

UC Irvine

UC Irvine Previously Published Works

Title

The design and fabrication of one-dimensional random surfaces with specified scattering properties

Permalink

<https://escholarship.org/uc/item/5c8704tj>

Journal

Physics of the Solid State, 41(5)

ISSN

1063-7834

Authors

Leskova, T. A
Maradudin, A. A
Mendez, E. R
[et al.](#)

Publication Date

1999-05-01

DOI

10.1134/1.1130886

Copyright Information

This work is made available under the terms of a Creative Commons Attribution License, available at <https://creativecommons.org/licenses/by/4.0/>

Peer reviewed

The design and fabrication of one-dimensional random surfaces with specified scattering properties

T. A. Leskova

Institute of Spectroscopy, Russian Academy of Sciences, 142092 Troitsk, Moscow District, Russia

A. A. Maradudin^{*})

Department of Physics and Astronomy and Institute for Surface and Interface Science, University of California, Irvine, CA 92697 USA

E. R. Méndez

División de Física Aplicada Centro de Investigación Científica y de Educación Superior de Ensenada, Apartado Postal 2732, Ensenada, Baja California, 22800 México

A. V. Shchegrov

Rochester Theory Center for Optical Science and Engineering, Department of Physics and Astronomy, University of Rochester, Rochester, NY 14627 USA

Fiz. Tverd. Tela (St. Petersburg) 41, 918–924 (May 1999)

We describe methods for designing and fabricating one-dimensional random surfaces that scatter light uniformly within a specified range of scattering angles, and produce no scattering outside this range. These methods are tested by means of computer simulations. Preliminary experimental results are presented. © 1999 American Institute of Physics.
[S1063-7834(99)04305-1]

The first theoretical study of the scattering of light from a randomly rough surface was published by Mandel'shtam in 1913, in the context of the scattering of light from a liquid surface.¹ In the succeeding years, the overwhelming majority of the theoretical work in this field has continued to be devoted to the solution of such direct problems, namely, given the statistical properties of a random surface, to calculate the angular and polarization dependence of the intensity of the scattered light. In contrast, in this paper we study theoretically and experimentally an inverse problem in rough surface scattering, namely, the design and fabrication of a random surface that scatters light in a prescribed way.

For many practical applications, it is desirable to have optical elements whose light-scattering properties can be controlled. In particular, a non-absorbing diffuser that scatters light uniformly within a specified range of scattering angles, and produces no scattering outside this range, would have applications, for example, to projection systems, where it is important to produce even illumination without wasting light. We will call such an element a band-limited uniform diffuser.

The design of uniform diffusers has been considered by several authors. The case of binary diffusers has been studied by Kurtz,² and work on special cases of one-dimensional diffusers has been reported by Kurtz *et al.*³ and by Nakayama and Kato.⁴ Some work on the more general two-dimensional case has been carried out by Kowalczyk.⁵ In addition, diffractive optical elements that scatter light uniformly throughout specified angular regions have recently become commercially available. These elements, however, are not truly random, and possess the desired characteristics

over only a relatively narrow range of wavelengths.

Despite the interest in the problem, there are no clear procedures at present for designing and fabricating random, band-limited, uniform diffusers, and it is unclear what kind of statistics are required for the production of such an optic element. In this paper, extending earlier work by the authors,^{6,7} we address these questions for the case of one-dimensional diffusers. We illustrate the ideas involved by considering the scattering of *s*-polarized light from a one-dimensional, randomly rough, perfectly conducting surface. By working within the Kirchhoff approximation, and justify this approach by taking the geometrical optics limit of this approximation, we describe methods for designing and fabricating achromatic, random, uniform diffusers of light, and test these methods by computer simulations and experimentally.

1. LIGHT SCATTERING IN THE GEOMETRICAL OPTICS LIMIT OF THE KIRCHHOFF APPROXIMATION

To justify the calculations that follow, we begin by considering the scattering of *s*-polarized light from a one-dimensional, randomly rough, perfectly conducting surface defined by $x_3 = \zeta(x_1)$. The region $x_3 > \zeta(x_1)$ is vacuum, the region $x_3 < \zeta(x_1)$ is the perfect conductor. The plane of incidence is the x_1x_3 -plane. The surface-profile function $\zeta(x_1)$ is assumed to be a differentiable, single-valued function of x_1 , and to constitute a random process, but not necessarily a stationary one.

The surface is illuminated from the vacuum region. The single nonzero component of the total electric field in this

region is the sum of an incident wave and of the scattered field

$$E_2(x_1, x_3 | \omega) = \exp[ikx_1 - i\alpha_0(k)x_3] + \int_{-\infty}^{\infty} \frac{dq}{2\pi} R(q|k) \exp[iqx_1 + i\alpha_0(q)x_3], \tag{1.1}$$

where $\alpha_0(q) = [(\omega/c)^2 - q^2]^{1/2}$, $\text{Re } \alpha_0(q) > 0$, $\text{Im } \alpha_0(q) > 0$, and ω is the frequency of the incident light. A time dependence of the form of $\exp(-i\omega t)$ is assumed, but explicit reference to it is suppressed.

