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THE *Chandra* COSMOS SURVEY: III. OPTICAL AND INFRARED IDENTIFICATION OF X-RAY POINT SOURCES

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ABSTRACT

The *Chandra* COSMOS Survey (C-COSMOS) is a large, 1.8 Ms, *Chandra* program that has imaged the central 0.9 deg^2 of the COSMOS field down to limiting depths of 1.9×10^{-16} erg cm^{-2} s^{-1} in the soft (0.5–2 keV) band, 7.3×10^{-16} erg cm^{-2} s^{-1} in the hard (2–10 keV) band, and 5.7×10^{-16} erg cm^{-2} s^{-1} in the full (0.5–10 keV) band. In this paper we report the i, K and 3.6μm identifications of the 1761 X-ray point sources. We use the likelihood ratio technique to derive the association of optical/infrared counterparts for 97% of the X-ray sources. For most of the remaining 3% , the presence of multiple counterparts or the faintness of the possible counterpart prevented a unique association. For only 10 X-ray sources we were not able to associate a counterpart, mostly due to the presence of a very bright field source close by. Only 2 sources are truly empty fields. The full catalog, including spectroscopic and photometric redshifts and classification described here in detail, is available on-line. Making use of the large number of X-ray sources, we update the "classic locus" of AGN defined 20 years ago in soft X-ray surveys and define a new locus containing 90% of the AGN in the survey with full band luminosity $>10^{42}$erg s^{-1}. We present the linear fit between the total *i* band magnitude and the X-ray flux in the soft and hard band, drawn over 2 orders of magnitude in X-ray flux, obtained using the combined C-COSMOS and XMM-COSMOS samples. We focus on the X-ray to optical flux ratio (X/O) and we test its known correlation with redshift and luminosity, and a recently introduced anti-correlation with the concentration index (C). We find a strong anti-correlation (though the dispersion is of the order of 0.5 dex) between C and X/O, computed in the hard band, and that 90% of the obscured AGN in the sample with morphological information live in galaxies with regular morphology (bulgy and disky/spiral), suggesting that secular processes govern a significant fraction of the BH growth at X-ray luminosities of $10^{43} - 10^{44.5}$erg s^{-1}. We also investigate the degree of obscuration of the sample, using the hardness ratio and we compare the X-ray color with the near-infrared-to-optical one.

Subject headings: galaxies: active – surveys – X-rays:galaxies

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1. INTRODUCTION

There is now much evidence showing that galaxies and their central supermassive black holes (SMBHs) undergo closely coupled evolution. The masses of SMBHs in the nuclei of most nearby bulges are tightly proportional to bulge luminosity and velocity dispersion (Magorrian et al. 1998, Gebhardt et al. 2000, Ferrarese & Merrit 2000, Merloni et al. 2010). Most SMBH growth occurs during their active phases (the "Soltan argument", Soltan 1982), implying that most bulges went through an Active Galactic Nuclei (AGN) phase. Galaxies and AGN exhibit co-ordinated cosmic "downsizing": the star-formation of massive galaxies peaks at z~2 (Cimatti et al. 2006), and BH growth, as traced by quasar luminosity function (Hasinger et al. 2005, Silverman et al. 2008), peaks in the same redshift range (z=2-3), while the star-formation of lower mass galaxies peaks at z=1-1.5, as do lower-mass and lower-luminosity AGN (La Franca et al. 2005, Bongiorno et al. 2007). SMBH growth seems to be connected with galaxy growth, but the details of this mutual relation remain still poorly understood (i.e., Merloni & Heinz 2008).

To fully understand how co-evolution works requires the measurement of at least 7 parameters in large samples of AGN: (1) SMBH mass (M_{BH}, using broad emission line widths and optical luminosity), (2) SMBH growth rate (from bolometric luminosity) (3) galaxy mass (M_{star}, via optical to infrared spectral energy distributions, SEDs, fitting), (4) star formation rate (SFR, using far infrared or UV emission, narrow emission lines in optical spectra), (5) AGN host galaxy morphology (high resolution imaging), (6) galaxy environment (using spectroscopic or reliable photometric redshifts) and (7) Dark Matter halo mass (lensing studies). To measure all the above quantities, a complete sample with spectroscopic and radio to X-ray photometric data is necessary. To assemble this kind of sample is not an easy task.

The selection of AGN at X-ray wavelengths does not suffer from the heavy contamination by non-active (mainly star-forming) galaxies that affects infrared or optical surveys (Donley et al. 2008, 2012). Moreover, X-ray surveys are efficient in selecting low-luminosity and obscured AGN up to equivalent hydrogen column densities, N_H, of 10^{24} cm^{-2} (Compton thick regime). Therefore, X-ray selected samples of AGN, coupled with multiwavelength data, are ideal to cleanly derive the bolometric output and the accretion rate of the BHs in the majority of AGN. For these reasons, over the last 10 years, deep and wide X-ray surveys have been carried out with the *Chandra* and XMM-*Newton* satellites to generate samples of X-ray emitting AGN, coupled with deep multiwavelength spectroscopic and photometric coverage to provide all the above parameters for large samples over large volumes.

Chandra and XMM-*Newton* contiguous extragalactic surveys are, like a wedding cake, layered in increasing depth but decreasing area (see Figure 5 of Elvis et al. 2009), from the wide/shallow XBootes survey (9 deg^2, Murray et al. 2005) to the ultra-deep/narrow survey of the 4 Ms *Chandra* Deep Field South (CDFS, 0.1 deg^2, Xue et a. 2011). The major advantage of ultra-deep surveys is that they are able to detect the X-ray emission of non-active galaxies at medium-high redshifts (Luo et al. 2011, Lehmer et al. 2012), although they do not probe a large cosmological volume and thus contain relatively small numbers of sources at any given redshift. Larger areas are required to uniformly sample the parameter space (luminosity and redshift), obtain large samples of rare sources

(e.g., recoiling SMBH, Civano et al. 2010) and measure large-scale structures in the universe (Hickox et al. 2011, Allevato et al. 2011, Capak et al. 2011) and hence determine the relationship between galaxy evolution and local environmental density. For a complete picture, it is clearly necessary to explore the parameter space between the ultra-deep and ultra-wide surveys. Eventually, the best approach to trace the full population of AGN at all redshifts and all luminosities is to merge the data coming from all the layers of the wedding cake (see e.g., Fiore et al. 2012).

The *Chandra*-COSMOS project (C-COSMOS; Elvis et al. 2009, Paper I hereinafter) hits a sweet spot among the wedding cake layers (Fig.5 in Elvis et al. 2009): deep enough (1.9×10^{-16} erg cm^{-2} s^{-1} in the 0.5–2 keV band) to find obscured AGN with optical galaxy continua and wide enough (0.9 deg^2) to have large samples (1761 X-ray point-like sources) and find unusual, rare objects (Civano et al. 2010, Civano et al. 2012, Capak et al. 2011). Yet, the C-COSMOS sources are bright enough that virtually all X-ray sources can be identified and followed up across their SEDs, especially with optical or near-IR spectroscopy, using the vast Cosmic Evolutionary Survey (COSMOS, Scoville et al. 2007) multiwavelength photometric and spectroscopic database (Schinnerer et al. 2007, Sanders et al. 2007, Taniguchi et al. 2007, Capak et al. 2007, Koekemoer et al. 2007, Zamojski et al. 2007, Lilly et al. 2007, Trump et al. 2007). Largely thanks to the low background of *Chandra*, the C-COSMOS survey reaches 4 times fainter fluxes than XMM-COSMOS (Hasinger et al. 2007, Cappelluti et al. 2009, Brusa et al. 2010) in both the 0.5-2 keV and 2-8 keV bands. The heavily overlapping "dense tiling" observation strategy, now also used in other *Chandra* surveys (e.g., Drake et al. 2009), combined with the sub-arcsecond on-axis *Chandra* point spread function (PSF, Weisskopf et al. 2002), provides a rather uniform sensitivity in C-COSMOS (see Paper I and Puccetti et al. 2009, Paper II, for details). Thus, the high-resolution area is maximized to resolve sources with a sub-arcsecond position accuracy, a key ingredient for the best identification of the optical counterparts of the X-ray sources, to find AGN pairs (Silverman et al. 2011, Cunningham et al. in prep.) and off-nuclear sources (Mainieri et al. 2010).

Historically, various classes of X-ray emitters have been characterized by different values of the X-ray-to-optical flux ratio (hereinafter X/O = $log(f_X/f_{opt})$) which provides a first indication of the source classification (Maccacaro et al. 1988). Originally, the X/O ratio was defined in the soft band of the Einstein Observatory (0.3-3.5 keV) at fluxes of 10^{-13} to 10^{-11} erg cm^{-2} s^{-1} and later modified to the lower energy ROSAT band (0.1-2.4 keV); the majority of spectroscopically identified AGN in soft X-ray surveys were characterized by X/O=0±1.

With the advent of *Chandra* and XMM-*Newton*, the same relation has been used in harder bands (Hornschemeier et al. 2001, Alexander et al. 2001, Fiore et al. 2003, Della Ceca et al. 2004, Cocchia et al. 2007). The optical identification of the sources found in deep and medium surveys (*Chandra* Deep Fields, Hellas2XMM, XMM-COSMOS) confirms the trend observed at bright fluxes but also shows a large scatter around the median value in both the hard and soft bands (Brandt & Hasinger 2005). Obscured AGN ($N_H > 10^{22}$ cm^{-2}) tend to populate the upper part of this plot and have $X/O > 1$ (Perola et al. 2004, Civano et al. 2005, Brusa et al. 2010), while normal galaxies, detected at very faint X-ray fluxes,

have $X/O < -2$ (Xue et al. 2011). In addition to obscured AGN, unobscured X-ray Bright Optically Normal Galaxies (XBONGs) also have $X/O > 1$ (e.g. Comastri et al. 2002, Civano et al. 2007, Trump et al. 2009c). The lack of information for faint X-ray and optical sources, plus their high X/O ratio, led the scientific community to name this kind of sources "extreme" or "unconventional" (Comastri et al. 2003, Mignoli et al. 2004), in particular when the X/O is defined in the hard X-ray band.

In this paper (Paper III), we present the catalog of optical and infrared counterparts of *Chandra*-COSMOS sources, presented in Paper I, and we analyze some their observed properties. The paper is organized as follows: Section 2 describes the datasets used in this work; Section 3 and 4 explain the details of the method used for the identifications and the results obtained; in Section 5 the positional and magnitude distributions are presented; in Section 6 we show the spectroscopic and photometric redshifts along with the spectral and SED classification; in Section 7 we present the identification catalog; in Section 8 the optical and infrared properties are compared with the X-ray ones; in Section 9 we summarize the results of this work.

We assume a cosmology with $H_0 = 70$ km s^{-1} Mpc^{-1}, $\Omega_M = 0.3$ and $\Omega_\Lambda = 0.7$. The AB magnitude system is used in this paper if not otherwise stated.

2. IDENTIFICATION DATASETS

The C-COSMOS X-ray source catalog used in this work comprises 1761 X-ray point sources detected down to a maximum likelihood threshold detml=10.8 in at least one band (0.5-2, 2-8 or 0.5-8 keV). This likelihood threshold corresponds to a probability of $\sim 5 \times 10^{-5}$ that a catalog source is instead a background fluctuation (Paper II). At this threshold, the flux limit reached in the survey is 5.7×10^{-16} erg cm^{-2} s^{-1} in the full band (0.5–10 keV), 1.9×10^{-16} erg cm^{-2} s^{-1} in the soft band (0.5–2 keV) and 7.3×10^{-16} erg cm^{-2} s^{-1} in the hard band (2–10 keV, extrapolated from the observed 2-7 keV band). Of the 1761 sources, 922 are detected in all three bands (full, soft, hard), 474 are detected in the full and the soft band, 257 are detected in the full and the hard band, 73 only in the full, 32 only in the soft and 3 only in the hard band. Upper limits (3 σ values) in each band have been computed for the sources detected in only one or two bands (see Paper II).

We identify counterparts of the C-COSMOS sources in the i-band ($0.76\mu m$) using the Subaru photometric catalog (Capak et al. 2007, hereinafter "optical catalog"), in the K-band ($2.15\mu m$) using the CFHT/Megacam catalog (McCracken et al. 2010) and at $3.6\mu m$ using the Spitzer IRAC catalog (Sanders et al. 2007). The full optical catalog contains about 1.5 million sources down to a magnitude limit $i \sim 27$ (AB) at a signal-to-noise ratio (S/N>5). The K-band catalog contains $\sim 500,000$ sources detected at S/N>5 to a magnitude limit of 23.5 (AB). The IRAC catalog contains $\sim 350,000$ sources at $3.6\,\mu m$ to 23.9 (AB, corresponding to $\sim 1\mu Jy$) at a S/N >5.

In the second part of the identification process, we also made use of the ACS/HST images of the COSMOS field (Scoville et al. 2007, Koekemoer et al. 2007) to visually check our identifications, taking advantage of the ACS PSF, of the accuracy of the positions and of the depth of the observations ($I_{F814W} \sim 27.8$ AB mag, 5 σ for an optimally extracted point source). A new, revised photometric catalog, which includes the photometry in all the 25 optical/NIR broad-, intermediate- and narrow-bands filters, with improved source detection[20] has been used to match the final identifications.

3. X-RAY SOURCE IDENTIFICATION METHOD
3.1. *Method*

We used the likelihood ratio technique of Sutherland & Saunders (1992), following the procedure described by Brusa et al. (2005) which, when applied to the identification of the XMM-COSMOS sample (Brusa et al. 2007, 2010) yielded a percentage of "reliable identifications" greater than 80%. With respect to simpler matching approaches, based only on the positional offset between sources in different catalogs, the likelihood ratio technique has the advantage of taking into account, for each possible counterpart, the probability that it is a real or a spurious identification, allowing a much better statistical characterization of the final sample of identifications. This powerful statistical method has become common recently and has been applied first to radio surveys (Ciliegi et al. 2005) and to several medium and faint *Chandra* and XMM-*Newton* surveys (Cardamone et al. 2008, Laird et al. 2009, Aird et al. 2010, Luo et al. 2010, Brusa et al. 2010, Xue et al. 2011, Rovilos et al. 2011).

Identifying the true counterpart of *Chandra* sources is easier than for XMM-*Newton* because of the narrower *Chandra* PSF, therefore excellent positional accuracy (on average <0.5″, Paper II), and the tighter *Chandra* encircled energy fraction[21] (typically 90% at 0.5″ on-axis to 5″ at 12′ off-axis; Weisskopf et al. 2002). X-ray sources at C-COSMOS fluxes have, on average, redder colors than brighter X-ray sources (Brandt & Hasinger 2005) and thus the likelihood ratio in near-infrared bands should produce a high rate of identifications. In this paper we therefore give equal weight to the redder bands (K, $3.6\mu m$) as to the optical (i), so that we can maximize the number of identified sources, and also compare the identification efficiency at different wavelengths. This approach is possible only because the depth of the K and IRAC $3.6\mu m$ COSMOS observations nicely matches the X-ray depth for a typical AGN spectral energy distribution (SED, e.g. Elvis et al. 1994, Elvis et al. 2012 sub.). Deep X-ray surveys (e.g., 2 or more Megaseconds in the CDFS) reveal, besides a population of bright normal galaxies, a population of fainter X-ray sources which will be hard to follow up across the SED with the currently available optical or near-IR capabilities.

