

The human antibody response to influenza A virus infection and vaccination

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Abstract | The adaptive immune response to influenza virus infection is multifaceted and complex, involving antibody and cellular responses at both systemic and mucosal levels. Immune responses to natural infection with influenza virus in humans are relatively broad and long-lived, but influenza viruses can escape from these responses over time owing to their high mutation rates and antigenic flexibility. Vaccines are the best available countermeasure against infection, but vaccine effectiveness is low compared with other viral vaccines, and the induced immune response is narrow and short-lived. Furthermore, inactivated influenza virus vaccines focus on the induction of systemic IgG responses but do not effectively induce mucosal IgA responses. Here, I review the differences between natural infection and vaccination in terms of the antibody responses they induce and how these responses protect against future infection. A better understanding of how natural infection induces broad and long-lived immune responses will be key to developing next-generation influenza virus vaccines.

Zoonotic infections

Infections caused by an agent with an animal reservoir that can be transmitted from an animal to a human — for example, H5N1 or H7N9 influenza A viruses.

Antigenic shift

Describes marked changes in the antigenicity of influenza viruses that are caused by the exchange of genomic segments encoding surface glycoproteins, usually involving a change from one virus subtype to another.

Pandemics

Infections caused by a new influenza virus subtype that spreads throughout the human population worldwide.

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Influenza viruses cause mild to severe respiratory infections in humans and are a major public health problem. According to the World Health Organization, seasonal influenza viruses — including the H1N1 and H3N2 influenza A viruses, as well as influenza B viruses — cause approximately 3–5 million severe cases and 290,000–650,000 deaths each year worldwide^{1,2}. In addition, avian influenza viruses, such as H5N1, H7N9 and others, can cause significant numbers of zoonotic infections^{3,4}. At irregular intervals, viruses from the animal reservoir cross the species barrier — usually through a re-assortment step between an avian influenza virus and a human influenza virus, in a process that results in antigenic shift — and cause pandemics^{5–7}. The morbidity and mortality associated with these pandemics can exceed that of seasonal influenza virus epidemics, and such pandemics can cause millions of deaths. The prime example is the H1N1 influenza virus pandemic of 1918, which resulted, according to conservative estimates, in 40 million deaths⁸. The 1918 H1N1 virus pandemic was followed by an H2N2 virus pandemic in 1957, an H3N2 virus pandemic in 1968 and another H1N1 virus pandemic in 2009 (REF.⁷).

Pandemics are typically caused by viruses that feature surface glycoproteins — haemagglutinin (HA) and neuraminidase (NA) — to which the human immune system is relatively naive. This was the case in 1918, when the majority of the population seemed to be naive for both the H1 HA and the N1 NA, and in 1957, when there

was little population immunity to both H2 and N2 (REF.⁵). In 1968, only the H3 HA was newly introduced to humans, whereas the N2 of the H3N2 pandemic virus was derived from the previously circulating H2N2 virus^{5,7}. In 2009, a seasonal H1N1 virus was circulating in humans, but the incoming pandemic H1N1 virus had antigenically distinct H1 and N1 surface glycoproteins⁶. Owing to the lack of population immunity, these emerging pandemic viruses initially spread quickly through the human population. However, within a few years they had become seasonal viruses that then typically cause epidemics with lower case fatality rates than pandemics⁹. Widespread infection during the pandemic phase induces significant population immunity, mostly in the form of antibody responses against HA and NA.

Antibody responses to the influenza virus surface glycoproteins, specifically to HA, have long been known to be protective against influenza virus infection¹⁰, and specific antibodies against HA have been identified as a correlate of protection¹¹. Even in the first report describing the isolation of influenza virus in 1933, serum antibody was shown to be protective¹⁰. The lack of antibody-based population immunity is the main factor that enables emerging pandemic viruses to spread quickly throughout the whole population. The evolutionary pressure exerted by antibody responses (together with other factors and random events), mostly from natural infection, forces the virus to change its surface antigens, usually by introducing point mutations, in a process known

Influenza virus epidemics

Seasonal outbreaks of influenza virus infection that typically occur during winter months.

Population immunity

Also known as community immunity or herd immunity. Describes a situation in which a large proportion of the population is immune to a virus, thus limiting or completely inhibiting its spread, even to naive individuals.

