

# The Chromodomain Helicase DNA-Binding Chromatin Remodelers: Family Traits that Protect from and Promote Cancer

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A plethora of mutations in chromatin regulators in diverse human cancers is emerging, attesting to the pivotal role of chromatin dynamics in tumorigenesis. A recurrent theme is inactivation of the chromodomain helicase DNA-binding (CHD) family of proteins—ATP-dependent chromatin remodelers that govern the cellular machinery's access to DNA, thereby controlling fundamental processes, including transcription, proliferation, and DNA damage repair. This review highlights what is currently known about how genetic and epigenetic perturbation of CHD proteins and the pathways that they regulate set the stage for cancer, providing new insight for designing more effective anti-cancer therapies.

The advent of high-throughput sequencing technologies has made it increasingly straightforward to interrogate the genomes of human tumors in an effort to identify cancer-driving mutations, diagnose tumor subtypes, and implement regimens for personalized therapies. The picture that is emerging from these studies is that lesions in genes encoding chromatin regulators are among the most prevalent mutations, underscoring the importance of chromatin structure and function in tumorigenesis. Indeed, efforts of The Cancer Genome Atlas Consortium have established that chromatin regulators are some of the most frequent mutations in 12 major types of human cancer, including glioma and leukemia, as well as tumors of the breast, bladder, colon, kidney, and lung (Kandoth et al. 2013).

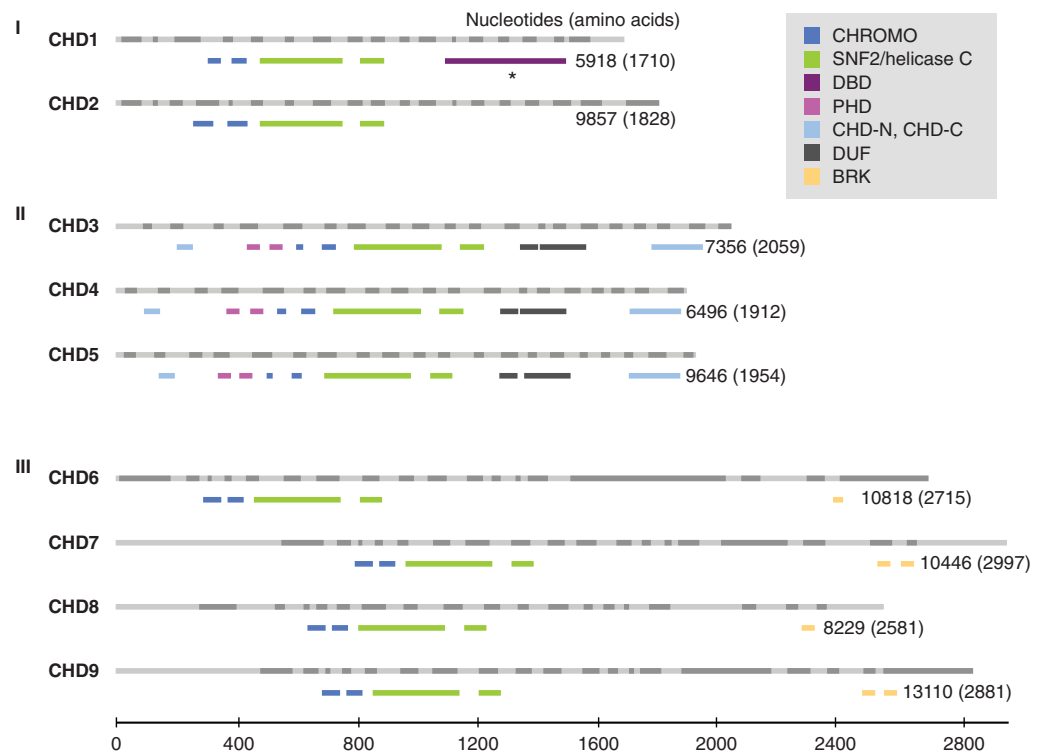
The chromodomain helicase DNA-binding (CHD) family of chromatin remodelers is one type of chromatin regulator that is frequently lost or inactivated in a diverse array of human cancers. The CHD family consists of nine members, CHD1–9 (Fig. 1). CHD5 was the first CHD protein shown to have a functional role in cancer (Bagchi et al. 2007). CHD family members share chromatin organizing (CHROMO) domains that bind specifically modified histones and an SNF2-like ATP-dependent helicase domain that facilitates nucleosome mobilization (Marfella and Imbalzano 2007). The family name reflects the fact that CHD1, the original CHD protein identified, tends to interact with AT-rich regions of DNA, implying that it has a DNA-binding domain (Delmas et al. 1993). In addition to core motifs characteristic of the

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Editors: Scott A. Armstrong, Steven Henikoff, and Christopher R. Vakoc  
Additional Perspectives on Chromatin Deregulation in Cancer available at [www.perspectivesinmedicine.org](http://www.perspectivesinmedicine.org)