We can summarize the four steps of our identification procedure as follows:

1. run the likelihood ratio technique separately in the 3 different bands (i, K and 3.6 μm; Section 3.2);

2. find appropriate parameters (threshold, normalization) in order to identify the sources and define the level of confidence of the association (Section 3.3);

3. combine the results obtained in the 3 bands (Section 4);

4. confirm the uncertain cases visually checking the HST ACS image at the position of the X-ray source (Section 4.1 and 4.3).

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[20] The catalog is publicly available at http://irsa.ipac.caltech.edu/data/COSMOS/tables/photometry/ and supercedes the catalog reported by Capak et al. (2007). The photometry is computed at the position of the i^*-band image, using Sextractor (Bertin & Arnouts 1996) in dual mode. The same catalog is used in Ilbert et al. (2009, 2010) and Salvato et al. (2009, 2011).

[21] The encircled energy fraction is the two-dimensional integral of the PSF.

3.2. *Likelihood Ratio Definition*

For a given optical or infrared candidate with magnitude
m and positional offset r from the X-ray source position, the
likelihood ratio LR is defined as the ratio between the proba-
bility that the source is the correct identification and the cor-
responding probability for a background, unrelated object:

$$LR = \frac{q(m)f(r)}{n(m)}, \tag{1}$$

where $q(m)$ is the expected magnitude m distribution function
(normalized to one) of the real optical counterpart candidates,
$f(r)$ is the probability distribution function of the positional
errors (assumed to be a two-dimensional Gaussian) and $n(m)$
is the surface density of background objects with magnitude
m.

The distribution of the local background objects, $n(m)$, was
computed from each of the three input catalogs using the ob-
jects within a 5″–30″ annulus around each X-ray source. We
chose a 5″ inner radius in order to avoid the presence of
true counterparts in the background distribution, and a 30″
outer radius to exclude the counterparts of other nearby X-ray
sources. In the case of X-ray source pairs (178 pairs with
relative distances <15″ and 400 with distances <30″), the
outer radius could contain the counterpart of a nearby X-ray
source. However, the number of background sources in the
annuli is sufficiently large (~100 sources on average in the K
band down to 22 mag and ~300 sources in the i band down to
25 mag) to make the presence of a nearby object's counterpart
unimportant.

The function $q(m)$ has been estimated from our data as fol-
lows. In this work, we neglect the possible X-ray flux de-
pendence of $q(m)$ and assumed a universal optical/infrared
magnitude distribution for all X-ray sources. We first com-
puted $q'(m)$= [number of sources with magnitude m within
1″]− [expected number of background sources with magni-
tude m in a 1″ circle]. The choice of a 1″ radius is dictated by
the requirement of maximizing the statistical significance of
the over-density around the X-ray sources. A smaller radius
would include in the analysis only a fraction of the true identi-
fications and the q(m) distribution would be more affected by
Poissonian noise. A larger radius would increase the number
of background sources.

In Figure 1, the observed magnitude distribution of the
objects in the 3 catalogs (i, K and 3.6 μm) within a radius
of 1″ around each X-ray source (solid histogram) is plotted
together with the expected distributions of background
objects in the same area (dashed histogram). The difference
between these two distributions is the expected distribution
of the counterparts ($q'(m)$, red curve) before normalization.
The distribution of background sources in the i band (Fig. 1,
top panel) is more prominent than in the K or 3.6μm, because
of the fainter magnitude limit of the optical catalog (the
number counts of galaxies in i band strongly increase at faint
magnitude, see e.g. Ilbert et al. 2010).

Then, $q(m)$ =const×$q'(m)$ is normalized such that
$\int_{-\infty}^{+\infty} q(m)dm = 1$. In practice, since we have a mag-
nitude limit m_{lim}, we normalized in such a way that
$Q = \int_m q(m)dm$ = (number of X-ray sources identified in
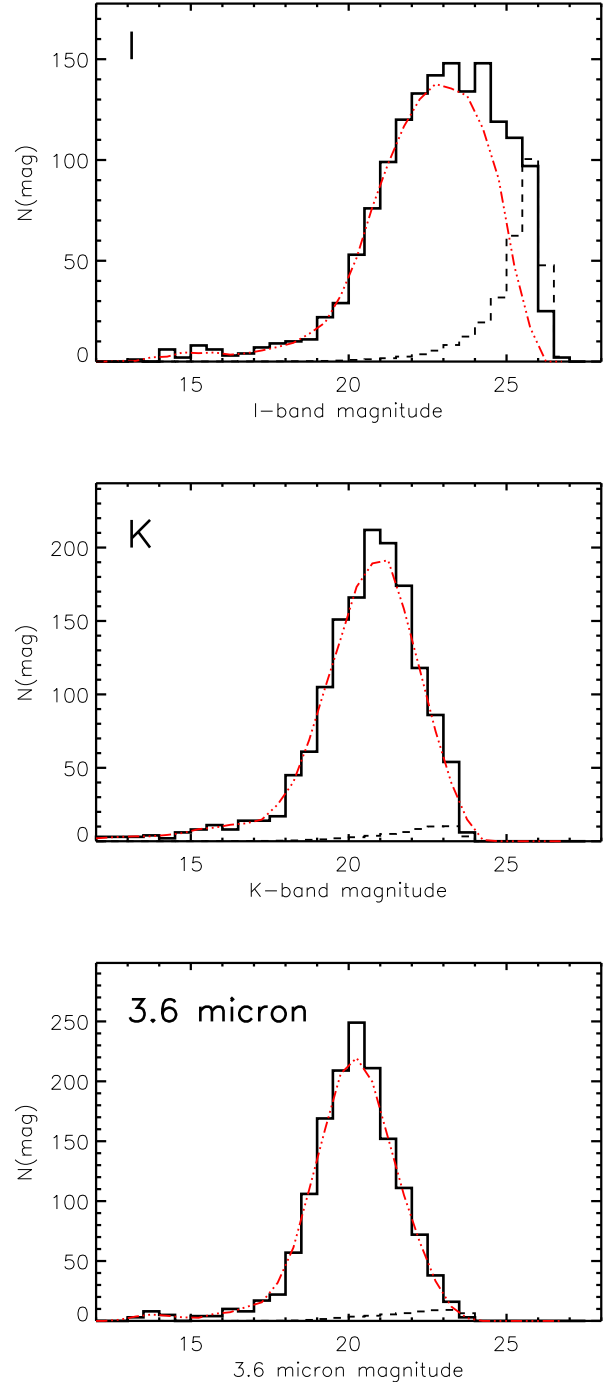each band / the total number of sources of the X-ray sample).
More details are given in §3.3.

FIG. 1.— Observed AB magnitude distribution of all the i-band, K-
band and 3.6μm band (from top to bottom) objects within a radius of
1″ around the 1761 X-ray sources (solid black histogram) together
with the expected distribution of background objects ($n(m)$, black
dashed histogram). The difference between the two distributions (red
dash-dotted line) corresponds to the expected magnitude distribution
of counterparts ($q'(m)$, smoothed here for plotting purposes).

For the probability distribution of positional errors, *f(r)*, we adopted a Gaussian distribution with standard deviation, $\sigma = \sqrt{\sigma_X^2 + \sigma_{opt}^2}$, where σ_{opt} is the positional uncertainty in the 3 optical and infrared bands. σ_X is taken from column 5 in Table 7 of Paper I (see also Fig. 12 in Paper II). The adopted σ_{opt} is 0.2″ for the K band (McCracken et al. 2010), 0.3″ in *i* band (Capak et al. 2007) and 0.5″ at 3.6μm (Sanders et al. 2007).

Having determined the values of *q(m)*, *f(r)* and *n(m)* for each band, using Eq. 1 we computed the *LR* value for all the sources within 5″ of the 1761 X-ray centroids in each of the 3 catalogs (a total of 10972 sources for the I-band, 2992 sources for the K-band and 2604 for the IRAC 3.6 μm band).

3.3. *Threshold Choices*

Once the *LR* values have been computed for all the candidates, one has to choose the best likelihood threshold value (L_{th}) for *LR* to discriminate between spurious and real identifications. The choice of L_{th} depends on two factors: first, L_{th} should be small enough to avoid missing many real identifications, so that the completeness of the sample is high; secondly, L_{th} should be large enough to keep the number of spurious identifications low, in order to increase the reliability of the identification.

The reliability takes into account the possibility of having multiple optical candidates for the same X-ray source. The reliability R_j for object *j* being the correct identification is:

$$R_j = \frac{(LR)_j}{\Sigma_i (LR)_i + (1 - Q)} \qquad (2)$$

where the sum is over the set of all candidates for source *j*. The reliability parameter (*R*) for the total sample is defined as the ratio between the sum of the reliability of all the sources identified as possible counterparts and the total number of sources with $LR > L_{th}$ (R= $N_{ID}/N_{LR>L_{th}}$).

The completeness parameter (*C*) of the total sample is defined as the ratio between the sum of the reliability of all the sources identified as possible counterparts and the total number of X-ray sources (C= N_{ID}/N_X).

Following Brusa et al. (2007), we defined L_{th} as the likelihood ratio which maximizes the quantity (R+C)/2. We applied this criterion to the three input catalogs (*i*, K and 3.6μm) and the resulting L_{th} are 0.4, 0.9, 0.47, respectively.

The corresponding sample completeness and reliability are C=0.85 and R=0.88 for *i*, C=0.90 and R=0.92 for *K*, and C=0.96 and R=0.96 for 3.6μm. As an example, in Figure 2, *C*, *R* and *(R+C)/2* versus L_{th} are reported for the K-band catalog, using 0.9 as normalization for *q'(m)* to convert into *q(m)*. *(R+C)/2* is quite flat over 0.7< L_{th} < 1.2. The value chosen corresponds to the point where *(C+R)/2* is maximized and it is close to where *C*, *R* and *(C+R)/2* curves intersect.

It is possible to make a direct comparison with the likelihood threshold values used by Brusa et al. (2007) for XMM-COSMOS because the same input optical catalog was used. Thanks to the better *Chandra* positional accuracy, at the same LR threshold in *i* band (L_{th}=0.4) a higher completeness and reliability can be achieved in *Chandra* than in XMM (C=0.77 and R=0.69 in Brusa et al. 2007, versus C=0.85 and R=0.88 here). A lower LR threshold in *i* band was used for the final XMM-COSMOS catalog (Brusa et al. 2010, L_{th}=0.26), however, its completeness and reliability cannot
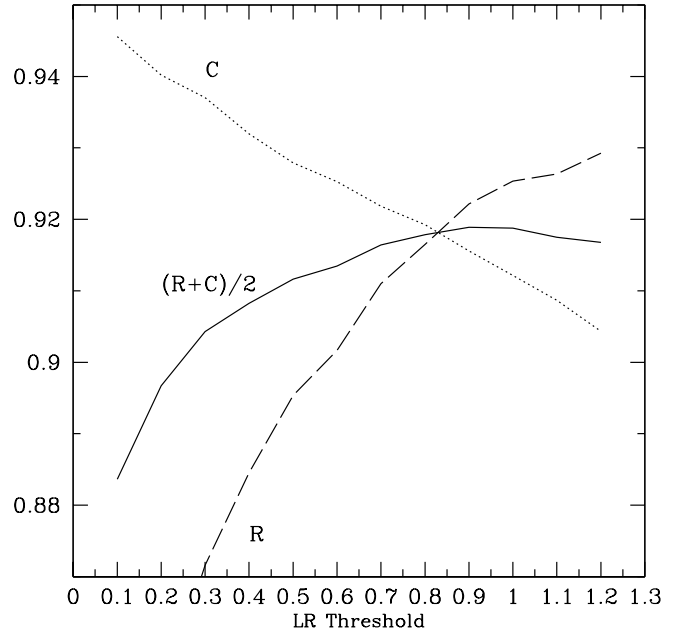

FIG. 2.— Completeness (dotted line) and reliability (dashed line) parameter and (C+R)/2 (solid line) of our sample in the K band with a normalization value *N*=0.9. The L_{th} chosen corresponds to the value where the (C+R)/2 (solid line) quantity is maximized but still close to the intersection of the 3 curves.

be compared with the C-COSMOS one, as the *Chandra* COSMOS associations have been used to resolve some *ambiguous* identifications in the XMM-COSMOS catalog.

4. X-RAY SOURCE IDENTIFICATION RESULTS

In this section we present the various steps that we followed to define the best associations after running the likelihood ratio analysis. We first used the output of the likelihood ratio, then we merged the results in the 3 bands, we inspected the associations and listed the final counterparts.

Following the same approach used for XMM-COSMOS identifications (Brusa et al. 2007, 2010), the X-ray sources have been divided into 4 different classes (see Table 1):

1. *secure*: sources for which the likelihood procedure is able to find only one counterpart with $LR > L_{th}$: the vast majority of the sources belong to this class; examples are given in panels 1 to 3 in Fig. 3;

2. *ambiguous*: sources with more than one counterpart above the threshold (panels 4 to 6 in Fig. 3);

3. *sub-threshold*: sources with no counterpart with $LR > L_{th}$ but with possible counterpart with $LR < L_{th}$ within 5"(panels 10, 11, 12 in Fig. 3);

4. *unidentified*: sources with no counterpart in each given catalog, even below the threshold, within 5″ from the X-ray centroid (panels 7, 8 and 9 in Fig. 3).

