

## THE FIRST EIGENVALUE OF THE LAPLACIAN ON TORI

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**1. Introduction.** Let  $M$  be an  $n$ -dimensional compact connected differentiable manifold. For every Riemannian metric  $g$  on  $M$ , let  $\Delta_g$  be the Laplacian acting on differentiable functions on  $M$ . We denote the first eigenvalue of  $\Delta_g$  by  $\lambda_1(g)$  and the volume of  $(M, g)$  by  $\text{Vol}(M, g)$ . Berger [1] posed the following problem: *Does there exist a positive constant  $k(M)$  such that*

$$(*) \quad \lambda_1(g) \text{Vol}(M, g)^{2/n} \leq k(M),$$

*for every Riemannian metric  $g$  on  $M$ ?* Hersch [4] showed that if  $M$  is diffeomorphic to the 2-dimensional sphere  $S^2$ , then for every Riemannian metric  $g$  on  $S^2$ ,

$$\lambda_1(g) \text{Vol}(S^2, g) \leq 8\pi.$$

The equality holds if and only if  $g$  is a metric with the constant curvature.

On the other hand, recently the following people constructed examples which admit a family of Riemannian metrics  $g(t)$  ( $0 < t < \infty$ ) such that

$$\begin{cases} \lambda_1(g(t)) \text{Vol}(M, g(t))^{2/n} \rightarrow \infty & \text{as } t \rightarrow \infty \\ \lambda_1(g(t)) \text{Vol}(M, g(t))^{2/n} \rightarrow 0 & \text{as } t \rightarrow 0. \end{cases}$$

(i) Urakawa [8] constructed such a family of metrics on a compact connected Lie group with a non-trivial commutator subgroup.

(ii) Tanno [7] constructed such on any odd dimensional sphere  $S^{2n+1}$  ( $n \geq 1$ ).

(iii) Urakawa and Muto [10] constructed such on compact homogeneous spaces which satisfy some conditions.

(iv) Muto [5] constructed such on any even dimensional sphere  $S^{2n}$  ( $n \geq 2$ ).

For an  $n$ -dimensional torus  $T^n$ , it is known that there exists a constant  $k(T^n)$  such that  $(*)$  holds for every "flat" metric (cf. [9]). In this paper we prove that there exists no constant  $k(T^n)$  such that  $(*)$  holds for any metric on  $T^n$  ( $n \geq 3$ ). Namely we show the following.

**THEOREM.** *On any  $n$ -dimensional torus  $T^n$  ( $n \geq 3$ ), there exists a family of metrics  $g(t)$  ( $0 < t < \infty$ ) such that*

$$\begin{cases} \lambda_1(g(t)) \rightarrow \infty & \text{as } t \rightarrow \infty \\ \lambda_1(g(t)) \rightarrow 0 & \text{as } t \rightarrow 0 \end{cases}$$

and  $\text{Vol}(T^n, g(t)) = \text{constant}$ .

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**2. Some formulas for a Riemannian submersion.** In [6] O'Neill studied fundamental equations of a Riemannian submersion. We review some formulas in it which are useful in the sequel. Given a Riemannian submersion  $\pi: M \rightarrow B$ , we denote by  $\mathcal{V}E$  (resp.  $\mathcal{H}E$ ) a vertical part (resp. a horizontal part) of a vector field  $E$  on  $M$ . Following O'Neill, we define two tensor fields  $T$  and  $A$  for arbitrary vector fields  $E$  and  $F$  by

$$T_E F = \mathcal{H}\tilde{\nabla}_{\mathcal{V}E}\mathcal{V}F + \mathcal{V}\tilde{\nabla}_{\mathcal{V}E}\mathcal{H}F$$

and

$$A_E F = \mathcal{H}\tilde{\nabla}_{\mathcal{H}E}\mathcal{V}F + \mathcal{V}\tilde{\nabla}_{\mathcal{H}E}\mathcal{H}F$$

respectively, where we denote by  $\tilde{\nabla}$  the Riemannian connection on  $M$ .

We review some formulas for the tensor field  $A$  which will be used in the sequel. The tensor field  $A$  is called an integrability tensor associated with the submersion.

**DEFINITION.** A basic vector field is a horizontal vector field  $X^*$  which is  $\pi$ -related to a vector field  $X$  on  $B$ , i.e.,  $\pi X^*_u = X_{\pi(u)}$  for all  $u \in M$ .

**LEMMA 2.1.** *Suppose  $X^*$  and  $Y^*$  are basic vector fields on  $M$  which are related to  $X$  and  $Y$  on  $B$ . Then*

(1)  $\mathcal{H}([X^*, Y^*])$  is basic and is  $\pi$ -related to  $[X, Y]$ .

(2)  $\mathcal{H}\tilde{\nabla}_{X^*}Y^*$  is basic and  $\pi$ -related to  $\nabla_X Y$  where  $\nabla$  is the Riemannian connection on  $B$ .

**LEMMA 2.2.** *Let  $\tilde{X}$  and  $\tilde{Y}$  be horizontal vector fields on  $M$ . Then we have*

$$A_{\tilde{X}}\tilde{Y} = \mathcal{V}([\tilde{X}, \tilde{Y}])/2.$$

The proof of these results is found in [6].

**3. The Laplacian of a metric  $g$  on  $M \times S^1$ .** In this section, in the same way as Vilms [11], we introduce a Riemannian metric  $g$  on a product manifold  $M \times S^1$  and calculate its Laplacian  $\Delta_g$ .

