

# The canonical bundle of a hermitian manifold

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## Abstract

This note contains a simple formula (Proposition 1 in Section 3) for the curvature of the canonical line bundle on a hermitian manifold, using the Levi-Civita connection (instead of the more usual hermitian connection, compatible with the holomorphic structure). As an immediate application of this formula we derive the following: *the six-sphere does not admit a complex structure, orthogonal with respect to any metric in a neighborhood of the round one*. Moreover, we obtain such a neighborhood in terms of explicit bounds on the eigen-values of the curvature operator. This extends a theorem of LeBrun.

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## 1 Introduction

First, some standard definitions. An *almost-complex structure* on an even-dimensional manifold  $M^{2n}$  is a smooth endomorphism  $J : TM \rightarrow TM$ , such that  $J^2 = -Id$ . The standard example is  $M = \mathbb{C}^n$  with  $J$  given by the usual scalar multiplication by  $i$ . A *holomorphic map* between two almost-complex manifolds  $(M_1, J_1)$  and  $(M_2, J_2)$  is a smooth map  $f : M_1 \rightarrow M_2$  satisfying  $df \circ J_1 = J_2 \circ df$ . An almost-complex structure is said to be *integrable*, or is called simply a *complex structure*, if it is locally holomorphically diffeomorphic to the standard example; in other words, for each  $x \in M$  there exist neighborhoods  $U \subset M$ ,  $x \in U$ , and  $V \subset \mathbb{C}^n$ , and a holomorphic diffeomorphism  $f : U \rightarrow V$ .

Given an even-dimensional manifold, how is one to decide if it admits a complex structure? There are some, more or less obvious, necessary conditions

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(e.g. the existence of an almost-complex structure, which can be tested by characteristic classes), but in general there is no known answer to this question. A well-known example, so far undecided, is the 6-sphere (this is the only interesting dimension, because in all other dimensions  $n \neq 2, 6$ , the  $n$ -sphere does not admit even an almost-complex structure). This space admits a non-integrable almost-complex structure, but it is unknown as yet if it admits a complex structure.

A related question is that of the existence of an *orthogonal* complex structure. Here the set-up is the following: given an even-dimensional *riemannian* manifold  $(M, g)$ , one is looking for an integrable almost-complex structure  $J$  which is orthogonal with respect to  $g$ ; that is,  $g(X, Y) = g(JX, JY)$ , for all  $X, Y \in T_m M$  and  $m \in M$ . One calls such a pair  $(g, J)$  a *hermitian* structure. The problem here is then that of extending a given riemannian structure to a hermitian one.

One way of analyzing the problem of the existence of orthogonal complex structures is to consider the space of all orthogonal almost-complex structures. These are sections of a bundle over  $M$ , whose fiber at a point of the manifold consists of all (linear) orthogonal complex structures on the tangent space at that point. The total space  $Z$  of this bundle is called the *twistor space* associated to  $(M, g)$  and it admits a tautological almost-complex structure. Then the idea is to translate differential geometric problems on  $M$  to complex-geometric problems on  $Z$ . For example, an orthogonal almost-complex structure on  $M$  is given, by definition, by a section of  $Z \rightarrow M$ ; it will be *integrable* if the section is *holomorphic*, thus embedding  $M$  as a complex sub-manifold of  $Z$ . In other words, the problem of orthogonal complex structures on  $M$  is translated into that of certain complex submanifolds of  $Z$ . This approach leads to the proof of C. LeBrun of non-existence of an orthogonal complex structure on  $S^6$  relative to the round metric [2]. The twistor space  $Z$  in this case turns out to be Kähler, so that an orthogonal complex structure on  $S^6$  would give an embedding of  $S^6$  as a complex submanifold of a Kähler one, thus inheriting a Kähler structure, which is clearly impossible for  $S^6$  (since  $H^2(S^6) = 0$ ). For more information on this approach to orthogonal complex structures we recommend the survey article of S. Salamon [3].

Here we suggest a different construction, considerably more elementary. This is based on the observation that the curvature of a connection on a complex line bundle is a closed two-form (representing the first Chern class of the line bundle, up to a constant), so one can try to use the given data  $(g, J)$  on  $M$  to construct a line bundle with connection whose curvature two-form is non-degenerate, i.e. a symplectic form. On certain manifolds this might be impossible (e.g. on a compact manifold with  $H^2 = 0$ ), so if one uses a connection coming from the Levi-Civita connection on  $(M, g)$  then one obtains in this way a curvature obstruction for the existence of an orthogonal complex structure.

