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## The effects of tea polyphenols on *Candida albicans*: inhibition of biofilm formation and proteasome inactivation

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2 **The Effects of Tea Polyphenols on *Candida albicans*: Inhibition of**  
3 **Biofilm Formation and Proteasome Inactivation**

4

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21 **ABSTRACT**

22 The adherence of *Candida albicans* to each other and to various host and  
23 biomaterial surfaces is an important prerequisite for the colonization and  
24 pathogenesis of this organism. Cells in established biofilms exhibit different  
25 phenotypic traits and are inordinately resistant to antimicrobial agents.  
26 Recent studies have shown that black and green tea polyphenols exhibit both  
27 antimicrobial and strong cancer-preventive properties. Experiments were  
28 conducted to determine the effects of these polyphenols on *C. albicans*.  
29 Standard growth curves demonstrated a 40% reduction in the growth rate  
30 constant (K), with a 2 mg mL<sup>-1</sup> concentration of Polyphenon 60, a green tea  
31 extract containing a mixture of polyphenolic compounds. Cultures treated  
32 with 1.0 μM (-)-epigallocatechin-3-gallate (EGCG), the most abundant  
33 polyphenol, displayed a 75% reduction of viable cells during biofilm  
34 formation. Established biofilms treated with EGCG were also reduced, by  
35 80%, as determined through XTT colorimetric assays. Identical  
36 concentrations of epigallocatechin (EGC) and epicatechin-3-gallate (ECG)  
37 demonstrated similar biofilm inhibition. Further investigations regarding the  
38 possible mechanism of polyphenol action indicate that *in vivo* proteasome  
39 activity was significantly decreased when catechin-treated yeast cells were  
40 incubated with a fluorogenic peptide substrate that measured proteasomal

41 chymotrypsin-like and peptidyl-glutamyl peptide-hydrolyzing activity.  
42 Impairment of proteasomal activity by tea polyphenols contributes to  
43 cellular metabolic and structural disruption that expedites the inhibition of  
44 biofilm formation and maintenance by *C. albicans*

## 45 INTRODUCTION

46 *Candida* species are ubiquitous fungi and the most common fungal  
47 pathogens affecting humans. *C. albicans* is the primary etiologic agent of  
48 candidiasis, a disease that can vary from superficial mucosal lesions in the  
49 immunocompetent host to systemic or disseminated infection in the  
50 immunocompromised individual (Ahern, 1978; Calderone, 2002). *Candida*  
51 infections are usually associated with biofilm formation occurring on  
52 indwelling catheters and bioprosthetic surfaces such as dental implants, heart  
53 valves, and artificial joints. Mortality due to invasive candidiasis can be as  
54 high as 40% (Crump & Collignon, 2000; Dolan, 2001; Raad, 1998; Ramage  
55 *et al.*, 2002).

56 As a consequence, considerable attention has been given to *C.*  
57 *albicans*, an opportunistic yeast, and its ability to adhere to implanted inert  
58 materials. In the case of *C. albicans*, these adhesive properties may be  
59 facilitated by intrinsic cell-surface hydrophobicity. Biofilm formation  
60 proceeds through several distinct developmental phases that transform  
61 adherent blastospores to well-defined cellular communities surrounded by a  
62 mannose polysaccharide matrix (Chandra *et al.*, 2001; O'Toole *et al.*, 2000;  
63 Ramage *et al.*, 2000). The position and quantity of surface cell wall  
64 mannoproteins contribute to the hydrophobic state of *C. albicans*, (Braun,

65 1999; Braun, 1994) and the initial attachment of these cells to a solid surface  
66 is followed by proliferation and biofilm formation. Many reports have  
67 demonstrated that sessile *C. albicans* cells in biofilms display characteristics  
68 that are different from those of their free-living counterparts. A paper  
69 published by Kumamoto (2005) has shown that a cellular contact-activated,  
70 signal transduction kinase, Mkc1p, accumulates in active form when *C.*  
71 *albicans* attach to polystyrene wells. This cellular integrity signal  
72 transduction pathway, induced by contact-dependent responses, signals  
73 biofilm development. The resultant growth of *C. albicans* biofilm greatly  
74 enhances this organism's resistance to antifungal agents and protects it from  
75 host defenses, both of which have important clinical and therapeutic  
76 implications (Baille & Douglas, 1998; Chandra *et al.*, 2001; Hawser &  
77 Douglas, 1995; Ramage *et al.*, 2001).

