# The Dark Matter Time Projection Chamber 4Shooter directional dark matter detector: calibration in a surface laboratory

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# Abstract

The 4Shooter is a prototype dark matter detector built by the Dark Matter Time Projection Chamber (DMTPC) collaboration. The aim of the collaboration is to observe dark matter with directional sensitivity by measuring the recoil directions of nuclei struck by dark matter particles. The 4Shooter is a single time projection chamber containing CF<sub>4</sub> gas, with both optical

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(CCD and photomultiplier tube) and charge readout. This paper describes the 4Shooter and presents results from the commissioning of the detector in a surface laboratory.

Keywords: Dark matter, WIMP, direct detection, directional detection, DMTPC, CCD, TPC, dark matter wind

# 1. Introduction

By now, astrophysical observations provide compelling evidence that over

3 80% of the matter content of the universe is non-baryonic [1, 2]. Although

4 astrophysical observations constrain the gross anatomy of dark matter, di-

Frect detection experiments have not yet produced a definitive detection of

6 dark matter. There are many viable theoretical dark matter candidates [3].

A popular and well-motivated dark matter candidate is the Weakly Inter-

acting Massive Particle (WIMP), and a global effort is underway to detect

and characterize the particle properties of WIMPs. This paper presents re-

sults from the calibration of the 4Shooter detector, a prototype directional

dark matter detector built by the Dark Matter Time Projection Chamber

(DMTPC) collaboration.

The field of direct WIMP detection aims to identify the interaction of a dark matter particle with a baryonic target in a detector by measuring WIMP-induced nuclear recoils [4, 5]. Most of these detectors measure the recoil energy through one or more of ionization, scintillation or thermal energy deposition. A common observable for these detectors is the nuclear recoil energy spectrum (or integrated spectrum in the case of threshold detectors),

9 and the nuclear recoil rate versus time. A challenge in direct detection is

that the predicted recoil energy spectrum is a featureless falling exponential,
which is degenerate with the neutron background-induced energy spectrum.
Furthermore, the other main signature, the annual modulation in the event
rate, is a few percent effect at realizable thresholds and may be similar to
backgrounds that modulate annually [6, 7].

The current status of direct WIMP detection is challenging to interpret. At low WIMP mass ( $\sim 10~{\rm GeV/c^2}$ ), the DAMA/LIBRA and Co-GeNT experiments report excesses of events that they attribute to dark matter [8, 9]. Additionally, the three nuclear recoil candidates found by the CDMS silicon search favor a 8.6 GeV/c<sup>2</sup> WIMP over a background-only model [10]. Meanwhile, published results from several direct detection experiments [11, 12, 13, 14] exclude some or all of the parameter space of these candidate signals.

Over 25 years ago, nuclear recoil direction was proposed as a more definitive signature for dark matter interactions [15]. The motion of the Earth through the galactic WIMP halo should produce a head-wind of WIMP dark matter and therefore an anisotropy in the direction of nuclear recoils in the galactic frame. This corresponds to a daily directional oscillation of the mean recoil direction in the detector frame. Known backgrounds, on the other hand, are generally isotropic in the galactic frame, so directional detectors can test for anisotropies in the angular recoil spectrum with only a few WIMP events, even in the presence of backgrounds [16, 17, 18, 19, 20]. Because tracking detectors can measure both recoil track length and energy deposition, they can use the charge-to-mass ratio dependency of the stopping power to discriminate signal from backgrounds on an event-by-event

basis (except in the case of background nuclear recoils, which can be differentiated from signal statistically through the use of directional information).

Furthermore, directional detectors could eventually be used for dark matter astrophysics to distinguish between dark matter halo models [21]. For an overview of directional detection see Ref. [22].

The challenge of directional detection is to build a detector with many kg 50 of target mass while maintaining recoil direction sensitivity. There is a long 51 history of work toward that goal, including gas-based [23] and solid crystal scintillator based detectors [24, 25, 26]. At present, there are six active directional dark matter detection experiments underway worldwide. One group uses nuclear emulsions read out by high-resolution microscopy [27]. The other five make use of diffuse-gas targets in which low-energy nuclear recoil tracks extend  $\mathcal{O}(1 \text{ mm})$  and can therefore be reconstructed. These experiments are the Dark Matter Time Projection Chamber (DMTPC) [28], D<sup>3</sup> [29], DRIFT [30], MIMAC [31], and NEWAGE [32]. Of these groups, DMTPC and the latter three have detectors operating underground, and three have set dark matter limits [28, 33, 34]. In addition to these six experiments, there is exploratory work on other technologies including columnar recombination in high pressure (10 bar) xenon gas [35], a biological tracking chamber using strands of DNA anchored to thin gold foils [36], roton anisotropy in liquid helium [37], and continued work on anisotropic photon emission in crystal scintillators [38]. In this work, we describe the DMTPC 4Shooter prototype directional dark matter detector and present basic detector performance measurements.

#### 2. 4Shooter overview

The 4Shooter is a Time Projection Chamber (TPC) with both optical (CCD and photomultiplier tube) and charge readout. The CCDs image the TPC amplification plane, and therefore provide a 2D projection of recoil tracks. CCDs provide high spatial resolution with a simple interface (USB cable to a PC) at a low cost per channel. Furthermore, the CCDs couple optically to the detector volume through vacuum viewports and are therefore not in contact with the target gas, reducing sources of outgassing and suppressing alpha backgrounds.

Prior to the 4Shooter, DMTPC demonstrated successful track reconstruc-78 tion, including vector recoil direction determination (head/tail) with CCDs [39, 40]. Additionally, a surface run with a 10-liter prototype DMTPC detector (called the 10L) produced a limit on the WIMP-proton spin-dependent interaction that was the strongest limit from a directional detector at the time [28]. The 4Shooter is a factor of two larger in active volume than the 10L and was designed as a platform to test the technologies needed for the next-generation DMTPC detector, a cubic-meter volume detector called DMTPCino [41]. In particular, the 4Shooter design focused on material selection and made use of rigorous cleaning procedures for all detector components. Also, the 4Shooter uses four CCD cameras to make a mosaic image of the full active region of the TPC, as will be done in DMTPCino (in the 10L detector, each CCD imaged a subset of the active region of a single TPC). Based on background studies carried out with the 10L detector, the 4Shooter employs a current-sensitive amplifier for electron recoil rejection [42], and a current monitor on the amplification region power supply for independent tagging of spark events in the detector. Finally, the 4Shooter incorporates
PMT readout, which along with the charge readout channels can be used to
investigate the potential for full 3D tracking and for triggered readout of the
CCD cameras.

In this paper, we describe the 4Shooter detector and readout channels.

We also present the results of the surface commissioning of the detector,

including the calibration of the CCD and charge readout channels, and measurements of the gas gain and electron diffusion. Forthcoming publications

will detail the head-tail reconstruction capability of the 4Shooter, as well as

the algorithms used to identify and reconstruct properties of tracks in the

CCD images. Additional detail is provided in Refs. [43, 44].

# 105 3. Choice of detector gas

An advantage of diffuse-gas TPC detectors is the ability to alter the tar-106 get gas with little to no modification of the detector hardware. In the past, 107 DMTPC and other groups have experimented with a broad range of detector 108 gases and gas mixtures for dark matter and related applications. For exam-109 ple, the DMTPC group has measured ionization tracks in Xe+CF<sub>4</sub> mixtures [45]. Other directional detection groups use fluorine-rich gases such as CHF<sub>3</sub>, 111 and the negative-ion drift mixture of CS<sub>2</sub> and CF<sub>4</sub> [31, 33]. TPCs with opti-112 cal readout have also been used with a He-CF<sub>4</sub> mixture to monitor neutron 113 backgrounds at the Double Chooz neutrino experiment [46] and neutrons 114 from fissile material for homeland security applications [47].

The current DMTPC scientific program focuses on the WIMP-proton spin-dependent interaction [48], for which fluorine is a sensitive target [49].

The 4Shooter detector uses CF<sub>4</sub> gas because of its high fluorine content, and because it has good detector properties, namely high scintillation yield with emission spectrum well-matched to CCD readout [50, 51], and low electron diffusion for a proportional gas [52].

The operating CF<sub>4</sub> pressure is typically in the range of 60 to 100 Torr and 122 represents a trade-off between track length and particle stopping power, as 123 well as target mass and stability of detector operation. At higher gas pres-124 sure, the larger stopping power enhances the signal-to-noise in a CCD pixel, 125 however the shorter tracks at higher pressure make head-tail reconstruction 126 more challenging. The majority of the commissioning data for the 4Shooter 127 was taken at 60 Torr. Studies have shown [53, 54] that for directional detec-128 tion of 100  $\text{GeV/c}^2$  WIMPs, the optimum  $\text{CF}_4$  operating pressure is 10–30 129 Torr (depending on the details of the readout). It would be advantageous to 130 operate the 4Shooter detector at a lower gas pressure, but we are currently 131 limited by the stability of the amplification region (see Section 8.2).

