ris Kidd <sup>4</sup> , Erich un <sup>1</sup> , George J. erow <sup>3</sup> , Robert bu <sup>10</sup> , Kinji
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ou , miji
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300 Greenbelt Rd,
D 20771 USA
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SA
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Abstract—Precipitation is a key source of freshwater; therefore observing global patterns of 37 precipitation and its intensity is important for science, society, and understanding our planet in a 38 changing climate. In 2014, NASA and the Japan Aerospace Exploration Agency (JAXA) 39 launched the Global Precipitation Measurement (GPM) Core Observatory (GPM-CO) spacecraft. 40 The GPM-CO carries the most advanced precipitation sensors currently in space including a 41 dual-frequency precipitation radar provided by JAXA measuring the three-dimensional 42 structures of precipitation and a well-calibrated, multi-frequency passive microwave radiometer 43 providing wide-swath precipitation data. The GPM-CO was designed to measure rain rates from 44 0.2-110.0 mm h<sup>-1</sup> and to detect moderate to intense snow events. The GPM-CO serves as a 45 reference for unifying the data from a constellation of partner satellites to provide next-46 generation, merged precipitation estimates globally and with high spatial and temporal 47 resolutions. Through improved measurements of rain and snow, precipitation data from GPM 48 49 provides new information such as: details on precipitation structure and intensity; observations of hurricanes and typhoons as they transition from the tropics to mid-latitudes; data to advance 50 near-real-time hazard assessment for floods, landslides and droughts; inputs to improve weather 51 and climate models; and insights into agricultural productivity, famine, and public health. Since 52 launch, GPM teams have calibrated satellite instruments, refined precipitation retrieval 53 algorithms, expanded science investigations, and processed and disseminated precipitation data 54 for a range of applications. The current status of GPM, its ongoing science, and future plans will 55 be presented. 56

### 57 Introduction and Motivation

Water is essential to our planet, Earth. It literally moves mountains through erosion; transports heat in Earth's oceans and atmosphere; keeps our planet from freezing due to radiative impacts of atmospheric water vapor; causes catastrophes through droughts, floods, landslides, blizzards, and severe storms; but most importantly water is vital for nourishing all life on Earth. Precipitation as a source of freshwater links the Earth's water and energy cycles. Thus knowing when, where, and how precipitation falls is of paramount importance for science and society.

While there are areas of the world that have dense ground-based sensors for measuring 64 precipitation in the form of rain gauges and radars, the vast oceans, less populated regions, and 65 parts of developing countries lack adequate surface measurements of precipitation (Kidd et al. 66 2016). Satellites provide an optimal platform from which to measure precipitation globally. In 67 1997, NASA and the National Space Development Agency of Japan (NASDA), now the Japan 68 69 Aerospace Exploration Agency (JAXA), launched the Tropical Rainfall Measuring Mission (TRMM) (Simpson et al. 1998, Kummerow et al. 1998, 2000), which operated until April 2015. 70 The TRMM spacecraft had both a passive microwave multi-frequency imaging radiometer 71 (provided by NASA) and a Ku-band radar channel (provided by NASDA) capable of generating 72 three-dimensional views of precipitation structure (Kozu et al. 2001). TRMM's data continue to 73 foster important scientific investigations such as Curtis et al. (2007), Adler et al. (2009), Shepherd 74 et al. (2011), Liu et al. (2012), Houze et al. (2015), and Liu and Zipser (2015). In addition, TRMM 75 has a large user community that has applied these data operationally to support decision making 76 (Kirschbaum et al., 2016). 77

The Global Precipitation Measurement (GPM) Core Observatory (GPM-CO) spacecraft is an 78 advanced successor to TRMM, with additional channels on both the Dual-frequency Precipitation 79 Radar (DPR) and on the GPM Microwave Imager (GMI) with capabilities to sense light rain and 80 falling snow (Hou et al. 2014, Hou et al. 2008). The GPM-CO, also a NASA-JAXA partnership, 81 was launched in February 2014 and currently operates in a non-sun-synchronous orbit with an 82 inclination angle of 65°. This orbit allows the GPM-CO to sample precipitation across all hours of 83 the day from the tropics to the Arctic and Antarctic circles and for observing hurricanes and 84 typhoons as they transition from the tropics to mid-latitudes. GPM expands TRMM's reach not 85 only in terms of global coverage, but also through sophisticated satellite instrumentation, the inter-86 calibration of datasets from other microwave radiometers, coordinated merged precipitation data 87 sets, reduced latency for delivering data products, simplified data access, expanded global ground 88 validation efforts, and integrated user applications. Because of the application focus of GPM, the 89 90 public release of precipitation products is required in near-real-time (1-5 hours after the observations are downlinked to the ground stations). 91

The GPM mission has several scientific objectives including (1) advancing precipitation measurements from space, (2) improving knowledge of precipitation systems, water cycle variability and freshwater availability, (3) improving climate modeling and prediction, (4) improving weather forecasting and four-dimensional (4D) reanalysis, and (5) improving hydrological modeling and prediction. More details about these scientific objectives can be found in Hou et al. (2014).

98 The GPM-CO well-calibrated instruments allow for scientifically-advanced observations of 99 precipitation in the mid-latitudes where a majority of the Earth's population lives. The central panel of Figure 1 shows the coverage of the GPM-CO, and several interesting precipitation events are shown in panels a-1. These examples indicate the breadth of GPM's observational capabilities through measurements of diverse weather systems, such as severe convection, falling snow, light rain, and frontal systems over both land and ocean. The measurements include surface precipitation rates available from GMI and 3-dimensional precipitation structure from DPR.

A founding concept of the GPM mission is the constellation of precipitation observations 105 provided by national and international satellite partners of opportunity. International and national 106 partnerships are formed independently by both NASA and JAXA for sharing satellite data, ground 107 validation measurements, and scientific expertise (Hou et al. 2014). The GPM-CO serves as a 108 109 calibrator to ensure unified precipitation estimates from all satellite partners at high temporal (0.5 110 to 3.0 hours) and spatial (5 to 15 km) scales (Hou et al. 2014). Such satellite precipitation datasets can be merged via algorithms and accumulated over time as shown in Figure 2. These GPM products 111 allow for detailed investigations of how and where precipitation is distributed and how these 112 patterns change over days, seasons, and years. These estimates are also used to model and estimate 113 hazard impacts (e.g. floods and droughts), weather related disasters, agricultural forecasting, and 114 famine warnings (Kirschbaum et al., 2016). 115

The GPM-CO instruments and constellation concept will be discussed in Section 2. Precipitation retrieval algorithms, data products, processing, and availability will be presented in Section 3. Section 4 will be devoted to early validation results. In Section 5, the paper will summarize how GPM data have been used over the past two years for selected scientific investigations and societal applications. Material presented herein is primarily from the U.S. Science Team. Nevertheless, it is important to note that the current and future successes of GPM are joint with our international partners, especially Japan. The paper will close with conclusionsand next steps.