A natural complex line bundle to consider, for a given complex structure, is the so-called canonical line bundle  $K := \Lambda^{n,0}(M)$  – the bundle of  $(n, 0)$ -forms, or the top exterior power of the holomorphic cotangent bundle. Now there are two natural ways to use the hermitian structure on  $M$  to equip  $K$  with a connection. First, the complex structure on  $M$  induces a holomorphic structure on  $K$  and the riemannian metric on  $M$  induces a hermitian metric on  $K$ ; these two in turn determine uniquely a canonical hermitian connection (a metric-preserving connection whose  $(0, 1)$ -part coincides with the  $\bar{\partial}$ -operator of the complex structure on  $M$ ; see for example Griffiths and Harris [1], p. 73). The other choice of a connection on  $K$  comes from the Levi-Civita connection on  $TM$ , extended (by the Leibniz rule) to the bundle of exterior  $n$ -forms  $\Lambda^n(M)$ , complexified, then projected orthogonally to the sub-bundle  $K \subset \Lambda_{\mathbb{C}}^n(M)$ .

Unless the orthogonal complex structure happens to be Kähler (i.e. the Kähler 2-form  $\omega = g(J\cdot, \cdot)$  is closed), these two choices of a connection are different. We make here the second choice, the one coming from the Levi-Civita connection, as it seems to us more natural from a Riemannian geometric point of view, e.g. for relating the resulting curvature 2-form of the canonical bundle with the Riemann curvature tensor of  $(M, g)$ .

The outcome then is a rather simple formula for the curvature of the canonical line bundle on a hermitian manifold (Proposition 1 of Section 3). From this formula it becomes obvious that a complex structure compatible with the round metric on the sphere will render the curvature 2-form of the corresponding canonical line bundle a symplectic form (in fact Kähler), and that this property will be maintained for nearby metrics (Corollaries 2 and 3 of Section 4).<sup>1</sup>

We shall now outline the details of the calculation indicated above. We need to recall first some standard terminology.

Let  $E \rightarrow M$  be a complex hermitian vector bundle over a differentiable manifold, with a hermitian connection  $D : \Gamma(E) \rightarrow \Gamma(T^*(M) \otimes E)$ , i.e.

$$d\langle s_1, s_2 \rangle = \langle Ds_1, s_2 \rangle + \langle s_1, Ds_2 \rangle$$

for any two sections  $s_1, s_2 \in \Gamma(E)$ .

The curvature  $R$  of  $(E, D)$  is defined by first extending  $D$  to  $\Gamma(\Lambda^k(M) \otimes E) \rightarrow \Gamma(\Lambda^{k+1}(M) \otimes E)$ ,

$$D(\alpha \otimes s) := d\alpha \otimes s + (-1)^k \alpha \otimes Ds,$$

then

$$R := D^2 \in \Gamma(\Lambda^2(M) \otimes \text{End}(E)).$$

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<sup>1</sup>Claude LeBrun has informed us recently that his proof also extends to metrics near the round one, but this requires embedding the usual twistor space inside a larger one. Also, after completing the work described here we found two articles ([4] and [5]) containing ideas close to ours.

If  $E_0 \subset E$  is a sub-bundle then there is an induced hermitian connection on  $E_0$  as follows: let  $s_0$  be a section of  $E_0$ , and let  $(E_0)^\perp$  be the orthogonal complement of  $E_0$  in  $E$ , then decompose orthogonally

$$Ds_0 = D_0s_0 + \Phi s_0,$$

with

$$D_0s_0 \in \Gamma(T^*(M) \otimes E_0), \quad \Phi s_0 \in \Gamma(T^*(M) \otimes (E_0)^\perp).$$

One then verifies easily that  $D_0$  defines a hermitian connection on  $E_0$  and that  $\Phi$  is “tensorial”, i.e. a section of  $T^*(M) \otimes \text{Hom}(E_0, (E_0)^\perp)$ , called *the second fundamental form* of  $E_0$  in  $E$ .

Now there is a well-known formula for the curvature of  $(E_0, D_0)$  in terms of the curvature  $R$  of  $(E, D)$  and the second fundamental form  $\Phi$  of  $E_0$  in  $E$ . It is given by

$$\Omega = \pi_0 \circ R \circ \pi_0^* + \Phi^* \wedge \Phi, \tag{1}$$

where  $\pi_0 : E \rightarrow E_0$  is orthogonal projection. The (easy) calculation can be found for example in [1], p.78.

In our case, starting with the Levi-Civita connection on  $\Lambda_{\mathbb{C}}^n(M)$  and projecting onto the canonical line-bundle  $K = \Lambda^{n,0}(M) \subset \Lambda_{\mathbb{C}}^n(M)$ , we find out the following:

1.  $\pi_0 \circ R \circ \pi_0^* = i\mathcal{R}(\omega)$ , where  $\omega$  is the Kähler form and  $\mathcal{R}$  is the interpretation of the Riemann curvature tensor of  $M$  as an operator in  $\text{End}(\Lambda^2(M))$  (see the corollary to Lemma 1 in Section 3).
2. The second fundamental form  $\Phi \in \Lambda^1(M) \otimes \text{Hom}(\Lambda^{n,0}, (\Lambda^{n,0})^\perp)$  is of type  $(1, 0)$ , hence  $\Phi^* \wedge \Phi$  is non-positive (see Lemmas 2 and 3 in Section 3; see next section, Definition 2, for the sign convention).