78 All teas derived from the dried leaf of the *Camellia sinensis* plant  
79 contain a variety of biologically active compounds, including polyphenols,  
80 methylxanthines, essential oils, proteins, vitamins, and amino acids  
81 (Balentine *et al.*, 1997; Hara, 1997; Yamamoto, 1997; Yam *et al.*, 1997).  
82 Green tea is manufactured with minimal processing and has the greatest  
83 concentration of polyphenolic compounds; it is the most "natural" tea.  
84 Oxidation is employed to turn green leaves brown, and in the process

85 produce the flavor varieties of black teas. Most of the reported biological  
86 actions of tea—including hypolipidemic, anti-inflammatory, antimicrobial,  
87 anticancer, and anti-oxidant effects—are related to the polyphenol fraction,  
88 the tea catechins (Fujiki, 1999; Hsu *et al.*, 2002; Nam *et al.*, 2001; Okabe *et*  
89 *al.*, 1997). The major polyphenol components of tea are epigallocatechin-3-  
90 gallate (EGCG), epigallocatechin (EGC), and epicatechin-3-gallate (EGC).  
91 Collectively, these substances constitute about 15% (dry weight) of green  
92 tea.

93 Proteasomes are structures found in all eukaryotic cells. The  
94 ubiquitin-proteasome enzymatic pathway functions in the cell cytoplasm and  
95 is responsible for the specific degradation of abnormal, short-lived, and  
96 regulatory proteins found in the nucleus and cytosol. Nam *et al.* (2001)  
97 reported that interference with proteasome activity *in vivo* progresses to  
98 eukaryotic cell death.

99 The purification and characterization of the multicatalytic complex of  
100 the *C. albicans* 20S proteasome has been elucidated previously (Fernandez-  
101 Murray *et al.*, 2000). It has been reported that the *C. albicans* proteasome  
102 moderates three major proteolytic activities: chymotrypsin-like, trypsin like,  
103 and peptidyl-glutamyl peptide hydrolyzing (PGPH) activities. Proteasomal  
104 enzymes are used by *C. albicans* to regulate its metabolism and respond

105 appropriately to environmental signals. This proteasomal process controls  
106 the temporal regulation of the type and quantity of expressed cellular  
107 proteins.

108         The purpose of this study was to determine the consequences of the  
109 major polyphenols of green tea (ECGC, EGC, and ECG) on the ability of *C.*  
110 *albicans* to grow, as well as, form and maintain a biofilm community.  
111 Further investigations were done to determine the effects of these catechins  
112 on the *in vivo* activity of *C. albicans* proteasomes.

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125 **METHODS**

126 **Organism and Conditions of Culture.** *C. albicans* 4918 (Manning  
127 and Mitchell 1980) and ATCC 10231 (both serotype A, (Mercure et al.  
128 1996) were used in the course of this study. The culture was maintained on  
129 brain heart infusion (BHI) slants at 4°C.

130 **Effect of Polyphenon 60 on Mean Growth Rate Constant.** Twenty-  
131 four hour cultures of *C. albicans* were suspended in 100 mL of Sabouraud  
132 dextrose broth (pH 6.0; SDB Difco) at a concentration of  $5 \times 10^4$  cells per  
133 mL. Polyphenon 60 was added to the cultures at concentration of 0.1, 1.0,  
134 2.0 and 5.0 mg mL<sup>-1</sup>. The cell cultures were incubated at 37° C (150 rpm)  
135 for 48 h. At various times sample aliquots were removed and cells were  
136 quantified using Bright-line hemocytometer (Hausser Scientific). *C.*  
137 *albicans* growth rates were determined mathematically as described by  
138 Madigan and Martinko (2006).

139 **Biofilm Formation.** The system of Ramage, *et al.* (2001) was used  
140 with some modification. Cells were harvested from BHI slants which were  
141 incubated for 24 h at 37°C. Cells were washed and calibrated in sterile,  
142 phosphate-buffered saline (PBS, 0.05 M, pH 7.2) and resuspended in SDB,  
143 to a cellular density equivalent to  $1.0 \times 10^6$  cells per mL using a Bright-line  
144 hemocytometer. Biofilms were formed on presterilized, polystyrene, flat-

145 bottom, 96-well microtiter plates (Corning Inc.) Biofilms were produced by  
146 pipetting standardized cell suspensions ( $100\ \mu\text{L}$  of the  $10^6$  cells  $\text{mL}^{-1}$ ) into  
147 selected wells of the microtiter plate, finalizing volumes to  $200\ \mu\text{L}$  using  
148 SDB, and incubating microtiter plates for 48 h at  $37^\circ\text{C}$ .

149 **Polyphenol Treatment.** Ten-millimolar solutions of highly purified  
150 polyphenols (–)-epigallocatechin-3-gallate (EGCG, >95%), (–)-  
151 epigallocatechin (EGC, >98%), and (–)-epicatechin-3-gallate (ECG, >98%)  
152 (Sigma) were used. To determine the effects of these polyphenols on biofilm  
153 formation, each microtiter well contained a  $100\ \mu\text{L}$  of yeast cell suspension  
154 ( $1.0 \times 10^6$  cells  $\text{mL}^{-1}$  of SDB), 20 mM EGCG, EGC, or ECG at various  
155 concentrations to a maximum of  $2.5\ \mu\text{M}$ . Volumes were adjusted to  $200\ \mu\text{L}$   
156 total volume using SDB. Biofilms were subsequently incubated for 48 h at  
157  $37^\circ\text{C}$ , and results were analyzed by XTT reduction assay (described below).

