

The complex influence of ENSO on droughts in Ecuador

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Abstract

In this study, we analyzed the influence of El Niño – Southern Oscillation (ENSO) on the spatio-temporal variability of droughts in Ecuador for a 48-year period (1965-2012). Droughts were quantified from 22 high-quality and homogenized time series of precipitation and air temperature by means of the Standardized Precipitation Evapotranspiration Index (SPEI). In addition, the propagation of two different ENSO indices (El Niño 3.4 and El Niño 1+2 indices) and other atmospheric circulation processes (e.g., vertical velocity) on different time-scales of drought severity were investigated. The results showed a very complex influence of ENSO on drought behavior across Ecuador, with two regional patterns in the evolution of droughts: (i) the Andean chain with no changes in drought severity, and (ii) the Western plains with less severe and frequent droughts. We also detected that drought variability in the Andes mountains is explained by the El Niño 3.4 index (sea surface temperature [SST] anomalies in the central Pacific), whereas the Western plains are much more driven by El Niño 1+2 index (SST anomalies in the eastern Pacific). Moreover, it was also observed that El Niño and La Niña phases enhance droughts in the Andes and Western plains regions, respectively. The results of this work could be crucial for predicting and monitoring drought variability and intensity in Ecuador.

Key words: Standardized Precipitation Evapotranspiration Index (SPEI), drought, Ecuador, El Niño 3.4, El Niño 1+2

1. Introduction

36 Drought is one of the main natural hazards affecting a variety of economic and natural systems. It is not
37 just determined by a number of anthropogenic and natural factors, but also by the degree of vulnerability
38 of different vegetation communities and human societies to water deficits. In addition, the risk of drought
39 occurrence is closely related to a diversity of climate processes, such as the climatology of each region,
40 including the spatial and temporal variability of climate variables, and different atmospheric circulation
41 mechanisms (Schubert et al., 2004; Seager et al., 2005; Vicente-Serrano et al., 2011).

42 Drought is among the most complex climatic phenomena (Wilhite, 1993) due to the difficulties to
43 quantify drought severity. In particular, a drought is characterized using their impacts on different
44 systems (e.g., agriculture, water resources, ecology, forestry and economy), while there is actually no
45 physical variable that can be measured directly to quantify droughts. In addition, droughts are difficult to
46 pinpoint in time and space since it is very complex to identify the moment in which a drought starts or
47 ends and also to quantify its duration, magnitude and spatial extent. Another important source of drought
48 complexity is also associated with its multiscale character of drought, which is related to the different
49 periods that exist from the arrival of water inputs to availability of usable resource in different natural
50 systems and economic sectors (Changnon and Easterling, 1989; McKee et al., 1993).

51 In tropical regions of South America, hydro-climatic hazards cause large social and economic impacts
52 (Stiwell, 1992; Hamilton et al., 2002, 2004). Intense precipitation events and floods have usually devoted
53 the highest attention in the scientific literature given their adverse and drastic impacts on human
54 casualties, infrastructure damaging and health epidemics (Lyon, 2003; Mosquera-Machado and Ahmad,
55 2007; Bourma and Dye, 1997; Gagnon et al., 2002; Künzler et al., 2012). Nonetheless, droughts have
56 received a relatively less attention in Northern South America, possibly due to high precipitation amounts
57 experiencing little inter-annual variations and high soil water availability in the region. However, in past
58 decades, these areas were also affected by strong drought events as a consequence of severe precipitation
59 shortages (see for example, Marengo et al., 2008; Phillips et al., 2009; Lewis et al., 2011; Mo and
60 Berbery, 2011; Paredes and Guevara, 2013). In this region, global warming processes may also induce an
61 increase in the atmospheric evaporative demand, and thus increasing soil water stress and reducing the
62 availability of water resources (Dai, 2011, 2013). Over humid forests of South America, this mechanism

63 has already been hypothesized as one of the causes of recent episodes of forest decay and increased tree-
64 mortality (Jiménez-Muñoz et al., 2013; Vourlitis et al., 2014; Olivares et al., 2015) and forest fire
65 (Román-Cuesta et al., 2014). All these features stresses the need for assessing the spatial and temporal
66 behavior of droughts in these regions and improving the knowledge of the influence of different
67 atmospheric mechanisms on this phenomenon.

68 Ecuador, a small country (283,560 Km²) located in northwest South America, shows a strong geographic
69 and topographic diversity between the highlands, which correspond to the Andean chain with a south-
70 north direction, the coastland plains in the west, and the Amazonian Jungle in the east. Topographical
71 gradient is very strong, where it is possible to move from the sea level to peaks above 6,000 m.a.s.l within
72 a distance of less than 300 km. Drought episodes in Ecuador are linked to different atmospheric
73 mechanisms, mainly the circulation in the Pacific and Atlantic regions (Poveda and Mesa, 1997; Poveda
74 et al., 2006; Haylock et al., 2006). Among them, El Niño – Southern Oscillation (ENSO) plays the main
75 role in explaining climate variability in the country (Rossel et al., 1999; Rossel and Cadier, 2009; Vuille
76 et al., 2000, 2003; Poveda et al., 2006). However, albeit the strong relief complexity, there are different
77 atmospheric mechanisms that affect some regions of the country at different spatial scales (Rollenbeck et
78 al., 2011; Rollenbeck and Bendix, 2011). Given this topographic diversity, which causes different climate
79 regimes in Ecuador (Bendix and Lauer, 1992) and strong precipitation contrasts even at short distances
80 (Buytaert et al., 2006; Celleri et al., 2007), it can be hypothesized that elevation gradients may control
81 spatial and temporal variability of droughts, and can largely modulate the influence of atmospheric
82 circulation processes across the country as well.

83 Earlier studies have stressed the complexity of the ENSO phenomenon in terms of the non-linear
84 response of droughts to cold (La Niña) and warm (El Niño) phases in several regions of the world,
85 including South America (Vicente-Serrano et al., 2011). Other works have also reported a complex
86 pattern of the ENSO, with different spatial configurations over the latest decades (e.g., Ashok et al., 2007;
87 Weng et al., 2009; Yeh et al., 2014). This has enunciated the term “ENSO flavors” to refer the different
88 spatial forms in which the ENSO occurs (Trenberth and Smith, 2006; Lee and McPhaden, 2010; Johnson,
89 2013). Two main spatial configurations of the ENSO have been identified: a canonical eastern Pacific

90 pattern and a recently identified central pattern, called as El Niño Modoki (Ashok et al., 2007). The
91 climate response to these ENSO patterns is complex, with remarkable regional differences in the Pacific
92 areas according to their influence on different atmospheric mechanisms in the region (e.g., Cai and
93 Cowan, 2009; Yoon et al., 2012; Dewitte et al., 2012; Tedeschi et al., 2013; Li et al., 2013; Córdoba
94 Machado et al., 2014). Drumond and Ambrizzi (2006) observed that the interannual variability of the
95 boreal winter precipitation in Ecuador may be linked to the variations in the South American Monsoon
96 System, which seems to be also related to the low frequency and the quasi-biennial components of the
97 ENSO. Their results suggest that the displacement of the convection over Indonesia and western Pacific
98 may contribute to the different responses in the precipitation observed during the ENSO events of the
99 same signal. The spatial complexity and climate influence of these ENSO flavors probably interact with
100 the complex drought behavior (including temporal evolution, spatial propagation and time-scales) and
101 they are probably strongly affected by the complex orography of Ecuador. More recently, Córdoba-
102 Machado et al. (2015) have analyzed the influence of canonical El Niño and El Niño Modoki on the
103 spatial and temporal variability of precipitation in Columbia, showing a very different spatial and
104 seasonal response to these patterns and also indicating how orography alters the ENSO effects in the
105 country, in agreement with previous research by Poveda et al. (2011).

106 Studies suggest recent changes in the frequency of the different ENSO flavors, showing a higher
107 frequency of the central El Niño events and a lower frequency of the Eastern El Niño phases in the last
108 three decades (Lee and McPhaden, 2010; Takahashi et al., 2011; Dewitte et al., 2012). These observed
109 changes reinforce the need for knowing the response of droughts to different ENSO conditions in order to
110 assess the possible impacts associated with the projected changes in the spatial configurations (Yeh et al.,
111 2014), as well as the frequency and severity of cold and warm phases (Borlace et al., 2013; Taschetto et
112 al., 2014).

113 The main objectives of this study are: i) to analyze the spatial and temporal patterns of droughts in
114 Ecuador, ii) to determine the influence of different ENSO indices and their intensity over the central and
115 eastern parts of the Pacific region on different time-scales of drought severity and iii) to know the
116 propagation of El Niño and La Niña phases on drought time-scales. Given that this study employs a high

117 quality dataset of meteorological stations across Ecuador, which is available from the decade of 1960,
118 assessing the complexity of the drought behavior in the region and their association with the ENSO
119 variability and other atmospheric circulation processes could deepen our knowledge about the regional
120 response of drought severity in Ecuador to the atmospheric circulation processes related to the ENSO.

121 To our knowledge, this is the first quantitative study of droughts in Ecuador that considers the complex
122 topographic and climate characteristics of the country, providing a comprehensible explanation of
123 drought variability in a region subjected to current climate change processes.

125 **2. Data and Methods**

126 **2.1. Data**

127 *2.1.1. Meteorological data*

128 The meteorological data have been provided by the “Instituto Nacional de Meteorología e Hidrología”
129 (INAMHI) of Ecuador. Daily air temperature and precipitation time series for 50 stations in Ecuador
130 (Figure 1) were quality controlled with specifically designed software, which identified and removed
131 gross measurement errors and identified and corrected transcription and data formatting problems.
132 Following this screening procedure, we identified 22 stations (Table 1) with sufficient temporal coverage
133 in the 1965-2012 period (for locations see Figure 1). Given the low data availability in the INAMHI
134 database, we tried to optimize all the available information.. For this reason, although the Querochaca
135 shows large data gaps in the temperature data, the precipitation series only shows the 21% of data gaps,
136 and given that the spatial variability of precipitation is much higher than temperature, we decided to
137 include this station although the 40% of the gaps were necessary to complete in the temperature series.
138 This decision has not a noticeable influence in the obtained results (see below).

139 Monthly averaged values of daily maximum and minimum air temperature and monthly accumulations of
140 daily precipitation were computed and homogenized using HOMER algorithm (Mestre et al., 2013).
141 HOMER contains as a preliminary detection tool the pairwise algorithm described in Caussinus and
142 Mestre (2004) and the two factors ANOVA model for correction presented by the same authors. This
143 approach was identified as one of the best performing methods using the COST-HOME action benchmark

144 datasets (see Venema et al., 2013 for a full evaluation of different homogenization approaches). HOMER
145 also includes an extension of the pairwise detection algorithm based on Picard et al. (2011), which allows
146 to simultaneously compare a set of stations and estimate the number and the positions of their
147 breakpoints. Although the latter procedure could be applied in a fully automatic mode, the process was
148 run semi-automatically, involving expert evaluation and the use of the very few available metadata.
149 Precipitation data was log-transformed before homogenization to improve the accuracy of break-point
150 detection and only 11 very obvious breaks corresponding to 5 different stations were adjusted. For air
151 temperature, maximum and minimum monthly temperature series were adjusted separately, but the
152 accepted breaks for any of the two variables was incorporated in both. This procedure allows for monthly
153 mean air temperature to be derived from both so that air temperature remains coherent. Again, a
154 conservative approach was employed for the acceptance of breaks and only 12 stations needed the
155 adjustment of 36 inhomogeneities. HOMER also completed missing values based on Equation 8 reported
156 by Mestre et al. (2013).

157 *2.1.2. Atmospheric and sea surface temperature information*

158 Due to the intrinsic complexity of the ENSO phenomenon, there are different indices to quantify it, based
159 on atmospheric or sea surface temperature (SST) data (Trenberth and Stepaniak, 2001). In this study, we
160 used two different indices to quantify the ENSO phenomenon, namely El Niño 3.4 Index and El Niño 1+2
161 Index, which were obtained from the SST dataset from the Hadley Centre UK (Rayner et al., 2003). El
162 Niño 3.4 Index is obtained by averaging the SST in the central Pacific region (170°W,5°S-120°W,5°N)
163 and normalized to 1971-2000 period. On the other hand, El Niño 1+2 records SST anomalies in the
164 eastern Pacific region (90°W,10°S-80°W,0°S). The Pearson's r correlation between the winter El Niño 3.4
165 and El Niño 1+2 is 0.78, which means that they only share the 60.8% of the common variance in the
166 period 1965-2012. Thus, the two indices record the specific behavior of the ENSO intensity in the central
167 and east configurations.

168 El Niño events were defined by a boreal winter (December, January and February) El Niño 3.4 >1 or El
169 Niño 1+2 indices >1 , and La Niña events were defined by indices < -1 and <-0.8 , respectively,,
170 considering the period 1965-2012. The thresholds were different for La Niña events in the two indices to

171 have more representation of cold events considering the El Niño 1+2 index. Based on these criteria for El
172 Niño 3.4 the winters of the years starting in January: 1966, 1973, 1983, 1987, 1992, 1995, 1998, 2003 and
173 2010 were classified as El Niño, and the winters of 1971, 1974, 1976, 1989, 1999, 2000, 2008 and 2011
174 were classified as La Niña. According to El Niño 1+2 the winters of 1973, 1983, 1987 and 1998 were
175 classified as El Niño and the winters of 1968, 1971, 1974, 1975, 1976, 1981 and 2008 were classified as
176 La Niña.

177 To determine the physical processes that explain the influence of ENSO on droughts, we also used data of
178 SST at a spatial resolution of 1° from the Hadley Centre Sea Ice and Sea Surface Temperature data set
179 (HadISST) for the region 165°W,51°S-24°W,34°N. Finally, we used data from sea level pressure (SLP),
180 and geopotential heights and vertical velocity (omega) at 1000, 925, 850, 700, 600, 500, 400 and 300 hPa.
181 The positive (negative) vertical velocity means dominant descending (ascending) currents, denoting the
182 intensity and surface extent of the convection processes in the region. This data was obtained from
183 NCEP/NCAR reanalysis dataset at a spatial resolution of 2.5° (Kalnay et al. 1996).

184 **2.2. Analysis**

185 *2.2.1. Drought index calculation*

186
187 To identify drought severity and variability, we used the Standardized Precipitation Evapotranspiration
188 Index (SPEI). The SPEI was first proposed by Vicente-Serrano et al. (2010a) as an improved drought
189 index that is especially suited for studies of the effect of global warming on drought severity. Like the
190 Palmer Drought Severity Index (PDSI), the SPEI considers the effect of reference evapotranspiration on
191 drought severity, but the multi-scalar nature of the SPEI enables identification of different drought types
192 and drought impacts on diverse systems (Vicente-Serrano et al., 2012, 2013). Thus, the SPEI has the
193 sensitivity of the PDSI in measurement of evaporative demand of the atmosphere (caused by fluctuations
194 and trends in climatic variables other than precipitation), is simple to calculate, and is multi-scalar, like
195 the Standardized Precipitation Index (SPI). Vicente-Serrano et al. (2010a, 2010b, 2011b, 2012, 2015) and
196 Beguería et al. (2014) provided complete descriptions of the theory behind the SPEI, the computational
197 details, and comparisons with other drought indicators such as the PDSI and the SPI. Specifically, the
198 SPEI is based on a monthly climatic water balance (P-ET_o), which is adjusted using a 3-parameter log–
199
200

201 logistic distribution. The values are accumulated at different time scales and converted to standard
202 deviations with respect to average values.