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**Figure 1.** The chromodomain helicase DNA-binding (CHD) family of chromatin remodelers. CHD proteins are classified into three subfamilies (Roman numerals) based on their functional motifs (see legend). The human CHD family based on Ensembl is drawn to scale, with light and dark gray bars depicting alternating exons (*above*) and the functional motifs from PFAM (a database of protein families of multiple sequence alignments generated using hidden Markov models) shown in color (*below*) for each CHD member. The number of nucleotides and amino acid residues for the CHD transcript and protein, respectively, are shown. BRK, Brahma and Kismet domains; CHD, chromodomain helicase DNA binding; CHROMO, chromodomain; CHD-N, CHD-C: CHD\_N and CHD\_C are shown in upstream and downstream regions, respectively; DBD, DNA-binding domain (based on Delmas et al. 1993) rather than PFAM; DUF, domain of unknown function; PHD, plant homeodomain; SNF2/Helicase C, SNF2\_N, and Helicase\_C are shown in upstream and downstream regions, respectively. (From Li and Mills 2014; reproduced and modified, with express permission, from Future Medicine, 2014.)



family as a whole, individual CHD proteins have other domains that classify them into three subfamilies. Subfamily I, which includes human CHD1 and CHD2, is classified based on members having SNF2 domains homologous to CHD1 proteins of other organisms, with mouse Chd1 having an AT-rich DNA-binding domain (Delmas et al. 1993). Subfamily II, which includes CHD3, CHD4, and CHD5, has dual plant homeodomains (PHDs). Subfamily III, which includes CHD6, CHD7, CHD8, and CHD9, has Brahma and Kismet domains. CHD proteins affect chromatin compaction and therefore the

cellular machinery's access to DNA; thus, these enzymes control fundamental biological processes, including transcription, cellular proliferation, and DNA damage repair. Given their key role in these crucial cellular processes, it is perhaps not surprising that loss or inactivation of CHD proteins is pivotal in a range of developmental syndromes and cancers. This review focuses on the mechanisms by which perturbation of CHD-mediated chromatin dynamics regulates tumorigenesis. I discuss what is known about the biological roles of these chromatin remodelers, highlight recent evi-

dence for the genetic and epigenetic factors that are upstream, in parallel, and downstream from CHD proteins, and discuss the current view on how perturbation of different members of each CHD subfamily modulates the tumorigenic process and affects cancer patient survival.

### SUBFAMILY I: CHD1 and CHD2

CHD1—the founding member of the CHD family—was initially discovered as a DNA-binding protein that, based on its functional motifs, was proposed to regulate chromatin structure and gene expression (Delmas et al. 1993; Stokes and Perry 1995). Subfamily I CHD proteins have been implicated in transcriptional regulation, and this has recently been shown to impact proliferation, repair of DNA damage, and pluripotency. The first demonstration for any CHD protein playing a functional role in cancer was when the subfamily II member CHD5 was identified as a tumor suppressor mapping to human 1p36—a genomic interval frequently deleted in a variety of cancers (Bagchi et al. 2007; Bagchi and Mills 2008) (discussed below). But more recently, it has become apparent that like CHD5, subfamily I CHD proteins are also lost or inactivated in several cancers. However, their gain of function has also been shown to promote cancer. Dereglulation of subfamily I proteins has a profound effect on invasion, metastasis, and patient survival. CHD1 is the CHD subfamily I member with the tightest link to cancer, although CHD2 has also been implicated in tumor suppression.

Factors upstream of CHD subfamily I proteins include environmental factors, hormones, chromatin regulators, and signaling pathways. Exposure to cigarette smoke correlates with hypermethylation of the *CHD1* promoter (Lyn-Cook et al. 2014). Promoter methylation is associated with RNA polymerase II (Pol II) stalling and compromised transcriptional activation, whereas demethylating agents abrogate this effect by inducing Pol II phosphorylation at serine 2 to promote transcriptional elongation (Tao et al. 2011). Estrogen signaling plays a key role in promoting proliferation of estrogen

receptor (ER)-positive breast cancer. Estrogen inhibits expression of miR26a and miR26b, microRNAs that target and degrade *CHD1* transcript and promote proliferation of breast cancer cells (Tan et al. 2014). c-MYC is required for both the inhibition of miR26 and the increase in CHD1 expression in response to estrogen. The investigators show that depletion of CHD1 abrogates the pro-proliferative effect of estrogen, indicating that CHD1 potentiates oncogenesis, at least in the context of ER $\alpha$ -positive breast cancer. Factors interacting with components of the transcriptional machinery and histone modifiers also converge upstream of *CHD1* to modulate its expression. For example, the Pol II-associated factor hPAF2/PD2 mediates MLL-mediated deposition of the H3K4me2/3 covalent modifications characteristic of transcriptionally active genes and facilitates *CHD1* expression in pancreatic cancer cells (Dey et al. 2011). Another example is the protein arginine methyltransferase Prmt6, which inhibits expression of *Chd1* (Lee et al. 2012). Prmt6 evokes H3R2me2—a covalent modification antagonistic to the H3K4me3 mark associated with transcriptional activation. CHD1 is also modified by SUMOylation in KRAS mutant colorectal cancer cells by the SUMO E2 ligase UBC9 (Yu et al. 2015a). While this study indicates that activation of the RAS/RAF pathway covalently modifies CHD1 and that UBC9 is required for KRAS-mediated transformation, the finding that CHD1 depletion inhibits the transformed phenotype suggests that SUMOylation endows CHD1 with pro-oncogenic activity, rather than it inhibiting its tumor-suppressive activity.

