

THE COMPLETENESS AND COMPACTNESS OF A THREE-VALUED FIRST-ORDER LOGIC

Itala M.L. D'Ottaviano

ABSTRACT. The strong completeness and the compactness of a three-valued first order predicate calculus with two distinguished truth-values are obtained. The system was introduced in *Sur un problème de Jaśkowski*, I.M.L. D'Ottaviano and N.C. A. da Costa, C.R. Acad.Sc. Paris 270A (1970), pp.1349-1353, and has several applications, especially in paraconsistent logics.

1. INTRODUCTION.

A theory T is said to be *inconsistent* if it has as theorems a formula and its negation; and it is said to be *trivial* if every formula of its language is a theorem.

A logic is *paraconsistent* if it can be used as the underlying logic for inconsistent but nontrivial theories.

Jaśkowski, motivated by some ideas of Łukasiewicz, was the first logician to construct a system of paraconsistent propositional logic (see [11], [12] and [13]). His principal motivations were the following: the problem of the systematization of theories which contain contradictions, as it occurs in dialectics; the study of theories in which there are contradictions caused by vagueness; the direct study of some empirical theories whose postulates or basic assumptions could be considered, under certain aspects, as contradictory ones (see [2] and [3]).

Jaśkowski proposed the problem of constructing a propositional calculus having the following properties:

- i) an inconsistent system based on such a calculus should not be necessarily trivial;
- ii) the calculus should be sufficiently rich as to make possible most of the usual reasonings;

iii) the calculus should have an intuitive meaning.

Jaśkowski himself introduced a propositional calculus which he named "Discussive logic" and which was a solution to the problem. However he did recognize it was not the only solution (or even the best); in [11] he states:

"Obviously, these conditions do not univocally determine the solution, since they may be satisfied in varying degrees, the satisfaction of condition (iii) being rather difficult to appraise objectively".

In a previous paper (see [10]), we presented a propositional system, denoted by J_3 , which is another solution to Jaśkowski's problem. A characteristic of J_3 is that it is a three-valued system with two distinguished truth-values. Furthermore, it reflects some aspects of certain types of modal logics.

In the same paper, we extended J_3 to the first-order predicate calculus with equality J_3^* .

Some of these results about J_3 were improved by J. Kotas and N.C.A. da Costa (see [15]).

Our aim here is to develop further the calculus J_3 .

In Sec. 2 we axiomatize J_3 and establish relations between this calculus and several known logical systems like, for example, intuitionism. We especially emphasize the close analogy between J_3 and Łukasiewicz' three-valued propositional calculus L_3 .

Our solution to Jaśkowski's problem is discussed in the latter part of Sec 2.

In Sec. 3 we introduce the L_3 -languages, among whose predicate symbols may appear in addition to identity other equalities. We axiomatize J_3 -theories, which are three-valued extensions of J_3^* , and we introduce a semantics for them.

In Sec. 4, after obtaining some theorems about first-order J_3 -theories, we define a strong equivalence which is compatible with the fact that the matrices defining J_3 have more than one distinguished truth-value. This relation allows us to prove the Equivalence Theorems for J_3 -theories and the Reduction Theorem for non-Trivialization.

Finally, in Sec. 5, after giving a suitable definition of canonical structure, we present a Henkin-type proof for the Completeness Theorem and the Compactness Theorem.

In this paper, definitions, theorems and proofs, when analogous to the corresponding classical ones, will be omitted.

The Model-theory we developed for J_3 allows us to obtain J_3 -versions of the following classical results: Model Extension Theorem, Łoś-Tarski Theorem, Chang-Łoś Susko Theorem, Tarski Cardinality Theorem, Löwenheim-Skolem Theorem, Quantifier Elimination Theorem and many of the usual theorems on categoricity.

Some of the above results about J_3 were also extended to J_n -theories, $3 \leq n \leq \aleph_0$.

The mentioned results about J_n -theories and Model-theory will appear elsewhere.

2. THE CALCULUS J_3 .

The propositional calculus J_3 is given by the matrix $M = \langle \{0, \frac{1}{2}, 1\}, \{ \frac{1}{2}, 1 \}, \vee, \nabla, \neg \rangle$, where \vee , ∇ and \neg are defined as follows:

AVB	B A	0	$\frac{1}{2}$	1
	0	0	$\frac{1}{2}$	1
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	1	1	1	1

A	∇A
0	0
$\frac{1}{2}$	1
1	1

A	$\neg A$
0	1
$\frac{1}{2}$	$\frac{1}{2}$
1	0

The set of truth-values and the set of distinguished truth-values are denoted by V and V_d respectively.

The formulas of J_3 are constructed as usually from the propositional variables, by means of \vee , ∇ and \neg , and parentheses. To write the formulas, schemas, etc. we use the conventions and notations of [14], with evident adaptations.

The concept of a truth-function is the usual one. The truth-functions defined by the tables above are denoted by H_\vee , H_∇ , and H_\neg .

A truth-valuation ν for J_3 and the truth-value $\nu(A)$ for a formula A are defined in the standard way; and we observe that A is valid in M if, for every evaluation ν , $\nu(A)$ belongs to V_d (see, for example, [22]).

The following abbreviations will be used:

$$\begin{aligned}
 A \& B &=_{\text{def}} \neg(\neg A \vee \neg B) \\
 \Delta A &=_{\text{def}} \neg \nabla \neg A \\
 \neg^* A &=_{\text{def}} \neg \nabla A \\
 A \gg B &=_{\text{def}} \nabla \neg A \vee B \\
 A \rightarrow B &=_{\text{def}} (A \gg B) \& (\neg B \gg \neg A) \\
 A \supset B &=_{\text{def}} \neg \nabla A \vee B \\
 A \equiv B &=_{\text{def}} (A \supset B) \& (B \supset A)
 \end{aligned}$$

\neg is called *weak negation* or simply *negation*, \neg^* is called *strong negation*, and \supset *basic implication* of J_3 .