Let  $(M, h)$  be an  $n$ -dimensional ( $n \geq 2$ ) compact connected Riemannian manifold and  $\omega$  be a 1-form on  $M$ . We denote  $R/2\pi\mathbb{Z}$  by  $S^1$  and its

coordinate system by  $\{s\}$ . We consider a product manifold  $M \times S^1$  with natural projections  $\pi: M \times S^1 \rightarrow M$  and  $\eta: M \times S^1 \rightarrow S^1$ . We define a Riemannian metric  $g$  on  $M \times S^1$  by

$$g = \pi^*h + (\omega + ds) \otimes (\omega + ds) ,$$

where we simply denote  $\pi^*\omega$  and  $\eta^*ds$  by  $\omega$  and  $ds$ , respectively. We remark that  $(M \times S^1, g)$  may be regarded as a trivial  $S^1$ -bundle with a connection  $\omega + ds$ .

We denote by  $\zeta$  the vector field  $d/ds$  which is naturally regarded as a vector field on  $M \times S^1$ . We denote by  $\xi$  a contravariant form of  $\omega$  on  $M$ . We may naturally regard  $\xi$  as a vector field on  $M \times S^1$ . We denote by  $L_X$  the Lie derivation with respect to  $X$ . We consider the Laplacian  $\Delta_M$  on  $(M, h)$  as a differential operator acting on differentiable functions on  $M \times S^1$  in the following sense: For  $\varphi \in C^\infty(M \times S^1)$ ,  $\Delta_M \varphi(x, s) = \Delta_M \iota_s^* \varphi(x)$  at  $(x, s)$ , where  $\iota_s$  denotes the natural imbedding  $\iota_s: M \rightarrow M \times S^1$  given by  $\iota_s(x) = (x, s)$ .

We easily get:

LEMMA 3.1. *The metric  $g$  on  $M \times S^1$  has the following properties:*

- (1) *The vector field  $\zeta$  is a unit Killing vector field on  $(M \times S^1, g)$ .*
- (2) *The projection  $\pi$  is a Riemannian submersion from  $(M \times S^1, g)$  to  $(M, h)$  with totally geodesic fibres.*

PROPOSITION 3.2. *For  $\varphi \in C^\infty(M \times S^1)$ , we have*

$$\Delta_g \varphi = \Delta_M \varphi - (1 + |\omega|^2)L_\zeta L_\zeta \varphi + 2L_\zeta L_\zeta \varphi - (\delta\omega)L_\zeta \varphi ,$$

where we calculate the norm of  $\omega$  and the co-differential operator  $\delta$  with respect to the metric  $h$ .

PROOF. For an arbitrary point  $x \in M$ , let  $U$  be a neighborhood of  $x$  in  $M$  and  $\{X_1, X_2, \dots, X_n\}$  be a local field of orthonormal frames on  $U$ . We naturally regard  $X_j$  as a vector field on  $U \times S^1$  and define a vector field  $X_j^*$  on  $U \times S^1$  by  $X_j^* = X_j - \omega(X_j)\zeta$ . Then  $X_j^*$  is a basic vector field which is related to  $X_j$ . We easily see that  $\{X_1^*, X_2^*, \dots, X_n^*, \zeta\}$  is a local field of orthonormal frames on  $U \times S^1$ . By the definition of the Laplacian, for  $\varphi \in C^\infty(M \times S^1)$  we have

$$-\Delta_g \varphi = \sum_{j=1}^n (X_j^* X_j^* \varphi - \tilde{\nabla}_{X_j^*} X_j^* \varphi) + \zeta \zeta \varphi - \tilde{\nabla}_\zeta \zeta \varphi \quad \text{on } U \times S^1 .$$

We see that  $\tilde{\nabla}_\zeta \zeta = 0$  since  $\zeta$  is a unit Killing vector field. By Lemma 2.1 and Lemma 2.2 we have

$$\begin{aligned} \mathcal{V}(\tilde{\nabla}_{X_j^*} X_j^*) &= A_{X_j^*} X_j^* = \mathcal{V}([X_j^*, X_j^*])/2 = 0 \\ \mathcal{H}(\tilde{\nabla}_{X_j^*} X_j^*) &= (\nabla_{X_j} X_j)^* = \nabla_{X_j} X_j - \omega(\nabla_{X_j} X_j)\zeta, \end{aligned}$$

where  $\nabla_{X_j} X_j$  is regarded as a vector field on  $U \times S^1$ . Hence we get  $\tilde{\nabla}_{X_j^*} X_j^* = \nabla_{X_j} X_j - \omega(\nabla_{X_j} X_j)\zeta$ . Noticing that  $[X_j, \zeta] = 0$  and  $\zeta\omega(X_j) = 0$ , we have

$$\begin{aligned} X_j^* X_j^* \varphi - \tilde{\nabla}_{X_j^*} X_j^* \varphi &= (X_j - \omega(X_j)\zeta)(X_j - \omega(X_j)\zeta)\varphi - (\nabla_{X_j} X_j - \omega(\nabla_{X_j} X_j)\zeta)\varphi \\ &= X_j X_j \varphi - X_j \omega(X_j) \cdot \zeta \varphi - \omega(X_j) X_j \zeta \varphi \\ &\quad - \omega(X_j) \zeta X_j \varphi + \omega(X_j) \zeta \cdot \omega(X_j) \cdot \zeta \varphi \\ &\quad + \omega(X_j)^2 \zeta \zeta \varphi - (\nabla_{X_j} X_j)\varphi + \omega(\nabla_{X_j} X_j)\zeta \varphi \\ &= X_j X_j \varphi - (\nabla_{X_j} X_j)\varphi + \omega(X_j)^2 \zeta \zeta \varphi \\ &\quad - \{X_j \omega(X_j) - \omega(\nabla_{X_j} X_j)\} \zeta \varphi - 2\omega(X_j) X_j \zeta \varphi. \end{aligned}$$