Factors working in parallel with CHD1 include hPAF1/PD2 that binds and facilitates nuclear import of CHD1 where it is then positioned to bind H3K4me2/3 via its dual CHROMO domains (Flanagan et al. 2005), promote nucleosome destabilization, and modulate transcription in pancreatic cancer cells (Dey et al. 2011). Because hPAF1/PD2 is proposed to have an oncogenic function and is aberrantly overexpressed in pancreatic cancer, and hPAF/PD2 facilitates CHD1 activity, this again suggests that CHD1 plays a pro-oncogenic role. There is some indication that CHD1 function-

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ally interacts with MAP3K7, as co-deletion of *CHD1* and *MAP3K7* occurs in prostate cancer and co-suppression of Chd1 and Map3k7 in mouse prostate epithelial stem/progenitor cells inhibits differentiation and causes aggressive prostate tumors (Rodrigues et al. 2015). CHD1 also works in concert with the androgen receptor (AR), as it is required for AR-dependent transcriptional activation of androgen-responsive genes in prostate cancer (Burkhardt et al. 2013).

Factors downstream from CHD1 include the AR-responsive tumor suppressor genes *NKX3-1*, *FOXO1*, and *PPAR $\gamma$* , consistent with CHD1 being a coactivator of AR-mediated transcriptional activation (Burkhardt et al. 2013). In mouse embryonic stem (ES) cells, Chd1 facilitates expression of the pluripotency genes *Oct4* and *Nanog* (Lee et al. 2012). The mechanism proposed is that increased expression of the histone arginine methyltransferase Prmt6 occurs upon differentiation thereby evoking H3R2me2, a covalent histone modification that counteracts the transcriptional activation mark H3K4me3. Simultaneously, Chd1 expression is compromised, and there is also less Chd1 bound at the promoters of *Oct4* and *Nanog* because these regions have less H3K4me3 compared with undifferentiated ES cells, and Chd1 is known to bind H3K4me3 via its CHROMO domains. A separate study found that H3K4me3 is not sufficient for recruiting CHD1 to promoters; instead, CHD1 is recruited to target loci through its interaction with components of the transcriptional machinery in an activation-dependent manner where it regulates H3/H3.3 occupancy and chromatin accessibility at transcriptional start sites of its target genes (Siggins et al. 2015).

Inactivating lesions affecting *CHD1* include promoter methylation in breast and other cancers (Lyn-Cook et al. 2014), and mutation in colorectal cancers with high levels of microsatellite instability (Kim et al. 2011). But the most striking evidence for CHD1 inactivation is in prostate cancer, where it is deleted or mutated (Grasso et al. 2012; Huang et al. 2012; Liu et al. 2012; Burkhardt et al. 2013; Martin et al. 2013; Blattner et al. 2014; Gao et al. 2014; Scott et al.

2014; Tereshchenko et al. 2014; Attard et al. 2015; Fisher et al. 2015; Sowalsky et al. 2015). Indeed, homozygous deletion of *CHD1* is the second most common genetic event in prostate cancer after *PTEN* deletion (Liu et al. 2012). Chromosome rearrangements that cause overexpression of ETS family members, most commonly translocations between the androgen-regulated gene *transmembrane protease serine 2 (TMPRSS2)* and the *ERG* gene, are frequent in some types of prostate cancer (Clark and Cooper 2009). *CHD1* lesions occur in ETS fusion-negative prostate cancer (Grasso et al. 2012; Martin et al. 2013; Tereshchenko et al. 2014), indicating that the *CHD1* status defines a unique prostate cancer subtype (Attard et al. 2015; Fisher et al. 2015). Whereas CHD1 mutation and ETS fusions are mutually exclusive, CHD1 inactivation co-occurs with speckle-type PTB/POZ protein mutations (Blattner et al. 2014) and *MAP3K7* deletion (Rodrigues et al. 2015), suggesting that these lesions cooperate with CHD1 loss to drive tumorigenesis in the prostate. Inactivation of CHD1 has also been correlated with anchorage-independent growth (Yu et al. 2015a), enhanced invasiveness (Huang et al. 2012; Liu et al. 2012), and compromised differentiation and increased stemness in mouse prostate epithelial stem/progenitor cells (Rodrigues et al. 2015). These findings from human studies are in agreement with the observations made from work in the mouse. For example, Prmt6 inhibits Chd1 occupancy at the pluripotency genes *Oct4* and *Nanog*, and Chd1 inactivation augments expression of *Oct4* and *Nanog* and enhances stemness (Lee et al. 2012). Furthermore, Chd1 is essential for the open chromatin state and pluripotency of ES cells and is required for the reprogramming of somatic cells (Gaspar-Maia et al. 2009).