We present the tables of some of the non-primitive connectives:

A	$\neg^* A$
0	1
$\frac{1}{2}$	0
1	0

A	ΔA
0	0
$\frac{1}{2}$	0
1	1

B	$A \rightarrow B$		
A	0	$\frac{1}{2}$	1
0	1	1	1
$\frac{1}{2}$	$\frac{1}{2}$	1	1
1	0	$\frac{1}{2}$	1

		A \supset B		
		B	0	$\frac{1}{2}$
A	0	1	1	1
	$\frac{1}{2}$	0	$\frac{1}{2}$	1
	1	0	$\frac{1}{2}$	1

		A \equiv B		
		B	0	$\frac{1}{2}$
A	0	1	0	0
	$\frac{1}{2}$	0	$\frac{1}{2}$	$\frac{1}{2}$
	1	0	$\frac{1}{2}$	1

In the following theorems, we mention only those results which are useful to the proofs of later theorems.

THEOREM 2.1. *The following schemas of \mathbf{J}_3 are valid in M:*

$\neg \neg A \equiv A$	$\forall A \equiv A$
$\neg *A \supset \neg A$	$\forall A \equiv \forall \forall A$
$A \vee \neg A$	$\neg A \vee \forall A$
$\neg (A \& \neg A)$	$A \& \neg A \equiv \neg A \& \forall A$
$A \& (B \vee \neg B) \equiv A$	$A \vee \forall A \equiv \forall A$
$\neg (A \vee B) \supset \neg A \& \neg B$	$\neg \forall A \supset (\forall A \supset B)$
$A \vee B \equiv \neg (\neg A \& \neg B)$	$A \supset (\neg \forall A \supset B)$
$\neg (A \& B) \equiv \neg A \vee \neg B$	$\forall (A \& B) \equiv \forall A \& \forall B$
$\forall A \equiv \neg \Delta \neg A$	$\forall (A \vee B) \equiv \forall A \vee \forall B$
$(A \supset \neg A) \supset \neg A$	$A \supset (B \supset A)$
$(\neg A \supset A) \supset A$	$(\neg A \supset \neg B) \supset (B \supset A)$
$\neg (\forall A \vee \neg \forall A) \supset B$	$(A \supset B) \supset ((B \supset C) \supset (A \supset C))$
$((A \supset B) \supset A) \supset A$	$(A \supset \neg B) \supset A \supset A$
$(A \supset B) \supset (A \supset \neg B)$	$\Delta (A \supset B) \supset \Delta (\Delta A \supset \Delta B)$
$(A \supset B) \supset (\neg B \supset \neg A)$.	

THEOREM 2.2. *The following schemas are not valid in \mathbf{J}_3 :*

$\neg A \supset (A \supset B)$	$(A \supset B) \supset (\neg B \supset \neg A)$
$A \supset (\neg A \supset B)$	$(\neg A \supset \neg B) \supset (B \supset A)$
$\neg A \supset (A \supset \neg B)$	$(A \supset \neg B) \supset (B \supset \neg A)$
$A \supset (\neg A \supset \neg B)$	$(\neg A \supset B) \supset (\neg B \supset A)$
$A \& \neg A \supset B$	$(A \equiv B) \supset (\neg A \equiv \neg B)$
$A \& \neg A \supset \neg B$	$A \vee (B \& \neg B) \equiv A$
$(A \equiv \neg A) \supset B$	$A \supset B \equiv \neg (A \& \neg B)$
$(A \equiv \neg A) \supset \neg B$	$A \supset B \equiv \neg A \vee B$
$(A \supset B) \supset ((A \supset \neg B) \supset \neg A)$.	

It can be verified that, instead of \forall , \neg and \neg it is possible to use only \neg and \supset as primitive connectives of \mathbf{J}_3 , considering $A \vee B$ and $\forall A$ as abbreviations respectively of $(A \supset B) \supset B$ and $\neg A \supset A$.

So, there is a close analogy between J_3 and Łukasiewicz' three-valued propositional calculus L_3 , defined by the matrix $M' = \langle \{0, \frac{1}{2}, 1\}, \{1\}, \neg, \supset \rangle$, in which the Łukasiewicz-Tarski operators \neg and \supset are given by the respective tables of J_3 (see [4]).

J_3 can be axiomatized by:

Axiom 1 : $\Delta(A \supset (B \supset A))$

Axiom 2 : $\Delta((A \supset B) \supset ((B \supset C) \supset (A \supset C)))$

Axiom 3 : $\Delta((\neg A \supset \neg B) \supset (B \supset A))$

Axiom 4 : $\Delta(((A \supset \neg A) \supset A) \supset A)$

Axiom 5 : $\Delta(\Delta(A \supset B) \supset \Delta(\Delta A \supset \Delta B))$

Rule R1 : $\frac{A, \Delta(A \supset B)}{B}$

Rule R2 : $\frac{\forall A}{A}$

The completeness theorem for J_3 is proved from the completeness of L_3 , due to Wajsberg (see [4] and [23]), using the following theorem.

THEOREM 2.3. *If A is a theorem of L_3 , then ΔA is a theorem of J_3 .*

Proof. As the axioms 1 to 4 are the axioms of L_3 preceded by Δ , if A is an axiom of L_3 , then ΔA is a theorem of J_3 .

Let A be obtained from B and $B \supset A$ by the rule $\frac{B, B \supset A}{A}$ of L_3 . By induction hypothesis, ΔB and $\Delta(B \supset A)$ are theorems of J_3 . By axiom 5 and R_1 we obtain $\Delta(\Delta B \supset \Delta A)$. Applying R_1 , we have that ΔA is a theorem of J_3 .

THEOREM 2.4. (Completeness theorem for J_3). *A formula A is a theorem of J_3 if and only if A is valid in M.*

Proof. A straightforward induction shows that if A is a theorem of J_3 , then A is valid in M. On the other hand, if A is valid in M, then $v(\forall A) = 1$ for every truth-valuation v. By the axiomatization and completeness of L_3 , both $\forall A$ and $\Delta(\forall A \supset \forall A)$ are theorems of L_3 . By the above theorem and R_1 , $\forall A$ is a theorem of J_3 . By R_2 , A is a theorem of J_3 .