#### 133 4. Vacuum chamber and gas system

#### 134 4.1. Vacuum system

The active region of the DMTPC 4Shooter detector is housed inside a vacuum chamber (manufactured by Sharon Vacuum in Massachusetts, USA) to contain the CF<sub>4</sub> gas and maintain its purity (see Figure 1). This is crucial, as electronegative contaminants such as oxygen capture ionization electrons in the gas and degrade the system gain of the detector. The vacuum chamber interior was electropolished, and metal seals were used where possible to minimize outgassing and permeation into the target gas.

The vacuum chamber consists of a cylindrical bell jar that mates via a 142 wire seal to a round bottom flange (see Fig. 2). This main seal can be made with either a single-use copper or a reusable elastomer gasket. In the work described here, the elastomer seal was used. The inner diameter of the bell jar is 39.8 cm, and there is 46.4 cm vertical clearance between the vacuum 146 side of the bell jar lid and the vacuum side of the bottom flange. The main 147 chamber volume is therefere 60 L. The flat top of the bell jar has five ConFlat 148 (CF) optical viewports (four 6" CF for CCD cameras and one 2-3/4" CF for three PMTs, see Section 6). The bottom flange has a 6" CF pump-out port 150 that connects via a 6" to 4-1/2" CF reducer to a pneumatically driven 4-1/2" 151 CF VAT UHV gate valve and then to a Varian V81-M turbo pump with a 152 4-1/2" CF flange. The turbo is backed by an Edwards XDS-5 dry scroll 153 pump. The chamber pressure is monitored by two pressure gauges attached to the bottom flange. The first gauge is a capacitance manometer, which 155 provides an accurate pressure reading (0.2%) independent of gas composition, 156 but only above 0.5 Torr. The second is a combination Bayard-Alpert Pirani 157 gauge, which operates from atmosphere to  $10^{-10}$  Torr, but is gas-composition 158 dependent.

# 160 4.2. Gas system

During standard operation, the chamber is evacuated, typically below  $10^{-5}$  Torr, and then back-filled with CF<sub>4</sub> gas through a gas-input port on the bottom flange of the chamber. Prior to back-filling, the observed rate of pressure rise is a few millitorr per hour. An MKS 1479A Mass Flow Controller (MFC) regulates the flow rate of the supply gas. Gas fills are done by computer control and can be initiated and monitored through the detector's

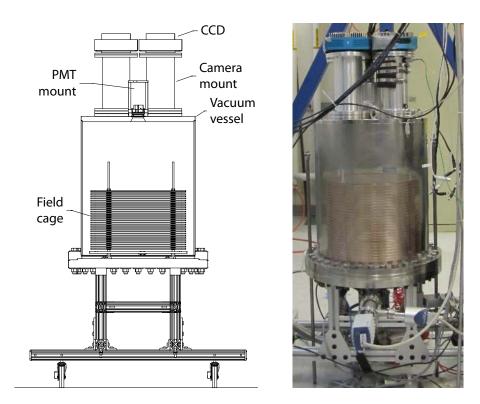


Figure 1: Left: CAD model of the 4Shooter detector, showing two of the four CCD ports on the top of the vessel, as well as the field cage structure inside the vacuum vessel. A single PMT port containing three PMTs is surrounded by the four CCD ports. Right: A composite image of the 4Shooter detector showing the vessel exterior with an overlaid, semi-transparent image of the copper field cage structure contained inside the vessel.

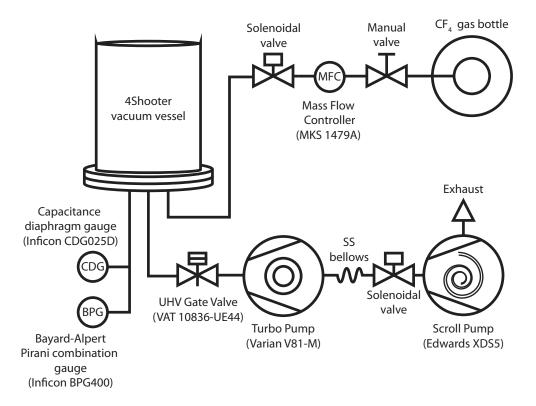


Figure 2: Schematic of the 4Shooter gas and vacuum system.

web interface (see Section 7). When the fill is complete, the electromagnetically actuated valve seals the chamber. An evacuate-and-refill cycle generally lasts 10 min. At present, a gas circulation and purification system is not used, but on a larger detector, such a system may be desirable.

# 5. Time Projection Chamber

The cylindrical TPC is housed inside the vacuum vessel and consists of a drift region and an amplification region (see Figure 3). Ionizing radiation traversing the drift region loses energy through interactions with the surrounding gas. The resulting ionization electrons are driven toward the amplification region by a drift field. Once in the amplification region, the electrons experience a large electric field resulting in exponential amplification of the ionization charge, as well as the production of scintillation light from molecular deexcitation. The CCD cameras image the scintillation light through the mesh cathode and ground electrodes. This section describes the drift and amplification regions of the 4Shooter.

# .82 5.1. Drift region

The drift region defines the active volume of the detector (see Figure 4). 183 High transparency meshes are used for the drift end-cap electrodes to en-184 sure high optical throughput from the amplification region to the CCDs and 185 PMTs. The top electrode (the cathode) is a woven stainless steel mesh (50 lpi, 186  $30 \mu \text{m}$  wire diameter, 89% transparency<sup>1</sup>) biased at a large negative voltage 187 (generally -5 kV to minimize electron transverse diffusion in the pressure 188 range 60 - 100 Torr). The lower electrode is also a woven stainless steel 189 mesh (100 lpi, 30  $\mu$ m wire diameter, 78% transparency), grounded through a 20  $\Omega$  resistor. Copper field-shaping rings supported by four vertical 1/4"-20 191 threaded Delrin rods are connected by 1 M $\Omega$  resistors to establish a uniform 192 electric field defining the drift direction  $\hat{z}$ . Near the rings, the drift field 193 is non-uniform, and some ionization electrons are therefore captured on the 194 rings (rather than reaching the amplification region). This leads to strong suppression of scintillation light from tracks in the outer 1 cm of the veto re-196 gion (see Section 5.2). Each ring is 3 mm thick with a 30.7 cm inner diameter

<sup>&</sup>lt;sup>1</sup>Transparency, T, refers to the geometric open area, and is given by  $T = [1 - d_w * (\text{lpi} - 1)]^2$ , where  $d_w$  is the wire diameter in inches.

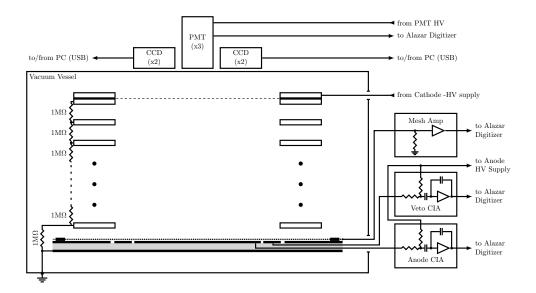


Figure 3: Electrical schematic of the 4Shooter detector including a side-view of the TPC showing several of the field-shaping rings and resistor chain elements. The three electrodes on the top of the anode plate are shown, along with their connections to the three charge readout channels: Mesh Amp, Veto CIA and Anode CIA (CIA stands for Charge Integrating Amplifier). The CCD and PMT readouts are shown atop the vacuum vessel (only two of the four CCDs are shown). Drawing is not to scale.

and a 33.8 cm outer diameter and is machined from ultra-high purity copper provided by the Aurubis Group. The lowest field-shaping ring is electrically connected to the grounded vacuum chamber via a 1 M $\Omega$  resistor. The cathode mesh is secured under tension to a copper ring using a low-outgassing epoxy (3M DP-460 EG) and then covered by a second ring, both with the same characteristics as the field-shaping rings. In total, there are 28 rings: 26 field-shaping rings and two cathode rings and a total field cage resistance of 27 M $\Omega$ . The total drift distance measured 26.7  $\pm$  0.1 cm.