In the Kirchhoff approximation, which we adopt here for simplicity, the scattering amplitude $R(q|k)$ is given by

$$R(q|k) = \frac{-i}{2\alpha_0(q)} \int_{-\infty}^{\infty} dx_1 F(x_1 | \omega) \times \exp[-iqx_1 - i\alpha_0(q)\zeta(x_1)], \tag{1.2}$$

where the source function $F(x_1 | \omega)$ is

$$F(x_1 | \omega) = 2 \left(-\zeta'(x_1) \frac{\partial}{\partial x_1} + \frac{\partial}{\partial x_3} \right) \times E_2(x_1, x_3 | \omega)_{\text{inc}}|_{x_3 = \zeta(x_1)}. \tag{1.3}$$

Substitution of Eq. (1.3) into Eq. (1.2), followed by an integration by parts, yields the result that

$$R(q|k) = \frac{\omega^2/c^2 + \alpha_0(q)\alpha_0(k) - qk}{\alpha_0(q)[\alpha_0(q) + \alpha_0(k)]} \times \int_{-\infty}^{\infty} dx_1 \exp[-i(q-k)x_1 - ia\zeta(x_1)], \tag{1.4}$$

where, to simplify the notation, we have defined $a = \alpha(q) + \alpha_0(k)$.

The mean differential reflection coefficient $\langle \partial R_s / \partial \theta_s \rangle$, which is defined such that $\langle \partial R_s / \partial \theta_s \rangle d\theta_s$ gives the fraction of the total, time-averaged, flux incident on the surface that is scattered into the angular interval $(\theta_s, \theta_s + d\theta_s)$, is given in terms of $R(q|k)$ by

$$\left\langle \frac{\partial R_s}{\partial \theta_s} \right\rangle = \frac{1}{L_1} \frac{\omega}{2\pi c} \frac{\cos^2 \theta_s}{\cos \theta_0} \langle |R(q|k)|^2 \rangle, \tag{1.5}$$

where the angle brackets denote an average over the ensemble of realizations of the surface profile function $\zeta(x_1)$, θ_0 and θ_s are the angles of incidence and scattering respectively, which are related to the wave numbers k and q by $k = (\omega/c) \sin \theta_0$ and $q = (\omega/c) \sin \theta_s$, and L_1 is the length of the x_1 -axis covered by the random surface.

With the use of Eq. (1.4) the average $\langle |R(q|k)|^2 \rangle$ entering Eq. (1.5) can be written as

$$\langle |R(q|k)|^2 \rangle = \left[\frac{1 + \cos(\theta_0 + \theta_s)}{\cos \theta_s (\cos \theta_0 + \cos \theta_s)} \right]^2 \times \int_{-\infty}^{\infty} dx_1 \int_{-\infty}^{\infty} dx'_1 \exp[-i(q-k)(x_1 - x'_1)] \langle \exp[-ia(\zeta(x_1) - \zeta(x'_1))] \rangle. \tag{1.6}$$

We focus on the integral in Eq. (1.6). With the change of variable $x'_1 = x_1 + u$ it becomes

$$I(q|k) = \int_{-\infty}^{\infty} dx_1 \int_{-\infty}^{\infty} du \exp[i(q-k)u] \times \langle \exp[-ia(\zeta(x_1) - \zeta(x_1 + u))] \rangle. \tag{1.7}$$

The geometrical optics limit of the Kirchhoff approximation is obtained by expanding the difference $\zeta(x_1) - \zeta(x_1 + u)$ in Eq. (1.7) in power of u and retaining only the leading non-zero term:

$$I(q|k) \cong \int_{-\infty}^{\infty} dx_1 \int_{-\infty}^{\infty} du \exp[i(q-k)u] \times \langle \exp[ia u \zeta'(x_1)] \rangle. \tag{1.8}$$

Because we have not assumed $\zeta(x_1)$ to be a stationary random process, we cannot assume that $\zeta'(x_1)$ is a stationary random process. The average $\langle \exp[ia u \zeta'(x_1)] \rangle$, therefore, has to be assumed to be a function of x_1 , and we cannot out the integral over x_1 to yield a factor of L_1 , as we could if $\zeta(x_1)$ were a stationary random process.

2. DESIGN OF A BAND-LIMITED UNIFORM DIFFUSER

To evaluate the average in Eq. (1.8) we begin by writing the surface-profile function $\zeta(x_1)$ in the form

$$\zeta(x_1) = \sum_{l=-\infty}^{\infty} c_l s(x_1 - 2lb), \tag{2.1}$$

where the $\{c_l\}$ are independent, positive, random deviates. These properties of the $\{c_l\}$ are dictated by the fabrication process, described in Section 4. The function $s(x_1)$ is defined by

$$s(x_1) = \begin{cases} 0, & x_1 < -(m+1)b, \\ -(m+1)bh - hx_1, & -(m+1)b < x_1 < -mb, \\ -bh, & -mb < x_1 < mb, \\ -(m+1)bh + hx_1, & mb < x_1 < (m+1)b, \\ 0, & (m+1)b < x_1, \end{cases} \tag{2.2}$$

where m is a positive integer and b is a characteristic length.