The first fact does not require even the integrability of the orthogonal almost-complex structure, i.e. it holds also for almost-hermitian manifolds. The second one does depend on the integrability (in fact, it can be shown to be equivalent to the integrability of the almost-complex structure).

We use these two basic results to deduce rather easily the non-degeneracy of the 2-form  $\Omega$  in the proof of the above mentioned theorem of LeBrun, as well as its extension to metrics which are nearby the round one (see Section 4).

## 2 Some definitions and notation

First, to make sense of Formula (1) in the Introduction, we need to review some terminology.

Let  $V$  be a real  $2n$ -dimensional vector space with a euclidean inner product  $(\cdot, \cdot)$  and a linear orthogonal almost-complex structure  $J$ . We extend the inner

product  $(\cdot, \cdot)$  on  $V$  in the usual way to the real exterior algebra  $\Lambda^*(V^*)$ , by declaring the  $k$ -forms  $\{\eta_{i_1} \wedge \dots \wedge \eta_{i_k} \mid 1 \leq i_1 < \dots < i_k \leq 2n\}$  an orthonormal basis of  $\Lambda^k(V^*)$ , where  $\{\eta_1, \dots, \eta_{2n}\}$  is the dual basis of an orthonormal basis of  $V$ .

We denote also by  $(\cdot, \cdot)$  the *complex-linear* extension of the euclidean inner product  $(\cdot, \cdot)$  to the complexified vector spaces  $\Lambda_{\mathbb{C}}^k(V^*) = \Lambda^k(V^*) \otimes \mathbb{C}$ . The *hermitian* inner-product on these spaces is thus given by  $\langle \phi, \psi \rangle = (\phi, \bar{\psi})$ .

Next, let  $W$  be a complex vector space with an hermitian inner product  $\langle \cdot, \cdot \rangle$  and denote by  $\text{End}_{\mathbb{C}}(W)$  the complex-linear endomorphisms of  $W$ . Denote by  $\text{End}(V)$  the real endomorphisms of  $V$ .

All tensor products, unless denoted otherwise, are over the reals.

**Definition 1** *Let  $V$  and  $W$  be as above, and  $\alpha, \beta \in V^* \otimes \text{End}_{\mathbb{C}}(W)$  two endomorphism-valued 1-forms.*

1. *The wedge product  $\alpha \wedge \beta \in \Lambda^2(V^*) \otimes \text{End}_{\mathbb{C}}(W)$  is defined by*

$$\alpha \wedge \beta(X, Y) := \alpha(X) \circ \beta(Y) - \alpha(Y) \circ \beta(X).$$

*Equivalently, if  $\alpha = a \otimes A$ ,  $\beta = b \otimes B$ , where  $a, b \in V^*$  and  $A, B \in \text{End}_{\mathbb{C}}(W)$ , then  $\alpha \wedge \beta := (a \wedge b) \otimes (A \circ B)$ .*

2. *The adjoint  $\alpha^* \in V^* \otimes \text{End}_{\mathbb{C}}(W)$  is defined by*

$$\alpha^*(X) = [\alpha(X)]^*.$$

*Equivalently, for  $\alpha = a \otimes A$ ,  $\alpha^* = a \otimes A^*$ .*

Note that when extending the notation to complex forms in  $V_{\mathbb{C}}^* \otimes_{\mathbb{C}} \text{End}_{\mathbb{C}}(W)$ , one has that  $\alpha^*(Z) = [\alpha(\bar{Z})]^*$ ,  $Z \in V_{\mathbb{C}}$ , so that if  $\alpha = \phi \otimes A$ , where  $\phi \in V_{\mathbb{C}}^*$ , then  $\alpha^* = \bar{\phi} \otimes A^*$ . (Proof: if  $Z = X + iY$ , then  $\alpha^*(Z) = \alpha^*(X) + i\alpha^*(Y) = [\alpha(X)]^* + i[\alpha(Y)]^* = [\alpha(X) - i\alpha(Y)]^* = [\alpha(\bar{Z})]^*$ .) Hence if  $\alpha$  is of type  $(1, 0)$  then  $\alpha^*$  is of type  $(0, 1)$  etc.

Next, we need to make some convention concerning **positivity** (watch for a confusing error in [1], pp. 29 & 79, around this definition).