The field rings are mechanically and electrically separated from each other

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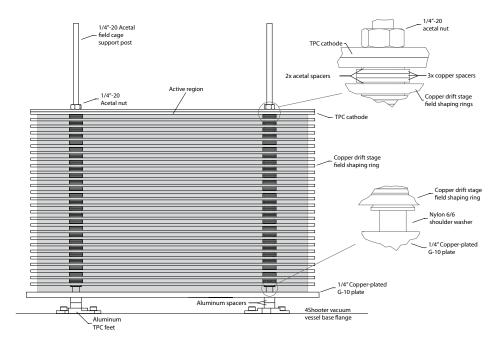


Figure 4: Mechanical drawing of the 4Shooter field cage. The active region of the TPC is indicated by the shaded gray rectangle.

by spacers composed of a stack of three copper washers  $(1.65\pm0.38 \text{ mm thick})$ 207 sandwiched between two thin  $(0.76\pm0.25 \text{ mm})$  Delrin washers. These spacers 208 are primarily composed of copper for material purity. The target size for the 209 spacers is 0.635 cm, and the measured spacer thicknesses range from 0.635 to 0.686 cm. The resistor chain is made of through-hole resistors whose leads tuck under the spacers to make electrical contact with the rings (Fig. 3). The 212 resistors are placed on alternating field cage posts to avoid a tilt in the field cage. Kapton-insulated high-voltage wire connects the cathode to a 30 kV 214 ConFlat high-voltage vacuum feedthrough on the bottom flange of the vessel, which, in turn, is fed by a Bertan 380N NIM high-voltage supply, typically set to -5 kV.

## 18 5.2. Amplification stage

The amplification region (see Figure 5) is a custom, monolithic device.

It consists of a stainless steel woven mesh epoxied under tension onto a 1/4"

thick copper-clad (on both sides) G-10 plate (the same mesh that serves as

the ground electrode for the drift region described above). The mesh-plate

gap is defined by 13 non-conductive fused silica capillary tubes ("spacers")

of  $435 \pm 10 \ \mu \text{m}$  diameter oriented approximately parallel to each other<sup>2</sup> on a

linch pitch.

The wire pitch of the ground mesh is 257  $\mu$ m. The choice of mesh pitch balances spatial resolution (finer mesh), optical transparency (larger gaps), and maximum achievable mesh tension (wire diameter) and therefore the number of required spacers.

Machined channels divide the copper-clad G-10 plate into three electri-230 cally isolated regions – the outer, veto and anode electrodes. The mesh is 231 epoxied to the outermost annular region of inner diameter 30.7 cm and outer 232 diameter flush with the edge of the 34.8 cm diameter G-10 plate. A second, 233 concentric, annular region (the "veto") of outer diameter 30.7 cm and inner 234 diameter 29.2 cm serves as a veto to identify ionization events near the outer radius of the active region (i.e. the electrical signal from this electrode is 236 used for (x, y) fiducialization). Finally, the central 29.2 cm diameter circular 237 region (the "anode") defines the fiducial region of the detector. A Bertan 238 375P NIM high-voltage supply biases both the veto and anode electrodes 239 (typically at 670 V) to provide Townsend amplification in the narrow gap

<sup>&</sup>lt;sup>2</sup>The ends of the spacers are fixed at precise intervals on the anode plate, but the central portion of the spacers can move.

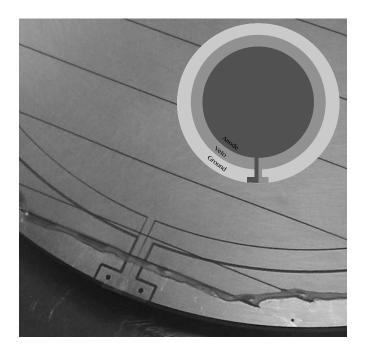


Figure 5: Photograph and schematic drawing of the amplification region. The schematic shows the three distinct electrodes – the central circular anode, surrounded by the annular veto, surrounded by the ground electrode. In addition to these three electrodes, the photograph shows the quartz spacers (parallel lines running from top left to bottom right in the image), and the ground mesh, which is epoxied to the ground electrode.

 $(435 \ \mu \text{m})$  between the mesh and the anode and veto electrodes.

# 242 5.3. Active volume and target mass

The active region of the 4Shooter is defined by the height of the drift region  $(26.7 \pm 0.1 \text{ cm})$  and the outer diameter of the central anode region (29.2 cm), and it has a total volume of 19.8 L. The system gain of the detector near the amplification region spacers is degraded by 20–30%, and in practice additional cuts are made to ignore tracks within 1.3 mm of spacers. The resulting total fiducial volume is 13.9 L, corresponding to fiducial target masses of fluorine and CF<sub>4</sub> at 298 K and 60 Torr of 3.5 g and 4.1 g, respectively.

#### 6. Readout channels

An ionization event produces two main observable signatures: scintillation light and electron/ion pairs. CCDs image the scintillation light, and
PMTs measure the temporal profile of the photon emission. In addition, the
integral and temporal profile of the charge signal are measured by charge
amplifiers.

### 257 6.1. CCDs

The entire active region of the amplification region is imaged by four CCD cameras, which measure the 2D projection of the ionization tracks. Each CCD is an Apogee Alta U6 containing a Kodak KAF-1001E front-illuminated CCD. The CCD chips consist of  $1024 \times 1024$  pixels, each with  $24 \times 24 \ \mu\text{m}^2$  area. Each CCD views the TPC through a multi-element Canon 85 mm f/1.2 SLR lens, and images a  $16.4 \times 16.4$  cm<sup>2</sup> region of the anode.

Prior to digitization, the CCD pixels are binned 4 × 4 on-chip to enhance the signal-to-noise in each digitized bin, and reduce dead-time by shortening readout. In the images shown here, each recorded bin from the CCD images a square region of the anode 0.6416 mm on a side. The cameras are arranged such that adjacent cameras' fields of view overlap by approximately 1 cm. The CCDs also image the inactive region outside of the field cage. Figure 6 shows the 4-camera mosaic image of an alpha track that traverses the field cage.

The details of the scintillation spectrum of CF<sub>4</sub> [50] depend on the gas pressure [55], but in general the spectrum contains two broad emission peaks.

One is centered near 300 nm. The other is centered near 625 nm, and is well-matched to the response of CCD cameras. For example, the Alta U6 cameras have a peak quantum efficiency (QE) of 70% at 550 nm. The negligible QE of the CCDs below 350 nm mean that the CCDs are not sensitive to the short-wavelength scintillation photons (200–350 nm), and so standard Kodial glass viewports are used to couple the cameras to the vacuum chamber.

The choice of CCD balances signal to noise and cost for a given field of view. In particular, assuming isotropic photon emission in the amplification region and by making use of the lens-maker's formula, it is possible to express the fraction of scintillation photons  $\eta$  that reach the CCD chip as:

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$$\eta = \frac{1}{16} \left( \frac{1}{f/\#} \right)^2 \left( \frac{1}{1+m} \right)^2 \tag{1}$$

where f/# is the f-number of the lens (the ratio of the focal length to the diameter of an equivalent single lens), and m is the demagnification of the optical system (the ratio of the object size to the image size). This expression shows that a fast lens (low f-number) and large CCD chip (low m)

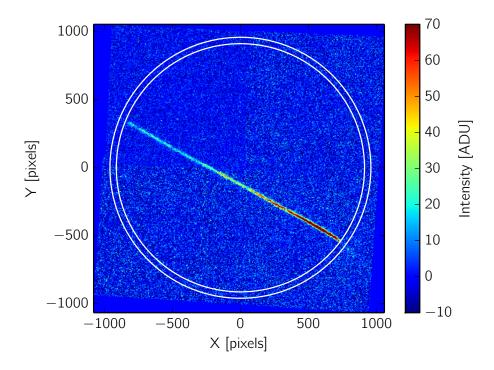


Figure 6: Mosaic CCD image showing an alpha particle traversing the field cage and passing through the fields of view of three CCD cameras. The overlaid circles show the anode-veto boundary (inner circle) and the outer diameter of the veto (outer circle). This alpha likely originated from the decay of an atom near the surface of the copper field-cage ring and terminated on a field cage ring. Regions of suppressed signal along the track are created by the spacers that define the amplification gap.

are advantageous. It also makes clear the need for a large gas amplification to compensate for the small value of  $\eta$  (for the 4Shooter, f/#=1.2 and 289 m=6.67, so  $\eta=7\times10^{-4}$ ). This expression is purely geometric and does 290 not account for the reflective copper anode, which can boost the photon 291 throughput. Nor does it account for photon losses due to mesh or window or 292 lens transparency or the quantum efficiency of the CCD. The CCD energy 293 calibration, described in Section 8.6, includes all of these factors. The signal-294 to-noise ratio in a CCD pixel could be improved by using back-illuminated CCDs ( $\sim 95\%$  QE) with lower read noise (3  $e^-$  RMS are readily available 296 now). 297

Figure 7 shows a nuclear recoil candidate in the 4Shooter detector from an AmBe neutron source exposure. From this data, the following information about the track can be obtained: total ionization energy, total projected track length, stopping (dE/dx) vs. position, track orientation in 2D, track diffusion, and the absolute (x, y) location of tracks, useful for detector fiducialization.

