

Fig. 1. Epidemiological progression of the COVID-19 pandemic on the African continent. (A) Total reported new case counts per million inhabitants in Africa (data source: Our World in Data; log-transformed) along with the distribution of VOCs, the Eta VOI, and other lineages through time (the size of each circle is proportional to the number of genomes sampled per month for each category). (B to F) Breakdown of reported new cases

(Fig. 1A) and had the greatest impact on the continent, with almost 34.2% of overall infections in Africa possibly attributed to it. Beta was responsible for an epidemic wave at the end of 2020 and beginning of 2021 (Fig. 1A), with 13.3% of infections overall attributed to it. Notably, Alpha, despite being predominant in other parts of the world at the beginning of 2021, had only minimal importance in Africa, accounting for just 4.3% of infections. At the time of writing, the Omicron VOC had contributed to 21.6% of the overall number of sequenced infections. At this time, the Omicron wave was still unfolding globally and in Africa with the expansion of several sublineages (34), such that its full impact is yet to be determined. However, because of increased population immunity (35) from SARS-CoV-2 infection and vaccination (fig. S2), the impact of Omicron on mortality has been less in comparison to the other VOCs, as can be observed by the relatively low death rate in South Africa during the Omicron wave (36). The findings from mapping epidemiological numbers onto genomic sur-

veillance data are reliable as far as the proportional scaling of genomic sampling across Africa with the size and timing of epidemic waves [fig. S3; model estimate (b) = 0.011, standard error (SE) = 0.001,  $p < 2 \times 10^{-16}$ ].

This comes with the obvious caveats that testing and reporting practices have varied widely across the continent along with genomic surveillance volumes throughout the pandemic. Countries in Africa with reported data have tested in proportions from as little as 0.1 daily tests per million population to more than 1000 tests per million (fig. S4). Some countries have consistently tested at high proportions, for example, South Africa, Botswana, Morocco, and Tunisia. Incidentally, these countries have also generally reported more cases per million population, providing an indication that recorded low incidences in other parts of the continent have been underestimates due to low testing rates. However, even for these countries, epidemic numbers are certainly underrepresented and underdetected, given that in several time frames, test positivity rates were still on the

per million (data source: Our World in Data; log-transformed) and monthly sampling of VOCs, regional variant, or lineage of interest and other lineages for three selected countries for North, southern, West, Central, and East Africa, respectively. For each region, a different variant or lineage of interest is shown, relevant to that region (C.36, C.1.2, Eta, B.1.620, and A.23.1, respectively).

higher end, approaching or exceeding 20% (fig. S4), and as concluded by seroprevalence surveys and estimates of true infection burdens in Africa (37, 38). Findings of attributing case numbers of variants must therefore be interpreted in the context of this limitation but can nevertheless provide a qualitative overview of the spatial and temporal dynamics of VOCs in relation to epidemic progression in Africa.

The African regional (table S1) and country-specific (table S2) NextStrain builds also clearly support the changing nature of the pandemic over time. From these builds, we observe a strong association of B.1-like viruses circulating on the continent during the first wave. These “ancestral” lineages were subsequently replaced by the Alpha and Beta variants, which dominated the pandemic landscape during the second wave and were later replaced by the Delta and Omicron variants during the third and fourth waves.

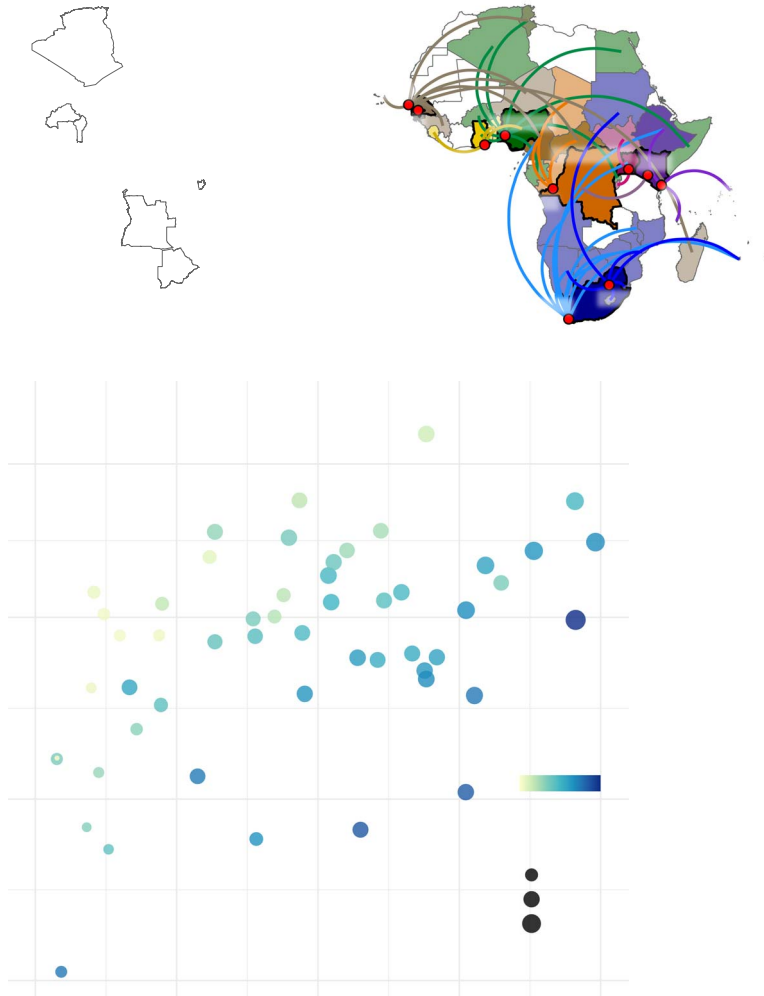
Optimizing surveillance coverage in Africa

By mapping and comparing the locations of specimen sampling laboratories to the sequencing

laboratories, a number of aspects regarding the expansion of genomic surveillance on the continent became clear. First, even though several countries in Africa started sequencing SARS-CoV-2 in the first months of the pandemic, local sequencing capacity was initially limited. However, local sequencing capabil-

ities slowly expanded over time, particularly after the emergence of VOCs (Fig. 2A). The fact that almost half of all SARS-CoV-2 sequencing in Africa was performed using the Oxford Nanopore Technology (ONT), which is relatively low-cost compared with other sequencing technologies and better adapted to modest

laboratory infrastructures, illustrates one component of how this rapid scale-up of local sequencing was achieved (fig. S5). Yet, to rely only on local sequencing would have thwarted the continent's chance at a reliable genomic surveillance program. At the time of writing, 52 of 55 countries in Africa had SARS-CoV-2





genomes deposited in GISAID; however, there were still 16 countries with no reported local sequencing capacity (Fig. 2A) and undoubtedly many with limited capacity to meet demand during pandemic waves.

To tackle this, three centers of excellence and various regional sequencing hubs were established to maximize the resources available in a few countries to assist in genomic surveillance across the continent. This sequencing is done either as the sole source of viral genomes for those countries (e.g., Angola, South Sudan, and Namibia) or concurrently with local efforts to increase capacity during resurgences (Fig. 2B). Sequencing is further supplemented by a number of countries that use facilities outside of Africa. Ultimately, a mix of strategies from local sequencing, collaborative resource sharing among African countries, and sequencing with academic collaborators outside the continent helped close surveillance blind spots (Fig. 2C). Countries in sub-Saharan Africa, particularly in southern and East Africa, most benefited from the regional sequencing networks, whereas countries in West and North Africa often partnered with collaborators outside of Africa.

The success of pathogen genomic surveillance programs relies on how representative it is of the epidemic under investigation. For SARS-CoV-2, this is often measured in terms of the percentage of reported cases sequenced and the regularity of sampling. African countries were positioned across a range of different combinations of overall proportion and frequency of genomic sampling (Fig. 2D). Although the ultimate goal would be to optimize both of these parameters, a lower proportion of sampling can also be useful if the frequency of sampling is maintained at as high a level as possible. For instance, South Africa and Nigeria, which have both sequenced ~1% of cases overall, can be considered to have successful genomic surveillance programs based on the fact that sampling is representative over time and has enabled the timely detection of variants (Beta, Eta, Omicron).

Additionally, for genomic surveillance to be most useful for rapid public health response during a pandemic, sequencing would ideally be done in real time or in a framework as close as possible to that. We show a general trend of decreasing sequencing turnaround time in Africa (fig. S6), particularly from a mean of 182 days between October and December 2020 to a mean of 50 days over the same period a year later, although this does come with several caveats. First, we measure sequencing turnaround time in the most accessible manner, which is by comparing the date of sampling of a specimen to the date its sequence was deposited in GISAID. Generally, the genomic data potentially informs the public health response more rapidly than reflected here, particularly

when it comes to local outbreak investigations or variant detection. This analysis is also confounded by various factors such as country-to-country variation in these trends (fig. S7), delays in data sharing, and potential retrospective sequencing, particularly by countries that joined sequencing efforts at later stages of the pandemic. The most critical caveat is the fact that sequencing from the most recently collected samples (e.g., over the past 6 months) may still be ongoing. The shortening duration between sampling and genomic data sharing is nevertheless a positive takeaway, given that these data also feed into continental and global genomic monitoring networks. Overall, the continental average delay from specimen collection to sequencing submission is 87 days, with 10 countries having an average turnaround time of less than 60 days and Botswana of less than 30 days (fig. S8).

Most importantly, in the context of optimizing genomic surveillance, we found that the route taken to sequencing affects the speed of data generation. Of the three frameworks we investigated, local sequencing has statistically faster sequencing turnaround times (median of 51 days), followed by sequencing within regional sequencing networks in Africa (median of 93 days) and finally outsourced sequencing to countries outside Africa (median of 113 days) (Fig. 2E). This finding strongly supports the investments in local genomic surveillance to generate timely and regular data for local and regional decision-making. Finally, we show that it is beneficial in several ways for countries to undertake genomic surveillance through several sequencing laboratories rather than by centralizing efforts. For instance, we estimate strong correlations between the numbers of sequencing laboratories per country and the total number of genomes produced by that country (Pearson correlation, 0.75), the total number of epiweeks for which sequencing data was produced (Pearson correlation, 0.81), and, importantly, sequencing turnaround time (Pearson correlation, -0.37) (Fig. 2F).

With the increase in sequencing capacity on the continent, a decrease in the time taken to detect new variants was observed. For example, the Beta variant was identified in December 2020 in South Africa (4), but sampling and molecular clock analyses suggest that the variant originated in September 2020. This 3-month lag in detection means that a new variant, like Beta, has ample time to spread over a large geographic region before its detection. However, by the end of 2021, the time to detect a new variant was substantially improved. Phylogenetic and molecular clock analyses suggest that the Omicron variant originated around 9 October 2021 (95% highest posterior density: 30 September to 20 October 2021), and the variant was described on 23 November 2021 (3). Thus, Omicron was detected within ~5 weeks

from origin compared with the Beta variant (~16 weeks) and the Alpha variant, which was detected in the United Kingdom (~10 weeks). More importantly, the time from sequence deposition to the WHO declaring the new variant a VOC was substantially shortened to 72 hours for the Omicron variant.

To interpret insights from the described genomic surveillance in Africa, it is important to understand the context of epidemiological reporting and sampling strategies used for sequencing on the continent (table S3). Most countries provided daily reports of newly recorded cases, whereas a few provided weekly and monthly reports. For most countries, surveillance was mainly focused on the major cities, suggesting potential cryptic circulation in rural areas. We find that at the onset of the pandemic, surveillance was focused on identification of imported cases from incoming travelers or local residents returning from various countries. As community transmissions began to emerge, the focus shifted toward regular surveillance and outbreak investigations. Together, these three strategies account for the vast majority of samples generated on the continent and analyzed here. As the pandemic progressed and vaccines were made available, some countries on the continent began to explore other sampling strategies such as reinfections, environmental samples such as wastewater samples, and vaccine breakthrough cases to gain new insights into the evolutionary dynamics of SARS-CoV-2. The utility of sequencing for viral evolution tracking and VOC detection in the way described above is obviously also dependent on sampling proportions, especially within sampling for regular surveillance.

The speed of SARS-CoV-2 evolution has complicated sequencing efforts. Common methods of RNA sequencing include reverse transcription followed by double-stranded DNA amplification using sequence-specific primer sets (39). Ongoing SARS-CoV-2 evolution has necessitated the continual evaluation and updating of these primer sets to ensure their sustained utility during genomic surveillance efforts. Here, we examined the current set of genomes to determine aspects of the sequencing process that might be improved in the future. Many of the primer sets that were used were designed using viral sequences from the start of the pandemic and may require updating to keep pace with evolution. Indeed, the ARTIC primer sets are now in version 4.1 (40). The Entebbe primer set was designed mid-2020, well into the first year of the epidemic, and used an algorithm and design that accommodates evolution (41).

The effects of viral evolution on sequencing patterns can be seen with low median unspecified nucleotide (N) values (a consequence of primer dropout or low coverage at that site) that were observed for the first 12 months of the epidemic, with an increase from October 2020

(Fig. 3A). Additional challenges appear (as indicated by increasing median N values) as the virus further evolved into the Delta and Omicron lineages from January 2021 onward (Fig. 3A). By examining the role of sequencing technology, it appears that the two major technologies used (Illumina and ONT) have similar gap profiles (as measured by mean N count per genome), whereas Ion Torrent, MGI, and Sanger show a reduced mean N count per genome (Fig. 3B). Likely factors for this pattern are the primers used in sequencing, with primer choice playing a key role in the quantity of gaps (Fig. 3C). The mean N count per genome varied with viral lineage (Fig. 3D). There was a modest difference in mean N count per genome across the lineages. Lineages that returned no classification with Pangolin (“none”) showed the highest mean N count, suggesting that high mean N count per genome was probably the basis for failed classification. The more recent lineages, Delta (e.g., AY.39, AY.75) and Omicron (BA.1.1, BA.2), also showed higher mean N count per genome, consistent with virus evolution impairing primer function. This pattern is further explored in fig. S9, where the position of gaps shows an enrichment in the genome regions after position 19,000, with frequent gaps disrupting the spike coding region.