The derivative of the surface-profile function, $\zeta'(x_1)$, is then given by

$$\zeta'(x_1) = \sum_{l=-\infty}^{\infty} c_l d(x_1 - 2lb), \tag{2.3}$$

where

$$d(x_1) = \begin{cases} 0, & x_1 < -(m+1)b, \\ -h, & -(m+1)b < x_1 < -mb, \\ 0, & -mb < x_1 < mb, \\ h, & mb < x_1 < (m+1)b, \\ 0, & (m+1)b < x_1. \end{cases} \tag{2.4}$$

The function $s(x_1)$ and $d(x_1)$ are shown in Fig. 1.

In what follows the surface will be sampled at the set of equally spaced points $\{x_p\}$ defined by

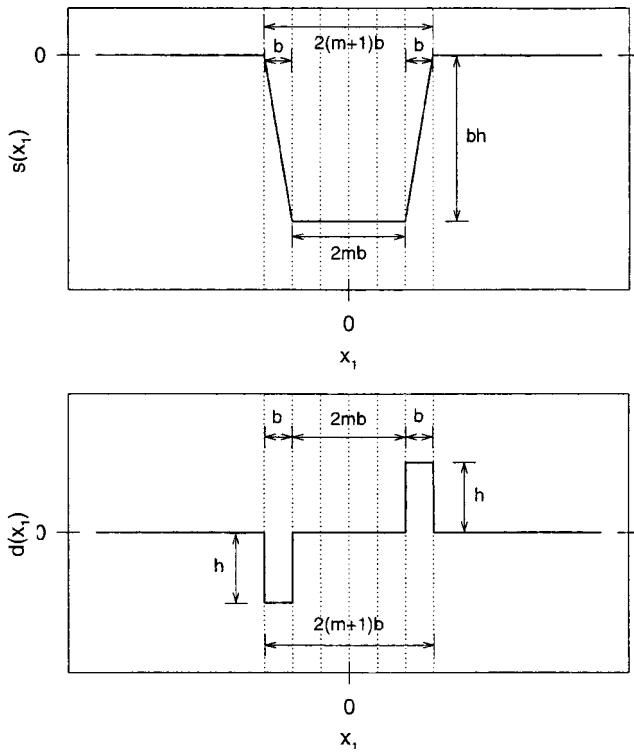


FIG. 1. The functions $s(x_1)$ and $d(x_1)$.

$$x_p = \left(p + \frac{1}{2}\right) b/Np = 0, \pm 1, \pm 2, \dots, \quad (2.5)$$

where N is a large positive integer. None of these values of x_p equals an integer multiple of b , at which $d(x_1)$ is discontinuous.

When the probability-density function (pdf) of c_l ,

$$f(\gamma) = \langle \delta(\gamma - c_l) \rangle, \quad (2.6)$$

is known, a long sequence of the $\{c_l\}$ can be generated, e. g. by the rejection method,⁷ from which the surface profile function $\zeta(x_1)$ can be obtained by the use of Eqs. (2.1) and (2.2). We note that, since the $\{c_l\}$ are positive random deviates, $f(\gamma)$ will be nonzero only for positive values of γ .

The average $\langle \exp iau \zeta'(x_1) \rangle$ can now be written as

$$\begin{aligned} \langle \exp iau \zeta'(x_1) \rangle &= \left\langle \exp \left\{ iau \sum_{l=-\infty}^{\infty} c_l d(x_1 - 2lb) \right\} \right\rangle \\ &= \left\langle \prod_{l=-\infty}^{\infty} \exp \{ iau c_l d(x_1 - 2lb) \} \right\rangle \\ &= \prod_{l=-\infty}^{\infty} \langle \exp \{ iau c_l d(x_1 - 2lb) \} \rangle, \end{aligned} \quad (2.7)$$

where the independence of the $\{c_l\}$ has been used in the last step. With the form of $d(x_1)$ given by Eq. (2.4), for any value of x_1 chosen from the set of sampling points $\{x_p\}$ given by Eq. (2.5) only one factor in the infinite product on

the right hand side of Eq. (2.7) is different from unity. Indeed, we find for $m=2$ that when $2nb < x_1 < (2n+1)b$ ($n=0, \pm 1, \pm 2, \dots$)

$$\begin{aligned} \langle \exp iau \zeta'(x_1) \rangle &= \langle \exp \{ iau h c_{n-1} \} \rangle \\ &= \int_{-\infty}^{\infty} d\gamma f(\gamma) \exp(iauh\gamma), \end{aligned} \quad (2.8a)$$

while when $(2n-1)b < x_1 < 2nb$ ($n=0, \pm 1, \pm 2, \dots$)

$$\begin{aligned} \langle \exp iau \zeta'(x_1) \rangle &= \langle \exp \{ -iauh c_{n+1} \} \rangle \\ &= \int_{-\infty}^{\infty} d\gamma f(\gamma) \exp(-iauh\gamma). \end{aligned} \quad (2.8b)$$

