

# The Detector System of the Daya Bay Reactor Neutrino Experiment

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**Abstract**

The Daya Bay experiment was the first to report simultaneous measurements of reactor antineutrinos at multiple baselines leading to the discovery of  $\bar{\nu}_e$  oscillations over km-baselines. Subsequent data has provided the world's most precise measurement of  $\sin^2 2\theta_{13}$  and the effective mass splitting  $\Delta m_{ee}^2$ . The experiment is located in Daya Bay, China where the cluster of six nuclear reactors is among the world's most prolific sources of electron antineutrinos. Multiple antineutrino detectors are deployed in three underground water pools at different distances from the reactor cores to search for deviations in the antineutrino rate and energy spectrum due to neutrino mixing. Instrumented with photomultiplier tubes, the water pools serve as shielding against natural radioactivity from the surrounding rock and provide efficient muon tagging. Arrays of resistive plate chambers over the top of each pool provide additional muon detection. The antineutrino detectors were specifically designed for measurements of the antineutrino flux with minimal systematic uncertainty. Relative detector efficiencies between the near and far detectors are known to better than 0.2%. With the unblinding of the final two detectors' baselines and target masses, a complete description and comparison of the eight antineutrino detectors can now be presented. This paper describes the Daya Bay detector systems, consisting of eight antineutrino detectors in three instrumented water pools in three underground halls, and their operation through the first year of eight detector data-taking.

*Keywords:* neutrino oscillation, neutrino mixing, reactor, Daya Bay

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1 **1. Introduction**

2 The Daya Bay experiment was the first to measure a nonzero  $\theta_{13}$  with a  
 3 significance of  $\geq 5$  standard deviations [1] and continues to provide the most  
 4 precise measurement of  $\theta_{13}$  [2, 3]. In the generally accepted three-neutrino  
 5 framework, neutrino oscillations are described by three mixing angles ( $\theta_{12}$ ,  
 6  $\theta_{23}$ , and  $\theta_{13}$ ), two mass-squared differences ( $\Delta m_{31}^2$  and  $\Delta m_{21}^2$ ), and a phase in  
 7 the Pontecorvo-Maki-Nakagawa-Sakata matrix [4, 5]. Prior to Daya Bay,  $\theta_{13}$   
 8 was poorly known, constrained by a  $\sin^2 2\theta_{13} \leq 0.17$  limit from the Chooz ex-  
 9 periment [6] and several low statistics measurements by T2K [7], MINOS [8]  
 10 and Double Chooz [9] that indicated that  $\theta_{13}$  could be non-zero.

11 For reactor-based experiments,  $\theta_{13}$  can be extracted from the survival  
 12 probability of the electron antineutrino  $\bar{\nu}_e$  at distances a few km from the  
 13 reactor:

$$\begin{aligned}
 P_{\text{sur}} = 1 & - \sin^2 2\theta_{13} \sin^2 \Delta_{ee} \\
 & - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21}
 \end{aligned}
 \tag{1}$$

14 where  $\Delta_{ee} = \Delta_{32} \pm \phi/2$ , with  $\phi = \arctan\left(\frac{\sin 2\Delta_{21}}{\cos 2\Delta_{21} + \tan^2 \theta_{12}}\right)$  for normal mass hi-  
 15 erarchy (+) and inverted mass hierarchy (-),  $\Delta_{ji} \equiv 1.267 \Delta m_{ji}^2 (\text{eV}^2) L(\text{m}) / E(\text{MeV})$ ,  
 16 with  $\Delta m_{ji}^2$  the difference between the mass-squares of the mass eigenstates  
 17  $\nu_j$  and  $\nu_i$ ,  $E$  is the  $\bar{\nu}_e$  energy and  $L$  is the distance between the  $\bar{\nu}_e$  source  
 18 and the detector (baseline). The effective mass-squared difference  $\Delta m_{ee}^2$  has  
 19 been measured in Daya Bay [3].

20 Antineutrinos with energy  $\geq 1.8$  MeV are detected via the inverse beta-  
 21 decay (IBD) reaction on “free” protons in the liquid scintillating target:

$$\bar{\nu}_e + p \rightarrow e^+ + n. \quad (2)$$

22 The positron, carrying most of the antineutrino energy, deposits its en-  
 23 ergy and annihilates with an electron producing a prompt signal with energy  
 24 ranging from 1 MeV to about 8 MeV. The neutron, after thermalizing, cap-  
 25 tures on a gadolinium or hydrogen nucleus, producing a delayed signal with  
 26 energy of  $\sim 8$  MeV or 2.2 MeV, typically within 100  $\mu s$ . The correlation in  
 27 time and space between the prompt and delayed signals provides a distinctive  
 28  $\bar{\nu}_e$  signature, greatly suppressing backgrounds.

29 The number of detected antineutrinos  $N_{\text{det}}$  is given by

$$N_{\text{det}} = \frac{N_p \epsilon}{4\pi L^2} \int \sigma(E) P_{\text{sur}}(E, L, \theta_{13}) S(E) dE \quad (3)$$

30 where  $N_p$  is the number of free protons in the target,  $\epsilon$  is the efficiency of  
 31 detecting an IBD,  $\sigma$  is the total cross section of the IBD process,  $P_{\text{sur}}$  is  
 32 the  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  survival probability (Eq. 1), and  $S$  is the differential energy  
 33 distribution of the antineutrinos.

34 Using Eq. 3 to precisely measure  $\theta_{13}$  from either a deficit in the observed  
 35 antineutrino rate or a distortion in the energy spectrum requires that all  
 36 systematic uncertainties be well controlled. Background corrections to the  
 37 observed antineutrino spectrum can be reduced with overburden and detector  
 38 shielding. Detector efficiency uncertainties in the 2-3% range were achieved  
 39 in previous experiments. The reactor antineutrino flux and spectrum can  
 40 also be calculated to 2-3% precision [10, 11].

41 To eliminate the dependence on the neutrino flux, Mikaelyan and Sinev  
 42 proposed the use of two antineutrino detectors [12]. The first detector, lo-  
 43 cated close to the reactor, measures the antineutrino flux as a function of

44 energy while a second detector further from the reactor is located close to  
 45 the oscillation minimum of  $P_{\text{sur}}$ . For this situation, one near and one far  
 46 detector observing antineutrino events from a single reactor, the ratio of an-  
 47 tineutrinos observed in a specific energy range at the two detectors is given  
 48 by

$$\frac{N_f}{N_n} = \left( \frac{N_{p,f}}{N_{p,n}} \right) \left( \frac{L_n}{L_f} \right)^2 \left( \frac{\epsilon_f}{\epsilon_n} \right) \left[ \frac{P_{\text{sur}}(E, L_f, \theta_{13})}{P_{\text{sur}}(E, L_n, \theta_{13})} \right] \quad (4)$$

49 where  $N_{p,f}$  and  $N_{p,n}$  refer to the numbers of target protons at the far and  
 50 near sites, and  $L_f$  and  $L_n$  are the distances of the far and near detectors  
 51 from the reactor core. The ratio of the detector efficiencies ( $\epsilon_f/\epsilon_n$ ) can be de-  
 52 termined more precisely than the individual efficiency. The near-far relative  
 53 measurement cancels nearly all reactor-related and detector-related system-  
 54 atic errors.

55 The Daya Bay experiment uses eight antineutrino detectors (ADs) to  
 56 monitor 6 nuclear reactor cores. To minimize efficiency differences between  
 57 the near and far detectors, interchangeable ADs of identical design were built  
 58 and assembled above ground using standardized procedures. Built in pairs,  
 59 antineutrino detectors were filled with liquid scintillator within eight days of  
 60 each other to further minimize possible differences in performance.

61 This paper describes all of the Daya Bay detector systems. After a brief  
 62 overview of the experimental layout in Section 2, the construction, assembly  
 63 and installation of the detectors are presented in Section 3. Sections 4 and  
 64 5 describe the antineutrino detector and calibration systems. The muon de-  
 65 tector system overview is given in Section 6, followed by a description of the  
 66 data acquisition system in Section 7 and offline computing in Section 8. Sev-

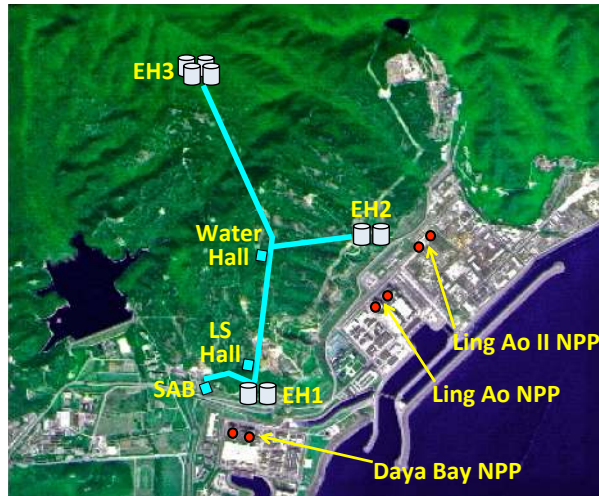


Figure 1: Layout of the Daya Bay experiment. The dots represent reactor cores. Each pair of cores forms a Nuclear Power Plant (NPP). The three NPPs, Daya Bay, Ling Ao, and Ling Ao-II are between the Daya Bay coast and 400-m-high mountains inland. Eight antineutrino detectors (ADs) were installed in three experimental halls (EHs). Additional halls were used for filling the detectors (LS Hall) or processing the water (Water Hall) for the experimental hall water pools. The ADs were assembled in the Surface Assembly Building (SAB) before being moved underground. Not shown are surface support buildings adjacent to the SAB containing the control room, electrical and ventilation utilities.



Table 1: Vertical overburden in meters-water-equivalent (mwe), estimated muon rate  $R_\mu$  and average muon energy in the three experimental halls.

	Overburden(mwe)	$R_\mu$ (Hz/m <sup>2</sup> )	$\langle E \rangle$ (GeV)
EH1	250	1.27	57
EH2	265	0.95	58
EH3	860	0.056	137

67 eral years of calibration and antineutrino data measure detector performance  
68 over time and allow comparisons of the ADs in Section 9.

## 69 2. Experimental Layout

70 As depicted in Fig. 1, the Daya Bay reactors are arranged in three pairs  
71 of reactor cores spread over nearly 2 km of coastline in southern China.  
72 Each pair of cores is designated a Nuclear Power Plant (NPP). Experimental  
73 Hall 1 (EH1) measures the antineutrino rate and spectrum primarily from  
74 the Daya Bay (DYB) NPP. Similarly, Experimental Hall 2 (EH2) measures  
75 the rate and spectrum primarily from the Ling Ao and Ling Ao-II NPPs.  
76 These near sites are positioned as close to the reactor cluster as possible,  
77 given constraints on the desired overburden and rock condition. The location  
78 of the far hall (EH3) was determined from a multi-parameter optimization  
79 of desired baselines for maximum oscillation sensitivity given the mountain  
80 profile and rock quality [13]. The water equivalent overburden, simulated  
81 muon rate and average muon energy at each site are listed in Table 1.

82 Daya Bay deploys two ADs in each near hall and four ADs in the far hall.  
83 Multiple, functionally identical, movable detectors are unique to the Daya

84 Bay experiment. Dividing the target mass in each hall into standard units,  
85 built above ground, allowed the construction and assembly of the antineu-  
86 trino detectors to proceed in parallel with preparation of the experimental  
87 halls, considerably reducing the total project time. The large total target  
88 mass at the far hall enables the unprecedented statistical precision of the  
89 Daya Bay experiment. Side-by-side comparisons between detectors at the  
90 near sites cross check calculations of relative detector efficiencies to better  
91 than 0.2%.

92 Daya Bay ADs are constructed from three-concentric-cylindrical tanks.  
93 The inner acrylic vessel (IAV) contains gadolinium doped liquid scintillator.  
94 A second acrylic vessel (OAV) containing un-doped liquid scintillator sur-  
95 rounds the IAV. The OAV is surrounded by a stainless steel vessel (SSV)  
96 containing mineral oil. The inner cylindrical wall of the SSV supports nearly  
97 two hundred photomultipliers (PMTs) pointed inward toward the OAV and  
98 IAV. Reflectors above and below the OAV redirect light to the PMTS, thereby  
99 reducing the total number of PMTs required.

100 The antineutrino detectors are placed in water pools. At least 2.5 meters  
101 of ultra pure water surround each antineutrino detector and shield against  
102 background radiation. Instrumented with PMTs, the pool is segmented into  
103 two Cerenkov detector zones for active identification of cosmic rays. The  
104 pool is covered by resistive plate chambers (RPCs) for additional cosmic ray  
105 muon identification. Figure 2 shows three ADs in the far water pool.

### 106 *2.1. The reactor complex*

107 The Daya Bay nuclear power complex is located 55 km northeast of Hong  
108 Kong and 45 km east of Shenzhen. The six reactor cores are pressurized

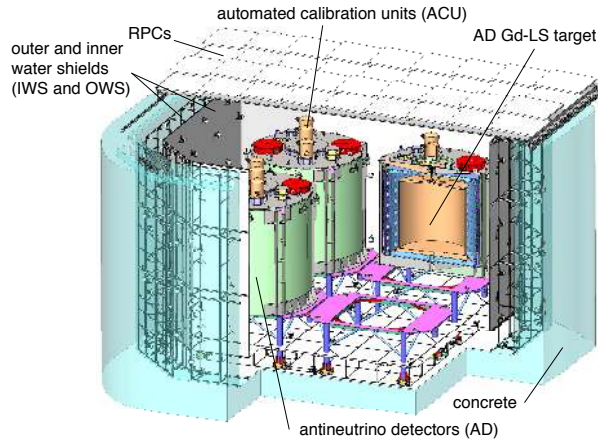


Figure 2: Far hall with 3 ADs installed. The water pools are segmented into two independent zones - an outer water shield 1m thick along the perimeter and over the floor and inner water shield containing the antineutrino detectors. Four layers of Resistive Plate Chambers over the water pool add further cosmic ray identification capability.

109 water reactors with a maximum of 2.9 GW thermal power [14]. The cores  
 110 in each pair are separated by 88 m. The Ling Ao and Ling Ao-II NPPs  
 111 are approximately 500 m apart, while the Daya Bay and Ling Ao NPPs are  
 112 separated by  $\sim 1100$  m.

113 The Daya Bay and Ling Ao NPPs are based on the Framatone ANP  
 114 French 990 MW<sub>e</sub> (gross electrical power) design. The Ling Ao-II NPPs have  
 115 an updated design (CPR1000) with 1080 MW<sub>e</sub>. The Daya Bay reactors  
 116 utilize  $\sim 4\%$  enriched  $^{235}\text{U}$  fuel. Each reactor core contains 157 3.7-m-long fuel  
 117 rod assemblies. The centroid of the power production is known to about 2 cm  
 118 horizontally and 20 cm vertically. Approximately 1/3 of the fuel assemblies  
 119 of the Daya Bay NPP reactors are replaced during a refueling shut-down  
 120 every 18 months. In the Ling Ao NPP reactors,  $\sim 1/4$  of the fuel assemblies  
 121 are replaced every 12-18 months.

122 The thermal power of each core is monitored continuously by the power  
123 plant with an uncertainty of 0.5% [15–17]. The fission fractions of the four  
124 main isotopes contributing to power (and antineutrino) production are mod-  
125 eled as a function of time to follow the burn-up of the nuclear fuel [18]. Daily  
126 thermal power measurements and fission fraction estimates are provided to  
127 the collaboration by the power plant.

## 128 *2.2. Underground Halls*

129 The detectors reside in three large experimental halls (EH) excavated  
130 from granite bedrock. The halls are connected by large cross-section (6.2 m ×  
131 7.0 m) tunnels through which completed antineutrino detectors were moved  
132 from the filling hall to the experimental halls. The rock overburden is 93 m  
133 at EH1, 100 m at EH2 and 324 m at EH3. Core samples taken throughout  
134 the area found the average rock density to be 2.6 g/cm<sup>3</sup>.

135 The two near experimental halls are 15 m wide by 45-50 m long. As  
136 seen in Fig. 3 the roof of the 28 m long central section of the hall is nearly  
137 15 m above the hall floor to provide space for a 120-ton crane covering the  
138 water pool and detector staging areas next to the pool. An area 18 m long  
139 by 3 m high provides storage space for the RPC system when rolled back  
140 from the water pool. The far experimental hall housing four ADs is 21 m  
141 wide by 62 m long. The experimental halls are isolated from the tunnels by  
142 roll-up doors to keep dust to a minimum. Fresh, filtered, and cooled air is  
143 delivered from above ground by a network of vents which run through the  
144 tunnels. Cleaning crews worked constantly to keep the halls clean during  
145 installation and commissioning. Auxiliary rooms for the gas system, data  
146 taking, water purification and refuge are located next to each experimental

147 hall. A secondary personnel egress tunnel links these auxiliary rooms to the  
148 main access tunnel.

149 Ten-meter-deep water pools house the ADs in each hall [19]. The water  
150 pools, 10 m wide by 16 m long for near halls and 16 m by 16 m for the far hall,  
151 were constructed in an octagonal shape, as shown Fig. 2. The water pools  
152 were excavated from the rock and lined with waterproof concrete strength-  
153 ened by grounded rebar. A PermaFlex<sup>TM</sup> liner which had been extensively  
154 tested for compatibility with high purity water was sprayed over the concrete  
155 to seal the surface against radon penetration. A thick black rubberized sheet  
156 is suspended over the pool by cables for light and gas isolation and is securely  
157 fastened to the edges of the pool. Nitrogen from cryogenic liquid bottles is  
158 used as an inert cover gas between the cover and water surface. The mea-  
159 sured average radon concentration in the water is less than 50 Bq/m<sup>3</sup> for the  
160 three halls, about 3-8 times lower than the radon concentration in the air  
161 above the pool cover.

162 Two special purpose underground halls were also built. A liquid scintil-  
163 lator (LS) hall located near EH1 was used to produce and store AD liquids  
164 under clean room conditions. The LS hall contains two thermoplastic lined  
165 concrete storage tanks of 200 ton capacity, five 40-ton capacity acrylic tanks,  
166 and related piping and pumping systems. After LS production the hall was  
167 used to fill ADs. Near the intersection point of the main tunnels a water hall  
168 contains the central water purification station. Highly purified city water is  
169 sent to the three experimental halls with pipes of about the same length to  
170 ensure equal water quality [20]. The LS and Water hall locations are shown  
171 in Fig. 1.

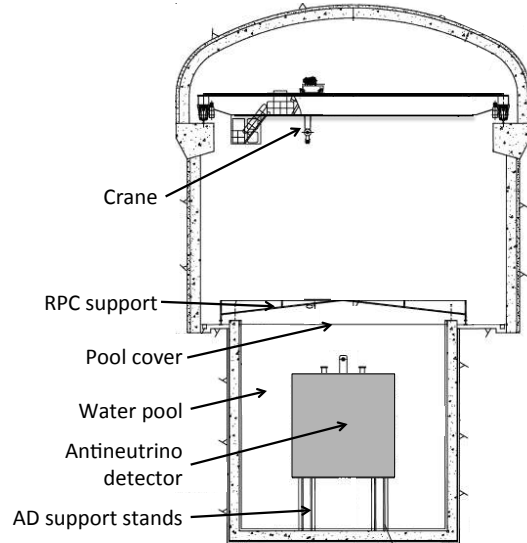


Figure 3: Cross section of a near hall showing an antineutrino detector on a stand in the water pool beneath the RPC support structure and overhead crane.

172 *2.3. Baseline and position survey*

173 The distances (baselines) from each AD to each reactor core are precisely  
 174 measured via two independent surveys [1, 2, 21] using Global Positioning  
 175 System (GPS) receivers and modern theodolites. GPS control networks and  
 176 Total Station traverse networks were installed to link measurements of the re-  
 177 actor complex area to points outside each of the experimental halls. Further  
 178 Total Station surveys linked each hall position to the external monuments  
 179 on each AD which had been used as references for surveys of the AD interior  
 180 during construction and assembly. The GPS control points combined pre-  
 181 existing power plant survey points with newly installed points to obtain a  
 182 comprehensive, redundant network overlapping with the Total Station tra-  
 183 verse network. Shown as red lines in Fig. 4, the GPS network gives static

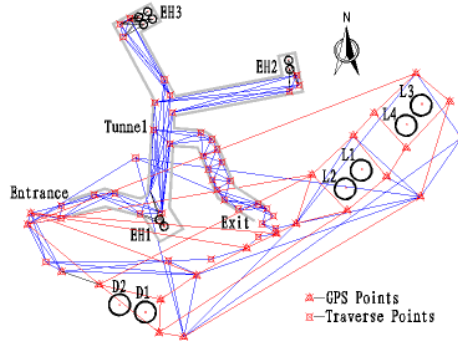


Figure 4: Baseline survey. Bigger black circles are reactor cores, smaller black circles are ADs. Tunnels are shown in grey. Red lines represent the GPS control network. Blue lines represent the Total Station traverse network.

184 synchronous observations based on a high-precision dual frequency GPS re-  
 185 ceiver. The Total Station traverse network shown as blue lines in Fig. 4  
 186 provides coordinates for all the traverse points using a Leica TDA5005  
 187 Total Station.

188 To minimize propagation errors inside the tunnels several measures were  
 189 adopted. Dual transverse networks were setup in each tunnel to increase  
 190 reliability. An additional transverse network loop through the main Daya  
 191 bay tunnel and out the construction tunnel (Exit in Fig. 4) checked for error  
 192 buildup. A station was positioned on the top of the mountain to measure  
 193 points at the tunnel entrance, exit, and reactors to increase conversion pre-  
 194 cision between the two networks. The overlap between GPS and transverse  
 195 network improved the conversion precision between these two coordi-  
 196 nate systems.

197 The measured baselines are given in Table 2. The largest baseline differ-

Table 2: Baselines from the antineutrino detector centers to the reactor core centers in the Daya Bay (D1, D2), Ling Ao (L1-2) and Ling Ao-II (L3-4) Nuclear Power Plants is given in meters. The total survey uncertainty is 18 mm.

	D1	D2	L1	L2	L3	L4
EH1-AD1	362.380	371.763	903.466	817.158	1353.618	1265.315
EH1-AD2	357.940	368.414	903.347	816.896	1354.229	1265.886
EH2-AD1	1332.479	1358.148	467.574	489.577	557.579	499.207
EH2-AD2	1337.429	1362.876	472.971	495.346	558.707	501.071
EH3-AD1	1919.632	1894.337	1533.180	1533.628	1551.384	1524.940
EH3-AD2	1917.519	1891.977	1534.919	1535.032	1554.767	1528.079
EH3-AD3	1925.255	1899.861	1538.930	1539.468	1556.344	1530.078
EH3-AD4	1923.149	1897.507	1540.667	1540.872	1559.721	1533.179

198 ence between the two surveys is 4 mm and the uncertainty in the baselines  
 199 is determined to be less than 18 mm. The largest contribution to the over-  
 200 all uncertainty was the measurement error of 12.6 mm using the GPS net-  
 201 work. Other contributions were a 9.1 mm error due to fitting uncertainties  
 202 associated with the linking of the GPS and the Total Station networks, a  
 203 measurement error of 6.5 mm using the Total Station transverse network, a  
 204 5.9 mm error coming from conversion from the reactor core to GPS control  
 205 network, and an error of 2.8 mm coming from conversion between antineu-  
 206 trino detector center and the GPS control network. When combined with  
 207 the uncertainties of the effective center of fission [2], these baseline uncer-  
 208 tainties were found to make a negligible contribution to the uncertainties of  
 209 the oscillation parameters.



