

# The global lightning-induced nitrogen oxides source

U. Schumann and H. Huntrieser

Deutsches Zentrum für Luft- und Raumfahrt, Institut für Physik der Atmosphäre, Oberpfaffenhofen, 82230 Wessling, Germany

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**Abstract.** The knowledge of the lightning-induced nitrogen oxides ( $\text{LNO}_x$ ) source is important for understanding and predicting the nitrogen oxides and ozone distributions in the troposphere and their trends, the oxidising capacity of the atmosphere, and the lifetime of trace gases destroyed by reactions with OH. This knowledge is further required for the assessment of other important  $\text{NO}_x$  sources, in particular from aviation emissions, the stratosphere, and from surface sources, and for understanding the possible feedback between climate changes and lightning. This paper reviews more than 3 decades of research. The review includes laboratory studies as well as surface, airborne and satellite-based observations of lightning and of  $\text{NO}_x$  and related species in the atmosphere. Relevant data available from measurements in regions with strong  $\text{LNO}_x$  influence are identified, including recent observations at midlatitudes and over tropical continents where most lightning occurs. Various methods to model  $\text{LNO}_x$  at cloud scales or globally are described. Previous estimates are re-evaluated using the global annual mean flash frequency of  $44 \pm 5 \text{ s}^{-1}$  reported from OTD satellite data. From the review, mainly of airborne measurements near thunderstorms and cloud-resolving models, we conclude that a “typical” thunderstorm flash produces  $15 (2\text{--}40) \times 10^{25}$  NO molecules per flash, equivalent to 250 mol  $\text{NO}_x$  or 3.5 kg of N mass per flash with uncertainty factor from 0.13 to 2.7. Mainly as a result of global model studies for various  $\text{LNO}_x$  parameterisations tested with related observations, the best estimate of the annual global  $\text{LNO}_x$  nitrogen mass source and its uncertainty range is  $(5 \pm 3) \text{ Tg a}^{-1}$  in this study. In spite of a smaller global flash rate, the best estimate is essentially the same as in some earlier reviews, implying larger flash-specific  $\text{NO}_x$  emissions. The paper estimates the  $\text{LNO}_x$  accuracy required for various applications and lays out strategies for improving estimates in the future. An accuracy of

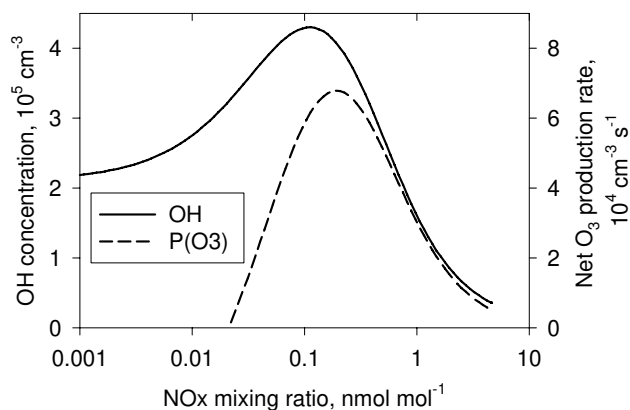
about  $1 \text{ Tg a}^{-1}$  or 20%, as necessary in particular for understanding tropical tropospheric chemistry, is still a challenging goal.

## 1 Introduction

Thunderstorm lightning has been considered a major source of nitrogen oxides ( $\text{NO}_x$ , i.e. NO (nitric oxide) and  $\text{NO}_2$  (nitrogen dioxide)) since von Liebig (1827) proposed it as a natural mechanism for the fixation of atmospheric nitrogen (Hutchinson, 1954). Lightning-induced nitrogen oxides ( $\text{LNO}_x$ ) have several important implications for atmospheric chemistry and climate (WMO, 1999; IPCC, 2001). The global  $\text{LNO}_x$  source is one of the largest natural sources of  $\text{NO}_x$  in the atmosphere (Galloway et al., 2004) and certainly the largest source of  $\text{NO}_x$  in the upper troposphere, in particular in the tropics (WMO, 1999).

The  $\text{LNO}_x$  source rate is considered to be the least known one within the total atmospheric  $\text{NO}_x$  budget (Lawrence et al., 1995; Lee et al., 1997). The global  $\text{LNO}_x$  amount cannot be measured directly, and is difficult to determine. Modelling of the horizontal and vertical distribution of lightning and the  $\text{LNO}_x$  source is highly uncertain (Price and Rind, 1992; Pickering et al., 1998). Previous reviews of  $\text{LNO}_x$  discuss theoretical, laboratory, and field studies to determine the amount of  $\text{LNO}_x$  (Tuck, 1976; Drapcho et al., 1983; Borucki and Chameides, 1984; Biazar and McNider, 1995; Lawrence et al., 1995; Levy II et al., 1996; Lee et al., 1997; Price et al., 1997b; Huntrieser et al., 1998; Bradshaw et al., 2000; Ridley et al., 2005), mainly by extrapolating measurements of emissions from individual lightning or thunderstorm events to the global scale (Chameides et al., 1977, 1987). Only a few papers review the determination of the global  $\text{LNO}_x$  source by fitting models to observations (Levy II et al., 1996; Zhang et al., 2003b). The majority of studies since the mid-1990s, as reviewed in this paper, assumed a best-estimate

Correspondence to: U. Schumann  
(ulrich.schumann@dlr.de)



**Fig. 1.** Dependence of the OH concentration and the net O<sub>3</sub> production rate on the NO<sub>x</sub> mixing ratio calculated with a steady state box model for diurnal average in June at 10 km altitude and 45° latitude; background mixing ratios of O<sub>3</sub>: 100 nmol mol<sup>-1</sup>; H<sub>2</sub>O: 47 μmol mol<sup>-1</sup>; CO: 120 nmol mol<sup>-1</sup>; CH<sub>4</sub>: 1660 nmol mol<sup>-1</sup>; re-plotted from Ehhalt and Rohrer (1994) with kind permission of Woodhead Publishing Limited.

value of about 5 Tg a<sup>-1</sup> (NO<sub>x</sub> source values are given in nitrogen mass units per year in this paper), with an uncertainty range 1–20 Tg a<sup>-1</sup>. Extreme estimates of the LNO<sub>x</sub> source rate such as 0.2 Tg a<sup>-1</sup> (Cook et al., 2000) and 220 Tg a<sup>-1</sup> (Franzblau and Popp, 1989; Liaw et al., 1990), implying the global LNO<sub>x</sub> contribution from minor to overwhelming, are now considered inconsistent with measured atmospheric NO<sub>x</sub> concentrations and nitrate deposition values (Gallardo and Rodhe, 1997).

Considerable progress has been made recently which allows reducing the uncertainty of the global LNO<sub>x</sub> value. This includes satellite observations of global lightning (Christian et al., 2003), satellite observations of NO<sub>2</sub> column distributions (Burrows et al., 1999), airborne in-situ measurements of NO<sub>x</sub> abundance near thunderstorms at midlatitudes (Dye et al., 2000; Huntrieser et al., 2002; Ridley et al., 2004) and over tropical continents, where most lightning occurs (see Sect. 2.4), detailed cloud-resolving model studies (DeCaria et al., 2000; Fehr et al., 2004), and improved global models (Dentener et al., 2006; van Noije et al., 2006).

This paper reviews the present knowledge on the global LNO<sub>x</sub> source rate. It describes the importance of NO<sub>x</sub> for tropospheric chemistry (Sect. 2.1). It reviews knowledge on the NO<sub>x</sub> concentrations, sources and sinks (Sect. 2.2), the essential lightning properties (Sect. 2.3), and the formation of NO<sub>x</sub> from lightning and its detection in the atmosphere (Sect. 2.4). It briefly summarises knowledge on the formation of other trace gases by lightning (Sect. 2.5). It describes the importance of LNO<sub>x</sub> for tropospheric chemistry and its impact on ozone (Sect. 2.6). Moreover, it assesses the global modelling of NO<sub>x</sub> and LNO<sub>x</sub> distributions (Sect. 2.7), the possible climate impact of LNO<sub>x</sub> (2.8), the

relative importance of aviation NO<sub>x</sub> for uncertain LNO<sub>x</sub> contributions (Sect. 2.9), and derives requirements on LNO<sub>x</sub> accuracy (Sect. 2.10). Thereafter, the paper reviews the various methods to constrain the LNO<sub>x</sub> source values (Sect. 3). It re-evaluates results from flash (Sect. 3.1) and storm (Sect. 3.2) extrapolations using the most recent satellite observations of the global lightning frequency. In addition, the paper reviews for the first time the results of a large number of global model studies discussing LNO<sub>x</sub> impact on tropospheric chemistry (Sect. 3.3). Section 3.3 also elaborates on the potential of better constraining the LNO<sub>x</sub> source estimate using global model fits to observations of concentrations and deposition fluxes of nitrogen compounds and other species. Finally, Sect. 4 presents the conclusions.

## 2 Review of LNO<sub>x</sub> contributions and their importance

### 2.1 Importance of NO<sub>x</sub> for atmospheric chemistry

Nitrogen oxides are critical components of the troposphere which directly affect the abundance of ozone (O<sub>3</sub>) (Crutzen, 1974) and the hydroxyl radical (OH) (Levy II, 1971; Rohrer and Berresheim, 2006). Ozone is known as a strong oxidant, a strong absorber of ultraviolet radiation, and a greenhouse gas (WMO, 1999). Ozone is formed and destroyed by photochemistry and the net production rate depends nonlinearly on the abundance of NO<sub>x</sub> present (Liu, 1977), see Fig. 1. In regions with low NO<sub>x</sub> level (e.g. in the tropical marine boundary layer), the net effect is an O<sub>3</sub> destruction. In regions with NO<sub>x</sub> concentrations above a critical level (but not very high), e.g. in the upper troposphere, O<sub>3</sub> production dominates. The critical NO<sub>x</sub> level depends on the O<sub>3</sub> mixing ratio and may be as low as 5 pmol mol<sup>-1</sup> in the oceanic boundary layer with typically low ozone values (Crutzen, 1979), 10–50 pmol mol<sup>-1</sup> in the free troposphere (Fishman et al., 1979; Ehhalt and Rohrer, 1994; Brasseur et al., 1996; Davis et al., 1996; Kondo et al., 2003b), and increases with the ambient O<sub>3</sub> concentration (Grooß et al., 1998). Hence, in regions remote from strong local pollution, O<sub>3</sub> production increases with NO<sub>x</sub> concentration (is “NO<sub>x</sub> limited”). The relative increase of O<sub>3</sub> production is largest for low NO<sub>x</sub> concentrations.

The concentrations of HO<sub>x</sub> including OH, the hydroperoxyl radical HO<sub>2</sub> and other peroxy radicals, depend also nonlinearly on the NO<sub>x</sub> abundance (Logan et al., 1981; Ehhalt and Rohrer, 1994; Jaeglé et al., 1999; Olson et al., 2006), see again Fig. 1. Under clean air conditions, OH is mainly produced by O<sub>3</sub> photolysis and reactions of the resultant atomic oxygen with water vapour. Under more polluted conditions in the troposphere, OH is also formed by photolysis of NO<sub>2</sub> during the oxidation of carbon monoxide (CO), methane (CH<sub>4</sub>) and non-methane hydrocarbons (NMHC). In highly polluted regions (in “NO<sub>x</sub>-saturated” regions) an increase of NO<sub>x</sub>, by reactions with HO<sub>2</sub> and NO<sub>2</sub>, reduces the

**Table 1.** Best estimate of LNO<sub>x</sub> and total NO<sub>x</sub> emissions in reviews and assessments.

Reference	Best estimate of LNO <sub>x</sub> source rate (and range) in nitrogen mass, in Tg a <sup>-1</sup>	Total NO <sub>x</sub> emissions in nitrogen mass, in Tg a <sup>-1</sup>	for year
Tuck (1976)	4	–	
Chameides et al. (1977)	30–40	–	
Dawson (1980)	3	–	
Ehhalt and Drummond (1982)	5 (2–8)	39 (19–59)	1975
Logan (1983)	8 (2–20)	50±25	1980
Borucki and Chameides (1984)	2.6 (0.8–8)	–	
IPCC (1992)	2–20	35–79	
Lawrence et al. (1995)	2 (1–8)	60	
Levy et al. (1996)	4 (2–6)	–	
Price et al. (1997a, b)	12–13 (5–25)	–	
Lee et al. (1997)	5 (2–20)	44 (23–81)	1980
Huntrieser et al. (1998)	4 (0.3–22)	–	
WMO (1999)	5 (2–20)	44 (30–73)	1990s
Ehhalt (1999)	7 (4–10)	45±7	1990
Holland et al. (1999a)	13 (10–15)	36.1 (23–81)	1980s
Bradshaw et al. (2000)	6.5 (2–10)	45.2 (27–86)	1985
IPCC (2001)	5 (2–13)	52 (>44)	2000
Leue et al. (2001)	–	43±20	1997
Tie et al. (2002)	3.5–7	–	
Huntrieser et al. (2002)	3 (1–20)	–	
Martin et al. (2003)	–	44.4	1997
Galloway et al. (2004)	5.4	13.1, 46, 82	1860, 1990s, 2050
Müller and Stavrakou (2005)	2.8 (1.6–3.2)	42.1 (38.8–43.1)	1997
Boersma et al. (2005)	3.5 (1.1–6.4)	–	
Law et al. (2006)	2–9	–	
Present estimate	5±3 (2–8)	–	

HO<sub>2</sub>/OH ratio, and the production rate of O<sub>3</sub> (Jaeglé et al., 1999, 2001). OH is the key agent in the atmosphere's oxidising capacity, i.e. the global abundance of tropospheric O<sub>3</sub>, OH, and hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) (Crutzen, 1979; Logan et al., 1981; Isaksen, 1988; Thompson, 1992; Lelieveld et al., 2004). OH influences the lifetime of a large number of anthropogenic and natural compounds. Here, lifetime is the ratio between the amount of the species and its sinks. Examples are CO (Logan et al., 1981), sulphur dioxide (SO<sub>2</sub>) (Chatfield and Crutzen, 1984), CH<sub>4</sub> (Lelieveld et al., 1998; Bousquet et al., 2006), and further O<sub>3</sub> and aerosol precursors or gases relevant to climate that get oxidised by reactions with OH. As a consequence, NO<sub>x</sub> increases not only cause a positive radiative forcing implying warming via O<sub>3</sub> (Lacis et al., 1990) but also a cooling via CH<sub>4</sub>; the forcing from these effects is of similar magnitude globally but differs regionally (Fuglestedt et al., 1999).

## 2.2 NO<sub>x</sub> sources, sinks, and concentrations

The concentration of NO<sub>x</sub> in the atmosphere depends on the source strength and the rates of reactions converting NO<sub>x</sub> to nitric acid (HNO<sub>3</sub>) and particulate nitrate (NO<sub>3</sub><sup>-</sup>) and their uptake into precipitation or deposition at the Earth surface (Crutzen, 1979; Warneck, 1988; Dentener and Crutzen, 1993; Ehhalt, 1999). NO and NO<sub>2</sub> are together referred to as NO<sub>x</sub> because NO reacts in the atmosphere quickly with O<sub>3</sub> to form NO<sub>2</sub> and equilibrium with respect to photodissociation of NO<sub>2</sub> is reached after a few minutes, while the sum of both species remains essentially unchanged (Bradshaw et al., 1999). Collectively, all reactive odd nitrogen or fixed nitrogen is denoted as NO<sub>y</sub>, which is any N-O combination except the very stable N<sub>2</sub>O, i.e. NO+NO<sub>2</sub>+NO<sub>3</sub>+2N<sub>2</sub>O<sub>5</sub>+HNO<sub>3</sub>+HNO<sub>2</sub>+HNO<sub>4</sub>+PAN+RONO<sub>2</sub>+NO<sub>3</sub><sup>-</sup>, including PAN (peroxyacetyl nitrate, RC(O)OONO<sub>2</sub>) and alkyl nitrates (RONO<sub>2</sub>) (Singh et al., 2007). Conversion of unreactive N<sub>2</sub> to more reactive nitrogen NO<sub>y</sub> occurs in the biosphere and the atmosphere (Galloway et al., 2004).

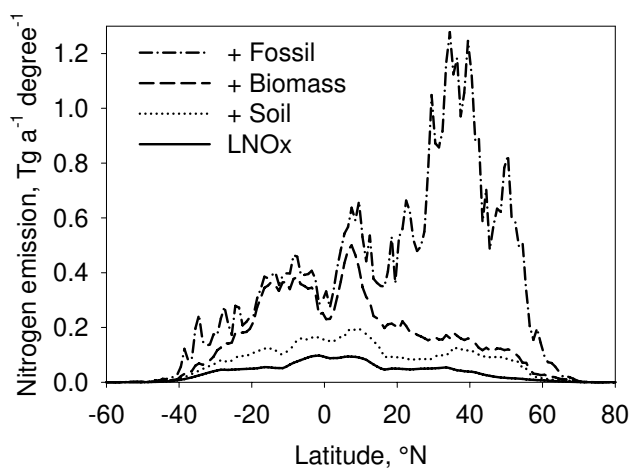
**Table 2.** Annual global NO<sub>x</sub> emissions, in the tropics, and at midlatitudes (in Tg a<sup>-1</sup>).

Latitude range	Biomass burning	Fossil fuel burning	Soil release	N <sub>2</sub> O degradation	Aviation	Lightning NO <sub>x</sub>	Sum*	Lightning fraction, %	Reference
90° S–90° N	10.0	28.5	5.5	0.4	0.7	5.0	49.4	10	See footnote***
35° N–60° N	0.7	13.7	1.5	0	0.4		14.1	4	***
35° S–35° N	9.2	13.6	3.9	0.4	0.3	4.3	31.3	14	***
35° S–35° N	8.3	7.8	5.4	–	–	6.3	27.9	23	Bond et al. (2002)**
0°–24° S	4.4	1.2	1.5	0	0.03	1.7	8.8	19	***

\*All emission rates in nitrogen mass per year (Tg a<sup>-1</sup>).

\*\*Bond et al. (2002): Fossil fuel (“anthropogenic activity”), biomass burning and soil emissions from EDGAR 2.0, year 1990 (Olivier et al., 1998), lightning NO<sub>x</sub> computed from LIS flash data over the period of 1998–2000 assuming production values of  $6.7 \times 10^{26}$  and  $6.7 \times 10^{25}$  NO molecules for each CG and IC flash, respectively.

\*\*\* Biomass burning (including waste and biofuel burning); and fossil fuel burning (including industrial emissions but without the AERO2K aviation part) derived from the EDGAR 3.2 Fast Track 2000 dataset (Olivier et al., 2005); Soil release from the Global Emissions Inventory Activity (GEIA;  $5.4 \text{ Tg a}^{-1}$ ) (Benkovitz et al., 1996); aviation sources for 2002 from the AERO2K data set (Eyers et al., 2005); stratospheric source from N<sub>2</sub>O degradation for an assumed  $0.4 \text{ Tg a}^{-1}$  total (Martin et al., 2006) and downward transport according to stratosphere-troposphere exchange mainly near the subtropical jet (Grewe and Dameris, 1996; Stohl et al., 2003). Lightning NO<sub>x</sub> computed from the five-year (April 1995–March 2000) OTD 2.5 Degree Low Resolution Diurnal Climatology data, assuming constant NO<sub>x</sub> production per flash and a total LNO<sub>x</sub> source of  $5 \text{ Tg a}^{-1}$ .



**Fig. 2.** Atmospheric annual nitrogen mass emission rate per 1° latitude versus latitude for the year 2000. Lightning emissions are tentatively computed from satellite (OTD)-derived flash frequencies (Christian et al., 2003) (see Fig. 10), assuming constant emissions per flash and  $5 \text{ Tg a}^{-1}$  global LNO<sub>x</sub> emissions. Added to this: soil emissions derived from Yienger and Levy (1995) with data taken from the Global Emissions Inventory Activity (GEIA;  $5.4 \text{ Tg a}^{-1}$ ) (Granier et al., 2004); biomass burning (including waste and biofuel burning;  $10 \text{ Tg a}^{-1}$ ); and fossil fuel burning (including industrial emissions;  $28.5 \text{ Tg a}^{-1}$ ) derived from the Emission Database for Global Atmospheric Research (EDGAR) (Olivier et al., 2005).

In the atmosphere, the present sources of global NO<sub>x</sub> (total about  $50 \text{ Tg a}^{-1}$ ), see Table 1, are dominated by anthropogenic sources from fossil fuel combustion (about 28–32) (IPCC, 2001), biomass burning (4–24), soil (4–16) (Lee et

al., 1997), nitrous oxide (N<sub>2</sub>O) degradation in the stratosphere (0.1–1) (Lee et al., 1997; Martin et al., 2006), aircraft (0.7–1) (Schumann et al., 2001; Eyers et al., 2005), and LNO<sub>x</sub>. Most of the emissions occur in the Northern Hemisphere, see Table 2 and Fig. 2. Ship NO<sub>x</sub> emissions, presently about 3–6 Tg a<sup>-1</sup> (Eyring et al., 2005; Olivier et al., 2005), are included in the fossil fuel combustion source; they represent an important marine source along the major ship routes. In the preindustrial period, natural sources from soil processes, wildfires (biomass burning), stratospheric sources and LNO<sub>x</sub> dominated the budget: For the year 1860, the total NO<sub>x</sub> emissions are estimated as  $13.1 \text{ Tg a}^{-1}$ , including  $5.4 \text{ Tg a}^{-1}$  from LNO<sub>x</sub> and  $5.1 \text{ Tg a}^{-1}$  from the other natural sources (Galloway et al., 2004).

The principal sink of tropospheric NO<sub>x</sub> is oxidation to nitric acid (HNO<sub>3</sub>) by reaction of NO<sub>2</sub> with OH during the day; during the night, the reaction of NO<sub>2</sub> with O<sub>3</sub> to form NO<sub>3</sub>, the oxidation of NO<sub>2</sub> by NO<sub>3</sub> to form N<sub>2</sub>O<sub>5</sub>, and the subsequent hydrolysis of N<sub>2</sub>O<sub>5</sub> on aerosols contributes considerably to the nitrogen oxides sinks (Dentener and Crutzen, 1993; van Noije et al., 2006). The oxidation products leave the atmosphere by dry or wet deposition (“acid rain”) (Logan, 1983). When deposited they may act as nutrients in terrestrial and marine ecosystems (Holland et al., 1997), and may disturb ecologically sensitive regions such as the Amazon basin, central Africa, south-east Asia (Sanderson et al., 2006), and India (Kulshrestha et al., 2005).

Until the early 1980s very few measurements of nitrogen oxides in the atmosphere were available (Kley et al., 1981; Warneck, 1988; Bradshaw et al., 2000). Whereas NO<sub>2</sub> columns can be measured locally from ground (Noxon, 1976), from space in terms of the optical absorption of solar

**Table 3.** Airborne air composition measurement experiments in regions with lightning contributions.

Acronym*	Region	Altitudes, km	Time Period	References
–	Frankfurt (Germany) to São Paulo (Brazil) along east coast of Brazil	10.7	Dec 1982	Dickerson (1984)
GTE/CITE 1A	Central North Pacific around Hawaii	9	Nov 1983	Chameides et al. (1987); Davis et al. (1987)
STRATOZ III	55° N–55° S, passing over South America	12	June 1984	Drummond et al. (1988)
PRE-STORM	Southern Great Plains, Colorado	0–12	June 1985	Dickerson et al. (1987); Luke et al. (1992)
GTE/ ABLE 2A	Amazon Basin, Brazil	0–5	Aug 1985	Gregory et al. (1988); Torres et al. (1988); Hoell (1999)
GTE/CITE 2	East N. Pacific and Continental U.S.	8	Aug–Sep 1986	Hübner et al. (1992)
STEP	Tropical region near Darwin, Australia	11	Jan–Feb 1987	Murphy et al. (1993); Pickering et al. (1993); Russell et al. (1993)
NDTP	North Dakota, USA	10.8–12.2	28 June 1989	Poulida et al. (1996)
ELCHEM	New Mexico, USA	6–12	July–Aug 1989	Ridley et al. (1994, 1996)
TROPOZ II	55° N–55° S, passing South America	0–11	Jan–Feb 1991	Rohrer et al. (1997); Jonquieres and Marengo (1998)
PEM-West A	West North Pacific (0°–45° N)	0–12	Oct 1991	Crawford et al. (1996); Gregory et al. (1996); Singh et al. (1996)
GTE/TRACE-A	Brazil and South Atlantic (0°–30° S)	8–12	Sep–Oct 1992 (dry season)	Fishman et al. (1996); Pickering et al. (1996); Smyth et al. (1996a)
PEM-West B	30° N–10° S, West Pacific, Guam – Hong Kong	8.9–12	Feb 1994	Gregory et al. (1997); Kawakami et al. (1997); Singh et al. (1998)
POLINAT I and II	West Europe and North Atlantic	0–12	Nov 1994, June–July 1995, Aug–Nov 1997	Schlager et al. (1997, 1999); Schumann et al. (2000)
NOXAR I and II	Airliner routes between Zurich (Switzerland) and Atlanta (USA), and Beijing (China)	6–11	1995–1997	Brunner (1998); Jeker et al. (2000); Brunner et al. (2001)
SUCCESS	North America	0–12.5	April–May 1996	Jaeglé et al. (1998)
STERAO	North-Eastern Colorado	2–11	June–July 1996	Stith et al. (1999); Dye et al. (2000)
LINOX	Southern Germany and Switzerland	0–10	July 1996	Huntrieser et al. (1998); Höller et al. (1999)
PEM Tropics A			Sep 1996	Gregory et al. (1999); PEM-Tropics-A-Science-Team (1999)
SONEX	USA and North Atlantic	0–11	Oct–Nov 1997	Singh et al. (1999); Crawford et al. (2000); Thompson et al. (2000b)
EULINOX	Germany and Southern Europe	1–10	July 1998	Höller and Schumann (2000); Höller et al. (2000); Huntrieser et al. (2002)
MOZAIC	Airliners routes between mid Europe and South Africa, South America and Far East	0–12	1998–2005	Marengo et al. (1998); Volz-Thomas et al. (2005)
STREAM 98	Canada	7.5–13	July 1998	Lange et al. (2001)
BIBLE	Tropical western Pacific and Australia	1–14	Sep–Oct 1998, Aug–Sep 1999, Nov–Dec 2000	Kondo et al. (2003a); Koike et al. (2007)
INCA	55° N–55° S, passing South America	0–12	March–April 2000	Baehr et al. (2003); Schumann et al. (2004a)
CONTRACE	West Europe	0–12	Nov 2001–July 2003	Huntrieser et al. (2005)
SPURT	35–75° N, 10° W–20° E	0–13.7	Nov 2001–July 2003	Engel et al. (2006)
CRYSTAL-FACE	Florida, USA	8–18	July 2002	Ridley et al. (2004)
HIBISCUS	Brazil, and tropical tropopause region	10–23	Feb–March 2004	Pommereau et al. (2007)
TROCCINOX 2004 and 2005	Between Europe and Brazil, and local flights near State of Sao Paulo	0–12.5 and 0–20	Jan–March 2004, and Jan–Feb 2005	Schumann et al. (2004b); Huntrieser et al. (2007); <a href="http://www.pa.op.dlr.de/troccinox/">http://www.pa.op.dlr.de/troccinox/</a>
INTEX-A/CARTT/ITOP	North America, North Atlantic and West Europe	0–12.8	July–Aug 2004	Fehsenfeld et al. (2006); Singh et al. (2006)
CARIBIC	Airliner routes between mid Europe and South Africa, South America and Far East	0–12	2005	Brenninkmeijer et al. (2005, 2007)
SCOUT-O3	Between Europe and Darwin, Australia, and local flights in the Hector cloud north of Darwin	0–20	Nov–Dec 2005	<a href="http://www.ozone-sec.ch.cam.ac.uk/scout_o3">http://www.ozone-sec.ch.cam.ac.uk/scout_o3</a>
ACTIVE and TWPICE	Area around Darwin, Australia	0–20	Nov 2005–March 2006	<a href="http://www.atm.ch.cam.ac.uk/active/">http://www.atm.ch.cam.ac.uk/active/</a> <a href="http://www.bom.gov.au/bmrc/wefor/research/twpice.htm">http://www.bom.gov.au/bmrc/wefor/research/twpice.htm</a>
AMMA	Area around Ouagadougou, Burkina Faso, West Africa	0–20	Aug 2006	Redelsperger et al. (2006)

**Table 3.** Continued.

\*) ACTIVE: Aerosol and Chemical Transport in Tropical Convection; AMMA: African Monsoon Multidisciplinary Analysis; BIBLE: Biomass Burning and Lightning Experiment; CARIBIC: Civil Aircraft for the Regular Investigation of the Atmosphere Based on an Instrumented Container; CONTRACE: Convective Transport of Trace Gases into the Middle and Upper Troposphere over Europe: Budget and Impact on Chemistry; CRYSTAL-FACE: The Cirrus Regional Study of Tropical Anvils and Cirrus Layers - Florida Area Cirrus Experiment; ELCHEM: Electrified Cloud Chemistry; EULINOX: European Lightning Nitrogen Oxides Experiment; GTE/ABLE 2A: Global Tropospheric Experiment/Amazon Boundary Layer Experiment 2A; GTE CITE: Global Tropospheric Experiment – Chemical Instrumentation Test and Evaluation; GTE/TRACE-A: Global Tropospheric Experiment/Transport and Chemistry Near the Equator – Atlantic; HIBISCUS: Impact of tropical convection on the upper troposphere and lower stratosphere at global scale; ICARTT: International Consortium for Atmospheric Research on Transport and Transformation; INCA: Interhemispheric Differences in Cirrus Properties from Anthropogenic Emissions; INTEX-A: Intercontinental Chemical Transport Experiment – North America; ITOP: Intercontinental Transport of Ozone and Precursors; LINOX: Lightning Nitrogen Oxides Experiment; MOZAIC: Measurement of Ozone by Airbus in-service Aircraft; NDTP: North Dakota Thunderstorm Project; NOXAR II: Nitrogen Oxides and Ozone along Air Routes; PEM: Pacific Exploratory Mission; POLINAT: Pollution in the North Atlantic flight corridor; PRE-STORM: Preliminary Regional Experiment for STORM-CENTRAL; SCOUT-O3: Stratospheric Climate Links with Emphasis on the Upper Troposphere and Lower Stratosphere; SONEX: Subsonic Assessment, Ozone and Nitrogen Oxide Experiment; SPURT: Spurenstofftransport in der Tropopausenregion; STEP: Stratosphere Troposphere Exchange Project; STERAO: Stratosphere – Troposphere Experiment – Radiation, Aerosols and Ozone; STRATOA III: Stratospheric Ozone Experiment; STREAM: Stratosphere-Troposphere Experiment by Aircraft Measurements; SUCCESS: Subsonic aircraft: Contrail and cloud effects special study; TROCCINOX: Tropical Convection, Cirrus, and Nitrogen Oxides Experiment; TROPOZ II: Tropospheric Ozone Experiment; TWICE: Tropical Warm Pool International Cloud Experiment.

**Table 4.** Nitrogen oxides chemical lifetimes (in days) in various atmospheric regions.

Altitude range, km	Global <sup>(1)</sup>	North America <sup>(2)</sup>	Western North Pacific <sup>(3)</sup>	South Atlantic Basin <sup>(4)</sup>	Tropical South Pacific <sup>(5)</sup>
2–4	1	0.3–0.8	1.1–1.8	0.18	0.7–1
4–8	5	1–3	1.5–2.4	0.66	1.1–2.1
8–12	10	3–10	3.2–8.9	2.4	4.2–7.4

<sup>(1)</sup> Tropospheric regions which have not recently experienced deep convection. Based on MATCH-MPIC model results (Lawrence et al., 2003b; von Kuhlmann et al., 2003b). The three altitude ranges given correspond to the lower troposphere, middle troposphere and upper troposphere in the model.

<sup>(2)</sup> Photochemical model constrained to data obtained during SUCCESS over North America in April and May (Jaeglé et al., 1998).

<sup>(3)</sup> Western North Pacific, 0–42° N, photochemical model constrained with observed NO, O<sub>3</sub>, H<sub>2</sub>O, CO, NMHC, H<sub>2</sub>, CH<sub>4</sub>, temperature, pressure, and UV solar flux values (PEM West A). In this analysis, the NO<sub>x</sub> lifetime decreases with latitude. The lower/upper bounds given reflect the values for 18–42° N and 0–18° N, respectively (Davis et al., 1996).

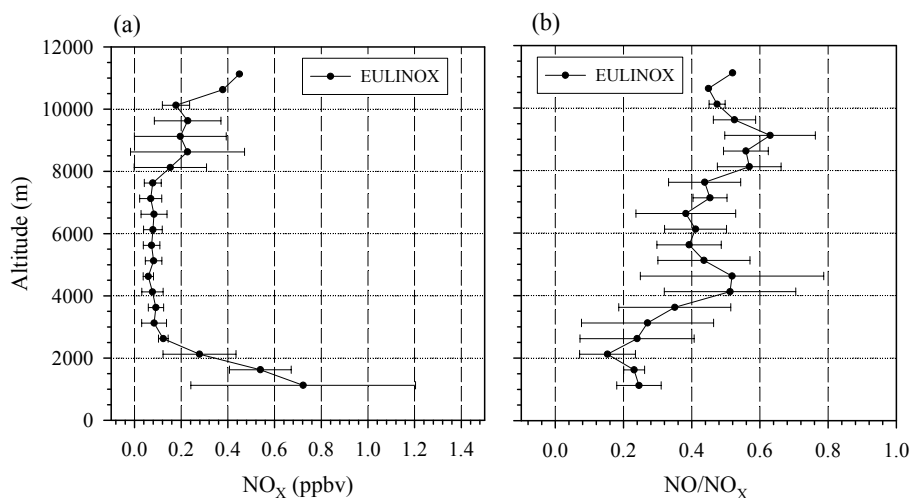
<sup>(4)</sup> South Atlantic Basin. Model for the Southern Hemisphere TRACE-A data (Jacob et al., 1996; Smyth et al., 1996b).

<sup>(5)</sup> Tropical South Pacific (PEM-Tropics A), photochemical model constrained with observations (Schultz et al., 1999).

light in limb (Russell III et al., 1993; Llewellyn et al., 2004; Rind et al., 2005) and nadir (Burrows et al., 1999; Zhang et al., 2000), tropospheric NO cannot be determined by remote sensing accurately. In recent decades, in-situ instruments to measure NO, NO<sub>x</sub>, and NO<sub>y</sub> and their speciation accurately at low and high concentrations have been developed (Clemmshaw, 2004; Singh et al., 2007). Accurate in-situ measurements of NO are difficult to perform, because of the large range of concentrations and the large spatial and temporal variability. Many in-situ instruments determine the NO concentration from the rate of photon emissions from chemiluminescence (CL) during reaction of NO with excess O<sub>3</sub> in a reaction chamber; NO<sub>y</sub> is measured similarly after catalytic conversion of NO<sub>y</sub> to NO (Fahey et al., 1985; Hübler et al., 1992; Bradshaw et al., 1998). Alternatively, NO may be

measured with low detection limits using two-photon laser-induced fluorescence (TP-LIF) (Sandholm et al., 1990) and NO<sub>2</sub> with a time-gated laser-induced fluorescence instrument (LIF) (Thornton et al., 2000).

Since the early 1980s, many airborne field experiments have been carried out to measure NO<sub>x</sub> and NO<sub>y</sub> components in the free troposphere (Bradshaw et al., 2000; Emons et al., 2000; Brunner et al., 2001), see Table 3. Several experiments obtained measurements of NO<sub>x</sub>, O<sub>3</sub>, ozone precursors, aerosols and air mass tracers in convective outflow regions. But only a few dedicated experiments (such as STERAO, LINOX, EULINOX, and TROCCINOX) measured these species in the inflow and outflow regions of the storms together with measurements of the cloud structure and kinematics and the lightning activity, which can be used to



**Fig. 3.** Average profiles of (a)  $\text{NO}_x$  concentration and (b)  $\text{NO}/\text{NO}_x$  ratio (Huntrieser et al., 2002). The profiles represent the mean values over all EULINOX mission days (except the case of 21 July, with an exceptionally strong thunderstorm). The horizontal bars indicate standard deviations.

connect the chemical measurements in the convective outflow to specific cloud and lightning properties.

The atmospheric  $\text{NO}_x$  mole fraction or mixing ratio (i.e. number of  $\text{NO}_x$  molecules per number of air molecules) spans a wide range ( $0.001\text{--}100\text{ nmol mol}^{-1}$ ) and shows considerable small-scale spatial and temporal variability due to local sources and highly variable sinks. The mixing ratio values reach from an order of  $1\text{--}10\text{ pmol mol}^{-1}$  in the clean maritime boundary layer to an order of  $10\text{--}100\text{ nmol mol}^{-1}$  in polluted continental boundary layers (Fehsenfeld and Liu, 1993; Carroll and Thompson, 1995). It reaches an order of  $0.05\text{--}1\text{ nmol mol}^{-1}$  near the tropopause, and about  $20\text{ nmol mol}^{-1}$  near 3 hPa pressure altitude in the tropical stratosphere (Groß and Russell III, 2005). The tropospheric vertical profile often shows a C-shape with low values in the mid-troposphere and high values in the polluted boundary layer and near the tropopause (Kley et al., 1981; Drummond et al., 1988; Warneck, 1988; Luke et al., 1992; Rohrer et al., 1997; Huntrieser et al., 2002), see for example Fig. 3a. Upper tropospheric  $\text{NO}_x$  stems from fast vertical transport from the planetary boundary layer via convection, downward mixing of stratospheric sources, and from in-situ sources from lightning and aviation (Ehhalt et al., 1992; Schlager et al., 1997; Thompson et al., 2000b). The equilibrium ratio of  $\text{NO}/\text{NO}_x$  increases with the  $\text{NO}_2$  photolysis rate, and decreases with the ambient  $\text{O}_3$  concentration and ambient temperature (Schlager et al., 1997); hence, it varies typically between 0.3 and 0.9 during day time (Fig. 3b), with the largest values above clouds in the upper tropical troposphere, and approaches zero quickly during night.

The lifetime for  $\text{NO}_x$  with respect to photochemical loss, see Table 4 and a plot in Levy et al. (1999), varies between 0.2 and 10 days, generally increasing with latitude and alti-

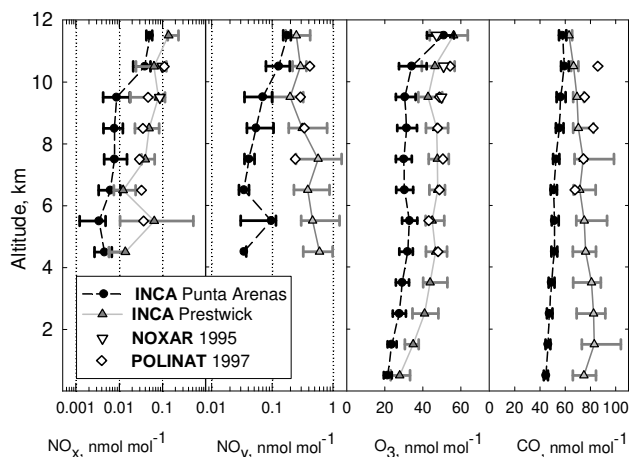
tude in the troposphere; the lifetime of  $\text{NO}_2$  is shorter than that of  $\text{NO}$  (Davis et al., 1996). The lifetime of  $\text{HNO}_3$  against photolysis is of the order of 10 to 20 days in the tropics and increases strongly with latitude (Jacob et al., 1996; Tie et al., 2001).  $\text{HNO}_3$  rainout occurs intermittently in precipitation events (Giorgi and Chameides, 1985; Giannakopoulos et al., 1999; Shindell et al., 2006). In the troposphere, part of the  $\text{NO}_x$  gets converted to PAN which is thermally unstable, not water-soluble, and has a long lifetime in the cold upper troposphere (100 days at  $-30^\circ\text{C}$ ) (Tie et al., 2001). As a consequence, the tropospheric  $\text{NO}_x/\text{NO}_y$  ratio varies strongly, typically from 0.05 to 0.5 (Ridley et al., 1994; Singh et al., 1996; Ziereis et al., 2000; Koike et al., 2003; Hegglin et al., 2006). This ratio is often larger than in photochemical equilibrium with  $\text{HNO}_3$  and PAN, suggesting fresh  $\text{NO}_x$  sources from convection and lightning (Jaeglé et al., 1998; Ko et al., 2003; Koike et al., 2003). In the upper troposphere over the North Atlantic, the  $\text{NO}_y$  composition was found to be dominated by a mixture of  $\text{NO}_x$  (25%),  $\text{HNO}_3$  (35%) and PAN (17%) (Talbot et al., 1999). Over North America in summer,  $\text{NO}_x$  contributes about 15% to  $\text{NO}_y$ , while PAN and  $\text{HNO}_3$  are the dominant species, providing some 65% of  $\text{NO}_y$ , with PAN dominating in the upper troposphere and  $\text{HNO}_3$  in the lower troposphere (Singh et al., 2007). In the upper troposphere, the  $\text{NO}_x/\text{HNO}_3$  ratio varies strongly because convection provides local sources of  $\text{NO}_x$  while  $\text{HNO}_3$  is depleted due to scavenging during uplift (Jaeglé et al., 1998). In the tropical Pacific, convection has been observed to increase  $\text{NO}_x$  over land and to decrease  $\text{NO}_x$  over the ocean because of upward transport of polluted or very clean air masses, respectively (Koike et al., 2003). The  $\text{NO}_x/\text{HNO}_3$  ratio has been used to test the validity of photochemical models and as “chemical clock” to determine the age of air since outflow from

**Table 5.** Satellite platforms and instruments providing NO<sub>2</sub> column measurements.

Instrument*	Satellite	Period	Spatial resolution, km <sup>2</sup>	Local time at equator	Swath, km	Global cover after, days	Spectral range, nm	Reference
GOME	ERS-2	1995–2003**	320×40	10:30 a.m.	960	3	240–790	Burrows et al. (1999)
SCIAMACHY	ENVISAT	Since 2002	60×30	10:00 a.m.	960	6	240–2380	Bovensmann et al. (1999)
OMI	AURA	Since 2004	13×24	01:45 p.m.	2600	1	270–500	Levelt et al. (2006)
GOME-2	METOP	Since Oct 2006	80×40	09:30 a.m.	1920	1.5	240–790	Munro et al. (2006)

\*AURA: NASA Earth Science satellite; ENVISAT: European Earth Observation satellite; ERS-2: European Remote Sensing Satellite; GOME (-2): Global Ozone Monitoring Experiment (-2); METOP: ESA – Polar orbiting weather satellite; OMI: Ozone Monitoring Instrument; SCIAMACHY: Scanning Imaging Absorption Spectrometer for Atmospheric Cartography.