Therefore we have

$$\begin{aligned} -\Delta_g \varphi &= \sum_{j=1}^n (X_j X_j \varphi - \nabla_{X_j} X_j \varphi) + \left(1 + \sum_{j=1}^n \omega(X_j)^2\right) \zeta \zeta \varphi \\ &\quad - 2 \sum_{j=1}^n \omega(X_j) X_j \zeta \varphi - \sum_{j=1}^n (X_j \omega(X_j) - \omega(\nabla_{X_j} X_j)) \zeta \varphi \\ &= -\Delta_M \varphi + (1 + |\omega|^2) L_\zeta L_\zeta \varphi - 2L_\zeta L_\zeta \varphi + \delta \omega L_\zeta \varphi. \end{aligned}$$

Following Tanno [7], we define a family of Riemannian metrics  $g(t)$  ( $0 < t < \infty$ ) by

$$g(t) = t^{-1}g + (t^n - t^{-1})(\omega + ds) \otimes (\omega + ds) \quad 0 < t < \infty.$$

By  ${}^{(t)}\tilde{\nabla}$  and  $\Delta_{g(t)}$ , we denote the Riemannian connection and the Laplacian with respect to  $g(t)$ .

LEMMA 3.3.  $(M \times S^1, g(t))$  has the following properties.

(1) Volume elements with respect to  $g(t)$  and  $g(1) = g$  are identical;  $dV_{g(t)} = dV_g$ , and  $\text{Vol}(M \times S^1, g(t)) = \text{Vol}(M \times S^1, g)$ .

(2) The vector field  $\zeta$  is a Killing vector field with constant length  $t^{n/2}$ .

(3) The projection  $\pi$  is a Riemannian submersion from  $(M \times S^1, g(t))$  to  $(M, t^{-1}h)$  with totally geodesic fibres.

(4) Horizontal distributions associated with the submersion  $\pi: (M \times S^1, g(t)) \rightarrow (M, t^{-1}h)$  and the submersion  $\pi: (M \times S^1, g) \rightarrow (M, h)$  are identical.

(5) If  $\tilde{X}$  and  $\tilde{Y}$  are horizontal vector fields, then we have  ${}^{(t)}A_{\tilde{X}} \tilde{Y} = A_{\tilde{X}} \tilde{Y}$ , where  ${}^{(t)}A$  denotes the integrability tensor associated with the submersion  $\pi: (M \times S^1, g(t)) \rightarrow (M, t^{-1}h)$ .

(6) Suppose  $X^*$  and  $Y^*$  are basic vector fields which are related

to  $X$  and  $Y$ . Then we get  ${}^{(t)}\tilde{\nabla}_{X^*}Y^* = \tilde{\nabla}_{X^*}Y^*$ .

PROOF. (1), (2), (3), and (4) are easily checked. (5) By Lemma 2.2, we get  ${}^{(t)}A_{\tilde{X}}\tilde{Y} = \mathcal{H}([\tilde{X}, \tilde{Y}])/2 = A_{\tilde{X}}\tilde{Y}$ . (6) By Lemma 2.1 and Lemma 2.2, we have  $\mathcal{H}({}^{(t)}\tilde{\nabla}_{X^*}Y^*) = {}^{(t)}A_{X^*}Y^* = A_{X^*}Y^* = \mathcal{H}(\tilde{\nabla}_{X^*}Y^*)$ ,  $\mathcal{H}({}^{(t)}\tilde{\nabla}_{X^*}Y^*) = ({}^{(t)}\nabla_X Y)^*$ , where  ${}^{(t)}\nabla$  denotes the Riemannian connection with respect to  $(M, t^{-1}h)$ . Since  $(M, t^{-1}h)$  is a homothetic deformation of  $(M, h)$ ,  ${}^{(t)}\nabla$  coincides with  $\nabla$ . Therefore we have  $({}^{(t)}\nabla_X Y)^* = (\nabla_X Y)^* = \mathcal{H}(\tilde{\nabla}_{X^*}Y^*)$ . Hence we get (6).

As for the relation between  $\Delta_g$  and  $\Delta_{g(t)}$ , we show the following.

PROPOSITION 3.4. For  $\varphi \in C^\infty(M \times S^1)$ , we have  $\Delta_{g(t)}\varphi = t\Delta_g\varphi + (t - t^{-n})L_\zeta L_\zeta\varphi$ .

PROOF. We use again a local frame field  $\{X_1^*, \dots, X_n^*, \zeta\}$  given in the proof of Proposition 3.2. By Lemma 3.3 (4),  $X_j^*$  is a basic vector field associated with the submersion  $\pi: (M \times S^1, g(t)) \rightarrow (M, t^{-1}h)$ . We easily see that  $\{t^{1/2}X_1^*, \dots, t^{1/2}X_n^*, t^{-n/2}\zeta\}$  is an orthonormal frame field on  $U \times S^1$  with respect to the metric  $g(t)$ . Noticing that  ${}^{(t)}\tilde{\nabla}_{X_j^*}X_j^* = \tilde{\nabla}_{X_j^*}X_j^*$ , we have

$$\begin{aligned} -\Delta_{g(t)}\varphi &= \sum_{j=1}^n (t^{1/2}X_j^*t^{1/2}X_j^*\varphi - {}^{(t)}\tilde{\nabla}_{t^{1/2}X_j^*}t^{1/2}X_j^*\varphi) + t^{-n/2}\zeta t^{-n/2}\zeta\varphi \\ &= t\left\{\sum_{j=1}^n (X_j^*X_j^*\varphi - {}^{(t)}\tilde{\nabla}_{X_j^*}X_j^*\varphi) + \zeta\zeta\varphi\right\} - (t - t^{-n})\zeta\zeta\varphi \\ &= t\left\{\sum_{j=1}^n (X_j^*X_j^*\varphi - \tilde{\nabla}_{X_j^*}X_j^*\varphi) + \zeta\zeta\varphi\right\} - (t - t^{-n})\zeta\zeta\varphi \\ &= -t\Delta_g\varphi - (t - t^{-n})L_\zeta L_\zeta\varphi. \end{aligned}$$