203 The SPEI is perfectly comparable in time and space, and across different timescales. Thus, the same SPEI
204 values occur with the same frequency in all regions of the world, independent of the climate
205 characteristics of the region. This index provides objective information on climatic drought conditions, as
206 it relies only on climate data. It is also able to identify climate change processes related to changes in
207 precipitation and the atmospheric evaporative demand since the SPEI is equally sensitive to these two
208 variables (Vicente-Serrano et al., 2015).

209 To calculate SPEI, it is necessary to determine the atmospheric evaporative demand (AED), which is
210 heavily influenced by physical factors and involves a combination of radiative and aerodynamic
211 components (McVicar et al., 2012 and references therein). These components were combined by Penman
212 (1948), who developed an equation to measure the evaporative demand of the atmosphere using
213 meteorological data (wind speed, solar radiation, relative humidity and air temperature). Nevertheless, a
214 common problem in estimating the AED is the absence of long time series of wind speed, solar radiation
215 and relative humidity, which is the case for Ecuador. For this reason, we used a simplified equation
216 developed by Hargreaves and Samani (1985), which only requires information on maximum and
217 minimum air temperatures, and the extraterrestrial solar radiation, and it provides very similar estimates
218 to those more complex methods like the FAO-56 Penman–Monteith equation (Droogers and Allen, 2002;
219 Hargreaves and Allen, 2003). Using precipitation and AED estimates for the 22 available meteorological
220 stations in Ecuador, we calculated the SPEI at time scales between 1- and 48-month between 1965 and
221 2012.

222 223 *2.2.2. Classification of drought patterns*

224 We obtained homogeneous drought patterns using an S-mode principal component analysis (PCA)
225 (Richman, 1986), which was applied to the 12-month SPEI series as representative of general SPEI
226 evolution in Ecuador, to obtain the main modes of temporal variability of droughts. The PCA procedure
227 has been widely applied in climatological studies (e.g. Jolliffe, 1986, 1990; von Storch and Zwiers, 1999;

228 Richman, 1986; Huth, 2006). The uncorrelated variables obtained are termed principal components (PCs)
229 and consist of linear combinations of the original variables.

230 Typically the complexity of the structure of each consecutive PC pattern increases (Richman, 1986).
231 Therefore, a common practice is to find an alternative set of vectors, which have a much simpler
232 structure. This process is referred to as rotation. Rotation conserves the total variance of the components
233 selected for rotation but redistributes it at the expense that successive maximization of variance is lost
234 (Jolliffe, 1986). Here the number of components selected for rotation was based on the criterion of an
235 eigenvalue >1 , and the components were rotated using the varimax method, selecting the correlation
236 matrix to efficiently represent the variance (Barry and Carleton, 2001). Performing a rotation with
237 varimax retains orthogonality in the principal component time series but not the spatial patterns (Mestas-
238 Núñez, 2000). Nevertheless, the obtained drought Varimax Patterns (VPs) are less affected by domain
239 dependence, have a smaller sampling error and they are more stable and physically robust than unrotated
240 patterns (Richman, 1986).

242 *2.2.3. Relationship between drought indices and the ENSO*

243 We correlated monthly SPEI at time scales of 1-, 3-, 6- and 12-month in each one of the 22
244 meteorological stations with monthly series of El Niño 3.4 and El Niño 1+2 at the same time scales of 1-,
245 3-, 6- and 12-month (averaging the El Niño indices over the past n months). The significance of
246 correlations was set at $p < 0.05$. We also calculated for each station the monthly averages of the 1- to 48-
247 month SPEI corresponding to El Niño and La Niña episodes, identified from El Niño 3.4 and El Niño 1+2
248 indices (see Section 2.1.2) and the years before and after these events. These months could correspond to
249 other conditions (e.g., El Niño in 1973 was followed by La Niña in 1974, and the same is observed in
250 2010 and 2011). The results were also obtained from the general SPEI series resulted from the VPs,
251 described in section 2.2.2, since these series are representative of large regions and they record the
252 general SPEI anomalies in the country corresponding to El Niño and La Niña events. We used the non-
253 parametric Wilcoxon-Mann-Whitney test (Siegel and Castelan, 1988) to determine whether the SPEI at
254 different time scales reflected significant humid or dry conditions during El Niño or La Niña events

255 obtained from both indices. The SPEI values in each one of the months of El Niño/La Niña years were
256 compared with the values of the SPEI for the months of normal years and those with the opposite sign.
257 Thus, to determine the role of the El Niño years the SPEI values during La Niña years were added to the
258 SPEI values during normal years, and vice versa. The significance level was defined as $p < 0.05$.

260 2.2.4. Drought connection with SST and atmospheric circulation anomalies

261 To determine the driving mechanisms of the influence of ENSO on drought in Ecuador, in terms of El
262 Niño 3.4 and El Niño 1+2 indices, and the possible spatial differences in the influence of these indices,
263 we correlated the monthly 1-month SPEI of the main drought VPs with the gridded SST and the SLP and
264 500 hPa heights over the selected spatial domain (see section 2.1.2). Regions with significant correlations
265 were set as $p < 0.05$. These patterns show months of the year and regions in which these variables have an
266 impact on 1-month SPEI anomalies. Obviously, the accumulation and temporal propagation of these
267 anomalies will cause drought conditions, whose severity will be proportional to the monthly anomalies.
268 In addition, we calculated correlation between monthly drought VPs obtained in the analysis described in
269 section 2.2.2, with the geopotential height and vertical velocity at different geopotential levels. For a
270 graphical representation, we showed the correlations in a W-E profile between -170°E and -70°E at 1°S of
271 latitude. We also calculated the anomalies of geopotential height and vertical velocity at different
272 geopotential levels corresponding to El Niño and La Niña events identified with El Niño 3.4 and El Niño
273 1+2 indices, and also the average SPEI anomalies corresponding to the three most arid years (negative
274 annual SPEI values) and the three most humid years (positive annual SPEI values) recorded in each VP.
275 Significant differences in the geopotential height and vertical velocity between El Niño/La Niña phases
276 and between the humid/dry periods and the rest of years were obtained by means of the Wilcoxon-Mann-
277 Whitney test.

278 3. Results

281 3.1. Patterns of drought variability

282 We observed strong differences in the evolution of droughts in Ecuador. The analysis applied to the 12-
283 month SPEI series allowed to extract three VPs of drought evolution (Figure 2), which explain almost
284

285 72% of the total variance. VP1 represents the 36.6% of the total variance and shows main drought
286 patterns identified between 1975 and 1980, 1985-1993, 2002-2004 and a short but very intense period in
287 2010. This drought evolution is representative of the Andean chain that crosses Ecuador from North to
288 South. VP2 contributes to 28.6% of the total variance and temporal evolution is characterized by strong
289 drought episodes in 1968-1969 and between 1978 and 1983. Since 1985 the drought episodes were
290 characterized by low magnitude and no relevant changes. This evolution is representative of the Western
291 plains close to the Pacific Ocean. Finally, VP3 only represents 6.7% of the total variance and it represents
292 the evolution of one observatory eastward of the Andes in which strong droughts were recorded in 1980,
293 1993-2000 and 2011-2012. For further analysis we have only retained the first two VPs, which represent
294 65.2% of the total variance. The precipitation regimes are quite different between these two regions
295 (Figure 3). The Andean chain does not show strong precipitation seasonality, with maximum values
296 recorded in March-April and October-December, and minimum values in July-September. On the
297 contrary, the Western Plains show strong precipitation seasonality, with marked humid (December to
298 May; 1360 mm on average) and dry seasons (June to November; 160 mm on average). In opposition to
299 precipitation, seasonal and interannual variability of the ETo is very low both in the Andes and the
300 Western Plains.

301

302 **3.2. Correlations between droughts and ENSO**

303 The clear differences in the drought evolution between the Western plains and the Andean chain are
304 related to the existing differences in the ENSO influence on droughts. Figure 4 shows the correlations
305 between the monthly El Niño 3.4 index and the 1-month SPEI during the twelve months of the year, but
306 also between average 3-, 6- and 12-month El Niño 3.4 index (e.g., the average of current month and
307 previous two months for 3-month time-scale) and the 3-, 6- and 12-month SPEI. Between January and
308 March there is a negative and significant correlation between the 1-month El Niño 3.4 index and the 1-
309 month SPEI in the meteorological stations located in the Andean chain; on the contrary, in the plain areas
310 close to the Pacific Ocean correlations are positive, but non-statistically significant. It means that warm
311 SST conditions in the central Pacific region favors dry conditions in the Andean chain. In April and May,

312 the stations located in the Western plains show positive and significant correlations with El Niño 3.4,
313 whereas the Andean region do not show significant correlations. From June to August the spatial pattern
314 changes and correlations tend to be negative throughout the entire country, but only significant in the
315 Andean chain. From September to December correlations are mostly non-significant in the whole area. At
316 time scales of 3- and 6-months, the negative correlations found at the 1-month time scale in the Andes in
317 some months of the year are also observed. At the 6-months, it is also evident how the SPEI series in the
318 stations located in the Western plains are positively and significantly correlated to the El Niño 3.4 index.
319 It means that, in the Western plains, warm SST conditions in the central Pacific favor humid conditions,
320 which is the opposite to that found in the Andes. The annual pattern (12-month SPEI) confirms this
321 behavior demonstrating a very different response of droughts time-scales to El Niño 3.4 index between
322 the Andes and the Western plains.

323 In the Andes, droughts would be favored by warm SST (El Niño) in the central Pacific region, but in the
324 Western plains the drought episodes are more related to cold SST (La Niña) in the same Pacific region.
325 Figure 5 also shows contrasted differences in the correlations of the SPEI and El Niño 1+2 Index between
326 the Andes and the Western plains in different months of the year and time-scales. The sign of the
327 correlation coefficients is similar to that found for El Niño 3.4: positive in the Western plains and
328 negative in the Andean chains. Nevertheless, the magnitude and signification of correlations are very
329 different. Considering El Niño 1+2 Index positive correlations in the Western plains are dominantly
330 significant, whereas in the Andes correlations are dominantly non-significant, with the exception of June.
331 The pattern is reinforced considering 3-, 6- and 12-month SPEI, which demonstrate that drought episodes
332 in the Western plains are better determined by cold SST conditions (La Niña) in the Eastern Pacific than
333 in the central Pacific region, and the opposite is found for the Andes.

334 The strong but complex influence of ENSO in Ecuador suggests that the occurrence of warm (El Niño)
335 and cold (La Niña) phases may drive the occurrence of droughts at different time-scales in different parts
336 of the country, although slight differences are obtained between using El Niño 3.4 or El Niño 1+2 indices.
337 Figure 6 shows the average 1- to 48-SPEI anomalies during the El Niño and La Niña years obtained from
338 the El Niño 3.4 Index in the Andes (VP1) and the Western plain region (VP2). The SPEI at the different

339 time-scales are calculated from the regional series of the climatic water balance (precipitation minus
340 reference evapotranspiration) in both regions according. The months of the identified El Niño and La
341 Niña years (see Methods section) correspond to the framed months, but also the twelve months before
342 and after the ENSO events are used to calculate the average anomalies. In the Andes, during La Niña, the
343 SPEI is dominantly positive and significantly different to the rest of the years in different months of the
344 year and SPEI time-scales. La Niña signal is very strong in this region; thus very high average positive
345 anomalies (> 1) are found at time-scales from 5- to 24-months but also during the previous and following
346 year. The positive anomalies during La Niña years seem to be an early precursor of the effects of the cold
347 phase since significant positive anomalies of SST in the El Niño 3.4 region are found since June of the
348 previous year (Vicente-Serrano et al., 2011). Thus, the positive anomalies of SPEI in the Andes, recorded
349 on short time-scales during the previous months to the ENSO year, propagate in the form of longer time-
350 scales during La Niña year but also the following year, which indicates general humid conditions on a
351 long time-scale. On the contrary, during El Niño years, the SPEI averages are dominantly negative. This
352 is indicative of drought conditions, but these are mainly recorded at short time-scales. In any case, the
353 drought response to El Niño years in the Andes shows a lower magnitude than the humid response to La
354 Niña years, which suggests a clear asymmetry in the response to El Niño and La Niña phases in the
355 central Pacific. On the other hand, the pattern of SPEI response to El Niño and La Niña years identified
356 from El Niño 3.4 index is very different in the Western plains (VP2). La Niña years record humid
357 conditions at long SPEI time-scales, as a consequence of the propagation of the humid conditions of the
358 year before La Niña. On the contrary, negative SPEI values are recorded at short time-scales, although the
359 magnitude of these anomalies is low and there are not significant differences with the rest of the years.
360 During El Niño years there are positive SPEI anomalies, which are significantly different to the rest of the
361 years. The positive SPEI anomalies are identified at short time scales at the beginning of the El Niño
362 phases and propagated to longer (10-20 months) during the entire El Niño year.

363 The pattern of response to El Niño 1+2 cold and warm phases is very different to that showed for El Niño
364 3.4 (Figure 7). There are not clear patterns in the SPEI response of the Andes to La Niña and El Niño
365 episodes obtained from El Niño 1+2, with non-significant SPEI anomalies in response to these events.

366 Nevertheless, in the Western plains (VP2), during El Niño 1+2 cold and warm phases there are SPEI
367 anomalies significantly different to the rest of years. Although the number of years identified as La Niña
368 with El Niño 1+2 events is low (3), we have found dominant negative SPEI values at different time-scales
369 and months during La Niña 1+2 events in the Western plains, but the differences are not dominantly
370 significant different to the rest of the years, given the low number of years considered. Nevertheless, the
371 response of the Western plains to El Niño 1+2 events is strong, showing general humid conditions, which
372 are significantly different in different months of the year and SPEI time-scales to the rest of the years.

374 **3.3. Physical drivers explaining the spatial differences in the influence of ENSO on droughts**

375 Although the drivers of drought variability between the Andean areas and the Western plains seem to be
376 highly connected to the ENSO phenomenon, they are responding to different mechanisms that explain the
377 complex and the different influence of the ENSO intensity in the central (El Niño 3.4) and eastern (El
378 Niño 1+2) regions. Figure 8 shows that the Pacific regions in which SST correlate with the SPEI in the
379 Andes (VP1) and Western plains (VP2) are very different. The SPEI values for the monthly 1-month VP1
380 show negative and significant correlation with the SST in a region of the central equatorial Pacific Ocean.
381 This is clearly recorded in January and February and between June and September. Warm (colds) SST in
382 the central Pacific shows a clear connection with dry (humid) conditions in the Andean region during
383 these months. On the contrary, monthly 1-month SPEI in the Western coastal plains shows a very
384 different correlation pattern with SST in the Pacific region. There are positive and significant correlations
385 in the eastern Pacific region closest to the Ecuador coastland, which extend to large areas of the equatorial
386 Pacific in April, May, November and December and resembling the spatial pattern of the canonical El
387 Niño. It means that dry (humid) conditions in the Western plains are favored by cold (warm) SST in this
388 region. Thus, this analysis indicates that SPEI variability in both regions shows a clear connection with
389 SST anomaly, but the Andes is connected to the central Pacific SST anomalies area and the Western
390 plains are linked to the SST in the eastern Pacific.