A typical run of the detector consists of 100 dark frames followed by 1000 event exposures. A dark frame is a CCD exposure of the same duration as the event exposures but with the CCD shutter closed. This pattern is repeated for 24 hours. Then the detector is refilled with fresh  $CF_4$  gas to ensure gas gain stability (see Section 8.2). At present, no event trigger is implemented, so all images are saved for off-line analysis (witness mode). In typical operation (4 cameras,  $4 \times 4$  binning, 1-second exposures), the total uncompressed CCD data rate is 0.5 MB/s. We are actively investigating triggered readout using PMT and charge readout information.

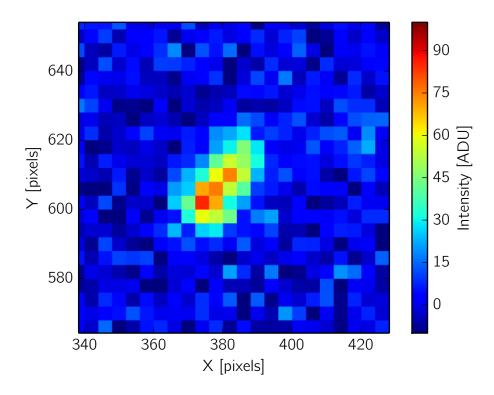


Figure 7: Enlarged view of a high energy (175 keV<sub>ee</sub>) nuclear recoil candidate from an AmBe neutron exposure, imaged by a single CCD. The intensity variation along the track, represented by the color scale, is proportional to dE/dx, and indicates that the track travels from bottom left to top right.

# 13 6.2. Photomultiplier Tubes

The PMTs provide a complementary measurement of the scintillation 314 light from events in the detector. Like the CCDs, the PMTs sit outside of the 315 active volume and do not contact the detector gas. In the 4Shooter, three 316 face-on 8 mm diameter PMTs (Hamamatsu R7400U-20 with Hamamatsu 317 E5780 bases) are mounted together in the central port of the top flange of 318 the vacuum vessel and couple optically to the chamber through a single 2-319 3/4" CF quartz viewport. The PMTs are biased at -925 V with Bertan NIM HV supplies, and the output signal is digitized by Alazar ATS860 PCI 321 boards (12-bit, 250 MS/s, 100 MHz analog bandwidth for DC-coupled  $50\Omega$ 322 termination). In addition to providing an independent measurement of the 323 energy of an event, the temporal profile of the PMT signal can be used to 324 extract information about the third dimension of the track – tracks with large  $\Delta z$  will produce wider pulses in the PMT. This effect was demonstrated with 326 the 4Shooter for high-energy tracks by using an <sup>241</sup>Am source and in a similar 327 detector with fixed-length decay products of thermal neutron capture on <sup>3</sup>He 328  $(n + ^3 \text{ He} \longrightarrow p + ^3 \text{H})$  [45]. The bandwidth of the digitizers is not well-329 matched to the fast PMT pulses, however, and many PMT waveforms show distortions such as wrong polarity pulses and excess noise. This prevents 331 the full utilization of the PMT channels at low energies, and as a result, the 332 PMT readout is not yet integrated into the data analysis. 333

#### 334 6.3. Charge readout

The ionization signal in the amplification region is measured in two different ways using three charge amplifiers. All three amplifiers are kept outside

of the vacuum vessel. The Alazar ATS860 digitizes the charge signals. Figure 8 shows waveforms from the same nuclear recoil candidate event shown in Figure 7.

A current-sensitive amplifier (Route2Electronics HS-AMP-CF) attached to the ground mesh measures the temporal evolution of ionization pulses in 341 the amplification region. DMTPC has previously shown that a pulse-shape 342 analysis of this signal can effectively discriminate between electronic and 343 nuclear recoils [42]. A nuclear recoil event will exhibit a dual-peak structure in the mesh amplifier signal (see Figure 8). The first peak arises from the fast-moving electrons in the amplification region, while the second, broader, 346 peak comes from the slower-moving ions. That work also demonstrated a 347 correlation between  $\Delta z$ , the vertical extent of a track, and the pulse rise-348 time. Ongoing work explores the possibility of using the amplifier rise-time to measure  $\Delta z$  for dark matter induced nuclear recoils. 350

In addition, two measurements of the total integrated charge after gas amplification are made. First, a Cremat CR-113 charge-sensitive amplifier (nominal gain 1.3 mV/pC) mounted on a Cremat CR-150 board integrates the induced charge on the central anode. Second, a Cremat CR-112 charge-sensitive amplifier (nominal gain 13 mV/pC), also mounted on a CR-150 board, integrates the charge on the veto channel. Both amplifiers have a 300  $\Omega$  resistor in series with their inputs to protect against spark discharges in the amplification region.

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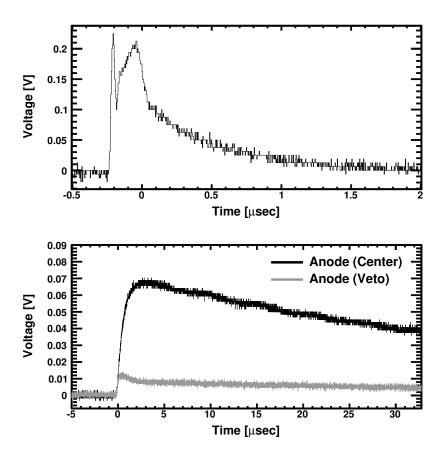


Figure 8: Nuclear recoil candidate waveforms. Top: current-sensitive mesh amplifier. Bottom: central circular anode (upper, black) and annular veto (lower, gray). The top figure shows the separate fast (electron) and slow (ion) peaks in the mesh amplifier signal.

## 7. Hardware control and data acquisition

The 4Shooter detector was designed to be operated remotely underground. This section briefly describes the Slow Control and DAQ software framework.

#### 364 7.1. Control

The detector control and monitoring scripts (the Slow Control) are a 365 collection of Python, Perl, and C/C++ code that track and log detector 366 operation parameters to a MySQL database. This code runs on a Linux computer that doubles as a server for a web interface to control and monitor 368 the detector. The TPC voltages and CCD operational parameters (exposure 369 time, number of exposures, binning) can be set and monitored, as can data 370 acquisition parameters such as the waveform digitizer card trigger conditions. 371 The vacuum system can be controlled (valves can be opened and closed), and autonomous detector gas refills to a user-defined pressure can be initiated and 373 monitored. General environmental parameters such as external temperature 374 are also monitored. The measured parameters are recorded at a rate of 1 Hz 375 and are obtained either by USB or RS-232 connections, or through analog-todigital conversion using a National Instruments NI-6229 multifunction DAQ PCI card.

#### 7.2. Acquisition

Data acquisition is handled on a dedicated Linux machine by a suite of C++ code that makes use of the ROOT data analysis framework [56]. Data from the 4Shooter detector is stored in ROOT data files for later off-line

analysis. The off-line analysis of CCD images and charge and PMT waveforms follows from [57, 43, 44], and will be described in detail in subsequent publications. A Monte Carlo detector simulation of the CCD images has been implemented, with simulated data stored in the same format as the real data, allowing common analyses and intercomparisons of the two.

During acquisition, summary statistics of the incoming data are measured and logged to the database for real-time viewing. For example, dark frames for each run are displayed, as are the mean pixel intensity of the CCD images, as a function of time.

### 392 8. Detector performance

In this section, we discuss the calibration of the CCD (recoil track length, energy and noise measurements), and charge channels (recoil energy measurement). We also present measurements of the gas gain as a function of gas pressure, anode voltage, and time, as well as the transverse electron diffusion, measured in situ.

#### 398 8.1. Length calibration and image mosaic technique

The calibration of the recoil length scale of the CCD is done in situ when the hardware configuration is altered. This calibration determines how much area of the anode is imaged by each pixel of the CCD, and therefore the conversion from track length in pixels to mm. This calibration is generally done with the CCDs at full readout resolution (unbinned) to provide the highest possible spatial resolution. The length calibration for the 4Shooter is  $0.1604 \pm 0.0004$  mm/pixel. In standard operations, the CCD is binned  $4 \times 4$ 

and so each digitized channel of the CCD images a  $0.642 \times 0.642$  mm<sup>2</sup> area of the anode.

The calibration proceeds by illuminating the detector interior with an 408 LED and then identifying in the CCD images the pixel coordinates of the two machined channels that belong to the annular veto region. The LED is 410 located in one of the camera mounts adjacent to the Canon SLR lens and 411 points down toward the vacuum viewport. The LED can be turned on and 412 off remotely, without disturbing the mechanical configuration of the detector. 413 This dataset is also used to determine the image transformation parame-414 ters required to form a single mosaic image of the amplification region from 415 the four CCD images. Each image is translated and rotated. The rotation 416 is chosen so the spacers in the amplification region are parallel to the x-axis 417

of the mosaic image, and the translation is chosen such that fitted circles to
the quadrants of the machined veto channels share a common center. Images
from the LED-off data (where no TPC features are visible) are then stitched
together using these transformations in order to form mosaic images as in

Figure 6.

