic 530 studies of the zonal winds in the southern hemisphere, performed by the VEx instruments over 6 531 years, show a long-term global change in the zonal wind speed from equatorial to mid-latitudes (from ~ -10° to -50°), accelerating from  $u \sim -100$  m s<sup>-1</sup> (2006-2009) to -120 m s<sup>-1</sup> (2009-2012) 532 (Khatuntsev et al., 2013; Hueso et al. 2015) (Figure 8). Since both independent studies correlate, 533 534 the change could be real, although a sampling bias of the wind measurements toward particular 535 solar local times, coupled to the solar tide acceleration, could also be involved in this result 536 (Khatunsev et al., 2013).



**Figure 8.** Long-term changes in the zonal wind velocity at 65-70 km altitude as measured by Venus Express instruments between local times 14-18 hrs. The left meridional profile of the zonal wind was obtained using VIRTIS in two periods 2006-09 (blue line) and 2010-12 (red dashed line) (from Hueso et al, 2014). On the right, averaged zonal wind speed is shown as a function of time obtained with VMC (from Khatuntsev et al., 2013). The blue boxes indicate the correspondence with the profiles at left.

546 547

#### 548 **2.5. Upper atmosphere**

549

550 The region between the cloud tops at ~ 65-70 km and the 110 km altitude level (a somewhat 551 arbitrary frontier at  $P = 2 \mu bar$ ) is usually called the lower mesosphere. This is dynamically a 552 transition region of complex dynamics that separates the Venus troposphere (dominated by the 553 retrograde super-rotation, RSR) from the thermosphere, where a subsolar-antisolar (SS-AS) 554 circulation cell dominates, driven by the solar EUV heating on the sunlit hemisphere (day-to-555 night flow). As indicated in section 2.1, the atmospheric motions in the altitude range between z 556  $\sim$  70 and 110 km are derived from the Doppler shift of CO absorption lines and CO<sub>2</sub> non-LTE emissions and by tracking emission features from NO and  $O_2$  airglow (details can be found in 557 558 reviews by Lellouch et al. (1997), Bougher et al., (1987), Limaye (2013 and references therein)). 559 Indirectly, the wind field has been obtained from altitude-latitude measurements of the pressure

and temperature fields and the direct application of either direct cyclostrophic balance or the thermal wind equation under such a balance (Seiff, 1983; Schubert, 1983, section 3 below).

562

563 According to these measurements, the mesospheric dynamics is complex and globally dominated by the zonal retrograde flow, with decreasing winds with altitude from 70 km up to  $\sim 85$  km (  $\sim$ 564 565 50 m s<sup>-1</sup> at mid-latitudes) according to cyclostrophic balance (Piccialli et al., 2012), but exhibiting very strong day to day variations, probably encountering the invasion of the SS-AS 566 567 circulation in the upper part at ~ 90-110 km (Lellouch et al., 1997; Widemann et al., 2007; Sornig et al., 2008; Limaye, 2013). Measurements in the 90-110 km altitude of the zonal 568 component range from ~ 5 to 150 m s<sup>-1</sup>, whereas wind data for the SS-AS range from 40 up to 569 290 m s<sup>-1</sup>. Day-side and night-side winds are typically in the range 100-300 m s<sup>-1</sup> (a stronger 570 571 wind system at the evening terminator than the morning terminator) as summarized by Limaye 572 (2013).

573

574 The motion of the morphology of  $O_2$  emission shows the complexity of the dynamics in the 90-575 110 km altitude range, with a zonal velocity dominated by an intense prograde jet (contrary to 576 the retrograde planetary rotation) from dawn to midnight, with a reversed sign from dusk (Hueso 577 et al., 2008). Typical zonal velocities range between +60 (eastward) to -50 (westward) m s<sup>-1</sup>, while meridional velocities range from -20 (polewards) to +100 m s<sup>-1</sup> (equatorwards) with an 578 average meridional drift of  $+20 \text{ m s}^{-1}$  towards low latitudes. All of these data on winds indicate 579 580 the highly variable and complex nature of the motions in the transition layer. Further details on 581 the dynamics of this region are presented in Chapter IX.

582

### 583 **3. Cyclostrophic balance and Angular Momentum**

584

585 In this section we provide a theoretical background to understand discussion about calculation of 586 winds using assumptions of cyclostrophic balance (3.1), observed superrotation (3.2.2) and role 587 of eddies (3.2.3), which are then detailed (in Section 4). A discussion of super-rotation 588 mechanisms will be provided later in this chapter (section 8).

589

# 590 **3.1. Thermal winds: Theory and Observations**591

592 In common with other planetary atmospheres, the Venus atmosphere is governed by a 593 combination of the Navier-Stokes equations, together with equations of continuity, 594 thermodynamic energy conservation and a suitable equation of state. For most purposes, the 595 Navier-Stokes equations are usually approximated by the meteorological primitive equations for 596 a shallow atmosphere on the sphere, for which the meridional and vertical components are 597 expressed as

599 
$$\frac{Dv}{Dt} + \frac{u^2 \tan \phi}{a} + \underbrace{2\Omega u \sin \phi}_{(3)} + \underbrace{\frac{1}{\rho a} \frac{\partial P}{\partial \phi}}_{(4)} = \underbrace{F_{\phi}}_{(5)}$$
(1)  
600 
$$g + \frac{1}{\rho} \frac{\partial P}{\partial z} = 0$$
(2)

- 602
- 603

604 Here u and v are the zonal and meridional components of the velocity, P is pressure,  $\phi$  is latitude, 605  $\rho$  is density,  $\Omega$  is the angular velocity (f=2 $\Omega$  sin $\phi$  is the Coriolis parameter). The radius of the 606 planet is denoted by a and altitude above a reference geopotential is denoted by z. g is the 607 acceleration due to gravity and t is time. Finally  $F_{\phi}$  are other forcing sources. Equation (2) 608 represents hydrostatic balance, which is a considerable simplification of the full vertical equation 609 of motion but is a very good approximation for large-scale motion. For rapidly rotating planets, 610  $\Box$  is relatively large so that the dominant balance in Eq (1) is *geostrophic* (i.e. between terms (3) 611 and (4)). For slowly rotating planets such as Venus, however, term (3) in Equation (1) is too 612 small to balance the pressure gradient (term (4)) so that the centrifugal term (2) takes over. The 613 resulting balance between terms (2) and (4) is known as cyclostrophic, as first identified by 614 Leovy (1973), and can be stated (using (2) and pressure as a vertical "coordinate"

615

616 
$$u^2 = -g \cot \phi \left(\frac{\partial z}{\partial \phi}\right) \frac{1}{\rho} \frac{\partial P}{\partial z}$$
 (3)

- 617
- 618

622

619 where the derivative represents the meridional slope of the constant pressure surface at P. For 620 intermediate rotation rates, terms (2), (3) and (4) are of comparable magnitude and the resulting 621 balance of these three terms is known as *gradient wind* balance.

By combining Equations (1) and (2) and the ideal gas law, a further equation can be derived for the vertical derivative of  $u^2$ . In pressure coordinates, this becomes

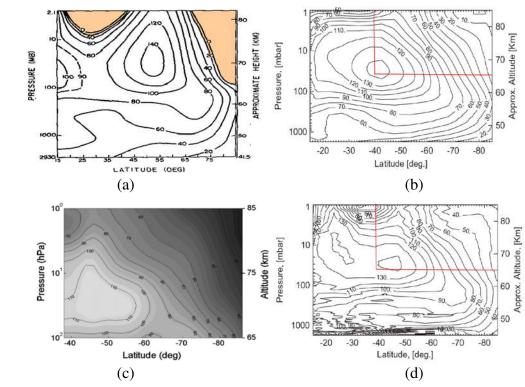
627  $\frac{\partial u^2}{\partial \varsigma} = -\frac{R_g}{\tan \phi} \left(\frac{\partial T}{\partial \phi}\right)_P \tag{4}$ 

628

629 where  $\zeta = \ln(P/P_0)$ , and  $R_g$  and T are the specific gas constant and temperature respectively, and 630 is referred to as the *cyclostrophic thermal wind equation*.

631

632 These forms of cyclostrophic balance have been widely used indirectly to infer the mean zonal 633 wind structure on Venus since the time of the early Venera and Pioneer Venus missions, using 634 measurements of atmospheric temperature and pressure. The mesospheric temperature fields 635 retrieved from infrared remote sensing instruments on board Pioneer Venus (Taylor et al. 1980), 636 Venera-15 (Zasova et al. 2007), the Galileo Orbiter (Roos-Serote et al. 1995) and Venus Express 637 (Grassi et al. 2010, 2014), together with radio occultation measurements from Pioneer Venus, the Venera-15 and Venus Express orbiters (Seiff 1980, Taylor et al. 1980, Lellouch et al. 1997, 638 639 Zasova et al. 2007, Tellmann et al. 2009) have been used in various attempts to reconstruct 640 Venus' zonal winds (Limaye 1985, Roos-Serote et al. 1995, Zasova et al. 2007, Piccialli et al. 641 2008, 2012, Mendonca et al. 2012).







**Fig. 9** Zonal velocity fields derived from integrating Eq (4) upwards from a given velocity profile: (a) from Pioneer Venus radio-occultation temperatures (Newman et al. 1984), (b) & (c) from the VIRTIS instrument on the Venus Express spacecraft (Grassi et al 2010) by (b) Piccialli et al. (2012) and (c) Mendonça et al (2012); (d) zonal velocity field retrieved by direct application of Eq (3) using z(p) data from VeRA on Venus Express (Piccialli et al. 2012). Regions where no solution for u is possible are shown shaded in (a). The region in (c) is indicated by red lines in (b) and (d) for comparison.

657 Most of these attempts have been based on a vertical integration of Eq (4) from an assumed 658 latitudinal profile of zonal wind at the base of the domain, usually around the tops of the clouds 659 at an altitude of 60-65 km. Some examples of zonal velocity fields reconstructed in this way are 660 shown in Figure 9(a)-(c). Winds are typically seen to increase with height above the clouds towards peak values around 140 m s<sup>-1</sup> in jet-like features at ~40°-50° latitude and at altitudes of 661 ~70 km. Secondary wind maxima are also sometimes inferred close to the equator, though these 662 are less likely to be real because of increased sensitivity of  $u^2$  to errors in T associated with the 663 664  $\tan \phi$  factor in Eq (4).

665

Such attempts to integrate Equation (4) in the vertical typically run into difficulties at high altitudes, however, especially near the equator and at high latitudes where the integration of (4) tends to force  $u^2$  to become negative (e.g. see Figure 9a). This may happen either because of errors in the base wind profile or in the thermal field used in the integration, or because of a breakdown of the cyclostrophic balance approximation. Piccialli et al. (2012) showed that their reconstructions were particularly sensitive to errors in the base velocity profile around 70° latitude, with the best results being achieved when using the best available observed wind profile 673 from cloud tracking. Mendonça et al. (2012) also found a similar sensitivity to the base profile 674 from comparisons with simple GCM simulations, which appeared to dominate over errors due to 675 the possible breakdown of cyclostrophic balance. They were able to improve their 676 reconstructions by using an iterative process that also involved correcting the upper level winds 677 for self-consistency and further *downward* integration of (4) to correct the base profile.

678

679 Direct application of Equation (3) to z(P) measurements, which may be available from radio-680 occultation observations, does not suffer from the amplification of errors in the temperature field 681 or require an accurate base velocity profile. But reconstructions do suffer from effects of noise 682 and sampling errors in the z(P) measurements (see Figure 9(d)). With care, however, Figures 683 9(b)-(d) illustrates that reasonable agreement is possible between the two approaches.

684

685 The presence of a mid-latitude jet above the clouds appears to be a common feature in most 686 balanced wind reconstructions, ranging from the Mariner 10 and Pioneer Venus missions 687 through to Venus Express and using either Equation (3) or Equation (4). As is apparent from 688 Figures 2 and 3 in Section 2, however, direct evidence for such mid-latitude jets from cloud-689 tracking often seems elusive, although some profiles do seem to show a minimum of  $\overline{u}$  on the 690 equator and weak maxima at latitudes of  $40^{\circ}$ - $50^{\circ}$  in each hemisphere. This may indicate some 691 significant variability in the structure of such jets with time, though this deserves further 692 investigation in the future.

693 694

# 695 **3.2. Angular momentum conservation and transport**696

697 In any atmospheric circulation system the flow must everywhere satisfy certain key conservation 698 principles in relation to energy, momentum and angular momentum (AM). The latter two 699 quantities are strictly vectors, but in the case of angular momentum, the axial component by 700 itself may be conserved under certain circumstances. The axial component of absolute specific 701 angular momentum, m, is defined in spherical polar coordinates as

702

703 
$$m = a \cos \phi \left(\Omega a \cos \phi + u\right)$$
 (5)

704

where *a* is the planetary radius,  $\Omega$  the planetary rotation speed, *u* is the zonal velocity as measured in the frame rotating with the planet and  $\phi$  is latitude. This expression is written for a shallow atmosphere, where the radial distance to the center of the planet *r* is approximated to the radius *a*. In the zonal mean, the conservation equation for *m* becomes

710 
$$\frac{\partial \overline{m}}{\partial t} + \overline{u}_* \bullet \nabla \overline{m} = -\nabla \bullet E + \frac{F}{\rho}$$
(6)

711

where the overbar represents the zonal mean,  $\overline{\mathbf{u}}_* = (\overline{v}_*, \overline{w}_*)$  is the Transformed Eulerian zonal mean meridional velocity (e.g. Andrews et al. 1987), defined by

$$\overline{v}_{*} = \overline{v} - \frac{\partial}{\partial P} \left( \frac{\left( \overline{v' \theta'} \right)}{\partial \overline{\theta} / \partial P} \right)$$
715
$$\overline{w}_{*} = \overline{w} - \frac{1}{a \cos \phi} \frac{\partial}{\partial \phi} \left( \frac{\left( \overline{v' \theta'} \right) \cos \phi}{\partial \overline{\theta} / \partial P} \right)$$
(7)

717 where  $\theta$  is the potential temperature and primes denote departures from the zonal mean, and *E* is 718 a form of the Eliassen-Palm (EP) eddy flux of angular momentum, defined by

719

$$720 \qquad \mathbf{E} = a\cos\phi \begin{cases} \left(\overline{u'v'}\right) - \frac{\overline{(v'\theta')}\partial\overline{u}/\partial P}{\partial\overline{\theta}/\partial P} \\ \left(\overline{w'u'}\right) + \left[\frac{1}{a\cos\phi}\frac{\partial}{\partial\phi}(\overline{u}\cos\phi) - 2\Omega\cos\phi\right]\frac{\overline{(v'\theta')}}{\partial\overline{\theta}/\partial P} \end{cases} \end{cases}$$
(8)

721

and *F* represents other residual torques e.g. due to friction or body forces.

For situations where the flow is frictionless and axisymmetric about the pole of the planet with no other body forces acting (so F = 0),  $\overline{m}$  is materially conserved following the motion in the meridional ( $\phi$ ,P) (or ( $\phi$ ,z)) plane. This means that axial angular momentum per unit mass, contained in rings of fluid aligned along latitude circles, behaves like a materially conserved tracer. This leads to a number of important constraints, collectively referred to as "Hide's theorem" (Hide 1969, Schneider 1977, Held & Hou 1980).

730

#### 731 3.2.1 AM conservation and role of eddies

732

Once an axisymmetric ring of fluid has been initialised with a certain value of  $\overline{m}$ , there is no means of changing it in the absence of friction or body forces without breaking the axial symmetry. In the case of a planet like Venus, therefore, the fact that u on the equator is observed to be in the same sense as the planetary rotation implies that  $\overline{m}$  must exceed  $\Omega a^2$ , which is the maximum value of  $\overline{m}$  for any point on the planet that co-rotates with the planet itself. Observing

 $\overline{m} > \Omega a^2$  must therefore require either special initial conditions or the action of nonaxisymmetric eddies (sometimes referred to as "Hide's first theorem"; e.g. Read 1986; Read 2013). This has been demonstrated, for example, in simple GCM simulations under Titan-like or Venus-like conditions (e.g. see Mitchell & Vallis (2010), their Fig. 2), where purely axisymmetric flows are unable to produce u > 0 on the equator, even though prograde relative flow may occur at mid-high latitudes. In contrast, fully 3D flows with active eddies are able to produce equatorial super-rotation (as defined more fully below), much more like Venus itself.

745

This constraint also suggests ways of defining dimensionless quantitative measures of local and global "super-rotation", relative to an atmospheric state that co-rotates with the underlying 748 planet: 749

$$s = \frac{m - \Omega a^2}{\Omega a^2}$$
750
$$S = \frac{\iiint \rho m dV - M_0}{M_0} = \frac{\iiint \rho m dV}{M_0}$$
(9)

751

752

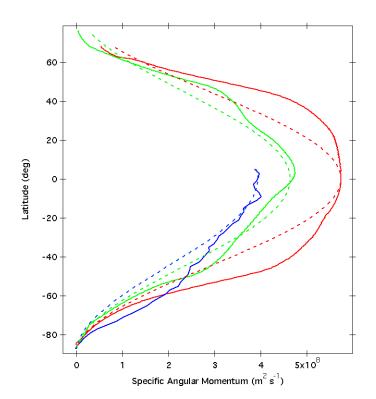
where  $M_0$  represents the integrated, mass-weighted, absolute angular momentum of the fluid with respect to volume *V*, in solid body co-rotation with the underlying planet (so  $M_0 \approx 8\pi a^4 \Omega P_0/(3g)$ ) and  $\rho_m = \rho ua \cos \phi$  is the relative *angular momentum density*. Thus, local and global superrotation can be defined respectively by s > 0 or S > 0.

#### 758 3.2.2 Quantifying the observed super-rotation

759

With typical maximum zonal winds on the equator of up to 100 m s<sup>-1</sup> or so (cf Figures 2 and 3; see Section 2), this suggests that Venus exhibits local values of *s* of up to ~60, corresponding to the maximum ratio of the angular velocity of the zonal wind to that of the underlying planet. This represents the largest value of *s* found in the atmosphere of any planetary body in the Solar System by a substantial margin (the nearest rival being Titan, for which s < 1.5, assuming u <200 m s<sup>-1</sup> on the equator; e.g. Flasar et al. 2009).

766



**Figure 10.** Latitudinal profile of specific angular momentum, m, corresponding to the set of cloud-tracked zonal wind profiles shown Figs 2 and 3, obtained from feature-tracking measurements at UV wavelengths (solid red line; altitude ~65 km), 980 nm (solid green line; altitude ~60 km) and 1.74  $\mu$ m (solid blue line; altitude ~45 km). Dashed lines correspond to the profiles of m for zonal winds in solid body rotation with their value at the equator (i.e. m ~ cos<sup>2</sup>  $\phi$ ).

775

776 Profiles of *m* with latitude can be readily derived from winds measured e.g. using cloud-tracking 777 from UV and IR images. Figure 10 shows such a profile derived from the wind measurements 778 presented in Figures 2 and 3, in which the solid lines represent the mean values of the ensemble 779 of profiles at each wavelength band in the UV (red), 980 nm (green) and 1.74 µm (blue), 780 corresponding to the approximate altitudes 65 km (blue), 60 km (green) and 45 km (blue). This 781 clearly shows *m* reaching a maximum value at low latitudes at all altitudes sampled, decreasing 782 towards zero as both poles are approached. Even allowing for the variability represented in the 783 ensemble of measurements, ranging from Mariner 10 to Venus Express VIRTIS and VMC, the 784 low latitude peak in *m* is quite broad and flat with relatively steep latitudinal gradients poleward 785 of  $40^{\circ}$ - $50^{\circ}$  in each hemisphere, especially at the top of the main cloud deck in the UV profile. The dashed lines in Figure 10 indicate the profiles of *m* (proportional to  $\cos^2 \phi$ ) for a cloud level 786 flow that would be in solid-body rotation with the zonal wind on the equator at each altitude 787 788 level. This clearly shows the effect of poleward meridional transport in both hemispheres, 789 tending to smooth out the low latitude peak and push the high equatorial values of m to higher 790 latitudes. This most likely represents transport by the upper branch of near-hemispheric Hadley 791 circulations, as implied by the cloud-level meridional winds shown in Figure 5 and discussed in 792 Section 2.3.1, in which *m* is almost conserved as it is transported polewards from the equator, 793 although some systematic variations with altitude are apparent.

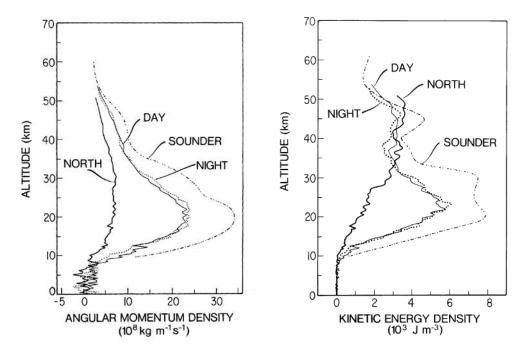




Figure 11 Observed vertical profiles of (a) angular momentum density  $\rho_m = \rho u a \cos \phi$  and (b) kinetic energy density  $\rho_K = \rho u^2 / 2$ , obtained by the Pioneer Venus entry probes (Schubert et al. 1980; with permission).

800 For the global super-rotation parameter S, the best available measurements of  $\rho_m$  continue to be those obtained by the Pioneer Venus and Soviet Venera descent probes from the 1970s and 801 802 1980s (Schubert et al. 1980; Kerzhanovich & Marov 1983; Kerzhanovich & Limaye 1985). The Pioneer Venus results for  $\rho_m$  and kinetic energy density  $\rho_\kappa = \rho u^2/2$  are shown in Figure 11, 803 representing profiles close to the equator ("Sounder") and at latitudes of ~30° S ("Day" and 804 805 "Night") and  $\sim 60^{\circ}$  N ("North"). Similar profiles were obtained from tracking of the Venera 8-10 and 12-14 spacecraft during their respective descents, mostly between 13° S and 16° N apart 806 from Venera 9 at 32° N (Kerzhanovich & Limaye 1985). 807

808

809 These profiles clearly show that both  $\rho_m$  and  $\rho_K$  reach a peak in the lower atmosphere around an 810 altitude of ~20 km, even though *u* itself peaks much higher in the atmosphere. The amplitude of 811 variations in both  $\rho_m$  and  $\rho_K$  also varies strongly with latitude, with the largest peak values 812 located close to the equator and apparently decreasing towards either pole, where both quantities 813 must tend to zero.