158 The effect of polyphenols on preformed biofilms was demonstrated by  
159 using 48 h biofilms, removing the media, twice washing biofilms with PBS,  
160 and adding one of the polyphenols to each biofilm. Volumes were adjusted  
161 to  $200\ \mu\text{L}$  using a sterile Basal salt solution (0.5% w/v,  $(\text{NH}_4)$   $\text{SO}_4$ , 0.02%  
162 w/v  $\text{Mg SO}_4$ , 0.5% w/v NaCl, 0.0001% w/v Biotin, 4 mM glucose). These  
163 cultures were immediately incubated for 24 h at  $37^\circ\text{C}$  (50 rpm) before the  
164 XTT-reduction assay. After biofilm formation or disruption, the medium

165 was removed and nonadherent cells were removed by washing biofilms  
166 three times in sterile PBS before the XTT assay.

167 **XTT-Reduction Assay.** The quantity of biofilm in each microtiter  
168 well was calculated by using an XTT [2,3-bis(2-methoxy-4-nitro-5-sulfo-  
169 phenyl)-2H-tetrazolium-5-carboxanilide]-reduction assay (Sigma). Briefly,  
170 XTT was prepared in a saturated solution at 0.5 g/L in PBS, aliquoted, and  
171 stored at  $-70^{\circ}\text{C}$ . Prior to each assay, an aliquot of stock XTT was thawed,  
172 and menadione (Sigma; 10 mM, prepared in acetone) was added to a final  
173 concentration of  $1\mu\text{M}$ . A  $100\text{-}\mu\text{L}$  aliquot of the XTT-menadione solution  
174 was then added to each prewashed biofilm and to control wells (for the  
175 measurement of background XTT-reduction levels and untreated cells.) The  
176 plates were then incubated in the dark for 2 h at  $37^{\circ}\text{C}$ . A colorimetric change  
177 in the XTT-reduction assay, which relies upon the mitochondrial  
178 dehydrogenases of live cells to convert XTT-tetrazolium salt into a reduced  
179 formazan-colored product, was then measured in a microtiter plate reader  
180 (Benchmark Microplate Reader; Bio-Rad)) at 490 nm.

181 **Formation of Protoplasts.** The methods for protoplast formation  
182 have been described previously (Braun, 1999). Yeast cells grown at  $37^{\circ}\text{C}$  to  
183 the point of early exponential growth phase were centrifuged and washed  
184 twice with PBS. Cell pellets were resuspended in 35 mL of 0.5 M sodium

185 thioglycolate in 0.1M Tris buffer (pH 8.7) and incubated with shaking at  
186 37°C for 30 min. The cells were centrifuged (4°C) and washed with PBS  
187 containing 0.6 M KCl as an osmotic stabilizer. The cells were then  
188 suspended in 4.5 mL of the same buffer to which 0.5 mL of  $\beta$ -glucuronidase  
189 (Sigma) was added. After transfer to flasks, cells were incubated with  
190 shaking (100 rpm) at 37°C for 60 min. The degree of protoplast formation  
191 was assessed microscopically for osmotic sensitivity. Preparations yielding  
192 less than 99% protoplasts were not used. Protoplasts were washed three  
193 times in PBS-KCl prior to use.

#### 194 **Inhibition of Proteasome Activity in Whole Cells and Protoplasts.**

195 The system of Nam *et al.* (2001) was used with some modification. Whole  
196 cells or protoplasts, 100  $\mu$ L of suspension ( $1.0 \times 10^6$  cells mL<sup>-1</sup> PBS or PBS-  
197 KCl) were placed in microtiter plates and incubated in the dark for 24 h at  
198 37°C (50 rpm) with various concentrations of EGCG, EGC, or ECG,  
199 followed by an additional 6-h incubation period with 2 $\mu$ L of a 20- $\mu$ M  
200 fluorogenic peptide substrate (Calbiochem) containing either Suc-Leu-Leu-  
201 Val-Tyr-AMC (for the proteasomal chymotrypsin-like activity),  
202 benzyloxycarbonyl (Z)-Leu-Leu-Glu-AMC (for the proteasomal PGPH  
203 activity), or Z-Gly-Arg-AMC (for proteasomal trypsin-like activity). All  
204 volumes were adjusted to 200  $\mu$ L using PBS-KCl prior to incubation. After

205 the 6-h incubation, proteasomal enzyme activity was determined by the  
206 measurement of the hydrolyzed 7-amido-4-methyl-coumarin (AMC) groups  
207 using a Tecan<sup>TM</sup> spectrophotometer with an excitation filter of 380 nm and  
208 an emission filter of 460 nm. Controls for these experiments included  
209 untreated cells with or without fluorogenic peptide substrate and polyphenol-  
210 treated cells with no fluorogenic peptide substrate.