Correlate of protection

A measurable parameter that correlates with protection of an individual from infection and/or disease.

Antigenic drift

Describes small changes in the antigenicity of influenza viruses that are usually caused by mutations in their surface glycoproteins, mostly in haemagglutinin (HA), leading to immune escape.

Vaccine effectiveness

The ability of a vaccine to reduce disease and/or infection in the field, under non-optimal conditions.

Immunodominance

Describes a phenomenon in which an antigen or epitope is preferentially targeted by the immune system compared with other antigens or epitopes.

Haemagglutination inhibition assay

An assay that measures the ability of serum to block haemagglutination, which is the aggregation (agglutination) of red blood cells caused by influenza virus. The resulting haemagglutination inhibition titre is a correlate of protection for influenza virus that is accepted by many regulatory agencies.

Seroconversion

A measurable increase (typically fourfold) in the titre of specific antibodies that is induced by vaccination or infection.

Enzyme-linked immunosorbent assay

(ELISA). An assay that is used to detect the binding of antibody to antigens.

as antigenic drift. Importantly, the influenza virus HA, specifically the globular head region, shows considerable plasticity and is very tolerant to these changes^{12–14}. Antigenic drift is the key reason why influenza virus vaccines have to be updated on an annual basis¹⁵. If the virus strains used in the vaccine are not antigenically well matched to the circulating virus strains, the vaccine effectiveness decreases sharply^{16,17}. Obviously, this is also true for novel, antigen-shifted pandemic viruses, for which antigenically matched vaccines need to be produced. Therefore, it is hard to overstate the importance of the antibody response to influenza virus. Of note, innate immune responses and T cell responses significantly contribute to protection against influenza viruses and are essential for the induction of robust antibody responses. However, owing to space limitations, this Review focuses only on the antibody response.

Antibody responses to influenza viruses have been studied for a long time. Specifically, in the past few years, with the advent of technologies that enable the analysis of antibodies produced by single human B cells¹⁸, we have made quantum leaps in understanding the antibody response to influenza virus. Nevertheless, there are many gaps in our knowledge. We understand that antibody responses induced by natural infection are usually broader and longer-lived than antibody responses induced by vaccination, but the mechanisms behind this are unclear. Uncovering these mechanisms would help to improve current vaccines. We have also recently discovered broadly neutralizing human antibodies that target influenza viruses¹⁹. Understanding how these antibodies are induced could be the crucial step towards the holy grail of a universal influenza virus vaccine. Here, I review the antibody response to natural influenza virus infection, the functionality of the different types of antibody, antibody responses to current influenza virus vaccines, antibody responses to avian influenza virus immunogens (which represent an extraordinary challenge for the immune system) and next-generation broadly protective or universal influenza virus vaccines. This discussion touches upon important concepts in influenza virus immunology, including original antigenic sin (OAS)-like phenomena, immunodominance, the structure and function of different antibody isotypes and B cell dynamics, although these topics are not covered in detail owing to space constraints. The majority of this Review focuses on responses to influenza A viruses, but studies of influenza B viruses (recently reviewed elsewhere^{20,21}) are included where appropriate.

Responses to natural infection

The genome of influenza A and B viruses consists of eight genomic segments, which encode 11 or more proteins. All of these proteins could potentially be targeted by the antibody response, but not all are targeted in the same way and the consequences of the immune response depend strongly on the target. To better understand this, it is necessary to familiarize ourselves with the life cycle of the virus (FIG. 1). From this, it becomes clear which viral proteins are easily accessible to antibodies and B cell receptors. Both HA and NA are accessible on virions and infected cells (with a larger number of HA trimers

than of NA tetramers being present). Parts of the influenza A virus M2 ion channel are also accessible, mostly on the surface of infected cells. In addition, patches of nucleoprotein (NP) on the surface of infected cells have been reported²². Finally, it is likely that some internal viral proteins — matrix protein (M1), NP, the polymerases (PB1, PB2 and PA), non-structural protein 1 (NS1) and the nuclear export protein (NEP) — become accessible in cells that die after influenza virus infection.