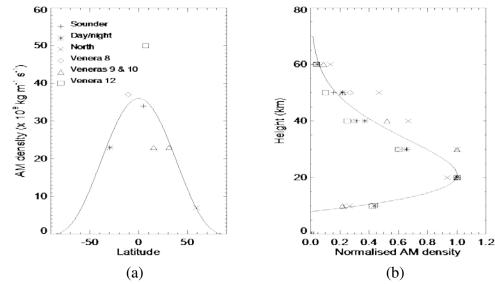


Figure 12 (a) Profile of the maximum values of  $\rho_m$  with latitude and (b) profiles of  $\rho_m$  with altitude, normalized by their respective maximum values, for Pioneer Venus and Venera 8-10 and 12 measurements. In (a) the solid line represents an empirical fit of the function  $\rho_m = A\cos^{5/2}\phi$  to these data, with  $A = 3.6x10^9$  kg m<sup>2</sup> s<sup>-1</sup>. The solid line in (b) represents an empirical fit to the function  $\rho_m = (h - h_0) \exp\left[-(h + h_1)^2 / b\right]$  where h is in km,  $h_0 = 8$  km,  $h_1 = 25$  km and b = 1200 km<sup>2</sup>.

815 816

825 Figure 12(a) plots the peak values of  $\rho_m$  as a function of latitude from the Pioneer Venus and 826 Venera probes. With the exception of two outliers (Veneras 10 and 12), these appear to lie close to a smooth variation with latitude that is roughly proportional to a function of the form 827  $\rho_m = A \cos^{\alpha} \phi$  with  $\alpha \approx 5/2$  and  $A \approx 3.6 \times 10^9$  kg m<sup>-1</sup> s<sup>-1</sup>. Unlike  $\rho_K$ , which appears to peak at 828 829 an altitude closer to 40-50 km around 60° latitude according to the PV North probe, the observed 830 vertical variations of  $\rho_m$  lie close to a functional form with height that does not depend strongly 831 on latitude. Figure 12(b) illustrates the measured profiles of  $\rho_m$  from the Pioneer Venus and 832 Venera probes, normalized by their respective maximum values. With the possible exception of the PV North probe, these normalized profiles mostly collapse onto an approximate form close to 833 the empirical function  $\rho_m = (h - h_0) \exp[-(h + h_1)^2 / b]$ , where h is given in km. The solid line 834 in Fig. 12(b) represents a rough fit to these data with values of  $h_0 = 8$  km,  $h_1 = 25$  km and b =835 836  $1200 \text{ km}^2$ . 837

- 838 Given these approximate empirical functional fits to the latitudinal and vertical variations of  $\rho_m$
- across the planet, and (courageously!) assuming we can extrapolate these distributions to both
- poles, we can integrate  $\rho_m$  across the entire planet to obtain a rough estimate of the global super-
- rotation parameter S from Eq (9). This leads to a value of  $S \approx 8.2$ , corresponding to a total
- angular momentum of ~2.9 x  $10^{28}$  kg m<sup>2</sup> s<sup>-1</sup>, indicating that the Venus atmosphere contains ~8.2

times more angular momentum that it would have if it was in solid body rotation with the 843 underlying planet (for which  $M_0 = 3.79 \times 10^{27} \text{ kg m}^2 \text{ s}^{-1}$ ). Because of the crudeness of this 844 estimate, the uncertainties on this quantity are quite large (at least  $\pm 20-30\%$ ) and it is also likely 845 846 to be quite strongly variable in time (possibly accounting for the outliers in Figure 12a). But this 847 estimate does serve to demonstrate the uniquely strong super-rotation of the Venus atmosphere, 848 being rivaled only by Titan's atmosphere (which also substantially super-rotates; e.g. Flasar et al. 849 2009) and in complete contrast to the more rapidly rotating planets Earth and Mars, for which S 850 << 1 (and s << 1). The Venus atmosphere is evidently able to store and maintain massive 851 quantities of angular momentum in such a way that it is kept largely isolated from the solid 852 planet, despite the presence of viscous and form-drag torques at the planetary surface. Such 853 massive values of s and S pose huge challenges to numerical models seeking to capture and 854 simulate the dynamical state of the Venus atmosphere with quantitative precision, as discussed 855 further in Section 8.2.

856 857

859

#### 858 3.2.3 Constraints on eddy AM fluxes

860 The arguments leading to Hide's  $1^{st}$  theorem, as outlined above, were further generalized by 861 Schneider (1977) and Held and Hou (1980) to provide constraints on the properties of long term 862 mean angular momentum transfers by eddy fluxes. Integration of Eq (6) over a region bounded 863 by a closed  $\overline{m}$  contour implies that

864

865  $\iint E \bullet dn = 0 \tag{10}$ 

866

867 (neglecting *F* and enforcing mass conservation). This implies either that *E* must be everywhere 868 parallel to every  $\overline{m}$  contour (which is highly unlikely) *or* have components that act both parallel 869 to and anti-parallel to the gradient of  $\overline{m}$  in different places, i.e. up-gradient eddy angular 870 momentum fluxes are necessary to maintain a super-rotating flow. Hide's (second) theorem 871 might then be stated as implying that *an axisymmetric atmosphere cannot super-rotate at the* 872 *equator if small-scale mixing of angular momentum is everywhere down-gradient*.

873

874 In the original concept put forward by Gierasch (1975) for maintaining Venus's strong super-875 rotation, the role of eddies was taken by a simple viscous-like diffusion that tends to transport 876 angular momentum in the direction anti-parallel to angular velocity gradients (e.g. Plumb 1976; 877 Read 1986; see also Section 8.1). Such diffusive processes, however, were required to be 878 strongly anisotropic (i.e. much stronger in the horizontal than in the vertical) in order to balance 879 realistic flows. They also required a very high turbulent Prandtl number (= ratio of momentum to 880 thermal diffusivities) so that eddy heat fluxes did not destroy the large-scale thermal gradients 881 necessary to achieve cyclostrophic balance with the observed winds.

882

If the role of eddy transport is taken by complex wave processes, however, eddy angular momentum transport is not constrained to behave in a diffusive manner with respect to any largescale variable. Up-gradient transport is therefore possible in principle in the vertical direction as well as in the horizontal. Thus, in general waves and eddies allow a wider range of possible  $\begin{array}{l} 887 \\ 888 \\ 888 \\ 888 \\ 889 \\ 880 \\$ 

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- 892

# 893 **4. Wave Phenomena**894

Atmospheric waves are created by a variety of mechanisms such as flow instabilities, diurnal heating variations, local convection, and flows over mountains on the Earth, and they play key roles in atmospheric dynamics. This should also apply to Venus: several types of waves are known to exist in the Venusian atmosphere and have been extensively studied with observations theories and numerical models.

900

901 Momentum transport by atmospheric waves is thought to be indispensable component of the 902 general circulation of the Venusian atmosphere including the super-rotation. Hadley circulation 903 carries superrotating angular momentum away from the equatorial region and cannot sustain the 904 maximum of angular momentum that we observe at the equatorial cloud tops (Hou 1984). There must be non-axisymmetric motions that work for "returning-back" 905 that angular 906 momentum. Various types of waves have been proposed as candidates of such non-axisymmetric 907 motions. Atmospheric waves are also thought to be a major source of turbulence, which induces 908 diffusion of momentum, energy and atmospheric constituents to affect the vertical structure of 909 the atmosphere.

910

911 We consider four types of waves observed in the Venus atmosphere: gravity waves, Kelvin 912 waves, Rossby waves, and thermal tides. Although Kelvin waves and thermal tides can be 913 classified as planetary-scale gravity waves, only small-scale waves are referred to as gravity 914 waves here. We review waves other than the thermal tides in this section. Acoustic waves and 915 Lamb waves have never been detected, although several works have predicted the characteristics 916 of acoustic waves in the atmospheres of planets including Venus (Petculescu and Lueptow 917 2007).

#### 919 **4.1. Wave observations: classification**

920

921 Wave phenomena are abundant in the Venus atmosphere and play a major role in atmospheric 922 dynamics. These waves manifest in different forms: (a) in the cloud field, as regular brightness 923 contrast patterns (Figure 13); (b) in the thermal field maps, as temperature oscillations (solar 924 tide); (c) in the zonal wind, in the form of velocity oscillations as a function of latitude and local 925 time (Figure 7); (d) in the vertical temperature profile, from radio-occultation measurements 926 (Figure 14) and in situ descending probes; (e) in the infrared O2 nightglow emission from limb 927 observations (Altieri et al. 2014) Figure 13 shows examples of waves in the cloud field, in 928 particular gravity waves at two altitude levels and the planetary-scale wave known as the Y- or 929 Psi- wave (Belton et al., 1976; Rossow et al., 1990; Del Genio and Rossow, 1990; Peralta et al., 930 2007, 2008; Piccialli et al., 2014). Figure 14 shows examples of the temperature fluctuations in 931 the vertical, representative of gravity wave propagation (Jenkins et al., 1994; Hinson and

932 Jenkins, 1995; Tellman et al., 2012).

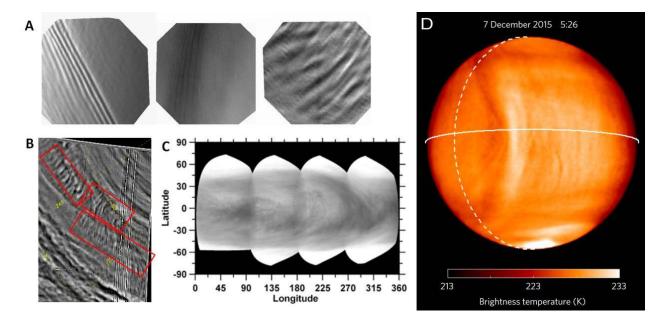


Figure 13. Wave manifestations at different cloud levels: (A) Gravity waves (short wavelength, tens of km) in the upper cloud layer (65-70 km) from Venus Express VMC images (Piccialli et al., 2014); (B) Gravity waves (long wavelength, 100-300 km) in Venus's lower cloud layer (45—50 km)in nighttime from Venus Express VIRTIS images at 1.74 µm (Peralta et al., 2008); (C) Map of the upper cloud at violet wavelengths showing the Y- or Psi- planetary-scale wave from Galileo flyby (Peralta et al., 2007); (D) Large stationary gravity wave in the upper cloud layer (65-70 km) from Akatsuki 10 µm images (Fukuhara et al. 2017).

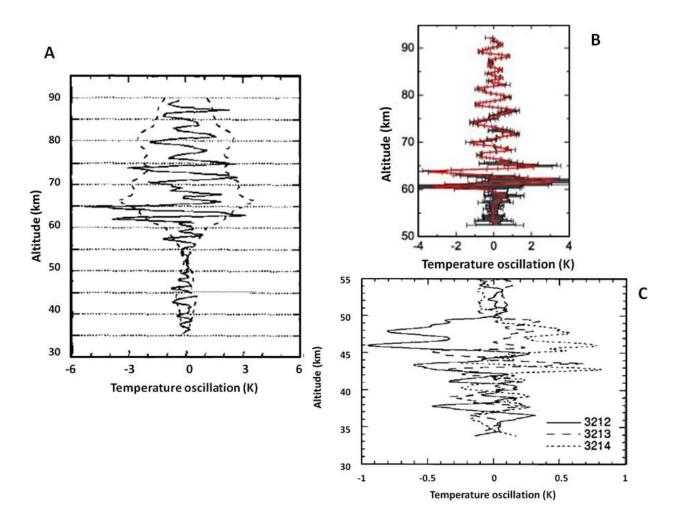


Figure 14. Vertical temperature oscillations from radio-occultation retrievals, revealing the presence of gravity waves with different amplitudes and wavelengths from 35 to 90 km altitude (A) Magellan spacecraft, Hinson and Jenkins (1995); (B) VERA instrument onboard Venus Express, Tellman et al. (2012); (C) Magellan spacecraft, Jenkins et al. (1994).

Table 2 summarizes the measured properties of the main observed waves by means of different

techniques.

Identification Technique	Wave parameter	z (km)	A	п	$L_x$ (km)	$L_z$ (km)	$c_x - u$ (ms <sup>-1</sup> )	Wave type
Radio	T(z)	40-90	4 K	-	2,800	2.5	+ 0.4	Gravity <sup>(1)</sup>
occultation								(vertical)
Radiometry	T (LT)	65-100	5-10	2		-		Solar tide <sup>(2)</sup>

Table 2. Examples of observed waves and their measured properties in Venus atmosphere 

			K					
UV Imaging	u(z)	65-70	5 -10	2	-	-	-	Solar tide <sup>(3)</sup>
			m/s					
UV Imaging	Brightness	65-70	-	-	60-150	-	+10	Gravity <sup>(4)</sup>
	contrast <sup>(*)</sup>							
UV Imaging	Brightness	65-70		-	3-21	-		Gravity <sup>(5)</sup>
	contrast (#)							
UV Imaging	Brightness	65-70	-	1	38,500		+5 to	Kelvin <sup>(6)</sup>
	contrast Y-						+10	and
	$\Psi^{(+)}$							Kelvin-
								Rossby <sup>(7)</sup>
IR Imaging	Thermal	45-50	-	-	250		-10	Gravity <sup>(4)</sup>
(1.7 µm)	opacity							-
	contrast							

963 Notes to Table 2: z (altitude), A (amplitude), n (wavenumber),  $L_x$  and  $L_z$  (zonal and vertical

964 wavelengths),  $c_x$ -u (phase speed relative to mean zonal flow)

965 <sup>(\*)</sup> Wave packets with extent:  $\Delta L_x = 950 \text{ km}, \Delta L_y = 350 \text{ km}$ 

966 <sup>(+)</sup> Period 4 days.  $Y-\Psi$  shape in UV-images (equatorially oriented).

967 <sup>(#)</sup> Wavefront (packet width): 50 - 400 km

968 LT = local time

References to Table 2: (1) Hinson and Jenkins (1995); Jenkins et al. (1994); S. Tellmann et al.

970 (2012); Häusler et al. (2006); (2) Schofield and Taylor (1983); Tellmann et al. (2009); (3) Del

971 Genio and Rossow (1990); Rossow et al. (1990); Toigo et al. (1994); Smith and Gierasch (1996);

972 Khatuntsev et al. (2013); Hueso et al. (2015); (4) Peralta et al. (2008); (5) Piccialli et al. (2014);

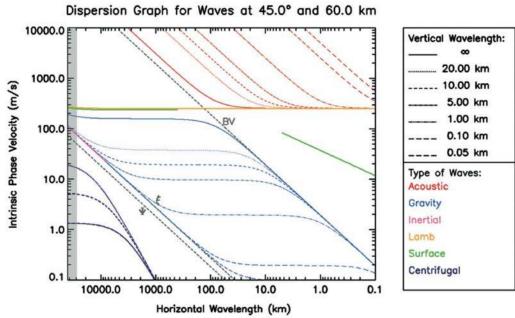
973 (6) Belton et al. (1976), Peralta et al (2015); (7) Covey and Schubert (1982)

## 974

#### 975 **4.2. Wave types and models**

976

977 A number of analytical and numerical studies have been conducted for the waves in the 978 Venusian atmosphere. Linearizing the equations about the cyclostrophically-balanced basic state, 979 the equations for the perturbation quantities resemble the ones for the terrestrial atmosphere 980 (Covey and Schubert 1981; Peralta et al. 2014a). This suggests that the metric terms associated 981 with the fast zonal wind takes the place of the Coriolis force; the dispersion relations used for the 982 Earth have been used, considering a specific altitude region such as the cloud top (DelGenio and 983 Rossow 1990). Numerical wave solutions based on the observed background wind distribution 984 were also obtained (Covey and Schubert 1982; Schubert and Walterscheid 1984; Schinder et al. 985 1990; Smith et al. 1993; Kouyama et al. 2015). Waves found in general circulation models 986 (GCMs) for Venus have also been classified into the existing categories (Yamamoto and 987 Takahashi 2003; Lee et al. 2007; Lebonnois et al. 2010). Peralta et al. (2014a, b) obtained 988 analytical solutions of various types of atmospheric wave on a planet in cyclostrophic balance 989 with non-negligible latitudinal shear of the background zonal wind, and demonstrated that the 990 wave type of each observed wavelike feature can be identified, based on its horizontal 991 wavelength and intrinsic phase velocity (Figure 15).



**Figure 15.** Dispersion relations for acoustic, gravity, inertial, Lamb, surface, and centrifugal (Rossby) waves of Venus at a latitude of 45° and an altitude of 60 km. The Brunt–Väisälä frequency (BV), centrifugal frequency ( $\Psi = (u_0/a) \tan \phi$ , where  $u_0$  is the background zonal wind, a is the planetary radius,  $\phi$  is the latitude), and centrifugal frequency modified by the meridional shear of the background zonal wind ( $\xi^2 = 2\Psi(\Psi - du_0/dy)$ , where y is the meridional distance) are marked with gray lines (Figure after Peralta et al. 2014b).

#### 1001 **4.2.1 Gravity waves**

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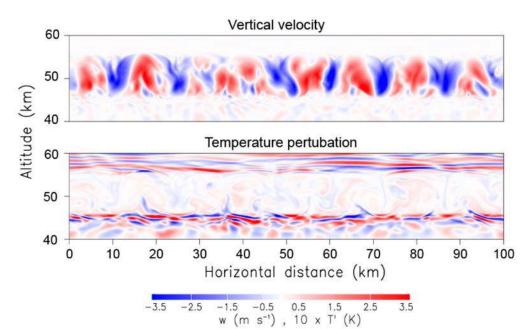
1003 Gravity waves are a type of atmospheric wave for which buoyancy serves as the restoring force. 1004 The overall stable stratification of the Venusian atmosphere should allow vertical propagation of 1005 gravity waves, except in the neutrally-stable middle cloud region from roughly 48 to 55 km 1006 altitude, the weak stability region from roughly 18 to 30 km altitude, and the boundary layer 1007 which likely exists adjacent to the surface (Seiff 1980; Tellmann et al. 2009). Gravity waves are considered to have relatively short horizontal and vertical scales in most cases; small-scale wavy 1008 1009 features indicative of gravity waves were observed in cloud images and temperature/density 1010 profiles as shown in section 4.1 (Figures 13-14).

1011

1012 The regions of neutral or weak stability mentioned above are probable sites of thermal convection; penetration of convective plumes into the overlying and underlying stable layers is a 1013 1014 plausible wave generation mechanism. Baker and Schubert (2000a, b) studied characteristics of 1015 sub-cloud gravity waves that are generated by convection in the middle cloud region at 48-55 km altitudes and in the lower atmosphere below 30 km altitude. The waves have horizontal 1016 1017 wavelengths of 20-30 km, which are comparable to the scales of convection cells. Eastward-1018 propagating waves generated by cloud-level convection were shown to experience critical level 1019 absorption below the cloud and decelerate the westward super-rotation there. Leroy and Ingersoll 1020 (1995, 1996) obtained analytical solutions for the spectrum of upwardly-propagating gravity 1021 waves generated by cloud-level convection, and compared the solutions with radio scintillation 1022 spectra. Imamura et al. (2014) conducted numerical experiments of cloud-level convection and

1023 propagation of gravity waves both above and below clouds (Figure 16), and showed that wave 1024 generation is relatively suppressed in low latitudes and on the dayside due to the stabilizing 1025 influence of solar heating on the atmosphere; this suggested latitudinal trend is consistent with 1026 the results of Venus Express radio occultations showing that the gravity wave amplitude above 1027 clouds is larger at higher latitudes (Tellmann et al. 2012). Linear model studies showed that 1028 vertically-trapped, ducted waves with horizontal scales of tens of kilometers can be generated by 1029 cloud-level convection and that such waves can transport zonal momentum toward the equator to 1030 sustain the super-rotation (Gierasch 1987; Schinder et al. 1990). Venus Express radio 1031 occultations showed enhancement of the wave amplitude near the subsolar point as compared to 1032 other local times in low latitudes (Tellmann et al. 2012), suggesting additional wave excitation 1033 by mesoscale processes that are observed as cell-like features near the subsolar cloud top in 1034 ultraviolet images (Toigo et al. 1994). Gravity waves generated by boundary-layer convection 1035 are expected to have large influences on the zonal wind through critical level absorption 1036 (Schubert and Walterscheid 1984; Hou and Farrell 1987), although the nature of convection near 1037 the surface is poorly constrained.

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1040

**Figure 16.** Snapshot of (upper) vertical velocity and (lower) temperature perturbation at midnight in a two-dimensional model for the diurnal cycle of the cloud-level convection at latitude 60°. Propagation of gravity waves in the stable layers above and below the convective region at 48-55 km altitudes is evident in the temperature perturbation. (figure after Imamura et al. 2014)

1046

Given the observations of enhanced wave activity over large topographic features (Sagdeev et al. 1048 1986; Titov et al. 2012; Tellmann et al. 2012), flows over topography should also be a major 1049 wave source. Bertaux et al. (2016) claim to have discovered a notable correlation of the cloud-1050 top zonal wind speed with the underlying topography in the equatorial region and attributed the 1051 correlation to the vertical momentum transport by topographically-generated gravity waves. 1052 Fedorova et al. (2016) found that mesospheric water vapor is depleted above Aphrodita Terra via 1053 Venus Express spectroscopic measurements and that the correlation can be associated with the 1054 influence of the topography. More recently, inter-hemispheric bow-shaped structures were 1055 detected at the cloud tops by Akatsuki spacecraft and found to be fixed in position above the 1056 highlands (Fukuhara et al. 2017). Those bow-shaped structures might be topographically-1057 generated gravity waves. The phenomenon is not yet reproduced by the LMD-GCM, which includes topography (see section 8.2), and would require detailed modeling for wave generation 1058 1059 (and propagation) by the well known topography of Aphrodite Terra, the proposed source for the waves. Numerical models showed that waves generated near the surface can reach large 1060 amplitudes at cloud levels for forcing amplitudes representative of those expected in 1061 1062 mountainous regions (Young et al. 1987, 1994). We should note, however, that the wind speed near the surface is very slow according to the measurements by Venera probes (Kerzhanovich 1063 1064 and Marov 1983), although Aeolian features which could be explained as generated by persistent 1065 superrotating surface winds exist (Greeley et al. 1995). Shear instability beneath the mid-latitude 1066 jets can also generate gravity waves (Imamura 1997) and may partly explain the high-latitude 1067 enhancement of the mesospheric wave activity.