**Definition 2** 1. *A 2-form  $\omega \in \Lambda^2(V^*)$  is called positive,  $\omega > 0$ , if  $B(X, Y) = \omega(X, JY)$  is a symmetric positive bilinear form. Equivalently:  $\omega$  is positive if it is a real 2-form of type  $(1, 1)$  (that's the "symmetric" requirement) and  $\omega(X', \bar{X}')/i > 0$  for all non-zero  $X' \in V^{1,0}$ , where  $V_{\mathbb{C}} = V^{1,0} \oplus V^{0,1}$  is the decomposition of the complexification of  $V$  into  $\pm i$  eigen-spaces of  $J$ . Obviously, a positive (or negative) 2-form is non-degenerate.*

2. Now let  $\Omega \in \Lambda^2(V^*) \otimes \text{End}_{\mathbb{C}}(W)$  be a 2-form on  $V$  with values in anti-hermitian endomorphisms on  $W$ , so  $i\Omega$  is an hermitian-valued 2-form (we have in mind the curvature of a hermitian connection). Then  $\Omega$  is called positive,  $\Omega > 0$ , if  $(i\Omega w, w)$  is a positive 2-form for all non-zero  $w \in W$ . Equivalently,  $\Omega > 0$  if it is an  $\text{End}(W)$ -valued  $(1,1)$ -form such that  $\Omega(X', \bar{X}')$  is a positive hermitian operator for all non-zero  $X' \in V^{1,0}$ . We define similarly  $\Omega \geq 0$ ,  $\Omega < 0$ , etc.

**A word of caution:** According to the last definition, the Kähler form  $\omega = (J\cdot, \cdot)$  on  $V$  is a real positive 2-form, whereas  $i\omega$  is an imaginary negative form.

**Definition 3** Let  $A \in \text{End}(V)$  be an antisymmetric endomorphism on  $V$ , i.e.  $(Av, w) = -(v, Aw)$  for all  $v, w \in V$ . Define

1.  $\hat{A} \in \Lambda^2(V^*)$  by  $\hat{A}(v, w) = (Av, w)$ .
2.  $A^* \in \text{End}(V^*)$  by  $(A^*\eta)(v) = \eta(Av)$ , as well as its extension to  $\Lambda^*(V^*)$  as a derivation:

$$A^*(\alpha \wedge \beta) = (A^*\alpha) \wedge \beta + \alpha \wedge (A^*\beta).$$

We use throughout the article the shorthand notation  $\Lambda^k(M)$  for the bundle of alternating  $k$ -forms  $\Lambda^k(T^*M)$ .

**Definition 4** 1. Let  $R \in \Lambda^2(V^*) \otimes \text{End}(V)$  (we have in mind the curvature tensor of the Levi-Civita connection on a riemannian manifold). Define  $\mathcal{R} \in \text{End}(\Lambda^2(V^*))$  as follows: if  $R = \sum_j \alpha_j \otimes A_j$ , where  $\alpha_j \in \Lambda^2(V^*)$  and  $A_j \in \text{End}(V)$ , then

$$\mathcal{R}(\beta) = - \sum_j \alpha_j(\hat{A}_j, \beta), \quad \beta \in \Lambda^2(V^*).$$

2. Applying this definition to the curvature tensor of a riemannian manifold  $R \in \Gamma(\Lambda^2(M) \otimes \text{End}(TM))$ , we obtain the so-called curvature operator  $\mathcal{R} \in \Gamma(\text{End}(\Lambda^2(T^*M)))$ .

**Another word of caution concerning sign conventions:** we have made the choice of signs in the above definitions so as to make  $\mathcal{R}$  coincide with the curvature operator as defined in riemannian geometry. Thus, for example, the round sphere has a positive curvature operator (in fact, it is the identity operator). This is also tied up with our definition  $R = D^2$ , where there seems to be a conflict in the literature. In complex geometry it is usual to define the curvature of a connection by  $D^2$ , as we did in the Introduction. Thus, the curvature of the canonical bundle of  $\mathbb{C}P^1$  is  $i$  times the area form. In riemannian geometry on the other hand, probably for historical reasons, the curvature tensor of the Levi-Civita connection is defined by the formula  $\nabla_{[X,Y]} - [\nabla_X, \nabla_Y]$ , which amounts to defining  $R = -D^2$ . Our sign choice in Definition 4 is made so as to reconcile this conflict.

### 3 Three lemmas on hermitian structures

The first lemma is quite simple, and has probably appeared elsewhere. The second is essentially in Griffiths and Harris ([1], p.79, after overcoming the positivity confusion). The third is a curious fact about the Levi-Civita connection on a hermitian manifold, probably known, though we could not find it in the literature.

Let  $V$  be, as in the last section, a euclidean  $2n$ -dimensional real vector space with an orthogonal almost-complex structure  $J$ , and let  $\omega = (J\cdot, \cdot)$  denote the associated Kähler 2-form.