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# 125 GPM Core Observatory and Constellation Configuration

An essential activity of the GPM mission is the use of the NASA-JAXA GPM-CO to unify and inter-calibrate data sets generated by constellation satellite partners and merge these into nextgeneration, high temporal resolution global precipitation estimates. Fundamental to the success of this activity is both the GPM-CO instrumentation and the constellation configuration.

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131 GPM Core Observatory

The GPM-CO was launched February 28, 2014 at 3:37am JST (February 27, 2014 18:37 UTC) 132 from Tanegashima Island, Japan. The prime mission lifetime (instrument design life) is 3 years 133 and 2 months (for checkout) but fuel is projected to last well beyond that, potentially lasting 15 or 134 135 more years if the instruments/spacecraft systems (e.g., batteries) do not fail and fuel requirements do not increase. The GMI and DPR together provide a powerful synergistic tool to assess 136 137 precipitation micro- and macro-structure, intensity and phase globally at relatively high (regional) 138 resolutions. The DPR with Ku-band (35.5 GHz) and Ka-band (13.6 GHz) channels provides three-139 dimensional (3D) precipitation (rain and snow) particle structure with vertical resolution of 250m, 140 a horizontal resolution of ~5 km, and swath width of 125 km (Ka) and 245 km (Ku) (Hou et al. 2014). The DPR was extensively calibrated pre-launch (Kojima et al., 2013) and its performance 141 142 meets mission requirements (e.g., Kubota et al. 2015, Kubota et al. 2016, Toyoshima et al. 2015). (See also the sidebar on GPM's Mission Science Requirements.) 143

The GMI is a 13 channel conically scanning microwave radiometer (see Table 1 and Hou et al. 144 2014 for details). GMI provides wide-swath (885 km) TB data to estimate surface precipitation at 145 resolutions ranging from 5-25 km depending on frequency. Design requirements for GMI were 146 driven both by requirements to build a priori databases to support Bayesian microwave 147 precipitation retrieval algorithms (Kummerow et al. 2010, Kummerow et al. 2015) as well as to 148 provide a reference radiance calibration standard for the GPM constellation (Hou et al. 2014). The 149 design features needed to meet the requirements include a shroud over the warm load to eliminate 150 solar intrusions, a robust reflective antenna coating to minimize emissivity issues, and the addition 151 of noise diodes for a four point calibration of the window channels (Draper et al. 2013, 2015a, 152 153 2015b). The GMI instrument is meeting its performance requirements (Draper et al. 2015) and has already been deemed one of the best calibrated conically scanning passive microwave radiometers 154 in space with brightness temperature accuracy for all channels within 0.4K and stability within 155 0.2K (Wentz and Draper, 2016). 156

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## 158 GPM Constellation Configuration

The GPM mission encompasses the GPM-CO and a constellation of about 10 satellites (as of mid-2016) from national and international partners of opportunity [see Table 1 and Hou et al. 2014 for details]. These satellites are designed and operated for the partners' missions, but these agencies are willing to share their data with GPM for the purpose of producing next-generation unified global precipitation estimates. The constellation satellites bearing passive radiometers fly independent polar or non-sun-synchronous orbits allowing for multiple coincident overpasses with the GPM-CO. For the constellation partner data, the first step toward unified precipitation estimates is the inter-calibration of brightness temperatures (TB) using GMI as the reference standard. This ensures that the observed TB are consistent among the sensors with expected differences after accounting for variations in the observing frequencies, bandwidths, polarizations, and view angles (see Wilheit 2013, Wilheit et al. 2015, Zavodsky et al. 2013, Zhang et al. 2011, 2016 and Table 1). Figure 3 shows the extent of coverage provided by single 98-minute orbits for each of the various radiometer types in the GPM constellation.

Sensor inter-calibration between GMI and the partner sensors involves several steps, as 173 described in Wilheit (2013, 2015) and Berg et al. (2016). Multiple independent approaches are 174 175 compared during these steps, which help to identify flaws or limitations of a given approach, thus 176 increasing confidence in the results and providing a measure of the uncertainty in the resulting calibration adjustments. After adjustments, residual differences between GMI channels and those 177 on the constellation radiometers are generally smaller than 1 K (Berg et al. 2016). This is a 178 remarkable achievement that now allows the project to focus on the precipitation products rather 179 than TB uncertainties. 180

Future satellite inter-calibration tasks include understanding and quantifying the residual uncertainties in the estimated calibration differences due to the radiative transfer models and geophysical parameter retrievals and adapting to changes in the radiometer constellation. Updates in the GMI calibration algorithms and subsequent inter-calibration adjustments to the constellation sensors will occur during scheduled reprocessing of retrieval products. In addition, intercalibrating TRMM's TMI and pre-GPM microwave constellation sensor data to GMI is necessary for generating a consistent long-term next-generation precipitation record that covers the TRMMand GPM eras.

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# 190 Algorithms, Data Products, Data Processing and Data Availability

191 The GPM-CO data processing is a joint NASA/JAXA effort. NASA data processing is done at GSFC (Greenbelt, MD) in the Precipitation Processing System (PPS). JAXA data 192 processing is carried out at the Tsukuba Space Center (Tsukuba, Ibaraki, Japan) in the Mission 193 194 Operations System (MOS). The interconnected architecture of this joint mission ground system can be seen in Figure 4. Working with the GPM principal investigators and science algorithm 195 developers, PPS maintains the operational science data processing system and ensures the timely 196 197 processing of all GPM science instrument data [see Hou et al. 2014 for a table of GPM products]. During routine operations, raw instrument data (Level 0 data) is received in near-real-time by the 198 PPS and processed using science algorithms to produce calibrated, swath-level instrument (Level 199 1, L1) data. JAXA's MOC processes DPR Level 1 products and their Level 3 merged satellite 200 products. Additional algorithms are used to compute geophysical parameters such as precipitation 201 202 rate at the swath-level resolution (Level 2, L2 data products). [For reference, a special collection of papers describing the L2 precipitation algorithms is appearing in the Journal of Atmospheric 203 and Oceanic Technology.] At the final stage of processing, Level 3 (L3) algorithms produce 204 gridded and accumulated geophysical parameters including products such as latent heating profiles 205 (e.g., Tao et al. 2016). It is envisioned that Level 4 data products developed through model-206 assimilated precipitation forecast and analysis will be available in the future. 207