1068

1069 In an atmosphere where the static stability and the background wind velocity changes with 1070 height, gravity waves undergo trapping, resonance, evanescence and critical-layer absorption 1071 (Schubert and Walterscheid 1984; Young et al. 1987; Hou and Farrell 1987). Once the waves 1072 reach large amplitudes, wave breaking occurs, and nonlinear wave-wave interactions can excite secondary waves (Young et al. 1994). Wave breaking induces turbulence generation, leading to 1073 1074 diffusion of energy, momentum and atmospheric constituents. Evidence of wave breaking has 1075 not been observed so far in the Venusian atmosphere except for the apparently 'saturated' spectra 1076 obtained from radio occultation temperature profiles (Ando et al. 2015). Strong radiative 1077 damping due to the CO<sub>2</sub> atmosphere might effectively suppress amplitude growth with height 1078 and inhibit wave breaking, depending upon the frequency and the vertical wavelength of the 1079 wave (Hinson and Jenkins 1995).

1080

1081 Momentum exchange between different atmospheric layers via gravity waves generally acts to 1082 reduce the velocity difference between the two regions, and thus gravity waves are not expected 1083 to help maintaining the super-rotation. A different idea was proposed by Hou and Farrell (1987), 1084 who argued that the excitation of a continuous spectrum of gravity waves near the surface and 1085 the attenuation of the waves at different heights, depending upon their phase velocities, can 1086 provide retrograde (westward) acceleration below 45 km altitude. At thermospheric heights, 1087 friction supplied by the momentum deposition by gravity waves is expected to decelerate the 1088 subsolar-to-antisolar circulation, otherwise supersonic flow would occur (Seiff et al. 1982). Dynamical modeling of the thermosphere has shown that local-time asymmetry of the 1089 1090 momentum deposition by gravity waves might explain the observed super-rotational component 1091 of the thermospheric circulation (Alexander 1992; Zhang et al. 1996).

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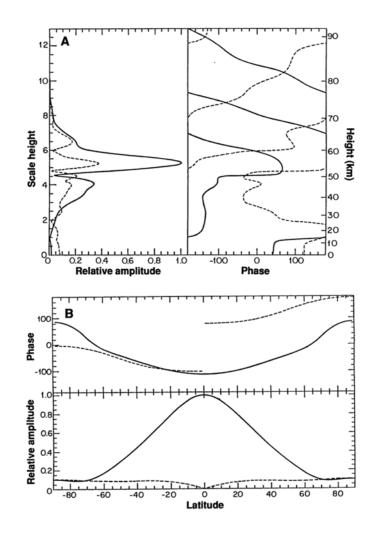
### 1093 **4.2.2 Kelvin wave**

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A wavenumber-1 ultraviolet albedo feature is seen to move westward in low latitudes at a velocity close to the super-rotation, i.e. with a recurrence period of 4-5 Earth days (DelGenio and Rossow 1990). This albedo feature accompanies an oscillation of the equatorial zonal wind (Rossow et al. 1990; Smith et al. 1992; Kouyama et al. 2012, 2013). This 'four-day wave' is 1099 considered to be an equatorial Kelvin wave (Covey and Schubert 1981; DelGenio and Rossow 1100 1990), which is a type of planetary-scale gravity wave that propagates in the direction of 1101 planetary rotation and is trapped near the equator, thanks to the rotational frame provide by the 1102 super-rotation itself. The e-folding latitude of the amplitude is estimated to be  $20^{\circ}-30^{\circ}$  from the dispersion relation (DelGenio and Rossow 1990) and the observed wind structure (Kouyama et 1103 al. 2012). If the dark material responsible for the albedo variation is located just below the cloud 1104 1105 top, as is commonly assumed (Esposito 1980; Pollack et al. 1980), the propagating dark region should correspond to a phase of the wave where air parcels are elevated (DelGenio and Rossow 1106 1107 1990).

1108

1109 The Kelvin wave is thought to have a vertically-propagating character with a vertical wavelength of 6-8 km. The dissipation of the wave near the cloud top through radiative damping should 1110 1111 therefore induce an equatorial acceleration (DelGenio and Rossow 1990; Imamura 2006; 1112 Kouyama et al. 2015). Yamamoto and Tanaka (1997) simulated the development of super-1113 rotation by a Kelvin wave-induced acceleration by forcing a Kelvin wave at the bottom boundary 1114 of a middle atmosphere dynamical model. Note, however, that the excitation region of the wave 1115 on Venus is unknown and that the vertical extent of the momentum transport is uncertain. Linear 1116 model studies suggest that a Kelvin wave and a hemispherically-symmetric Rossby wave, both 1117 having a zonal wavenumber of unity, can be excited even by random forcing as resonant modes 1118 of the whole atmosphere (Covey and Schubert 1982). Smith et al. (1992, 1993) argued that radiative-dynamic cloud feedback near the cloud base can excite a Kelvin wave as an unstable 1119 1120 mode (Figure 17): vertical motions associated with a large-scale wave cause evaporation or 1121 condensation of cloud particles, which changes the infrared heating at the cloud base, leading to energy input to the wave. Iga and Matsuda (2005) showed, using the shallow-water equations, 1122 1123 that the observed latitudinal profile of the zonal wind at the cloud top having mid-latitude jets is 1124 unstable and generates a Kelvin wave. This Kelvin wave accompanies equatorward transport of 1125 zonal (retrograde) momentum, and the resultant equatorial acceleration might contribute to the 1126 maintenance of the super-rotation. An analytical study showed that Kelvin waves can cause equatorward transport of zonal momentum also through interaction with the Hadley circulation 1127 1128 (Imamura et al. 2004). It was also suggested that the vertical shear of the zonal wind below the 1129 cloud top forces Kelvin waves to focus equatorward with height, and that the resultant tilted EP 1130 flux vectors cause equatorward momentum transport (Imamura, 2006).



**Figure 17.** Structure of the most unstable wave mode in the Venus atmosphere obtained by a linear model with radiative-dynamic cloud feedback. The solid line shows zonal velocities and the dashed line shows meridional velocities. In (A) the zonal velocity is taken at the equator, and the meridional velocity is taken at 30° north. The amplitude of the meridional velocity component has been multiplied by ten. In (B) both profiles are taken at 63 km altitude (figure after Smith et al. 1992).

1140

1141 The horizontal structure of the Kelvin wave might explain the global-scale horizontal "Y" 1142 feature (Smith et al. 1993) seen regularly at ultraviolet wavelengths at the cloud top from space missions (e.g. Belton et al. 1976; Schubert 1983; Rossow et al. 1980; Peralta et al. 2007, Titov et 1143 1144 al. 2012) and from ground-based imaging (Sánchez-Lavega et al., 2016). The combination of the 1145 Kelvin wave and a hemispherically-symmetric Rossby wave could be essential in developing the 1146 Y feature, including the dark mid-latitude bands (Covey and Schubert 1982; Yamamoto and Tanaka 1997, Lee et al., 2010). Peralta et al. (2015) reproduce most of the observed peculiarities 1147 of the Y feature with a distorted Kelvin wave model in a cyclostrophic environment. 1148

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#### 1152 **4.2.3 Rossby waves**

1153

1154 A wavenumber-1, hemispherically-symmetric ultraviolet albedo feature with maximum 1155 amplitudes occurring in the mid-latitudes is moving westward with a recurrence period of 5-6 1156 Earth days (DelGenio and Rossow 1990). This "five-day wave" has been observed also in the 1157 wind field (Rossow et al. 1990; Kouyama et al. 2013). Based on the observed horizontal 1158 structure and the frequency, this mode is identified as a hemispherically-symmetric, gravest 1159 Rossby wave. Rossby waves can exist in a rotating fluid in spherical geometry because the 1160 restoring force is provided by the latitudinal gradient of the Coriolis parameter; on Venus the super-rotation of the atmosphere takes the place of the planetary rotation. Linear models suggest 1161 1162 that the observed Rossby wave is a preferred mode of the Venusian atmosphere and can be 1163 excited even by random forcing similarly to the Kelvin wave (Covey and Schubert 1982). We 1164 should note, however, that Venus' atmosphere does not rotate as a solid body; the meridional 1165 shear of the background wind severely affects the beta-plane approximation, and thus the 1166 characteristics of Rossby-type waves are altered (Peralta et al. 2014b).

1167

1168 Rossby waves and mixed Rossby-gravity waves having a broad range of frequencies and 1169 wavenumbers are generated in Venus-like GCMs, principally through barotropic instability of 1170 mid-latitude jets (Yamamoto and Takahashi 2003; Lee et al. 2007; Lebonnois et al. 2010). Some 1171 of these waves seem to transport zonal (retrograde) momentum equatorward, thereby sustaining 1172 the super-rotation. Such a momentum transport is not self-evident; terrestrial Rossby waves, 1173 excited in the mid-latitude baroclinic zones, principally transport eastward zonal momentum 1174 poleward to strengthen the mid-latitude jet and decelerate the equatorial atmosphere. Mitchell 1175 and Vallis (2010) suggested that the transition of the wave momentum flux occurs when the 1176 Rossby deformation radius exceeds the planetary radius; this condition is easily satisfied on slowly rotating planets like Venus. On the other hand, analyses of cloud-tracked winds suggest 1177 1178 predominantly poleward eddy momentum fluxes on the dayside (Limaye et al. 1982; Rossow et 1179 al. 1990). Observations of waves at lower altitudes and on the nightside are needed to test these 1180 theories.

1181

1182 The Rossby wave observed at the cloud top is considered to have a vertically-propagating 1183 character with a vertical wavelength of 20-30 km (Taylor et al. 1980; DelGenio and Rossow 1184 1990). The radiative damping of the wave above the clouds should exert a drag force on the 1185 zonal flow in the middle and high latitudes (Imamura 2006); this deceleration might explain the 1186 observed decrease of the mean zonal wind above the cloud top (Newman et al. 1984) and can 1187 induce a wave-driven meridional circulation in the mesosphere, similar to the Brewer-Dobson 1188 circulation in the terrestrial middle atmosphere (Imamura 1997). Kouyama et al. (2015) 1189 suggested, based on linear wave solutions, that a competition between the mean-wind 1190 acceleration by the cloud-top Kelvin wave and the deceleration by the Rossby wave might be 1191 responsible for the observed year-to-year variability of the mean zonal wind.

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1195

#### 1194 **5. Thermal tides**

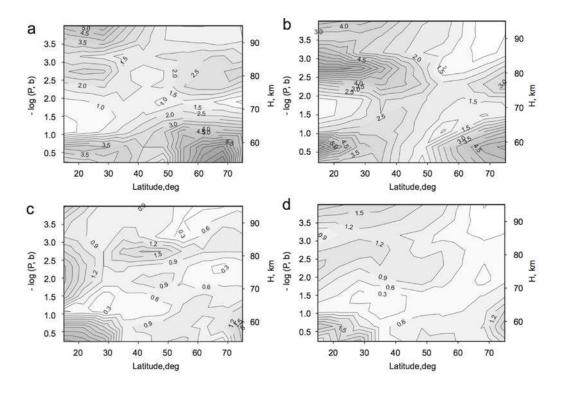
1196 A major fraction of the solar energy incident on Venus is absorbed by the 'unknown' absorber 1197 and sulfuric acid aerosols in the upper cloud region above 58 km altitude (Tomasko et al. 1980). 1198 This unusual distribution of the absorbed energy generates planetary-scale gravity waves called 1199 thermal tides: the relative motion between the super-rotating atmosphere and the spatial pattern 1200 of the solar heating, which has a maximum in the sub-solar region, drives thermal tides with 1201 prograde (eastward) intrinsic phase velocities. The excited waves propagate both upwards and 1202 downwards from the forcing region. Because of the wealth of information obtained so far on the 1203 thermal tides as compared to other types of waves, we review this wave type separately in this 1204 section.

1205

# 1206 **5.1. Observations** 1207

1208 Thermal tides at the cloud top with zonal wavenumbers 1 (diurnal), 2 (semidiurnal) and higher 1209 were first observed in the thermal infrared using ground-based telescopes (Apt et al. 1980). In 1210 the temperature field in the Northern low latitudes, obtained by the infrared spectrometer on 1211 Pioneer Venus (Schofield and Taylor 1983), the vertical propagation of the semidiurnal tide is evident in the altitude region from the cloud tops to 100 km altitude with an eastward tilt of the 1212 1213 phase surface with height, while above 100 km the diurnal tide is dominant. The spectrometer 1214 also revealed the dominance of the wavenumber-1 (diurnal) component in the equatorial cloud 1215 top height. The infrared spectrometer on Venera 15 revealed the meridional structure of the tides 1216 in more detail (Zasova et al. 2002, 2007) (Figure 18). At low latitudes, the diurnal component 1217 exceeds the semidiurnal one above 90 km, while the semidiurnal component predominates at 58-1218 90 km. At high latitudes, both tides have small amplitudes above 72 km, while below 68 km the 1219 diurnal tide is enhanced and becomes predominant. An eastward tilt of the phase with height is 1220 evident in low latitudes. All of the wavenumber-1 (diurnal). 2 (semidiurnal), 3 and 4 components 1221 reach maxima in the upper cloud region where the deposition of solar energy is maximized. In 1222 the cloud-top height field in the infrared, the diurnal and semidiurnal components are present at 1223 low latitudes, while the diurnal component is dominant at high latitudes (Figure 19). Venus 1224 Express radio occultations showed that the temperature structure around 64 km altitude in 1225 latitudes 75°-85°S is dominated by the semidiurnal component (Tellmann et al. 2009). In the region of and above the cloud top (roughly 65 to 90 km altitude), analyses of the nightside 1226 temperature fields retrieved from Venus Express/VIRTIS-M (Grassi et al. 2010, 2014) and 1227 VIRTIS-H (Migliorini et al. 2012) measurements also show evidence for thermal tides. 1228

1229



**Figure 18.** *Meridional distribution of the temperature amplitude for the tidal components with zonal wavenumbers of (a) 1, (b) 2, (c) 3, and (d) 4 obtained from the Venera-15 infrared spectrometry data (figure after Zasova et al. 2002).* 

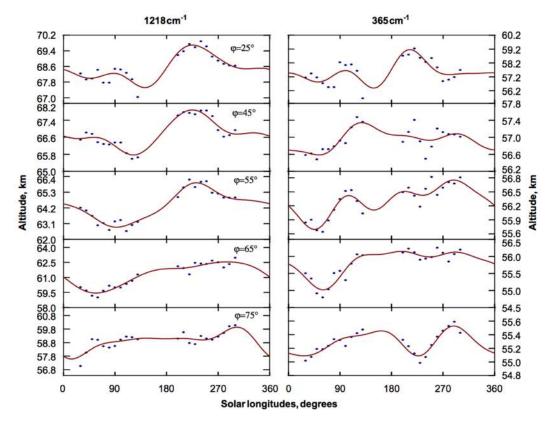
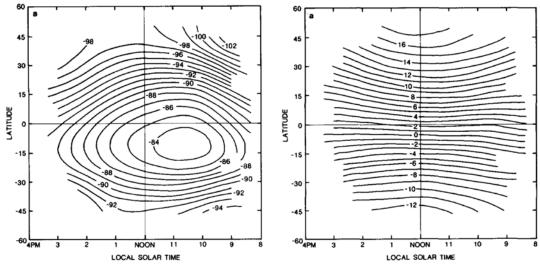


Figure 19. Cloud top heights at different latitudes plotted against the solar longitude, together
with fitting curves, obtained from the Venera-15 infrared spectrometry data (figure after
Zasova et al. 2002).

1241

1242 The tides were observed also in the wind fields deduced by cloud tracking using ultraviolet 1243 images (Limaye 1988) (Figure 20). In low latitudes, the zonal wind is slowest around 10:40 local 1244 solar time, and the maximum poleward flow occurs around noon over a broad range of latitudes, 1245 leading to horizontal divergence occurring in equatorial latitudes just past noon and convergence 1246 around  $30^{\circ}-40^{\circ}$  latitudes. Relatively high reflectivities in the ultraviolet are found in regions of 1247 convergence and low reflectivities in regions of divergence. The diurnal and semidiurnal 1248 components show similar amplitudes in low latitudes, while at mid-latitudes the diurnal 1249 component has a larger amplitude than the semidiurnal one (Limaye 2007). Tidal winds at 1250 southern polar latitudes were deduced from cloud tracking using infrared images taken by 1251 VIRTIS onboard Venus Express (Peralta et al. 2012), showing that the diurnal component is evident in the meridional wind and that a wavenumber-4 component is apparent in the zonal 1252 1253 wind.

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- 1255



LOCAL SOLAR TIME
 Figure 20. Variations with latitude and solar longitude of (left) the average zonal velocity and (right) the average meridional velocity obtained by cloud tracking using ultraviolet images taken by Pioneer Venus. Units are m s<sup>-1</sup> (figure after Limaye et al. 1988).

A mean poleward flow reaching 10 m s<sup>-1</sup> was deduced from the cloud-tracked wind field 1261 (Limaye et al. 1988; Rossow et al. 1990) and has sometimes been considered as evidence of a 1262 strong Hadley circulation driven by solar heating at the cloud level. However, the tidal wind field 1263 1264 mentioned above has a strong poleward component on the dayside, and can account for the major 1265 part of the mean meridional velocity deduced from dayside observations (Newman and Leovy 1266 1992). On the other hand, Limaye (2007) suggested, based on an extrapolation of a tidal field 1267 model fitted to the dayside observations into the nightside, that a strong poleward flow remains 1268 on the nightside with a peak near midnight.

1269

1270 Equatorward eddy transport of zonal momentum, which would help sustain the super-rotation, 1271 has never been observed in the dayside wind field obtained by cloud tracking (Limaye et al. 1272 1982; Rossow et al. 1990). Limaye (2007) suggested, using tidal field models fitted to the dayside data, that the zonally-averaged eddy momentum transport by the tides can be much 1273 1274 different from the estimate from the dayside data alone. The direction of the newly-estimated 1275 eddy momentum transport changes between poleward and equatorward, depending upon the 1276 observation season, suggesting that it is important to have a full local time coverage for the 1277 winds to precisely determine the momentum transport.

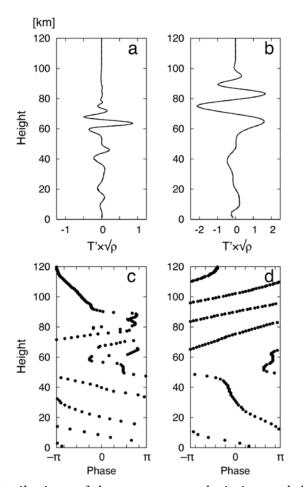
1278

## 12791280 **5.2. Models**

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Since the pioneering works by Fels and Lindzen (1974) and Ramanathan and Cess (1975), many modeling efforts have been made to understand the observed wave structure and the roles of the tides in the maintenance of the super-rotation. Linear models in spherical geometry show vertical propagation of the diurnal and semidiurnal tides down to the surface and up to ~100 km altitude (Pechmann and Ingersoll 1984). The models well explain the tidal temperature field observed in the infrared above the clouds. The diurnal temperature amplitude is smaller than the semidiurnal one, even though the diurnal forcing is about twice as great as the semidiurnal one; this feature is 1289 explained by the difference in vertical wavelength between the diurnal and the semidiurnal tides. 1290 The vertical wavelength of the diurnal tide (~7 km near the forcing region) is shorter than that of 1291 the semidiurnal tide (~30 km) because of the difference in the ratio of the zonal wavenumber to 1292 the meridional wavenumber (Pechmann and Ingersoll, 1984). Since the thermal forcing is spread 1293 out over ~10 km (Tomasko et al. 1980), i.e., more than the vertical wavelength of the diurnal tide, 1294 destructive interference takes place between waves excited at different levels, thereby 1295 diminishing the diurnal response. The wavelength of the semidiurnal tide is much longer, so the 1296 atmosphere responds more strongly. The linear model by Takagi and Matsuda (2006) also 1297 reproduces these features (Figure 21). The terrestrial diurnal tide is weaker than the semidiurnal 1298 one for the same reason (Andrews et al. 1987).





1300

1301Figure 21. Vertical distributions of the temperature deviation and the phase associated with1302the diurnal tide (a, c) and the semidiurnal tide (b, d) at the subsolar point. The temperature1303deviation in unit of K was multiplied by the square root of the basic state density in unit of kg1304 $m^{-3}$ . (figure after Takagi and Matsuda 2006)

1305 1306

1307 Newman and Leovy (1992) studied the interaction between the zonal-mean field and the 1308 wavenumber-1 and 2 components using a semi-spectral model, and showed that the daytime 1309 meridional wind is strongly poleward and has a maximum exceeding 10 m s<sup>-1</sup> in early afternoon 1310 due to the tides, giving an explanation for the observed strong poleward flow deduced from 1311 cloud tracking (Rossow et al. 1990). The model predicts an equatorward flow on the nightside. 1312 Such a model prediction was partly confirmed by observations: Peralta et al. (2012) measured 1313 winds in the Southern polar region using cloud tracking on infrared images and showed that 1314 diurnal tides accelerate the atmosphere poleward on the dayside and equatorward on the 1315 nightside. The model also suggests that the superposition of the tidal winds on the zonal-mean 1316 winds explains the observed weak mid-latitude jets on the dayside as compared to the zonal-1317 mean wind field deduced from the temperature field (Newman et al. 1984).