Phylogenetic insights into the rise and spread of VOCs in Africa

During the first wave of infections in 2020 in Africa, as was the case globally, most corresponding genomes were classified as PANGO B.1 ( $n = 2456$ ) or B.1.1 viruses ( $n = 1329$ ). Toward the end of 2020, more-distinct viral lineages started to appear. Of these, the most important ones that affected the African continent are B.1.525 ( $n = 797$ ), B.1.1.318 ( $n = 398$ ) (42), B.1.1.418 ( $n = 395$ ), A.23.1 ( $n = 358$ ) (15, 29, 31, 33), C.1 ( $n = 446$ ) (29), C.1.2 ( $n = 300$ ) (31), C.36 ( $n = 305$ ) (30, 43), B.1.1.54 ( $n = 287$ ) (15, 29, 31, 33), B.1.416 ( $n = 272$ ), B.1.177 ( $n = 203$ ), B.1.620 ( $n = 138$ ), and B.1.160 ( $n = 61$ ) (32) (fig. S10, A and B). Our discrete state phylogeographic inference from phylogenetic reconstruction of non-VOC African sequences and an equal number of external references revealed that African countries were primarily seeded by multiple introductions of viral lineages from abroad (mainly Europe) at the beginning of the pandemic. The observed pattern of non-VOC viral lineage movement then consistently shifted toward more intercontinental exchanges (fig. S10C). Mapping out the spatial routes of dissemination shows that various countries in all subregions of the continent acted as sources of these viral lineages at one point or another (fig. S10D). Although uneven testing rates and proportions of samples sequenced on the continent may have influenced these inferences (discussed later), the results presented here are in line with the fact that these most predominant

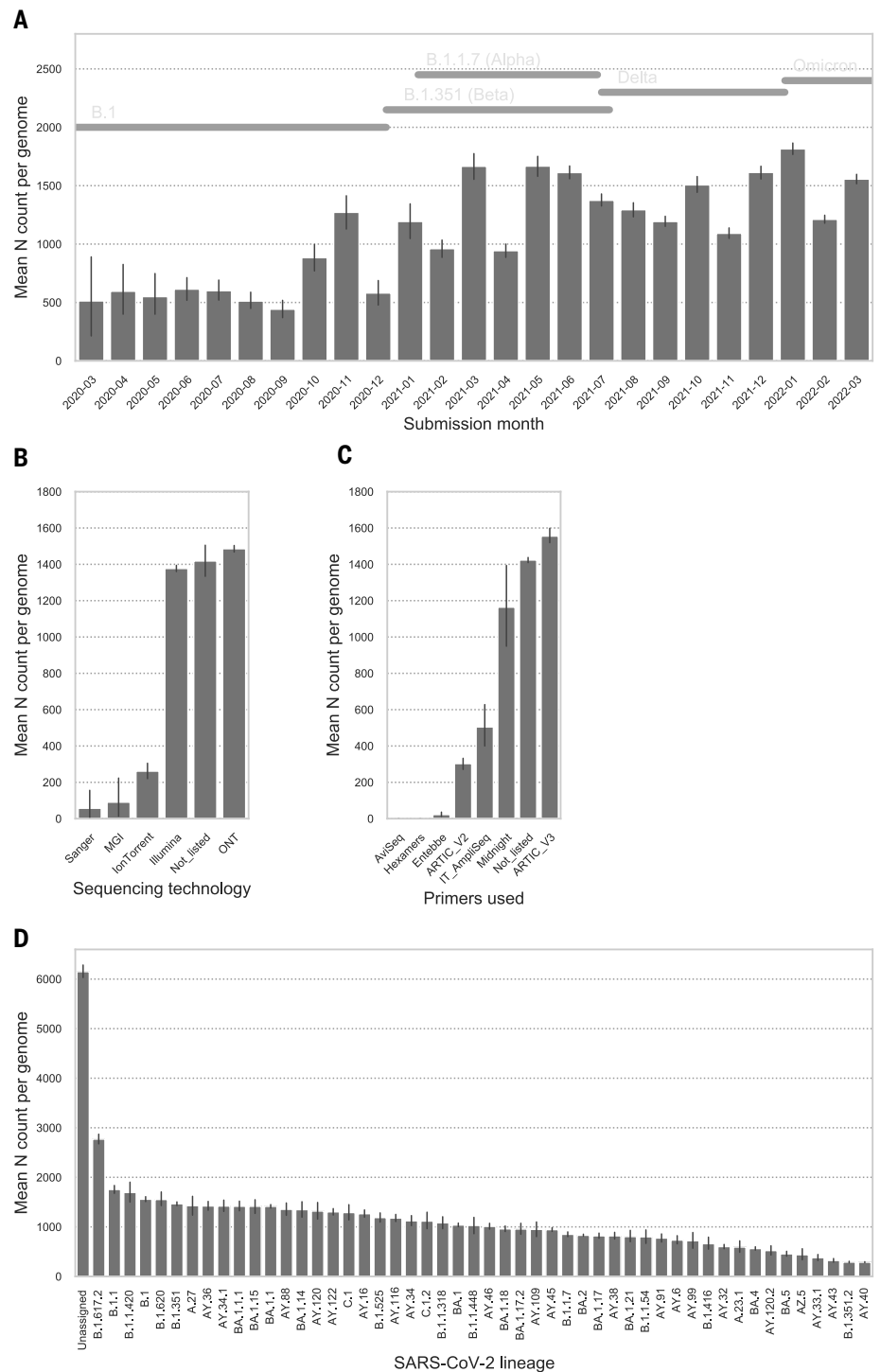


Fig. 3. Genome gap analysis. (A) The mean N count per genome by month of submission to GISAID. The time periods corresponding to the detection of important SARS-CoV-2 lineages are indicated at the top of the figure. (B) Illustration of the mean N count per genome stratified by sequencing technology. (C) The mean N count per genome stratified by the sequencing primers sets used. (D) Mean N count per genome by lineage. The mean N data were stratified by SARS-CoV-2 lineages to investigate the lineage-specific frequency of genome gaps, an indirect measure of primer mismatch. All lineages that were present at least 100 times in the genome data are presented. For (A) to (D), error bars indicate 95% confidence intervals.

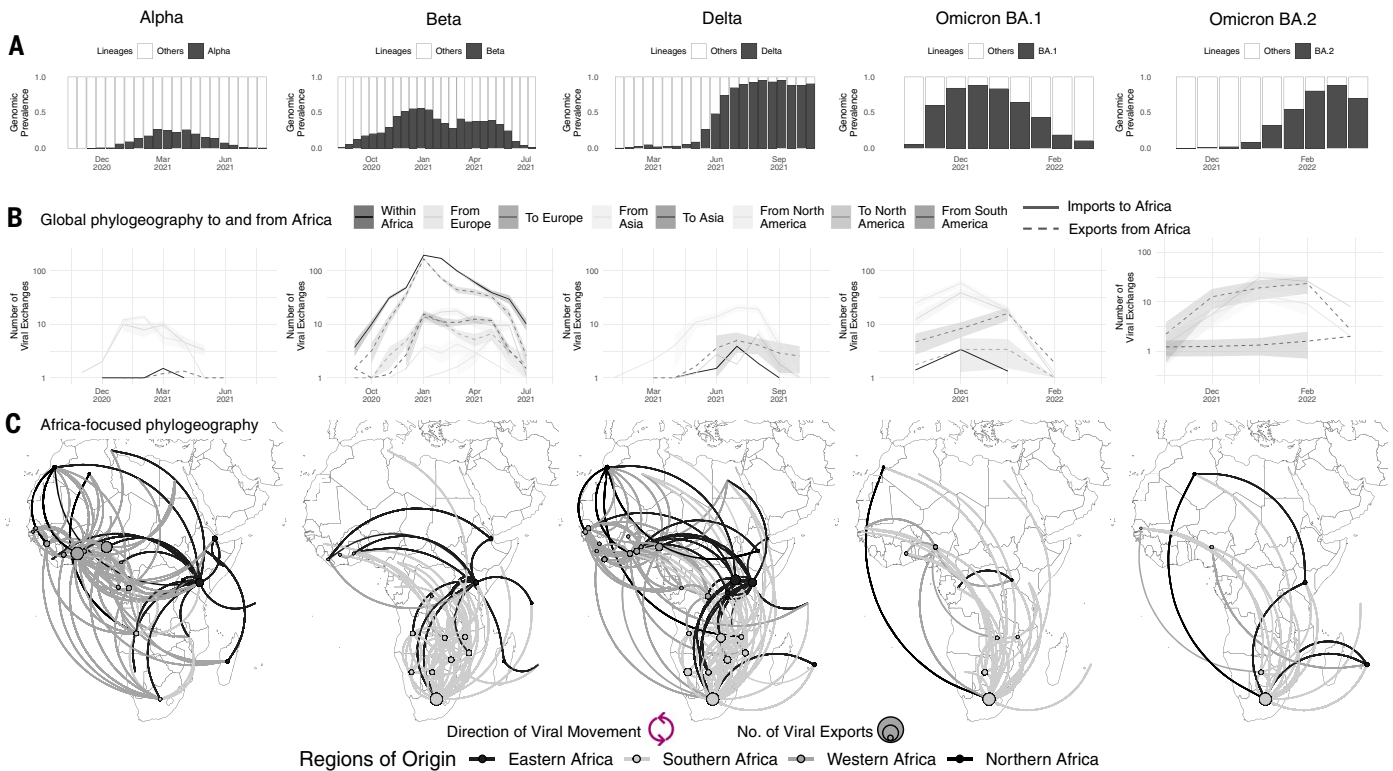


Fig. 4. Inferred viral dissemination patterns of VOCs within Africa. (A) Genomic prevalence of VOCs Alpha, Beta, Delta, and Omicron in Africa over time. (B) Inferred viral exchange patterns to, from, and within the continent of Africa for the four VOCs (Omicron as BA.1 and BA.2) based on case-sensitive phylogeographic inference. Introductions and viral transitions within Africa are shown as solid lines, and exports from Africa are shown as dotted lines; the lines are colored by continent.

The shaded areas around the lines represent the uncertainty of this analysis from 10 replicates ( $\pm$ SD). (C) Dissemination patterns of the VOCs within Africa obtained from inferred ancestral-state reconstructions performed on Africa-enriched datasets, annotated and colored by region in Africa. The countries of origin of viral exchange routes are also shown with dots, and the curves go from country of origin to destination country in a counterclockwise direction.

non-VOC lineages in Africa, except B.1.177, emerged and circulated widely in different subregions (Fig. 1).

Similar to the pandemic globally, VOCs became increasingly important in Africa toward the end of 2020. The Alpha, Beta, Delta, and Omicron variants demonstrate many similarities as well as differences in the way that they spread on the continent. For all these VOCs, we observe large regional monophyletic transmission clusters in each of their phylogenetic reconstructions in Africa (fig. S11). This suggests an important extent of continental dissemination within Africa. Alpha and Beta were epidemiologically important in distinct regions of the continent, with Alpha primarily circulating in West Africa, North Africa, and most of Central Africa; Beta circulating in southern and most of East Africa; and both only substantially cocirculating in a few countries such as Angola, Kenya, Comoros, Burundi, and Ghana (Fig. 1 and fig. S12). However, we may not have enough resolution in the geospatial data to know whether and to what extent they were truly cocirculating throughout these countries or whether there were regional outbreaks of Alpha and Beta within these countries. In Kenya, for example, Beta was detected

more frequently in coastal regions and Alpha more frequently inland (26, 44). By contrast, the Delta and Omicron variants sequentially dominated most infections on the entire continent shortly after their emergence (Fig. 4A and fig. S12).

The Alpha variant was first identified in December 2020 in the United Kingdom and has since spread globally. In Africa, Alpha was detected in 43 countries, with evidence of community transmission based on phylogenetic clustering in many countries, including Ghana, Nigeria, Kenya, Gabon, and Angola (fig. S11). Discrete state maximum likelihood reconstruction from a globally case-sensitive genomic subsampling inferred at least 80 introductions [95% confidence interval (CI): 78 to 82] into Africa, with the bulk of imports attributed to the United States (>47%) and the United Kingdom (>25%) (Fig. 4B). Only 1% of imports into any particular African country were attributed to another African nation. Phylogeographic reconstruction enriched in African sequences revealed that of those, >85% of the intercontinental Alpha exchanges in Africa originated from West African countries (Fig. 4C). This occurred in spite of initial importations of the Alpha variant from Europe into all regions of

the continent (fig. S13B) but is in line with Alpha having dominated circulation mostly in West Africa (fig. S12). In countries where Alpha was introduced but did not grow and cause an expansion of cases, this can be explained by competition with the already established Beta variant, which simultaneously circulated. The characteristics of multiple introductions of Alpha into Africa and between African countries is similar to the spread of Alpha that has been documented in the United Kingdom, Scotland, and Ireland (45–47).

The second VOC, Beta, was identified in December 2020 in South Africa (4). However, sampling and molecular clock analyses suggest that the variant originated around September 2020 (fig. S11). At the end of 2020 and beginning of 2021, Beta was driving a second wave of infection in South Africa and quickly spread to other countries within the region. The concurrent introductions and spread of Alpha and other variants (Eta, A.23.1) in other regions of the continent may have reduced the Beta variant's initial growth, limiting its spread largely to southern Africa and, to a lesser extent, the East Africa region. Beta spread to at least 114 countries globally, including 37 countries and territories in Africa. For this

variant, viral circulation and geographical exchanges occurred predominantly within the continent. Indeed, phylogeographic reconstruction from a globally case-sensitive sampling revealed that of the 810 (95% CI: 803 to 818) inferred introductions of the Beta variant into African countries, only 110 (95% CI: 105 to 115; 13%) were attributed to sources outside the continent (fig. S13C), whereas more than half of the introductions were attributed to South Africa (63%) (Fig. 4C). This is in line with expectations because the variant originated in South Africa. Beyond southern Africa, most of the introductions back into the continent were attributed to France and other European Union countries into the French overseas territories, Mayotte and Reunion, and other Francophone African countries. Africa-focused phylogeographic analysis revealed a similar spatial pattern that showed southern countries as substantial sources of the variant, followed in small numbers by countries in East Africa (Fig. 4C).

The fourth VOC observed was Delta (13), which rose to prominence in April 2021 in India, where it fueled an explosive second wave. Since its emergence, Delta has been detected in >170 countries, including 37 African countries and territories (fig. S11). Our global case-sensitive subsampled analysis infers at least 100 (95% CI: 93 to 106) introductions of the Delta variant into Africa, with the bulk attributed to India (~72%), mainland Europe (~8%), the United Kingdom (~5%), and the United States (~2.5%). Viral introductions of Delta also occurred from one African country to others in 7% of inferred introductions. From our Africa-focused phylogeographic inferences, we infer that unlike Alpha and Beta, viral dissemination of Delta within Africa was not restricted to or dominated by any particular region but rather spread across the entire continent (Fig. 4C). After introductions from Asia in the middle of 2021, Delta rapidly replaced the other circulating variants (Fig. 4A). For example, in southern African countries, the Delta variant rapidly displaced Beta and, by June 2021, was circulating at very high (>90%) frequencies (48).