391 The influence of the SST anomalies in different Pacific regions in areas of Ecuador separated only by 200
392 km is clearly connected with the coupled ocean-atmosphere processes associated with different ENSO

393 configurations, modulated by the effect of the relief. In the Andes (VP1) there is no significant correlation
394 between the SPEI and SLP over Ecuador, but evident significant correlations are found between the SPEI
395 and SLP over the central Pacific region. On the contrary, in the Western plains there are negative and
396 significant correlations between the SPEI and the SLP values over Ecuador, which is observed during
397 several months of the year. Figure 9 shows the monthly correlations of 1-month SPEI series
398 corresponding to Varimax Pattern 1 (Andes) and 2 (Western plains) with the geopotential levels at
399 different heights in a profile between 160°W-70°W at 1°S. The figures contain the relief of Ecuador,
400 which is characterized by a “wall” of more than 4000 m elevation at 60°W. The Andes would show an
401 influence of the pressure anomalies in the upper levels as a consequence of high elevation. Positive
402 (negative) SPEI values in the Western plains are favored by negative SLP anomalies in the eastern
403 equatorial Pacific region, which could be hypothetically associated with atmospheric circulations
404 enhancing convective processes in the region, driven by SST conditions in the Eastern Pacific region. On
405 the contrary, in the Andes the results show that the correlation is negative and statistically significant with
406 geopotential levels at high elevations in most months of the year. The SLP variability associated with
407 SST anomalies in the central Pacific affects the SPEI variability in the Andes by means of propagation
408 throughout the mid-troposphere. There are negative and significant correlations along a large band around
409 the Equator, indicating that negative (positive) height anomalies cause positive (negative) SPEI values
410 during more than half of the year. This connection explains why the region shows significant correlations
411 with the SST and SLP of the central Pacific region, which is thousands of kilometers west of Ecuador.
412 The SST anomalies in El Niño 3.4 region (central Pacific) would be transferred vertically to the middle
413 and upper troposphere and propagated spatially by means of the Walker circulation, thus affecting the
414 Andean region in Ecuador. Nevertheless, the influence of the mid- and upper-atmospheric circulation
415 variability shows clearly a nonlinear behavior that would explain the different response of the SPEI of the
416 Andes to the El Niño 3.4 warm and cold phases. The influence of the high elevation geopotential heights
417 anomalies in the Andean region is mainly linked to positive (humid) SPEI values instead to negative (dry)
418 conditions (Figure 10). On the contrary, SPEI and mid and upper troposphere fields do not show

419 significant correlations for the Varimax Pattern 2, indicating that the mid-troposphere variability does not
420 influence significantly the SPEI variability in the Western plains of Ecuador.

421 Therefore, although it could be affirmed that drought variability in both regions of Ecuador are related to
422 the ENSO, the ENSO flavors and the physical mechanisms that explain the effect are very different, and
423 closely related to the coupled ocean-atmospheric circulation processes and mainly to the existing
424 topographical gradients in Ecuador. The average geopotential height anomalies during the three most
425 humid years in the Andes show negative values, which are statistically different to those recorded during
426 the rest of years. On the contrary, the three driest years do not show clear geopotential anomalies in the
427 upper levels. In any case, we identify that El Niño phases show significant positive geopotential height
428 anomalies during most months of the year (Figure 11); the most intense being recorded during the humid
429 season. This also shows that although El Niño 3.4 warm phases also cause negative and significant
430 geopotential height anomalies near the surface, these do not affect the Andean region given high elevation
431 of the region and no connection with SLP.

432 The geopotential height anomalies at different levels corresponding with the most positive (humid) and
433 negative (dry) years recorded in the Western plains (Figure 12) show very different pattern to that
434 observed for the Andes. In this region, the most humid years show strong positive geopotential height
435 anomalies at higher elevation levels, but negative anomalies at the surface level, that although they are
436 non-significant, they are more intense over the Western plains. This pattern closely resembles the
437 geopotential height anomalies observed during El Niño 1+2 index during several months of the year
438 (Figure 13), in which geopotential heights near the surface clearly show negative anomalies. On the
439 contrary, during the dry phases the pattern in geopotential anomalies is not clear, although there is a
440 domain of positive anomalies for geopotential upper levels and negative anomalies near the surface in
441 agreement to that observed during La Niña years. The clear differences in SLP and geopotential
442 anomalies during El Niño 1+2 warm and cold phases, which is even more evident than those showed for
443 El Niño 3.4 would also help to explain the strong asymmetric response of the SPEI in this region to these
444 phases, since the warm phases produce stronger SLP and geopotential anomalies than the cold phases.

445 The different physical mechanisms and propagation of El Niño effects in the two regions is evident when
446 analyzing the influence of the vertical velocity (ω) on the SPEI in the two regions. Figure 14 shows
447 the correlation of the monthly 1-month SPEI for Varimax Pattern 1 (Andes) and 2 (Western plains) with
448 ω values at different geopotential levels in the same geographic profile. The main conclusion is the
449 negative correlation with ω over the Andes and over the western plains. Nevertheless, there are
450 strong differences in the correlation between the monthly 1-month SPEI and the vertical velocity between
451 the two regions. For the Andean region, the correlation pattern shown is compatible with the Walker
452 circulation; there are clear differences between the central Pacific region (showing positive correlations in
453 some months of the year -January, February, June-) and the eastern Pacific close to the Andes in which
454 negative correlations are also found in March, April, May, June and December. Nevertheless, correlations
455 are not strong and only affecting few regions and levels. On the contrary, the Western plains show a clear
456 pattern characterized by strong negative correlations between the monthly 1-month SPEI and monthly
457 ω values. The negative correlation means that strong and negative ω levels are associated with
458 convective processes and ascending (descending) of air causes humid (dry) conditions in the Western
459 plains. This pattern is observed for most months, but a higher intensity is recorded from March to June,
460 coinciding with the months in which strong correlations between SPEI and SST in the eastern Pacific
461 region are found. The effect of the relief is evident given that areas with negative and significant
462 correlations between the SPEI in the Western plains and ω are mainly restricted to the west of the
463 Andes.

464 This distinct pattern in the influence of the vertical velocity on the SPEI of the Andes and Western plains
465 values is driven by the different behavior observed during El Niño 1+2 warm phases. Thus, during El
466 Niño 3.4 warm and cold phases there are no significant anomalies in the vertical velocity at different
467 geopotential levels in the region of Ecuador, and the influence is restricted to the central Pacific between
468 January and March (Figure 15). The anomalies in vertical velocity are much more evident during El Niño
469 1+2 warm phases (Figure 16). El Niño events show negative ω anomalies, characterized by above of
470 the normal air ascending velocity in a large region of the central Pacific but also showing significant
471 above of the normal values at different geopotential levels in the Western plains between January and

472 July. On the contrary, during the La Niña episodes there are dominant positive anomalies in the vertical
473 velocity, that although characterized by dominant descending air in the Western plains region, they show
474 much lower intensity than that showed for El Niño phases. Thus, whereas these configurations do not
475 show any agreement with the vertical velocity anomalies during the driest and most humid years recorded
476 in the Andes region (Figure 17), there is a strong agreement with the vertical velocity anomalies in the
477 dry and humid years recorded in the Western plains, characterized by dominant descending and ascending
478 air anomalies, respectively over the Western plain region (Figure 18).

479 **4. Discussion**

481 **4.1 Drought spatial variability**

483 We showed the spatial variability of droughts in Ecuador, finding two main regions that are controlled by
484 the spatial diversity of topography: the Andean chain that crosses the mid of the country with a North-
485 South direction, with average elevations above 4000 m.a.s.l and peaks of 6,300 m, and the Western
486 plains, covering a 200 km distance between the Pacific ocean and the Andes. These two regions showed
487 high spatial homogeneity in terms of the temporal evolution of droughts, with very few differences
488 between the meteorological stations located in each region. Climate information is scarce in the eastern
489 part of the country (Amazonia) and it is not possible to attribute a distinct evolution of droughts over this
490 region. These drought patterns coincide with the general climate regionalization of Ecuador based on
491 precipitation and air temperature data. Recently, Morán-Tejeda et al. (2015) have shown that precipitation
492 in Ecuador exhibits the same spatial patterns shown here for droughts. These authors showed a more
493 complex temporal pattern for air temperature than for precipitation, as a consequence of differences in the
494 Andes sector, in which some meteorological stations show a clear air temperature increase whereas others
495 show no relevant changes during the recent decades. Therefore, although droughts have been quantified
496 here considering both, the precipitation and the atmospheric evaporative demand, the temporal variability
497 of the droughts seems to mostly depend on the precipitation variability across the country, in agreement
498 with a recent study by Vicente-Serrano et al. (2015), that showed that droughts are mainly controlled by
499 changes in the atmospheric evaporative demand in dry areas, but determined by precipitation variability
500 in humid regions, such as Ecuador.

501 Temporal evolution showed a trend toward lower drought conditions in the Western plains, in agreement
502 with the significant precipitation increase found in this region (Moran-Tejeda et al., 2015) and although
503 atmospheric evaporative demand has probably increased as a consequence of the air temperature rise, its
504 effect on drought severity is hidden by the strong precipitation increase. On the contrary, in the Andes,
505 severe drought episodes have been identified since 2000, and probably atmospheric evaporative demand
506 is having a negative role in the severity of these events.

507

508 **4.2. General drought mechanisms**

509 This study found that the differences in the drought evolution between the Andean chain and Western
510 plains are mainly related to the complex influence of ENSO. We have found that the sign of correlations
511 between the SPEI and ENSO in the two studied regions is the same no matter which ENSO index (i.e., El
512 Niño 3.4 and El Niño 1+2) is considered: negative in the Andean chain and positive in the Western
513 plains. Nevertheless, we have found highest correlation between the SPEI variability and the El Niño 3.4
514 Index in the Andes, whereas in the Western plains there are highest correlations with the El Niño 1+2
515 index. Different studies had observed that two types of El Niño (canonical, characterized by an eastern
516 displacement of the SST anomalies, and Modoki, characterized by a central Pacific SST anomalies) lead
517 to different impacts on climate variability from regional to global scale via the atmospheric
518 teleconnection (Cai and Cowan, 2009; Yoon et al., 2012; Yeh et al., 2014). Here we reported clear
519 differences in the sensitivity of droughts to SST anomalies in the central and eastern Pacific regions.
520 Thus, in the Andes, the occurrence of droughts is clearly linked to the central El Niño phases (identified
521 by means of El Niño 3.4 index), whereas in the Western plains the central El Niño phases do not cause
522 droughts but humid conditions. On the contrary, the ENSO phases identified with El Niño 1+2 index do
523 not cause SPEI anomalies in the Andes, but they are clearly related to very dry and very humid conditions
524 in the Western plains for the cold and warm phases, respectively. Therefore, this pattern can be seen in
525 areas separated only by 200 km of horizontal distance, but by more than 4000 m in the vertical.
526 Accordingly, the results show that very different ENSO flavors seems to drive drought variability in a
527 small country like Ecuador.

528

529 *4.2.1. Drought mechanisms in the Andes of Ecuador*

530 The effects of the central ENSO are propagated thousands of kilometers to the Andes region by the mid-
531 troposphere. In a study of the effect of ENSO on droughts worldwide, Vicente-Serrano et al. (2011)
532 showed that high (low) pressure SLP anomalies in the central Pacific region between September of the
533 previous year to April of El Niño (La Niña) year propagates to the mid-level troposphere between
534 November of the previous year to June of El Niño year (particularly stronger in February–March),
535 determining the occurrence of strong high (low) pressure anomalies at the 500 hPa level in most of the
536 intertropical area, including Ecuador. We showed that the warm SST anomalies in central Pacific promote
537 convection in this region (decreasing SLP and increasing the ascending vertical velocity), but the
538 propagation in the intertropical region reinforces anticyclonic conditions at mid-level of the troposphere.
539 The opposite pattern is found during La Niña phases, which are prone to cause humid conditions in the
540 Andes of Ecuador. Different studies had stressed the change in the Walker circulation associated with
541 ENSO as the main driver of drought variability in the northern Andean region (e.g.; Kousky et al., 1984;
542 Francou et al., 2004; Vuille, 1999; Vuille et al., 2000b; Poveda et al., 2006; Poveda et al., 2011). El Niño
543 events have been proven to reveal clear westerly wind anomalies in the central Pacific region, while La
544 Niña is generally associated with easterly wind anomalies in the lower troposphere and the reverse flow
545 in the higher troposphere (Wang, 2002). We found that this pattern is more persistent in some months of
546 the year (mainly during the boreal winter and summer), coinciding with the humid and dry seasons in
547 Ecuador, but if the pattern is sustained during some months of the year the drought conditions may
548 propagate throughout several months and drought time-scales.

549 During La Niña years there is an increase of convective processes over the entire Amazon basin, and an
550 enhancement of the easterly flow and associated Amazonian moisture transport during the wet season,
551 which is extended westward over the tropical and subtropical Andes (Kousky and Kayano, 1994; Vuille
552 1999; Francou et al., 2004), which would favor humid conditions in this region. In this case drought
553 would be suppressed by above normal precipitation, but also by greater cloud cover, which means lower

554 incoming radiation, and lower air temperatures in the central Andes, which would reduce the atmospheric
555 evaporative demand (AED).

556 We would like to stress that to explain the influence of all these physical mechanisms associated with
557 warm and cold SST conditions in the central Pacific region, the Andean relief plays a determining role
558 given a high elevation that interacts with circulation processes in the mid-troposphere region and reduces
559 the effect of eastern Pacific deep convection (Xu et al., 2004). Thus, the negative correlation between
560 drought severity and the SST anomalies in the central Pacific region would explain the strong sensitivity
561 of glaciers in the Andes of Ecuador to central Pacific El Niño and La Niña events (Francou et al., 2004;
562 Vuille et al., 2008;).

563

564 *4.2.2. Drought mechanisms in the Western plains*

565 The response of droughts in the Western plains of Ecuador to warm and cold SST anomalies in the
566 Pacific shows a very different pattern to that observed in the Andes. In this case, the effect of the eastern
567 Pacific SST anomalies is directly related to an enhancement (decrease) of the convective activity
568 corresponding to warm (cold) phases. Some studies have discussed the significant changes in
569 precipitation, cloud cover, and air temperature that occur during ENSO all along the Pacific coast and the
570 western slope of the Ecuadorian Andes (Rossel et al., 1998; Bendix, 2000; Vuille et al., 2000b; Bendix et
571 al., 2011). Here we showed that these changes are mainly driven by the enhanced (suppressed) tropical
572 convection as a response to warm (cold) SST in the eastern Pacific. This is clearly illustrated by the
573 strong vertical velocity (omega) air ascending anomalies in this region corresponding to warm SST in the
574 eastern Pacific, with associated thunderstorms, which are restricted to the Plains close to the Pacific
575 Ocean and the western slopes of the Andes. Therefore, warm SST anomalies in the eastern Pacific drive
576 an intensification of the meridional overturning tropical circulation (the regional Hadley circulation), with
577 more vigorous vertical ascent, favorable for the convective activity observed during the warm phases.
578 This pattern is accompanied by westerly wind anomalies that bring moisture from a warm ocean and
579 trigger strong floods in the region (Bendix et al. 2011). We found that these conditions are persistent for
580 different months of the year, even at short time-scales (significant SPEI anomalies are identified at 1-

month time-scales from October of the previous year to June of El Niño year), coinciding with the humid season, but the anomalies propagate further months after throughout longer SPEI time-scales.