1318 The excitation of the tides that have prograde momentum at cloud heights and the subsequent vertical propagation lead to removal of prograde momentum from the cloud-level atmosphere, 1319 1320 thereby accelerating the cloud-level atmosphere in the retrograde sense (Fels and Lindzen 1974). 1321 This acceleration is expected to help maintaining the super-rotation. The tides are thought to be 1322 dissipated mostly through radiative damping above and below clouds, leading to deceleration of 1323 the super-rotational winds there. Baker and Leovy (1987) developed a three-dimensional 1324 semispectral model to study the interaction between the semidiurnal tide and the thermally-1325 driven Hadley circulation around the cloud level, and showed that the tide accelerates the 1326 equatorial zonal wind near the cloud-top level and decelerates it at higher levels. In the 1327 semispectral model of Newman and Leovy (1992) covering the middle atmosphere, the diurnal 1328 and semidiurnal tides were excited, and the equatorial super-rotation was reproduced primarily 1329 by the convergence of vertical momentum fluxes due to the semidiurnal tide. It was also 1330 suggested that the diurnal tide contributes to the equatorial acceleration by transporting 1331 retrograde momentum horizontally from the mid-latitude jet region toward lower latitudes. Such 1332 vertical and horizontal momentum transports by the tides were reproduced in Venus GCMs and 1333 shown to play crucial roles in the maintenance of the super-rotation at cloud heights (Lebonnois 1334 et al. 2010). The decrease of the zonal wind speed with height above the cloud tops (Newman et 1335 al. 1984) is attributed at least in part to the vertical momentum transport by the tides (Baker and 1336 Leovy 1987; Newman and Leovy 1992).

1337

1338 The model studies mentioned above primarily focused on the vertical propagation of the tides 1339 from the cloud level to nearby altitude regions. The resultant momentum transport can explain 1340 the observed negative vertical shear above the clouds and the positive shear just below the clouds. 1341 However, the maintenance of the deep vertical shear extending from the ground surface to the 1342 cloud level needs momentum pumping from the surface; whether the tides can contribute to such deep-atmosphere momentum transport is unclear. Takagi and Matsuda (2005, 2006, 2007) 1343 1344 suggested a possibility that the tides reach the surface with sufficient amplitudes to accomplish 1345 momentum exchange between the atmosphere and the solid planet (Figure 21). Takagi and Matsuda (2006) examined the detailed structure of the acceleration caused by the tides in the 1346 1347 lower atmosphere using a linear model, and showed that the mean zonal flow near the surface is 1348 accelerated in the prograde sense (i.e. in the direction opposite to the Venus rotation) by the 1349 semidiurnal tide. The zonal flow induced by the semidiurnal tide should be damped by surface 1350 friction, and net retrograde angular momentum is then transported from the solid planet to the 1351 atmosphere. Takagi and Matsuda (2007) examined this scenario using a nonlinear dynamical model and reproduced the deep vertical shear extending from the surface to the cloud level. We 1352 1353 should note, however, that their model excludes the zonal-mean component of the solar heating, 1354 and thus a thermally-direct meridional circulation is not generated. The momentum pumping 1355 from the surface by the tides is not obtained in the Venus GCM simulations by Lebonnois et al. 1356 (2010).

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- 1359 1360

### 1361 6. Instability

1362

1363 Although solar forcing can account for the formation of the wave-like tides observed around and 1364 above the main cloud decks, many other types of waves and eddies observed over a wide range 1365 of space and time scales are not directly produced by solar heating and must owe their origin to 1366 other mechanisms. Direct instability of the basic atmospheric state on Venus that is produced by 1367 differential solar heating may lead to the development of many such waves and eddies. As on the 1368 Earth, a variety of mechanisms are likely at work on Venus, ranging from small-scale convection 1369 and shear-induced turbulence to large-scale dynamical instabilities that interact with the 1370 background rotation of the planet and its atmosphere. The precise mechanism at work, however, depends on the detailed configurations of static stability and wind shear, as well as the boundary 1371 1372 conditions. The observational manifestations also depend on the nonlinear development of each 1373 instability as it matures and evolves to large amplitudes. These aspects are considered in turn in 1374 the following section.

### 1375

# 1376 6.1. Static stability profiles and the Richardson number1377

1378 The vertical temperature profiles obtained at different places and local times by the probes and 1379 by radio-occultation refractivity measurements (see full details in Chapter 4), have been used to 1380 derive the vertical profiles of the atmospheric static stability S(z) and the Brunt-Väisälä 1381 frequency  $N_B(z)$ , given by

1382

1383 
$$S(z) = \frac{d\overline{T}(z)}{dz} + \frac{g}{C_p}$$
(11)

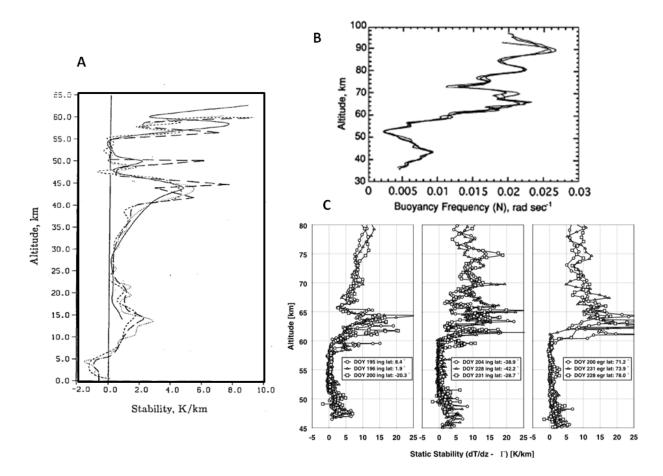
1384 
$$N_B^2(z) = \frac{g}{\overline{T}(z)} S(z)$$
 (12)

1385

1386 These two parameters are diagnostic of the stability of the atmosphere to vertical motions. For  $N_B^2(z) < 0$  the atmosphere becomes unstable and convection develops. A significant result 1387 1388 obtained for Venus by the plethora of spacecraft and instruments sensing the temperature field is that there is a permanent layer at cloud level, between  $z \sim 50$  and 60 km, where  $S(z) \sim 0$  or < 01389 1390 (Seiff, 1983 and references therein; Young et al., 1987; Jenkins et al., 1994; Tellmann et al., 1391 2009) (Figure 22). Convection and turbulence, with ascending and descending motions, occur in 1392 this unstable layer, as noted by the Vega balloons (section 2, Figure 6). Imamura et al. (2014) 1393 have shown that this convective layer is due to heating of the cloud base from the deep 1394 atmosphere and cooling of the middle cloud to space. They also showed that convection is less 1395 active at the subsolar point (compared to high latitudes), because solar energy deposition acts 1396 against the destabilizing temperature profile. Above ~ 60 km stable conditions (S(z) > 0) are 1397 found. However, convective cloud structures at 65-70 km altitudes are observed at the subsolar 1398 point (Schubert, 1983; Titov et al., 2012) (Figure 23), a fact that remains to be explained. The

1399 probe data suggest that there are also other deeper layers that are unstable or close to instability 1400 at  $z \sim 0.5$  km (surface and boundary layer) and  $z \sim 25-30$  km (below the clouds) (Figure 22). 1401

1402



1403

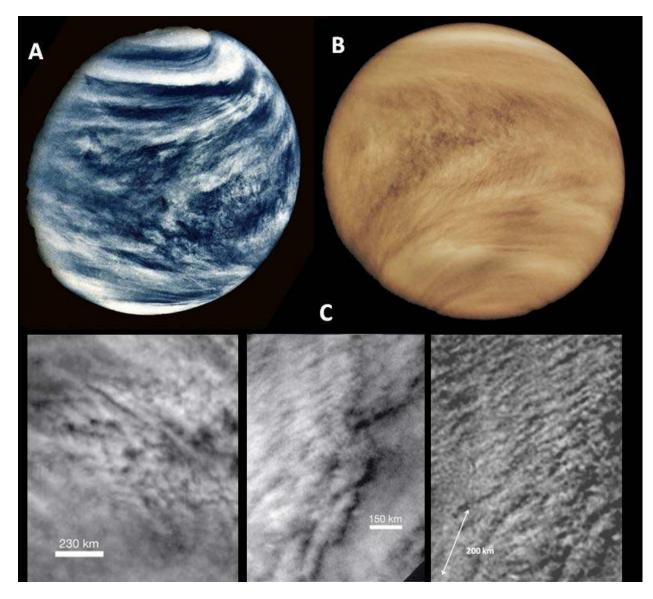
Figure 22. Vertical profiles of the static stability: (A) Static stability measurements from
Pioneer-Venus and Vega probes (from Young et al., 1987); (B) Brunt-Väisälä frequency profile
from Magellan measurements (Hinson and Jenkins, 1987); (C) Static stability profiles at a
variety of latitudes from VERA instrument onboard Venus Express (Tellmann et al., 2009).

A measure of the role played in the vertical instability by thermally produced turbulence and that
generated by the vertical shear of the wind is given by the Richardson number, defined a

1412 
$$Ri = \frac{N_B^2}{\left(\partial u \,/\, \partial z\right)^2} \tag{13}$$

1413

1414 For Ri < -2, free convection develops and motions are dominated by small scale turbulence 1415 (horizontal scale of convective cells ~ vertical scale of the unstable layer, see e.g. Stone, 1976). 1416 For -2 < Ri < 0.25, forced convection dominates and, as Ri increases, larger-scale structures tend 1417 to form as supercells. These numbers are somewhat arbitrary for Venus and are based on the 1418 theory for terrestrial boundary layer.



1421 1422

Figure 23. UV images showing turbulent and convective features in the equatorial region of
Venus: (A) and (B) Turbulent and complex patterns (Mariner 10; 5 February 1974 (NASA); (B)
Pioneer-Venus, 5 February 1979 (NASA); (C) Convective features at high resolution from VMC
on Venus Express, 22 July 2007 (ESA). The lower right image is a highly processed image to
show features at a scale of 25 km.

1428

1429 From the S(z) profiles in figure 22 and the vertical profiles of the zonal wind that can be read

1430 from Figures 1-3, the most unstable region between  $z \sim 50-60$  km has  $N_B \sim 10^{-2} - 10^{-4}$  s<sup>-1</sup> (with

1431 thin layers where  $S \sim -1$  K/km and  $N_B^2 \leq 0$  and  $du/dz = 1-8 \times 10^{-3} \text{ s}^{-1}$ , which puts *Ri* typically in

1432 the range -1 to 30, favoring cell and supercell formation at the top of the layer. Piccialli et al.

1433 (2012) calculated the averaged Richardson number in a latitude-altitude map and found for this

1434 unstable layer  $Ri \sim$  between 1 and 10, extending from latitudes -20° to -85°.

According to the whole long-term 40 years of available data on temperature and winds (1974-2014), we can conclude that the unstable layer at cloud level is a permanent feature of the Venus atmosphere and extends from pole to pole, playing an important role in Venus's atmospheric dynamics.

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#### 1441 **6.2. Convection**

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1443 Convection at cloud level is thermally driven from a combination of solar heat deposition and thermal infrared radiation coming upwards from Venus's dense and hot lower atmosphere. 1444 1445 Although saturation of H<sub>2</sub>SO<sub>4</sub> and liquid droplets form clouds, the released latent heating is 1446 expected to be small and convection in the cloud layer can be treated as dry. Numerical 1447 experiments show that convective plumes develop in the unstable layer, penetrating into the 1448 stable layer above it and generating the gravity waves we observe (Baker et al., 1998, 2000; 1449 Imamura et al., 2014). From a simple one-dimensional parcel model, the vertical acceleration of 1450 the ascending hot bubbles is given by (Sanchez-Lavega, 2011),

1451

1452 
$$\frac{dw'}{dt} = \underbrace{g\left(\frac{T'-T}{T}\right)}_{\text{Buoyancy force}} + \underbrace{g\frac{\partial \pi_D}{\partial P}}_{\substack{\text{Dynamic}\\\text{Pressure}}} - \underbrace{C_D \frac{w^2}{r_0}}_{\substack{\text{Friction}}}$$
(14)

1453

1454 where the velocity is w' and T' and T are the temperatures of the parcel and surroundings 1455 respectively, and as indicated, should be considered as an upper limit. Here  $\pi_D$  is the dynamic 1456 pressure,  $C_D$  is a drag coefficient, and  $r_0$  is a characteristic parcel size. The buoyancy 1457 contribution is the most important term, giving velocities

1458

1459 
$$w_{\text{max}} \approx \sqrt{2g\left(\frac{\Delta T}{T}\right)\Delta z} \sim 15 - 20 \text{ ms}^{-1} \text{ (for } \Delta T \sim 1 - 2 \text{ K}, \ T \sim 350 \text{ K}, \ \Delta z \sim 5 \text{ km}) \tag{15}$$

Alternatively, application of mixing-length theory for free convection (Priestly, 1959; Gieraschet al., 1997) predicts lower vertical velocities given by

1462

1463 
$$w_{m\ell t} \approx \left[\frac{R_g}{C_p} \frac{F_Q}{\rho}\right]^{1/3} \sim 1 \text{ ms}^{-1}$$
 (16)

1464 for  $R_g/C_p \sim 0.22$ , being  $C_p$  the specific heat at constant pressure,  $R_g$  is the specific gas constant  $F_Q$ 1465 ~ 5-15 Wm<sup>-2</sup> is the convective heat flux at the base of the convective layer (Imamura et al., 2014) 1466 and  $\rho \sim 1.5$  kg m<sup>-3</sup> (P = 1 bar, T = 347 K at z = 50 km). These values comprise those measured 1467 with the Vega 1 and 2 balloons (Figure 6). Additionally, as shown in Figure 23, the highest 1468 resolution and well contrasted images show features with round, cell-like shapes and a horizontal 1469 scale ~ 25-50 km that suggest its convective origin. All this supports dry convection as a 1470 mechanism at work in this unstable layer. According to Figure 22A, convective motions could be expected also to occur close to the surface and in a layer between ~ 24-28 km above the surface where  $S \le 0$ .

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- 1474

# 1475 6.3. Barotropic, Baroclinic and shear instabilities1476

1477 Although Kelvin-Helmholtz instabilities and free convection may lead to the development of 1478 small-scale eddies in the Venus atmosphere, it is likely that the large-scale wave structures seen 1479 in UV images and in fields of wind and temperature are produced by other processes. At least 1480 three types of instability process may lead to the generation of the types of large-scale waves and 1481 eddies discussed above in Section 4. Barotropic and baroclinic instabilities may be capable of 1482 directly energizing zonally-propagating Rossby and equatorial planetary waves, and have been 1483 studied for Venus in models at various levels of complexity. Close to the equator, many of the 1484 conditions necessary for inertial instability are also satisfied, although direct observational 1485 evidence for this instability is somewhat elusive.

1486 1487

## 1488 6.3.1 Large-scale barotropic instability

1489 1490 Barotropic instability was the first kind of dynamical instability process to be invoked as the origin for large-scale waves in Venus's atmosphere that might also transport angular momentum 1491 1492 equatorwards (e.g. Elson 1978, Rossow & Williams 1979, Elson 1982, Michaelangeli et al. 1493 1987). It is a horizontal shear instability of the Rayleigh (inflection-point) variety, for which a 1494 necessary (but not sufficient) condition for its occurrence (e.g. see Holton 2004, Andrews et al 1495 1987, Vallis 2006) is for the meridional gradient of absolute or potential vorticity (or its 1496 equivalent in spherical geometry) to change sign somewhere within the horizontal domain of 1497 interest (also known as the Rayleigh-Kuo stability criterion; e.g. Vallis 2006). For zonal flows 1498 that fulfill this necessary condition and are actually unstable, the typical result is a growing, 1499 wave-like disturbance that propagates zonally, effectively energizing a barotropic Rossby wave 1500 in mid-latitudes. The essential mechanism can be viewed as resulting from the mutual resonant 1501 interaction between two zonally-propagating Rossby waves, supported by two horizontally adjacent regions of meridional potential vorticity gradient  $\partial \overline{q} / \partial y$  of opposite sign (e.g. see 1502 Lindzen 1988, Vallis 2006), leading to a meridional flux of zonal momentum  $\overline{u'v'}$  in the same 1503

Lindzen 1988, Vallis 2006), leading to a meridional flux of zonal momentum u'v'' in the same sense as  $\partial \overline{u}/\partial y$ . Here u' and v' are the zonal and meridional eddy velocity components. This requires the resulting waves to "lean into" the shear of the background zonal flow so as to increase the kinetic energy of the waves at the direct expense of the zonal flow.

1507

1508 The most likely location for barotropic instability on Venus is in the vicinity of the main cloud 1509 decks, where the zonal flow is strongest and where strong lateral shears are found close to the 1510 edge of the polar vortex in each hemisphere. This was originally considered by Limaye & Suomi (1977) and Travis (1978) in the context of winds derived from tracking features in UV images 1511 1512 from Mariner 10, and analysed theoretically by Travis (1978) and Elson (1978). Travis (1978) and Elson (1978) used a linearized barotropic semi-analytical model to investigate the stability of 1513 1514 a set of zonal wind profiles that were idealizations of the measured profiles from Mariner 10 and 1515 found that profiles with mid-latitude jet-like structures could be barotropically unstable to wave-1516 like disturbances with maximum growth rates at zonal wavenumbers ranging from ~1-3 to 7. The

most unstable modes, however, were largely concentrated at middle latitudes. These calculations
were later extended to more realistic cloud-level wind profiles up to the poles, based on further
measurements from Pioneer Venus, by Elson (1982) and Michaelangeli et al. (1987), also using a
linearized barotropic numerical model. Their results are discussed in the context of the polar
vortices presented in section 7.

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1525

#### 1524 6.3.2 Baroclinic instability

1526 The possible occurrence of baroclinic instability has also been discussed in various forms on 1527 Venus (Young et al, 1984, Takagi & Matsuda 2005b, Takagi & Matsuda 2006b, Sugimoto et al. 1528 2014a). Baroclinic instability may occur in the presence of stable stratification and a horizontal 1529 thermal gradient associated with a vertically-sheared, balanced zonal flow. The growing 1530 disturbances gain energy primarily at the expense of the available potential energy of the background zonal flow by transporting heat along trajectories projected onto the meridional 1531 1532 plane that are inclined at an angle intermediate between those of the geopotentials and surfaces 1533 of constant potential temperature (e.g. Holton 2004, Vallis 2006). A necessary condition for 1534 baroclinic instability, analogous to the Rayleigh-Kuo condition for barotropic instability, is that the horizontal gradient of potential vorticity,  $\partial \overline{q} / \partial y$ , must change sign in the vertical direction 1535 1536 within the domain (e.g. Holton 2004, Vallis 2006).