The latest VOC, Omicron, was identified and characterized in November 2021 in southern Africa (3). At the time of writing, the variant had been detected and caused waves of infections in >160 countries, including 39 African countries and two overseas territories (fig. S11). Because of the genetic distance between them and their sequential (rather than simultaneous) epidemic expansion globally, phylogenies were reconstructed separately for Omicron BA.1 and BA.2. Our discrete ancestral-state reconstruction from a global case-sensitive sampling for Omicron BA.1 infers at least 55 (95% CI: 47 to 62) viral exports of BA.1 out of various African countries, of which 31 (95% CI: 25 to 36) were toward Europe and 8 (95% CI:

6 to 10) were toward North America (Fig. 4B). After explosive expansion of Omicron around the world, we inferred even more reintroductions of the variant back into Africa, at least 69 (95% CI: 60 to 78) from Europe and 102 (95% CI: 92 to 112) from North America (Fig. 4B). From our Africa-focused phylogeographic reconstructions, we determine that, as with Delta, routes of dissemination of this variant involved all regions of the continent spatially (Fig. 4C). Yet ~75% of all BA.1 viral movement volume in Africa happened between southern African countries, likely because of rapid epidemic expansion in the region soon after its detection (3). Omicron BA.2's reach in Africa was limited at the time of writing, with only 3260 sequences from 19 countries attributed to BA.2 on GISAID (date of access: 31 March 2022) (15% of all Omicron sequences from Africa). Our discrete ancestral-state reconstruction from a global case-sensitive sampling for Omicron BA.2 infers at least 68 (95% CI: 53 to 84) viral exports out of African countries, of which most were toward Europe (~88%) (Fig. 4B). We also infer at least 99 (95% CI: 87 to 109) separate introduction or reintroduction events of BA.2 back into African countries, of which ~65% are from Europe and ~30% from Asia, primarily from India (Fig. 4B). This is consistent with India having experienced one of the earliest large BA.2 waves globally. In the context of global incidence of BA.2, this case-sensitive phylogeographic analysis revealed that only 0.01% of viral movements of this lineage globally happened from one African country to another. Our Africa-focused analysis inferred a similar pattern of BA.2 spatial diffusion within African to that of BA.1 (Fig. 4C). However, given that this accounted for such a small percentage of global BA.2 movements, BA.2 diffusion from one African country to another is unlikely to have had a substantial impact on epidemiological expansion, compared with introductions from Asia, Europe, or North America.

Globally, dissemination of the SARS-CoV-2 virus throughout the pandemic was intricately linked with human mobility patterns (49–53). To determine the validity of the VOC movement patterns that we infer into and within the Africa continent in this study, we compared viral import and export events to and from South Africa with travel to the country. In December 2020, the United Kingdom accounted for the fifth-highest number of passengers entering South Africa, whereas other countries with the top-nine sources of travelers were all neighboring countries in southern Africa (fig. S14A). Considering that incidence of the Alpha variant was not meaningful in the region, this supports our inference of the United Kingdom contributing 60% of Alpha introductions to South Africa (fig. S15A). In March 2021, the United States, Germany, the United Kingdom, and India were among the top-12 sources of

travelers to South Africa after eight African countries (fig. S14B). During this time of Delta dissemination globally, we infer that ~90% of introductions of Delta into South Africa originated in the United Kingdom, the United States, and India (fig. S15B). At the end of 2021, most introductions or reintroductions of Omicron to the country came from the United Kingdom, the United States, or Botswana, corresponding to locations of both high Omicron incidence at the time and high numbers of passengers to South Africa (figs. S14C and S15C). These travel patterns also fit the findings that ~89, ~70, and ~75% of Beta, Delta, and Omicron exports, respectively, from South Africa to other African countries were directed to locations in southern Africa (figs. S14, D and E, and S15, D and E).

#### Discussion, limitations, and conclusions

By April 2020, a total of 20 African countries were able to sequence the virus within their own borders. This was largely made possible by other preexisting sequencing efforts on the continent that were focused on other human pathogens (e.g., HIV, tuberculosis, Ebola, and H1N1). However, these efforts were quickly limited by global supply chain issues, and, in many countries, sequencing efforts substantially slowed down or stopped toward the end of 2020. To facilitate more sequencing on the continent over the course of the past year (April 2021 to March 2022), the Africa CDC and partners invested heavily to support genomic surveillance on the continent. This included the transfer of 24 new sequencing platforms (including MinIon, GridIon, MiSeq, and NextSeq), the distribution of reagents and flow cells to support the sequencing of 100,000 positive samples, the training of >230 students and technicians in wet laboratory and bioinformatic techniques, and additional grants to support 10 regional sequencing hubs. This investment has started bearing fruit and should be intensified as the virus continues to evolve, requiring the adaptation of methodologies locally on the continent to keep pace with the emergence of variants. The continued development of sequencing protocols in Africa is of crucial importance (41, 54, 55) given the number of variants and lineages that emerged in, and were introduced to, the continent. In North Africa, the SARS-CoV-2 pandemic was caused by waves of infections that were similar to those seen in Europe (first wave attributed to B.1 descendants, second wave to Alpha, third wave to Delta, and fourth wave to Omicron); in southern Africa, the pattern was similar but with a Beta wave instead of an Alpha one. In East Africa, the pandemic was more complex, involving both Alpha and Beta as well as its own lineage A.23.1 before the arrival of Delta and Omicron. Central Africa experienced epidemic patterns that sometimes mirrored those of East Africa and other times those of southern Africa. In West Africa, Eta

made a considerable contribution to both a second wave (together with Alpha) and a third wave (together with Delta). The factors that resulted in these regional differences are not clear but could be due to differences in human mobility, founder effects, competition between lineages, or the immunity induced by earlier waves in a region.

Public health benefits of such broadly inclusive genomic surveillance are manifold. The most prominent insight from this expanded genomic surveillance in Africa has been an early warning capacity for the world after the detection of new lineages and variants, most recently relevant in the detection of Omicron BA.1, BA.2, BA.3, BA.4, and BA.5 subvariants (3, 4, 34). Furthermore, the reporting of local SARS-CoV-2 sequences made the epidemic more immediate to the Ministries of Health from the reporting African countries. It became clear early on that the viral evolution is global and that the transmission of the virus is extremely rapid, which guided mitigation strategies. The generation and availability of local sequences also validated local diagnostics and allowed investigators to determine whether nucleic acid–based diagnostics that were in use could still detect local variants. The detection of SARS-CoV-2 in returning travelers and truck drivers indicated routes that the virus might be using to enter a country and guided early efforts to slow virus entry and gain time to establish vaccination plans. Later, the difficulty of stopping the virus at borders combined with data showing that the variants were already in community circulation allowed public health officials to focus efforts and limited resources on vaccination rather than on border controls. The detection and reporting of the more-recent lineages with enhanced transmission (i.e., Omicron) and the ability to bypass existing immunity is important information and an early alert to public health officials globally that the epidemic is still proceeding. As the pandemic progresses in an evolving global context, we provide evidence that with each new variant, transmission dynamics are changing and the use of sequencing with phylogenetics could potentially alter decisions of public health measures. For example, the demonstrated shift away from regional dynamics of Alpha and Beta toward more global patterns with Delta and Omicron can provide insights to public health officials as they anticipate epidemic developments locally. With Omicron, it became clear that although the variant expanded first in Africa, the continent ultimately had a minimal role in global dissemination and that continental expansion beyond southern Africa was most influenced by external introductions, in contrast to the Beta variant. All of these public health benefits to sequencing SARS-CoV-2 are primarily amplified, as we show in this study,

if the sequencing can be conducted locally within a country, which strongly supports the continued investment into pathogen sequencing on the continent.

Despite the recent successful expansion of genomics surveillance in Africa, additional work is necessary. Even with investments from the Africa CDC–Africa Pathogen Genomics Initiative and other investments, there are still 16 countries with no sequencing capacity within their own borders. The only option for these countries is to send samples to continental sequencing hubs or to centers outside of the continent, which increases turnaround times and limits the utility of genomic surveillance for public health decision-making. Secondly, not all countries are willing to share data openly in a timely fashion for fear of being subject to travel bans or restrictions that could bring substantial economic harm. Such hesitancy has obvious potential ramifications for the future of genomic surveillance on the continent. Furthermore, with the expansion of sequencing on the continent, there is a growing need for more bioinformatics support and knowledge to allow investigators to analyze and report their data in a reasonable time frame that makes it useful for a public health response. It is also clear that the SARS-CoV-2 sequencing primers are not a static development and may require updating as the virus evolves. A number of research groups have been addressing the SARS-CoV-2 sequencing primer questions. Issues of gaps in the genomes due to missing amplicons have been discussed (56, 57). The ARTIC primer set has gone through a number of revisions to accommodate virus evolution (39, 40). Additional longer amplicon methods have been published (58–60), including methods to use a subset of ARTIC primers (61).

The patterns we describe here are of course limited to reported cases and apply to both the phylogeographic as well as the epidemiology inferences. As such, the results need to be interpreted with these limitations in mind. Our primary phylogeographic inference relied on a sampling strategy that considered all high-quality African sequences and an equal number of external references. Though this strategy has the advantage of placing all African sequences in a phylogenetic context, it introduces a bias when applied to discrete ancestral-state reconstruction because more internal nodes are inferred to be from Africa. To address this, we performed an even sampling of global cases, based on reported case counts through time, to compare against our oversampled inference. The even-sampling approach has the benefit that the discrete ancestral-state reconstruction is not biased by uneven sampling. After comparing the two, there are obvious differences, most notably that the number of inferred introductions into Africa is proportional to sampling proportions (fig. S16) because we no

longer consider all African sequences but rather just a small subset against a global sample. However, inferences from the two approaches correspond well with one another. For example, considering Alpha, we still observed that the vast majority of introductions into Africa originated from Western Europe. Patterns of dissemination within Africa are more robustly comparable between the two, for instance, that countries in West Africa were the biggest source of Alpha within the continent. High concordance between the two inference methods was also observed for other VOCs for dispersal routes within Africa, which gives us confidence in the inferred patterns we observe here. Although we represent an inference based on oversampling and case-sensitive sampling, it is, at present, not possible to explore how undersampling affects the phylogeographic reconstruction because of uneven testing rates. Additionally, the robustness of the phylogeographic inference can also be affected by the underlying methodology that is used. Broad consensus would favor the use of Bayesian methods for phylogeographic reconstruction, which is often considered to be the “gold standard” in the field. The main drawbacks of Bayesian methods are that they can only be applied to a relatively small number of sequences at a time (<1000) and they are extremely computationally and time intensive. Given the explosion of sequence data over the past 2 years, the scientific community will have to adapt or put forth new analytical methods to fully capitalize on the global sequencing efforts for SARS-CoV-2.

Despite our best attempts to consider and minimize genomic sampling bias, the accuracy of the resulting phylogenetic inferences is limited by the available epidemiological and genomic data, leading to unaccounted biases in the estimates of viral movements. This includes limited testing and subsequent sequencing in many African countries. Although the percentage of reported cases sequenced in African countries (0.01 to 10%, mean = 1.27%) is not far from global figures (0.01 to 16%, mean = 1.31%), testing rates and infection-to-detection ratios in Africa were some of the lowest globally (38, 62). Together with estimates of excess mortality being as much as 20-fold greater than the reported numbers in African countries (63), these are strong indications of undetected and underreported epidemic sizes in Africa, leading to undersampling of genomic data (62) and thus underestimates of viral exchange inferences in our study. Some countries with no publicly available SARS-CoV-2 sequences are, by definition, completely missing in our inference. This in turn means that inferred routes of viral transmission within Africa could be missing important intermediate locations, although this is potentially true around the world. Nevertheless, we believe that the viral movement inferences that we discuss in this

study provide a likely qualitative description of the patterns of SARS-CoV-2 migration into, out of, and within Africa.

Finally, we should also mention uneven sequencing and reporting standards across the different laboratories on the continent—and globally, for that matter. Different groups use different measures for what constitutes a high-quality sequence (e.g., 70 versus 80% sequence coverage) or use different sequencing depth coverage. This lack of global standardization complicates the direct comparison of sequences that may have been submitted to GISAID using different criteria, further biasing any inference. Given the sheer size of SARS-CoV-2 sequencing, with ~10 million whole-genome sequences shared on the GISAID database (date of access: 31 March 2022), there is an urgent need for global standards with regard to sequence quality and associated metadata.

Africa needs to continue expanding genomic sequencing technologies on the continent in conjunction with diagnostic capabilities. This holds true not just for SARS-CoV-2 but also for other emerging or reemerging pathogens on the continent. For example, in February 2022, the WHO announced the reemergence of wild polio in Africa, and sporadic influenza H1N1, measles, and Ebola outbreaks continue to occur on the continent. The Africa CDC has estimated that more than 100 pathogen outbreaks are reported across the continent every year. Beyond the current pandemic, continued investment in diagnostic and sequencing capacity for these pathogens could serve the public health of the continent well into the 21st century.

#### Methods and methods

##### Ethics statement

This project relied on sequence data and associated metadata that are publicly shared by the GISAID data repository and adhere to the terms and conditions laid out by GISAID (16). The African samples processed in this study were obtained anonymously from material exceeding the routine diagnosis of SARS-CoV-2 in African public and private health laboratories. Individual institutional review board references or material transfer agreements (MTAs) for countries are as follows: Angola (MTA - CON8260); Botswana—genomic surveillance in Botswana was approved by the Health Research and Development Committee (protocol HPDME 13/18/1); Egypt—surveillance in Egypt was approved by the Research Ethics Committee of the National Research Centre (Egypt) (protocol number 14 155, dated 22 March 2020); Kenya—samples were collected under the Ministry of Health protocols as part of the national COVID-19 public health response, and the whole-genome sequencing study protocol was reviewed and approved by the Scientific and Ethics Review Committee (SERU) at Kenya Medical Research Institute (KEMRI), Nairobi,

Kenya (SERU protocol #4035); Nigeria (NHREC/01/01/2007), Mali—study of the sequence of SARS-CoV-2 isolates in Mali, Letter of Ethical Committee (NO-2020 /201/CE/FMPOS/FAPH of 09/17/2020); Mozambique (MTA - CON7800); Malawi (MTA - CON8265); South Africa—the use of South African samples for sequencing and genomic surveillance was approved by University of KwaZulu-Natal Biomedical Research Ethics Committee (ref. BREC/00001510/2020), the University of the Witwatersrand Human Research Ethics Committee (HREC) (ref. M180832), Stellenbosch University HREC (ref. N20/04/008\_COVID-19), the University of the Free State Research Ethics Committee (ref. UFS-HSD2020/1860/2710), and the University of Cape Town HREC (ref. 383/2020); Tunisia—for sequences derived from sampling in Tunisia, all patients provided their informed consent to use their samples for sequencing of the viral genomes, and the ethical agreement was provided to the research project ADAGE (PRFCOVID19GP2) by the Committee of Protection of Persons (Tunisian Ministry of Health) under the reference CPP SUD N 0265/2020; Uganda—the use of samples and sequences from Uganda was approved by the Uganda Virus Research Institute, Research and Ethics Committee UVRI-REC Federalwide Assurance (FWA) no. 00001354, study reference GC/127/20/04/771, and by the Uganda National Council for Science and Technology, reference number HS936ES; and Zimbabwe (MTA - CON8271).