When the results given by Eqs. (2.8) are substituted into Eq. (1.8), the latter becomes

$$\begin{aligned} I(q|k) &= \sum_n \int_{2nb}^{(2n+1)b} dx_1 \int_{-\infty}^{\infty} du \exp[i(q-k)u] \\ &\quad \times \int_{-\infty}^{\infty} d\gamma f(\gamma) \exp(ia\gamma hu) \\ &\quad + \sum_n \int_{(2n-1)b}^{2nb} dx_1 \int_{-\infty}^{\infty} du \exp[i(q-k)u] \\ &\quad \times \int_{-\infty}^{\infty} d\gamma f(\gamma) \exp(-ia\gamma hu) \\ &= \frac{L_1}{2} \int_{-\infty}^{\infty} du \exp[i(q-k)u] \int_{-\infty}^{\infty} d\gamma f(\gamma) \\ &\quad \times [\exp(ia\gamma hu) + \exp(-ia\gamma hu)] \\ &= \pi L_1 \int_{-\infty}^{\infty} d\gamma f(\gamma) [\delta(q-k+ah\gamma) \\ &\quad + \delta(q-k-ah\gamma)] \\ &= \frac{\pi L_1}{ah} \left[f\left(\frac{k-q}{ah}\right) + f\left(\frac{q-k}{ah}\right) \right]. \end{aligned} \quad (2.9)$$

We note that although Eqs. (2.8) were obtained for the case that $m=2$, the result given by Eq. (2.9) is valid for any m .

When the results given by Eqs. (1.7), (1.8) and (2.9) are substituted into Eq. (2.6), we find that the mean differential reflection coefficient is given by

$$\begin{aligned} \left\langle \frac{\partial R_s}{\partial \theta_s} \right\rangle &= \frac{1}{2h} \frac{[1 + \cos(\theta_0 + \theta_s)]^2}{\cos \theta_0 (\cos \theta_0 + \cos \theta_s)^3} \\ &\quad \times \left[f\left(\frac{\sin \theta_0 - \sin \theta_s}{h(\cos \theta_0 + \cos \theta_s)}\right) \right. \\ &\quad \left. + f\left(\frac{\sin \theta_s - \sin \theta_0}{h(\cos \theta_0 + \cos \theta_s)}\right) \right]. \end{aligned} \quad (2.10)$$

Thus, we find that, in the geometrical optics limit of the Kirchhoff approximation, the mean differential reflection coefficient is determined by the pdf $f(\gamma)$ of the coefficient c_l entering the expansions (2.1) and (2.3). We also note that it is independent of the wavelength of the incident light.

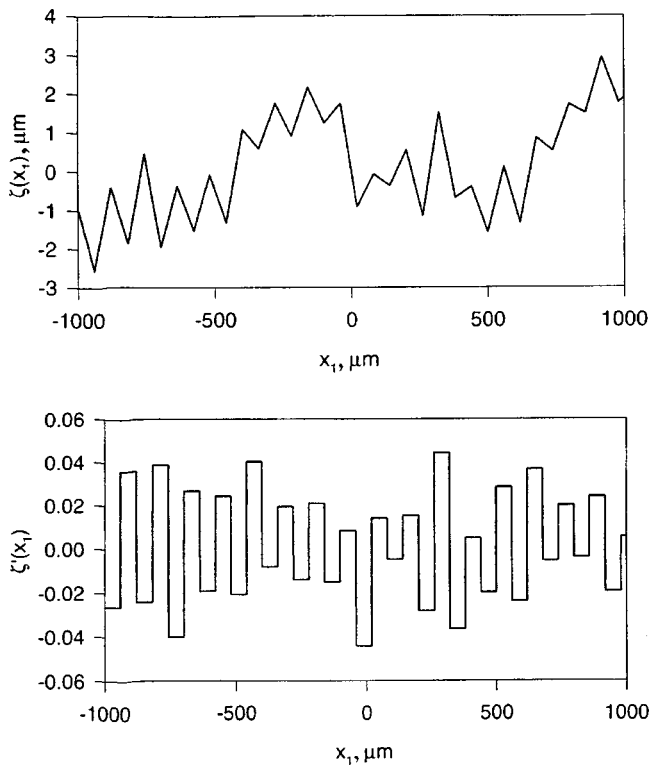


FIG. 2. Numerical generation of a surface profile and its derivative. The parameters employed are $b=60\ \mu\text{m}$, $m=1$, $\gamma_m=1$ and $\theta_m=5^\circ$.