COROLLARY (Modus Ponens Rule). *If both A and $A \supset B$ are theorems of J_3 , then B is a theorem of J_3 .*

However, contrary to L_3 , the Rule of Modus Ponens is not valid with respect to \supset .

For some of the theorems that follow it will be convenient to assume that the language of J_3 contains, as primitive symbols, all the connectives introduced so far. In particular we shall often identify J_3 with the set of M-valid formulas in the expanded language.

The following theorems will be used in the proofs of many of the results about J_3 .

THEOREM 2.5. J_3 is a non-conservative extension of the classical positive propositional calculus with connectives $\vee, \&, \supset, \equiv$.

THEOREM 2.6. J_3 is a conservative extension of the classical propositional calculus with connectives $\neg, \vee, \&, \supset$ and \equiv .

THEOREM 2.7. J_3 is a non-conservative extension of Łukasiewicz's three-valued logic L_3 with connectives \neg, \supset .

THEOREM 2.8. J_3 is not functionally complete.

Proof. It is not possible to define a connective, from the primitive connectives of J_3 , such that its truth-value is identically $\frac{1}{2}$.

On the other hand, if we add the Słupecki T operator to the primitive connectives of J_3 , the calculus becomes functionally complete (see [21]).

By Theorem 2.4, the formulas $\neg A \supset (A \supset B)$, $A \supset (\neg A \supset B)$, $A \supset (\neg A \supset \neg B)$, $(A \& \neg A) \supset B$, $(A \supset B) \supset ((A \supset \neg B) \supset \neg A)$, $A \supset (B \& \neg B) \equiv A$, etc., are not theorems of J_3 . So, in J_3 , in general, it is not possible to deduce any formula whatsoever from a contradiction. Therefore, based on such a calculus we can construct nontrivial inconsistent deductive systems, in the sense of [11]. So, J_3 satisfies condition (i) of Jaśkowski's problem.

By Theorem 2.5 to 2.8, J_3 is quite a strong system, which evidently satisfies Jaśkowski's condition (ii).

J_3 admits intuitive interpretations. For instance, it can be used as the underlying logic of a theory whose preliminary formulation may involve certain contradictions, which should be eliminated in a later reformulation. This can be done as follows; among the truth-values of J_3 , 0 can represent falsity, 1 truth, and $\frac{1}{2}$ can represent the provisional value of a proposition A, so that both A and the negation of A are theorems of the theory, in its initial formulation; in a later reformulation, the truth-value $\frac{1}{2}$ should be reduced, at least in principle, to 0 or to 1.

Therefore, J_3 is a solution to Jaśkowski's problem.

J_3 can also be used as a foundation for paraconsistent systems, in the sense of da Costa (see [5], [6], [7] and [8]). In this case, the value 0 represents falsity, 1 truth, and $\frac{1}{2}$ represents the logic value of a formula that is simultaneously true and false.

Finally, as the calculus J_3 was constructed from L_3 , it is possible to obtain similar calculi J_n , from Łukasiewicz n -valued calculi L_n , $3 \leq n < \aleph_0$.

3. SEMANTICS FOR FIRST-ORDER J_3 -THEORIES.

The *symbols* of a first-order L_3 -language are the individual variables, the function symbols, the predicate symbols, the primitive connectives \neg , \vee and ∇ , the quantifiers \exists and \forall , and the parentheses.

The *identity* = must be among the predicate symbols. Other equalities can be specified among the predicate symbols.

We use x, y, z and w as syntactical variables for individual variables; f and g , for function symbols; p and q , for predicate symbols, and c for constants.

The definitions of *term*, *atomic formula* and *formula* are the usual ones; a, b, c , etc. are syntactical variables for terms and A, B, C , etc. for formulas.

By an L_3 -*language* we understand a first-order language whose logical symbols include the ones mentioned above.

The symbols $\xi, \gg, \rightarrow, \supset, \equiv, \Delta$ and \neg^* are defined in the L_3 -languages, as in J_3 .

Free occurrence of a variable, *open formula*, *closed formula*, *variable-free term* and *closure of a formula* are used as in [22].

The definition of *a is substitutable for x in A* is also the usual one.

We let $b_{x_1, \dots, x_n} [a_1, \dots, a_n]$ be the term obtained from b by replacing all occurrences of x_1, \dots, x_n by a_1, \dots, a_n respectively; and we let $A_{x_1, \dots, x_n} [a_1, \dots, a_n]$ be the formula obtained from A by replacing free occurrences of x_1, \dots, x_n by a_1, \dots, a_n respectively.

Whenever either of these is used, it will be implicitly assumed that x_1, \dots, x_n are distinct variables and that, in the case of $A_{x_1, \dots, x_n} [a_1, \dots, a_n]$, a_i is substitutable for x_i , $i = 1, \dots, n$.

In the following definitions, let L be an L_3 -language.

DEFINITION 3.1. A *structure* \mathcal{A} for a first-order L_3 -language L consists of:

- i) a nonempty set $|\mathcal{A}|$, called universe of \mathcal{A} ;
- ii) for each n -ary function symbol f of L , a function $f_{\mathcal{A}}$ from $|\mathcal{A}|^n$ to $|\mathcal{A}|$;
- iii) for each n -ary predicate symbol p of L , other than =, an n -ary predicate $p_{\mathcal{A}}$, such that $p_{\mathcal{A}}$ is a mapping from $|\mathcal{A}| \times \dots \times |\mathcal{A}|$ to $\{0, \frac{1}{2}, 1\}$.

As in [22], we construct the language $L(\mathcal{A})$; define $\mathcal{A}(a)$ for each variable free term of $L(\mathcal{A})$, and define \mathcal{A} -*instance* of a formula A .

We use i and j as syntactical variable for the names of individuals of \mathcal{A} .

