## The case of HCOOH on (101) anatase TiO<sub>2</sub>: where is the acid proton?

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**Abstract:** Carboxylic acids adsorption on anatase  $TiO_2$  is a relevant process in many technological applications. Yet, in spite of several decades of investigations, the acid proton localization – either on the molecule or on the surface – is still an open issue. By modeling the adsorption of formic acid on top of (101) anatase surfaces, we highlight the formation of a short-strong hydrogen bond. In the 0 K limit, the acid proton behavior is ruled by quantum delocalization effects in a single potential well, while at room conditions the proton undergoes a rapid classical shuttling in a shallow two-wells free energy profile. This picture, supported by agreement with available experiments, shows that the anatase surface acts like a protecting group for the carboxylic acid functionality. Such a new conceptual insight might help rationalize chemical processes involving carboxylic acids on oxide surfaces.

Atomistic insight of adsorbed -COOH groups on titanium dioxide is of key relevance in photocatalysis and environmental remediation processes.<sup>[1,2]</sup> For example, the interaction of carboxylic groups with TiO<sub>2</sub> surfaces governs the anchoring of solar cell sensitizers.<sup>[3]</sup> Also, TiO<sub>2</sub> catalyzes, in the absence of any solvent, the direct amidation of R-COOH with amines,<sup>[4]</sup> and amino acid oligomerization in prebiotic conditions.<sup>[5-7]</sup> Noteworthy, atmospheric carboxylic acids form a self-assembled monolayer on rutile TiO<sub>2</sub> (110), showing an impressive adsorption selectivity with respect to alcohols, present in much higher concentrations.<sup>[8]</sup> This behavior, proposed to have a huge impact on both self-cleaning properties and photocatalytic performances of TiO<sub>2</sub>, was rationalized by the atomistic details of the formate adsorption geometry. Indeed, the dissociative adsorption of HCOOH on rutile TiO<sub>2</sub> (110) in a bidentate mode is now firmly established at both low and high coverages.<sup>[8-10]</sup> On the contrary, for anatase, which is the preferred TiO<sub>2</sub> form in many technological applications, the adsorption of small carboxylic acids still shows puzzling aspects.[11-18] This holds especially true for the most stable surface of this titania form, the (101) one, which is also the principal termination of anatase nanoparticles.<sup>[11,13,14,19-21]</sup> One of the main issues stems from the results of IRRAS and STM surface science experiments dealing with the adsorption of HCOOH<sup>[22]</sup> and H<sub>3</sub>C-COOH,<sup>[23]</sup> respectively, on non-defective terminations of anatase (101)

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single crystals. In both cases, the features of the signals related to the HCOO/H<sub>3</sub>C-COO moieties pointed toward the occurrence of a dissociative adsorption. Conversely, neither IRRAS nor STM studies gave clear indications of the acid proton fate: no OH stretching signal was detected by IRRAS, and no surface hydroxyl groups were found in STM analyses. Thus, a simple, but fundamental question arises: where is the missing proton? Here we report a new finding shedding light on this problem: the acid proton is shared between the carboxylate group and a surface oxygen, and forms a short-strong-hydrogen-bond (SSHB). The proton behavior is ruled by quantum delocalization at low temperature and by thermally activated shuttling at room temperature. This picture, obtained from modeling yet in line with experiments, suggests that the catalytic oxide surface acts as a protecting group with respect to Brønsted acid functionality. To trace the fate of the formic acid proton, we first perform DFTbased<sup>[24]</sup> first principles molecular dynamics (FPMD)<sup>[25,26]</sup> at room-temperature conditions, because thermal effects crucially influence the behavior at molecule-material interfaces.<sup>[19,27-32]</sup> We focus on a regular non-defective (101) model, and adopt different anchoring geometries for HCOOH.



**Figure 1. a-c:** Snapshots from a 300 K simulation of monodentate HCOOH on  $TiO_2(101)$ ; **a)** undissociated HCOOH strongly hydrogen bonded to a surface oxygen  $O_s$ ; **b)** the acid proton is shuttling between the formate moiety and the surface; **c)**  $O_s$  is now protonated, and strongly hydrogen bonded to the formate. **d)** IR spectrum calculated from this trajectory. Wavenumbers were scaled using a scaling factor of 1.0678 (see SI).