Bendix et al. (2011) analyzed the response of precipitation variability to some ENSO events in a region of the southern Ecuador Plains, and stressed that SST conditions in the eastern Pacific can prevail even if the central Pacific exhibits the opposite phases (e.g., warm conditions in the East and cold conditions in the central Pacific as observed in 2008), demonstrating that central Pacific SST are becoming more unreliable indicators for drought and flood situation in the southwestern areas of Ecuador. Results reveal that this pattern can be generalized to the whole Western plains of Ecuador, in which cold SST in the eastern Pacific is highly prone to cause drought in this region as a consequence of dominant easterly winds and descending air in the area. We also indicated that droughts have been less frequent in the past two decades in this region, which is clearly linked to the low frequency of eastern La Niña episodes. Studies have shown that the regional Hadley circulation has indeed intensified in the past decades, with more vigorous ascents in the tropics between $\sim 10^{\circ}\text{S}$ and 10°N (Vuille et al., 2008). This would explain the increase of annual precipitation observed (Morán-Tejeda et al., 2015) and the higher magnitude and duration of humid periods observed with the SPEI series.

4.3. Non-symmetric patterns

In this work, results showed that droughts in Ecuador do not respond linearly to both El Niño and La Niña phases. Thus, the strong asymmetry has been found in the response to the warm and cold phases, both in the Andes and Western plains as a response to the central Pacific and eastern Pacific SST anomalies, respectively. This pattern is characteristic of the drought response to El Niño and La Niña phases at the global scale (Vicente-Serrano et al., 2011). In the Andes, we found that the response to the central Pacific La Niña phases (prone to moist conditions) is recorded earlier and it is stronger and more persistent than the response to El Niño phases (prone to dry conditions). The pattern is the opposite in the Western plains, with a stronger response to the eastern Pacific El Niño (humid conditions) than La Niña events (dry conditions). In both regions the response is higher corresponding to the episodes prone to cause high precipitation. Different studies found that the asymmetric component is indeed a fundamental

608 property of atmospheric responses to recent ENSO forcing (e.g., Frauen et al., 2014; Zhang et al., 2014;
609 Chen et al., 2015). This is explained by the strong asymmetric circulation mechanisms observed during El
610 Niño and La Niña phases in both central and eastern ENSO configurations.

611 The central Pacific La Niña phases show more persistent mid- and upper-troposphere geopotential
612 anomalies than El Niño phases in the Eastern Pacific region. This would favor that La Niña events are
613 more prone to cause humid conditions in the Andes than El Niño cause dry conditions. The opposite is
614 found for the eastern Pacific cold and warm phases. The eastern El Niño phases show very strong SLP
615 (negative) and geopotential at high levels (positive) anomalies much more pronounced than the
616 counterpart anomalies observed during La Niña phases. In addition, convection enhancement
617 (suppression) during warm (cold) phases shows strong nonlinear patterns in the eastern Pacific since
618 vertical ascending air velocity is very strong during El Niño phases, but descending vertical air during
619 cold phases is not characterized by strong anomalies. This agrees with recent results by Frauen et al.
620 (2014), which showed that the ENSO events in the East Pacific show stronger nonlinearities than Central
621 Pacific events.

622 The physical mechanisms that cause non-linear pattern in both regions are not well understood. Hoerling
623 et al. (1997) indicated that the interpretation of this behavior is complicated, but they noted that
624 composite warm event SST anomalies are not the exact inverse of their cold event counterparts. Meinen
625 and McPhaden (2000) showed that the volume of warm water in the equatorial Pacific Ocean is related to
626 the magnitude of the ENSO anomalies since for a given change in equatorial warm water volume, the
627 corresponding warm El Niño SST anomalies are larger than the corresponding cold La Niña anomalies.
628 The asymmetry of the spatial propagation between El Niño and La Niña events could explain this
629 behavior, since specifically, El Niño anomalies tend to propagate eastward and La Niña anomalies
630 westward (McPhaden and Zhang, 2009).

631 The important role of differences in the spatial pattern during El Niño and La Niña phases has been also
632 stressed by Dommenges et al. (2013) who showed that central Pacific events tend to be weak El Niño or
633 strong La Niña events. In turn, east Pacific events tend to be strong El Niño or weak La Niña events.
634 These authors also showed that the zonal wind response to SST anomalies during strong El Niño events is

635 stronger and shifted to the east relative to strong La Niña events, supporting the eastward shifted El Niño
636 pattern and the asymmetric time evolution. This would agree with the different ENSO zones that trigger
637 high precipitation conditions in the Andes and the Western plains of Ecuador.

639 **5. Concluding remarks**

640 Here we have analyzed drought variability in Ecuador and identified a complex ENSO influence on the
641 occurrence of drought episodes in the region. The main conclusions of this study are:

- 642 • Two patterns of drought evolution have been found in Ecuador, corresponding to the Andes and
643 the Western plains. Drought has showed a trend toward less severe and frequent in the Western
644 plains, but no changes in drought severity are observed in the Andes.
- 645 • Sea Surface Temperature (SST) anomalies in the central and eastern Pacific regions have very
646 different influence in the Andes and Western plains. El Niño 3.4 index, characteristic of the
647 central Pacific region, is related to drought variability in the Andes. El Niño 1+2 index, which
648 informs of SST anomalies in the eastern Pacific, is controlling drought variability in the Western
649 plains.
- 650 • El Niño phases in the central Pacific region are propagated throughout the mid-troposphere,
651 causing upper level high pressures and drought conditions in the Andes region, which are
652 sustained during different months of the year and propagated throughout long drought time-scales.
- 653 • La Niña phases in the eastern Pacific causes droughts in the Western plains throughout the
654 suppression of westerly flows and convective processes.
- 655 • There is a strong nonlinear response of the Andes and Western plains to warm and cold phases in
656 the central and eastern Pacific, respectively. The ENSO phases that produce humid conditions in
657 both regions cause stronger anomalies in the drought index than the counterpart phase.

658 We would like to stress that other atmospheric circulation mechanisms, in addition to ENSO, may
659 contribute to the development of droughts in Ecuador, e.g. the Pacific Decadal Oscillation (Poveda et al.,
660 2002) or other regional and local atmospheric processes (Poveda et al., 2006; Bendix et al., 2011). Here,
661 we focus on the complex impact of the ENSO in the entire country, and showed the strong importance of

662 this coupled ocean atmospheric processes to explain drought variability in the region. We have stressed
663 the need of considering different indices linked to different SST spatial configurations in the Pacific
664 region to predict and monitor droughts in the entire country. For this reason, current ENSO projections
665 that focus on the severity of El Niño and La Niña events, but also on the spatial configurations of the
666 ENSO phases are strongly relevant. Thus, recently Cai et al. (2014, 2015) have stressed possible
667 reinforcement of both eastern and central ENSO warm and cold phases in the future, which could favor
668 the frequency and severity of climate extremes in the different regions of Ecuador.

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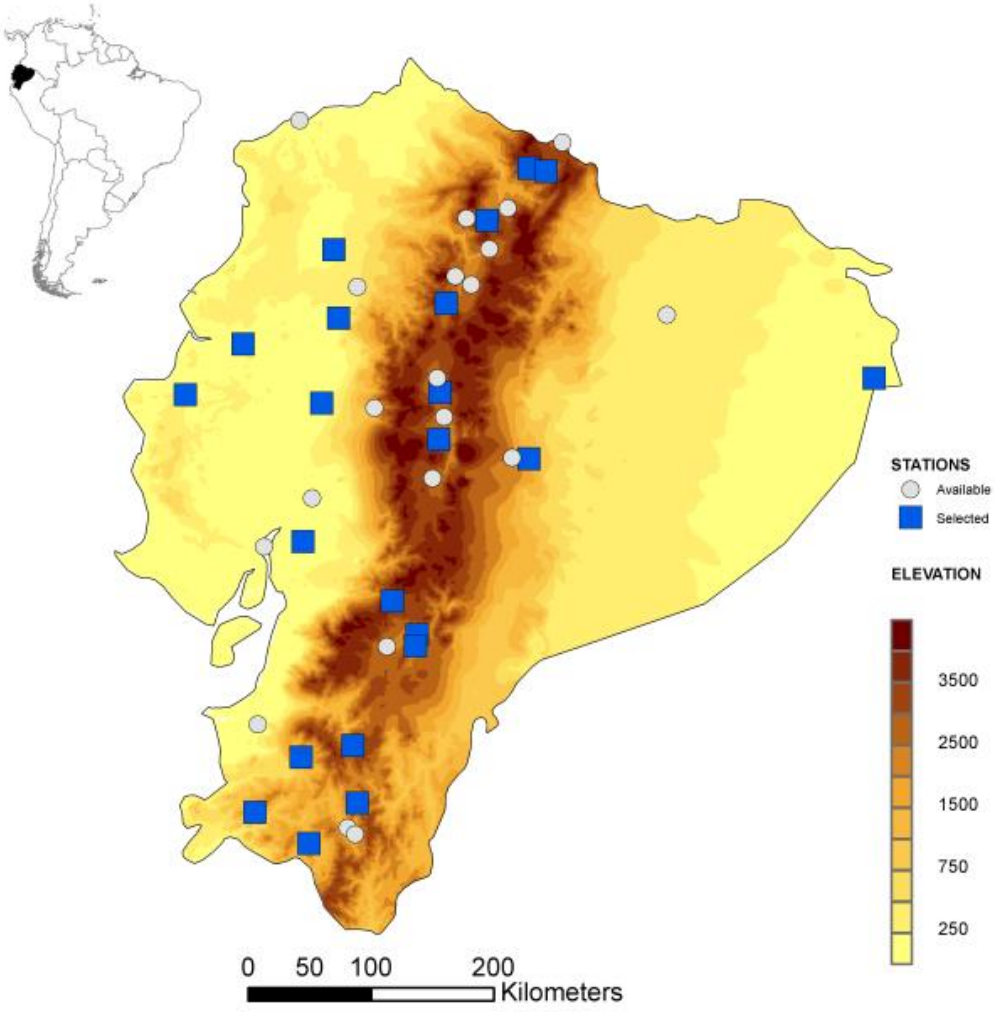
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Table 1. List of the 22 meteorological stations, their names, coordinates and elevation (in meters). The percentage of data gaps in the original series is also included and the series that contained temporal inhomogeneities are marked (*)

Code	Name	Latitude	Longitude	Elevation (m)	% gaps precip.	% gaps tmax.	% gaps tmin.
M0003	IZOBAMBA	-0.366	-78.55	3058	1.1	1.4	1.4
M0004	RUMIPAMBA-SALCEDO	-1.02	-78.594	2685	19.7	19.9	20.1
M0005	PORTOVIEJO-UTM	-1.0375	-80.459	46	1.4	1.1	1.9
M0006	PICHILINGUE	-1.1	-79.461	120	0.7	0.5	0.9
M0007	NUEVO ROCAFUERTE	-0.916	-75.416	265	18.8	18.3	18.7
M0008	PUYO	-1.507	-77.943	960	6.2	6.5	6.5
M0025	LA CONCORDIA	0.026	-79.371	379	1.2	1.2*	1.4*
M0026	PUERTO ILA	-0.476	-79.338	319	1.8	1.9	2.5
M0031	CAÑAR	-2.551	-78.945	3083	1.9	2.5*	1.8*
M0033	LA ARGELIA-LOJA	-4.036	-79.201	2160	3.5	3.2	3.5
M0037	MILAGRO	-2.115	-79.599	13	0.9	1.1*	1.1*
M0102	EL ANGEL	0.626	-77.943	3000	5.5	7.2*	9.5*
M0103	SAN GABRIEL	0.604	-77.819	2860	4.0	3.3*	6.5*
M0105	OTAVALO	0.243	-78.25	2550	2.1	2.1*	2.5*
M0138	PAUTE	-2.8	-78.762	2194	5.3	5.5*	7.6*
M0139	GUALACEO	-2.881	-78.776	2230	10.0*	24.8*	23.4*
M0142	SARAGURO	-3.611	-79.233	2525	2.6*	4.6*	5.1*
M0146	CARIAMANGA	-4.333	-79.554	1950	4.0*	3.3*	7.9*
M0148	CELICA	-4.104	-79.951	1904	11.6*	12.3*	13.9*
M0162	CHONE-U.CATOLICA	-0.664	-80.036	36	8.6	7.6	9.3
M0180	ZARUMA	-3.698	-79.611	1100	7.7*	11.3*	27.1*
M0258	QUEROCHACA(UTA)	-1.367	-78.605	2865	21.1	40.7	40.1

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Figure 1: Study area and location of the meteorological stations used in this study. Colour legend represents changes in the elevation (in meters) of Ecuador. Blue squared: selected stations. Gray circles: non-selected stations.

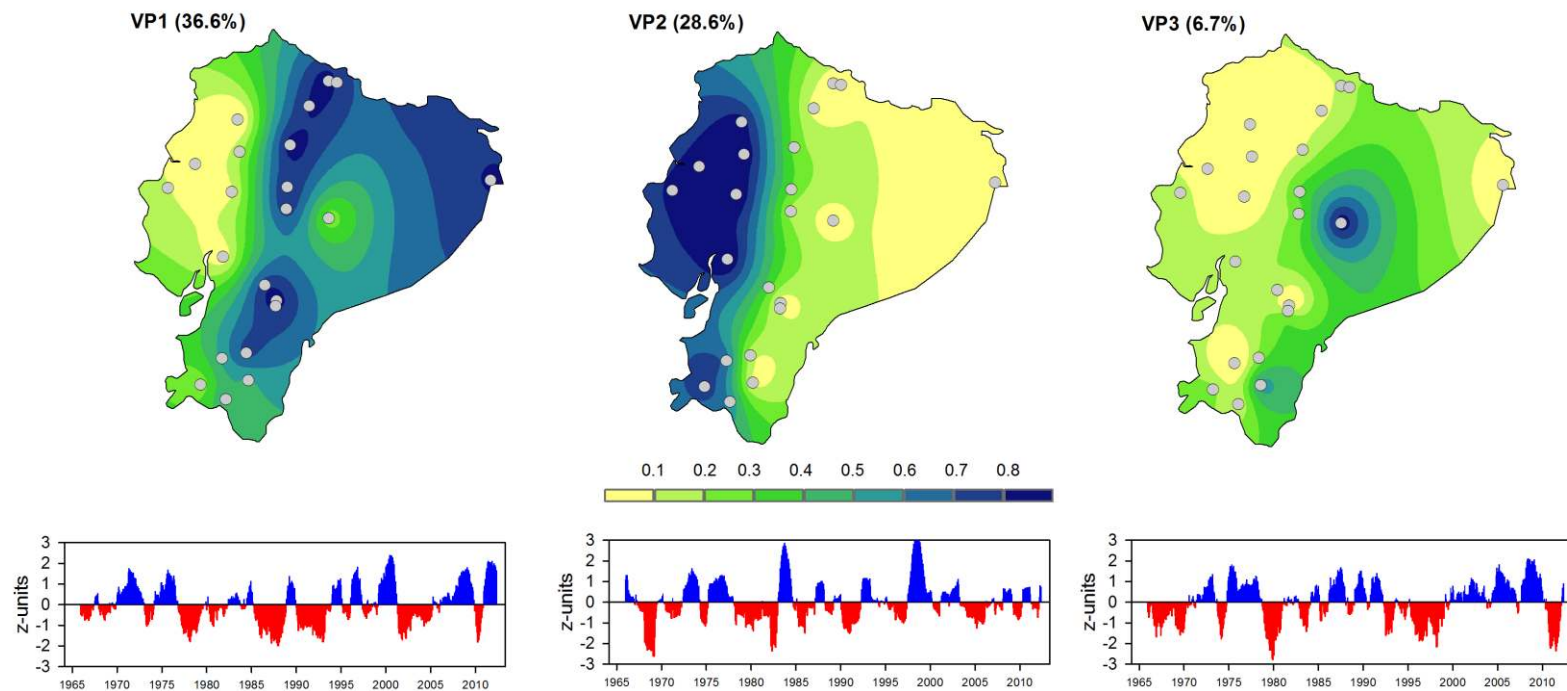


Figure 2: Top maps are the spatial distribution of the loadings from the obtained Varimax Patterns and bottom plots correspond to the time series of the scores, which represent the general evolution of the 12-month SPEI in each one of the three regions.