##### Epidemiological and genomic data dynamics

We analyzed trends in daily numbers of cases of SARS-CoV-2 in Africa up to 31 March 2022 from publicly released data provided by the Our World in Data repository for the continent of Africa (<https://github.com/owid/covid-19-data/tree/master/public/data>) as a whole and for individual countries (2). To provide a comparable view of epidemiological dynamics over time in various countries, the variable under primary consideration for Fig. 1 was “new cases per million (smoothed).” To calculate the genomic sampling proportion and frequency for each country for Fig. 2, the total number of recorded cases as of 31 March 2022 was considered, as well as the total length of time for which each country had recorded cases of SARS-CoV-2.

Genomic metadata was downloaded for all African entries on GISAID for the same time period (date of access: 31 March 2022). From this, information extracted from all entries for this study included the date of sampling, country of sampling, viral lineage and clade, originating laboratory, sequencing laboratory, and date of submission to the GISAID database. The geographical locations of the originating and sequencing laboratories were manually curated. Sequences originating and sequenced in the same country were defined as locally sequenced, irrespective of specific laboratory or

finer location. Sequences originating in one African country and sequenced in another were defined as sequenced within regional sequencing networks. Sequences sequenced in a location not within Africa were labeled as sequenced outside Africa. Sequencing turnaround time was defined as the number of days that had elapsed from specimen collection to sequence submission to GISAID. Sequencing technology information for all African entries was also downloaded from GISAID on 31 March 2022.

##### Primer choice and sequencing outcomes

All SARS-CoV-2 genomes from African countries were retrieved from GISAID (16) for submission dates from 1 December 2019 to 31 March 2022, yielding 100,470 entries. Associated metadata for the entries were also retrieved, including collection date, submission date, country, viral strain, and sequencing technology. Data on the primers used for the sequencing were requested from investigators and yielded primer data for 13,973 of the entries (~13%). The total N (bases with low sequence depth) per genome were counted, the results of which were then used for genome quality analysis and visualization. Gap locations in the genomes were mapped and visualized with respect to the original Wuhan strain (64).

##### Phylogenetic investigation

All African sequences on the GISAID sequence database (16) were downloaded on 31 March 2022 ( $n = 100,470$ ). Of these, Alpha accounted for 3851 sequences, Beta accounted for 14,548 sequences, Delta accounted for 35,027 sequences, Omicron accounted for 21,708 sequences, and 25,336 sequences were classified as non-VOCs. Before any phylogenetic inference, we performed some quality assessment on the sequences to exclude incomplete or problematic sequences as well as sequences lacking complete metadata. Briefly, all African sequences were passed through the NextClade analysis pipeline (65) to identify and exclude (i) sequences missing >10% of the SARS-CoV-2 genome, (ii) sequences that deviate by >70 nucleotides from the Wuhan reference strain, (iii) sequences with >10 ambiguous bases, (iv) clustered mutations, and (v) sequences flagged with private mutations by NextClade. Additionally, Omicron variants were screened for traces of viral recombination with RDP5.23 (66) using default settings and a p value of  $\leq 0.05$  as evidence of recombination. A large number of sequences were removed ( $n = 57,421$ ), with incomplete sequences (<90% genome coverage) being the biggest contributor. This produced a final African dataset of 43,049 high-quality African sequences. Because of the sheer size of the dataset, we opted to perform independent phylogenetic inferences on the main VOCs (Alpha, Beta, Delta, and Omicron BA.1 and BA.2) that have spread on the



African continent, as well as a separate inference for all non-VOC SARS-CoV-2 sequences.

To evaluate the spread of the virus on the African continent, we aligned the African datasets against a large number of globally representative sequences from around the world. Because of the oversampling of some variants or lineages, we performed a random down sampling while retaining the oldest two known variants from each country. Reference sequences were respectively aligned with their African counterparts independently with NextAlign (65). Each of the alignments was then used to infer maximum likelihood (ML) tree topologies in FastTree v 2.0 (67) using the general time reversible model of nucleotide substitution and a total of 100 bootstrap replicates (68). The resulting ML tree topologies were first inspected in TempEst (69) to identify any sequences that deviate more than 0.0001 from the residual mean. After the removal of potential outliers in R with the ape package (70), the resulting ML trees were then transformed into time-calibrated phylogenies in TreeTime (71) by applying a rate of  $8 \times 10^{-4}$  substitutions per site per year (72) to transform the branches into units of calendar time. Time-calibrated trees were then visualized, along with associated metadata, in R using ggtree (73) and other packages.

We performed a basic viral dispersal analysis for each of the VOCs (excluding Gamma) as well as for the non-VOC dataset. Briefly, a migration model was fitted to each of the time-calibrated tree topologies in TreeTime, mapping the country location of sampled sequences to the external tips of the trees. The migration model of TreeTime also infers the most likely location for internal nodes in the trees. Using a custom python script, we could then count the number of state changes by iterating over each phylogeny from the root to the external tips. We count state changes when an internal node transitions from one country to a different country in the resulting child node or tip(s). The timing of transition events is then recorded, which serves as the estimated import or export event. To infer some confidence around these estimates, we performed 10 replicates for each of the datasets by random selection from the 100 bootstrap trees. Because of the high uncertainty in the inferred locations for deep internal nodes in the trees, we truncated state changes to the earliest date of sampling in each dataset. All data analytics were performed using custom python and R scripts, and the results were visualized using the ggplot libraries (74). Such phylogeographic methods are always subject to uneven sampling through time (i.e., over the course of the pandemic) and through space (by sampling location). To address this, we have performed a case-sensitive analysis to investigate the effects of oversampling African locations on the inferred number of viral introductions. Furthermore, in a previous analysis (15), we

performed a sensitivity analysis to address some of these issues and found no substantial variations in estimates.

#### Case-sensitive phylogeographic inference

To address the potential oversampling of African sequences relative to global reference in the above-mentioned analyses, we performed another phylogeographic inference on subsamples based on global case counts to try to eliminate oversampling bias in our inference. To this end, we considered all high-quality sequences for each of the VOCs (Alpha, Beta, Delta, and Omicron BA.1 and BA.2) globally over the same sampling period (until 31 March 2022). We used subsampler (<https://github.com/andersonbrito/subsampler>) to generate subsamples for each variant based on globally reported cases. In short, subsampler uses a case-count matrix of daily cases, along with the fasta sequences and GISAID associated metadata, to sample a user-defined number of sequences. For each VOC and for BA.1 and BA.2, we performed 10 samplings using different number seeds to sample datasets of ~20,000. Once again, sampled sequences were screened for viral recombination as described above and sequences with signs of recombination were removed. Sub-sampler has the added advantage that it disregards poor quality sequences (e.g., <90% coverage) and sequences with missing metadata (e.g., exact date of sampling). Each dataset was then subjected to the same analytical pipeline as mentioned above to infer the viral transitions between Africa and the rest of the world.

#### Regional and country-specific NextStrain builds

To investigate more-granular changes in lineage dynamics within a specific country or region in Africa, we used the NextStrain pipeline (<https://github.com/nextstrain/ncov>) to generate the regional and country-specific builds for African countries (75). First, all sequence data and metadata were retrieved from the GISAID sequence database and filtered for Africa based on the "region" tab for inclusion in regional and country-specific African builds. For country-specific builds, ~4000 sequences from a given country were randomly selected and analyzed against ~1000 randomly selected sequences from the Africa "nextregions" records that do not match the focal country of interest. For regional (e.g., West Africa) builds, ~4000 sequences from the focal region were selected at random and analyzed against ~1000 randomly selected sequences from the Africa "nextregions" records that do not match the focal region of interest. The methodological pipeline for NextStrain is well documented and performs all analyses within one workflow, including filtering of sequences, alignment, tree inference, molecular clock, and ancestral-state reconstruction. For more information,

please visit <https://docs.nextstrain.org/en/latest/index.html>.

All regional and country-specific builds are regularly updated to keep track of the evolving pandemic on the continent. All builds are publicly available under the links provided in tables S1 and S2 as well as on the NextStrain web page (<https://nextstrain.org/sars-cov-2/#datasets>).

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G.U.v.Z., G.A.A., G.S.K., G.S., G.P.M., H.C.R., H.E.O., H.O., H.A., H.K., H.N., H.T.R., H.A.A.K., H.E., H.G., H.M., H.K., I.Sm., I.B.O., I.M.A., I.O., I.B.B., I.S.S., I.W., I.S.K., J.W.A.H., J.A., J.S., J.C.M., J.M.T., J.H., J.G.S., J.Gi., J.Mu., J.N.U., J.N.B., J.Y., J.Mo., J.K., J.D.S., J.H., J.K.O., J.M.M., J.O.G., J.T.K., J.C.O., J.S.K., J.Gy., J.H.B., J.N., J.E., J.N., J.M.N., J.N., J.U.O., J.C.A., J.L.L., J.O., K.J.S., K.V., K.T.A., K.A.T., K.S.C., K.S.M., K.D., K.G.M., K.O.D., L.F., L.S., L.B., L.d.O.M., L.C., L.O., L.L.D., L.I.O., M.M.L., M.R., M.M.S., M.E., M.Mal., M.I.M., M.Ke., M.D., M.Mom., M.d.L.L.M., M.V., M.F.P., M.F., M.M.N., M.Mar., M.D., M.W.M., M.G.M., M.O., M.R.W., M.Y.T., M.O.A., M.Aab., M.A.B., M.G.S., M.K.K., M.M.M., M.K.A., M.S., M.B.M., M.Mw., M.V.P., N.Abi., N.R., N.S., N.M.T., M.P., P.N., P.S., P.E.O., P.A.R., P.K.O., P.O.O., P.B., P.D., P.A.B., P.K.M., P.K., P.Ab., R.E., R.J., R.K.A., R.G.E., R.A., R.N., R.O.P., R.G., R.A.K., R.A.A., R.A.C., S.Gar., S.Ma., S.S., S.I.M., S.F., S.Mh., S.H., S.K.K., S.Me., S.T., S.H.A., S.W.M., S.D., S.M.-M., S.A., S.S.A., S.M.A., S.E., S.Mo., S.L., S.Gas., S.J., S.F.A., S.Og., S.Gr., S.L., S.Pr., S.Ou., S.V.W., S.F.S., S.K., S.A., S.R., S.Pi., S.N., S.Be., S.L.B., S.v.d.W., T.Ma., T.Mo., T.L., T.P.V., T.S., T.G.M., T.B., U.J.A., U.C., U.R., U.E.G., V.E., V.N., V.G., W.H.R., W.A.K., W.K.A., W.P., W.T.C., Y.A.A., Y.R., Y.Be., Y.N., Y.Bu., Z.R.L.D., A.E.O., A.V.G., G.C., G.Mo., O.T.O., P.C.S., A.N.Sa., S.O.O., Y.K.T., S.K.T., T.d.O., C.H., R.L., J.N., E.W. Competing interests: With the exception of P.S., who is a co-founder of and consultant to Sherlock Biosciences and a Board Member of Danaher Corporation and who holds equity in the companies, the authors have no conflicts of interest to declare. Data and materials availability: All of the SARS-CoV-2 whole-genome sequences that were analyzed in the present study are all publicly available on the GISAID sequence database. We gratefully acknowledge the authors from the originating laboratories and the submitting laboratories, who generated and shared via GISAID genetic sequence data on which this research is based. A full list of the African sequences as well as global references are presented and acknowledged in table S4 and in our github repository (<https://github.com/CERI-KRISP/SARS-CoV-2-epidemic-in-Africa>) (76). The repositories also contain all of the metadata, raw and time-scaled ML tree topologies, and annotated tree topologies, as well as the data analysis and visualization scripts used here, which will allow for the independent reproduction of results. Furthermore, the repositories also contain all institutional review board references and material transfer agreements. Please refer to the ethics statement in the methods section for more details. License information: This work is licensed under a Creative Commons Attribution 4.0 International (CC BY 4.0) license, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. To view a copy of this license, visit <https://creativecommons.org/licenses/by/4.0/>. This license does not apply to figures/photos/artwork or other content included in the article that is credited to a third party; obtain authorization from the rights holder before using such material.

