

## THE DUALITY OPERATION IN THE CHARACTER RING OF A FINITE CHEVALLEY GROUP

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It is possible (as in [4]) to define a duality operation  $\zeta \rightarrow \zeta^*$  in the ring of virtual characters of an arbitrary finite group with a split  $(B, N)$ -pair of characteristic  $p$ . Such a group arises as the fixed points under a Frobenius map of a connected reductive algebraic group, defined over a finite field [1]. This paper contains statements of several general properties of the duality map  $\zeta \rightarrow \zeta^*$  and two related operations (see §§2 and 4). The duality map  $\zeta \rightarrow \zeta^*$  generalizes the construction in [2] of the Steinberg character, and interacts well with the organization of the characters from the point of view of cuspidal characters (§6). It is hoped that there is also a useful interaction with the Deligne-Lusztig virtual characters  $R_T^G \theta$ . Partial results have been obtained in this direction (§5). Detailed proofs will appear elsewhere.

1. Let  $G$  be a finite group with split  $(B, N)$ -pair of characteristic  $p$ . Let  $(W, R)$  be the Coxeter system, and let  $P_J = L_J V_J$  be the standard parabolic subgroup corresponding to  $J \subseteq R$ , with  $V_J = O_p(P_J)$  (see [3] for definitions and notations). Let  $\text{char}(G)$  denote the ring of virtual characters of  $G$ , and  $\text{Irr}(G)$  the set of irreducible characters of  $G$ , all taken in the complex field. For  $J \subseteq R$  and  $\zeta \in \text{char}(G)$  define

$$(1.1) \quad \zeta_{(P_J/V_J)} = \sum (\zeta, \tilde{\lambda}^G)_G \lambda$$

where  $\sim$  denotes extension to  $P_J$  via the projection  $P_J \rightarrow L_J \cong P_J/V_J$ , and the sum is over all  $\lambda \in \text{Irr}(L_J)$ . Let  $\zeta_{(P_J)} = \zeta_{(P_J/V_J)}^\sim$ . The duality map is then defined by:

1.2 DEFINITION.  $\zeta^* = \sum_{J \subseteq R} (-1)^{|J|} \zeta_{(P_J)}^G$ , for all  $\zeta \in \text{char}(G)$ .

2. The truncation map  $\zeta \rightarrow \zeta_{(P_J/V_J)}$  and the map  $\lambda \rightarrow \tilde{\lambda}^G$  behave in much the same way as ordinary restriction and induction. The following basic properties follow directly from the structure theorems [3].

2.1 FROBENIUS RECIPROCITY. Let  $\zeta \in \text{char}(G)$  and  $\lambda \in \text{char}(L_J)$ . Then

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$$(\xi, \tilde{\lambda}^G)_G = (\xi_{(P_J)}, \tilde{\lambda})_{P_J} = (\xi_{(P_J/V_J)}, \lambda)_{L_J}$$

2.2 TRANSITIVITY. If  $K \subseteq J \subseteq R$ , let  $Q_K$  be the standard parabolic subgroup  $P_K \cap L_J$  of  $L_J$  and let  $V_{J,K} = O_p(Q_K) = L_J \cap V_K$ . Then if  $\xi \in \text{char}(G)$  and  $\xi \in \text{char}(L_J)$ , we have

$$(\xi_{(P_J/V_J)})_{(Q_K/V_{J,K})} = \xi_{(P_K/V_K)}$$

and

$$(\tilde{\lambda}^{L_J})^{\sim G} = \tilde{\lambda}^G.$$

2.3 INTERTWINING NUMBER THEOREM. Let  $\lambda_i \in \text{char}(L_{J_i})$  for  $i = 1, 2$ . Then

$$(\tilde{\lambda}_1^G, \tilde{\lambda}_2^G)_G = \sum_{w \in W_{J_1, J_2}} (\lambda_1(Q_{K_1}/V_{J_1}))^{w\lambda_2(Q_{K_2}/V_{J_2, K_2})}_{L_{K_1}}$$

where  $W_{J_1, J_2}$  is the set of distinguished  $W_{J_1} - W_{J_2}$  double coset representatives,  $W_{K_1} = W_{J_1} \cap {}^w W_{J_2}$  and  $W_{K_2} = W_{J_2} \cap {}^{w^{-1}} W_{J_1}$ .