\*\*GOME continued measurements with reduced spatial coverage thereafter.



**Fig. 4.** Trace gas profiles from airborne measurement flights out of Punta Arenas in March 2000 and Prestwick in September 2000 during the project INCA (Baehr et al., 2003). For comparison median values of POLINAT II (Shannon, Ireland, July–September 1997) (Schumann et al., 2000) and NOXAR 1995 (>50° N) (Brunner et al., 2001) are included. Symbols and whiskers indicate median values and 25% and 75% percentiles, respectively.

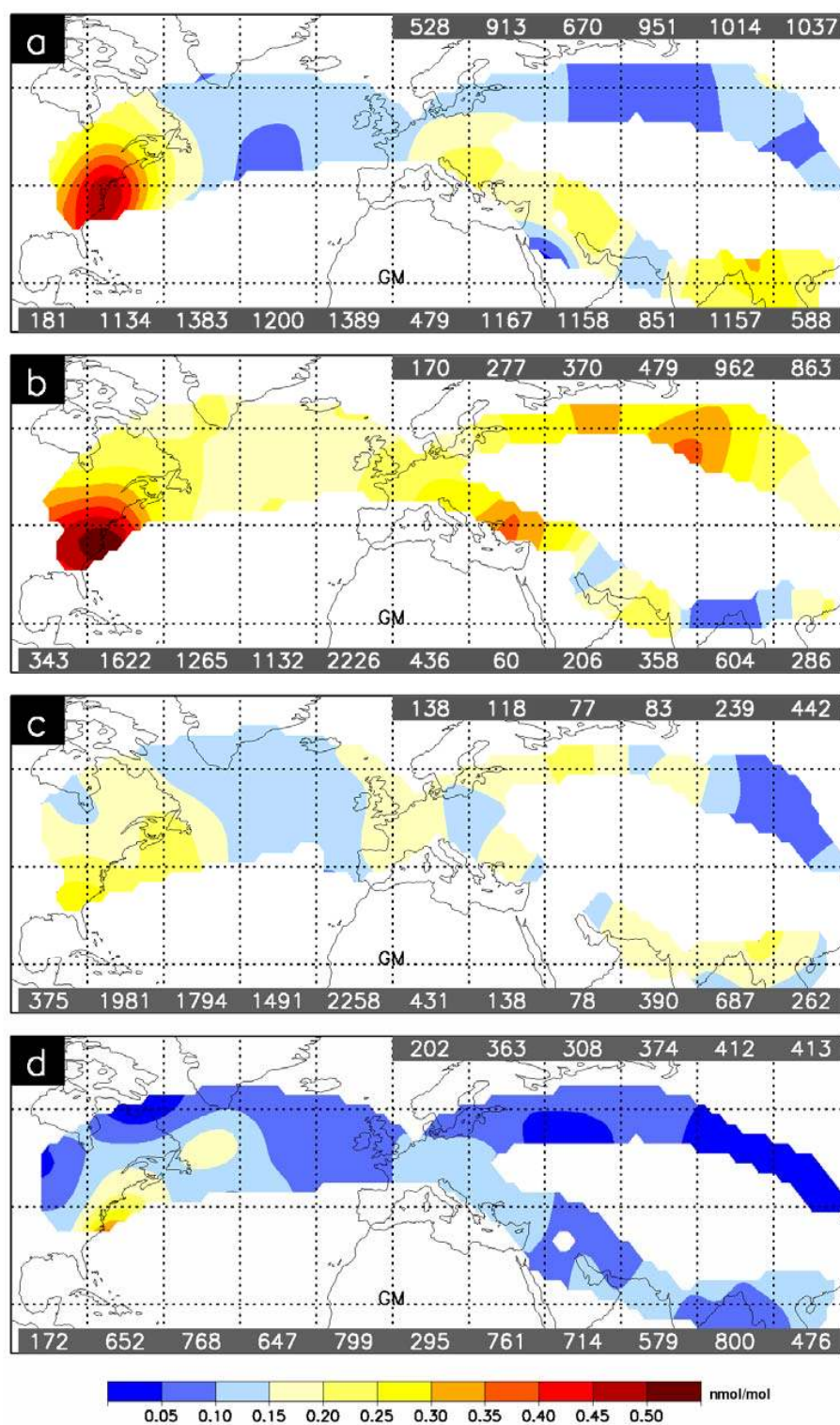
convective clouds (Prather and Jacob, 1997; Schultz et al., 1999; Wang et al., 2000; Bertram et al., 2007).

Because of the different magnitudes of the NO<sub>x</sub> emissions, tropospheric concentrations are higher over the continents than over the oceans (Drummond et al., 1988), and higher at northern than at southern midlatitudes (Baehr et al., 2003), see Fig. 4. First climatologies of NO<sub>x</sub> and NO<sub>y</sub> (Carroll and Thompson, 1995; Emmons et al., 1997; Thakur et al., 1999) have been considerably extended by the Nitrogen Oxide and Ozone Concentration Measurements along Air Routes (NOXAR) project. The measurements in the upper troposphere at Northern midlatitudes show background NO<sub>x</sub> values in the 20–200 pmol mol<sup>-1</sup> range, highly skewed probability distributions, and large regions with NO<sub>x</sub> > 0.5 nmol mol<sup>-1</sup> reflecting fresh sources from upward convection of polluted boundary layer air masses and lightning contributions (Brunner et al., 2001), see Fig. 5.

Measurements of NO<sub>2</sub> profiles from space have been obtained by limb sounding methods, e.g., the Halogen Occultation Experiment (HALOE) (Russell III et al., 1993), SAGE II (Stratospheric Aerosol and Gas Experiment II) (McCormick, 1987), the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) (Funke et al., 2005), and the Optical Spectrograph and Infrared Imager System (OSIRIS) (Llewellyn et al., 2004). These instruments provide profiles versus altitude and latitude in the stratosphere and in the upper troposphere above clouds. NO<sub>2</sub> columns above the Earth surface can be derived from nadir measurements. Data on the global distribution of NO<sub>2</sub> columns have been provided by the Global Ozone Monitoring Experiment GOME since 1995 (Burrows et al., 1999), and later by SCIAMACHY (Bovensmann et al., 1999), and OMI (Levelt et al., 2006); GOME-2 on METOP was launched recently, see Table 5. The GOME and SCIAMACHY satellite overpasses are restricted to the morning hours (10:00 or 10:30 LT), when the LNO<sub>x</sub> source is small (Kurz and Grewe, 2002). Better spatial coverage and observations during the early afternoon is provided by OMI (Bucsela et al., 2006). Measurements on such low orbiting satellites suffer the effects of cosmic radiation when passing the South Atlantic anomaly of the geomagnetic field off the coast of Southern Brazil (Heirtzler, 2002).

The total NO<sub>2</sub> molecule column amounts to about 1.5–3×10<sup>15</sup> cm<sup>-2</sup> in the tropics and 0.5–6×10<sup>15</sup> cm<sup>-2</sup> at middle and high latitudes (Wenig et al., 2004). The stratospheric part is rather smooth longitudinally and dominates in remote oceanic regions with low local pollution. Therefore, the tropospheric part, see Fig. 6, may be obtained by subtracting the total column in such remote regions. The tropospheric NO<sub>2</sub> column may reach a maximum of up to 50×10<sup>15</sup> cm<sup>-2</sup> locally at 30×60 km<sup>2</sup> resolution over the industrial regions in the annual mean. In the tropics the NO<sub>2</sub> plumes originate from the continents, presumably mainly from biomass burning, soil emissions and local pollution near large cities. The tropospheric column of NO<sub>2</sub> molecules per unit surface area is dominated by the NO<sub>2</sub> abundance in the lower troposphere. The presence of clouds prevents the detection of NO<sub>2</sub> below the cloud, and enhances detection of NO<sub>2</sub> above cloud top.

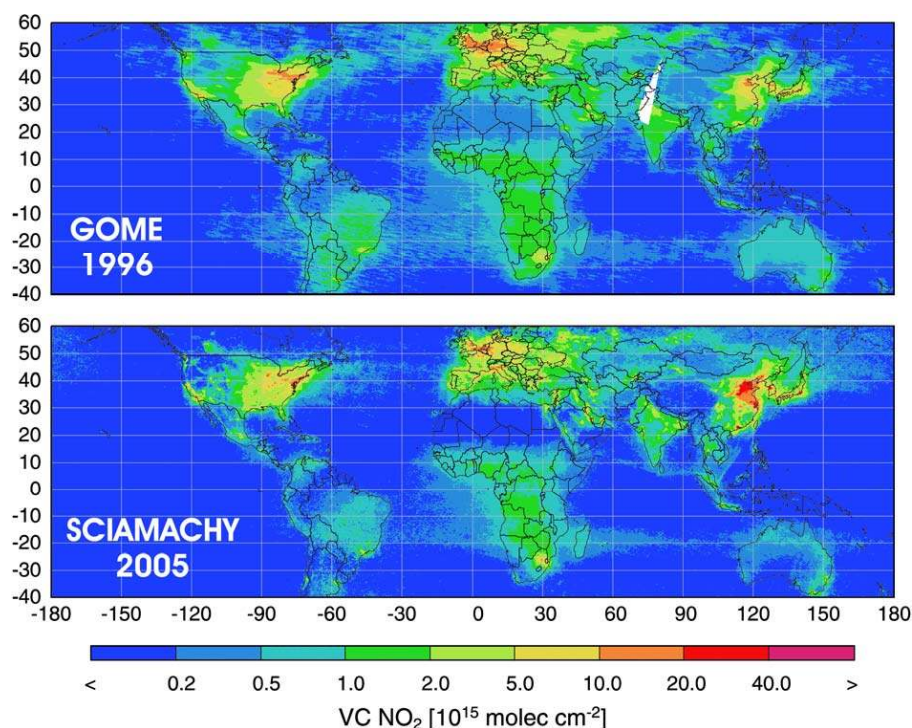




**Fig. 5.** Distribution of NO<sub>x</sub> mixing ratio in the 330–220 hPa altitude range in the four seasons (a: MAM, b: JJA, c: SON, d: DJF). Numbers denote the sample sizes along the routes (Brunner, 1998).

The satellite-derived NO<sub>2</sub> columns have been used together with estimates of the NO<sub>2</sub> lifetime or with global mod-

els to derive global or regional NO<sub>x</sub> budgets (Leue et al., 2001; Velders et al., 2001; Lauer et al., 2002; Martin et al.,



**Fig. 6.** Annual mean tropospheric  $\text{NO}_2$  column density versus longitude and latitude from a retrieval of, top: GOME data for the year 1996; bottom: SCIAMACHY data for the year 2005. The data and the analysis method are described in Richter et al. (2005). Figure provided by A. Richter (personal communication, 2006).

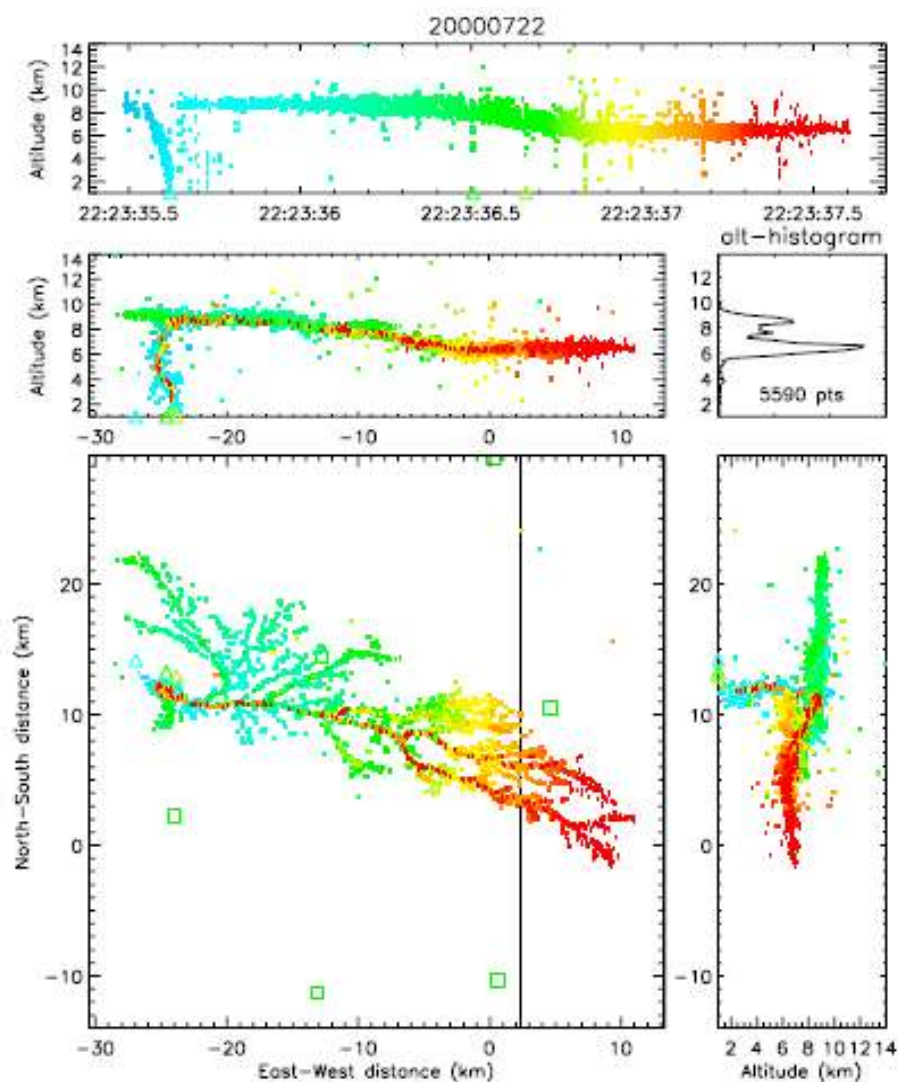
2002a; Richter and Burrows, 2002; Duncan et al., 2003; Edwards et al., 2003; Kunhikrishnan et al., 2004; Savage et al., 2004; Choi et al., 2005; Irie et al., 2005; Jaeglé et al., 2005; Konovalov et al., 2005; Meyer-Arnek et al., 2005; Richter et al., 2005; Ma et al., 2006; van der A et al., 2006; van Noije et al., 2006). Figure 6 illustrates the improvement in spatial resolution provided by SCIAMACHY compared to GOME. On the other hand, the GOME time series is still longer. GOME and SCIAMACHY data have been used to detect decreases of  $\text{NO}_2$  column values over Europe and the USA and increases over China (Richter et al., 2005), which are obvious from Fig. 6. Moreover, GOME and SCIAMACHY data have been used successfully to detect ship- $\text{NO}_x$  emissions, in spite of their small  $\text{NO}_2$  columns of the order of  $(0.5\text{--}1)\times 10^{15}\text{ cm}^{-2}$  (Beirle et al., 2004a; Richter et al., 2004).

The  $\text{LNO}_x$  contribution to the  $\text{NO}_2$  column is difficult to observe directly from space for various reasons (Hild et al., 2002; Choi et al., 2003; Beirle et al., 2004b; Martin et al., 2006). Any correlation between  $\text{NO}_2$  columns and lightning frequency densities is not immediately evident. Different methods of GOME retrievals vary by more than 10% (van Noije et al., 2006). Therefore, accurate  $\text{LNO}_x$  estimates require  $\text{LNO}_x$  column contributions significantly larger than 10%. Model studies compute  $\text{LNO}_x$  contributions to the  $\text{NO}_2$  column below  $(2\text{--}6)\times 10^{14}$  molecules  $\text{cm}^{-2}$  (Martin et al., 2003, 2007; Boersma et al., 2005), i.e. a small fraction of the

annual mean  $\text{NO}_2$  column even in the tropics. The computed  $\text{LNO}_x$  column contribution is generally below 20% (Martin et al., 2003; Boersma et al., 2005; van Noije et al., 2006) with localized fractions of more than 80% in regions with weak surface  $\text{NO}_x$  emissions (Martin et al., 2007). Detections of  $\text{LNO}_x$  contributions to the  $\text{NO}_2$  column in space-based observations are discussed in Sect. 2.4.

### 2.3 Lightning

Lightning is a transient, high-current electric discharge over a path length of several kilometres in the atmosphere (Uman, 1987). The majority of lightning in the Earth's atmosphere is associated with convective thunderstorms (MacGorman and Rust, 1998; Rakov and Uman, 2003). Lightning forms from the breakdown of charge separation in thunderstorms. Charge separation is efficient for strong updrafts containing supercooled liquid water, ice crystals and hail or graupel (Takahashi, 1984; Saunders, 1993; Deierling et al., 2005; Petersen et al., 2005; Kuhlman et al., 2006; Sherwood et al., 2006). The charge separation leads to high electric field strengths in thunderstorms (Marshall et al., 1995). Once the electric field exceeds a certain threshold value, a lightning discharge may occur (Stolzenburg et al., 2007). The threshold value decreases with altitude and is of the order of 100 to 400  $\text{kV m}^{-1}$ , far smaller than in the laboratory,



**Fig. 7.** Radiation sources for a negative CG discharge observed within STEPS. The colours indicate time progression, and the different panels show the evolution of the flash in (top) height-time, (bottom left) plan view, and in (middle left) east-west (E-W) and (bottom right) north-south (N-S) vertical projections. Also shown is a histogram of the source heights. The triangles indicate negative ground strike times and locations from the National Lightning Detection Network (NLDN). The squares in the plan view indicate the location of measurement stations, and the vertical line denotes the Colorado-Kansas state border; from Thomas et al. (2004).

possibly because of cosmic-ray-induced electrical breakdown in the atmosphere (Dwyer, 2005; Gurevich and Zybin, 2005; Khaerdinov et al., 2005). The lightning discharge in its totality is called a flash (Orville, 1968). One distinguishes between cloud-to-ground (CG) lightning and various other lightning types (which we call IC), including intracloud, intercloud and cloud-to-air lightning. So-called blue jets have been observed above clouds, and sprites and other transient luminescent events occur in the middle atmosphere (Füllekrug et al., 2006). Positive and negative CG flashes (CG+ and CG-) are distinguished depending on whether positive or negative charges are transported from the cloud to the ground. CG+ discharges are less frequent than negative ones,

but have larger currents and transfer more charge (Orville, 1994; Lyons et al., 1998b). Lightning occurs typically in a sequence of stages. A CG discharge begins with local dielectric breakdown causing first branched conduction paths inside the cloud. The breakdown initiates conducting channels, e.g. in the form of a “stepped leader” that moves earthward in discrete steps. A large fraction of charge is lowered to the ground within a “return stroke,” an intense discharge region that propagates up the stepped leader path from ground to cloud. A flash consists of one or more strokes closely spaced in time travelling along the same discharge channel (Thery, 2001; Saba et al., 2006a). The first stroke is often the most energetic one and assumed to produce the largest amount of

LNO<sub>x</sub> (Hill, 1979; Dawson, 1980). Large currents also occur in relatively slow discharge processes, such as continuing currents, in both cloud and cloud-to-ground flashes (Rakov and Uman, 2003; Saba et al., 2006b). The flash properties vary from storm to storm and during the lifecycle of a thunderstorm; they depend on the volume and strength of the convective updrafts causing charge separation (Lang and Rutledge, 2002); as well, they depend on the degree of cell isolation and the complexity of cell evolution (MacGorman et al., 2007).

Lightning can be detected from ground and from space using sensors measuring the optical emission, electric radio waves, or magnetic waves resulting from the discharge processes in certain frequency ranges (MacGorman and Rust, 1998). The low frequency (LF, 30–300 kHz), very low frequency (VLF, 3–30 kHz), extremely low frequency (ELF, 30–300 Hz), and very high frequency (VHF, 30–300 MHz) bands are employed for lightning detection besides acoustical and optical detection means. Various parts of a flash cause different emissions. The bright spark of light associated with CG lightning stems from the return stroke. Lightning channels behave like a huge antenna which radiates electromagnetic energy as signals of impulsive nature below about 100 kHz (Price et al., 2007). Strong LF radio emission is generated by CG flashes mainly near ground. IC flashes emit multi-pulse bursts of VHF signals from the inner parts of the clouds (Proctor, 1991; Suszcynsky et al., 2000; Thomas et al., 2000). The continuing current between strokes causes small radio wave signals but large reductions in the electric field strength. Most of the ground-based operational lightning detection networks provide two-dimensional (2-D) maps of mainly CG lightning events (Orville et al., 2002) (Table 6). Regionally, within a dense network of detectors, height information is also available (Table 7). The systems use magnetic direction finders (Cummins et al., 1998), time of arrival (Shao and Krehbiel, 1996) or VHF interferometers techniques (Defer et al., 2001) to evaluate the location of the lightning sources. In addition, the duration, and energy or peak current of the flash can be deduced from the measured electromagnetic signals. The peak current is approximately proportional to the amplitude of VLF/LF signals (Orville, 1999; Jerauld et al., 2005; Schulz et al., 2005). Peak current measurements are sensitive to network station spacing; recent measurements show median peak currents of the order of 16–20 kA, smaller than what was estimated earlier (Orville et al., 2002; Biagi et al., 2007).

VHF systems allow for fine-scale observations of the structure of flashes. For example, Fig. 7 shows a lightning discharge observed by the VHF New Mexico Tech Lightning Mapping Array (LMA, see Table 7) (Noble et al., 2004; Thomas et al., 2004; Wiens et al., 2005) during the Severe Thunderstorm Electrification and Precipitation Study (STEPS) (Lang et al., 2004), that illustrates the spatial resolution that the system is able to obtain. Simultaneous data from the National Lightning Detection Network

(NLDN) show that the flash was a multiple-stroke negative CG discharge. The top panel of the figure shows the altitude of the VHF sources versus time and indicates an initial stepped leader initiated between 8 and 9 km altitude, after about 50 ms of preliminary breakdown, that required about 60 ms to reach the ground. Thomas et al. (2004) show that the location accuracy for VHF sources between about 6 and 12 km altitude over the central part of the network is <12 m in horizontal position and <30 m in the vertical.

Since the mid 1980s ground-based observations have provided detailed information on the structure of VLF/LF sources radiated by lightning in real time with regional coverage. In many countries lightning detection is routinely performed by means of VLF/LF-networks. Prominent examples are the NLDN in the USA and EUCLID in Europe. These systems report mainly strong (>5 kA) CG strokes (Cummins et al., 1998). Advanced VLF/LF measuring and signal processing techniques detect also IC flashes (Betz et al., 2004; Shao et al., 2006). VLF/LF systems, such as the operational Lightning Location Network (LINET) use refined antenna techniques, optimised waveform handling and shorter sensor base line of ~100 km. Hence, they locate also low-current discharges (>1 kA) and discriminate IC and CG events (Betz et al., 2004). Betz et al. (2007) find a large number of IC signals especially with low current values. The World Wide Lightning Location Network (WWLLN) of VLF-sensors (typically 7000 km distance) provides quasi global real-time observations; its detection efficiency is low, of the order of 0.3–1% (Lay et al., 2004; Jacobson et al., 2006).

The Optical Transient Detector (OTD) was, and the Lightning Imaging Sensor (LIS) is, an operational spaceborne camera which detects and locates rapid changes in the brightness of the clouds as they are illuminated by lightning discharges. Both sensors use narrow band optical filtering to select an oxygen triplet line generated by atmospheric lightning centred at 777.4 nm. The narrow band filter reduces daytime background light to a level which allows continuous day/night observation of lightning events. The instruments detect total lightning, since cloud-to-ground, intracloud, and cloud-to-cloud discharges all produce optical pulses that are visible from space. The optical pulses are combined into flashes depending on the temporal and spatial separation; the clustering induces less than 20% uncertainty in the overall flash counts (Mach et al., 2007). The two sensors cover different latitude bands (OTD: ±75°; LIS: ±35°; ±39° since the satellite (the Tropical Rainfall Measuring Mission, TRMM) was boosted from 350 to 402 km altitude during August 2001). Depending on cloud thickness and transparency, the detection efficiency (for sufficiently strong flashes) of LIS (OTD) is about 85% (50%) on average with weak day/night biases and a local minimum of about 50% in the region of the South Atlantic anomaly of the geomagnetic field off the coast of Southern Brazil (Bocippio et al., 2000, 2002; Christian et al., 2003). LIS observes an

**Table 6.** Selection of operational two-dimensional lightning observation systems.

Acronym*	Based at	Observed flash types	Spatial coverage	Spatial resolution, km	Temporal coverage	Reference
EUCLID	Ground	CG	Europe	<10	Continuous since 1998	<a href="http://www.euclid.org/index.html">http://www.euclid.org/index.html</a> , Schulz et al. (2005)
LINET	Ground	CG+IC	More than 60 stations in mid-Europe	<1	Continuous since 2006	Betz et al. (2007)
NLDN	Ground	CG	North America	<10	Continuous since 1998	Cummins et al. (1998)
RINDAT	Ground	CG	Brazil	<2	Continuous since 1999	Pinto and Pinto (2003)
WWLLN	Ground	CG and some IC	global	20	Continuous since 2003	Lay et al. (2004)
OTD	MicroLab-1 satellite, 740 km altitude	CG+IC	75° N–75° S	8–20	min day <sup>-1</sup> , 1995–1999	Christian et al. (2003)
LIS	TRMM satellite, 350 km altitude	CG+IC	35° N–35° S (39° N–39° S since August 2001)	10	min day <sup>-1</sup> , since 1998	Thomas et al. (2000); Christian and Petersen (2005)

\* EUCLID: European Cooperation for Lightning Detection; LIS: Lightning Imaging Sensor; LINET: Lightning Location Network; NLDN: National Lightning Detection Network; OTD: Optical Transient Detector; RINDAT: Brazilian Integrated Lightning Detection Network; TRMM: Tropical Rainfall Measuring Mission; WWLLN: World Wide Lightning Location Network.

area of  $600 \times 600 \text{ km}^2$  with a spatial resolution of about 4 km directly below the satellite, increasing in size to 7 km on a side at the edges of the field of view (Thomas et al., 2000). Because of higher orbit (750 km), the field of view and pixel sizes are about 2.1 times larger for OTD than for LIS (Mach et al., 2007). LIS (OTD) observes each point in the scene for about 90 (190) s, and each point of the Earth for only about a day per year. Nevertheless, they provide statistics with near global coverage (Christian et al., 2003). They derive a counting of total lightning activity but do not discriminate between IC and CG flashes. The counting treats all flashes equally regardless of the intensity, though radiance values are available from the observations as well (Baker et al., 1999). Other spaceborne sensors using VHF radiation have been flown for limited periods (Kotaki and Katoh, 1983), or are operated in an experimental fashion, like the Fast On-Orbit Recording of Transient Events (FORTE) (Boeck et al., 2004; Noble et al., 2004), or have been suggested for future missions (Bondiou-Clergerie et al., 2004). VHF sensors are independent of day/night and ocean/land light differences.

Lightning climatologies have been derived from ground and satellite-based systems for many regions (Brazil, Africa, India, Austria, Germany, Italy, Spain, Japan, China, Tibetan Plateau, Indonesia, Israel, Canada, and the USA), and also for oceans, the Mediterranean Sea, the tropics, hurricanes and mesoscale systems, see Williams (2005), Pinto et al. (2006), and further references (Finke and Hauf, 1996; Molinari et al., 1999; Price and Federmesser, 2006). More-

over, mobile lightning detection systems have been used in connection with special observation experiments such as during EULINOX: VHF interferometer (They et al., 2000), STERAO: VHF interferometer (Defer et al., 2001), STEPS: LMA (Thomas et al., 2004), TROCCINOX: LINET (Schmidt et al., 2005), SCOUT-O3, TWIPICE, and AMMA: LINET. (STEPS provided extensive cloud and lightning observations (Lang et al., 2004) but no air composition measurements.) Figure 8 shows an example of LINET observations as obtained in Southern Brazil during TROCCINOX. Lightning activity is well correlated with radar reflectivity. One can recognize a major line-like oriented convective system with embedded distinct cell centres associated with the majority of the lightning events. The LIS flashes coincide nicely with the LINET stroke clusters.

The global frequency of lightning flashes was first estimated by Brooks (1925) to be of the order of  $100 \text{ s}^{-1}$ . Later estimates, see Table 8, reached as high as  $1600 \text{ s}^{-1}$ , partially because of confusion about whether CG or IC or both types of flashes are counted and confusion between the terms “stroke” and “flash” (Rakov and Uman, 2003). The number of strokes (or IC pulses) varies regionally. Global observations are missing, but typical values may be 1.9 for CG flashes and 6 for IC flashes (Borucki and Chameides, 1984). From an aircraft flying above clouds, intracloud flashes were observed to have almost twice as many optical pulses as ground discharges (Goodman et al., 1988). During the EULINOX experiment, average CG- and CG+ flashes were found

**Table 7.** Three-dimensional lightning observation systems.

System	ITF: Office National d'Etudes et de Recherches Aérospatiales (ONERA) VHF interferometric mapper	LMA: The New Mexico Tech Lightning Mapping Array	LINET: Lightning Location Network
Frequency Sampling interval	VHF: 1 MHz band near 114 MHz 23 $\mu$ s; 100 $\mu$ s in real time	VHF: 60–66 MHz 50 ns	VLF/LF: 5–200 kHz 1 $\mu$ s
Number of stations	2 stations 40 km apart during EU-LINOX and STERAO-A	13 stations within 70 km diameter during STEPS	6 sensors in a range of 100 km during TROCCINOX, 20 sensors within 100 km over Southern Germany and $\sim$ 200 km otherwise
Location technique	Azimuth and elevation angles	Time of arrival relative to GPS time reference	Time of arrival relative to Global Positioning System (GPS) time reference, and bearing angles components of magnetic induction; discrimination of IC and CG strokes with a three-dimensional (3-D) procedure from deviations of arrival times measured at sensor stations close to lightning events as compared to arrival times expected on the basis of 2-D propagation paths
Detection	Up to 4000 $s^{-1}$ samples of VHF radiation emitted along the propagation path of IC or CG discharges, typically 50–60 km for 3-D and 120 km range for 2-D localisation	Impulsive radio frequency radiation emitted along the propagation path of IC or CG discharges, typically 100 km range for 3-D localisation, depending on size of the network	VLF/LF emissions from IC or CG discharges; typically 100 km range for 3-D and 300 km for 2-D localisation, depending on size of the network
Location accuracy	0.25° azimuth, 0.5° elevation at 22° elevation	6–12 m horizontal, 20–30 m vertical	250 m horizontally, in Germany 10–30% vertically, inside network
Reference	They et al. (2000); Defer et al. (2001); They (2000)	Thomas et al. (2004)	Betz et al. (2004, 2007); Schmidt et al. (2005)

to be composed of 2.8 and 1.2 strokes, respectively (They, 2001).

The knowledge of the global distribution of lightning has improved strongly since the advent of space-based lightning observations. Observations with OTD (and ongoing observations with LIS (Christian and Petersen, 2005)) (see Table 6) indicate a global flash rate of  $44 \pm 5 s^{-1}$  (Christian et al., 2003). The LIS data for the years 1998–2005 reveal annual mean values of  $40.2 \pm 4 s^{-1}$  for the latitude band  $\pm 35^\circ$  (A. Schady, personal communication, 2007). The OTD data show that higher latitudes (up to  $\pm 78^\circ$ ) contribute about 14% to the global mean lightning activity. Hence, the global mean value may possibly reach  $47 \pm 5 s^{-1}$ , consistent with recent estimates of the LIS investigators (D. Buechler, personal communication, 2007).

Lightning occurs mainly over land areas (see Fig. 9), with an average land/ocean ratio of about 6 to 10. (The precise ratio depends on the satellite used, on the resolution of the land mask, and on how coastal areas are assigned to land or ocean). Approximately 77% of all lightning occurs between  $30^\circ S$  and  $30^\circ N$ , see Fig. 10. The flash rate is a maximum over the Congo basin with annual mean flash density of  $80 km^{-2} a^{-1}$ . Over Brazil and Florida the density reaches  $30 km^{-2} a^{-1}$ , and over Northern Italy, for comparison, it stays below  $10 flashes km^{-2} a^{-1}$  (Christian et al., 2003). For Germany, a value of  $2.8 km^{-2} a^{-1}$  (mainly CG) has been reported based on a ground-based lightning location system (Finke and Hauf, 1996). In the tropics, regions with lightning activity may extend over several thousands of kilometres (Nickolaenko et al., 2006). Globally, most flashes

**Table 8.** Lightning flash rate (total, cloud-to-ground, and stroke rate).

Flash rate ( $s^{-1}$ )	CG flash rate	Stroke rate	Method	Reference
100	–	–	Estimate assuming 1800 active thunderstorms, each lasting 1 h and causing 200 flashes	Brooks (1925); Mackerras et al. (1998)
400	100	1600	Review and extrapolations based on the energy dissipated by lightning	Chameides et al. (1977); Chameides (1979a)
123±60	–	–	Photographs from two DMSP satellites at dusk	Orville and Spencer (1979)
300	60	–	Review	Kowalczyk and Bauer (1981)
80±40	–	–	Photodetector on a DMSP satellite recording lightning at dawn and dusk	Turman and Edgar (1982)
63±30	–	–	High-frequency radio receivers on the Japanese Ionosphere Sounding Satellite (ISS-b) satellite	Kotaki and Katoh (1983)
65	10–14	–	Combining DMSP, ISS-b, ground-based observations, and a model	Mackerras et al. (1998)
44±5	–	–	from OTD data and a continuous nine-year record of global lightning activity from LIS and OTD	Christian et al. (2003); Christian and Petersen (2005)

occur during the Northern Hemisphere summer (about 1.2 times more than in winter, because of larger land fraction in the Northern Hemisphere). There is a distinct seasonal and diurnal cycle. Over land, with the daily cycles of thunderstorm convection, lightning peaks clearly in the afternoon hours between 14 and 18 local time, while being less variable over oceans (Hendon and Woddberry, 1993; Finke and Hauf, 1996; Williams et al., 2000; Dai, 2001; Ricciardulli and Sardeshmukh, 2002; Soriano et al., 2006); minimum of lightning activity occurs in the morning, at 6–8 local time (Nickolaenko et al., 2006), see Fig. 11.

Lightning activity increases dramatically with the depth and the vigour of convection (in particular updraft velocity) which is particularly pronounced over the tropical continents (Williams, 1985; Zipser et al., 2006). Lhermitte and Krehbiel (1979) using a network of three Doppler radars and ground-based lightning detection systems demonstrated that the total lightning flash rate correlates with in-cloud updraft velocity. Lightning is absent or highly unlikely if the updraft speed does not exceed a threshold of roughly  $6\text{--}7\text{ m s}^{-1}$  (mean) or  $10\text{--}12\text{ m s}^{-1}$  (peak), regardless of cloud depth (Zipser, 1994; Zipser and Lutz, 1994). Case studies show that the strongest

10% of convective updraft cores (including those in most of the intense hurricanes) have average vertical velocities exceeding  $4\text{--}5\text{ m s}^{-1}$  over oceans, compared to  $12\text{--}13\text{ m s}^{-1}$  over land (Jorgenson and LeMone, 1989; Lucas et al., 1994b; Williams and Stanfill, 2002; Anderson et al., 2005). Certain supercell and multicell storms over land reach updraft velocities up to about  $80\text{ m s}^{-1}$  (Cotton and Anthes, 1989; Lang et al., 2004; Mullendore et al., 2005; Chaboureau et al., 2007). Some ground-based radar and lightning observations indicate that the flash frequency increases with cloud top height (Williams, 1985, 2001). However, even for the same cloud top brightness temperature, size and radar reflectivity, satellite data indicate that storms over water produce less lightning than comparable storms over land (Cecil et al., 2005).

The higher flash ratio over land is explained by more intense convection (“thermal hypothesis”) (Williams, 2005). Most oceanic storms have updrafts which are too weak to induce sufficiently ice and supercooled water for electrification (Zipser, 1994; Toracinta et al., 2002). The amount of convective available potential energy (CAPE) is similar over land and oceans. However oceanic updrafts achieve a

**Table 9.** Intra-cloud/cloud-to-ground flash number ratio.

IC/CG flash number ratio	Method	Author
3.35 (2–6)	Satellite and ground observations. The bracket lists the possible range of values.	Prentice and MacKerras (1977)
5 3.6–4	Review Satellite and ground observations	Kowalczyk and Bauer (1981) Proctor (1991)
2.3	Ground-based lightning observations	Mackerras and Darvenzina (1994)
4	Review	Price et al. (1997b)
4.4± 1	Satellite and ground observations	Mackerras et al. (1998)
2.8±1.4 (1–9)	OTD and NLDN data over the continental USA. The bracket lists extreme mean values at various stations.	Boccippio et al. (2001)
2±0.6 (0.75–7.7)	Data from OTD, LIS, and ground-based lightning detection instruments denoted CIGRE-500 and CGR3, over Australia. The bracket lists extreme mean values at various stations.	Kuleshov et al. (2006)
3.5 (0–12)	Data from OTD and a ground-based lightning detection network over Spain. The bracket lists the spatial variability of the mean values over the Iberian peninsula.	Soriano and de Pablo (2007)

lower fraction of their potentially available updraft velocities because of higher water loading (reducing buoyancy), more lateral entrainment, less buoyancy at low levels (Lucas et al., 1994a), and lower cloud base (Lucas et al., 1994b; Mushtak et al., 2005; Williams et al., 2005). The higher cloud base over land correlates with larger scales in the boundary layer, wider updrafts, less entrainment, and larger ice content above the freezing level (Lucas et al., 1994b; Zipser and Lutz, 1994; Williams and Stanfill, 2002).

Differing aerosol concentrations have also been proposed as a factor on the observed land-ocean contrasts (“aerosol hypothesis”) (Takahashi, 1984; Molinié and Pontikis, 1995; Rosenfeld and Lensky, 1998; Steiger et al., 2002; Williams et al., 2002; Andreae et al., 2004). Wet land regions, like the Amazon basin in the wet season, act like a “green ocean” with reduced lightning activity (Williams et al., 2002). The presumed role of increased aerosol concentration is a reduced mean droplet size, narrower cloud droplet spectra, deeper mixed phase region in the cloud, additional charge separation in this region, enhanced lightning downwind of the aerosol source, and reduced particle sizes of ice crystals (Lyons et al., 1998a; Sherwood et al., 2006). Aerosols also impact the electrical conductivity of the atmosphere (Rycroft et al., 2000). A microphysical model study shows that different boundary layer aerosol causes differences in cloud condensation nuclei (CCN), which influences thunderstorm charging (Mitzeva et al., 2006). Recent experiments provide mixed

support for the idea that smoke aerosols may impact CG polarity, and suggest a possible link between drought conditions and lightning properties instead (Lang and Rutledge, 2006). Sensitivity of lightning to natural ground radioactivity (Rakov and Uman, 2003), and to cosmic rays and the solar cycle has been also considered (Rycroft et al., 2000; Williams, 2005), but such influences are difficult to detect (Harrison, 2006). An analysis of the annual number of thunder days versus island area gives more support to the thermal than the aerosol hypothesis (Williams and Stanfill, 2002). Also the invariance of lightning activity for two months with high and low aerosol concentrations over the Amazon region casts doubt on a primary role for the aerosol enhancing the electrification (Williams et al., 2002). Simply speaking, land lightning is dominant because land is hotter than ocean (Williams and Stanfill, 2002).

Observations of the strength or size of convective updrafts do not exist worldwide. Weather analysis data indicate the global distribution of intense storms (Brooks et al., 2003). Proxies for convective intensity are given by satellite data of minimum passive microwave brightness temperature (at 37 and 85 GHz), maximum vertical extent of radar reflectivity values (e.g., 20 or 40 dBZ), and maximum radar reflectivity at some height level (e.g. >6.5 km). Such data are available from the TRMM satellite in the tropics. Global data are available from the 85-GHz passive microwave sensor on a Defence Meteorological Satellite Program (DMSP)



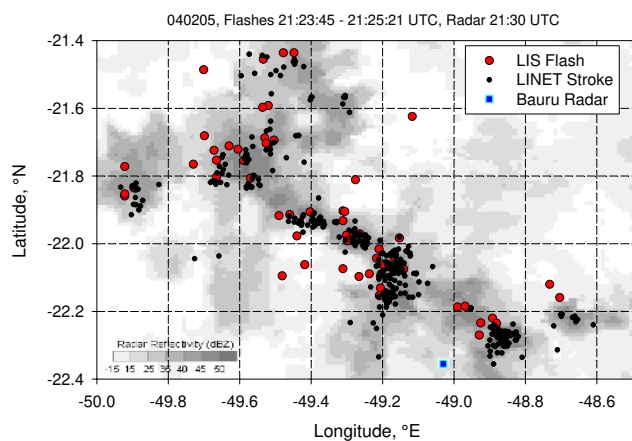
**Table 10.** Enhancements of NO and NO<sub>x</sub> mixing ratios measured in situ near thunderstorms.

