The Effects of Oncologist Implicit Racial Bias in Racially Discordant Oncology Interactions

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A B S T R A C T

Purpose

Health providers' implicit racial bias negatively affects communication and patient reactions to many medical interactions. However, its effects on racially discordant oncology interactions are largely unknown. Thus, we examined whether oncologist implicit racial bias has similar effects in oncology interactions. We further investigated whether oncologist implicit bias negatively affects patients' perceptions of recommended treatments (i.e., degree of confidence, expected difficulty). We predicted oncologist implicit bias would negatively affect communication, patient reactions to interactions, and, indirectly, patient perceptions of recommended treatments.

Methods

Participants were 18 non-black medical oncologists and 112 black patients. Oncologists completed an implicit racial bias measure several weeks before video-recorded treatment discussions with new patients. Observers rated oncologist communication and recorded interaction length of time and amount of time oncologists and patients spoke. Following interactions, patients answered questions about oncologists' patient-centeredness and difficulty remembering contents of the interaction, distress, trust, and treatment perceptions.

Results

As predicted, oncologists higher in implicit racial bias had shorter interactions, and patients and observers rated these oncologists' communication as less patient-centered and supportive. Higher implicit bias also was associated with more patient difficulty remembering contents of the interaction. In addition, oncologist implicit bias indirectly predicted less patient confidence in recommended treatments, and greater perceived difficulty completing them, through its impact on oncologists' communication (as rated by both patients and observers).

Conclusion

Oncologist implicit racial bias is negatively associated with oncologist communication, patients' reactions to racially discordant oncology interactions, and patient perceptions of recommended treatments. These perceptions could subsequently directly affect patient-treatment decisions. Thus, implicit racial bias is a likely source of racial treatment disparities and must be addressed in oncology training and practice.

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INTRODUCTION

Black patients generally receive lower quality medical treatment than white patients. This disparity occurs across a wide variety of diseases¹⁻³ but is especially well-documented in cancer treatment.^{4,5} Although tumor type/stage, comorbidities, and health-care system influence treatment, cancer treatment disparities persist after these factors are controlled.⁶⁻¹⁵

Communication difficulties during medical interactions have been linked to poorer health-care outcomes.¹⁶ Relative to racially concordant

medical interactions, communication is poorer in racially discordant interactions (i.e., black patient and non-black physician), 9,17,18 which make up about 80% of black patients' medical interactions. 19,20 We investigated one potential cause of communication difficulties in racially discordant oncology interactions: oncologist racial bias.

Racial bias can involve explicit or implicit negative thoughts and feelings about blacks. Explicit racial biases are deliberative and operate at the conscious level; their expression can be deliberately controlled.^{21,22} Because expression of explicit racial bias among physicians runs counter to personal,

social, and professional norms,²³⁻²⁵ physicians generally exhibit relatively low levels of explicit bias. Consequently, physician explicit bias has limited impact on racially discordant medical interactions.²⁶ In contrast, implicit racial bias is automatically activated and operates at a nonconscious level.^{21,24,25,27} Non-black (i.e., white, Asian, and Hispanic/Latino) health-care providers display substantial implicit racial bias toward blacks at levels comparable to the general public.^{23,24,27,28}

Two lines of research have explored the influence of providers' implicit racial bias on health care received by black patients. The first uses hypothetical vignettes²⁹⁻³³ to examine the impact of implicit bias on providers' treatment decisions. This research has not found a consistent pattern of association between providers' implicit bias and their treatment decisions.²⁸ The other line of research focuses on the influence of providers' racial bias on their communication and patients' reactions in actual health-care interactions. These studies found that primary care physicians' implicit racial bias negatively affects their communication and/or black patients' reactions to them.^{28,34-39} Similar effects occur in interactions involving physicians treating patients with spinal cord injury⁴⁰ and interactions with genetic counselors.⁴¹

The effects of implicit racial bias in racially discordant oncology interactions are, however, largely unknown. Thus, we investigated whether oncologist implicit bias has effects on communication and patient perceptions in racially discordant oncology interactions similar to those in other medical interactions. Furthermore, we extended the investigation of bias effects to patient perceptions of recommended treatments. Finding significant associations among implicit racial bias and communication, patient reactions, and patient treatment perceptions in oncology interactions would substantially expand the scope of recognition and understanding of the negative influence of provider racial bias on racially discordant medical interactions and their outcomes.

