

# The CHART System: A High-Performance, Fair Transport Architecture Based on Explicit-Rate Signaling

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## ABSTRACT

TCP/IP is known to have poor performance under conditions of moderate to high packet loss ( $> 1\%$ ) and end-to-end latency ( $> 100$  ms). The HP CHART system uses a network of flow routers and a proxy-based explicit rate aware TCP/IP stack to improve end-to-end performance in these cases. In tests over such highly impaired links, CHART demonstrated a 20x performance improvement over legacy TCP/IP while preserving fairness to legacy flows.

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**General Terms:** Performance, Reliability

**Keywords:** TCP/IP, Quality of Service, Flow Management

## 1. THE CHART SYSTEM

TCP/IP was designed for transport over relatively low-loss, low-latency connections. The resulting protocol suite used in-band sensing of latency and packet loss as its primary tool for congestion avoidance. The result is a dramatic falloff in TCP/IP performance as end-to-end latency increases, and a catastrophic loss in throughput under conditions of corruption loss.

There have been various attempts to rectify this problem, from adjusting the congestion control algorithm in TCP/IP to special-purpose solutions for high-latency and loss links. Examples of the former include FAST-TCP[2], TCP Vegas[3] and Probe Control Protocol (PCP) [4]. Examples of the latter include adaptive forward error correction and performance enhancing proxies. These approaches have explored the space of solutions defined by in-network sensing and static information about specific links. The CHART system is an exploration of the efficacy of explicit communication between the network and the endpoints. Under the TIA-1039 protocol implemented in CHART[1], after every 128 packets each end-system receives a transmission rate from the network and then transmits at the granted rate. CHART consists of:

1. An Explicit-Rate option to IP[1], TIA-1039. The ER option permits a flow to request and receive a guaranteed or bes-

available transmission rate along the end-to-end path.

2. An explicit-rate transport protocol, TCP-Trinity, which exploits TIA-1039 to transmit at a fixed rate.
3. An in-network flow management service, which implements the TIA-1039 to signal the transmission rate. There are two alternate implementations, both of which allocate bandwidth to individual flows: a hardware flow-management infrastructure appliance for high-bandwidth links, and a software implementation based on the Click[5] platform.

The software and hardware flow-management components are alternate implementations of in-network active queue management with rate information communicated through the TIA-1039 protocol to the endpoints. Flow management devices, which are placed at network congestion points, differentiate between traffic classes, enhancing the end-to-end performance of high priority traffic.

A common decentralized network measurement and monitoring fabric communicates network state to CHART components. This sensing infrastructure aggregates and propagates measurements collected by monitors placed in the network. Measurements include link transmission capacity, loss rate, bandwidth, latency, and hop count. We anticipate using the sensing infrastructure as an alternate oracle to in-network flow management.

In DARPA testing in the fall of 2006 and the fall of 2007 administered by SAIC, the CHART system demonstrated mean performance gains of 20x on a large test suite. SAIC testing in the fall of 2007 demonstrated that the presence of CHART traffic did not interfere with the flow of legacy UDP or TCP traffic.

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