211 **Statistical Analysis.** Statistical analyses were performed on repeated  
212 experiments that had multiple samples. The mean, the standard deviation of  
213 the mean, and one-way analysis of variance (ANOVA) results were used to  
214 analyze the data. When the various biofilm data were compared, a *P*-value  
215 of •0.05 was considered to indicate a significant difference between the  
216 groups.

217 Experimental results demonstrated no statistical differences between  
218 *C. albicans* ATCC 10231 and 4918. All data presented in this paper are the  
219 results of experiments conducted on ATCC 10231.

220

## 221 **RESULTS**

222 **Effect of Polyphenon 60 on Growth Rate Constant (K).** Initial  
223 experiments were conducted to determine whether tea polyphenols had an  
224 effect on *C. albicans* growth rate patterns. Standard 24-h growth curves were

225 performed for *C. albicans* growing in Sabouraud dextrose broth. Treated  
226 cultures were incubated with various concentrations of Polyphenon 60, a  
227 green tea extract containing a mixture of polyphenolic compounds to a  
228 minimum of 60% total catechins. As concentrations of Polyphenon 60  
229 increased, the rate of growth as expressed by the growth rate constant (K)  
230 continuously decreased. At the maximum experimental concentration of 5  
231 mg mL<sup>-1</sup> of this extract, *C. albicans* generation time was inhibited by 43%  
232 **(Table 1)**.

233 **Inhibition of *C. albicans* biofilms with tea polyphenols.** Individual  
234 polyphenols were used to determine their discrete affect on the ability of  
235 both strains of *C. albicans* to produce biofilms. Both strains incubated with  
236 either 1.5 or 2.5 micromoles of any one of the polyphenols for 48 h  
237 demonstrated a reduction of biofilm formation that averaged 72% when  
238 compared to untreated control cultures **(Figure 1)**. One-way ANOVA was  
239 used to analyze the data, and it was determined that the effect of each of  
240 these three polyphenols was significant ( $P<0.001$ ) in the prevention of  
241 biofilm formation with EGCG more potent than EGC or ECG at 2.5  $\mu$ M  
242 concentrations. However, it was determined that there were no significant  
243 differences ( $P>0.05$ ) in biofilm decrease when the polyphenol solutions  
244 were between 1.0  $\mu$ M and 3.0  $\mu$ M. Further experiments were performed

245 using various polyphenol concentrations, up to a maximum concentration of  
246 3.0 mM. The amount of biofilm formation as determined by the XTT-  
247 reduction analysis was not directly proportional to catechin concentration.  
248 From 1.0  $\mu$ M to 3.0 mM, biofilm formation was reduced 75%.

249 Established 48-h biofilms were subjected to the same polyphenols;  
250 ECGC, EGC and ECG at concentrations between 1.0  $\mu$ M to 3.0  $\mu$ M for 24  
251 h. The polyphenol-treated biofilms were disrupted by as much as 60%, as  
252 determined by the XTT reduction assay (**Figure 2**). Statistical analysis using  
253 one-way ANOVA indicated a significant difference ( $P<0.001$ ) between  
254 treated and control cultures; however, the level of disruption was not  
255 concentration-dependent up to 3.0 mM. Again, ECGC produced a  
256 statistically greater disturbance to the preformed biofilms than the other two  
257 catechins.

258 **Effect of ECGC, EGC, and ECG on Proteasomes.** The effect of the  
259 three catechins on *C. albicans* 20S proteasomes was investigated. Whole cell  
260 cultures of *C. albicans* treated with one of the polyphenols for 24 h were  
261 further incubated with one of the fluorogenic substrate peptides that would  
262 test for chymotryptic, PGPH, or tryptic activity. The fluorogenic substrates  
263 were not transported across the cell walls in the untreated control cultures.  
264 However, polyphenolic treated cells contained these fluorogenic peptides in

265 their cytoplasm (results not shown). Subsequently, protoplasts of *C. albicans*  
266 were created and the experiments were repeated. When proteasomal  
267 chymotrypsin-like activity was investigated, we observed a 70% decrease in  
268 chymotrypsin activity in the polyphenol-treated cultures, as compared with  
269 controls (**Figure 3**). The inhibitory effect of each of the three catechins on  
270 the proteasome was not significantly different, and there was no difference  
271 in the amount of inhibition based on polyphenol concentration. Further  
272 experiments determining the effects of polyphenols on proteasomal PGPH  
273 activity revealed that EGCG had a concentration-dependent inhibitory effect  
274 on PGPH activity, with 1.0 mM inhibiting approximately 70% of the  
275 proteasomal PGPH activity and 2.5 mM inhibiting >80% of activity  
276 (**Figure 4**). EGC also demonstrated a concentration-dependent inhibition;  
277 however, proteasomal PGPH activity was inhibited by this catechin by only  
278 20% and 55% at 1.0 and 2.5-mM, respectively. ECG, on the other hand,  
279 displayed a lack of concentration dependence in PGPH inhibition; both 1.0-  
280 and 2.5-mM concentrations of ECG inhibited PGPH activity by 25% when  
281 compared to control cultures. The individual addition of these three  
282 polyphenols to *C. albicans* protoplasts had no significant effect on the  
283 proteasomal trypsin-like activity (results not shown).