From a clinical perspective, as already noted, ^{19,20} most black patients with cancer will experience racially discordant oncology interactions. Empirical evidence of negative effects of oncologist implicit bias in racially discordant oncologic interactions therefore would have significant implications for the quality of care received by large numbers of black patients with cancer.

This study's first purpose was to examine the impact of oncologist implicit racial bias on communications with patients and patients' reactions to them and the interaction. We examined outcomes that prior research had found were affected by provider implicit racial bias, including interaction length, ³⁵ verbal dominance, ^{35,36} extent of patient involvement in treatment decisions, ³⁴ patient perceptions of provider patient-centeredness, ^{34,36,37,41} and patients' trust in their physician. ³⁹ Additionally, we examined three aspects of medical interactions not previously studied: observers' ratings of oncologist communication with patients, patient reports of difficulty remembering contents of the interactions, and patient reports of distress. We predicted that oncologist implicit racial bias would negatively affect all of these outcomes.

The second purpose was to investigate potential effects of oncologist implicit racial bias on patients' perceptions of treatments recommended by their oncologist. Specifically, we examined patients' degree of confidence in the efficacy of the recommended treatments and their perceptions of the difficulty in completing

recommended treatments. These outcomes were chosen because of their possible direct influence on patients' treatment decisions. We predicted that oncologist implicit bias would decrease patients' confidence in treatments and increase their perception of the difficulty of completing treatment. However, we expected this to be an indirect process. We propose a model in which oncologist implicit bias would be negatively associated with quality of oncologists' communication, which would then negatively affect patients' degree of confidence in and perceptions of the difficulty of completing recommended treatments.

METHODS

Design and Participants

Data were collected between April 2012 and December 2014 at two cancer hospitals in Detroit, Michigan, as part of a larger study designed to improve communication during racially discordant oncology interactions. Medical oncologists were eligible if they did not self-identify as black or African American and if they treated cancer patients at either hospital. After oncologists consented, their eligible patients were informed about the study by clinical staff or via oncologist opt-out letters. Patients were eligible if they self-identified as black or African American; were between 30 and 85 years old; comprehended English well enough to provide informed consent; had a confirmed diagnosis of breast, colorectal, or lung cancer (any stage); and had an appointment to see a participating oncologist within 1 week for an initial discussion of treatment options. Institutional review boards at both hospitals and Wayne State University approved study procedures. Oncologists received \$50 gift cards for study participation; patients received \$60 gift cards.

Participants were 18 oncologists (90%) and 112 (98%) patients from the larger study. Oncologists were included if they completed the measure of implicit racial bias and at least one of the study outcome measures. Patients were included if they completed at least one of the study outcome measures.

Procedures

Participants read and signed informed consent forms that described all study procedures. Within 2 weeks of consenting but several weeks before any interactions with study patients, oncologists completed a baseline questionnaire via an online platform (Qualtrics, Provo, UT). This baseline assessment included the measure of implicit racial bias. Immediately after consenting, patients completed a baseline questionnaire also via the online platform or on paper and were then randomly assigned to one of three study arms: (1) control (usual care); (2) receiving a "question prompt list" containing questions patients might ask their oncologist, of (3) receiving the question prompt list and meeting with a "coach" who reviewed questions with them.

Within 1 week of completing the baseline questionnaire, patients had a clinical interaction with an oncologist. The interaction was an initial discussion of treatment of a current cancer; patients had not previously met with the oncologist to discuss any treatments. Ninety-six of 112 interactions were video recorded; 16 interactions were not recorded because of logistical problems. Prior research^{43,44} has shown that cameras have no discernable impact on participants' verbal or nonverbal behavior in oncology interactions.

Immediately after the interactions, oncologists answered a question about patient participation in treatment decisions. Separately, and out of their oncologist's view, patients answered questions about their perceptions of the oncologist and the interaction. Patients also reported their perceptions of the recommended treatment. One week later, patients participated in a follow-up telephone interview that included questions about perceptions of the interaction and trust in their oncologist.