We anticipate here another, small, class (5) *retrieved*, which is not a direct output of the likelihood procedure, and which has been introduced after the visual inspection and is discussed later (see §4.3.1).
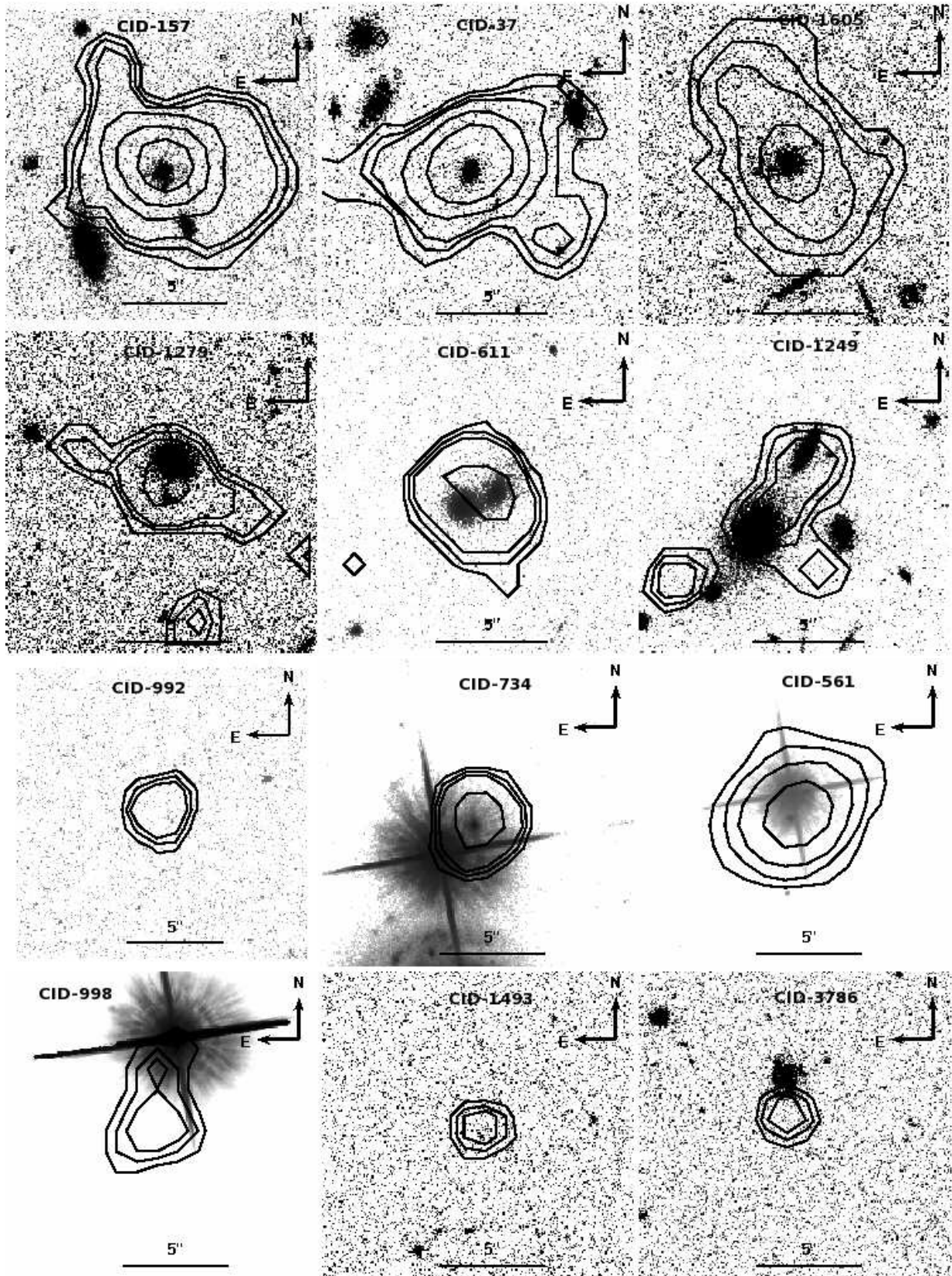
FIG. 3.— Examples of identifications. X-ray contours are overlaid on the ACS images. *Secure* identified sources (1 to 3): CID-157, CID-37, CID-1605; *Ambiguous* sources (4 to 6): CID-1279, CID-611, CID-1249. The two possible counterparts are visible in the inner X-ray contour; *Unidentified* sources (7-9): CID-992 is a truly empty field at all wavelengths, CID-734 and CID-561 are point-like bright sources very close to a bright star so it is not possible to derive their photometry. *Sub-threshold* sources (10-12): CID-998 is a bright star at large distance from the X-ray centroid, CID-1493 is a very faint source (i_{AB}=26.43), CID-3786 is a bright optical source but not very close to the X-ray centroid.

4.1. *Ambiguous Source Resolution*

In the class of the *ambiguous* sources, there are cases with more than 1 possible counterpart above the *LR* threshold. For example, in the K band, there are 36 X-ray sources with 2 possible counterparts, 4 sources with 3 possible counterparts, none with 4 possible counterparts and 1 source with 5 possible counterparts, all of the counterparts being above L_{th}. Even though the X-ray sources in Elvis et al. (2009) are all unresolved point sources that show no evidence for extended emission, some of the sources with multiple counterparts could be associated with X-ray groups. However this possibility has not been explored and we assumed that all the X-ray sources are associated with a single optical/infrared counterpart. We also inspected the location of *ambiguous* sources on the field, but their size is consistent with a single point-like source.

In order to resolve some of the ambiguous cases, we computed the distributions of the ratios between the *LR* of the highest *LR* counterpart and the other counterparts for the same X-ray source. In Figure 4 the histogram of the ratio of *LRs* of the first and the second possible counterparts is reported for the *i* and K band *ambiguous* sources. A high ratio strongly suggests that the highest likelihood counterpart is the correct one. In both *i* and K bands, if the ratio is above the median value (LR1/LR2=4 in both bands, dashed lines in Figure 4), we define the highest *LR* object as the *secure* identification. This method has been applied before in Brusa et al. (2007; private communication). Using this criterion, by definition, 50% of the *ambiguous* cases in the K and *i* band respectively are solved. The sources with two possible counterparts are flagged accordingly in the catalog in the identification flag column (see Section 7). The final numbers of *ambiguous* sources in each band after this resolution are reported in Table 1.

4.2. *Identification Rates*

In Table 1 (columns 1, 3 and 5), we report the fraction of sources in each of the classes described above for each band obtained from the output of the LR analysis.

The K-band catalog identification returns a higher identification rate of *secure* sources than the *i*-band identification (90% vs 85%), fewer sources below L_{th} (4.2% vs. 9.2%) and fewer *ambiguous* sources (2.7% vs. 5%). The differences are due to the different depths of the *i* and K band catalogs. The K-band catalog is deep enough to recover most of the counterparts, showing the good coupling of X-ray and K fluxes, without being too deep to introduce ambiguities and increase the number of spurious associations. For comparison, at similar depth both in the optical and in the X-ray, 82% of sources have been successfully identified in the AEGISX survey (Laird et al. 2009), while in the CDFS, at fainter fluxes, the fraction of *secure* identifications in both the optical and K band are slightly lower (74% and 85%, Luo et al. 2010).

The $3.6\mu m$ identification rate is the highest of the three (95%). The depths of the COSMOS $3.6\mu m$ and K-band catalogs are nearly the same, but $3.6\mu m$ favors the detection of red objects even more than the K band and thus retrieves those undetected in the optical, leading to the increased identification rate. Similar $3.6\mu m$ identification rates (98% and 94%) have been found for the ECDFS and the AEGIS-X samples (Cardamone et al. 2008 and Laird et al. 2009) at the same X-ray flux limit, but at a magnitude limit of 24.4 AB (5σ) and 23.8 AB, respectively. A somewhat lower identification rate
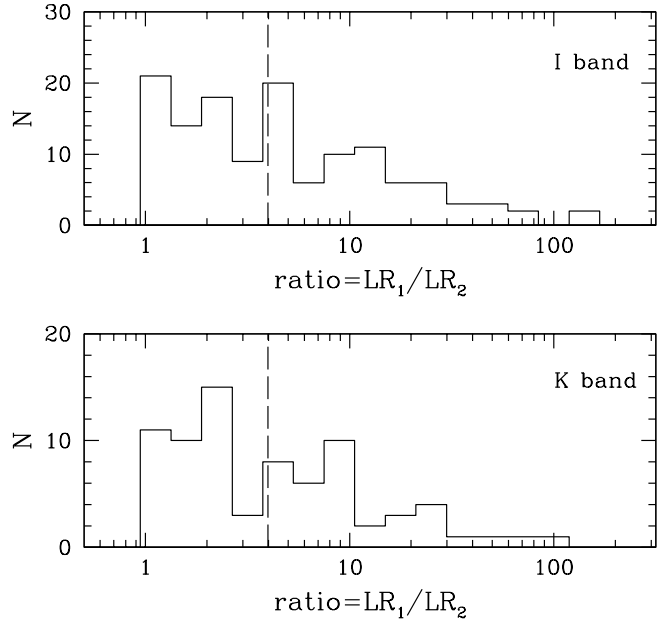

Fig. 4.— Histograms of the ratio of *LRs* of the first and the second possible counterparts for the *ambiguous* sources in the *i* (top panel) and in the K (bottom panel) band. The dashed lines represent the median value of the LR1/LR2 distribution. We adopt this value to solve some of the ambiguities.

(89%) has been found in the CDFS (2Ms catalog, Luo et al. 2010) at similar IRAC depth.

However, the blending and confusion of IRAC sources, due to the larger PSF (1.7" at $3.6\mu m$), might contribute to spuriously increasing the identification rate. To quantify this effect, we ran the likelihood procedure assuming the same background sources distribution used above, the same L_{th} (this is a conservative choice given that a fainter sample implies a lower L_{th} to maximize completeness and reliability) and decreasing the flux of the objects with 5" from the X-ray position by a factor of 2. The number of *sub-threshold* sources increases to 5.5%, which is still a low number and comparable to the results obtained in the K band. We thus believe that, even if all the $3.6\mu m$ sources in the sample are contaminated by bright neighbors, and their flux is somehow fainter, the secure sources fraction would be still of the order of ~90%. According to this result, the blending problem, which might be still important for SED fitting, does not invalidate the results of the likelihood ratio analysis.

Among the sources with $LR > L_{th}$ (*secure* and *ambiguous*), we estimated the number of spurious associations in each band. This number corresponds to the difference between the number of X-ray sources with at least 1 identification with $LR > L_{th}$ and the expected number of real identifications obtained adding the reliability of all the objects with $LR > L_{th}$. The obtained percentages are 6% in the *i*-band, 1% in the K-band and 2% at $3.6\mu m$.

4.3. *Cross-Wavelength Matching of Identifications*

The identifications from the 3 catalogs have been compared in order to check the consistency among the counterparts. We performed a positional cross-correlation first between the K and *i*-band, and then the K and $3.6\mu m$ results. While check-

TABLE 1
IDENTIFICATION PERCENTAGES IN EACH BAND BEFORE (COLUMNS 1, 3, 5) AND AFTER (COLUMNS 2, 4, 6) THE MATCH BETWEEN THE THREE CATALOGS, THE VISUAL CHECKS AND AFTER RECOVERING THE FAINT SOURCES. THE FINAL PERCENTAGES ARE REPORTED IN COLUMN 7.

		i before	i after	K before	K after	$3.6\mu m$ before	$3.6\mu m$ after	Total %	Total Number
1	secure id.	84.8%	84.1%	90.1%	89.7%	95.6%	94.8%	96.3%	1708
2	ambiguous id.	3.7%	3.7%	2.7%	2.6%	1.3%	1%	2%	24
3	sub-threshold id.	9.2%	6.1%	4.2%	2.3%	1.8%	0.8%	1.1%	19
4	unidentified	1%	1.8%	3.1%	3.6%	1.3%	3.4%	0.6%	10
5	retrieved		3.3%		1.8%				

ing the results of the cross-correlation, we visually inspected the associations by using HST/ACS (filter F814W), K, IRAC ($3.6\mu m$) and *Chandra* $15'' \times 15''$ cutouts around each X-ray source. The ACS cutouts have been used because of the better, subarcsecond, PSF with respect to the ground-based i band images. We also made use of X-ray contour levels, produced with the CIAO tool DMCONTOUR[22] on the *Chandra* mosaic (as shown in Fig. 3).

First, the K and i-band results have been cross-matched using a radius of 0.7″, which maximizes the number of associations without introducing unreliable matches: 88% of the X-ray sources are associated with the same counterpart (86.4% above the threshold in each band), while ∼7% of the sources have different associations in the two bands.

In most of the latter cases, the i band associations are *sub-threshold* while the K band are not, suggesting a misidentification in the i band. By visually inspecting the cutouts, we verified that in almost all these cases the sources associated with the K-band counterparts are not present in the input optical catalog because of their faintness or low S/N. Therefore, the association with the K-band counterparts has been taken as correct and the initial i band association discarded. We retrieved the i magnitudes of the K-band counterpart from the original optical catalog (with no cut in S/N) when available.

This process leads to a decrease of the number of the *sub-threshold* sources in the i band and the definition of a new class (5)- 'retrieved'. When the different associations in the two bands were both secure, we used the $3.6\mu m$ associations, the cutouts and the X-ray contour levels to help the identification and in most of the cases (>90%) the IRAC $3.6\mu m$ band association agreed with the K-band counterpart, strengthening the identification.

Of the remaining ∼5% of the sources, 2% are *ambiguous* objects in both bands with a separation between the two possible counterparts smaller than the *Chandra* PSF at the source position, while 3% are objects *unidentified* in one or both bands.

Second, the K and $3.6\mu m$ results have been matched using the same 0.7″ radius. A good agreement is found: 96% of the sources with a counterpart in both bands are associated with the same source. Among the sources for which we do not find a common counterpart between the two bands we find the same behaviors as above. There are objects classified as *sub-threshold* in the K-band and *secure* at $3.6\mu m$ which are replaced by faint K-band sources at the $3.6\mu m$ positions. These sources have been found in the original K-band catalog and included as *retrieved* in the identification list. The original *sub-threshold* K-band counterpart has been then dis-

carded. There are also sources for which a *secure* association was found in both bands but these don't coincide. We visually checked the cutouts for these sources and we decided which counterpart to prefer with the help of the i band associations and the X-ray contours levels.

We then performed a visual inspection of all the sources, according to the different identification classes. At this stage the $3.6\mu m$ *secure* association fraction decreases in favor of the *unidentified* sources because some of them (<1%), due to the larger PSF, were wrongly associated with bright close-by sources (stars or galaxies) and thus are not real counterparts associated with the i and K-band sources.

At this stage, we also used the revised version of the optical catalog, as explained in Section 2. Among other improvements, this new version of the catalog accounts for correctly deblended faint sources close to bright/saturated ones (see more details in the changes in the header of the photometric catalog of Ilbert et al. 2009[23]).

4.4. *Summary of Identifications*

The fraction of sources in the three bands for each class, after correlating the *LR* outputs and the visual checks, are reported in Table 1 (columns 2, 4, 6). We also report the percentage of counterparts *retrieved* in the K-band and i-band catalogs with a magnitude below the formal 5 σ limit of the input catalogs.

We assigned a final identification flag that summarizes the results in the 3 bands. The final percentages and total numbers per class are reported in the last two columns of Table 1. We define:

1. *secure* identification if the source is a secure identification in at least one band (1708 sources);

2. *ambiguous* identification if the source is an ambiguous identification in at least 2 bands (24 sources);

3. *sub-threshold* identification if the source is in this class in all 3 bands (19 sources);

4. *unidentified* sources if not identified in any of the 3 bands (10 sources).

With the above definitions we have ∼97% *secure* identifications, 91% being secure in at least two bands.

The sources classified as *ambiguous* in the final identification are all sources which remain classified as such in both the i and K bands and in all the cases the same source was chosen as the primary solution in both bands.

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[22] http://cxc.harvard.edu/ciao/ahelp/dmcontour.html

[23] Available at http://irsa.ipac.caltech.edu/data/COSMOS/tables/redshift/.

Quite interestingly, there are 19 sources without an optical counterpart at the X-ray position (see Fig. 5). Eleven of these have a K and IRAC counterpart. Two sources have only a K band counterpart, but one of them (CID-425) has an optical source visible in the HST image, where however the photometry has been totally contaminated by a bright nearby source. Six sources have only an IRAC counterpart.

Ten objects remain *unidentified* in all 3 bands. Only two of them are truly empty fields (CID-992, panel 7 in Fig.3, and CID-22962). The other eight sources belong to the *unidentified* class, however, a possible counterpart exists but is close to bright stars or galaxies, for which reliable photometry is not possible and there is not a entry in any COSMOS photometric catalog. Two examples (CID-734 and CID-561) are shown in Panel 8 and 9 of Figure 3. These sources have been first associated by the likelihood method with the bright stars or galaxies nearby, which have been discarded after visual inspection. The X-ray centroid and contours are clearly pointing to the source missing from the catalog.