Year	Project, region	Instrument <sup>(1)</sup>	Species	Mean, nmol mol <sup>-1</sup>	Mean scale <sup>(2)</sup> , km	Peak, nmol mol <sup>-1</sup>	Peak duration	Peak altitude, km	Reference
~1960s	Wank mountain station in Southern Germany	KI	NO <sub>2</sub>	–	–	~3, <50	unknown	1.7, mountain	Reiter (1970)
April 1981	Argonne, Illinois	CL	NO <sub>x</sub>	–	–	20	40 s	ground	Drapcho et al. (1983)
Dec 1982	Flight Frankfurt – Sao Paulo	CL	NO <sub>x</sub>	0.3	>100	0.5	~min	9.5	Dickerson (1984)
Nov 1983	GTE/CITE 1A, Pacific near Hawaii	TP-LIF	NO	1	40	1	2 min	9	Chameides et al. (1987); Davis et al. (1987)
1983	Midwest USA,	CL	NO <sub>x</sub>	0.6	–	1.2	10 s	10–11	Dickerson et al. (1987)
June 1985	PRE-STORM, Great Plains, Colorado	CL	NO	0.3	–	1.2, 4.1	20–60 s, 10 s	10.6	Luke et al. (1992)
12 July 1985	GTE/ABLE 2A, Amazonia, near Manaus, Brazil	CL	NO	0.06	60–100	0.17	5–40 s	5	Torres et al. (1988)
June 1989	NDTP, North Dakota	CL	NO	0.25	–	0.9	20 s	11	Poulida et al. (1996)
July–Aug 1989	ELCHEM, New Mexico	CL	NO	0.1–0.8	20–44	1.3–1.9	4 s	10.5–10.9	Ridley et al. (1994, 1996)
27 Sep 1992	GTE/TRACE A, Brazilian cerrado, 6°–12° S	TP-LIF	NO <sub>x</sub>	0.3–0.9	–	1.4	3 min	9.5	Pickering et al. (1996)
Feb 1994	PEM-West, West Pacific, 4°–10° S	CL	NO	0.05–0.2	~100	0.2	30 s	9.5	Kawakami et al. (1997)
1 July 1995	POLINAT, Ireland	CL	NO	0.6	27–90	–	–	9.5	Huntrieser et al. (1996)
June–July 1996	STERAO, Colorado	CL	NO	0.2–0.8	20–40	4.2, 19	1–10 s, (100–960 m)	7–12	Dye et al. (2000); Stith et al. (1999)
July 1996	LINOX, Southern Germany	CL	NO NO <sub>x</sub>	0.4–1.3, 0.8–2.2	10–45	3.8, 20	2 s	8.2, 9	Huntrieser et al. (1998)
Aug, Nov 1997	NOXAR/POLINAT-2, North Atlantic	CL	NO	0.8 3	1000 300	–	–	–	Jeker et al. (2000)
July 1998	EULINOX, Southern Germany	CL	NO <sub>x</sub>	0.5–3.0	15–60	25, often >20	2–10 s,	8–10	Huntrieser et al. (2002)
July 1998	STREAM, Ontario, Canada	CL	NO	0.6–2	100	2.5	1 min	10	Lange et al. (2001)
Sep 1999	BIBLE, Pacific between Darwin and Biak	CL	NO	0.1–0.3	800	1.4	1 s	13	Kondo et al. (2003b)
March 2000	INCA, west coast South America	CL	NO	0.04–0.8	400	1.3	1 s	11.5	Baehr et al. (2003)
9 Dec 2000	BIBLE C, near Darwin, Australia	CL	NO <sub>x</sub>	0.4	140–620	1.6	10 s	11.5–14	Koike et al. (2007)
July 2002	CRYSTAL FACE, Florida	CL	NO	1–4	60–120	9.5, 325 <sup>(3)</sup>	0.3 s	13.8	Ridley et al. (2004, 2006)
Jan–March 2004, Feb 2005	TROCCINOX, Brazil, State of Sao Paulo	CL	NO	0.5–1.5	25–40	45	1 s	8	Schumann et al. (2004b); Huntrieser et al. (2007)

<sup>(1)</sup> CL: Chemiluminescence from the reaction of NO+O<sub>3</sub>; KI: Method on the basis of a chemical reaction between NO<sub>2</sub> gas and a diluted KI solution; TP-LIF: two-photon laser-induced fluorescence.

<sup>(2)</sup> Horizontal mean scale of mean NO or NO<sub>x</sub> enhancements; -: no information available; if only one value is given, the information available is insufficient (or has not yet been evaluated in detail) to specify a range.

<sup>(3)</sup> Likely due to hot or cold discharges occurring on the aircraft fuselage or air sampling system (Ridley et al., 2006).



**Fig. 8.** Horizontal distribution of precipitation and lightning activity near Bauru, Brazil, between 21.4°–22.4° S and 48.5°–50.0° W, during the TROCCINOX experiment on 4 February 2005, near 21:30 UT (19:30 local time), when the TRMM satellite passed over this region. The radar image of 21:30 UTC shows maximum radar reflectivity in the vertical (max-CAPPI) as obtained from the volume scan composite of two operational C-band Doppler weather radars of the Instituto de Pesquisas Meteorológicas (IPMET), located at Bauru and Presidente Prudente. The red symbols denote the positions of lightning flashes observed with the LIS sensor from TRMM in the time window from 21:23:45 to 21:25:21. Black dots denote horizontal positions of individual lightning strokes identified by the LINET system (at any altitude) in the same time window. (Figure provided by H. Höller, DLR, using data from the LIS sensor aboard the TRMM satellite as provided by NASA, data from the LINET system set up in cooperation with the Sferics group of H.-D. Betz at the University of Munich (LMU), and radar data from the team of G. Held, IPMET, Bauru, Sao Paulo, Brazil).

polar orbiting satellite (Zipser et al., 2006). The TRMM data have been used to identify precipitation features (PF). A PF is a contiguous region of precipitation. For example, Fig. 12 shows the locations of PFs with strong lightning activity (Cecil et al., 2005; Cecil, 2006). As nicely illustrated by Zipser et al. (2006), only about 1% of all PFs reach flash rates greater than about  $3 \text{ min}^{-1}$ , with a few extremes up to  $1360 \text{ min}^{-1}$ . Zipser et al. (2006) further note: The most extreme of such convective events occur over the United States, Argentina, Congo, and parts of the Indian Subcontinent while strong storms in these measures are rare over the tropical oceans; the most intense storms over oceans occur adjacent to land, in locations favouring storm motion from land to ocean; strong convective storms are often found in semiarid regions. Tropical clouds with heavy rain over the oceans or parts of the monsoon of the Indian subcontinent show rather low flash rates; areas of intense storms in the central United States and southeast South America coincide with regions where huge mesoscale convective systems (MCS) occur frequently (Laing and Fritsch, 1997; Houze, 2004). These are regions with strong low-level wind shear

and low-level transport of very moist air and mid-level disturbances which may lift the low-level air and initiate release of convective instability.

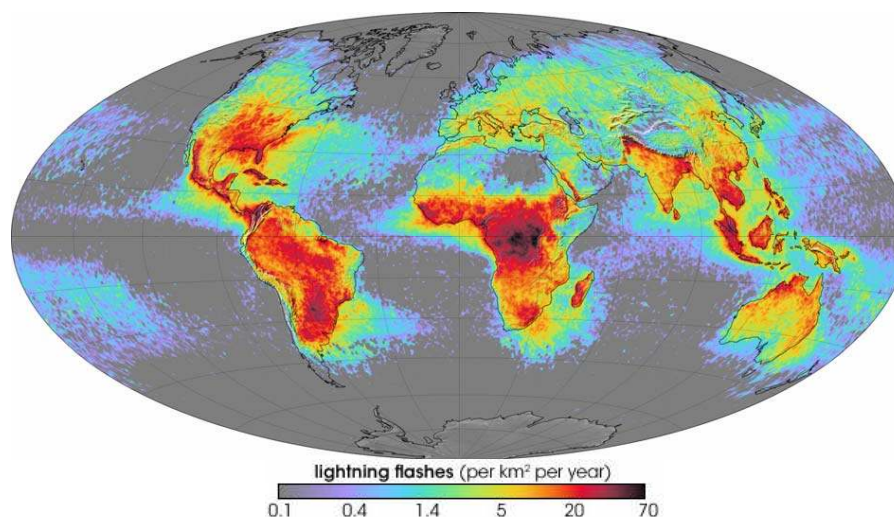
Operational ground-based detection systems like the NLDN in the USA observe mainly CG flashes while the OTD/LIS sensors observe more or less all flashes. Hence, the IC/CG flash ratio can be derived from the ratio of both observations, see Fig. 13 (Boccippio et al., 2001). Most studies suggest a global annual mean IC/CG flash ratio  $f_{IC}/f_{CG}$  of about 2 to 4, see Table 9. The ratio increases with the total flash density (Soriano and de Pablo, 2007), with values exceeding 50 in intensive individual storms (Dye et al., 2000; Thery, 2001; Wiens et al., 2005). A latitudinal dependence of the IC/CG flash ratio has been suggested in many studies (Pierce, 1970; Prentice and MacKerras, 1977). Traditional studies related this ratio to the freezing level in the atmosphere. Boccippio et al. (2001) find little evidence for a latitude dependence but stronger dependence on ground elevation; however, the IC/CG ratio was found to depend far more on storm intensity, morphology, and level of organization than on latitude, freezing-level height, troposphere depth, or surface elevation. More recent studies identify high cloud tops, and low cloud bases with narrow updrafts as supportive for high IC/CG ratios (Mushtak et al., 2005).

Interannual variability of lightning is large regionally. For the contiguous USA, 3 years of total CG lightning varied by 6–20% from year to year (Orville, 1994); similarly for Germany, 4 years of data show interannual variations of 8–30% (Finke and Hauf, 1996). The total set of 10 years of LIS/OTD data 1996–2005 shows an interannual variability of globally averaged lightning activity of about  $\pm 4\%$  for annual and  $\pm(4\text{--}9)\%$  for monthly mean values; for LIS 2000–2005, the interannual variability is smaller ( $\pm 1.7\%$  for the annual mean); and a systematic trend cannot be detected over the years 1996–2005 from the total set of 10 years of LIS/OTD data (A. Schady, personal communication, 2007).

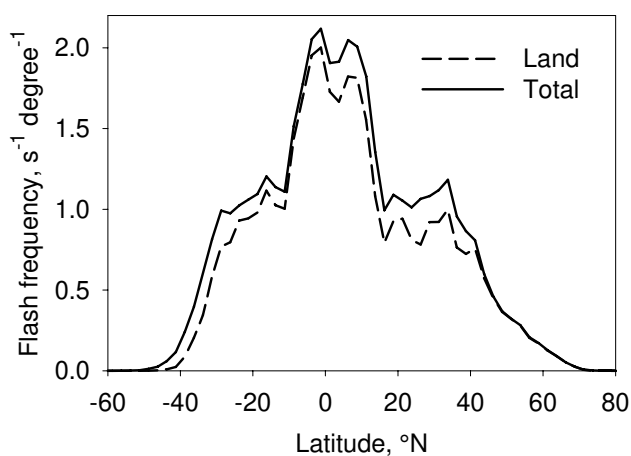
OTD data together with ground-based lightning statistics have been used to estimate the seasonal and global distribution of  $\text{LNO}_x$  production for given flash-specific  $\text{LNO}_x$  production values (Nesbitt et al., 2000; Bond et al., 2001, 2002), similar to Fig. 2.

#### 2.4 Observations of $\text{NO}_x$ from lightning

A few years after Benjamin Franklin published his findings on lightning (Franklin, 1774; Uman, 1987), Henry Cavendish performed the first experiments with a spark discharge in a glass tube (Cavendish, 1785). While trying to distinguish the different constituents of air, he succeeded in identifying oxidised nitrogen compounds as a product of the burning of air in the discharge. Approximately half a century later, von Liebig (1827) discovered nitric acid in different samples of rain water. Being aware of the work performed by Cavendish, he related this to the formation of  $\text{NO}_x$  by lightning, followed by an oxidation in rainwater. Von Liebig

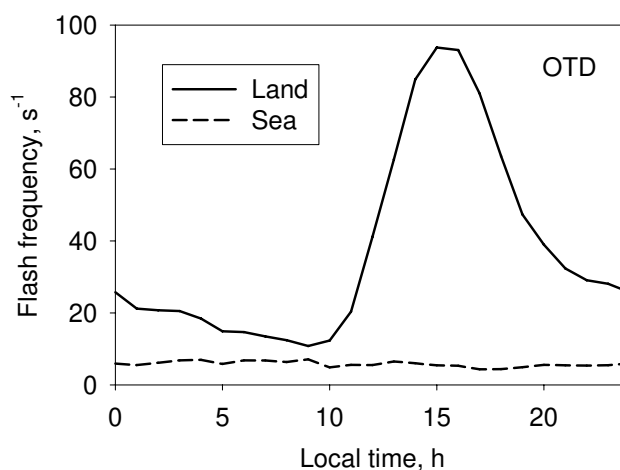


**Fig. 9.** Global distribution of annually averaged lightning flash frequency density derived from data of LIS between 1997 and 2002, and OTD between 1995 and 2000 (from NASA's Global Hydrology and Climate Center at Marshall Space Flight Center, 2006). The maximum and global mean flash density values are  $\sim 80 \text{ km}^{-2} \text{ a}^{-1}$  and  $2.7 \pm 0.3 \text{ km}^{-2} \text{ a}^{-1}$ , respectively.



**Fig. 10.** Mean flash frequency (totally and over land) per degree versus latitude (mean frequency  $43.3 \text{ s}^{-1}$ ; total land/sea flash frequency ratio: 6.5), computed from the five-year (April 1995–March 2000) OTD 2.5 Degree Low Resolution Diurnal Climatology data, counting all  $2.5^\circ \times 2.5^\circ$  grid cells with more than 2% land fraction as land cells. The gridded satellite lightning data were produced by the NASA LIS/OTD Science Team (Principal Investigator, H. J. Christian, NASA/Marshall Space Flight Center) and are available from the Global Hydrology Resource Center (<http://ghrc.msfc.nasa.gov>).

already pointed out, that the oxidation by lightning may play a significant role in the global  $\text{NO}_x$  budget. Various investigations in the 19th and 20th century tried to quantify this role, but the general result was that the concentration of nitric acid in rainwater and lightning correlated poorly (Hutchinson, 1954; Viemeister, 1960; Reiter, 1970). Neither NO nor  $\text{NO}_2$  are water soluble and the long time required



**Fig. 11.** Mean diurnal variation of the OTD flash frequency over land and over sea versus local time, computed from the same data as in Fig. 6 (mean frequency  $37.6 \text{ s}^{-1}$  over land,  $5.8 \text{ s}^{-1}$  over sea;  $43.3 \text{ s}^{-1}$  total).

to convert  $\text{NO}_x$  to nitric acid explains the missing correlation between lightning and nitrate deposition (Tuck, 1976). It was concluded that the production of oxidised nitrogen compounds due to lightning is negligible compared to anthropogenic and biogenic sources (Viemeister, 1960). These findings lead temporarily to a lack of interest in the interaction of atmospheric chemistry and lightning. The growing awareness on the important influence of tropospheric and stratospheric  $\text{NO}_x$  on atmospheric chemistry (Haagen-Smit et al., 1953; Crutzen, 1970; Johnston, 1971; Chameides and Walker, 1973) renewed the interest in the interaction

of lightning and atmosphere. Early estimates of very high LNO<sub>x</sub> contributions triggered intensive discussions and further investigations.

The production of NO by lightning is described by the Zel'dovich mechanism of O<sub>2</sub> and N<sub>2</sub> dissociation and subsequent NO formation in the initially very hot lightning channel (Zel'dovich and Raizer, 1967; Goldenbaum and Dickerson, 1993). Because of lower bond dissociation energy of O<sub>2</sub> (0.50 MJ mol<sup>-1</sup>) compared to that of N<sub>2</sub> (0.94 MJ mol<sup>-1</sup>) (Howard and Rees, 1996), oxygen molecules dissociate first. Peak temperatures in excess of 30 000 K are reached in lightning return strokes after a few microseconds, mainly by ohmic heating (Orville, 1968; Stark et al., 1996; MacGorman and Rust, 1998). After cooling to about 3000–4000 K by expansion, radiation and conduction, the channel contains a plasma with high concentrations (order of 1–20%) of O, NO, OH, NO and N radicals (Hill, 1977; Bhetanabhotla et al., 1985). The rapid cooling of the hot channel by mixing with ambient air in the next milliseconds “freezes out” the NO content, i.e. prevents the NO produced undergoing thermal decay reactions (Chameides, 1979a; Hill et al., 1980; Bhetanabhotla et al., 1985). Lightning may also induce some NO<sub>2</sub> with the NO<sub>2</sub>/NO<sub>x</sub> ratio of 0.5 to 0.1, decreasing with the water content of the air (Franzblau, 1991; Stark et al., 1996). As explained before, the NO reacts in the atmosphere with O<sub>3</sub> to form NO<sub>2</sub> quickly. Recently, Rahman et al. (2007) investigated NO<sub>x</sub> produced by rocket-triggered lightning. They suggest that relatively slow discharge processes, those occurring on time scales of milliseconds to hundreds of milliseconds, such as continuing currents in both cloud and cloud-to-ground flashes and other steady currents, with quasi-steady currents of the order 100 kA, contribute significantly to the global LNO<sub>x</sub> production.

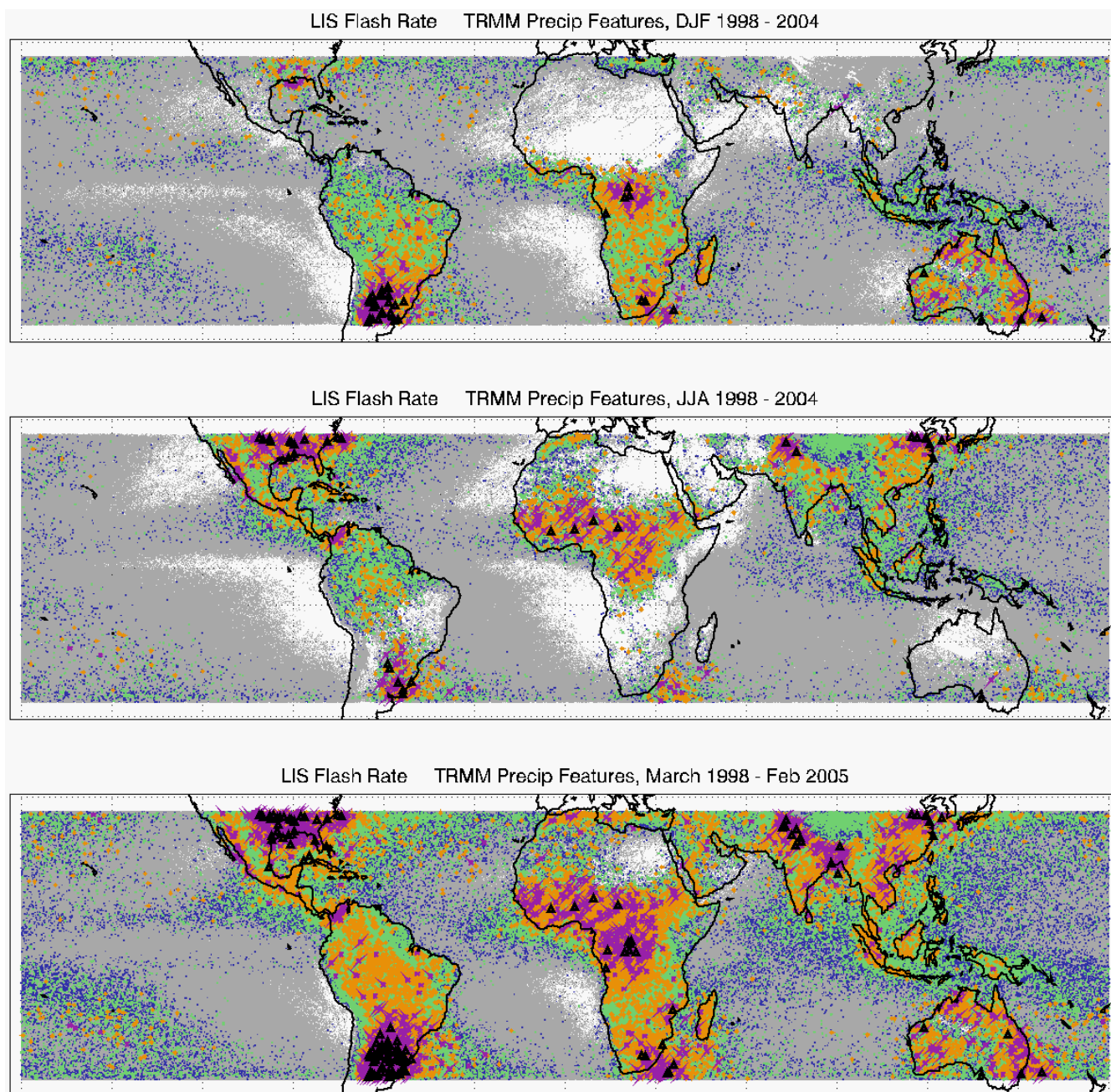
Lightning contributions to NO<sub>x</sub> in the atmosphere have been evidenced in many experiments. Mean and peak enhancements of NO (or NO<sub>2</sub> or NO<sub>x</sub>) mixing ratios observed in lightning-influenced air masses are listed in Table 10. Lightning-induced NO<sub>2</sub> was detected for the first time by Reiter (1970) with in-situ measurements at a mountain station with peak mixing ratios of 50 nmol mol<sup>-1</sup> during the passage of 17 thunderstorms. A few years later, Noxon (1976) measured NO<sub>2</sub> by optical absorption of solar light from ground and found an enhancement of the column concentration of NO<sub>2</sub> by a factor of 100 to 500, which he attributed to LNO<sub>x</sub> from thunderstorms in the vicinity. For a specific thunderstorm producing five strokes per minute within a radius of 3 km from the observatory, the derived NO<sub>2</sub> column concentration reached  $2.5 \times 10^{17}$  cm<sup>-2</sup>, with peak NO<sub>2</sub> mixing ratios far higher than the column average of 12 nmol mol<sup>-1</sup>. Dickerson (1984) performed airborne in-situ measurements of NO<sub>x</sub> and found enhanced NO<sub>x</sub> in wide (>100 km) plumes at about 10 km altitude during a flight from Frankfurt to São Paulo along the eastern coast of Brazil in December 1982 (Dickerson, 1984); the NO<sub>x</sub> increases were attributed to biomass burning and possibly lightning.

During the NASA GTE/CITE 1 fall 1983 airborne field experiment near Hawaii local increases of NO<sub>x</sub> abundance due to lightning were identified (Chameides et al., 1987; Davis et al., 1987).

Since then a series of experiments performed measurements close to or within thunderstorms over the USA (Dickerson et al., 1987; Luke et al., 1992; Ridley et al., 1994; Poulida et al., 1996; Ridley et al., 1996). The measurements identified enhanced NO mixing ratios of 0.1–0.8 nmol mol<sup>-1</sup> in the sometimes 10–50 km wide anvil plumes, with local concentration “spikes” exceeding 4 nmol mol<sup>-1</sup> (Luke et al., 1992). The large-scale enhancements within and outside thunderstorm anvils are more important in assessing NO<sub>x</sub> contributions from lightning than such short but high peak values.

In the 1990s, evidence for large LNO<sub>x</sub> contributions from lightning in midlatitude thunderstorms was provided by dedicated experiments in the USA and Europe (STERAO, LINOX, and EULINOX, see Table 3). These experiments performed measurements of the NO<sub>x</sub> concentrations directly in and near thunderstorms, including the outflow from the storm anvil, the composition of the boundary layer and the storm vicinity. The experimental setup combined airborne, radar and satellite observations of the cloud scales, structure and kinematics and ground-based and satellite-based lightning observations (Höller et al., 1999; Dye et al., 2000; Höller and Schumann, 2000). These experiments found highly variable NO<sub>x</sub> levels with many NO<sub>x</sub> peaks in the upper troposphere on small spatial scales near thunderstorms with lightning (Huntrieser et al., 1998). Mean NO<sub>x</sub> mixing ratios in the anvils (0.8–2.2 nmol mol<sup>-1</sup>) and the horizontal anvil scales were similar in these experiments (see Table 10). Simultaneous measurements of boundary layer air tracers like CO and CO<sub>2</sub> indicated that the observed NO<sub>x</sub> only partly results from upward transported polluted air masses, see e.g., Fig. 14. Sometimes the anvils “mirror” the boundary layer in that the mixing ratio values of these tracers in the anvils are nearly as large as in the boundary layer. Analyses of these data show that on average about 70% of the NO<sub>x</sub> increase measured in the anvil region results from production by lightning and about 30% from NO<sub>x</sub> in the boundary layer (Huntrieser et al., 2002).

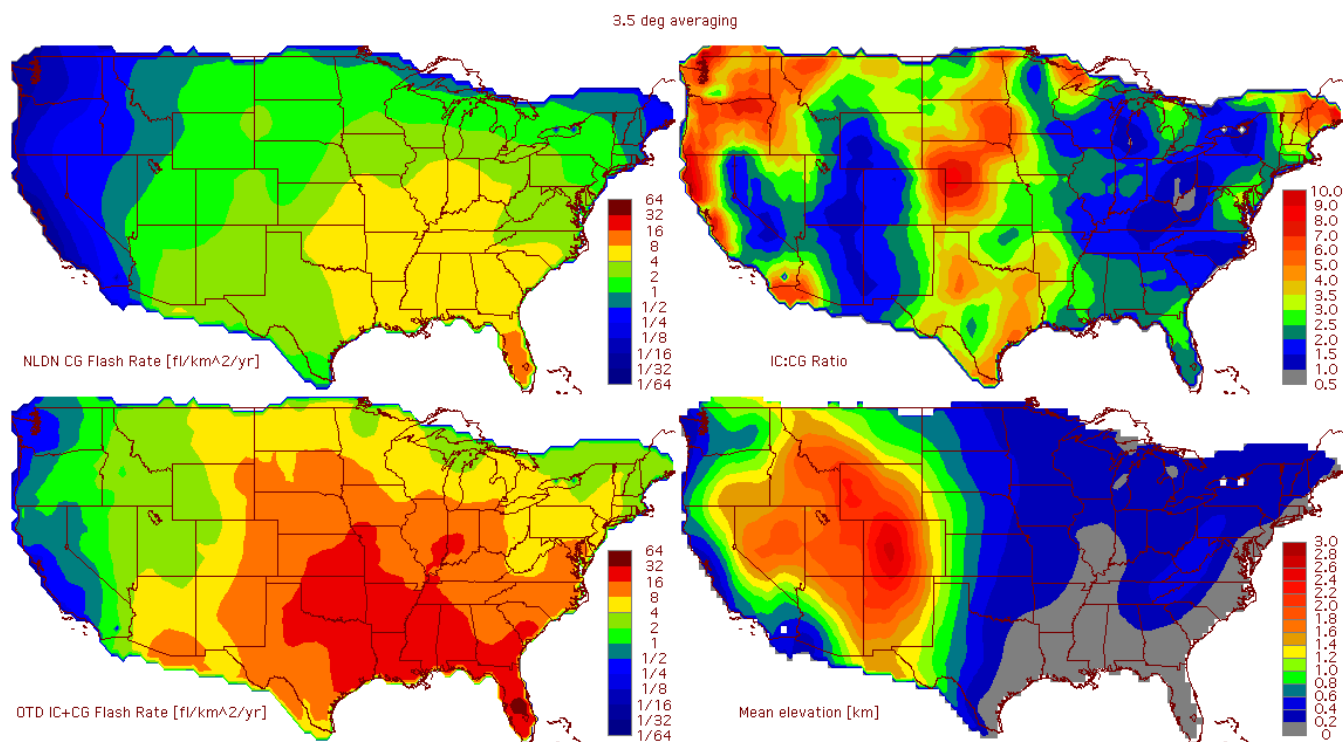
In parallel, LNO<sub>x</sub> contributions were identified at midlatitudes from airborne studies of other aspects of tropospheric chemistry. LNO<sub>x</sub> sources have been discriminated from stratospheric, aviation and surface NO<sub>x</sub> sources at midlatitudes over the North Atlantic during the NOXAR, POLINAT, SONEX and STREAM experiments in 1995–1997 (Brunner et al., 1998; Schumann et al., 2000; Thompson et al., 2000b; Lange et al., 2001) and the INTEX-A/ICARTT/ITOP experiments in 2004 (Fehsenfeld et al., 2006; Singh et al., 2006). Measurements over Canada, the North Atlantic and Europe, showed that convective clouds release NO<sub>x</sub> from surface pollution and lightning into the upper troposphere where it is carried over long distances and far over the Ocean. NO<sub>x</sub>



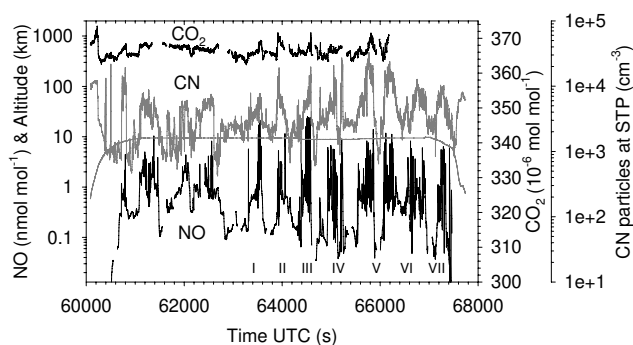
**Fig. 12.** Locations of precipitation features (PF) with high flash rates. A PF is a contiguous area greater than four pixels in size ( $>75 \text{ km}^2$ ) with rain identified by the TRMM precipitation radar. The coloured symbols represent different categories of flash rates as in Cecil et al. (2005). Black triangles mark the top 0.001% of the 13.2 million precipitation features with flash rates between  $310$  and  $1389 \text{ min}^{-1}$ . Bottom all months in March 1998–February 2005, middle same for June, July, August, top for December, January, February only (from Cecil, 2005). Note that the satellite samples the high latitudes in the sub-tropics more often than the tropical ones.

enhancements between  $0.5$  and  $4.0 \text{ nmol mol}^{-1}$  were observed in these experiments (Crawford et al., 2000; Jeker et al., 2000; Schumann et al., 2000; Brunner et al., 2001; Lange et al., 2001). During the first POLINAT project in 1995, simultaneous measurements of  $\text{NO}$ ,  $\text{CO}_2$ ,  $\text{O}_3$ ,  $\text{H}_2\text{O}$ ,  $\text{HNO}_3$ , and acetone over Southern Ireland at  $9.5 \text{ km}$  altitude on 1

July showed  $\text{NO}$  increases of up to  $0.6 \text{ nmol mol}^{-1}$  in three  $27$  to  $90 \text{ km}$  wide flight segments correlated with increases in  $\text{HNO}_3$  and  $\text{H}_2\text{O}$ , but slight decreases in acetone and  $\text{O}_3$ . Using  $\text{CO}_2$  and trajectory analysis, these events were related to  $\text{LNO}_x$  produced in a large thunderstorm at about  $8.7 \text{ km}$  altitude about  $20 \text{ h}$  earlier, observed in satellite pictures over



**Fig. 13.** CG (top left) and total (bottom left) flash rates derived from ground-based (NLDN) and satellite-based (OTD) lightning observations over the USA, together with the IC/CG ratio (top right) and the ground elevation (bottom right) (Boccippio et al., 2001).



**Fig. 14.** Mixing ratios of NO and CO<sub>2</sub> and particle concentration (CN) versus time during a flight over Southern Germany, 21 July 1998 (project EULINOX) (Huntrieser et al., 2002). More than 100 NO spikes were observed. An isolated thunderstorm was penetrated 7 times after 63 500 s which is clearly visible in NO (labelled I–VII).

Northern Spain on the afternoon the day before (Huntrieser et al., 1996). Jeker et al. (2000) used air mass back trajectories, satellite infrared images and lightning observations from the NLDN network and the OTD satellite to analyse the origin of wide plumes with strongly enhanced NO<sub>x</sub> concentrations measured onboard a B-747 on flights between Zürich and the USA in 1997 during NOXAR/POLINAT-2. High NO concentrations were measured in plumes of marine

thunderstorms with small contributions from continental surface emissions. NO<sub>x</sub> concentrations exceeding 3 nmol mol<sup>-1</sup> were found in a plume of 300 km in width originating from lightning activity triggered over the warm Gulf Stream in November; and NO<sub>x</sub> concentrations up to 0.8 nmol mol<sup>-1</sup> in a plume of about 1000 km in width was found in air originating from a marine thunderstorm over the Eastern Atlantic in August. The strong correlation with lightning events suggests that most of the measured NO<sub>x</sub> in these plumes originated from lightning (Brunner et al., 1998, 2001), in agreement with POLINAT and POLINAT-2 (Schumann et al., 2000) and SONEX results (Thompson et al., 1999; Crawford et al., 2000; Koike et al., 2000). In the recent INTEX-A/ICARTT mission during 1 July–15 August 2004, i.e. during a period with strong convective and lightning activity, the composition of the upper troposphere over North America and the Atlantic was found to be significantly perturbed by influences from surface pollution and lightning (Singh et al., 2007). The LNO<sub>x</sub> source was found to be far larger than anticipated based on previous measurements over the USA (Jaeglé et al., 1998) and model simulations (Cooper et al., 2006; Martin et al., 2006; Hudman et al., 2007).

LNO<sub>x</sub> contributions to the tropical troposphere have been identified mainly by correlations with other trace gases. Carroll and Thompson (1995) and Bradshaw et al. (2000) reviewed the results from the GTE program including the projects ABLE 2A, PEM-West A and B, and PEM-Tropics

A (see Table 3). No clear LNO<sub>x</sub> signal was observed during the ABLE 2A mission over the Amazon Basin during the dry season in August. The NO mixing ratios reached up to 0.2 nmol mol<sup>-1</sup> in regions of electrically active clouds at altitudes up to 5 km (Torres et al., 1988; Pickering et al., 1991). However, clear signals of lightning-generated NO<sub>x</sub> were detected during a flight at 9–12 km altitude in the TRACE-A experiment in the Brazilian cerrado (savanna-type environment) at 6–12° S, 45–49° W during one day (27 September 1992) at the end of the dry season (Pickering et al., 1996). The region was covered with deep convective clouds reaching at least up to 16 km altitude 8–9 h before the flight. The convective system occurred over regions of biomass burning activity. From the NO<sub>x</sub>/CO ratio, about 40% of the NO<sub>x</sub> at the 9.6 km level and 32% at 11.3 km were attributed to lightning (Pickering et al., 1996). In the outflow to the South Atlantic, upper tropospheric NO plumes at horizontal scales of 100–1000 km were observed as resulting from deep convection over Northern Brazil (partly from MCS) transporting NO<sub>x</sub> emissions from both biomass burning and LNO<sub>x</sub> (Smyth et al., 1996b). From the ethyne (C<sub>2</sub>H<sub>4</sub>) to CO ratio, Smyth et al. (1996b) (see also Bradshaw et al., 2000) deduced that enhanced NO<sub>x</sub> values in the upper troposphere in the Southern Atlantic Basin are not predominantly the direct result of biomass burning emissions. Instead, a large share of the NO<sub>x</sub> originates from recycling of its oxidation products (mainly from HNO<sub>3</sub>) (Davis et al., 1996; Jacob et al., 1996). As a consequence of recycling, the effective lifetime of NO<sub>x</sub> is larger than its oxidation lifetime, increasing the O<sub>3</sub> production rate. Moreover, Smyth et al. (1996b) assess that lightning is responsible for at least 50% of the initial (not recycled) NO<sub>x</sub> in the upper troposphere. The magnitude of the regional lightning source is estimated as 0.3 Tg a<sup>-1</sup>, implying a global LNO<sub>x</sub> source of “several” Tg a<sup>-1</sup>. Davis et al. (1996) differentiate between continental surface sources and high altitude NO<sub>x</sub> sources (lightning, aviation, stratospheric sources or recycled NO<sub>y</sub>) using the NO<sub>x</sub>/C<sub>3</sub>H<sub>8</sub> (propene) ratio and attribute the major fraction (possibly 71%) of NO<sub>x</sub> in the upper troposphere during PEM West A to lightning. The conclusions were limited by uncertainties in measured NO<sub>y</sub> and HNO<sub>3</sub> and the models used.

Enhanced NO<sub>x</sub> concentrations from convective outflow from tropical continents can be identified from measurements during individual flights across the tropical belt over continents or along the continental shore during the European projects STRATOZ III, TROPOZ II, and INCA (see Table 3). The early experiments focused on periods with enhanced biomass burning (Jonquieres and Marengo, 1998). LNO<sub>x</sub> superimposed on biomass burning contributions was identified from measurements near the west coast of South America between 4–6° S at about 11.5 km altitude during the INCA experiment in March and April 2000 (Baehr et al., 2003). High peak values of NO and NO<sub>y</sub> mixing ratios (up to 1.3 and 2.3 nmol mol<sup>-1</sup>) and high NO<sub>x</sub>/NO<sub>y</sub> ratios (0.46 in the peaks) indicate relative fresh NO<sub>x</sub> sources. For com-

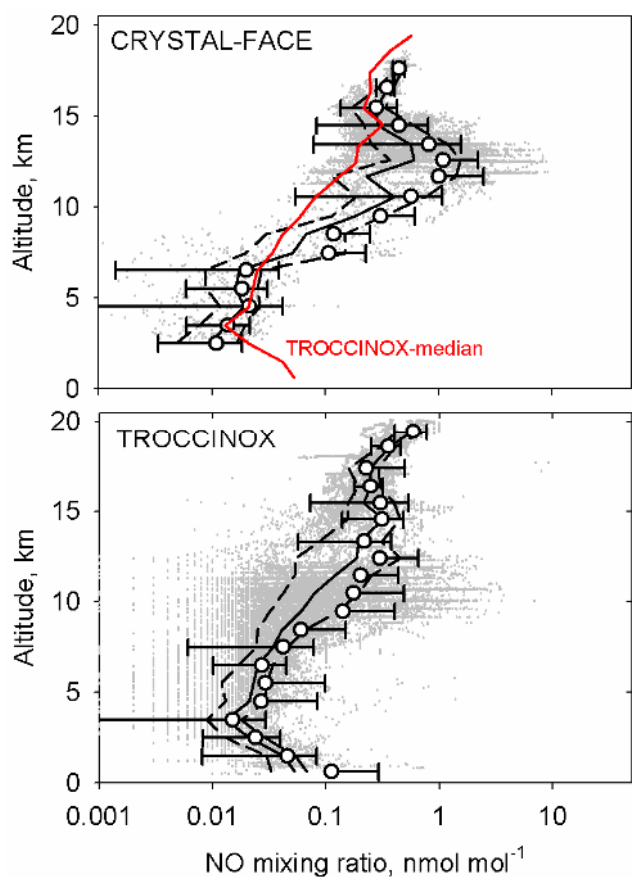
parison, similar peaks of fresh NO<sub>x</sub> over the Pacific ocean between 4° and 10° S remain below 0.3 nmol mol<sup>-1</sup>, with a few scattered peaks reaching higher (Kawakami et al., 1997; Kondo et al., 2003b). Air mass back trajectories and high CO concentrations indicate that the air masses measured in the upper tropical troposphere during INCA originate from the Amazon basin uplifted by deep convection (Schumann et al., 2004a). A combination of trajectories, infrared satellite images, brightness temperature analysis, and OTD lightning flash data shows that all of the air masses measured along the flight path were influenced by deep convection during the previous 3 days. The air masses with high NO and NO<sub>y</sub> originate from convective events over the western Amazon (about 5° S, 71° W) 7–12 h before the time of the measurements. The OTD data show lightning activity in the same region. The high NO/NO<sub>y</sub> ratio is caused by fresh lightning-induced NO. Compact CO-NO<sub>y</sub> correlations were found in air masses of the same age relative to the origin from convection. Because of different lifetimes, the NO<sub>y</sub> to CO ratio decreases from 0.016 to 0.008 with plume age increasing from about 1–6 h to 13–18 h.

Convective outflow can also be seen in the many flights where measurements were performed with instrumented containers onboard airliners in the projects MOZAIC and CARIBIC, including flights towards North America, Asia, South Africa, and South America (see Table 3). MOZAIC has been performing extensive NO<sub>y</sub> and NO measurements since April 2001 (Pätz et al., 2006), CARIBIC performed NO and NO<sub>y</sub> measurement flights in 2002 and has been performing again since 2004 (Brenninkmeijer et al., 2007).

In-situ observations targeting LNO<sub>x</sub> from tropical and sub-tropical thunderstorms over continents with simultaneous lightning observations have been performed since 2000 (see Table 3). LNO<sub>x</sub> contributions were found within large air masses during two flights of the project BIBLE near Darwin, Australia, in December 2000 (Koike et al., 2007). NO<sub>x</sub> enhancements up to 1 and 1.6 nmol mol<sup>-1</sup> were observed at altitudes between 11.5 and 14 km. The region with NO<sub>x</sub> mixing ratio larger 0.1 nmol mol<sup>-1</sup> extended over 620×140 and 400×170 km (wind direction × perpendicular direction) in the two flights, respectively. Intensive lightning events took place upstream from the measurement area 10–14 h prior to the measurements. From the data, a global LNO<sub>x</sub> source rate of about 1–17 Tg a<sup>-1</sup> was estimated.

Airborne in-situ observations near sub-tropical (possibly also tropical) thunderstorms have been obtained during CRYSTAL-FACE in Florida (see Sect. 3.1.3). Compared to measurements elsewhere, these measurements show relatively high mean NO abundances (typically 1.2, up to 4 nmol mol<sup>-1</sup>) in wide anvils (Ridley et al., 2004).