### 210 **3. Construction, Assembly and Installation Overview**

211 Civil construction started in October, 2007 with the beginning of tunnel  
212 excavation. Upon completion of the surface assembly building (SAB) in 2009,  
213 test assembly of a full-size prototype antineutrino detector initiated onsite  
214 assembly activities. As the tunnels and experimental halls were excavated  
215 and prepared for beneficial occupancy, AD assembly and preparation of the  
216 muon system PMTs and RPCs proceeded in parallel above ground in the  
217 SAB. Well-coordinated underground and surface activities ensured the timely  
218 start of the experiment.

219 All assembly of the antineutrino detectors except for filling and final ca-  
220 bling was performed in the SAB. The north side of the SAB, used primarily  
221 for testing muon system PMTs and storage, was serviced with a 10-ton over-  
222 head crane. The south side of the building was serviced by a 40-ton overhead  
223 crane and contained a 11 m wide by 33 m long by 11 m high clean room and  
224 a smaller adjacent cleaning area. The clean room contained two four-meter  
225 deep pits to allow insertion of AD components into the Stainless Steel Vessel  
226 (SSV) at convenient working heights as shown in Fig. 5. Specialized plat-  
227 forms provided safe access inside and around the perimeter of the AD. The  
228 clean room was designed to meet ISO7 cleanliness standards (ISO 14644-1).  
229 Cleaning crews were constantly at work in the clean room and cleaning area  
230 to keep the floors and tables dust and dirt free. The weekly measured parti-  
231 cle counts ( $\geq 0.5$  micron) were typically  $\leq 10,000$  counts/m<sup>3</sup> which is better  
232 than the more rigorous ISO6 standard. Radon levels in the clean room were  
233 measured to be  $147 \pm 13$  Bq/m<sup>3</sup>.

234 A roll-up curtain wall separated the cleaning area from the clean room.

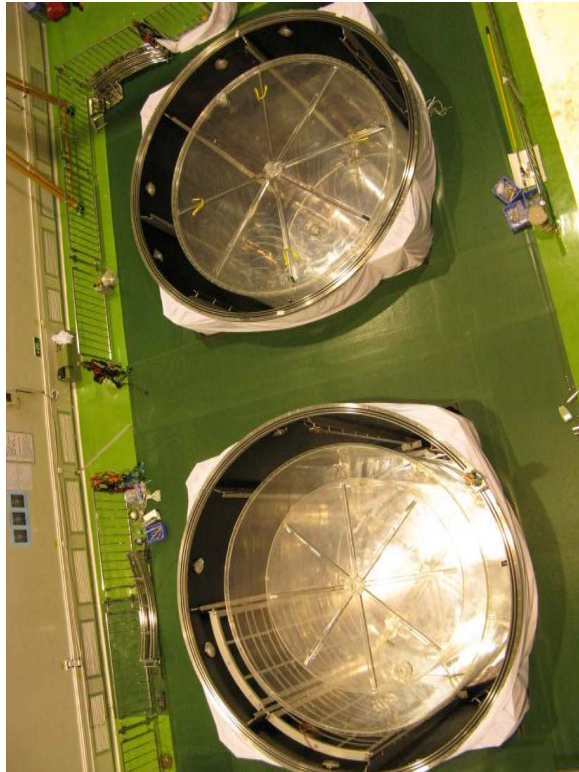


Figure 5: Photograph of the SAB clean room showing two ADs under construction. Both construction pits contain a stainless steel vessel (with lid removed) and an outer acrylic vessel. The lower AD has two photomultiplier support ladders being test fit. The lid of the lower outer acrylic vessel has been removed to allow insertion of an inner acrylic vessel.

235 This semi-clean area was isolated from the rest of the SAB by a second  
236 roll-up curtain wall which kept particulate levels low. The cleaning area  
237 was equipped with a resin bed water filtration system which provided  $>$   
238 10 megaohm-cm water for cleaning items that would enter the clean room.

239 All eight ADs were constructed and assembled in the same clean room  
240 over the period of 2009-2012 using the procedures developed during the pro-  
241 totype assembly. The time needed for AD assembly in the SAB decreased  
242 from 13 months for the first pair to 3.5 months as the production rate of sub-  
243 components improved and the crew gained experience. ADs 1 and 2 were  
244 moved underground, filled with liquids, installed and commissioned in EH1  
245 in July - Sep., 2011. A comparison of detector performance of these ADs  
246 can be found in [21]. AD3 was installed in EH2 the following October. ADs  
247 4-6 were then installed in EH3. Six AD data collection started in Dec. 2011.  
248 Installation of ADs 7 and 8 completed the planned deployment in Aug.-Sep.,  
249 2012. All eight ADs have been collecting data since Oct. 2012.

250 The Liquid Scintillator hall was the first underground hall ready for occu-  
251 pation in 2010. Two large storage tanks and five smaller acrylic tanks were  
252 used to hold AD liquid raw materials and finished liquid scintillators. Multi-  
253 ple tanks and pump stations were installed and kept in clean room conditions  
254 for AD liquid production and testing. All liquid scintillators were produced  
255 by early 2011 and monitored for nearly 1/2 year before first use in an AD.  
256 ADs were brought into the LS hall one at a time for filling. A custom filling  
257 system precisely measured the liquid masses pumped into each AD while  
258 closely monitoring liquid levels to avoid damaging level mismatches.

259 The first near experimental hall was completed in 2011. The water pool

260 was lined with waterproof concrete, painted with three layers of PermaFlex<sup>TM</sup>,  
261 and also lined with Tyvek <sup>TM</sup> sheets heat-welded together. Preassembled  
262 frames were fastened to the wall and floor to support the muon PMTs. An  
263 inner Tyvek layer was added to separate the inner and outer regions.

264 The muon detector assembly proceeded in parallel with AD assembly  
265 and preparation of the experimental halls. PMTs were tested and placed  
266 in mounting brackets and magnetic shields in the SAB. After completion of  
267 the experimental halls, AD support stands were installed in the pools onto  
268 precisely surveyed studs protruding through the concrete floor and anchored  
269 to the underlying rock. Muon PMTs were then installed onto the support  
270 structure and cabled to the electronics room. Interlaced with the PMT in-  
271 stallation, RPC support structures were installed on the rails on either side  
272 of the water pool. RPC modules containing four RPC layers were installed  
273 onto the structural supports. The entire RPC assembly was then rolled into  
274 its storage area for completion of the cabling and gas connections while the  
275 ADs were installed.

276 A crucial element of the assembly plan was the custom automatic guided  
277 vehicle transporter [22] (AGV) used to transport ADs and other large parts  
278 within the SAB, dry ADs down the 10% grade from the SAB to LS Hall, and  
279 filled ADs from the filling hall to the experimental halls. The AGV operated  
280 under diesel or electric power as required and could support up to 130 tons.  
281 When transporting filled ADs through the  $\leq 0.5\%$  grades of the main Daya  
282 Bay tunnels, the AGV adjustable height load bed was kept level used an  
283 auto-leveling feature to reduce stress on AD components. Eight sets of heavy  
284 duty omni-directional wheel modules provided 360 degree maneuverability for

285 precisely positioning heavy loads.

286 ADs were brought into the experimental hall by the AGV and set down  
287 on a temporary support stand by the edge of the water pool by lowering  
288 the AGV bed. The lifting fixture and video position monitoring system were  
289 mounted on top of the AD. The AD was then lifted by the overhead crane  
290 into the pool onto the support stands. Access ladders and platforms were  
291 mounted on top of the AD for removal of the lifting fixture and video system.  
292 Cables from the AD PMTs were run through dry pipes to the edges of the  
293 pool before being routed to the electronics room. Similarly, cables from the  
294 automated calibration units (ACU) and lid sensors were run through a large  
295 dry pipe to above the pool surface, and the cover gas lines were run to the  
296 gas room. Function tests of all AD systems were performed before final leak  
297 checks of all connections ensured that the detector was leak tight. A final  
298 survey of the AD position within the hall was conducted before filling the  
299 pool with water. The final installation steps were to seal the pool with a  
300 gas-tight, opaque, black cover and to roll the RPCs over the pool. More  
301 detailed descriptions of the assembly and installation processes can be found  
302 in references [19, 23].

#### 303 **4. Antineutrino Detectors**

304 The antineutrino detectors are designed to detect IBDs while minimizing  
305 systematic errors. As shown in Fig. 6, an antineutrino detector is made from  
306 three concentric vessels of cylindrical shape. Cylinders are easier to construct  
307 than spheres and have a more uniform detector response than rectangular  
308 geometries. The inner target volume of gadolinium doped liquid scintillator

309 (GdLS) is contained by an inner acrylic vessel (IAV) approximately 3 m  
310 high and 3 m in diameter. Surrounding the IAV is an outer acrylic vessel  
311 (OAV) approximately 4 m high and 4 m in diameter filled with un-doped  
312 liquid scintillator (LS). The LS improves the detection efficiency of gamma  
313 rays from interactions in the GdLS target volume. The OAV is inside a  
314 SSV approximately 5m high and 5m in diameter which supports ladders of  
315 photomultipliers (PMTs) pointed at the GdLS and LS volumes and isolates  
316 the inner detectors from the water pool. The space between the SSV and  
317 OAV is filled with high purity mineral oil (MO) which suppresses radioactive  
318 backgrounds from the PMT glass and the surrounding environment.

319 Unlike similar experiments with cylindrical inner detectors [24, 25], Daya  
320 Bay uses circular reflectors above and below the OAV instead of PMTs [13].  
321 The reflectors redirect scintillation light towards the PMTs mounted on the  
322 perimeter and reduce the required number of PMTs by 50% without signif-  
323 icantly degrading the energy or position resolution. The dimensions of the  
324 three vessels were chosen to maximize the target mass without increasing  
325 the systematic uncertainty due to backgrounds. A Daya Bay AD has a ra-  
326 tio of GdLS target mass to total liquid mass of 26%, higher than similar  
327 experiments, such as Double Chooz [24] or RENO [25]. Radioactive back-  
328 ground rates are reduced sufficiently to render systematics in the accidental  
329 background subtraction negligible in the near/far oscillation analysis.

330 Mounted on the SSV lid are three ACUs which can position radioactive  
331 calibration sources or light emitting diode (LED) pulsers at different positions  
332 in the GdLS and LS volumes. The ACUs and liquid volumes are connected  
333 by calibration tubes. The central calibration tubes also connect the GdLS

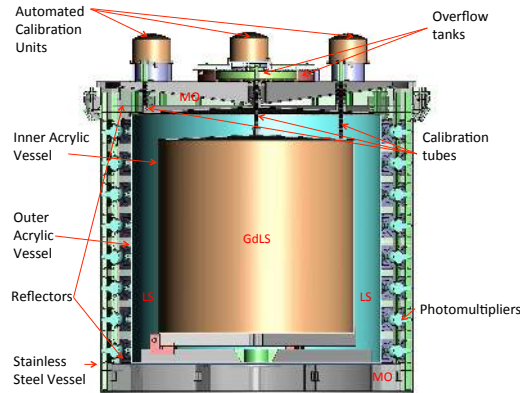


Figure 6: Antineutrino detector.

334 and LS volumes to concentric overflow tanks on the SSV lid. All gas spaces  
 335 above the overflow tanks were continuously purged with dry nitrogen from a  
 336 cover gas system [26].

337 The eight ADs were built above ground in pairs and then moved below  
 338 ground for filling. GdLS, LS, and MO were pumped simultaneously into an  
 339 AD as needed while keeping the relative liquid levels within 10 cm of each  
 340 other. The liquid levels were then topped up to fill the overflow tanks to  
 341 about 1/3 capacity.

342 Although the nominal pair-wise production and assembly plan should  
 343 have resulted in an orderly association of the first SSV with the first IAV and  
 344 first OAV, this pattern and the orderly placement of ADs into the halls was  
 345 quickly broken by practical schedule considerations. The first SSV needed  
 346 extra work to obtain a suitably smooth finish in the O-ring grooves and was  
 347 used in AD3. The second IAV pair hold-down tabs and calibration ports were  
 348 mis-aligned, necessitating complimentary changes in the last pair of OAVs.  
 349 IAV3 was damaged during an annealing accident and was replaced by IAV9.

Table 3: Naming scheme for antineutrino detector (AD) placement in the three experimental halls (EH). The major components of the ADs: stainless steel vessel (SSV), outer acrylic vessel (OAV), and inner acrylic vessel (IAV) were labeled by production order. ADs were assembled and filled in pairs. Even though the components were also typically produced in pairs, the order in which the components were used depended on special considerations described in the text.

	<b>AD#</b>	<b>SSV#</b>	<b>OAV#</b>	<b>IAV#</b>
<b>EH1-AD1</b>	AD1	SSV2	OAV1	IAV2
<b>EH1-AD2</b>	AD2	SSV3	OAV2	IAV1
<b>EH2-AD1</b>	AD3	SSV1	OAV3	IAV5
<b>EH2-AD2</b>	AD8	SSV8	OAV7	IAV4
<b>EH3-AD1</b>	AD4	SSV4	OAV4	IAV6
<b>EH3-AD2</b>	AD5	SSV5	OAV5	IAV8
<b>EH3-AD3</b>	AD6	SSV6	OAV6	IAV7
<b>EH3-AD4</b>	AD7	SSV7	OAV8	IAV9

350 To obtain the earliest physics data, it was decided to run with only 6 ADs  
 351 spread over the three experimental halls. The AD naming scheme and major  
 352 components of each AD are shown in Table 3.

353 Control of radioactive backgrounds was achieved by strict control of all  
 354 materials used in the AD and of all procedures used during assembly and  
 355 construction. Details for individual parts can be found in the related section.



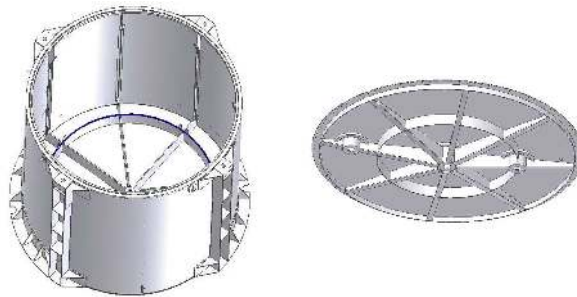


Figure 7: Stainless steel vessel (SSV). Left: barrel and bottom sections. Right: inner side of the tank lid.

356 *4.1. Stainless Steel Vessel*

357 The stainless steel vessels (SSV) are 5000 mm high, 5000 mm diameter  
358 cylinders with 12 mm thick low radioactivity stainless steel walls. The ex-  
359 ternal walls are strengthened by 12 mm thick internal ribs. Each SSV(dry)  
360 weighs about 24 ton (with an inner volume of  $\approx 95 \text{ m}^3$ ). Figure 7 shows  
361 the structure of the barrel and lid. When loaded with the acrylic vessels,  
362 PMTs, and liquids, an AD weighs  $\approx 112$  tons. Deformations and mechanical  
363 strength for the SSV vessel were thoroughly studied using Finite Element  
364 Analysis (FEA) code to insure that the design had the required safety fac-  
365 tor when a filled SSV was picked up and lowered onto its support stands  
366 or when the lid was submerged under 2.5 m of the water. SSVs were con-  
367 structed in pairs by the Guangdong Shanfeng Chemical Machinery Co. LTD.  
368 A SSV barrel was welded together from three subsections: a bottom section  
369 including bottom ribs, a top section with a top flange, and a middle barrel  
370 section.

371 *4.1.1. SSV O-ring and lid*

372 The removable SSV lid is sealed at the circumference by two 19 mm thick  
373 O-rings placed in two concentric grooves 23 mm wide by 14 mm high. With  
374 the 112 lid bolts tightened to 120 N-m the O-ring is typically compressed  
375 by 16-26%. Similar, but smaller, O-rings were used to seal the overflow  
376 tank lids to the SSV lid. The O-rings were required to be compatible with  
377 water, mineral oil and liquid scintillator, and to provide effective sealing  
378 under pressure for more than five years. DuPont<sup>TM</sup> Fluorocarbon Rubber  
379 Viton<sup>®</sup> A supplied by Shenzhen-O Technology Co., Ltd was chosen as the  
380 O-Ring material and passed all requirements. O-rings were subjected to a  
381 60 day accelerated aging study at elevated temperature (110-170 °C) under  
382 the compression of 17% at the Aerospace Materials and Technology Research  
383 Institute. Based on these studies, permanent O-ring deformation after five  
384 years at nominal temperatures ( $\leq 25^{\circ}\text{C}$ ) was projected to be  $\leq 9\%$  in water.

385 The Viton-A O-rings were made using a segmented mold vulcanizing  
386 process, which achieved the same performance as a molded vulcanized O-  
387 ring seal without making a very big mold. The space between the O-rings  
388 was connected to an external port to enable leakage tests [27] of the final  
389 O-ring seal to ensure that the SSV would not leak when immersed in the  
390 water pool.

391 *4.1.2. Fluorocarbon painting inside SSV*

392 The inside of the SSVs are coated with a specially developed black flu-  
393 orocarbon paint [28] to minimize reflected photons. Although common flu-  
394 orocarbon paints are compatible with mineral oil, low in radioactivity and  
395 strongly adhesive, they typically require oven curing at high temperature.

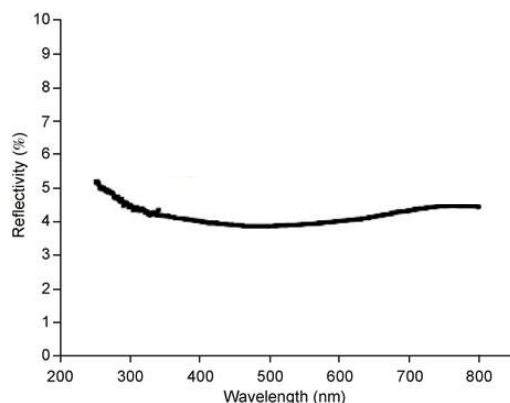


Figure 8: Diffuse reflectivity of the black fluorocarbon paint developed for Daya Bay versus wavelength range.

396 Since available ovens were  $\leq 20 \text{ m}^3$ , a new kind of fluorocarbon paint that  
 397 cures at normal temperatures was adopted for the  $\sim 100 \text{ m}^3$  SSV. Differ-  
 398 ent paint formulas were applied to 304L stainless steel samples. The chosen  
 399 black-matte fluorocarbon paint, a mixture of diluting agent, solidifying agent  
 400 and fluorocarbon resin, adhered well to the stainless steel, had good unifor-  
 401 mity, and was scratch and wear resistant. The diffuse reflectivity of the black  
 402 matt fluorocarbon paint was measured over wavelengths ranging from 250 to  
 403 800 nm as shown in Fig. 8. Reflectivity was roughly a constant (4.5%) above  
 404 300 nm. The radioactivity of the paint was measured by a very sensitive  $\gamma$ -ray  
 405 detector consisting of a high-purity Ge detector and anti-Compton system  
 406 to be  $\leq 0.31 \text{ Bq/Kg}$  for  $^{214}\text{Pb}$ ,  $\leq 0.21 \text{ Bq/Kg}$  for  $^{212}\text{Pb}$ ,  $\leq 0.29 \text{ Bq/Kg}$  for  
 407  $^{238}\text{Ac}$ , and  $\leq 0.30 \text{ Bq/Kg}$  for  $^{40}\text{K}$ .

#### 408 4.1.3. Radioactivity Control

409 Stainless steel plates and welds typically have natural radioactive contam-  
 410 inants which could generate backgrounds in the inner detectors. A Monte

411 Carlo study established requirements for allowable radioactivity levels from  
412 the major contaminants. Quality assurance procedures were implemented to  
413 ensure that construction materials met these goals. A total of 260 tons low  
414 radioactivity 304L stainless steel was specially made by the Shanxi Taigang  
415 Stainless Steel Co., Ltd. in one batch. The steel sample was radioassayed  
416 before the steel ingots were rolled to sheets of more than 10 different thick-  
417 ness as required by the SSV design. The contamination goals and measured  
418 radioactivity of materials used in SSV construction are shown in Table 4.  
419 Welding materials were selected from many vendors after assaying radioac-  
420 tivity in samples. The radioactivity of the chosen welding materials was  
421 measured to be  $0.14 \pm 0.08$  Bq/kg for  $^{238}\text{U}$ ,  $< 0.30$  Bq/kg for  $^{232}\text{Th}$ , and  
422  $< 0.48$  Bq/kg for  $^{40}\text{K}$ . The contribution to the overall background rate was  
423 negligible due to the small mass of the welds compared to the total SSV mass.  
424 A stainless steel disk sample with a welding line was also measured to verify  
425 that no additional radioactivity was introduced during the welding process.  
426 The radioactivity of all SSV materials was well below the requirements set  
427 by Monte Carlo studies.

#### 428 *4.2. Acrylic vessels*

429 All of the inner components of the AD which come into contact with the  
430 liquid scintillator (LS or GdLS) are constructed from acrylic or teflon to en-  
431 sure chemical compatibility with the LS. Short and long-term compatibility  
432 tests of all materials were performed before selection for use in the AD. Ul-  
433 traviolet transmitting (UVT) acrylic was chosen both for its increased trans-  
434 mission of the light produced by the liquid scintillator at short wavelengths  
435 and for the absence of chemical additives that protect against yellowing from

Table 4: Measured radioactivity levels in the stainless steel used in SSV construction are compared to the maximum allowable contamination levels determined from Monte Carlo Studies. The expected contribution to the AD trigger rate from each contaminant at the allowed level is also shown.

Isotope	Requirement (Bq/Kg)	Measured Value ( Bq/Kg)	AD Trigger Rate (Hz > 1 MeV)
$^{238}\text{U}$	< 0.03	< 0.00124	8.5
$^{232}\text{Th}$	< 0.02	< 0.006	8.5
$^{40}\text{K}$	< 0.13	< 0.013	5
$^{60}\text{Co}$	< 0.03	< 0.002	11

436 UV light. Special care was taken to avoid prolonged exposure of the acrylic  
437 components to sunlight during construction and assembly [29].

438 Both acrylic vessels were built with conical lids to allow bubbles from  
439 the filling process to escape easily into the overflow tanks. Tanks were vi-  
440 sually inspected during construction and assembly for any areas of cracks  
441 or crazing. Any flaws discovered were repaired by cutting out the affected  
442 area and replacing with fresh material. This visual inspection also served as  
443 the primary leak test since any imperfections were clearly visible in the opti-  
444 cally clear materials. More detailed descriptions of the acrylic vessel design,  
445 construction and assembly are found in references [30, 31].