There is also some evidence that CHD2 plays a role in cancer, although this view is not nearly as clear as it is for CHD1. In this regard, there are some similarities between CHD1 and CHD2. For example, CHD2 may also be hormone responsive, as human chorionic gonadotropin that is released systemically during pregnancy causes transcriptional induction of CHD2, which has been proposed, along with



other chromatin regulators, to prevent breast cancer (Russo and Russo 2012). CHD2 also regulates H3/H3.3 occupancy (Siggens et al. 2015). As is the case for CHD1 (and other CHDs, see below), colorectal tumors with high microsatellite instability have CHD2 mutations (Kim et al. 2011). There is some, although scant, evidence that CHD2 is inactivated in human cancers, including chronic lymphoblastic leukemia (CLL) and monoclonal B lymphocytosis, a B-cell expansion syndrome that can progress to CLL (Rodriguez et al. 2015). These *CHD2* mutations in CLL are associated with mutations in genes encoding immunoglobulin heavy chain variable regions. CHD2 is also down-regulated in colorectal cancer (Bandres et al. 2007). CHD2 has also been implicated in neurodevelopment, as haploinsufficiency of CHD2 is associated with neurological deficits, including developmental delay, intellectual disability, epilepsy, and behavioral anomalies (Chenier et al. 2014). Neurological symptoms are a characteristic feature of deregulation of CHD subfamily III (and to a lesser extent to subfamily II) members (discussed below).

Mouse models echo the theme that Chd2 functions more in development than in tumorigenesis, as *Chd2*<sup>-/-</sup> mice have compromised viability, growth delay (Marfella et al. 2006), and lordokyphosis (Kulkarni et al. 2008). Furthermore, a congenic mouse backcross study identified *Chd2* as a candidate obesity gene (Sarahan et al. 2011). Perhaps in line with the idea that Chd2 has some cancer-specific roles, genetic linkage analysis identified *Chd2* as one of three candidate genes for a genetic modifier of breast cancer, suggesting an explanation for why *p53* heterozygous mutant mice are uniquely susceptible to developing mammary gland tumors when established in the BALB/c genetic background (Koch et al. 2007). Heterozygosity for mutant *Chd2* alleles is associated with extramedullary hematopoiesis and susceptibility to lymphoma (Nagarajan et al. 2009). In a follow-up study, the investigators found that Chd2-deficient cells are sensitive to DNA-damaging agents and do not efficiently repair DNA damage induced by ultraviolet or ionizing radiation, leading them to conclude that Chd2 (like other

Chd proteins, discussed below) facilitates DNA repair and maintains genomic stability (Rajagopalan et al. 2012). Yet reports using a different Chd2 compromised mouse model concluded that heterozygotes succumb to non-neoplastic lesions in a number of organs, but are not susceptible to frank cancer (Marfella et al. 2006). Thus, while there is ample evidence that CHD1 functions as a tumor suppressor particularly in the prostate, and that in some cases it promotes oncogenesis, there is currently only tangential evidence that CHD2 shares these cancer-associated roles with its closest sibling.

### SUBFAMILY II: CHD3, CHD4, and CHD5

Several of the biological processes ascribed to CHD subfamily II proteins are similar to those of subfamily I; for example, essentially all subfamily II proteins control transcription (Zhang et al. 1998; Srinivasan et al. 2006; Denslow and Wade 2007; Lee and Das 2010) and DNA damage repair (Stanley et al. 2013; Hall et al. 2014). But whereas subfamily I members function to maintain the pluripotent state in ES cells (Gaspar-Maia et al. 2009; Lee et al. 2012), the CHD II subfamily of proteins includes potent modulators of cellular proliferation, senescence, and apoptosis (Bagchi et al. 2007). The prototypical member of this family, CHD5, is a tumor suppressor whose inactivation is a predominant theme in a variety of human cancers. Lesions in CHD4, and to a lesser extent in CHD3, also occur in human cancer, with loss or inactivation of CHD subfamily II members being associated with chemoresistance, epithelial–mesenchymal transition (EMT), metastasis (Wang et al. 2011; Wu et al. 2012), and poor overall patient survival (Garcia et al. 2010; Wong et al. 2011; Wu et al. 2012; Du et al. 2013; Wang et al. 2013; Hall et al. 2014; Xie et al. 2015).

The best body of evidence for a CHD II subclass protein having a functional role in cancer exists for CHD5, likely due at least in part to the fact that CHD5 was the earliest CHD member defined to have a tumor-suppressive role (Bagchi et al. 2007). *CHD5* maps to 1p36—a region of the genome frequently deleted in human cancers; modeling these deletions in the

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mouse using chromosome engineering pinpointed a 4.3-Mb genomic interval that encodes a product with potent tumor-suppressive activity. Although heterozygous loss of this interval leads to immortalization, oncogenic transformation, and spontaneous tumorigenesis, gain of dosage of this interval induces cellular senescence, excessive apoptosis, and perinatal lethality. Because the gain of dosage phenotypes of compromised proliferation and enhanced senescence can be rescued by depleting *Chd5*, we conclude that *Chd5* (and not any of the other genes in the duplicated region that were tested) is responsible for these phenotypes. Thus, *Chd5* is a highly dosage-dependent tumor suppressor that must be diploid: having only one copy predisposes to cancer; having three copies causes death.