#### 423 8.2. Gas qain

An  $^{55}$ Fe x-ray source is used to measure the gas gain as a function of anode bias voltage and gas pressure, and also to calibrate the energy scale of the charge readout electronics. The source (30  $\mu$ Ci) is placed on the cathode mesh, and the energy spectrum of the resulting photoelectric absorption events is recorded. For these low-energy events, a CR-112 charge-integrating amplifier with higher gain is used on the anode readout channel in place of the CR-113 amplifier.

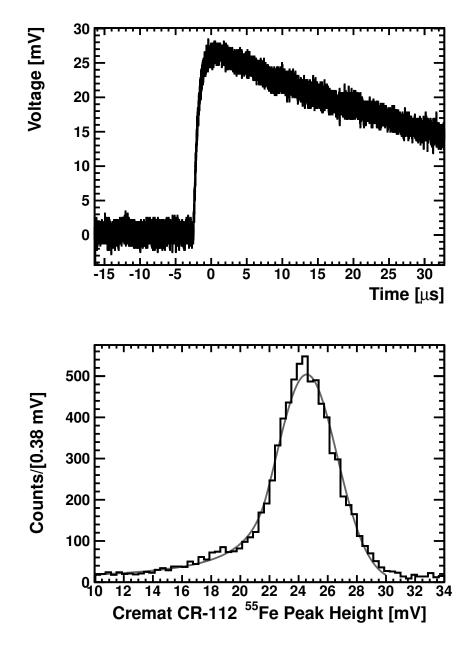


Figure 9: (Top): Sample waveform from an  $^{55}$ Fe calibration exposure with 60 Torr CF<sub>4</sub> and an anode voltage of 670 V. (Bottom): Pulse height spectrum from the same calibration along with a fitted Crystal Ball function. For this spectrum  $\sigma/\mu = 8.5\%$ , where  $\sigma$  is the standard deviation of the Gaussian portion of the fitted Crystal Ball function, and  $\mu$  is the mean.

A sample charge readout  $^{55}$ Fe ionization event and the accumulated spectrum is shown in Figure 9. A Crystal Ball function [58] is fit to the spectrum, and the gas gain G at that particular gas pressure and anode bias voltage is calculated from:

$$G = \frac{W_{CF_4}}{\Delta E} \frac{V_{peak}}{eA} = 2.75 \times 10^3 V_{peak} [\text{mV}],$$
 (2)

where  $W_{CF_4} = 34.3 \text{ eV}$  [59] is the W-value of CF<sub>4</sub> (but see comments below),  $\Delta E$  is the ionization energy deposited in the detector (the energy of the  $^{55}$ Fe x-ray),  $V_{peak}$  is the voltage of the peak of the measured energy spectrum, e = $1.60 \times 10^{-7}$  pC is the elementary charge, and A = 13 mV/pC is the conversion 438 gain of the CR-112 charge-integrating amplifier. The measured gas gain as 439 a function of anode bias voltage for three different gas pressures (45, 60 and 75 Torr) is shown in Figure 10. In all cases, the gas gain exceeds 10<sup>4</sup>, with a maximum gas gain of 10<sup>5</sup> at 75 Torr. At a given anode voltage, the field in the amplification region is uniform, and the Townsend amplification factor is  $\exp(\alpha d)$ , where  $\alpha$  is the Townsend coefficient, and d is the amplification gap size (here 435  $\mu$ m). In CF<sub>4</sub>,  $\alpha$  grows linearly with electric field above  $E/N = 100 \times 10^{-17} \text{ V cm}^2$  [52], and so the expected gas gain increases exponentially with anode voltage:  $\exp(V_{anode} d)$ . Figure 10 shows that the 447 fits of the exponential function  $\exp(a + b \cdot V_{\text{anode}})$  match the data at each gas 448 pressure (the constants a and b are free parameters for each data set). We measure the stability of the gas gain as a function of time using a 450 series of <sup>55</sup>Fe pulse height spectra over a 24-hour period (see Figure 10), and 451 find that the gas gain degrades by less than 3% over 24 h, with an exponential 452

decay time constant of 10.1 h. Based on this measurement, we have chosen

to evacuate and refill the 4Shooter with fresh CF<sub>4</sub> gas once per day during

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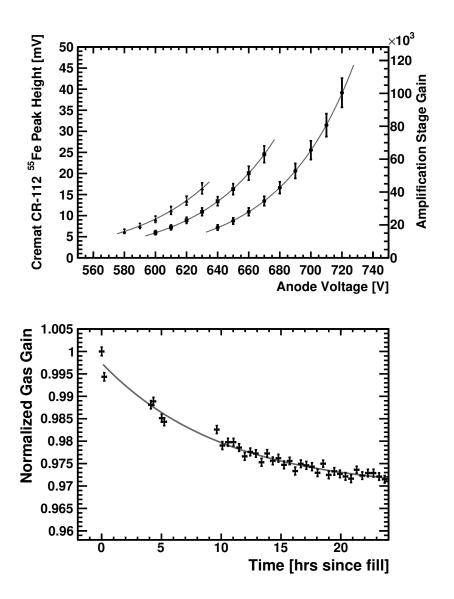


Figure 10: (Top) Gas gain versus voltage for three operating pressures (45, 60 and 75 Torr), along with fits of the exponential function  $\exp(a+b\cdot V_{\rm anode})$ . (Bottom) Gas gain as a function of time (normalized to the gain at t=0), along with an exponential plus constant offset fit: Gain =  $a + \exp(b + c \cdot t[hr])$  showing a gain degradation of 3% over one day. Data taken at a gas pressure of 75 Torr and an anode voltage of 720 V.

standard running operation. From  $^{55}$ Fe data we also find that the gas gain is stable to 2% from gas fill to gas fill.

In this gas gain analysis, we have assumed a W-value for CF<sub>4</sub> of 34.3 eV. There are discrepant values published in the literature, including 34.3 eV [59] and 54 eV [60, 61]. To help resolve this discrepancy, our group has carried out an independent measurement of  $W_{CF_4}$  and found  $W_{CF_4} = 33.8 \pm 0.4$  eV [62].

We find that the measured gas gain decreases with x-ray flux, most likely 462 due to the well-documented effect of space charge in the amplification gap 463 [63]. At full source intensity, the measured gain was 30% lower than at 464 lower intensities. When making the gas gain measurements, we attenuated 465 the source with layers of aluminum until the measured gas gain plateaued 466 at a stable value. The data reported here used  $150 \,\mu\mathrm{m}$  of aluminum. The <sup>55</sup>Fe produces x-rays at three main energies 5.888, 5.899 and 6.49 keV with relative intensities of 0.506, 1.0 and 0.176, respectively [64]. Because the x-ray 469 cross-sections in aluminum decrease with energy in this regime, we use the tabulated photon cross-sections in aluminum [65] to estimate the weighted 471 average energy of the  $^{55}$ Fe source after attenuation as  $\Delta E = 6.00 \, \text{keV}_{\text{ee}}$ . To explore the effect of source location on measured gas gain (and charge energy calibration), an additional study was done in which the (x,y) location of the  $^{55}$ Fe source was varied on the cathode mesh. The measured gas gain varied by less than 1% level with source location.

As the anode voltage is increased, the probability of spontaneous discharge in the amplification region (sparks) increases sharply, thereby limiting the achievable gas gain. At 60 Torr and 670 V on the anode (standard

operating point), the discharge frequency is 5 mHz. These discharges pro-480 duce intense scintillation light that can saturate pixels in the CCD camera 481 and lead to spurious clusters of bright pixels in subsequent exposures [28]. 482 Furthermore, each discharge initiates an interval of suppressed gas gain while 483 the amplification region recharges with a measured recovery time constant of 484 3 seconds (set by the bandwidth of the anode high-voltage noise filter outside 485 of the chamber). Events occurring during the recovery time are ignored. Fur-486 ther, at very high gas gains, tracks with large ionization density (e.g. nuclear 487 recoils) can trigger sparks when Raether's limit is exceeded [66]. This sets a 488 maximum stable operational gain for each combination of gas pressure and 489 drift field. 490

# 91 8.3. Charge energy calibration

Using the energy calibration of the CR-112 amplifier, determined from 492 the  $^{55}\mathrm{Fe}$  spectrum peak at 6 keV, the measured energies of x-ray quanta from 493 <sup>241</sup>Am (specifically, the neptunium L-shell line emission at 13.9, 17.5 and 494 21.1 keV [67]) agree with expectations at the 1% level. This calibration is 495 transferred to the anode charge integrating amplifier (CR-113) by an inde-496 pendent measurement of the conversion gain ratio between the CR-113 and 497 the CR-112 of  $A_{113}/A_{112}$ . The gain ratio was determined by matching the 498 features of the source-free (background) spectra measured by each amplifier 499 in the energy range 40-150 keV, under the same operating conditions (gas pressure and anode and cathode voltages). The background spectrum is a 501 broken power law with a knee near 70 keV (see Fig. 11). At an anode voltage 502 of 670 V and a CF<sub>4</sub> pressure of 60 Torr, a gain ratio of  $A_{113}/A_{112} = 0.112$ 503 matches the features in the spectrum, and the total rates measured by the