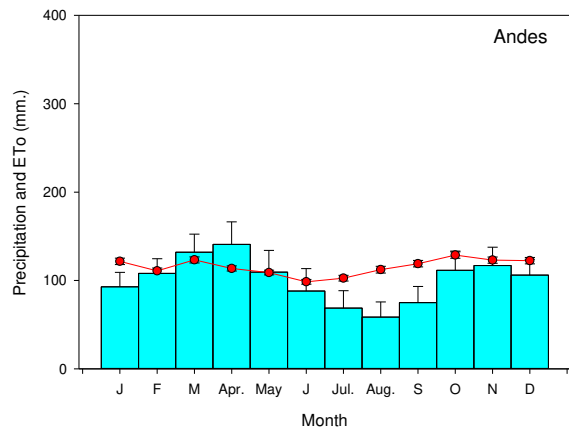
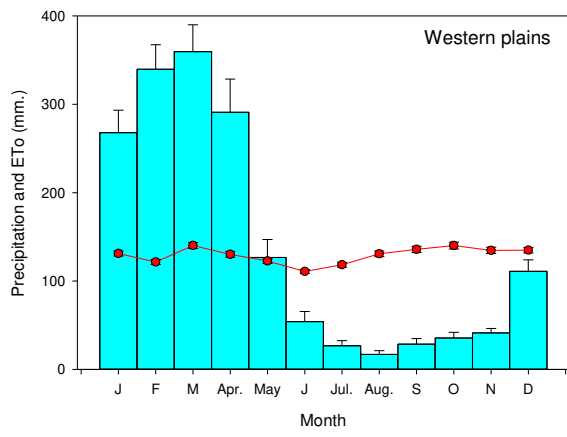


Figure 3. Average monthly precipitation (blue bars) and ETo (red line) in the Andes and the Western Plains. Vertical bars represent the standard error of the average.

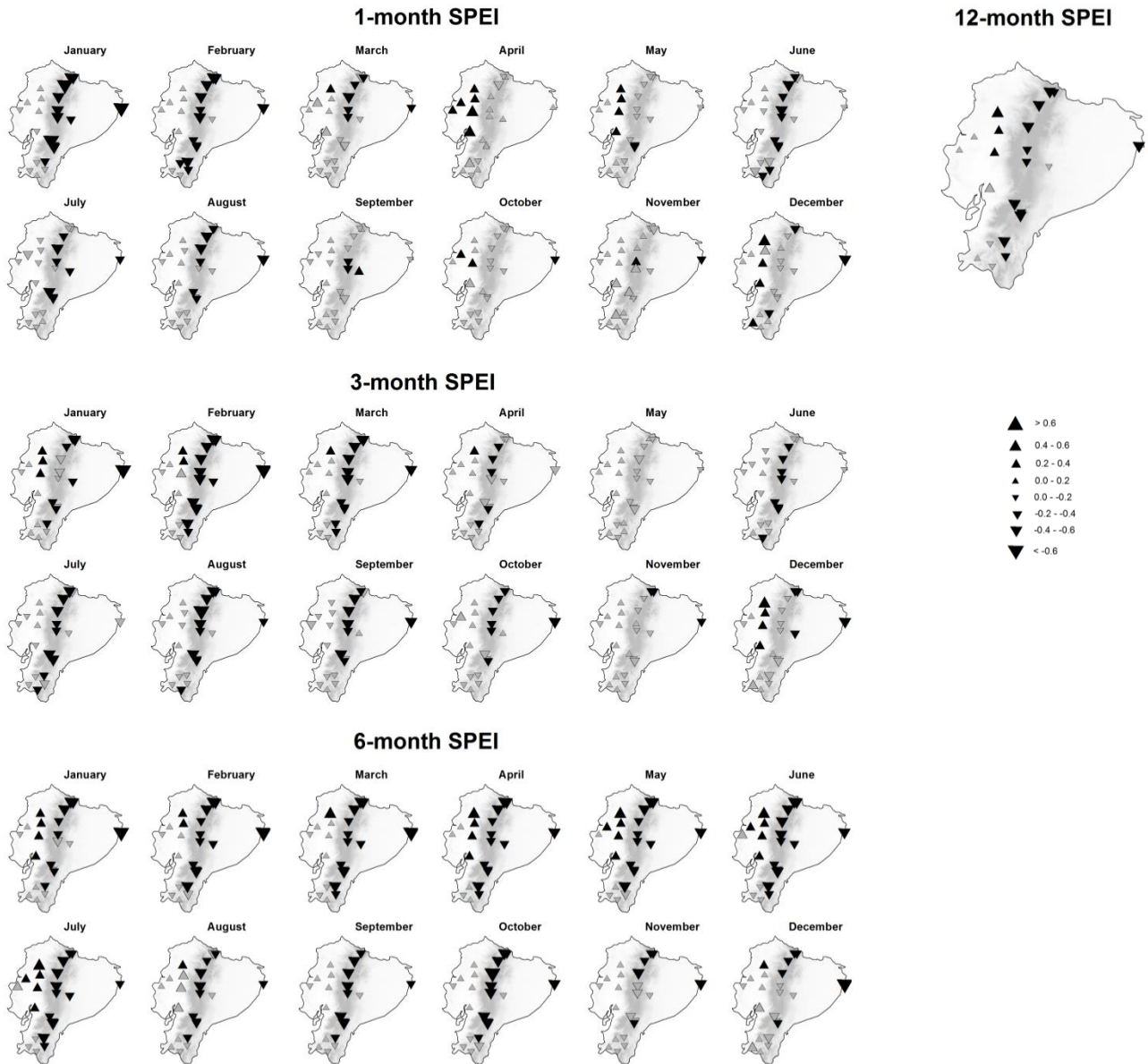


Figure 4: Spatial distribution of monthly correlations between the 1-month SPEI and 1-month NINO3.4 index, between 3-month SPEI and 3-month NINO3.4 index, between the 6-month SPEI and 6-month NINO3.4 index and between 12-month SPEI and 12-month NINO3.4 index. Significant correlations are in black.

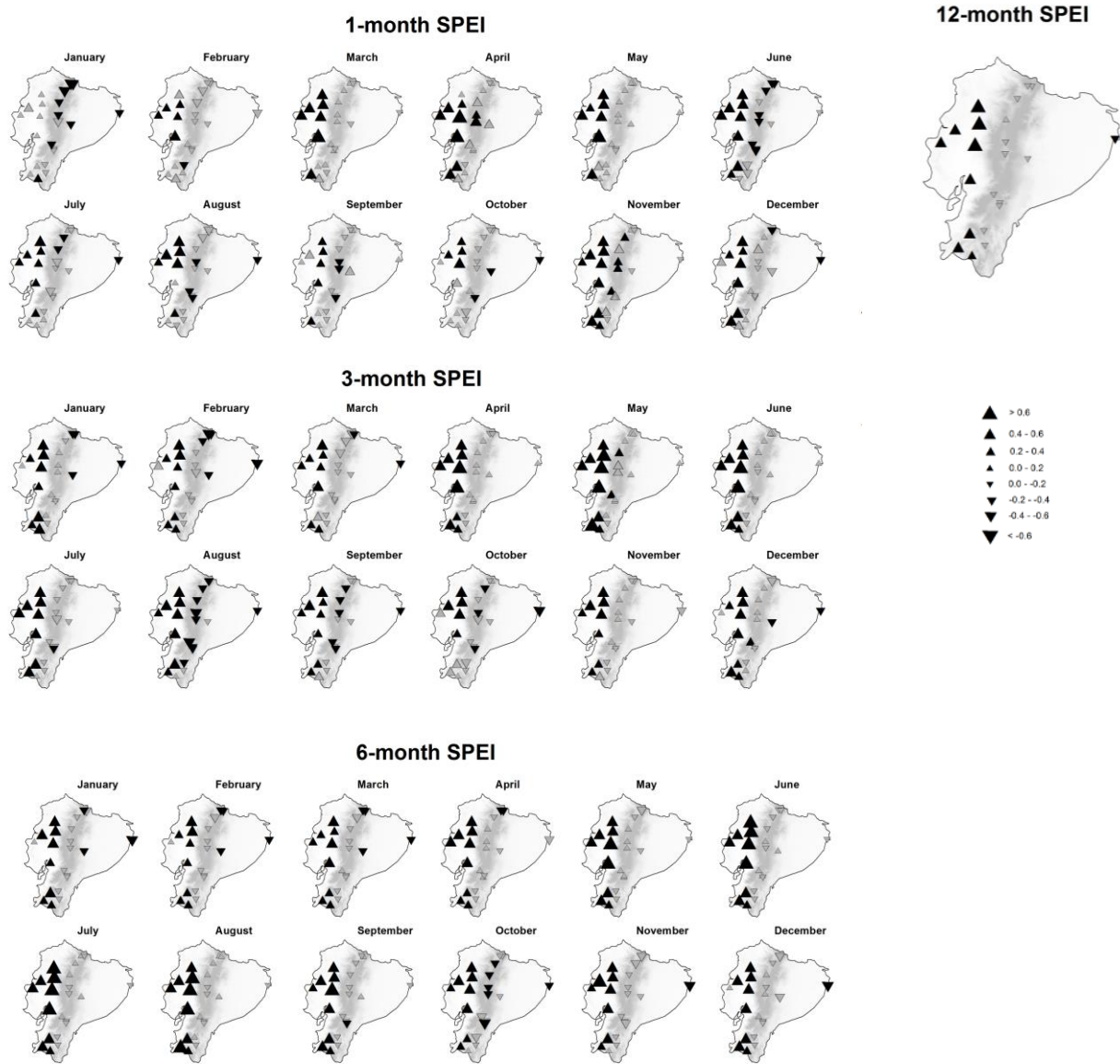
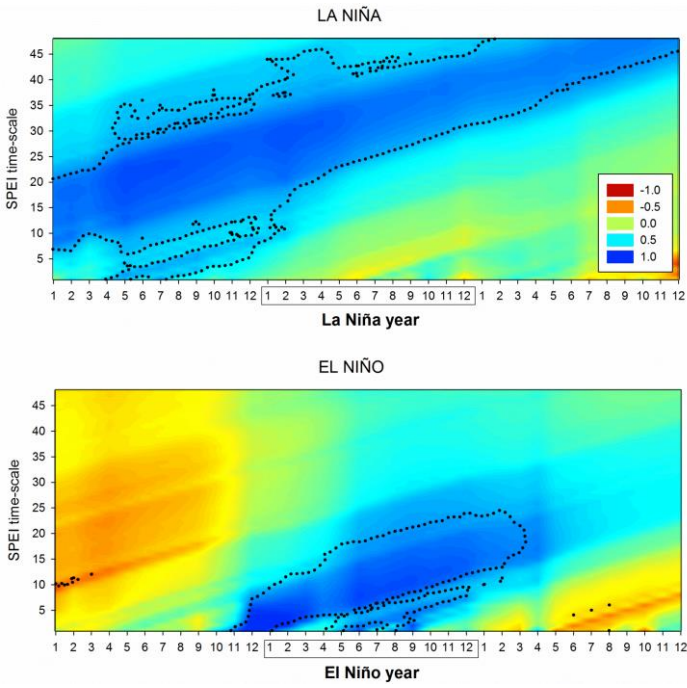


Figure 5: Same as Figure 5, but for the NINO 1+2 index.

Western plains



Andean chain

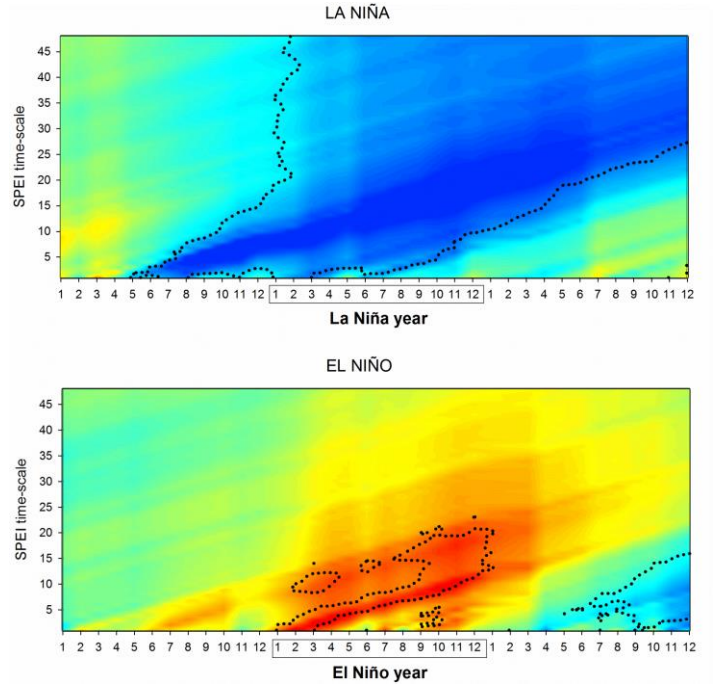
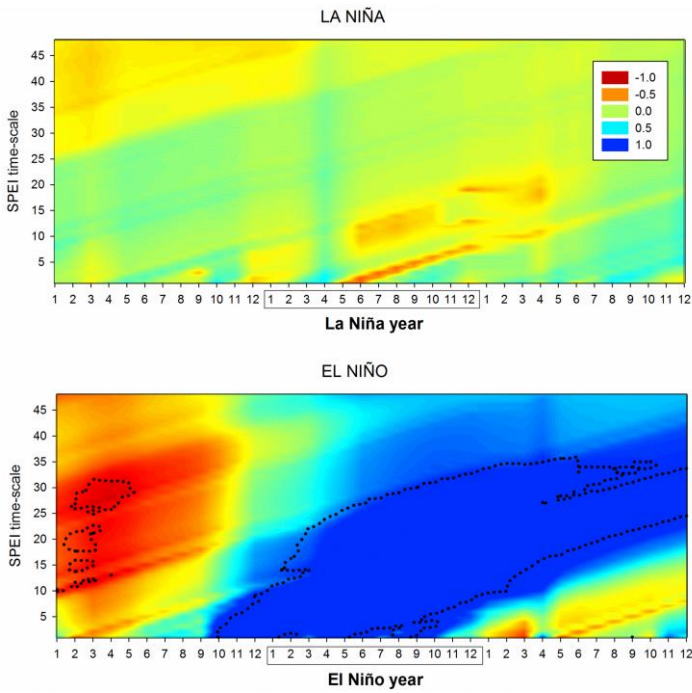


Figure 6: Average 1- to 48-month SPEI anomalies corresponding to El Niño and La Niña phases from El Niño 3.4 index. Dotted lines frame significant differences in the average SPEI anomalies between El Niño or La Niña years and the rest of the years following the Wilcoxon-Mann-Whitney test.