**Lemma 1** *Let  $A \in \text{End}(V)$  be an antisymmetric endomorphism of  $V$  and let  $\pi_0 : \Lambda_{\mathbb{C}}^n(V^*) \rightarrow \Lambda^{n,0}(V^*)$  denote orthogonal projection. Then*

$$\pi_0 \circ A^* \circ \pi_0^* = i(\hat{A}, \omega),$$

where  $A^* \in \text{End}(\Lambda_{\mathbb{C}}^n(V^*))$  and  $\hat{A} \in \Lambda^2(V^*)$  are given above in Definition 3.

**Proof.** Choose a unitary basis  $\theta_1, \dots, \theta_n$  for  $(V^*)^{1,0}$ , so that

$$\omega = i(\theta_1 \wedge \bar{\theta}_1 + \dots + \theta_n \wedge \bar{\theta}_n).$$

Now  $\psi = \theta_1 \wedge \dots \wedge \theta_n$  is a unitary element of  $\Lambda^{n,0}(V^*)$ , hence

$$\begin{aligned} \pi_0 \circ A^* \circ \pi_0^* &= (A^* \psi, \bar{\psi}) = \\ &= ((A^* \theta_1) \wedge \theta_2 \wedge \dots \wedge \theta_n, \bar{\theta}_1 \wedge \bar{\theta}_2 \wedge \dots \wedge \bar{\theta}_n) + \\ &\quad + (\theta_1 \wedge (A^* \theta_2) \wedge \dots \wedge \theta_n, \bar{\theta}_1 \wedge \bar{\theta}_2 \wedge \dots \wedge \bar{\theta}_n) + \dots \\ &= (A^* \theta_1, \bar{\theta}_1) + \dots + (A^* \theta_n, \bar{\theta}_n). \end{aligned}$$

Now, given any  $\alpha, \beta \in V^*$ , one can check easily from our definition of  $\hat{A}$  that

$$(A^* \alpha, \beta) = -(\hat{A}, \alpha \wedge \beta),$$

hence

$$\pi_0 \circ A^* \circ \pi_0^* = -(\hat{A}, \theta_1 \wedge \bar{\theta}_1 + \dots + \theta_n \wedge \bar{\theta}_n) = i(\hat{A}, \omega),$$

as claimed. □

**Corollary 1** *Let  $(M, g, J)$  be an almost-hermitian manifold and let  $\pi_0 : \Lambda^2(M) \otimes \Lambda_{\mathbb{C}}^n(M) \rightarrow \Lambda^2(M) \otimes \Lambda^{n,0}(M)$  denote orthogonal projection in the second factor. Then*

$$\pi_0 \circ R \circ \pi_0^* = i\mathcal{R}(\omega),$$

where  $\omega = g(J\cdot, \cdot)$  is the Kähler form,  $R \in \Gamma(\Lambda^2(M) \otimes \text{End}(\Lambda_{\mathbb{C}}^n(M)))$  is the curvature of the connection induced on  $\Lambda_{\mathbb{C}}^n(M)$  by the Levi-Civita connection on  $TM$ , and  $\mathcal{R}$  is the curvature operator associated to the riemannian metric (as in Definition 4 above).

**Proof.** The main point to notice is that if the curvature tensor of a connection on  $TM$  is given (locally) by  $\sum \alpha_j \otimes A_j$ , where  $\alpha_j \in \Gamma(\Lambda^2(M))$  and  $A_j \in \Gamma(\text{End}(TM))$ , then the curvature tensor of the induced connection on  $\Lambda_{\mathbb{C}}^n(M)$  is given by  $-\sum \alpha_j \otimes A_j^*$ , with  $A_j^*$  given by Definition 3. The result now follows immediately from the previous lemma and the definition of  $\mathcal{R}$ .  $\square$

**Lemma 2** *If  $\Phi \in \Lambda^{1,0}(V^*) \otimes_{\mathbb{C}} \text{End}_{\mathbb{C}}(W)$ , where  $W$  is a hermitian vector space, then  $\Phi^* \wedge \Phi \leq 0$ .*

**Proof.** As noted above (Section 2, after Definition 1),  $\Phi^*$  is of type  $(0, 1)$ , hence  $\Phi^* \wedge \Phi$  is of type  $(1, 1)$ . Next, for any  $X' \in V^{1,0}$ ,

$$\begin{aligned} (\Phi^* \wedge \Phi)(X', \bar{X}') &= \Phi^*(X')\Phi(\bar{X}') - \Phi^*(\bar{X}')\Phi(X') = \\ &= -\Phi^*(\bar{X}')\Phi(X') = -[\Phi(X')]^*\Phi(X'), \end{aligned}$$

and the claim follows since  $A^*A$  is a hermitian non-negative operator for any  $A \in \text{End}_{\mathbb{C}}(W)$ .  $\square$