The result given by Eq. (2.10) simplifies significantly in the case of normal incidence, $\theta_0=0^\circ$:

$$\left\langle \frac{\partial R_s}{\partial \theta_s} \right\rangle = \left(1 + \tan^2 \frac{\theta_s}{2} \right) \frac{f\left(-\frac{1}{h} \tan \frac{\theta_s}{2}\right) + f\left(\frac{1}{h} \tan \frac{\theta_s}{2}\right)}{4h}. \quad (2.11)$$

The mean differential reflection coefficient given by this result is normalized to unity,

$$\int_{-\pi/2}^{\pi/2} d\theta_s \left\langle \frac{\partial R_s}{\partial \theta_s} \right\rangle = 1. \quad (2.12)$$

From the result given by Eq. (2.11) we find that if we wish a constant value for $\langle \partial R / \partial \theta_s \rangle$ for $-\theta_m < \theta_s < \theta_m$, we must choose

$$f(\gamma) = \frac{h}{\tan^{-1} \gamma_m h} \frac{\theta(\gamma)\theta(\gamma_m - \gamma)}{1 + \gamma^2 h^2}, \quad (2.13)$$

where $\gamma_m = [\tan(\theta_m/2)]/h$, because in this case

$$\left\langle \frac{\partial R_s}{\partial \theta_s} \right\rangle = \frac{\theta(\theta_m - |\theta_s|)}{2\theta_m}. \quad (2.14)$$

It is worth noting that, if the maximum scattering angle $\theta_m = 2 \tan^{-1}(h\gamma_m)$ is small enough, e.g., $\theta_m=20^\circ$, so that $\gamma_m h = 0.1763$, with little error we can neglect $\gamma^2 h^2$ compared to unity in the denominator on the right-hand side of Eq. (2.13) ($\gamma^2 h^2 < \gamma_m^2 h^2 = 0.0311$), and can replace $\tan^{-1} \gamma_m h$ by $\gamma_m h$ as well ($\tan^{-1} \gamma_m h = 0.1745$), to obtain for $f(\gamma)$ the simple form

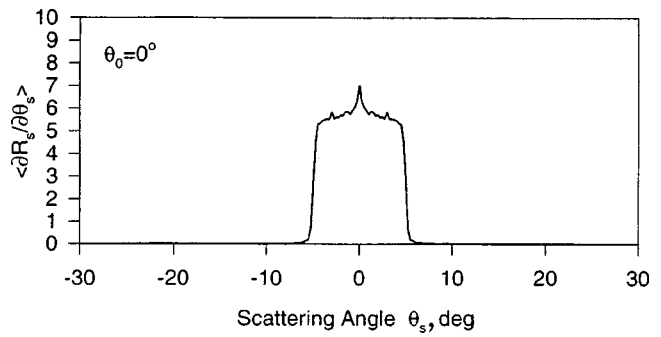


FIG. 3. The mean differential-reflection coefficient for normal incidence calculated from $N_p=3000$ realizations of the surface profile function. The parameters employed are $\lambda=0.6328\ \mu\text{m}$, $b=60\ \mu\text{m}$, $m=1$, $\gamma_m=1$, and $\theta_m=5^\circ$. The sampling interval on the surface was $\Delta x=b/N=0.2\ \mu\text{m}$ ($N=300$), and the length of the surface was $L_1=2000\ \mu\text{m}$.

$$f(\gamma) \cong \theta(\gamma) \theta(\gamma_m - \gamma) / \gamma_m. \quad (2.15)$$

If the required maximum scattering angle is not small, one has to use the result given by Eq. (2.13) for $f(\gamma)$.

3. COMPUTER SIMULATIONS

The approach to the design of band-limited uniform diffrusers presented in the preceding sections was tested by means of computer simulation calculations. One-dimensional random surfaces were generated numerically on the basis of Eqs. (2.1) and (2.2) with the coefficients $\{c_i\}$ determined by the rejection method with the use of the pdf (2.15). As an example, we show in Fig. 2 a realization of a sample profile and its derivative, generated in this way.

For a given surface profile the scattering amplitude $R(q|k)$ can be calculated in the Kirchhoff approximation, but without passing to the geometrical optics limit, from Eq. (1.4). The mean differential-reflection coefficient can then be calculated from Eq. (1.5) by generating a large number N_p of surface profiles and averaging over the resulting scattering distributions. In Fig. 3 we show an example of a calculated mean differential-reflection coefficient determined by averaging results obtained for 3000 realizations of the surface profile function. It is seen that the scattering distribution is close to the desired result. There is almost no light outside the range $-\theta_m < \theta_s < \theta_m$ and, apart from a small peak in the specular direction, the distribution is fairly uniform. This peak is part of the diffuse component of the scattered light, as the specular component is negligible in this case. It is due to the fact that our analysis is based on the geometrical optics approximation, and it is worth discussing this point in more detail.

We see from Eqs. (2.11) and (2.15) that, in the geometrical optics limit of the Kirchhoff approximation, the scattering distribution consists of two rectangular distributions, and it is clear that diffraction effects will smooth these two contributions. The peak observed in the specular direction in the scattering distribution plotted in Fig. 3 is due to the overlap of the tails of the two distributions predicted on the basis of the geometrical optics approximation. To illustrate this point

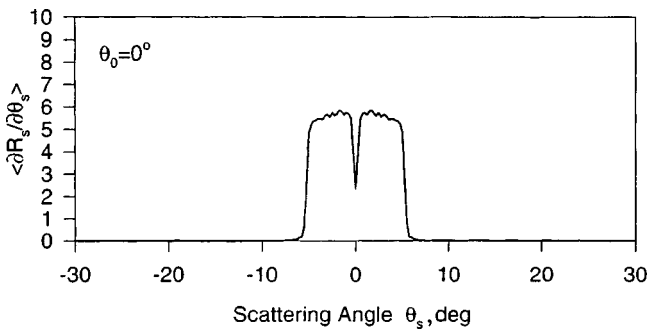


FIG. 4. The same as Fig. 3, but with random deviates $\{c_i\}$ drawn from the distribution given by Eq. (3.1) with $\varepsilon = 0.05$.