Extensive airborne in-situ observations near sub-tropical and tropical thunderstorms were obtained during the TROCCINOX experiments, which were performed over the State of São Paulo and surroundings in Brazil (10–28° S and 38–55° W) during the wet season in 2004 and 2005, when



**Fig. 15.** Nitrogen oxide mixing ratio data (grey) with median (full curve) and 25% (short dashed) and 75% percentiles (long dashed) and mean values with standard deviation (circles with error bars) from CRYSTAL-FACE (24–27° N, 77–86° W, July 2002; top, data provided by B. Ridley) and TROCCINOX (data from 0–28° S, 38–55° W, February–March 2004 and February 2005, bottom, data provided by H. Schlager). Every 10th data point with 1 s temporal resolution is indicated by a grey dot. The red curve in the top panel shows the median TROCCINOX result for easier comparison.

biomass burning contributions were small for most of the time. The area of operation was located along the South Atlantic convergence zone, a transition zone between tropical and subtropical air masses. The observations included also measurements in air masses coming from MCS. Airborne measurements in the troposphere and the lower stratosphere, together with satellite, radar and lightning observations provided a comprehensive set of cloud, lightning and trace species data in clear air and in clouds, including thunderstorm anvils (Schumann et al., 2004a, b; Huntrieser et al., 2007). Lightning observations were performed with LINET (Betz et al., 2004; Schmidt et al., 2005), see Fig. 8, as discussed before.

Both CRYSTAL-FACE and TROCCINOX provide data on NO mixing ratios inside and near convective clouds with high NO peaks, see Fig. 15. Simultaneous CO data show little cor-

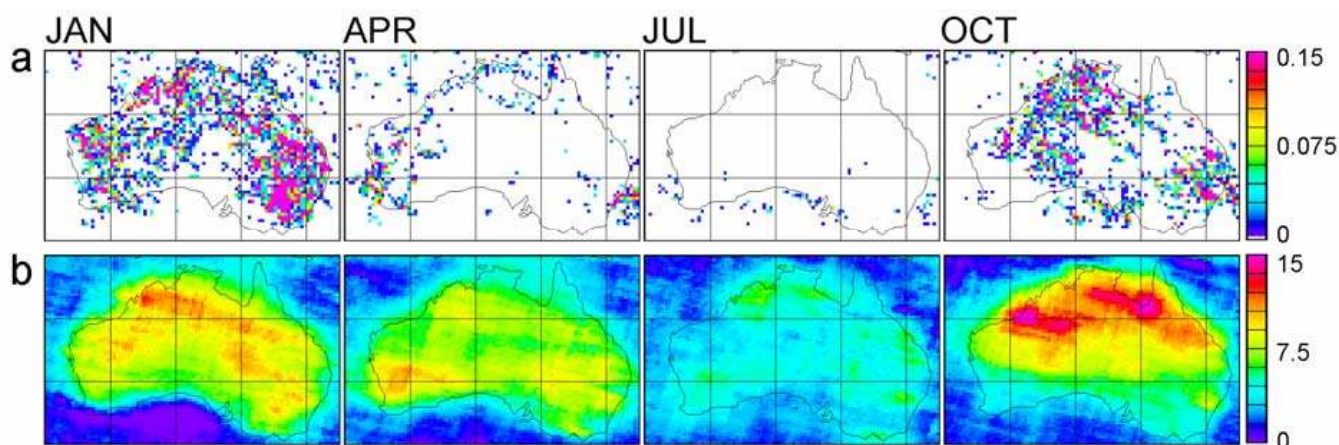
relation with  $\text{NO}_x$ ; hence, the  $\text{NO}_x$  peaks are not caused by upward transport of polluted air masses from the boundary layer but originate from lightning. TROCCINOX includes measurements in air masses with and without contributions from deep convection whereas the CRYSTAL-FACE data have been taken preferably close to convective clouds. The median  $\text{NO}_x$  values at about 9–12 km altitude during TROCCINOX are within 0.3–0.6  $\text{nmol mol}^{-1}$ ; higher median values are observed in the anvil outflows of the sub-tropical and tropical thunderstorms (0.5–1.5  $\text{nmol mol}^{-1}$ ). The NO median value concentrations over Florida (1–4  $\text{nmol mol}^{-1}$ ) are higher than those from the Brazilian thunderstorms and related to wider regions of  $\text{NO}_x$  enhancement. There is no indication that tropical thunderstorms produce more  $\text{LNO}_x$  per stroke or storm than midlatitude storms, in fact the opposite may be the case (Huntrieser et al., 2007).

These and earlier airborne experiments found sometimes very large, short-duration local NO spikes, see Table 10. However, one cannot exclude that these spikes are caused by local (hot or cold) discharges occurring on the aircraft fuselage or air sampling system (see also Sect. 2.5). In fact, such discharges cause electric sparks as observed with the LMA lightning detection system (Thomas et al., 2004): Aircraft induce typically  $10^2$ – $10^4 \text{ s}^{-1}$  small sparks with a source power of 2–5 W by collision charging from the planes as they fly through ice clouds. The very high NO peak measured during CRYSTAL-FACE was convincingly identified to be caused by cold discharges (Ridley et al., 2006). The highest NO peak (25  $\text{nmol mol}^{-1}$ ) obtained in EULINOX may be real because it was measured immediately after a flash hit the aircraft (Huntrieser et al., 2002);

Ground-based measurements of  $\text{NO}_2$  slant columns during the passage of thunderstorms have been presented in a few studies. Reported  $\text{NO}_2$  column peak values near lightning flashes are 2.5 (Noxon, 1976, 1978), 1–3 (Jadhav et al., 1996), 7 (Winterrath et al., 1999), 12 (Langford et al., 2004), and 6–9 (Fraser et al., 2007), in units of  $10^{17} \text{ NO}_2 \text{ molecules cm}^{-2}$ . These peak values are far larger than typical background values (Langford et al., 2004; Wenig et al., 2004). Some of the data may overestimate the  $\text{NO}_2$  column due to effective path length enhancements by photon diffusion in optically thick clouds or multiple reflections between clouds (Pfeilsticker et al., 1998; Meena et al., 2004). The last three studies corrected for such enhancements using simultaneous measurements of gases such as  $\text{O}_2$  and  $\text{O}_4$ , with known vertical profiles (Erle et al., 1995).

In spite of the difficulties explained before,  $\text{LNO}_x$  contributions may be identified in satellite  $\text{NO}_2$  column data in regions and at times where the  $\text{LNO}_x$  contribution to  $\text{NO}_2$  columns is large. A case study of tropospheric  $\text{NO}_2$  above Africa in fall 1997 revealed signatures from lightning and from anthropogenic and biomass burning sources (Richter and Burrows, 2002). From a correlation between GOME  $\text{NO}_2$ , LIS lightning flash distributions, and satellite data indicating  $\text{O}_3$  increases, lightning over Southern





**Fig. 16.** Monthly mean LIS flash counts (upper row, flashes per day and km) and GOME tropospheric  $\text{NO}_2$  column (lower row,  $10^{14}$  molecules  $\text{cm}^{-2}$ ; corrected for air mass factors) in Australia for several months in 1999 as discussed in Beirle et al. (2004b); figure from Beirle (2004), with kind permission of Elsevier.

Africa has been identified as a source of mid-troposphere  $\text{NO}_x$  and  $\text{O}_3$  in addition to biomass burning (Edwards et al., 2003). Unusually large tropospheric GOME  $\text{NO}_2$  columns ( $\leq 55 \times 10^{14}$  molecules  $\text{cm}^{-2}$ ) observed during a severe weather event over the western Mediterranean in November 2001 were interpreted as  $\text{LNO}_x$  transport from coastal regions with high lightning activity (Thomas et al., 2003). Lightning enhancements of  $\text{NO}_2$  have been identified over the North American continent and the western North Atlantic during a few days with convective or cyclogenesis events in April 2000 from GOME  $\text{NO}_2$  and regional model results (Choi et al., 2005). GOME data over Australia ( $\leq 5.5 \times 10^{14}$   $\text{cm}^{-2}$ ), see Fig. 16 (Beirle, 2004), the Gulf of Mexico ( $\leq 40 \times 10^{14}$   $\text{cm}^{-2}$ ), and several tropical regions ( $7\text{--}10 \times 10^{14}$   $\text{cm}^{-2}$ ), have been related to observed lightning frequencies and model results to quantify  $\text{NO}_x$  emissions per flash (Beirle et al., 2004b, 2006; Boersma et al., 2005). Broad lightning enhanced  $\text{NO}_2$  columns of about  $2\text{--}6 \times 10^{14}$  molecules  $\text{NO}_2$   $\text{cm}^{-2}$  were identified over the tropical Atlantic and Africa from SCIAMACHY data with the help of model results (Martin et al., 2007). Recently, Sioris et al. (2007) analysed limb scattered radiation in the 280–810 nm range in the upper troposphere measured with OSIRIS. They identified  $\text{NO}_2$  enhancements of up to  $0.92$   $\text{nmol mol}^{-1}$ . The peaks were related to  $\text{LNO}_x$  sources using model results and LIS observations; the  $\text{NO}_2$  from lightning was found to occur generally at 12 to 13 km more frequently than at 10 to 11 km. Similar  $\text{NO}_2$  peaks were observed by balloon-borne remote sensing during HIBISCUS (Pommereau et al., 2007): The observed  $\text{NO}_2$  concentrations reached up to  $0.5$   $\text{nmol mol}^{-1}$  at about 12–14 km in the upper troposphere over South America and Africa; smaller concentrations of  $0.1$   $\text{nmol mol}^{-1}$  or less were found over the South Pacific Convergence Zone and Australia, although deep convection is also frequent there.

## 2.5 Other trace species from lightning

Lightning and cold discharges may induce some further gas species, including  $\text{O}_3$ ,  $\text{N}_2\text{O}$ ,  $\text{HNO}_2$ ,  $\text{HNO}_3$ ,  $\text{H}_2\text{O}_2$ ,  $\text{HO}_2$ , and  $\text{CO}$ , and small particles into the atmosphere (Levine, 1981; Levine and Shaw Jr., 1983; Hill et al., 1984; Bhetanabhotla et al., 1985; Chameides, 1986; Zuo and Deng, 1999). Trace gas production by sprites (with estimated global rates of  $0.5\text{--}33$   $\text{min}^{-1}$  (Sato and Fukunishi, 2003; Ignaccolo et al., 2006)) and similar transient luminescent events is expected to have local importance in the middle atmosphere but small global significance (Mishin, 1997; Rakov and Uman, 2003; Hiraki et al., 2004; Füllekrug et al., 2006). Table 11 compiles published estimates of the formation of various trace gases besides  $\text{NO}_x$ . The various emission estimates are based on laboratory work and theoretical considerations without systematic verifications. Some of the papers report opposing views.

The fact that electrical discharges produce  $\text{O}_3$  is well known. Martinus van Marum noted in 1785 “the odour of electrical matter,” and determined that air and oxygen subjected to electrical discharges tarnished mercury, but he did not identify the gas as an allotrope of oxygen. During electrolysis experiment in 1839, Christian Schönbein attributed a similar odour to a substance which he named “ozone,” from the Greek *ozien*, “to smell” (Rubin, 2001). Today, lightning is known to produce very little  $\text{O}_3$  (and  $\text{N}_2\text{O}$ ). In fact, ozone may get destroyed in the hot flash channel, but these gases may be formed from cold discharges and in the cold corona around hot flashes (Buettner et al., 1962; Donohoe et al., 1977; Griffing, 1977; Levine, 1981; Hill et al., 1988; Franzblau, 1991). In contrast,  $\text{NO}_x$  is produced mainly by the hot lightning strokes, not corona discharges (Noxon, 1978; Martinez and Brandvold, 1996; Coppens et al., 1998). Except for  $\text{N}_2\text{O}$  in one study (Levine and Shaw Jr., 1983), airborne measurements in thunderstorm anvils generally show

**Table 11.** Global lightning production rates for trace species.

Species	Value reported in the reference	Global emission rate, $\text{Tg a}^{-1}$ (2)	Reference	Method
$\text{N}_2\text{O}$	$8 \times 10^{24}$ molecules per flash	0.8	Griffing (1977)	Theory
	$1 \times 10^{-4}$ mol $\text{C}^{-1}$	$1.5 \times 10^{-6}$	Donohoe et al. (1977)	Laboratory
	$4 \times 10^{12}$ molecules $\text{J}^{-1}$ , $300 \times 10^{-6}$ $\text{Tg a}^{-1}$	$130 \times 10^{-6}$	Levine et al. (1979)	Laboratory
	$1.5 \times 10^{13}$ molecules $\text{J}^{-1}$	$500 \times 10^{-6}$	Hill et al. (1984)	Theory
	$220 \times 10^{-6}$ $\text{Tg a}^{-1(1)}$	$100 \times 10^{-6}$	Bhetanabhotla et al. (1985)	Theory
	$(3-13) \times 10^{12}$ molecules $\text{J}^{-1}$	$(100-400) \times 10^{-6}$	Chameides (1986)	Theory
	$(1 \pm 0.5) \times 10^{17}$ molecules $\text{J}^{-1}$ in corona discharge		Hill et al. (1988)	Laboratory
$\text{HNO}_2$	$25 \times 10^{-3}$ $\text{Tg a}^{-1(1)}$	$11 \times 10^{-3}$	Bhetanabhotla et al. (1985)	Theory
$\text{H}_2\text{O}_2$	$320 \times 10^{-6}$ $\text{Tg a}^{-1(1)}$	$140 \times 10^{-6}$	Bhetanabhotla et al. (1985)	Theory
$\text{HO}_2$	$320 \times 10^{-6}$ $\text{Tg a}^{-1(1)}$	$140 \times 10^{-6}$	Bhetanabhotla et al. (1985)	Theory
CO	$1 \times 10^{14}$ molecules $\text{J}^{-1}$	0.04	Levine et al. (1979)	Laboratory
	$(1-5) \times 10^{13}$ molecules $\text{J}^{-1}$ ,	0.004–0.2	Chameides (1979b, 1986)	Laboratory
$\text{O}_3$	$1.2 \times 10^{22}$ molecules $\text{C}^{-1}$	0.03	Paetzold and Regener (1957)	Cold discharge estimate
	$2 \times 10^{17}$ molecules $\text{J}^{-1}$ (0.03 per eV)	150	Kroening and Ney (1962); Buettner et al. (1962)	Laboratory
	$3 \times 10^{26}$ molecules flash $^{-1}$	33	Griffing (1977); Peyrou and Lapeyre (1982)	Theory
	$6 \times 10^{-7}$ g $\text{J}^{-1}$ from cold point discharges	6	Peyrou and Lapeyre (1982)	Laboratory
	1.2 Mg $\text{a}^{-1(1)}$	0.0005	Bhetanabhotla et al. (1985)	Theory
	$(4 \pm 2) \times 10^{17}$ molecules $\text{J}^{-1}$ in corona discharges	$300 \pm 150$	Hill et al. (1988)	Laboratory
	$(5-7) \times 10^{16}$ molecules $\text{J}^{-1}$ in negative streamers	40	Cooray and Rahman (2005)	Laboratory

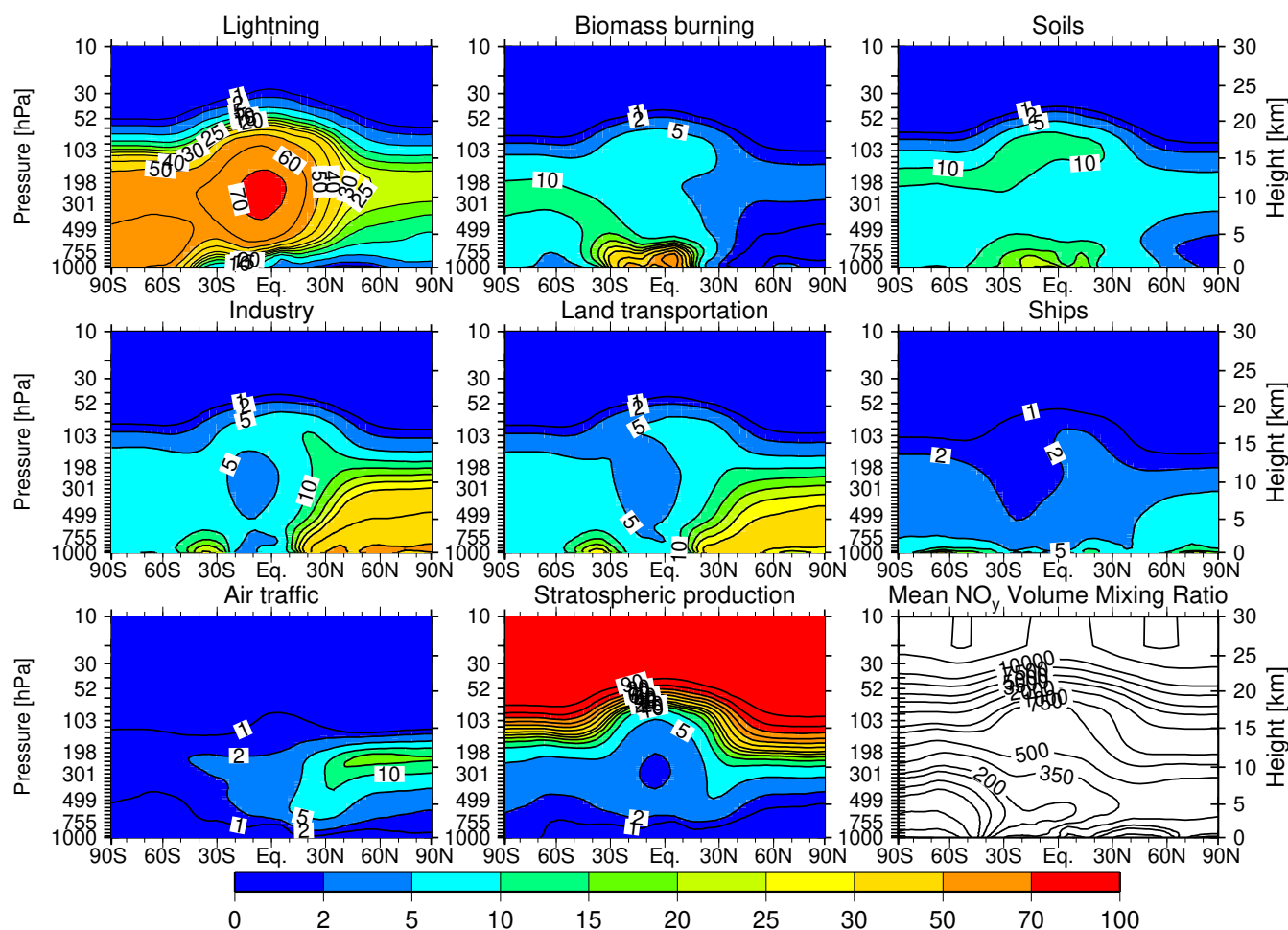
(1) Based on a global flash rate of  $100 \text{ s}^{-1}$ ; including both hot channels and corona productions (Bhetanabhotla et al., 1985).

(2) Assuming a discharge energy of 6.7 GJ per flash (Price et al., 1997b), a flash rate of  $44 \text{ s}^{-1}$  (Christian et al., 2003), a global discharge current of 1 kA (Donohoe et al., 1977) (the total air-Earth current is about 1.8 kA (Harrison, 2004)), and the molar mass of the respective species.

no lightning contributions to trace gases, in particular no enhancements of  $\text{O}_3$  and CO (Dickerson et al., 1987; Luke et al., 1992; Ridley et al., 1994, 2006; Hauf et al., 1995; Huntrieser et al., 1998; Jeker et al., 2000). Nevertheless, a few studies reported partially large  $\text{O}_3$  increases exceeding several  $100 \text{ nmol mol}^{-1}$  (Sisterson and Liaw, 1990; Zahn et al., 2002; Güsten et al., 2003) near thunderstorms using various observation methods (Dobson et al., 1946; Orville, 1967; Shantla and Moore, 1972; Clarke and Griffing, 1985; Jadhav et al., 1996; Borra et al., 1997; Suhre et al., 1997; Randriambelo et al., 1999; Winterrath et al., 1999). However, ground-based spectroscopy of visible light absorption by  $\text{O}_3$  may suffer from multiple scattering in the clouds (Platt et al., 1997); in-situ measurements of  $\text{O}_3$  by ultraviolet absorption near deep convective events with high water vapour concentration changes are prone to an instrument interference with water vapour (Meyer et al., 1991; Huntrieser et al., 2007); and  $\text{O}_3$  (also NO and  $\text{NO}_2$ ) may be formed by local corona discharges at the instrument's inlet in intense electrical fields inside thunderstorms (Zahn et al., 2002; Ridley et al., 2006). Also the "extremely high  $\text{O}_3$  peaks near ground" reported by Attmannspacher and Hartmannsgruber (1973) are likely caused by electrical discharges at the heated inlets of the instruments (P. Winkler, personal communication, 2006). The very high  $\text{N}_2\text{O}$  peak concentrations reported in one early study (Levine and Shaw Jr., 1983) would imply unrealistically large global  $\text{N}_2\text{O}$  emission rates (own calculation).

The global emission rate estimates in Table 11 are computed for an assumed discharge energy of 6.7 GJ per flash (Price et al., 1997b); other studies suggest at least ten times smaller values (Borucki and Chameides, 1984; Jayakumar et al., 2006). Nevertheless, flashes contribute only small fractions to the global budgets of the individual species. The total tropospheric chemical production rate of  $\text{O}_3$  mass is of the order of  $2500-4500 \text{ Tg a}^{-1}$  (IPCC, 2001; Stevenson et al., 2006), the total amount of CO mass emitted from all sources at the Earth surface is of the order of  $2800 \text{ Tg a}^{-1}$  (Mueller and Stavrakou, 2005), and that of  $\text{N}_2\text{O}$  is of the order of  $20 \text{ Tg a}^{-1}$  (Bouwman et al., 2002). The most recent estimates listed in Table 11 suggest lightning contributions to global sources of  $\text{O}_3$ , CO, and  $\text{N}_2\text{O}$ , of 40, 0.2, and  $4 \times 10^{-4} \text{ Tg a}^{-1}$ , respectively. Hence, the relative lightning contributions may amount to an order of 2%, 0.01%, and 0.002%, for  $\text{O}_3$ , CO and  $\text{N}_2\text{O}$ , respectively.

Condensation nuclei have been observed both in the laboratory and the atmosphere to be formed by flashes possibly due to nucleation of nitric acid (Peyrou and Lapeyre, 1982) or from sulphuric acid produced by oxidation of  $\text{SO}_2$  with  $\text{H}_2\text{O}_2$  in the aqueous phase (Chameides, 1986). Thunderstorms may also contribute to ions and subsequent particle nucleation (Yu and Turco, 2001). High concentrations of CN (of unknown composition) have been measured in the outflow of thunderstorm anvils (Huntrieser et al., 2002), see Fig. 14. The concentration of condensation nuclei formed



**Fig. 17.** Relative contributions (%) to the  $\text{NO}_y$  budget from various sources as a function of latitude and pressure-altitude in the annual mean; figure from Grewe (2007), with kind permission of Elsevier.

in storm anvils decreases by coagulation with typical time scales of 4 h; the concentration has been used as “chemical clock” for the age of air masses since convective outflow (Jaeglé et al., 1998). High particle concentrations may arise (possibly also without lightning), by homogeneous nucleation of sulphuric acid in the anvil after scavenging of most other aerosol mass (Clarke et al., 1999). A recent study suggests that most sulphuric acid will be washed out in anvils and the particles form from insoluble organic trace gases (Kulmala et al., 2006). The particle emissions are of relevance in regions with deep convection at midlatitudes and in the tropics (Wang et al., 2000; Minikin et al., 2003).

## 2.6 Importance of $\text{LNO}_x$ for atmospheric chemistry

Thunderstorms inject  $\text{NO}_x$  mainly into the relatively clean upper troposphere. Locally within the cloud the concentrated  $\text{NO}$  reacts with ambient  $\text{O}_3$  to produce  $\text{NO}_2$  which reduces the  $\text{O}_3$  abundance accordingly. Mainly in the clear air outside the cloud, after dilution and at time scales of the order

of hours to weeks, the increased  $\text{NO}_x$  causes, as explained before, a photocatalytic production of  $\text{O}_3$  (Pickering et al., 1996; DeCaria et al., 2005). Part of the  $\text{NO}_2$  reacts with  $\text{OH}$  to  $\text{HNO}_3$  which may get washed out. In the upper troposphere the lifetime of  $\text{NO}_x$  due to oxidation is of the order of several days, see Table 4. Some  $\text{LNO}_x$  emissions remain in the atmosphere far longer: Part of the  $\text{LNO}_x$  gets converted to PAN which may release  $\text{NO}_x$  after subsidence into warmer regions far from its source region (Moxim et al., 1996; Schultz et al., 1999; Hudman et al., 2004). Some  $\text{LNO}_x$  gets transported from the upper tropical troposphere, by isentropic transport at altitudes of around 100 hPa, into the lower-most stratosphere at midlatitudes (Grewe et al., 2004) where it may add to  $\text{NO}_x$  from aviation and from stratospheric sources. The  $\text{LNO}_x$  flux upwards across the tropical tropopause (about  $0.03\text{--}0.1 \text{ Tg a}^{-1}$  at 64 hPa (Kotamarthi et al., 1994)) may be an important source in the lower tropical stratosphere (Murphy et al., 1993) but appears to be small compared to the production of  $\text{NO}_y$  by  $\text{N}_2\text{O}$  degradation ( $0.2$

to  $1 \text{ Tg a}^{-1}$  (Murphy and Fahey, 1994; Müller and Brasseur, 1995; Lee et al., 1997; Lamarque et al., 1999; Martin et al., 2006).

Even though the contribution of  $\text{LNO}_x$  to the total  $\text{NO}_x$  budget is small, its contribution to the upper tropospheric  $\text{NO}_x$  concentrations is large because of its comparatively long lifetime. For a source magnitude of between 4 and  $8 \text{ Tg a}^{-1}$ ,  $\text{LNO}_x$  has been computed to be responsible for more than 70% of the  $\text{NO}_x$  concentration in the upper troposphere in the subtropics and tropics, and for more than 20% in summer at higher latitudes (Lawrence et al., 1995; Brasseur et al., 1996; Levy II et al., 1996; Berntsen and Isaksen, 1999; Lelieveld and Dentener, 2000; Meijer et al., 2000; Grewe et al., 2001; Hauglustaine et al., 2001a; Jourdain and Hauglustaine, 2001; Tie et al., 2001; Zhang et al., 2003a; Sauvage et al., 2007b). These findings are illustrated by Fig. 17 showing the contributions from various sources to the  $\text{NO}_y$  concentration as computed for a  $\text{LNO}_x$  source of  $5 \text{ Tg a}^{-1}$  with the E39/C model (Grewe, 2007).

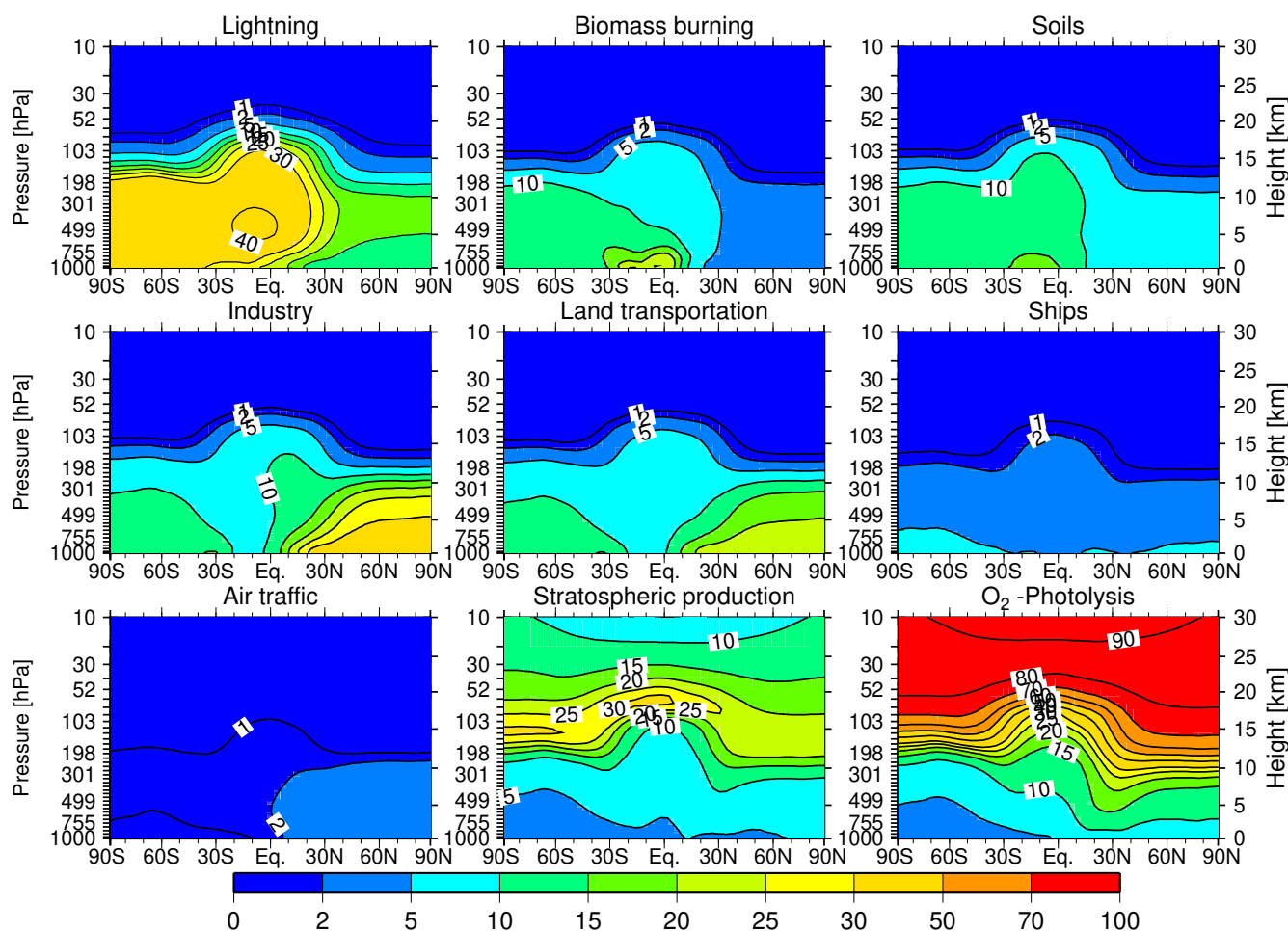
Lightning  $\text{NO}_x$  is also responsible for a large fraction of the  $\text{O}_3$  produced in the troposphere, see Fig. 18, similar to Fig. 17 (Grewe, 2007).  $\text{NO}_x$  in the upper troposphere is more effective in generating  $\text{O}_3$  than at the surface because of the longer lifetime and because of the lower background  $\text{NO}_x$  concentration (see Fig. 1).  $\text{LNO}_x$  was computed to be about 6 times more efficient in driving ozone production than anthropogenic  $\text{NO}_x$  emissions (Wu et al., 2007).  $\text{NO}_x$  emissions in the tropics are more efficient in producing  $\text{O}_3$  (because of higher photolysis rates) and changing  $\text{CH}_4$  lifetime (because of higher air temperatures) than the same emissions at higher latitudes (Crutzen et al., 1999; Hauglustaine et al., 2005; Naik et al., 2005). The production rate and the limiting value of  $\text{NO}_x$ , for which the production rate of  $\text{O}_3$  takes its maximum, is particularly large in the outflow from thunderstorm anvils because of high levels of humidity and  $\text{HO}_x$  precursors like hydrogen peroxide ( $\text{H}_2\text{O}_2$ ), methylhydroperoxide ( $\text{CH}_3\text{OOH}$ ), and formaldehyde ( $\text{HCHO}$ ) transported upwards within the convective storm (Chatfield and Crutzen, 1984; Jaeglé et al., 1997; Prather and Jacob, 1997; Ko et al., 2003; DeCaria et al., 2005; Ott et al., 2007).

An increase in global tropospheric  $\text{O}_3$  of about 7–12% is computed if the  $\text{LNO}_x$  production is doubled from 5 to  $10 \text{ Tg a}^{-1}$  (Brasseur et al., 1996; Labrador et al., 2005). The mean tropical tropospheric ozone column increases by about 14% (26 to 29.6 Dobson units) for an increase of the  $\text{LNO}_x$  source from 3 to  $6 \text{ Tg a}^{-1}$  (Martin et al., 2002b), or 10% for an increase from 3 to  $8 \text{ Tg a}^{-1}$  (Wild, 2007). Though  $\text{LNO}_x$  (for a global  $\text{LNO}_x$  source of  $7 \text{ Tg a}^{-1}$ ) contributes only to about 5% of the total USA  $\text{NO}_x$  emissions, summer lightning may play a dominant role regionally and at certain times in controlling  $\text{NO}_x$  and  $\text{O}_3$  concentrations in the middle and upper troposphere (Bond et al., 2001; Zhang et al., 2003b; Cooper et al., 2006).

Whereas the contributions of lightning to  $\text{NO}_x$  concentrations have been detected from measurements in many cases,

evidence for the  $\text{LNO}_x$  influence on  $\text{O}_3$  via photochemistry is more difficult to derive from observations. This is because  $\text{O}_3$  production in the upper troposphere may take several days and hence is slow even though the number of  $\text{O}_3$  molecules produced per unit  $\text{NO}_x$  consumed is high (Martin et al., 2000). Moreover, since deep convection plays a very important role in the vertical lofting of surface pollutants, it is very difficult to separate  $\text{O}_3$  contributions from lightning emissions in thunderstorms and from surface emissions (Schultz and Bey, 2004).

Nevertheless, the contribution of  $\text{LNO}_x$  to ozone production has been identified from combined observation and model studies (Smyth et al., 1996b; Moxim and Levy II, 2000; Hauglustaine et al., 2001a; Martin et al., 2002b; Richter and Burrows, 2002; Ko et al., 2003; Roelofs et al., 2003; Sauvage et al., 2007a). For example, upper tropospheric lightning influence on  $\text{O}_3$  was identified over the North Atlantic (Brunner et al., 1998), the South tropical Atlantic and both adjacent continents (Pickering et al., 1996; Thompson et al., 2000a; Martin et al., 2002b; Peters et al., 2002), the Middle East and the Mediterranean (Li et al., 2001), the tropical Pacific (Ko et al., 2003; Koike et al., 2003), the Southern Pacific (Staudt et al., 2002), over Africa (Marufu et al., 2000) and over North America (Cooper et al., 2006).  $\text{NO}_x$  has been shown to be positively correlated with high  $\text{O}_3$  concentrations in the upper troposphere in about 100 km wide plumes from continental or lightning sources departing from the South-East USA over the Atlantic (Brunner et al., 1998). Li et al. (2001) explain 10–15% of an upper tropospheric  $\text{O}_3$  maximum in the Middle East with  $\text{LNO}_x$  contributions. Roelofs et al. (2003) estimate that 13% of upper tropospheric  $\text{O}_3$  over the Mediterranean is caused by  $\text{LNO}_x$  but also note that the model underestimates the lightning contribution to  $\text{NO}_x$  concentrations (Scheeren et al., 2003). Marufu et al. (2000) show that 27% of the tropospheric  $\text{O}_3$  abundance observed over Africa is caused by  $\text{LNO}_x$ , but also find that the representation of details of the  $\text{O}_3$  distribution suffers from imperfect  $\text{LNO}_x$  source modelling. Cooper et al. (2006) performed an analysis of  $\text{O}_3$  profiles from measurements over midlatitude North America during July–August 2004; using a Lagrangian air parcel dispersion model, the upper tropospheric  $\text{O}_3$  enhancement was shown to be anti-correlated with tracers of surface emissions and positively correlated with tracers of  $\text{LNO}_x$  sources. For a global  $\text{LNO}_x$  source between 4.4 and  $8.9 \text{ Tg a}^{-1}$ , they conclude that  $\text{LNO}_x$  explains most of the identified upper tropospheric  $\text{O}_3$  enhancement. Over the Southern Pacific, biomass burning dominates the supply of  $\text{NO}_x$  in the lower troposphere but  $\text{LNO}_x$  dominates  $\text{NO}_x$  in the upper troposphere and both make similar contributions to  $\text{O}_3$  production (Staudt et al., 2002). During the 1997 Indonesian fire episode,  $\text{LNO}_x$  appears to be more important for  $\text{O}_3$  formation than the release of  $\text{NO}_x$  from biomass fires (Duncan et al., 2003; Kita et al., 2003).

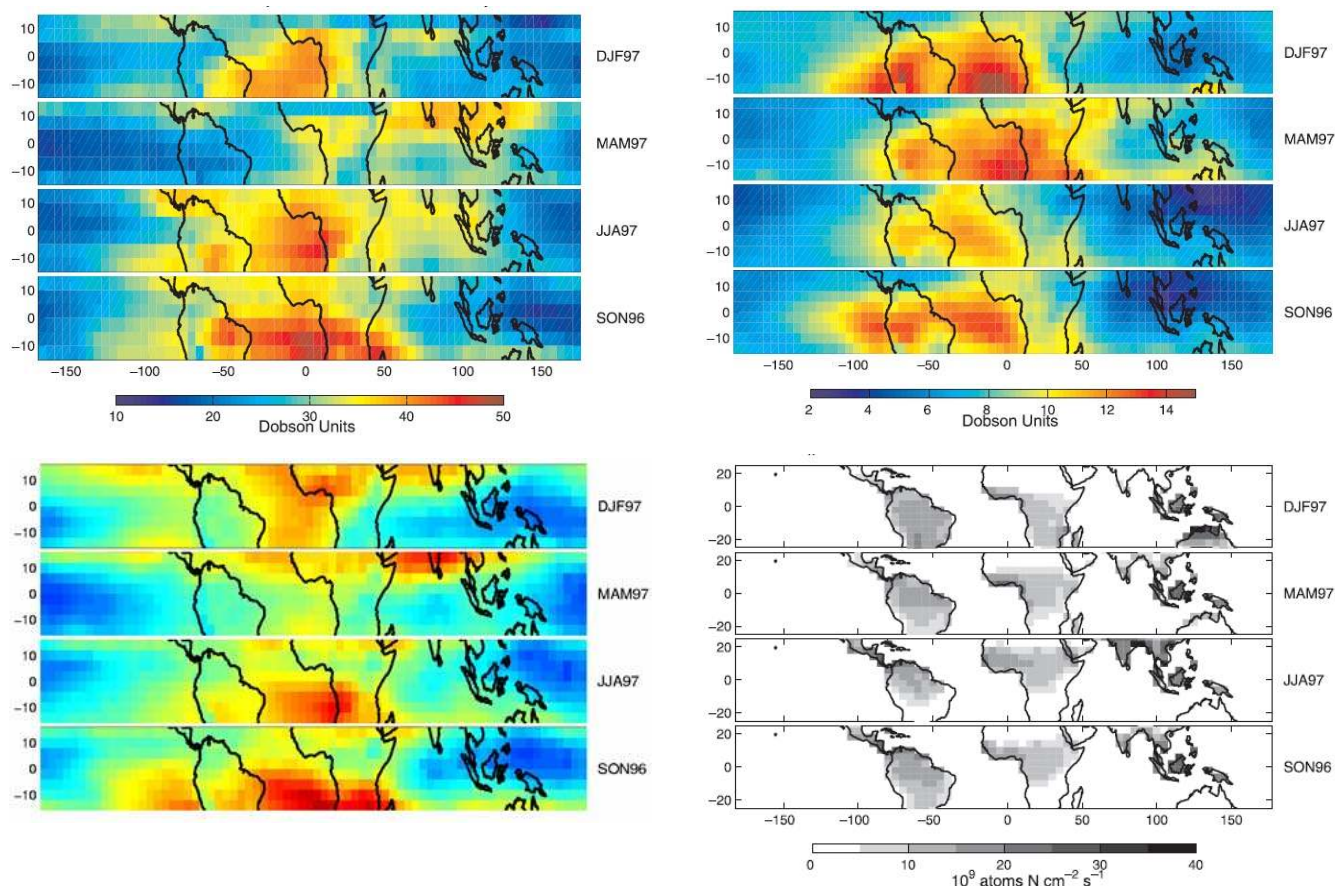


**Fig. 18.** Relative contributions (%) to the O<sub>3</sub> budget from various sources as a function of latitude and pressure-altitude in the annual mean; figure from Grewe (2007), with kind permission of Elsevier.

Several studies (Martin et al., 2002b; Edwards et al., 2003; Jenkins et al., 2003; Chatfield et al., 2004) have explored the Atlantic “ozone paradox” (Thompson et al., 2000a), with high O<sub>3</sub> over the Atlantic south of the Equator during the Northern African biomass burning season in December–February (Weller et al., 1996; Thompson, 2004). This effect has been explained by the combination of upper tropospheric ozone production from lightning NO<sub>x</sub>, persistent subsidence over the southern tropical Atlantic as part of the Walker circulation, and cross-equatorial transport of upper tropospheric ozone from northern midlatitudes (Martin et al., 2002b). A global lightning NO<sub>x</sub> source of 6 Tg a<sup>-1</sup> in a global chemical transport model produces a simulation that is most consistent with analysis of tropospheric ozone column data derived from the Total Ozone Monitoring Spectrometer (TOMS), see Fig. 19 and Sect. 3.3.4. The explanation agrees with in-situ observations of the transport of O<sub>3</sub> precursors and other tracers across the inter-tropical convergence zone (ITCZ) in the December–January period during the TROPOZ II aircraft campaign (Jonquiere and Marenco, 1998), and with statis-

tical analysis of correlations between tropospheric column TOMS O<sub>3</sub> data and OTD data of lightning flash rates (Martin et al., 2000; Ryu and Jenkins, 2005).