#### 446 4.2.1. Inner Acrylic Vessels

447 The walls of the inner acrylic vessels (IAV) were constructed at Nakano  
448 International Limited, in Taipei, Taiwan [32] from 10 mm thick UVT sheets

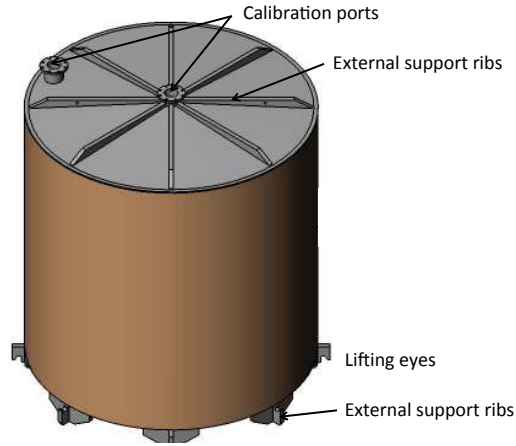


Figure 9: Inner acrylic vessel (IAV).

449 manufactured by PoSiang. The bottom and top lid were made from 15-mm-  
 450 thick UVT sheets. Cut sheets were first bonded into large panels and then  
 451 heat formed around cylindrical or conical forms to achieve the desired shape.  
 452 Ribs made from 55-mm-thick material were bonded onto the bottom and  
 453 lid to stiffen the shape and to provide lifting points. All components were  
 454 polished until optically clear. The lid and cylinder were bonded together as  
 455 a unit. All surfaces were then cleaned inside a class 10,000 clean room before  
 456 the final bond between the base of the cylinder and bottom were made. A  
 457 drawing of the IAV showing the external ribs and calibration ports is shown  
 458 in Fig. 9.

459 The physical size and position of the IAV within the AD was tracked to  
 460 provide accurate data for simulation studies and to ensure that the calibration  
 461 ports were properly aligned. The diameter of the IAVs were calculated from  
 462 the measured circumference at several heights. The height was measured  
 463 from the outside of the bottom to the top of the lid rim excluding ribs. Wall

464 thickness was measured by an ultrasonic thickness gauge. These data are  
 465 shown in Table 5 and compared to the design dimensions. Although the  
 466 specifications were mostly met, IAV5 was nearly 20 mm taller than the other  
 467 ADs due to a manufacturing error. The inner volume while dry is calculated  
 468 from the derived internal dimensions. Since the thin walled acrylic walls are  
 469 not perfectly rigid, the GdLS filled volume is expected to differ from the dry  
 470 volume. The overall IAV acrylic mass was determined from a spring scale  
 471 during assembly.

Table 5: Outer IAV dimensions from the as-built surveys. Errors are the r.m.s. of the measured points. The acrylic mass resolution was 5 kg. The inner volume (dry) was estimated from the outer dimensions, wall thickness, and the design angle of the conical lid.

	height (mm)	diameter (mm)	wall (mm)	mass (kg)	volume (m <sup>3</sup> )
design	3100	3120	10.0		
EH1-AD1	3101 ± 2	3123 ± 2	10.7 ± 0.8	907	23.39
EH1-AD2	3106 ± 1	3123 ± 1	10.6 ± 1.0	916	23.43
EH2-AD1	3102 ± 2	3125 ± 2	10.9 ± 0.9	915	23.42
EH2-AD2	3109 ± 2	3120 ± 1	10.7 ± 0.9	950	23.40
EH3-AD1	3101 ± 2	3122 ± 2	10.9 ± 0.8	945	23.37
EH3-AD2	3123 ± 2	3117 ± 3	no data	965	23.79
EH3-AD3	3102 ± 2	3115 ± 3	no data	920	23.57
EH3-AD4	3102 ± 2	3118 ± 2	10.8 ± 0.6	945	23.31

472 Except for the IAV prototype, IAVs were constructed in pairs using the

473 same techniques and procedures. As previously described, the IAV which  
474 was used in AD7 was a replacement for an IAV damaged during an annealing  
475 procedure. The replacement IAV was built one year after the construction  
476 of the other IAVs. The IAV in AD8 was damaged during tests of the manual  
477 calibration system. The damaged section of IAV8 was cut out of the bottom  
478 floor and new acrylic was bonded in place. Neither of the affected AD's  
479 performance differs significantly from other ADs in studies to date.

#### 480 *4.2.2. Outer Acrylic Vessels*

481 The outer acrylic vessels (OAV) were manufactured by Reynolds Poly-  
482 mer Technology, Inc. [33] in Grand Junction, Colorado, USA. The cylindri-  
483 cal walls were formed from eight 18-mm-thick Polycast UVT acrylic sheets  
484 bonded together to form half height cylinders. Reynolds cast large, thick  
485 UVT sheets for the top and bottom. Two such sheets were bonded together  
486 to make blanks for the 3D milling machine. The conical shape was cut into  
487 the underside of the lid. The top of the lid was thinned down to 18 mm  
488 thickness except for the six ribs and central hub. Holes were cut for the  
489 calibration ports. Additional material was bonded to the ribs and central  
490 hub to strengthen the OAV lid. The cylinder flange and a half cylinder were  
491 bonded together as were the bottom and the other half cylinder. Bonding the  
492 two half cylinders together completed the process. A drawing of a completed  
493 OAV is shown in Fig. 10.

494 All of the acrylic surfaces were sanded smooth and polished with 1-3  
495 micron grit aluminum oxide polishing powder until the surfaces were optically  
496 clear. The vessels were surveyed in a manner similar to the IAVs. Leak checks  
497 of the O-ring seals on the lid and calibration ports were made before the



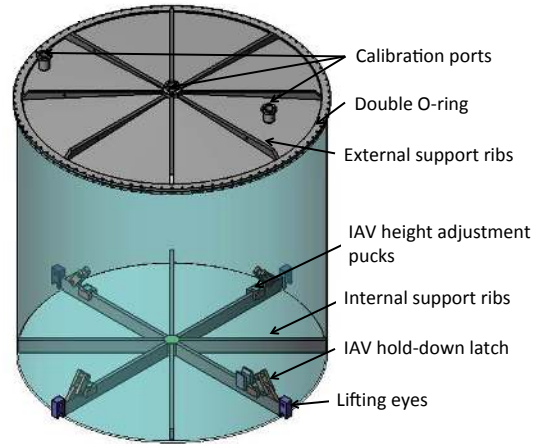


Figure 10: Outer acrylic vessel (OAV).

498 vessels were mounted in steel shipping frames for transport. The OAVs were  
 499 shipped by truck to the port of Long Beach, CA and placed on a container  
 500 vessel for shipment to China. The long term testing of acrylic properties is  
 501 described in [29, 34].

502 As with the IAVs, the physical size and position of the OAVs were mea-  
 503 sured to provide accurate data for simulation studies and to ensure proper  
 504 alignment of the ports. The outer height and diameter, wall thickness, and  
 505 mass of the OAVs are shown in Table 6.

#### 506 4.3. Overflow tanks and calibration tubes

507 All three AD liquids are connected to overflow tanks on the lid of the  
 508 SSV to allow for thermal expansion or contraction of the AD liquids while  
 509 keeping the internal volumes full. Figure 11 shows the concentric LS and  
 510 GdLS overflow tanks connected to the central calibration tube and the two  
 511 MO overflow tanks. The MO overflow tanks are part of the SSV lid structure

Table 6: OAV dimensions from the as-built surveys. Errors are the r.m.s. of the measured points. The acrylic mass resolution was 5 kg. The inner volume (dry) was estimated from the outer dimensions, wall thickness, and the design angle of the conical lid.

	height (mm)	diameter (mm)	wall (mm)	mass (kg)	volume (m <sup>3</sup> )
design	3982.	4000.	18.0		
EH1-AD1	3981.5 ± 1.0	3997.4 ± 0.4	16.80 ± 1.06	1851	49.33
EH1-AD2	3997.4 ± 0.4	4002.3 ± 0.7	16.42 ± 0.95	1876	49.46
EH2-AD1	3986.3 ± 1.3	3998.9 ± 0.6	16.96 ± 0.70	1810	49.42
EH2-AD2	3978.8 ± 1.8	3998.0 ± 0.6	17.57 ± 0.56	1860	49.27
EH3-AD1	3980.8 ± 0.9	3999.9 ± 0.3	17.25 ± 0.70	1860	49.37
EH3-AD2	3983.0 ± 0.5	3999.8 ± 0.9	17.05 ± 0.97	1960	49.40
EH3-AD3	3983.3 ± 1.6	3998.4 ± 0.8	17.61 ± 0.76	1985	49.34
EH3-AD4	3985.0 ± 1.0	3996.3 ± 1.5	17.24 ± 0.80	1910	49.33

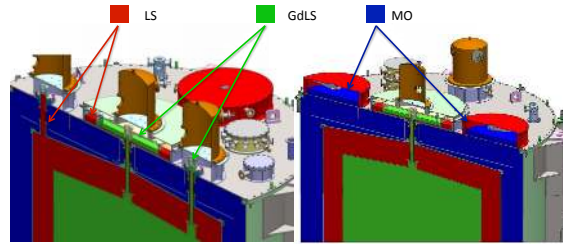


Figure 11: Orthogonal slices through the top of an AD showing the GdLS (green), LS (red), and MO (blue) overflow tanks and calibration tubes.

512 and are connected to the MO volume below by several 10 cm holes in the  
 513 SSV lid.

514 Two additional calibration tubes near the edge of the IAV and near the  
 515 edge of the OAV provide paths for calibration sources at different radii to  
 516 study the positional dependence of the detector energy measurement. The  
 517 OAV tube was constructed from a 10 cm convoluted teflon tube, 16.5 cm  
 518 long, connected to the OAV and SSV lid by acrylic transition pieces. A  
 519 double O-ring sliding seal at the top transition adjusts for any variation in  
 520 detector height and decouples the OAV from movement of the SSV lid when  
 521 the water pool is filled. Similar double O-ring seals on the top of the 6.4 cm  
 522 diameter, 65 cm long IAV calibration tube connect the tube to the lid and  
 523 overflow tanks.

524 As seen in Fig. 12 of the central overflow region, the IAV tube goes  
 525 through the OAV tube before connecting to the IAV overflow tank. Likewise,  
 526 the OAV tube connects to the OAV overflow tank. The tanks are constructed  
 527 from 10-mm-thick acrylic at the Reynolds factory to ensure chemical com-  
 528 patibility with the GdLS. The inner GdLS (IAV) tank has an inner diameter  
 529 of 1.28 m and is 134 mm tall. The outer LS (OAV) tank has an inner diam-

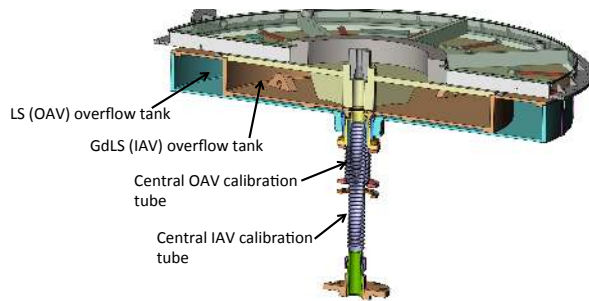


Figure 12: Central overflow tanks and calibration tubes are shown. The central IAV tube connects the bottom of the IAV overflow tank (brown) to the top of the IAV and is open at the top to allow the insertion of sources from the central ACU. The central OAV tube connects the bottom of the LS overflow tank (aqua) to the top of the OAV. The overflow tanks are covered by a steel lid (grey) with a mating flange for the central ACU.

530 eter of 1.82 m and is 139 mm tall. The total volume of the GdLS (LS) tank  
 531 is  $\approx 200$  l (165 l). Both overflow tanks are covered with acrylic lids which  
 532 have mounts for height, temperature, and tilt sensors. Gas volumes above  
 533 and below the acrylic lids are flushed by the AD cover gas system.

#### 534 4.4. Reflective panels

535 Daya Bay ADs were designed to use optical reflective panels at the top  
 536 and bottom of the inner detector cylinder to increase the number of detected  
 537 photons. In simulation studies, end reflectors increased the total light collec-  
 538 tion by 40 to 50% and provided a more uniform response to light produced  
 539 at different heights within the GdLS volume. Both specular and diffuse re-  
 540 flectors were considered at the design stage. Monte Carlo studies show that  
 541 specular and diffuse reflectors have the same total photoelectron yield if the  
 542 total reflectivity is the same. Specular reflectors were chosen since position  
 543 reconstruction is simpler with specular reflectors. A maximum likelihood

544 vertex reconstruction method [35] was developed to handle the difficulties of  
545 position reconstruction with multiple light reflections.

546 Highly specular reflective materials, ESR (Enhanced Specular Reflector,  
547 3M<sup>®</sup>) and MIRO-Silver (silver film coated on aluminium base, MIRO<sup>®</sup>),  
548 were found to be the best candidates for the AD reflectors. ESR film is a  
549 highly reflective, mirror-like optical enhancement film with a typical thickness  
550 of 65  $\mu\text{m}$ . It is a non-metallic film made using multi-layer polymer technology  
551 and is almost free from radioactivity. The specular reflectivity of ESR was  
552 measured to be  $>98.5\%$  in the visible region using a Varian-5E spectropho-  
553 tometer, as shown in Fig. 13. MIRO-Silver film can also reach 98% total  
554 reflectivity, with a diffuse reflectivity less than 6%. It was observed that the  
555 reflectivity of ESR in air is almost unchanged at large incident angles, while  
556 the reflectivity of MIRO-Silver degraded at incident angles  $> 70^\circ$ . Further-  
557 more, large-size ESR sheets were commercially available, unlike MIRO-Silver.  
558 ESR was therefore selected for use in the AD reflectors.

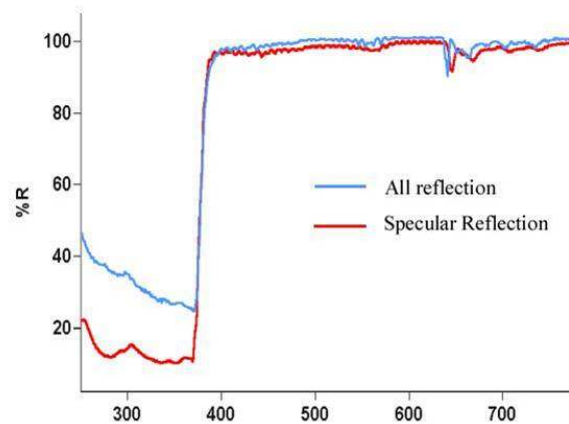


Figure 13: Measured specular and total reflectivity of ESR.

559 The reflector design was first tested in a  $\approx 1/3$  size prototype [36]. Good

560 agreement of the energy response between data from this prototype and  
561 Monte Carlo simulations validated our understanding of the optical proper-  
562 ties. In this prototype, the ESR was immersed in mineral oil for more than  
563 a year, demonstrating good long-term compatibility.

564 In a Daya Bay AD, the PMT surface is 20 cm from the OAV, thus the ideal  
565 reflector diameter is 4.5m, to maximize the effective PMT coverage while  
566 maintaining enough clearance for installation. To avoid scratches, the ESR  
567 film is sandwiched between two acrylic panels. The thickness of each acrylic  
568 panel was determined to be 1cm by the FEA analysis to ensure enough me-  
569 chanical strength during lifting and installation. The transmittance of each  
570 acrylic panel was measured by UV-Vis spectrophotometer, and the results  
571 showed very good transparency in both visible and UV region. The final  
572 assembly of each reflector was in a 10,000 class clean room. Special care  
573 was taken to clean the acrylic panels, the ESR and the lifting fixtures before  
574 the assembly. A bulk polymerization technique using methyl methacrylate  
575 (MMA) was used to seal the sandwich structure. Several small-size and full-  
576 size prototypes of the AD reflector were made to resolve various technical dif-  
577 ficulties in making the ESR film lie flat and attach tightly to the acrylic pan-  
578 els. Excellent optical surfaces were achieved by continuous vacuum-pumping  
579 of the air gap between the acrylic panels during the polymerization process  
580 and the gap vacuum sealing stage.

581 A custom lifting fixture equipped with suction cups was designed to move  
582 the reflectors as shown in Fig. 14. The lower reflector rests on top of the SSV  
583 bottom ribs and supports the OAV. The upper reflector rests on the top of the  
584 OAV lid ribs but is additionally cable-tied to the ribs to prevent movement

585 during transportation or liquid filling.

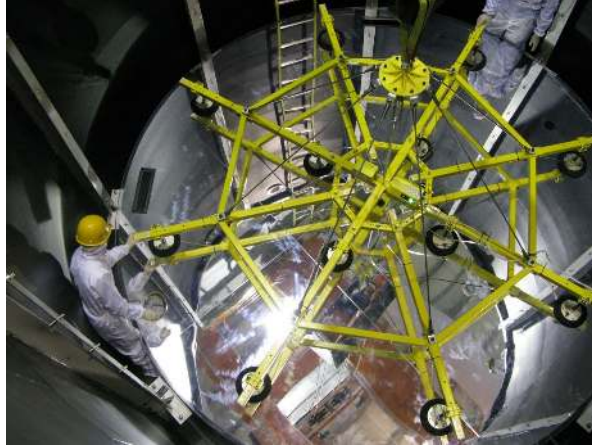


Figure 14: Installation of the bottom reflector

#### 586 4.5. PMT system

587 Light from the liquid scintillators is measured by 192 PMTs mounted  
588 on ladders positioned around the SSV perimeter. Effective photocathode  
589 coverage with the top/bottom reflectors was about 12%.

590 The performance of the PMTs in an AD plays a critical role for the suc-  
591 cess of the experiment since all antineutrino events are reconstructed from  
592 the signals provided by the PMT arrays. Based on physics requirements,  
593 specifications were developed [13] to guide our selection of the PMTs. We  
594 chose the Hamamatsu [37] R5912 ten-stage 20-cm photomultiplier tubes with  
595 low-radioactivity borosilicate glass and a tapered base. The R5912 can with-  
596 stand pressure up to 7 atmospheres. The photocathodes of the PMTs are  
597 biased with positive voltage, allowing the use of a single coaxial cable to pro-  
598 vide the HV as well as the transmission of the PMT signal to the front-end  
599 electronics.

600 The base of each PMT is sealed inside an acrylic shell with epoxy so that  
601 it will not contaminate the mineral oil. All PMTs were thoroughly tested  
602 before being installed in the ADs.

#### 603 4.5.1. PMTs

604 After passing visual inspection, PMTs were burned-in for three days while  
605 operating at a gain of about  $1 \times 10^7$  to reduce infant mortality. Only 0.5%  
606 of the  $\approx 2500$  PMTs failed the burn-in tests. A test stand capable of evalu-  
607 ating 16 PMTs simultaneously was setup to ensure that each PMT met the  
608 requirements listed in Table 7. An additional 1% of the PMTs failed these  
609 requirements.

610 All the measured quantities were saved in the Quality Control data base.  
611 PMTs passing all the requirements were randomly distributed among the  
612 eight ADs to ensure statistically identical performance. Each PMT was  
613 wrapped with a truncated conical magnetic shield made of FINEMET<sup>®</sup> to  
614 reduce variation in charge collection due to the Earth’s magnetic field [38].

615 Based on five samples of glass used by Hamamatsu in fabricating the Daya  
616 Bay R5912 PMTs, the average radioactivity of the PMT glass was measured  
617 as  $1.9 \pm 0.3$  Bq/kg for  $^{238}\text{U}$ ,  $1.4 \pm 0.4$  Bq/kg for  $^{232}\text{Th}$ , and  $5.1 \pm 1.4$  Bq/kg  
618 for  $^{40}\text{K}$ .

619 During commissioning and early operation of the ADs about 5% of AD  
620 triggers were found to be caused by “flasher” events. These events seemed to  
621 be caused by electrical discharges within the PMT bases, generating visible  
622 light which was then detected by other PMTs. The distribution of observed  
623 PMT signals was distinctive and analysis cuts were developed to reject this  
624 background source [21]. Although some PMTs were more likely than others



Table 7: PMT requirements

Quantum Efficiency	$> 25\%$ @420 nm
Gain	$1 \times 10^7$
Single photo-electron (SPE) peak to valley ratio	$\geq 2.5$
Pulse Linearity $1 \times 10^7$	2% @40 mA with tapered base
Dark Rate	$< 10$ kHz
After-Pulse Ratio	$< 10\%$ for SPE main pulse
Rise and Fall Time	$t_{rise} \leq 6.5$ ns $t_{fall} \leq 10$ ns
Transit Time Spread for SPE	$< 3$ ns (FWHM)

625 to cause "flasher" type events, the inefficiency introduced by the analysis cut  
626 ( $\leq 0.02\%$ ) and the residual contamination of IBD events ( $\leq 10^{-3}$ ) were low  
627 enough that it was not necessary to turn off any PMTs.

#### 628 *4.5.2. PMT support ladders*

629 The PMTs are mounted on 304-stainless steel tripod brackets which are  
630 bolted to a 304-stainless steel curved-frame ladder. Each ladder holds 24  
631 PMTs arranged in three columns and eight rows. To reduce the complexity  
632 in event reconstruction due to reflected photons, a 3.2-mm-thick matte-black  
633 acrylic radial shield (reflectivity 4-5%) is attached to the inner curved surface  
634 of the ladder frame. The inactive sections of the PMTs are hidden behind  
635 the radial shield. Figure 15 shows the front and back of an assembled PMT  
636 ladder. A total of eight ladders holding 192 PMTs were installed in each SSV.  
637 The 24 7-m-long RG305 50- $\Omega$  coaxial cables from the PMTs of each ladder  
638 were routed to one of eight cable feedthroughs on the wall of the SSV. Plugs  
639 and O-rings previously installed on each cable were mated to holes in the  
640 feedthrough flange. These cables were later connected to 35-m-long JUDD  
641 C07947 coaxial cables just outside the SSV in dry pipe elbows which are later  
642 flushed with nitrogen gas [26]. Vacuum grade stainless steel bellows between  
643 the elbow and the cable tray running around the water pool perimeter keep  
644 each group of cables dry.

#### 645 *4.5.3. HV system*

646 The positive high voltages of the PMTs are provided by a HV system  
647 based on a CAEN SY1527 LC main frame housing up to eight 1934A 48-  
648 channel high-voltage distribution modules. Each HV channel is controlled



(a)



(b)

Figure 15: Front (a) and back (b) of an assembled PMT ladder. Eight ladders hold the PMTs in a cylindrical shell centered on the IAV and OAV.