In summary, 20 sources do not have an optical counterpart listed in the catalog and also are truly empty field in the optical. In X-ray selected samples, non–detection in the optical band has been often assumed to be a proxy for high redshift, or for high obscuration level, or a combination of both, and such sources have been dubbed in the past Extreme X-ray Objects (EXOs; e.g. Koekemoer et al. 2004). In this respect, only one of the sources with K and IRAC identification has been spectroscopically observed (CID-472) and has been confirmed to be at high redshift (z_{spec}=3.15). These optically unidentified sources have been included in the high-redshift space density computation presented in Civano et al. (2011).

The number of objects detected in all combinations of 3, 2 or 1 bands are reported in Table 2. The sources with no detection in the optical should be the reddest objects ($R - K$ >5, sources with K and IRAC $3.6\mu m$, or only IRAC $3.6\mu m$ as in the examples in Fig. 5). The objects detected in i and IRAC bands but not in K are in most cases close to bright sources (K<18), so their photometry was not extracted in the K-band catalog (McCracken et al. 2010).

To estimate the total number of spurious associations in the field, the number of expected spurious associations among the *secure* sources (explained in Section 4.2) can be added to the number of sources with $LR < L_{th}$, many of which are indeed expected to be either spurious associations or associated to spurious X-ray sources (see Section 5). However, since we used the combined information from the three bands and performed a visual inspection to isolate possible wrong matches, so we expect that the final number of spurious associations will be lower. In conclusion. we can safely assume that it is < 6%, which is in good agreement with the finding in the CDFS of Luo et al. (2010).

Finally, there are also 7 X-ray sources (included in the *secure* sources) that are close to bright galaxies, for which the X-ray contours are not centered on the galaxy itself but are clearly offset toward the outer region of the galaxy. These 'off-nuclear' sources have been discussed by Mainieri et al. (2010) and are candidates to be Ultra-Luminous X-ray sources (ULXs).

5. POSITIONAL OFFSETS AND MAGNITUDE DISTRIBUTIONS

The distributions of the X-ray to K, i and $3.6\mu m$ positional offsets are shown in Figure 6. The different colors of the histograms refer to the classes of identification (*secure*, black;

TABLE 2
Number of objects detected in all combinations of 3, 2 or 1 bands and percentage.

	Number of sources	%
i + K + $3.6\mu m$	1652	93.9
K + $3.6\mu m$	11	0.6
i + K	33	1.9
i + $3.6\mu m$	32	1.8
i	15	0.8
K	2	0.1
$3.6\mu m$	6	0.3
unidentified	10	0.6

ambiguous, red; *sub-threshold*, green) as in the final classification (last column of Table 1). Almost 90% of the securely identified sources in each band lie within 1″ of the X-ray centroids, which is consistent with the results obtained by other *Chandra* surveys (e.g., Brand et al. 2006, Laird et al. 2009, Green et al. 2009, Luo et al. 2010, Xue et al. 2011, Goulding et al. 2012). This result is comparable to the XMM-COSMOS sample distance distribution (90% within 3″, Brusa et al. 2007) taking into account the larger XMM-*Newton* PSF. If the most likely offset between an X-ray source and its counterpart is actually at 1σ separation, according to the Rayleigh distribution, and given that the positional error ranges between 0.2"-1.5" (from on-axis to off-axis sources; Figure 14 of Paper II) our results are in agreement with this expectation. The objects with offsets larger than 3.5″ are mostly associated with low signal-to-noise ratio X-ray sources (with less than 20 counts in the 0.5-8 keV band) which have larger than average uncertainties on their X-ray position (>1"). Two of them are associated with off-nuclear X-ray sources (Mainieri et al. 2010).

For *ambiguous* sources, the histograms of both the first (red solid line) and the second (red dashed line) possible counterparts are plotted. Both counterparts are close to the X-ray centroid (see Panel 4 to 6 in Fig. 3), but the first possible counterparts have a distribution which peaks at slightly lower offsets than the second. Only few *ambiguous* sources with offsets larger than 2″ are left after cross-correlating the 3 bands. The cross-correlation of the 3 catalogs reduces by half, with respect to the numbers in each band, the fraction of *ambiguous* sources in the final classification. The final percentage is only 2%.

The histogram of offsets for *retrieved* counterparts (class 5, blue histogram) corresponding to columns 2 and 4 of Table 1 has been reported only for comparison with the *secure* identification histograms. The blue histogram follows that of the securely identified counterparts, suggesting that they are as reliable as the brighter counterparts.

In Figure 7, the magnitude[24] distributions of the X-ray source counterparts in i (top), K (middle) and $3.6\mu m$ (bottom) bands are shown before (left) and after (right) the merging of the classification in each band. Thus, in the left panel of Figure 7, we have 5 different classes (corresponding to columns 2, 4 and 6 of Table 1), while in the right one only 4 classes (corresponding to column 7 of Table 1).

The histograms for both counterparts of the *ambiguous* sources (red solid and dot-dashed) follow the shape of the *se-*

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[24] The magnitudes plotted are from aperture photometry: 3″ in the optical, 3″ in the K band and 3.8″ in the IRAC band.
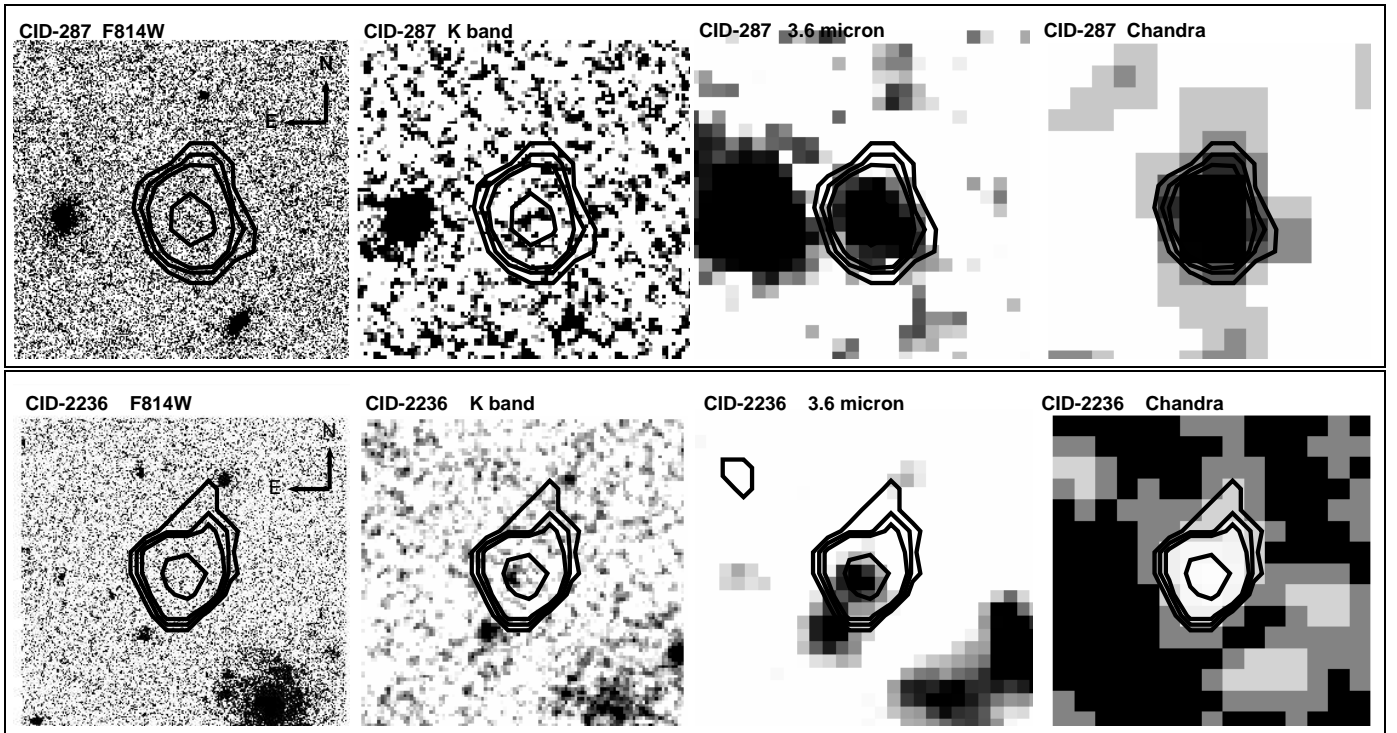
FIG. 5.— Examples of two sources (CID-287 and CID-2236) identified in the K and 3.6μm bands but not in i band. ACS, K, 3.6μm, *Chandra* cutouts are shown with overlaid the X-ray contours. Both sources are very faint also in the K band.
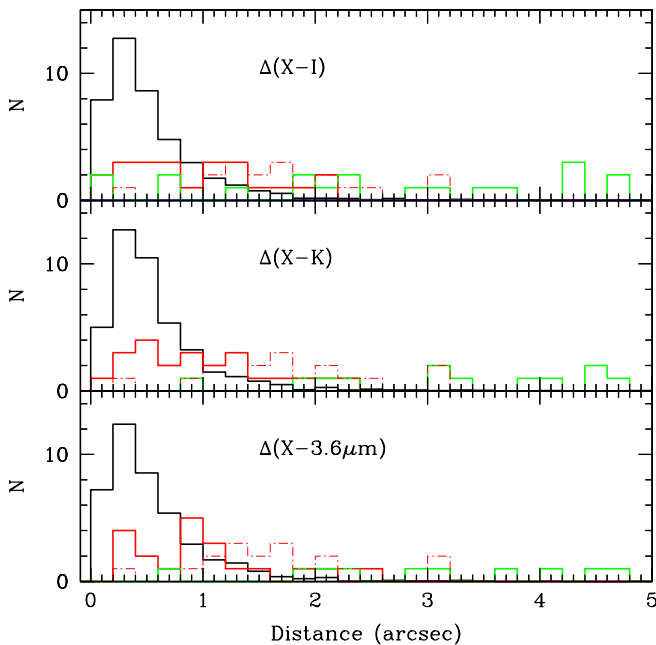

FIG. 6.— Histogram of the distances between the X-ray and i (top), K (middle) and IRAC 3.6μm (bottom) counterparts of the X-ray sources; black: *secure* sources (the histogram is divided by 40 for plotting purposes); red: *ambiguous* sources (thick: highest *LR*; dashed-thin: second possible); green: *sub-threshold* sources; blue: faint *retrieved* sources (histogram is divided by 2 for plotting).

cure source histogram (black) and cover the same magnitude interval; their difference in LR is mainly due to the different positional offsets from the X-ray centroid. The primary coun-

terpart (solid histograms in Figure 6) is closer to the X-ray position, while the secondary is slightly further away from the X-ray centroid.

The *retrieved* sources (blue histogram in Figure 7, left) lie within one magnitude of the limit of the histogram of the *secure* sources and, given that they do not show a significantly different distribution of X-ray to optical offsets, we consider them as being the fainter tail of the *secure* sources. Their contribution is visible in the final black histograms of the right panel of Figure 7. In contrast, the *sub-threshold* objects (green histograms) show significantly larger X-ray to optical offsets and, on average, fainter magnitudes than the *secure* sources, so their low *LR* values are due to a combination of both factors. Six of the 19 *sub-threshold* objects are detected in only 1 X-ray band with a low detection probability, and given the fact that 5, 4, and 3 spurious detections with more than 7 counts are expected in the full, soft, and hard bands, respectively, they could be associated with spurious X-ray sources.

6. SPECTROSCOPIC AND PHOTOMETRIC REDSHIFT DISTRIBUTION

The coordinates[25] of each counterpart have been cross-correlated with the spectroscopic catalogs currently available for the COSMOS field consisting of either data already present in the literature (SDSS DR7[26] and also Prescott et al. 2006) or data from the dedicated spectroscopic campaigns: the bright surveys, limited to $i < 22.5$, with IMACS at Magellan, Hectospec at MMT (Trump et al. 2007, 2009), and VIMOS at VLT (zCOSMOS bright; Lilly et al. 2007, 2009); the DEIMOS survey at Keck, limited to $i < 25$, as the result

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[25] We used the optical coordinates for most sources, or the K-band coordinates if there's no optical counterpart, or 3.6μm coordinates if there's no K or i counterpart.

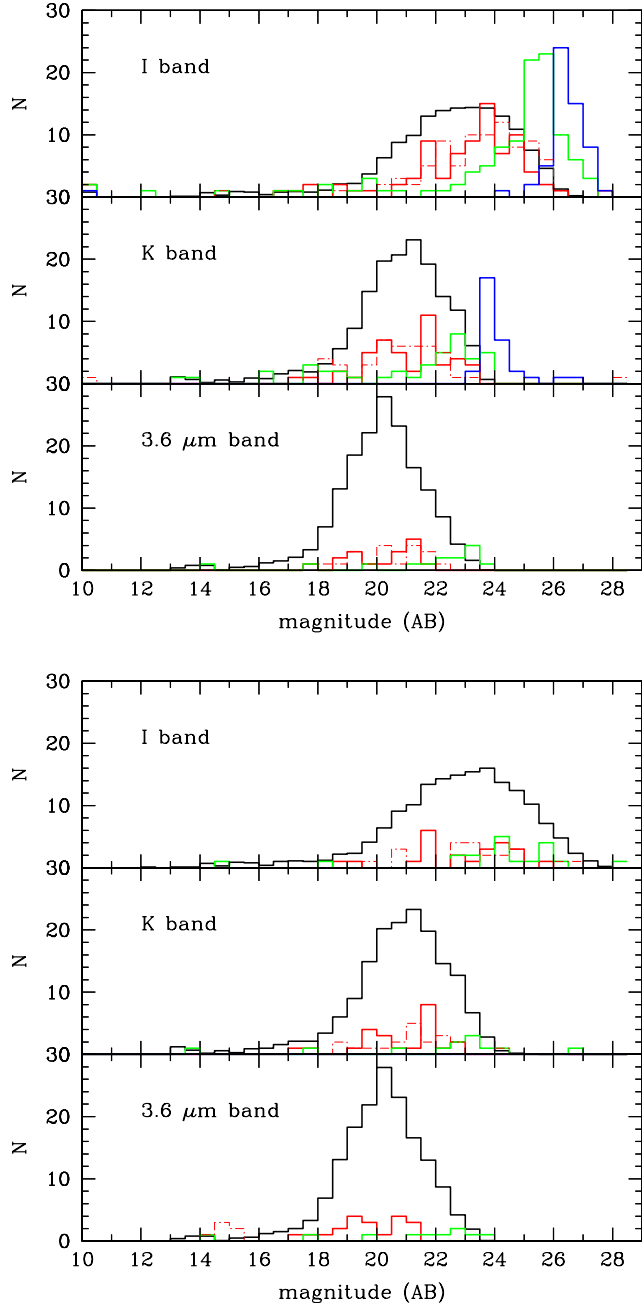[26] http://www.sdss.org/dr7/start/aboutdr7.html

FIG. 7.— Distribution of the *i* (top), K (middle), 3.6μ m (bottom) magnitudes. Black: *secure* sources (the histogram is divided by 10 for plotting purposes); red: *ambiguous* sources (thick: highest *LR*; dashed-thin: second possible); green: *sub-threshold* sources; blue: faint *retrieved* sources. *Left:* The magnitude distribution according to the classification before the merging of the 3 bands, corresponding to the columns 2, 4 and 6 in Table 1. *Right* The magnitude distribution according to the final classification, after merging the results of the 3 bands, as in the last column of Table 1.

of a multi-year observing campaign (PIs: Capak, Kartaltepe, Salvato, Sanders, Scoville; see Kartaltepe et al. 2010); the zCOSMOS deep survey to B<25 (Lilly et al. 2009); the star survey with the FAST spectrograph on the FLWO 1.5m telescope (Wright, Drake and Civano 2010).