Thus, it is evident from studying the life cycle of the influenza virus that not all influenza virus antigens are expressed to similar levels and/or are similarly accessible to B cell receptors. These differences significantly influence the immune response to the virus. Owing to the importance of HA-specific antibodies for immune protection, polyclonal responses to this protein were studied early on using various methods, including the haemagglutination inhibition assay and different forms of neutralization assay. Typically, it is assumed that the majority of antibodies induced by natural infection will target HA, with lower-level responses to NA and internal proteins being induced also. In fact, natural infection has been shown to induce seroconversion in a large majority of infected individuals, as assessed by the haemagglutination inhibition assay and other assays such as the enzyme-linked immunosorbent assay (ELISA) and the microneutralization assay^{23–26}. Typically, the antibody response to influenza virus as measured by haemagglutination inhibition is relatively robust, although a very small number of individuals may not seroconvert as measured by this assay²⁶.

Finally, it is important to keep in mind that immune responses to influenza virus HA and NA in humans are very complex as a result of prior exposure to historic virus strains, either by infection or vaccination²⁷, which can result in imprinting. Most children under the age of 2 years are likely to have already had an immune response against influenza virus²⁸. Therefore, we must distinguish between a *de novo* immune response to influenza virus in a naive subject and a (at least partial) recall immune response, which is significantly influenced by prior exposure and the phenomena of imprinting and/or OAS (BOX 1).

Antibodies to haemagglutinin. The breadth of the response to HA that is induced by natural influenza virus infection depends on the exposure history of the infected individual. Children typically mount narrower responses than adults, who might — depending on their exposure histories — induce a broader response that includes the induction of antibodies to a historic virus strain to which they have been previously exposed as well as the infecting virus strain (these OAS-type effects are discussed in BOX 1). This breadth of the antibody response can be observed in terms of haemagglutination inhibition and microneutralization titres, but it is more evident when antibody binding to HA is assessed^{24,29}. As an example, it has been shown that HA-binding antibody responses after pandemic H1N1 virus infection are very broad, often extending to other group 1 HA proteins, whereas H3N2 virus infection in humans induced a narrower response²⁴. Importantly, HA is composed of two structurally and functionally distinct domains: the variable,