Finally, though much of the focus of lightning NO<sub>x</sub> research has been on its impact on ozone, it is also important for other trace gases. In particular, the relative change in mean tropospheric OH due to lightning is larger than the relative change in the ozone burden (Labrador et al., 2004), with important impact on the life time of methane and other gases (see Sect. 2.8). Furthermore, the LNO<sub>x</sub> source can contribute to NO<sub>3</sub>, which is known to be important for the oxidation of certain gases, such as the aerosol-precursor dimethylsulfide (DMS; Boucher et al., 2003).



**Fig. 19.** Top left: Seasonally averaged and sensor efficiency corrected seasonally averaged tropical tropospheric ozone columns from TOMS for September 1996–August 1997. Bottom left: Comparable results from the model (GEOS-CHEM). Top right: Ozone column enhancement from lightning emissions in the model, as determined by difference from a simulation without lightning emissions. Bottom right: Seasonally averaged  $\text{NO}_x$  emissions from lightning as computed using the cloud-top-height parameterisation in the model, yielding a global annual  $\text{LNO}_x$  source of  $6 \text{ Tg a}^{-1}$  (Martin et al., 2002b).

## 2.7 Global modelling of the $\text{NO}_x$ and $\text{LNO}_x$ distribution

### 2.7.1 Overview

The contribution of lightning to the atmospheric composition can be computed using models representing lightning and its impact on atmospheric chemistry. In view of the random nature of lightning events and the large scale range involved between the local lightning and  $\text{LNO}_x$  formation process and the driving outer parameters, this is not an easy task and has been accomplished so far only in a very approximate manner. Table 12 lists many of the 3-D global models used for assessing the effect and the size of  $\text{LNO}_x$  sources on tropospheric chemistry. Cloud-scale models are discussed in Sect. 2.7.4. Recently, lightning has been addressed also in numerical weather prediction models (van den Broeke et al., 2005; Mansell et al., 2007).

At present only very few two-dimensional (2-D) and three-dimensional (3-D) global models exist which explicitly simulate the storm electrification process with charge separation

and lightning formation together with the  $\text{NO}_x$  formation and the resultant tropospheric chemistry (Zhang et al., 2003b; 2003c). Instead most models parameterise the  $\text{LNO}_x$  source distribution directly as a function of the meteorological variables in the model.

A chemical transport model (CTM) takes the meteorological fields from either a global circulation model (GCM) or from numerical weather prediction results (e.g. from the European Centre for Medium-Range Weather Forecasts, ECMWF or the National Center for Environmental Prediction, NCEP) (Rasch et al., 1997). GCMs compute their own meteorology in a climate mode. GCMs may also use the technique of “nudging” (i.e. temporal or Newtonian relaxation) to adjust the computed fields for observed situations using prescribed meteorological analysis fields (Jeuken et al., 1996). In early studies, the  $\text{LNO}_x$  source was prescribed as fixed function of space and time. However, it was soon noted that the high spatial and temporal variability is of large importance for good comparisons with observations data (Flatøy and Hov, 1997; Stockwell et al., 1999).

**Table 12.** Three-dimensional chemical atmospheric models.

Year	Model*	Wind field	Lat	Lon	V	Top, hPa	G, Tg a <sup>-1</sup>	Horizontal distribution	Vertical distribution	IC/CG	References
1984	MOGUNTIA CTM	Monthly mean	10°	10°	10	100	5 (0–24.9)	constant, CTH	constant mixing ratio	0.1, 1	Zimmermann (1988); Dentener and Crutzen (1993); Lelieveld and Crutzen (1994); Gallardo and Rodhe (1997); Lelieveld et al. (1998)
1991	Lagrangian transport	GCM	4.5°	7.5°	12	9	3–12.9	constant	constant density		Penner et al. (1991)
1995	NCAR MACCM, GCM/CCM		5°	4°	52	0.005	5				Rasch et al. (1995); Boville (1995); Gauss et al. (2006)
1996	NORLAM CTM						5	constant, PR92	constant	0.1	Flatøy and Hov (1997)
1996	GFDL GCTM	GCM	2.4°	265 km	11	10	4 (2–6)	PR92	constant <7 km	0.1	Levy et al. (1996, 1999); Emmons et al. (1997);
1996	IMAGES CTM	Monthly ECMWF	5°	5°	25	50	5, (2–10), 3	TE82	constant	0.1	Müller and Brasseur (1995, 1999); Brasseur et al. (1996); Lamarque et al. (1996); Emmons et al. (1997); Thakur et al. (1999); Granier et al. (2000)
1996	GRANTOUR, Lagrangian transport CTM	GCM	4.5°	7.5°	12	9	7 (2–12)	P97	P98	0.1	Emmons et al. (1997); Penner et al. (1998)
1997	CTM2, UiO-CTM	GCM	8°	10°	9	10	5.7 (12.2)	constant	constant	0.1	Berntsen and Isaksen (1997, 1999); Jaffe et al. (1997)
1997	STOCHEM, CTM	GCM	5°	5°	9	100	7	PR97, CPR	constant density		Collins et al. (1997); Stevenson et al. (2004); Sanderson et al. (2006)
1997	MATCH, CTM	GCM	500 km	500 km	21	50	2	PR92	constant		Rasch et al. (1997); Lawrence et al. (1999)
1998	GEOS-CHEM, GCM (3-D Harvard)	GCM	4°	5°	9	10	1–3.5	PR92	P98	1/3	Wang et al. (1998b); Mickley et al. (1999); Horowitz et al. (1999); Bey et al. (2001); Martin et al. (2002b)
1998	MOZART, CTM	GCM	2.8°	2.8°	25	3	7 (3)	PR92, PR97	constant density		Emmons et al. (1997, 2000); Brasseur et al. (1998b); Hauglustaine et al. (1998, 2001a); Thakur et al. (1999); Tie et al. (2001)
1998	CTMK, CTM	ECMWF	4°	5°	15	12.7	5	constant, PR92	constant		Wauben et al. (1997); Emmons et al. (1997)
1998	TOMCAT, tropospheric CTM	ECMWF	5.6°	5.6°	31		4	CTH PR92	different for CG and IC		Law et al. (1998); Stockwell et al. (1999); Savage et al. (2004)
2000	ECHAM4	ECMWF	3.75°	5°	19	10	4, 5	PR92, DC93			Roelofs and Lelieveld (1995, 2000); Lelieveld and Dentener (2000); Marufu et al. (2000)

Table 12. Continued.

Year	Model*	Wind field	Lat	Lon	V	Top, hPa	G, Tg a <sup>-1</sup>	Horizontal distribution	Vertical distribution	IC/CG	References
2000	FRSGC-UCI, CTM	ECMWF	2.8°	2.8°	37	10	5	PR92			Wild and Prather (2000); Wild et al. (2003)
2000	TM3, CTM	ECMWF	3.75°	5°	19	10	5	PR92	constant density	1/3	Meijer et al. (2000, 2001); Peters et al. (2002)
2001	GISS, GCM	GCM					3.9	P97 modified	P98	0.1	Grewe et al. (2001); Shindell et al. (2001, 2003)
2001	DLR E39/C, GCM	GCM	3.8°	3.8°	39	10	~5.4	PR94 or UPD	P98		Grewe et al. (2001, 2002); Hein et al. (2001); Kurz and Grewe (2002); Stenke and Grewe (2004); Dameris et al. (2005)
2002	CHASER, GCM and CTM	CCM	2.8°	2.8°	32	3	5	PR92	P98	0.1	Sudo et al. (2002a, b); Gauss et al. (2006)
2003	UIO-CTM2, CTM		2.8°	2.8°	40	10	5	PR97			Gauss et al. (2006)
2003	STOCHEM HadGEM1, GCM	CCM	2.5°	3.8°	20	4	8	PR92	PR97		Collins et al. (2003)
2003	MATCH-MPIC, CTM	CCM	1.9–5.6°	1.9–5.6°	28	3	2–2.8	CTH, PR92	3 versions		von Kuhlmann et al. (2003a, b); Kunhikrishnan et al. (2004); Labrador et al. (2005)
2003	MOZART 2	GCM or NCEP or ECMWF	2.8°	2.8°	34	4	3	CTH, PR92	P98		Horowitz et al. (2003); Brasseur et al. (2006); Murazaki and Hess (2006)
2004	LMDz/INCA, CCM	Nudging of ECMWF	2.5°	3.8°	19	3	5	CTH	PR97		Hauglustaine et al. (2004, 2005)
2006	ECHAM5/MESSy, GCM/CTM	GCM nudged to ECMWF	1.9°	1.9°	19	10	5	UPD or CTH PR92	P98	1	Kurz (2006)
2006	ECHAM5/MESSy1, GCM/CTM	GCM nudged to ECMWF	2.8°	2.8°	90	0.01	2.2	CTH	P98		Jöckel et al. (2006)

\*CTM: Chemical Transport Model; GCM: General Circulation Model; CCM: coupled Chemistry-Climate Model; Year: Year of Publication; Lat: Latitudinal resolution; Lon: Longitudinal resolution; V: Number of vertical levels; Top: Model top boundary altitude; G: Global and annual LNO<sub>x</sub> nitrogen mass source, Tg a<sup>-1</sup>; IC/CG: NO production rate per IC and CG flash ratio, partly cited from Zhang et al. (2003b); DC93: Dentener and Crutzen (1993); PR92: Price and Rind (1992); PR97: Price et al. (1997b). P98: Pickering et al. (1998); TE82: Turman and Edgar (1982). CPR: Convective precipitation rate (Meijer et al., 2001); CTH: Cloud-top-height (Price et al., 1997b); UPD: updraft velocity (Grewe et al., 2001).



Fundamental to this approach is the assumption that the meteorological fields used represent the convection which causes lightning. Convection is driven by locally generated or advected hot and moist air masses in the boundary layer causing convective instability, enhanced by advection of cool air masses in the upper troposphere, wind shear, and low-level convergence and upper level divergence of horizontal wind fields (Cotton and Anthes, 1989; Johnson and Mapes, 2001). Hence, it covers a wide range of scales. So far cloud-scale convection is parameterised in global weather and climate models either by moist adiabatic convective adjustment of unstable temperature profiles (Manabe et al., 1965), mass flux schemes (Tiedtke, 1989; Hack, 1994), or convective cloud models (Zhang and McFarlane, 1995). The convective adjustment approach does not provide information on the convective process. The mass flux schemes determine the overall mass flux for all cumulus clouds in one grid cell column. Convective cloud models simulate an ensemble of subgrid clouds with different horizontal cloud diameters and updrafts speeds and may include aerosol effects (Nöber and Graf, 2005). Details of the parameterisations have large effects on LNO<sub>x</sub> relevant cloud parameters including precipitation (Arakawa, 2004; Tost et al., 2006). Cloud-resolving models may be able to resolve convection which occurs as singlecell, multicell and supercell convective storms and MCS (Maddox, 1980; Houze, 2004). The large-scale models should be able to resolve the “warm conveyor belt” of mid-latitude cyclones contributing to long-distance trace species transport (Browning, 1990; Stohl et al., 2003; Huntrieser et al., 2005). CTMs are often tested in their ability to represent the transport and chemistry of species for given meteorology (Brunner et al., 2003, 2005; Zhang et al., 2003b; Eyring et al., 2006; Gauss et al., 2006; Stevenson et al., 2006; van Noije et al., 2006). Relatively less attention has been given to validate the (often coarsely represented or simplified) meteorological fields and processes used in driving the transport and chemistry in the CTM.

### 2.7.2 Lightning parameterisation based on cloud-top-height

LNO<sub>x</sub> parameterisation in the models compute the spatial distribution of lightning events, the relative contribution from CG and IC flashes, and the vertical extent and profile of the resultant LNO<sub>x</sub> emissions as a function of the given meteorology. The globally averaged LNO<sub>x</sub> source is usually adjusted to some pre-selected value.

Many models rely on the work of Price and Rind (1992) and Price et al. (1997b) who parameterised the spatial distribution of the flash frequency as a function of the maximum cloud-top-height (CTH). This allows to proxy lightning rates from observable large-scale parameters. Different parameterisations were used for continental and maritime thunderstorms. The parameterisation for continental thunderstorms is based on theories and observations showing that lightning

frequencies in continental thunderstorms are related to the fifth power of the cloud height (Vonnegut, 1963; Williams, 1985). The parameterisation for maritime thunderstorms is based on observations indicating that marine thunderstorms with the same CTH have weaker updrafts, resulting in lower lightning frequencies (Lucas et al., 1994b; Zipser et al., 2006). The relationships used are

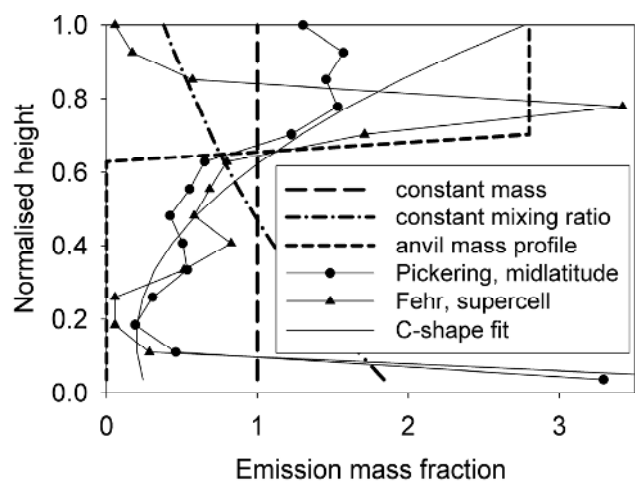
$$F_c = 3.44 \times 10^{-5} H^{4.9}, F_m = 6.40 \times 10^{-4} H^{1.73}, \quad (1)$$

where  $F_c$  and  $F_m$  are the continental and maritime flash frequencies (flashes per minute), respectively, and  $H$  is the cloud-top height above ground (km). Storms up to 500 km from the coastlines are still regarded as being continental in nature (Price and Rind, 1992); in fact storms with strong lightning activity occur also in oceanic regions with low-level outflow of warm and humid air masses from the adjacent land region, such as to the east of North America, east of South Africa around Madagascar, east of South America and east of the Indian subcontinent (“continental oceans” (Takayabu, 2006)).

The approach of Price et al. distinguishes between CG and IC flashes. CG flashes were expected to be less frequent but more energetic. The fraction of CG flashes relative to total flash frequency is parameterised as a function of the thickness of the cold cloud layer (between 0°C and cloud top) (Price and Rind, 1993). Price et al. (1997b) apply the model to satellite-derived cloud-top-heights assuming a discharge energy per CG flash of 6.7 GJ and a NO molecule production rate per discharge energy of  $10 \times 10^{16} \text{ J}^{-1}$ . This implies a total annual LNO<sub>x</sub> nitrogen mass emission rate of 12.2 Tg a<sup>-1</sup>.

The LNO<sub>x</sub> source is distributed vertically within global atmospheric models using an effective vertical emission profile (Pickering et al., 1998). The altitude of the convective outflow has important implications for the transport speed and direction and it determines the chemical lifetime of the lofted pollutant species, and the large-scale NO<sub>x</sub> distribution is sensitive to the shape of the profiles (Labrador et al., 2005). The models do not resolve the mixing processes in individual storms which occur at spatial and temporal scales far smaller than resolvable in global models, and the emissions are not distributed according to the vertical distribution of the CG and IC flash events. Instead the effective vertical emission profile describes the outflow pattern of LNO<sub>x</sub> from the thunderstorms. Until now, the relative importance of the various outflow domains is uncertain.

Various forms of effective vertical emission profiles have been used so far; see Fig. 20. At early times, models assumed profiles which are constant with altitude from ground to cloud top either in terms of constant mass density or constant mixing ratio (Lamarque et al., 1996). The latter would result from complete vertical mixing by convection. The vertical distribution of the LNO<sub>x</sub> outflow depends strongly on the specific storm properties, and consequently different profiles may be needed for different (e.g. oceanic/continental, tropical/midlatitude) storm types (Pickering et al., 1998).



**Fig. 20.**  $\text{LNO}_x$  mass emission profiles for a convective system with altitude normalised to cloud top height: constant mass (long-dashed), constant mixing ratio (dash-dotted), constant mass flux from anvil (short dashed), midlatitude storm outflow profile (thin line with dots) (Pickering et al., 1998), midlatitudes supercell outflow profile (thin line with triangles) (Fehr et al., 2004), quadratic parabola C-shape fit (thin line) (Kurz and Grewe, 2002).

Observations show clearly that part of the  $\text{LNO}_x$  gets released to the environment from thunderstorms within the anvils (Huntrieser et al., 1998; Ridley et al., 2004). Some  $\text{LNO}_x$  fraction may get transported downwards with downdrafts as indicated in some cloud-resolving model studies (Pickering et al., 1998; DeCaria et al., 2000; Skamarock et al., 2003; Zhang et al., 2003c; Fehr et al., 2004). Therefore, many authors assume that the emission profile has a C-shape profile similar to the C-shape fit used by Kurz and Grewe (2002) to approximate those computed by Pickering et al. (1998). However, observational evidence for  $\text{LNO}_x$  entering the planetary boundary layer with downdrafts is missing. It would be difficult to find because of shorter lifetime and higher background  $\text{NO}_x$  concentration in the boundary layer, and the difficulty to measure at the right place and time. Simulations for observed STERAO, EULINOX and TROCINOX cases show no significant  $\text{NO}_x$  outflow below about 4 km altitude, consistent with observations (DeCaria et al., 2005; Mari et al., 2006; Ott et al., 2007).

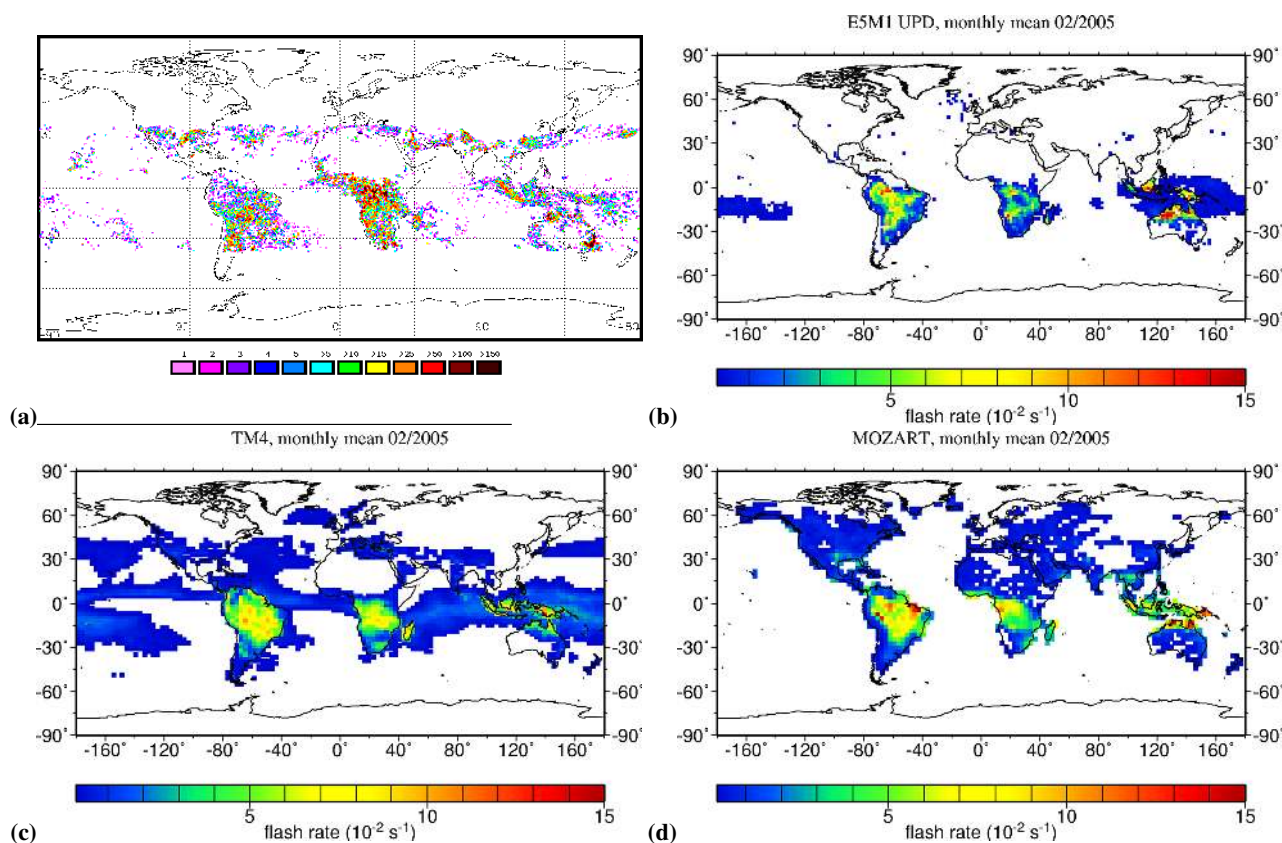
### 2.7.3 Alternative lightning parameterisations

CTH does not primarily control the formation of lightning, and the fundamental limitations of the CTH approach have often been discussed (Molinié and Pontikis, 1995; Price et al., 1997b; Ushio et al., 2001; Allen and Pickering, 2002; Boccippio, 2002; Cecil et al., 2005). The cloud top heights may be large without active updrafts and hence without active lightning. Later, other approaches were developed based on a combination of latent heat release and cloud-top-height

(Flatøy and Hov, 1997), convective precipitation rate (CPR) (Meijer et al., 2001; Allen and Pickering, 2002), convective available potential energy (CAPE) (Choi et al., 2005), land-ocean cloud CCN spectrum differences (Michalon et al., 1999; Jourdain and Hauglustaine, 2001), or convectively induced updraft velocity (UPD) (Allen et al., 2000; Grewe et al., 2001; Allen and Pickering, 2002; Kurz and Grewe, 2002). Besides a few observations (Lhermitte and Krehbiel, 1979), conceptual flash models indicate that flash rates are correlated with a high power (order of six) of the strength of storm updrafts (Baker et al., 1995, 1999).

Figure 21 illustrates the capability of the parameterisations, namely CTH, CPR and UPD in various models for the February 2005 TROCINOX period. In comparison to the LIS data, the three models all represent the observed spatial distribution of lightning activity reasonably; in particular, they simulate the observed maximum values over the tropical continents (Africa, South America and the region between Indonesia and North Australia). The UPD scheme underestimates lightning at Northern midlatitudes, the CPR overestimates lightning in the ITCZ, and the CTH model underestimates the lightning activity in Northern Argentina. Obviously, there is a need for further improvements. Recently, Tost et al. (2007) compared several combinations of state-of-the-art convection and lightning parameterisations. Model simulations with the global atmospheric chemistry model ECHAM5/MESSy were evaluated against lightning observations. A wide range in the spatial and temporal variability of the simulated flash densities was found, attributed to both types of parameterisations.

Allen et al. (2000) studied the impact of lightning on total odd nitrogen in the North Atlantic in a CTM calculation driven by fields from the Goddard Earth Observing System Data Assimilation System (GEOS DAS). CTH-based flash rates over the western Atlantic and Gulf Stream were much lower than observed during SONEX. In addition, the fifth power dependence of flash rate on cloud top height made this method very sensitive to biases in GEOS DAS cloud top heights. For these reasons, they developed an alternative UPD method that relates the CG flash rate to the GCM-calculated convective mass flux. Allen and Pickering (2002) tested various parameterisations including UPD, CPR and CTH. Their UPD variant relates the flash frequency to a fourth order polynomial of the grid cell mean upward flux at 440 hPa as derived from a meteorological data assimilation system regardless of type of land surface. The polynomial parameters were derived by fitting model results to ground-based lightning frequency observations. They compared the computed flash rates with satellite and ground-based lightning observations. The CTH parameterisation tends to underestimate lightning over marine locations while the UPD and CPR parameterisations have the opposite problem (Allen and Pickering, 2002). The UPD variant appears most realistic. Allen and Pickering (2002) noted that improvements in flash rate parameterisations will be tied closely to



**Fig. 21.** Observed and computed lightning flash density for February 2004. Top left: LIS (NASA), top right: MOZART, CTH (L. Emmons), Bottom left: ECHAM5/MESSy, UPD (C. Kurz), bottom right: TM4, CPR (E. Meijer).

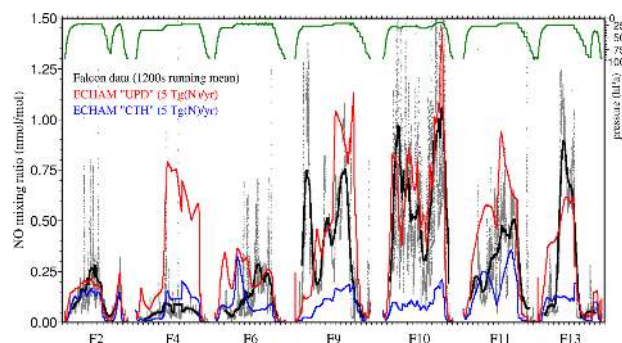
improvements in modelling the convection physics. Allen and Pickering (2002) applied their model with global  $LNO_x$  values between 0.1 and  $35.2 \text{ Tg a}^{-1}$ , but did not derive explicit conclusions on the best  $LNO_x$  source values.

Grewe et al. (2001) independently introduced a parameterisation variant based on convective updrafts (UPD) velocities. They used a global circulation model E39/C coupled to a chemical model. The convective updrafts (typically a few km wide) have to be parameterised in such models with grid scales of the order of a few 100 km. The GCM computes a mean upward convective mass flux  $mf_i$  (in  $\text{kg m}^{-2} \text{ s}^{-1}$ ) in a grid cell at each vertical level  $i$  using a classical parameterisation (Tiedtke, 1989). From this Grewe et al. computed an indicator for the (grid cell average) updraft velocity

$$w = \Sigma(mf_i/\rho_i)(h_i/D), \quad (2)$$

with the cloud thickness  $D = \Sigma h_i$  (m),  $\rho_i$  the mass density ( $\text{kg m}^{-3}$ ), and  $h_i$  (m) the thickness of the cloud layer  $i$ , where  $\Sigma$  denotes summation over the cloud layers  $i$  from cloud bottom to cloud top in the grid cell. The cloud-top-height  $H$ , the mass-flux-derived updraft velocity  $w$  ( $\text{m s}^{-1}$ ), and the cloud height  $D$  are related to each other by

$$H = awD^{1/2}, \quad (3)$$



**Fig. 22.** NO mixing ratio ( $\text{nmol mol}^{-1}$ ) versus time. The total time amounts to about 30 h. Measured values (dots and line, mean value for a running average over 1200 s), and results for ECHAM UPD (red) and ECHAM CTH (blue), both for  $5 \text{ Tg a}^{-1}$ . TROCCINOX flights F2 (14 February), F4 (17 February), F6 (20 February), F9 und F10 (morning and afternoon of 3 March), F11 (4 March), and F13 (7 March, 2004) (Kurz, 2006).

where  $a=0.85$  was selected to achieve consistency with Price and Rind (1992). Inserting this into the equation for  $F_c$  gives

**Table 13.** “Lightning yield”, i.e., number of CG flashes per rain mass.

Region	Lightning yield, Tg <sup>-1</sup>	Reference
Florida	50	Piepgrass et al. (1982); Gungle and Krider (2006)
Arizona	15–33	Battan (1965)
Congo	30	1550 mm rain a <sup>-1</sup> , ~45 flashes km <sup>-2</sup> a <sup>-1</sup> (Williams and Satori, 2004)
Arid south-western USA	15	Petersen and Rutledge (1998)
Midcontinental USA	10	Petersen and Rutledge (1998)
Amazonas	10	2150 mm rain a <sup>-1</sup> , ~20 flashes km <sup>-2</sup> a <sup>-1</sup> (Williams and Satori, 2004)
Continental Australia	4	Jayarathne and Kuleshov (2006)
Mediterranean regions	1–4	Price and Federmesser (2006)
Tropical continent	2.5	Petersen and Rutledge (1998)
Tropical land 36° S–36° N	2.5 *)	Takayabu (2006)
Summer stations Australia	1.9	Jayarathne and Kuleshov (2006)
Coastal Australia	1	Jayarathne and Kuleshov (2006)
Winter stations Australia	0.77	Jayarathne and Kuleshov (2006)
Tropical oceans 36° S–36° N	0.5 *)	Takayabu (2006)
Tropical thunderstorm	0.12	Williams et al. (1992)
Tropical Pacific Ocean	0.1	Petersen and Rutledge (1998)

\*) Lightning yield for total flash number derived from 3 years of TRMM precipitation radar-based rainfall amount and LIS flash data.

a flash frequency

$$\begin{aligned}
 F &= 3.44 \times 10^{-5} H^{4.9} \\
 &= 3.44 \times 10^{-5} (awD^{1/2})^{4.9} \\
 &= 1.54 \times 10^{-5} (wD^{1/2})^{4.9}.
 \end{aligned}
 \tag{4}$$

The model simulates different convective mass fluxes over land and sea, and provides a non-perfect but reasonable approximation for the lightning land-ocean contrast without using different sets of model parameters as was necessary in the CTH parameterisation. The dependence of  $F$  on  $D$  prevents lightning in shallow convection (Grewe, 2007). The model of Grewe et al. (2001) further assumes that the ratio of IC to CG flashes is determined as in Price and Rind (1993). The vertical distribution of the LNO<sub>x</sub> emissions follows Pickering et al. (1998), and the total annual emission of LNO<sub>x</sub> was scaled to 5 Tg a<sup>-1</sup>. Hence, the UPD model is both consistent with theoretical expectations and experiences with the CTH model, but without the need for different types of parameterisations for land and sea. Still, the mean velocity averaged over the cell differs from the mean velocity in the cloud cores, in particular if the cell is only partially clouded. Kurz (2006) presents a case study for Brazil and computes peak convective mass flux values of 0.3 kg m<sup>-2</sup> s<sup>-1</sup>, implying peak velocities of about 1 m s<sup>-1</sup> in the upper troposphere. It is not yet clear whether the approach is invariant with respect to grid scale variations. It is to be expected that the results are sensitive to details in the convection parameterisation (Tost et al., 2006). Moreover, not all CTMs have access to meteorological input for convective mass flux. Unfortunately, simultaneous global observations of lightning and

updraft speeds in convection are missing, meaning that this approach is hard to validate.

Systematic comparisons of the performance of various lightning parameterisations in terms of flash frequencies, land-ocean contrast, latitudinal variations, Congo-Amazon-contrast, daily and seasonal cycles, and spatial and temporal variability are rare. Model comparisons with observed precipitation features (Zipser et al., 2006) have still to be performed. CTH based flash rates, scaled to an assumed total LNO<sub>x</sub> source rate, have been compared with OTD/LIS data in a few model studies (Shindell et al., 2001; Martin et al., 2002b; Hauglustaine et al., 2004; Labrador et al., 2005). The models reproduce the main features of the observed daily and seasonal cycle and the general location of convective regions, but otherwise the agreement is not quantified and several disagreements were noted. In a recent study with the GEOS-CHEM model, the seasonal correlation coefficients between CTH-derived flash frequencies and OTD/LIS observations varied between 0.4 and 0.57; a far higher correlation (0.97–0.98) was obtained after scaling the model results locally to fit observed seasonal mean values (Martin et al., 2007; Sauvage et al., 2007a).

The CPR lightning parameterisation assumes a fixed ‘rain yield’, i.e. mass of rain produced per lightning CG flash. Actually relevant is the inverse, i.e. the “lightning yield” (Williams et al., 2002). Though lightning may occur also without rain (Rorig and Ferguson, 2002), the lightning yield is mostly finite, and has been reported to vary within 0.1–50 Tg<sup>-1</sup>, see Table 13 (for further studies see MacGorman and Rust, 1998, and Rakov and Uman, 2003). Note that the

TRMM data refer to total flash rates while all other data refer to CG flashes. For the same rain rate, more CG flashes are produced over continents than oceans and more at midlatitudes than in the tropics. On average, the lightning yield is 3 times smaller over ocean than over land (Takayabu, 2006) and about 3 times smaller over the Amazon than over the Congo (Williams and Stanfill, 2002). The CPR model suggested by Meijer et al. (2001) is now used with ten times higher lightning yields over land than over ocean (Boersma et al., 2005).

Kurz and Grewe (2002) compare the flash frequencies from the global circulation model E39/C using either the CTH or UPD model with those from OTD. The UPD version computes a land/sea flash ratio of 3.1 which is below the value of 6 derivable from OTD data (Kurz and Grewe, 2002) but far better than the ratio of 160 which would result for the same land/sea mask when using the same CTH flash parameterisation for ocean as for land globally. Kurz (2006) applies the UPD model for comparison with TROCCINOX data. He finds that the IC/CG ratio is not important in this approach because of prescribed total LNO<sub>x</sub> source and given vertical emission profiles. For IC/CG ratios of 0.1 and 1 the concentrations over the continental tropics differ by less than 3%. The comparison with TROCCINOX observations shows that the UPD parameterisation reproduces the observed variability of convection and the related NO<sub>x</sub> fields far better than the CTH model, see Fig. 22. The model computed CTH values are often rather uniform in that most cloud tops reach close to the tropopause while updraft speed (and precipitation) varies more strongly.

Choi et al. (2005) use a regional chemical transport model with various lightning parameterisations. They find best agreement with ground-based lightning observations when using a combination of UPD and CAPE, but with different parameterisations for land and ocean. Correlations between CAPE and aerosols with lightning have been investigated with observed data over the Amazon by Williams et al. (2002). More recently, precipitating ice mass has been suggested as indicator of lightning activity (Petersen et al., 2005; Gauthier et al., 2006; Sherwood et al., 2006). Also an elevated cloud base height has been identified to support transfer of CAPE to updraft energy in thunderstorms causing strong updrafts, high lightning flash rates and high lightning yields (Williams and Stanfill, 2002; Williams et al., 2005; Jayaratne and Kulshov, 2006). However, these parameters are not yet included in predictive models.

#### 2.7.4 Cloud scale models

Cloud-scale models have been used to simulate the transport and distribution of NO<sub>x</sub>, and its contribution to photochemistry at scales directly comparable to airborne measurements in thunderstorm systems (Hauf et al., 1995; Thompson et al., 1997; Pickering et al., 1998; Marécal et al., 2006; Rivière et al., 2006). Cloud-scale models parameterise flash occur-

rence, flash position, and related LNO<sub>x</sub> sources inside the cloud as a function of pressure (Wang et al., 1998a; DeCaria et al., 2000), temperature, model hydrometeor fields (DeCaria et al., 2000, 2005; Ott et al., 2007), updraft speed and thickness of the “cold” cloud region between the 0°C isotherm and cloud top (Price and Rind, 1993; Fehr et al., 2004), or cloud top height and thickness of cold cloud regions (Price and Rind, 1992; Mari et al., 2006). The flash-specific LNO<sub>x</sub> production rate and the relative contributions from IC and CG flashes are free parameters in these models. Only a few models simulate the process of charge separation and lightning discharges when reaching critical electric field strengths (Takahashi, 1984; Wang and Prinn, 2000; Zhang et al., 2003b; Barthe et al., 2005; Fierro et al., 2006; Kuhlman et al., 2006; Barthe and Pinty, 2007). The simulated storm charge structure depends strongly on the choice of charging parameterisation, breakdown assumptions and flash parameterisation (Kuhlman et al., 2006; Barthe and Pinty, 2007). A detailed review of the methods used for this purpose is beyond the scope of the paper. The model quality is assessed by comparing simulated cloud and lightning field properties and NO<sub>x</sub> concentrations with observations. Such studies have been performed with 2-D and 3-D cloud-scale models for the measurements during STERAO (DeCaria et al., 2000; Skamarock et al., 2000, 2003; DeCaria et al., 2005; Barthe et al., 2007), with 3-D models for EULINOX (Fehr et al., 2004; Ott et al., 2007), and with 3-D models for TROCCINOX (Chaboureau and Bechtold, 2005; Chaboureau et al., 2007; Mari et al., 2006). By fitting the model results to mainly airborne observations, the results provide insight on the effective transports and emission profiles, and on the air chemistry induced by LNO<sub>x</sub> from the thunderstorm. The results can be used to derive both the flash-specific LNO<sub>x</sub> production rate and the relative contributions from IC and CG flashes. Some results with estimates of the LNO<sub>x</sub> production per flash and per thunderstorm, and the IC/CG LNO<sub>x</sub> productivity ratio, are reported in Sects. 3.1 and 3.2.

#### 2.8 Climate impact of LNO<sub>x</sub>

In a future warmer world, more thunderclouds may form, causing more lightning, more LNO<sub>x</sub> production, and hence, larger O<sub>3</sub> concentrations, stronger radiative forcing, which may intensify the warming and thus produce more thunderstorms. Therefore, though still under debate (Williams, 2005; Harrison, 2006), LNO<sub>x</sub> may contribute to a positive climate feedback cycle (Williams, 1992).

LNO<sub>x</sub> contributes with a positive radiative forcing on climate via ozone formation in the upper troposphere (Toumi et al., 1996; Sinha and Toumi, 1997) and a negative forcing by enhanced OH reducing CH<sub>4</sub> (Isaksen and Hov, 1987). For a LNO<sub>x</sub> increase from 3–6 Tg a<sup>-1</sup>, Martin et al. (2002b) compute a 10% increase in OH and a reduction of the lifetime of methyl chloroform (CH<sub>3</sub>CCl<sub>3</sub>) from 5.9 to 5.3 years. Labrador et al. (2004) calculate OH increases by 10% and

23% when the global lightning source is increased, respectively, by a factor of 2 and 4 from a  $5 \text{ Tg a}^{-1}$  reference case. A 1.4 years difference in  $\text{CH}_4$  lifetime is found for  $\text{LNO}_x$  varying from 3 to  $8 \text{ Tg a}^{-1}$  (Wild, 2007). Fiori et al. (2006) compute that the  $\text{CH}_4$  lifetime decreased by 1.6% from 1991–1995 to 2000–2004, reflecting increases in OH and temperature due to increasing  $\text{LNO}_x$ .

Ozone in the upper troposphere has a larger impact on the radiative forcing of the atmosphere than ozone generated near the Earth surface because of lower ambient temperatures and smaller optical depth of the air mass above that level (Chalita et al., 1990; Laciš et al., 1990; Strand and Hov, 1994; Hansen et al., 2005). This is one of the reasons why  $\text{NO}_x$  emissions into the upper troposphere have a far larger climate impact than  $\text{NO}_x$  emissions at the surface (Johnson et al., 1992). Using a 2-D global model, Toumi et al. (1996) find that a 20% increase in lightning (from 5 to  $6 \text{ Tg a}^{-1}$ ) enhances the global mean radiative forcing via tropospheric  $\text{O}_3$  by about  $0.1 \text{ W m}^{-2}$ . Hopkins (2003) computes a smaller sensitivity: the global average total radiative forcing due to  $\text{O}_3$  formed by  $6.5 \text{ Tg a}^{-1}$  of  $\text{LNO}_x$  is about  $0.1 \text{ W m}^{-2}$  in her model.

Positive correlations between surface temperature, lightning activity, and the global electric circuit have been identified from observations at daily to interannual time scales (Williams, 1992; Harrison, 2004; Williams, 2005). The amplitude of the Schumann resonance oscillations in the ground-ionospheric cavity (Schumann, 1952; Rycroft et al., 2000; Price et al., 2007) and temperature fluctuations in the tropical atmosphere are positively correlated mainly because of lightning activity increasing with temperature (Williams, 1992). For a 1% increase in global surface temperature, the ionospheric potential may increase by 20% ( $\sim 50 \text{ kV}$ ) (Price, 1993). An increase in global lightning of 5–6% per degree Kelvin global warming was derived (Price and Rind, 1994a; Satori and Zieger, 1996), with much larger regional and temporal changes. Finally, biomass burning is likely to increase with climate change as a result of increased lightning-caused fires (Price and Rind, 1994b).

Correlations between observed lightning and temperature seem to support the existence of a lightning-climate interaction: For example, a seasonal sensitivity of the flash frequency to surface temperature of 50–800%  $\text{K}^{-1}$  was found (Williams, 1994); the sensitivity increases with decreasing latitude. The global position of lightning activity shifts with El Niño and La Niña (Satori and Zieger, 1996). Satellite-derived intraseasonal oscillations in deep convection modulate the global variations in the Schumann resonance intensity (Anyamba et al., 2000). The correlation of OTD flash data with monthly mean wet-bulb temperature anomalies is strongest in the Northern Hemisphere and weak in the Southern Hemisphere, and a change in the average land wet-bulb temperature of 1 K may result in a change in lightning activity of about  $40 \pm 14\%$  (Reeve and Toumi, 1999). Over the Gulf of Mexico, during the 1997–1998 El Niño event,

a 100–150% increase in lightning days is found, compared to the 1996–1997 and 1998–1999 winters, apparently correlated with increase in synoptic scale cyclones and the position and strength of the jet stream (Goodman et al., 2000). The 5-year LIS data, the 8-year OTD data, and the NCEP re-analysis data of surface air temperature show a sensitivity of lightning to temperature of up to  $17 \pm 7\% \text{ K}^{-1}$ , but the correlation varies with latitude, land/ocean regions, and periods (Ma et al., 2005).

Further evidence supports the existence of a lightning-climate interaction: The upper tropospheric water vapour variability, upper tropical cloud coverage, and the global lightning activity are closely linked, because continental deep convective thunderstorms transport large amounts of water vapour into the upper troposphere while producing most of the lightning on Earth (Price, 2000; Price and Asfur, 2006). Using TRMM lightning and radar data, a strong relationship is found between precipitating ice mass and lightning flash density (Petersen et al., 2005). Durden et al. (2004) find high correlation between average flash rates and precipitation data from satellites over both land and ocean; although both flash rates and radar reflectivity are much lower over ocean than land. In contrast, the anomalies of lightning and precipitation have different spatial patterns; however, both are correlated with the Southern Oscillation Index and, hence, El Niño. Differences in behaviour of the lightning and precipitation anomaly correlations suggest that El Niño plays a smaller role in lightning anomaly than precipitation anomaly.