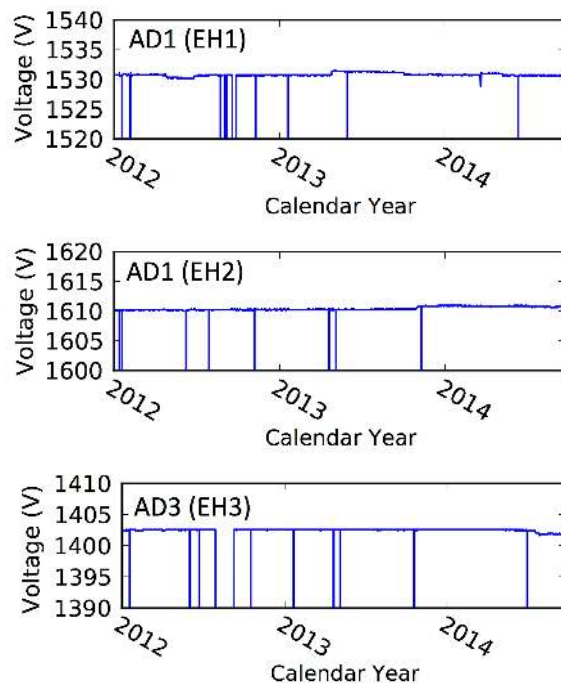


Figure 16: History of the operating PMT HV of a few typical PMTs. High voltages are set to zero during extended accesses or when recovering from power outages.

649 with a LabView<sup>TM</sup> program. Individual voltages and currents are monitored  
 650 and archived in the slow-control database. Hardware limits are set on the  
 651 output voltage and current to protect the PMTs in case of any error or fail-  
 652 ure. Custom-built splitter boxes holding 48 channels of decoupling circuits  
 653 were installed in standard racks containing the front-end and trigger elec-  
 654 tronics. The high voltage is filtered out, leaving only the analog signals of  
 655 the PMTs to be sent to the front-end electronics. PMT gains were set to  
 656 be  $1 \times 10^7$  for normal data taking. The operating HVs for the PMTs in the  
 657 three experimental halls were quite stable as shown in Fig. 16.

658 *4.6. AD liquids*

659       Liquids for the ADs were produced and stored in the dedicated under-  
660 ground Liquid Scintillator hall (LS hall). The GdLS for all eight detectors  
661 was produced in Jan., 2011 and stored in five 40-ton acrylic storage tanks.  
662 All of the LS was produced in Mar., 2011. In total, 185 tons of gadolinium-  
663 loaded (0.1% by mass) liquid scintillator and 200 tons of unloaded scintillator  
664 were produced. Details of the production and characterization of the liquid  
665 scintillator are given in [39].

666 *4.6.1. Gadolinium doped liquid scintillator*

667       With a high thermal neutron capture cross section of about 49000 barn,  
668 gadolinium has been added to liquid scintillators in previous neutrino exper-  
669 iments [6, 40] to reduce backgrounds by shortening the average capture time  
670 and to provide a delayed  $\approx 8$  MeV energy signal from the de-excitation of the  
671 Gd nucleus after the neutron is captured.

672       Dissolving inorganic salts of Gd with organic liquid scintillator is chem-  
673 ically challenging. Previous studies showed that gadolinium could be either  
674 extracted or dissolved into a scintillator through chelating ligands, such as  
675 phosphors, diketones, or carboxylates [41, 42]. The Daya Bay liquid scintil-  
676 lator uses linear alkylbenzene (LAB), a straight alkyl chain of 10-13 carbons  
677 attached to a benzene ring [43], as the solvent, 3g/L of 2,5-di-phenyloxazole  
678 (PPO) as the fluor, and 15 mg/L of p-bis(-o-methylstyryl)-benzene (bis-  
679 MSB) as the wavelength shifter. TMHA (3,5,5-tri-methylhexanoic acid) was  
680 chosen as the ligand to complex with the gadolinium because of its high  
681 solubility in LAB. The solid Gd-complex was dissolved into LAB to form a  
682 Gd-LAB solution with 0.5% concentration of Gd by mass. This Gd-LAB

683 solution was then mixed with a pre-prepared concentrated liquid scintillator  
684 and then diluted with more LAB to form the final GdLS.

685 Production methods were tested and developed during a prototype stage [41,  
686 42]. GdLS test samples of 800 and 600 liters were separately prepared and  
687 deployed at IHEP [36] and at the Aberdeen Tunnel Underground Labora-  
688 tory [44] for prototype testing. Performance was monitored [42] for over a  
689 year before production at Daya Bay to ensure that the chemical stability,  
690 optical transparency, and light-yield of the GdLS met the required criteria.  
691 The prototype production system, seen in Fig. 17, was reassembled in the LS  
692 hall for Daya Bay liquid production. Production procedures can be found in  
693 reference [39].

694 The quality of liquid scintillators (GdLS and LS) was controlled by purifi-  
695 cation of raw materials and by an optimized LAB production procedure at  
696 the manufacturer. Colored contaminants such as iron (Fe) and cobalt (Co),  
697 were removed since they degrade optical transparency and affect the chemi-  
698 cal stability of the scintillators. Other impurities of concern were radioactive  
699 daughters from naturally occurring uranium (U) and thorium (Th) decay  
700 chains. These contaminants were required to be  $\leq 1$  ppb.

701 A self-scavenging purification [45] using pH adjustment and fine-filtration  
702 of  $\text{GdCl}_3 \cdot x\text{H}_2\text{O}$  dissolved in water removed U, Th, and color impurities ef-  
703 fectively. Based on an assessment of nearly 1 kg purified  $\text{GdCl}_3 \cdot x\text{H}_2\text{O}$ , a  
704 U/Th level of  $\leq 1$  ppb was achieved with no trace of Fe detected. Long-lived  
705 radium from the U/Th decay chain could not be removed but was unlikely to  
706 complex with TMHA. A total of 1.2 tons of THMA was purified by thin-film  
707 vacuum distillation operating at 20 kg per day. The UV spectra of TMHA

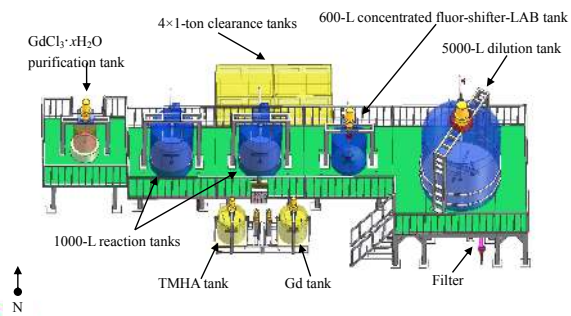


Figure 17: Prototype production system for the Daya Bay liquid scintillators. The upper diagram is of the prototype production system in IHEP. The lower photograph shows operation of the production system in the Daya Bay LS hall.

708 before and after purification showed a factor of two improvement in the opti-  
709 cal transparency over the wavelength range in which the Hamamatsu R5912  
710 PMTs are sensitive.

711 As the solvent, the LAB determines the optical properties of the GdLS.  
712 The LAB manufacturer, Jinling Petro-chemical Corporation in Nanjing, China,  
713 provided 388 tons of high-quality LAB using an optimized production pro-  
714 cess. Heavier alkylbenzene components were removed by narrowing the al-  
715 lowed range of distillation temperatures and altering the timing of catalyst  
716 use in the production cycle. Transfer pipes, containers and transportation  
717 were also carefully planned to avoid contamination. PPO, provided by the  
718 Joint Institute for Nuclear Research (JINR), Russia, was further purified by  
719 an analytical laboratory (Huashuo Technology Co., Ltd. in Wuhan, China)  
720 via filtration after melting, distillation and recrystallization. A total of 1.4  
721 tons of PPO was purified and passed the Quality Assurance (QA) require-  
722 ment. Water for production processes was produced by a resin-bed water  
723 purification system outside the LS hall. The resistivity of the purified water  
724 was about 15 M $\Omega$ -cm with no detectable metallic impurities.

#### 725 *4.6.2. Liquid Scintillator*

726 LS production used the same production system as the GdLS produc-  
727 tion. All parts which had contacted GdLS were cleaned thoroughly before  
728 LS production by acid extraction and rinsing with deionized water. Rinsing  
729 with a strong acid such as a diluted HCl solution extracts the gadolinium  
730 carboxylate into an aqueous phase. The equipment was then washed with  
731 deionized water several times to remove the gadolinium. After washing, the  
732 water was measured by X-ray fluorescence (XRF) to verify the absence of



733 residual gadolinium. The LS was produced by adding LAB to a concen-  
734 trated PPO-bis-MSB LAB solution. Fifty batches of LS were pumped into  
735 a 200-ton-capacity storage bag made of nylon and polyethylene composite  
736 membrane (PA/PE). LS is compatible with nylon based on our compatibil-  
737 ity studies. The bag was placed in a concrete storage pool which is coated  
738 with thermoplastic Olefin as a secondary containment.

#### 739 *4.6.3. Mineral Oil*

740 Optically transparent, low radioactivity mineral oil fills the space between  
741 the SSV and the outer acrylic vessel to attenuate radiation from the PMT  
742 glass, SSV materials and other sources outside the detector module. With a  
743 minimum of  $\sim 20$  cm of space between the photocathode and the OAV, the  
744 detector response is relatively uniform for events occurring in the LS.

#### 745 *4.6.4. Liquid procurement, storage and handling*

746 As shown in Fig. 18, there are two concrete storage tanks (L1 and L2) of  
747 200 ton capacity, five 40-ton storage tanks (G1-G5) for GdLS, and related  
748 piping and pumping systems in the LS hall. Each concrete pool is about  
749  $250 \text{ m}^3$  (10.5 m long, 4.75 m wide, 5 m high) and is lined with a rectangular  
750 nylon bag. Bags are made from a  $200 \mu\text{m}$  thick co-extruded multi-layer of  
751 polyamide (PA) and polyethylene (PE) composite film, with the PA surface  
752 contacting the liquid. Since the PA cannot be thermo-melt bonded, the  
753 outside of the bag is PE, which allows thermo-bonding of the seams. Limiting  
754 the intrusion of air into the bags helps keep the liquids below the required  
755 radioactivity upper limits. The bags were tested for leak-tightness, with  
756 liquid leak rates less than  $5 \times 10^{-2} \text{ cm}^3/\text{s}$ , corresponding to an air leak rate

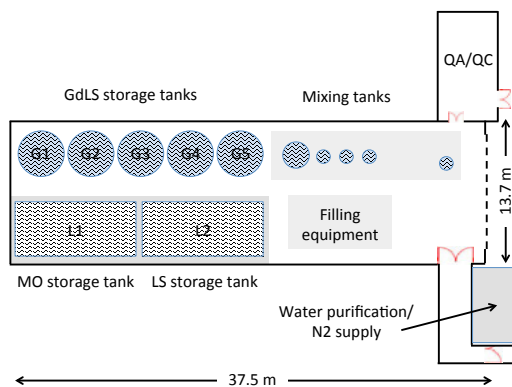


Figure 18: Footprint of the LS hall. G1-G5 label the five 40-ton storage tanks for the GdLS. L1 and L2 are two 200-ton storage pools. Mixing equipment and filling equipment are installed close to the door. There is a Quality Assurance (QA)/ Quality Control (QC) laboratory and an area for nitrogen and water.

757 of  $\sim 1 \text{ cm}^3/\text{s}$ .

758 The GdLS storage tanks are 4 m in diameter, 4 m high, 15 mm thick  
 759 acrylic vessels, reinforced with 5 mm thick fiber reinforced plastic and alu-  
 760 minum belts. The GdLS tanks were sealed and purged with nitrogen gas  
 761 before filling and continuously purged with nitrogen during liquid storage.

762 The 388 tons of LAB were synthesized by Jinling Petro-chemical Cor-  
 763 poration, Nanjing, China, in a single batch over three days. The synthesis  
 764 parameters and procedures were adjusted (based on more than 2 years of  
 765 collaborative research with the company) to satisfy Daya Bay requirements.  
 766 The synthesized LAB was directly pumped into shipping tanks via tempo-  
 767 rary PVDF piping. The ISO shipping tank was lined with a 20 ton PE/PA  
 768 bag. A total of 21 tanks were shipped via sea and truck to Daya Bay. The  
 769 LAB was unloaded and pumped into the two 200-ton storage pools, L1 and  
 770 L2, also using PVDF piping (Fig. 18). All wetted parts of the diaphragm

771 pump were made of fluoridated plastics. The LAB produced in this manner  
772 has an attenuation length of 15 meter, significantly more transparent than  
773 normally available LAB.

774 The LAB stored in L2 was used to make the 185 tons of GdLS in 50  
775 batches. The synthesized GdLS was pumped into the storage tanks G1-G5  
776 in sequence. After GdLS production, the L2 tank was emptied and the piping  
777 system was switched to send LAB from the L1 tank to the mixing equipment  
778 for synthesizing LS which was then stored in L2.

779 The Hangzhou Sinopec Co. Ltd produced 305 tons of mineral oil in one  
780 production run. The MO was custom made for Daya Bay to be between  
781 99% and 100% of the LS density. The density (at 20°C) of the Daya Bay  
782 GdLS, LS, and MO liquids are 0.860 g/cm<sup>3</sup>, 0.859 g/cm<sup>3</sup>, and 0.851 g/cm<sup>3</sup>,  
783 respectively. The production MO was split into two batches. The first half  
784 was shipped to Daya Bay using liquid bags similar to the LAB bags, and  
785 emptied into a new PE/PA bag in the L1 storage tank. The remaining oil  
786 was stored at Hangzhou and later shipped to Daya Bay after the first 4 ADs  
787 were filled.

#### 788 *4.6.5. Liquid scintillator performance and stability*

789 Many liquid properties, such as the gadolinium concentration in the  
790 GdLS, optical transmission, carbon to hydrogen ratios, liquid density, light  
791 yield, and radioactivity, were measured when the ADs were filled and mon-  
792 itored over time. Liquid samples [GdLS (two samples, before and after fill-  
793 ing), LS, and MO] were taken from each AD for these measurements. The Gd  
794 concentration was measured using X-ray fluorescence spectrometers with an  
795 uncertainty of about 2%. Two years of monitoring the Gd concentration in

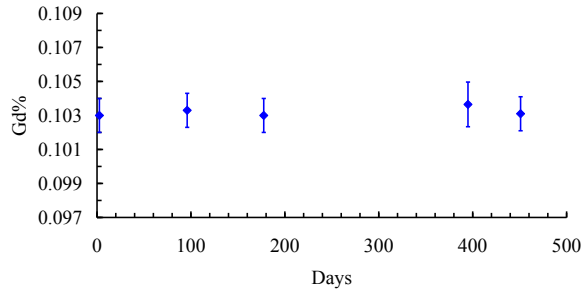


Figure 19: Gd content of a GdLS sample taken during AD filling as a function of time

796 GdLS samples at the BNL and IHEP labs show that the Gd concentration re-  
 797 mains stable with time as shown in Fig. 19. A second, indirect measurement  
 798 of the GD concentration relies on the correlation between the Gd concentra-  
 799 tion and the neutron capture time. Since neutron capture times in all ADs  
 800 are stable [39], the Gd concentration must also be stable.

801 Figure 20 shows the absorbance spectra measured by a UV-vis spectrom-  
 802 eter of GdLS samples taken from storage tanks G1 to G5 which had been  
 803 filled from different production batches of GdLS. The curves are nearly iden-  
 804 tical, indicating the uniformity of the produced GdLS optical-transmission  
 805 properties. The optical transmission of the AD samples were also studied  
 806 over time. A representative curve of GdLS absorption at 430 nm is shown in  
 807 Fig. 21 and has been stable for more than 500 days.

808 The emission spectrum from the GdLS excited at 260 nm were consis-  
 809 tent with the bis-MSB emission spectrum [39]. LAB-based liquid scintillator  
 810 usually has a high light yield. According to our preliminary research, the  
 811 addition of the Gd-TMHA complex to the LS does not significantly degrade  
 812 the light yield. The light yield of both GdLS and LS are about half of that  
 813 of anthracene, as measured at BNL and IHEP.

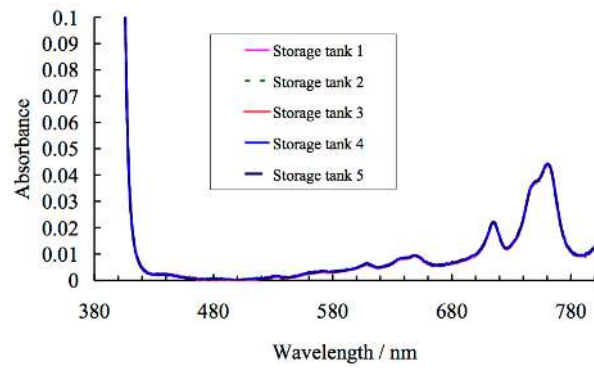


Figure 20: Comparison of absorbance spectra of GdLS samples from the five 40-ton storage tanks at Daya Bay. The spectra are nearly identical with the last curve obscuring the other curves.

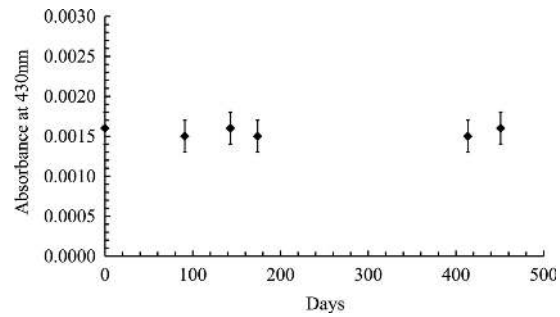


Figure 21: Optical absorbance at 430 nm of GdLS as a function of time

Table 8: Percentage weights of Gd, C, and H in GdLS samples from the eight ADs. The relative Gd concentration error is less than 1%. The relative error in the computed C/H ratio is less than 0.7%.

	Gd concentr.(%)	Carbon(%)	Hydrogen(%)
EH1-AD1	$0.1031 \pm 0.0003$	$87.85 \pm 0.94$	$12.01 \pm 0.31$
EH1-AD2	$0.1029 \pm 0.0006$	$87.91 \pm 0.91$	$11.97 \pm 0.38$
EH2-AD1	$0.1032 \pm 0.0009$	$88.02 \pm 0.92$	$11.95 \pm 0.14$
EH2-AD2	$0.1034 \pm 0.0005$	$87.90 \pm 0.92$	$11.95 \pm 0.13$
EH3-AD1	$0.1034 \pm 0.0009$	$87.97 \pm 0.92$	$12.00 \pm 0.13$
EH3-AD2	$0.1031 \pm 0.0009$	$88.05 \pm 0.93$	$11.97 \pm 0.13$
EH3-AD3	$0.1029 \pm 0.0009$	$87.86 \pm 0.95$	$12.04 \pm 0.43$
EH3-AD4	$0.1020 \pm 0.0010$	$87.81 \pm 0.95$	$11.98 \pm 0.16$

814 C/H/N weight and atomic ratios were obtained directly from combustion  
815 analysis. Gd concentration together with C/H weight ratios of the GdLS  
816 samples from the eight ADs are summarized in Table 8. Table 9 lists the  
817 percentage weights of C and H in LS samples from the eight ADs.

#### 818 *4.6.6. Filling system and target mass measurement*

819 Each AD was moved underground to the filling hall and filled with pre-  
820 cisely measured amounts of GdLS, LS, and MO [46]. This irreversible op-  
821 eration was the single highest risk element of an AD's construction as there  
822 was no feasible method to remove liquid from the AD which would not com-  
823 promise the mass measurements or the liquid purity. The ultimate precision  
824 of the relative measurements at the near and far detectors is directly driven  
825 by the GdLS mass measurements.

Table 9: Percentage weights of C and H in LS samples from the eight ADs.

Samples	Carbon	Hydrogen
EH1-AD1	$88.00 \pm 0.93$	$11.91 \pm 0.13$
EH1-AD2	$88.09 \pm 0.92$	$11.98 \pm 0.12$
EH2-AD1	$88.16 \pm 0.92$	$11.89 \pm 0.13$
EH2-AD2	$88.08 \pm 0.88$	$11.93 \pm 0.13$
EH3-AD1	$88.23 \pm 0.92$	$11.96 \pm 0.13$
EH3-AD2	$87.90 \pm 0.92$	$11.97 \pm 0.13$
EH3-AD3	$88.14 \pm 0.92$	$12.02 \pm 0.13$
EH3-AD4	$88.09 \pm 0.93$	$11.95 \pm 0.13$

826 The filling system was made of three metering pump circuits, each with  
 827 a Coriolis flowmeter, Teflon plumbing, filling lines and level probes which  
 828 were inserted into the AD. The LS and MO circuits pumped directly from  
 829 the storage tanks. The GdLS circuit utilized a PFA Teflon-lined ISO tank  
 830 to collect equal amounts of GdLS from the five acrylic GdLS storage tanks.  
 831 The ISO tank rested on precision weigh-bridge load cells. Weighing the tank  
 832 before and after detector filling determined the GdLS mass pumped into the  
 833 detector. A peristaltic pump was used to top-up the GdLS overflow tank  
 834 before the final weight measurement.

835 All components of the filling system were tested for compatibility with  
 836 the AD liquids. Piping was constructed from PVDF (polyvinylidene fluoride)  
 837 or Teflon materials. Particular care was taken with the GdLS pump circuit  
 838 which used a non-metallic PVDF pump head. Remaining stainless steel parts  
 839 in the GdLS pumps and valving were passivated with nitric acid.

840 The filling process took several days to complete. First, MO was pumped  
841 into the SSV until the MO reached the bottom of the OAV. Then MO and  
842 LS were pumped at speeds adjusted to keep the liquid levels within  $\pm 5$  cm  
843 of each other. When the LS reached the bottom of the IAV the GdLS pump  
844 was started. Filling-level probes and special cameras inside the AD were  
845 used to monitor the filling levels. Transitions between the filling stages were  
846 identified by changes of slope in the liquid height vs pumped mass plots.  
847 Filling was stopped periodically to add and remove four one-ton calibration  
848 masses to the ISO tank to track any calibration drifts.

849 The GdLS pump was stopped when the top of the IAV was reached.  
850 Likewise the LS pump was stopped when the OAV lid was reached. MO  
851 pumping continued until the MO partially filled the overflow tanks on the  
852 SSV lid. The added pressure of the MO on the non-rigid OAV and IAV lids  
853 and walls squeezed the inner volumes. As a result both the GdLS and LS  
854 liquid levels rose into their respective overflow tanks without further pump-  
855 ing. However, the resulting liquid levels were not well matched. Additional  
856 liquids were added so that all three overflow tanks were at the same level  
857 and were filled to about 1/3 capacity. It was necessary to add several liters  
858 of MO and LS after the ADs were moved into the experimental halls since  
859 trapped gas bubbles at the top of the SSV and OAV were dislodged during  
860 transport. It was not necessary to add GdLS.