The GPM mission has both near-real-time (NRT) and research-quality production 208 requirements. Both NASA and JAXA contribute key processing efforts to fulfill these latency 209 requirements. The NRT products are produced using forecast or earlier forms of ancillary data. 210 NRT products include GMI TB, and precipitation estimates from GMI (denoted GPROF), 211 DPR, and Combined Radar-Radiometer Algorithm (denoted CORRA) (Kummerow et al. 2015, 212 Seto et al. 2015, Grecu et al. 2016). GMI products are available within an hour of data collection 213 while DPR and CORRA are available within 3 hours of data collection. Another NRT product 214 developed by the U.S. team is the Integrated Multi-satellitE Retrievals for GPM (IMERG) gridded 215 retrieval that is a Level 3 NASA product (Huffman et al. 2015). JAXA produces an analogous 216 217 product called Global Satellite Mapping of Precipitation (GSMaP) (Kubota et al. 2007, Aonashi et al. 2009, Ushio et al. 2009). IMERG uses the GPM-CO to inter-calibrate precipitation data from 218 all constellation radiometers. Temporal and spatial gaps in the IMERG microwave precipitation 219 220 estimates (e.g., as shown in Figure 3) are filled by morphing the estimates in between the microwave overpasses, and incorporating IR estimates with a Kalman filter where the gaps are too long (over 221 about 3 hours) to produce 0.1° x 0.1° half-hour global products. The IMERG product is produced 222 twice in NRT; once approximately 5 hours after data collection and again approximately 14 hours 223 after data collection. 224

All of the NRT products are also processed as research products. The geolocation of the research products is more consistent as predictive ephemeris rarely needs to be used. Research products are produced by PPS when all the required high quality ancillary and geolocation data are received with the objective for accuracy, completeness, and consistency. These research products are available hours to months after data collection and are stable for long-term

precipitation investigations. PPS generates and distributes all data from the instruments on the core 230 satellite as well as Level 2 and Level 3 data from the partner constellation satellites. In addition to 231 the standard HDF5 format files, a Geographic Information System (GIS; TIFF world files) product 232 and ASCII text files are provided for selected product estimates. All GPM data are openly available 233 and accessible from https://pmm.nasa.gov/data-access/downloads/gpm. JAXA's GPM products in 234 general can be obtained from https://www.gportal.jaxa.jp/gp/top.html while the GSMaP multi-235 satellite merged data can be obtained from http://sharaku.eorc.jaxa.jp/. GPM data (Level 0-3) are 236 periodically reprocessed as retrieval algorithms are improved. The at-launch Version 03 IMERG 237 accumulation products are known to be high biased during heavy rain events and the next IMERG 238 reprocessing to Version 04 (early 2017) is expected to address these high biases. GPM retrieval 239 algorithms use the dual frequency channels of DPR and the high frequency channels of GMI and 240 hence precipitation products from GPM are different than those from TRMM. Nevertheless, there 241 are plans to reprocess inter-calibrated precipitation data (in winter 2017-2018) to produce a 242 consistent long-term precipitation record that starts at the beginning of TRMM. GPM is meeting 243 data latency requirements (as shown in the sidebar), on average, greater than 99% of the time. 244 Recent PPS statistics show nearly 50 TB data downloaded by more than 1,000 unique users from 245 all over the world in a single month. 246

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#### 248 Validation Efforts

GPM Ground Validation (GV) efforts include the direct statistical validation and verification of satellite estimates against high-quality ground measurements, and physical validation for algorithm improvement and hydrological models. Validating data is from both regular ongoing surface observations and focused field campaigns (Hou et al., 2014; see also https://pmm.nasa.gov/index.php?q=science/ground-validation). Major GPM validation efforts are:
(1) Comparisons among satellite precipitation products, (2) comparisons against ground datasets,
and (3) analysis for meeting mission requirements.

One evaluation technique compares zonal means among the various GPM instrument 256 algorithms and established precipitation estimates such as the Global Precipitation Climatology 257 Project (GPCP) data sets (Adler et al. 2003) and, over ocean, the Merged CloudSat, TRMM, Aqua 258 version 2 (MCTA2) data (Behrangi et al. 2014). Both GPCP and MCTA2 include a variety of input 259 data sets selected for utility in precipitation estimation at both low and high latitudes. Figure 5 shows 260 the global zonal means for 2015 for land and ocean (Figure 5a), ocean only (Figure 5b), and land only 261 (Figure 5c). This figure illustrates that DPR, Ku, CORRA, and GPROF algorithm retrievals are in 262 good agreement. The GPM zonal accumulations underestimate with respect to the MCTA at higher 263 latitudes. This is most attributable to the fact that the DPR minimum detectable reflectivities 264 correspond to minimum rain rates of approximately 0.2 mm h<sup>-1</sup>. Since much of the higher latitude 265 precipitation is light, and CORRA and GPROF are based on DPR estimates, GPM is low in the 266 higher latitudes. A high latitude, light precipitation solution for GPROF is being implemented in 267 the upcoming algorithm Version 05 release. The mean daily precipitation in mm day<sup>-1</sup> for each of 268 the algorithms is provided in Table 2. This table shows that IMERG annual precipitation is lower 269 than the other algorithms while there are interesting differences among the diverse approaches 270 over land. Land surfaces tend to complicate the retrieval process and the various algorithms use 271 different approaches to mitigate surface (emissivity and clutter) issues. 272

Direct statistical GV of GPM rainfall rate estimates relies primarily on existing highresolution, quality-controlled U.S. national radar network rain rate products such as the NOAA

National Severe Storms Laboratory/University of Oklahoma Multi-Radar/Multi-Sensor (MRMS) 275 276 products (e.g., Zhang et al., 2016 and references therein). Currently, the MRMS system (http://mrms.ou.edu) incorporates data from all polarimetric WSR-88D radars (NEXRAD), a large 277 number of automated rain gauge networks, and model analyses in the Continental U.S. (CONUS) 278 and southern Canada. The system creates a gridded mosaic of quantitative precipitation estimates 279 (QPE) products on a 0.01° x 0.01° grid at a 2-minute temporal resolution (Zhang et al. 2016 for 280 most recent updates). Of particular value to GPM GV are MRMS radar-based gauge-adjusted QPE. 281 Collectively, these MRMS products provide an independent and consistent reference for directly 282 evaluating post-launch GPM precipitation products across a large number of meteorological 283 regimes as a function of resolution, accuracy, and sample size (Kirstetter et al. 2012). 284