1537

1538 The very low rotation rate of Venus itself, however, would seem to make this mechanism 1539 unlikely to occur in the presence of a relatively weak zonal flow whose absolute rotation rate and 1540 vorticity was comparable to or smaller than that of the underlying planet. This is because the first baroclinic Rossby deformation radius,  $N_BH/f$ , is then much larger than the radius of the planet. 1541 1542 Here H is the scale-height (Sánchez-Lavega, 2011). But at levels close to the visible clouds, the 1543 local rotation rate is much faster (period around 4 Earth days) and may be more conducive to 1544 baroclinic instability. These conditions were satisfied close to the main cloud decks in the idealized basic states investigated by Young et al (1984) in their linearized model study, 1545 including the reversal of the sign of  $\partial \overline{q} / \partial y$  in the vertical, in association with vertical changes in 1546 the static stability and curvature of the zonal flow at these altitudes. Young et al. (1984) analysed 1547 1548 the linear stability of such baroclinic basic states in flows confined to an altitude range between 1549 25 and 70 km, within which they assumed a value of Coriolis parameter, f, consistent with the mean rotation rate of the cloud level winds. They identified a range of growing instabilities at 1550 1551 zonal wavenumbers n = 1 - 8, including low wavenumber (n = 2) modes centered close to the 1552 pole, much as found above arising from barotropic instabilities. These modes were also confined in the vertical, however, mostly between around 45 and 60 km with westward vertical phase tilts 1553 typical of growing baroclinic waves. The most rapidly growing modes, however, tended to be of 1554 1555 relatively high zonal wavenumber (~ n = 7) and centred at low or middle latitudes, although this was dependent upon the detailed structure of the basic zonal state. 1556

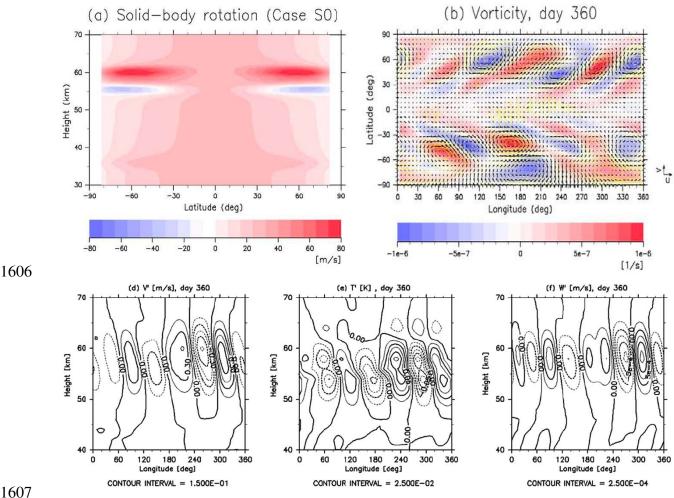
1557

These solutions also included modes with secondary maxima on the equator itself. These were interpreted by Young et al. (1984) as equatorially trapped Kelvin waves that were being coherently excited by a baroclinically unstable (Rossby-like) wave centred around 20° latitude. This is effectively a generalization of the resonant Rossby-Rossby wave barotropic instability mechanism to include the resonant interaction of an equatorial Kelvin mode with a 1563 (baroclinically unstable) Rossby wave. This is similar in many respects to the mechanism identified in the shallow water model of Iga & Matsuda (2005) that led to a growing equatorial 1564 Kelvin mode through resonant interactions with nearby Rossby, inertia-gravity or continuous 1565 1566 spectrum modes associated with the presence of critical layers at low latitudes - similar to the Rossby-gravity, Rossby-Kelvin or Yanai-Kelvin instabilities discussed e.g. by Sakai (1989), 1567 Gula et al. (2009) and Ribstein et al. (2014). Similar Kelvin-like waves were also found to 1568 1569 emerge in the presence of cloud radiative feedbacks in the model investigated by Smith et al. 1570 (1993), offering another possible mechanism for exciting these features. Resonant Kelvin wave instabilities were also noted during the spin-up of slowly-rotating model simulations by Mitchell 1571 1572 & Vallis (2010), though such Kelvin-like modes tended to dissipate and decay later in the simulation, becoming negligible as the flow equilibrated. 1573

1574

1575 Further idealized studies of linearized baroclinic instability in cyclostrophic flows were carried 1576 out by Takagi & Matsuda (2005b, 2006b), without making the pseudo-geostrophic approximation used by Young et al. (2004) that entailed shifting to a reference frame in which 1577 1578 the basic state was effectively geostrophic within the main cloud deck. Their results largely 1579 confirmed those of Young et al. (1984) where the effective Rossby number was sufficiently 1580 small, but at much larger (non-geostrophic) Rossby numbers, the character of the instability 1581 changed to favour lower zonal wavenumber modes centerd in the deep atmosphere, below the 1582 main cloud decks, at mid-latitudes. The modal structures were also quite complicated with 1583 significant meridional phase tilts and a corresponding momentum flux directed towards the 1584 equator. 1585

1586 The fully nonlinear development of baroclinic instabilities under Venusian conditions has been 1587 considered implicitly within a number of global circulation model studies of the Venus 1588 atmospheric circulation (see Section 8), though few of these have so far investigated this process 1589 in any detail. Sugimoto et al. (2014), however, have used a full primitive equation GCM with 1590 realistic planetary parameters for Venus to examine the nonlinear development of some idealized 1591 baroclinically unstable flows. These included a pure baroclinic state with a linear vertically-1592 sheared zonal flow in local solid-body rotation at each altitude, as well as more realistic flows with mid-latitude jets. Figure 24 shows some example fields from their pure baroclinic 1593 1594 simulation. Like the basic states considered by Young et al. (1984), the horizontal potential 1595 vorticity gradient (Figure 24(a)) reversed sign with height within the main cloud layers, mostly 1596 associated with strong changes in static stability within the cloudy layers and concentrated at 1597 mid-to-high latitudes. The developing baroclinic waves grew strongly within the layer from 50-1598 65 km altitude, much as predicted from the linearized model of Young et al. (1984), with the 1599 eddies transporting heat from low latitudes polewards and initially feeding angular momentum 1600 into weak mid-latitude jets. In the later stages of the flow evolution, the eddies developed a 1601 meridional tilt in the sense to transport angular momentum polewards, at least at the level shown in Figure 24(b), though some cases produced equatorward momentum transport at higher 1602 1603 altitudes. In the vertical, the growing waves developed a noticeable westward phase tilt with 1604 height in the sense expected for "classical" baroclinic instability (e.g. Holton 2004, Vallis 2006; 1605



1608

**Figure 24:** *Results from numerical simulations (by Sugimoto et al. 2014) of the nonlinear baroclinic instability of a cyclostrophically balanced, vertically-sheared initial state in local solid-body rotation under Venus conditions; (a) basic state potential vorticity gradient, (b) velocity vectors and eddy vorticity field at 54 km altitude, (c)-(e) longitude-height maps of (c) eddy meridional velocity, (d) temperature perturbation and (e) vertical velocity. (b)-(e) are shown at day 360 from an initialized state.* 

see Figure 24(c)-(e)). Similar results were also found for more realistic initial flow structures,
although when zonal jets were present the eddies developed a more mixed baroclinic-barotropic
structure.

1620

The development of instabilities with a mixed barotropic-baroclinic character during their nonlinear life cycle seems to be typical of many flow realizations resembling the observed Venus conditions, although the relative dominance of either type of instability on Venus remains to be established quantitatively. Very few studies so far have sought to quantify the detailed energetic exchanges during the establishment of Venus-like super-rotating circulation patterns. Lorenz heregy budgets were computed by Lee & Richardson (2010), however, in their comparison of Venus-like model simulations using different dynamical model cores (cf Section 8.2). Although some differences of detail between different models were evident in their comparison, a common trend was found in which eddies gained energy from the zonally-symmetric flow components via both baroclinic *and* barotropic energy conversions, with the barotropic term being typically three or more times larger than the baroclinic conversion rate. This suggests that barotropic instabilities are likely to be somewhat more important energetically under Venus conditions, but

baroclinic effects are also significant energetically and affect the structure of developing waves,leading e.g. to phase tilts with height.

1635

## 1636 6.3.3 Inertial instability

1637

1638 Another form of instability is found if the product fq < 0 anywhere within the domain, where q1639 may be either the absolute vorticity or (Ertel) potential vorticity (e.g. see Andrews et al (1987)). 1640 This condition was also deduced in the context of Venus's atmosphere for a cyclostrophic regime 1641 in the primitive equations using Ertel's potential vorticity by Peralta et al. (2014a). Such a 1642 condition, where the absolute or potential vorticity takes the "wrong" sign in a given hemisphere, 1643 is most likely to occur close to the equator, for which cross-equatorial transport of vorticity can 1644 lead to positive q crossing into the southern hemisphere and vice versa.

1645 The manifestation of this instability is commonly of a zonally symmetric form, with vertically 1646 stacked rolls in the meridional plane of relatively short vertical wavelength and small lateral scale (e.g. Hua et al. 1997, Griffiths 2003). This is often to be seen in numerical model 1647 1648 simulations, for example, where grid-length perturbations may occur close to the equator in 1649 zonal mean fields of temperature and velocity. But other forms may be possible, especially if the instability occurs at the same time as other types of instability so their effects reinforce each 1650 1651 other. To date, however, there are few if any clear examples of observed phenomena on Venus 1652 that can be unambiguously attributed to inertial instability.

1653

## 1654 **7. Polar vortices and the cold collars**

1655

While the Venusian circulation at the cloud tops is dominated by zonal retrograde super-rotation up to about +/-60° latitude (Schubert 1983; Gierasch et al. 1997; Peralta et al. 2007), at higher latitudes the dynamics of the atmosphere is dominated by polar vortices, regions of low-altitude clouds and high temperatures which sometimes take on the appearance of a dipole surrounded by a cold "collar".

1661

The name polar vortex should be clarified, since it has been applied in different contexts to designate different structures present in the Venus polar atmosphere. In dynamical terms, a vortex is characterized by a roughly circular region of low relative vorticity (the "eye"), surrounded by a narrow annulus of high vorticity gradient (the "eyewall"). The vorticity then decreases slowly, farther away from the center. Strong atmospheric vortices trap air in their cores and isolate it, preventing mixing with air outside the eyewall for long periods of time.

1668

1669 In morphological terms, a vortex is characterized by swirling clouds that move around a common

- 1670 center of rotation and that often take on the appearance of a spiral. In the best studied examples
- 1671 of large-scale atmospheric vortices terrestrial tropical cyclones the eyewall is seen in radar
- 1672 reflectivity as a region of intense cloud formation. Downwelling occurs in the center of the eye,

- 1673 causing regions devoid of clouds, known as cloud clearings, but with strong upwelling in the 1674 eyewall cloud layer. The eye of the vortex, the outer boundary of which is where upwelling is the 1675 most intense, is mostly clear of clouds. However, in many cases of strong hurricanes 1676 overshooting clouds are observed in this region.
- 1677

A third, thermal definition of the Venus polar vortex has often been used: that of a region of infrared-bright warm air, localized close to the pole. On Venus, due to the lack of *in situ* measurements of the winds, both the morphological and the thermal definition have primarily been used to refer to the spiraling, warm structure seen at both polar regions. Here we refer to the infrared-bright core as the polar vortex core.

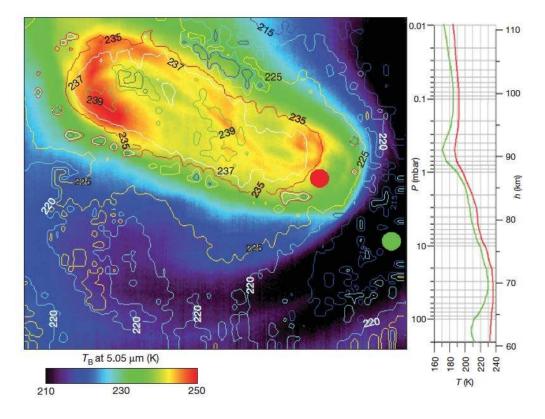
1683

The first view of swirling polar clouds indicative of a hemispheric vortex structure on Venus was obtained by Mariner 10, on the basis of the appearance of cloud markings in a polar projection of Southern hemisphere UV pictures, and a similar cloud pattern was suggested to exist at the northern hemisphere (Limaye and Suomi 1977; Suomi and Limaye 1978). The northern vortex was also captured in these images (Fig. 12.9 in Taylor, 2014) and in the Galileo flyby images (Peralta et al., 2007).

1690

1691 Infrared Pioneer Venus temperature maps at 11.5 µm also revealed an ellipsoidal dipole at the 1692 northern polar region (Taylor et al. 1980), and a southern counterpart was later discovered by the 1693 Venus Express VIRTIS instrument at 5.0 µm (Piccioni et al. 2007) (Figure 25). While at 11.5 µm 1694 the northern dipole was seen as a 10 degree-wide, 20 degree-long ellipse (Taylor et al. 1980), at 1695 3.9 and 5 µm a variety of other morphologies have been seen (Luz et al. 2011; Garate-Lopez et 1696 al. 2015). In VEx-VMC UV images, the dipole is seen as a dark oval surrounded with dark streaks (Markiewicz et al. 2007). It is, however, not always visible, being sometimes covered by 1697 1698 the UV bright polar haze, a hood-like structure that extends from latitude 55° to the pole. 1699 Interestingly, UV images from PV did not reveal a polar vortex, also perhaps due to a thick haze 1700 at high latitudes (Zasova et al. 2007; Esposito et al. 1997).

1701



1702 1703

**Figure 25.** The polar vortex under dipole morphology in its highest-thermal-contrast atmospheric layer. Left, brightness temperature,  $T_B$ , at 5.05  $\mu$ m of the dipole and the cold collar region. The contours are the real atmospheric temperatures retrieved from VIRTIS measured radiances, corresponding to a pressure of 200 mbar (about 60 km). Right, the atmospheric vertical temperature profile in two different regions. The green curve is retrieved in the green spot on the left image, inside the polar collar. The red curve is from the red spot inside the dipole. (Piccioni et al. 2007)

1714

### 1713 **7.1. Polar wind field**

1715 There have been only a few *in situ* measurements of polar winds on Venus, and they have been 1716 extensively reviewed elsewhere (Schubert 1983; Gierasch et al. 1997). Other than radio tracking 1717 of Venera and Pioneer Venus entry probes (Marov 1978; Schubert 1983), cloud top polar wind 1718 measurements have been obtained solely based on tracking cloud features at various 1719 wavelengths: in the UV by Mariner 10 (Limaye and Suomi 1981), at 365 nm by the Pioneer Venus Orbiter OCPP instrument (Rossow, Del Genio, and Eichler 1990), at 418 nm (Toigo, 1720 1721 Gierasch, and Smith 1994), 418 nm and 986 nm (Peralta et al. 2007) by Galileo SSI, at 365 nm by Venus Express VMC (Moissl et al. 2009) and at 380 nm, 980 nm and 1.74 µm by VIRTIS 1722 1723 (Sánchez-Lavega et al. 2008; Hueso et al. 2012). Cloud tracking was also used to characterize 1724 gravity waves, based on non-LTE CO<sub>2</sub> emissions at 4.3 µm (Garcia et al. 2009) and on scattered 1725 UV and near-infrared thermal wavelengths (Peralta et al. 2008). Garcia et al. (2009) identified 1726 turbulence originating at the polar vortex as the source of gravity waves observed in the 110 -

1727 140 km altitude range, and the gravity wave activity in number and amplitude was strongest1728 when the vortex was on the dayside, which suggested they were thermally excited.

1729

1730 The pattern of swirling clouds seen in both hemispheres at UV wavelengths, together with the infrared-bright cloud clearings observed at both poles by Pioneer Venus and Venus Express, 1731 1732 have been interpreted as the result of the combined poleward and descending branches of a 1733 Hadley cell that extends from the equator to both poles (Luz et al., 2011). Mean cloud height 1734 measurements appear to support this interpretation (Figure 26). Although the mean cloud top 1735 altitude is close to 74 km from the equator up to mid-latitudes in both hemispheres, in the polar 1736 region it decreases by 5-9 km and can experience fast variations of about 1 km within hours 1737 (Ignatiev et al. 2009). Although lower altitude polar clouds are suggestive of polar downwelling, 1738 this interpretation raises the question of meridional wind measurements consistent with a 1739 poleward branch of the Hadley cell at latitudes higher than 70°, which are so far inconclusive. 1740 Despite measurements suggesting poleward winds at these latitudes (Hueso et al., 2012), their high statistical uncertainties do not allow ruling out equatorward winds. The question thus 1741 1742 remains as to whether the Hadley cell extends beyond the cold collar latitudes and what produces 1743 the spiraling appearance of the clouds in the polar region.

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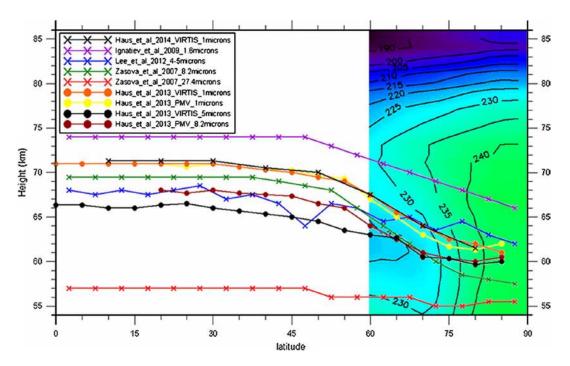




Figure 26 Cloud top altitude (level at which optical depth at the given wavelength is 1) as a
function of wavelength from a synthesis of data from Northern and Southern hemispheres. The
temperature structure is shown for the Southern Hemisphere (from Garate-Lopez et al., 2015)

1751

Also noteworthy is the fact that the subsolar-to-antisolar flow, measured by Lellouch et al. (2008) at lower latitudes, does not seem to reach the high latitudes. They speculate that this might result from an interaction of the tropospheric Hadley cell with the polar vortex.

1755

1756 Despite the unfavorable viewing geometry in flyby missions (Mariner 10, Galileo, Cassini and 1757 Messenger) and the lower contrast of polar clouds in UV images, a sizeable fraction of wind 1758 measurements have been reported for the polar regions of Venus (Limaye 2007; Peralta et al. 1759 2007; Sánchez-Lavega et al. 2008; Moissl et al. 2009; Luz et al. 2011; Hueso et al. 2012), albeit 1760 at a relatively poor spatial resolution relative to lower latitudes. Polar winds have been derived 1761 from VEX/VIRTIS cloud tracking at UV (380 nm), near infrared (980 nm) and at four infrared 1762 wavelengths (1.74, 2.30, 3.93 and 5.02  $\mu$ m). The 380 nm radiation corresponds to absorption by 1763 the cloud tops at altitudes between 62-70 km (Sanchez-Lavega et al., 2008), while at 980 nm, 1764 photons are more penetrating and reach the base of the upper cloud within an altitude range 58 -64 km (Peralta et al., 2017). The wavelengths of 1.74 and 2.30 µm are mainly used to probe the 1765 1766 night side (where thermal radiation from the lower atmosphere is dominant), since they are 1767 overexposed in the dayside due to the contribution of solar radiation scattered from the upper cloud. At 5 µm this solar radiation component is negligible relative to the thermal emission from 1768 the upper cloud, allowing both day and night side studies, and at 3.93 µm the scattered radiation 1769 1770 is also negligible except at low sun-zenith angles (Peralta et al. 2012).

1771

1772 The first latitudinal zonal wind profile at cloud tops altitude since Pioneer Venus has been obtained by Sanchez-Lavega et al. (2008). At high latitudes, they measure zonal wind speeds that 1773 decrease roughly with latitude (from 40+/-15 m s<sup>-1</sup> at 70°S to nearly zero at the pole) with no 1774 detectable vertical wind shear (values lower than 15 m s<sup>-1</sup>) between the altitudes of the 1.74  $\mu$ m 1775 and UV filters (47 and 66 km, respectively), indicating the possibility of a vertically coherent 1776 vortex structure. The wind profile was nearly flat in the range 80°S-70°S. Further measurements 1777 by Moissl et al. (2009), in the UV, and by Luz et al. (2011) in the 70°S-90°S range at 5.0 µm 1778 1779 confirmed this behavior within their error bars, but measured winds differed by up to 10 m s<sup>-1</sup>.

1780

# 1781 **7.2 The cold collar**1782

1783 The cold collar, also known as the circumpolar collar, is a region of colder air located at latitudes between 60° and 80° at both poles, at a radial distance of about 2500 km. The cold collar 1784 manifests in the UV albedo as bright and uniform because of its positive lapse rate, suppresses 1785 1786 vertical mixing and cuts off the supply of ultraviolet absorbers from below (Titov et al. 2008). It 1787 was first detected as a wavenumber n = 1 feature in the temperature distribution in Pioneer 1788 Venus Orbiter Infrared Radiometer (OIR) observations (Taylor et al., 1979a, cited in Schubert, 1789 1980), but VEX/VeRa observations of the southern collar have since identified a wavenumber n= 2 structure at approximately 62 km. At the latitudes of the cold collars, the tropopause altitude 1790 1791 is higher by ~2-3 km relatively to surrounding latitudes, while the temperature drops roughly 60 1792 K (Tellmann et al. 2009). The cold collar divides the middle atmosphere vertically: below it the 1793 atmosphere cools with increasing latitude, while above, it warms with increasing latitude (Kliore, 1794 Moroz, and Keating 1985). The first OIR vertical profiles measured at the northern cold collar 1795 showed it extending from 65 to up to 90 km altitude (Schubert 1980), but VIRTIS measurements 1796 at the southern counterpart suggest it is concentrated at the 60-65 km level (Garate-Lopez et al. 1797 2015; Grassi 2014) (Figure 26).

1798

The cold collar has been defined in VIRA as an intermittent feature responsible for temperature inversions seen in individual soundings at latitudes from 50°N to 80°N. It was not seen in some southern hemisphere profiles from Pioneer Venus (Kliore and Patel, 1982), nor was it detected in the three northern hemisphere occultation profiles retrieved from Magellan (Jenkins et al., 1994).
Its intermittent behavior has been confirmed by VIRTIS measurements, with the cold collar sometimes being absent in temperature maps (Garate-Lopez et al., 2015).

1805 1806

### 1807 7.3 Vortex dynamics

1808

1809 The presence of solar tides in the cold collar latitudes has been analysed more recently in Peralta et al. (2012). OIR detected a diurnal tide at the northern cold collar between 70°N and 80°N 1810 1811 (Schofield and Diner 1983; Schofield and Taylor 1983). A diurnal tide was also observed in 1812 northern hemisphere Venera-15 data for temperature and aerosol concentrations (Zasova et al. 1813 2002). Radio-occultation data from VEx/VeRa, however, did not detect an n = 1 structure, but a 1814 wavenumber n = 2 (semidiurnal) mode at ~62 km, with a wave amplitude of 15 K and with minima at the subsolar and antisolar points (Tellmann et al., 2009). Peralta et al. (2012) suggest 1815 1816 caution when interpreting this specific result from VeRa since, due to dataset coverage 1817 limitations, the sample used for the sine fit had only 12 bins and the data had a high dispersion.

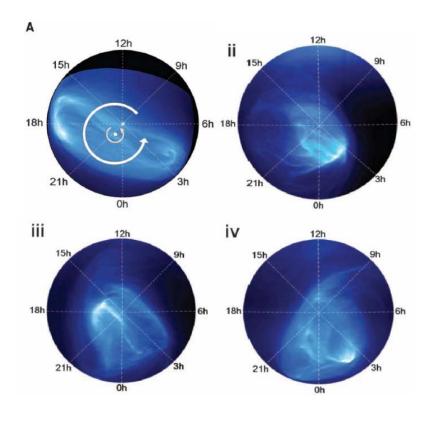
1818

1819 Based on VEX/VIRTIS cloud tracking global wind measurements at 5.0  $\mu$ m ( $z \sim 65$  km), Peralta 1820 et al. (2012) detected a dominant diurnal tide harmonic with an amplitude of about 4.7 m s<sup>-1</sup> 1821 affecting the meridional component of the wind and forcing a solar-to-antisolar circulation across 1822 the pole. A quarter-diurnal mode has also been inferred, but it was only apparent in the zonal 1823 wind at 72°S latitude with 2.2 m s<sup>-1</sup> amplitude.

1824

The southern polar vortex was first detected as a double spiral dipole feature in VEx/VIRTIS 5 μm radiance (Piccioni et al., 2007). The temperature difference between the warmest part of the core and the cold collar has been measured to vary between 40 and 50 K (Piccioni et al., 2007, Garate-Lopez et al., 2015). The vortex core is a time-varying structure, however. Its position, morphology and rotation rate have been shown to change significantly in the short 24h-period of the VEx orbit (Figure 27).