Several model studies identify an increase in lightning and  $\text{LNO}_x$  emissions due to global warming, see Table 14. The estimates of  $\text{LNO}_x$  increases due to global warming vary within 4–60%  $\text{K}^{-1}$  with median near 15%  $\text{K}^{-1}$ . Some studies find no global trend in lightning emissions over the period 1990–2030, but significant changes in its distribution (Stevenson et al., 2005; Sanderson et al., 2006). Another study finds large interannual variability of the  $\text{LNO}_x$  source ( $5.2 \pm 0.3 \text{ Tg a}^{-1}$ ) but no significant trend in a transient simulation with the interactively coupled chemistry-climate model (CCM) E39/C over the 40-year period 1960 to 1999 (Dameris et al., 2005). Larger changes over the coming century are not excluded with these results. Models also compute a correlation between the El Niño phenomenon and the ozone column (Doherty et al., 2006). Lightning occurrence and  $\text{LNO}_x$  emissions increase during El Niño periods and leads to an inter-annual ozone variability of around 3% in the tropical upper troposphere (Grewe, 2007).

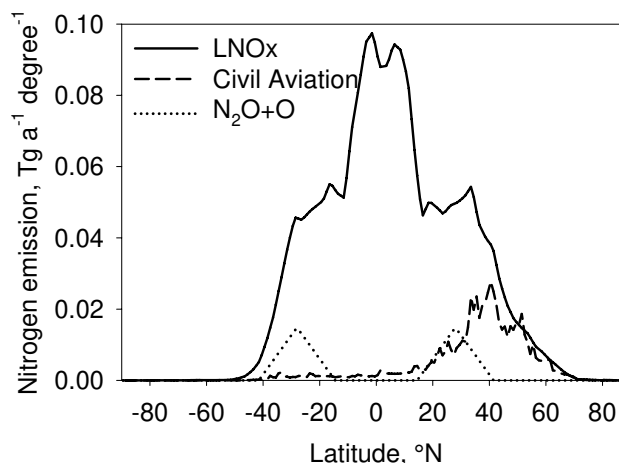
For a possible global warming of 1.5 to 5.8 K (IPCC, 2001), a  $\text{LNO}_x$  increase of 15%  $\text{K}^{-1}$  would imply a 20–90% increase in lightning activity. Hence, future changes in  $\text{LNO}_x$  emissions may be larger than future increases in aviation  $\text{NO}_x$  emissions (see Sect. 2.9). On the other hand, future  $\text{O}_3$  increases from increased  $\text{LNO}_x$  and other emissions are partially damped by increased tropospheric water vapour concentration (Brasseur et al., 2006).

**Table 14.** Lightning sensitivity to global warming in model computations.

Model	Period	Parameter	$\text{LNO}_x$ , $\text{Tg a}^{-1}$	$\Delta T$ , K	Relative change, $\% \text{K}^{-1}$	Reference
GISS	$2 \times \text{CO}_2$	Flash frequency	–	4.2	5–6	Price and Rind (1994a)
Global 2-D model	2 K warming pe- riod	$\text{LNO}_x$	5	2	10	Toumi et al. (1996)
ARPEGE	$2 \times \text{CO}_2$	Flash frequency	–	2	5	Michalon et al. (1999)
GISS GCM	$\sim 1860$ – $2000$	$\text{LNO}_x$	3.6–3.9	1.8	4	Shindell et al. (2001)
E39/C	1992–2015	$\text{LNO}_x$	5.4–5.9	$\sim 1$	9	Grewe et al. (2002)
GISS II'	1860–2000	$\text{LNO}_x$	6.2–6.5	$\sim 0.5$	$\sim 10$	Shindell et al. (2003)
GISS (23 layers, with chem- istry)	$2 \times \text{CO}_2$	$\text{LNO}_x$	6.5	–	22–27	Hopkins (2003)
GISS	2000–2100	$\text{LNO}_x$	4.9–6.9	3.25	12	Grenfell et al. (2003)
ECHAM/CHEM	1960–2105	$\text{LNO}_x$	5.1–5.6	0.7	14	Stenke and Grewe (2004)
GISS1/2	2000–2100	$\text{LNO}_x$	6–13.5	$\sim 2$	$\sim 60$	Lamarque et al. (2005)
NCAR (CAM, MOZART)	2000–2100	$\text{LNO}_x$	2.2–2.8	$\sim 2$	$\sim 14$	Lamarque et al. (2005)
LMDz/INCA	2000–2100	$\text{LNO}_x$	5–7.5	2.45	22	Hauglustaine et al. (2005)
E39/C	1969–1999	$\text{LNO}_x$	$5.2 \pm 0.3$	0.5–1	–	Dameris et al. (2005)
HadAM3-STOCHEM	1990–2030	$\text{LNO}_x$	7	–	–	Stevenson et al. (2005)
MOZART 2/NCAR-CSM	2000–2100	$\text{LNO}_x$	3.9–4.5	$\sim 2$	$\sim 15$	Murazaki and Hess (2006)
GISS III (G-PUCCINI)	2000–2100	$\text{LNO}_x$	5.2–7.2	$\sim 3$	$\sim 13$	Shindell et al. (2006)
GISS III	2000–2030	$\text{LNO}_x$	6.2–6.5	0.68	7	Unger et al. (2006)
MOZART 2 with ECHAM5	2000–2100	$\text{LNO}_x$	$\sim 3$ – $4$	$\sim 2.3$	9	Brasseur et al. (2006)

## 2.9 Assessment of aviation $\text{NO}_x$ for uncertain $\text{LNO}_x$ sources

Besides lightning and upward transport from polluted boundary layers over continents by convection, and small contributions from the stratosphere, aviation contributes to  $\text{NO}_x$  in the upper troposphere; see Fig. 23 and Table 2. Downward transport of  $\text{NO}_y$  (with small  $\text{NO}_x$  fraction) (Lee et al., 1997; Martin et al., 2006) from the stratosphere into the upper troposphere occurs mainly near the subtropical jet (Grewe and Dameris, 1996; Lamarque et al., 1999), and possibly by sedimentation of cloud particles in polar regions (Weller et al., 2002). The amount and distribution of  $\text{NO}_x$  emissions from aviation is known to about  $\pm 15\%$  accuracy (Schumann et al., 2001). Hence, the aviation  $\text{NO}_x$  source is far better known than most other  $\text{NO}_x$  emissions. Aviation  $\text{NO}_x$  emissions grew from about  $0.55$  to  $0.7 \text{ Tg a}^{-1}$  in the years 1992 to 2002 and may double in the next 20 years (Lee et al., 1997; Brasseur et al., 1998a; IPCC, 1999; Eyers et al., 2005). Aircraft  $\text{NO}_x$  contributions are clearly detectable in measurements at various scales (Schlager et al., 1999; Schumann et al., 2000; Thompson et al., 2000b). Only a small fraction of  $\text{NO}_x$  is oxidised in the exhaust plume in the first 30 min (Schlager et al., 1997). Aviation  $\text{NO}_x$  sources, though smaller than  $\text{LNO}_x$  sources globally (see Table 2), may be larger than the  $\text{LNO}_x$  source regionally, e.g. over Europe (Huntrieser et al., 2002). Hence, aviation  $\text{NO}_x$  contributes significantly to the upper tropospheric  $\text{NO}_x$  concentrations at northern midlatitudes and may have considerable influence



**Fig. 23.** Annual nitrogen mass emissions from  $\text{LNO}_x$  ( $5 \text{ Tg a}^{-1}$ , as in Fig. 2), civil aviation ( $0.7 \text{ Tg a}^{-1}$ , derived from the AERO2K data set (Eyers et al., 2005)), and from degradation of dinitrogen oxide ( $\text{N}_2\text{O}$ ) by reactions with atomic oxygen in the stratosphere (about  $0.4 \text{ Tg a}^{-1}$ ) with a distribution similar to the stratosphere to troposphere mass flux (Grewe and Dameris, 1996).

on  $\text{O}_3$  and the related radiative forcing (IPCC, 1999; Sausen et al., 2005).

The uncertainty in  $\text{LNO}_x$  and its pathways has a significant impact on the assessment of aviation  $\text{NO}_x$  contributions in the upper troposphere at midlatitudes in summer (Beck et

al., 1992). For an increase in  $LNO_x$  from 2 to  $10 \text{ Tg a}^{-1}$ , the aviation  $NO_x$  share decreases from about 40% to 20% (Brasseur et al., 1996; Lamarque et al., 1996; Meijer et al., 2000). Lower emissions from lightning (2 instead of  $5 \text{ Tg a}^{-1}$ ) may cause 50–70% higher enhancement of  $O_3$  due to aircraft at northern midlatitudes in summer (Berntsen and Isaksen, 1999). Grewe et al. (2002) find that the replacement of the CTH parameterisation (Price and Rind, 1993) by one based on UPD (Grewe et al., 2001) for the same total  $LNO_x$  rate ( $5.4 \text{ Tg a}^{-1}$ ) together with changes in the vertical profile of emissions reduces the aircraft contribution to the upper tropospheric  $NO_x$  content in the Northern Hemisphere from 30% to 20%, and reduces the aviation-induced net  $O_3$  production rate in the region  $20^\circ \text{ N} - 70^\circ \text{ N}$ , 500–200 hPa by 25%.  $LNO_x$  must also be well known for assessing the impact of aviation  $NO_x$  in the lower and lowermost stratosphere because of transport of  $LNO_x$  from the upper tropical troposphere (Kotamarthi et al., 1994; Grewe et al., 2004). In addition, the impact of supersonic aircraft's  $NO_x$  emissions on the ozone layer may be sensitive to the  $LNO_x$  source (Smyshlyaev et al., 1999).

### 2.10 Required $LNO_x$ accuracy

The required relative accuracy  $\Delta G/G$  of the global  $LNO_x$  source  $G$  depends on the parameter  $y$  considered, its required relative accuracy  $\Delta y/y$ , and the sensitivity  $\partial y/\partial G$  or the relative contribution  $\alpha = (G\partial y/\partial G)/y$  of  $G$  to  $y$  for which  $\Delta y/y = \alpha \Delta G/G$ . Consequently,

$$\Delta G/G = (\Delta y/y)/\alpha. \quad (5)$$

This simple relationship assumes that all other parameters are known. The required relative accuracy  $\Delta y/y$  depends on the implications of the result  $y$  and is assumed to be 10% here, without further argument. The required absolute accuracy  $\Delta G$  also depends on the best-estimate of the  $LNO_x$  source which is assumed to amount to  $G = 5 \text{ Tg a}^{-1}$ . The sensitivity  $\partial y/\partial G$  or the relative contribution  $\alpha$  can be determined from detailed model results or from rough estimates, see below. With these parameters the required accuracy of  $LNO_x$  can be estimated as listed in Table 15 and as explained next:

1. For  $G = 5 \text{ Tg a}^{-1}$ , lightning contributes about 60% to the  $NO_x$  concentrations in the free tropical troposphere, e.g. Brasseur et al. (1996), so that  $\alpha = 0.6$ .
2. For  $G = 5 \text{ Tg a}^{-1}$ , lightning contributes about  $\alpha = 20\%$  to the  $NO_x$  concentrations at upper tropospheric midlatitudes (Brasseur et al., 1996).
3. For  $5 \text{ Tg a}^{-1}$ ,  $LNO_x$  contributes about 30% to the  $O_3$  concentrations in the free tropical troposphere (Brasseur et al., 1996), so that  $\alpha = 0.3$ .
4. The radiative forcing due to tropospheric  $O_3$  increase is sensitive to  $LNO_x$  such that a doubling of the source

causes an increase of the radiative forcing by about  $\alpha = 20\%$  (Toumi et al., 1996).

5. The OH concentration changes by about 10% for an increase of  $G$  from 5 to  $10 \text{ Tg a}^{-1}$  (Labrador et al., 2004). Hence,  $\alpha = 0.1$ .
6. Also, the radiative forcing due to  $CH_4$  changes about linearly with the lifetime of  $CH_4$  and hence with the OH concentration, hence,  $\alpha = 0.1$ , as before.
7. The aviation contribution to midlatitude upper tropospheric  $NO_x$  concentration changes from 40% to 20% for an increase of  $G$  from 2 to  $10 \text{ Tg a}^{-1}$  (Lamarque et al., 1996). Hence,  $\alpha = 0.125$ .
8. The feedback of lightning on climate may increase  $LNO_x$  by 50% (Hauglustaine et al., 2005), the radiative forcing by about  $0.1 \text{ W m}^{-2}$  (Toumi et al., 1996), and the temperature increase over the next century by about 5–10% (0.2 of 2 K) (IPCC, 2001), implying  $\alpha = 0.1$  to 0.2.
9.  $LNO_x$  contributions to acid rain are small in most regions, but may reach about  $\alpha = 20\%$  in the tropics (Bond et al., 2002), see Table 2.
10. Acid rain over the industrialised countries is affected by  $LNO_x$  to about  $\alpha = 5\%$  (Zhang et al., 2003a), see also Table 2.

This simple exercise tells us that the accuracy is most important for assessing the  $NO_x$  concentration in the tropics, for assessing tropical  $O_3$  and the radiative forcing from tropospheric  $O_3$ , and possibly for assessing acid rain in the tropics. For these purposes, the  $LNO_x$  value should be known to about  $1 \text{ Tg a}^{-1}$  or about 20%. On the other hand, an accuracy of about  $5 \text{ Tg a}^{-1}$  (100%) should be sufficient for the other listed parameters.

At present, the accuracy of observations and models restricts the achievable accuracy to about 50%, as explained in Sect. 3.3.5. The interannual variability of global lightning may restrict the practically achievable accuracy of the annual mean global  $LNO_x$  source to about 5%. For regional applications, not only the global budget but also the regional  $LNO_x$  distribution must be simulated with comparable accuracy.

### 3 Methods to constrain the $LNO_x$ values

The methods which have been used to estimate the global  $LNO_x$  source rate are summarised in Table 16. This chapter describes the various approaches and identifies their limitations and possible extensions.



**Table 15.** LNO<sub>x</sub> sensitivity and required accuracy of the LNO<sub>x</sub> source *G*.

No.	Parameter	$\alpha$ , LNO <sub>x</sub> Contribution in %	$\Delta G/G$ , %	$\Delta G^*$ , Tg a <sup>-1</sup>
1)	NO <sub>x</sub> concentration in the free tropical troposphere	60	17	0.8
2)	NO <sub>x</sub> concentration in upper mid-latitude troposphere	20	50	2.5
3)	Ozone concentration in the tropical troposphere	30	33	1.7
4)	Radiative forcing by tropospheric O <sub>3</sub>	20	50	2.5
5)	OH concentration	10	100	5.0
6)	Lifetime of CH <sub>4</sub> and related radiative forcing	10	100	5.0
7)	Relative importance of aviation NO <sub>x</sub> contribution	12.5	80	4.0
8)	Temperature increase from climate feedback of lightning	20	50	2.5
9)	Acid rain rate over tropical continents	20	50	2.5
10)	Acid rain rate over the industrialised countries	5	200	10.0

\* Absolute accuracy computed from  $\Delta G/G$  for  $G=5$  Tg a<sup>-1</sup>.

### 3.1 Flash extrapolation

The flash extrapolation method determines the global LNO<sub>x</sub> production rate as the product of the LNO<sub>x</sub> production rate per flash and the global flash frequency (Lawrence et al., 1995). The LNO<sub>x</sub> production rate per flash may be determined from the production rate per discharge unit energy (or peak current (Wang et al., 1998a)) times the discharge energy (or peak current) per lightning flash, e.g. from theoretical considerations, from laboratory experiments, or from observations in the atmosphere. Alternative approaches determine the flash-length-specific production rate and then multiply the result with length estimates. Other approaches determine the LNO<sub>x</sub> production rate directly per flash. All these approaches assume that one set of flash property values is representative for all flashes occurring globally. The production rate per flash is usually expressed in number of molecules or moles or mass, where  $6.02 \times 10^{23}$  molecules = 1 mol = 14 g of nitrogen.

#### 3.1.1 LNO<sub>x</sub> production per flash energy or peak current

Published estimates for the energy-specific LNO<sub>x</sub> production rates are listed in Table 17; for studies published before 1988 see Lawrence et al. (1995). The values range within  $1\text{--}50 \times 10^{16}$  molecules J<sup>-1</sup>. The upper bound value seems a bit high, because if all discharge energy is consumed to split the triple bond of molecular nitrogen ( $0.94$  MJ mol<sup>-1</sup>) the LNO<sub>x</sub> production could reach at most  $64 \times 10^{16}$  molecules J<sup>-1</sup>.

The flash discharge energy that is spent in ionisation of the air in the flash channel, shock-wave channel expansion, and the production of electromagnetic (including optical) and acoustic radiation from the flash channel may be estimated from theoretical considerations (Tuck, 1976), from acoustical energy of thunders (Hameed et al., 1981), or from comparisons of measured optical energies in natural lightning first strokes with laboratory spark experiments (Orville, 1968; Rakov and Uman, 2003). The stroke energy  $E=1/2$

QV depends on the charge *Q* (in Coulombs) transferred and the total potential *V* (in Volts) (Bradshaw, 1996). The peak current  $I=Q/\Delta t$  (in Ampere) in the stroke depends on the charge transferred and the stroke duration  $\Delta t$ . For typical values (Uman, 1987; Orville et al., 2002),  $V=10$  MV,  $I=20$  kA,  $\Delta t=1$  ms, we compute  $Q=20$  C, and  $E=0.1$  GJ. Early studies estimated the energy of an average lightning stroke as about 10 GJ (Vonnegut, 1963). From field and laboratory experimental data, a length specific discharge energy of  $230$  kJ m<sup>-1</sup> for a single return-stroke natural flash was derived (Krider et al., 1968). A review of estimates from electrical, optical, acoustical and theoretical methods revealed a value of  $10$  kJ m<sup>-1</sup> (Hill, 1979). From electrostatic consideration, a possible range of length specific values between  $100$  and  $1000$  kJ m<sup>-1</sup> was deduced (Uman, 1987), while Cooray (1997) computes  $70$  kJ m<sup>-1</sup>. For  $5$  km flash length, these values imply a range of  $0.35$  to  $5$  GJ per stroke. Recent field measurements find even far smaller energies between  $1$  and  $10$  kJ m<sup>-1</sup> in rocket-triggered lightning (Jayakumar et al., 2006). However, a natural flash may differ from rocket triggered ones. Moreover, a single flash usually includes several strokes. A best estimate value of about  $0.4$  GJ per flash, with uncertainty factor of about  $3$  was derived from reanalysis of several optical and electrical measurements by Borucki and Chameides (1984), assuming  $1.75$  equivalent return strokes per flash. Also Bradshaw (1996) reviewed the interpretation of previous experimental data and derived energies per lightning flash of  $1.2$  GJ for midlatitude negative CG lightning ( $240$  kJ m<sup>-1</sup>) and similar values for other flash types:  $2.3$  GJ for tropical negative CG lightning,  $3$  GJ for positive CG lightning and  $0.9$  GJ for IC lightning with uncertainties of at least a factor of  $2$ . Far larger discharge energy values,  $6.7$  GJ per flash, were derived from a review of observations and the contributions of lightning to the global atmospheric electric circuit (Price et al., 1997a, b). Hence, the flash-specific value of discharge energy derived from these studies ranges within at least  $0.4$  and  $6.7$  GJ per flash, with different values depending on flash type.

**Table 16.** Methods to derive estimates of the global LNO<sub>x</sub> production rate.

No.	Method	Explanation	Typical Reference
1	Flash extrapolation: $G = P f_f$	$G$ is the global rate of NO <sub>x</sub> production by lightning in nitrogen mass units; $P$ is the mass of fixed nitrogen produced by a single, average flash of lightning; $f_f$ is the global lightning flash frequency.	Lawrence et al. (1995)
1.1	NO per flash based on discharge energy: $P = p E_f$	$p$ is the mass yield of fixed nitrogen per unit energy of discharge; $E_f$ is the energy of a lightning flash.	Tuck (1976)
		Alternatively, one may scale $P$ with the flash peak current.	Wang et al. (1998a)
1.2	NO per flash based on NO increase: $P = \rho_{\text{air}} V (M_N / M_{\text{air}}) \Delta \text{NO}_x / N_f$	$P$ is the nitrogen mass due to increase in mixing ratio $\Delta \text{NO}_x$ in a given volume $V$ with given air density $\rho_{\text{air}}$ , and molar mass ratio of nitrogen and air ( $M_N / M_{\text{air}}$ ); $N_f$ is the number of flashes responsible of this increase.	Noxon (1976)
2	Storm extrapolation: $G = F n_t$ $G = M f_t$	$F$ is the outflow of NO from a single thunderstorm per time unit; $n_t$ is the number of thunderclouds active at any time globally; $M$ is the mass of NO produced by a single thunderstorm; $f_t$ is the frequency of thunderclouds occurring globally.	Chameides et al. (1987)
2.1	$F$ : nitrogen mass outflow rate of thunderstorm produced NO	$F = \rho_{\text{air}} A v \Delta \text{NO}_x M_N / M_{\text{air}}$ ; $\rho_{\text{air}}$ is the air density; $A$ is the vertical cross section area of anvil outflow from a thunderstorm; $v$ is the velocity difference between the velocity of the air in the outflow and the velocity of the cloud system; $\Delta \text{NO}_x$ is the increase in mixing ratio due to lightning in the thunderstorm.	Huntrieser et al. (1998)
2.2	$M$ : mass of N produced within a thunderstorm at a given time	$M = \rho_{\text{air}} V \Delta \text{NO}_x M_N / M_{\text{air}}$ ; $V$ is the volume containing LNO <sub>x</sub> within a thunderstorm; $\Delta \text{NO}_x$ is the increase in mixing ratio due to lightning in the thunderstorm.	Ridley et al. (1996)
3	Global model fit:		
3.1	Concentration based model fit	$G$ is that LNO <sub>x</sub> value for which the model results best fit observations of concentrations of NO <sub>x</sub> , NO <sub>y</sub> , and possibly other species sensitive to LNO <sub>x</sub> .	Levy et al. (1996)
3.2	Deposition-based model fit	$G$ is that LNO <sub>x</sub> value for which the model results best fit observations of nitrate deposition.	Gallardo and Rodhe (1997)

Wang et al. (1998a) pointed out that LNO<sub>x</sub> is not a unique function of the energy converted to heat in the flash discharge but increases (about linearly) with atmospheric pressure and (about quadratically) with the peak current of the flash, see Fig. 24. For surface pressure, the energyspecific NO molecule production was found to increase from  $15 \times 10^{16}$  to  $40 \times 10^{16} \text{ J}^{-1}$  for an increase in peak current from 10 kA to 30 kA. The same flash at 370 hPa would produce half that amount. A quadratic dependence on the peak current  $I$  may be expected for constant channel resistance  $R$

and stroke duration  $\Delta t$ , where the energy released in a lightning stroke is  $E = \frac{1}{2} R I^2 \Delta t$ . Peak currents in (negative) CG flashes observed from the ground-based lightning detection systems NLDN are typically 10–30 kA (Orville, 1990; Petersen and Rutledge, 1992; Wacker and Orville, 1999; Orville et al., 2002; Langford et al., 2004). The measured dependence of the NO production as a function of flash peak current and ambient pressure opens a new approach to estimate the LNO<sub>x</sub> production per flash from lightning detection systems identifying flashes and peak currents (Huntrieser et

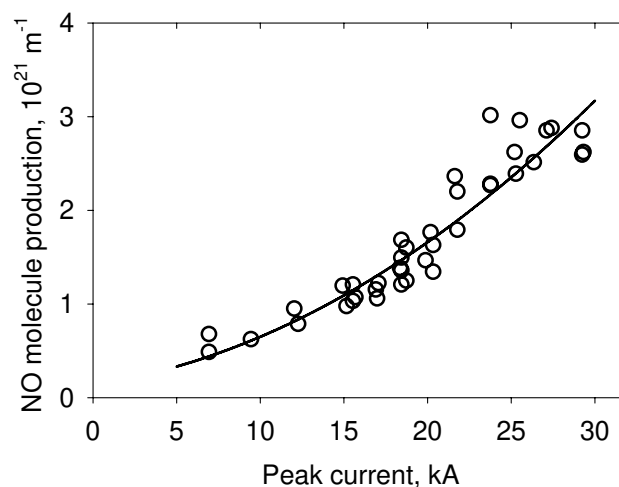
**Table 17.** Lightning NO<sub>x</sub> production rate per discharge energy.

Production rate, 10 <sup>16</sup> molecules J <sup>-1</sup>	Method	Author
1.4±0.7	Laboratory simulated corona discharges	Hill et al. (1988)
8.5±4.7	Review	Lawrence et al. (1995)
9 (5–17)	Review and lightning data for USA	Biazar and McNider (1995)
7.5 (CG–), 15 (CG+), 5 (IC)	Based on a critical review distinguishing negative (CG–) and positive (CG+) cloud to ground flashes and intracloud (IC) flashes	Bradshaw (1996)
9±2	Laboratory discharges, NO/NO <sub>x</sub> measurements, and literature (Borucki and Chameides, 1984)	Stark et al. (1996)
10	Theoretical	Price et al. (1997a, b)
10–50	Laboratory experiments	Wang et al. (1998a)
1.1±0.2	Laboratory experiments of low energy sparks	Cook et al. (2000)
15±5	Lightning in laboratory simulated hot plasma generated with pulsed Nd-YAG laser. Initial temperature near 10 <sup>5</sup> K.	Navarro-González et al. (2001)
20–30	Laboratory streamer discharges in a coaxial cylinder cell	Cooray and Rahman (2005)

al., 2006). Unfortunately, the satellite systems like OTD identify the flash-energy-related radiances nearly globally (Baker et al., 1999) but not the peak currents of the flashes.

### 3.1.2 LNO<sub>x</sub> production per flash length

Some airborne studies derive the LNO<sub>x</sub> production per unit flash-length from measurements of the concentration of NO<sub>x</sub> in fresh flash plumes near thunderstorms, see Table 18. In order to extrapolate these values to LNO<sub>x</sub> production per flash, one needs to know their lengths. Some authors use typical altitude ranges of 5–7 km for midlatitude CG flashes and 1–6 km for IC flashes (Price et al., 1997b), but detailed studies of lightning flashes show that flashes may be far longer (Defer et al., 2001; They, 2001; Thomas et al., 2004), see Fig. 7. For the STERAO case, the flash length derived from VHF lightning observations and model studies is about 20–30 km (Defer et al., 2001; Barthe et al., 2007). For the 21 July EULINOX supercell, typical flash lengths for IC and CG flashes derived from VHF lightning and radar observations are: 43 km for an IC flash, 26.5 km for a negative CG flash, and 29.5 km for a positive CG flash (Dotzek et al., 2000). A flash may even have a fractal structure implying that the actual length scales with the height with a power larger than one. A ratio of 3.6 between the effective length of the dis-



**Fig. 24.** NO molecules produced per spark length as a function of peak current for ambient surface pressure and temperature; data and quadratic fit; replotted from Wang et al. (1998a).

torted flash and the outer geometrical scale (“tortuosity ratio”) has been estimated by Wang et al. (1998a).

**Table 18.** Lightning NO<sub>x</sub> production rate per flash length.

Production rate per flash length, 10 <sup>21</sup> molecules m <sup>-1</sup>	Method	Author
1.4–5.2	Laboratory NO measurements and flash chemistry model, including a tortuosity factor of 3.6 for the flash length	Wang et al. (1998a)
13	Airborne NO measurements, LINOX, Germany, 23 July 1996, and 2-D model, Lightning Position And Tracking System (LPATS)/Blitz-Information-Dienst von Siemens (BLIDS)	Höller et al. (1999)
2.5 (0.2–10)	Airborne NO measurements, STERAO, Colorado, 9–10 July 1996, VHF interferometer (ONERA), NLDN	Stith et al. (1999)
2.7 (0.07–10)	Airborne NO measurements, EULINOX, Germany, 21 July 1998, VHF interferometer (ONERA)	Huntrieser et al. (2002)
1	3-D cloud model, airborne NO measurements, STERAO, North Colorado, 10 July 1996, VHF interferometer (ONERA)	Skamarock et al. (2003)
7.5	3-D cloud model, airborne NO measurements, EULINOX, Germany, 21 July 1998, VHF interferometer (ONERA)	Ott et al. (2007)

**Table 19.** IC/CG LNO<sub>x</sub> production rate per flash ratio.

Z=P <sub>IC</sub> /P <sub>CG</sub>	Method	Author
1/3	Acoustic measurements	Hameed et al. (1981)
0.1	Review	Kowalczyk and Bauer (1981)
1/3	Review	Proctor (1991)
0.1	Review	Price et al. (1997b)
~1	Theory	Gallardo and Rodhe (1997)
2–3	Electrostatic and lightning model	Cooray (1997)
0.5–1	Fit of 2-D model to data for STERAO, 12 July 1996	DeCaria et al. (2000)
1.4 (1–2)	Fit of 3-D model to airborne NO <sub>x</sub> measurements and VHF based lightning observations, EULINOX, 21 July 1998	Fehr et al. (2004)
0.75–1	Fit of 3-D model to data for STERAO, 12 July 1996	DeCaria et al. (2005)
1	Review	Ridley et al. (2005)
0.7–1.5	Fit of 3-D model to data, CRYSTAL-FACE, 16 and 29 July 2002	Ott et al. (2005)
1	Fit of 3-D model to data, EULINOX, 21 July 1998	Ott et al. (2007)

### 3.1.3 LNO<sub>x</sub> production per flash

The LNO<sub>x</sub> production rate per flash in the atmosphere may be derived by various approaches resulting from ground-based (Noxon, 1976), airborne (Chameides et al., 1987), and spaceborne (Beirle et al., 2004b) measurements. The approaches usually distinguish between CG and IC flashes

which have different properties:

$$G = P_{CG} f_{CG} + P_{IC} f_{IC}, \quad (6)$$

$$f_f = f_{CG} + f_{IC} \quad (7)$$

The flash extrapolation methods are very sensitive to the value of the productivity ratio  $Z = P_{IC}/P_{CG}$ , i.e. the ratio in

the number of  $\text{NO}_x$  molecules formed per CG and IC flashes (Bond et al., 2002). For a number ratio  $f_{\text{IC}}/f_{\text{CG}}=3$ , the global rate  $G$  is more than 3 times larger if  $Z=1$  instead of  $Z=0.1$  is used (Gallardo and Cooray, 1996; Ridley et al., 2005).

Both, the number ratio  $f_{\text{IC}}/f_{\text{CG}}$  (see Table 9, and Fig. 13) and the productivity ratio  $Z$  (Table 19) are uncertain. The  $f_{\text{IC}}/f_{\text{CG}}$  ratio varies strongly during the life-cycle of a thunderstorm and ratios exceeding 100 have been observed (Dye et al., 2000; DeCaria et al., 2005; Wiens et al., 2005; Ott et al., 2007). New techniques seem to show that lightning can occur in strong thunderstorms (supercells) virtually continuously (Krehbiel et al., 2000; Thomas et al., 2001). Hence, the determination of the flash rate and the IC/CG ratio may be ill-defined and dependent on the observation system used (Dye et al., 2000).

Acoustic data indicate that IC discharges are less energetic than CG ones (Holmes et al., 1971; Rakov and Uman, 2003). One reason could be the decrease of the threshold value for electrical breakdown with altitude. Moreover, the NO production seems to decrease with decreasing ambient pressure for the same energy and peak current (Goldenbaum and Dickerson, 1993; Wang et al., 1998a). Therefore, the productivity ratio  $Z=P_{\text{IC}}/P_{\text{CG}}$  has been assumed to be 0.1 in early studies based on estimates of cloud charges, electrostatic potentials and acoustical measurements of the energy of CG and IC discharges (Kowalczyk and Bauer, 1981; Price et al., 1997b), and this value has been used in many follow-on studies, see Table 12. However, for the same charge neutralisation, an IC flash dissipates more energy than a CG flash (Cooray, 1997). IC flashes may be far longer than CG flashes (Defer et al., 2001). From above clouds, the pulse shape and intensities of IC and CG flashes were observed to exhibit similar waveshapes, radiances and radiant energy densities (Goodman et al., 1988). IC flashes may form more strokes or pulses per flash than CG flashes (Borucki and Chameides, 1984; Goodman et al., 1988). Moreover, the frequent occurrence of narrow NO peaks as observed in field experiments (see, e.g., Fig. 14) is more likely the fresh signature of IC flashes in the vicinity of the measurements in the upper part of the cloud; CG flash plumes signatures would be smoother when reaching the anvil (Höllner et al., 2000). Such observations imply high NO productivity per unit length in IC flashes.  $Z$  values of the order of 1 are in agreement with some theoretical studies (Bradshaw, 1996; Cooray, 1997; Zhang et al., 2003b) and laboratory results (Gallardo and Cooray, 1996; Cooray and Rahman, 2005). In fact, a few recent analyses of lightning observations and airborne measurements during STERAO (DeCaria et al., 2000), EULINOX (Fehr et al., 2004), and CRYSTAL-FACE (Ott et al., 2005) with cloud model simulations indicate that IC flashes produce about the same amount of NO as CG flashes, see Table 19.

Note that the higher  $P_{\text{IC}}/P_{\text{CG}}$  values for STERAO and EULINOX were derived using the ONERA VHF lightning detection system (Defer et al., 2001), which may overestimate the IC/CG number ratio. The derived  $P_{\text{IC}}/P_{\text{CG}}$  ra-

tio could be even higher when the IC/CG number ratio is smaller. Moreover, the air in flash channels dissociates for temperatures in excess of about 3000 K, far less than the maximum flash temperature reported for CG flashes. Perhaps IC flashes exceed this threshold temperature high enough for  $\text{LNO}_x$  formation more often than expected (Stark et al., 1996; Dye et al., 2000). In fact, it would be desirable to have lightning observation systems measuring the volume of air heated above 3000 K by flash events.

The production rate per flash may be derived by relating the measured concentration increase, in a certain volume of the thunderstorm, to the number of flashes causing the  $\text{NO}_x$  increase in that specific volume of the thunderstorms (Ridley et al., 1996, 2004; Skamarock et al., 2003; Koike et al., 2007). For illustration of the approach and the difficulties involved, we describe the application of the method to an example. Ridley et al. (2004) analyse a moderate-sized and a large thunderstorm during CRYSTAL-FACE on two different days. Because of the limited altitude ranges of the airborne sampling it is not possible to estimate the  $\text{NO}_x$  content in the lower part of the storms. Moreover, possible influx from the boundary layer is not subtracted. Hence, the airborne in-situ  $\text{NO}_x$  measurements are fully attributed to lightning sources. From the data the mean increase  $\Delta\text{NO}_x$  in the anvil relative to background values is estimated (in fact, median values in several altitude intervals are derived). Radar and satellite observations and the airborne measurements are used to estimate the horizontal areas size  $A$  of the anvil. The volume of air containing that mixture is the product of this area in various altitude bins and their depths, defining an effective depth of the anvil  $\Delta z$ . The number  $N_{\text{CG}}$  of CG flashes in the thunderstorm is taken as observed by a ground-based lightning detection network. Typical mean IC/CG number ratios of  $f_{\text{IC}}/f_{\text{CG}}\approx 2.25$  for Florida, see Fig. 13 (Boccippio et al., 2001), are used to estimate the number of IC flashes, implying large uncertainties for this case. IC and CG flashes are considered equally efficient in  $\text{NO}_x$  production ( $Z=P_{\text{IC}}/P_{\text{CG}}=1$ ). From this the production of  $\text{NO}_x$  per CG flash is estimated. The global production  $G$  is extrapolated based on the ratio between the global flash rate of  $f_f=44\text{ s}^{-1}$  worldwide and the number  $(f_{\text{IC}}/f_{\text{CG}}+1)N_{\text{CG}}$  of actual flashes which may have caused the  $\text{NO}_x$  production,

$$G = \Delta\text{NO}_x A \Delta z (M_N/M_{\text{air}}) \rho_{\text{air}} f_f / [(f_{\text{IC}}/f_{\text{CG}} + 1) N_{\text{CG}}] \quad (8)$$

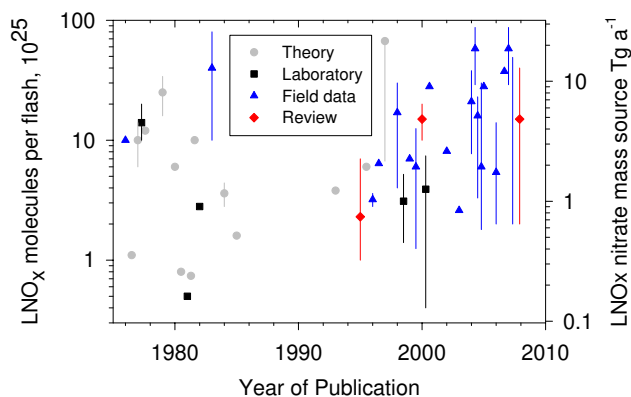
with molar mass ratio  $M_N/M_{\text{air}}=14/29$ . Table 20 lists the respective values.

Taking into account estimated uncertainties of a factor 1.5 in  $\Delta\text{NO}_x$ , a factor 1.5 in the volume  $A \Delta z$ , and a factor 2 in the flash rate (Ridley et al., 2004), the total uncertainty of the global  $\text{LNO}_x$  rate may be as large as a factor of 6. Moreover, the unknown value of the ratio  $Z$  of production efficiency  $P_{\text{IC}}/P_{\text{CG}}$  in IC and CG flashes causes significant uncertainty. Finally, the extrapolation of the two storm cases, whose individual results differ by a factor of about 5, to the

**Table 20.** Parameters of observed convective events during CRYSTAL-FACE\*.

Date	Cloud top, km	Flight altitude, km	NO <sub>max</sub> , nmol mol <sup>-1</sup>	ΔNO <sub>x</sub> , nmol mol <sup>-1</sup>	A, km <sup>2</sup>	Δz, km	N <sub>CG</sub>	P, 10 <sup>26</sup>	G, Tg a <sup>-1</sup>
16 July 2002	15.2	11.4–14.2	6.5	~1	4200	4.5	392	0.33–0.66	1.1–2.2
29 July 2002	13.8	12.5–13.8	9.5	~4	15 000	~5	3067±150	4.5–6.1	5.5–7.5

\*Data from Ridley et al. (2004). NO<sub>max</sub> = observed peak NO mixing ratio, ΔNO<sub>x</sub> = effective NO<sub>x</sub> mixing ratio increase, A = horizontal anvil area, Δz = effective depth, N<sub>CG</sub> = number of CG flashes in the storm, P = number of NO<sub>x</sub> molecules per flash, G = global LNO<sub>x</sub> production rate.



**Fig. 25.** Flash-specific LNO<sub>x</sub> emissions in 10<sup>25</sup> molecules (NO<sub>x</sub> or NO) per flash from various theoretical, laboratory, and field studies and from reviews versus year of publication, according to Table 21. Different symbols denote best-estimates from different approaches and vertical bars the estimated uncertainty ranges. The red symbols refer to the flash-specific emissions derived in the reviews of Lawrence et al. (1995), Bradshaw et al. (2000), and this paper. The right axis measures the total annual global LNO<sub>x</sub>-induced nitrate emission rate using the global mean OTD-derived flash rate of 44 s<sup>-1</sup> (Christian et al., 2003).

global scale implies further uncertainty. Therefore, the overall uncertainty factor is estimated to be of the order of 10.

Table 21 summarises previous attempts to derive flash-specific estimates of LNO<sub>x</sub> production published since 1976. Franzblau and Popp (1989) provided an upper extreme value on the number of NO<sub>x</sub> molecules per flash of 300×10<sup>25</sup>. For a flash rate of 44 s<sup>-1</sup>, this value implies an unrealistically large global LNO<sub>x</sub> source of 100 Tg a<sup>-1</sup>. Such a high value is inconsistent for example with nitrate deposition data (Gallardo and Rodhe, 1997). Without this extreme value, the remaining values scatter between 0.4×10<sup>25</sup> and 67×10<sup>25</sup> molecules per flash (Price et al., 1997b; Cook et al., 2000). Based on the arithmetic mean of the individual results, we find that the best estimate of LNO<sub>x</sub> production per flash is about 15 (2–40)×10<sup>25</sup> molecules per flash, equivalent to 250 mol NO<sub>x</sub> or 3.5 kg of nitrogen per flash, with uncertainty factors 0.13 to 2.7. The uncertainty range is asymmetric because negative values can be excluded. The range includes most (83%) of the 39 individual results cited.

The lower bound is consistent with the review of Lawrence et al. (1995). Only a few (four) studies from before 1985 suggested smaller values. Extrapolations of laboratory spark results to natural flashes may have underestimated the LNO<sub>x</sub> productivity. The often used CG value of Price et al. (1997b) defines the upper bound of the set of estimates. They assumed 25 (75)% of all flashes to be CG (IC) flashes, and IC flashes to be ten times less productive than CG flashes. For equal productivity, the values imply a production rate of 22×10<sup>25</sup> molecules per flash, which is within the given range of uncertainty. The upper bound covers also the recent estimate of Ott et al. (2007), and is consistent with the best estimates of Langford et al. (2004) and Fraser et al. (2007) when accounting for their uncertainty ranges. The range between the lower and upper bounds corresponds to about two to three standard deviations of the individual best-estimate values relative to the mean. Figure 25 (based on Table 21) shows the estimated NO<sub>x</sub> production per flash from theoretical, laboratory, field and review studies versus the year of publication. We see that values below 3×10<sup>25</sup> molecules per flash resulted from mainly theoretical and some laboratory studies in the 1980s. Several of those early results would change when recomputed with present knowledge on flash frequencies and energies. Our present estimate is consistent with the growing number of values derived from field measurements in the more recent years.