The starting point was a structure proposed on the basis of IRRAS spectra,<sup>[22]</sup> depicting the dissociation of HCOOH in a  $HCOO^{-}$  monodentate to a surface Ti (Ti<sub>s</sub>) and a proton transferred to an oxygen atom of the surface (O<sub>s</sub>), no longer

interacting with the formate. This system resulted thermally unstable: after few ps of FPMD at 300 K, the HCOO<sup>-</sup>(Ti) and H<sup>+</sup>(O<sub>s</sub>) adducts relaxed to a undissociated HCOOH monodentate to a Ti<sub>s</sub> (see SI for details). Moreover, the H<sup>+</sup>(O<sub>s</sub>) stretching mode shows a well defined frequency (3621.3 cm<sup>-1</sup>), whereas no signal attributable to the OH stretching mode was detected in IRRAS measurements.<sup>[22]</sup> The attention was then turned to the 300 K trajectories where HCOOH maintains monodentate adsorption with one oxygen bonded to a 5-coordinated Ti (Figure 1a-c). Nonetheless, the other carboxyl oxygen Of initially protonated, forming an OH moiety hydrogen bonded to a surface oxygen Os- is, on average, only transiently protonated at 300 K, as the acid proton shuttles between O<sub>f</sub> (Figure 1a) and O<sub>s</sub> (Figure 1c) (see SI, movie M1). The spectroscopic signature of this regime is a very broad and low-intensity band in the 2500-1800 cm<sup>-1</sup> region (Figure 1d; see SI, Figure S4 for other simulated IR patterns), likely difficult to be observed in experimental spectra. On the other hand, the calculated O-C-O vibrational features of the monodentate adduct (1680 and 1290 cm<sup>-1</sup>) are in good agreement with IRRAS data (1647 and 1315 cm<sup>-1</sup>). So low OH stretching frequencies are peculiar of strong hydrogen bonds X··H··Y in molecular systems with short X-Y distances,<sup>[33]</sup> and are also found in proton sharing moieties in condensed phases.<sup>[34-38]</sup> As a matter of fact, in our simulation the average O<sub>f</sub>-O<sub>s</sub> distance is 2.484 Å, typical of SSHB.



**Figure 2. a)** Inset: Snapshot from a 300 K simulation of (dissociated) bridging formate on  $TiO_2(101)$ . Vibrational spectra: Fourier-transforms of the autocorrelation function for the three dipole moment components calculated from this simulation. **b)** IR spectrum calculated by Fourier-transform of the total dipole moment autocorrelation function. Wavenumbers were scaled using a scaling factor of 1.0678 (see SI).

For the sake of completeness, we considered the case of a stable dissociative adsorption of HCOOH, resulting from a

formate (HCOO<sup>-</sup>) moiety located on top of a protonated regular (101) facet in a bidentate bridging mode. Calculated IR signals and significant snapshots from FPMD are shown in Figure 2 (see also SI, Figure S4, for other simulated IR patterns). By inspecting the components of the IR pattern (Figure 2a), we notice a strong signal of the [010] component, which should correspond to a strong negative IRRAS band (see ref.<sup>[22]</sup> for details). Indeed, such band - as well as the signal due to v(OH), here calculated at 3680 cm<sup>-1</sup> (Figure 2b), were missing in the experimental spectra. Moreover, in this case the calculated O-C-O vibrational features (1520 and 1360 cm<sup>-1</sup>, Figure 2b) were in a definitely worse agreement with respect to those in IRRAS spectra (see above). All relevant vibrational frequencies are listed in Table 1, also compared with the harmonic frequencies calculated for the minima commented on below.

Table 1. Positions of IR signals (cm $^{-1}$ ) calculated for surface adducts in Figures 1-2, and harmonic frequencies for the minima in Figure 3.