4. Proof of Theorem in the 3-dimensional case. 4.1. The Laplacian of warped product. Ejiri [3] studied the Laplacian of a warped product. Here we review his results. Let  $(B, g)$  and  $(F, h)$  be Riemannian manifolds and  $f$  be a positive differentiable function on  $B$ . Consider the product manifold  $B \times F$  with projections  $\pi: B \times F \rightarrow B$  and  $\eta: B \times F \rightarrow F$ . The warped product  $M = B \times_f F$  is the manifold  $B \times F$  furnished with the Riemannian structure  $\bar{g}$  defined by

$$\bar{g}(X, Y) = g(\pi_*X, \pi_*Y) + f^2(\pi u)h(\eta_*X, \eta_*Y)$$

for tangent vectors  $X, Y \in T_uM$ . We denote by  $\Delta_M, \Delta_B$ , and  $\Delta_F$  the Laplacians of  $(M, \bar{g}), (B, g)$  and  $(F, h)$ , respectively. By  $\text{grad } f$  we denote the gradient of  $f$  defined by the metric tensor  $g$  and we regard  $\text{grad } f$  as a vector field on  $M$ . Ejiri found the following relation among  $\Delta_B, \Delta_F$  and  $\Delta_M$ .

LEMMA 4.1. [3]

$$\Delta_M = \Delta_B - (n/f) \operatorname{grad} f + (1/f)^2 \Delta_F,$$

where  $n$  is the dimension of  $F$ .

In this note we deal with a warped product  $S^1 \times_f S^1$ , where  $S^1$  denotes  $\mathbf{R}/2\pi\mathbf{Z}$ .

COROLLARY 4.2.

$$\Delta_{S^1 \times_f S^1} = -\partial^2/\partial t^2 - (f'/f)(\partial/\partial t) - (1/f)^2 \partial^2/\partial u^2,$$

where  $t$  (resp.  $u$ ) is the coordinate for the first (resp. second)  $S^1$  and  $f' = df/dt$ .

4.2. *A construction of a Riemannian metric on  $T^3$ .* We introduce a Riemannian metric  $g$  on  $T^3$  as follows. We consider  $T^3$  as  $T^2 \times S^1$  and we apply the method in §3. We define  $(T^2, h)$  as the warped product  $T^2 = S^1 \times_f S^1$ , where  $f$  is a positive function on  $S^1$ . By  $S^1$  we mean  $\mathbf{R}/2\pi\mathbf{Z}$  and we use  $\{t, u\}$  as the coordinate system on  $T^2 = S^1 \times_f S^1$ . Put  $\xi = \partial/\partial u$ . Then its dual 1-form on  $S^1 \times_f S^1$  is  $f^2 du$ , which is denoted by  $\omega$ . Following §3, we define a Riemannian structure  $g$  on  $T^3 = T^2 \times S^1$  by  $g = \pi^*h + (\omega + ds) \otimes (\omega + ds)$ . Then the Riemannian metric is represented as

$$g = \begin{pmatrix} 1 & 0 & 0 \\ 0 & f^2 + f^4 & f^2 \\ 0 & f^2 & 1 \end{pmatrix}$$

in terms of the coordinate system  $\{t, u, s\}$ .

Therefore we get:

LEMMA 4.3. *The volume element  $dV_g$  of  $(T^3, g)$  is given by  $dV_g = f dt \wedge du \wedge ds$ .*

Now we calculate the Laplacian of  $(T^3, g)$ .

PROPOSITION 4.4.

$$\Delta_g = -\partial^2/\partial t^2 - (f'/f)(\partial/\partial t) - (1/f)^2 L_\xi L_\xi - (1 + f^2) L_\zeta L_\zeta + 2L_\xi L_\zeta.$$

PROOF. It is easily checked that  $\xi$  is a Killing vector field. So we have  $\delta\omega = \operatorname{div} \xi = 0$ . Applying Proposition 3.2 and Corollary 4.2 we obtain Proposition 4.4 immediately.

4.3. *Eigenvalues and eigenfunctions of  $(T^3, g)$ .* By  $C^\infty(T^3)$  we denote the space of complex-valued differentiable functions on  $T^3$ . We define a scalar product on  $C^\infty(T^3)$  by

$$\langle \varphi, \psi \rangle_1 = \int_{T^3} \varphi \bar{\psi} dV_g = \int_{T^3} \varphi \bar{\psi} f dt \wedge du \wedge ds \quad \text{for } \varphi, \psi \in C^\infty(T^3).$$

On the other hand, we introduce on  $T^3$  another Riemannian metric  $g_0$  which is the natural Riemannian product on  $S^1 \times S^1 \times S^1$ . We define a scalar product with respect to  $g_0$  by

$$\langle \varphi, \psi \rangle_0 = \int_{T^3} \varphi \bar{\psi} dV_{g_0} = \int_{T^3} \varphi \bar{\psi} dt \wedge du \wedge ds.$$

We denote the minimum of  $f$  and the maximum of  $f$  by  $m$  and  $M$ , respectively. Then we have  $m \|\varphi\|_0^2 \leq \|\varphi\|_1^2 \leq M \|\varphi\|_0^2$  for  $\varphi \in C^\infty(T^3)$ , where as usual  $\|\cdot\|_0$  and  $\|\cdot\|_1$  denote the norms on  $C^\infty(T^3)$  defined by  $\langle \cdot, \cdot \rangle_0$  and  $\langle \cdot, \cdot \rangle_1$ , respectively. Therefore we get:

**LEMMA 4.5.** *If  $\{\varphi_j\}_{j=1}^\infty$  is a complete basis for  $(C^\infty(T^3), \langle \cdot, \cdot \rangle_1)$ , then it is also a complete basis for  $(C^\infty(T^3), \langle \cdot, \cdot \rangle_0)$ , and vice versa.*

By  $C^\infty(S^1)$ , we denote the space of complex-valued differentiable functions on  $S^1$  with a scalar product  $\langle \varphi, \psi \rangle = \int_{S^1} \varphi \bar{\psi} f dt$ . For integers  $k$  and  $l$ , we define a differential operator acting on  $C^\infty(S^1)$  by

$$L(k; l)\varphi = -d^2\varphi/dt^2 - (f'/f)(d\varphi/dt) + (l/f - kf)^2\varphi + k^2\varphi.$$

**LEMMA 4.6.**  *$L(k; l)$  is a strongly elliptic self-adjoint operator acting on  $C^\infty(S^1)$ .*

**PROOF.** We will show that it is a self-adjoint operator. For  $\varphi, \psi \in C^\infty(S^1)$ , we have

$$\begin{aligned} \langle L(k; l)\varphi, \psi \rangle &= \int_{S^1} f \{ -d^2\varphi/dt^2 - (f'/f)(d\varphi/dt) + (l/f - kf)^2\varphi + k^2\varphi \} \bar{\psi} dt \\ &= \int_{S^1} \left\{ -\frac{d}{dt} \left( f \frac{d\varphi}{dt} \bar{\psi} \right) + \frac{df}{dt} \frac{d\varphi}{dt} \bar{\psi} + f \frac{d\varphi}{dt} \frac{d\bar{\psi}}{dt} - \frac{df}{dt} \frac{d\varphi}{dt} \bar{\psi} \right. \\ &\quad \left. + f(l/f - kf)^2\varphi \bar{\psi} + fk^2\varphi \bar{\psi} \right\} dt \\ &= \int_{S^1} \left\{ f \frac{d\varphi}{dt} \frac{d\bar{\psi}}{dt} + f(l/f - kf)^2\varphi \bar{\psi} + fk^2\varphi \bar{\psi} \right\} dt. \end{aligned}$$

Similarly we have

$$\langle \varphi, L(k; l)\psi \rangle = \int_{S^1} \left\{ f \frac{d\varphi}{dt} \frac{d\bar{\psi}}{dt} + f(l/f - kf)^2\varphi \bar{\psi} + fk^2\varphi \bar{\psi} \right\} dt.$$

Let  $\{\mu_j(k; l) \leq \mu_2(k; l) \leq \dots\}$  be the eigenvalues of  $L(k; l)$ , and  $\varphi_j(k; l)$  be the eigenfunction such that  $L(k; l)\varphi_j(k; l) = \mu_j(k; l)\varphi_j(k; l)$ . By Lemma

4.6, for each pair  $(k, l)$ ,  $\{\varphi_j(k; l)\}_{j=1}^\infty$  is a complete basis of  $C^\infty(S^1)$ . As is well known,  $e^{iks}$  ( $k \in \mathbf{Z}$ ) is an eigenfunction of  $-d^2/ds^2$  on  $S^1$ . We write  $\theta_k(s) = e^{iks}$  and  $\psi_l(u) = e^{ilu}$  for  $k, l \in \mathbf{Z}$ .

LEMMA 4.7.  $\varphi_j(k; l)\psi_l\theta_k$  is an eigenfunction of  $\Delta_g$  and its eigenvalue is  $\mu_j(k; l)$ :

$$\Delta_g \varphi_j(k; l)\psi_l\theta_k = \mu_j(k; l)\varphi_j(k; l)\psi_l\theta_k .$$

PROOF. We see that  $L_\varepsilon\psi_l = il\psi_l$  and  $L_\varepsilon\theta_k = ik\theta_k$ . Applying Proposition 4.4, we obtain the result.

Next we have:

PROPOSITION 4.8.  $\{\varphi_j(k; l)\psi_l\theta_k, k, l \in \mathbf{Z}, j = 1, 2, \dots\}$  is a complete basis for  $(C^\infty(T^3), \langle, \rangle_1)$  and hence  $\{\mu_j(k; l); k, l \in \mathbf{Z}, j = 1, 2, \dots\}$  is the spectrum of  $(T^3, g)$ .

PROOF. Let  $u_h(t) = e^{iht}$ ,  $h \in \mathbf{Z}$ , be an eigenfunction of  $-d^2/dt^2$  on  $S^1$ . Since for each  $(k, l)$ ,  $\{\varphi_j(k; l)\}_{j=1}^\infty$  is a complete basis for  $C^\infty(S^1)$ , for  $u_h$  there exist  $a_j \in \mathbf{C}$ ,  $j = 1, 2, \dots$ , such that  $\lim_{p \rightarrow \infty} \|u_h - \sum_{j=1}^p a_j \varphi_j(k; l)\| = 0$ , where  $\| \cdot \|$  denotes the norm on  $C^\infty(S^1)$  defined by the scalar product  $\langle, \rangle$  with respect to the measure  $f dt$ . Therefore we have