Factors upstream of CHD subfamily II members include environmental factors, DNA methylation, miRNAs, DNA tumor virus-encoded oncogenes, chromatin regulators, transcription factors, and signaling pathways. CHD5 responds to environmental cues; for example, genestein—a compound present in soybeans—enhances *CHD5* expression (Li et al. 2012). In neuroblastoma cells in which *CHD5* is silenced by promoter methylation, genestein inhibits expression of the DNA methyltransferase DNMT3B, thereby reducing *CHD5* methylation and activating its transcription. MicroRNAs (miRNAs), miR211 and miR454, target and degrade *CHD5* mRNA in colorectal cancer (Cai et al. 2012) and hepatocellular carcinoma (Yu et al. 2015b), respectively. The chromatin regulator JMJD2A (also known as KDM4A, a member of the jumonji domain containing two families of lysine demethylases) inhibits RAS-mediated senescence to drive transformation (Mallette 2012). Importantly, JMJD2A was shown to inhibit the ability of RAS to induce *CHD5* expression (Mallette 2012), thereby compromising p53-mediated pathways that are downstream (Bagchi 2007). The promoter of *CHD5* has binding sites for transcription factors, including LEF1/TCF, SP1, and AP2, suggesting that *CHD5* is transcriptionally regulated by components of the WNT/ $\beta$ -catenin pathway (Fatemi et al. 2014). Aberrant insulin-like

growth factor 1 (IGF-1) signaling promotes *CHD5* promoter hypermethylation, thereby inhibiting *CHD5* expression to drive hepatocellular carcinoma development (Fang et al. 2015). RAS normally induces *CHD5* expression, but aberrant up-regulation of JMJD2A inhibits this activation (Mallette and Richard 2012). This finding is consistent with *Chd5*-compromised cells being exquisitely sensitive to oncogenic transformation (Bagchi et al. 2007).

CHD5 is a component of the nucleosome remodeling and deacetylase (NURD) complex (Quan and Yusufzai 2014; Quan et al. 2014; Kolla et al. 2015). Therefore, factors functioning in parallel with CHD5 include NURD complex components such as MTA, GATAD2A, HDAC1/2, RBBP4/7, MDB2/3. Like CHD1, CHD5 is a nucleosome remodeler—an ATP-dependent enzyme that repositions or exchanges nucleosomes (Quan and Yusufzai 2014). A unique nucleosome “unwrapping” activity was discovered for CHD5 that at least in vitro, appeared to be distinct from the subfamily II member CHD4; perhaps this capability provides CHD5 with specific roles. In addition, the carboxyl terminus of CHD5 is distinct from CHD3 and CHD4, which may equip it with exclusive function distinct from its closest subfamily members.

Factors downstream from CHD5 include its transcriptional targets. *Chd5* inhibits proliferation by transcriptionally activating *Cdkn2a*, a locus that encodes multiple tumor suppressors, including p16Ink4a and p19Arf (Bagchi et al. 2007; Bagchi and Mills 2008). Decreased *Chd5* dosage cripples p16Ink4a/Rb and p19Arf/p53-tumor-suppressive pathways, setting the stage for cancer; on the other hand, enhanced dosage of the interval encoding *Chd5* exacerbates these tumor-suppressive pathways, causing over exuberant apoptosis that depletes stem cells and is incompatible with life. What distinguishes subfamily II from other CHD proteins is the presence of tandem PHD zinc-finger motifs (see Fig. 1). We and others reported that the PHDs of CHD5 bind the amino-terminal tail of unmodified histone H3 (Oliver et al. 2012; Paul et al. 2013). The dual nature of the juxtaposed PHDs may have functional importance, as



PHD1 and PHD2 of CHD5 simultaneously bind two H3 amino termini, which together enhance binding affinity four- to 11-fold (Oliver et al. 2012). PHD-mediated H3 binding is crucial for Chd5's ability to regulate transcription, inhibit proliferation, and function as a tumor suppressor (Paul et al. 2013). In addition to Chd5-inducing *Cdkn2a* expression, it regulates gene expression globally. We identified Chd5-bound loci across the genome and found that Chd5 regulates a cascade of cancer pathways and chromatin regulators such as the polycomb repressive group complex (PcG) oncoprotein Bmi1 (Paul et al. 2013). CHD5 is also linked to other PcG proteins, as CHD5 and EZH2 transcriptionally inhibit each other's expression (Xie et al. 2015). CHD5 can also induce expression of *WEE1* to engage cell-cycle arrest at the G<sub>2</sub>/M checkpoint (Quan et al. 2014).

Mouse models were key for revealing that Chd5 plays a critical role in maintaining genomic integrity. Chd5 plays a dynamic role in remodeling the genome during maturation of the male germline (Li et al. 2014; Zhuang et al. 2014). The process of sperm maturation or "spermiogenesis," an intricate process that occurs in haploid spermatids following meiosis, is one of the most extensive examples of chromatin remodeling known. A consequence of Chd5 deficiency in the male germline is alterations in chromatin compaction because of inefficient removal of canonical histones, deregulated incorporation of transition proteins and protamines, unbridled DNA damage, and compromised fertility (Li and Mills 2014; Li et al. 2014). These findings are in agreement with the low *CHD5* expression levels found in testes of infertile men. CHD5's role in unpackaging the genome to remove canonical histones and repackaging it, first with transition proteins and ultimately with protamines in mature sperm, and by doing so to maintain genomic integrity, may be unique to this particular subfamily member, as to date neither CHD3 nor CHD4 have been implicated in infertility. Perhaps Chd5's role in the male germline is a result of its unique nucleosome unwrapping activity (Quan and Yusufzai 2014) or its distinctive carboxy-terminal region. Another possibility is

that the three Chd II family members are expressed differently in testes. CHD5 also functions to maintain the genome in somatic cells, as compromised CHD5 enhances the DNA damage response in pancreatic adenocarcinoma cells, a finding that correlates with decreased patient survival (Hall et al. 2014).