#### Authors and their affiliations

Huriyiah Tegally<sup>1,2</sup>, James E. San<sup>1,2</sup>, Matthew Cotten<sup>3,4</sup>, Monika Moir<sup>1</sup>, Bryan Tegomoh<sup>5,6</sup>, Gerald Mboowa<sup>7</sup>, Darren P. Martin<sup>8,9</sup>, Cheryl Baxter<sup>1,10</sup>, Arnold W. Lambisia<sup>11</sup>, Amadou Diallo<sup>12</sup>, Daniel G. Amoako<sup>13,14</sup>, Moussa M. Diagne<sup>12</sup>, Abay Sisay<sup>15,16</sup>, Abdel-Rahman N. Zekri<sup>17</sup>, Abdou Salam Gueye<sup>18</sup>, Abdoul K. Sangare<sup>19</sup>, Abdoul-Salam Ouedraogo<sup>20,20A,20B</sup>, Abdourahmane Sow<sup>21</sup>, Abdoulmoumou O. Musa<sup>22,23,24</sup>, Abdul K. Sesay<sup>25</sup>, Abe G. Abias<sup>26</sup>, Adem I. Elzagheid<sup>27</sup>, Adamou Lagare<sup>28</sup>, Adedotun-Sulaiman Kemi<sup>29</sup>, Aden Elmi Abar<sup>7,23</sup>, Adeniji A. Johnson<sup>31,32</sup>, Adeola Fowotade<sup>33,34</sup>, Adeyemi O. Oluwapelumi<sup>35,36</sup>, Adrienne A. Amuri<sup>37,38</sup>, Agnes Juru<sup>39</sup>, Ahmed Kandell<sup>40</sup>, Ahmed Mostafa<sup>40</sup>, Ahmed Rebai<sup>41</sup>, Ahmed Sayed<sup>42</sup>, Kazeem Akano<sup>13,44</sup>, Aladje Balde<sup>45,46</sup>, Alan Christoffels<sup>7,47</sup>, Alexander J. Trotter<sup>48</sup>, Allan Campbell<sup>49</sup>, Alpha K. Keita<sup>50,51</sup>, Amadou Kone<sup>52</sup>, Amal Bouzid<sup>41,53</sup>, Amal Souissi<sup>41</sup>, Ambrose Agweyu<sup>11</sup>, Anel Naguib<sup>54</sup>, Ana V. Gutierrez<sup>48</sup>, Anatole Nkeshimana<sup>55</sup>, Andrew J. Page<sup>48</sup>, Anges Yadouleton<sup>56</sup>, Arash Iranzadeh<sup>57</sup>, Arisha Maharaj<sup>1</sup>, Armel L. Batches-Bouyou<sup>60,61</sup>, Arshad Ismail<sup>13</sup>, Augustina A. Sylverken<sup>62,63</sup>, Augustine Goba<sup>64,65</sup>, Ayodele Femi<sup>43,44</sup>, Ayotunde E. Sijuwola<sup>43</sup>, Baba Marycelin<sup>66,67</sup>, Babatunde L. Salako<sup>29,32</sup>, Bamidele S. Oderinde<sup>66</sup>, Bankole Bolajoko<sup>43</sup>, Bassirou Diarra<sup>52</sup>, Belinda L. Herring<sup>18</sup>, Benjamin Tsfoa<sup>11</sup>, Bernard Lekana-Douki<sup>68,69</sup>, Bernard Mvula<sup>70</sup>, Berthe-Marie Njanpop-Lafourcade<sup>18</sup>, Blessing T. Marondera<sup>71</sup>,

Bouh Abdi Khaireh<sup>72,73</sup>, Bourema Kouriba<sup>19</sup>, Bright Adu<sup>74</sup>, Brigitte Pool<sup>75</sup>, Bronwyn McInnis<sup>17</sup>, Cara Brook<sup>76,77</sup>, Carolyn Williamson<sup>9,10,78</sup>, Cassien Nduwimana<sup>55</sup>, Catherine Anscombe<sup>79,80</sup>, Catherine B. Pratt<sup>81</sup>, Cathrine Scheepers<sup>13,82</sup>, Chantal G. Akoua-Koffi<sup>83,84</sup>, Charles N. Agoti<sup>11,85</sup>, Chastel M. Mapanqu<sup>60,86</sup>, Cheikh Loucoubar<sup>12</sup>, Chika K. Onwuamah<sup>87</sup>, Chikwe Ihekweazu<sup>88</sup>, Christian N. Malaka<sup>89</sup>, Christophe Peyrefitte<sup>12</sup>, Grace C. Chukwa<sup>43,44</sup>, Chukwuma E. Omoruy<sup>33,34</sup>, Clotilde D. Rafai<sup>90</sup>, Collins M. Morang<sup>91</sup>, Cyril Eramah<sup>92</sup>, Daniel B. Lule<sup>3</sup>, Daniel J. Bridges<sup>93</sup>, Daniel Mukadi-Bamuleka<sup>37</sup>, Danny Park<sup>57</sup>, David A. Rasmussen<sup>94,95</sup>, David Baker<sup>48</sup>, David J. Nokes<sup>11,96</sup>, Deogratius Ssemwanga<sup>3,97</sup>, Derek Tshiabula<sup>102</sup>, Dominic S. Y. Amuzu<sup>91</sup>, Dominique Goedhals<sup>98</sup>, Donald S. Grant<sup>64,65,99</sup>, Donwilliams O. Omuoyo<sup>11</sup>, Dorcas Maruapula<sup>100</sup>, Dorcas W. Wanjohi<sup>7</sup>, Ebenezer Foster-Nyarko<sup>48</sup>, Eddy K. Lusamak<sup>37,38,51</sup>, Edgar Simulundu<sup>101</sup>, Edidah M. Ong'era<sup>11</sup>, Edith N. Ngabana<sup>37,38</sup>, Edward O. Abworo<sup>102</sup>, Edward Otieno<sup>11</sup>, Edwin Shumba<sup>71</sup>, Edwine Barasa<sup>11</sup>, El Bara Ahmed<sup>103,104</sup>, Elhadi A. Ahmed<sup>23</sup>, Emmanuel Lokilo<sup>37</sup>, Enatha Mukantwari<sup>105</sup>, Eromon Philomena<sup>43</sup>, Essia Belarbi<sup>106</sup>, Etienne Simon-Lorriere<sup>107</sup>, Etile A. Anoh<sup>83</sup>, Eusebio Manuel<sup>108</sup>, Fabian Leendertz<sup>106</sup>, Fahn M. Taweh<sup>109</sup>, Fares Wasfi<sup>58</sup>, Fatma Abdelmoula<sup>41,110</sup>, Faustinos T. Takawira<sup>39</sup>, Fawzi Derrar<sup>111</sup>, Fehintola V. Ajogbasile<sup>13</sup>, Florette Treumich<sup>112,113</sup>, Folarin Onikepe<sup>43,44</sup>, Francine Ntouni<sup>60,114</sup>, Francisca M. Muiyembe<sup>37,38</sup>, Frank E. Z. Ragonzingba<sup>115</sup>, Fred A. Dratini<sup>116,117</sup>, Fred-Akintuna Iyana<sup>118</sup>, Gabriel K. Mbunzu<sup>38</sup>, Gaetan Thilliez<sup>48</sup>, Gemma L. Kay<sup>48</sup>, George O. Akpede<sup>92</sup>, Gert U. van Zyl<sup>118,119</sup>, Gordon A. Awandare<sup>91</sup>, Grace S. Kpelu<sup>120,121</sup>, Grit Schubert<sup>106</sup>, Gugu P. Maphalala<sup>122</sup>, Hafalana C. Ranaivoson<sup>77</sup>, Hannah E. Omuonakwe<sup>123</sup>, Harris Onyewera<sup>7</sup>, Haruka Abe<sup>124</sup>, Hela Karray<sup>125</sup>, Hellen Nansumba<sup>126</sup>, Henda Triki<sup>58</sup>, Herve Alberic Adje Kadjo<sup>127</sup>, Hesham Elghazaly<sup>128</sup>, Hlanzi Gumbo<sup>39</sup>, Hota Mathieu<sup>129</sup>, Hugo Kavunga-Membo<sup>37</sup>, Ibtihel Smeti<sup>41</sup>, Idowu B. Olawoye<sup>43</sup>, Ifedayo M. O. Adetifa<sup>88,130</sup>, Ikponmwo Oda<sup>92</sup>, Ilhem Boutiba-Ben Boubaker<sup>131,132</sup>, Muhammad I. Ahmed<sup>43</sup>, Isaac Ssewanyana<sup>126</sup>, Isatta Wurie<sup>133</sup>, Iyaloo S. Konstantinus<sup>134</sup>, Jacqueline Wembo Afiwa Halatoko<sup>135</sup>, James Ayele<sup>26</sup>, Janaki Sonoo<sup>136</sup>, Jean-Claude C. Makangara<sup>37,38</sup>, Jean-Jacques M. Tamfun<sup>37,38</sup>, Jean-Michel Heraud<sup>127</sup>, Jeffrey G. Shaffer<sup>137</sup>, Jennifer Giandhari<sup>7</sup>, Jennifer Musyoki<sup>11</sup>, Jerome Nkurunziza<sup>138</sup>, Jessica N. Uwanibe<sup>43</sup>, Jinal N. Bhiman<sup>13,113</sup>, Jiro Yasuda<sup>124</sup>, Joana Morais<sup>139,140</sup>, Jocelyn Kiconco<sup>97</sup>, John D. Sand<sup>64,65</sup>, John Huddleston<sup>141</sup>, John K. Odoo<sup>74</sup>, John M. Morobe<sup>11</sup>, John O. Gyapong<sup>120</sup>, John T. Kaiyua<sup>3</sup>, Johnson C. Okolie<sup>43</sup>, Joicymara S. Xavier<sup>142,143</sup>, Jones Gyamfi<sup>120</sup>, Joseph F. Wamala<sup>144</sup>, Joseph H. K. Bonney<sup>74</sup>, Joseph Nyandam<sup>55,145</sup>, Josie Everatt<sup>13</sup>, Joweria Nakasegu<sup>97</sup>, Joyce M. Ngoti<sup>91</sup>, Joyce Namulondo<sup>97</sup>, Judith U. Oguzie<sup>43,44</sup>, Julia C. Andeko<sup>68</sup>, Julius J. Lutwama<sup>3</sup>, Juma J. H. Mogga<sup>144</sup>, Justin O'Grady<sup>48</sup>, Katherine J. Siddle<sup>57</sup>, Kathleen Victor<sup>146</sup>, Kayode T. Adeyemi<sup>43,44</sup>, Kefentse A. Tumedji<sup>147</sup>, Kevin S. Carvalho<sup>148</sup>, Khadija Said Mohammed<sup>11</sup>, Koussay Dellagi<sup>146</sup>, Kunda G. Musonda<sup>149</sup>, Kwabena O. Duedu<sup>120,121</sup>, Lamia Fki-Berrajah<sup>125</sup>, Lavanya Singh<sup>2</sup>, Lenora M. Keple<sup>94,95</sup>, Leon Biscornet<sup>75</sup>, Leonardo de Oliveira Martins<sup>48</sup>, Lucious Chabuka<sup>150</sup>, Luicer Olubayo<sup>8</sup>, Lul Deng Ojok<sup>26</sup>, Lu Lojok Deng<sup>26</sup>, Lynette I. Ochola-Oyier<sup>11</sup>, Lynn Tyers<sup>7</sup>, Madisa Mine<sup>151</sup>, Magalutcheeme Ramuth<sup>156</sup>, Maha Mastouri<sup>152,153</sup>, Mahmoud ElHefnawi<sup>154</sup>, Maimouna Mbanne<sup>12</sup>, Maitshwarelo I. Matsheka<sup>147</sup>, Malebogo Keabonye<sup>155</sup>, Mamadou Diop<sup>12</sup>, Mambu Momon<sup>64,65,156</sup>, Maria da Luz Lima Mendonca<sup>148</sup>, Marietjie Venter<sup>157</sup>, Marietou F. Paye<sup>57</sup>, Martin Faye<sup>72</sup>, Martin M. Nyaga<sup>158</sup>, Mathabo Mareka<sup>159</sup>, Matoko-Muhia Damaris<sup>160</sup>, Maureen W. Mburu<sup>11</sup>, Maximilian G. Mpina<sup>161,162,163</sup>, Michael Owusu<sup>164</sup>, Michael R. Wiley<sup>81,165</sup>, Mirabeau Y. Tatfeng<sup>166</sup>, Mitoha Ondo'o Ayekaba<sup>162</sup>, Mohamed Abouelhoda<sup>167,168</sup>, Mohamed Amine Belouafi<sup>11</sup>, Mohamed G. Seadway<sup>169,170</sup>, Mohamed K. Khalifa<sup>71</sup>, Mooko Marehabelle Matobo<sup>159</sup>, Mouhamed Kane<sup>172</sup>, Mounero Salou<sup>172</sup>, Mphaphi B. Mbulawa<sup>155</sup>, Mulenga Mwenda<sup>93</sup>, Mushal Allam<sup>173</sup>, My V. T. Phan<sup>3</sup>, Nabil Abid<sup>152,174</sup>, Nadine Rujen<sup>175,176</sup>, Nadir Abuzaied<sup>177</sup>, Nalia Ismael<sup>178</sup>, Nancy Elguindy<sup>54</sup>, Ndeye Marieme Top<sup>12</sup>, Ndongo Dia<sup>12</sup>, Nedio Mabunda<sup>178</sup>, Nel-yuan Hsiao<sup>9,78</sup>, Nelson Boricó Silicho<sup>162</sup>, Ngiambululu M. Francisco<sup>139</sup>, Ngonda Saasa<sup>179</sup>, Nicholas Bbosa<sup>3</sup>, Nickson Murunga<sup>11</sup>, Nicksy Gumedes<sup>18</sup>, Nicole Wolter<sup>13,113</sup>, Nikita Sitharam<sup>1</sup>, Nnaemeka Nododo<sup>88</sup>, Nnennaya A. Ajayi<sup>180</sup>, Noel Tordo<sup>181</sup>,