$$\begin{aligned} & \left\| u_h \psi_l \theta_k - \sum_{j=1}^p a_j \varphi_j(k; l) \psi_l \theta_k \right\|_1 \\ &= \left\| \left( u_h - \sum_{j=1}^p a_j \varphi_j(k; l) \right) \psi_l \theta_k \right\|_1 \\ &= \left\| u_h - \sum_{j=1}^p a_j \varphi_j(k; l) \right\| \left\{ \int_{S^1} \psi_l \bar{\psi}_l du \right\}^{1/2} \left\{ \int_{S^1} \theta_k \bar{\theta}_k ds \right\}^{1/2} , \end{aligned}$$

from which it follows that  $\lim_{p \rightarrow \infty} \|u_h \psi_l \theta_k - \sum_{j=1}^p a_j \varphi_j(k; l) \psi_l \theta_k\|_1 = 0$ , where  $\| \cdot \|_1$  denotes the norm on  $C^\infty(T^3)$  defined by  $\langle, \rangle_1$ . On the other hand, it is well known that  $\{u_h \psi_l \theta_k; h, l, k \in \mathbf{Z}\}$  is a complete basis for  $(C^\infty(T^3), \langle, \rangle_0)$  (cf. [2]). By Lemma 4.5  $\{u_h \psi_l \theta_k; h, l, k \in \mathbf{Z}\}$  is also a complete basis for  $(C^\infty(T^3), \langle, \rangle_1)$ . The above arguments imply that  $\{\varphi_j(k; l)\psi_l\theta_k; k, l \in \mathbf{Z} j = 1, 2, \dots\}$  is a complete basis for  $(C^\infty(T^3), \langle, \rangle_1)$ .

4.4. *Estimates of eigenvalues of the operator  $L(k; l)$ .* In this part, making use of the minimum principle we estimate eigenvalues of  $L(k; l)$  from below. First of all, we apply the minimum principle to the self-adjoint operator  $L(k; l)$ . Then we have

$$\begin{aligned} \mu_l(k; l) &= \inf_{\varphi} \langle L(k; l)\varphi, \varphi \rangle / \langle \varphi, \varphi \rangle \\ &= \inf \int_{S^1} \{f \varphi' \bar{\varphi}' + f(l/f - kf)^2 \varphi \bar{\varphi} + fk^2 \varphi \bar{\varphi}\} dt / \int_{S^1} f \varphi \bar{\varphi} dt \end{aligned}$$



$$= \inf \int_{S^1} \{ f\varphi'\bar{\varphi}' + f(l/f - kf)^2\varphi\bar{\varphi} \} dt \Big/ \int_{S^1} f\varphi\bar{\varphi} dt + k^2 ,$$

where  $\varphi' = d\varphi/dt$  and  $\bar{\varphi}' = d\bar{\varphi}/dt$  and the infimum is taken over all non-zero  $\varphi$  in  $C^\infty(S^1)$ .

LEMMA 4.9. *If  $f$  is not constant on  $S^1$  and at least one of  $k$  and  $l$  is not zero, then there exists a positive constant  $\varepsilon > 0$  which does not depend on  $k$  and  $l$  such that*

$$\inf_{\varphi} \left\{ \int_{S^1} f\varphi'\bar{\varphi}' dt + \int_{S^1} f(l/f - kf)^2\varphi\bar{\varphi} dt \right\} \Big/ \int_{S^1} f\varphi\bar{\varphi} dt \geq \varepsilon ,$$

where the infimum is taken over all  $\varphi$  as above.

PROOF. Let  $m$  and  $M$  be the minimum and the maximum of  $f$ , respectively. In the proof of this lemma, for simplicity we omit  $S^1$  in the integral sign. We have

$$\begin{aligned} (**) \quad & \left\{ \int f\varphi'\bar{\varphi}' dt + \int f(l/f - kf)^2\varphi\bar{\varphi} dt \right\} \Big/ \int f\varphi\bar{\varphi} dt \\ & \geq \frac{m}{M} \left\{ \int \varphi'\bar{\varphi}' dt + \frac{1}{Mm} \int (l - kf)^2\varphi\bar{\varphi} dt \right\} \Big/ \int \varphi\bar{\varphi} dt . \end{aligned}$$

When  $k = 0$ , since  $l$  is not zero, we have

$$\begin{aligned} & \frac{m}{M} \left\{ \int \varphi'\bar{\varphi}' dt + \frac{1}{Mm} l^2 \int \varphi\bar{\varphi} dt \right\} \Big/ \int \varphi\bar{\varphi} dt \\ & \geq \frac{m}{M} \left\{ \int \varphi'\bar{\varphi}' dt + \frac{1}{Mm} \int \varphi\bar{\varphi} dt \right\} \Big/ \int \varphi\bar{\varphi} dt . \end{aligned}$$

Let  $\varepsilon_1 = \inf_{\varphi} \left\{ \int \varphi'\bar{\varphi}' dt + (1/Mm) \int \varphi\bar{\varphi} dt \right\} \Big/ \int \varphi\bar{\varphi} dt$ .  $\varepsilon_1$  is positive. Then, in the case  $k = 0$ , we have

$$(**) \geq m\varepsilon_1/M \quad \text{for any } \varphi \in C^\infty(S^1), \varphi \neq 0 .$$

When  $k \neq 0$ , we have

$$\begin{aligned} (**) & \geq \frac{m}{M} \left\{ \int \varphi'\bar{\varphi}' dt + \frac{k^2}{Mm} \int (f^2 - l/k)^2\varphi\bar{\varphi} dt \right\} \Big/ \int \varphi\bar{\varphi} dt \\ & \geq \frac{m}{M} \left\{ \int \varphi'\bar{\varphi}' dt + \frac{1}{Mm} \int (f^2 - l/k)^2\varphi\bar{\varphi} dt \right\} \Big/ \int \varphi\bar{\varphi} dt . \end{aligned}$$