*CHD5* is frequently lost or inactivated in diverse human cancers. Loss-of-function *CHD5* lesions, including compromised expression, promoter hypermethylation, deletion, and/or mutation, have been reported in glioma (Bagchi et al. 2007; Mulero-Navarro and Esteller 2008; Wang et al. 2013), neuroblastoma (Fujita et al. 2008; Garcia et al. 2010; Koyama et al. 2012; Li et al. 2012), lung cancer (Zhao et al. 2012), prostate cancer (Robbins et al. 2011), breast cancer (Mulero-Navarro and Esteller 2008; Wu et al. 2012), pancreatic adenocarcinoma (Hall et al. 2014), gastric cancer (Wang et al. 2009; Qu et al. 2013), bladder cancer (Wu et al. 2015), ovarian cancer (Gorringe et al. 2008; Wong et al. 2011), gallbladder carcinoma (Du et al. 2013), colorectal cancer (Mulero-Navarro and Esteller 2008; Mokarram et al. 2009; Cai et al. 2012; Fatemi et al. 2014), hepatocellular carcinoma (Zhao et al. 2014; Fang et al. 2015; Xie et al. 2015), melanoma (Lang et al. 2011), leukemia (Zhao et al. 2014), and laryngeal squamous cell carcinoma (Wang et al. 2011).

*CHD5* expression correlates directly with overall patient survival for several cancers, including glioma (Wang et al. 2013), neuroblastoma (Garcia et al. 2010), as well as for cancers of the ovary (Wong et al. 2011), breast (Wu et al. 2012), gallbladder (Du et al. 2013), pancreas (Hall et al. 2014), and liver (Xie et al. 2015). The fact that *CHD5* lesions tend to be heterozygous (Henrich et al. 2012) suggests that reactivation of the wild-type locus may be effective as a therapeutic strategy. Indeed, *CHD5* induction using demethylating agents and transcriptional up-regulation of *CHD5* decreases proliferation and compromises invasion (Fatemi et al. 2014). Thus, there is ample experimental and clinical evidence for *CHD5*'s potent tumor-suppressive role, suggesting that strategies to induce it could provide new avenues for treating diverse types of cancer.

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The subfamily II CHD protein CHD4 has a number of similarities with CHD5. CHD4 is also a component of the NURD and is also a chromatin remodeler that regulates transcription, proliferation, and DNA damage repair. Like CHD5, CHD4 has strong ATPase activity (Quan and Yusufzai 2014). However, there are important differences, for instance, assays performed *in vitro* suggest that CHD4 does not unwrap nucleosomes nearly as efficiently as CHD5. The distinction between the different CHD II subfamily members *in vivo*, however, is not at present clear.

As found for CHD5, factors upstream of CHD4 include environmental insults such as tobacco smoke (Yamada et al. 2015). MYC enhances CHD4's interaction with MTA and NURD during transformation (Zhang et al. 2005). The HPV16 oncoprotein E7 binds CHD4, evokes histone deacetylase activity, and enhances proliferation (Brehm et al. 1999).

Factors working in parallel with CHD4 include the NURD components (Quan and Yusufzai 2014; Kolla et al. 2015). Interestingly, it has been proposed that NURD complexes containing CHD4 are mutually exclusive with those containing CHD5 (Quan and Yusufzai 2014). CHD4 interacts with BRD4/NSD3 (Rahman et al. 2011), ZFH4 (Chudnovsky et al. 2014), p300 acetyltransferase (Qi et al. 2015), p300/GATA4 (Hosokawa et al. 2013), TWIST (Fu et al. 2011), and HSF (Khaleque et al. 2008).

Factors downstream from CHD4 include its transcriptional targets. CHD4 has been shown to couple histone deacetylase activity to promoter hypermethylation in colorectal cancer (Cai et al. 2014). CHD4 interacts with NAB2 to regulate expression of genes encoding early growth response (EGR) transactivators (Srinivasan et al. 2006). CHD4 is recruited to MBD2/p66 $\alpha$ -bound methylated DNA, an interaction abrogated in breast cancer (Desai et al. 2015). CHD4 inhibits E-cadherin, thereby inhibiting EMT and metastasis of lung cancer cells (Fu et al. 2011).

CHD4 lesions include its mutation in endometrial cancer (Le Gallo et al. 2012) and uterine serous carcinoma (Zhao et al. 2013), perhaps analogous to the single-nucleotide polymor-

phisms in *CHD5* that are associated with endometriosis (Falconer et al. 2012). CHD4 maintains tumor-initiating cells in glioblastoma (Chudnovsky et al. 2014). As is the case for CHD5, CHD4 functions in the DNA damage response (Stanley et al. 2013; Qi et al. 2015). CHD4 deficiency has been reported to contribute to chemoresistance in BRCA mutant cells (Guillemette et al. 2015), and targeting CHD4 is able to deplete EpCam<sup>+</sup> liver cancer cells (Nio et al. 2015). Thus, while there are some indications that CHD4 functions as a tumor suppressor, targeting it therapeutically has been proposed as a way to overcome chemoresistance (Nio et al. 2015), a finding that has also been shown for CHD subfamily I and III members (discussed below).