2.4 SUBGROUP THEOREM. Let  $\lambda \in \text{char}(L_{J_1})$ . Then

$$(\tilde{\lambda}^G)_{(P_{J_2}/V_{J_2})} = \sum_{w \in W_{J_1, J_2}} w^{-1} (\lambda_{(Q_{K_1}/V_{J_1, K_1})})^{\sim L_{J_2}}.$$

Here  $K_1$  is as in 2.3 (note:  $w^{-1} L_{K_1} = L_{K_2}$ ).

3. The results of this section are of independent interest, and are due to Curtis ([4]). They are needed to apply the results of §2 to the duality operation.

3.1. LEMMA. Let  $w \in W$ ,  ${}^w L_{J_2} = L_{J_1}$ ,  ${}^w \lambda_2 = \lambda_1$ , where  $\lambda_i \in \text{char}(L_{J_i})$ . Then  $\lambda_1^G = \lambda_2^G$ .

The idea of the proof is to show that the numbers  $(\tilde{\lambda}_i^G, \tilde{\lambda}_j^G)_G$  are all the same for  $i, j = 1, 2$ . The proof in [3] (for the special case when  $\lambda_1, \lambda_2$  are cuspidal) can be modified to work in the present situation.

The following is Lemma 2.5 of [4].

3.2. LEMMA. Let  $a_{J_2, J_1, K} = |\{w \in W_{J_1, J_2} | W_K = W_{J_1} \cap {}^w W_{J_2}\}|$ . Then

$$\sum_{J_2 \subseteq R} (-1)^{|J_2|} a_{J_2, J_1, K} = (-1)^{|K|}.$$

4. The first main result relates duality and the operations  $\zeta \rightarrow \zeta_{(P_J/V_J)}$  and  $\lambda \rightarrow \tilde{\lambda}^G$ . Part (1) is Theorem 1.3 of [4].

**THEOREM.** (1)  $(\zeta^*)_{(P_J/V_J)} = (\zeta_{(P_J/V_J)})^*$  for  $J \subseteq R$ ,  $\zeta \in \text{char}(G)$   
 (2)  $(\tilde{\lambda}^G)^* = (\lambda^*)^{\sim G}$  for  $J \subseteq R$ ,  $\lambda \in \text{char}(L_J)$ .

We provide a sketch of the proof of (2). Let  $J_1 = J$ . Using 2.4, 2.2, and then Lemma 3.1 (noting that  $L_{K_1} = {}^w L_{K_2}$  by Proposition 2.6 of [3]) we have

$$(\tilde{\lambda}^G)^* = \sum_{J_2 \subseteq R} (-1)^{|J_2|} \sum_{w \in W_{J_1, J_2}} \lambda_{(Q_{K_1}/V_{J_1, K_1})}^{\sim G}$$

The proof is then completed by applying Lemma 3.2 and 2.2.

4.2 **THEOREM.** *The map  $\zeta \rightarrow \zeta^*$ , from  $\text{char}(G) \rightarrow \text{char}(G)$  is an isometry of order two. In particular,  $\zeta^{**} = \zeta$  and  $\pm \zeta^* \in \text{Irr}(G)$ , whenever  $\zeta \in \text{Irr}(G)$ .*

In order to prove Theorem 4.2, one first proves that  $(\zeta_1, \zeta_2)_G = (\zeta_1^*, \zeta_2)_G$ . It then suffices to prove  $\zeta^{**} = \zeta$ . The key is to apply Theorem 4.1 part (1) to the expression for  $\zeta^{**}$ . We have

$$\begin{aligned} \zeta^{**} &= \sum_{J \subseteq R} (-1)^{|J|} \zeta_{(P_J/V_J)}^* \sim^G \\ &= \sum_{J \subseteq R} (-1)^{|J|} \sum_{K \subseteq J} (-1)^{|K|} \zeta_{(P_K)}^G \end{aligned}$$

using 2.2. To finish the proof, note that  $\sum (-1)^{|J|}$  summed over all  $J$  such that  $K \subseteq J \subseteq R$  is zero unless  $K = R$ .