We also retrieved the WFC3 imaging and grism data of

the COSMOS field (van Dokkum et al. 2011) from the archive and processed them with standard software. The detection F140W image was reduced using the STSDAS `pyraf` task `multidrizle` (Koekemoer et al. 2002). The dispersed data (G141 grism) were reduced using the `aXe` slitless spectroscopy package Kummel et al. (2009). The extracted 2D and 1D spectra were visually inspected and contaminated sources were rejected. From the set of 19 acceptable spectra, we determined new redshifts for 4 sources as well as confirmed 15 redshifts based on ground-based spectroscopy.

At present, 1069 objects (60%) have spectroscopic redshifts (with 1 or more emission or absorption lines); 906 (51% of the total) of these have a quality flag (3 or 4, see Section 7) corresponding respectively to a secure redshift with 2 or more emission or absorption lines and a secure redshift with 2 or more emission or absorption lines with a good-quality, high signal-to-noise ratio spectrum (see Lilly et al. 2007, 2009 for thorough explanation of quality flags).

The available spectra are primarily the results of spectroscopic campaigns focused on XMM-COSMOS sources (Brusa et al. 2010). The spectroscopic campaigns targeting *Chandra*-only sources started recently, when the C-COSMOS counterparts have been targeted by the deep zCOSMOS survey (Lilly et al. 2009) and by the DEIMOS survey. For these reasons, the fraction of sources that are not XMM detected, yet have a spectroscopic redshift, is only 35%. In the interim, photometric redshifts provide good estimates on the redshift range and classifications of the *Chandra* sources without a spectroscopic identification.

At a magnitude limit of *i*=22.5 (AB mag), C-COSMOS is 83% spectroscopically complete. If, at the same magnitude limit, we consider only bright X-ray fluxes similar to XMM-COSMOS (>10^{-15} erg cm^{-2} s^{-1} in the soft band) the survey is 89% spectroscopically complete, as most of the sources are in common with XMM, while, at lower X-ray fluxes (<10^{-15} erg cm^{-2} s^{-1}), it is 76% complete. At fainter optical magnitudes (>22.5), only 31% of sources have spectroscopic redshifts.

Tuned photometric redshifts for the C-COSMOS sources have been computed and presented in Salvato et al. (2011). In that paper, we used the publicly available code LePhare[27] (Arnouts et al. 1999, Ilbert et al. 2006), which is based on a χ^2 template-fitting procedure. Two libraries of templates were used, depending on a prior on morphology, optical variability and X-ray flux of the source. The first library (defined in Salvato et al. 2009, Table 2) consists of AGN templates, hybrids (host +AGN) templates, and few normal galaxies. It was used for all the point-like sources in the HST images (as defined by Leauthaud et al. 2007) that presented optical variability (see Salvato et al. 2011 for details), imposing a luminosity prior on the absolute *B* magnitude typical for bright AGN and QSO (-20< M_B <-30). The same library was used also for extended sources with an X-ray flux brighter than 8×10^{-15} erg cm^{-2} s^{-1}. In this case, however, no luminosity prior was adopted. The second library (as defined in Ilbert et al. 2009) includes only normal galaxies templates and it was used for the remaining sources (i.e. extended, non variable and with X-ray flux <8×10^{-15} erg cm^{-2} s^{-1}), without any luminosity prior. The flow-chart in Figure 6 of Salvato et al. (2011) summarizes the procedure.

In addition to the two above libraries, all the sources have

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[27] www.oamp.fr/people/arnouts/LE_PHARE.html

TABLE 3
NUMBER OF X-RAY SOURCES BY SPECTRAL OR PHOTOMETRIC TYPE.

	Number of sources	%
Spectra		
Broad Line	316	35
Not Broad Line	534	59
Star	51	5.6
Off nuclear (host galaxy)	5	0.4
Photo-z		
Unobscured AGN template	450	27
Obscured AGN template	104	6
Galaxy template	1101	65
Star template	30	2
Photometric star	11	
Off nuclear	7	

been fit also by various stellar templates (low-mass stars, subdwarf O and B stars, white dwarfs). Whenever $(2 \times \chi^2_{star}) < \chi^2_{gal}$, the source is assumed to be a star (see discussion in Salvato et al. 2011). For 10 sources, not observed spectroscopically, the photometric fitting gives a better χ^2 with a stellar template, and the visual inspection of the ACS images confirms the stellar nature of these sources.

The total number of sources with a photometric redshift is 1693. The accuracy achieved is $\sigma_{\Delta z}/(1 + z_{spec}) \sim 1.5\%$ (with 5.8% outliers) on the whole sample with no cut in magnitude or redshift applied. These results are comparable in precision to those achieved typically for non-active galaxies (Cardamone et al. 2008, Wuyts et al. 2008, Ilbert et al. 2009, Barro et al. 2011) and to those reached only recently for photometric redshift of AGN (Salvato et al. 2009, Cardamone et al. 2008, Luo et al. 2010) with a similar number of photometric bands.

The distribution of all the sources with a spectroscopic (906, solid histogram) or a photometric redshift (1693) is reported in Fig. 8. The distribution peaks between z=1–2 and the spikes correspond to well known large scale structures in the COSMOS field (Gilli et al. 2009). There are 75 sources with redshift > 3. These have been presented in Civano et al. (2011), where the number counts and the space density of the high-redshift sample have been computed. While a sizable sample of high redshift $z > 5$ quasars has been collected in optical surveys (Fan et al 2006, Willot et al. 2007), only two $z > 5$ X-ray selected AGN have previously been reported in the literature: one in the CFDN (Steffen et al. 2004, z=5.4) and one in the CLASXS survey (Barger et al. 2005, z=5.19), both of them being unobscured type 1 sources. Given the faint optical magnitude, no sources with spectroscopic redshift at $z > 5$ have been found in the CDFS, while there are 5 photometric candidates (Luo et al. 2010, Xue et al. 2011). In the C-COSMOS sample there are 4 sources at z> 5, two of them with spectroscopic redshift (one with broad lines, Capak et al. 2011; one with narrow lines, Ikeda et al. 2011) and two with photometric redshift, one of them being possibly the highest redshift X-ray selected source at z=6.84 (Salvato et al. 2011).

6.1. Spectroscopic and Photometric Classification

Table 3 breaks down the sources on the basis of their spectral type if spectra are available, or by the type of template which best fit the photometry of the sources.

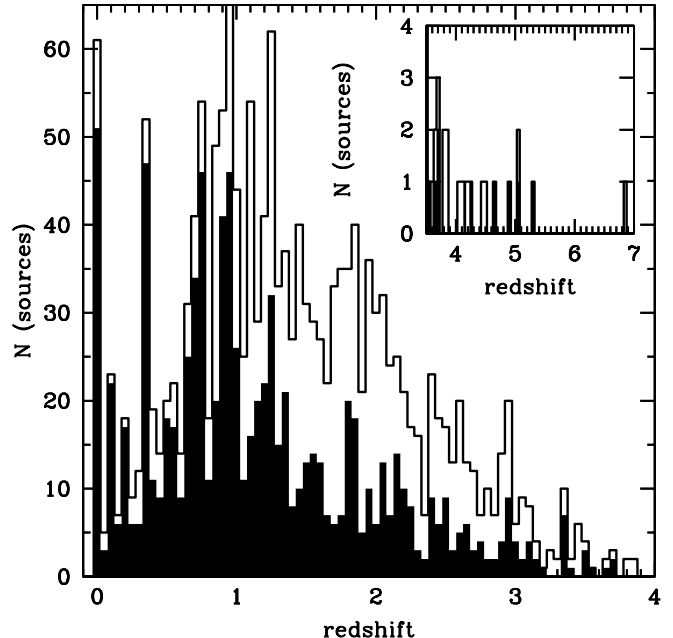Of the sources with good quality spectroscopic redshifts,

FIG. 8.— Redshift distribution of the optical counterparts (Δz=0.05): open histogram = all sources for which either a spectroscopic or photometric redshift is available; filled histogram= all sources for which a spectroscopic redshifts is measured (906). In the small insert, a zoom in the high-z region (z>3.5) has been plotted.

35% show at least one broad (FWHM>2000 km/s) emission line in their spectra (BLAGN), while 59% do not present broad lines (i.e., they show narrow emission lines or absorption lines only), so we will refer to them as "non broad line AGN" (non-BLAGN). The latter sources have not been classified into star-forming galaxies or Type 2 AGN because for most of them either the observed wavelength range or the low S/N do not allow the use of standard optical diagnostic diagrams (e.g., Kewley et al. 2001). The remaining spectroscopic sources are spectroscopically identified stars (51 objects, 6%). The stars are mostly late-type K and M stars (35), with a number of solar-type F and G stars (16), that mostly lie at distances between 100 and 1000 pc, suggesting that they are primarily Galactic disk sources. A small fraction of these sources with high X-ray luminosities lie at greater distances (> 1 kpc) and therefore are probably Galactic halo stars (Wright, Drake and Civano 2010). Given the un-coherent spectroscopic follow-up, the percentages of the different spectral types are not necessarily representative of the whole C-COSMOS sample.

The classification obtained from the photometric fitting has been compared against the spectral classification for the sources with spectroscopic redshift. There is a good overall agreement between the two classifications: 81% of BLAGN are fitted with the template of an unobscured AGN, while 92% of the sources classified as non-BLAGN are well fitted either by a galaxy template (78%) or by an obscured AGN template (22%). It is known that BLAGN SEDs, especially the low luminosity ones, can be contaminated by stellar light (Luo et al. 2010, Lusso et al. 2010, Elvis et al. 2012 sub.); thus it is not surprising that 19% of BLAGN have a different classification than an unobscured source. Given this agreement, we can use either the spectroscopic or the photometric classification.
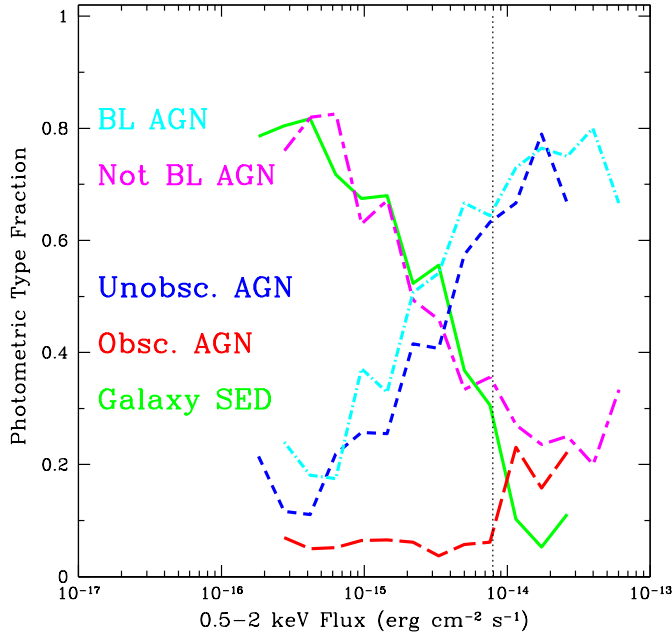
Fig. 9.— Fraction of the spectroscopic and photometric classification types of C-COSMOS opt/IR counterparts as function of the soft band X-ray flux. Cyan (short - long dashes): BL AGN; Magenta (dot-dashed line): not BL AGN; Blue (short dashes): unobscured AGN; Red (long dashes): obscured AGN; Green (solid): galaxies. The vertical dot-dashed line indicates the X-ray flux threshold below which, for extended and optically non varying sources, templates of normal galaxies have been used when computing photometric redshifts.

65% of the C-COSMOS sources are best fit by a non-active galaxy, 6% by a template which represents an obscured AGN, and 27% by a template which includes an unobscured AGN component. The fraction of sources by type (both spectroscopic and photometric) as function of the X-ray flux are plotted in Fig. 9. The number of unobscured or BLAGN decreases with the X-ray flux, while the fraction of galaxy SED sources or non-BLAGN increases towards faint X-ray fluxes becoming the dominant population at fluxes fainter than 3×10^{-15} erg cm^{-2} s^{-1} (0.5-2 keV). The galaxy SED source and obscured AGN fractions are complementary, because, as stated above, the optically-extended C-COSMOS sources have been fitted with a galaxy template library if their X-ray flux is fainter than 8×10^{-15} erg cm^{-2} s^{-1} (0.5-2 keV; vertical line in Fig. 9) and with hybrid templates (obscured AGN) if brighter. The small fraction of obscured AGN at fluxes fainter than 8×10^{-15} erg cm^{-2} s^{-1} (where all the extended sources have been fit only with galaxies templates) is due to the presence of sources with a point-like morphology, whose best fit is an obscured AGN.

A similar fraction for the galaxy SED objects (48%) has been found in the photometric classification of CDFS sources at a flux limit of 1.9×10^{-17} erg cm^{-2} s^{-1} (0.5-2 keV; Luo et al. 2010), while a lower fraction of unobscured AGN is found (20%) and a higher one of obscured AGN (32%). However, at the fluxes of the CDFS, $\sim35\%$ of the galaxy SED sources are likely to be low luminosity normal and starburst galaxies (Xue et al. 2011, Lehmer et al. 2012).

In Figure 10, the X-ray luminosity versus redshift plane is shown for the soft (left) and hard (right) bands. To convert fluxes into luminosities, both spectroscopic and photometric redshifts were used (giving preference to the spectroscopic redshift, when available) and an X-ray spectral index $\Gamma=1.4$ (the same used to compute the X-ray fluxes in Paper I) was assumed to take into account the *k*-correction. In the Figure we also report the faintest flux limits (dashed line) for XMM-COSMOS from Cappelluti et al. (2009, Table 2). There are 1323 and 1103 sources in the soft and hard bands, respectively, of which only 12% and 4% have luminosities possibly not due to nuclear emission or consistent with low luminosity AGN ($< 10^{42}$erg s^{-1}). The fact that only a small percentage of the sources has a luminosity consistent with that of a normal or starburst galaxy, is in agreement with the fact that most of the galaxy SED sources (65% of the total) are candidates to be obscured AGN (as found in previous work, see Mainieri et al. 2005) and not normal galaxies.