We put  $\alpha = (M^2 - m^2)/2$ . Since  $f$  is not constant,  $\alpha$  is positive. Let  $t_1$  be a point which attains the maximum of  $f$ . Then there exists a positive number  $\delta > 0$  such that  $f^2(t) - \alpha > 0$  for  $t \in (t_1 - \delta, t_1 + \delta)$ . There exists a non-negative differentiable function  $g_1$  such that  $\text{supp}(g_1) \subset (t_1 - \delta, t_1 + \delta)$ ,

$(f^2 - \alpha)^2 \geq g_1^2$  on  $S^1$ , and  $g_1$  is not identically zero. Let  $t_2$  be a point which attains the minimum of  $f$ . Then there exists a positive number  $\delta' > 0$  such that  $\alpha - f^2(t) > 0$  for  $t \in (t_2 - \delta', t_2 + \delta')$ . Similarly there exists a non-negative function  $g_2$  on  $S^1$  such that  $\text{supp}(g_2) \subset (t_2 - \delta', t_2 + \delta')$ ,  $(\alpha - f^2)^2 \geq g_2^2$  on  $S^1$ , and  $g_2$  is not identically zero. If  $l/k$  is not greater than  $\alpha$ , then we have  $(f^2 - l/k)^2 \geq g_1^2$ . When  $l/k$  is not less than  $\alpha$ , then we have  $(f^2 - l/k)^2 \geq g_2^2$ . We put

$$\varepsilon_2 = \inf_{\varphi} \left\{ \int \varphi' \bar{\varphi}' dt + \frac{1}{Mm} \int g_1^2 \varphi \bar{\varphi} dt \right\} / \int \varphi \bar{\varphi} dt,$$

and

$$\varepsilon_3 = \inf_{\varphi} \left\{ \int \varphi' \bar{\varphi}' dt + \frac{1}{Mm} \int g_2^2 \varphi \bar{\varphi} dt \right\} / \int \varphi \bar{\varphi} dt,$$

where the infimum is taken over all non-zero  $\varphi$  in  $C^\infty(S^1)$ . Since  $g_1$  and  $g_2$  are not identically zero, we have  $\varepsilon_2 > 0$  and  $\varepsilon_3 > 0$ . Therefore, when  $l/k \leq \alpha$ , we have

$$(**) \geq m\varepsilon_2/M \quad \text{for any } \varphi \in C^\infty(S^1), \varphi \neq 0.$$

Similarly, when  $l/k \geq \alpha$ , we have

$$(**) \geq m\varepsilon_3/M \quad \text{for any } \varphi \in C^\infty(S^1), \varphi \neq 0.$$

By putting  $\varepsilon =$  the minimum of  $\{m\varepsilon_1/M, m\varepsilon_2/M, m\varepsilon_3/M\}$ , we get Lemma 4.9.

When  $k = l = 0$ , we see that  $\mu_1(0; 0) = 0$  and its eigenfunction is constant. Moreover, we have  $\mu_2(0; 0) > 0$ .

**PROPOSITION 4.10.** *Let  $\tilde{\varepsilon}$  be the minimum of  $\mu_2(0; 0)$  and  $\varepsilon$  in Lemma 4.9. We have  $\mu_j(k; l) - k^2 \geq \tilde{\varepsilon} > 0$  for any  $j$  when at least one of  $k$  and  $l$  is not zero, and for  $j \geq 2$  when  $k = l = 0$ .*

4.5. *Proof of Theorem in the 3-dimensional case.* Following §3, we define a family of Riemannian metrics on  $T^3$  by

$$g(t) = t^{-1}g + (t^2 - t^{-1})(\omega + ds) \otimes (\omega + ds), \quad 0 < t < \infty.$$

By Proposition 3.4, we have  $\Delta_{g(t)}\varphi = t\Delta_g\varphi + (t - t^{-2})L_\zeta L_\zeta\varphi$ . We put  $\Psi_{jkl} = \varphi_j(k; l)\psi_l\theta_k$ ,  $k, l \in \mathbf{Z}$ ,  $j = 1, 2, \dots$ . Then we have

$$\Delta_{g(t)}\Psi_{jkl} = \{t(\mu_j(k; l) - k^2) + t^{-2}k^2\}\Psi_{jkl}.$$

By Lemma 3.3 (1),  $\{\Psi_{jkl}; k, l \in \mathbf{Z}, j = 1, 2, \dots\}$  is a complete basis with respect to  $g(t)$  for the space of differentiable functions on  $T^3$ . So  $\{t(\mu_j(k; l) - k^2) + t^{-2}k^2; k, l \in \mathbf{Z}, j = 1, 2, \dots\}$  is the spectrum of  $(T^3, g(t))$ .

When  $k = l = 0$  and  $j = 1$ ,  $\Psi_{1,0,0}$  is a constant function with 0 as its eigenvalue. By Proposition 4.10, for a non-zero eigenvalue of  $\Delta_{g(t)}$ , we have  $t(\mu_j(k; l) - k^2) + t^{-2}k^2 \geq t\bar{\varepsilon}$ . Therefore we obtain  $\lambda_1(g(t)) \rightarrow \infty$  as  $t \rightarrow \infty$ . We easily get  $\lambda_1(g(t)) \rightarrow 0$  as  $t \rightarrow 0$ . On the other hand, by Lemma 3.3 (1) we see that  $\text{Vol}(T^3, g(t)) = \text{Vol}(T^3, g)$ . Thus Theorem in the 3-dimensional case is proved.

**5. Proof of Theorem for  $T^n$  ( $n \geq 4$ ).** When  $n \geq 5$ , we can prove Theorem by following the same process as in the 4-dimensional case. So we will prove Theorem only in the 4-dimensional case in this section.