Measures

Oncologists. The Implicit Association Test (IAT)^{22,45} was used to assess oncologist implicit racial bias.²⁷ The IAT is the most widely used measure of implicit bias and is extensively validated.^{22,46,47} Standard procedures⁴⁶ were followed for IAT administration and scoring (Data Supplement.) The IAT yields a standardized difference score (d) for each respondent, which represents the relative strength of a respondent's prowhite/anti-black implicit racial bias. More positive scores indicate more implicit pro-white/anti-black bias.^{22,45}

Four research staff (two black, two white), blind to study hypotheses, study arm, and oncologists' level of implicit bias, viewed the 96 video recordings. Observers used a five-point rating scale to rate oncologists on a communication measure 48 with three four-item subscales: (1) informativeness (eg, "doctor was very informative about patient's health"); (2) supportiveness (eg, "doctor made patient feel completely at ease"); and (3) partnership building (eg, "doctor asked for patient's thoughts about his/her health"). At least two observers were randomly assigned to view each interaction and separately responded to individual scale items. Individual item ratings were averaged across observers who viewed the same interaction. Each subscale's total score for an interaction was the average of the four average item ratings in that subscale. Total score was the average of the three subscale averages. Intraclass correlation coefficients for observers' ratings ranged from 0.57 to 0.74 (P < .05). Coefficient α values were informativeness, 0.91; supportiveness, 0.91; partnership building, 0.77; and total scale, 0.88.

One observer used observational coding software (Studiocode; studiocodegroup.com, Lincoln, NE) to record interaction length (i.e., length of time patients and oncologists were both in room). Two observers recorded the amount of time each participant spoke while in the room together (79.9% agreement). To assess verbal dominance, the ratio of oncologist talk time to patient talk time was computed and log-transformed. ³⁵

Immediately after interactions, oncologists who recommended treatment used a five-point rating scale to indicate how much they involved patients in treatment decisions.

Patients. Immediately after interactions, patients completed the perceived patient-centeredness measure. ⁴⁹ They used a four-point scale to rate the extent to which they perceived their oncologist had displayed each of 14 patient-centered behaviors, such as "showed respect" and "was concerned about me as a person" ($\alpha=.83$). Scores were averaged across the 14 behaviors. Patients then used a single five-point scale to rate their difficulty remembering the contents of the interaction and an 11-point scale to rate their current level of distress. ⁵⁰ Patients used separate five-point rating scales to report their degree of confidence in the recommended treatment and perceptions of the difficulty of completing it.

In follow-up telephone interviews, patients again rated their difficulty remembering conversation content; and used five-point rating scales to answer five questions about their trust in their oncologist ($\alpha = .79$).⁵¹

Statistical Analyses

Bivariate associations. Multilevel models, with patients nested within oncologists, were used to test all hypotheses about bivariate associations. Study arm was a covariate in the analyses. Preliminary analyses disclosed no significant interactions between study arm and implicit bias; therefore, interaction terms were not included in final models. Models used standardized predictors and outcomes (i.e., z-transformed); model regression weights, thus, represent standardized estimates of effect size.

Preliminary analysis of data structure disclosed unequal variance among oncologists in distributions of how implicit bias affected some measures. Therefore, for each regression analysis, two models were estimated and tested: One assumed equal variance among oncologists; another assumed unequal variance. Fit of the two models was compared using Akaike's Information Criterion (AIC), 52 the Bayesian Information Criterion, 53 and a χ^2 increment in a model-fit test; results are reported for best fitting models. Sample size permitted detection of medium-effect sizes with 80% power and 5% type I error rate.

Oncologists' demographic and professional characteristics and patients' demographic and medical characteristics (i.e., cancer site, stage) were explored in bivariate regression analyses as possible covariates of study outcomes. Patient income positively covaried with involving patients in treatment decisions; oncologist age positively covaried with the oncologist supportive communication subscale. These covariates were included in appropriate analyses.

Indirect effects. The same multilevel, patient-nested models were used in tests of indirect effects on patient confidence in and perceived difficulty of recommended treatments. Tests of indirect paths were conducted by testing the product of (1) the regression coefficient for the mediator (i.e., patient-centered communication) regressed onto the independent variable (implicit bias) multiplied by (2) the regression coefficient for the dependent variable (confidence or difficulty) regressed onto both the mediator and independent variable. Nonparametric bootstrap resampling 54 (5,000 samples) of regression coefficients was used to obtain confidence intervals, which were used to interpret significance of the indirect path. $^{54-56}$ For all significance tests, α was two-tailed at .05.