	ν(C-H)	ν(О-Н)	v(O-C-O)		
Experimental <sup>[a]</sup>	Not reported	Not detected	1647; 1315		
First-Principles MD					
Monodentate	2900-3000	1800-2500	1680; 1290		
Bridging HCOO $(Ti_s)$ and $H^+(O_s)$	2900-3000	3680	1520; 1360		
Harmonic Frequencies					
undissociated (II)	3014	2370	1653; 1442		
shared-H (III)	2983	1676	1530; 1359		
dissociated (I)	2959	2295	1570;1346		

[a] Experimental data<sup>[22]</sup> included for comparison refer to monodentate species on non-defective (101) anatase.

As the molecule-surface proton-shuttling could be relevant for HCOOH reactivity on anatase, we calculated the free energy  $path^{[39]}$  for this process at 50 K and 300 K (Figure 3a, including also data at 0 K, see below).

In both cases we found two free energy minima, corresponding to the dissociated (I) and non-dissociated (II) forms. The barrier is clearly small (0.84 and 1.17 kcal/mol at 50 and 300 K, respectively): in term of kT (Figure 3b) the barrier (8.5 kT at 50 K) decreases to only 2.0 kT at 300 K, indicating that proton shuttling can be operative at room conditions. Also, temperature copes to reduce the thermodynamic stability difference between the two forms (which is 6.4 kT at 50 K and 0.9 kT at 300 K).



**Figure 1. a)** Free energy profiles for proton shuttling at 300 K and 50 K. The reaction coordinate  $r=r(H-O_s)-r(H-O_t)$  is defined as the difference between the distances of the proton from carboxylate oxygen  $O_t$  and surface oxygen  $O_s$ , respectively (estimated error bar: 0.1 kcal/mol). Blue diamonds: zpe-corrected relative energies of the 0 K optimized geometry of the dissociated (I), undissociated (II) and proton-sharing (III) forms of the adsorbate. **b)** Free energy profiles at 300 K and 50 K in kT-units.

Starting from the 50 K free energy profile, we calculated energy minima (at 0 K) at different levels of theory. At the PBE<sup>[24]</sup> level we found modest energy differences, with a preference for structure **II** by 0.67 kcal/mol and a (0 K) barrier of 0.75 kcal/mol. By including dispersion corrections,<sup>[40]</sup> form **II** is more stable by 0.48 kcal/mol and the shuttling barrier is 0.68 kcal/mol. Such a trend is not quantitatively confirmed by the PBE0<sup>[41]</sup> data, which predict form **I** more stable by 0.88 kcal/mol and a shuttling barrier of 0.95 kcal/mol. However, the PBE0 results confirm that the energy difference between the two structures is limited, therefore we can conclude that the 0 K energy differences involving monodentate HCOOH are all within 1 kcal/mol, irrespective of the adopted level of theory.

For the two PBE minima we calculated the harmonic frequencies (Table 1): in line with the spectrum from the 300 K simulation (Figure 2d), there are no OH signals at wavenumbers > 3000 cm<sup>-1</sup>, and the CH stretching modes are found at much higher energy than the acid proton modes. Such low values for v(OH) are due to very strong molecule-surface hydrogen bonding, as indicated by the O<sub>s</sub>-O<sub>f</sub> separation found in the minimum structures I and II - 2.463 Å and 2.479 Å, respectively.

So far we discussed the thermal behavior of HCOOH on TiO<sub>2</sub> anatase in a purely classical mechanics frame. Actually, formic acid protons<sup>[35,42–45]</sup> and in general proton transfer events<sup>[46–50]</sup> can be strongly influenced by quantum effects, in particular by zero point energies (zpe).<sup>[46]</sup> To gather insight, we optimized the structure of the activated complex **III** at the PBE level (see SI for details). The harmonic frequency involving the

shared proton resulted 1676 cm<sup>-1</sup> (with an  $O_s$ - $O_f$  distance of 2.395 Å), not surprisingly even lower than the wavenumbers found for the double-well species I and II. By adding to the energy of the three optimized configurations the zpe, the barrier disappears (see Figure 3a): hence, the quantum approach predicts a shared proton in a single well at 0 K. Yet the energy differences still remain below 1 kcal/mol, even upon inclusion of the zpe contribution. This result indicates that, to ascertain whether the molecule-surface proton motion is governed by a single-well or a double-well potential, one should rest on electronic-structure calculations assuring at least 0.1 kcal/mol accuracy, which are hardly feasible for systems of this size.<sup>[51]</sup>