In the rest of the paper we will use the 0.5-10 keV luminosity to exclude normal and star-forming galaxies ($L_X < 10^{42}$erg s^{-1}) from the sample. For 92 sources out of 906 with a spectroscopic redshift, a classification based on standard emission line diagnostic diagrams is available from Bongiorno et al. (2010): 66% of them (61 of 92) have emission line ratios typical of star-forming galaxies, while the remaining have the ratios of an obscured AGN. However, only 23% of those classified as star-forming galaxies (14 out of 61) have a luminosity $< 10^{42}$erg s^{-1} (0.5-10 keV), showing that optical diagnostic diagrams can be insensitive to hybrid objects (obscured AGN with enhanced starformation). A luminosity cut at 10^{42}erg s^{-1} (0.5-10 keV) may be a more reliable diagnostic to separate star-forming galaxies from AGN, but at the risk of excluding bona fide, low-luminosity AGN. The coupling of diagnostic diagrams with X-ray luminosities could then be a more effective method to separate obscured AGN from truly non-active galaxies (see also Trouille et al. 2011).

In the $1< z <2$ redshift bin, C-COSMOS luminosities span about two orders of magnitude ($10^{42.5}$-$10^{44.5}$ erg s^{-1}). C-COSMOS covers the whole redshift range 0 to 3 at X-ray luminosities greater than 10^{43} erg s^{-1} in the soft band and $10^{43.5}$ erg s^{-1} in the hard band (solid lines in Fig. 10). With this sample, it will possible to derive accurate X-ray luminosity functions in both the hard and soft bands, in small redshift bins (Miyaji et al. 2012, in prep.).

7. THE MULTIWAVELENGTH CATALOG OF C-COSMOS SOURCES

The full multiwavelength catalog of the *Chandra* COSMOS source identifications, together with multiwavelength cutouts, X-ray and optical spectra, is available on the web page http://chandracosmos.cfa.harvard.edu. The electronic version of the catalog will contain the multiwavelength properties as listed below. Right Ascension and Declination are in the J2000 coordinate system. The catalog will be available with the paper in the published version, in the COSMOS repository[28] and also at this link[29].

- *Column 1: Chandra* source name, following the standard IAU convention with the prefix "CXOC" for '*Chandra* X-ray Observatory COSMOS' survey, as in Paper I.

- *Column 2:* Source number. Sources are listed in order of detection as in Paper I: first those detected in the full
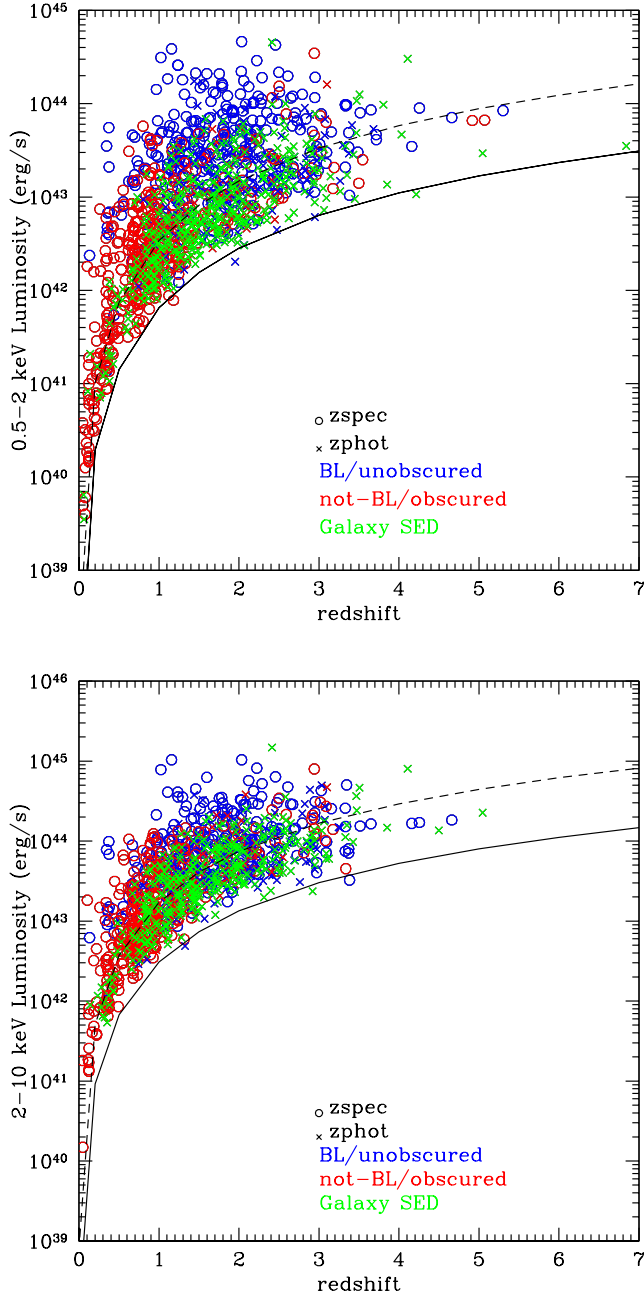
FIG. 10.— The luminosity-redshift plane (soft-left, hard-right) for all the sources with spectroscopic or photometric redshift, along with their classification. Sources with a spectroscopic identification are plotted as open circles, sources with only photometric identification as crosses; the symbols are color coded as follows: blue=BLAGN or unobscured AGN from the SED, red=non-BLAGN or obscured AGN from the SED fitting, green= galaxy dominated sources only from the SED. The solid lines represent the C-COSMOS flux limit and the dashed lines represent the XMM-COSMOS limit.

band with *detml* ≥10.8, followed by those detected in the soft band only and by those detected in the hard band only.

- *Column 3-4:* The X-ray coordinates of the source from the Paper I catalog.

- *Column 5-6-7:* The X-ray flux in the full, soft and hard bands. Negative fluxes represent upper limits as computed following the prescriptions of Paper II.

- *Column 8-9:* The coordinates of the optical/IR counterpart, as determined in this paper.

- *Column 10:* The identifier number from the optical catalog of Capak et al. (2007).

- *Column 11:* The identifier number from the revised version of the optical catalog (Ilbert et al. 2009).

- *Column 12-13:* The coordinates of the optical counterpart (Capak et al. 2007, Ilbert et al. 2009).

- *Column 14-15: i* band magnitude and error in 3″ aperture from the optical catalog.

- *Column 16-17:* K-band counterpart coordinates from the catalog of McCracken et al. (2010).

- *Column 18-19:* K-band aperture magnitude (at 3″) and error from the catalog of McCracken et al. (2010).

- *Column 20-21* The coordinates of the 3.6μm counterpart from the IRAC catalog (Sanders et al. 2007).

- *Column 22-23:* 3.6μm flux (μJy) and error in 1.9″ aperture from the IRAC catalog (Sanders et al. 2007). To convert to total flux, the standard factor suggested in the IRAC user guide has to be applied (division by 0.765).

- *Column 24:* Final identification flag: 1 = *secure*, 10 = *ambiguous*, -99= *unidentified*, 100=*sub-threshold*.

- *Column 25:* Star Flag to isolate stars: 1 = spectroscopic confirmed star, 10 = photometric star, 100 = visually identified star.

- *Column 26:* Off-nuclear flag to isolate the 7 off-nuclear sources from Mainieri et al. (2010).

- *Column 27:* Spectroscopic redshift from the catalogs listed above (Section 6).

- *Column 28:* Spectroscopic identification. The identification flag can be read as follows: 1 = BLAGN; 2 = non-BLAGN; 0 = star.

- *Column 29:* Spectroscopic redshift quality: 3 = 2 or more emission and/or absorption lines; 4 = good signal-to-noise ration and 2 or more emission and/or absorption lines.

- *Column 30:* Origin of the spectroscopic redshift: 1 = SDSS, 2 = MMT, 3 or 4 = IMACS, 5 = zCOSMOS bright, 6 = zCOSMOS deep, 7 = Keck 8= other (FAST telescope, FMOS, WFC3).

- *Column 31:* Photometric redshift from Salvato et al. (2011).

- *Column 32:* Photometric identification from the SED fitting (1 = unobscured, 2 = obscured, 3 = galaxy).

- *Column 33:* The identifier number in the XMM-COSMOS catalog (Cappelluti et al. 2009, Brusa et al. 2010).

8. OPTICAL AND INFRARED PROPERTIES

In this section the basic X-ray to optical properties of the sample are reported. Hereinafter, we will consider only the 1708 sources with *secure* identifications and the counterpart with the highest *LR* for the 24 sources with *ambiguous* associations. We will not consider the 7 off-nuclear sources (Mainieri et al. 2010), the 62 stars (Wright, Drake and Civano 2010), the 10 *unidentified* sources and the 19 *sub-threshold* sources.

8.1. *X-ray to optical flux ratio*

The X/O ratio (Maccacaro et al. 1988) is defined as

$$X/O = log(f_X/f_{opt}) = log(f_X) + C + m_{opt}/2.5 \qquad (3)$$

where f_X is the X-ray flux in a given energy range, m_{opt} is the magnitude at the chosen optical wavelength and C is a constant which depends on the specific filter used in the optical observations. Usually, the *r* or *i* band flux is used (e.g., Brandt & Hasinger 2005). Originally, a soft X-ray flux was used for this relation (see Introduction), and the majority of luminous spectroscopically identified AGN (both BLAGN and NLAGN) in the Einstein and ASCA surveys were characterized by X/O=0±1 (Schmidt et al. 1998, Stocke et al. 1991, Akiyama et al. 2000, Lehmann et al. 2001). With the advent of harder surveys, the same relation has been used in the hard band, without really accounting for the X-ray band used or the change in spectral slope (Alexander et al. 2001, Hornschemeir et al. 2001, Fiore et al. 2001, Brusa et al. 2003, Perola et al. 2004, Civano et al. 2005, Cocchia et al. 2007, Brusa et al. 2007, Laird et al. 2008, Xue et al. 2011).

In Figure 11, the *i* band total magnitude is plotted versus the X-ray soft (left) and hard (right) fluxes for all the sources with *secure* identification. For both bands, the $X/O = \pm 1$ locus (yellow area) has been defined using as constant $C(i) = 5.91$, computed taking into account the width of the *i*-band filters in COSMOS (Subaru, CFHT or for bright sources SDSS). In the hard band, the locus (hereafter "hard band locus") is plotted taking into account the band width and the spectral slope used to compute the C-COSMOS fluxes (Γ=1.4). The difference between the "classic locus" and the "hard band locus" is X/O=+0.47. Sources not identified in the optical, but with a counterpart in the K or IRAC 3.6 *μm* band, are represented with upwards arrows at *i*=27. The stars in the sample are represented with blue star symbols and sources with a full band luminosity $L_F < 10^{42}$erg s^{-1} are represented as red squares.

The X-ray-vs-optical flux plot is quite homogeneously covered by the C-COSMOS sources with a large number of sources outside the X/O=0±1 locus, mostly in the hard band (Fig. 11, right). In order to quantify the width of the distribution (i.e. the X/O distributions), we computed the region which includes 90% of the AGN population (i.e., excluding sources identified as stars and sources with $L_F < 10^{42}$erg s^{-1}) by tracing the 5% lower percentile and 95% upper percentile of the distributions of i-band magnitudes for sources in X-ray flux bins of width 0.25 dex (black thick solid curves in Figure 11). In deriving the 95% upper percentile, we included the non-detections in the optical band. A total of 1274 and 1115 sources were used in the soft and hard band, respectively. We refer in the following to this region as newly drawn locus.

In the soft band, the newly drawn locus fits very well the "classic locus" of AGN at bright fluxes but widens at fainter fluxes, shifting to faint optical magnitudes by Δ(X/O)~0.5. In the hard band, the newly drawn locus behaves similarly to

the one in the soft band with the same shift of Δ(X/O)~0.5 to faint optical magnitudes. This shift is consistent with the X/O relation being originally calibrated on soft X-ray selected sources, bright in the optical and also in the X-rays. The width of the locus in both bands is constant over 1.5 dex. The population of sources outside the newly drawn locus is constant in number in the soft band while becomes larger (in number) at fainter fluxes in the hard band.

We also performed a linear regression fitting[30] to the logarithm of the X-ray flux and the total (aperture corrected) *i*-band magnitude. In order to have a better statistics at bright fluxes, we also included the XMM-COSMOS sources (outside the *Chandra* area) in the fit using the catalog of identifications by Brusa et al. (2010). The advantage of including the XMM-COSMOS sources is that the optical photometric catalog is the same, so problems of calibration using different filters are not an issue. Moreover, also the good calibration between the *Chandra* and XMM-*Newton* fluxes (5-10%; Paper IV: Lanzuisi et al. in prep.) should not affect the result. Adding the XMM-COSMOS sources creates a sample of 1921 AGN in the soft band and 1597 AGN in the hard band. In Figure 11 we show the fit in both bands computed using the combined sample (red solid line). The fit is consistent with the new locus drawn using the median optical magnitude in flux bins. The Spearman rank correlation coefficient of the fit to the combined sample is ρ=-0.52 for the soft band and ρ=-0.48 for the hard band, with a significance level >99.9%. The linear relations found for the two bands are i_{AB}=(-5.88±1.02)+(-1.9±0.1)×log F$_X$(soft) and i_{AB}=(-9.68±1.39)+(-2.2±0.1)×log F$_X$(hard).

In Figure 12 we show the X-ray soft flux versus the total K (left) and 3.6 *μm* (right) magnitudes for all the X-ray sources as in Figure 11. The "classic locus", obtained using Eq. 3 and the constant C=6.86 and 7.34 for the K and 3.6 *μm*, respectively, is plotted in the figure, as well as the region which includes 90% of the AGN population, as computed for the *i* band. Similar plots have been shown before in the K band by Mainieri et al. (2002) and Brusa et al. (2005) and at 3.6 *μm* by Xue et al. (2011) and Laird et al. (2008). In both bands, the spread of the AGN population is reduced by 1.2-2 mags with respect to the *i*-band. This suggests a stronger coupling between the X-ray flux and the near-infrared wavelengths than in *i*-band. The higher identification rates in these bands had already hinted at this connection. The reduced spread in the near-infrared bands is mainly due to the reduced nuclear extinction which, on the contrary, strongly affects the bluer bands.