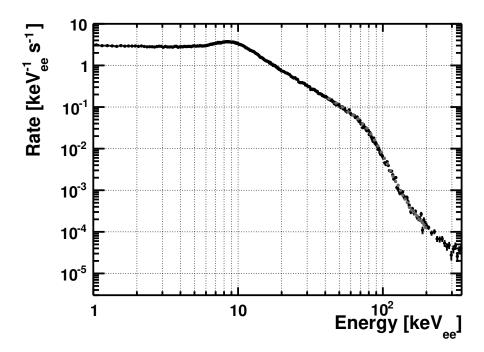


Figure 11: Background energy spectrum measured with the CR-112 charge integrating amplifier attached to the anode. The peak between 5 and 15 keV<sub>ee</sub> is consistent with minimum-ionizing particles traversing the full vertical length of the detector. At energies higher than the peak, the spectrum is a power law  $(E^{-2.15})$ , followed by a "knee" from 60 to 100 keV<sub>ee</sub>, and a steepened spectrum  $(E^{-6.6})$ . The knee arises because electrons with energies higher than  $\approx 60 \text{keV}_{ee}$  are typically not fully contained in the detector. The <sup>55</sup>Fe energy calibration of the CR-112 preampifier is transferred to the CR-113 preamplifier by matching the observed background energy spectra in the vicinity of the knee.

two amplifiers agree at the 1% level. Changing the gain ratio by 5% leads to significant differences between the measured spectra, and so we assign a 2.5% uncertainty to the gain ratio.

The conversion factor  $g_{113}$  from mV to keV<sub>ee</sub> for the standard 4Shooter configuration (CR-113 connected to the central anode) is then

$$g_{113} \left[ \text{keV}_{\text{ee}} / \text{mV} \right] = \left( \frac{6.0 \text{ keV}_{\text{ee}}}{V_{112}} \right) \left( \frac{A_{112}}{A_{113}} \right),$$
 (3)

where  $V_{112}$  is the mean voltage of the  $^{55}$ Fe spectrum measured with the CR112 amplifier (in mV). At 60 Torr CF<sub>4</sub> and an anode voltage of 670 V, we find  $g_{113} = 2.2 \,\text{keV}_{ee}/\text{mV}$  with a total systematic uncertainty of approximately 4%
and minimal statistical uncertainty [44].

# 514 8.4. CCD noise measurements

The two main noise sources intrinsic to CCDs are read noise and dark 515 noise. The pixel values of a difference image of two same-duration dark exposures are distributed normally. The width  $\sigma$  of that Gaussian distribution is equal to  $\sqrt{2}\,\sigma_N$ , where  $\sigma_N$  is the total per-pixel noise in the CCD (nominally 518 dominated by read and dark noise). When the 4Shooter CCDs are run in 519 their native resolution (unbinned), we measure  $\sigma_N$  in the range of 5 to 8 ADU 520 (the arbitrary digital units recorded by the camera – see Section 8.6 for the 521 calibration from ADU to deposited energy in the detector), depending on 522 the camera. Given the nominal CCD conversion gain of 1.3 to 1.65  $e^-/ADU$ 523 (again, depending on the camera), this corresponds to 7 to 13  $e^-$  total noise. 524 To increase the signal to noise in a single image, we bin the CCDs  $4 \times 4$ 525 prior to digitization. We discovered, however, that  $\sigma_N$  increases linearly with 526 the CCD binning in the parallel direction, likely due to the so-called spurious

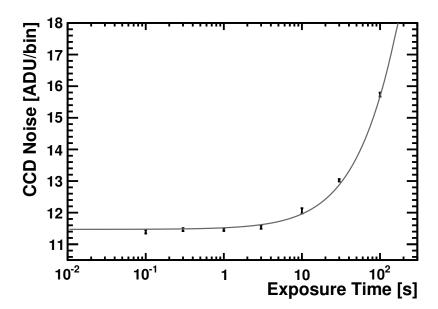


Figure 12: Total per-pixel noise for  $4 \times 4$  binning in a single 4Shooter CCD as a function of exposure time. Filled black circles are data, and the gray curve is a fit of  $\sqrt{\sigma_R^2 + R_D t}$  to the data. The dark noise contributes significantly to the total noise for exposure times above 10 seconds. These measurements were made by constructing difference images from pairs of dark exposures to remove fixed-pattern noise in the CCD.

charge effect, as described in Ref. [68]. CCD vendors generally quote the noise for unbinned operation, and so we measure the noise vs. binning. At  $4 \times 4$  binning, the CCD noise  $\sigma_N$  ranges from 7 to 11 ADU, depending on the camera.

Figure 12 shows the dependence of  $\sigma_N$  on exposure time t for one CCD 532 when binned  $4 \times 4$ . The leading contributions to the total noise are the 533 read noise  $\sigma_R$  and the dark noise  $\sqrt{R_D t}$ , where  $R_D$  is the per-bin dark rate 534 (ADU/sec), such that  $\sigma_N = \sqrt{\sigma_R^2 + R_D t}$ . For short exposure times, the noise 535 is read-noise dominated and therefore independent of exposure time. At the 536 transition point  $t \approx 10$  s, the dark noise is comparable to the read noise. A 537 fit finds  $\sigma_R = 11.5 \pm 0.1$  ADU and  $R_D = 1.05 \pm 0.03$  ADU bin<sup>-1</sup> sec<sup>-1</sup>. These 538 CCDs are operated at  $-20^{\circ}$  C using thermoelectric coolers but no cryogens. 539 During standard operation, we restrict the CCD exposure times to be less than 10 seconds where  $\sigma_N \approx \sigma_R$ .

#### 542 8.5. Spatial variations in the CCD response

The number of photons detected by the CCD, per keV of ionization energy, varies spatially across the amplification region. There are many different causes for this non-uniformity, including variations in the amplification region gap and suppressed light production in the vicinity of the insulating spacers. Even if the amplification region provided uniform light production, the measured image would still show spatial variations in brightness due to the throughput of the optical system.

To take this effect into account during event reconstruction, we generate a gain map to measure the CCD energy calibration correction factor as a function of (x, y) position. To obtain a gain map, one would ideally like to

deposit a uniform distribution of ionization charge in the (x,y) plane of the detector and image the resulting photons. As an approximation, we use a 554  $^{57}$ Co source of 122 keV  $\gamma$ -rays, whose interaction length in the low-pressure 555 CF<sub>4</sub> gas is orders of magnitude greater than the dimensions of the TPC. For example, at 60 Torr, the interaction length is 240 m. This produces 557 an approximately uniform distribution of ionization across the amplification 558 region. In any single CCD exposure, the detectable photon signal is very 559 weak. However, by averaging together thousands of these exposures, we 560 obtain an image showing the main features described above (Fig. 13). In 561 practice, careful image and pixel selection criteria are applied to deal with 562 sparks and background tracks in the detector, as well as hot pixels in the 563 CCD. The resulting gain map is smoothed using a Gaussian bilateral filter 564 [69] with a domain width of 12 pixels and a range width of 3 ADU. These filter parameter values were chosen heuristically, and validated through the 566 resulting gain map's performance on data, as described quantitatively at the 567 end of this section. The choice of the filter range width was driven by the 568 desire to limit the leakage of anomalously high or low ADU-valued pixels 569 into neighboring pixels in the filtered map, while the choice of the filter domain width was driven by the need to remove the pixel-to-pixel variations in the unfiltered map due to finite statistics. The filtered gain map was then normalized to the smoothed average pixel value for pixels at least 10 pixels 573 within the boundary of the central anode, and further than 20 pixels away from a spacer.

The dominant spatial structure in the gain map arises from two main contributions: (1) suppressed system gain near the insulating spacers in the

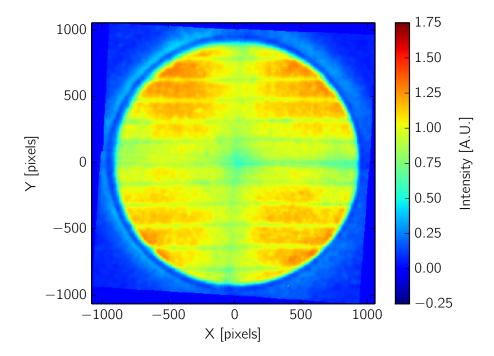


Figure 13: 4Shooter detector gain map from a long-duration <sup>57</sup>Co source exposure used to calibrate the spatial variations in CCD gain. Larger values in the gain map correspond to regions of higher gain. The amplification stage spacers are clearly visible as locations of reduced gain, and for each camera, a radial fall-off in gain from vignetting is observed. The faint glow visible about the periphery of the circular amplification region arises from the reflections of photons off of the drift stage field shaping rings.

amplification region (see the 11 horizontal stripes in Fig. 13), and (2) vignetting in the optical system.