861 The dominant uncertainty in the GdLS mass measurement was due to  
862 drifts in the load cell readings. Studies over several days with empty and  
863 full ISO tanks saw a maximum variation of  $\pm 2$  kg (0.01% of 20 ton). The  
864 calibration mass measurements determined a 0.18% correction to the absolute



865 mass scale, probably due to different values of gravitational acceleration ( $g$ )  
 866 at Daya Bay and the manufacturer's location. An additional 0.13% correction  
 867 was made to correct for the weight of the nitrogen gas which filled the empty  
 868 ISO tank volume. The AD target mass was defined as the mass of the GdLS  
 869 in the IAV vessel. Thus the estimated mass of the GdLS in the overflow tank  
 870 and calibration tubes is subtracted from the total GdLS mass determined  
 871 from the before and after load cell measurements. The masses of each AD  
 872 are shown in Table 10. The error in the GdLS mass,  $\pm 3$  kg (0.015%), is well  
 873 below the design goal of  $\pm 0.2\%$ .

Table 10: AD liquid masses. The GdLS mass is calculated from the change in weight of  
 the ISO storage container before and after filling with corrections for the amount of liquid  
 in the overflow tank and connection tubes and for the weight of the gas in the ISO tank.  
 The LS and MO mass are determined from Coriolis flow meters.

	GdLS mass (kg)	LS mass (kg)	MO mass (kg)
EH1-AD1	$19941 \pm 3$	$21623 \pm 28$	$36444 \pm 76$
EH1-AD2	$19967 \pm 3$	$21570 \pm 28$	$36472 \pm 76$
EH2-AD1	$19891 \pm 4$	$21637 \pm 24$	$36240 \pm 76$
EH2-AD2	$19944 \pm 5$	$21500 \pm 24$	$36348 \pm 77$
EH3-AD1	$19917 \pm 4$	$21616 \pm 24$	$36292 \pm 76$
EH3-AD2	$19989 \pm 3$	$21459 \pm 28$	$36248 \pm 76$
EH3-AD3	$19892 \pm 3$	$21702 \pm 28$	$36414 \pm 76$
EH3-AD4	$19931 \pm 3$	$21524 \pm 24$	$36520 \pm 133$

874 *4.7. AD monitoring*

875 An AD lid monitoring system [47] measures the heights of the liquids  
876 in the overflow tanks, temperatures of the AD liquids and possible tilts of  
877 the AD lid. Temperature sensors mounted at various depths inside the AD  
878 monitor the MO temperature. In addition to these monitors which provide  
879 continuous monitoring during normal data taking, there are several special-  
880 ized systems which take data as needed. Cameras and lights [47] view the  
881 liquid levels in the off-center calibration ports during calibration periods,  
882 providing a cross-check of the LS and GdLS heights from the level sensors.  
883 A mineral oil clarity system mounted on the SSV lid shines light at different  
884 wavelengths through the mineral oil to monitor mineral oil clarity. Two other  
885 sets of cameras and lights [48] were used to monitor the AD interior liquid  
886 levels during filling.

887 *4.7.1. Liquid level sensors*

888 Two sets of liquid level sensors are incorporated into the central overflow  
889 tanks as shown in Fig. 22. Each tank contains an ultrasonic level sensor  
890 as well as a capacitance level sensor to provide redundant measurements of  
891 the GdLS or LS heights. The ultrasonic sensors (Senix Corporation model  
892 TSPC-30S1) are mounted parallel to the liquid surface on the overflow tank  
893 lid and view the liquid level via a flat acrylic mirror built into the mount.  
894 Sensors were individually calibrated in air. Reflections off the bottom of the  
895 unfilled acrylic tanks were used to define the bottom of the measurable range.  
896 Calibration errors were less than 1 mm over the 400 mm range. Monitor  
897 readings during data taking are corrected for the difference in the speed of  
898 sound between air and the dry nitrogen AD cover gas.

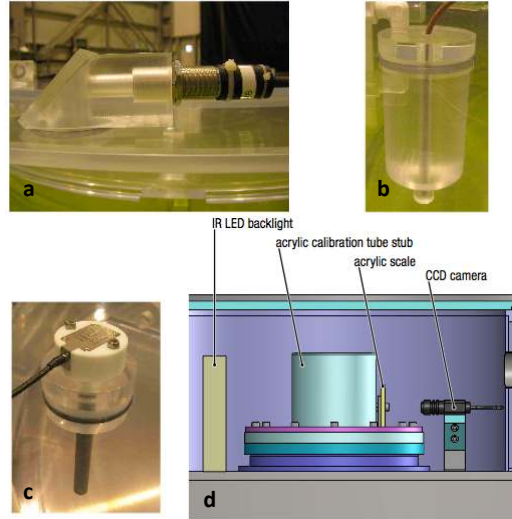


Figure 22: AD level monitoring sensors. (a) Ultrasonic, (b) temperature, (c) capacitance, (d) calibration port cameras

899 As a cross check of the height versus volume calculation, one of the over-  
 900 flow tanks was filled with water in precisely measured increments while mon-  
 901 itoring the reported height. The largest deviation from the expected volume  
 902 versus height curve was 1.5 liters which is taken as the systematic error in  
 903 the overflow tank liquid volume measurement.

904 The calibration port cameras shown in Fig. 22(d) are used once a month  
 905 to verify the GdLS and LS liquid levels in the off-axis calibration ports. The  
 906 camera views the backlit liquid level in the clear acrylic port next to a fixed  
 907 scale. Long-term drifts in the level sensors are measured by comparing the  
 908 pictures and sensor data. No significant drift has been observed in any of the  
 909 AD ultrasonic sensors.

910 A time history of the GdLS levels in the near hall detectors is shown in

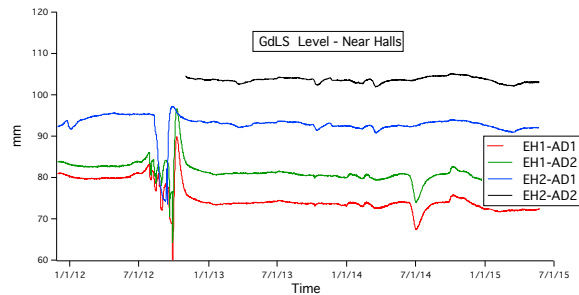


Figure 23: Time history of the GdLS levels in the near detector AD overflow tanks as measured by the ultrasonic sensor. The rapid variations in Aug.-Sep., 2012 data correspond to multiple EH1 pool draining and filling cycles during manual calibration tests. EH2 was drained and filled once in the same time frame to install EH2-AD2.

911 Fig. 23. The large observed fluctuations in the liquid level are generated when  
 912 the SSV is compressed by the weight of the water during pool filling. Smaller  
 913 fluctuations are correlated with changes in the water pool temperature.

914 Custom capacitance sensors were constructed by Gill [49] from Polyte-  
 915 trafluoroethylene (PTFE) for compatibility with the LS. Calibration was  
 916 provided by the factory for the LAB base of the LS and GdLS. A standard  
 917 stainless steel capacitance sensor (Gill Type R) was installed in one of the  
 918 two MO overflow tanks. The capacitance sensors lack the precision of the ul-  
 919 trasonic sensors, and have proved to be more susceptible to long term drifts.  
 920 Therefore they are used as backups to the ultrasonic sensors.

#### 921 4.7.2. Temperature monitoring

922 AD liquid temperatures are measured by Pt100 platinum resistance ther-  
 923 mometers in the GdLS and LS overflow tanks and at four depths in the MO  
 924 volume. The sensors have a nominal accuracy of  $\pm 0.2^\circ\text{C}$  at  $25^\circ\text{C}$ . A time  
 925 history of recorded temperatures in AD1 can be seen in Fig. 24. The tem-

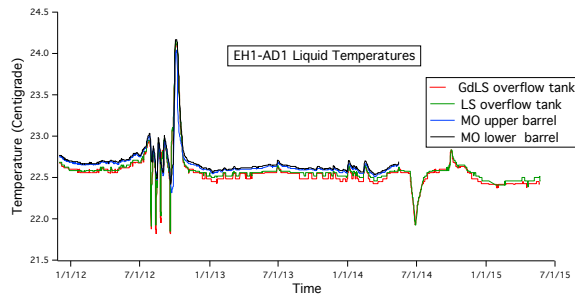


Figure 24: Time history of liquid temperatures in EH1-AD1. Temperatures measured in the GdLS overflow tank (red), LS overflow tank (green), and at two heights in the MO volume (blue or black) track each other very well.

926 peratures clearly track each other and are correlated to the small changes of  
 927 liquid level observed in Fig. 23.

#### 928 4.7.3. Mineral Oil clarity sensor

929 An automated MO clarity system was designed and installed on the AD  
 930 lid to monitor the optical transmission properties of the MO. The system  
 931 utilizes a high power LED to send light pulses to the AD through a 50  
 932 m optical fiber. A stepping motor driven monochromator and collimator  
 933 produce beams at different wavelengths. The light is directed vertically down  
 934 into the MO parallel to the PMT faces. A corner cube retro-reflector mounted  
 935 above the bottom AD reflector reflects the light back to the top of the AD,  
 936 thus doubling the light path in the mineral oil to around 8 m. Reflected light  
 937 is received by a 2" PMT and digitized in a flash ADC. To monitor the light  
 938 intensity, a small mirror in the light path generates a secondary beam of light  
 939 directed to the 2" PMT without entering the MO. The ratio of reflected and  
 940 reference signals at each wavelength measures the light attenuation in the  
 941 MO as a function of wavelength.

942 A second system within the MO clarity enclosure uses a different method  
943 to monitor the attenuation in the MO. A diffuser ball mounted beneath the  
944 AD lid is driven by a broad band LED pulse. The ball illuminates the AD  
945 PMTs directly below. Since the PMTs are at different MO path lengths  
946 from the diffuser ball the ratio of PMT responses is sensitive to the MO  
947 attenuation length. Systematic differences in the PMT gain and acceptance  
948 limit the precision of an absolute attenuation length measurement. However,  
949 changes with time of the ratios are a clear indication of changes in the MO  
950 attenuation length.

951 One diffuser ball run and nine monochromator/collimator runs (from 390  
952 nm to 430 nm, at 5 nm intervals) are performed weekly. LEDs for the diffuser  
953 ball or collimator are triggered by a pulser board operating at 20 Hz for 2000  
954 triggers in each run. In collimator runs, the total sampling time window of  
955 the FADC is 1280 ns, of which 100 ns is occupied by two clear peaks. The  
956 reference signal and the corner cube reflection are shown in Fig. 25. The  
957 time separation between the two signals is 40 ns, consistent with the speed  
958 of light in the MO over the  $\approx 8$  m path length. The area of the two signals  
959 is obtained by fitting the peaks with a double Crystal Ball function [50] or  
960 by numerical integration. The ratio of the first peak to the second peak is  
961 monitored for the stability of the MO attenuation. Tests demonstrate that  
962 this ratio is stable even if the PMT gain changes by a factor of two from the  
963 nominal operating value of  $1 \times 10^7$ .

#### 964 *4.8. AD gas system*

965 Liquids in the overflow tanks and calibration tubes are covered by inert  
966 nitrogen gas supplied by an AD gas system. The cover gas system constantly

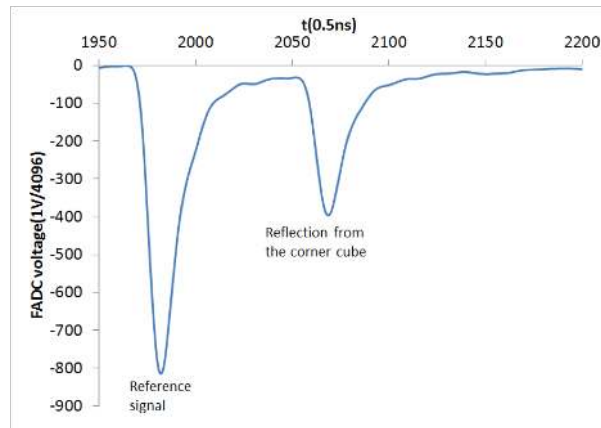


Figure 25: : Averaged waveform of 2000 samples of a typical MO monitoring run in AD2, at 410 nm wavelength. The unit of the vertical axis is the voltage resolution and the unit of the horizontal axis is the time resolution of the FADC

967 flushes the gas volumes above the liquid scintillator with dry nitrogen to min-  
 968 imize oxidation of the scintillator over the five year lifetime of the experiment.  
 969 This constant flush also prevents the infiltration of radon or other contam-  
 970 inants into these detecting liquids, keeping the internal backgrounds low.  
 971 Since the Daya Bay antineutrino detectors are immersed in the large water  
 972 pools of the muon veto system, other gas volumes are needed to protect vital  
 973 detector cables or gas lines. These volumes are also purged with dry gas.  
 974 Return gas is monitored for oxygen content and humidity to provide early  
 975 warning of potentially damaging leaks.

976 The cover gas circuit flushes the gas volumes above the overflow tanks  
 977 and calibration tubes (as shown in Fig. 11), the ACUs, Mineral Oil clarity  
 978 Monitor, and the LED cabling port, all of which are located on the SSV lid.  
 979 The cover gas volume of approximately 1500 l is refreshed at the rate of two  
 980 volume exchanges per day. The single 1/2 inch supply line is split ten ways

981 by a manifold on the lid. Separate 3/8 inch supply and return lines go to  
982 each detector volume. Another tenfold manifold combines the return flows.  
983 This manifold arrangement and the low flow rate ensure that no significant  
984 pressure differential exists between the gas volumes. As a further safety  
985 measure the cover gas is kept at  $\approx 1$  cm of water equivalent pressure above  
986 ambient atmosphere by an oil bubbler in the return line.

987 Since the ADs are beneath about 2.5 m of water, considerable design  
988 effort went into preventing a single seal failure from causing a water leak  
989 into the AD liquids. Almost all of the lid and ACU seals are of a double  
990 O-ring design. The cover gas volumes are isolated from other gas volumes on  
991 the lid by electrical and gas feed-through flanges. All of the cover gas lines  
992 are protected by vacuum grade stainless steel bellows which are flushed with  
993 an additional purge gas circuit. Similarly, electrical lines for the ACUs, lid  
994 sensors, and LEDs are run through bellows flushed by a third gas circuit. A  
995 fourth gas circuit flushes the eight bellows and dry box elbows which protect  
996 the PMT high voltage lines from the AD to above the water level.

## 997 **5. AD Calibration**

998 Detailed characterization of the AD detector properties and frequent mon-  
999 itoring of the detector performance are required to understand detector differ-  
1000 ences at the 0.1% level and to identify 0.1% changes in a particular detector  
1001 module over time. This is achieved via full and frequent detector calibration.

### 1002 *5.1. Automated Calibration Units*

1003 Three fully automated calibration units (ACUs) were mounted and sealed  
1004 on the top of each detector. Deployment positions are along the AD central



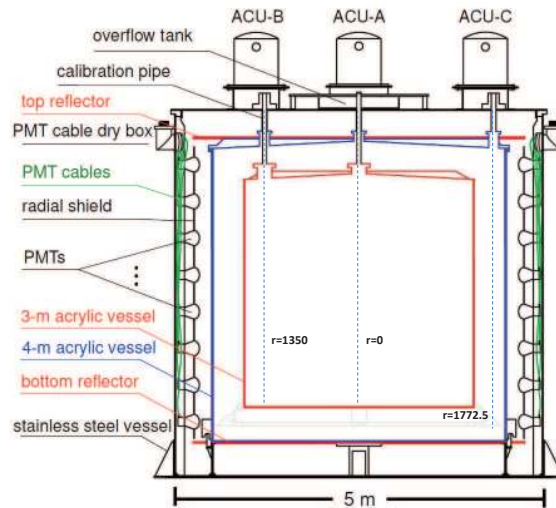


Figure 26: An illustration of the Daya Bay detector showing the three ACUs.

1005 axis, near the edge of the GdLS cylindrical volume ( $r = 1350$  mm), and  
 1006 in the LS between the inner and outer acrylic vessels ( $r = 1773$  mm), as  
 1007 shown in Fig. 26. All eight ADs (using one ACU at a time) can be calibrated  
 1008 simultaneously. ACUs deploy one of three possible calibration sources within  
 1009 the ACU into the detector at different  $z$  positions. Multiple calibration runs  
 1010 for each antineutrino detector were taken every week for  $\approx 3$  hours during  
 1011 2011-2012.

1012 Calibration sources are deployed with a precision of 7 mm along the ver-  
 1013 tical axis ( $z$ -axis) [51]. Figure 27 shows the major ACU components. The  
 1014 three calibration sources are a LED, a  $^{68}\text{Ge}$  source and a  $^{241}\text{Am}$ - $^{13}\text{C}$  and  
 1015  $^{60}\text{Co}$  combined source.  $^{68}\text{Ge}$  decays into  $^{68}\text{Ga}$  via electron capture with a  
 1016 half life of 271 days. The  $^{68}\text{Ga}$  decays via positron emission with a half life of  
 1017 68 minutes. The positron typically annihilates within the source enclosure,  
 1018 yielding two 511 keV gammas. The  $^{68}\text{Ge}$  source is thus effectively a 10 Hz

1019 gamma source. The  $^{60}\text{Co}$  sources emit two gammas at 1.173 and 1.332 MeV  
1020 at about 100 Hz. Alphas from the 28  $\mu\text{Ci}$   $^{241}\text{Am}$  source interact with  $^{13}\text{C}$   
1021 within the source, emitting neutrons at a rate of 0.7 Hz [52].

1022 As shown in Fig. 28, each source is enclosed in an acrylic shell and con-  
1023 nected to the turntable by a stainless steel (radioactive sources) or coaxial  
1024 cable (LED) in Teflon sleeves. All materials having contact with liquids were  
1025 thoroughly studied for compatibility. Radioactivity of the components meets  
1026 the required specification of  $\leq 0.6$  Bq/kg of  $^{238}\text{U}$ , 0.4 Bq/kg of  $^{233}\text{Th}$  and  
1027 2.6 Bq/kg of  $^{40}\text{K}$ .

1028 During the summer shutdown of 2012, the calibration sources used in each  
1029 ACU were modified based on experience with the 6 AD data set. Neutrons  
1030 from the off-axis  $^{241}\text{Am}$ - $^{13}\text{C}$  sources could produce IBD-like signals in the  
1031 AD, even with the source in the parked position above the SSV lid. Source  
1032 neutrons could produce gammas in the LS or GdLS either by scattering or  
1033 capture in the Fe-Cr-Mn-Ni SSV walls followed by the subsequent capture  
1034 of the neutron in the GdLS. The  $^{241}\text{Am}$ - $^{13}\text{C}$  sources in the EH3 off-center  
1035 ACUs were removed to reduce the background level.  $^{68}\text{Ge}$  and  $^{60}\text{Co}$  sources  
1036 were swapped in some ACUs to improve the neutron source calibration data  
1037 for H/Gd ratio studies. The final sources inside all 8 AD ACUs are listed in  
1038 Table 11.

1039 A special source made from  $^{137}\text{Cs}$  deposited at the center of a spherical  
1040 scintillator was used during dry detector commissioning in the SAB before  
1041 the ADs were filled. A detailed description of the automated calibration  
1042 system can be found in [51].

Table 11: Calibrations sources in the ACUs after the start of the 8-AD data-taking in Dec. 2012. The name of an ACU stands for the installed position (A/B/C) in an AD. See Fig 26 for details.

AD-ACU	1	2	3
EH1-AD1-1A	LED	$^{241}\text{Am}-^{13}\text{C}/^{68}\text{Ge}$	$^{60}\text{Co}$
EH1-AD1-1B	LED	$^{241}\text{Am}-^{13}\text{C}/^{60}\text{Co}$	$^{68}\text{Ge}$
EH1-AD1-1C	LED	$^{241}\text{Am}-^{13}\text{C}/^{68}\text{Ge}$	$^{60}\text{Co}$
EH1-AD2-2A	LED	$^{241}\text{Am}-^{13}\text{C}/^{68}\text{Ge}$	$^{60}\text{Co}$
EH1-AD2-2B	LED	$^{241}\text{Am}-^{13}\text{C}/^{60}\text{Co}$	$^{68}\text{Ge}$
EH1-AD2-3C	LED	$^{241}\text{Am}-^{13}\text{C}/^{68}\text{Ge}$	$^{60}\text{Co}$
EH2-AD1-3A	LED	$^{241}\text{Am}-^{13}\text{C}/^{60}\text{Co}$	$^{68}\text{Ge}$
EH2-AD1-3B	LED	$^{241}\text{Am}-^{13}\text{C}/^{60}\text{Co}$	$^{68}\text{Ge}$
EH2-AD1-2C	LED	$^{241}\text{Am}-^{13}\text{C}/^{68}\text{Ge}$	$^{60}\text{Co}$
EH2-AD2-8A	LED	$^{241}\text{Am}-^{13}\text{C}/^{68}\text{Ge}$	$^{60}\text{Co}$
EH2-AD2-4B	LED	$^{241}\text{Am}-^{13}\text{C}/^{68}\text{Ge}$	$^{60}\text{Co}$
EH2-AD2-4C	LED	$^{241}\text{Am}-^{13}\text{C}/^{68}\text{Ge}$	$^{60}\text{Co}$
EH3-AD1-4A	LED	$^{241}\text{Am}-^{13}\text{C}/^{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD1-8B	LED	$^{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD1-8C	$^{40}\text{K}$	$^{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD2-5A	LED	$^{241}\text{Am}-^{13}\text{C}/^{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD2-5B	LED	$^{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD2-5C	LED	$^{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD3-6A	LED	$^{241}\text{Am}-^{13}\text{C}/^{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD3-6B	LED	$^{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD3-6C	LED	$^{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD4-7A	LED	$^{241}\text{Am}-^{13}\text{C}/^{68}\text{Ge}$	$^{60}\text{Co}$
EH3-AD4-7B	LED	$^{67}_{60}\text{Co}$	$^{68}\text{Ge}$
EH3-AD4-7C	LED	$^{60}\text{Co}$	$^{68}\text{Ge}$

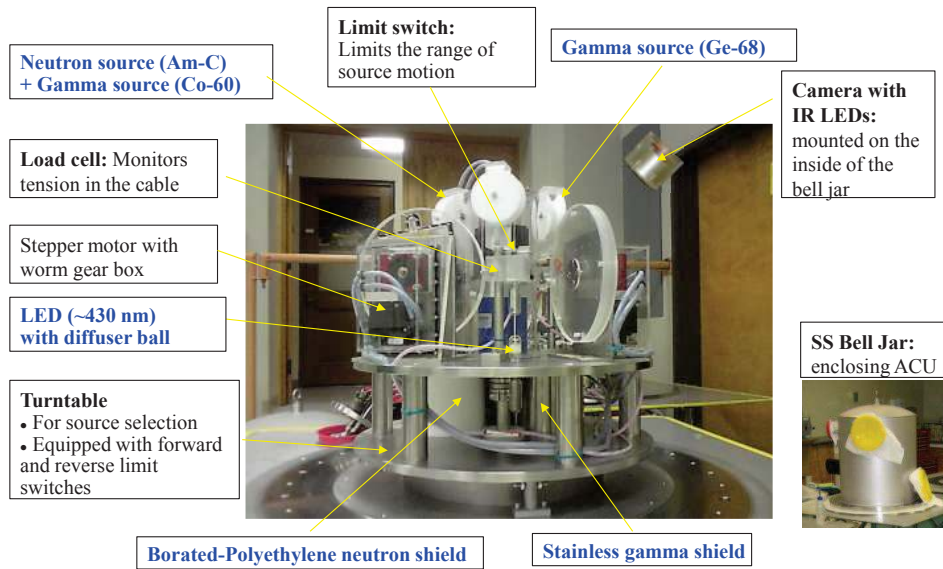


Figure 27: An overview picture of the ACU

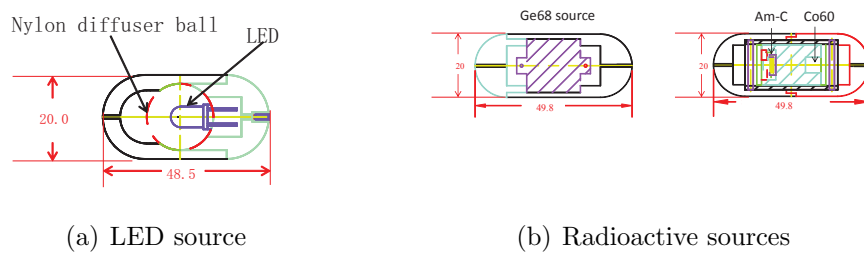


Figure 28: Calibration sources

1043 *5.2. Manual Calibration System*

1044 A manual calibration system (MCS) was built to extend source calibra-  
1045 tions to the full detector volume. The MCS consisted of three main com-  
1046 ponents: a calibration tower, a source rod assembly and control system.  
1047 Figure 29 shows a schematic of the MCS installed on an AD. The calibra-  
1048 tion tower protected all of the inner components from the water pool since  
1049 manual calibrations were performed with normal water levels. The rotatable  
1050 source rod could be moved up or down to control the height of the calibra-  
1051 tion source and rotated to any desired azimuthal ( $\Phi$ ) position. The source  
1052 was moved inside the hollow source arm (an acrylic tube) by a teflon coated  
1053 stainless steel wire and pulley system to position the source at the desired  
1054 radius. The source rod, articulation joint, and elbow were made of stainless  
1055 steel coated with Teflon for compatibility with AD liquids. The source could  
1056 be deployed to almost any position within the IAV except very near the IAV  
1057 wall. Calibration sources were placed in the full volume of the AD with a  
1058 positional accuracy of 25 mm radially, 12 mm vertically and  $0.5^\circ$  in azimuth.