For continental scale verification of GPM products over CONUS all MRMS data coincident 285 with GPM orbits are continuously processed and saved as a GPM GV dataset (http://wallops-286 prf.gsfc.nasa.gov/NMQ/index.html). In addition to standard MRMS quality control procedures 287 (see Zhang et al. 2016), additional procedures to minimize radar uncertainties are employed to 288 derive a high-quality precipitation reference at the satellite product pixel resolution (Kirstetter et 289 al. 2012). Filtering out instances when the radar-gauge ratios are outside of the range 0.1-10.0 290 further refines the instantaneous gauge bias-corrected MRMS product. In addition only radar data 291 with the best measurement conditions (i.e., no beam blockage and radar beam below the melting 292 layer) defined by a Radar Quality Index (RQI) are retained. Gridded 0.01° MRMS products can 293 then be matched to allow direct comparisons between the surface radar and satellite precipitation 294 products (see Figure 6). 295

Independent comparisons of this GPM GV-MRMS reference data set with two dense, well-296 maintained, and data quality-controlled NASA rain gauge networks show that for c. 5 km footprint, 297 30 minute accumulations > 0.5 mm h<sup>-1</sup>, biases are < 10% while normalized mean absolute errors 298 (NMAE) are < 35-40%. These results are consistent with a quantitative assessment of the MRMS 299 accuracy performed at its native resolution (Kirstetter et al. 2015b). Individual satellite radar 300 matches are subsequently averaged to coarser 50 km grids, useful for quick look comparison 301 products (cf. http://wallops-prf.gsfc.nasa.gov/NMQ/index.html) and for verifying GPM Level-1 302 science requirements (e.g., Figure 7). Here the increased spatial averaging of the footprints together 303 with removal of outliers (5<sup>th</sup> and 95<sup>th</sup> percentile) maintains low-bias while further reducing random 304 error in the MRMS data relative to the 5 km footprint scale mentioned above. 305

The GPM-GV MRMS reference dataset and its derivatives have revealed and quantified several aspects of satellite-estimated rainfall retrieval errors and uncertainties including comparisons of rainfall detectability and rainfall rate distributions (Kirstetter et al. 2014), separation of systematic biases and random errors (Kirstetter et al. 2012), regional precipitation biases (Chen et al. 2013), influence of precipitation sub-pixel variability and surface (Kirstetter et al. 2015b; Carr et al. 2015), and comparison between satellite products (Kirstetter et al. 2013, 2014; Tan et al., 2016a, b).

Figure 6 provides an example of comparisons to GPM Core satellite products for instantaneous sampling times (e.g., coincident swath and MRMS sample time) as a density-scatter plot for individual near surface DPR sensor footprint scales (effective resolution 5 km). Here it is important to note that the scatter of the data exhibited in Figure 6 is expected based on the instantaneous nature of the comparison at high spatial resolution (e.g., effective FOV), and the related intrinsic random error associated with matching associated precipitation estimates in time and space between MRMS and GPM L2 data swaths. Comparisons at this scale are best interpreted as a tool for evaluating the broader systematic bias behavior between GPM products using the GV as a third reference.

In Figure 6 good agreement between the GV MRMS reference and the near surface DPR-322 Normal Scan (NS) algorithm Version 04 is evident with a bias (defined as the mean relative error; 323 MRE) and normalized mean absolute error (NMAE) of only -9.8% and 51.7%, respectively. The 324 Normal Scan mode of DPR consists of retrievals using the Ku-band 245 km wide swath data. The 325 agreement is particularly good for rainrates in the 1.0 - 10.0 mm h<sup>-1</sup> range. Note that the minimum 326 detectable signal of the DPR (~0.2 mm h<sup>-1</sup>, in terms of rainfall) and partial beam filling are 327 responsible for scatterplot differences at very low rain rates. Contingency statistics for DPR NS 328 rain detection reveal that for ground "reference" rain rates > 0.2 mm h<sup>-1</sup> (the lower requirement 329 330 threshold specified for DPR rain detection based on radar sensitivity), yield a DPR Probability of Detection (POD) of 64%, False Alarm Rate of 9%, and Heidke Skill Score (HSS) of 37%. 331

GPM Mission Science Requirements (see sidebar) stipulate thresholds for detection, bias, 332 and random error (Hou et al., 2013). For example, rain rate estimates should exhibit a bias and 333 random error of < 50% (25%) at rain rates of 1 mm h<sup>-1</sup> (10 mm h<sup>-1</sup>) for areas of 50 km x 50 km. 334 Figure 7 is presented for the DPR Normal Scan (NS) product as a preliminary example of assessing 335 bias and random error. For non-zero raining pixels in Figure 7, the bias in each reference rain bin is 336 computed as the MRE in percent while for the random error the NMAE is computed with the 337 systematic error (bias) removed. Figure 7 suggests that the above GPM Mission Science 338 Requirements have been met for the DPR example shown and the method used. While these results 339

are encouraging, work is ongoing to further test and refine methodologies for determining product consistent lower rain rate thresholds for comparing GPM GPROF, CORRA, DPR, and MRMS
 datasets and for defining error types and to meet the other GPM Mission Science Requirements.

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# 344 Initial Scientific Investigations and Applications

With two years worth of calibrated and validated precipitation estimates, GPM's data are 345 being used for scientific studies (e.g., Liu and Liu 2016, Wentz and Meissner 2016, Panegrossi et 346 al. 2016, and Prakash et al. 2016). Most of the science results are from investigations by members 347 of the NASA Precipitation Measurement Missions science team (in 2016 consisting of 60 Principal 348 Investigators from NASA centers and U.S. universities funded by NASA Headquarters while the 349 Japanese PMM Science Team consists of 41 Principal Investigators). NOAA has a team of 16 350 investigators involved with GPM and more than 20 international no-cost teams also play important 351 roles in GPM science and validation efforts. Herein, two scientific investigations are reported: 352 falling snow retrievals and monsoon studies. 353

Scientifically, retrievals of falling snow from space represent an important data set for 354 understanding the Earth's atmospheric, hydrological, and energy cycles. While satellite-based 355 remote sensing provides global coverage of falling snow events, the science is relatively new and 356 retrievals are still undergoing development addressing challenges such as those listed in 357 Skofronick-Jackson et al. (2015). GPM's mission goal of estimating falling snow is demonstrated 358 in an example from March 17, 2014, just 18 days after launch (Figure 1c). More generally, the GMI 359 observed the average snow rate, maximum snow rate, and fraction of precipitation that fell as snow 360 over the winter of 2014-2015 (Figure 8). While these snow estimates are not fully validated they do 361 362 support the requirement that GPM detect falling snow. The high rates over the south-central states

may not be representative of typical winter conditions, but may have resulted from the occurrence of several heavy snow events in mid-late February of 2015 when GMI had good overpasses. Of particular note for this period were the large snowfall rates along the west coast of Canada and southern coast of Alaska, where coastal topography may enhance local snowfall rates.