**Lemma 3** *Let  $M^{2n}$  be a riemannian manifold with an orthogonal complex structure (i.e. a hermitian manifold). Denote by  $\nabla$  the Levi-Civita connection on  $TM$ , as well as its extension to  $\Lambda_{\mathbb{C}}^k(M)$  (using the Leibniz rule). Then the second fundamental form of the canonical bundle  $K = \Lambda^{n,0}(M) \subset \Lambda_{\mathbb{C}}^n(M)$ , with respect to the Levi-Civita connection, is of type  $(1, 0)$  (as in the previous Lemma).*

**Proof.** In fact, the statement is true for all the sub-bundles  $\Lambda^{k,0}(M) \subset \Lambda_{\mathbb{C}}^k(M)$ ,  $k = 1, 2, \dots, n$ , and follows from the case  $k = 1$ . To see this, let  $\theta_1, \dots, \theta_n$  be a local framing of  $\Lambda^{1,0}(M)$ , and

$$\nabla \theta_i = \sum_j (\alpha_{ij} \otimes \theta_j + \beta_{ij} \otimes \bar{\theta}_j), \quad \alpha_{ij}, \beta_{ij} \in \Lambda_{\mathbb{C}}^1(M).$$

Then for  $k = 1$  the claim is that the 1-forms  $\beta_{ij}$  are of type  $(1, 0)$ . If this is true, then for any  $k \geq 1$ ,

$$\begin{aligned} \nabla(\theta_{i_1} \wedge \dots \wedge \theta_{i_k}) &= (\nabla \theta_{i_1}) \wedge \theta_{i_2} \wedge \dots \wedge \theta_{i_k} + \theta_{i_1} \wedge (\nabla \theta_{i_2}) \wedge \theta_{i_3} \wedge \dots \wedge \theta_{i_k} + \dots \\ &= \sum_j \beta_{i_1, j} \otimes (\bar{\theta}_j \wedge \theta_{i_2} \wedge \dots \wedge \theta_{i_k}) + \beta_{i_2, j} \otimes (\theta_{i_1} \wedge \bar{\theta}_j \wedge \dots \wedge \theta_{i_k}) + \dots \\ &\quad \dots + (\text{something in } \Lambda_{\mathbb{C}}^1 \otimes_{\mathbb{C}} \Lambda^{k,0}) \end{aligned}$$

so that the second fundamental form of  $\Lambda^{k,0}(M) \subset \Lambda_{\mathbb{C}}^k(M)$  is of type  $(1, 0)$ .

Now for the case  $k = 1$ , i.e. to see that the 1-forms  $\beta_{ij}$  above are of type  $(1, 0)$ , we argue as follows. First, we pick the frame  $\theta_1, \dots, \theta_n$  to be a *unitary* frame, i.e.  $(\theta_i, \bar{\theta}_j) = \delta_{ij}$ . It then follows that

$$0 = d(\theta_i, \theta_j) = (\nabla \theta_i, \theta_j) + (\theta_i, \nabla \theta_j) = \beta_{ij} + \beta_{ji},$$



i.e.  $\beta_{ij} = -\beta_{ji}$ .

Next, by the torsion-freeness of  $\nabla$ , we have

$$d\theta_i = \text{anti-symmetrization of } \nabla\theta_i = \sum_j (\alpha_{ij} \wedge \theta_j + \beta_{ij} \wedge \bar{\theta}_j).$$

Now, for an integrable almost-complex structure, we have the vanishing of the  $(0, 2)$ -component of  $d : \Lambda^{1,0} \rightarrow \Lambda^2$ , hence, taking  $(0, 2)$ -components of the last equation we have

$$0 = \sum_j \beta''_{ij} \wedge \bar{\theta}_j,$$

where  $\beta''_{ij}$  denotes the  $(0, 1)$ -component of  $\beta_{ij}$ . If we write  $\beta''_{ij} = \sum_k \beta''_{ijk} \bar{\theta}_k$ , then the last equation reads

$$0 = \beta''_{ijk} - \beta''_{ikj},$$

i.e.  $\beta''_{ijk} = \beta''_{ikj}$ , and this, combined with the previous  $\beta''_{ijk} = -\beta''_{jik}$  yields  $\beta''_{ij} = 0$  (we use here “the  $S_3$ -lemma”: any tensor  $T_{ijk}$  which is symmetric in one pair of indices and anti-symmetric in another pair is identically zero).  $\square$

In summary, we have obtained the following:

**Proposition 1** *Let  $M^{2n}$  be a hermitian manifold (a riemannian manifold with an orthogonal complex structure). If we equip its canonical bundle  $\Lambda^{n,0}(M)$  with the connection induced by the Levi-Civita connection of  $M$ , then its curvature  $\Omega$  is given by the formula*

$$\Omega = i\mathcal{R}(\omega) + \Phi^* \wedge \Phi,$$

where  $\omega$  is the Kähler 2-form associated with the hermitian structure,  $\mathcal{R}$  is the curvature operator associated to the riemannian structure (see Definition 4 in Section 2), and

$$\Phi^* \wedge \Phi \leq 0.$$

This last inequality means, recalling our sign conventions of Definition 2 in Section 2, that  $i(\Phi^* \wedge \Phi)$  is a non-positive real  $(1, 1)$ -form.