8.1.1. *X-ray to optical flux ratio and photometric classification*

We also used the photometric classifications, available for a large fraction of the sources via SED fitting, to compute the median X/O dividing the sources into three classes (unobscured AGN, obscured AGN and galaxy SED sources). This allows us to investigate how the X/O distributions change with X-ray band and source classification. The median values of X/O in the hard and soft band for each class along with the 68% and 90% ranges are reported in Fig. 13 and in Table 4. The unobscured AGN fits the "classic locus" (-1<X/O<1 in the soft) and the "hard band locus" (-0.53<X/O<1.47) while the obscured AGN have a higher X/O than unobscured AGN and the difference is larger in the hard (~0.5 dex) than in the
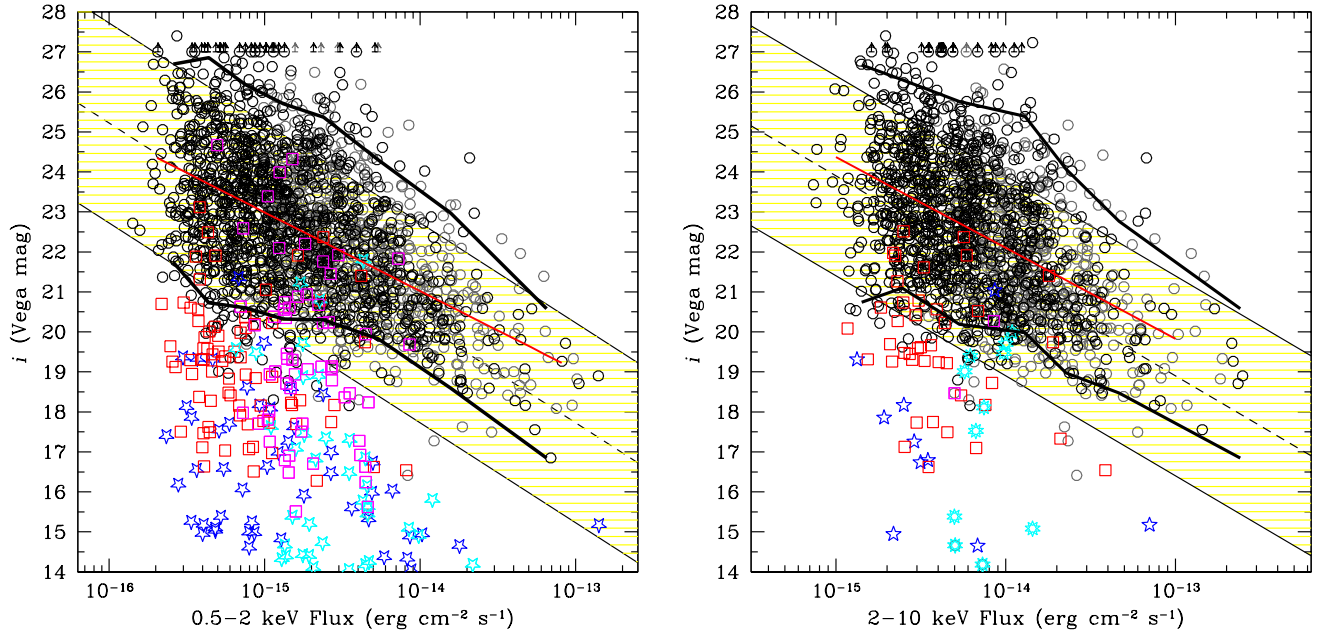
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[30] The ROBUST_LINEFIT IDL routine is used.

FIG. 11.— The X-ray flux (soft-left, hard-right) versus the i-band total (i.e. aperture corrected) magnitude for all the X-ray sources with a i-band counterpart. The yellow shaded region represents the "classic locus" and the "hard band locus" of AGN along the correlation X/O=0±1. Black symbols: C-COSMOS sources. Gray symbols: XMM-COSMOS sources. Star symbols (blue = $Chandra$ and cyan=XMM) represent spectroscopic or photometric identified stars. Red and magenta squares represent sources with $L_F < 10^{42}$ erg s^{-1} in C-COSMOS and XMM-COSMOS, respectively. Sources not identified in the optical but with a counterpart in the K or IRAC band are represented with a upwards arrows. The thick solid curves represent the newly drawn locus. The red solid line is the fit to the combined ($Chandra$ and XMM) sample, respectively.
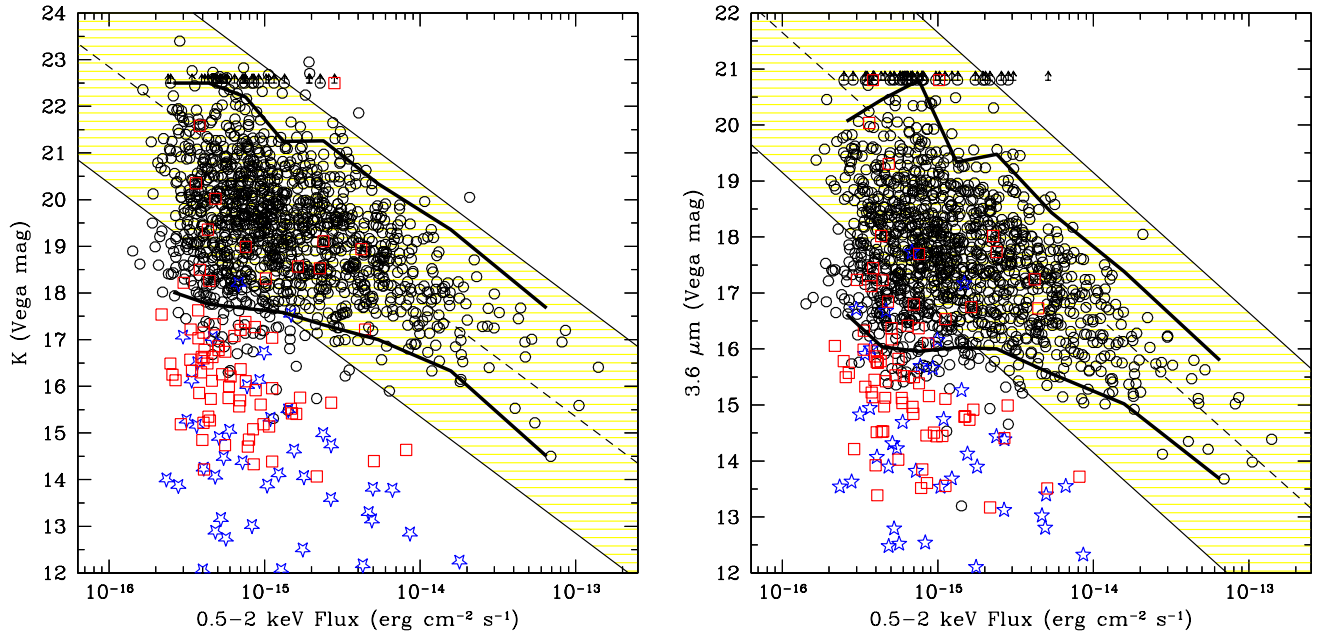

FIG. 12.— The X-ray soft flux versus the K (left) and 3.6 μm (right) total (i.e. aperture corrected) magnitudes for all the X-ray sources. The yellow shaded region represents the "classic locus" of AGN along the correlation X/O=0±1. Black symbols: C-COSMOS sources. Star symbols represent spectroscopic or photometric identified stars. Red and magenta squares represent sources with $L_F < 10^{42}$ erg s^{-1} in C-COSMOS and XMM-COSMOS, respectively. Sources not identified in these bands are represented with a upwards arrows. The thick solid curves represent the region including 90% of the AGN population.
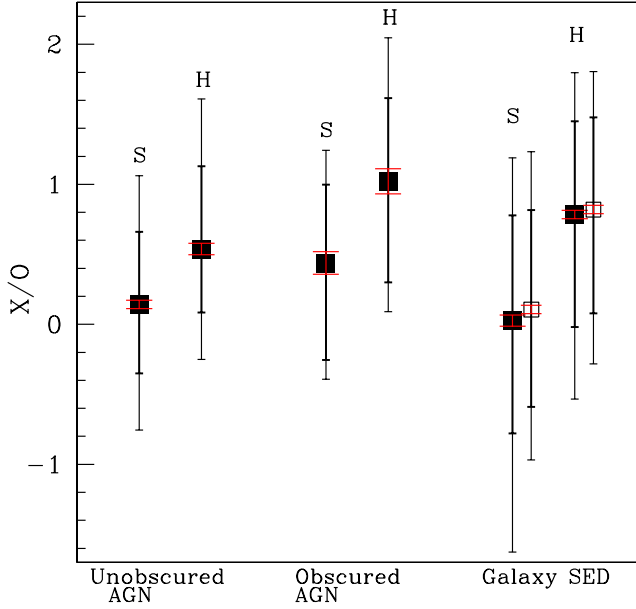
FIG. 13.— The median X-ray to optical flux ratio in the soft (S) and hard (H) bands for the sources divided in SED classes (unobscured AGN, obscured AGN and galaxy SED sources). The open squares represent galaxy SED sources with $L_F > 10^{42}$ erg s^{-1}. The black bars represent the 68% and 90% dispersion. The red error bars represent the median deviation. A table with median values and 68% and 90% dispersion is available in Table 4.

soft (~0.3 dex). Their difference in X/O distributions is confirmed also by a Kolmogorov-Smirnov (K-S; P=0.0006). The 90% range of obscured AGN spreads to X/O>2.

The X/O distribution of galaxy SED sources spans a very large range. In the soft band, the spread at low X/O values is due to the presence of low-luminosity normal galaxies (red squares in Fig. 11), and it decreases when only luminous sources are considered (open squares in Fig. 13). In the hard band, the galaxy SED sources have a median X/O closer to the one of obscured AGN and with a smaller spread to low X/O than in the soft band because the contribution of normal galaxies to the hard band X/O is negligible (as shown in Fig. 11). A K-S test confirms that obscured AGN and galaxy SED sources are consistent with being drawn from the same parent population (P=0.46 and P=0.58 when only bright galaxy SED sources are included).

As it has been already argued and observed by several authors, obscured accretion at high redshift and the fact that the optical flux is more affected by absorption than the X-ray flux are responsible for high X-ray to optical flux ratios. When redshifting the SED of an obscured AGN to progressively higher redshifts the k-corrections in the optical and X-ray band work in opposite directions. The X-ray flux, with a typical extremely hard spectral slope, has a positive k-correction, increasing with redshift and boosting the X-ray flux. On the contrary, in the optical, their SED is dominated either by galaxy starlight or by dust attenuated nuclear emission or by a combination of both. For these reasons, the k-correction works in the opposite direction with respect to the X-ray one: moving to high redshifts, the faint rest frame optical/UV emission is shifted to the *i* band explaining the progressively faint optical magnitudes (see a similar discussion in

Brusa et al. 2010 and Comastri et al. 2003). The result is that the change in observed X-ray flux with increasing redshift is smaller than the change in optical *i*-band magnitude and thus obscured AGN and galaxy SED sources at high redshift have higher X/O. Figure 14 shows that galaxy SED sources occupy the same region of the X/O versus redshift plane of obscured AGN and extend to higher-redshifts and larger X/O. This suggests that galaxy SED sources are mainly obscured AGN at high redshift.

Unobscured sources have a similarly steep spectral slopes in both the optical and X-rays (α ~1), thus the two k-corrections are similar. For this reason, the spread in X/O in the ±1 range (Fig. 13) remains constant with redshift (Fig. 14) and it is merely due to the spread in luminosity. Consequently, there is no correlation between X/O and luminosity for unobscured AGN, while it has been widely shown that X-ray to optical flux ratio correlates with hard X-ray luminosity for obscured AGN (Fiore et al. 2003, Eckart et al. 2006, Brusa et al. 2010).

In Figure 15, the hard X-ray luminosity and the X/O (computed in the hard band; Fiore et al. 2003) is plotted for the obscured AGN and the galaxy SED sources at luminosity $>10^{42}$ erg s^{-1}. The sample consists of 762 sources. The correlation (with a slope of 1.2; black solid line) is quite strong over 3 orders of magnitude in luminosity (Spearman rank correlation coefficient ρ=0.8) and it is stable even when the sample is divided between sources with spectroscopic and photometric redshifts. This correlation again supports the fact that there is a good coupling between the galaxy and the central BH over a wide range of X-ray luminosities. In the past, it has been pointed out that at faint optical magnitudes, the two quantities do not correlate (Barger et al. 2005, Civano et al. 2005), or follow a different relation. Brusa et al. (2010) found the same behavior for the faint (R>23) XMM-COSMOS sources. We considered here the sub-samples of sources at *i*> 23 (450 sources) and *i*> 25 (blue symbols in Fig. 15). We find that the first group follows approximately the same correlation of the total sample, while, at fainter magnitudes, there is still a correlation but with different normalization and slope (0.9; dashed line). However, a larger sample of faint sources is necessary to verify if the correlation holds, suggesting a different population of sources, or it is mainly a spread towards high X/O due to the detection of low-luminosity host galaxies at higher redshifts. Thus this correlation can still be used to estimate redshifts for optically bright sources, as suggested in the past (Fiore et al. 2003), but some caveats have to be considered for faint sources.

8.1.2. *X-ray to optical flux ratio and morphology*

Recently, Pović et al. (2009a, b) found an anti-correlation between the X/O ratio and the concentration parameter (C; Abraham et al. 1994), which represents how the source light is distributed among the galaxy pixels. X/O ratio could be anti-correlated with the production efficiency of the BH (i.e. the Eddington ratio; Kelly et al. 2008, Pović et al. 2009a, Trump et al. 2011). Thus the anti-correlation could be due to a dependence of the Eddington ratio and the galaxy mass, which strongly correlates with C (Graham et al. 2001a,b).

We correlated the C-COSMOS counterparts catalog with the Tasca et al. (2009) catalog which reports the morphological parametric quantities, computed using Morpheus 2005 (Abraham et al. 2007) on HST-ACS images, for several thousands COSMOS sources to *i*=22.5. In order not to be contaminated by unobscured AGN, for which the presence of a bright

TABLE 4
X/O MEDIAN VALUES AND 68% AND 90% RANGES IN THE SOFT AND HARD BAND FOR EACH SED TYPE.

Type	Number of sources	0.5-2 keV				
		median X/O	+34%	-34%	+45%	-45%
Unobscured	412	0.14	0.52	0.49	0.92	0.9
Obscured	87	0.44	0.56	0.69	0.80	0.83
Galaxy SED	864	0.03	0.75	0.81	1.16	1.65
Galaxy SED $L_F > 10^{42}$erg s^{-1}	748	0.11	0.71	0.69	1.13	1.07
Type	Number of sources	2-10 keV				
		median X/O	+34%	-34%	+45%	-45%
Unobscured	342	0.54	0.59	0.45	1.07	0.70
Obscured	79	1.02	0.59	0.72	1.02	0.93
Galaxy SED	718	0.78	0.66	0.80	1.01	1.32
Galaxy SED $L_F > 10^{42}$erg s^{-1}	677	0.82	0.66	0.74	0.99	1.10

nuclear source can affect the determination of the concentration index (Gabor et al. 2009), we excluded from the sample sources classified as point-like from the ACS catalog (Leauthaud et al. 2007) and also sources classified as unobscured from their SED. Also, to avoid the contamination by normal star-forming galaxies we excluded from the sample sources with luminosity in the full band $<10^{42}$ erg s^{-1}. In Figure 16, the X/O computed in the hard band and log(C) are plotted for the 561 sources with the above selection.

We find a significant anti-correlation (Spearman coefficient ρ=0.45; solid line in Figure 16) between the two quantities. The scatter around the correlation is large, however the significance is the same of Pović et al. (2009). The best fit relation is $X/O = -0.057 - 1.57 \times C$. We note that Pović et al. (2009) uses different X-ray band and optical magnitude to compute the X/O ratio, so the normalization of their fit is quite different (dot-dashed line in Figure 16) and also their slope is steeper but this could be due to the limited number of sources in the Pović et al. (2009b) sample (~100 sources). The large range of X/O covered implies that this anti-correlation is valid for a sample spanning a large range of redshifts and luminosities, thus being representative of the entire sample.

We used the same morphological catalog to classify the sources in 3 different classes: bulgy (red circles), disky/spiral (blue squares) and irregular (cyan triangles). We find that most of the sources (50%) are classified as disky/spiral, 40% as bulgy galaxies and only 10% of the sources show an irregular morphology. This result is in agreement with recent findings (e.g., Gabor et al. 2009, Cisternas et al. 2010, Schawinski et al. 2011, Kocevski et al. 2011, Kriek et al. in prep.), suggesting that secular processes rather then major mergers govern a significant fraction of the obscured BH growth in the luminosity regime sampled by X-ray surveys.