Nokuzola Mbhele<sup>9</sup>, Norosoa H. Razanajatovo<sup>77</sup>, Nosamiefan Iguosadolo<sup>43</sup>, Nwando Mba<sup>88</sup>, Ojide C. Kingsley<sup>182</sup>, Okogbenin Sylvanus<sup>92</sup>, Oladiji Femi<sup>183</sup>, Olubusuyi M. Adewumi<sup>31,32</sup>, Olumade Testimony<sup>43,44</sup>, Olusola A. Ogunsanya<sup>43</sup>, Oluwatosin Fakayode<sup>184</sup>, Onwe E. Ogah<sup>185</sup>, Ope-Ewe Oludayo<sup>43</sup>, Ousmane Faye<sup>12</sup>, Pamela Smith-Lawrence<sup>155</sup>, Pascale Ondoa<sup>71</sup>, Patrice Combe<sup>186</sup>, Patricia Nabisubi<sup>187,188</sup>, Patrick Semanda<sup>26</sup>, Paul E. Oluniji<sup>43</sup>, Paulo Arnaldo<sup>178</sup>, Peter Kjo Quashie<sup>91</sup>, Peter O. Okokhere<sup>92,189</sup>, Philip Bejon<sup>11</sup>, Philippe Dussart<sup>77</sup>, Phillip A. Bester<sup>190</sup>, Placide K. Mbala<sup>37,38</sup>, Pontiano Kaleebu<sup>3,97</sup>, Priscilla Abech<sup>43,44</sup>, Rabeh El-Shesheny<sup>40,191</sup>, Rageama Joseph<sup>9</sup>, Ramy Karam Aziz<sup>192,193</sup>, René G. Essomba<sup>194,195</sup>, Reuben Ayivor-Djanie<sup>91,120,121</sup>, Richard Njoom<sup>196</sup>, Richard O. Phillips<sup>63</sup>, Richmond Gorman<sup>63</sup>, Robert A. Kingsley<sup>48</sup>, Rosa Maria D. E. S. A. Neto Rodrigues<sup>197,198</sup>, Rosemary A. Adu<sup>29</sup>, Rosina A. A. Carr<sup>120,121</sup>, Saba Gargouri<sup>125</sup>, Saber Masmodi<sup>41</sup>, Sacha Bootsma<sup>144</sup>, Safietou Sankhe<sup>12</sup>, Sahra Isse Mohamed<sup>199</sup>, Saibu Femi<sup>43</sup>, Salma Mhalla<sup>152,200</sup>, Salome Hoshel<sup>161,201</sup>, Samar Kamal Kassim<sup>128</sup>, Samar Metha<sup>57</sup>, Sameh Trabelsi<sup>202</sup>, Sara Hassan Awma<sup>128</sup>, Sarah Wambui Mwangi<sup>77</sup>, Seydou Dombia<sup>52</sup>, Sheila Makiala-Mandanda<sup>37,38</sup>, Sheriane Aryeetey<sup>63</sup>, Shymaa S. Ahmed<sup>54</sup>, Side Mohamed Ahmed<sup>103</sup>, Siham Elhamoumi<sup>57</sup>, Sikhulle Moyo<sup>100,203</sup>, Silvia Lutucuta<sup>139</sup>, Simani Gaisetsiwe<sup>100,203</sup>, Simbirie Jalloh<sup>64,65</sup>, Soa Fy Andriamandimby<sup>77</sup>, Sobajo Oguntupe<sup>43</sup>, Solène Grays<sup>181</sup>, Sonia Lekana-Douki<sup>68</sup>, Sophie Proskote<sup>48</sup>, Soumeiya Ouangraoua<sup>204,205</sup>, Stephanie van Wyk<sup>1</sup>, Stephen F. Schaffner<sup>57</sup>, Stephen Kanzyere<sup>187,188</sup>, Steve Ahuka-Mundeki<sup>37,38</sup>, Steven Rudder<sup>48</sup>, Sureshnee Pillay<sup>2</sup>, Susan Nabadda<sup>162</sup>, Sylvie Behillil<sup>206</sup>, Sylvie L. Budiaki<sup>159</sup>, Sylvie van der Werf<sup>206</sup>, Tapfumaneyi Mashe<sup>39,207</sup>, Thabo Mohale<sup>13</sup>, Thanh Le-Viet<sup>48</sup>, Thirumalaisamy P. Velavan<sup>114,208</sup>, Tobias Schindler<sup>161,162,201</sup>, Tongai G. Mpongpa<sup>118</sup>, Trevor Bedford<sup>141,209</sup>, Ugochukwu J. Anyan<sup>2</sup>, Ugwu Chinedu<sup>43,44</sup>, Upasana Ramphal<sup>1210,210</sup>, Uwem E. George<sup>43</sup>, Vincent Enou<sup>206</sup>, Vishvanath Nene<sup>102</sup>, Vivianne Gorova<sup>211,212</sup>, Wael H. Rosny<sup>54</sup>, Wasim Abdul Karim<sup>1</sup>, William K. Ampofo<sup>213</sup>, Wolfgang Preiser<sup>118,119</sup>, Wondruf T. Choga<sup>100,214</sup>, Yahaya Ali Ahmed<sup>18</sup>, Yajna Ramphal<sup>1</sup>, Yaw Bediako<sup>91,215</sup>, Yesheke Naidoo<sup>2</sup>, Yvan Butera<sup>175,216,217</sup>, Zaydah R. de Laurent<sup>11</sup>, Africa Pathogen Genomics Initiative (Africa PGI)<sup>1</sup>, Ahmed E. O. Ouma<sup>7</sup>, Anne von Gottberg<sup>13,113</sup>, George Githinji<sup>11,218</sup>, Matshidiso Moeti<sup>18</sup>, Oyewale Tomori<sup>43</sup>, Pardis C. Sabeti<sup>57</sup>, Amadou A. Sall<sup>12</sup>, Samuel O. Oyola<sup>102</sup>, Yenew K. Tebeje<sup>7</sup>, Sofonias K. Tessema<sup>7</sup>, Tullio de Oliveira<sup>1,2,10,219</sup>, Christian Happi<sup>43,44</sup>, Richard Lessells<sup>2</sup>, John Nkengasong<sup>7</sup>, Eduan Wilkinson<sup>12</sup>

<sup>1</sup>Centre for Epidemic Response and Innovation (CERI),

School of Data Science and Computational Thinking,

Stellenbosch University, Stellenbosch, South Africa.

<sup>2</sup>KwaZulu-Natal Research Innovation and Sequencing Platform (KRISP), Nelson R Mandela School of Medicine,

University of KwaZulu-Natal, Durban, South Africa. <sup>3</sup>MRC/

UVRI and LSHTM Uganda Research Unit, Entebbe, Uganda.

<sup>4</sup>MRC-University of Glasgow Centre for Virus Research,

Glasgow, UK. <sup>5</sup>The Biotechnology Centre of the University of

Yaoundé I, Yaoundé, Cameroon. <sup>6</sup>CDC Foundation, Atlanta,

Georgia, Nebraska Department of Health and Human

Services, Lincoln, NE, USA. <sup>7</sup>Institute of Pathogen Genomics,

Africa Centres for Disease Control and Prevention (Africa

CDC), Addis Ababa, Ethiopia. <sup>8</sup>Institute of Infectious Diseases

and Molecular Medicine, Department of Integrative

Biomedical Sciences, Computational Biology Division,

University of Cape Town, Cape Town, South Africa. <sup>9</sup>Division

of Medical Virology, Wellcome Centre for Infectious Diseases

in Africa, Institute of Infectious Disease and Molecular

Medicine, University of Cape Town, Cape Town, South Africa.

<sup>10</sup>Centre for the AIDS Programme of Research in South

Africa (CAPRISA), Durban, South Africa. <sup>11</sup>KEMRI-Wellcome

Trust Research Programme, Kilifi, Kenya. <sup>12</sup>Institut

Pasteur de Dakar, Dakar, Senegal. <sup>13</sup>National Institute for

Communicable Diseases (NICD) of the National Health

Laboratory Service (NHL), Johannesburg, South Africa.