DEFINITION 3.2. The *truth-value* $\mathcal{A}(A)$ for each closed formula A in $L(\mathcal{A})$ is given by:

- i) if A is $a = b$, then $\mathcal{A}(A) = 1$ iff $\mathcal{A}(a) = \mathcal{A}(b)$; otherwise, $\mathcal{A}(A) = 0$;
- ii) if A is $p(a_1, \dots, a_n)$, where p is not $=$, then $\mathcal{A}(A) = p_{\mathcal{A}}(\mathcal{A}(a_1), \dots, \mathcal{A}(a_n))$;
- iii) if A is $\neg B$, then $\mathcal{A}(A)$ is $H_{\neg}(\mathcal{A}(B))$;
- iv) if A is $\forall B$, then $\mathcal{A}(A)$ is $H_{\forall}(\mathcal{A}(B))$;
- v) if A is $B \vee C$, then $\mathcal{A}(A)$ is $H_{\vee}(\mathcal{A}(B), \mathcal{A}(C))$;
- vi) if A is $\exists xB$, then $\mathcal{A}(A) = \max\{\mathcal{A}(B_x[i])/i \in L(\mathcal{A})\}$;
- vii) if A is $\forall xB$, then $\mathcal{A}(A) = \min\{\mathcal{A}(B_x[i])/i \in L(\mathcal{A})\}$.

DEFINITION 3.3. (1) A formula B of $L(\mathcal{A})$ is *true* in \mathcal{A} (or \mathcal{A} is a model of B) iff $\mathcal{A}(B) \in V_d$.

(2) A formula A of L is *valid* in \mathcal{A} iff for every \mathcal{A} -instance A' of A , A' is true in \mathcal{A} .

A *first-order predicate calculus* J_3^* is the formal system whose language is an L_3 plus the following, with the usual restrictions (see [14]):

Axiom 6 : $\forall x(x = x)$

Axiom 7 : $x = y \supset (A[x] \equiv A[y])$

Axiom 8 : $A_x[a] \supset \exists xA$

Axiom 9 : $\forall xA \supset A_x[a]$

Axiom 10: $\exists xA \equiv \neg \forall x \neg A$

Axiom 11: $\forall xA \equiv \neg \exists x \neg A$

Axiom 12: $\neg \exists xA \equiv \forall x \neg A$

Axiom 13: $\neg \forall xA \equiv \exists x \neg A$

Axiom 14: $\forall x \exists xA \equiv \exists x \forall xA$

Axiom 15: $\forall x \forall xA \equiv \forall x \forall xA$

Rule R3 : (\exists -introduction rule): $\frac{A \supset C}{\exists xA \supset C}$

Rule R4 : (\forall -introduction rule): $\frac{C \supset A}{C \supset \forall xA}$

THEOREM 3.1. J_3^* is a conservative extension of J_3 .

Proof. We apply the Hilbert-Bernays theorem of k -transforms, that can be extended to this case.

THEOREM 3.2. J_3^* is an extension of the classical predicate calculus, with connectives \neg^* , \vee , $\&$, \supset , \equiv , \exists and \forall .

DEFINITION 3.4. A *first-order J_3 -theory* is a formal system T such that:

- i) the language of T , $L(T)$, is an L_3 -language;

- ii) the axioms of T are the axioms of J_3^* , called the logical axioms of T, and certain further axioms, called the non-logical axioms;
- iii) the rules of T are those of J_3^* .

A is a *theorem* of T, in symbols: $\vdash_T A$, and B is a *semantical consequence* of a set Γ of formulas of $L(T)$ are defined in the standard way. If B is a semantical consequence of Γ , then we shall also say that "B is valid in Γ ".

THEOREM 3.3. (Validity Theorem): *Every theorem of a J_3 -theory T is valid in T.*

4. SOME THEOREMS IN FIRST-ORDER J_3 -THEORIES AND THE EQUIVALENCE THEOREM.

DEFINITION 4.1. A J_3 -theory T is *finitely trivializable* if there exists a fixed formula F such that, for any formula A, $F \supset A$ is a theorem of T (see [2]).

THEOREM 4.1. *The J_3 -theories are finitely trivializable.*

Proof. Any formula $\neg(\neg\forall A \vee \forall A)$ trivializes a J_3 -theory.

The following results hold in any J_3 -theory T:

Generalization Rule: If $\vdash_T A$, then $\vdash_T \forall xA$.

Substitution Rule: Is $\vdash_T A$ and A' is an instance of A, then $\vdash_T A'$.

Substitution Theorem: a) $\vdash_T A_{x_1, \dots, x_n}[a_1, \dots, a_n] \supset \exists x_1 \dots x_n A$;

b) $\vdash_T \forall x_1 \dots \forall x_n A \supset A_{x_1, \dots, x_n}[a_1, \dots, a_n]$

Distribution Rule: If $\vdash_T A \supset B$, then $\vdash_T \exists xA \supset \exists xB$ and $\vdash_T \forall xA \supset \forall xB$.

Closure Theorem: If A' is the closure of A, then $\vdash_T A$ if and only if $\vdash_T A'$.

Theorem on Constants: If T' is a J_3 -theory obtained from T by adding new constants (but no new nonlogical axioms), then for every formula A of T and every sequence e_1, \dots, e_n of new constants, $\vdash_{T'} A$ if and only if $\vdash_T A_{x_1, \dots, x_n}[e_1, \dots, e_n]$.

In the case of classical logic, the equivalence \equiv behaves as a congruence relation with respect to the other logical symbols. Unfortunately this is not the case in J_3 -theories, for it is possible to have $\vdash_T A \equiv B$ and $\not\vdash_T \neg A \equiv \neg B$.

However we can introduce a stronger equivalence, \equiv^* , which is a J_3^* -congruence relation and thus allow us to prove a J_3 -version of the equivalence theorem (see [22]).

DEFINITION 4.2. $A \equiv^* B =_{\text{def}} (A \equiv B) \& (\neg A \equiv \neg B)$.

THEOREM 4.2. If T is a J_3 -theory and $\vdash_T A \equiv^* B$, then $\vdash_T A$ if and only if $\vdash_T B$.