The suppressed response in the mosaic gain map image along the lines x = 0 and y = 0 arises from vignetting by the optical system. The characteristic signature of vignetting is a radial fall-off in the measured brightness in the field of view of each CCD camera. In Figure 13, these radial gradients are present in each individual CCD image in the mosaic. Detailed explanations

of vignetting, along with fits of vignetting models to CCD data, are provided in Ref. [70].

The calibration of the CCD energy scale (see Section 8.6) relies on CCD 587 measurements of long alpha tracks in the detector, and therefore uses the 588 gain map described here. Before gain map correction, the energy of tracks 589 determined from the CCD alone is more than 20% less than the energy 590 determined from charge alone on the periphery of images, where the effects 591 of vignetting are most pronounced. After gain map correction, the CCD-592 derived energy is consistent with the charge-derived energy independent of the radius at which tracks are reconstructed relative to the center of each 594 CCD's image to within 3%.

## 596 8.6. CCD energy calibration

The energy scale of the CCD cameras is determined by fitting the stopping 597 versus range for collimated alpha tracks from an  $^{241}\mathrm{Am}$  source in Monte Carlo 598 to data. For these alpha particles (which deposit  $\approx 4.5$  MeV in the detector), 599 SRIM simulations [71] show that more than 99% of the alpha energy goes 600 into the ionization of the  $CF_4$  gas, and so this measurement determines the 601 conversion factor from ADU to keV<sub>ee</sub>. In order to further calibrate the energy 602 scale for low-energy nuclear recoils, a measurement of the quenching factor 603 is needed. The gas quenching quantifies what fraction of the recoil energy goes into ionization (as opposed to other forms of energy loss such as nuclear excitation). For a description of quenching in CF<sub>4</sub> gas, see e.g. Refs. [61] 606 and [72]. 607

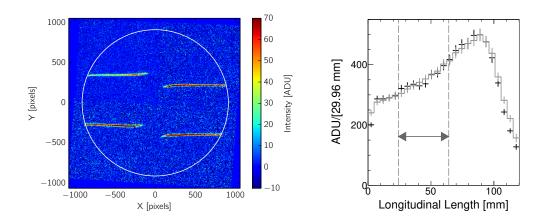


Figure 14: Left: four  $^{241}$ Am alpha tracks emitted by sources in each of the four cameras during a typical alpha energy calibration. The exposures from each 4Shooter camera have been stitched together to form a composite mosaic image, based on their known relative orientations. The dashed white circle shows the boundary of the central anode electrode of the amplification stage. Right: the average longitudinal projection of alpha tracks in a typical calibration dataset for one of the 4Shooter CCDs (black) compared with the tuned Monte Carlo prediction (gray), for data taken in 60 Torr CF<sub>4</sub>. The normalization of the Monte Carlo curve has been fit to the data to extract the total CCD gain in ADU/keVee within the bounds indicated.

ensure that the emitted alpha particles travel horizontally (parallel to and above the amplification region), and are fully contained in a single camera's field of view. The collimators are further aligned such that the alpha tracks do not cross the fused silica spacers in the amplification region. A 1-second CCD exposure time was chosen such that the majority of images contained either zero or one alpha track in a single camera's field of view. A software cut on the reconstructed alpha track energies is used to remove images containing multiple, overlapping alpha tracks.

A series of data selection cuts are applied to the detected tracks to elimi-618 nate outliers in total range, energy, track angle, and straightness (relative to 619 the collimator boresight). The reconstructed directions of alpha tracks in the 620 data and Monte Carlo are required to be within 2° of the nominal collimator 621 boresight because multiple scattering is not presently modeled in our Monte Carlo. Reconstructed alpha tracks were discarded if their position and orientation was inconsistent with the known placement of the <sup>241</sup>Am sources. 624 Additional data quality cuts were applied to ensure that no amplification 625 region discharges (sparks) occurred in the 15 seconds prior to the relevant 626 exposure. This 15 second waiting period was chosen to be  $5\times$  the measured time constant for gain recovery after discharge in <sup>55</sup>Fe x-ray measurements of the instantaneous gas gain. The input parameters to the Monte Carlo 629 are then iteratively adjusted (see below) until the average longitudinal and 630 transverse intensity profiles of the alpha tracks match the data. 631

The five input parameters that control the detector response are the transverse electron diffusion during drift, the CCD gain in ADU/keV<sub>ee</sub> (this is the parameter under study), the gas quenching factor, the CCD length scale, and the CCD noise. The latter two parameters are constrained through independent measurements (see Sections 8.1 and 8.4), and are therefore fixed for all simulations. Although the effect of quenching is negligible for 5 MeV alpha particles, for completeness, we model the amount of ionization deposited in the detector as [40]:

$$LET_{el}(E_r) = S_e(E_r) + 0.3 S_n(E_r),$$
 (4)

where  $LET_{el}$  is the electronic energy deposited in the detector per unit length, and  $E_r$  is the total energy of the alpha particle.  $S_e$  and  $S_n$  are the electronic and nuclear stopping, respectively, as predicted by SRIM-2006 [71]. The factor of 0.3 is chosen to be consistent with predictions by Hitachi [61] over a range of ion energies.

The total electron diffusion in the Monte Carlo is adjusted until the mean transverse width of the alpha tracks in data that pass all cuts is consistent with the mean transverse width of the simulated alpha tracks that pass all cuts. See Section 8.7 for further discussion of diffusion.

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The mean and RMS energies of the alpha source in the Monte Carlo are initially fixed to the values measured for each source in vacuum with an ion-650 implanted silicon surface barrier detector. Only  $\approx 4.5$  MeV of the initial 651 alpha track energy remains after the alphas have straggled out of the thin 652 foil covering the <sup>241</sup>Am in each source and through the approximately 1" 653 long gas-filled collimator bore hole. The alpha source location in the Monte Carlo is defined relative to the active region of the TPC by the boresight line 655 of the collimator and the starting position of alphas along that line. Only 656 pixels within the active central anode region (see Section 5.2) are populated 657 with Monte Carlo tracks. The collimator boresight line is defined from the

orientation (angle and absolute position) of the data alpha tracks that pass all selection cuts. To account for the fact that the alpha sources are housed 660 in collimators whose exit apertures do not lie exactly at the boundary of the 661 active region of the detector, the initial energies of the alpha tracks in the 662 Monte Carlo are adjusted until the mean longitudinal position of the alpha 663 tracks' Bragg peaks agree with data. Adjusting the alpha track energies in 664 the Monte Carlo implicitly assumes that a shift in the alpha track energies is 665 equivalent to a shift in the alpha sources' positions, which is true in the limit that the alpha track energy variance is not dominated by straggling over the short inactive portion of the detector that the alphas must traverse between 668 the ends of their collimators and the active region of the detector. 669

Once the source energy in the Monte Carlo has been tuned, the longitu-670 dinal projection of the tracks are computed in  $\approx$  5-mm-wide bins (see right plot in Figure 14). To account for spatial variations in the gain, the data are 672 normalized by the gain map (Section 8.5). The projections are averaged and 673 compared between data and Monte Carlo. The system gain in  $ADU/keV_{ee}$ in the Monte Carlo is iteratively tuned to achieve agreement with data in 675 the region  $\approx 24$  mm after the start of the track and  $\approx 24$  mm before the Bragg peak. This interval was chosen for the fit such that the Bragg curves are approximately linear, in order to reduce systematic errors from improper 678 alignment of the data and Monte Carlo longitudinal projections. According 679 to SRIM, the alpha stopping in this region is approximately 30% lower than 680 the ionization per unit length produced by a 100 keVr fluorine nucleus. Table 1 lists the measured CCD energy calibration for each of the four CCD cameras for 60 Torr and 670 V anode bias. The resulting data and Monte

CCD #	${\rm Gain} \; ({\rm ADU/keV_{ee}})$
1	$10.3 \pm 0.2$
2	$18.4 \pm 0.2$
3	$18.6 \pm 0.2$
4	$16.6 \pm 0.2$

Table 1: The CCD energy scales in ADU/keV<sub>ee</sub> for the four 4Shooter cameras measured with  $^{241}$ Am tracks in 60 Torr CF<sub>4</sub> with a 670 V anode bias. The gain measurement for each CCD has been averaged over data taken at a range of different heights z and positions (x,y) in the 4Shooter TPC. The error is the fit error on a constant fit to the data as a function of z. The RMS spread of the individual gain measurements at different positions and heights is observed to be less than 2%.