There is scant evidence for a role for CHD3 in cancer. Like its closest siblings, CHD3 is part of the NURD complex (Kolla et al. 2015), and the crystal and NMR structures were recently solved (Torchy et al. 2015). CHD3, like CHD4, interacts with the transcriptional corepressors NAB2 to inhibit expression of EGR target genes (Srinivasan et al. 2006). CHD3 appears to take on the family job of inducing the DNA damage repair response (Stanley et al. 2013; Klement et al. 2014). CHD3 regulates heterochromatin formation, thereby stimulating ATM-induced double-strand break repair, KAP-1 phosphorylation, and recruitment of ACF/SNF2 to sites of DNA damage (Klement et al. 2014). Thus, while there is substantial evidence that CHD5 functions as a tumor suppressor, and there is accumulating support for CHD4 playing a somewhat similar role, it is early days for CHD3. Time will tell whether this trait is conserved throughout the subfamily.

### SUBFAMILY III: CHD6, CHD7, CHD8, and CHD9

Like the other subfamilies, subfamily III CHD proteins have been implicated in transcriptional regulation, cellular proliferation, and repair of DNA damage, and therefore have also been shown to be deregulated in cancer and to affect overall patient survival. But mutations in members of this subfamily have also been heavily



implicated in developmental and neurological syndromes that are not associated with frank malignancy (Ronan et al. 2013), including coloboma of the eye, heart defects, atresia of the choanae, retardation of growth and/or development, genital and/or urinary abnormalities, and ear abnormalities and deafness (CHARGE) syndrome, schizophrenia, and autism (Layman et al. 2010). Furthermore, similar to the subfamily I CHD proteins, loss or inactivation of members of subfamily III CHD proteins sometimes correlate with enhanced patient survival, suggesting that members of this subfamily have both oncogenic and tumor-suppressive functions. At present, CHD8 appears to have the strongest link with cancer, although CHD7 has also been reported to modulate cancer-related pathways and to impact patient survival.

Factors upstream of CHD subfamily III proteins include hormones, environmental factors, and DNA methylation. Estrogen's pro-proliferative effect in breast cancer cells effect occurs via cyclin D1-mediated activation of cyclin E2/CDK2, and CHD8 is required for efficient E2F1 recruitment to the promoter of cyclin E2 and its transcriptional activation (Caldon et al. 2009). In gastric cancers, CHD8 mutations are associated with the presence of *Fusibacterium*, a pathogen that is part of the gut microbiome (Tahara et al. 2014a), and CHD8 is silenced by promoter methylation in prostate cancer (Damaschke et al. 2014). At the parallel level, CHD8 interacts with c-MYC (Dingar et al. 2015). CHD8 also interacts with CCCTC-binding factor thereby affecting transcriptional output through modulation of chromatin insulation, DNA methylation, and histone acetylation (Ishihara et al. 2006). Indeed, CHD8 protein expression is reduced in prostate cancer and demethylating agents such as 5-aza-2'-deoxycytidine induces *CHD8* expression at the transcriptional level (Damaschke et al. 2014). CHD8 is a coregulator of AR, and transcriptional activation the AR-responsive genes, such as *TPRSS2*, requires CHD8 (Menon et al. 2010). CHD8 transcriptionally regulates genes encoding components of the WNT/ $\beta$ -catenin pathway and cell-cycle regulators (Sawada et al. 2013) and also has an effect on expression of

genes implicated in cancer and neurogenesis (Sugathan et al. 2014). CHD8 inhibits  $\beta$ -catenin signaling by recruiting histone H1 to promoters of WNT target genes (Nishiyama et al. 2012). CHD8 inhibits p53-mediated apoptosis by its ability to recruit histone H1, a process that occurs during embryogenesis (Nishiyama et al. 2009) but apparently not in the context of malignancy—at least in the setting that was analyzed (Sawada et al. 2013).

*CHD8* is mutated in breast cancer (Pongor et al. 2015), deleted in 36% of gastric cancers and 29% of colorectal cancers (Kim et al. 2011), and silenced by promoter methylation in prostate cancer (Damaschke et al. 2014). CHD8 is mutated in CpG island methylator phenotype 1 (CIMP1)-positive colorectal cancers (Tahara et al. 2014a) and CHD8 mutations correlate with *Fusibacterium* status, CIMP1 positivity, microsatellite instability, as well as mutations in *BRAF*, *KRAS*, and *P53* (Tahara et al. 2014b). A mouse model of BCR-Abl-driven acute lymphoblastic leukemia found that *Chd8* knockdown causes apoptosis, suggesting that targeting CHD8 is an effective therapy for patients with B-lymphoid malignancies (Shingleton and Hermann 2015). A genetic screen in a mouse model of acute myelogenous leukemia (AML) revealed that CHD8 is required for the ability of BRD4 to maintain AML through the H3K36-specific methyltransferase NSD3-short (Shen et al. 2015). Seemingly at odds with these mouse studies, high CHD8 expression in gastric cancers correlates with favorable patient survival (Sawada et al. 2013). In fact, enhanced nuclear expression of CHD8 has been shown to correlate with decreased survival and increased metastasis in patients with prostate cancer (Damaschke et al. 2014). Thus, while some reports suggest that CHD8 has tumor-suppressive functions, others clearly indicate that it is endowed with more nefarious pro-oncogenic capabilities. This apparent dichotomy warrants further clarification.