Looking elsewhere, the GPM mission can track the advance and retreat of India's annual 367 monsoon and the tropical storms that impact India's populations. As shown in Figure 9, GPM 368 observes the detailed structure of the copious monsoon precipitation as it marches from south to 369 north across India over the seasons, with Tropical Cyclone Hudhud (Oct 2014) on the left and 370 Storm Roamu (May 2016) on the far right of the timeline. Figure 9 shows the advance of the 371 monsoon season from offshore in May to inland by July, and the retreat back to the Bay of Bengal 372 from September to November over two years of GPM data. Over longer precipitation records, 373 interannual variations due to the effect of large-scale oceanic or atmospheric patterns or to climate 374 375 change may be identified, information that is crucial for societal applications and benefit.

Integrating satellite observations into land surface modeling systems is a critical 376 component of how to resolve the state of the water cycle and stresses on the system during extreme 377 events. The NASA Land Information System (LIS; Kumar et al. 2006, Peters-Lidard et al. 2007) 378 runs operationally at the Short-term Prediction Research and Transition (SPoRT, 2016) Center 379 (Jedlovec 2013, Zavodsky et al. 2013, Case et al. 2016) at NASA's Marshall Space Flight Center 380 (Xia et al. 2012, Zhang et al. 2016, Vargas et al. 2015) to produce analyses and short term forecasts 381 of soil moisture and other fields. LIS is a land surface modeling and data assimilation framework 382 designed to integrate satellite observations, including GPM and the Soil Moisture Active Passive 383 (SMAP) satellite data (Entekhabi et al., 2010) into the modeling infrastructure 384

(http://lis.gsfc.nasa.gov/). The integration of GPM data within LIS, run operationally at SPoRT, 385 386 can capture soil moisture changes. For example, LIS identified an extreme soil moisture increase the first week of October 2015 when a closed upper low over the Southeastern U.S. combined with 387 a deep tropical moisture plume associated with Hurricane Joaquin, led to historic rainfall over the 388 Carolinas. The SPoRT Center provided model outputs from LIS to Eastern Region NWS forecast 389 offices in near-real-time. In other cases, these data are also used by a variety of end users 390 experimentally for assessing drought, flooding potential, and situational awareness for wildfire 391 and blowing dust. There is great potential in the future for using GPM estimates together with 392 other space-based soil-moisture measurements from SMAP to improve weather and hydrological 393 394 prediction.

The GPM suite of products contributes to a wide range of societal applications such as: 395 tropical cyclone location and intensity, famine early warning, drought monitoring, water resource 396 397 management, agriculture, numerical weather prediction, land system modeling, global climate modeling, disease tracking, economic studies, and animal migration; many of which were initially 398 developed with TRMM data. Many of these applications require near-real-time data as well as 399 longer-term, well-calibrated precipitation information. IMERG is starting to be used as an input 400 for forecasts in other regions of the world, especially areas lacking adequate ground-based 401 coverage. Selected applications are reported in Kirschbaum et al. (2016), Ward et al. (2015), 402 Kucera et al. (2013), and Kirschbaum and Patel (2016). 403

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# 405 Conclusions and Next Steps

The Global Precipitation Measurement mission provides unprecedented and highly useful 406 global precipitation datasets. GPM's Core Observatory data are used to inter-calibrate a set of 407 precipitation observations from constellation partner sensors. By merging GPM multi-satellite 408 estimates with other IR satellite data, products with temporal resolutions down to 30 minutes and 409 spatial resolutions as small as 0.1° by 0.1° are possible. Latencies, at 1-5 hours (depending on the 410 product) after data collection, are vital for GPM's operational users. Research quality products 411 (with accuracy requirements as indicated in the Sidebar GPM's Mission Science Requirements) 412 are available later (12 hrs to several months) for intensive scientific studies ranging from 413 diagnosing microphysical precipitation particle characteristics to assessing regional and global 414 patterns of precipitation. The GPM mission provides indispensable precipitation data from micro 415 to local to global scales via retrieved precipitation particle size distributions inside clouds, 5-15 416 km resolution estimates of regional precipitation, and merged global precipitation. 417

GPM's algorithms have been updated several times (currently on Version 04) with an 418 additional update planned for 2017. After the release of Version 05, work will begin to reprocess 419 Level 0-3 products back to the beginning of TRMM (1998) and also for partner satellite data sets 420 to establish a long and consistent record of precipitation. Scientific studies and societal 421 applications using GPM data are ongoing and growing rapidly. Knowing the horizontal and 422 vertical structure of precipitation is important for improving weather forecasting and climate 423 change models. The planned processing of a consistent precipitation record encompassing the 424 TRMM and GPM era will be of high value to future generations of scientific studies and user 425 applications. The consistent TRMM-plus-GPM record will generate interesting scientific insights 426 and re-invigorate applications in hydrological/land surface modeling and numerical weather 427

prediction. Going forward in time, GPM's prime mission lifetime lasts until May 2017 at which time GPM will move into Extended Operations. Current predictions suggest that the stationkeeping fuel will last 15 or more years, implying that instruments or spacecraft systems (like the batteries) will likely be the life-limiting factors as long as the fuel requirements do not increase.

In quantifying precipitation, a key Earth system component, the GPM mission provides 432 fundamental knowledge of the water cycle and compliments other NASA satellite missions such 433 as the Gravity Recovery and Climate Experiment (GRACE), that measures changes in 434 groundwater levels in underground aquifers (among other observations) (Tapley et al. 2004); the 435 Soil Moisture Active Passive (SMAP) satellite (Entekhabi et al. 2010); Aquarius (while it was 436 operating), that observed ocean salinity (Le Vine et al. 2010); and CloudSat, which measures the 437 properties of clouds and light precipitation (Stephens et al. 2002). Integrated multidisciplinary 438 scientific investigations can provide greater understanding of our complex Earth system. GPM has 439 and will continue to provide valuable and freely accessible precipitation data for science and 440 society. 441

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453 454 Sidebar 1: GPM's Mission Science Requirements 455 Prior to the GPM's launch in 2014, NASA formally documented Core Observatory requirements 456 to be met within GPM's 3-year Prime Mission operations period in order for GPM to be deemed 457 fully successful. Several of these requirements dealt with instrument performance or operational 458 elements (e.g., orbit maintained to within ±1 km of operational orbital attitude) and will not be 459 discussed here. Most of the requirements pertained to scientific accuracy and science data and are 460 key to ensuring stable and validated precipitation products expected by both scientific investigators 461 and application users. Specifically, these science requirements are: 462 Measurements of the same geophysical scenes using both active and passive technique 463 • from 65°N to 65°S latitude with mean sampling time of 24 hours 464 465 ٠ Using the DPR: Quantify rain rates between 0.22 and 110.00 mm h<sup>-1</sup> -466 - Detect snowfall at an effective resolution of 5 km 467 Using the GMI 468 Quantify rain rates between 0.2 and 60.0 mm h<sup>-1</sup> 469 Detect snowfall at an effective resolution of 15 km 470 Estimate precipitation particle size distribution (e.g., quantitative estimates of precipitation 471 microphysical properties such as the mean median mass diameter of particle size 472 distribution to within  $\pm 0.5$  mm.) 473

