# The Choquet boundary OF AN OPERATOR SYSTEM 

Kenneth R. Davidson

University of Waterloo
GPOTS, Berkeley, May, 2013
joint work with Matthew Kennedy

This is dedicated to the memory of William B. Arveson
Two recent surveys of Bill's work in JOT:

- K.R. Davidson, The mathematical legacy of William Arveson, J. Operator Theory 68 (2012), 307-334.
- M. Izumi, E $E_{0}$-semigroups: around and beyond Arveson's work, J. Operator Theory 68 (2012), 335-363.
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Theorem (Sz.NAGY (1953))
If $T \in \mathcal{B}(\mathcal{H})$ and $\|T\| \leq 1$, there is a unitary operator of form

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Corollary (Generalized von Neumann inequality) If $\left[p_{i j}\right]$ is a matrix of polynomials, and $\|T\| \leq 1$, then

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Hence this can be considered as a study of representations of the disk algebra $\mathrm{A}(\mathbb{D})$.
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- Operator algebra $\mathcal{A}$ : unital subalgebra of a $\mathrm{C}^{*}$-algebra $\mathrm{C}^{*}(\mathcal{A})$. Hence: a norm structure on matrices $\mathcal{M}_{n}(\mathcal{A}) \subset \mathcal{M}_{n}\left(\mathrm{C}^{*}(\mathcal{A})\right)$.
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- The role of completely positive and completely bounded maps.
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Say $\varphi$ is completely bounded (c.b.) if

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\|\varphi\|_{c b}=\sup _{n \geq 1}\left\|\varphi_{n}\right\|<\infty .
$$

Say $\varphi$ is completely contractive (c.c.) if $\|\varphi\|_{c b} \leq 1$.

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- If $\rho: \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$ is c.c., then $\mathcal{S}=\overline{\mathcal{A}+\mathcal{A}^{*}}$ and

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## Theorem (Arveson's Extension Theorem)

If $\varphi: \mathcal{S} \rightarrow \mathcal{B}(\mathcal{H})$ is c.p. and $\mathcal{S} \subset \mathcal{T}$, then there is a c.p. map $\psi: \mathcal{T} \rightarrow \mathcal{B}(\mathcal{H})$ s.t. $\left.\psi\right|_{\mathcal{S}}=\varphi$. i.e. $\mathcal{B}(\mathcal{H})$ is injective.


- A dilation of a c.c. representation $\rho: \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$ is a c.c. representation $\sigma: \mathcal{A} \rightarrow \mathcal{B}(\mathcal{K})$ where $\mathcal{K}=\mathcal{K}_{-} \oplus \mathcal{H} \oplus \mathcal{K}_{+}$, and

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\sigma(a)=\left[\begin{array}{ccc}
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- Note that if $\sigma \succ \rho$, then $\tilde{\sigma} \succ \tilde{\rho}$.

But $\psi \succ \tilde{\rho}$ may not be multiplicative on $\mathcal{A}$.

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Let $\rho: \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$ be a representation. TFAE
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Now we turn to two central ideas in Arveson's paper which he was not able to verify in general:

- boundary representations
- the $C^{*}$-envelope

Bill was able to verify this in many concrete examples. See also Subalgebras of C*-algebras II, Acta Math. 128 (1972), 271-308.

A u.c.p. $\operatorname{map} \varphi: \mathcal{S} \rightarrow \mathcal{B}(\mathcal{H})$ or a c.c. repn. $\varphi: \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$ has the unique extension property (u.e.p) if
(1) $\varphi$ has a unique u.c.p. extension to $\mathrm{C}^{*}(\mathcal{S})\left(\operatorname{or} \mathrm{C}^{*}(\mathcal{A})\right)$
(2) this extension is a $*$-homomorphism

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The boundary representations form the Choquet boundary of $\mathcal{S}$.

The $C^{*}$-envelope of $\mathcal{A}$ is a pair $\left(\mathrm{C}_{\text {env }}^{*}(\mathcal{A}), \iota\right)$ where $\iota: \mathcal{A} \rightarrow \mathrm{C}_{\text {env }}^{*}(\mathcal{A})$ is comp. isom. iso., $\mathrm{C}_{\text {env }}^{*}(\mathcal{A})=\mathrm{C}^{*}(\iota(\mathcal{A}))$, with universal property: if $j: \mathcal{A} \rightarrow \mathfrak{B}=\mathrm{C}^{*}(j(\mathcal{A}))$ comp. isom. iso. then $\exists q: \mathfrak{B} \rightarrow \mathrm{C}_{\text {env }}^{*}(\mathcal{A}) *$-homomorphism s.t. $q j=\iota$.


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If there are sufficiently many boundary representations $\left\{\pi_{\lambda}\right\}$ to completely norm $\mathcal{S}$, let $\pi=\bigoplus \pi_{\lambda}$. Then

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\mathrm{C}_{\mathrm{env}}^{*}(\mathcal{S})=\mathrm{C}^{*}(\pi(\mathcal{S})) .
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Every operator system is contained in a unique minimal injective operator system.

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Muhly-Solel (1998) gave a homological characterization of boundary representations.