RESULTS

Participant Characteristics

Table 1 presents the professional characteristics of the oncologists. Most were men (56%), and had been in practice, on average, for about 7 years. Table 2 presents patients' personal and medical characteristics. Most patients were women (91%) who had been diagnosed with cancer 3 months or less before study entry. The most frequent cancer type was breast (84%); all disease stages were represented. Data on oncologists' and patients' ratings and responses to questions are presented in Table 3.

Oncologist implicit racial bias. Oncologists' mean and median IAT d-scores were statistically significant (P < .01) but had a small to moderate level (d = .26) of implicit racial bias. This level is lower than national norms for physicians²⁴ but consistent with IAT data from physicians in the same geographic region.³⁴ Bivariate relationships between oncologist implicit bias and outcome measures are shown in Table 4.

Characteristic	Value	
Sex, no. (%)		
Male	10 (56)	
Age, years, mean (SD)	46.44 (10.38)	
Ethnicity (self-reported), no. (%)		
White	9 (50)	
Asian	4 (22)	
Arab/Middle Eastern	5 (28)	
Position, no. (%)		
Fellow	3 (17)	
Attending	15 (83)	
Years in practice (postfellowship), mean (SD), median	7.21 (10.31), 2.91	
Implicit bias (IAT score), mean (SD), median	0.18 (0.51), 0.35	
d (range)	0.26 (-0.99 to 0.76	

Abbreviations: *d*, standardized difference score; IAT, Implicit Association Test; SD, standard deviation.

Table 2. Patient Characteristics (N = 112)*					
Characteristic	Value				
Sex					
Female	102 (91)				
Age, years, mean (SD)	58.69 (10.38)				
Highest level of education					
Did not graduate high school	26 (23)				
Graduated high school	13 (12)				
Some college	37 (33)				
Graduated college	21 (19)				
Postgraduate	15 (13)				
Income (US\$)					
0-19,999	44 (42)				
20,000-39,999	33 (31)				
40,000-59,999	9 (9)				
60,000-79,999	10 (9)				
> 80,000	9 (9)				
Primary tumor site	00 (0.4)				
Breast	92 (84)				
Colorectal	8 (7)				
Lung	12 (9)				
Stage	2 (2)				
0	3 (3)				
	40 (36) 37 (33)				
	23 (21)				
I IV	7 (6)				
Unknown					
UTIKITOWIT	2 (2)				

Data given as no. (%) unless otherwise indicated. Abbreviation: SD, standard deviation.

Oncologists

Communication, patient involvement, and talk time. There was a significant negative association between oncologist implicit racial bias and observers' ratings of oncologists' supportive communication (P < .01), after controlling for physician age. There was also a significant negative association between oncologist implicit bias and interaction length (P = .02). Associations between oncologist implicit bias and the extent to which oncologists involved their patients in treatment decisions (controlling for patient income) and talk-time ratio were not significant (P > .22).

Patients

Reactions to oncologists and interactions. Patients who interacted with oncologists with higher implicit racial bias perceived their communication as less patient-centered (P=.01) and reported greater difficulty remembering conversation contents (P<.01) immediately after interactions. Higher oncologist implicit bias was not associated with patients' immediate post-visit distress, with continued difficulty remembering conversation contents, or with trust of their oncologist assessed 1 week later (P>.05).

Perceptions of recommended treatments. There were no significant direct associations between implicit bias and patient treatment perceptions (P > .20). However, as predicted, there were significant indirect effects of oncologist implicit racial bias on patients' degree of confidence in recommended treatments and perceptions of difficulty in completing them.

Figure 1A shows the significant indirect path from oncologist implicit racial bias to patients' perceptions of oncologist patient-centeredness to patient confidence in recommended treatments (95% CI, -0.24 to -0.06). Higher levels of oncologist implicit bias were associated with patients perceiving the oncologist as less patient-centered, which, in turn, was associated with less patient confidence in recommended treatments.