Some of the factors upstream of CHD7 are similar to those that regulate CHD8, such as environmental insults by *Fusibacterium* and the correlation between *CHD7* mutation, CIMP1, and genomic instability (Kim et al. 2011; Tahara et al. 2014a,b). *CHD7* is also mu-

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tated in response to tobacco smoke in small-cell lung cancer, having an in-frame duplication of exons 3–7, or being expressed as a fusion with PVT-1 (Pleasant et al. 2010). The factors that work in parallel to CHD7 are not well understood, although one example is that studies in mouse show that Chd7 is recruited by Smad1, Smad5, and Smad8 to promoters of cardiogenic genes (Liu et al. 2014). Pathways downstream from Chd7 include induction of Bmp signaling in mice (Jiang et al. 2012; Liu et al. 2014) and inhibition of CHK1 phosphorylation-dependent DNA damage repair in response to gemcitabine in human pancreatic cancer cells (Colbert et al. 2014). CHD7 and ES cell genes are aberrantly up-regulated in cutaneous T-cell lymphoma, leading to stem-cell-like features (Litvinov et al. 2014). Consistent with these findings made using human cells, conditional deletion of *Chd7* in mice reveals that Chd7 maintains quiescence, thereby preventing premature depletion of neural stem cells (Jones et al. 2015).

A number of mouse models show Chd7's pleiotropic roles in development. For example, the spontaneous heterozygous *Chd7* mutations in “looper” and “whirligig” mice lead to CHARGE syndrome-like features (Ogier et al. 2014) and olfaction and reproductive defects (Bergman et al. 2010), respectively. Heterozygous disruption of *Chd7* causes hearing loss (Hurd et al. 2011), ear defects (Adams et al. 2007; Tian et al. 2012), and defects in puberty and reproduction (Layman et al. 2011), whereas homozygous disruption of *Chd7* causes embryonic lethality at 11 d of gestation (Sperry et al. 2014). While these studies highlight the critical role of Chd7 in development (reviewed in Layman et al. 2010), it is also clear that Chd7 modulates pathways central in tumorigenesis. Indeed, the CHARGE syndrome phenotypes of Chd7-compromised mice are at least partially a result of enhanced p53 activity (Van Nostrand and Attardi 2014). This is reminiscent of the finding that gain of *Chd5* dosage enhances p53 activity, leading to developmental abnormalities and neonatal lethality caused by over exuberant apoptosis (Bagchi et al. 2007). But in contrast to Chd5, which promotes p53-mediated apoptosis, Chd7 appears to inhibit it (Bagchi et al. 2007).

Whereas there are loss-of-function mutations in *CHD7* (like *CHD8*) in colorectal cancer, and these lesions correlate with mutations in BRAF, P53, and KRAS (Tahara et al. 2014b), there is *enhanced* expression of CHD7 in cutaneous T-cell lymphoma (Litvinov et al. 2014). Consistent with the concept that CHD7 promotes oncogenesis, low-level CHD7 protein expression correlates with enhanced survival of patients with pancreatic cancer (Colbert et al. 2014). This study showed that CHD7 depletion enhances the sensitivity of pancreatic cancer cells to gemcitabine by triggering DNA damage via ATR-mediated phosphorylation of CHK1. As has been suggested for CHD8, CHD7 depletion may render current therapies more effective by enhancing cell death, at least in the case of pancreatic cancer.

The roles of the remaining subfamily III proteins, CHD6 and CHD9, in cancer are at present much more obscure. *CHD6* has been reported to map within a minimally common region of amplification in colorectal cancer (Ali Hassan et al. 2014), and *CHD6* is mutated in both colorectal tumors (Mouradov et al. 2014) and transitional cell carcinoma of the bladder (Gui et al. 2011). In addition, it has been suggested that CHD6, like many other CHD proteins, regulates DNA damage repair (Stanley et al. 2013). Evidence for CHD9 playing a role in cancer is even less compelling, but *CHD9* mutations have been reported in gastric and colorectal cancers (Kim et al. 2011). Whether these mutations are bone fide drivers or merely passengers of tumorigenesis remains to be evaluated. Thus, there is clear evidence that CHD subfamily III members, in particular CHD8 and to some extent CHD7, are critical cancer genes. However, in stark contrast to the tumor-suppressive roles ascribed to members of subfamily II, subfamily III members also have pro-oncogenic roles in some settings and their inhibition is proving to be an effective therapeutic strategy.

## CONCLUDING REMARKS

The CHD family shares core motifs, with unique features equipping different members

with highly variable functions. While subfamily II members have been defined as potent tumor suppressors, members of subfamily I and subfamily III have tumor-suppressive capabilities in some contexts but oncogenic capabilities in others. Lesions in members of each subfamily can define tumor subtype and predict patient survival. Whereas activation of subfamily II CHD members may hold promise as an effective therapeutic strategy, inactivation of subfamily I and III CHD members reveal vulnerabilities that conquer chemoresistance, which may be exploited in the oncology clinic.

### ACKNOWLEDGMENTS

I thank members of my laboratory for helpful discussions. While every effort has been made to include a thorough and comprehensive review of the current literature, relevant publications may have been inadvertently omitted. This work was supported by the National Institutes of Health (R01CA190997 and R21OD018332) and the Stanley Family Foundation.

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*Cold Spring Harb Perspect Med* published online January 17, 2017

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