Western plains



Andean chain

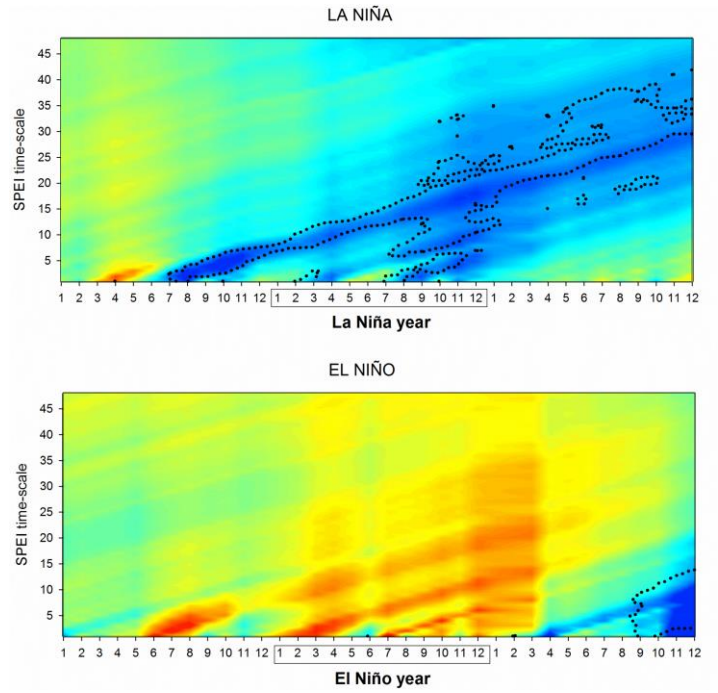


Figure 7: Average 1- to 48-month SPEI anomalies corresponding to El Niño and La Niña phases from El Niño 1+2 index. Dotted lines frame significant differences in the average SPEI anomalies between El Niño or La Niña years and the rest of the years following the Wilcoxon-Mann-Whitney test.

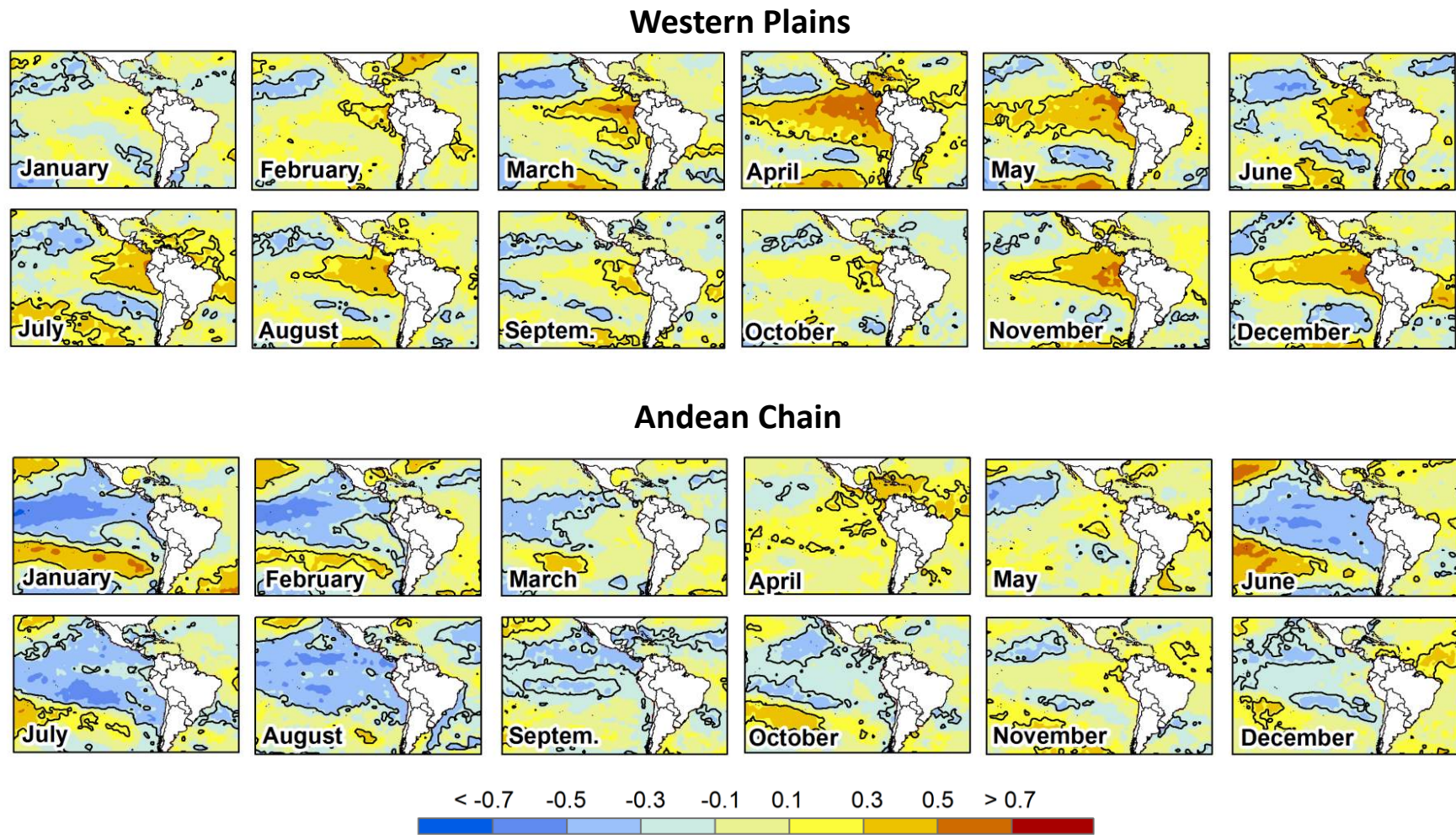
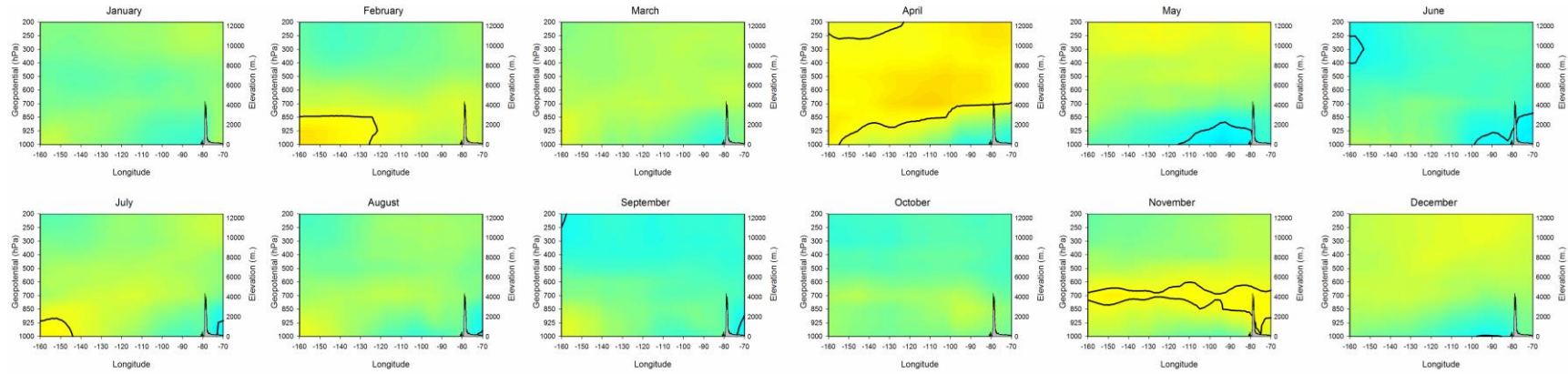


Figure 8. Monthly correlation between 1-month SPEI corresponding to the evolution of the Andean Chain (VP1) and the Western Plains (VP2) and the Sea Surface Temperature. Black lines isolate regions with significant correlations.

Western Plains



Andean Chain

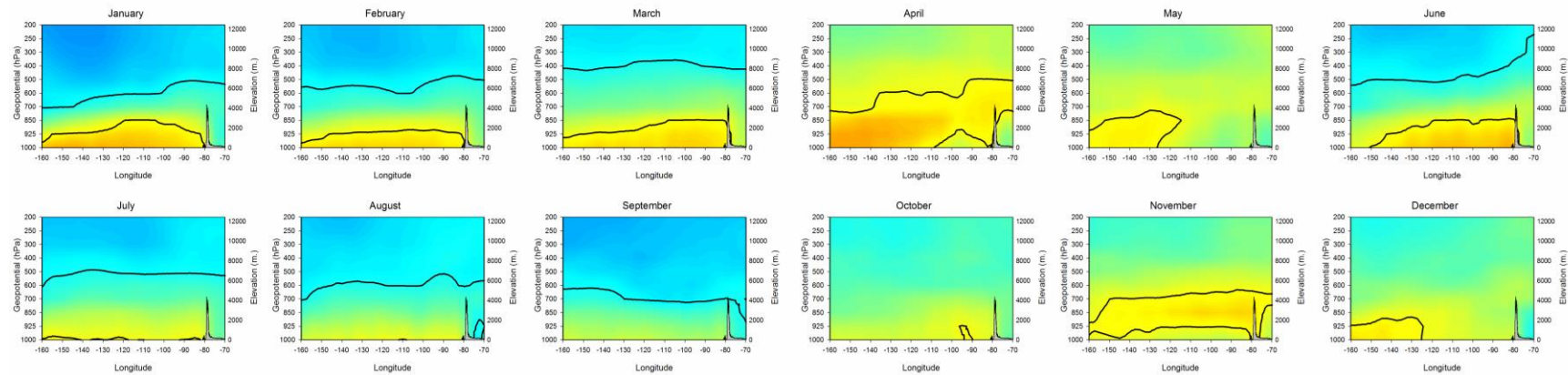
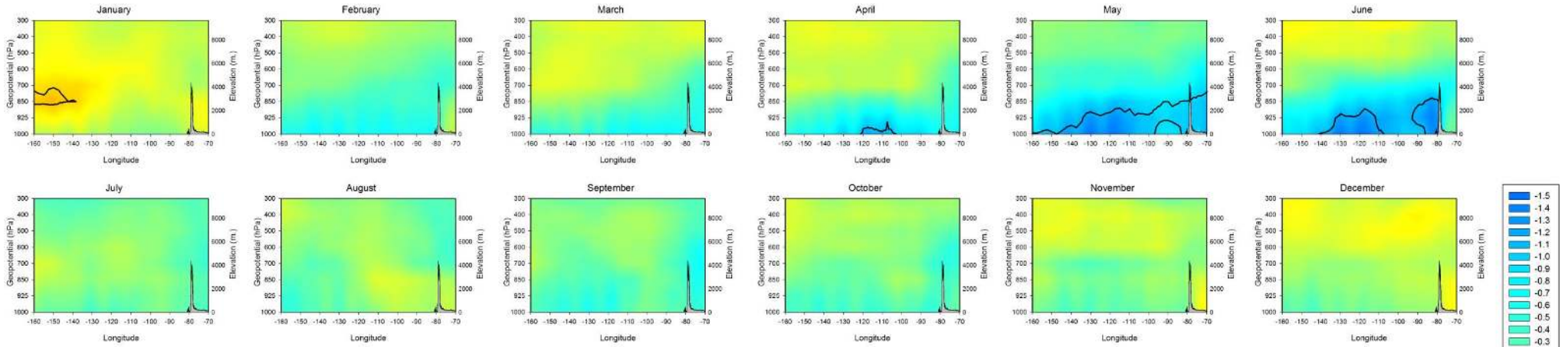


Figure 9. Monthly correlation between 1-month SPEI corresponding to the evolution of Varimax Pattern 1 (Andean Chain) and Varimax Pattern 2 (Western Plains) and Geopotential at different heights in a profile between -160°E and -70°E at -1°S . Black lines isolate regions and levels with significant correlations. The topography of the Andean chain is represented for facilitating the interpretation.

NEGATIVE



POSITIVE

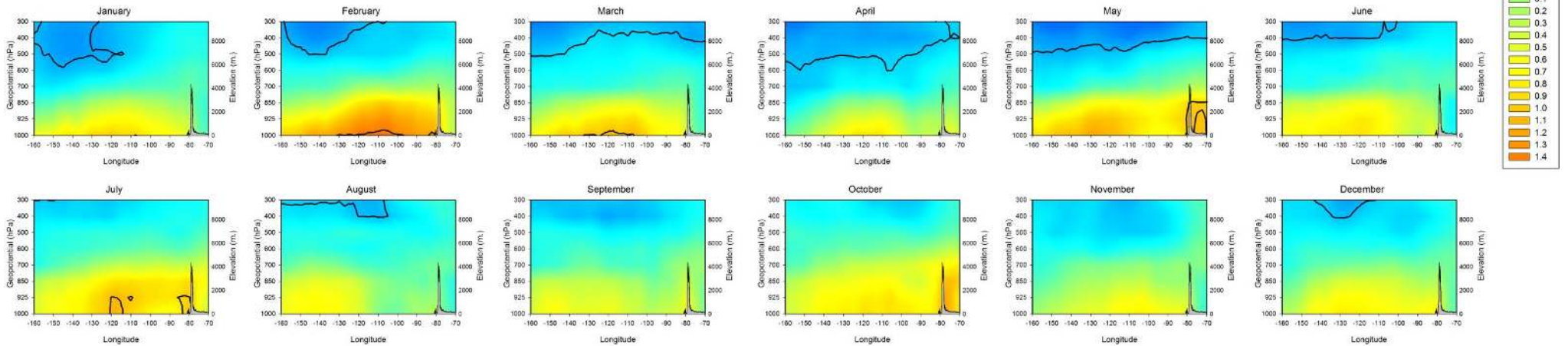
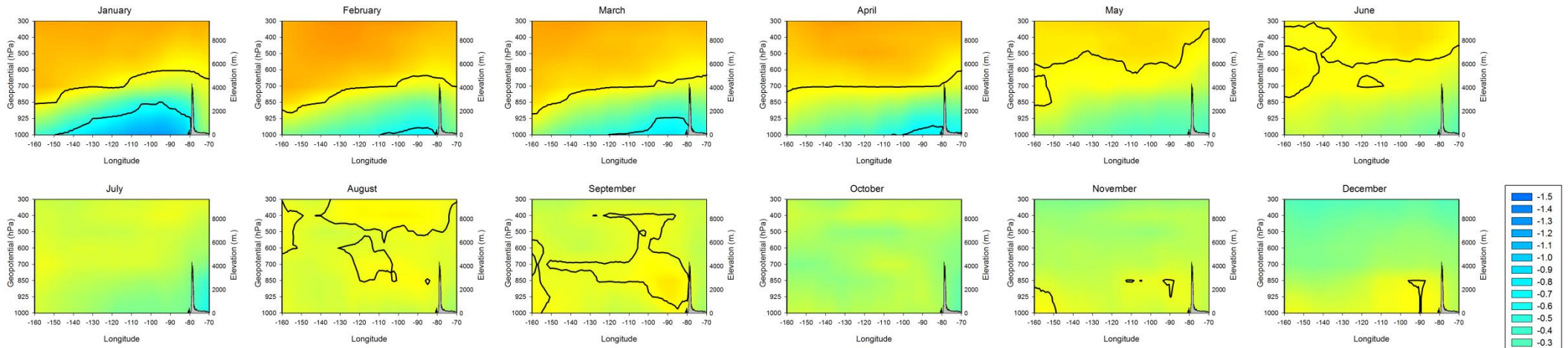


Figure 10. Monthly geopotential height anomalies corresponding to the three most humid (1974, 1999, 2008) and dry years (1985, 1987, 1992) in the Andean region (Varimax Pattern 1) in a profile between -160°E and -70°E at -1°S . Black line isolates heights and regions in which the geopotential anomalies are significantly different to the rest of the years.