5.1. *A construction of a Riemannian metric  $g$  on  $T^4$ .* Following §3 again, we define a Riemannian metric  $g$  on  $T^4$ . We consider  $T^4$  as a product manifold  $T^3 \times S^1$  with the natural projection  $\tilde{\pi}: T^3 \times S^1 \rightarrow T^3$ .  $T^3$  is furnished with the Riemannian metric  $g$  given in §4. By  $\tilde{\omega}$  we denote the 1-form dual to the vector field  $\zeta$  in  $(T^3, g)$ . Then we have  $\tilde{\omega} = \omega + ds$ . We define  $\tilde{g}$  on  $T^4$  by

$$\tilde{g} = \tilde{\pi}^*g + (\tilde{\omega} + d\tilde{s}) \otimes (\tilde{\omega} + d\tilde{s}) ,$$

where  $\{\tilde{s}\}$  is a normal coordinate system in  $S^1$ . By  $\tilde{\zeta}$  we denote the vector field  $\partial/\partial\tilde{s}$  in  $T^4$ .

Noticing that  $\zeta$  is a unit Killing vector field in  $(T^3, g)$ , by Proposition 3.2 we easily get:

PROPOSITION 5.1. *For  $\varphi \in C^\infty(T^4)$  we have*

$$\Delta_{\tilde{g}}\varphi = \Delta_g\varphi - 2L_{\tilde{\zeta}}L_{\tilde{\zeta}}\varphi + 2L_{\zeta}L_{\zeta}\varphi .$$

Contrary to the arguments in §4, we denote by  $\lambda_j$  ( $0 = \lambda_0 < \lambda_1 < \lambda_2 < \dots$ ) the  $j$ -th eigenvalue of  $(T^3, g)$  with its eigenspace  $V(\lambda_j)$ . Since  $\zeta$  is a unit Killing vector field on  $(T^3, g)$ ,  $L_\zeta$  and  $\Delta_g$  commute, which implies that  $L_\zeta$  is a linear transformation of  $V(\lambda_j)$ . By the results in §4, the following is clear.

LEMMA 5.2. *For each eigenvalue  $\lambda_j$  of  $\Delta_g$ ,  $V(\lambda_j)$  has the orthogonal decomposition:*

$$V(\lambda_j) = \sum_{k \in \mathbb{Z}} V_k(\lambda_j) ,$$

where  $L_\zeta\varphi = ik\varphi$  for  $\varphi \in V_k(\lambda_j)$   $k \in \mathbb{Z}$ . (Here we do not care if some  $V_k(\lambda_j)$  is trivial or not.) Moreover, the above decomposition has the following property. If  $\lambda_j$  is not zero and  $V_k(\lambda_j)$  is not trivial, then there exists a positive number  $\bar{\varepsilon} > 0$  such that  $\lambda_j - k^2 \geq \bar{\varepsilon}$  and  $\bar{\varepsilon}$  does not depend on  $j$  and  $k$ .

By  $\phi_h, h \in \mathbf{Z}$ , we denote an eigenfunction  $e^{ihs}$  on  $S^1$ . By Proposition 5.1, we have:

**PROPOSITION 5.3.** *If  $V_k(\lambda_j)$  is not trivial,  $\varphi\phi_h$  is an eigenfunction of  $(T^4, \tilde{g})$  with its eigenvalue  $\lambda_j + 2h^2 - 2kh$  for  $\varphi \in V_k(\lambda_j)$  and  $\phi_h$ . The set of eigenfunctions of this form is a complete basis for  $C^\infty(T^4)$  with respect to  $\tilde{g}$ .*

**5.2. Proof of Theorem.** Following §3, we define a family of Riemannian metrics  $\tilde{g}(t)$  by

$$\tilde{g}(t) = t^{-1}\tilde{g} + (t^3 - t^{-1})(\tilde{\omega} + d\tilde{s}) \otimes (\tilde{\omega} + d\tilde{s}) \quad 0 < t < \infty .$$

By Proposition 3.4, we have  $\Delta_{\tilde{g}(t)}\Phi = t\Delta_{\tilde{g}}\Phi + (t-t^{-3})L_{\tilde{z}}L_{\tilde{z}}\Phi$ . Then we have  $\Delta_{\tilde{g}(t)}\varphi\phi_h = \{t(\lambda_j + h^2 - 2kh) + t^{-3}h^2\}\varphi\phi_h$ . By the same arguments as in §4, each eigenvalue of  $(T^4, \tilde{g}(t))$  has the above form, i.e.,  $t(\lambda_j + h^2 - 2kh) + t^{-3}h^2$ . If  $j \neq 0$ , by Lemma 5.2 we have

$$t(\lambda_j + h^2 - 2kh) + t^{-3}h^2 \geq t(k^2 + \tilde{\varepsilon} + h^2 - 2kh) \geq t(\tilde{\varepsilon} + (k-h)^2) \geq t\tilde{\varepsilon} .$$

If  $j = 0$ , then  $k = 0$  and we have  $t(\lambda_0 + h^2 - 2kh) + t^{-3}h^2 = th^2 + t^{-3}h^2$ . Therefore for every positive eigenvalue  $\lambda$  of  $(T^4, \tilde{g}(t))$  we have  $\lambda \geq t\varepsilon'$ , where  $\varepsilon'$  is the minimum of 1 and  $\tilde{\varepsilon}$ . So we have  $\lambda_1(\tilde{g}(t)) \rightarrow \infty$  as  $t \rightarrow \infty$ . We easily get  $\lambda_1(\tilde{g}(t)) \rightarrow 0$  as  $t \rightarrow 0$ . On the other hand, by Lemma 3.3 (1) we see that  $\text{Vol}(T^4, \tilde{g}(t)) = \text{Vol}(T^4, \tilde{g})$ .

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