474	• Provide calibrated ground-based precipitation measurements and associated error
475	characterizations at 50 km horizontal resolution for comparison with space-based radar and
476	radiometer measurements at designated ground validation sites within ground tracks of the
477	GPM Core Observatory.
478	- The biases in instantaneous rain rates between the ground-based and space-based
479	estimates should not exceed 50% at 1 mm $h^{-1}$ or 25% at 10 mm $h^{-1}$
480	- The random errors between the ground-based and space-based estimates should not
481	exceed 50% at 1 mm $h^{-1}$ or 25% at 10 mm $h^{-1}$ .
482	• In order to provide data in near-real-time for hurricane monitoring, numerical weather
483	prediction, hydrological model forecast and other application and operational uses:
484	- Combined radar/radiometer swath products will be available within 3 hours of
485	observation time, 90% of the time, and
486	- Radiometer precipitation products will be available within 1 hour of observation
487	time, 90% of the time.
488	At the time of the writing of this article all science requirements have been shown to have been
489	met but have not been documented in the literature. Several papers are being prepared on proving
490	these requirements and will be included in the AMS Special Collection of GPM Publications.
491	

492		Acronym List
493	AMSR2	Advanced Microwave Scanning Radiometer for Earth Observing System 2
494	ASCII	American Standard Code for Information Interchange
495	ATMS	Advanced Technology Microwave Sounder
496	CNES	Centre National d'Etudes Spatiales
497	ISRO	Indian Space Research Organisation
498	CONUS	Continental US
499	CORRA	Combined Radar-Radiometer Algorithm
500	dBZ	decibel relative to Z
501	DMSP	Defense Meteorological Satellite Program
502	DPR	Dual-frequency Precipitation Radar
503	EUMESA	AT European Union Meteorological Satellites
504	FOV	Field of View
505	4D	Four-dimensional
506	GCOM-W	V1 Global Change Observation Mission - Water
507	GCPEx	Global Precipitation Measurement Cold Season Precipitation Experiment
508	GIS	Geographic Information System
509	GHz	Gigahertz
510	GMI	GPM Microwave Imager
511	GPCP	Global Precipitation Climatology Project
512	GPM	Global Precipitation Measurement
513	GPM-CO	Global Precipitation Measurement Core Observatory

514	GPROF	Goddard Profiling Algorithm			
515	GRACE	Gravity Recovery and Climate Experiment			
516	GSFC	Goddard Space Flight Center			
517	GSMaP	Global Satellite Mapping of Precipitation			
518	GV	Ground Validation			
519	HDF5	Hierarchical Data Format			
520	IMERG	Integrated Multi-satellitE Retrievals for GPM			
521	IR	Infrared			
522	JAXA	Japan Aerospace Exploration Agency			
523	JPSS1	Joint Polar Satellite System-1			
524	JST	Japan Standard Time			
525	LIS	Land Information System			
526	MAE	Mean Absolute Error			
527	MHS	Microwave Humidity Sounder			
528	MHz	Megahertz			
529	MOS	Mission Operations System			
530	MRE	Mean Relative Error			
531	MRMS	Multi-Radar/Multi-Sensor			
532	MSFC	Marshall Space Flight Center			
533	NASA	National Aeronautics and Space Administration			
534	NEDT	Noise Equivalent Delta Temperature			
535	NEXRAD Next-Generation Radar				

536	NOAA	National Oceanic and Atmospheric Administration
537	NPP	NASA Postdoctoral Program
538	NRT	Near-Real-Time
539	NS	Normal Scan
540	NWS	National Weather Service
541	PMM	Precipitation Measurement Missions
542	PPS	Precipitation Processing System
543	QPE	Quantitative Precipitation Estimates
544	SAPHIR	Sounder for Probing Vertical Profiles of Humidity
545	SMAP	Soil Moisture Active Passive
546	SPoRT	Short-term Prediction Research and Transition
547	SSMIS	Special Sensor Microwave Imager/Sounder
548	ТВ	Brightness Temperature
549	TIFF	Tagged Image File Format
550	TMI	TRMM Microwave Imager
551	TRMM	Tropical Rainfall Measuring Mission
552	3D	Three-Dimensional
553	U.S.	United States
554	UTC	Coordinated Universal Time
555	WMO	World Meteorological Organization
556	WSR-881	D Weather Surveillance Radar 88 Doppler
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Table 1: Channel availability by frequency and polarization (V=Vertically polarized,
H=Horizontally polarized) for the GPM constellation radiometers. GMI, TMI, AMSR2, and
SSMIS are all conically scanning imagers while MHS, ATMS, and SAPHIR are cross-track
scanning water vapor sounders. ATMS is currently operating on board Suomi NPP with a second
copy to launch on board JPSS1 in mid 2017.

Sensor	Satellite	6-7 GHz	10 GHz	18-19 GHz	21-23 GHz	31-37 GHz	85-92 GHz	150-166 GHz	183 GHz
GMI	GPM		10.65 VH	18.7 VH	23.8 V	36.64 VH	89.0 VH	166 VH	183.31 V ±3, ±7
TMI	TRMM		10.65 VH	19.35 VH	21.3 V	37.0 VH	85.5 VH		
AMSR2	GCOM-W1	6.925 VH 7.3 VH	10.65 VH	18.7 VH	23.8 VH	36.5 VH	89.0 VH		
SSMIS	DMSP F16, F17, F18, F19			19.35 VH	22.235 V	37.0 VH	91.655 VH	150 H	183.31 H ±1, ±3, ±6.6
MHS	NOAA-18/19, MetOp-A/B						89 V	157 V	183.31 H ±1, ±3, 190.31V
ATMS	Suomi NPP, JPSS1				23.8 V	31.4 V	88.2 V	165.5 H	183.31 H ±1, ±1.8, ±3, ±4.5, ±7
SAPHIR	Megha- Tropiques								183.31 H ±0.2, ±1.1, ±2.8, ±4.2, ±6.8, ±11

775	Table 2: Area weighted mean a	annual precipitation in mr	n day <sup>-1</sup> for each of	f the algorithms

globally, over land, and over ocean from +/- 50 degrees latitude.