Our study opens an intriguing question - single vs doublewell potential for acid protons at bare TiO<sub>2</sub> interfaces, while providing useful chemical insight on the surface behavior of carboxylic functionalities. Firstly, both the IR signal due to classic proton shuttling (Figure 2d), and the harmonic frequency associated to the quantum mechanical minimum (1676 cm<sup>-1</sup>) fall in the region of strong hydrogen bonds – *i.e.*, below the CH stretching modes and very close to the highest O-C-O mode of adsorbed HCOOH. Such information may help experimentalists in identifying shared or shuttling protons from the presence of low-wavenumber (and presumably very broad and with a low intensity at maximum) v(OH) signals in vibrational spectra of adsorbed carboxylic acids. Our floating proton model might also explain the reported STM acid proton "invisibility",<sup>[23]</sup> as the carboxylate group could conceal the proton from the tip.

Secondly, earlier studies on nuclear quantum effects in molecular systems showed that, while quantum fluctuations and zero point motion dominate at very low temperature, the thermally activated ("classical") behavior should prevail at room temperature.<sup>[46,52,53]</sup> To explore this hypothesis, we simulate, at room conditions, the adsorption of a species less sensitive than HCOOH to quantum delocalization effects:<sup>[46,54]</sup> deuterated formic acid (DCOOD). This 300 K simulation reveals that even the acid deuteron shuttles between a TiO<sub>2</sub> surface oxygen and a carboxylic oxygen (See Figure S5). Hence, a "classic" double-well model may be eligible to describe HCOOH adsorption on defect-free portions of anatase TiO<sub>2</sub>{101} at room temperature.

A third remark, which is more chemical in nature, is that both the quantum and the classical pictures predict that HCOOH on  $TiO_2$  anatase is essentially a neutral moiety (see SI, Table S2). Hence, its reactivity may be different from that of a fully-dissociated formic acid – *i.e.* a negatively charged formate and a surface hydroxyl group. Our floating proton is encaged between one carboxyl oxygen and a surface oxygen, and it is strongly coupled, both electronically and vibrationally, to the TiO<sub>2</sub> lattice (see SI, Figures S6-S8). As a consequence, a SSHB with covalent character is formed, which damps the Brønsted acid functionality of formic acid. This protecting-group role of the surface could explain, for example, why carboxylic acids preadsorbed on TiO<sub>2</sub> nanoparticles undergo amidation instead of salt formation upon addition of amines.<sup>[4]</sup>

In conclusion, we propose a dynamic model of the adsorption of small carboxylic acids on anatase-TiO<sub>2</sub>, which is consistent with experiments, and involves either a rapid proton shuttling between a carboxylic and a surface oxygen, or a proton sharing

due to quantum delocalization. Whereas the quantum mechanical picture should dominate at low temperatures, molecule-to-surface proton shuttling (classical mechanical picture) should be the prevailing mechanism at room conditions. In both cases, the acid proton is coupled both to the molecule and to TiO<sub>2</sub> via a SSHB. Short HBs with low barriers are considered essential in enhancing catalytic rates of enzymatic reactions (see e.g. Refs.<sup>[55-57]</sup>). Strong HBs have been also observed at the surface of some inorganic materials and polymers upon interaction of surface (super)acid protons with adsorbed base molecules.<sup>[58]</sup> The peculiarity of our case is that the strong HB arises upon adsorption of a weak acid on a weakly reactive surface, and protects the acid functionality of the carboxylic group. Hence, two ideas quintessential of organic synthesis (protecting-group) and enzymatic catalysis (SSHB), meld together in a new surface chemistry concept, that may help interpreting behavior, reactivity and catalytic processes involving acid protons and carboxyl groups at material interfaces.

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## **Entry for the Table of Contents**

## COMMUNICATION

By investigating the fate of a Brønsted acid proton we discover the protecting-group ability of  $TiO_2$ . This new surface chemistry concept has been captured by modeling HCOOH on top of  $TiO_2$  (101) anatase facets. The acid proton is shared between adsorbate and surface forming a Short Strong Hydrogen Bond



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