**Remark.** There is an analogous statement for an *almost-Kähler* manifold, i.e. when the almost-complex structure is not necessarily integrable but the Kähler form is closed (so we have a symplectic manifold). The difference is that in this case the second fundamental form is of type  $(0, 1)$ , hence the correction term  $\Phi^* \wedge \Phi$  in the curvature formula is *non-negative*.

## 4 Some applications

As an immediate corollary to Proposition 1 we obtain the following result of LeBrun [2]:

**Corollary 2** *There is no complex structure on  $S^6$  which is orthogonal with respect to the round metric.*

**Proof.** For the round metric on a sphere,  $\mathcal{R}$  is the identity operator. Therefore, for any orthogonal complex structure, the formula of Proposition 1 for the curvature of the canonical line bundle gives

$$\Omega = i\omega + \Phi^* \wedge \Phi \leq i\omega < 0.$$

It follows that the closed 2-form  $\Omega$  is non-degenerate, i.e. symplectic, which is impossible since  $H^2(S^6) = 0$ .  $\square$

The next corollary extends the above conclusion to a  $C^2$ -neighbourhood of the round metric on  $S^6$ .

**Corollary 3** *Let  $g$  be a riemannian metric on  $S^6$  satisfying the following conditions:*

- *The curvature operator  $\mathcal{R}$  is positive (i.e. all its eigen values are positive).*
- *At each point  $x \in S^6$ , the ratio of the largest eigen-value  $\lambda_{max}$  of  $\mathcal{R}$  to the lowest eigen-value  $\lambda_{min}$  satisfies  $\lambda_{max}/\lambda_{min} < 7/5 = 1.4$ .*

*Then  $(S^6, g)$  does not admit an orthogonal complex structure.*

The proof of the last corollary is based on the following

**Lemma 4** *Let  $V$  be a  $2n$ -dimensional euclidean vector space with an orthogonal complex structure  $J$ , and let  $\omega = (J\cdot, \cdot)$  be the associated Kähler form. Let  $\Omega_0$  be an imaginary  $(1, 1)$ -form satisfying  $\Omega_0 \leq i\omega$ . (See Definition 2 in Section 2 for the sign convention. Note that in particular, since  $i\omega < 0$ ,  $\Omega_0$  is also negative, hence non-degenerate).*

*Then, if  $\Omega$  is any imaginary 2-form satisfying*

$$\|\Omega - \Omega_0\| < \frac{1}{2\sqrt{n}},$$

*$\Omega$  is non-degenerate.*

**Proof.** First, a brief reminder about norms. We use the euclidean norm on  $V$  to embed  $\Lambda^2(V^*) \subset \text{End}(V)$  as antisymmetric endomorphisms:  $\alpha \mapsto A$ , where  $A$  is given by  $(Av, w) = \alpha(v, w)$ . In fact, this is the inverse of our map of Definition 3 in Section 2,  $A \mapsto \hat{A} = \alpha$ .

Next, the euclidean structure on  $V$  induces a euclidean norm  $\|\cdot\|_{\mathbb{E}}$  on  $\text{End}(V)$  by  $\|A\|_{\mathbb{E}}^2 = \sum |A_{ij}|^2$ , where  $A_{ij}$  are the components of an element  $A \in \text{End}(V)$  with respect to an orthonormal basis of  $V$ . This norm is *multiplicative*, i.e.  $\|AB\|_{\mathbb{E}} \leq \|A\|_{\mathbb{E}}\|B\|_{\mathbb{E}}$ . Using this multiplicativity property, one can show that if  $A \in \text{End}(V)$  satisfies  $\|A\|_{\mathbb{E}} < 1$ , then  $I + A + A^2 + \dots$  is convergent, thus giving an inverse to  $I - A$ .

Unfortunately, the norm  $\|\cdot\|_{\mathbb{E}}$  induces on  $\Lambda^2(V^*)$  a norm which differs by a constant from the standard norm on  $\Lambda^2(V^*)$ : for any 2-form  $\beta$ ,  $\|\beta\|_{\mathbb{E}} = \sqrt{2}\|\beta\|$ . For example, the Kähler form  $\omega$  has (standard) norm  $\sqrt{n}$ , whereas the corresponding endomorphism, namely  $J$ , has norm  $\sqrt{2n}$ . In what follows, we will work with the  $\|\cdot\|_{\mathbb{E}}$  norm on 2-forms.