THEOREM 4.3. (Equivalence Theorem). Let T be a J_3 -theory and let A' be obtained from A by replacing some occurrences of B_1, \dots, B_n by B'_1, \dots, B'_n respectively. If $\vdash_T B_1 \equiv^* B'_1, \dots, \vdash_T B_n \equiv^* B'_n$, then $\vdash_T A \equiv^* A'$.

Proof. After considering the special case when there is only one such occurrence and it is all of A , we use induction on the length of A .

For A atomic, the result is obvious.

A is $\neg C$ and A' is $\neg C'$, where C' results from C by replacements of the type described in the theorem. By induction hypothesis, $\vdash_T C \equiv^* C'$, that is, $\vdash_T C \equiv C'$ and $\vdash_T \neg C \equiv \neg C'$. As by Theorem 2.4, $\vdash_T C \equiv \neg \neg C$ and $\vdash_T C' \equiv \neg \neg C'$, we have $\neg \neg C \equiv \neg \neg C'$. So $\neg C \equiv^* \neg C'$.

A is $\forall C$ and A' is $\forall C'$, with $\vdash_T C \equiv^* C'$. From $\vdash_T C \equiv C'$, it follows that $\vdash_T \neg^* C \equiv \neg^* C'$, by Theorem 2.6. Also from $\vdash_T C \equiv C'$ it follows that $\vdash_T \forall C \equiv \forall C'$, since $\vdash_T \forall C \equiv C$ by Theorem 2.4. Therefore, $\vdash_T \forall C \equiv^* \forall C'$.

A is $C \vee D$ and A' is $C' \vee D'$, with $\vdash_T C \equiv^* C'$ and $\vdash_T D \equiv^* D'$. As by theorem 2.0,

$$\vdash_T ((C \equiv C') \& (D \equiv D')) \supset ((C \vee D) \equiv (C' \vee D'))$$

and

$$\vdash_T ((\neg C \equiv \neg C') \& (\neg D \equiv \neg D')) \supset ((\neg C \& \neg D) \equiv (\neg C' \& \neg D'))$$

we have that $\vdash_T C \vee D \equiv C' \vee D'$ and $\vdash_T \neg(C \vee D) \equiv \neg(C' \vee D')$.

A is $\exists x C$ and A' is $\exists x C'$, with $C \equiv^* C'$. By Distribution Rule, $\vdash_T \exists x C \equiv \exists x C'$ and $\vdash_T \forall x \neg C \equiv \forall x \neg C'$. Using Axiom 12 we complete the proof.

If A is $\forall x C$ and A' is $\forall x C'$, with $\vdash_T C \equiv^* C'$, the proof is similar.

In the spirit of the equivalence theorem, we have the following corollaries and remark.

COROLLARY 1. In a J_3 -theory T , it is possible to replace:

- i) $\neg \neg A$ by A ;
- ii) $\neg^* \neg^* A$ by $\neg \neg^* A$;
- iii) $\neg(A \vee B)$ by $\neg A \& \neg B$;
- iv) $\neg^*(A \vee B)$ by $\neg^* A \& \neg^* B$;
- v) $\forall x A$ by $\neg \exists x \neg A$;
- vi) $\neg \exists x A$ by $\forall x \neg A$;
- vii) $\neg \forall x A$ by $\exists x \neg A$;
- viii) $\neg \exists x \neg A$ by $\exists x \forall A$;
- ix) $\forall \forall x A$ by $\forall x \forall A$.

Proof. It is enough to verify that $\vdash_T \neg \neg A \equiv^* A$, $\vdash_T \neg^* \neg^* A \equiv^* \neg \neg A$, etc.

COROLLARY 2. In a \mathbf{J}_3 -theory T , if $\vdash_T x = y$, then, for every formula A , $A(x)$ can be replaced by $A(y)$.

REMARK. Although $\vdash_T \neg^* \neg^* A \equiv A$, it is not possible, in general, to replace $\neg^* \neg^* A$ by A .

DEFINITION 4.3. A formula A' is a *variant* of A just in case A' has been obtained from A by renaming bound variables.

THEOREM 4.4. (Variant Theorem). If A' is a variant of A , then $\vdash_T A \equiv^* A'$.

Proof. In view of Theorem 4.3 and Corollary 1, it is enough to observe that $\vdash_T \exists x B \equiv^* \exists y B_x[y]$.

Let $T[\Gamma]$ be the \mathbf{J}_3 -theory whose non-logical axioms are those of T plus the formulas of the set Γ .

THEOREM 4.5. (Reduction Theorem). Let Γ be a set of formulas in the \mathbf{J}_3 -theory T and let A be a formula of T . A is a theorem of $T[\Gamma]$ if, and only if, there is a theorem of T of the form $B_1 \supset \dots \supset B_n \supset A$, where each B_i is the closure of a formula in Γ .

Given a non-empty set Γ of formulas we let:

$\Gamma_{\forall \neg \forall} = \{B \mid B \text{ is a disjunction of negations of closures of formulas of the type } \forall A, \text{ with } A \in \Gamma\}$

$\Gamma_{\forall \neg \forall} = \{C \mid C \text{ is a disjunction of negations of formulas of the type } \forall A', \text{ where } A' \text{ is the closure of a formula of } \Gamma\}$

THEOREM 4.6. (Reduction Theorem for non-trivialization). Let Γ be a non-empty set of formulas in a \mathbf{J}_3 -theory T . Then the extension $T[\Gamma]$ is trivial, if and only if, there is a theorem of T which belongs to $\Gamma_{\forall \neg \forall}$.

Proof. The corollary to the replacement theorem gives us that every formula of $\Gamma_{\forall \neg \forall}$ is strongly equivalent to a formula of $\Gamma_{\forall \neg \forall}$. The proof of the theorem can be completed using the properties of strong negation.

COROLLARY. If A' is the closure of A , then the formula A is a theorem of T if, and only if, $T[\neg^* A']$ is trivial.

5. THE COMPLETENESS AND THE COMPACTNESS THEOREMS FOR J_3 -THEORIES

We study certain aspects of the J_3 -theories and present a Henkin-type proof of the completeness theorem for this type of many-valued theories.