<sup>14</sup>School of Health Sciences, College of Health Sciences,

University of KwaZulu-Natal, Durban, KwaZulu-Natal,

South Africa. <sup>15</sup>Department of Medical Laboratory

Sciences, College of Health Sciences, Addis Ababa

University, Addis Ababa, Ethiopia. <sup>16</sup>Department of Microbial,

Cellular and Molecular Biology, College of Natural and

Computational Sciences, Addis Ababa University, Addis

- Ababa, Ethiopia. <sup>17</sup>Cancer Biology Department, Virology and Immunology Unit, National Cancer Institute, Cairo University, Cairo, Egypt. <sup>18</sup>World Health Organization, Africa Region, Brazzaville, Republic of the Congo. <sup>19</sup>Centre d'Infectiologie Charles Mérieux-Mali (CICM-Mali), Bamako, Mali.
- <sup>20</sup>Bacteriology and Virology Department Soro Sanou University Hospital, Bobo-Dioulasso, Burkina Faso. <sup>21</sup>West African Health Organisation, Bobo-Dioulasso, Burkina Faso. <sup>22</sup>Faculty of Medicine and Health Sciences, Kassala University, Kassala City, Sudan. <sup>23</sup>Department of Microbiology, Faculty of Medical Laboratory Sciences, University of Gezira, Gezira, Sudan. <sup>24</sup>General Administration of Laboratories and Blood Banks, Ministry of Health, Kassala State, Sudan. <sup>25</sup>MRC Unit The Gambia at LSHTM, Fajara, Gambia. <sup>26</sup>National Public Health Laboratory, Ministry of Health, Juba, Republic of South Sudan. <sup>27</sup>Libyan Biotechnology Research Center, Tripoli, Libya. <sup>28</sup>Center for Medical and Sanitary Research (CERMES), Niamey, Niger. <sup>29</sup>The Nigerian Institute of Medical Research, Yaba, Lagos, Nigeria. <sup>30</sup>Laboratoire de la Caisse Nationale de Sécurité Sociale, Djibouti, Republic of Djibouti. <sup>31</sup>Department of Virology, College of Medicine, University of Ibadan, Ibadan, Nigeria. <sup>32</sup>Infectious Disease Institute, College of Medicine, University of Ibadan, Ibadan, Nigeria. <sup>33</sup>Medical Microbiology and Parasitology Department, College of Medicine, University of Ibadan, Ibadan, Nigeria. <sup>34</sup>Biorepository Clinical Virology Laboratory, College of Medicine, University of Ibadan, Ibadan, Nigeria. <sup>35</sup>Department of Medical Microbiology and Parasitology, Faculty of Basic Clinical Sciences, College of Health Sciences, University of Ilorin, Ilorin, Kwara State, Nigeria. <sup>36</sup>The Pirbright Institute, Woking, UK. <sup>37</sup>Pathogen Sequencing Lab, Institut National de Recherche Biomédicale (INRB), Kinshasa, the Democratic Republic of the Congo. <sup>38</sup>Université de Kinshasa (UNIKIN), Kinshasa, the Democratic Republic of the Congo. <sup>39</sup>National Microbiology Reference Laboratory, Harare, Zimbabwe. <sup>40</sup>Center of Scientific Excellence for Influenza Viruses, National Research Centre (NRC), Cairo, Egypt. <sup>41</sup>Laboratory of Molecular and Cellular Screening Processes, Centre of Biotechnology of Sfax, University of Sfax, Sfax, Tunisia. <sup>42</sup>Genomics and Epigenomics Program, Research Department CCH57357, Cairo, Egypt. <sup>43</sup>African Centre of Excellence for Genomics of Infectious Diseases (ACEGID), Redeemer's University, Ede, Osun State, Nigeria. <sup>44</sup>Department of Biological Sciences, Faculty of Natural Sciences, Redeemer's University, Ede, Osun State, Nigeria. <sup>45</sup>Laboratório de Biologia Molecular Jean Piaget, Bissau, Guinea-Bissau. <sup>46</sup>University Jean Piaget in Guinea-Bissau, Bissau, Guinea-Bissau. <sup>47</sup>SAMRC Bioinformatics Unit, SA Bioinformatics Institute, University of the Western Cape, Cape Town, South Africa. <sup>48</sup>Quadram Institute Bioscience, Norwich, UK. <sup>49</sup>Central Public Health Reference Laboratories, Freetown, Sierra Leone. <sup>50</sup>Centre de Recherche et de Formation en Infectiologie de Guinée (CERFIG), Université de Conakry, Conakry, Guinea. <sup>51</sup>TransVIHMI, Institut de Recherche pour le Développement, Institut National de la Santé et de la Recherche Médicale (INSERM), Montpellier University, 34090, Montpellier, France. <sup>52</sup>University Clinical Research Center (UCRC), University of Sciences, Techniques and Technology of Bamako, Bamako, Mali. <sup>53</sup>Sharjah Institute for Medical Research, College of Medicine, University of Sharjah, Sharjah, United Arab Emirates. <sup>54</sup>Central Public Health Laboratories (CPHL), Cairo, Egypt. <sup>55</sup>National Institute of Public Health, Bujumbura, Burundi. <sup>56</sup>Laboratoire des Fièvres Hémostatiques Virales du Bénin, Cotonou, Benin. <sup>57</sup>Infectious Disease and Microbiome Program, Broad Institute of Harvard and MIT, Cambridge, MA, USA. <sup>58</sup>Laboratory of Clinical Virology, WHO Reference Laboratory for Poliomyelitis and Measles in the Eastern Mediterranean Region, Pasteur Institute of Tunis, University Tunis El Manar (UTM), Tunis 1002, Tunisia. <sup>59</sup>Research Laboratory "Virus, Vectors and Hosts: One Health Approach and Technological Innovation for a Better Health", LR20IPT02, Pasteur Institute, Tunis 1002, Tunisia. <sup>60</sup>Fondation Congolaise pour la Recherche Médicale, Brazzaville, Republic of the Congo. <sup>61</sup>Marien Nguoubi, Brazzaville, Republic of the Congo. <sup>62</sup>Kwame Nkrumah University of Science and Technology, Department of Theoretical and Applied Biology, Kumasi, Ghana. <sup>63</sup>Kumasi Centre for Collaborative Research in Tropical Medicine, Kwame Nkrumah University of Science and Technology, Kumasi, Ghana. <sup>64</sup>Viral Haemorrhagic Fever Laboratory, Kenema Government Hospital, Kenema, Sierra Leone. <sup>65</sup>Ministry of Health and Sanitation, Freetown, Sierra Leone.
- <sup>66</sup>Department of Immunology, University of Maiduguri Teaching Hospital, P.M.B. 1414, Maiduguri, Nigeria. <sup>67</sup>Department of Medical Laboratory Science, College of Medical Sciences, University of Maiduguri, P.M.B. 1069, Maiduguri, Borno State, Nigeria. <sup>68</sup>Centre Interdisciplinaires de Recherches Médicales de Franceville (CIRMF), Franceville, Gabon. <sup>69</sup>Département de Parasitologie-Mycologie Université des Sciences de la Santé (USS), Libreville, Gabon. <sup>70</sup>National HIV Reference Laboratory, Community Health Sciences Unit, Ministry of Health, Lilongwe, Malawi. <sup>71</sup>African Society for Laboratory Medicine, Addis Ababa, Ethiopia. <sup>72</sup>National Medical and Molecular Biology Laboratory, Ministry of Health, Djibouti, Republic of Djibouti. <sup>73</sup>Africa CDC, Rapid Responder, Team Djibouti, Djibouti, Djibouti. <sup>74</sup>Noguchi Memorial Institute for Medical Research, University of Ghana, Legon, Ghana. <sup>75</sup>Seychelles Public Health Laboratory, Public Health Authority, Ministry of Health Seychelles, Victoria, Seychelles. <sup>76</sup>Department of Ecology and Evolution, University of Chicago, Chicago, IL, USA. <sup>77</sup>Virology Unit, Institut Pasteur de Madagascar, Antananarivo, Madagascar. <sup>78</sup>National Health Laboratory Service (NHLS), Cape Town, South Africa. <sup>79</sup>Malawi-Liverpool-Wellcome Trust Clinical Research Programme, Blantyre, Malawi. <sup>80</sup>Liverpool School of Tropical Medicine, Liverpool, UK. <sup>81</sup>University of Nebraska Medical Center (UNMC), Omaha, NE, USA. <sup>82</sup>SAMRC Antibody Immunity Research Unit, School of Pathology, University of the Witwatersrand, Johannesburg, South Africa. <sup>83</sup>CHU de Bouaké, Laboratoire/Unité de Diagnostic des Virus des Fièvres Hémostatiques et Virus Emergents, Bouaké, Côte d'Ivoire. <sup>84</sup>UFR Sciences Médicales, Université Alassane Ouattara, Bouaké, Côte d'Ivoire. <sup>85</sup>School of Public Health, Pwani University, Kilifi, Kenya. <sup>86</sup>Faculty of Science and Techniques, University Marien Nguoubi, Brazzaville, Republic of the Congo. <sup>87</sup>Centre for Human Virology and Genomics, Nigerian Institute of Medical Research, Yaba, Lagos, Nigeria. <sup>88</sup>Nigeria Centre for Disease Control and Prevention, Abuja, Nigeria. <sup>89</sup>Laboratoire des Arbovirus, Fièvres Hémostatiques virales, Virus Emergents et Zoonoses, Institut Pasteur de Bangui, Bangui, Central African Republic. <sup>90</sup>Le Laboratoire National de Biologie Clinique et de Santé Publique (LNBCSP), Bangui, Central African Republic. <sup>91</sup>West African Centre for Cell Biology of Infectious Pathogens (WACCBIIP), College of Basic and Applied Sciences, University of Ghana, Accra, Ghana. <sup>92</sup>Institute of Lassa Fever Research and Control, Irua Specialist Teaching Hospital, Irua, Nigeria. <sup>93</sup>PATH, Lusaka, Zambia. <sup>94</sup>Department of Entomology and Plant Pathology, North Carolina State University, Raleigh, NC, USA. <sup>95</sup>Bioinformatics Research Center, North Carolina State University, Raleigh, NC, USA. <sup>96</sup>School of Life Sciences and Zeeman Institute for Systems Biology and Infectious Disease Epidemiology Research (SBIDER), University of Warwick, Coventry, UK. <sup>97</sup>Uganda Virus Research Institute, Entebbe, Uganda. <sup>98</sup>PathCare Vermaak, Pretoria, South Africa and Division of Virology, University of the Free State, Bloemfontein, South Africa. <sup>99</sup>College of Medicine and Allied Health Sciences, University of Sierra Leone, Freetown, Sierra Leone. <sup>100</sup>Botswana Harvard AIDS Institute Partnership and Botswana Harvard HIV Reference Laboratory, Gaborone, Botswana. <sup>101</sup>Macha Research Trust, Choma, Zambia. <sup>102</sup>International Livestock Research Institute (ILRI), Nairobi, Kenya. <sup>103</sup>INRSP, Nouakchott, Mauritania. <sup>104</sup>Faculté de Médecine de Nouakchott, Nouakchott, Mauritanie. <sup>105</sup>Rwanda National Reference Laboratory, Kigali, Rwanda. <sup>106</sup>Robert Koch-Institute, Berlin, Germany. <sup>107</sup>G5 Evolutionary Genomics of RNA Viruses, Institut Pasteur, Paris, France. <sup>108</sup>Direcção Nacional da Saúde Pública, Ministério da Saúde, Luanda, Angola. <sup>109</sup>National Public Health Reference Laboratory—National Public Health Institute of Liberia, Monrovia, Liberia. <sup>110</sup>Faculty of Pharmacy of Monastir, Monastir, Tunisia. <sup>111</sup>National Influenza Centre, Institut Pasteur d'Algérie, Algiers, Algeria. <sup>112</sup>Department of Virology, National Health Laboratory Service (NHLS), Charlotte Maxeke Johannesburg Academic Hospital, Johannesburg, South Africa. <sup>113</sup>School of Pathology, Faculty of Health Science, University of the Witwatersrand, Johannesburg, South Africa. <sup>114</sup>Institute of Tropical Medicine, Universitätsklinikum Tübingen, Tübingen, Germany. <sup>115</sup>Ministère de Santé Publique et de la Solidarité Nationale, Ndjamena, Chad. <sup>116</sup>WHO Int Comoros, Moroni, Union of Comoros. <sup>117</sup>World Health Organization, Africa Region, Brazzaville, Republic of the Congo. <sup>118</sup>Division of Medical Virology, Faculty of Medicine and Health Sciences, Stellenbosch University, Tygerberg, Cape Town, South Africa. <sup>119</sup>National Health Laboratory Service (NHLS), Tygerberg, Cape Town, South Africa. <sup>120</sup>UHAS COVID-19 Testing and Research Centre, University of Health and Allied Sciences, Ho, Ghana. <sup>121</sup>Department of Biomedical Sciences, University of Health and Allied Sciences, PMB 31, Ho, Ghana. <sup>122</sup>Ministry of Health, COVID-19 Testing Laboratory, Mbabane, Kingdom of Eswatini. <sup>123</sup>Satellite Molecular Laboratory, Rivers State University Teaching Hospital, Port Harcourt, Nigeria. <sup>124</sup>Department of Emerging Infectious Diseases, Institute of Tropical Medicine, Nagasaki University, Nagasaki, Japan. <sup>125</sup>CHU Habib Bourguiba, Laboratory of Microbiology, Faculty of Medicine of Sfax, University of Sfax, Sfax, Tunisia. <sup>126</sup>Central Public Health Laboratories (CPHL), Kampala, Uganda. <sup>127</sup>Institut Pasteur de Côte d'Ivoire, Département des Virus Epidémiques, Abidjan, Côte d'Ivoire. <sup>128</sup>Faculty of Medicine Ain Shams Research Institute (MASRI), Ain Shams University, Cairo, Egypt. <sup>129</sup>Doctoral School of Technical and Environmental Sciences, Department of Biology and Human Health, N'Djamena, Chad. <sup>130</sup>Department of Infectious Diseases Epidemiology, London School of Hygiene and Tropical Medicine, London, UK. <sup>131</sup>Charles Nicolle Hospital, Laboratory of Microbiology, National Influenza Center, Tunis, Tunisia. <sup>132</sup>University of Tunis El Manar, Faculty of Medicine of Tunis, Research Laboratory LR99ES09, Tunis, Tunisia. <sup>133</sup>College of Medicine and Allied Health Science, University of Sierra Leone, Freetown, Sierra Leone. <sup>134</sup>Namibia Institute of Pathology, Windhoek, Namibia. <sup>135</sup>National Institute of Hygiene, Lomé, Togo. <sup>136</sup>Virology/Molecular Biology Department, Central Health Laboratory, Victoria Hospital, Ministry of Health and Wellness, Port Louis, Mauritius. <sup>137</sup>Department of Biostatistics and Data Science, School of Public Health and Tropical Medicine, Tulane University, New Orleans, LA, USA. <sup>138</sup>WHO Burundi, Gitega, Burundi. <sup>139</sup>Grupo de Investigação Microbiana e Imunológica, Instituto Nacional de Investigação em Saúde (National Institute for Health Research), Luanda, Angola. <sup>140</sup>Departamento de Bioquímica, Faculdade de Medicina, Universidade Agostinho Neto, Luanda, Angola. <sup>141</sup>Vaccine and Infectious Disease Division, Fred Hutchinson Cancer Center, Seattle, WA, USA. <sup>142</sup>Universidade Federal de Minas Gerais, Belo Horizonte, Brazil. <sup>143</sup>Instituto de Agricultrinhonha e Mucuri, Unai, Brazil. <sup>144</sup>WHO South Sudan, Juba, South Sudan. <sup>145</sup>Faculty of Medicine, University of Burundi, Bujumbura, Burundi. <sup>146</sup>Pasteur Network, Institut Pasteur, Paris, France. <sup>147</sup>Botswana Institute for Technology Research and Innovation, Gaborone, Botswana. <sup>148</sup>Instituto Nacional de Saúde Pública, Praia, Cape Verde. <sup>149</sup>Zambia National Public Health Institute, Lusaka, Zambia. <sup>150</sup>Public Health Institute of Malawi, Lilongwe, Malawi. <sup>151</sup>National Health Laboratory, Gaborone, Botswana. <sup>152</sup>Laboratory of Transmissible Diseases and Biologically Active Substances (LR99ES27), Faculty of Pharmacy, University of Monastir, Monastir, Tunisia. <sup>153</sup>Laboratory of Microbiology, University Hospital of Monastir, Monastir, Tunisia. <sup>154</sup>Biomedical Informatics and Chemoinformatics Group, Informatics and Systems Department, National Research Centre, Cairo, Egypt. <sup>155</sup>Ministry of Health and Wellness, Gaborone, Botswana. <sup>156</sup>Eastern Technical University of Sierra Leone, Kenema, Sierra Leone. <sup>157</sup>Zoonotic Arbo and Respiratory Virus Program, Centre for Viral Zoonoses, Department of Medical Virology, University of Pretoria, Pretoria, South Africa. <sup>158</sup>Next Generation Sequencing Unit and Division of Virology, Faculty of Health Sciences, University of the Free State, Bloemfontein, South Africa. <sup>159</sup>National Reference Laboratory Lesotho, Maseru, Lesotho. <sup>160</sup>Centre for Biotechnology Research and Development, Kenya Medical Research Institute, Nairobi, Kenya. <sup>161</sup>Swiss Tropical and Public Health Institute, Basel, Switzerland. <sup>162</sup>Laboratório de Investigações de Baney, Baney, Equatorial Guinea. <sup>163</sup>Ifakara Health Institute, Ifakara, Tanzania. <sup>164</sup>Department of Medical Diagnostics, Kumasi Centre for Collaborative Research in Tropical Medicine, Kwame Nkrumah University of Science and Technology, Kumasi, Ghana. <sup>165</sup>PraesensBio, Lincoln, NE, USA. <sup>166</sup>Department of Medical Laboratory Science, Niger Delta University, Bayelsa State, Nigeria. <sup>167</sup>Systems and Biomedical Engineering Department, Faculty of Engineering, Cairo University, Cairo, Egypt. <sup>168</sup>King Faisal Specialist Hospital and Research Center, Riyadh, Kingdom of Saudi Arabia. <sup>169</sup>Biological Prevention Department, Ministry of Defence, Cairo, Egypt. <sup>170</sup>Faculty of Science, Fayoum University, Fayoum, Egypt. <sup>171</sup>Molecular Pathology Lab, Children's Cancer Hospital, Cairo, Egypt. <sup>172</sup>Laboratoire Biolim FSS/Université de Lomé, Lomé, Togo. <sup>173</sup>Department

of Genetics and Genomics, College of Medicine and Health Sciences, United Arab Emirates University, Abu Dhabi, United Arab Emirates. <sup>174</sup>High Institute of Biotechnology of Monastir, University of Monastir, Rue Taher Haddad 5000, Monastir, Tunisia. <sup>175</sup>Rwanda National Joint Task Force COVID-19, Rwanda Biomedical Centre, Ministry of Health, Kigali, Rwanda. <sup>176</sup>School of Health Sciences, College of Medicine and Health Sciences, University of Rwanda, Kigali, Rwanda. <sup>177</sup>Department of Microbiology, Faculty of Medical Laboratory Sciences, Omdurman Islamic University, Sudan. <sup>178</sup>Instituto Nacional de Saúde (INS), Marracuene, Mozambique. <sup>179</sup>Department of Disease Control, School of Veterinary Medicine, University of Zambia, Lusaka, Zambia. <sup>180</sup>Internal Medicine Department, Alex Ekwueme Federal University Teaching Hospital, Abakaliki, Nigeria. <sup>181</sup>Institut Pasteur de Guinée, Conakry, Guinea. <sup>182</sup>Virology Laboratory, Alex Ekwueme Federal University Teaching Hospital, Abakaliki, Nigeria. <sup>183</sup>Department of Epidemiology and Community Health, Faculty of Clinical Sciences, College of Health Sciences, University of Ilorin, Ilorin, Kwara State, Nigeria. <sup>184</sup>Department of Public Health, Ministry of Health, Ilorin, Kwara State, Nigeria. <sup>185</sup>Alex Ekwueme Federal University Teaching Hospital, Abakaliki, Nigeria. <sup>186</sup>Mayotte Hospital Center, Mayotte, France. <sup>187</sup>The African Center of Excellence in Bioinformatics and Data-Intensive Sciences, The Infectious Diseases Institute, Kampala, Uganda. <sup>188</sup>Immunology and Molecular Biology, Makerere University, Kampala, Uganda. <sup>189</sup>Department of Medicine, Faculty of Clinical Sciences, College of Medicine, Ambrose Alli University, Ekpoma, Edo State, Nigeria. <sup>190</sup>Division of Virology, National Health Laboratory Service and University of the Free State,

Bloemfontein, South Africa. <sup>191</sup>Infectious Hazards Preparedness, World Health Organization, Eastern Mediterranean Regional Office, Cairo, Egypt. <sup>192</sup>Department of Microbiology and Immunology, Faculty of Pharmacy, Cairo University, Cairo, Egypt. <sup>193</sup>Microbiology and Immunology Research Program, Children's Cancer Hospital Egypt, Cairo, Egypt. <sup>194</sup>National Public Health Laboratory, Ministry of Public Health of Cameroon, Yaoundé, Cameroon. <sup>195</sup>Faculty of Medicine and Biomedical Sciences, University of Yaoundé, Yaoundé, Cameroon. <sup>196</sup>Virology Service, Centre Pasteur of Cameroun, Yaounde, Cameroon. <sup>197</sup>Coordenadora da rede do Diagnóstico Tuberculose/HIV/COVID-19 na Instituição - Laboratório Nacional de Referência da Tuberculose em São Tomé e Príncipe, São Tomé, São Tomé and Príncipe. <sup>198</sup>Ponto focal para Melhoria da qualidade dos Laboratórios (SLIPTA) ao nível de São Tomé e Príncipe, São Tomé, São Tomé and Príncipe. <sup>199</sup>National Public Health Reference Laboratory (NPHRL), Mogadishu, Somalia. <sup>200</sup>Faculty of Medicine of Monastir, University of Monastir, Monastir, Tunisia. <sup>201</sup>University of Basel, Basel, Switzerland. <sup>202</sup>Clinical and Experimental Pharmacology Lab, LR16SP02, National Center of Pharmacovigilance, University of Tunis El Manar, Tunis, Tunisia. <sup>203</sup>Harvard T.H. Chan School of Public Health, Boston, MA, USA. <sup>204</sup>Centre MURAZ, Ouagadougou, Burkina Faso. <sup>205</sup>National Institute of Public Health of Burkina Faso (INSP/BF), Ouagadougou, Burkina Faso. <sup>206</sup>National Reference Center for Respiratory Viruses, Molecular Genetics of RNA Viruses, UMR 3569 CNRS, Université Paris Cité, Institut Pasteur, Paris, France. <sup>207</sup>World Health Organization, Harare, Zimbabwe. <sup>208</sup>Vietnamese-German Center for Medical Research, Hanoi, Vietnam. <sup>209</sup>Howard Hughes Medical Institute, Fred

Hutchinson Cancer Center, Seattle, WA, USA. <sup>210</sup>Sub-Saharan African Network For TB/HIV Research Excellence (SANTHE), Durban, South Africa. <sup>211</sup>World Health Organization, WHO Lesotho, Maseru, Lesotho. <sup>212</sup>Med24 Medical Centre, Ruwa, Zimbabwe. <sup>213</sup>Department of Virology, Noguchi Memorial Institute for Medical Research, University of Ghana, Legon, Ghana. <sup>214</sup>Division of Human Genetics, Department of Pathology, University of Cape Town, Cape Town, South Africa. <sup>215</sup>Yemaachi Biotech, Accra, Ghana. <sup>216</sup>Center for Human Genetics, College of Medicine and Health Sciences, University of Rwanda, Kigali, Rwanda. <sup>217</sup>Laboratory of Human Genetics, GIGA Research Institute, Liège, Belgium. <sup>218</sup>Department of Biochemistry and Biotechnology, Pwani University, Kilifi, Kenya. <sup>219</sup>Department of Global Health, University of Washington, Seattle, WA, USA. \*Corresponding author. Email: tulio@sun.ac.za (T.d.o.); ewilkinson@sun.ac.za (E.W.) †These authors contributed equally to this work. ‡Africa PGI collaborators are listed in the supplementary materials.