	Global Mean Daily Precipitation	Oceanic Mean Daily Precipitation	Land Mean Daily Precipitation
DPR	2.51	2.77	1.72
GPROF	2.86	2.99	2.36
Ku	2.81	3.03	2.05
CORRA	2.83	2.85	2.77
IMERG	2.48	2.44	2.39
GPCP	2.95	3.15	2.43
GSMaP	2.74	2.83	2.12

Figure 1: GPM-CO GMI composite brightness temperatures and example precipitation event 779 780 cases. Center panel: composite 89 GHz brightness temperatures averaged over 24 months showing the latitudinal extent of the GPM-CO measurements. Example precipitation cases (a) A 781 North Pacific frontal system from GMI, (b) Severe storms in Texas from GMI, (c) winter storm 782 over the Eastern U.S. as observed in 3D from the DPR, (d) North Atlantic winter storm from 783 GMI, (e) Typhoon Fantala as observed in 3D from the DPR, (f) Typhoons Chan-Hom and 784 Nangka in two successive orbits from GMI, (g) a South Pacific frontal system from GMI, (h) a 785 South Atlantic frontal system from GMI, (i) a line of convection in Africa in 3D from the DPR, 786 (i-k) Sumatra land/sea convection day and night from GMI, and (l) an Australian weather system 787 from GMI. 788

789 Figure 2: Integrated Multi-satellitE Retrievals for GPM (IMERG) accumulated precipitation totals from 4-11 August 2014. The IMERG retrieval algorithm has not yet been developed for 790 pole-to-pole retrievals. The large accumulation near Japan is Typhoon Halong. The accumulation 791 792 also shows a major storm over the North Sea near Europe, the origins of Hurricane Gonzalo on the western coast of Africa, and a deep tropical depression that produced floods across northern 793 India. IMERG gridded products are produced every 30 minutes with 0.1° x 0.1° grid boxes, 794 currently covering the latitude band 60°N-S. 795

Figure 3: Precipitation estimates are shown for a single orbit of each of the GPM constellation 796 radiometer types for January 1, 2015. The conically-scanning window-channel radiometers are 797 798 shown on the left and the cross-track scanning water vapor sounding radiometers are shown on the right. The constellation radiometers include a) TMI and GMI on board the NASA TRMM 799 and GPM satellites, b) ATMS on board NOAA's Suomi NPP satellite, c) AMSR2 on board 800

JAXA's GCOM-W1 satellite, d) SAPHIR on board the CNES-ISRO Megha-Tropiques satellite, 801 802 e) SSMIS on board the DMSP F16, F17, F18 and F19 satellites, and f) MHS on board the NOAA-18, NOAA-19, and EUMETSAT MetOp-A and Metop-B satellites. 803 804 Figure 4: GPM mission operations data and communication system. GPM-CO satellite data are 805 downlinked in near-real-time via the NASA Tracking and Data Relay Satellite System (TDRSS) 806 to White Sands, New Mexico, where the GPM Mission Operations Center retrieves it, ensures its 807 integrity and passes it to PPS. Partner data, ancillary information and validation measurements 808 are also processed by mission operations. 809 810 Figure 5: Zonal precipitation averages (in mm day<sup>-1</sup>) for the full annual cycle in 2015. The five 811

estimates are: GPM DPR (dual-frequency radar in red), GPM GPROF (GMI passive radiometer
in blue), GPM Ku (single-frequency radar in green), GPM CORRA (DPR+GMI in orange),
IMERG (GPM merged with constellation estimates in purple), GPCP global estimates (in light
blue), and MCTA2 estimates over ocean (in black, covering the years 2007-2010). The GPCP is
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817 Version 04.

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Figure 6: Density scatterplot of DPR-Normal Scan V04 versus reference MRMS precipitation

(mm  $h^{-1}$ ) at the footprint scale over the period June 2014 - August 2015. The 1:1 line (solid line)

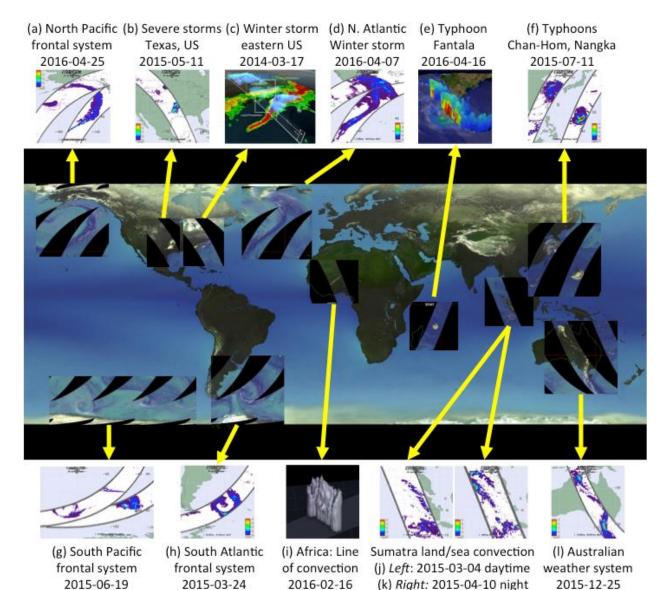
is displayed as well as the detection limit for the DPR (0.22 mm  $h^{-1}$ ). The data shown focuses on

the conditional case of satellite footprint and reference mean precipitation rates both nonzero (>  $0.01 \text{ mm h}^{-1}$ ), and a precipitation type of liquid only.

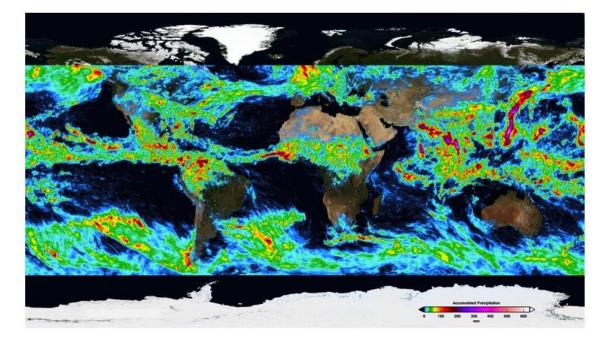
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Figure 7: Conditional DPR V04 bias (MRE; solid black line) and random error (mean absolute 825 error; dashed black line) versus the MRMS reference precipitation rate (mm  $h^{-1}$ ) at 50 km 826 resolution over the period June 2014 - August 2015 and normalized by the bin mean rain rate. 827 Points falling outside of the 5%-95% inter-quantile range (outliers) were not included in this 828 comparison. The dashed red lines indicate the GPM Mission Science Requirements 50% (25%) 829 at the specified precipitation rates of  $1.0 (10.0) \text{ mm h}^{-1}$ . 830 831 Figure 8: The (a) average and (b) maximum liquid equivalent snowfall rates, and (c) fraction of 832 precipitation that was identified as falling snow (and not liquid rain) from December 2014 -833 February 2015 from the GMI GPROF (Version 04) retrieval algorithm. 834 835 Figure 9: GPM depicts characteristics of India's monsoon seasons in 2014 and 2015. The time-836 latitude figure (main panel) summarizes the IMERG precipitation estimates over India from 837 April 2014 through May 2016. The heavy, black, dashed line shows the climatological advance 838 and retreat of India's monsoon. The dates of the climatological advance and retreat are shown 839 also on the two maps on the upper left. The area over which IMERG was averaged is indicated 840 by the blue-gray rectangle stretching across India and the Bay of Bengal; the latitude on the main 841 panel is along the mid-line of the rectangle, and the averages are taken along the perpendiculars 842

to the mid-line.