1831



**Figure 27.** *VEx/VIRTIS radiance maps for the upper cloud (65 km) in latitude-local solar time coordinates, showing complex morphologies of the southern polar vortex during orbits 038 (i), 474 (ii), 475 (iii) and 476 (iv). In the top left panel the arrows indicate the motion of the feature around a centroid of rotation (white dot) displaced from the planetary axis (white cross). The outer circle is the 75°S parallel.* 

1839

In the southern hemisphere, the 5  $\mu$ m bright vortex core is generally confined between 90°S and 80°S. The basic core morphology is that of a single or double spiral (dipole), or (more rarely) a triple spiral, with filaments extending outwards from its center or connecting two or more brightness centers. The general form is that of a figure of bright filaments swirling around the pole. The figure is contained in a region of ~2500 km diameter around the pole, with the centroid of vortex core rotation being confined within 80°S (Piccioni et al., 2007; Luz et al., 2011). Warm filaments rarely extend beyond the 75°S latitude circle.

1847

1848 Ground-based observations at 8.66 and 11.34  $\mu$ m (Sato et al., 2014) showed the polar features 1849 (hot polar spots and surrounding cold collars) in the northern and southern hemispheres 1850 apparently synchronized in their westward rotation. If confirmed, this synchronous motions 1851 between the two polar vortices would imply an important constrain for the Venus GCMs.

1852

1853 Cloud tracking wind measurements have shown the centroid of rotation of the vortex core to be 1854 displaced from the pole on average by 3° (Luz et al., 2011). Furthermore, sequential 1855 measurements over four orbit sequences extending over periods between 4 and 10 days indicate 1856 the centroid of rotation to evolve around the pole in a precessional motion at a rate between 2.3 and 4.9 hours/24h period in the local solar time frame, with an average motion of  $\sim$ 52°/day in the sense of the atmospheric rotation. In two of the sequences there was indication that the precession rate was not constant, with a secondary motion being present (Figure 27).

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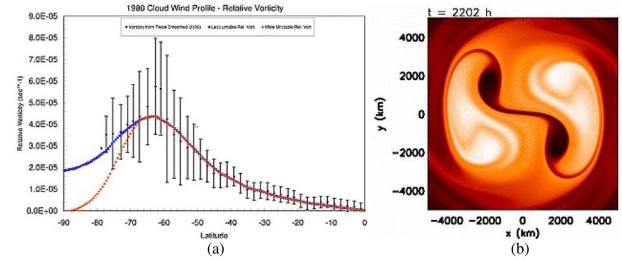
1861 Different retrograde rotation rates were also measured for the inner vortex core and outer annulus 1862 of the vortex, with periods of 2.3+/-1.9 d for the inner core (between 90S and 85S), and 2.8+/-1.9 1863 d for the outer annulus (between 80S and 85S). The average rate was 2.6 +/- 1.9 d for the 1864 extended vortex region circulation, in accordance with the value 2.48+/-0.05 d obtained shortly after orbit insertion (Piccioni et al., 2007) and not far from Pioneer Venus measurements of the 1865 1866 northern dipole rotation period of 3 days (Schofield and Diner, 1983). Further measurements by Garate-Lopez et al. (2013) at ~ 42 km and 63 km altitude obtained a mean rotation period of the 1867 vortex of 2.2+/-0.2 d, and also confirmed the confinement of the centroid of rotation within 80°S 1868 1869 and its wandering motion around the pole. However, their independent analysis of one of the 1870 orbit sequences did not confirm the precessional motion. Instead, they reported a chaotic 1871 meandering of the vortex center of rotation around the pole, with different positions of the centroid at the two altitude levels sounded. These common measurements should be interpreted 1872 with caution since different methods were used for determining centroids of vortex rotation. 1873 1874 While in one case it was based on automated measurements of the wind field, which were subsequently interpolated (Garate-Lopez et al., 2013), in the other the centroid positions were 1875 1876 determined visually by determining the point of least motion in animated time sequences of 1877 images (Luz et al., 2011) (Figure 27 and 29).

1878

1879 Modeling studies of polar vortex temperatures and dynamics fall into two major categories: 1880 GCM studies, in which the polar region is modeled as part of the global atmosphere (see below, section 8.2) and idealized vortex studies relying on barotropic models (Limaye et al., 2009; 1881 Elson 1982; Dobrovolskis and Diner, 1990; Michelangeli et al., 1987) (see Figure 28). Current 1882 1883 GCMs qualitatively capture the main global features of the polar region, such as the warm feature above the pole and the thermal inversion, but with varying degrees of accuracy in the 1884 horizontal spatial extension of the features, temperature contrast and their height (section 8.2). 1885 1886 However, the cold collar remains problematic and there is no satisfactory simulation that 1887 reproduces this feature. Idealized models of the vortex, on the other hand, are based on the barotropic vorticity equation and examine the non-linear evolution of the vorticity in the 1888 1889 presence of a zonal flow compatible with observations (Hartmann 1983; Schubert 1999; Hoskins 1890 et al., 1985). These models successfully reproduce wavenumber-2 structures when initialized 1891 with barotropically unstable wind profiles, either inferred from the temperature field (Elson 1892 1982; Michelangeli et al., 1987) or from direct wind measurements (Dobrovolskis and Diner, 1893 1990; Limaye et al., 2009).

1894

1895 Explicitly, Travis (1978) and Elson (1978) found that high latitude jet-like features close to the edge of the polar vortex could be barotropically unstable in association with critical layers on 1896 either the poleward or equatorward sides of the high latitude jet, with maximum growth rates at 1897 1898 zonal wavenumbers ~1-2. The most unstable mode peaked in amplitude close to the pole at a latitude  $\sim 70^{\circ}$  and with a rotation period of  $\sim 3.2$  days. These results were later confirmed in a 1899 1900 divergent, linearized barotropic flow model by Dobrovolkis & Diner (1990), who also suggested 1901 that the full nonlinear development of the instability may be essential to account for the detailed 1902 form of the observed polar dipole.





1907

**Figure 28** (a) Meridional profiles of relative vorticity close to the north polar vortex of Venus, obtained from Pioneer Venus UV images (points with error bars) and idealized in smooth profiles by Limaye et al (2009); (b) finite amplitude zonal wavenumber n = 2 equilibrated barotropic instability, obtained in the numerical model of Limaye et al. (2009) after ~2000 days initialized from the red profile shown in (a).

1913 1914

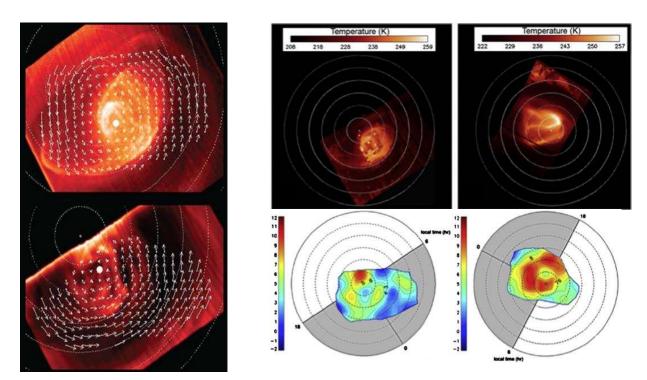
1915 Such nonlinear development of the instability was investigated by Limaye et al. (2009), who 1916 used a fully nonlinear, non-divergent barotropic numerical model to study the evolution of 1917 barotropically unstable, zonally-symmetric initial states, derived from Pioneer Venus 1918 observations. Figure 28(a) shows examples of the relative vorticity profiles used as initial conditions, both of which exhibit a maximum at the edge of the polar vortex at a latitude of 1919 1920 around 65° N. These (and similar) profiles were found to be linearly unstable with zonal 1921 wavenumber n = 2 disturbances having the fastest growth rates, with e-folding timescales > 7.7 1922 days. The precise value depended upon the assumed vorticity values close to the pole, which are 1923 not well constrained by observations (e.g. Limaye et al. 2009, Garate-Lopez et al. 2013). The 1924 subsequent evolution of the flow (without explicit forcing to maintain the zonal flow) led to the 1925 development of a pair of vortices, connected by an "S"-shaped filament of vorticity, that 1926 proceeded to rotate around the pole while rolling up into ever tighter spirals, as illustrated in 1927 Figure 28(b). This feature forms from the vortex breakup in the nondivergent barotropic 1928 simulations, with its growth time depending on the degree of barotropic instability of the mean 1929 zonal wind profile (obtained from Pioneer Venus OCPP dayside observations in 1980 and 1982, 1930 with faster breakup occurring for the more unstable profile). The results bear a strong resemblance to some images of the polar dipole on Venus in the infrared (e.g. Piccioni et al. 1931 1932 2007), although the later development of the instability appeared less realistic as the "S"-shaped 1933 feature became less prominent and the (unforced) flow began to decay. 1934

1935 These results remain indicative, however, since they strongly depend on the instability of the 1936 mean wind profile caused by the presence of zonal jets close to 60°S (the modeled feature is 1937 about twice the size of the Venus dipole and rotates in about 4 days, while the actual rotation 1938 period is 3 days). The polar vortex is unlikely to be related to barotropic instability caused by jets 1939 at 60°S, since the mean VEx/VIRTIS wind profile shows no evidence for them (Sánchez-Lavega et al. 2008; Hueso et al., 2012, 2015), although there are indications for mild occasional jets in 1940 1941 instantaneous ground-based measurements made in 2007 (Machado et al. 2012). It is more likely 1942 that the polar vortex is created locally from barotropic or baroclinic instability within the inner 1943 boundary of the cold collar. Relative vorticity and divergence derived from direct cloud tracking 1944 wind measurements showed strong spatial variability (Garate-Lopez et al. 2013), which may locally induce barotropically unstable flow. Vertical winds in local sources or sinks at the cloud 1945 tops are on the order of 0.1 m s<sup>-1</sup>. The appearance of the vortex is similar when seen in the upper 1946 and lower clouds, at 5.0 and 1.74 µm (Figure 29). In some orbits, the vertical shear of the zonal 1947 wind between these two altitudes reached magnitudes as high as  $|du/dz| \sim 0.8 + -0.2 \text{ m s}^{-1} \text{ km}^{-1}$ , 1948 but its mean value is close to zero. With few exceptions, the thermal emission morphology 1949 showed no spatial correlation with the vorticity distribution, an indication that a direct 1950 1951 connection between dynamics and thermal emission features is unlikely.

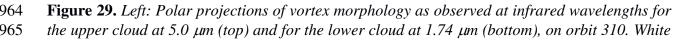
1952

1953 The dynamical interaction between the warm vortex core and the surrounding cold collar has 1954 only just begun to be investigated (Garate-Lopez et al. 2015). The cold collar is the most 1955 statically stable structure at night-time polar latitudes, while the warm vortex core and its hot 1956 filaments display successively lower stability values. The presence of the cold collar between 55 and 65 km altitude appears to confine the warm vortex to latitudes poleward of 75°S, while at 1957 1958 higher altitudes the vortex appears more extended (see Figure 26).

- 1959
- 1960 1961







1965

1966arrows represent cloud tracked zonal wind velocities. The largest vectors in each panel are 50 m1967 $s^{-1}$  and 46 m  $s^{-1}$  (Garate-Lopez et al. 2013). Middle and right panels: Temperature maps at the1968360 mbar pressure level (top) for orbits 310 and 475, and maps of relative vorticity from cloud1969tracked winds for the same orbits (bottom) (Garate-Lopez et al. 2015).

1970

1971 The effect of the thermal tide on the polar vortex has been investigated by Yamamoto and 1972 Takahasi (2015). They showed, using a GCM, that the polar diurnal tide enhances the cold collar 1973 and the vortex core at cloud-top level, displacing the hot core from the pole and reinforcing the 1974 polar vortex in combination with the transient baroclinic wave. A different idea but also 1975 involving the thermal tide has been invoked by Ando et al. (2016). They also used a GCM to 1976 reproduce the characteristics of the polar vortex. According to their results the thermal tide 1977 enhances the residual mean meridional circulation that leads to the cold collar and the warm 1978 polar region.

## 1980 **8. Global atmospheric models**

1981

1979

In this section, the theoretical framework developed to explain the circulation in Venus's atmosphere is reviewed, as well as the numerical models that have been built to decipher the super-rotation mechanism, and the respective roles of the different waves in the processes driving the observed features.

1986

# 1987 8.1. The GRW mechanism and Hadley cells1988

One of the earliest and most effective explanations for the strong atmospheric super-rotation of the Venus atmosphere has come to be known as the "Gierasch-Rossow-Williams (or GRW) mechanism", following Gierasch (1975) and Rossow & Williams (1979). In reality, this is not really a single "mechanism" but rather a class of conceptual dynamical scenarios that share some important features in common.

1994

## 1995 8.1.1 A "Classical GRW" scenario

1996 1997 The basic concept, as set out by Gierasch (1975), is partly founded on the effect of a thermally-1998 direct, axisymmetric overturning circulation (in the form of hemispheric Hadley cells) to 1999 transport materially conserved variables upward and polewards. In its purest form, such a 2000 circulation will satisfy Hide's theorem (see e.g. Read 2013)and lead to the spin-up of prograde 2001 zonal flow at upper levels in mid-latitudes as equatorial air moves polewards, approximately 2002 conserving its specific angular momentum while depleting the tropics of angular momentum. 2003 Gierasch (1975) then closed the problem by invoking a set of unspecified eddy processes, via a 2004 simple diffusive parameterization, to bring the angular momentum budget into balance with 2005 prograde equatorial zonal flow. However, it was necessary to make certain assumptions about 2006 the way eddies mix momentum, vorticity and heat in order that they should not remove thermal 2007 gradients or mix angular momentum in the vertical too effectively.

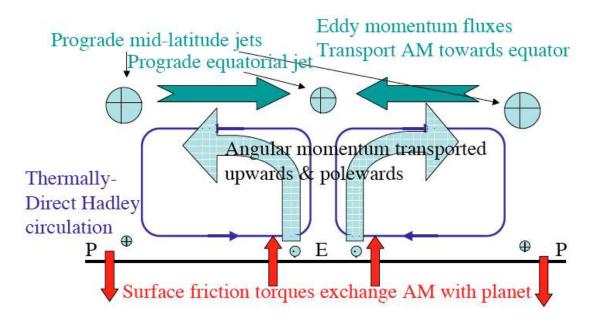
2008

Gierasch (1975) placed an emphasis on the effects of eddies acting primarily as agents of the horizontal mixing of vorticity, which also enables eddy diffusion to transport angular momentum equatorwards and up-gradient, in a similar manner to horizontal molecular viscosity (Plumb, 2012 1977; Read, 1986). This emphasis on the role of eddies as horizontal vorticity mixers was taken 2013 up subsequently by Rossow and Williams (1979), who investigated the possible role of large-2014 scale, barotropically unstable eddies under Venus-like conditions. They also demonstrated 2015 numerical solutions of super-rotating flows in a shallow-water model. This combination of 2016 poleward angular momentum transport by axisymmetric Hadley circulations balanced by equatorward transport by eddies represents what might be termed the "classical GRW" 2017 2018 mechanism, although there may be many problem-dependent variations on this theme in practice, 2019 depending upon the detailed eddy processes that might occur.

2020

Angular momentum exchanges with the underlying surface are also crucial to the final steady state achieving a state of global super-rotation. This is because the atmosphere also needs to come into equilibrium with the underlying surface via a combination of torques due to frictional and turbulent exchanges in the boundary layer or topographic form-drag. The overall steady state can be understood with reference to the schematic diagram in Figure 30.

2026



2027 2028

Figure 30 Schematic diagram illustrating the main features of the "classical" Gierasch-Rossow-Williams scenario for atmospheric super-rotation. P and E denote the location of the poles and equator respectively. Circles with crosses indicate prograde zonal winds (in the same sense as the planet's rotation) and circles with dots indicate retrograde zonal flow.

2033

This clearly suggests the formation of prograde mid-latitude zonal jets at upper levels, close to the poleward boundary of the Hadley cells in each hemisphere, where angular momentum nearconservation from the equator has spun up significant zonal flow. These are brought to an equilibrium at upper levels through the equatorward eddy fluxes which, at least in this simple conceptual model, deplete the mid-latitudes of angular momentum and are convergent on the equator to accelerate prograde flow. Near the surface, however, the prograde motion at midlatitudes tends to be decelerated by surface torques. Given the surface generally exerts a negative drag on relative flow, this implies retrograde flow close to the surface at the equator, so that thenet torque between atmosphere and the underlying planet averages to zero.

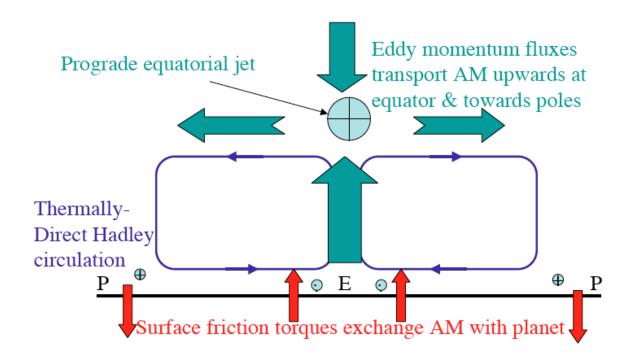
2043

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### 2044 8.1.2 A "non-classical" GRW scenario

2046 An alternative, though somewhat related, scenario is illustrated in Figure 31. In this case, a 2047 thermally-direct Hadley circulation (i.e. a circulation transport heat directly from hot to cold) 2048 acts as before to extract angular momentum from the solid planet in the tropics during spin-up, 2049 and to transport specific angular momentum *m* upwards and polewards to form mid-latitude jets. 2050 Unlike in the "classical" GRW scenario, however, in this alternative approach the eddies are 2051 assumed transporting m pre-dominantly up-gradient in the *vertical* direction instead of in the 2052 horizontal. Such a configuration is equally valid in the context of Hide's theorem, supporting a 2053 local maximum in *m* over the equator, provided any horizontal momentum fluxes are 2054 predominantly poleward instead of equatorward.

2055 2056



2057 2058

Figure 31 Schematic diagram illustrating the main features of the alternative to the "classical" Gierasch-Rossow-Williams mechanism for atmospheric super-rotation, in which up-gradient fluxes of angular momentum occur predominantly in the vertical (see text). Symbols are the same as in Figure 30.

Eddies acting in this way are likely to have a quite different origin from the horizontal vorticity mixing, predominantly barotropic, eddies invoked in the "classical GRW" mechanism, but there are a number of plausible candidates. Thermal tides, for example, were shown to have components that transport angular momentum both upwards and downwards into the upper cloud layers on Venus in the simplified models of Leovy & Baker (1987) and Newman & Leovy (1992), mainly via the semi-diurnal tide, while the diurnal tide would produce poleward eddy

2070 fluxes of angular momentum, as discussed in Section 5 above. Other kinds of vertically-2071 propagating waves, ranging from equatorial Kelvin-like modes and other inertia-gravity waves 2072 on a planetary scale (e.g. Peralta et al. 2014a,b,2015) to small-scale internal gravity waves, can 2073 also result in effective vertical transport of angular momentum, accelerating or decelerating 2074 zonal flow at levels where they are generated or dissipated (DelGenio and Rossow 1990; Imamura 2006; Kouyama et al. 2015; Yamamoto and Tanaka 1997). In this regard, these waves 2075 2076 may act in a somewhat similar way to how upward-propagating waves from the Earth's troposphere drive the Quasi-Biennial Oscillation in the equatorial stratosphere (cf Baldwin et al. 2077 2078 2001).

2079

2080 Precisely which version of the GRW scenario is applicable as a conceptual model of Venus' 2081 global atmospheric circulation is still not conclusively established from direct observations. As 2082 discussed in section 2.3, the direction of the mean meridional circulation is largely poleward at 2083 the cloud tops, suggestive of the upper branch of hemispheric, thermally-direct Hadley 2084 circulations, but evidence is less conclusive at other heights. The detailed vertical structure of 2085 any putative Hadley circulation is therefore not well constrained by observations, though it is 2086 generally thought likely that at least one closed Hadley cell is present in each hemisphere. But whether a single circulation cell connects the cloud top levels with the surface, as in the original 2087 2088 scenario proposed by Gierasch (1975), or a more complex vertical structure is present, is unclear 2089 (see Sections 3, 5.1 and 7.1 above).

2090

2091 Evidence for the origin, strength and direction of eddy transports necessary to close the GRW 2092 angular momentum transport cycle is equally ambiguous. As discussed in section 4, incomplete 2093 coverage of the day and nightsides in wind measurements can lead to biases that may distort the 2094 interpretation of eddy correlations. Direct observations suggest fluxes that are predominantly 2095 poleward (Limaye et al. 1982; Rossow et al. 1990), though these are mostly from the dayside. As 2096 argued by Limaye (2007), however, taking into account incomplete nighttime coverage suggests 2097 that the horizontal eddy transports near the cloud tops may fluctuate in sign between poleward or 2098 equatorward angular momentum transport at different seasons and altitudes. Direct evidence of 2099 vertical transports are even more difficult to come by, though vertically-propagating tides and gravity waves have been observed directly (see sections 4.2 and 5). 2100

2101

Ultimately, however, the ability of fully self-consistent dynamical models to reproduce observed properties is likely to be the most powerful means of exploring these kinds of question concerning the overall scenario for Venus' global circulation for the foreseeable future. Such models have been growing substantially in sophistication in recent years, and are reviewed in the following sections.

2107

## 2108 8.2. General Circulation Models

2109

To study atmospheric dynamics, General Circulation Models are very efficient tools. Their recent improvements in the case of Venus atmosphere has now brought significant results. However, there are issues associated with these tools that need to be taken into account. A recent review of the historical efforts achieved in Venus atmospheric modeling has been published in the frame of a working group sponsored by the International Space Science Institute (ISSI, in Bern, Switzerland) during the period 2008-2012 (Lewis et al., 2013). This review mentioned only those modeling studies applied to the atmosphere from the surface to roughly 100 km altitude, where the circulation is dominated by the super-rotation. Above this level, non-LTE radiative processes become dominant and control the thermal structure, while the zonal circulation makes a transition from super-rotation to a thermospheric sub-solar to anti-solar circulation.