284



285 **DISCUSSION**

286 Biofilms are a protective environment for *C. albicans*. This may  
287 become a serious public health problem because of the increased resistance  
288 of this organism to antifungal agents and the potential to cause infections in  
289 patients with indwelling or bioprosthetic medical devices. It has been  
290 reported that *C. albicans* biofilms are not a collection of randomly dividing  
291 cells, but instead are organized communities that are dependent on the  
292 activation of signal transduction pathways (Davies *et al.*, 1998; Kumamoto,  
293 2005) and on quorum sensing abilities (Braun, 2005; Ramage *et al.*, 2002)  
294 These strategies for biofilm growth and development benefit the *C. albicans*  
295 community by preventing and controlling overpopulation and competition  
296 for nutrients. These conditions may be crucial for *C. albicans* dissemination  
297 and the establishment of infection at distal sites.

298 The present study demonstrates that tea polyphenols substantially  
299 causes metabolic instability to *C. albicans* cultures. The catechins are  
300 shown to retard the growth and the ability to form and maintain biofilms by  
301 *C. albicans*. Once a biofilm has been established, micromolar concentrations  
302 of all three tested polyphenolic compounds, EGCG, EGC, and ECG, were  
303 able to disrupt a preformed biofilm community within a 24-hour period as  
304 determined by the mitochondria-dependent XTT reduction assay. EGCG

305 was found to be the most potent of the three catechins. These results were  
306 not strain-specific and the outcome of all our experiments conducted on *C.*  
307 *albicans* 4918 and ATCC 10231 demonstrated no statistically significant  
308 differences.

309 To determine a site of action of the tea polyphenols on *C. albicans*,  
310 we investigated the possibility of proteasome activity disruption. Nam *et.al.*  
311 (2001) report that the same polyphenols destroyed the enzymatic activity of  
312 proteasomes in intact human tumor cells, contributing to the death of these  
313 cells. Although accumulating evidence indicates that tumor and normal cells  
314 behave differently in response to proteasome inhibition there is no well-  
315 defined mechanism to explain tumor cell susceptibility and normal cell  
316 resistance to proteasome inhibitors. A comparison of proteasome inhibition  
317 in normal and cancer cells was reviewed by Yang *et al.* (2008). In that  
318 report, many different types of proteasome inhibitors are described, which  
319 include several natural compounds such as tea, grape, and soy polyphenols,  
320 as well as Bortezomib, a drug approved by the US Food and Drug  
321 Administration (FDA). Although no well define mechanism has been  
322 elucidated to explain the differences in proteasome susceptibility of cancer  
323 cells by these inhibitors, it has been suggested that the suppression of cancer  
324 cell proteasome activity results in the abnormal accumulation of

325 transcriptional factor NF- $\kappa$ B and cyclin-dependent kinase inhibitors (CKI).  
326 Increased cellular CKI has been found to arrest cell cycle progression and in  
327 cancer cells stimulate apoptotic activity. Recently, CKI have been reported  
328 to regulate morphogenesis in *C. albicans* (Sinha *et al.*, 2007) and it is very  
329 possible that the accumulation of CKI may disrupt morphogenesis and cell  
330 cycle activities in this organism as well.

331         The results of our experiments on *C. albicans* demonstrate suppressed  
332 proteasomal enzyme activity at similar tested concentrations as those used  
333 on human cancer cells. Our study suggests that the metabolic instability  
334 produced by the catechin-induced proteasome inactivation was a contributor  
335 to the decrease in the growth rate constant as well as biofilm formation and  
336 maintenance. Our experiments also suggest that other target sites of the  
337 polyphenols may also involve the cell membrane, cell wall, or perhaps both.  
338 In our attempt to use whole cells of *C. albicans* to perform these  
339 experiments, we found that the untreated controls were not able to transport  
340 the fluorogenic peptide substrates, but the 24 h polyphenol treated cells  
341 could. This observation suggests that incubation of *C. albicans* with any one  
342 of the three catechins either permeabilized the cell membrane or disrupted  
343 enough of the cell wall structure to allow the transport of the peptide  
344 substrates into the cell. The capacity of the polyphenols to cause outer cell

345 structure disruption contributes to their abilities to enter *C. albicans* and  
346 exert intracellular antifungal activities. Further investigation is needed to  
347 determine the nature of this catechin-induced cell disorganization.