Now, we can diagonalize  $\omega$  and  $\Omega_0$  simultaneously (over  $\mathbb{C}$ ), obtaining

$$\omega = i \sum \theta_j \wedge \bar{\theta}_j, \quad \Omega_0 = \sum \lambda_j \theta_j \wedge \bar{\theta}_j,$$

with  $\{\theta_j\}$  a unitary frame, and the condition  $\Omega_0 \leq i\omega$  implies  $\lambda_j \leq -1$ . Then

$$\Omega_0^{-1} = \sum \frac{1}{\lambda_j} \theta_j \wedge \bar{\theta}_j,$$

thus

$$\|\Omega_0^{-1}\|_{\mathbb{E}}^2 = 2 \sum \left| \frac{1}{\lambda_j} \right|^2 \leq 2n.$$

Now,

$$\Omega = \Omega_0 + (\Omega - \Omega_0) = \Omega_0 (I + \Omega_0^{-1}(\Omega - \Omega_0)),$$

and our condition of  $\|\Omega - \Omega_0\| < 1/(2\sqrt{n})$  translates to

$$\|(\Omega - \Omega_0)\|_{\mathbb{E}} < \frac{1}{\sqrt{2n}},$$

hence

$$\|\Omega_0^{-1}(\Omega - \Omega_0)\|_{\mathbb{E}} \leq \|\Omega_0^{-1}\|_{\mathbb{E}} \|(\Omega - \Omega_0)\|_{\mathbb{E}} < \sqrt{2n} \cdot \frac{1}{\sqrt{2n}} = 1,$$

and so  $\Omega$  is non-degenerate.  $\square$

**Proof of Corollary 3.** Let us suppose there is a complex structure on  $S^6$  which is orthogonal with respect to a metric  $g$  whose curvature operator satisfies the said conditions. From Proposition 1, the curvature of the associated canonical line bundle is given by

$$\Omega = i\mathcal{R}(\omega) + \Phi^* \wedge \Phi = i(\mathcal{R}\omega - \omega) + \Omega_0,$$

where  $\Omega_0 = i\omega + \Phi^* \wedge \Phi \leq i\omega$ . Now we apply the previous lemma. We conclude that  $\Omega$  is non-degenerate provided  $\|\mathcal{R}\omega - \omega\| < 1/(2\sqrt{3})$  (pointwise).

Now, by rescaling the metric if necessary (this does not affect of course the orthogonality of the complex structure), we can bring the eigen-values of  $\mathcal{R}$  to the range  $(5/6, 7/6)$ , so that the eigen-values of  $\mathcal{R} - I$  are in the range  $(-1/6, 1/6)$ . This implies that  $\|(\mathcal{R} - I)\alpha\| < (1/6)\|\alpha\|$  (pointwise) for any  $\alpha \in \Lambda^2(M)$ , so in particular

$$\|\mathcal{R}\omega - \omega\| < \frac{1}{6}\|\omega\| = \frac{1}{6}\sqrt{3} = \frac{1}{2\sqrt{3}}.$$

And so, according to the lemma above, the closed 2-form  $\Omega$  is non-degenerate, i.e. symplectic, which is impossible since  $H^2(S^6) = 0$ .  $\square$

**Remarks.**

**1.** It is tempting to generalize Corollary 3 to the case of a hermitian structure on a  $2n$ -dimensional manifold with a positive curvature operator satisfying  $\lambda_{max}/\lambda_{min} < (2n + 1)/(2n - 1)$ . Unfortunately, such a generalization is useless, because of the well-known “sphere-theorem”, which implies that the universal cover of a complete riemannian manifold satisfying our curvature bound is a sphere, on which a complex structure is in question only in dimension 6 (because in all dimensions except 2 and 6 the  $n$ -sphere does not admit even an almost-complex structure), so we are back to our case.

**2.** However, we believe that one should be able to use Proposition 1 beyond what we have done here, because of the following argument. The condition of orthogonality of a complex structure with respect to a riemannian metric is obviously conformally invariant. On the other hand, the curvature restriction in Corollary 3 is *not* conformally invariant. Thus, Corollary 3 can be improved by including any metric on  $S^6$  which is conformal to a metric satisfying the given curvature condition, but one hopes for a more explicit condition, say in terms of the Weyl tensor. So far, we were not able to derive such a condition.

**3.** Another direction in which one could possibly use Proposition 1 is by applying it to some specific classes of hermitian structures. In such cases one may be able to give a more delicate estimate of the terms in the formula of Proposition 1, especially the  $\mathcal{R}\omega$  term.

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