1059 A combined  $^{60}\text{Co}$  and  $^{238}\text{Pu}$ - $^{13}\text{C}$  source was used in the MCS. The source  
1060 strength was selected to minimize data acquisition time while avoiding sat-  
1061 uration of the PMT or readout systems. The  $^{60}\text{Co}$  source strength was 150  
1062 Bq. The  $^{238}\text{Pu}$ - $^{13}\text{C}$  source produced 2000 Bq of neutrons and 40 Bq of 6.13  
1063 MeV gamma rays. The overall trigger rate at a trigger threshold of 0.4 MeV  
1064 was about 3 kHz. With these sources, calibrations at energies from 1 MeV  
1065 to 8 MeV were possible, using 1.02 MeV of gammas from positron annihili-  
1066 tion, 2.2 MeV gamma rays from neutron capture on hydrogen, 4.43 MeV  
1067 from  $^{12}\text{C}(n,n)^{12}\text{C}^*$ , 4.95 MeV from gamma ray emission of the  $^{12}\text{C}(n,\gamma)$  reac-

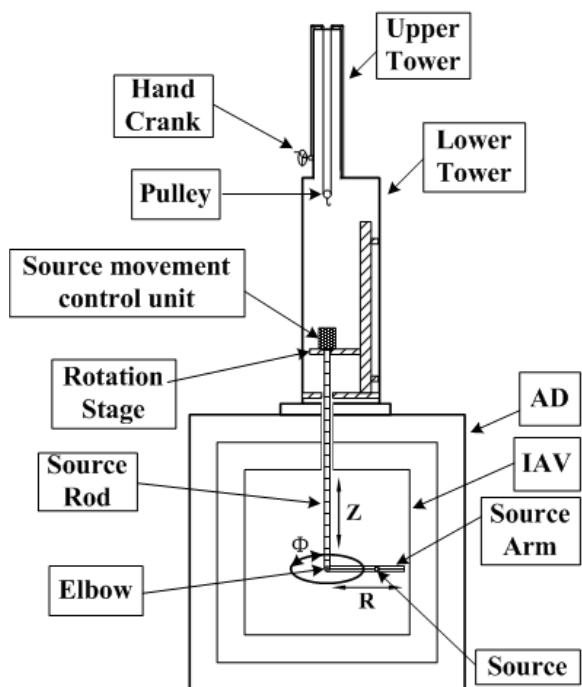


Figure 29: Schematics of the MCS deployment

1068 tion, 6.13 MeV of the de-excitation energy release of  $^{16}\text{O}^*$  from  $^{13}\text{C}(\alpha, n)^{16}\text{O}^*$   
1069 reaction and 8 MeV from neutron capture on gadolinium.

1070 A manual calibration was performed on AD1 in EH1 during the summer  
1071 shutdown of 2012. The performance is detailed in section 9. A detailed  
1072 description of the MCS can be found in [53].

### 1073 *5.3. 2-inch PMT calibration system*

1074 Three pairs of Hamamatsu 2-inch R7724 PMTs with standard Borosili-  
1075 cate glass were mounted in each AD to monitor the attenuation length of the  
1076 liquids. The radioactivity of the PMTs was measured to be  $\leq 15$  Bq/PMT  
1077 from  $^{40}\text{K}$ ,  $\leq 1.5$  Bq/PMT from  $^{238}\text{U}$ , and  $\leq 0.25$  Bq/PMT from  $^{232}\text{Th}$ , con-  
1078 tributing negligibly to the AD singles rate. One PMT of each pair was  
1079 installed on the top lid facing downwards and the other was installed on the  
1080 bottom of the steel vessel facing upwards. 10-cm circular optical apertures  
1081 were cut into top and bottom reflectors to allow the PMTs to see into the  
1082 liquids. The glass windows of the PMTs have a nominal clearance of 28 (90)  
1083 mm to the top (bottom) reflector. The radial position of the three PMT  
1084 pairs was chosen to be 370.5, 1790.0, and 2150.0 mm, to look into the GdLS  
1085 target, LS gamma catcher, and MO liquid zones. The attenuation length of  
1086 the liquids can be monitored by the ratio of the charge collected by the top  
1087 and bottom PMTs with a mono-energetic calibration source deployed in the  
1088 AD.

## 1089 **6. Water pool and Muon Detectors**

1090 The photograph in Fig. 30 shows a partially filled water pool in the far  
1091 experimental hall and the major components of the water pool and muon

1092 systems. The highly purified water attenuates environmental background  
1093 radiation while allowing the detection of cosmic muons via Cerenkov radia-  
1094 tion. Two groups of PMTs monitor the water pool and detect muons. White  
1095 Tyvek<sup>TM</sup> sheets mounted on stainless steel Unistrut frames separate the pool  
1096 into two regions. The central region, containing the ADs, has inward facing  
1097 PMTs and is designated as the Inner Water Shield (IWS). A 1m-thick Outer  
1098 Water Shield (OWS) forms an open shell around the IWS (except for the  
1099 top). Rolled off to the top right side of Fig. 30 is an array of modules, each  
1100 containing four layers of resistive plate chamber (RPC), which provide addi-  
1101 tional muon detection. Two modules of RPCs are mounted on the hall walls  
1102 to provide a subset of muons with well measured angular parameters. Not  
1103 seen is the black rubberized cover supported by cables which is pulled over  
1104 the water pool after filling. Nitrogen gas flows beneath the cover to reduce  
1105 the intrusion of radon which is commonly found in underground granite en-  
1106 vironments. Detailed descriptions of the muon and water purifying systems  
1107 can be found in [19] and [20].

### 1108 *6.1. Water system*

1109 The antineutrino detectors are surrounded by more than 2.5-meter of  
1110 water shielding in all directions reducing backgrounds from rock radioactivity  
1111 by nearly a factor of  $10^6$ . The effectiveness of the water shield can be clearly  
1112 seen in Fig. 31 which shows the reconstructed position of background hits  
1113 within an AD when the water pool was about 1/2 full. Backgrounds below  
1114 the water level are strongly suppressed.

1115 The near (far) experimental halls contain nearly 1250 tons (2000 tons) of  
1116 highly purified water with an optical attenuation length of about 40 m at 420





Figure 30: The partially filled far hall water pool containing three ADs is shown. Inner Water Shield (IWS) PMTs are visible above and below the water line. Outer Water Shield (OWS) PMTs are hidden beneath the white Tyvek<sup>TM</sup> sheets separating the IWS and OWS. Previously installed RPC arrays are rolled into the RPC garage area on the top-right to provide access to the water pool. Two sets of telescope RPCs are mounted on the hall walls as shown.

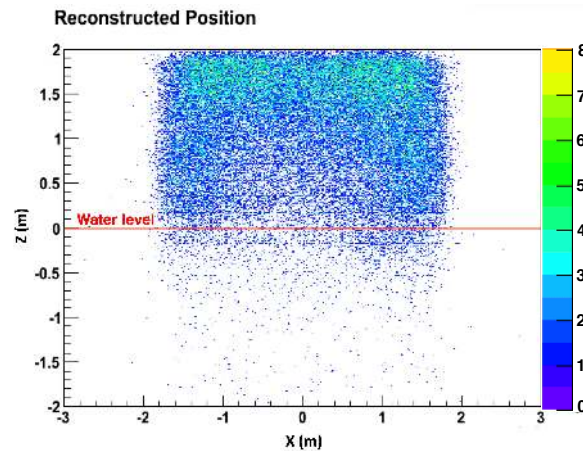


Figure 31: Reconstructed positions of AD background triggers with the water pool partially filled showing the strong suppression of background events by the water.

1117 nm (based on indirect studies [19]). Producing and maintaining this water  
1118 are several water processing systems. A central treatment system in the  
1119 Water Hall (WH) produces ultra-pure water from the city supply for filling  
1120 and topping off the muon pools. Polishing systems, located in utility rooms  
1121 of each experimental hall, recirculate 5-8 m<sup>3</sup> of water per hour. Pool water  
1122 passes through 1 and 0.1 micron filters, a de-gasser, heat exchanger, and is  
1123 exposed to UV at 185 and 254 nm to sterilize any biological contaminants.  
1124 The water is returned to the pool at 22.7°C with a resistivity of 18.2 MΩ-cm  
1125 and  $\leq 10$  ppb dissolved oxygen. Radon levels measured after polishing in  
1126 the polishing station are between 30 – 50 Bq/m<sup>3</sup> [19].

## 1127 *6.2. Muon PMTs*

1128 The water pools EH1 and EH2 are instrumented with 8" PMTs, with 121  
1129 PMTs in the IWS and 167 PMTs in the OWS. The larger, EH3 contains  
1130 160 PMTs in the IWS and 224 PMTs in the OWS. The PMTs are 619  
1131 Hamamatsu R5912 assemblies with water-proof bases and 341 EMI 9350KA  
1132 and D642KB assemblies [54] recycled from the MACRO experiment. Positive  
1133 high voltage and PMT signals are transmitted via a 52-m-long coaxial cable.  
1134 FINEMET shields, identical to those used with AD PMTs, protect muon  
1135 PMTs against ambient magnetic fields. The EMI PMTs were used primarily  
1136 in the upper region of the water pool because they were shown to fail at  
1137 pressures corresponding to depths of about 5 m. All muon PMTs were tested  
1138 to the same standards as the AD PMTs. Characterization of each PMT  
1139 determined the HV setting for the nominal data-taking gain of  $1.0 \times 10^7$ ,  
1140 which yields about 20 ADC counts per photoelectron.

1141 During normal data taking the PMT gain is constantly calibrated with

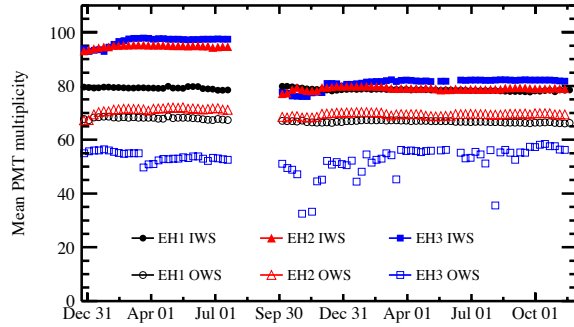


Figure 32: Mean PMT multiplicity per muon vs time. The drop of mean PMT multiplicity in EH2-IWS and EH3-IWS during the 8 AD period is due to the reduced mean muon track lengths since one more AD was put into the water pool. The instability of EH3-OWS is induced by electronics noise from unknown sources, which create triggers that have much lower PMT multiplicity. This instability has negligible impact on muon detection efficiency, as shown in Fig. 43.

1142 dark noise based on data collected via periodic triggers. The average PMT  
 1143 gains are relatively stable with a slight upward trend over time. As an  
 1144 indicator of the muon tagging efficiency, the mean PMT multiplicity per  
 1145 muon is monitored over time as shown in Fig. 32.

### 1146 6.3. Resistive Plate Chamber Detectors

1147 Muon detection modules utilizing resistive plate chambers (RPCs) are  
 1148 mounted on a rolling frame which is positioned over the water pool [19] for  
 1149 additional muon detection. The modules [55],  $2.17 \text{ m} \times 2.20 \text{ m} \times 8 \text{ cm}$ ,  
 1150 contain four layers of RPCs constructed from non-oiled Bakelite sheets [56],  
 1151 each instrumented with eight  $26 \text{ cm} \times 2.10 \text{ m}$  long readout strips oriented in  
 1152 either the x or y direction to provide a position resolution of about 10 cm.

1153 Modules are purged with dry nitrogen to protect the enclosed RPCs

1154 against the moist underground environment. The RPC gases are mixed,  
1155 distributed and monitored locally by a gas system located in a dedicated  
1156 utility room near each hall. Argon, freon(R134a), isobutane and SF<sub>6</sub> are  
1157 mixed in the ratio 65.5:30:4:0.5 [57]. The gas mixture is periodically verified  
1158 with a gas chromatograph to ensure stable performance. RPC layers of the  
1159 same module are connected to different HV channels to ensure that only one  
1160 layer is lost during the failure of a HV channel.

## 1161 **7. Electronics and data acquisition**

1162 The primary data for the Daya Bay experiment originates in seventeen  
1163 independent readout crates (eight ADs, three inner and three outer water  
1164 shields, and three RPC detectors) in the three experimental halls. Collect-  
1165 ing, compiling, and coordinating these data requires a configurable, synchro-  
1166 nized, and flexible data acquisition (DAQ) system, one that can record data  
1167 from a single readout crate, or combine data from any number of readout  
1168 crates. Data from readout crates within a single detector hall are time or-  
1169 dered and merged to create a data stream. The streams from each hall are  
1170 saved independently for offline analysis. Each detector system (AD, IWS,  
1171 OWS, RPC) can self-trigger as well as receive triggers from other detector  
1172 units via a master trigger board (one per hall) that coordinates hall wide  
1173 trigger or calibration requests.

1174 The primary function of the PMT electronics is to amplify, discriminate,  
1175 shape, digitize and record the PMT waveform, and to provide precise timing  
1176 information between PMTs. To simplify design and maintenance, all PMT-  
1177 based detector systems use identical electronics. The PMT readout system

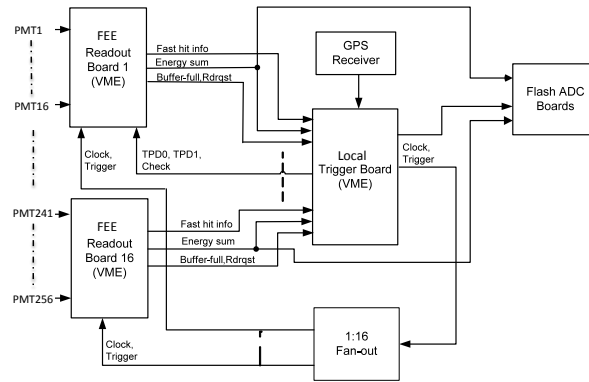


Figure 33: The PMT readout system block diagram is shown. PMT signals are sent to the FEE channels via coaxial cables after passing through an AC decoupling unit.

1178 consists of one MVME Power PC controller, one Local Trigger Board (LTB),  
 1179 one trigger and clock fan-out board (FAN), one flash ADC board and up  
 1180 to fourteen Front End Electronics (FEE) boards. The functionality of the  
 1181 readout system is shown in Fig. 33. RPC detectors have their own electronics  
 1182 system to record muon hit, time, and location information.

1183 All readout electronics systems follow the VME 64xp standard. There is  
 1184 one VME crate per AD, two VME crates per experimental hall to read out  
 1185 the IWS and OWS, and one VME crate per experimental hall for the RPC  
 1186 system. Figure 34 is a photograph taken within the electronics room of EH2.

### 1187 7.1. PMT-based FEE

1188 Raw signals from PMTs are transmitted via a coaxial cable to an AC  
 1189 decoupler unit that filters out the HV and sends the fast PMT signals to the  
 1190 front end electronic (FEE) cards. Essential FEE functions are:

- 1191 • To calculate the number of over-threshold PMT signals within a given  
 1192 time window ( $N_{hit}$ ) and send the information to the trigger system.



Figure 34: Photograph of the readout electronics in EH2.

- 1193     • To create the linear sum of signals ( $E_{sum}$ ) within the card and send it  
1194       to the trigger system.
- 1195     • To shape and digitize the height of each PMT signal, values that cor-  
1196       respond to the energy deposition in the detector.
- 1197     • To digitize and record the precise timing data for each over-threshold  
1198       PMT signal for position reconstruction and background rejection.

1199     Detailed requirements are documented in [13]. Design and testing infor-  
1200     mation have also been documented elsewhere [58]. In summary, each channel  
1201     in the FEE board accepts signals from up to sixteen PMTs. Signals are am-  
1202     plified for use in three distinct circuits: a discrimination circuit, a charge  
1203     summing circuit, and a pulse shaping circuit. Discriminator outputs are

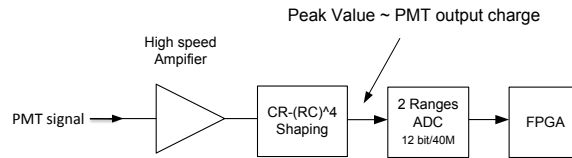


Figure 35: Charge Measurement Scheme of the FEE

1204 used by the local trigger board to form a multiplicity trigger and to start  
 1205 onboard time-to-digital converters (TDC). Outputs of the FEE charge sum-  
 1206 ming circuits are used by the trigger board as inputs to an energy summing  
 1207 circuit. The pulse-shaping circuit shapes and then samples the signal using  
 1208 a flash analog-to-digital converter (ADC). A trigger signal serves as the com-  
 1209 mon stop for all the TDCs throughout the VME crate, and initiates read out  
 1210 of the ADC and TDC data.

#### 1211 *7.1.1. Charge Measurement*

1212 Typical output signals from the front-end linear amplifiers in each FEE  
 1213 channel have widths between 10 and 20 ns and heights proportional to the  
 1214 number of photo electrons (P.E.) produced at the photocathode of the PMT.  
 1215 Amplified PMT signals are shaped via 4-resistor-capacitor network after a  
 1216 capacitor-resistor (CR - (RC)<sup>4</sup>) circuit before being digitized by two 12-bit  
 1217 ADCs with a sampling rate of 40 MHz. One ADC is set to have a fine-grain  
 1218 resolution for measuring small amplitude signals. The second ADC range is  
 1219 set to sample large signals. The ADC samples are fed into an FPGA which  
 1220 selects and stores the largest value (peak value) and the baseline value, as  
 1221 shown in Fig. 35. Further details are available in [58].

### 1222 *7.1.2. Time Measurement*

1223 The arrival time of the PMT signals is measured relative to the trigger  
1224 signal. Signals within 1300 ns prior to the trigger are recorded. This time  
1225 window comfortably contains the range of times expected between the first  
1226 and the last PMTs to see either direct or reflected light from an IBD event,  
1227 and also allows for trigger latency. Precision of the timing measurements are  
1228 limited by jitter in the rising edge of the amplified PMT signal (2-3 ns) and  
1229 by time walk of the signal ( $\sim 1$  ns). The digitization process begins when  
1230 the amplified PMT signal is fed into a high-speed discriminator circuit. The  
1231 discriminator output starts a TDC. To adjust for possible differences in PMT  
1232 gains the threshold for each channel can be set via a VME controller. TDC  
1233 functionality is programmed into the FEE's FPGA. Major components of  
1234 the TDC are two high speed gray-code counters, the first changes at the  
1235 rising edge of a 320 MHz clock, while the other changes at the falling edge.  
1236 A discriminator output starts the TDC, while the trigger signal is used to  
1237 stop the TDC. The time difference between the start signal and stop signal  
1238 is measured via these two counters with a time resolution of less than 0.8 ns.  
1239 Further details can be found in [58].