DEFINITION 5.1. If T is a J_3 -theory containing a constant, and if a and b are variable-free terms of T , then:

- i) $a \sim b =_{\text{def}} \vdash_T a = b$;
- ii) $a^0 = \{b \mid a \sim b\}$.

DEFINITION 5.2. A canonical structure for the J_3 -theory T is the structure \mathcal{A} :

- i) whose universe $|\mathcal{A}|$ is the set of all equivalence classes under \sim ;
- ii) $f_{\mathcal{A}}(a_1^0, \dots, a_n^0) = (f(a_1, \dots, a_n))^0$;
- iii) $p_{\mathcal{A}}(a_1^0, \dots, a_n^0)$ is in V_d iff $\vdash_T p(a_1, \dots, a_n)$.

Observe that (iii) could have been replaced by

$$p_{\mathcal{A}}(a_1^0, \dots, a_n^0) = 0 \quad \text{iff} \quad \not\vdash_T p(a_1, \dots, a_n).$$

THEOREM 5.1. If \mathcal{A} is a canonical structure for T and $p(a_1, \dots, a_n)$ is a variable-free atomic formula in $L(T)$, then:

- i) $\mathcal{A}(p(a_1, \dots, a_n)) = 0$ iff $\not\vdash_T p(a_1, \dots, a_n)$
- ii) $\mathcal{A}(p(a_1, \dots, a_n)) = \frac{1}{2}$ iff $\vdash_T p(a_1, \dots, a_n)$ and $\vdash_T \neg p(a_1, \dots, a_n)$;
- iii) $\mathcal{A}(p(a_1, \dots, a_n)) = 1$ iff $\vdash_T p(a_1, \dots, a_n)$ and $\not\vdash_T \neg p(a_1, \dots, a_n)$.

Proof. ii) If $\mathcal{A}(p(a_1, \dots, a_n)) = \frac{1}{2}$ then $\mathcal{A}(\neg p(a_1, \dots, a_n)) = \frac{1}{2}$. By the last definition, $\vdash_T p(a_1, \dots, a_n)$ and $\vdash_T \neg p(a_1, \dots, a_n)$.

On the other hand, if $\vdash_T p(a_1, \dots, a_n)$ and $\vdash_T \neg p(a_1, \dots, a_n)$, also by Definition 5.2, $\mathcal{A}(p(a_1, \dots, a_n))$ and $\mathcal{A}(\neg p(a_1, \dots, a_n))$ belong to V_d . Then, $\mathcal{A}(p(a_1, \dots, a_n)) = \frac{1}{2}$.

iii) If $\mathcal{A}(p(a_1, \dots, a_n)) = 1$, then $\mathcal{A}(\neg p(a_1, \dots, a_n)) = 0$; then, $\vdash_T p(a_1, \dots, a_n)$ and $\not\vdash_T \neg p(a_1, \dots, a_n)$.

On the other hand, if $\vdash_T p(a_1, \dots, a_n)$ and $\not\vdash_T \neg p(a_1, \dots, a_n)$, we have that $\mathcal{A}(p(a_1, \dots, a_n))$ belongs to V_d and $\mathcal{A}(\neg p(a_1, \dots, a_n))$ does not belong to V_d ; if $\mathcal{A}(p(a_1, \dots, a_n)) = \frac{1}{2}$ then $\mathcal{A}(\neg p(a_1, \dots, a_n)) = \frac{1}{2}$ and, so, $\vdash_T \neg p(a_1, \dots, a_n)$. Then, $\mathcal{A}(p(a_1, \dots, a_n)) = 1$.

Now, (i) is immediate.

As a consequence of the theorem we obtain that there is exactly one canonical structure for a J_3 -theory. Furthermore, as in the classical case, in order for a canonical structure to characterize the theorems of a theory, the theory must be in some sense maximal, for there may be a closed formula A such

that $\Vdash_T A$, $\Vdash_T \neg A$ and $\Vdash_T \neg^* A$.

DEFINITION 5.3. A formula A of a J_3 -theory T is *undecidable* in T if neither A nor $\neg^* A$ is a theorem of T . Otherwise, A is *decidable* in T .

DEFINITION 5.4. A J_3 -theory T is *complete* if it is non-trivial and if every closed formula of T is decidable in T .

THEOREM 5.2. A J_3 -theory T is complete if, and only if, T maximal in the class of nontrivial theories.

DEFINITION 5.5. A J_3 -theory T is a *Henkin J_3 -theory* if for every closed formula $\exists x A$ of T , there is a constant e such that $\exists x A \supset A_x[e]$ is a theorem of T .

THEOREM 5.3. If T is a Henkin J_3 -theory, then for every closed formula $\forall x A$ in T there is a constant e such that $A_x[e] \supset \forall x A$ is a theorem of T .

Proof. As T is a Henkin J_3 -theory, there is e , such that $\Vdash_T \exists x \neg^* A \supset \neg^* A_x[e]$. We obtain the desired result, by successive applications of Theorem 2.6.

THEOREM 5.4. If T is a complete Henkin J_3 -theory and \mathcal{O} is the canonical structure for T , then for all closed formulas A of $L[T]$:

- i) $\mathcal{O}(A) = 0$ iff $\Vdash_T A$
- ii) $\mathcal{O}(A) = \frac{1}{2}$ iff $\Vdash_T A$ and $\Vdash_T \neg A$
- iii) $\mathcal{O}(A) = 1$ iff $\Vdash_T A$ and $\Vdash_T \neg A$.

Proof. By induction on the height of A . For atomic A , the result follows from Theorem 5.1.