2121

### 2122 8.2.1 First developments

2123

For the last 50 years, General Circulation Models (GCMs) have been developed to model the Earth's atmosphere. These tools solve the fluid dynamical equations for a spherical atmosphere, with variable temporal and spatial resolutions. The development of these models has followed the increasing possibilities provided by the reduction of the computation time , now reaching the state of very evolved simulation tools that couple the ocean, atmosphere and biosphere.

2129

2130 The exploration of the Venus's atmosphere by Russian and American spacecrafts since the 1970s 2131 has provided a strong motivation to adapt Earth GCMs to Venus conditions. The first model 2132 developed for the atmospheric circulation of Venus was published by Kalnay de Rivas (1975). 2133 This was a 2-dimensional (latitude-altitude) model, extended in the longitudinal dimension by 2134 adding longitudinal wave terms. The resolution was limited to 18 latitudes, and the simulation 2135 was made only for one Venus rotation (roughly 240 Earth days). The circulation obtained with 2136 this model showed two equator-to-pole Hadley-type cells. Young and Pollack (1977) published a 2137 GCM that apparently nicely reproduced available observations at the time. In the vertical, this GCM had 16 to 32 levels, equally distributed from the surface to 64 km altitude, with a finite-2138 2139 difference scheme. In the horizontal, the equations were solved with spherical harmonics (i.e. a 2140 pseudo-spectral model). Rossow et al. (1980) argued, however, that this GCM had significant 2141 problems arising from poor angular momentum conservation, as well as from the truncation used 2142 and its assumed symmetry, which eliminated most of the wave modes.

2143

During the early years of the Pioneer Venus mission, two GCM studies were published. Rossow (1983) adapted the GFDL (Geophysical Fluid Dynamics Laboratory) terrestrial GCM to Venus conditions. This spectral model used a horizontal truncation of 15 wavenumbers on 9 vertical levels. Simulations run for more than 5000 Earth days produced zonal winds 3 to 5 times slower than observed. Mayr and Harris (1983) used also a spectral GCM to study the super-rotation phenomenon, with emphasis on Venus. Varying many of the model parameters, they could obtain in one case zonal winds up to ~100 m/s.

- 2151
- 2152

### 2153 8.2.2 Venus General Circulation Models: simplified thermal forcings

2154 2155 One of the most demanding requirements to develop a realistic simulation of Venus's 2156 atmospheric circulation is to perform the radiative transfer calculations needed in the GCM. This 2157 is challenging in Venus's conditions because of the very opaque cloud layer and dense  $CO_2$ 2158 atmosphere, with very high temperatures and pressures below the clouds. That difficulty may 2159 explain why, when a renewed effort started around 2000 to develop Venus GCMs, these models 2160 used simplified temperature forcing with Newtonian cooling, where cooling rates are computed 2161 through the relaxation of the temperature towards a prescribed profile.

67

2163 In Japan, a sustained effort is on-going in several teams, exploring many aspects of Venus's atmospheric system. Based on a spectral dynamical core developed at the Center for Climate 2164 2165 System Research / National Institute for Environmental Studies (CCSR/NIES), Yamamoto and 2166 Takahashi developed a Venus GCM extending from the surface to roughly 90-100 km (on 50 2167 levels for the first model, then 52 and even 72 levels for the latest model), with a horizontal 2168 resolution starting at 32x16 (T10 spectral truncation, Yamamoto and Takahashi, 2003a – i.e. a 2169 triangular truncation in wavenumber space at total wavenumber 10) then evolving to 64x32 2170 (T21, Yamamoto and Takahashi, 2003b, 2004, 2006, 2009, 2012). The thermal structure was forced with a meridional distribution that was fixed for the solar heating rate, including 2171 2172 latitudinal variations, and Newtonian cooling with relaxation towards a prescribed temperature 2173 profile. A variation of the same GCM was also used by Kido and Wakata (2008).

2174

A different kind of forcing was used by Takagi and Matsuda (2007) for their GCM, based on a low spectral resolution (T10) dynamical core (with 50 vertical levels from the surface to 90 km). Instead of a zonally averaged solar forcing, however, they used only the tidal component of the solar heating rates, to focus on the effect of thermal tides on the global circulation.

2178 2179

2180 At the University of Oxford around the same period, Lee et al. (2005, 2007) also developed a 2181 simplified Venus GCM, based on the UK Meteorological Office Unified Model, a finite-2182 difference grid-point model with 32 vertical levels (0-90 km) and a horizontal resolution of 2183  $5^{\circ}x5^{\circ}$  in latitude and longitude. In this model, the radiative forcing was entirely controlled by the 2184 Newtonian cooling, with the temperature relaxation profile depending on latitude and height. In 2185 Great Britain again, at the Open University, Lewis et al. (2006) used a pseudo-spectral 2186 dynamical core for their Venus GCM, with T21 to T42 horizontal resolution and 32 to 200 vertical levels (0-100 km). In the meantime, in the United States, Hollingsworth et al. (2007) 2187 2188 adapted another terrestrial GCM, from the NASA Goddard Space Flight Center ARIES/GEOS 2189 dynamical core. The set-up was similar to the Yamamoto and Takahashi (2003b, 2004) 2190 experiments. The same group later started to work with another GCM, the NCAR Community 2191 Atmospheric Model (CAM), which had a finite-volume dynamical core and required a much higher horizontal resolution to be used (~1°x1°) because of dissipation issues (Parish et al., 2192 2193 2011).

2193

The first GCM considering the topography of the Venus's surface was the GCM EPIC Herrnstein and Dowling (2007), which used isentropic, terrain-following hybrid vertical coordinates. The thermal forcing in this GCM was similar to Lee et al. (2005). Finally, Lee and Richardson (2010) developed a specific approach for their Venus atmospheric experiments: they used three different versions of the dynamical core of the Geophysical Fluid Dynamics Laboratory (GFDL) terrestrial GCM (finite-differences, spectral, and finite-volume cores) with the same simplified physical forcings, similar to those used by Lee et al. (2005, 2010).

2202

Most of the above simulations were able to produce a realistic super-rotation, with the zonal wind being always maximum near the cloud tops between equator and roughly 60° latitude, and peaking in a jet generally located near 50-60° latitude. The amplitude of these peaks depended upon the model, e.g. ~40 m/s for Lee et al. (2005), up to 120 m/s for Yamamoto and Takahashi (2003b). The meridional circulation obtained exhibited two equator-to-pole Hadley-type cells.

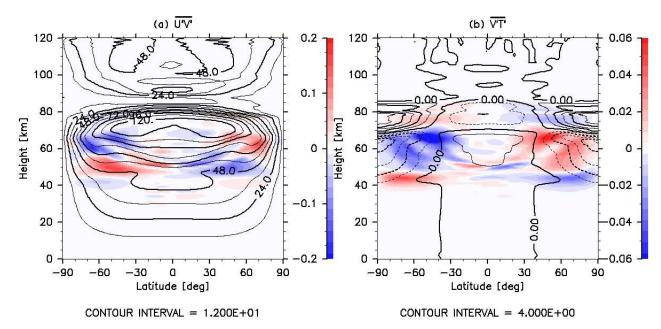
2208 However, to obtain a fully developed super-rotation, Yamamoto and Takahashi (2003a,b) had to 2209 tune their heating rate parameters. They note that the maximum in the heating rates needed to be 2210 lower by roughly 10 km than the cloud-top. Also, they used a forcing that included both an 2211 equator-to-pole temperature contrast and a deep-atmosphere heating rate that was much higher 2212 than expected from available observations. This problem was confirmed by various studies (Lee 2213 et al., 2005; Yamamoto and Takahashi, 2006, 2009; Hollingsworth et al., 2007), noting that the 2214 super-rotation was easily lost without specific tuning of the forcing parameters. The impact of 2215 changing the deep-atmosphere heating rates was studied in Hollingsworth et al. (2007) and Yamamoto and Takahashi (2009). Realistic values for heating rates in the deep atmosphere are 2216 of the order of 10<sup>-3</sup> K/day (Tomasko et al., 1980), but to obtain super-rotation, Hollingsworth et 2217 2218 al. (2007) had to use a value of 0.5 K/day (without including thermal tides), while Yamamoto 2219 and Takahashi (2009), who included thermal tides, explored the sensitivity to various values and 2220 obtained a realistic super-rotation for heating rates of the order of 0.1 K/day. Yamamoto and 2221 Takahashi (2006) studied the sensitivity to the latitudinal temperature contrast set at the surface. 2222 When set to 10 K, the super-rotation was realistic but when decreased to 5 K, the super-rotation 2223 was significantly decreased. Observational constraints indicate a latitudinal temperature contrast 2224 lower than 5 K in the deep atmosphere. Lee et al. (2005, 2007) also had to force a temperature 2225 contrast superior to 6 K in the deep atmosphere to obtain a strong super-rotation.

2226

2227 Wave activity and its role in the resulting super-rotation were also studied in these works. 2228 Equatorial Kelvin waves were analyzed near the cloud-tops, with periods varying with the 2229 amplitude of the super-rotation, but similar to the observed ~4-day period. Mixed Rossby-Gravity waves were also found (e.g. Lee et al., 2005, 2007). By including the thermal tides, 2230 2231 Yamamoto and Takahashi (2004) found them to play a significant role in the horizontal transport 2232 of angular momentum towards the equator. In their analysis, when including only the time-2233 varying part of the heating rate, Takagi and Matsuda (2007) showed that the thermal tides were 2234 transporting angular momentum vertically, thus participating in the production of super-rotation 2235 in the equatorial region. In their recent paper, Yamamoto and Takahashi (2012) used a different 2236 forcing for the middle atmosphere, in order to evaluate the role of planetary scale waves on the 2237 super-rotation. They focus on the atmosphere above 30 km, and forced the circulation with 2238 planetary scale, equatorial Kelvin-type waves at 30 km altitude with periods 4-6 days and zonal 2239 wavenumber 1. They found the amplitude of the maintained super-rotation was sensitive to the 2240 strength of these waves, which also affected the dynamics of the polar vortex. 2241

2242 The most recent GCM based on this type of forcing was designed and published by Sugimoto et 2243 al. (2014a, 2014b). It is based on the Atmospheric GCM for the Earth Simulator (AFES). The 2244 design of the simulations includes a Newtonian relaxation towards a latitudinally dependent 2245 temperature distribution (as in e.g. Lee et al., 2005). In Sugimoto et al. (2014b), latitudinally 2246 dependent solar heating is added to the Newtonian cooling (as in e.g. Yamamoto and Takahashi, 2247 2003a,b), including a diurnal cycle. The initial state is taken as already in super-rotation, and the 2248 initial temperature distribution is set to be in balance with this initial zonal wind field. But the 2249 initial profile of static stability is forced towards a realistic profile. The spectral core is used at 2250 relatively high resolution (T42L60 in Sugimoto et al. 2004a, T63L120 in Sugimoto et al. 2004b), 2251 with a vertical domain extending up to ~120 km. The super-rotation is maintained in the 2252 simulations over 15 to 30 Venus days, with a zonal wind distribution that is closer to 2253 observations with this type of forcing (compared to a simple Newtonian relaxation), as illustrated

in Figure 32. The authors study mainly the role of baroclinic instabilities that develop in midlatitudes around 60 km altitude and generate wavenumber 1 perturbations at the cloud top, with a period around 5.8 Earth days, similar to Rossby-type waves. The circulation includes also equatorial barotropic waves below the bottom of the clouds, but no Kelvin-type wave was obtained at the cloud top.



2259

Figure 32. Simulations obtained in the Venus AFES simulations of Sugimoto et al. (2014b). Distributions of (a) momentum flux u'v' and (b) heat flux v'T', averaged over 90 Earth days in latitude-height cross sections. The amplitudes are pressure-weighted. The zonal-mean zonal flow (a) and temperature deviations from the horizontally averaged temperature (b) are also shown in black contours. Reproduced from Sugimoto et al. (2014b).

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2267

#### 2266 8.2.3 Radiative transfer developments

2268 Though simplified temperature forcing allowed modellers to explore Venus's atmospheric 2269 dynamics, the development of full radiative transfer models that could be implemented in GCMs 2270 had to be investigated to go forward to more realistic simulations. To model the atmospheric 2271 temperature structure of Venus correctly, the determining inputs are the opacity distribution and 2272 an *a priori* temperature profile, usually based on the Venus International Reference Atmosphere 2273 (VIRA, Kliore et al., 1985). The computation of opacities is a crucial part of the radiative 2274 transfer modeling. These are determined by the cloud structure and properties, the gas 2275 composition of the atmosphere, and the spectral properties of the different gas constituents.

2276

2277 A first effort to include a full radiative transfer computation in a GCM was reported with the 2278 PhD study of K. Ikeda (Ikeda et al., 2007; Ikeda, 2011). The formulation is based on discrete 2279 ordinate and adding methods, in a delta two-stream approximation. The spectrum from 0.2 to 2280  $1000 \ \mu m (10 - 50000 \ cm^{-1})$  covers both solar and thermal radiation and is divided into 28 bands. 2281 This radiative transfer scheme was implemented in a Venus version of the CCSR/NIES GCM 2282 with a T21 horizontal resolution and 52 levels (0-90 km), similar to the model used by 2283 Yamamoto and Takahashi (2006). The temperature profile obtained was close to the VIRA 2284 profile below 40 km, but was colder above, mainly in the cloud layer. This GCM produced a fair 2285 super-rotation in the cloud layer, with a peak of 40-50 m s<sup>-1</sup> at the cloud top, but very low wind 2286 speeds below 50 km (less than 5 m s<sup>-1</sup>).

2287

2288 Around the same period, a different type of approach was investigated for the GCM that was developed in France. Eymet et al (2009) used a Net Exchange Rate (NER) method to compute 2289 2290 the radiative transfer in the infrared wavelength range. In this formalism, the monochromatic 2291 radiative budget of a given atmospheric layer is computed as the sum of the radiative net 2292 exchanges between this layer and all other atmospheric layers, including the boundaries, i.e. the 2293 surface and space. The wavelength domain used goes from 1.71 to 250  $\mu$ m (40-5700 cm<sup>-1</sup>), 2294 divided into 68 narrow bands of variable width. The net exchange rate matrix is computed with a 2295 Monte-Carlo code, assuming the temperature profile from VIRA. The dependence of this matrix 2296 on the temperature profile is extracted assuming the NER between two layers is equal to the 2297 difference in the Planck functions for these two layers, multiplied by an optico-geometric 2298 exchange coefficient that does not depend on temperature. The matrix containing only these 2299 exchange coefficients can then be used within the radiative transfer module of a GCM to 2300 compute the temperature profile self-consistently. 2301

2302 This method was implemented in the Venus GCM developed at the Laboratoire de Meteorologie Dynamique (LMD) in Paris (Lebonnois et al., 2010, 2016). This GCM is based on the LMDZ 2303 2304 finite-difference dynamical core developed at LMD for the Earth and used also for Mars, Titan 2305 and other applications. The Venus GCM simulations from Lebonnois et al. (2010) used a 2306 horizontal resolution of 48 longitudes by 32 latitudes, on 50 vertical levels (from the surface to 2307 roughly 95 km altitude), while in the latest simulations (Lebonnois et al., 2016), the horizontal 2308 resolution was increased to 96x96. In addition to the infrared radiative transfer from Eymet et al. 2309 (2009), solar heating is computed from look-up tables that depend upon solar zenith angle, 2310 provided by the Crisp (1986) model. The drawback of this infrared radiative transfer method is 2311 that taking into account the variability of the opacity sources is difficult. A fixed latitudinal 2312 dependence of the cloud structure may be implemented, but an interactive dependence of the exchange coefficients to the opacity distribution during the GCM simulation is difficult to 2313 2314 consider. In Lebonnois et al. (2010, 2016), the cloud is then considered as fixed and with a 2315 vertical distribution that is uniform over the horizontal domain. In these simulations, the diurnal 2316 cycle was included, topography was taken into account, as well as the dependence on 2317 temperature of the specific heat capacity,  $C_p(T)$ , that is significant in the atmosphere of Venus 2318 and affects the definition of the potential temperature used within the dynamical core equations.

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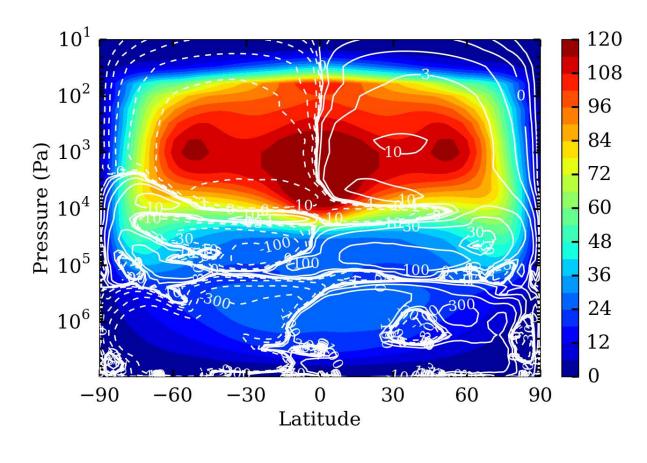
2320 In Lebonnois et al. (2010), simulations were run both with and without topography, and with 2321 either the full radiative transfer or a simplified temperature forcing (similar to the one of Lee et 2322 al., 2005) to estimate the sensitivity of the circulation to the radiative scheme. The results with 2323 the simplified temperature forcing (with no diurnal cycle) were broadly consistent with earlier 2324 studies, described in the previous section, with peak zonal winds (less than 40 m s<sup>-1</sup>) at mid-2325 latitudes and near the bottom of the clouds, and two equator-to-pole Hadley-type cells covering 2326 the whole vertical domain of the GCM. The simulations with full radiative transfer, however, 2327 induced a quite different circulation. The zonal wind peaked at the cloud top in the equatorial region, with values around 60 m s<sup>-1</sup>, and the meridional circulation was divided into vertically 2328

2329 stacked Hadley-type cells, one in the atmosphere below the clouds, one within the cloud region, and one above. The zonal wind below the clouds remained very small (below 5 m s<sup>-1</sup>) in these 2330 2331 simulations. The budget of angular momentum was analysed and showed that the super-rotation 2332 builds up and was maintained through a mechanism similar to GRW, but the role of thermal tides 2333 was clearly emphasized: diurnal and semi-diurnal tides transported angular momentum vertically 2334 in the equatorial region, above the cloud top, and participated in the zonal wind maximum 2335 located at the equator. The role of thermal tides in the angular momentum budget was also 2336 noticed in Ikeda (2011).

2337

2338 In later work, the planetary boundary layer scheme was improved in the LMD Venus GCM, 2339 which affected the static stability profile above the surface and resulted in an increase of the zonal wind in the deep atmosphere, with positive values everywhere except close to the 2340 equatorial surface and values above 5 m s<sup>-1</sup> in the 20-45 km region. These results showed a 2341 2342 thermal structure in the upper cloud and above dominated by thermal tides, which was compared 2343 with VIRTIS-H/Venus Express temperature retrievals in Migliorini et al (2012). With this 2344 improved boundary layer scheme and by increasing the horizontal resolution, Lebonnois et al. 2345 (2016) have improved the agreement between observed and modeled zonal wind field (Figure 2346 33). In this work, the wave analysis performed confirms the role of thermal tides in the angular 2347 momentum budget and the presence of baroclinic wave activity in the cloud layer, as in the 2348 simulations by Sugimoto et al. (2014a,b).





2350 2351 **Figure 33.** Circulation obtained with the LMD Venus GCM (Lebonnois et al., 2016). Mean zonal wind ( $m s^{-1}$ ) and stream function (white contours,  $10^9 kg s^{-1}$ ) averaged over 2 Venus days after 190 Venus days of simulation (simulation with full radiative transfer and topography, started from super-rotation). Reproduced from Lebonnois et al. (2016).

2356

2357 The most recent efforts to develop radiative transfer modules for GCMs have been published by 2358 Lee and Richardson (2011) and Mendonca et al. (2015). Lee and Richardson (2011) developed a 2359 radiative transfer model based on a multiple-stream, discrete ordinate flux solver. Mendonca et 2360 al. (2015) use two different approaches to compute solar and infrared radiative budgets. The 2361 solar radiative transfer is based on a two-stream, delta-Eddington approximation, with an adding 2362 layer method. For the thermal radiation, an absorptivity/emissivity formulation is used. Both the 2363 Lee and Richardson (2011) and Mendonca et al. (2015) radiative schemes were used to calculate 2364 solar and atmospheric infrared fluxes with a prescribed temperature profile and to evaluate 2365 radiative-convective equilibrium temperatures. The Mendonca et al. (2015) radiative scheme has 2366 also been used in the recent Venus GCM published by Mendonca and Read (2016). This model 2367 is a direct extension of the simple GCM, developed by Lee et al. (2005,2010) and based on the 2368 Met Office Unified Model dynamical core, to compute realistic radiative transfer, together with 2369 an improved boundary layer scheme and a more accurate representation of convection with a 2370 temperature-dependent specific heat, following Lebonnois et al. (2010). The first results 2371 presented by Mendonca & Read (2016) assumed a fixed distribution of clouds, again following 2372 Lebonnois et al. (2010, 2016), although in principal the radiation scheme can handle interactive 2373 clouds that vary in both space and time. Their simulations used a spatial resolution of  $5^{\circ} \times 5^{\circ}$  in 2374 the horizontal and 37 layers in the vertical. The results broadly confirm those obtained by Lebonnois et al. (2010) in forming a reasonably realistic super-rotation at the cloud level, driven 2375 2376 by a combination of thermal tides and planetary waves, but only weak zonal winds in the deep atmosphere. They did, however, note some sensitivity of the circulation to parameters such as the 2377 2378 assumed surface albedo and deep atmosphere opacity, which affected the static stability in the 2379 deep atmosphere and consequent exchanges of angular momentum. Such issues merit further 2380 investigation in future studies that may examine in more detail such dynamical and thermal 2381 interactions of the deep atmosphere with the surface.