Figure 1B shows the significant indirect path from oncologist implicit bias to patients' perceptions of difficulty completing treatment (95% CI, 0.03 to 0.29). Higher levels of oncologist implicit bias were associated with patients perceiving the oncologist as less patient-centered, which, in turn, was associated with patients perceiving greater difficulty in completing treatments.

Figure 1C shows another significant indirect path from oncologist implicit bias to patients' confidence in recommended treatments (95% CI, -0.19 to -0.01). Higher levels of implicit bias were associated with lower observer ratings of oncologist supportive communication, which, in turn, were associated with less patient confidence in recommended treatments.

DISCUSSION

Tests of the first set of hypotheses replicated prior findings of associations between physician implicit racial bias and their

Table 3. Oncologists' and Patients' Ratings and Responses to Questions				
Ratings and Responses by Group	Mean (SD)			
Oncologists				
Communication with patient (1: strongly disagree, to 5:				
strongly agree)				
Informativeness (n = 96)	3.92 (0.60)			
Supportiveness ($n = 96$)	3.58 (0.52)			
Partnership building (n = 96)	3.28 (0.52)			
Patient-centered communication (average) (n = 95)	3.59 (0.52)			
Length of time oncologist and patient both in room $(n = 96)$	30.07 (13.57)			
Oncologist-to-patient talk time ratio (n = 95)	3.82 (2.66)			
Involving patient in treatment decision (1: completely, to 5: not at all) (n = 88)	2.88 (1.16)			
Patients				
Immediately after visit				
Perceived patient-centeredness (1: not at all, to 4: completely) (n = 105)	3.65 (0.34)			
Distress after visit (1: none, to 11: extreme) (n = 102)	4.75 (3.01)			
Difficulty remembering what was said (1: very easy, to 5: very difficult) (n = 103)	1.83 (0.96)			
Follow-up interview				
Difficulty remembering what was said (1: very easy, to 5: very difficult) (n = 107)	2.07 (0.91)			
Oncologist trustworthy (1: strongly disagree, to 5: strongly agree) (n = 98)	4.19 (0.61)			
Treatment expectations	4.00 (0.04)			
Confidence in recommended treatment (1: extremely unsure, to 5: extremely sure) (n = 88)	4.68 (0.94)			
Difficulty of completing treatment (1: extremely easy, to 5: extremely hard) ($n = 70$)	2.46 (0.96)			
Severity of treatment side effects (1: extremely mild, to 5: extremely serious) (n = 68)	3.24 (1.13)			
Abbreviation: SD, standard deviation.				

^{*}Because of omissions in patient records and/or failure of patients/oncologists to respond to a question, the sum of the numbers by category may not equal the total number of patients or oncologists.

Measure	No.	β	SE	CI	Р
Oncologists					
Informative style	96	-0.21	0.14	-0.65 to 0.20	.18
Supportive style	96	-0.42	0.17	-0.77 to -0.06	<.01*
Partnership style	96	-0.11	0.15	-0.44 to 0.22	.48
Patient-centered style	96	-0.19	0.17	-0.55 to 0.17	.28
Length of interaction	96	-0.37	0.14	-0.67 to -0.08	.02
Talk-time ratio	96	-0.04	0.03	-0.10 to 0.05	.27
Involving patient	88	0.27	0.14	-0.02 to 0.57	.22
Patients					
Post-visit reactions					
Patient centeredness	105	-0.25	0.09	-0.51 to -0.05	.01
Difficulty remembering	103	0.15	0.04	0.05 to 0.36	.01
Distress	102	0.06	0.11	-0.16 to 0.29	.57
Follow-up reactions					
Difficulty remembering	107	0.20	0.09	-0.39 to 0.01	.06
Trust	98	-0.11	0.06	-0.23 to 0.01	.08
Treatment perceptions					
Confidence	88	-0.13	0.10	-0.35 to 0.07	.19
Difficulty	70	0.08	0.12	-0.17 to 0.33	.51

communication and patient reactions, ^{26,36,37} and identified new associations as well. Of special note, we showed that independent observers of the interactions, like the black patients, perceived lower-quality communication among oncologists who had higher levels of implicit bias. Perhaps even more importantly, tests of the second set of hypotheses showed for the first time a significant link between non-black oncologist implicit bias and black patients' perceptions of the treatments recommended to them.