348 Greater concentrations of the polyphenols were necessary to inhibit  
349 proteasome activity than were needed to inhibit biofilm formation or disrupt  
350 established biofilm structure. As others reported, (Nam *et al.*, 2001) greater  
351 concentrations of proteasome inhibitors might be needed to reach their *in*  
352 *vivo* cellular target, the proteasome. Also, it is very possible that the  
353 fluorogenic peptide assay was not sensitive enough to detect minor  
354 proteasome disturbances induced by the lower concentrations of the  
355 catechins that prevented biofilm formation and support.

356 To consider the possible antifungal effect of the tea polyphenols on *C.*  
357 *albicans*, one must consider the concentrations of these molecules, which are  
358 found physiologically in tea drinkers. Previous studies indicate that EGCG  
359 or other catechins are present in low (1-10) micromolar ranges in the plasma  
360 and saliva of human volunteers (Yang, 1999; Yang *et al.*, 1999). Our  
361 experiments also found that physiological polyphenol concentrations as low  
362 as 1.0  $\mu\text{M}$  significantly inhibited biofilm formation and disrupted established  
363 biofilms. What also needs to be taken into consideration is the enhanced

364 antifungal effect on *C. albicans* of a sustained concentration of these  
365 polyphenols over time in tea-drinking individuals.

366 In summary, we provide evidence demonstrating that physiologic  
367 concentrations of polyphenols not only prevent biofilm formation, but also  
368 reduce the yeast population of established organized communities. Our  
369 results indicate that the green tea polyphenols significantly decreased the  
370 ability of *C. albicans* to grow and sustain biofilms. Further investigation on  
371 the possible effect these polyphenols had on *C. albicans* metabolism  
372 implicated proteasome involvement. It was determined that EGCG, EGC,  
373 and ECG polyphenols inhibited *C. albicans* proteasomal chymotrypsin-like  
374 and peptidyl-glutamyl peptide hydrolyzing (PGPH) activities *in vivo*. The  
375 tea catechins also may affect other metabolic pathways besides proteasome  
376 disruption in *C. albicans* preventing the normal signals for growth and  
377 development.

378 Biofilm infections are an increasingly formidable problem, and often  
379 represent a therapeutic challenge because of their intrinsic resistance to  
380 conventional antifungal therapy. New treatment modalities to combat these  
381 infections are needed. Tea polyphenolic compounds are novel substances  
382 that have the potential to be used either alone or in combination with other

383 antifungal drugs to combat *C. albicans* infections. Further investigations are  
384 warranted.

385

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520 **Legends**

521 **Table 1: Effect of Polyphenon 60 on Mean Growth Rate Constant (K).**

522 *C. albicans* 4918 and ATCC 10231 cultures were grown for 24 h in  
523 Sabouraud dextrose broth containing various concentrations of Polyphenon  
524 60. Values represent the average of 5 experimental growth curves. Data were  
525 analyzed using a Student's *t* test. When growth comparisons were  
526 determined, a *P*-value less than 0.05 was considered to indicate a significant  
527 difference.

528

529 **Figure 1: Effect of Polyphenols on Biofilm Formation.**

530 The effect of various concentrations (1 $\mu$ M to 3  $\mu$ M) of EGCG, EGC, and  
531 ECG was investigated to determine biofilm inhibition after a 48-h incubation  
532 period. Values represent the average of eight replications from several  
533 separate experiments (n=48). ANOVA test was used to analyze the data.  
534 Error bars represent the standard deviation.

535

536 **Figure 2: Effect of Polyphenols on Preformed Biofilms.**

537 The disruptive effect of various concentrations (1 $\mu$ M to 3  $\mu$ M) of EGCG,  
538 EGC, and ECG was investigated on established 48-h biofilms. Values  
539 represent the average of eight replications from several separate experiments

540 (n=48). ANOVA test was used to analyze the data. Error bars represent the  
541 standard deviation.

542

543 **Figure 3: Specific Inhibition of Proteasome Chymotrypsin-Like Activity**  
544 **by Polyphenols in *C. albicans* Protoplasts.** The graph represents the  
545 inhibitory effect of 1 mM and 2.5 mM of EGCG, EGC, and ECG on  
546 proteasome activity as determined by a decrease in Suc-Leu-Leu-Val-Tyr-  
547 AMC hydrolysis. Values represent the average of quadruple samples from  
548 several experiments (n=16). ANOVA test was used to analyze the data.  
549 Error bars represent the standard deviation.

550

551 **Figure 4: Specific Inhibition of Proteasome PGPH Activity by**  
552 **Polyphenols in *C. albicans* Protoplasts.** The graph represents the inhibitory  
553 effect of 1 mM and 2.5 mM of EGCG, EGC, and ECG on proteasome  
554 activity as determined by a decrease in benzyloxycarbonyl (Z)-Leu-Leu-  
555 Glu-AMC hydrolysis. Values represent the average of quadruple samples  
556 from several experiments (n=16). ANOVA test was used to analyze the data.  
557 Error bars represent the standard deviation.