## SUPPLEMENTARY MATERIALS

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Africa PGI Collaborator List

Figs. S1 to S16

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## The evolving SARS-CoV-2 epidemic in Africa: Insights from rapidly expanding genomic surveillance

Houriya Tegally James E. SanMatthew Cotten Monika Moir Bryan Tegomoh Gerald Mboowa Darren P. Martin Cheryl Baxter Arnold W. Lambisia Amadou Diallo Daniel G. Amoako Moussa M. Diagne Abay Sisay Abdel-Rahman N. Zekri Abdou Salam Gueye Abdoul K. Sangare Abdoul-Salam Ouedraogo Abdourahmane Sow Abdualmoniem O. Musa Abdul K. Sesay Abe G. Abias Adam I. Elzagheid Adamou Lagare Adedotun-Sulaiman Kemi Aden Elmi Abar Adeniji A. Johnson Adeola Fowotade Adeyemi O. Oluwapelumi Adrienne A. Amuri Agnes Juru Ahmed Kandeil Ahmed Mostafa Ahmed Rebai Ahmed Sayed Akano Kazeem Aladje Balde Alan Christoffels Alexander J. Trotter Allan Campbell Alpha K. Keita Amadou Kone Amal Bouzid Amal Souissi Ambrose Agweyu Amel Naguib Ana V. Gutierrez Anatole Nkeshimana Andrew J. Page Anges Yadouleton Anika Vinze Anise N. Happi Anissa Chouikha Arash Iranzadeh Arisha Maharaj Armel L. Batchi-Bouyou Arshad Ismail Augustina A. Sylverken Augustine Goba Ayoade Femi Ayotunde E. Sijuwola Baba Marycelin Babatunde L. Salako Bamidele S. Oderinde Bankole Bolajoko Bassirou Diarra Belinda L. Herring Benjamin Tsofa Bernard Lekana-Douki Bernard Mvula Berthe-Marie Njanpop-Lafourcade Blessing T. Marondera Bouh Abdi Khairah Bourema Kouriba Bright Adu Brigitte Pool Bronwyn McInnis Cara Brook Carolyn Williamson Cassien Nduwimana Catherine Ansombe Catherine B. Pratt Cathrine Scheepers Chantal G. Akoua-Koffi Charles N. Agoti Chastel M. Mapanguy Cheikh Loucoubar Chika K. Onwuamah Chikwe Ihekweazu Christian N. Malaka Christophe Peyrefitte Chukwa Grace Chukwuma E. Omoruyi Clotaire D. Rafai Collins M. Morang'a Cyril Erameh Daniel B. Lule Daniel J. Bridges Daniel Mukadi-Bamuleka Danny Park David A. Rasmussen David Baker David J. Nokes Deogratius Ssemwanga Derek Tshiabuila Dominic S. Y. Amuzu Dominique Goedhals Donald S. Grant Don Williams O. Omuoyo Dorcas Maruapula Dorcas W. Wanjohi Ebenezer Foster-Nyarko Eddy K. Lusamaki Edgar Simulundu Edidah M. Ong'era Edith N. Ngabana Edward O. 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Kingsley Okogbenin Sylvanus Oladiji Femi Olubusuyi M. Adewumi Olumade Testimony Olusola A. Ogunsanya Oluwatosin Fakayode Onwe E. Ogah Ope-Ewe Oludayo Ousmane Faye Pamela Smith-Lawrence Pascale Ondoa Patrice Combe Patricia Nabisubi Patrick Semanda Paul E. Olunjiyi Paulo Arnaldo Peter Kojo Quashie Peter O. Okokhere Philip Bejon Philippe Dussart Phillip A. Bester Placide K. Mbala Pontiano Kaleebu Priscilla Abechi Rabeh El-Shesheny Rageema Joseph Ramy Karam Aziz René G. Essomba Reuben Ayivor-Djanie Richard Njoum Richard O. Phillips Richmond Gorman Robert A. Kingsley Rosa Maria D. E. S. A. Neto Rodrigues Rosemary A. Audu Rosina A. A. Carr Saba Gargouri Saber Masmoudi Sacha Bootsma Safietou Sankhe Sahara Isse Mohamed Saibu Femi Salma Mhalla Salome Hosch Samar Kamal Kassim Samar Metha Sameh Trabelsi Sara

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Hassan Agwa Sarah Wambui Mwangi Seydou Doumbia Sheila Makiala-Mandanda Sherihane Aryeetey Shymaa S. Ahmed Side Mohamed Ahmed Siham Elhamoumi Sikhulile Moyo Silvia Lutucuta Simani Gaseitsiwe Simbirie Jalloh Soa Fy Andriamandimby Sobajo Oguntope Solène Grayo Sonia Lekana-Douki Sophie Prosolek Soumeya Ouangraoua Stephanie van Wyk Stephen F. Schaffner Stephen Kanyerezi Steve Ahuka-Mundeke Steven Rudder Sureshnee Pillay Susan Nabadda Sylvie Behillil Sylvie L. Budiaki Sylvie van der Werf Tapfumane Mashe Thabo Mohale Thanh Le-Viet Thirumalaisamy P. Velavan Tobias Schindler Tongai G. Maponga Trevor Bedford Ugochukwu J. Anyaneji Ugwu Chinedu Upasana Ramphal Uwem E. George Vincent Enouf Vishvanath Nene Vivianne Gorova Wael H. Roshdy Wasim Abdul Karim William K. Ampofo Wolfgang Preiser Wonderful T. Choga Yahaya Ali Ahmed Yajina Ramphal Yaw Bediako Yeshnee Naidoo Yvan Butera Zaydah R. de Laurent Ahmed E. O. Ouma Anne von Gottberg George Githinji Matshidiso Moeti Oyewale Tomori Parris C. Sabeti Amadou A. Sall Samuel O. Oyola Yenew K. Tebeje Sofonias K. Tessema Tulio de Oliveira Christian Happi Richard Lessells John Nkengasong Eduan Wilkinson, and Aaron L. Shibemba, and Abasi Ene Obong, and Abayomi Fadeyi, and Abbad Anas, and Abd Elazeez Shabaan, and Abd Monaem Adel, and Abd Moniem Ain Shoka, and Abdelhamid W., and Abdelilah Laraqui, and Abdelkader Laatiris, and Abdelkrim Meziane Bellefquih, and Abdellah Faouzi, and Abdelmoulah F., and Abdelmunim Essabbar, and Abderrahmane Bimouhen, and Abderraouf Hilali, and Abdo I., and Abdou Padane, and Abdoul Karim Sangaré, and Abdoul Karim Soumah, and Abdoulaye Djimde, and Abdoulaye Toure, and Abdoulie Kanteh, and Abdulla Bashein, and Abdullah Salama, and Abe Lojuan, and Abebe Genetu Bayih, and Abel Abera Negash, and Abel Lissom, and Abid N., and Abia A. Konou, and Abo Shama, and Abosedo O., and Abouelnaga S., and Abraham Ali, and Abraham Kwabena Anang, and Abraham Tesfaye, and Adam K. Khan, and Adamu Tayachew, and Adane Mihret, and Adba Alfatih AlEmam, and Adede Hawi, and Adesegun A., and Adey Feleke Desta, and Adib Ghassan, and Adjaratou Traoré, and Adjiratou Aissatou B. A., and Adodo Sadji, and Adrian Egli, and Adriano Mendes, and Adugna Abera, and Adul Candé, and Afaf Alaoui, and Afonso Pedro, and Agbodzi B., and Ageez A.M., and Ahidjo Ayoub, and Ahlam Alarif, and Ahmed E. Kayed, and Ahmed El-Taweel, and Ahmed Elsayed, and Ahmed F. Gad, and Ahmed Fakhfakh, and Ahmed Kandeil, and Ahmed M., and Ahmed Mostafa, and Ahmed O. S., and Ahmed Reggad, and Ahmed Taha, and Ahyong Vida, and Aicha Bensalem, and Aida Siro, and Aissam Hachid, and Ajili F., and Ajogbasile F.V., and Akim A. Adegnika, and Akoele Siliadin, and Akwii Patience Natasha, and Aladje Balde, and Alan Lemtudo, and Alaoui Sanaa-amine, and Alaruusi A. M., and Alassane Ouro-Medeli, and Albert Nyunja, and Alberto Rizzo, and Alemseged Abdissa, and Alemu Tike Debela, and Alessandro Mancon, and Alessandro Marcello, and Alexander Goredema, and Alexander Greninger, and Alexis Ndjolo, and Alexis Niyomwungere, and Alfredo Mari, and Alfredo Mayor, and Ali M.A., and Ali Zumla, and Alia Ben Kahla, and Alia Grad, and Alice Kabanda, and Alie Tia, and Alimou Camara, and Alimuddin Zumla, and Alle Baba Dieng, and Almoustapha I. Maiga, and Amadou Alpha Sall, and Amadou Daou, and Amal Naguib, and Amal Souiri, and Amal Zouaki, and Amalou Ghita, and Amandine Mveang-Nzoghe, and Amariane Koné, and Amariane M. M. Koné, and Ambroise Ahouidi, and Amel Benyahia, and Amel Naguib, and Amer K. E., and Ameyo Dorkenoo, and Amina Barkat, and Aminata Dia, and Aminata Mbaye, and Aminata Mboup, and Aminata Sileymane Thiam, and Amine Idriss Lahlou, and Amira Suliaman Wadi, and Amivi Ehlan, and Amujal Marion, and Amuri Aziza, and Amy Strydom, and Anass Abbad, and Anatole Nkeshimana, and Andargachew Mulu, and Anderrahmane Maaroufi, and Andrea E. Luquette, and Andreas Shiningavamwe, and Andres Moreira-Soto, and Andrew Azman, and Andrew J. Bennett, and Andrew Tarupiwa, and Anga Latifa, and Ange Badjo, and Angel Angelov, and Angela Brisebarre, and Angela M. Detweiler, and Angoune Ndong, and Anja Werno, and Anna Julienne Selbe NDiaye, and Anna-Lena Sander, and Annajr B., and Annamaria D'Aprile, and Anne van der Linden, and Annemiek van der Eijk, and Annette Erhart, and Anou M. Somboro, and Anoumou Dagnran, and Anthony Ahumbe, and Anthony Levasseur, and Antje van der Linden, and Antoine Dara, and Anu Jegede-Williams, and Aouni M., and Arjarquah A., and Arlene Uwituze, and Arlo Upton, and Armel Poda, and Arsène Somé, and Arsène Zongo, and Arsenia Massinga, and Asare K.M., and Ashaba Fred Katabazi, and Asma Ferjani, and Assane Dieng, and Astou Gaye-Gaye, and Atiga N., and Atsbeha G. Weldemariam, and Augustina Arjaquah, and Auld A., and Awa Ba-Diallo, and Awatef ElMoussi, and Awunyo Sena, and Aya Mohamed, and Ayman Farghaly, and Ayo-Ale B., and Ayoade F., and Ayola Akim Adegnika, and Ayong More, and Ayorinde Babatunde James, and Azami Nawfel, and Azaria Diergaardt, and Azuka Patrick Okwurawe, and Babafemi O. Taiwo, and Baboo S. B., and Bahadoor B. S., and Bahnassy A.A., and Bakary Sanyang, and Bakry U., and Bamba Fatoumata Touré, and Bamidele Iwalokun, and Banda R., and Bane S., and Bankole Johnson, and Barada Cisse, and Barbra Murwira, and Bas Oude Munnink, and Batchi-Bouyou Armel L., and Batra R., and Beatrice Dhaala, and Belayachi Lamiae, and Belkhir A.B., and Ben Ayed I., and Ben Morton, and Ben Moussa, and Ben Wulf, and Bénédicte Ndeboko, and Benhida Rachid, and Benjamin B. Lindsey, and Benjamin H. Foulkes, and Benjamin Hounkpatin, and Benjamin Selekon, and Bensaid M., and Bernard Mpairwe, and Bernard Ssentalo Bagaya, and Bert Vanmechelen, and Bertrand Lell, and Beth Mutai, and Bethlehem Adnew, and Beuty Makamure, and Bighignoli B., and Birahim Piere Ndiaye, and Bishwo N. Adhikari, and Bitrou S.M., and Blaise M Boringong Akenji, and Bode Shobayo, and Boitumelo Zuze, and Bonifacio Manguire Nlavo, and Bouchra Belfquih, and Bouchra Boujemla, and Bouna Yatassaye,

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and Bouzidi Aymane, and Brian Andika, and Bright K. Yemi, and Bronwyn Kleinhans, and Bruna Galvao, and Bubacar Delgado Pinto Embaló, and Bulelani Manene, and Butoyi Pascal, and Camille Capel, and Campbell Anu, and Carine Tchibozo, and Carla Madeira, and Carlos Cortes, and Carniel Elisabeth, and Carol Kifude, and Carolle Yanique Tayimetha, and Catherine E. Arnold, and Catherine Okoi, and Cecilia Waruhui, and Celestin Godwe, and Celestina Obiekea, and Celine Nkenfou, and Chabuka L. B., and Chakib Nejari, and Chambaro H., and Chanda D., and Changula K., and Charifa Drissi Touzani, and Charles Kayuki, and Charles Nyagupe, and Charles Ssuuna, and Charoute Hicham, and Chaselynn M. Watters, and Chawech H., and Cheikh Sokhna, and Chenaoui Mohamed, and Chiamaka Nwuba, and Chilufya C., and Chimaobi Chukwu, and Chinyere Anyika, and Chipimo P.J., and Chitanga S., and Chitenje M., and Chiwaula M. J., and Chouati Taha, and Chris Mansell, and Christelle Butel, and Christian A. 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## **Surveillance across Africa**

The past 2 years, during which waves of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) variants swept the globe, have starkly highlighted health disparities across nations. Tegally *et al.* show how the coordinated efforts of talented African scientists have in a short time made great contributions to pandemic surveillance and data gathering. Their efforts and initiatives have provided early warning that has likely benefited wealthier countries more than their own. Genomic surveillance identified the emergence of the highly transmissible Beta and Omicron variants and now the appearance of Omicron sublineages in Africa. However, it is imperative that technology transfer for diagnostics and vaccines, as well the logistic wherewithal to produce and deploy them, match the data-gathering effort. —CA

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