845 Figure 1: GPM-CO GMI composite brightness temperatures and example precipitation event 846 cases. Center panel: composite 89 GHz brightness temperatures averaged over 24 months 847 showing the latitudinal extent of the GPM-CO measurements. Example precipitation cases (a) A 848 North Pacific frontal system from GMI, (b) Severe storms in Texas from GMI, (c) winter storm 849 over the Eastern U.S. as observed in 3D from the DPR, (d) North Atlantic winter storm from 850 851 GMI, (e) Typhoon Fantala as observed in 3D from the DPR, (f) Typhoons Chan-Hom and Nangka in two successive orbits from GMI, (g) a South Pacific frontal system from GMI, (h) a 852 South Atlantic frontal system from GMI, (i) a line of convection in Africa in 3D from the DPR, 853 (i-k) Sumatra land/sea convection day and night from GMI, and (l) an Australian weather system 854 from GMI. 855



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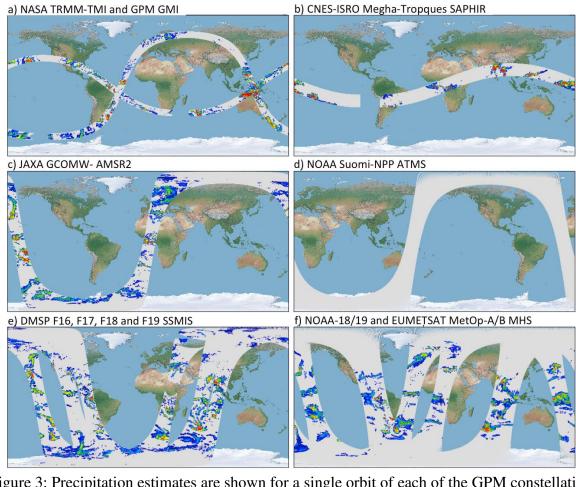


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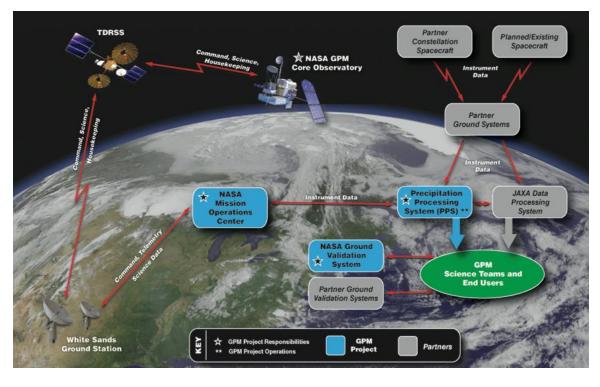


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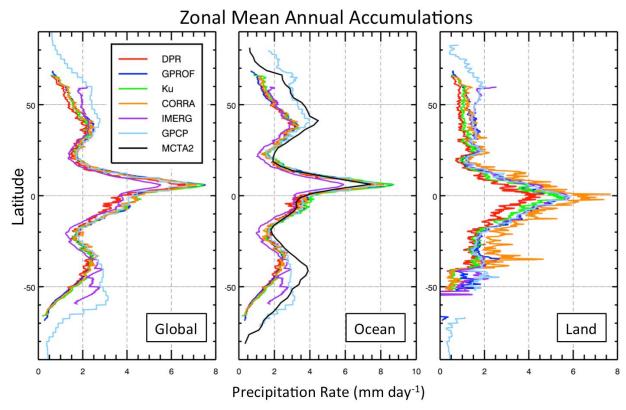


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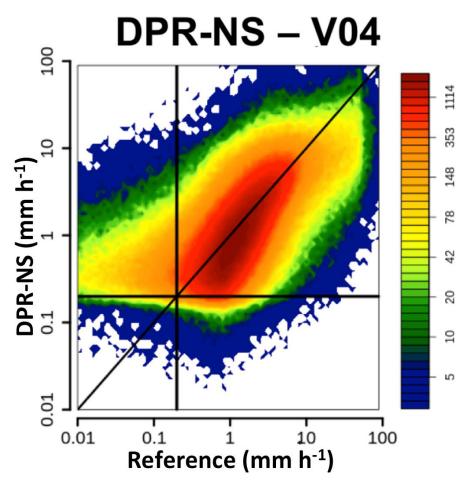


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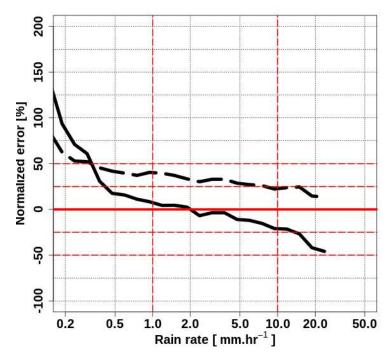




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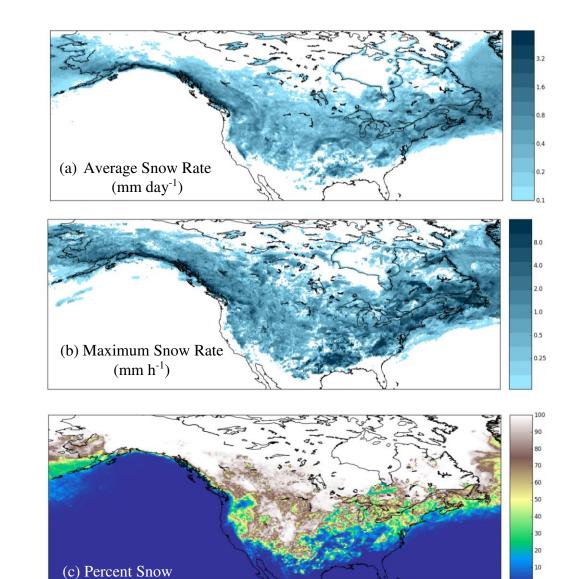




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