The flash-specific LNO<sub>x</sub> values are extrapolated globally using the mean annual global frequency of flashes. The best-estimate value of this frequency, see Table 8, varied considerably over the years explaining part of the large scatter in previous global LNO<sub>x</sub> source rate estimates. Recent observations show that the lightning flash rate is smaller than expected in early studies. Multiplying the best estimate flash-specific LNO<sub>x</sub> value derived from the collection of existing studies, see Table 21, with the present best estimate of 44 s<sup>-1</sup> for the number of flashes derived from OTD data (Christian et al., 2003) results in the LNO<sub>x</sub> production rate of 5 Tg a<sup>-1</sup>, with a range of uncertainty of 0.6–13 Tg a<sup>-1</sup>. This range is smaller than the range of values listed in the individual studies. Note that in spite of lower global flash rates the best estimate is larger than in the review of Lawrence et al. (1995), because our flash-specific production rate is higher.

**Table 21.** LNO<sub>x</sub> production rate per flash.

Production rate per flash, 10 <sup>25</sup> molecules	Assumed global flash rate	Global LNO <sub>x</sub> production*, Tg a <sup>-1</sup>	Method	Author
10	–	–	Ground-based absorption spectroscopy over Colorado	Noxon (1976, 1978)
1.1	500	4	Theoretical calculations	Tuck (1976)
6–14	400	18–41	Theoretical calculations	Chameides et al. (1977); Lawrence et al. (1995)
16±4 (12±2)	400	47±23	Laboratory results	Chameides et al. (1977); Lawrence et al. (1995)
16–34	400	47–100	Theory and laboratory results (Biazar and McNider, 1995; Lawrence et al., 1995)	Chameides (1979a)
6	100	4.4 <sup>a</sup>	Theoretical calculations	Hill et al. (1980)
4	100	3	Theoretical calculations	Dawson (1980)
0.5		1.8±0.7	Laboratory experiment: (5±2)×10 <sup>15</sup> J <sup>-1</sup>	Levine (1981)
0.74	–	2.1	Review and NO <sub>y</sub> model	Hameed et al. (1981)
10	50 CG+250 IC	5.7 (2–20)	Review (Noxon, 1978; Kotaki and Katoh, 1983; Olivier et al., 1998), P <sub>IC</sub> /P <sub>CG</sub> =0.1 per assumption	Kowalczyk and Bauer (1981)
2.8	400	9 <sup>c</sup>	Laboratory experiment in a point-to-plane discharges vessel; derived from the given 5.9×10 <sup>11</sup> moles NO <sub>x</sub> per year and 400 strokes s <sup>-1</sup>	Peyrous and Lapeyre (1982)
40 <sup>b</sup> (10–80)	100	30	Ground-based in-situ instrument, Illinois	Drapcho et al. (1983)
3.6±0.8	100	2.6 (0.8–8)	Theoretical calculations/laboratory exp.	Borucki and Chameides (1984)
1.6	100	1.1	Theoretical calculations	Bhetanabhotla et al. (1985)
300	100	220 <sup>d</sup>	Ground-based in-situ instrument, and absorption spectroscopy, New Mexico	Franzblau and Popp (1989)
3.8			Fluid dynamics model coupled with chemistry (Zel'dovich reactions)	Goldenbaum and Dickerson (1993)
2.3 (1–7)	100 (70–150)	2 (1–8)	Review	Lawrence et al. (1995)
6	63	2	Review and theoretical	Kumar et al. (1995)
2.8–3.6		≥2.0–2.7	Airborne in-situ measurements and lightning observations, New Mexico	Ridley et al. (1996)

Table 21. Continued.

Production rate per flash, $10^{25}$ molecules	Assumed global flash rate	Global LNO <sub>x</sub> production*, $\text{Tg a}^{-1}$	Method	Author
6.4	100	4.7	Ground-based absorption spectroscopy in core region of thunderstorm, Pune, India, 28 May 1991; flash detection with electric field meter and optical sensor	Jadhav et al. (1996)
6.7–67	70–100	12.2 (11.3–13.1)	Theoretical	Price et al. (1997b)
4–30	–	4 (0.3–13)	Airborne in-situ measurements, LINOX, LPATS/BLIDS, average July 1996, Germany, global estimate based on the number of thunderstorms	Huntrieser et al. (1998)
3.1 (1.4–5.2)	30–100	2.5–8.3 (0.7–8.3)	Laboratory in-situ measurements and flash chemistry model	Wang et al. (1998a)
7	100	5	Airborne in-situ measurements, LINOX, Germany, 23 July 1996, and 2-D model, LPATS/BLIDS	Höller et al. (1999)
1.25–12.5	–	–	Airborne in-situ instrument, STERAO, Colorado, 9–10 July 1996, VHF interferometer (ONERA), NLDN, assuming 5–50 km flash length	Stith et al. (1999)
14–28	–	–	Airborne in-situ instrument, STERAO, Colorado, 9–10 July 1996, VHF interferometer (ONERA), NLDN	DeCaria et al. (2000)
10–20	25 (CG) 75 (IC)	6.5 (2–10)	Review	Bradshaw et al. (2000)
0.4–7.4	100, 30	0.6–9.7 0.2–4	Laboratory experiments of low energy sparks	Cook et al. (2000)
8.1	65	4	Airborne in-situ instrument, EULINOX, Germany, 21 July 1998, VHF interferometer (ONERA)	Huntrieser et al. (2002)
2.6	44	0.8	3-D cloud model, airborne in-situ measurements, STERAO, Colorado, 10 July 1996, VHF interferometer (ONERA), NLDN	Skamarock et al. (2003)



**Table 21.** Continued.

Production rate per flash, $10^{25}$ molecules	Assumed global flash rate	Global LNO <sub>x</sub> production*, $\text{Tg a}^{-1}$	Method	Author
21 (7.7–38)	–	–	3-D cloud model, airborne in-situ measurements, EU-LINOX, Germany, 21 July 1998, LPATS/BLIDS	Fehr et al. (2004)
58±29	–	–	Ground-based absorption spectroscopy in core region of thunderstorm, Colorado, 12 September 2002, NLDN	Langford et al. (2004)
3.3–23	44	1–8	Airborne in-situ measurements, CRYSTAL-FACE, 16 July and 29 July 2002, NLDN	Ridley et al. (2004)
6 (1.8–30)	63	2.8 (0.8–14)	GOME, Australia, LIS	Beirle et al. (2004b)
21–28	–	–	3-D cloud model, airborne in-situ measurements, STERAO, Colorado, 10 July 1996, VHF interferometer (ONERA), NLDN	DeCaria et al. (2005)
5.4 (2–14)	44	1.7 (0.6–4.7)	GOME, Gulf of Mexico, 30 August 2000, NLDN	Beirle et al. (2006)
21.7	44	7	3-D cloud model, airborne in-situ measurements, EULINOX, Germany, 21 July 1998, VHF interferometer (ONERA), +LPATS/BLIDS, for 30 km flash length	Ott et al. (2007)
10–33	–	–	Ground-based absorption spectroscopy near a thunderstorm at Vanscoy, Saskatchewan, 28 August 2004; Canadian Lightning detection network and Doppler radar	Fraser et al. (2007)
2–49	44	1–16	Airborne in-situ instruments, BIBLE-C	Koike et al. (2007)
15 (2–40)	44±5	5 (0.6–13)	Mean	This paper

\* Value stated in the cited paper

a) see comment by Borucki and Chameides (1984).

b) subject to controversy (Franzblau and Popp, 1989; Liaw et al., 1990; Lawrence et al., 1995) because of observations near polluted area.

c) The global NO<sub>x</sub> production rate is stated as  $5.9 \times 10^{11}$  moles a<sup>-1</sup> and  $25 \text{ Tg a}^{-1}$  in Peyroux and Lapeyre (1982).

d) The original paper reported  $100 \text{ Tg a}^{-1}$  (Franzblau and Popp, 1989), however the information provided implies  $220 \text{ Tg a}^{-1}$  (Liaw et al., 1990).

As mentioned before, this approach assumes that one set of flash property values exists which is valid for all flashes occurring globally and that the  $\text{LNO}_x$  production scales with the number frequency of flashes. However, various indications for variations of flash properties exist. For example peak flash currents over Florida were found to be about twice as large as over New England (Orville, 1990). A later study showed similar results with highest peak currents along the Gulf coast and over Florida (Orville et al., 2002). Differences between OTD-derived global distributions for flash radiances and flash frequencies indicate that the mean radiance per flash (and hence possibly the  $\text{LNO}_x$  productivity per flash) is higher over the oceans than over land, and (in the Northern Hemisphere) higher in the wintertime than in summer; moreover, flash radiances are lower when flash-rates are higher (Baker et al., 1999). The median negative current in strong storms is smaller than in nonsevere storms (Carey and Rutledge, 2003). Measurements of global lightning activity using magnetic field variation at very low frequencies ( $<300$  Hz) reveal the strongest source of lightning activity on Earth over the North American continent and not in the tropics (Füllekrug and Fraser-Smith, 1997). The impact of such variations on the global  $\text{LNO}_x$  value is unknown.

Analysis of the frequency distribution of flash peak currents measured with LINET over Southern Brazil (TROCCINOX) (Schmidt et al., 2005) and Germany (Betz et al., 2007) suggests that the stroke peak currents in the tropical thunderstorms may be on average a factor 1.6–2 lower than at midlatitudes, so that tropical strokes may produce less  $\text{NO}_x$  than mid-latitude ones (Huntrieser et al., 2006). From comparisons of GEOS-CHEM model simulations with  $\text{NO}_x$  concentration profiles observed during ICARTT over Southern USA, Martin et al. (2006) and Hudman et al. (2007) suggest that the flash specific  $\text{LNO}_x$  production rate may be four times larger at midlatitudes (500 mol per flash) than in the tropics (125 mol). From the OTD data, see Fig. 10, we compute that 17.6% of OTD flashes occur north of  $30^\circ$  N and 5.3% south of  $30^\circ$  S. If a tropical flash produces 250 mol of  $\text{NO}_x$ , but a midlatitude flash four times more (1000 mol), and if the global flash frequency is  $44 \text{ s}^{-1}$  (Christian et al., 2003), then the global  $\text{LNO}_x$  source may be as large as  $8.2 \text{ Tg a}^{-1}$ . If, on the other hand, the tropical flashes are four times less productive (62.5 mol per flash), then we obtain a global  $\text{LNO}_x$  source of  $2.1 \text{ Tg a}^{-1}$ . Hence, the uncertainty in flash productivity at various latitudes implies a factor 0.4–1.6 uncertainty relative to the best estimate  $\text{LNO}_x$  source of  $5 \text{ Tg a}^{-1}$ , or a range of 2–8  $\text{Tg a}^{-1}$ . This uncertainty is smaller than the uncertainty of the global mean flash-specific productivity (Table 21).

### 3.2 Storm extrapolation

The  $\text{LNO}_x$  production estimated for a single thunderstorm may be extrapolated to the globe assuming a known number of thunderstorms active on the globe (Chameides et al.,

1987; Huntrieser et al., 1998, 2002). The method requires to measure the  $\text{NO}_x$  concentration increase in the outflow relative to the inflow air and the mass flux of the anvil outflow of a thunderstorm, and to estimate the number  $n_t$  of thunderclouds active at any time globally. Alternatively, one may estimate the amount of  $\text{NO}_x$  induced by lightning within a cloud and extrapolate this value to the global scale according to the number of thunderstorms occurring per day (Ridley et al., 1996, 2004; Skamarock et al., 2003). The advantage of these methods is that no information about the lightning activity and the  $P_{\text{CG}}/P_{\text{TC}}$  ratio is required; however, one needs to know the number of active thunderstorms accurately.

Brooks (1925) estimated that about 1800 thunderclouds are active at any time globally from a global survey of annual thunderstorm day reports and the number of lightning flashes observed per thunderstorm. Others cite this number as  $n_t=2000\pm 1000$ , implying an uncertainty factor of 1.5 to 2 (Chameides et al., 1987; Ridley et al., 1996). For an estimated lifetime of 1 h this corresponds to a frequency of about  $f_t=44\,000$  thunderstorms occurring per day (Viemeister, 1960; Ridley et al., 1996). The order of magnitude of this estimate is confirmed by the number of storms needed to balance the fair-weather current (Rycroft et al., 2000). Williams et al. (2000) test various thunderstorm definitions related to clusters of lightning activity; a global flash frequency of  $44 \text{ s}^{-1}$  from 1800 thunderstorms implies 1.5 flashes per minute per thunderstorm, a number which is within the range  $1\text{--}3 \text{ min}^{-1}$  observed with the OTD or LIS sensor.

A five times higher rate of “ordinary thunderstorms” occurrence was derived from estimated precipitation rates and a lifetime of 0.5 h implying about 200 000 such storms per day (Cotton et al., 1995). However, this estimate does not consider the different updraft strengths and lightning activity of such storms. The top 1% of precipitation features observed by the radar onboard the TRMM satellite, ranked by the flash rate, contributes 90% of the lightning and 21% of the rainfall (Cecil et al., 2005). Obviously, it is difficult to define an objective measure of “thunderstorm” (Williams et al., 2000). Hence, the accuracy of  $f_t$  or  $n_t$  is hard to improve.

Results obtained for several cases with this method are given in Table 22 from a storm penetration during CITE 1 in fall 1983 near Honolulu, Hawaii (Chameides et al., 1987), from the average of the LINOX and EULINOX observations (Huntrieser et al., 1998, 2002), and from some TROCCINOX 2004 cases (Schumann et al., 2004b). The table contains estimates of the increase in mixing ratio  $\Delta\text{NO}_x$ , horizontal and vertical sizes of the anvil outflow area  $\Delta x$   $\Delta z$ , the mean anvil outflow velocity  $\Delta v$  relative to the cloud motion at its “steering level” (near 3 km altitude) (Chameides et al., 1987), and the computed global  $\text{LNO}_x$  production rate using

$$G = \Delta\text{NO}_x \Delta x \Delta z \Delta v (M_N/M_{\text{air}}) \rho_{\text{air}} f_t, \quad (9)$$

with  $M_N/M_{\text{air}}=14/29$  as ratio of molar masses,  $\rho_{\text{air}}=0.4 \text{ kg m}^{-3}$  as air density, and  $f_t=2000$  active thunderclouds globally. In addition, the maximum NO mixing

**Table 22.** LNO<sub>x</sub> related parameters observed in thunderstorm anvils.

Experiment	Case	Cloud top, km	Flight altitude, km	NO <sub>max</sub> , nmol mol <sup>-1</sup>	NO <sub>x</sub> in-flow, nmol mol <sup>-1</sup>	ΔNO <sub>x</sub> , nmol mol <sup>-1</sup>	Δx, km	Δz, km	Δv, m s <sup>-1</sup>	G, Tg a <sup>-1</sup>	Reference
GTE/CITE 1		9	9	0.9	–	1.2	40	1	10	5.8	Chameides et al. (1987)
LINOX/EULINOX	medium		7–11	0.7	0.5	1	30	1	8	3 (2–4)	Huntrieser et al. (1998, 2002)
LINOX/EULINOX	large		7–11	1.3	0.5	1.8	45	1	13	12 (10–13)	dito
TROCCINOX	14 Feb 2004	14.5	11–11.3	3.2	<0.1	0.4	45	1	7	>1.5	Schumann et al. (2004b)
	28 Feb 2004	11	8.8–10.7	2.4	<0.2	1.2	25	1.9	11	7.5	dito

**Table 23.** LNO<sub>x</sub> production rate per thunderstorm and global extrapolation.

Experiment	Thunderstorm type	ΔNO <sub>x</sub> , nmol mol <sup>-1</sup>	F <sub>c</sub> , Tg s <sup>-1</sup>	Number or frequency of globally active thunderstorms	Global LNO <sub>x</sub> production, Tg a <sup>-1</sup>	Author
GTE/CITE 1	One case near Hawaii	1.2	0.16	2000	5.8	Chameides et al. (1987)
ELCHEM	Two cases with 326–434 flashes/storm			44 000 day <sup>-1</sup>	4.1–4.9	Ridley et al. (1996)
LINOX, average July 1996	Small thunderstorms	0.3–0.8	0.03	2000	0.3–0.7	Huntrieser et al. (1998)
dito	Medium thunderstorms	0.5–1.0	0.13	2000	2–4	dito
dito	Large thunderstorms	1.4–1.9	0.23	2000	10–13	dito
EULINOX	Average over 29 cases, convective clouds with lightning	1.3±0.7	0.11	2000	3	Huntrieser et al. (2002)
STERAO, July 1996	10 Large thunderstorms	–	3.2–8.8 Mg/storm	44 000 day <sup>-1</sup>	52–141	Skamarock et al. (2003)

ratio measured and the vertical extent of the cloud and the measurement flights are listed which show that parts of the anvils are covered.

Table 23 compiles the production rates as derived from these studies. Also listed is the global thunderstorm rate as assumed in the cited references and the resultant global LNO<sub>x</sub> production. The STERAO result in this table is commented as likely unrealistic by the authors (Skamarock et al., 2003) because the storm observed was exceptional in several respects (Dye et al., 2000).

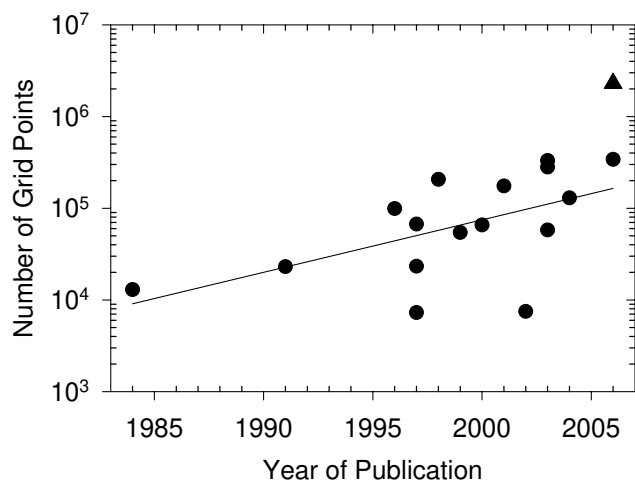
The thunderstorm based extrapolation results in values of 0.3–13 Tg a<sup>-1</sup>. In view of estimated uncertainties (Chameides et al., 1987) in the derived concentrations (factor 1.5), outflow fluxes or volumes (factor 1.5), and in the number of thunderstorms (factor 1.5 to 2), the best estimates may be 5 Tg a<sup>-1</sup> with an uncertainty factor of about 5. From this, we estimate the range as 1–25 Tg a<sup>-1</sup>. Hence, this method gives important insight in the properties of various thunderstorms but does not reduce the uncertainty of the global LNO<sub>x</sub> source value.

### 3.3 Global model fit

#### 3.3.1 Overview

The global LNO<sub>x</sub> source *G* may be determined by adjusting the LNO<sub>x</sub> value in a CTM such that the model results, in a suitable norm, best fit measured field properties of the NO<sub>x</sub> and NO<sub>y</sub> concentrations in the upper troposphere in regions with strong LNO<sub>x</sub> contributions (Levy II et al., 1996). LNO<sub>x</sub> is the most dominant NO<sub>x</sub> source in the upper troposphere in the subtropics and tropics and in summer also at higher latitudes (Lamarque et al., 1996; Levy II et al., 1996; Berntsen and Isaksen, 1999; Hauglustaine et al., 2001b; Bond et al., 2002). Hence, comparisons between measured and computed results in these regions should be sensitive to LNO<sub>x</sub> and should allow assessing the validity on assumptions concerning its modelling.

The idea of the global model fit can be illustrated as follows: the concentrations of NO<sub>x</sub> in the upper troposphere and in the boundary layer over continents are of comparable magnitude, while the chemical lifetime of NO<sub>x</sub> (see Table 4)



**Fig. 26.** Growth of numerical resolution in models versus year of publication (based on Table 12). The dots indicate the number of grid points in individual 3-D numerical models, the line represents a linear regression. The triangle denotes the number of grid points in the ECMWF forecast model which is operational since 2006.

in the upper troposphere is about one magnitude larger than in the boundary layer. For the same concentrations but larger lifetimes, the  $\text{NO}_x$  source must be correspondingly smaller. Hence, the  $\text{NO}_x$  source in the upper troposphere (partly from lightning) must be one order of magnitude smaller than the  $\text{NO}_x$  source near the surface. For a total atmospheric  $\text{NO}_x$  source of about  $50 \text{ Tg a}^{-1}$  (Table 1), released mainly near the surface, an upper tropospheric  $\text{LNO}_x$  source of about  $5 \text{ Tg a}^{-1}$  is fully consistent with this estimate.

The  $\text{LNO}_x$  source may also be determined by adjusting the  $\text{LNO}_x$  source in a chemical transport model (CTM) such that the model results best fit the combination of measurements of trace gases which are affected by  $\text{LNO}_x$ -sources either directly by emissions ( $\text{NO}_x$ ,  $\text{NO}_y$ ) or indirectly by photochemistry ( $\text{O}_3$ ,  $\text{CO}$ ,  $\text{HNO}_3$ , and others) (Martin et al., 2002b, 2007; Müller and Stavrakou, 2005; Schumann et al., 2006). In-situ data for  $\text{NO}_x$  and  $\text{NO}_y$  from airborne measurements in the mid troposphere over the tropical continents are well suited because of high sensitivity. Satellite-derived  $\text{NO}_2$  columns (such as from GOME, SCIAMACHY or OMI) may be suitable for selected periods and regions where  $\text{LNO}_x$  contributions are larger than model and retrieval biases (van Noije et al., 2006; Martin et al., 2007). These satellites measure during morning or noon hours. This is less of a disadvantage when comparing with global models than with cloud scale models. The air masses carrying the  $\text{LNO}_x$  from the day before may become less cloudy over night while the  $\text{LNO}_x$  survives longer than a day in the mid and upper troposphere. The thunderstorm outflow plumes may spread to the grid scale of global models during that time.

If the measured concentration at a certain position is  $c$ , and if the model result for two different  $\text{LNO}_x$  source values

$G_m > 0$  and  $G_b \geq 0$  are  $m$  and  $b$  (the “background” solution), and if the true solution scales linearly between these results (i.e., for a sufficiently narrow interval  $G_b \leq G \leq G_m$ ), then the  $\text{LNO}_x$  value is

$$G = G_b + (c - b)/s, \quad s = (m - b)/(G_m - G_b). \quad (10)$$

Hence, high quality estimates of  $G$  should be obtainable from accurate measurements  $c$  in regions with high sensitivity  $s$ . For more than one measurement a suitable weighted fit is to be used. Global model results are best compared to large-scale measurements in  $\text{LNO}_x$  homogeneous air masses while mesoscale models may be compared to small-scale measurements in the vicinity of individual thunderstorms.

Several authors expressed doubts about the feasibility of this approach noting either missing or highly variable data, strong sensitivity of the model results to model parameters (such as emissions from other sources, numerical resolution, transport properties, lightning parameterisation details etc.), and different behaviour of the differences between model results and measurements in various regions of the atmosphere (Singh et al., 1996; Penner et al., 1998; Labrador et al., 2005). Even recently, large discrepancies between various CTMs and observations have been noted (Singh et al., 2006).

Since the first attempts, models, observations, and data analysis methods have been improved in many ways. The number of grid points used in the models increased by more than a factor of 20 over the last 20 years, see Fig. 26, implying an increase of factor 2.7 in spatial resolution in each dimension. Far higher resolution is in use for numerical weather predictions: version T799L91 at ECMWF is operational since February 2006, with 50 km resolved horizontal wavelength and 91 vertical levels from the surface to about 80 km (1 Pa) (Untch et al., 2006), i.e. about  $2.3 \times 10^6$  grid points. Such models will eventually include the necessary chemistry modules for use as CTMs. Resolution is important in many respects. It influences the atmospheric motion field that drives convection, lightning and  $\text{LNO}_x$  formation. Also ozone production in global chemical models is dependent on model resolution, because  $\text{O}_3$  chemistry is inherently non-linear, the timescales for chemical production are short, and precursors are artificially distributed over the spatial scale of the model grid (Wild and Prather, 2005; Stevenson et al., 2006). With coarser resolution ozone production rate is over-predicted due to artificial dilution of  $\text{NO}_x$ . Flash plume scale chemistry as considered for aircraft and ship plumes (Petry et al., 1998; Crawford et al., 2000; Kraabøl et al., 2000; Song et al., 2003; von Glasow et al., 2003) may be important for  $\text{LNO}_x$  studies but has still to be included in the models.

In addition, models have been improved with better numerical advection schemes, more complete and more accurate air chemistry models, better meteorological input driving the CTMs, more realistic emission databases of tracers other than  $\text{LNO}_x$  emissions, and improvements identified from comparisons with observational data and other models

(Brunner et al., 2003; van Noije et al., 2006). Early models used a linearised  $\text{NO}_x$  chemistry (Penner et al., 1991; Ehhalt et al., 1992; Kasibhatla et al., 1993; Kraus et al., 1996; Köhler et al., 1997; van Velthoven et al., 1997), others included full tropospheric chemistry. Some models are specialised in stratospheric chemistry and treat tropospheric chemistry in a simplified manner, but most of the modern models listed in Table 12 use extensive chemical cycles to represent tropospheric chemistry. Techniques have been developed which allow for modular combination of various sub-models (Jöckel et al., 2006). The photochemistry treated had become more and more complex. Modern models treat more than 100 species and reactions, often including reactions with NMHC (as an  $\text{O}_3$  precursor), PAN (as a reservoir species for  $\text{NO}_x$ ), and acetone (possibly a major OH source). Acetone was suggested to enhance the effect of  $\text{NO}_x$  emitted into the upper troposphere but more recent studies indicate that this effect is smaller than expected earlier (Arnold et al., 2005). PAN acts as a sink for upper tropospheric  $\text{NO}_x$  and a source of  $\text{NO}_x$  in the remote atmosphere (Crutzen, 1979; Moxim et al., 1996; Müller and Brasseur, 1999; Brühl et al., 2000; Tie et al., 2001).

Many further in-situ and remote sensing data have become available, in particular for tropical continental regions with high  $\text{LNO}_x$  sensitivity. The measurement results of many experimental studies have been made available in public-accessible databases (Emmons et al., 2000; Brunner et al., 2005), and many more such data (in-situ and space observations) are becoming accessible, mostly via internet.

Also the analysis methods have been improved. Whereas early studies compared regional averages of measurements with regional statistics from CTM results obtained with meteorological input from climate models (Levy II et al., 1996) or monthly means of analysis fields (Müller and Brasseur, 1995), more recent studies compare measurements with CTM results driven with actual meteorological analysis fields (Emmons et al., 2000). Modern studies compare model results obtained from “virtual flights” (Lawrence et al., 2003a) with observations from real flights, sampling model results along the flight tracks and times of the measuring aircraft (Schlager et al., 1999; Allen et al., 2000; Meijer et al., 2000; Brunner et al., 2003), see Fig. 22. Moreover, various new statistical analysis methods have been developed to assess the quality of model-observation data comparisons (Brunner et al., 2003) and to determine optimal model parameters with objective error bounds (Müller and Stavrou, 2005; Schumann et al., 2006). At present not all this progress has been used to determine best-estimate  $\text{LNO}_x$  source rates with error bounds.

Many model studies of atmospheric chemistry depending on  $\text{LNO}_x$  have been performed, see Table 24. Most models were run with  $\text{LNO}_x$  sources and parameterisation taken from the literature with little or no further justification. In some cases, model results were compared to observations but without a systematic strategy to optimize the  $\text{LNO}_x$  source.

In only a few model studies, the model results were compared in more detail with observations for various model versions and  $\text{LNO}_x$  values, in order to find out the best estimate  $\text{LNO}_x$  value and the best model version.

### 3.3.2 Deposition-based $\text{LNO}_x$ determination

The  $\text{LNO}_x$  source may be determined by adjusting the  $\text{LNO}_x$  source in a chemical transport model (CTM) to fit nitrate deposition measurements in regions with strong  $\text{LNO}_x$  contributions. Most of the emitted  $\text{NO}_x$  is eventually converted to  $\text{HNO}_3$  or nitrate aerosol and removed from the atmosphere by rain-out or dry deposition at the ground. The use of nitrate deposition data to constraint the total  $\text{NO}_x$  budget has been considered in several studies (Ehhalt and Drummond, 1982; Logan, 1983; Warneck, 1988; Penner et al., 1991; Dentener and Crutzen, 1994; Kraus et al., 1996; Gallardo and Rodhe, 1997; Holland et al., 1997, 1999a, b; Ehhalt, 1999; Levy II et al., 1999; Hauglustaine et al., 2004; Shepon et al., 2007). Observations of nitrate deposition in regions with large anthropogenic  $\text{NO}_x$  emissions, such as Europe, the USA, or India (Leeuwen et al., 1996; Hauglustaine et al., 2004; Kulshrestha et al., 2005), are not very sensitive to  $\text{LNO}_x$  sources. Data from preindustrial times and from remote stations (Galloway et al., 1982; Albritton et al., 1984; Dentener et al., 2006) may be more suitable for this purpose.

For example, wet nitrate deposition at Barbados ( $59^\circ 30' \text{ W}$ ,  $3^\circ 15' \text{ N}$ ) was measured in 1989–1994 to amount to about  $50 \text{ mg m}^{-2} \text{ a}^{-1}$ ; calculations with the TM3 model (Lelieveld and Dentener, 2000) show that  $\text{LNO}_x$  causes about 30% of this flux; and the simulated total deposition flux agrees within about 20% with the observed value (F. Dentener and J. Galloway, personal communication, 2006). The annual mean nitrate concentration at polar stations, which was fairly constant in the preindustrial era, has increased since about the mid of the last century (Wolff, 1995). Snow nitrate content increased from about 50 to  $140 \text{ ng g}^{-1}$  at the Dye 3 station, Greenland ( $44^\circ \text{ W}$ ,  $65^\circ \text{ N}$ ) in the period 1940–1980, and from about 20 to more than  $100 \text{ ng g}^{-1}$  at Vostok, Antarctica ( $107^\circ \text{ E}$ ,  $78^\circ \text{ S}$ ) in the period 1970–1990. The preindustrial values and the increase observed in the last decades may be used to discriminate natural and anthropogenic  $\text{NO}_x$  contributions. Legrand and Kirchner (1990) determined that a  $\text{LNO}_x$  source of  $2.8$  to  $5.6 \text{ Tg a}^{-1}$  contributes about a third to a half to the  $\text{NO}_3^-$  content at the South Pole. A further possibility is to use the  $^{15}\text{N}/^{14}\text{N}$  ratio in the nitrogen isotopic composition of deposited nitrate to identify  $\text{LNO}_x$  sources: About 70%  $\text{LNO}_x$  contribution was identified in rainwater collected on the island of Bermuda ( $32^\circ \text{ N}$ ,  $65^\circ \text{ W}$ ) during the warm season (July–September) (Hastings et al., 2003).

Measurements of dry and wet deposition are not trivial, for example because of bidirectional surface-atmosphere exchange of ammonia ( $\text{NH}_3$ ) (Trebs et al., 2006) or because of organic nitrogen compounds (Cornell et al., 2003), and

**Table 24.** LNO<sub>x</sub> source strength values as used or assessed in global atmospheric models.

Author	Model or Method	LNO <sub>x</sub> nitrogen source strength, Tg a <sup>-1</sup>	Variation, comparison to data
Logan et al. (1981)	2-D model	<10	Order of magnitude agreement with NO <sub>x</sub> data (Kley et al., 1981)
Logan (1983)	Budget analysis, measured concentrations and deposition fluxes	0.8–7.8, <10	Compares with surface and airborne NO <sub>x</sub> data and nitrate deposition data
Ko (1986)	2-D stratospheric model	2 and 4	Compares stratospheric NO <sub>y</sub> with satellite data
Crutzen and Zimmermann (1991)	3-D MOGUNTIA	5	No comparisons with observations
Penner et al. (1991)	3-D Lagrangian model, without PAN	3	Compares with airborne NO, HNO <sub>3</sub> and nitrate deposition data
Beck et al. (1992)	2-D model, zonal band 30–60° N.	5.2	Identifies importance of LNO <sub>x</sub> for aviation assessments
Ehhalt et al. (1992)	2-D model, zonal band 40–50° N.	5	Identifies importance of convection, LNO <sub>x</sub> and aviation emissions
Law and Pyle (1993)	2-D model	5 (2–20)	Compares with NO <sub>x</sub> data from STRATOZ at 2 and 6 km latitude
Kotamarthi et al. (1994)	2-D stratospheric model, revising (Ko, 1986)	2 and 4	Good agreement with STEP 1987 NO <sub>y</sub> data for both LNO <sub>x</sub> values
Müller and Brasseur (1995)	3-D CTM IMAGES.	8	Rough agreement with NO observations; HNO <sub>3</sub> too low
Kraus et al. (1996)	3-D linear NO <sub>x</sub> , CTM with GISS winds.	5	Rough agreement with data of STRATOZ III and nitrate deposition fluxes over USA
Atherton et al. (1996)	3-D Lagrangian model (Penner et al., 1991)	10	Model and measured O <sub>3</sub> , CO, NO <sub>x</sub> and NO <sub>y</sub> data correlate 40 to 90%
Lamarque et al. (1996)	IMAGES	5, (2–10), for 2 emission profiles	Compares results from various model versions to various data, and identifies some deficiencies
Moxim et al. (1996)	3-D CTM with PAN	3–10	Simulated and observed PAN data agree “generally”
Levy et al. (1996)	3-D CTM GFDL-GCTM	4 (2–6)	LNO <sub>x</sub> fit to airborne measurements of NO <sub>x</sub> and NO <sub>y</sub> gives good agreement
Jaffe et al. (1997)	GISS GCTM	5	Good agreement with surface NO <sub>x</sub> but low model NO <sub>x</sub> results in remote regions
Köhler et al. (1997)	3-D GCM ECHAM linearised NO <sub>x</sub> chemistry.	5	Measured NO <sub>x</sub> values often lower than modelled results
Gallardo and Rodhe (1997)	3-D MOGUNTIA	5 (0–24.9)	Larger LNO <sub>x</sub> emission over the oceans results in an improved simulation of surface total nitrate in the remote Pacific
Emmons et al. (1997)	6 CTMs	3–12.9	Rough agreement with ground-based and airborne data

Table 24. Continued.

Author	Model or Method	LNO <sub>x</sub> nitrogen source strength, Tg a <sup>-1</sup>	Variation, comparison to data
Holland et al. (1997)	5 CTM2	3–10	Nitrogen deposition comparisons with data, no conclusion on LNO <sub>x</sub>
Pickering et al. (1998)	3-D IMAGES	3	C-shape emission profile provides higher NO <sub>x</sub> levels in the upper troposphere than constant profile
Dameris et al. (1998)	ECHAM/CHEM	4	Good comparisons to O <sub>3</sub> column data
Hauglustaine et al. (1998)	3-D MOZART	7	HNO <sub>3</sub> overestimated over the Pacific by a factor <10, and over the continental regions by factor <3
Brasseur et al. (1998b)	3-D MOZART	7	O <sub>3</sub> data showing general agreement
Wang et al. (1998b)	3-D Harvard GISS	3	No comparisons to observations
Singh et al. (1998)	3-D Harvard GISS	4	Rough agreement with PEM-W-B data
Smyshlyaev et al. (1999)	2-D model	5 (2–12)	Finds LNO <sub>x</sub> induced O <sub>3</sub> changes comparable with TOMS
Berntsen and Isaksen (1999)	UiO CTM	12.2 (5)	No comparisons to observations
Levy et al. (1999)	3-D GFDL	4	Justifies the selected LNO <sub>x</sub> value by comparison with many data
Müller and Brasseur (1999)	3-D IMAGES	3	No LNO <sub>x</sub> discussion
Crutzen et al. (1999)	3-D MATCH	1.7	NO <sub>x</sub> levels were assessed as low
Stockwell et al. (1999)	3-D TOMCAT	4	NO <sub>x</sub> , HNO <sub>3</sub> , O <sub>3</sub> agree reasonably with observations
Holland et al. (1999b)	Review and 3-D MOGUNTIA	10–15	Discusses wet deposition at northern mid-latitudes
Emmons et al. (2000)	MOZART and IMAGES	3, 7	Identifies too low biomass emissions
Moxim and Levy (2000)	GFDL GCTM	4	Model NO <sub>x</sub> results are within the scatter NO <sub>x</sub> data from TRACE-A
Lelieveld and Dentener (2000)	3-D CTM based on ECMWF winds	5	Identifies the LNO <sub>x</sub> source as important uncertainty
Allen et al. (2000)	3-D CTM with maximum horizontal resolution over eastern North America and the North Atlantic; flash rate proportional to convective mass flux	3.6	Compares with SONEX NO <sub>y</sub> data; the use of emissions from observed lightning flashes significantly improves the simulation
Tie et al. (2001)	3-D CTM MOZART	7	LNO <sub>x</sub> larger than 7 Tg a <sup>-1</sup> cannot be excluded from this study
Hauglustaine et al. (2001a)	3-D MOZART	7	Compares qualitatively well with data from TRACE-A ELCHEM, STERAO
Grewe et al. (2001)	3-D ECHAM/CHEM and GISS model with CTH and UPD parameterisation	5, 3.9	NOXAR data mass flux based model performs better than cloud-top-height based

Table 24. Continued.

Author	Model or Method	LNO <sub>x</sub> nitrogen source strength, Tg a <sup>-1</sup>	Variation, comparison to data
Bey et al. (2001); Li et al. (2001);	3-D GEOS-CHEM	3.4	Compares with airborne campaigns. NO, PAN fits well; HNO <sub>3</sub> is overestimated; finds 10–15% contribution from LNO <sub>x</sub> to upper tropospheric O <sub>3</sub> maximum in the Middle East
Meijer et al. (2001)	3-D TM3 model with cloud-top-heights and convective precipitation, 2 vertical distributions	5	Comparison with EULINOX and POLINAT/SONEX data supports precipitation based LNO <sub>x</sub> model
Mickley et al. (2001)	3-D GISS GCMII,	1–3.6	LNO <sub>x</sub> emissions need to be reduced to match preindustrial O <sub>3</sub> observations
Jourdain and Hauglustaine (2001)	LMDz/INCA	5	For 5 Tg a <sup>-1</sup> and profile of Pickering et al. (1998), the model shows good agreement with ELCHEM NO data
Shindell et al. (2001)	GISS GCM	3.9 (3.6 in preindustrial time)	Comparison with many data; for NO <sub>x</sub> , comparison with NOXAR data shows factor 2 differences
Allen and Pickering (2002)	LNO <sub>x</sub> parameterisations with different vertical profiles and sources	0.5–18.5	Compares observed and modelled flash rates
Grewe et al. (2002)	3-D climate-chemistry model E39/C	5.4 for 1990 and 5.6 for 2015	Aviation NO <sub>x</sub> , model parameter study
Tie et al. (2002)	3-D MOZART	3.5–7, various vertical profiles	PEM West-A, PEM Tropics, CITE-2, CITE-3, ECHEM, ABLE and TRACE-A
Staudt et al. (2002)	3-D Harvard/Florida CTM	6	PEM-Tropics A
Martin et al. (2002b)	GEOS-CHEM	3, 6	A LNO <sub>x</sub> source of 6 Tg a <sup>-1</sup> is shown to be consistent with TOMS and in-situ observations of tropospheric ozone over the tropical Atlantic
Brunner et al. (2003)	7 CTMs	5	Comparison to aircraft observations
Staudt et al. (2003)	3-D Harvard/Florida CTM	5 (3–9)	PEM-Tropics B
Horowitz et al. (2003)	3-D MOZART 2	3	airborne observations in the upper troposphere at most locations
Shindell et al. (2003)	3-D GISS-GCM	6.5 (6.2 in preindustrial time)	Model NO <sub>x</sub> within the scatter of data from PEM Tropics B, PEM West B and TRACE-A; model HNO <sub>3</sub> generally too high
von Kuhlmann et al. (2003a, b)	3-D MATCH	4.9	Nitrogen species reproduced within a factor of 2 or better, with bias of too small HNO <sub>3</sub> and too large PAN
Martin et al. (2003)	3-D GEOS-CHEM	6.2	GOME NO <sub>2</sub> used to fit NO <sub>x</sub> emissions for fixed LNO <sub>x</sub> source
Labrador et al. (2004)	3-D MATCH	2–5 (0–20)	LNO <sub>x</sub> is varied as free parameter
Hauglustaine (2004)	3-D LMDz-INCA	5	Compares with wet deposition data for USA and Europe

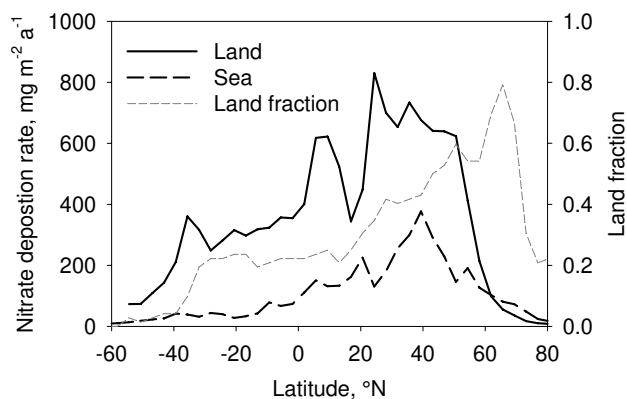


**Table 24.** Continued.

Author	Model or Method	LNO <sub>x</sub> nitrogen source strength, Tg a <sup>-1</sup>	Variation, comparison to data
Hudman et al. (2004)	3-D GEOS-CHEM	6	Model NO, HNO <sub>3</sub> , and PAN results are generally within the scatter of data from the northwest Pacific; no LNO <sub>x</sub> discussion
Wong et al. (2004)	3-D CCM (UiO+SUNYA CCM3)	3–6	Reproduces NO <sub>x</sub> and HNO <sub>3</sub> data from various tropical experiments well, with some underestimate of NO <sub>x</sub> in the tropical upper troposphere
Stevenson et al. (2004)	STOCHEM, HadAM3	7.3	No comparison with observations
Savage et al. (2004)	TOMCAT	5	Model results and GOME NO <sub>2</sub> data show 79% correlation; no LNO <sub>x</sub> discussion
Labrador et al. (2005)	3-D MATCH-MPIC	0 < LNO <sub>x</sub> < 20, (0–20)	Model results and airborne NO <sub>x</sub> data show about 50% correlation; best for 5 Tg a <sup>-1</sup> and profile of Pickering et al. (1998)
Boersma et al. (2005)	2 versions of 3-D TM4	3.5 (1.1–6.4)	Determines LNO <sub>x</sub> from fit to GOME NO <sub>2</sub> columns
Lamarque et al. (2005)	3-D MOZART-2	5 (2–10)	Compares with preindustrial data
Müller and Stavrakou (2005)	3-D IMAGES	2.8 (1.6–3.2)	LNO <sub>x</sub> and CH <sub>4</sub> fit using ground-based CO observations, NO <sub>2</sub> columns from GOME, data from 14 airborne campaigns, and prescribed CH <sub>4</sub> lifetime
Naik et al. (2005)	3-D MOZART-2	3	Sensitivity study for fixed LNO <sub>x</sub>
Dameris et al. (2005)	3-D chemistry-climate model E39/C	4.8–5.4	Simulation for period 1960–1999
Gauss et al. (2006)	7 global atmospheric chemistry models	4–7 (5.4±0.9)	Model intercomparison
Sanderson et al. (2006)	STOCHEM/HadAM3	7	Compares to deposition data
van Noije et al. (2006)	17 global atmospheric chemistry models	3–7 (5±0.9)	Comparison with GOME NO <sub>2</sub> retrievals. No LNO <sub>x</sub> discussion
Stevenson et al. (2006)	26 global atmospheric chemistry models	3.7–7	Model intercomparison, and comparison with O <sub>3</sub> data. Largest uncertainties for O <sub>3</sub> peak over tropical South Atlantic; due to biomass or LNO <sub>x</sub>
Martin et al. (2006)	GEOS-CHEM, Inverse modelling, SCIAMACHY and airborne data.	7.1	Best fit for midlatitude LNO <sub>x</sub> of 1.6 Tg a <sup>-1</sup> instead of 0.4 Tg a <sup>-1</sup>
Kurz (2006)	3-D ECHAM5/MESSy climate chemistry model with ECMWF meteorology	5	Updraft mass flux fits TROCCINOX 2004 data
Jöckel et al. (2006)	ECHAM/MESSy1 climate chemistry model with ECMWF meteorology	2.2	Modelled NO data correlate to 31% with observations; slight underestimate could be due to deficit of NO <sub>x</sub> sources or too rapid conversion to HNO <sub>3</sub> and PAN

Table 24. Continued.