we present, in Fig. 4, a mean differential-reflection coefficient for the case in which the random numbers are generated from a drc of the form

$$f(\gamma) = \theta(\gamma - \varepsilon)\theta(\gamma_m + \varepsilon - \gamma) / \gamma_m, \quad (3.1)$$

where $\varepsilon = 0.05$. In our approximation, the scattering distribution is then given by

$$\left\langle \frac{\partial R_s}{\partial \theta_s} \right\rangle \cong \frac{1}{4\gamma_m h} \left[\theta\left(-\frac{\theta_s}{2h} - \varepsilon\right)\theta\left(\gamma_m + \varepsilon + \frac{\theta_s}{2h}\right) + \theta\left(\frac{\theta_s}{2h} - \varepsilon\right)\theta\left(\gamma_m + \varepsilon - \frac{\theta_s}{2h}\right) \right], \quad (3.2)$$

where the smallness of θ_m has been used to obtain this result. It can be seen that this distribution agrees well with the result shown in Fig. 4, the main difference being that, in the numerical results, the two sections of the scattering distribution are not completely separated due to the overlap of their tails, which give rise to a dip in $\langle \partial R_s / \partial \theta_s \rangle$. Thus, a value of ε intermediate between 0 and 0.5 should yield an approximately flat scattering curve. That this is the case is shown in Fig. 5, where $\langle \partial R_s / \partial \theta_s \rangle$ is plotted for a surface the basis of the pdf (3.1) with $\varepsilon = 0.01$, and for the same values of θ_0, b, m, γ_m , and θ_m used in obtaining Figs. 3 and 4. Results are presented for three wavelengths of the incident light: a — $\lambda = 0.6328 \mu\text{m}$ (He-Ne laser); b — $\lambda = 0.532 \mu\text{m}$ (the second harmonic of the YAG laser); c — $\lambda = 0.442 \mu\text{m}$ (He-Cd laser). These wavelengths cover the entire visible region of the optical spectrum. For each wavelength the result for $\langle \partial R_s / \partial \theta_s \rangle$ is seen to consist of a nearly constant scattered intensity for θ_s between -5° and $+5^\circ$, and a zero scattered intensity outside this interval. Moreover, these re-

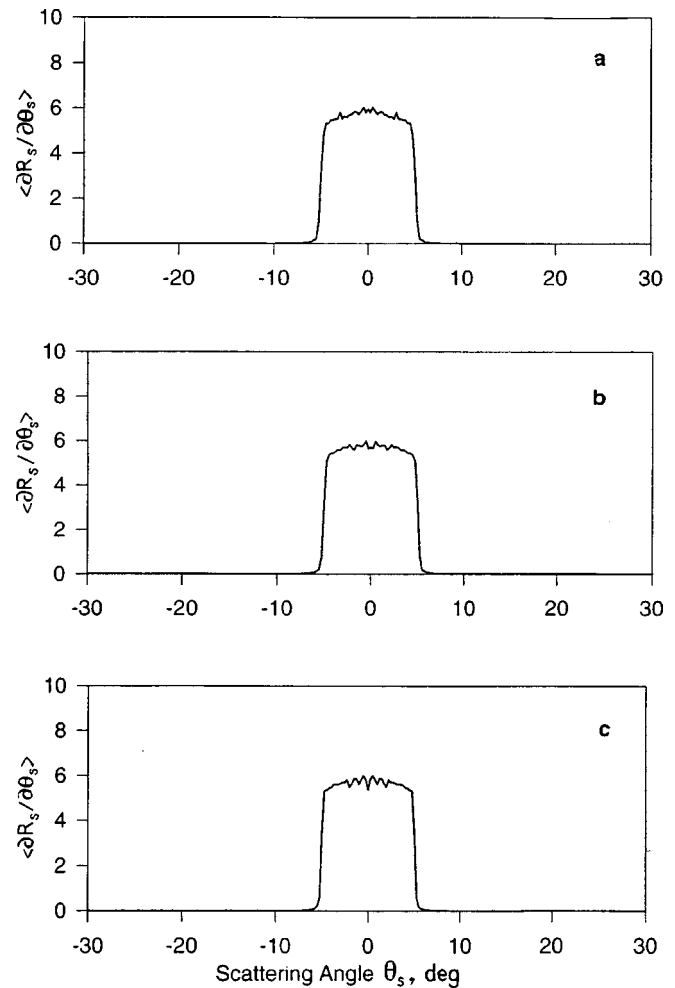


FIG. 5. The same as Fig. 4, but with $\varepsilon = 0.01$. a — $\lambda = 0.6328 \mu\text{m}$; b — $\lambda = 0.532 \mu\text{m}$; c — $\lambda = 0.442 \mu\text{m}$.

sults confirm the expected independence of the scattering pattern from the wavelength of the incident light over a significant range of wavelengths.