We note that the median X/O value for the 3 classes increases with decreasing concentration and that the fraction of sources at $L_X > 10^{43.5}$ erg s^{-1} is larger in irregular sources (45%) than in disky/spiral (36%) and bulgy (25%) galaxies. Thus, sources with irregular morphologies tend to have higher X-ray luminosities (> $10^{43.5}$ erg cm^{-2} s^{-1} in the hard band) and higher X/O (indicating higher obscuration) than sources with undisturbed (spiral or bulgy) morphologies. This observed behavior is currently favored by models of merger-driven AGN fueling (e.g., Hopkins et al. 2008), where the obscured accretion phase should happen in sources with an irregular morphology due to major mergers, suggesting that these models are valid in the high luminosity regime only.

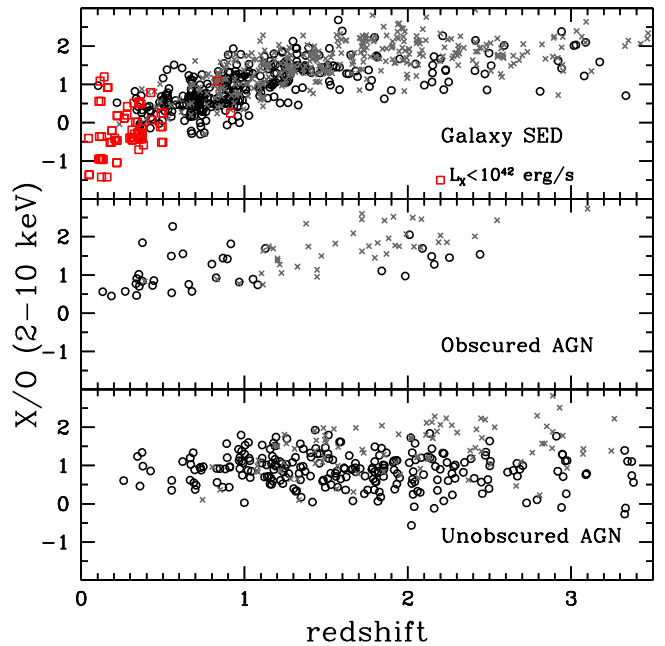8.2. *Optical to X-ray Color Diagram*

FIG. 14.— The X-ray to optical flux ratio in the hard band versus the redshift, dividing the sources on the basis of the optical best fitting template. Black circles represent sources with a spectroscopic identification, gray crosses those without. The red squares represent sources with $L_F < 10^{42}$ erg s^{-1}.

To further study the nature of the sources dominated by the host galaxy light in the optical (galaxy SED sources and obscured AGN), the X-ray properties were analyzed and compared with the optical properties.

The hardness ratio (HR) provides a first, approximate indication of the shape of the X-ray spectra. HR is defined as HR = (H -S)/(H + S), where H is the number of counts in the hard band and S is the number of counts in the soft band. Comparing the column densities derived from the spectral analysis of the brightest C-COSMOS sources (Paper IV) with their HRs, we estimated that HR=-0.2 is an appropriate value to roughly separate sources with significant obscuration ($N_H > 10^{22}$ cm^{-2}) from effectively unobscured sources. This value is consistent with what has been usually used in the literature in other *Chandra* surveys (e.g., Wilkes et al. 2006), even if we have to keep in mind that it is only an approximation, given that the relation between X-ray column density and HR is strongly redshift dependent (see Civano et al. 2011, Figure 1) and the statistics for faint sources are poor . For the
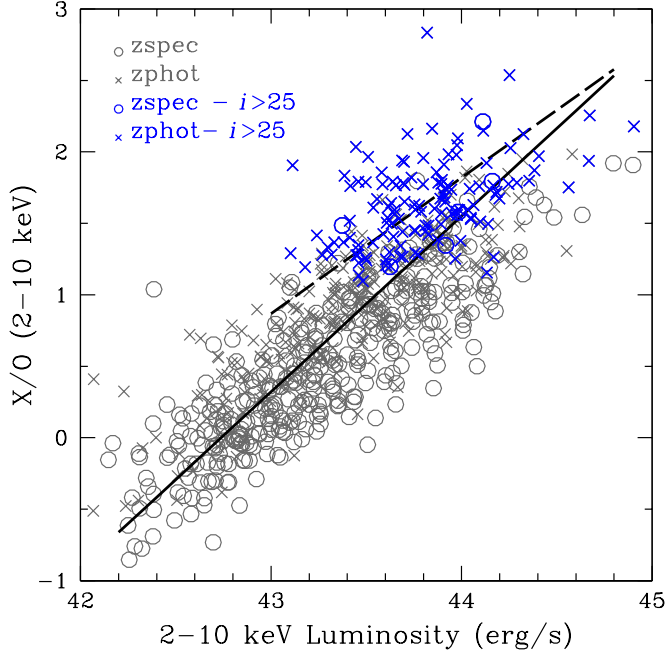
Fig. 15.— The X-ray to optical flux ratio in the hard band versus the hard band X-ray luminosity. Circles represent sources with a spectroscopic identification, crosses those without. The blue sources have $i > 25$. The solid line is the fit to the total sample. The dashed line is the fit to the faint optical sources.
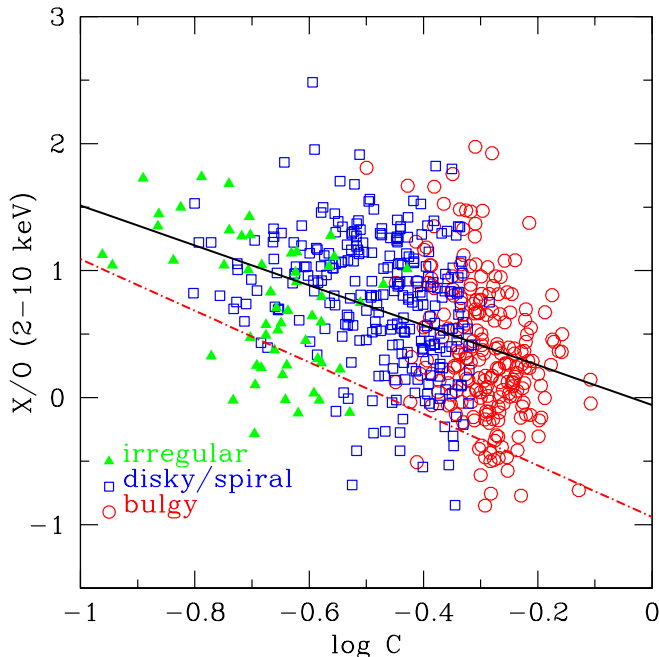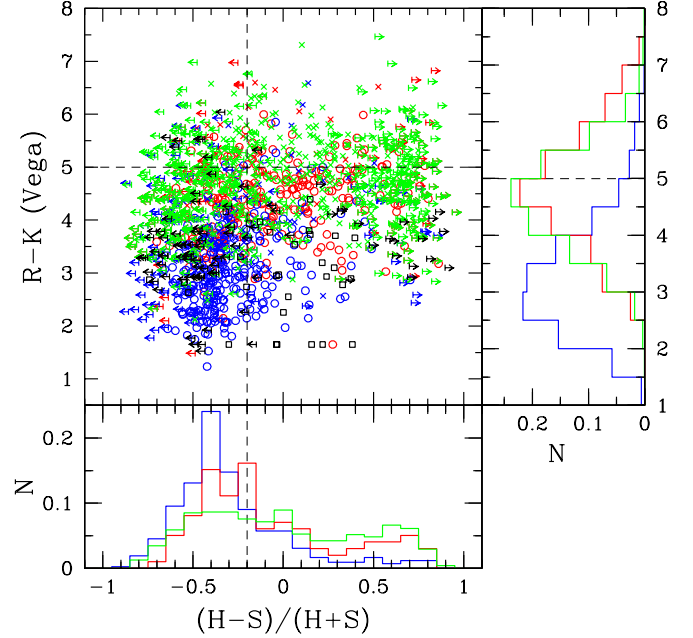

Fig. 17.— The R-K color in the Vega system versus the X-ray hardness ratio. Circles represent sources with a spectroscopic identification, crosses those without. Arrow symbols represent sources without a detection in the soft or hard band for which a limit has been estimated. Blue=unobscured AGN, red=obscured AGN, green= galaxy SED sources. The black squares and arrows represent sources with $L_F < 10^{42}$ erg s^{-1}. In the right and bottom histograms, the distributions of the two quantities in photometric classes are plotted. The HR distributions take into account also upper limits.

sources not detected in the hard or soft band we computed upper or lower limits on the HR, using for each band the smallest number of counts detected in the field (3.7 in the soft band and 4.5 in the hard band).

In the optical and infrared bands, the $R - K$ color has been used in the past to select obscured sources in X-ray surveys (Brusa et al. 2005) and it has been shown that a correlation exists between X/O and $R - K$ (Brusa et al. 2010, Rovilos et al. 2010). In Figure 17 (left panel), the R-K color (computed using aperture photometry) is plotted versus the HR for all the sources, together with the distributions of the two quantities, dividing the sources in the three photometric types. The sources with X-ray luminosity $< 10^{42}$ erg s^{-1} (red squares) are scattered across the plane without showing a correlation between HR and optical colors. These sources have been excluded from the histograms in Figure 17 (left panel).

Unobscured AGN (blue histogram) have on average blue color ($\langle R - K \rangle = 3.27$) in the optical and soft spectra in the X-ray ($\langle HR \rangle = -0.29$, including upper limits), even if a tail at higher HR and $R - K$ is present, in agreement with other findings of red quasars with broad lines (Glikman et al. 2007, Urrutia et al. 2008, Young et al. 2009). The sources fitted with a galaxy template have HR and $R - K$ distributions consistent with those of obscured AGN, with $\langle HR \rangle = -0.01$ and -0.08, and $\langle R - K \rangle = 4.65$ and 4.83, respectively. Similar numbers are obtained when upper limits are not considered. If BLAGN and non-BLAGN are considered, the HR and $R - K$ colors are consistent with those found if the photometric classification is used ($\langle HR \rangle = -0.31$ and -0.04, and $\langle HR \rangle = 3.1$ and 4.3).

Fig. 16.— The X-ray to optical flux ratio in the hard band versus the concentration parameter measured in the HST ACS images. Red circles represent sources with a *bulgy* morphology, blue square sources with a *disky/spiral* morphology, green triangles sources with an *irregular* morphology. The black solid line is the linear fit. The red dot-dashed line is the Pović et al. (2009b) fit.

9. SUMMARY

In this paper we have presented the identification procedure, the catalog and some basic properties of the X-ray point-like sources detected in the C-COSMOS project. We summarize the most important results in the following:

- The procedure for the association of the counterparts, performed via the likelihood ratio technique in 3 different bands (i, K, 3.6μm), has produced a very high identification rates (97%), after matching the results in the 3 bands and also thanks to the good correlation of near-infrared data with X-ray data at the depth of C-COSMOS.

- Optical and near-infrared information is available for all the counterparts in the sample but 10 objects, two of which are truly empty fields.

- Secure spectroscopic redshifts with two or more emission and/or absorption lines are available for 906 sources. 35% of them are identified as BLAGN and 59% do not show broad lines but only narrow lines or absorption lines. The remaining sources are spectroscopically identified stars.

- Photometric redshifts are available for 1693 sources. According to the photometric classification, based on the fitting of the SED, most of the sources are best fitted by a pure galaxy template (65%), though in the whole sample only ~10% of the sources have luminosity <10^{42} erg s^{-1} possibly due to non-nuclear emission.

- C-COSMOS occupies a sweet spot in the X-ray survey "wedding cake": the perfect match between the X-ray and optical/near-infrared depth allows us to classify the z=0-5 X-ray sources just using their photometric data; the large area provides sizable samples of rare sources (e.g., high–z sources) and allows us to recognize a very large sample of obscured sources (71% on the basis of the photometric SED fitting and the X-ray luminosity), either best fitted in the optical by an obscured (hybrid) AGN template or by a galaxy template. These obscured sources start to dominate the whole X-ray population below fluxes of 4×10^{-15} erg cm^{-2} s^{-1} (0.5-2 keV). The optical and X-ray properties (redshifts, X/O, HR, $R - K$ and N$_H$) confirm that most of them are highly-obscured z=1–2 AGN. The X-ray band remains the only band able to isolate this population of obscured sources which in the optical show a normal galaxy SED.

- Thanks to the large sample of AGN in the C-COSMOS survey, we revised, both in the soft and in the hard band, the X-ray to optical flux ratio locus, originally defined in the soft band. We combined the C-COSMOS and XMM-COSMOS catalogs, providing a sample of 2214 sources in the soft band and 1676 in the hard band, spanning 2 order of magnitudes in X-ray flux. 90% of the sources lie in a region slightly offset from the "classic locus" in the soft band, while in the hard band the new locus is offset by Δ(X/O)~0.5 with respect to the "hard band locus". We also provide the best fits to the X-ray flux and total i-band magnitude for the AGN sample in both bands.

- We also presented the X-ray to K and 3.6μm flux ratios which shows a smaller spread of the sources, indicating a strong correlation of X-rays with near-infrared bands for both obscured and unobscured AGN, as already pointed in the identification procedure.

- The X/O ratio correlates with several optical, infrared and X-ray properties of X-ray sources. We confirm the presence of a correlation in the hard band between the X/O ratio and the X-ray luminosity for obscured and galaxy SED sources, as found by several authors, confirming the tight correlation between the galaxy and the BH properties over a wide X-ray luminosity range and to a magnitude limit of i= 25. This correlation has been used in the past to estimate the X-ray luminosity and thus the redshift of X-ray sources, just using the observed fluxes. While the fit to the bright sample has a slope consistent with previous work, the fit to the faint sample (i> 25) has a flatter slope and different normalization, thus putting a limit on the validity of this relation as good estimator of redshift and luminosity at faint magnitudes.

- An anti-correlation between the X/O in the hard band and the concentration parameter has been found, confirming the results of Pović et al. (2009), by using a 6 times larger sample. We also find that most of the high X/O sources, thus obscured, are classified as disky/spiral galaxies suggesting that secular processes govern a significant fraction of the obscured BH growth, on a wide range of X-ray luminosities (10^{43}-$10^{44.5}$erg s^{-1}) and redshift. The only sources with an irregular morphology are those at the brightest luminosities, in agreement with the predictions of merger-driven fueling scenarios. The next step will be to compute galaxy masses, by using a careful SED fitting which takes into account the nuclear component in the optical band as in Lusso et al. (2011), and confirm the correlation between X/O and the galaxy mass.

We began the Chandra COSMOS project in the belief that it represented a sweet spot in the inevitable depth versus area trade-off, and the work presented here vindicates this approach. Other C-COSMOS results (e.g. Capak et al. 2011) have shown that this survey is also powerful at identifying large scale structures at z>4 spanning a large area of the sky (>15″). Given that there is twice as much area in the full COSMOS field with identical, and increasing, multi-wavelength coverage, we feel that the best path for deep/wide extragalactic *Chandra* future surveys would be to complete the COSMOS field (2 deg^2) to at least the present depth.

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