<sup>684</sup> Carlo comparison, after the gain is tuned, is shown in Figure 14 (right).

The differences between the camera gain values are partially due to differ-685 ences in the intrinsic CCD conversion gains  $(e^{-}/ADU)$  between the cameras, 686 and partially due to differences in optical throughput. Tests performed sub-687 sequent to the majority of the results presented in this paper determined that 688 the conversion gain of the CCD with the lowest ADU/keV<sub>ee</sub> gain, CCD #1, 689 was both anomalously large, and dependent on the intensity of illumination. 690 This out of specification behavior largely accounts for its systematically lower 691 ADU/keV<sub>ee</sub> gain, relative to the other three cameras. Due to its abnormal 692 performance, CCD #1 has since been replaced. 693

By repeating this measurement with the alpha sources at a range of heights z we verified that, as desired, the CCD gain calibration is insensitive to the electron drift distance z at the 2% level. This sets an upper-limit on the electron loss during drift (from e.g. fringe fields in the TPC or attachment

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on to gas impurities), and also on the accuracy of the track energy reconstruction for diffuse tracks (long drift distance). The measured gain was also found to be spatially uniform in (x, y) at the 1 - 2% level (after correcting for the gain map) from measurements performed with the alpha sources at eight distinct positions (x, y) over the anode, although these studies did not sample the full field of view. This same set of data was used to measure the transverse electron diffusion, as described in the following section.

## 705 8.7. Transverse electron diffusion

The diffusion of the drifting electrons in the TPC sets a limit on the 706 total viable length L of the drift region of the TPC before the transverse 707 diffusion exceeds the track length, and therefore compromises the directional 708 sensitivity of the instrument. For example, fluorine recoils of energy 40 keV<sub>r</sub> 709 travel 1 mm in 60 Torr CF<sub>4</sub>, which sets the scale for allowable diffusion. 710 Previous measurements of electron diffusion in  $CF_4$  gas [52, 73] show that at 711 60 Torr and the drift field that minimizes transverse diffusion (225 V/cm) 712 the RMS track width reaches 1 mm after 25 cm of drift. We define the RMS 713 track width as the square root of the transverse moment of the track, and the 714 transverse moment as the second central moment of the track. To calculate 715 the second central moment of the track, we first determine the track axis, 716 and then calculate the intensity-weighted sum of the squares of the distances 717 of each pixel in the track from the track axis. 718

In this work, measurements of  $D_T/\mu$ , the ratio of the electron transverse diffusion constant to the electron mobility in CF<sub>4</sub> gas, have been made insitu, as a function of the ratio of the drift electric field to the number density
of gas molecules E/N. These measurements were carried out using the same

collimated alpha sources and track selection criteria from the energy calibration measurements described in Section 8.6. This time the sources were inserted into the detector at a range of heights z. As described in [73], the measured transverse moment  $\sigma_T^2$  of these alpha tracks grows linearly with zbecause of electron diffusion:

$$\sigma_T^2(z) = \sigma_{T,0}^2 + 2\left(\frac{D_T}{\mu}\right) \left(\frac{zL}{V}\right),\tag{5}$$

where  $\sigma_{T,0}^2$  is the transverse moment for zero drift length, and V is the applied drift field voltage (the cathode voltage).

To simulate the effect of transverse diffusion from drift, the primary ion-730 ization tracks in the Monte Carlo are spatially convolved with a Gaussian kernel of width  $\sigma_T^{MC}$  prior to the simulated CCD digitization. This spatial smearing accounts primarily for diffusion, but also for the imperfect focus of the CCD cameras and the intrinsic widths of the track-induced avalanches 734 in the amplification stage of the detector. Measurements of  $D_T/\mu$  are ob-735 tained by adjusting the gaussian width  $\sigma_T^{MC}$  applied to collimated alpha 736 tracks in Monte Carlo until the mean transverse moment  $\sigma_T^2$  of the reconstructed Monte Carlo alpha tracks matches the mean transverse moment of 738 the reconstructed alpha tracks in data for a range of drift distances z (at 739 constant gas pressure and anode and drift bias voltages). 740

Data was taken at a gas pressure of 60 Torr and an anode bias voltage of 635 V. The transverse moment used in Monte Carlo  $(\sigma_T^{MC})^2$  required to obtain agreement for  $\sigma_T^2$  between data and Monte Carlo is shown in Figure 15 versus drift height z, along with the fit of Equation 5. Unlike in Ref. [73], fitting Equation 5 to  $(\sigma_T^{MC})^2$  instead of to the observed transverse moment  $(\sigma_T)^2$  decouples the diffusion measurement from possible bias introduced by

the digitization and readout. The values of  $D_T/\mu$  (see Table 2) can be calculated from the slope of the fitted lines, and agree with the published value 748 of  $D_T/\mu = 0.051$  V for E/N = 9.5 Td [52]. The errors have been computed as the difference in values obtained for  $D_T/\mu$  and  $\sigma_{T,0}^{MC}$  between the results 750 of this analysis applied to Monte Carlo data and the known input values. 751 The y-intercept of the fitted lines represents the inferred intrinsic, height-752 independent transverse width of the alpha tracks, prior to CCD digitization 753 and readout. The zero-drift-length transverse widths listed in Table 2 are 754 comparable across each of the four CCDs, with variations arising because 755 these parameters depend not only on the width of the alpha tracks in ab-756 sence of diffusion, but also on a number of effects presently not incorporated 757 in the Monte Carlo simulation including the secondary electron avalanche 758 width, the amplification stage grid spacing (254  $\mu$ m), the imperfect focus of each CCD, and lateral straggling of the alphas themselves, with the latter 760 expected to be dominant. None of these effects, however, are expected to 761 vary with source height. This assumption is validated by the similar slopes 762 of transverse moment vs. alpha source height for all four CCD cameras (see 763 Figure 15).

## 9. Conclusions

The 4Shooter detector has been built and commissioned in a surface laboratory at MIT. The detector performance, including the CCD and charge readout energy calibrations, gas gain measurements and transverse electron diffusion, has been described. Additional studies are underway, including a neutron calibration run to measure the track angle reconstruction resolution

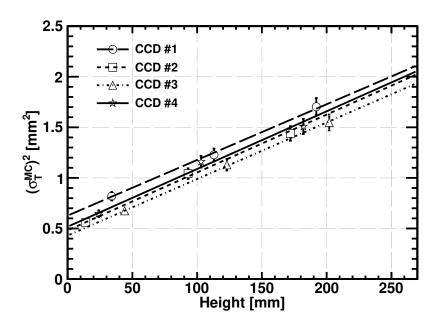


Figure 15: The spatial gaussian smearing before digitization and readout required in the Monte Carlo to match the mean reconstructed transverse moment of alpha tracks in the data. For this study, alpha sources were placed at varying heights z above the amplification stage in the 4Shooter in 60 Torr CF<sub>4</sub> with a 635 V anode bias and a 187 V/cm drift field. The lines represent fits of Equation 5 to the data for each CCD separately.

CCD #	$D_T/\mu$ [V]	$\sigma_{T,0}^{MC} \; [\mathrm{mm}]$
1	$0.052 \pm 0.005$	$0.79 \pm 0.05$
2	$0.054 \pm 0.005$	$0.69 \pm 0.04$
3	$0.052 \pm 0.005$	$0.66 \pm 0.07$
4	$0.053 \pm 0.005$	$0.72 \pm 0.05$

Table 2: The electron transverse diffusion constant  $D_T/\mu$  and the inferred transverse width of the alpha tracks at zero drift length prior to CCD readout and digitization  $\sigma_{T,0}^{MC}$ , based on a fit to Equation 5, as described in the text. Data was taken in 60 Torr CF<sub>4</sub> with a 635 V anode bias and a 187 V/cm drift field. The errors have been estimated from comparing the results of the calibration procedure on purely Monte Carlo datasets to the known input diffusion. This result agrees with the published value of  $D_T/\mu = 0.051$  V for our operating point of E/N = 9.5 Td [52].

and head-tail reconstruction efficiency at low recoil energies.

The 4Shooter detector was designed as a prototype for the cubic-meter scale detector (DMTPCino), not to set competitive limits on WIMP-proton spin-dependent interactions. That said, we have shown [43] that the 4Shooter nuclear recoil detection efficiency is 50% at 50 keV<sub>r</sub>, which gives a spin-dependent WIMP-proton cross-section reach of  $5 \times 10^{-37}$  cm<sup>2</sup> at a WIMP mass of  $100 \text{ GeV/c}^2$  if run background-free for one live-year. Under the same conditions, the DMTPCino detector sensitivity would be a factor of 50 better (1 × 10<sup>-38</sup> cm<sup>2</sup>), and comparable to the current leading limits from COUPP [74] and SIMPLE [75].

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