### 1240 *7.2. Flash ADC Board*

1241 An independent eight channel 1 GHz Flash ADC board is used to cross-  
1242 check the triggers based on Esum signals. Each channel employs an 8-bit  
1243 ADC, although the effective number of bits is seven. Each channel samples  
1244 the output of an FEE's charge summing circuit, which is an integration of  
1245 all sixteen amplified PMT signals on an FEE board. The output is recorded  
1246 so that offline cross-checks can be carried out. Additional information on the



1247 Flash ADC board can be found in [59].

### 1248 *7.3. Trigger system*

1249 The trigger system initiates electronic recording of detector information.  
1250 Triggers are formed primarily within an individual readout crate, but may  
1251 also be initiated by a different crate (called a cross-trigger), or from other  
1252 sources, such as the calibration system (called a calibration trigger). The  
1253 primary physics requirement is that the system achieve a greater than 99%  
1254 efficiency for detecting events with an energy deposition of 0.7 MeV or greater  
1255 in an AD. Detailed information can be found elsewhere [60]. In brief, each  
1256 PMT readout crate contains a local trigger board (LTB) that receives infor-  
1257 mation as described in section 7.1. The LTB can generate internal triggers  
1258 or respond to external trigger requests. Various trigger types can be enabled  
1259 or disabled via VME interface. The enabled triggers are ORed to generate  
1260 a final trigger signal (local trigger) that is distributed to the FEEs by front-  
1261 panel cables. This design is flexible, reproducible, and redundant. The RPC  
1262 sub-detector employs a self-triggering scheme. Each hall also possesses one  
1263 master trigger board (MTB) that coordinates external requests and cross-  
1264 triggers. For example, calibration trigger requests originating in the calibra-  
1265 tion system are initially sent to the MTB, which forwards the trigger to the  
1266 appropriate LTB. The trigger decisions implemented are:

- 1267 • Physics trigger:  $N_{hit} \geq 45$  or  $E_{sum} \geq 65$  P.E. in an AD, which  
1268 corresponds to about 0.4 MeV in deposited energy.
- 1269 • Periodic trigger: A 10 Hz period trigger is turned on during normal  
1270 physics data-taking to monitor detector stability and check random

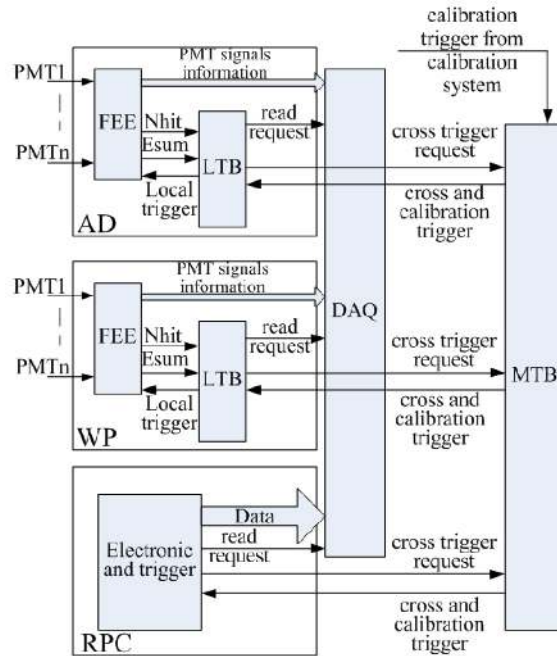


Figure 36: Layout of the trigger system. In each PMT-based detector system (AD, IWS, or OWS), the number of PMTs over the threshold ( $N_{hit}$ ) and the analog sum of PMT signals ( $E_{sum}$ ) are sent to the Local Trigger Board (LTB), which will generate a local trigger once the prescribed trigger conditions are met. DAQ software reads PMT signal information from the FEEs and trigger information from the LTB upon receiving a trigger. The LTB in one subsystem can also initiate a trigger in a different subsystem by sending a trigger request to the Master Trigger Board (MTB), which can send trigger requests (cross triggers and calibration triggers) to all of the subsystems in the same experimental hall. The RPC trigger board differs in detail, but can also send and receive triggers to the MTB.

1271 backgrounds.

1272 • Calibration trigger: Generated by the LED system in ACUs to rou-  
1273 tinely monitor PMT gains and timing.

1274 • Cross trigger: Used to correlate activity in different detector modules  
1275 (such as water pool versus AD). An important cross trigger is the "look-  
1276 back" trigger which is issued when two physics triggers within a single  
1277 AD coincide within a time interval of 200  $\mu s$ . The LTB for the AD gen-  
1278 erates a cross trigger (if enabled) which is sent to water pool detectors  
1279 to initiate readout of all hit information.

1280 • RPC trigger: Any three of the four RPC layers see a signal above the  
1281 threshold.

#### 1282 7.4. Global timing system

1283 The timing system provides a global time reference for synchronizing  
1284 triggers from individual detectors. It consists of three major parts: a GPS  
1285 driver module, a central clock generator (CCG) and local clock fanout boards  
1286 (CFB). A commercial GPS driver is located in the control room, providing  
1287 an IRIG-B coding signal with absolute UTC time information and a pulse  
1288 per second (PPS) signal to the CCG. To achieve frequency accuracy and  
1289 long-term stability, the 10 MHz signal generated by the Rubidium oscillator  
1290 in the CCG is synchronized by the PPS signal from the GPS module. The  
1291 10 MHz frequency is quadrupled to 40 MHz via PLL (Phase-locked loops).  
1292 The 40 MHz clock and the IRIG-B information are distributed separately  
1293 to each detector hall via optical fibers. The CFB in each hall converts the

1294 optical signal and fanout timing information to all FEE boards in the hall.  
1295 The CFB returns the IRIG-B signal immediately to the control room via  
1296 another fiber link to measure the link delay. The GPS driver is equipped  
1297 with an embedded system and acts as a Tier-1 network time protocol server  
1298 for all experimental computers. A backup 10 MHz oscillator was built in the  
1299 CCG in case the Rubidium clock is not available.

### 1300 *7.5. Data acquisition*

1301 The DAQ architecture is a multi-level system using embedded linux, ad-  
1302 vanced commercial computers and distributed network technology. Figure 37  
1303 shows the hardware deployed in the DAQ system. Additional details can be  
1304 found in reference [61].

1305 The front-end of the DAQ system is a real-time embedded system based  
1306 on the VME bus. Each VME crate holds a Motorola MVME 5500 system  
1307 controller. The MVME 5500 is an embedded single-board computer based  
1308 on a PowerPC MPC 7455 CPU with a 1GHz clock and Universe II chip for  
1309 interfacing to the VME bus. The computer uses a TimeSys operating system  
1310 (real-time Linux, kernel version 2.6.9). This front-end system can manage a  
1311 2.5 kHz event rate (2 kilobyte event size) and meets all experimental require-  
1312 ments [62]. The DAQ back-end is a blade server based computing farm [63]  
1313 utilizing a gigabit ethernet network. Also included are two x3650 file servers,  
1314 and nine blade servers for data gathering and data quality monitoring. Daya  
1315 Bay online software was customized and migrated to the front-end Linux  
1316 and PPC platforms from the ATLAS online software [64] with three high  
1317 level components (packages) - Control, Databases, and Information Sharing.  
1318 These packages work together to provide the functionality for the various

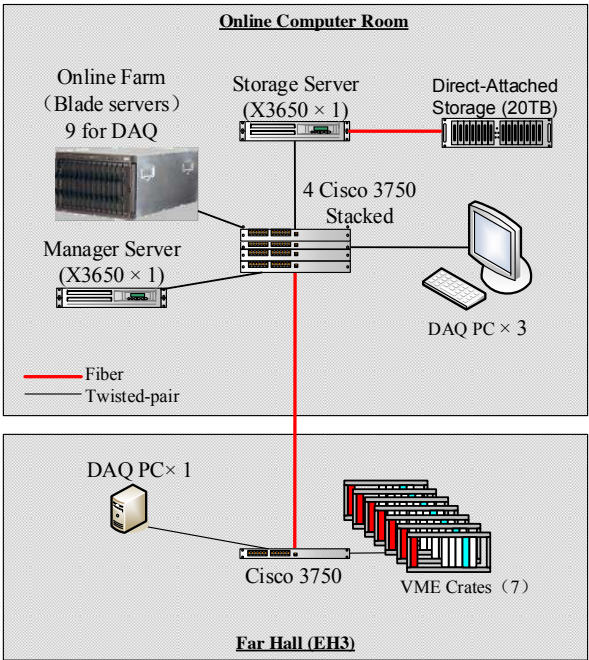


Figure 37: Hardware deployment diagram of the DAQ system (Fiber connections from online computer room to EH1 and EH2 are removed from the figure for simplicity).

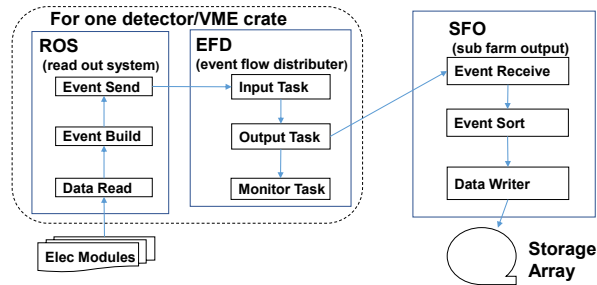


Figure 38: The data flow software. Details can be found in Sec 7.5.

1319 configurations of the DAQ and detector instrumentation.

1320 The data flow is shown schematically in Fig. 38, and was developed us-  
 1321 ing BESIII front-end readout software and ATLAS back-end data flow soft-  
 1322 ware [65]. Identical readout systems (ROS) run on each MVME5500 con-  
 1323 troller, reading and concatenating data to build individual events, packing  
 1324 and sending the data to the back-end [66]. The Event Flow Distributor  
 1325 (EFD) runs on a blade server. It parses data, fills and publishes histograms  
 1326 for data quality monitoring, and sends data to the SubFarm Output (SFO).  
 1327 The SFO runs on file servers, merges data from EFDs, sort events by time,  
 1328 and saves files to a disk array.

1329 The DAQ supports six run modes: physics, pedestal, electronics diagno-  
 1330 sis, AD calibration, AD mineral oil monitoring, and water pool calibration.  
 1331 The DAQ exchanges information with the Distributed Information Manage-  
 1332 ment (DIM) system [67] and with external subsystems such as the calibration  
 1333 system. Online monitoring was implemented to aid shifters in spotting prob-  
 1334 lems in real time with multiple graphic interfaces for monitoring and control.  
 1335 Web-based remote monitoring and control software was developed to enable  
 1336 remote shifting using CORBA Java API for DAQ access, and RESTful for

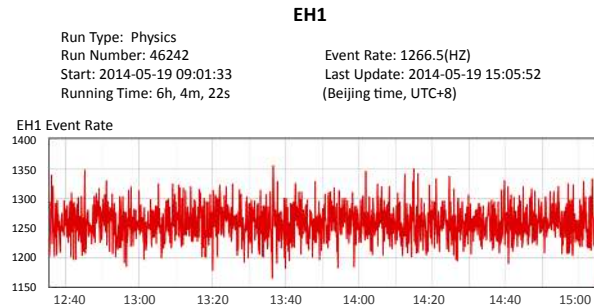


Figure 39: Snapshot of part of the overview page of the DAQ web site. Event rate history as well as the related run information for EH1 are shown.

1337 web service [68]. Figure 39 displays an example of web-based DAQ monitor-  
 1338 ing. An online supernova trigger [69] has been running in the DAQ system  
 1339 since August 2013.

1340 *7.6. Monitoring and control system*

1341 The Daya Bay Detector Control System (DCS) monitors and controls the  
 1342 experimental hardware and environment. A commercial software package  
 1343 (Supervisory Control And Data Acquisition), implementing the supervisory,  
 1344 control, and data acquisition standard for the DCS, uses LabVIEW with Data  
 1345 logging and Supervisory control modules. The framework of the detector  
 1346 control system is divided into two parts, a Local Control Layer (LCL) and a  
 1347 Global Control Layer (GCL). The LCL is composed of multiple local control  
 1348 IPCs (Industrial Personal Computer) which are responsible for the subsystem  
 1349 data acquisition and control. The GCL is composed of three parts: the Global  
 1350 Control Station (GCS), a Database Server (DBS), and a Web Server (WS).  
 1351 The status and alarm information of all subsystems are collected into the  
 1352 GCS and stored into the DBS. Physics data recorded in the DCS can be

1353 retrieved by the Web Server.

1354 The DCS monitors a wide array of detector parameters, including AD  
1355 liquid levels, temperatures, humidity and air pressure, RPC high voltages,  
1356 as well as photomultiplier high voltages and currents. It measures gas flow  
1357 and pressures in the gas purification system and in the gas storage system.  
1358 In addition, the DCS monitors environmental parameters and auxiliary sys-  
1359 tems, such as the electronics crate, radon gas concentration inside and outside  
1360 the experiment halls, extra environmental temperatures during the detector  
1361 commission and installation process. The state of the water pool system (wa-  
1362 ter pressure, water level, etc.) is also monitored. Data from environmental  
1363 parameters and detector conditions (e.g. power supply voltages, tempera-  
1364 ture/humidity, gas mixtures, radiation, etc.) are collected from local IPCs  
1365 and put into a data pool by DIM [67] and monitored by global control layer  
1366 IPCs. Details of the DCS system design and implementation can be found  
1367 in [70].

1368 Some safety systems, such as rack protection and fast interlocks, are  
1369 also included in the DCS. Safety interlocks can be activated to prevent un-  
1370 desired states in the state machine, based on information from the RPC  
1371 HV, water and cover gas systems. DCS can control the RPC HV sta-  
1372 tus (on/standby/off). VME power is shutdown for over-current or over-  
1373 temperature conditions. Interlock signals can shutdown the gas system in  
1374 the event of a HV trip. Likewise RPC HV can be shutdown in the event of  
1375 a gas system alarm.

1376 A remote monitor and query system [71] was developed in the DCS to  
1377 provide various functions including history data querying, real-time display,



1378 historical charts, threshold display and realtime alarm information.

## 1379 **8. Offline software overview**

1380 NuWa, the Daya Bay offline software adaptation of the Gaudi frame-  
1381 work [72], provides the full functionality required for simulation, reconstruc-  
1382 tion and physics analysis. NuWa employs Gaudi's event data service as  
1383 the data manager. Raw data, as well as other offline data objects, can be  
1384 accessed from the Transient Event Store (TES). The prompt-delayed coinci-  
1385 dence analysis requires looking-back in time, which is fulfilled via a specific  
1386 implemented Archive Event Store (AES). All the data objects in both TES  
1387 and AES can be written into or read back from ROOT [73] files through  
1388 various Gaudi converters.

1389 An alternative Lightweight Analysis Framework (LAF) was designed and  
1390 implemented by Daya Bay to improve the analysis efficiency. LAF is com-  
1391 patible with NuWa data objects with higher I/O performance by the simpler  
1392 data conversion, the implementation of lazy loading, and the flexible cy-  
1393 cling mechanism. LAF allows access to events both backwards and forwards  
1394 through a data buffer, which also serves to exchange data among multiple  
1395 analysis modules. The NuWa and LAF packages are available to collabora-  
1396 tors using the Subversion (SVN) code management system [74].

1397 Daya Bay simulation is based on GEANT4 [75] with critical features val-  
1398 idated against external data or other simulation packages. The Monte Carlo  
1399 simulation is tuned to match the observed detector response. To simulate  
1400 time correlations of unrelated events, different categories of simulated events  
1401 are mixed in the output file. Reconstruction algorithms have been developed

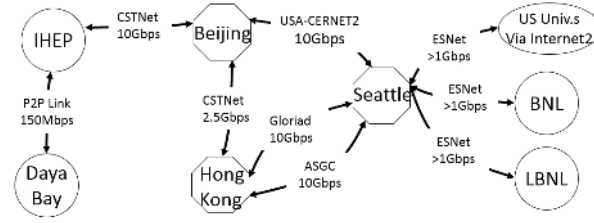


Figure 40: Network topology

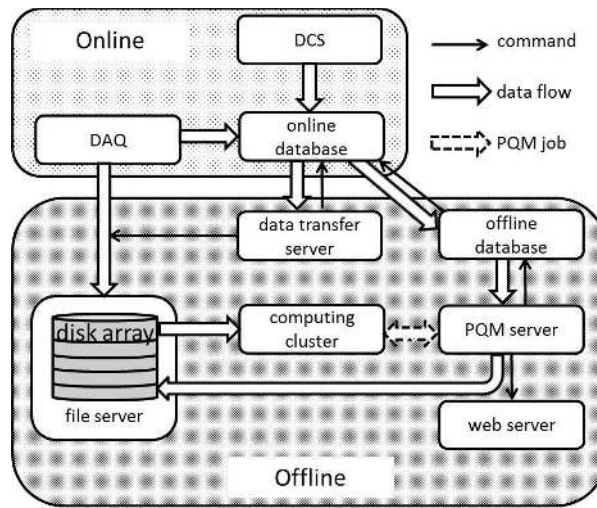


Figure 41: Schematic diagram of the offline computing environment at the Daya Bay site described in Sec 8.

1402 to construct the energy and vertex of the antineutrino event from the charge  
 1403 pattern of the PMTs. The detector-related parameters and calibration con-  
 1404 stants needed by the reconstruction are stored in an offline central database  
 1405 with a number of mirror sites located at different institutes. The algorithms  
 1406 can access the contents in the database via an interface software package  
 1407 called DBI.

1408 An automated system ensures real-time delivery of raw data and databases  
 1409 to the offline processes. Data are first transferred to the onsite farm, then

1410 to the Institute of High Energy Physics (IHEP) in Beijing and Lawrence  
1411 Berkeley National Laboratory (LBNL) in California for central storage and  
1412 processing, and distributed to other institutions for validation and analysis.  
1413 Figure 40 shows the network topology. A dedicated small-size offline system  
1414 is deployed at the Daya Bay site, consisting of a file server, a data transfer  
1415 server, an offline database server, a web server, two data monitoring servers  
1416 and five servers forming user farms, as shown in Fig. 41. Two large-size of-  
1417 fline systems are deployed at IHEP and LBNL, separately. Each of them has  
1418 about 1 PB of disk space capacity and 800 CPU cores. Additional computing  
1419 resources have been planned to accommodate the increasing data volume.

1420 A Performance Quality Monitoring system (PQM) [76] runs onsite, using  
1421 fast reconstruction algorithms and analysis modules in NuWa, to monitor the  
1422 physics performance with a latency of around 40 minutes. A “keep-up” data  
1423 processing takes place as soon as the data reach IHEP or LBNL, using the  
1424 full NuWa reconstruction and the latest calibration constants. The generated  
1425 detector monitoring plots are distributed for collaboration-wide review and  
1426 archived through an Offline Data Monitoring system with a latency of around  
1427 3 hours. The extracted data quality information are filled in a dedicated  
1428 database for long-term monitoring.

## 1429 **9. Operation and Performance**

1430 Daya Bay has collected antineutrino data since Sep. 2011, first with 2  
1431 ADs, then with six ADs starting in Dec. 2011, and most recently with 8 ADs  
1432 since Oct. 2012. Over one million (150 K) antineutrinos have been detected  
1433 at the near (far) site detectors by the end of 2013.

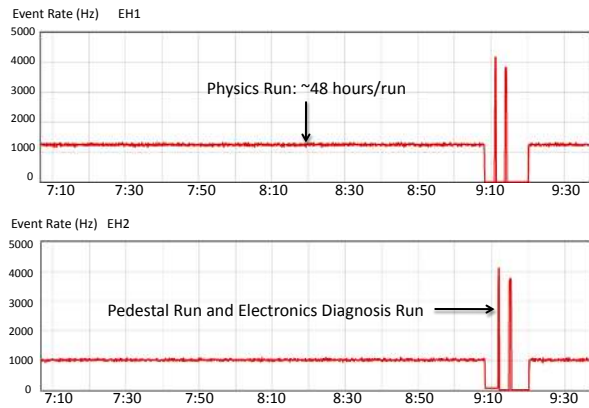


Figure 42: Sample trigger rates in the near experimental halls

1434 Typical data taking includes a  $\approx 48$  hr physics run, followed by  $\approx 1$ -  
 1435 minute pedestal and electronic diagnostic runs, as shown in Fig. 42. Data  
 1436 from each of the three halls is recorded in separate runs using a universal  
 1437 clock to record the begin/end run times. Triggers from any of the detectors  
 1438 within a hall can initiate readout of the electronics in that hall. The typical  
 1439 trigger rate for all detectors at each site in a physics run is 1.3 kHz for EH1,  
 1440 1.0 kHz for EH2, and 0.6 kHz for EH3. Variation between halls is driven by  
 1441 the different overburden and muon rate at each hall. One example of the  
 1442 trigger rates for each subsystem is listed in Table 12. Electronic diagnostic  
 1443 runs give additional information on the noise and gain of each channel for  
 1444 nearby physics runs. About 320 raw data files, 1 GB per file, are generated  
 1445 per day and transferred to the computing farms. Radioactive source and  
 1446 LED pulser calibrations are also routinely performed. Detector live time for  
 1447 recording antineutrino events was greater than 92% with the majority of the  
 1448 down time dedicated to calibration.

Table 12: Trigger rates recorded for run 54261(EH1), run 54262(EH2) and run 54260(EH3)

Site	Total rate (Hz)	Subsystem	Trigger rate (Hz)
EH1	1301	AD1	273
		AD2	268
		IWS	220
		OWS	325
		RPC	215
EH2	966	AD1	215
		AD2	211
		IWS	192
		OWS	245
		RPC	103
EH3	635	AD1	131
		AD2	124
		AD3	120
		AD4	131
		IWS	39
		OWS	54
		RPC	36

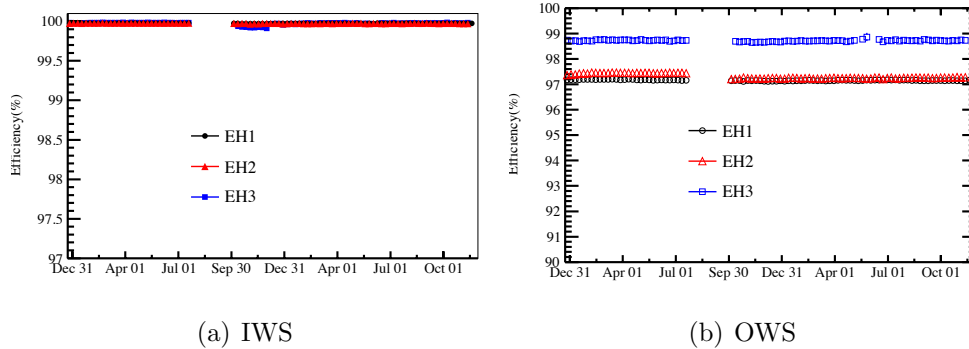


Figure 43: WP muon detection efficiencies from Dec. 2011 to Dec. 2013 (See Sec. 9.1 for details). The gap corresponds to the summer shutdown in 2012.

1449 *9.1. Muon System Performance*

1450 The water pool systems provides essentially 100% efficiency in detecting  
 1451 muons. This efficiency is studied using muons going through the ADs, which  
 1452 occur at about 20 Hz in EH1, 15 Hz in EH2 and 1 Hz in EH3. The mean  
 1453 IWS efficiencies for all three halls is  $99.98 \pm 0.01\%$  and is quite stable over  
 1454 time as shown in Fig. 43(a). The OWS efficiencies shown in Fig. 43(b) are  
 1455 underestimated since there is no correction for stopping muons which deposit  
 1456 energy in the AD or IWS but not in the OWS.

1457 *9.2. AD performance - energy response*

1458 To take full advantage of the unprecedented statistical precision of this  
 1459 data set, careful monitoring and control of systematic errors are required.  
 1460 ADs are calibrated periodically using the ACUs on the lid of each detector.  
 1461 Combined radioactive sources (  $\text{Am-}^{13}\text{C}/^{60}\text{Co}$  or  $\text{Am-}^{13}\text{C}/^{68}\text{Ge}$ ) are lowered  
 1462 to five vertical locations for all three ACUs separately, followed by a similar  
 1463 scan using the other radioactive sources ( $^{68}\text{Ge}$  or  $^{60}\text{Co}$ ). Data are collected  
 1464 for four minutes at each position, accumulating about  $27 \times 10^3$  ( $4.8 \times 10^3$ )  $^{60}\text{Co}$

1465 ( $^{68}\text{G}$ ) events in each AD during early 2012. Low intensity LED runs collect  
 1466 data which can be used in the ADC calibration for each PMT readout chan-  
 1467 nel. The demonstrated stability of the AD performance allowed a reduction  
 1468 in the number of calibrations starting Jan. 11, 2013. The weekly calibration  
 1469 was reduced to calibrations with the LED and  $^{60}\text{Co}$  source at the detector  
 1470 center. The full calibration previously described was performed every fourth  
 1471 week.