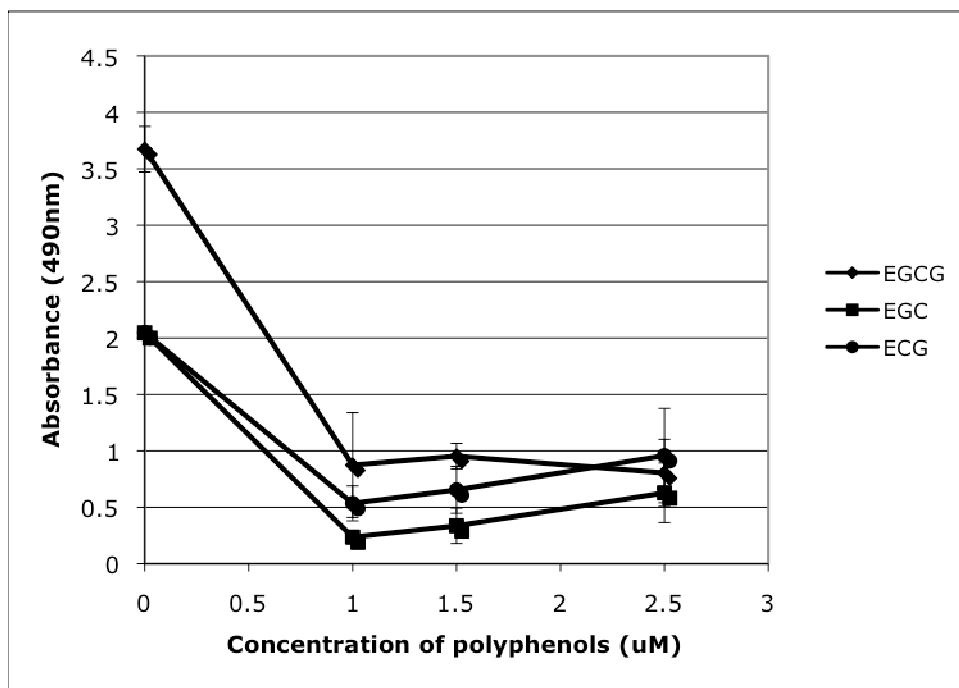
558 **Table 1: Effect of Polyphenon 60 on Mean Growth Rate Constant (K)**

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| <b>Polyphenon 60<br/>(mg/mL)</b> | <b>Growth Rate<br/>Constant (K)</b> | <b>% Decrease</b> |
|----------------------------------|-------------------------------------|-------------------|
| 0                                | 0.968                               | —                 |
| 0.1                              | 0.754                               | 22                |
| 1.0                              | 0.722                               | 25                |
| 2.0                              | 0.577                               | 40                |
| 5.0                              | 0.549                               | 43                |