Limitations

Because data came only from racially discordant interactions, we could not demonstrate that the effects of oncologist implicit racial bias were unique to racially discordant interactions. However, four prior studies of provider implicit bias included white patients^{36,37,40,41}; all found that implicit bias predicted more positive provider behavior toward and reactions from white patients. It seems likely that had we included white patients, implicit bias would have undermined only the quality of interactions involving black patients.

Because of a priori predictions, analyses were not adjusted for multiple testing, which is a potential limitation. Another limitation is small effect sizes (0.10 to 0.15) for indirect paths affecting patient treatment perceptions.⁵⁷ Many factors (eg, type of proposed treatment) can affect patients' treatment perceptions. Finding consistent and convergent effects of oncologist implicit bias on patient perceptions, despite these other factors, suggests the reliability and potency of the effects of implicit bias. Moreover, even relatively small statistical effects can have substantial practical impact when they occur frequently. Perhaps the best example of this is aspirin's impact on the incidence of myocardial infarctions (MIs). The original randomized study of aspirin's effects⁵⁸ found that, over 5 years, 139 of 10,898 physicians who took aspirin daily had an MI, whereas 239 of 10,795 physicians who took a placebo had an MI, which was significant (P < .001). Rosenthal⁵⁹ recommended casting these data in a 2 (aspirin ν placebo) \times 2 (MIs

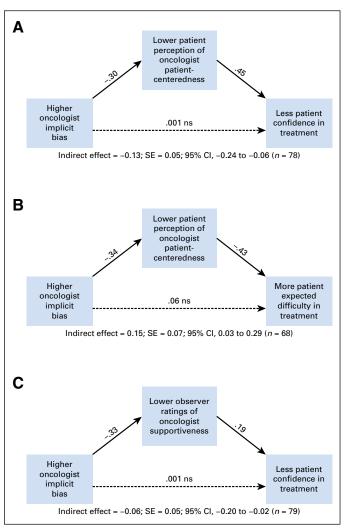


Fig 1. Indirect effects of oncologist implicit bias on patient treatment expectations. (A) Patients' perceptions of oncologist patient centeredness and confidence in treatment. (B) Patients' perceptions of oncologist patient centeredness and expected difficulty in treatment. (C) Observers' ratings of oncologist supportiveness and patient confidence in treatment.

present ν absent) table and calculating the actual effect size for the findings (a ϕ coefficient); the ϕ was .03, a small significant effect. Yet daily use of aspirin has dramatic health benefits for men older than age 50 years. Indeed, over a 5-year period, using aspirin daily would result in at least 400,000 fewer MIs (Data Supplement). Relating this to the current findings, about 190,000 blacks are diagnosed annually with cancer. An effect size of 0.10 on treatment decisions that is due to oncologist implicit racial bias could affect a substantial number of patient-treatment decisions.

Implications

The common goal of oncology interactions is to discuss treatments for potentially life-threatening diseases. One might suppose that in such high-stakes interactions, factors such as oncologist racial bias and patient race would have minimal roles in how an interaction proceeds and its outcomes. However, our

findings provide rather persuasive empirical evidence that contradicts this supposition. Oncologist implicit racial bias was negatively associated with important aspects of what transpired between non-black oncologists and black patients.

We acknowledge it is unlikely racial bias alone is the major source of the well-documented, widespread racial disparities in cancer treatment. Factors such as patient socioeconomic status, limited access to high-quality health care, and patient health-related attitudes also contribute to racial disparities in cancer treatment. However, our data suggest that oncologist implicit racial bias may uniquely contribute to these disparities and should be further explored. Greater understanding of how oncologist implicit bias affects the quality of care received by black patients with cancer may enable researchers to identify which of many proposed interventions may hold the greatest promise for the critical task of reducing the impact of implicit racial bias on racial disparities in cancer treatment.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at www.jco.org.

AUTHOR CONTRIBUTIONS

Conception and design: Louis A. Penner, John F. Dovidio, Terrance L. Albrecht, Robert Chapman, Anthony F. Shields, Susan Eggly Collection and assembly of data: Louis A. Penner, Tanina Foster, Nao Hagiwara, Lauren M. Hamel, Anthony F. Shields, Shirish Gadgeel, Michael S. Simon

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