Author	Model or Method	LNO <sub>x</sub> nitrogen source strength, Tg a <sup>-1</sup>	Variation, comparison to data
Schumann et al. (2006)	Fit of TM4, MOZART-4, MATCH and ECHAM/MESSy model results to TROCCINOX data	4.8±2.5	LNO <sub>x</sub> source from fit to NO, O <sub>3</sub> , and CO data from TROCCINOX
Sauvage et al. (2007a)	GEOS-CHEM	4, 6, 8	For the model with CTH-computed lightning distribution scaled to fit OTD/LIS data, a LNO <sub>x</sub> source of 6±2 Tg a <sup>-1</sup> is shown to be consistent with in-situ data for O <sub>3</sub> and GOME data in the tropics
Martin et al. (2007)	GEOS-CHEM with seasonal and regional mean CTH model results normalised to OTD flash observations	6±2	LNO <sub>x</sub> source from fit to observed NO <sub>2</sub> , O <sub>3</sub> , and HNO <sub>3</sub> satellite data



**Fig. 27.** Annual mean nitrate deposition rate over land (thick full curve) and sea (thick dashed) (in nitrogen mass units) versus latitude as computed from model output data for 1993 (Dentener, 2006), together with land cover fraction (thin dashed).

because problems arise from the quality of the deposition data for various reasons (Kulshrestha et al., 2005). It is often not clear whether the measurements represent the mean deposition value in a model grid cell and whether they include wet deposition only or also dry deposition (Ehhalt and Drummond, 1982). Model results depend on proper treatment of the NO<sub>x</sub>–NO<sub>y</sub> conversions (including PAN etc.) and various models show still large differences in this respect. Moreover, model results depend on the precipitation rates which are mostly taken as input from an external meteorological source (Shepon et al., 2007).

Gallardo and Rodhe (1997), see also Lee et al. (1997), using the MOGUNTIA model for various LNO<sub>x</sub> source values, concluded that a LNO<sub>x</sub> source larger 20 Tg a<sup>-1</sup> can hardly be justified in view of deposition fluxes measured at remote

tropical stations. Hence, the deposition-based model fit provides an upper bound on the size of the global LNO<sub>x</sub> source. However, this study used a rather coarse model (10°×10° horizontally) with monthly averaged wind and precipitation fields.

Lamarque et al. (2005) compared results on continental nitrogen deposition rates from six different tropospheric CTMs. Averaging over all model results, the comparisons of computed and observed deposition rates shows mean values which differ by 14%, –10%, 60%, and correlation coefficients of 0.3, 0.8, and 0.6 for Europe, North America and Asia, respectively. About 70% of the emitted nitrogen is deposited over land masses. The authors did not discuss deposition at remote stations and do not assess the accuracy of the assumed LNO<sub>x</sub> source values from this comparison. A recent study evaluated nitrogen (and sulphur) deposition globally and regionally using 23 different atmospheric models (Dentener et al., 2006). Unfortunately, the cited study did not report the LNO<sub>x</sub> contributions to the deposition fluxes. This suggests follow-on studies.

For illustration we present model output for a global LNO<sub>x</sub> source of 5.4 Tg a<sup>-1</sup>, see Fig. 27 (Galloway et al., 2004; Dentener, 2006). From the data we compute mean nitrate deposition rates of 45 and 280 mg m<sup>-2</sup> a<sup>-1</sup> in the southern tropics (0–24° S) at oceanic and continental sites, respectively. Böttger et al. (1978) derive mean nitrate deposition rates (in terms of nitrogen mass) of 5–50 mg m<sup>-2</sup> a<sup>-1</sup> for the oceans and 100–200 mg m<sup>-2</sup> a<sup>-1</sup> for land in the tropics (0–30° N) which is roughly consistent with these results. From simple estimates of the various emissions, we expect LNO<sub>x</sub> to contribute about 14–23% of the total NO<sub>x</sub> emissions in the tropics (see Table 2). Hence, a LNO<sub>x</sub> source of about 5 Tg a<sup>-1</sup> would be consistent with these deposition values. In order to verify this, one has to compare model results for at least two

LNO<sub>x</sub> source values with observations in more detail. Such comparison has still to be performed.

### 3.3.3 Model studies with comparisons to observational data

This section reviews a few examples of the many studies comparing model results with data discussing the LNO<sub>x</sub> contribution but without specific conclusion on the best LNO<sub>x</sub> parameterisation. Model simulations concluding on best LNO<sub>x</sub> estimates are summarised in the next section.

Lamarque et al. (1996) used the IMAGES model (5°×5° horizontal resolution, monthly averaged meteorological fields) with LNO<sub>x</sub> source rates between 2 and 10 Tg a<sup>-1</sup> and two vertical emission profiles (constant by mass or constant by mixing ratio). Most results were obtained for a LNO<sub>x</sub> source of 5 Tg a<sup>-1</sup> distributed vertically with constant mixing ratio. The results were compared with a large set of observational data. General agreement was noted, supporting the selected LNO<sub>x</sub> value approximately. Lamarque et al. concluded that a LNO<sub>x</sub> source strength exceeding 20 Tg a<sup>-1</sup> would yield model generated mixing ratios of reactive nitrogen oxides in the upper troposphere significantly larger than those typically observed.

Meijer et al. (2001) use the TM3 model (3.75°×5° horizontal resolution, driven with ECMWF analysis fields) in two versions. They introduce the CPR parameterisation in addition to the CTH version of Price and Rind (1992). The LNO<sub>x</sub> emissions are distributed vertically as in Price et al. (1997b) (constant vertical profiles for CG and for IC LNO<sub>x</sub> emissions) or as in Pickering et al. (1998) (prescribed C-shaped profile). In both versions the profile is scaled to the cloud-top heights in TM3, and the LNO<sub>x</sub> source amounts to 5 Tg a<sup>-1</sup>. The model results analysed along the flight paths of the measuring aircraft are compared to measurements performed within the EULINOX and the POLINAT/SONEX campaigns. The CPR parameterisation gives better agreement with observations than the CTH version; in particular it computes a more realistic NO<sub>x</sub> concentration variability. The prescribed vertical distribution with a C-shape performs better than the older alternative. The model gives good results for the background and thunderstorm outflow cases, but underestimates the observed NO<sub>x</sub> concentrations near active thunderstorms, possibly due to insufficient spatial and temporal resolution in the model.

Grewe et al. (2001) report simulation results of two climate models, the ECHAM/CHEM (3.8°×3.8° horizontal resolution) with 5 Tg a<sup>-1</sup> and the GISS model (4°×5°) with 3.9 Tg a<sup>-1</sup>. Regional-mean model results are compared with corresponding NO<sub>x</sub> data from the NOXAR project (Brunner et al., 2001). The UPD model version performs better than a CTH version. In particular, though by far not perfect, it better simulates the observed highly skewed probability density function of NO<sub>x</sub> values. The differences in the parameterisations are more important than the differences in the total LNO<sub>x</sub> value.

Jourdain et al. (2001) use a GCM model (2.5°×3.8° horizontal resolution) and consider various model versions for a global LNO<sub>x</sub> source rate of 5 Tg a<sup>-1</sup> and find that the CTH model version with Pickering's (1998) vertical profile gives good agreement with ELCHEM NO data. Hauglustaine et al. (2004) apply this model and compare to many further observational data (including OTD and nitrate deposition data) but do not assess alternative model versions or LNO<sub>x</sub> source rate values.

Wong et al. (2004) present simulations of present-day and preindustrial tropospheric O<sub>3</sub>, using a global tropospheric climate-chemistry model including a GCM to compute the meteorological fields (2.8°×2.8° horizontal resolution). The LNO<sub>x</sub> parameterisation follows Price et al. (1997b), scaled to 3 and 6 Tg a<sup>-1</sup>, with vertical distributions as in Pickering et al. (1998). For 3 Tg a<sup>-1</sup>, the model underestimates NO<sub>x</sub> concentrations in the middle and upper troposphere. Increasing the LNO<sub>x</sub> production results in increased upper tropospheric NO<sub>x</sub> concentrations; however, this increase is seasonally dependent and does not account for the discrepancy between the model and the observed results. They find an underestimation of NO<sub>x</sub> levels in particular over convective areas in the tropics, and suggest deficiencies in the LNO<sub>x</sub> parameterisation as possible cause.

Labrador et al. (2005) apply the MATCH model (5.6°×5.6° horizontal resolution), a CTM driven with NCEP analysis data, for various LNO<sub>x</sub> parameterisations for LNO<sub>x</sub> between 0 and 20 Tg a<sup>-1</sup>. They compare the model results with a large set of data. They conclude that a zero LNO<sub>x</sub> source appears too low and 20 Tg a<sup>-1</sup> too high. In their opinion, no model version can be singled out as best fitting the observations.

Martin et al. (2006) retrieve tropospheric NO<sub>2</sub> columns for May 2004 to April 2005 from SCIAMACHY and derive NO<sub>x</sub> emission estimates via inverse modelling with a global CTM (GEOS-CHEM, with about 2°×2.5° horizontal resolution, driven by assimilated meteorological data, with 7.1 Tg a<sup>-1</sup> global LNO<sub>x</sub> source rate). The standard simulation with 0.4 Tg a<sup>-1</sup> of NO<sub>x</sub> emissions from lightning at northern midlatitudes (north of 30°, where the OTD data find about 22% of the global lightning activity) was found to underestimate NO<sub>2</sub> in the upper troposphere observed by airborne measurements by 0.1 nmol mol<sup>-1</sup>. The comparison is based on vertical profiles of NO<sub>2</sub> over eastern North America averaged over the entire ICARTT campaign. Further comparisons are reported by Hudman et al. (2007). Both studies find that a factor 4 increase of the northern midlatitude lightning source, with fixed emissions in the tropics, minimises bias in the retrieval.

### 3.3.4 Model studies with best-estimates of the global LNO<sub>x</sub> rate

Levy et al. (1996, 1999) report on simulations of tropospheric photochemistry, NO<sub>x</sub> and NO<sub>y</sub> including PAN using

the GFDL model, based on meteorological results from a GCM, see Table 12. The model includes 11 vertical levels at  $2.4^\circ \times 2.4^\circ$  horizontal resolution. They compare wet deposition and concentration statistics with observations. Levy et al. (1996) estimate the range of the LNO<sub>x</sub> source by systematically comparing computed mean NO<sub>x</sub> and NO<sub>y</sub> values with mean measured values. They use NO<sub>x</sub> and NO<sub>y</sub> measurements from various airborne experiments in tropical regions, primarily between 30° S and 30° N, at altitudes between 500 and 190 hPa, mainly over oceans and partly in regions with important biomass contributions. In spite of rather coarse model resolution, they find that 50% of the comparisons of NO<sub>x</sub> and NO<sub>y</sub> data from observations and the model are within  $\pm 25\%$ , without global bias. The measurements, say  $c$ , are compared with model results,  $b$  and  $m$  for zero and finite LNO<sub>x</sub> source  $G_m$ . For each subset of data in 13 different regions/altitudes they determine the LNO<sub>x</sub> source  $G$  such that the mean model result  $m_{\text{opt}} = b + G(m - b)/G_m$  approximates the mean observed value  $c$  optimally in the experimental region. The regional results imply a global LNO<sub>x</sub> source  $G$  which scatters between 1.4 and 21 Tg a<sup>-1</sup>. From the mean and the standard deviation of the scatter of the  $G$  values and a subjective assessment of the validity of the data, the global LNO<sub>x</sub> source is estimated to be within the range 3 to 5 Tg a<sup>-1</sup>. Implications of systematic model errors are discussed but not quantified. The result for LNO<sub>x</sub> depends critically on the assumed photochemical model (Levy II et al., 1999). Changes in the model causing an increase of upper tropospheric OH by 50% on average (factor 2–3 locally) cause quicker oxidation of NO<sub>2</sub> into HNO<sub>3</sub>, and hence lower NO<sub>x</sub> values. This was assessed to imply a larger LNO<sub>x</sub> source of 8–10 Tg a<sup>-1</sup>. Moreover, the study used only one emission profile with maximum emissions at 7–10 km, which may be too low for tropical continental regions. A profile with emissions at higher altitudes causes larger NO<sub>x</sub> lifetimes and hence best fit to observations for a lower LNO<sub>x</sub> source. The error estimate given by Levy et al. (1996) does not cover these uncertainties.

Tie et al. (2002) use the MOZART model ( $2.8^\circ \times 2.8^\circ$  horizontal resolution, driven by meteorological fields from a GCM) and compare the model results with field data obtained in the tropics and over the USA (Emmons et al., 1997). The accuracy is assessed by computing the differences between NO<sub>x</sub> concentrations above 5 km from various model versions with observed NO<sub>x</sub> values from 14 observation sites. Six model versions were run, including runs for 0, 1, 3.5 and 7 Tg a<sup>-1</sup>, with uniform LNO<sub>x</sub> emissions or emissions only in the upper cloud. It is found that the model results deviate strongly from measurements for LNO<sub>x</sub> of 0 and 1 Tg a<sup>-1</sup>. Also 7 Tg a<sup>-1</sup> released in the upper clouds gives large errors. However, 5 Tg a<sup>-1</sup> of LNO<sub>x</sub> uniformly distributed in convective clouds or 3.5 Tg a<sup>-1</sup> distributed in the upper cloud regions produce both good agreement between calculated and measured NO<sub>x</sub> concentrations in the tropics. The study suggests that LNO<sub>x</sub> is between 3 and 7 Tg a<sup>-1</sup> (Tie

et al., 2002). Since the uniform LNO<sub>x</sub> emissions case seems less realistic than the emissions only in the upper cloud, this study supports the smaller value (3.5 Tg a<sup>-1</sup>) more than the larger one.

Martin et al. (2002b) use a version of the GEOS-CHEM model ( $4^\circ \times 5^\circ$  horizontal resolution), driven by assimilated meteorological input for 1996/97. They compare the model results with tropospheric ozone column data from TOMS for the same period. Moreover, they compare with in-situ NO and PAN observations (Bey et al., 2001), monthly mean O<sub>3</sub> data from ozonesonde stations and from MOZAIC in the tropics, mostly at other periods. The in-situ observations, mainly from measurements over the oceans, provide little constraints on the LNO<sub>x</sub> source in the range 3–6 Tg a<sup>-1</sup>, though observations from TRACE-A are more consistent with the model results for 6 Tg a<sup>-1</sup>. However, the LNO<sub>x</sub> source magnitude has a large effect on the tropical tropospheric ozone burden, especially in the middle and upper troposphere of the tropical Atlantic region, where the model results agree with sonde and satellite data for 6 Tg a<sup>-1</sup> significantly better than for 3 Tg a<sup>-1</sup>. A reduction from 6 to 3 Tg a<sup>-1</sup> reduces the latitudinal gradient in the middle and upper troposphere, and results in model underestimates of the observed ozone concentrations. The best-estimate result for the LNO<sub>x</sub> source of this study is 6 Tg a<sup>-1</sup>. The authors leave the uncertainty range undefined.

Staudt et al. (2003) use the global Harvard/Florida CTM model with  $4^\circ \times 5^\circ$  horizontal resolution driven by assimilated meteorological analysis fields and examine the sources and chemistry affecting NO<sub>x</sub> over the tropical Pacific. They compare the model results for LNO<sub>x</sub> sources of 3, 5 and 9 Tg a<sup>-1</sup> to observational data from PEM-Tropics B for O<sub>3</sub>, HO<sub>x</sub>, NO<sub>x</sub>, HNO<sub>3</sub>, PAN, CO, hydrocarbons and related species. They find that reducing LNO<sub>x</sub> improves the simulations of upper tropospheric OH and of upper tropospheric HNO<sub>3</sub> in the Northern Hemisphere. On the other hand, increasing LNO<sub>x</sub> improves the simulation of HNO<sub>3</sub> in the upper troposphere south of 20° S while exacerbating the HNO<sub>3</sub> overestimate above the North Pacific. The authors provide no best-estimate for LNO<sub>x</sub> but the results suggest a range of 3–9 Tg a<sup>-1</sup>.

Boersma et al. (2005) use the TM3 model at  $2.5^\circ \times 2.5^\circ$  horizontal resolution driven by ECMWF analysis fields and fit satellite (GOME) observations, say  $c$ , of tropospheric NO<sub>2</sub> columns to simulated NO<sub>2</sub> columns,  $b$  and  $m$ , for a zero and a finite LNO<sub>x</sub> source  $G_m$  in six oceanic and continental tropical regions of the globe by adjusting a sensitivity or slope parameter  $s_j$  and a background parameter  $b_j$  for each region ( $j=1, \dots, 6$ ) such that  $\Sigma(c - b_j - s_j(m - b))^2$  is a minimum regionally and in the annual mean. The background parameter accounts for possible biases, while the sensitivity parameter accounts for the sensitivity of the NO<sub>2</sub> columns to LNO<sub>x</sub>. A global mean sensitivity  $s$  is computed averaging the regional  $s_j$  values. Finally, the best estimate LNO<sub>x</sub> source is determined from  $G = G_m/s$ . Results for two TM3 model versions

(LNO<sub>x</sub> parameterisation based on either CTH or CPR) are used to estimate systematic model errors. The two model versions imply LNO<sub>x</sub> estimates of 2.8 and 4.5 Tg a<sup>-1</sup>. The random and systematic errors are considered and a “conservative” error bound is estimated (1.1–6.4 Tg a<sup>-1</sup>). The derived error bounds assume random error propagation.

Müller and Stavrou (2005) use the IMAGES CTM with 5° × 5° horizontal resolution driven with monthly averaged wind fields. The LNO<sub>x</sub> model source is scaled globally to 3 Tg a<sup>-1</sup> and distributed horizontally as in Price et al. (1997b) and vertically as in Pickering et al. (1998). By means of an adjoint modelling technique, they determine improved estimates for the continental emission of CO, surface and lightning NO<sub>x</sub> sources. The estimate uses ground-based CO observations together with tropospheric NO<sub>2</sub> columns from the GOME satellite, and data from 14 airborne campaigns (Emmons et al., 2000). In one version of the analysis the results are constrained to prescribed CH<sub>4</sub> lifetime. As a result they find that the LNO<sub>x</sub> source is 2.8 Tg a<sup>-1</sup>. For various model versions they find a range 1.6–3.2 Tg a<sup>-1</sup>. They do not explicitly specify the errors in these results due to uncertainties in the model or in the observational data.

Sauvage et al. (2007a) use a version of the GEOS-CHEM model (2° × 2.5° horizontal resolution, 30 vertical levels up to 0.1 hPa), driven by assimilated meteorological input. They compare monthly averaged model results with observations for tropospheric O<sub>3</sub>, NO<sub>2</sub> and HCHO columns from GOME, lightning flashes from OTD and LIS, profiles of O<sub>3</sub>, CO, and relative humidity from MOZAIC, and profiles of O<sub>3</sub> from an ozonesonde network (SHADOZ). The model computes LNO<sub>x</sub> using the CTH method with Pickering-profiles and an IC/CG NO<sub>x</sub> production ratio of 0.1. The global LNO<sub>x</sub> sources rate is varied (4, 6, and 8 Tg a<sup>-1</sup>). They find that tropical tropospheric ozone is very sensitive to the LNO<sub>x</sub> model. Scaling the spatial distribution of lightning in the model to the OTD/LIS-observed flash counts improves the simulation of O<sub>3</sub> in the upper troposphere by 10–45%. A LNO<sub>x</sub> rate of 6 ± 2 Tg a<sup>-1</sup> best represents in-situ O<sub>3</sub> observations from aircraft and ozonesondes. Outside of that range, the simulated O<sub>3</sub> was found to become increasingly inconsistent with in situ measurements. A uniform increase of the IC/CG NO<sub>x</sub> production ratio from 0.1 to 0.75 (for constant total global LNO<sub>x</sub> rate) introduces an O<sub>3</sub> overestimate compared to in-situ measurements (mainly in the tropics). Moreover, the authors found a strong sensitivity of the results to meteorological datasets provided by two versions of the analysis scheme.

A further recent study with a slightly different version of the same model finds the same result, 6 ± 2 Tg a<sup>-1</sup> (Martin et al., 2007). This LNO<sub>x</sub> range is obtained by comparing the model results with observations of trace gases from four satellite platforms: tropospheric NO<sub>2</sub> columns from SCIAMACHY, tropospheric O<sub>3</sub> columns from OMI and from the Microwave Limb Sounder (MLS), and upper tropospheric HNO<sub>3</sub> from the Atmospheric Chemistry Experiment Fourier

Transform Spectrometer (ACE-FTS).

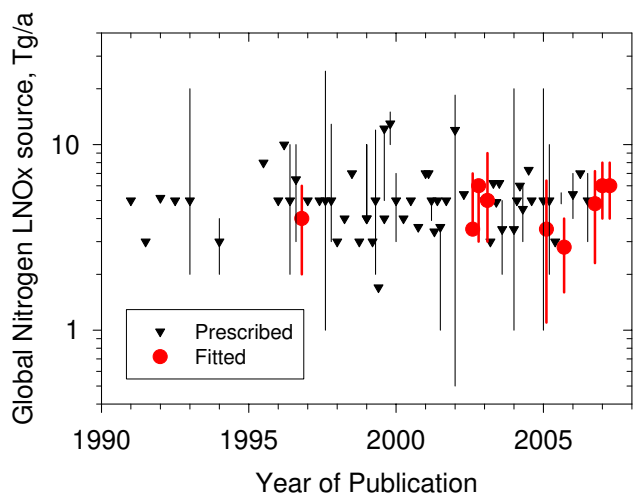
A recent comparison of the GEOS-CHEM model results of upper tropospheric NO<sub>2</sub> data relative to OSIRIS observations suggests a higher LNO<sub>x</sub> source of about 9 Tg a<sup>-1</sup> (Sioris et al., 2007). However, the authors consider this high value to be related to the assumed vertical distribution of LNO<sub>x</sub> in the model and the coarse vertical resolution of the observations.

In an ongoing study we use several chemical transport models (ECHAM5/MESSy1, TM4, MOZART-4, MATCH-MPIC) with 1.9° × 1.9° to 3° × 2° horizontal resolution and meteorological fields from weather analysis or a GCM nudged to ECMWF analysis and various LNO<sub>x</sub> parameterisations (CTH, UPD or CPR) to compute the concentrations of NO<sub>x</sub>, NO<sub>y</sub>, CO and O<sub>3</sub> for at least 2 values of the nominal LNO<sub>x</sub> source, and compare the model results interpolated along flight paths with recent observations in the continental tropics and subtropics (TROCCINOX). By a least square fit, we determine the LNO<sub>x</sub> value for which the model results provide the best fit to the observations. From the magnitude of the deviations between model and observational data we compute systematic error estimates using the model-derived LNO<sub>x</sub> sensitivity. Only the results from the model exhibiting the smallest systematic errors in this application are taken for the final best estimate. The results from the model TM4 with 3° × 2° horizontal resolution, meteorological data from the ECMWF, and CPR based parameterisation show the best agreement with the observed data. Based on the data of TROCCINOX and this model, a best estimate of 4.8 Tg a<sup>-1</sup> is computed with an uncertainty range 2.3–7.3 Tg a<sup>-1</sup> (Schumann et al., 2006).

### 3.3.5 Results, uncertainties, and future improvements

Figure 28 shows the LNO<sub>x</sub> values as used in the model studies listed in Table 24 versus the year of publication. The figure shows that even the more recent studies allow for a wide range of LNO<sub>x</sub> values between 1 and 20 Tg a<sup>-1</sup>, though most studies assumed values between 3 and 7 Tg a<sup>-1</sup>. Not all these studies compare model results for various LNO<sub>x</sub> emissions with observations. Nevertheless, the results are generally consistent with the findings from the more specific studies. Nine specific studies as explained above determine the best fitting LNO<sub>x</sub> value by comparing model results with observations.

All together the model fit studies performed so far provide a LNO<sub>x</sub> source estimate in the range 2–8 Tg a<sup>-1</sup> or (5 ± 3) Tg a<sup>-1</sup>. The accuracy is not necessarily equal to the difference between the upper and lower LNO<sub>x</sub> values used to embrace the best estimate in the individual model fits. The best-estimate may change and the error bounds may increase when further data are included and when other model parts get improved. Even for the given results, the uncertainty is still large, 3 Tg a<sup>-1</sup> or about 66%, but far smaller than in most previous reviews, see Table 1. Lawrence et al. (1995) derived a best-estimate of 2 Tg a<sup>-1</sup> and a range of 1–8 Tg a<sup>-1</sup>. Our



**Fig. 28.** Global annual nitrogen mass  $\text{LNO}_x$  source as prescribed or fitted to data in global atmospheric model studies versus year of publication, as listed in Table 24. Triangles with vertical bars denote estimated values and expected uncertainty ranges. Thick red dots with error bars denote model fit  $\text{LNO}_x$  results and the derived uncertainty ranges (Levy II et al., 1996; Martin et al., 2002b, 2007; Tie et al., 2002; Staudt et al., 2003; Boersma et al., 2005; Müller and Stavrou, 2005; Schumann et al., 2006; Sauvage et al., 2007a).

range is essentially the same, but encloses the best estimate more symmetrically. Our best estimate is larger in spite of the smaller global flash rate used ( $44$  instead of  $100 \text{ s}^{-1}$ ) implying larger flash-specific  $\text{NO}_x$  emissions ( $15 \times 10^{25}$  instead of  $2.3 \times 10^{25}$   $\text{NO}$  molecules per flash). The present uncertainty is small enough for some of the applications discussed in Sect. 2.10, and appears to be smaller than the uncertainty ranges assessed in this review for the flash or thunderstorm extrapolation methods.

What accuracy can be expected from the model fit approach? Random errors are reducible by including many data; systematic errors are reducible by selecting high quality model and observation data with relatively high  $\text{LNO}_x$  sensitivity. The systematic errors arise because of incorrect models and observation methods and control the accuracy achievable when many data are available.

For a conservative estimate we assume that the systematic errors are additive in the absolute norm (where all errors  $\Delta$  enter with their absolute amount). Using Eq. (10), the systematic error  $\Delta G$  of  $G$  follows from

$$\Delta G/G = (\Delta c + \Delta b)/(c - b) + \Delta s/s \quad (11)$$

$$= (\Delta c/c)c/(c-b) + (\Delta b/b)b/(c-b) + \Delta s/s \quad (12)$$

$$= (\Delta c/c)/\alpha + (\Delta b/b)(1 - \alpha)/\alpha + \Delta s/s, \quad (13)$$

with  $\alpha = (c-b)/c$  measuring the relative  $\text{LNO}_x$  contribution to  $c$ . This shows that systematic errors in the estimated  $\text{LNO}_x$  source  $G$  originate from systematic errors in three terms: the data ( $\Delta c/c$ ), the background model results ( $\Delta b/b$ ), and the

sensitivity ( $\Delta s/s$ ) of the model results to changes in the  $\text{LNO}_x$  source value. The first two terms enter with higher weight  $\alpha^{-1}$ . Hence, accurate results can be obtained only from data with large  $\text{LNO}_x$  contribution  $\alpha$ . To make things simpler, let us assume that the relative errors in the three terms are of comparable magnitude, i.e.  $\Delta c/c \approx \Delta b/b \approx \Delta s/s \approx \varepsilon$ . Then the total systematic error is

$$\Delta G/G = 2\varepsilon/\alpha. \quad (14)$$

Hence, the final error  $\Delta G/G$  is larger the larger  $\varepsilon$  is and the smaller  $\alpha$  is. In order to achieve an accuracy  $\Delta G/G$  of better than about 100%, the individual errors  $\varepsilon$  must stay below  $\alpha/2$ . For, e.g.,  $\alpha < 0.5$ , the individual errors must stay below 25%. This seems just achievable and hence, is consistent with the derived accuracy of about 100% from the ensemble of model fits. Obviously, it is difficult to achieve  $G$  values with uncertainties far smaller than 100%.

Coming back to the deposition-based  $\text{LNO}_x$  determination, we note again that a  $\text{LNO}_x$  source of about  $5 \text{ Tg a}^{-1}$  causing deposition values as described in Sect. 3.3.2 does not appear unrealistic. However, for an uncertainty of about 30% in the measured and computed deposition rates and a  $\text{LNO}_x$  contribution of 20% (Table 2), the uncertainty in any  $\text{LNO}_x$  estimate derived from these data is of the order of a factor 3. Improvements may be obtained with data from remote stations (Galloway et al., 1982; Dentener et al., 2006), in particular in the southern tropics during the wet season when biomass burning contributions are low (Savoie et al., 1989), or in Antarctica (Weller et al., 2002). However models predict very small nitrate deposition fluxes for Greenland and Antarctica (Dentener et al., 2006), see also Fig. 27.

In the future, more accurate  $\text{LNO}_x$  estimates may be derived using all the data sensitive to  $\text{LNO}_x$  sources from the many experimental studies performed so far, including in-situ, remote sensing, and deposition data. Moreover, global atmospheric models should be further improved. Improvements are needed in particular in the parameterisations of the horizontal distribution of lightning and the vertical profile of the  $\text{LNO}_x$  emissions, and in all the other properties determining the quality of the global atmospheric models, such as grid resolution, meteorological input fields, emission estimates from other than  $\text{LNO}_x$  emissions, chemical reactions and deposition parameters. Critical tests for model improvements include the ability of the models to compute cloud and lightning distributions as observed from satellites (Christian et al., 2003; Takayabu, 2006; Zipser et al., 2006), the ability to represent the other highly uncertain  $\text{NO}_x$  sources such as those from soils (Jaeglé et al., 2004) or biomass burning (Granier et al., 2004), to represent  $\text{NO}_x$  concentrations and related photochemistry both at tropical and midlatitudes in the altitude range from the surface to the tropopause, and to represent the skewed probability distribution on  $\text{NO}_x$  (Brunner et al., 2001; Hudman et al., 2007) which reflect both the uneven distribution of the sources and the short  $\text{NO}_x$  lifetimes.

## 4 Conclusions

This review covers research aimed at quantifying the LNO<sub>x</sub> source rate  $G$  and its distribution in some detail from the first estimates published. The lightning physics and atmospheric chemistry are covered only briefly. More emphasis is given to observations available and to global models which are seen as crucial for further progress. The paper identifies the necessary and achievable accuracy of LNO<sub>x</sub> estimates.

The precise knowledge of the LNO<sub>x</sub> source is important for understanding and predicting the nitrogen oxides and ozone distributions and their trends, the oxidising capacity of the atmosphere, and the lifetime of trace gases destroyed by reactions with OH. In addition, the LNO<sub>x</sub> source must be known accurately for assessment of other important sources besides surface sources, in particular from aviation and the stratosphere. Finally, the LNO<sub>x</sub> source must be known for understanding the possible feedback between climate changes and lightning. Some of the early estimates tended to overestimate the source rate for various reasons, while recent findings suggest smaller LNO<sub>x</sub> values.

Various methods have been used so far to provide LNO<sub>x</sub> estimates. Method 1 (flash extrapolation) has been improved in recent years mainly by using airborne observations of NO<sub>x</sub> increases in thunderstorm outflows together with simultaneous observations of lightning activity. Applications of the flash extrapolation to satellite-derived NO<sub>2</sub> column data were successful in case studies for regions with high LNO<sub>x</sub> contributions. Attention has been given to the laboratory finding that the NO<sub>x</sub> production rate is a function of flash peak current. The peak current may be observable from ground-based VLF/LF lightning detection systems. The results from airborne studies have been interpreted with cloud-resolving models and these studies have identified the flash-specific production rates and the ratio in NO<sub>x</sub> productivity for IC and CG flashes. The results for midlatitude thunderstorms suggest that IC and CG flashes produce about the same amount of NO<sub>x</sub> per flash. The results are extrapolated globally using the ratio between the global flash rate and the flash rate during the thunderstorm investigated. Satellite lightning detection systems observe each point on Earth only for about 15 h each year (Christian et al., 2003). Nevertheless, the global flash rate appears to be well assessed with OTD and LIS data. However, the various instruments measure signals from different parts of the lightning discharge, and no instrument can determine precisely the number, length, peak current and energy of the flashes. The lightning observations may miss some low-current flash events contributing to NO<sub>x</sub> production. Also it would be important to better understand the processes in flashes contributing to LNO<sub>x</sub> production. This knowledge is needed as the various types of lightning detection instruments are sensitive to different processes. Note that flash channels exceeding 3000 K may contribute to NO<sub>x</sub> production and such rather cold channels may perhaps be underrepresented in the observations performed

so far. Recently, Rahman et al. (2007) suggested that relatively slow discharge processes, with quasi-steady continuing currents of the order 100 kA, contribute significantly to the global LNO<sub>x</sub> production. Long continuing currents may follow in particular low peak current strokes (Saba et al., 2006b). Such quasi-steady currents are hardly detectable by most operational lightning detection systems and not included in LNO<sub>x</sub> models so far.

The best estimate for method 1, derived from the reviewed flash studies, including laboratory, theoretical and airborne studies, is  $15 (2-40) \times 10^{25}$  molecules per flash, equivalent to 250 mol NO<sub>x</sub> or 3.5 kg of nitrogen mass per flash with uncertainty factors 0.13 to 2.7. Multiplying this flash-specific value with the satellite- (OTD-) derived global flash frequency of  $44 \text{ s}^{-1}$ , which is a smaller value than estimated in earlier studies, and assuming equal IC and CG NO<sub>x</sub> productivity, gives a global LNO<sub>x</sub> value of 0.6–13 Tg a<sup>-1</sup>. Further reduction of this uncertainty range with this method is difficult for several reasons, in particular because the method assumes that the properties of flashes are uniform at global scale. However, the flash productivity at midlatitudes may be higher than in the tropics (Huntrieser et al., 2006).

Method 2 (storm extrapolation) has been applied to a few thunderstorm cases at midlatitudes and recently also in the tropics and subtropics. The global LNO<sub>x</sub> estimates obtained include a range of about 1–25 Tg a<sup>-1</sup>. The method does not require knowing the flash properties. The results are helpful in understanding differences between the LNO<sub>x</sub> productions of different types of thunderstorms. However, the method suffers from the ill-defined number of thunderstorms active at any time globally.

Method 3 (global model fit), using concentration data of NO<sub>x</sub>, NO<sub>y</sub>, and NO<sub>x</sub> dependent species and deposition data in regions with high LNO<sub>x</sub> sensitivity, has been applied with various models. The method determines the best estimate LNO<sub>x</sub> value by adjusting model parameters such that the model fits observations as well as possible. The accuracy of the result depends strongly on the accuracy of the measured data, the accuracy of the model results for background concentrations (for best a priori LNO<sub>x</sub> estimates), and the sensitivity of the NO<sub>x</sub> concentrations to changes in the LNO<sub>x</sub> source. A simple error estimate shows that the individual errors must be below about 25% and the LNO<sub>x</sub> contribution to the fitted observations must be larger than 50%; otherwise the resultant LNO<sub>x</sub> uncertainty is likely to exceed 100%. A large set of chemical transport models has been developed which have been shown to provide good results through comparisons with a growing number of observations and with other models. Most models agree with observations up to a factor 1.2 to 2, but the agreement is not uniform over the globe. So far, the model results were tested on only a subset of the available data. Recent in-situ measurements obtained in the tropics and subtropics during the projects BIBLE, CRYSTAL-FACE, TROCCINOX, SCOUT-O3, ACTIVE, and AMMA appear to be well suited for such

comparisons. Systematic comparisons with the set of all or most of the LNO<sub>x</sub>-sensitive data obtained so far, both over land and ocean, in the tropics and at midlatitudes, over the last 2 to 3 decades, are still to be done. The results obtained with model fits up to now indicate best-estimates of the global LNO<sub>x</sub> value of about 2–8 Tg a<sup>-1</sup> (or 5±3 Tg a<sup>-1</sup>). The possibility that the true value lies outside this range cannot be strictly excluded. The best value and the bounds may still vary when including additional data and improved models.

The models compute the LNO<sub>x</sub> production rate as a function of meteorological parameters. So far, the models do not account for all parameters identified as being important for affecting lightning and LNO<sub>x</sub> production for given thermodynamic and air composition conditions. Recent results show that the classical cloud-top-height (CTH) model does not capture the observed variability. The convective precipitation (CPR) model needs different scaling over oceans and continents and does not account for different lightning yields (number of lightning CG flashes per rain unit mass) at various latitudes. The convective updraft speed (UPD) and the CPR methods are based on subgrid-scale processes and hence are sensitive to details in the convective parameterisation. The LNO<sub>x</sub> parameterisation based on UPD covers better than CTH the land/ocean contrast. Both the UPD and CPR versions seem to simulate the variability of convection better than CTH. The results are also sensitive to the vertical emission profiles, and the best profile (or set of profiles for various storm types) has still to be determined. The model fit approach is only weakly sensitive to the IC/CG ratio in flash rates or production rates. Moreover, the LNO<sub>x</sub> sensitivity of the model results depends on mixing and the computed hydroxyl radicals converting lightning-induced NO to NO<sub>y</sub> and affecting other NO<sub>x</sub>-dependent species such as O<sub>3</sub>, CO and others. An overestimate of the lifetime of NO<sub>x</sub> results in an underestimate of the LNO<sub>x</sub> source rate by the model fit. The quality of the models depends strongly on the grid resolution because of the large scale range between the fresh lightning plumes, narrow convective cloud cores and typical grid cell sizes. The background results depend also strongly on the accuracy of emission data from other sources. Even more demanding is the still open development of 3-D models accounting correctly for atmospheric electricity.

Model fits based on nitrate deposition data have been considered in several model studies, however only one early study made conclusions with respect to LNO<sub>x</sub>. Because of large scatter of the deposition data and the difficulty in modelling dry and wet deposition fluxes, this method may not provide more accurate results than the concentration based approach. Previous model studies suggest that 5 Tg a<sup>-1</sup> of LNO<sub>x</sub> do not contribute more than about 20–25% to nitrate deposition on average even in the tropics. The deposition fluxes are small in the polar regions and dependent on the complex transport and chemistry of long-living NO<sub>y</sub> components. Hence, an accurate analysis requires selection of

regions and periods with higher LNO<sub>x</sub> share, preferably in the remote southern tropics.

So far, the globally-emitted LNO<sub>x</sub> nitrogen mass per year estimated in this study is in the range 2–8 Tg a<sup>-1</sup>. The uncertainty range is smaller than in early assessments, see Table 1. However, in spite of considerable progress, the uncertainty range is still large, only a little smaller than the range 1–8 Tg a<sup>-1</sup> assessed by Lawrence et al. (1995). Though other NO<sub>x</sub> emissions (e.g., from soil or from biomass burning) may be uncertain to similar or even larger absolute scales, the LNO<sub>x</sub> uncertainty is still relatively large, and this is important because of the large impact of LNO<sub>x</sub> on upper tropospheric NO<sub>x</sub> and O<sub>3</sub>. Future work should aim to reduce the uncertainty range from presently ±3 Tg a<sup>-1</sup> to about ±1 Tg a<sup>-1</sup> or 20%, whatever is smaller. In view of simple error estimates, this is still a very challenging goal.

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