EL NIÑO



LA NIÑA

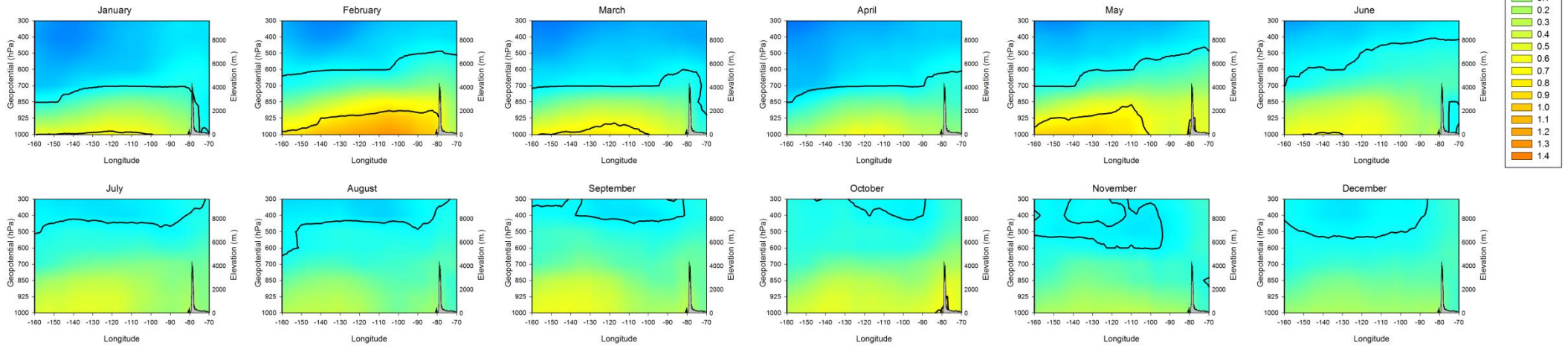
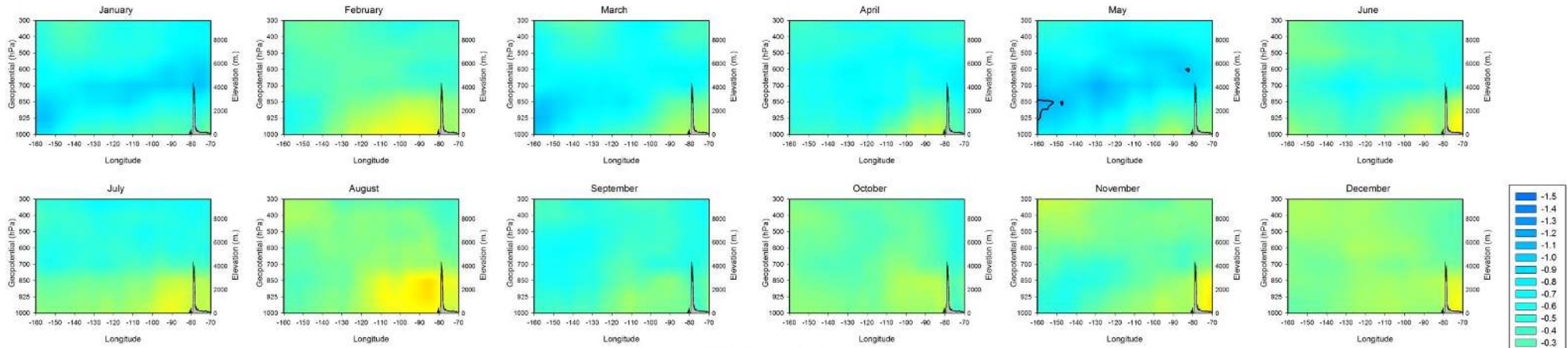


Figure 11. Monthly geopotential height anomalies corresponding to El Niño and La Niña phases from El Niño 3.4 Index in a profile between -160°E and -70°E at -1°S . Black line isolates heights and regions in which the geopotential anomalies are significantly different to the rest of the years.

NEGATIVE



POSITIVE

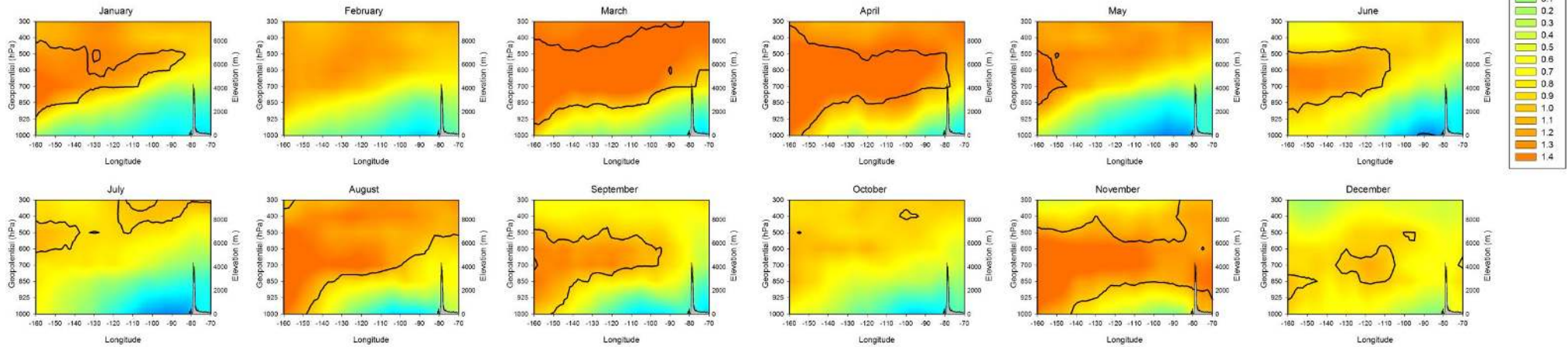
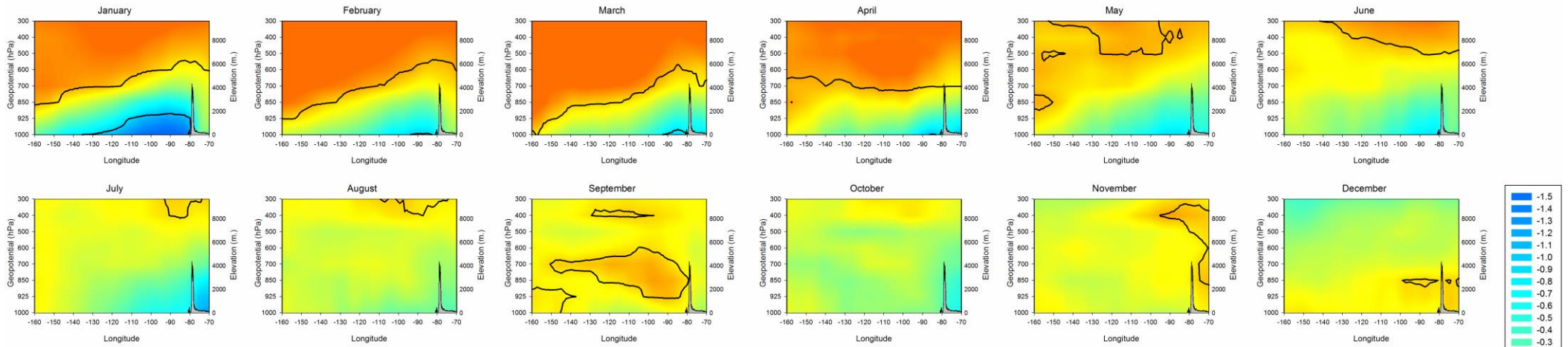


Figure 12. Monthly geopotential height anomalies corresponding to the three most humid (1983, 1997, 1998) and dry (1968, 1985, 1990) years in western plain region (Varimax Pattern 2) in a profile between -160°E and -70°E at -1°S . Black line isolates heights and regions in which the geopotential anomalies are significantly different to the rest of the years.

EL NIÑO



LA NIÑA

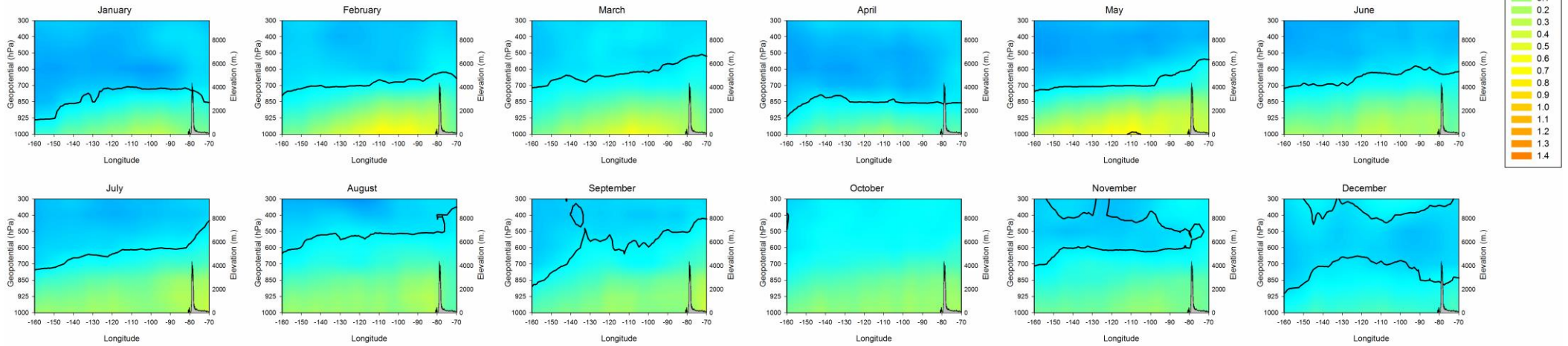
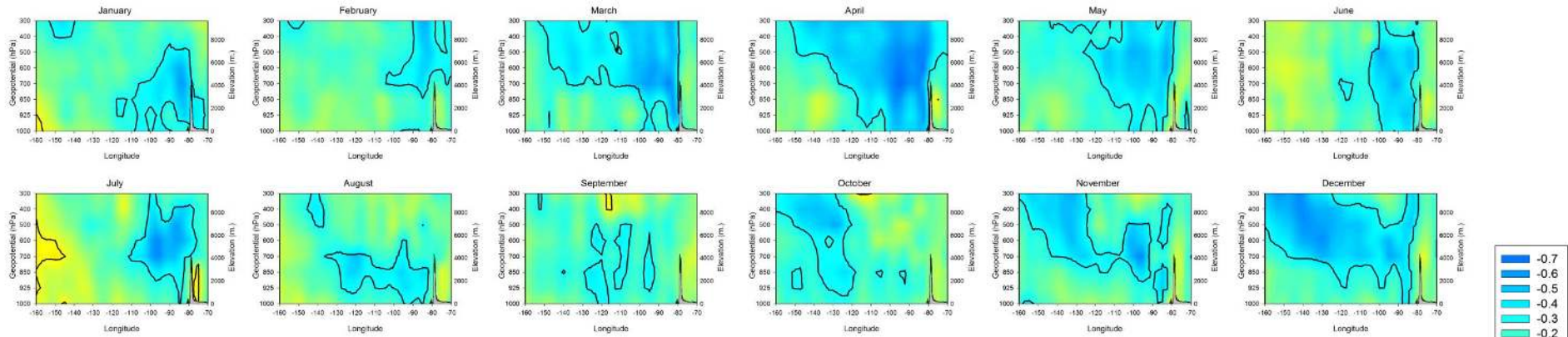


Figure 13. Monthly geopotential height anomalies corresponding to El Niño and La Niña phases from El Niño 1+2 Index in a profile between -160°E and -70°E at -1°S . Black line isolates heights and regions in which the geopotential anomalies are significantly different to the rest of the years.

Western Plains



Andean Chain

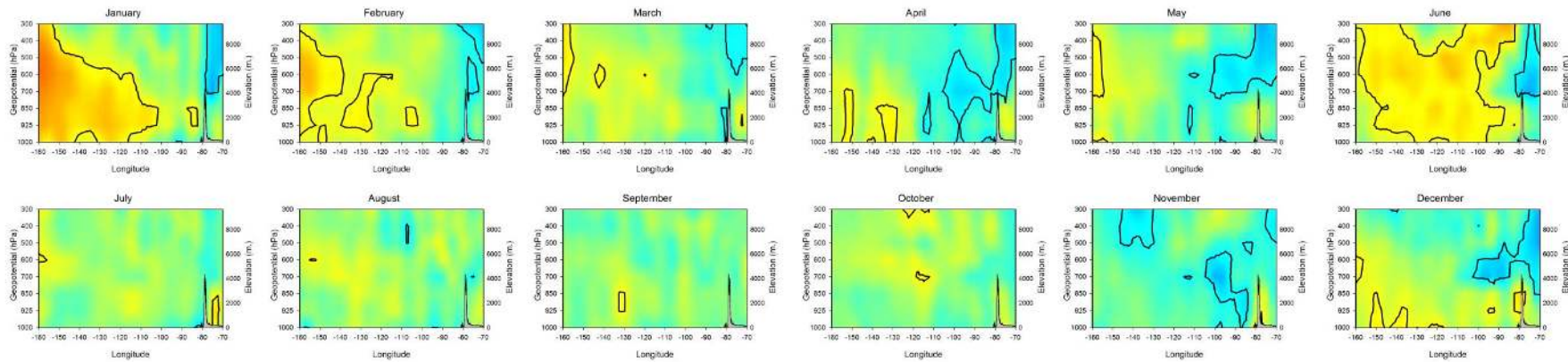
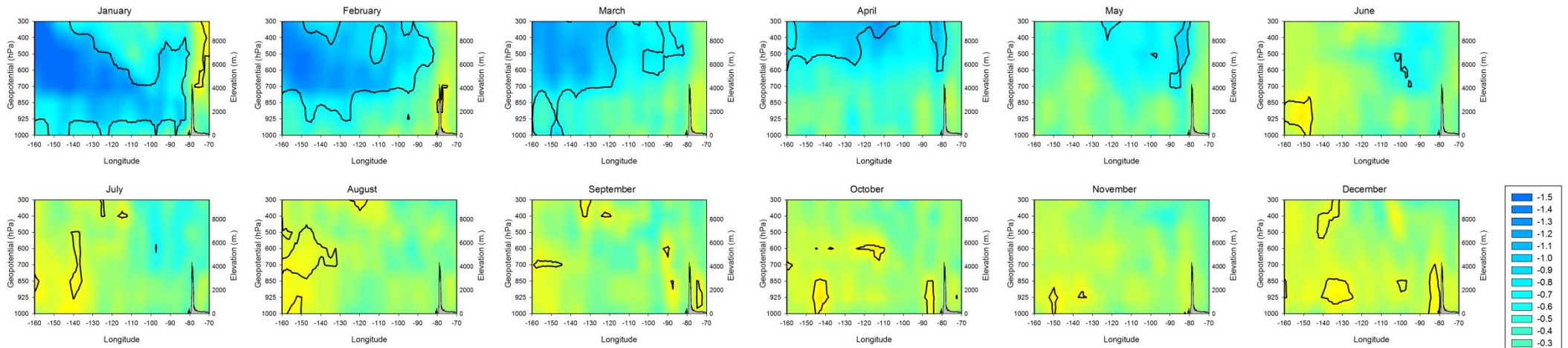


Figure 14. Monthly correlation between 1-month SPEI corresponding to the evolution of Varimax Pattern 1 (Andean Chain) and Varimax Pattern 2 (Western Plains) and vertical velocity (ω) at different heights in a profile between -160°E and -70°E at -1°S . Black lines isolate regions and levels with significant correlations. The topography of the Andean chain is represented for facilitating the interpretation.