Case: A is $\neg B$. i) If $\mathcal{O}(A) = 0$, then $\mathcal{O}(B) = 1$. Thus $\Vdash_T \neg B$, that is $\Vdash_T A$. On the other hand if $\Vdash_T A$, then since T is complete $\Vdash_T \neg^* A$, and then $\Vdash_T \neg A$, $\Vdash_T \neg B$, $\Vdash_T B$. Thus we have that $\Vdash_T B$ and $\Vdash_T \neg B$, from which it follows that $\mathcal{O}(B) = 1$ and that $\mathcal{O}(A) = 0$.

ii) If $\mathcal{O}(A) = \frac{1}{2}$, then $\mathcal{O}(B) = \frac{1}{2}$. Thus $\Vdash_T B$ and $\Vdash_T \neg B$, from which it follows that $\Vdash_T \neg A$ and $\Vdash_T A$, the converse is analogous.

iii) If $\mathcal{O}(A) = 1$, then $\mathcal{O}(B) = 0$ and thus $\Vdash_T B$. Since T is complete, $\Vdash_T \neg^* B$ and thus $\Vdash_T \neg B$. Since $\Vdash_T B$, we obtain that $\Vdash_T \neg \neg B$, in other words, we have that $\Vdash_T A$ and $\Vdash_T \neg A$.

Assume next that $\Vdash_T A$ and $\Vdash_T \neg A$, that is, $\Vdash_T \neg B$ and $\Vdash_T B$. Then $\Vdash_T B$, and so by induction $\mathcal{O}(B) = 0$, from which it follows that $\mathcal{O}(A) = 1$.

Case: A is $B \vee C$. i) If $\mathcal{O}(A) = 0$ then $\mathcal{O}(B) = 0$ and $\mathcal{O}(C) = 0$. Hence $\Vdash_T C$ and $\Vdash_T B$, from which it follows, since T is complete, that $\Vdash_T B \vee C$. The converse is analogous.

- ii) If $\mathcal{O}(A) = \frac{1}{2}$, then either: $\mathcal{O}(B) = \frac{1}{2}$ and $\mathcal{O}(C) = \frac{1}{2}$,
 or $\mathcal{O}(B) = \frac{1}{2}$ and $\mathcal{O}(C) = 0$,
 or $\mathcal{O}(B) = 0$ and $\mathcal{O}(C) = \frac{1}{2}$.

Let us only consider the situation when $\mathcal{O}(B) = \frac{1}{2}$ and $\mathcal{O}(C) = 0$ (the others are analogous). The induction hypothesis gives us that

$$\vdash_T B, \vdash_T \neg B, \not\vdash_T C.$$

Since T is complete we obtain that $\vdash_T \neg^* C$ and $\vdash_T \neg C$. From $\vdash_T B$ we get $\vdash_T B \vee C$, and from $\vdash_T \neg B$ and $\vdash_T \neg C$ we may conclude that $\vdash_T \neg(B \vee C)$.

Conversely, suppose that $\vdash_T B \vee C$ and $\vdash_T \neg(B \vee C)$. The latter gives us that $\vdash_T \neg B$ and $\vdash_T \neg C$. From the former, since T is complete, we obtain that either $\vdash_T B$ or $\vdash_T C$. The induction hypothesis allows us then to conclude that $\mathcal{O}(B \vee C) = \frac{1}{2}$.

- iii) If $\mathcal{O}(A) = 1$, then either:

- $\mathcal{O}(B) = 1$ and $\mathcal{O}(C) = 0$,
 or $\mathcal{O}(B) = 1$ and $\mathcal{O}(C) = \frac{1}{2}$,
 or $\mathcal{O}(B) = 1$ and $\mathcal{O}(C) = 1$,
 or $\mathcal{O}(B) = 0$ and $\mathcal{O}(C) = 1$,
 or $\mathcal{O}(B) = \frac{1}{2}$ and $\mathcal{O}(C) = 1$.

We will only consider the case when $\mathcal{O}(B) = 1$ and $\mathcal{O}(C) = \frac{1}{2}$. The induction hypothesis gives us that

$$\vdash_T B, \not\vdash_T \neg B, \vdash_T C, \vdash_T \neg C.$$

From the first we obtain that $\vdash_T(B \vee C)$. Suppose on the other hand that $\vdash_T \neg(B \vee C)$. Then $\vdash_T (\neg B \wedge \neg C)$, from which it would follow that $\vdash_T \neg B$, contradicting that $\not\vdash_T \neg B$. Thus $\not\vdash_T \neg(B \vee C)$.

On the other hand, suppose that $\vdash_T(B \vee C)$ and $\not\vdash_T \neg(B \vee C)$. Then from the completeness of T we obtain that either

$$\vdash_T B \text{ or } \vdash_T C.$$

From $\not\vdash_T \neg(B \vee C)$, we obtain that

$$\not\vdash_T \neg B \text{ and } \not\vdash_T \neg C.$$

The induction hypothesis then gives us that $\mathcal{O}(B \vee C) = 1$.

Case: A is $\forall B$. i) If $\mathcal{O}(\forall B) = 0$. Then $\mathcal{O}(B) = 0$. Thus $\not\vdash_T B$; from which it follows that $\not\vdash_T \forall B$. Converse, analogous.

- ii) $\mathcal{O}(\forall B)$ is never $\frac{1}{2}$.

- iii) $\mathcal{O}(\forall B) = 1$ then either $\mathcal{O}(B) = \frac{1}{2}$ or $\mathcal{O}(B) = 1$.

Subcase: $\mathcal{O}(B) = \frac{1}{2}$. Then $\vdash_T B$ and $\vdash_T \neg B$, from which we obtain $\vdash_T \forall B$ and $\vdash_T \nabla \neg B$. Using that T is complete we conclude $\vdash_T \forall B$, and $\vdash_T \nabla \forall B$.

Subcase: $\mathcal{O}(B) = 1$. Then $\vdash_T B$ and $\not\vdash_T \neg B$. Suppose that $\vdash_T \nabla \forall B$. Then since $\vdash_T B$, we should obtain that T is trivial, which we are assuming it is not. Thus

$\not\vdash_T \neg \forall B$ and $\vdash_T \forall B$. On the other hand, suppose that $\vdash_T A$ and $\not\vdash_T \neg A$. That is suppose that

$$\vdash_T \forall B \text{ and } \not\vdash_T \neg \forall B.$$

Then $\vdash_T B$, and either $\vdash_T \neg B$ or $\not\vdash_T B$. In one case the induction hypothesis gives that $\mathcal{O}(B) = \frac{1}{2}$, and in the other that $\mathcal{O}(B) = 1$. Thus $\mathcal{O}(\forall B) = 1$ in both. That is $\mathcal{O}(\dot{A}) = 1$.