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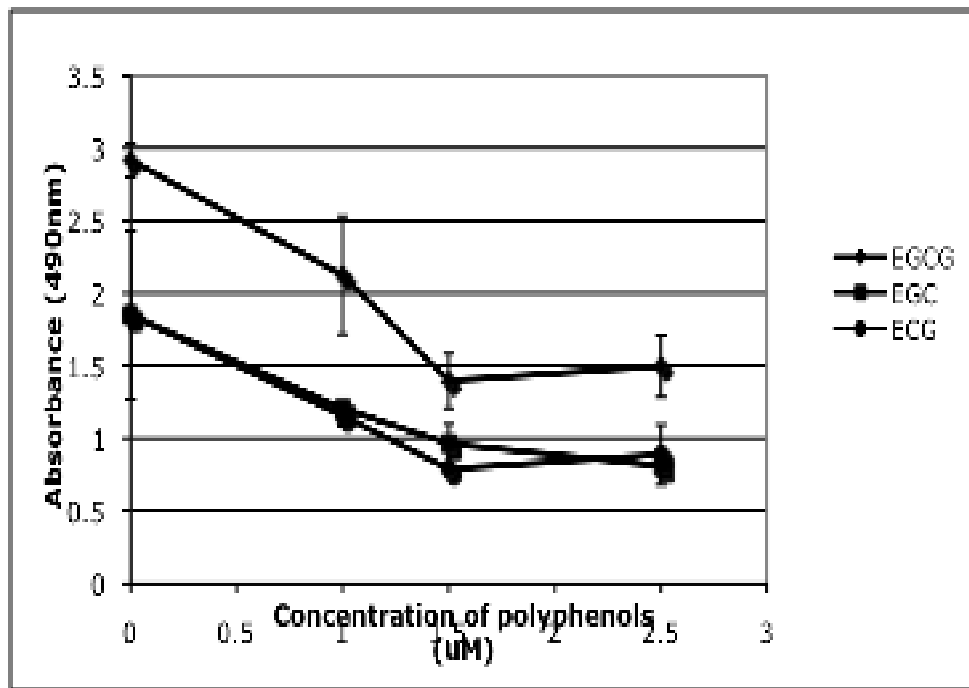
563 **Figure 1**  
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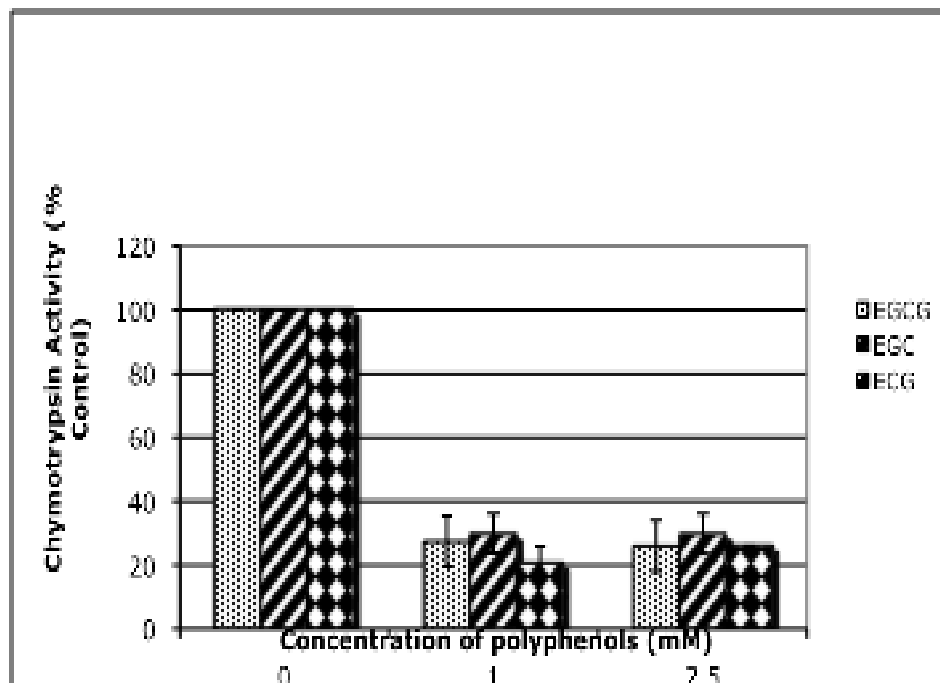


567 **Figure 2**  
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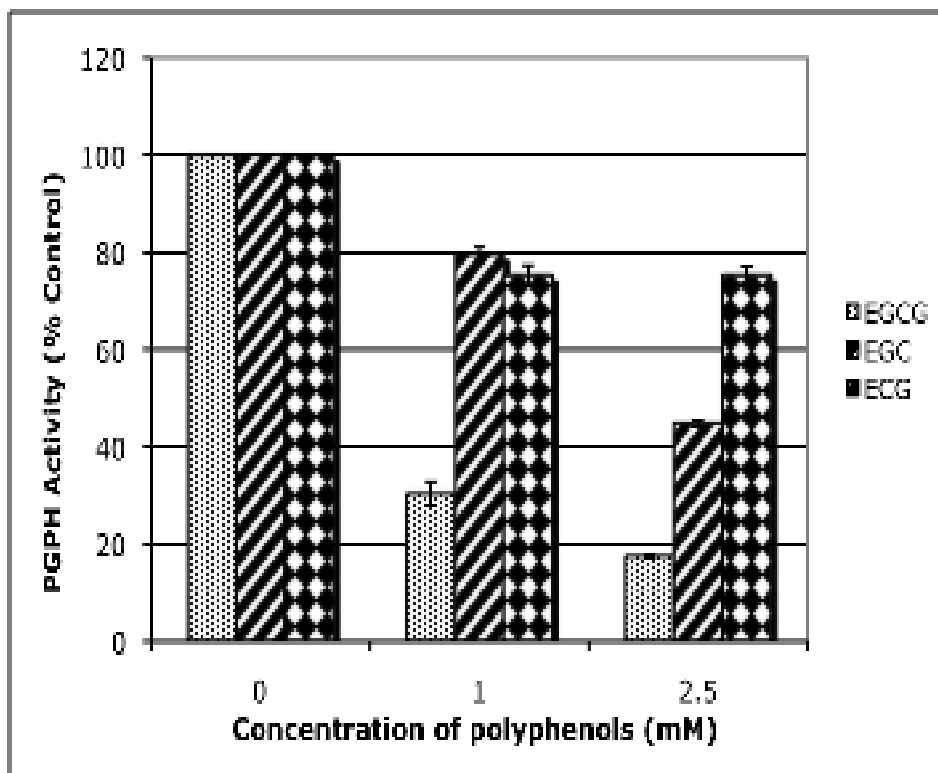
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573 **Figure 3**  
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577 **Figure 4**  
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