4. EXPERIMENTAL RESULTS

A schematic diagram of the optical system used in our efforts to fabricate the kind of surface studied in this paper is shown in Fig. 6. The illumination is provided by a He-Cd laser (wavelength $\lambda = 442 \text{ nm}$). An optical system concentrates the light transmitted through a rotating ground glass on

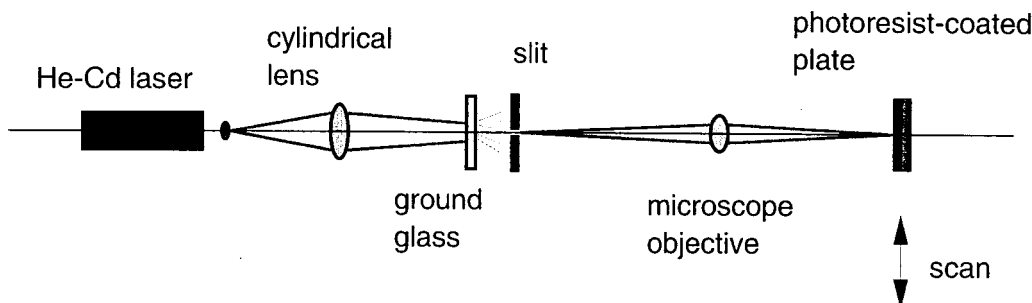


FIG. 6. Schematic diagram of the experimental arrangement employed for the fabrication of the diffusers.

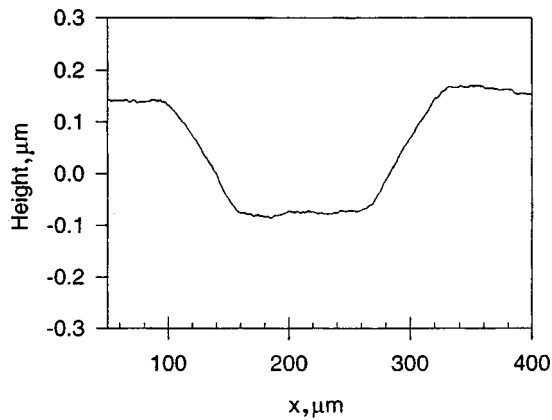


FIG. 7. Measured profile that illustrates the experimental realization of the function $s(x_1)$. The profile was measured by means of a Dektak^(st) mechanical profilometer.

a slit, providing illumination that is effectively incoherent. An incoherent image of the slit is formed by an $\times 1$ (numerical aperture 0.05) microscope objective on a photoresist-coated glass plate.

The width of the slit is approximately $l = 180 \mu\text{m}$, and its incoherent image has a nearly rectangular shape (smoothed by diffraction). In order to fabricate grooves with the desired trapezoidal shape on the photoresist, the plate is exposed while executing a scan of length $b = l/(2m + 1)$. This procedure generates, basically, a function $s(x_1)$ with the shape defined by Eq. (2.2). The depth of the groove is determined by the time of exposure. An example of such a fabricated groove is shown in Fig. 7, which presents the measured surface profile of a section of a photoresist plate that was exposed in this fashion. Although the corners are not as sharp as the ones in Fig. 1a, the result approximates the desired shape quite well.

The photoresist plate is exposed to grooves generated in this fashion, with random depths and displaced sequentially in steps of $2b$. Several hundred uncorrelated random numbers $\{c_l\}$ are generated in the computer with the specified $f(\gamma)$. At each position $x_1 = 2bl$, the exposure time of the groove is proportional to the random number c_l generated in the computer.⁸

In Fig. 8 we present a profilometer trace of one of the samples fabricated according to Eq. (2.1). The faceted nature

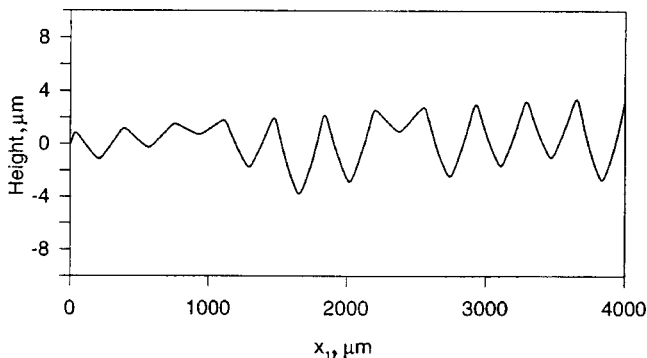


FIG. 8. Measured segment of a surface profile for a fabricated sample. The parameters are $b = 60 \mu\text{m}$, $m = 0$.