EL NIÑO



LA NIÑA

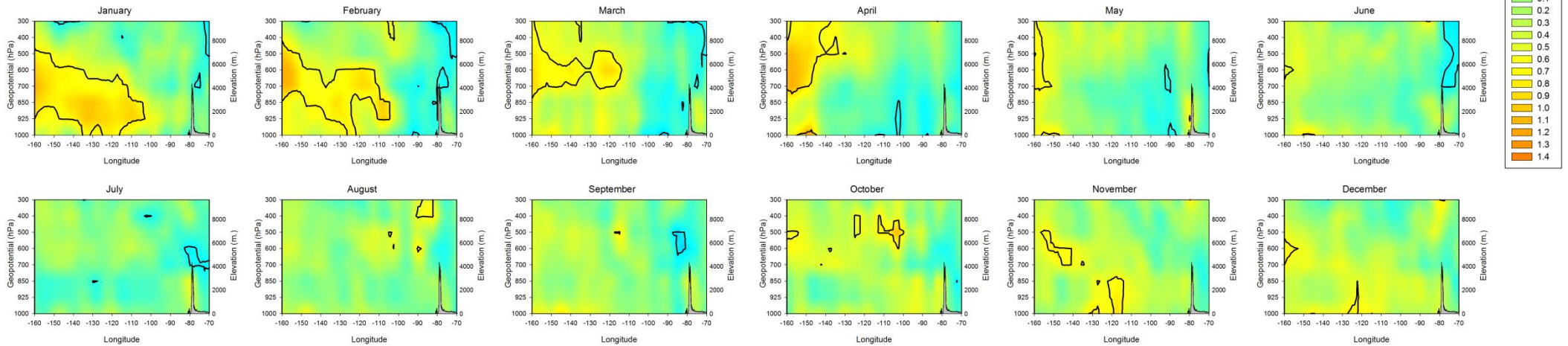
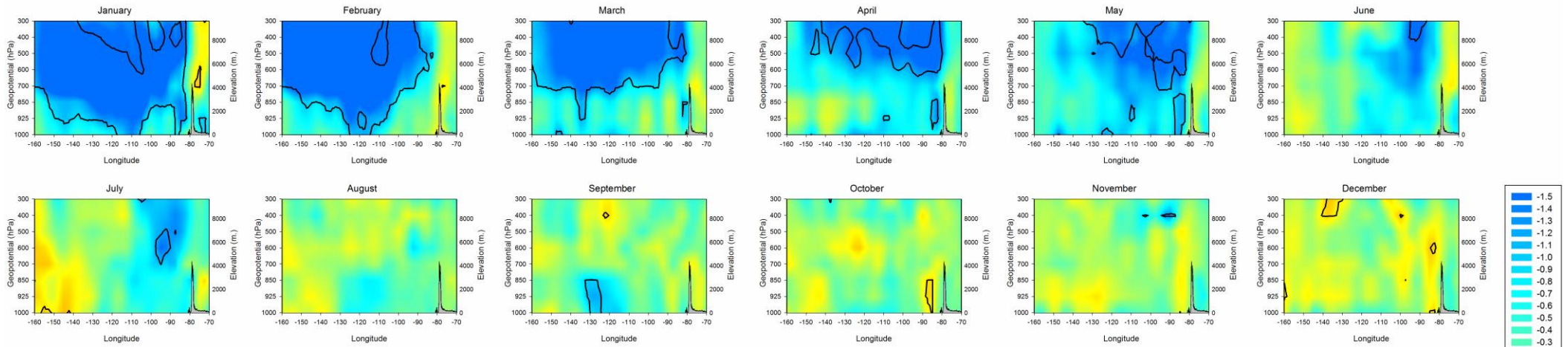


Figure 15. Monthly vertical velocity anomalies (ω) anomalies corresponding to El Niño and La Niña phases from El Niño 3.4 Index in a profile between -160°E and -70°E at -1°S . Black line isolates heights and regions in which the geopotential anomalies are significantly different to the rest of the years.

EL NIÑO



LA NIÑA

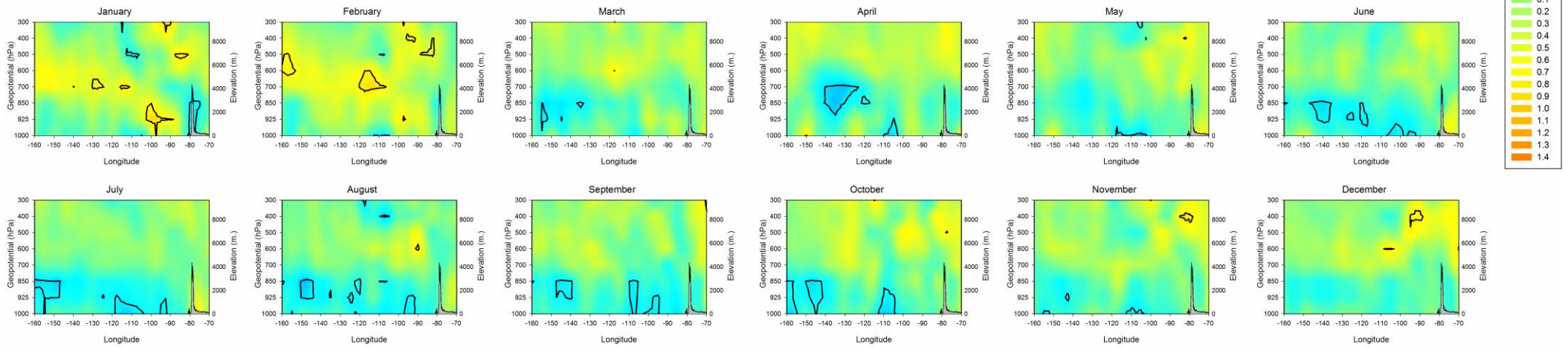
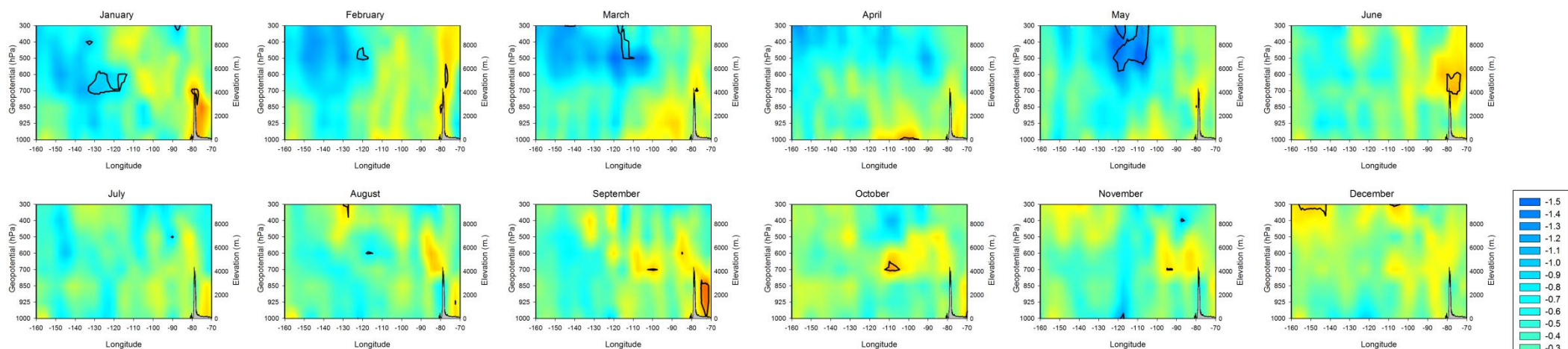


Figure 16. Monthly vertical velocity anomalies (ω) anomalies corresponding to El Niño and La Niña phases from El Niño 1+2 Index in a profile between -160°E and -70°E at -1°S . Black line isolates heights and regions in which the geopotential anomalies are significantly different to the rest of the years.

NEGATIVE



POSITIVE

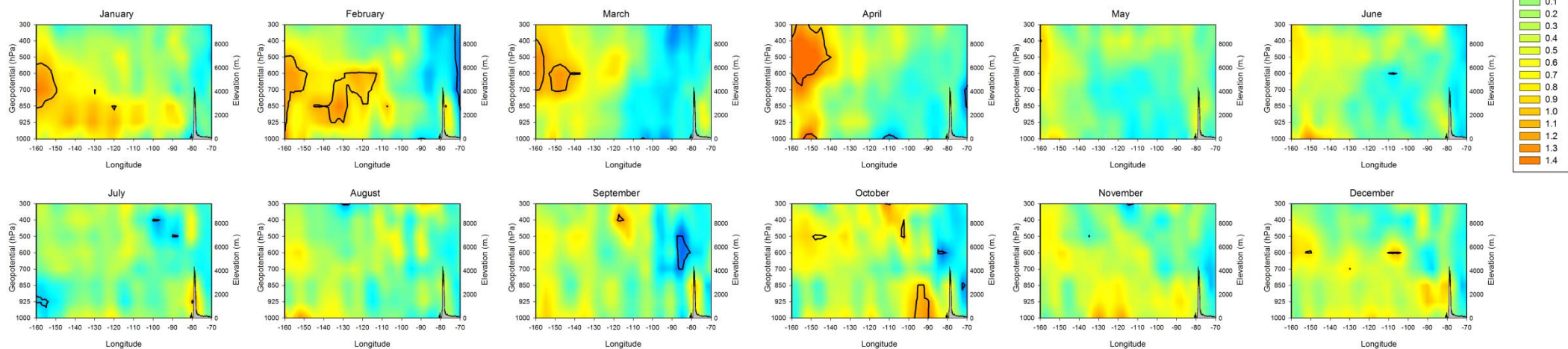
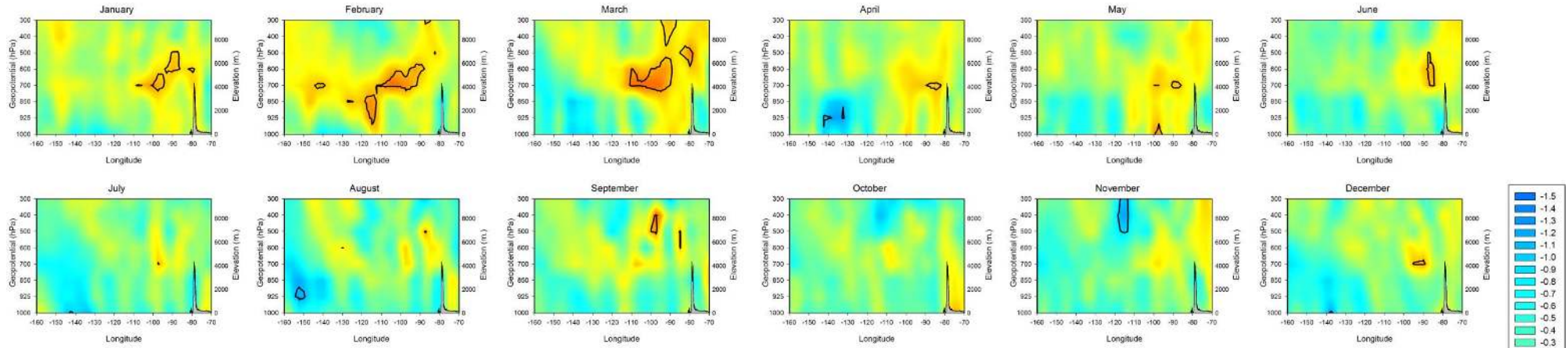


Figure 17. Monthly vertical velocity anomalies (ω) corresponding to the three most humid (1974, 1999, 2008) and dry (1985, 1987, 1992) years in the Andean region (Varimax Pattern 1) in a profile between -160°E and -70°E at -1°S . Black line isolates heights and regions in which ω anomalies are significantly different to the rest of the years.

NEGATIVE



POSITIVE

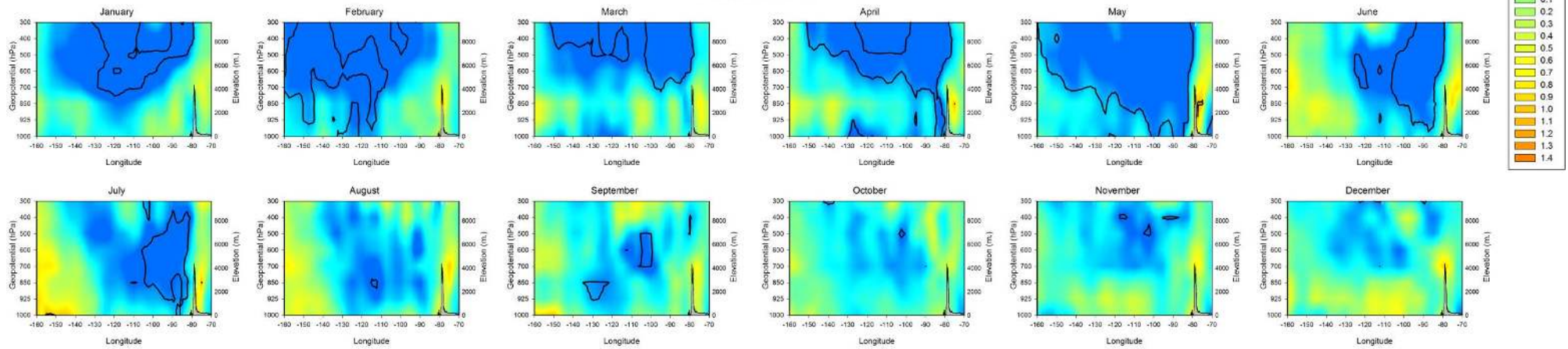


Figure 18. Monthly vertical velocity anomalies (ω) corresponding to the three most humid (1983, 1997, 1998) and dry (1968, 1985, 1990) years in the Western plains region (Varimax Pattern 2) in a profile between -160°E and -70°E at -1°S . Black line isolates heights and regions in which ω anomalies are significantly different to the rest of the years.