5. It is clear that  $\zeta^* = (-1)^{|R|} \zeta$  for any cuspidal  $\zeta \in \text{Irr}(G)$ . Thus by applying Theorem 4.1 part (2) we have:

5.1 **COROLLARY.** *Let  $\lambda \in \text{Irr}(L_\lambda)$  be cuspidal. Then  $(\tilde{\lambda}^G)^* = (-1)^{|J|} \tilde{\lambda}^G$ .*

Thus duality permutes (up to sign) the components of  $\tilde{\lambda}^G$ . We can thus determine the “sign” of  $\zeta^*$  as follows:  $(-1)^{|J|} \zeta^*$  is in  $\text{Irr}(G)$  if  $\zeta \in \text{Irr}(G)$  is a component of  $\tilde{\lambda}^G$ ,  $\lambda \in \text{Irr}(L_J)$  cuspidal. In particular,  $\zeta \rightarrow \zeta^*$  permutes the principal series characters, i.e. the components of  $\tilde{\lambda}^G$ ,  $\lambda \in \text{Irr}(L_\emptyset)$ . A more explicit result is known for the components  $\zeta_{\varphi, q}$  of  $1_{B(q)}^G$  in a system of groups  $\{G(q)\}$  of type  $(W, R)$ . Specifically,  $\zeta_{\varphi, q}^* = \epsilon_{\varphi, q} \zeta_{\varphi, q}$  where  $\epsilon$  is the sign character of  $W$  ([4]).

Finally, consider the case  $G = \mathbf{G}^F$  where  $\mathbf{G}$  is a reductive algebraic group and  $F : \mathbf{G} \rightarrow \mathbf{G}$  is a Frobenius map over  $F_q$ . Let  $R_{\mathbf{T}}^{\mathbf{G}}\theta$  denote the Deligne-Lusztig generalized character of  $G$  ( $\mathbf{T}$  an  $F$ -stable maximal torus of  $\mathbf{G}$ ,  $\theta$  a linear character of  $\mathbf{T}^F$ ). It is natural to ask whether

$$(5.2) \quad (R_{\mathbf{T}}^{\mathbf{G}}\theta)^* = \pm R_{\mathbf{T}}^{\mathbf{G}}\theta$$

holds. The following suggests the answer is yes.

$$(5.3) \quad (R_{\mathbf{T}}^{\mathbf{G}}\theta)^*(s) = \pm R_{\mathbf{T}}^{\mathbf{G}}\theta(s)$$

for semisimple elements  $s$  of  $G$ . The  $\pm$  sign in 5.3 does not depend on the particular element  $s$  of  $G$ . The proof of 5.3 uses several results of [5]. (Note added in proof: The conjecture 5.2 has been proved by G. Lusztig.)

5.4 EXAMPLE. Let  $G = \mathbf{G}^F$  as above, with (relative) Coxeter system  $(W, R)$ . Let  $V$  be the set of unipotent elements of  $G$  and let  $\epsilon_V$  be the characteristic function of  $V$ . A recent result of Springer (Theorem 1 of [6])<sup>1</sup> shows

$$\epsilon_V = q^d \sum_{J \subseteq R} (-1)^{|J|} |P_J|^{-1} 1_{V_J}^G$$

where  $d = \dim(\mathbf{G}/\mathbf{B})$ ,  $\mathbf{B}$  a Borel subgroup of  $\mathbf{G}$ . Applying Theorems 4.1 and 4.2 we have:

5.5 THEOREM. (1)  $\epsilon_V^* = (q^d/|G|)\rho_G$  where  $\rho_G$  is the regular character of  $G$ .

(2) For  $\zeta \in \text{Irr}(G)$ ,

$$\frac{1}{\zeta(1)} \sum_{v \in V} \zeta(v) = q^d (\zeta^*(1)/\zeta(1)).$$

(3) For  $\zeta \in \text{Irr}(G)$ ,  $|\zeta^*(1)|_{p'} = \zeta(1)_{p'}$ , where  $p$  is the characteristic of  $F_q$  and  $n_{p'}$  is the  $p'$  part of  $n$ .

(4) For  $\zeta \in \text{Irr}(G)$ ,  $1/\zeta(1) \sum_{v \in V} \zeta(v)$  is, up to sign, a power of  $p$ .

Part (4) of Theorem 5.5 confirms a special case of a conjecture of Macdonald (see [6]), namely the case when  $q = p$  is prime.

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