Case: A is $\exists xB$. i) If $\mathcal{O}(A) = 0$, then for every variable-free term b , $\mathcal{O}(B_x[b]) = 0$, and by induction hypothesis this is equivalent to $\not\vdash_T B_x[b]$. As T is a Henkin theory this gives us that $\not\vdash_T \exists xB$. The converse does not need to use that T is a Henkin theory.

ii) If $\mathcal{O}(A) = \frac{1}{2}$. Then for all b we have that $\mathcal{O}(B_x[b]) \leq \frac{1}{2}$. The induction hypothesis then tells us that

(1) for those b such that $\mathcal{O}(B_x[b]) = \frac{1}{2}$ (and there is at least one such): $\vdash_T B_x[b]$ and $\vdash_T \neg B_x[b]$.

(2) for the remaining b 's: $\not\vdash_T B_x[b]$ and (because T is complete) $\vdash_T \neg B_x[b]$. Thus we have that for all constants b : $\vdash_T \neg B_x[b]$; from which it follows that $\vdash_T \forall x \neg B$, i.e. $\vdash_T \exists xB$. From (1) we obtain $\vdash_T \exists xB$.

Conversely, suppose that $\vdash_T A$ and $\vdash_T \neg A$; that is $\vdash_T \exists xB$ and $\vdash_T \neg \exists xB$. Using that T is a Henkin theory and induction, we obtain an e such that $\vdash_T B_x[e]$, $\vdash_T \neg B_x[e]$, and thus $\mathcal{O}(B_x[e]) = \frac{1}{2}$. A proof by contradiction shows that there is no b such that $\mathcal{O}(B_x[b]) = 1$. Hence $\mathcal{O}(\exists xB) = \frac{1}{2}$.

iii) If $\mathcal{O}(A) = 1$, then there is at least one b such that $\mathcal{O}(B_x[b]) = 1$. From the induction hypothesis, we obtain that $\vdash_T B_x[b]$ and $\not\vdash_T \neg B_x[b]$. From the former, we obtain that $\vdash_T \exists xB$. Suppose next contrary to what we want to show, that $\vdash_T \neg \exists xB$. Then $\vdash_T \exists x \neg B$ and thus $\vdash_T \neg B_x[b]$, a contradiction. Thus $\not\vdash_T \neg \exists xB$.

COROLLARY 1. *Let T be a complete Henkin J_3 -theory, \mathcal{O} the canonical structure for T and A a closed formula of T ; then, $\mathcal{O}(A)$ belongs to V_d if and only if A is a theorem of T .*

COROLLARY 2. *If T is a complete Henkin J_3 -theory, then the canonical structure for T is a model of T .*

By the above corollary, to prove the completeness of a J_3 -theory T , as in the classical case, it is enough to show that it is possible to extend T to a complete Henkin J_3 -theory.

Thus, given a nontrivial J_3 -theory T , we will first extend it, conservatively, to a Henkin J_3 -theory T_c , and then extend it to a complete Henkin J_3 -theory T'_c .

Given a J_3 -theory T with language L , we proceed as in [22] and define the

special constants of level n , the language L_c with the special constants, and introduce the special axioms for the special constants.

DEFINITION 5.6. Let T be a J_3 -theory with language L . Then T_c is the Henkin J_3 -theory whose language is L_c and whose nonlogical axioms are the nonlogical axioms of T plus the special axioms for the special constants of L_c .

THEOREM 5.5. T_c is a conservative extension of T .

Proof. By Theorem 4.4 and by Theorem 5.3, the proof is similar to the classical one.

THEOREM 5.6. (Lindenbaum's Theorem). If T is a nontrivial J_3 -theory, then T admits a complete simple extension.

Finally, we can obtain the completeness theorem for J_3 -theories.

THEOREM 5.7. (Completeness Theorem). A J_3 -theory T is nontrivial if, and only if, it has a model.

Proof. If \mathcal{A} is a model of T and A is a closed formula in T , then $\mathcal{A} \models \neg^* A = 0$. So, by the validity Theorem, $\mathcal{A} \models \neg^* A$ is not a theorem in T . Then T is nontrivial.

If T is nontrivial, then we extend T to T_c , which is a non-trivial Henkin J_3 -theory. By Lindenbaum's Theorem, we can extend T_c to a complete Henkin J_3 -theory T'_c . By Corollary 2 to Theorem 5.4, T'_c has a model \mathcal{A} . Therefore, $\mathcal{A} \models L(T)$ is a model of T .

THEOREM 5.8. (Gödel's Completeness Theorem). A formula A in the J_3 -theory T is a theorem in T if, and only if, it is valid in T .

Proof. By supposing that the closed formula A is a theorem in T and using the above Completeness Theorem, we shall show that there is no model of T in which A is not valid.

Therefore, suppose that the closed formula A is a theorem in T .

By the corollary to the Reduction Theorem for non-Trivialization, $\vdash_T A$ if and only if $T[\neg A]$ is trivial; which, by Theorem 5.7, is equivalent to $T[\neg A]$ not having a model.

On the other hand, a model of $T[\neg A]$ is a model \mathcal{A} of T in which $\neg A$ is valid, that is, a structure \mathcal{A} such $\mathcal{A}(\neg A) = 1$. This is equivalent to $\mathcal{A}(\neg A) = 0$, and so $\mathcal{A}(A) = 0$.

Therefore, $\vDash_T A$ if and only if A is valid in T .

COROLLARY 3. If T and T' are J_3 -theories with the same language, then T' is an extension of T if, and only if, every model of T' is a model of T .

THEOREM 5.9. (Compactness Theorem). A formula A in a J_3 -theory is valid in T if, and only if, it is valid in some finitely axiomatized part of T .

COROLLARY 4. A J_3 -theory T has a model if, and only if, every finitely axiomatized part of T has a model.

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IMECC

Universidade Estadual de Campinas
Campinas, São Paulo, Brazil.