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Proof based on ideas of Agler (1988): notion of extremal extension.
Muhly-Solel result says: a repn. has u.e.p.
it is an extremal extension and an extremal coextension.

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## Theorem (Arveson (JAMS 2008))

If $\mathcal{S}$ is separable, then there are sufficiently many boundary representations.

## Our approach

- We give a dilation theory proof of the existence of boundary representations.
- It works in complete generality.
- The argument is conceptual and natural.

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If $\varphi$ is maximal at every $(s, x)$, then $\varphi$ is maximal.

## Key Lemma

If $\varphi$ is pure, and $\left(s_{0}, x_{0}\right) \in \mathcal{S} \times \mathcal{H}$, then there is a pure dilation $\psi: \mathcal{S} \rightarrow \mathcal{B}(\mathcal{H} \oplus \mathbb{C})$ s.t. $\psi \succ \varphi$ and $\psi$ is maximal at $\left(s_{0}, x_{0}\right)$.

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- If $\psi: \mathcal{S} \rightarrow \mathcal{B}(\mathcal{H} \oplus \mathcal{K})$, then compression to $\operatorname{span}\left\{\mathcal{H}, \psi\left(s_{0}\right) x_{0}\right\}$ has same norm at $\left(s_{0}, x_{0}\right)$.


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- If $\psi: \mathcal{S} \rightarrow \mathcal{B}(\mathcal{H} \oplus \mathcal{K})$, then compression to $\operatorname{span}\left\{\mathcal{H}, \psi\left(s_{0}\right) x_{0}\right\}$ has same norm at $\left(s_{0}, x_{0}\right)$.
- $\{\psi: \mathcal{S} \rightarrow \mathcal{B}(\mathcal{H} \oplus \mathbb{C}): \psi \succ \varphi\}$ is BW-compact.

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- Take extreme point $\psi_{0}$ of $\left\{\psi: \mathcal{S} \rightarrow \mathcal{B}(\mathcal{H} \oplus \mathbb{C}): \psi \succ \varphi, \psi\left(s_{0}\right) x_{0}=\varphi\left(s_{0}\right) x_{0} \oplus \eta\right\}$.


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- Delicate argument to show that $\psi_{0}$ is pure.


## Theorem 1

Every pure u.c.p. $\operatorname{map} \varphi: \mathcal{S} \rightarrow \mathcal{B}(\mathcal{H})$ dilates to a maximal pure u.c.p. map, and hence extends to a boundary representation.

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- routine transfinite induction to obtain dilation maximal at every pair $(s, x)$
- if $S$ is separable and $\operatorname{dim} \mathcal{H}<\infty$, then can produce the maximal dilation as limit of sequence of finite dim. maps.


## Theorem 2

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- Dilate it to a boundary repn. $\sigma$ of $\mathcal{M}_{n}(\mathcal{S})$ by Theorem 1. Then $\sigma \simeq \pi^{(n)}$, where $\pi$ is irreducible repn. of $\mathrm{C}^{*}(\mathcal{S})$.
- If $\varphi$ is u.c.p. dilation of $\left.\pi\right|_{\mathcal{S}}$, then $\varphi^{(n)}$ dilates $\left.\sigma\right|_{\mathcal{M}_{n}(\mathcal{S})}$.

Hence $\varphi=\pi$. So $\pi$ is the desired boundary repn.
(This is easy direction of a result of Hopenwasser.)

Second method to get sufficiently many boundary repns. A matrix state is a u.c.p. map of $\mathcal{S}$ into $\mathcal{M}_{n}$.

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- Finite dimensional compressions of a faithful repn. of $\mathrm{C}^{*}(\mathcal{S})$ completely norm $\mathcal{S}$. So matrix states completely norm $\mathcal{S}$.
- The collection of all matrix states $\left(S_{n}(\mathcal{S})\right)_{n \geq 1}$ is $C^{*}$-convex: If $\gamma_{j} \in \mathcal{M}_{n_{j}, n}, \sum_{j=1}^{k} \gamma_{j}^{*} \gamma_{j}=I_{n}$ and $\psi_{j} \in S_{n_{j}}(\mathcal{S})$, then

$$
\psi=\sum_{j=1}^{k} \gamma_{j}^{*} \psi_{j} \gamma_{j} \in S_{n}(\mathcal{S})
$$

Can define $C^{*}$-convex hull.

There is a notion of $C^{*}$-extreme point of a $C^{*}$-convex set.
Farenick (2000) shows that the $C^{*}$-extreme points of $\left(S_{n}(\mathcal{S})\right)_{n \geq 1}$ coincide with the pure matrix states.

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## Theorem (Farenick 2004)

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Every operator system and every unital operator algebra has sufficiently many boundary representations.

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Corollary
The C*-envelope of every operator system and every unital operator algebra is obtained from a direct sum of boundary representations.

Where does this get us?

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- The Choquet boundary, peak points and representing measures play a central role in the study of function algebras.
- Perhaps now, we can more diligently pursue the use of boundary representations in non-commutative dilation theory. This was central to Arveson's vision of the subject.


## The end.