1472 Single photoelectron (SPE) hit data from physics data runs were selected  
 1473 and fitted after baseline subtraction with a convolution of a Poisson distri-  
 1474 bution with a Gaussian function as shown below:

$$S(x) = \sum_n \frac{\mu^n e^{-\mu}}{n!} \frac{1}{\sigma_1 \sqrt{2n\pi}} \exp\left(-\frac{(x - nQ_1)^2}{2n\sigma_1^2}\right) \quad (5)$$

1475 where  $\mu$  is the expected value of the Poisson distribution determined by the  
 1476 PMT hit occupancy,  $\sigma_1$  and  $Q_1$  are the resolution and mean value of the SPE  
 1477 distribution. The summation over the number of photo-electrons is truncated  
 1478 at  $n=2$ . The SPE typically has a mean value of about 19 ADC counts with  
 1479  $\sigma_1/Q_1$  of about 33%.

1480 Figure 44 shows an example ADC distribution for SPE hits with fitting  
 1481 results overlaid.

1482 Combined with low intensity LED run data, the PMT gain and timing  
 1483 are monitored continuously. The stability of the ADC gains was measured  
 1484 every  $\approx 6$  hours using SPE data from physics data runs as shown Fig. 45.  
 1485 Slight gain drifts are seen in all ADs and have been crosschecked with low-  
 1486 intensity LED data. Many parameters were investigated as possible causes  
 1487 of the observed gain drifts. Temperature drifts were partially correlated to  
 1488 the observed drifts but were not the only cause. Jumps correlated among the

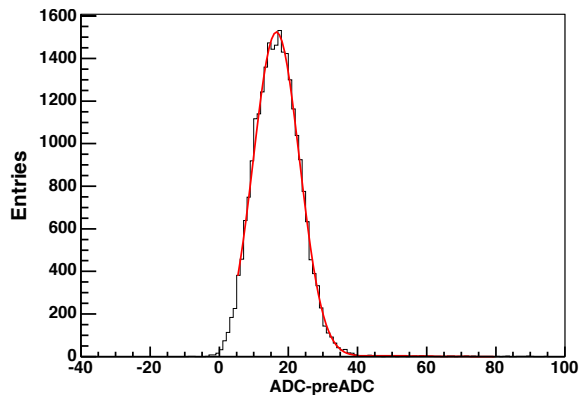


Figure 44: Example baseline-subtracted SPE distribution with fit using the function in Eq. 5. The x-axis is the peak ADC value minus the ADC average baseline before the pulse.

1489 ADs are suspected to be caused by power cycling of the HV mainframes. It  
 1490 is likely that the PMT SPE pulse height is slowly increasing with time since  
 1491 periodic calibrations of the FEE card ADCs by onboard DACs are stable to  
 1492 better than a percent per year.

1493 The number of P.E. per MeV (energy scale) based on  $^{60}\text{Co}$  calibrations  
 1494 at the detector center is monitored over time as shown in Fig. 46. A slight  
 1495 downward slope ( $\approx 1.5\%$  per year) is seen. Similar behavior is seen in energy  
 1496 scales derived from spallation neutrons. The reason for the downward slope  
 1497 of the energy scale is under study. A downward slope could be consistent with  
 1498 a decrease in LS and GdLS attenuation lengths, however, no degradation of  
 1499 light yield or attenuation length has been observed to date in the LS and  
 1500 GdLS production samples preserved and tested in the lab. Further study  
 1501 is clearly needed to fully understand all long-term trends. However, as will  
 1502 be shown in later plots, the AD energy scales after calibration are stable to  
 1503 0.1%.



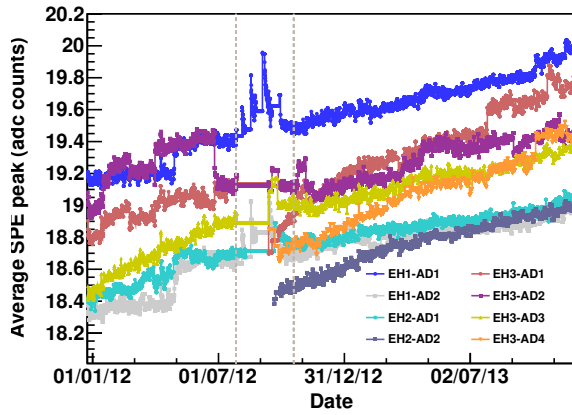


Figure 45: Average number of ADC counts for single photoelectrons in each AD versus time. There were fewer measurements during the summer shutdown of 2012 (between the two grey vertical dash lines).

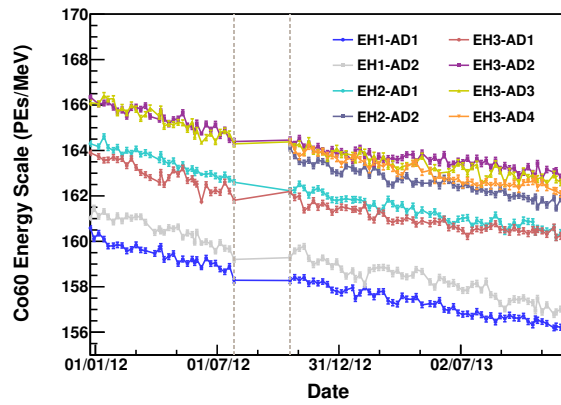


Figure 46: The energy scale from  $^{60}\text{Co}$  calibrations at the detector center is monitored over time. The 2012 shutdown period occurs between the two grey dashed lines.

1504 Many special calibration runs were performed during the shutdown in  
1505 2012 with nonstandard sources. A strong 60 Hz  $^{241}\text{Am}$ - $^{13}\text{C}$  neutron source  
1506 (same design as the weaker ACU sources) was deployed on the top lid of AD5  
1507 in EH3 to better measure the backgrounds in physics data due to the  $^{241}\text{Am}$ -  
1508  $^{13}\text{C}$  neutron sources while in the parked position inside the ACU.

1509 Other dedicated calibrations were performed in EH1 and EH2 in parallel.  
1510 Gamma source ( $^{68}\text{Ge}$ ,  $^{60}\text{Co}$ ) and neutron source scans were performed with  
1511 fine steps in  $z$ . Two ACUs (9A and 8C) were instrumented with special  
1512 calibration sources and mounted on AD1 and AD2 in EH1. The sources in  
1513 ACU 9A were AmC, AmBe, and a combined  $^{60}\text{Co}/^{137}\text{Cs}$  source. PuC,  $^{60}\text{Co}$   
1514 and  $^{40}\text{K}$  were mounted in ACU 8C. The  $^{137}\text{Cs}$  source in ACU 9A was replaced  
1515 with a  $^{54}\text{Mn}$  source at a later time.

1516 Additional calibration data included full detector vertex scans with the  
1517 gamma sources in the  $z$  range from 1.8 m to -1.5 m in 10 cm steps and 7-  
1518 hour-long neutron scans at four  $z$  positions (1.775 m, 1.35 m, 0 m, -1.35 m).  
1519 Data taking without calibration sources was also performed.

1520 These tests provided:

- 1521 • Multiple gamma source data for studying the non-linearity of the GdLS  
1522 energy response along the center axes.
- 1523 • Neutron source data at various positions for studying the H/Gd ratio,  
1524 the prompt and delayed spectra in AD1/AD2, and to study the spill-  
1525 in/out correction uncertainties.
- 1526 • High statistics  $^{40}\text{K}$  data for non-linearity studies at the detector center.

1527 A manual calibration system was installed in AD1 in EH1 during the 2012

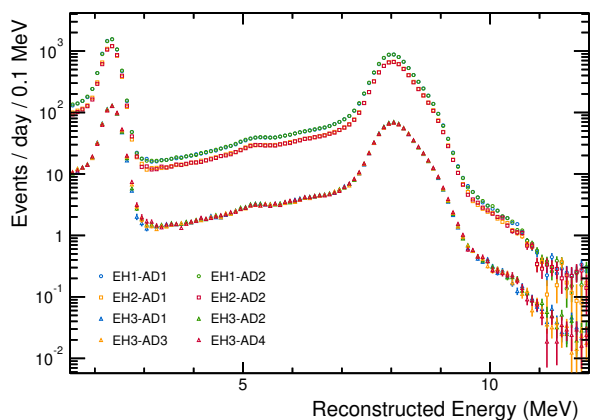


Figure 47: Energy spectrum from muon induced spallation neutrons. Points from detectors in the same hall are nearly identical and may be plotted over each other.

1528 shutdown (Sec 5.2). Calibrations were performed over the full GdLS detector  
 1529 volume (r and z).

1530 Data from the calibration sources as well as data from cosmogenic events  
 1531 such as spallation neutrons (recorded with the physics data) are used to  
 1532 study and track the energy response of the detectors. Spallation neutrons  
 1533 are cleanly selected by searching a time window from 20 to 200  $\mu$ s after a  
 1534 muon passes through an AD. The energy spectra of spallation neutrons in all  
 1535 8 ADs are shown in Fig. 47. The 8 MeV peak from neutron capture on Gd and  
 1536 the 2.2 MeV peak from neutron capture on hydrogen are clearly identified.  
 1537 The neutron capture spectra of all eight ADs are in excellent agreement.  
 1538 The calibrated energy is a convolution of the ADC per PE and PE/MeV  
 1539 calibrations (see Fig. 45 and Fig. 46 as examples). Although both calibrations  
 1540 change with time, the calibrated energy should be stable. Figure 48 shows  
 1541 that the average energy of spallation neutrons capturing on Gd for the eight  
 1542 ADs is very stable.

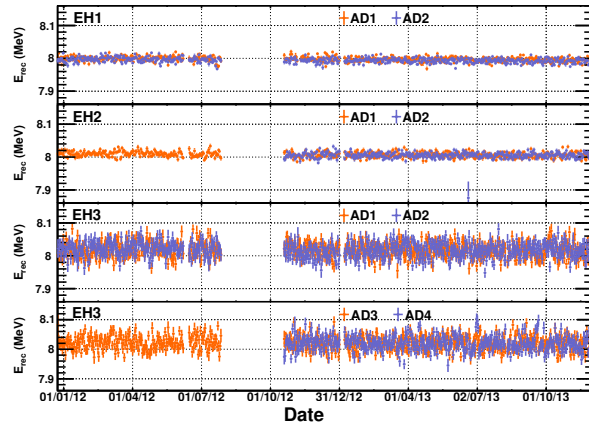


Figure 48: The time dependence of the reconstructed energy of spallation neutrons captured on gadolinium.

1543 The neutron capture time is directly related to the Gd concentration  
 1544 in the detector and can be tracked to look for time variation in the Gd  
 1545 doping. Both neutrons from IBD interactions and muon spallation events  
 1546 are used to study the capture time spectra. Figure 49 shows the capture  
 1547 times of neutrons from IBD samples in the four near ADs. The four far ADs  
 1548 are added together in the bottommost plot to improve the statistical error.  
 1549 Capture times are stable, reaffirming that the Gd concentration in the GdLS  
 1550 does not change with time.

1551 Relative energy scale uncertainties between ADs are a major source of  
 1552 systematic errors. Particles at different energies are selected to study the  
 1553 relative energy scale in all ADs. Clean samples of alphas are selected from  
 1554 decays of  $^{214}\text{Po}$ ,  $^{212}\text{Po}$  and  $^{215}\text{Po}$  using the  $\beta - \alpha$  and  $\alpha - \alpha$  time and energy  
 1555 correlations. Calibration gamma and neutron sources at specific locations  
 1556 inside the AD, as well as uniformly distributed IBD and spallation neutron  
 1557 sources are studied in all eight ADs. Figure 50 shows that the relative energy

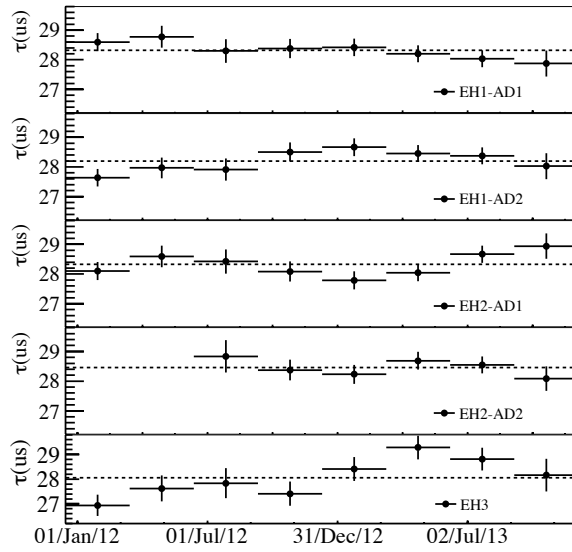


Figure 49: Average capture time of IBD events in the four near hall ADs are shown as a function of time. From the top the ADs are: EH1-AD1, EH1-AD2, EH2-AD1 and EH2-AD2. The bottom graph averages all four far hall ADs together for better statistical precision. The dashed line shows the mean capture time of the AD(s).

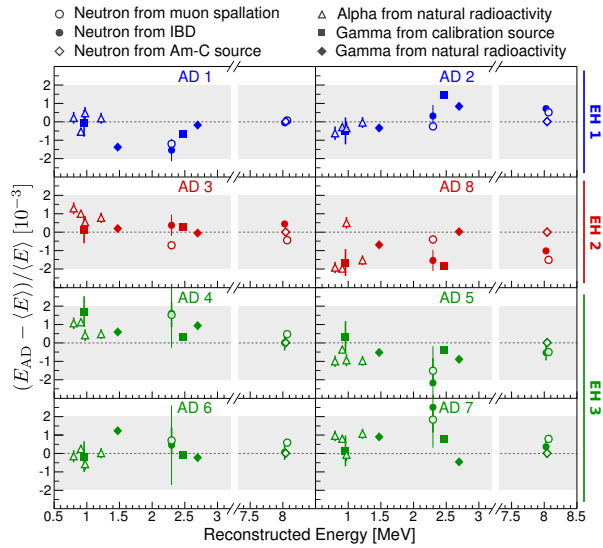


Figure 50: Comparison of the reconstructed energy between antineutrino detectors for a variety of calibration references.  $E_{AD}$  is the reconstructed energy measured in each AD, and  $\langle E \rangle$  is the 8-detector average. Error bars are statistical only. Systematic variations between detectors for all calibration references were  $< 0.2\%$ . The energy scale of each detector was determined using the  $\sim 8$  MeV n-Gd capture gamma peaks from Am-C sources, hence these points show zero deviation.

1558 scale differences among the eight ADs are all within a  $\pm 0.2\%$  band.

1559 A precise check of relative detector efficiencies can be made from the ratio  
1560 of detected neutrino events in the nearly identical detectors in each hall. For  
1561 the detectors in the same hall, background and reactor uncertainties cancel  
1562 out, leaving only small corrections for differing baselines and target masses.  
1563 For IBD-like events with more than 0.7 MeV prompt energy (nearly 100% of  
1564 all prompt IBD positrons), the expected ratio in EH1 (AD1/AD2) is 0.982  
1565 and the measured value is  $0.981 \pm 0.004$ , agreeing within error. The same  
1566 is true in EH2, where the ratio of detected IBD events in AD3 and AD8 is  
1567 measured to be  $1.019 \pm 0.004$ , consistent with the expected value of 1.012  
1568 within error.

### 1569 *9.3. EH3-AD1 LS leak*

1570 AD operating conditions were generally stable during the first three years  
1571 of operation. Return cover gas humidities and oxygen levels gradually de-  
1572 clined in all ADs with no indications of water leaks. Liquid levels in the  
1573 overflow tanks track small variations in the water pool temperature as the  
1574 AD liquids expand or contract. The largest change of GdLS levels from Dec.  
1575 2013 to May 2015 was in EH3-AD1 with a 11.4 mm decrease corresponding  
1576 to a change in the AD target mass of 0.06%.

1577 After the Aug. 2012 access to EH3 to install EH3-AD4 it was observed  
1578 that the LS level in EH3-AD1 was dropping while the MO level was rising  
1579 as shown in Fig. 51a. This feature was also accompanied by changes in the  
1580 MO absorbance vs wavelength measured by the MO clarity monitor in EH3-  
1581 AD1. This trend continued for many months before reaching new equilibrium  
1582 values in 2014. Taking the difference in AD liquid levels removes most of the

1583 temperature variation effects seen in Fig. 51(a). Figure 51(b) shows the  
1584 difference between the LS and MO overflow tanks levels for the EH3-AD1-3.  
1585 Unlike the near constant levels seen in EH3-AD2 and EH3-AD3, EH3-AD1  
1586 shows a clear decline starting during the August access.

1587 This behavior can be understood as the consequence of a small crack at  
1588 the bottom of the OAV that allows the LS to leak into the MO volume. The  
1589 crack must be at or near the bottom of the OAV since the leak continued  
1590 even when the MO level was several cm higher than the LS level. Since LS  
1591 is  $\approx 0.9\%$  denser than the MO, the differential pressure between the LS and  
1592 MO volumes changes with height. Near the top of the OAV the MO is at  
1593 higher pressure since the overflow tank levels are higher than the LS. The  
1594 situation was reversed at the bottom of the OAV at the start of the leak. As  
1595 the MO levels rose and LS levels dropped the leak slowed as the differential  
1596 pressure between the LS and MO volumes decreased. The leak stopped when  
1597 the LS and MO pressures across the crack equalized. At the bottom of the  
1598 OAV the pressure due to the denser LS is offset by the 4.8 cm higher MO  
1599 level.

1600 LS is expected to dissolve completely in the MO and to diffuse through  
1601 the entire MO volume. Since the LS absorbance at low wavelengths ( $\leq 410$   
1602 nm) is much higher than MO, the absorbance of the MO in EH3-AD1 is  
1603 expected to change as a function of time. Above 410 nm, the MO and  
1604 LS absorbance curves have a very similar dependence on wavelength. The  
1605 absorbance measured by the MO clarity monitor at 390 and 420 nm divided  
1606 by the absorbance at 430 nm is plotted in Fig. 51(c). In the wavelength  
1607 region where the MO and LS have nearly identical absorbance, the ratio of



1608 the 420/430 nm data is flat. In the region where the absorbance of the LS  
1609 and MO differ significantly, the ratio of the 390/430 nm absorbances exhibits  
1610 a similar time dependence as the LS level, supporting the interpretation of a  
1611 LS leak, as an explanation of the changing overflow tank levels.

1612 An estimated 50 liters of LS has leaked into the 42800 liters of MO (0.12%)  
1613 in EH3-AD1. Several studies were made to check if the AD performance was  
1614 changed in any measurable way by this leak. Since almost all of the energy  
1615 from Gd captures is contained within the GdLS and LS volumes, no changes  
1616 to any of the oscillation analyses have been observed or are expected. The  
1617 average energy of neutron captures on hydrogen particularly for captures  
1618 near the edge of the LS should in principle increase, however, the effect is  
1619 well below the systematic errors of the detector to detector energy response  
1620 variation (0.2%). An analysis of cosmogenic muon distributions in the ADs  
1621 has seen increased light yield of tracks traversing part of MO volume in EH3-  
1622 AD1 compared to other ADs. The light yield increases with time as expected  
1623 from the predicted LS contamination.

## 1624 **10. Conclusion**

1625 The Daya Bay experiment is unique in using multiple antineutrino detec-  
1626 tors at the near and far experimental hall sites. Two antineutrino detectors  
1627 are deployed in each of the two near detector halls to monitor the 6 Daya  
1628 Bay nuclear reactor cores. Four antineutrino detectors are deployed in the  
1629 far experimental hall built to measure the antineutrino oscillation. Inter-  
1630 changeable antineutrino detectors were built and assembled above ground  
1631 using standardized procedures to minimize efficiency differences between de-

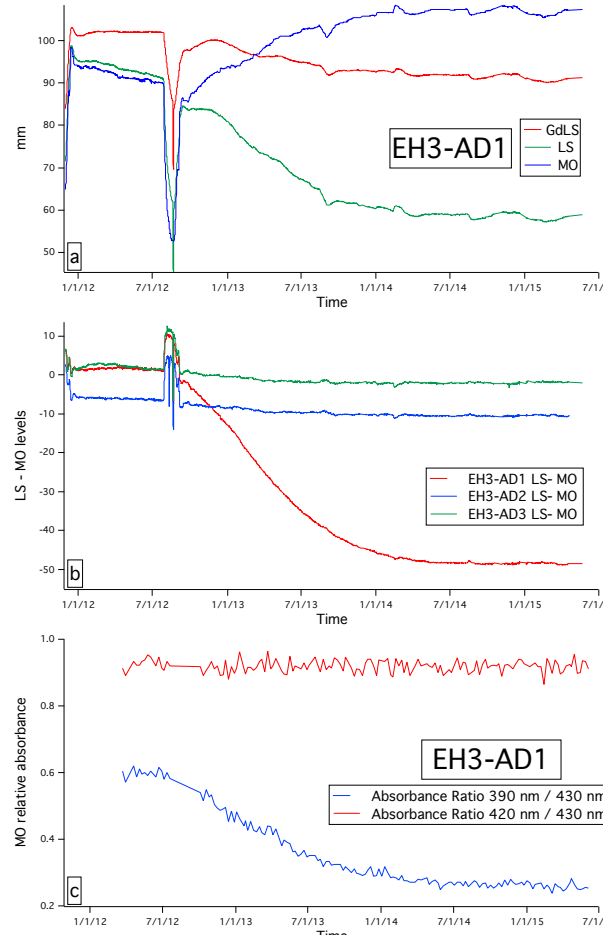


Figure 51: (a) GdLS, LS, and MO levels in EH3-AD1 versus time. (b) Difference between the LS and MO levels versus time for the original 3 ADs in EH3. (c) Ratio of the absorbance at 395 nm (420) to that at 430 nm as measured by the EH3-AD1 MO clarity monitor. The liquid levels and MO clarity data are both consistent with a small leak of LS from the OAV volume to the MO volume which started during the access in Aug. 2012.

1632 tectors. Construction of the underground tunnels and halls in parallel with  
1633 detector assembly minimized total project time and resulted in the first  $\geq$   
1634 5 standard deviation measurement of  $\sin^2 2\theta_{13}$ . Side-by-side comparisons be-  
1635 tween detectors at the near sites cross check calculations of relative detector  
1636 efficiencies to better than 0.2%. The large target mass provided by the four  
1637 detectors at the far hall enables an unmatched statistical precision, yield-  
1638 ing the world's most precise measurements of  $\sin^2 2\theta_{13}$  and the effective mass  
1639 splitting  $\Delta m_{ee}^2$ , now, and for the foreseeable future.

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