2382

# 2383 8.2.4 Main issues in Venus atmospheric modeling2384

2385 Success in producing super-rotation in Venus's or Titan's atmospheric GCMs has long-time been 2386 a challenge. Though the most recent efforts, basically since the 1990s, have been more 2387 successful, realistic simulations of Venus's atmospheric super-rotation remain quite tricky to achieve. The modeled zonal wind field appears to be extremely sensitive to many model 2388 2389 parameters. As an example, the impact of the topography on the modeled circulation was 2390 discussed in Herrnstein and Dowling (2007), Lee et al. (2007) and Lebonnois et al. (2010). In 2391 Herrnstein and Dowling (2007), adding the topography was found to reduce the zonal wind peak 2392 velocity, inducing an interhemispheric asymmetry with a slower jet in the northern hemisphere. 2393 For Lee et al. (2007), the impact was found to be small, and the asymmetry induced was the 2394 opposite, with a stronger northern jet. The simulations in Lebonnois et al. (2010), however, 2395 showed an increase in super-rotation when the topography was taken into account, and no 2396 significant asymmetry in the zonal wind. The sensitivity to parameters and the difficulty in 2397 obtaining robust results can also be illustrated by the sensitivity to initial state, as discussed in Kido and Wakata (2008), to resolution, or to the choice of dynamical core, as first illustrated in
Lee and Richardson (2010) who used three different dynamical cores with the same physical
parameterizations and obtained results that were significantly different.

2402 To demonstrate and explore this sensitivity, an intercomparison effort was conducted within the framework of a working group sponsored by the International Space Science Institute (ISSI) in 2403 2404 Bern. Many Venus GCM modelers ran simulations under a common protocol, using the same 2405 physical forcing and simple parameterizations to see how robust was the obtained circulation. 2406 Temperature forcing was applied using Newtonian cooling, similar to the set-up of Lee et al. (2005). The inter-comparison results were published as part of a book gathering the conclusions 2407 2408 of this working group (Lebonnois et al., 2013). The averaged zonal wind fields obtained with the 2409 baseline simulation configuration and eight different dynamical cores are presented in Fig. 34. It illustrates the very large diversity of results, with different shapes and magnitudes for the zonal 2410 2411 wind maxima. In the same work, sensitivity to resolution, topography, boundary conditions and initial state were also studied, which also resulted in a large diversity among GCMs. This work 2412 2413 demonstrates that, under the weak forcing and long timescales characteristic of the atmosphere of 2414 Venus, detailed differences in the different formulations of the dynamical core may induce 2415 extremely variable responses in the zonal wind field. This is due to the extreme sensitivity of the 2416 angular momentum budget. In particular, further studies have investigated the question of 2417 angular momentum conservation in the dynamical cores: Lebonnois et al. (2012) showed the 2418 performances of the LMD Venus GCM (fair) and of the VenusCAM finite-volume core (poor), 2419 while Lee and Richardson (2012) investigated this conservation aspect for their spectral core, 2420 demonstrating an excellent degree of conservation. This angular momentum conservation and 2421 how it affects the overall budget may be partly responsible for the diversity seen in Figure 34.

2422

2423 The implementation of schemes parameterizing the impact of mesoscale gravity waves on Venus 2424 atmospheric circulation is another issue that needs additional investigation. These mesocale 2425 gravity waves may be generated in the convective region of the cloud layer, for example, 2426 propagating both upwards and downwards and transporting angular momentum. They may also 2427 be generated near the surface, through convective activity or as a result of interactions with the 2428 topography. In the case of lee waves, the minimum magnitude needed for the surface winds to be 2429 able to generate such waves propagating up to the cloud layers has never been investigated, 2430 which would certainly be an interesting constraint. These waves can therefore play a role in the 2431 acceleration of the circulation below the clouds, and in its deceleration above. A specific 2432 parameterization is needed to take their impact into account. Ikeda (2011) made an attempt to 2433 apply such a parameterization for gravity waves generated near the surface, showing an 2434 improvement in the deep atmosphere super-rotation. Additional efforts are needed to confirm 2435 this result, however, to include waves generated in the cloud convective layer, and to thoroughly 2436 investigate the role of these small-scale gravity waves on the overall angular momentum budget. 2437

2438 The representation of the polar vortex in the GCMs may also be an issue that needs further 2439 investigation. The use of polar filters in grid coordinate dynamical cores can alter the way waves 2440 behave, and could also be partly responsible for the apparent sensitivity to resolution. Further 2441 studies should investigate this aspect, and explore the polar circulation obtained using new 2442 dynamical cores that do not suffer from the polar singularity, such as new cores based on a 2443 cubed-sphere grid (e.g. CAM-SE developed at NCAR) or an icosahedral grid (e.g. Dynamico, 2444 developed at LMD). Though some GCM simulations exhibit a plausible polar vortex structure 2445 (such as Yamamoto and Takahashi (2015), Ando et al. (2015b) and Lebonnois et al. (2016)), the 2446 modeling of the cold collar region also needs improvement. To reach this goal, it is certainly

- necessary to represent realistically the coupling between the latitudinally-varying cloud structure, the cold area and the dynamics of the polar regions, in particular the solar tides.

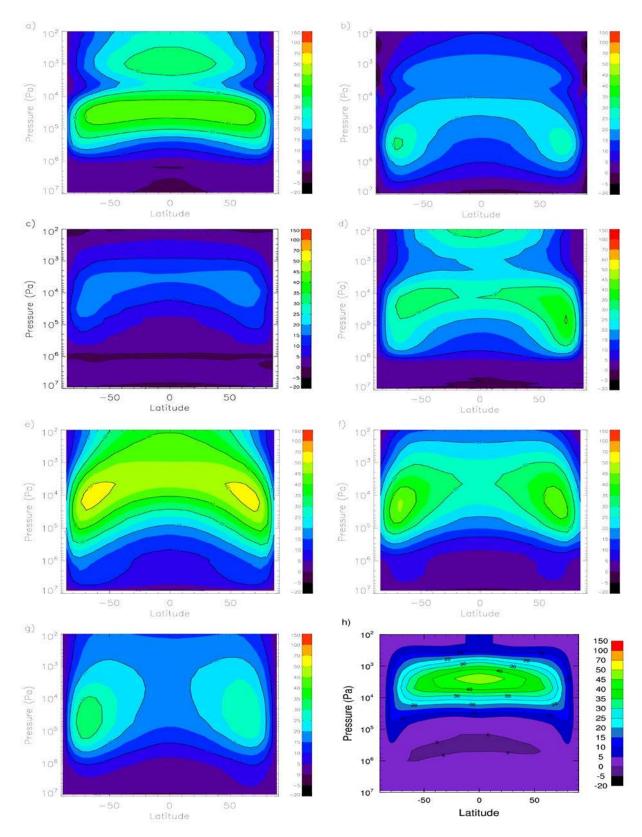




Figure 34. Zonally and temporally averaged zonal wind fields obtained in all the baseline simulations of the intercomparison exercise done by the working group sponsored by ISSI. Unit

is m/s. The first column is spectral models: (a) CCSR (Yamamoto and Takahashi), (c) Open
University (Lewis et al.), (e) Lee and Richardson (2010) spectral core. The second column is
finite difference models: (b) LMD (Lebonnois et al.), (d) Oxford University (Read et al.), (f) Lee
and Richardson (2010) finite-difference core. The last line is finite-volume models: (g) Lee and
Richardson (2010) finite-volume core, (h) UCLA (Parish et al.). Reproduced from Lebonnois et
al. (2013).

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## 2461 8.2.5 Taking into account non-LTE processes2462

2463 The GCMs described above are limited to altitudes below around 100 km, because additional 2464 processes need to be taken into account in the upper atmosphere. At pressures below 0.1 Pa in a 2465 CO<sub>2</sub> atmosphere, the Local Thermal Equilibrium (LTE) assumption is not valid anymore, 2466 because the molecular emissions do not follow a Planckian source function at the local kinetic 2467 temperature, but reflect a diversity of radiative, collisional and chemical processes which are 2468 specific to each molecular species and vibrational transition. The heating and the cooling of the 2469 atmosphere are therefore controlled by non-LTE radiative transfer processes, and a specific code 2470 is required for their description and interpretation. Detailed calculations of the non-LTE radiative 2471 transfer have been performed for the Venus atmosphere by Roldan et al. (2000) and López-2472 Valverde et al. (2007). Above roughly 120 km, in addition to non-LTE radiative transfer, the 2473 thermal structure is also controlled by the absorption of Extreme UV radiation, and thermal 2474 conduction. The atmosphere is not well mixed anymore and molecular diffusion has an impact on the composition of the atmosphere. The composition is also affected by an active 2475 2476 photochemistry, which must be taken into account to understand the thermal structure and the 2477 dynamics.

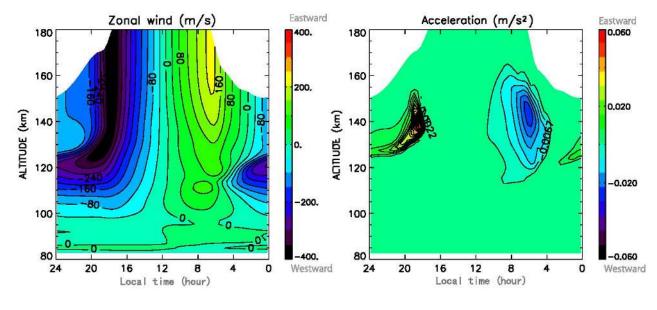
2478

2479 To model these upper atmospheric regions, two different approaches have been developed, in 2480 particular for the study of the Martian atmosphere: either thermospheric general circulation 2481 models (TGCM, e.g. Bougher and Dickinson, 1988; Bougher et al., 1990) modeling the 2482 atmospheric circulation above a given altitude level, or vertically extended GCMs that include 2483 these additional parameterizations, such as the LMD Mars GCM (e.g. Angelats i Coll et al., 2484 2005; Gonzalez-Galindo et al., 2009). For Venus, Bougher et al. (1986, 1988) started the 2485 development of a Venus Thermospheric GCM (finite-difference grid), recently updated in Brecht 2486 et al. (2011) and Brecht and Bougher (2012), that includes the non-LTE heating and cooling 2487 rates, with look-up tables for the solar heating rates and a parameterized scheme for the cooling 2488 rates which implements a line-by-line model for 15-µm CO<sub>2</sub> cooling rates taken from Roldan et al. (2000). This VTGCM covers altitudes from 70 km to 200 km on the nightside and 300 km on 2489 2490 the dayside, with a horizontal resolution of  $5^{\circ}x5^{\circ}$ . Adjusting some of the model parameters, the 2491 atmospheric circulation modeled for mean conditions has been compared to the O<sub>2</sub> and NO nightglows observed from Venus-Express instruments (Brecht et al., 2011). The modeled 2492 2493 circulation was composed of the superposition of two regimes: the super-rotation at lower 2494 altitudes and a sub-solar to anti-solar (SS-AS) thermospheric circulation. A parameterized drag 2495 was used to relax the zonal wind towards a specified zonal wind profile, to approximate the super-rotating component. The thermal structure obtained in Brecht and Bougher (2012) 2496 2497 reproduces some of the observed features, with alternating minimum and maximum temperatures 2498 between 80 and 150 km on the dayside and a warm region around midnight, close to 105 km,

2499 corresponding to nightside downwelling of the SS-AS circulation. However, when properly 2500 convolved to be compared with the temperatures obtained for the  $CO_2$  non-LTE emissions from 2501 VIRTIS-H (Peralta et al. 2016), the VTGCM obtain temperatures systematically warmer than the 2502 observations (by 20-25K at the sub-solar point). Using the same VTGCM, Zalucha et al. (2013) 2503 included a gravity-wave parameterization to study the impact of the angular momentum transport 2504 of these small-scale waves generated in the cloud layer (i.e. at the base of the VTGCM) on the 2505 zonal wind field. However, this parameterization was not successful in producing sufficient drag 2506 to get agreement with available observations.

2507

2508 Hoshino et al. (2012) also developed a VTGCM based on a finite-difference dynamical core, 2509 covering from 80 to roughly 180 km altitude, in which the SS-AS circulation dominated the 2510 winds above 90 km. The non-LTE processes were parameterized as in Bougher et al. (1986). The zonal wind was assumed to be 0 m s<sup>-1</sup> at the lower boundary of the model, and thermal tides and 2511 2512 planetary scale waves were forced at this lower boundary to study how these waves propagate 2513 into the upper atmosphere and affect the circulation, in particular the effect of the Kelvin wave 2514 on the O<sub>2</sub> nightglow. Hoshino et al. (2013) added a gravity-wave parameterization and studied 2515 the differences in the modeled circulation between dayside and nightside. The dynamical core is 2516 now spectral with T21 horizontal resolution, and the non-LTE processes are based on Roldan et 2517 al. (2000). This model shows that, with a solid-body rotation zonal wind of 40 m s<sup>-1</sup> over the 2518 equator at the lower boundary (~80 km), the gravity waves transfer angular momentum above 2519 100 km, inducing a layer in the 95-130 km region where super-rotation strongly contributes to 2520 the zonal circulation again (Figure 35).



2521

Figure 35. Simulations from the Hoshino et al. (2013) VTGCM, showing the local time-height distribution of (left) the zonal wind in m s<sup>-1</sup> and (right) the wave drag acceleration (in m s<sup>-2</sup>) calculated from the gravity-wave parameterization scheme, at the equator and with a lowerboundary zonal wind fixed at solid-body rotation with a velocity of -40 m s<sup>-1</sup> at the equator. Positive and negative values of the zonal wind distribution indicate eastward and westward winds, respectively. Reproduced from Hoshino et al. (2013).

2530 To improve the modeling of the interaction between the deep and upper regions of the 2531 atmosphere, another type of development has been to extend GCMs that cover the deep 2532 atmosphere to take into account the upper atmospheric processes and to raise their upper 2533 boundary into the thermosphere. This development was conducted with the LMD Venus GCM 2534 (Gilli et al., 2017). The model used a 1-parameter analytical formula to reproduce the solar 2535 heating rates (based again on Roldan et al., 2000), and a simplified non-LTE model for the 15-2536 µm cooling, following the methodology developed for the LMD Mars GCM (Gonzalez-Galindo 2537 et al., 2009). It was assumed that the net absorption depends mainly upon the density of the 2538 atmosphere, and to a smaller degree on the solar zenith angle, thermal structure and atomic 2539 oxygen abundance. Similarly to other works, EUV absorption was parameterized assuming an 2540 efficiency of 20-22 %, and the variation of the UV solar flux with the solar cycle was taken into 2541 account. This model was coupled with a photochemical model, allowing the composition to be 2542 consistently computed, which is especially needed for the atomic oxygen. It also included a 2543 gravity wave parameterization scheme, based on a stochastic upward emission of gravity waves by the convective cloud layer. The predicted temperature structure was consistent with 2544 2545 observations and with the other models, though quantitative agreement with the observed 2546 minimum and maximum temperature values (and their altitudes) remains to be improved. As in 2547 Hoshino et al. (2013), the gravity waves have an important role in controlling the circulation in 2548 the 90-120 km altitude region, and additional work is needed to study their generation and 2549 characteristics. 2550

#### 2551 9. Discussion and Conclusions

We summarize and discuss in this final section the observations and theoretical and modeling efforts required to explain the yet to be answered questions of Venus's atmospheric dynamics:

2556 Measurement of dynamical variables. The precise wind field retrieval below the upper • clouds (from the surface to 60 km) as a function of location (longitude and latitude) and 2557 2558 local time is an essential ingredient required to derive the vertical distribution of the 2559 angular momentum, momentum transfers and super-rotation origin. An accurate measurement of the 3D wind speeds (on the order of 5 m s<sup>-1</sup> or less), in areas with 2560 complex turbulent cloud patterns and waves, will allow the characterization of eddy 2561 2562 motions necessary for constraining GCMs. Meridional motions need to be better constrained at different altitude levels to test the presence and properties of Hadley 2563 2564 circulations and the transport of heat and momentum by thermal tides at the upper cloud 2565 level. Simultaneous retrievals of wind speeds and other dynamical variables, such as 2566 temperature and pressure, will allow a better understanding of the "quasi-cyclostrophic" 2567 balance, the presence or not of jets, and to study their stability. All this will require 2568 further in situ exploration by descending probes and balloons, supported by remote 2569 sensing studies from orbit.

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• *Wave studies.* Major classes of atmospheric waves seen in the Earth's atmosphere, such as gravity, Kelvin, Rossby and tidal waves, also exist in the Venus atmosphere. The waves are expected to play crucial roles in the general circulation of the atmosphere via their contributions to momentum transport, both in altitude and latitude. The

2575 characteristics or existence of theses waves are thought to be strongly related to the inertial forces associated with the super-rotation. Strong thermal tides are excited due to 2576 the absorption of sunlight by the thick cloud layer covering the whole surface. The 2577 2578 sources of gravity, Rossby and Kelvin waves, the origins of the observed frequencies and 2579 wavelengths of those waves, and the associated momentum transports are poorly understood. Observations of the waves below the clouds are extremely scarce, and 2580 2581 numerical modeling of the waves is not robust enough, due to uncertainties in the background atmospheric state. Calculation of the fluxes of energy and momentum from 2582 the wave dispersion relationships and amplitudes will represent an important advance in 2583 2584 their role on dynamics. 2585

- 2586 Polar Vortices. With its highly elliptical, low inclination orbit, Venus Express has • accomplished a formidable task in characterizing the southern hemisphere's polar vortex 2587 dynamics, providing new spatially and temporally resolved measurements of winds, 2588 cloud heights and temperatures. Since its first detection early in the mission, it became 2589 2590 clear that variability is a major characteristic of the polar vortex. Despite accurate 2591 characterization of its 3D wind and temperature structure, outstanding questions remain about polar vortex dynamics. Why is the vortex morphology similar at both the upper and 2592 lower cloud levels, despite the vertical shear of the zonal wind? Is downwelling at the 2593 2594 pole, presumably from the descending branch of the Hadley cell, causing the variability 2595 of the vortex morphology and the peculiar motion of its centroid of rotation, or do heat 2596 sources and sinks below the clouds control this variability? How are vortex dynamics and 2597 cloud height connected? Is the cold collar acting to contain the polar vortex and isolate it from interaction with the atmosphere at mid and low latitudes, or is it part of a global 2598 2599 hemispheric vortex? Are occasional wind jets at mid-latitudes the main cause of 2600 barotropic instability at the Venus cloud tops, or is there a persistent source of barotropic instability at the vortex eyewall? Why is there no apparent connection between the 2601 thermal emission features and the vorticity distribution? How do the solar migrating 2602 2603 atmospheric tides interact with the mean background flow and what is their contribution to the angular momentum budget of the polar region? Quantitatively answering these 2604 questions will require moving in multiple directions. On the observational side, improved 2605 2606 measurements of temperatures, cloud height and winds are required, in particular more 2607 precise zonal and meridional winds. This will help determine the meridional wind direction at latitudes poleward of 70° in order to constrain the extent of the Hadley cell, 2608 and also to reduce wind divergence uncertainty in order to better constrain cloud top 2609 sources and sinks. On the modeling side, spatially resolving the polar vortex morphology, 2610 accurately reproducing its dynamics and polar wave motions and its connection with the 2611 flow at lower latitudes is required. 2612 2613
- Nature of the Super-rotation. One of the most compelling outstanding dynamical issues in Venus's atmosphere continues to lie in accounting for the origin and maintenance of its extreme super-rotation. As reviewed here, the basic GRW scenario seems convincingly capable in principle of sustaining such a super-rotation by extracting angular momentum from the underlying planet. But the precise nature and scope of the eddy processes acting within such a scenario remain uncertain. Numerical model simulations with reasonably accurate and realistic representations of radiative forcing and dynamics have recently

2621 proved capable of capturing many aspects of the observed super-rotation, especially in the middle and upper atmosphere, despite the unexpectedly strong sensitivity of the 2622 simulated circulation to the numerical formulation and spatial resolution. Such model 2623 2624 simulations have illustrated key roles for the thermal tides and equatorial planetary waves 2625 in the transport of angular momentum in the vicinity of the main cloud decks. But many aspects of the circulation in the deep atmosphere, at altitudes below 40 km where the bulk 2626 2627 of the atmospheric angular momentum apparently resides, are poorly constrained by 2628 observations. It is far from clear, for example, how eddy processes penetrate into the deep atmosphere and how they interact with the planetary surface. The role of small-scale 2629 2630 internal gravity waves is particularly uncertain. Although there continues to be scope for improving the existing models in various ways, through enhancing spatial resolution, 2631 2632 numerical formulations and parameterizations, there is a particularly urgent need for 2633 more detailed observations of the deep atmosphere that can match the coverage obtained 2634 by missions such as Venus Express in the middle atmosphere. 2635

- 2636 General Circulation Models. The GCMs that have been developed to study the dynamics 2637 of Venus's atmosphere have made much progress in recent years. They now include detailed radiative transfer modules that allow simulations to reproduce the main features 2638 of the thermal structure. The balance of angular momentum accounting for the main 2639 2640 features of the zonal wind field involves transport by the mean meridional circulation, planetary-scale waves and thermal tides. Although a realistic super-rotation in the cloud 2641 region is now obtained by most models, several issues remain to be investigated to fit the 2642 2643 details of the observed wind field. First, the robustness of the simulations need to be confirmed through inter-comparison between several GCMs, since the modeled 2644 2645 atmospheric circulation is very sensitive to the technical details of the dynamical core. 2646 Conservation of angular momentum is one important point to check. Then, the role of subgrid-scale processes in the angular momentum budget, especially small-scale gravity 2647 waves, needs to be assessed. Validated parameterizations of these processes are crucial to 2648 2649 reach this goal. Despite these remaining validations and improvements, the Venus GCM 2650 simulations may now be realistic enough to start data assimilation projects, taking advantage of the huge datasets gathered by the Venus Express and Akatsuki missions. 2651 2652 This would help to further improve our understanding of Venus's atmospheric dynamics.
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