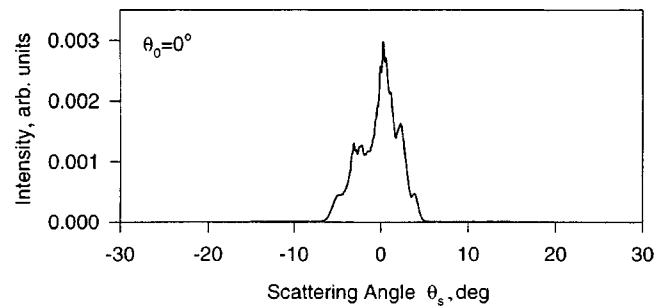


FIG. 9. Experimental result for the angular dependence of the intensity of s -polarized light of wavelength $\lambda = 0.6328 \mu\text{m}$ transmitted through a photoresist film. The angle of incidence is $\theta_0 = 0^\circ$. The illuminated surface of the film is a one-dimensional random surface through which light is transmitted within the angle $-5^\circ < \theta_s < 5^\circ$, and is not transmitted outside this range.

of the surface is clearly visible in Fig. 8. In the example displayed, we chose $m = 0$, which produces a function $s(x_1)$ of triangular rather than trapezoidal form. The resulting symmetric triangular indentations are clearly visible in the figure. Thus, these preliminary results indicate that the proposed fabrication method is able to produce random uniform diffusers.

In order to study experimentally the scattering properties of these photoresist diffusers in reflection they would have had to be coated with a thin metallic layer. Instead, we studied these properties in the simpler case of the transmission of s -polarized light through them. Although the theoretical work motivating the method for fabricating the uniform diffusers described in the preceding sections was based on reflection, an analysis carried out within the framework of the geometrical optics limit of the thin-phase screen model⁹ shows that surfaces that act as band-limited uniform diffusers in reflection also act as uniform band-limited diffusers in transmission, although the maximum scattering angle θ_m in transmission is different than it is in reflection.¹⁰ However, the transmission patterns obtained with the diffusers fabricated up to now, although band-limited, are not uniform (Fig. 9). Large intensity fluctuations are present in the angular region in which a constant intensity would be expected. The origin of these fluctuations is the small number of randomly oriented facets that are etched in our surfaces. They represent, simply, statistical noise. For the lengths of the surfaces that we have fabricated only about two hundred random numbers c_l are employed. Efforts are currently under way to fabricate surfaces with a larger number of randomly oriented facets.

5. SUMMARY AND CONCLUSIONS

In this paper we have described approaches to designing and fabricating one-dimensional, random, band-limited, uniform diffusers. These approaches are well suited for the generation of such surfaces on photoresist. The results of computer simulations, and some preliminary experimental results, indicate that uniform band-limited diffusers can be fabricated by the method proposed.

The design of band-limited uniform diffusers is but one interesting inverse problem involving the design of random

surfaces with specified scattering properties. The design of a Lambertian diffuser, namely a random surface that produces a scattered intensity proportional to the cosine of the polar scattering angle, is another.¹¹ Finally, the design and fabrication of two-dimensional random surfaces with specified light scattering properties pose interesting theoretical and experimental challenges. Some first steps in this direction have been taken recently,¹² but more remains to be done.

This paper is dedicated to the A. F. Ioffe Physico-technical Institute on the occasion of its 80th anniversary, with best wishes for many more years of significant contributions to science. The work reported here was supported in part by Army Research Office Grants DAAH 04-96-1-0187 and DAAG 55-98-C-0034.

*)E-mail: aamaradu@usi.edu

¹L. I. Mandel'shtam, *Ann. Phys. (Leipzig)* **41**, 609 (1913).

²C. N. Kurtz, *J. Opt. Soc. Am.* **62**, 929 (1972).

³C. N. Kurtz, H. O. Hoadley, and J. J. DePalma, *J. Opt. Soc. Am.* **63**, 1080 (1973).

⁴Y. Nakayama and M. Kato, *Appl. Opt.* **21**, 1410 (1982).

⁵M. Kowalczyk, *J. Opt. Soc. Am. A* **1**, 192 (1984).

⁶E. R. Méndez, G. Martínez-Niconoff, A. A. Maradudin, and T. A. Leskova, *SPIE* **3426** (1998), in print.

⁷W. H. Press, S. A. Teukolsky, W. T. Vetterling, and B. P. Flannery, *Numerical Recipes, in Fortran, 2nd Edition* (Cambridge University Press, N.Y., 1992), p. 281.

⁸E. R. Méndez, M. A. Ponce, V. Ruiz-Cortés, and Zu-Han Gu, *Appl. Opt.* **30**, 4103 (1991).

⁹W. T. Welford, *Opt. Quantum Electron.* **9**, 269 (1977).

¹⁰T. A. Leskova, A. A. Maradudin, I. V. Novikov, A. V. Schchegrov, and E. R. Méndez, *Technical Report N98-2* (University of California, Irvine, Department of Physics and Astronomy, 1998).

¹¹H. P. Baltes, in *Inverse Scattering Problems in Optics*, edited by H. P. Baltes, (Springer-Verlag, N.Y. 1998), p. 1.

¹²E. R. Méndez, G. Martínez-Niconoff, A. A. Maradudin, and T. A. Leskova, *Proc. Reunion Iberoamericana de Optica, Cartagena, Columbia (1998)*, in press.

Published in English in the original Russian journal. Reproduced here with stylistic changes by the Translation Editor.