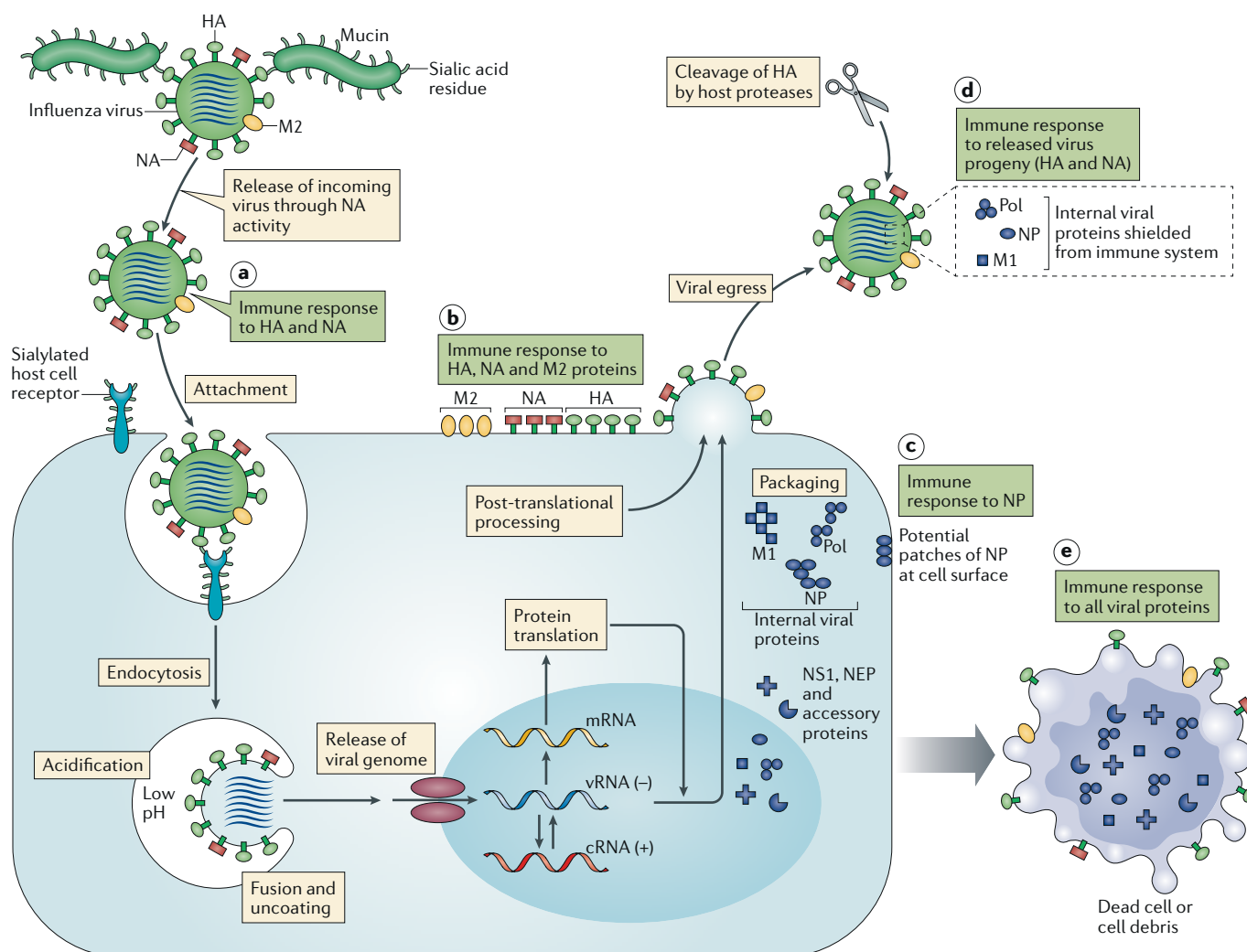


Fig. 1 | The life cycle of influenza virus and its antibody targets. Influenza viruses enter the body via the mucosal surfaces, where they are bound by terminal sialic acid residues on mucins¹⁹⁹ through haemagglutinin (HA) on the virion. This is thought to be a natural defence mechanism against host cell binding²⁰⁰. Neuraminidase (NA) releases the trapped virus by cleaving off the terminal sialic acid residues^{89,201}. This helps the virus to penetrate the mucosal fluid and to reach its target cells, where it attaches to sialylated host cell receptors and is endocytosed. During these steps, influenza viruses are visible to the immune system and could potentially induce an antibody response, probably to surface-exposed HA and NA (part **a**). The target cell endosome is then acidified, triggering HA-mediated fusion of endosomal and viral membranes. The viral genome is released and enters the nucleus, where viral RNA (vRNA), copy RNA (cRNA) and mRNA are generated, leading to protein expression. HA, NA and the influenza A virus M2 ion channel travel to the cell surface via the endoplasmic reticulum and the Golgi apparatus. Internal viral proteins, including matrix protein (M1), nucleoprotein (NP), the polymerases (PB1, PB2 and PA) and nuclear export protein (NEP), are packaged into the budding virus at the cell membrane, with HA and NA on the surface. Non-structural protein 1 (NS1), PB1-F2 and PA-X are typically not packaged into virions. At the cell surface, HA, NA and M2 proteins can be detected by B cells, and an immune response against these proteins can be induced (part **b**). Viral NP, although typically only found inside cells, has also been detected on patches on the cell surface and could be recognized by B cells there also²² (part **c**). The nascent virus particles stick to the cell membrane of the host cell as a result of the interaction between HA and sialic acids. This is counteracted by the activity of NA, which releases the virus by cleaving off the terminal sialic acid residues (part **d**). During this step, HA and NA are accessible, but only a very low copy number of M2 is found on virions, and the virus membrane shields the internal proteins from recognition by B cells. Finally, to yield infectious virus, the HA has to be cleaved into HA1 and HA2 subunits by host proteases that are present in the respiratory tract (this process is slightly different for highly pathogenic avian influenza viruses). Dying cells and cell debris might present all expressed influenza virus proteins in an accessible form to B cells (part **e**). Pol, polymerase.

Microneutralization assay
An assay that measures the ability of serum or antibodies to neutralize influenza virus.

immunodominant globular head domain (formed by the central part of HA1) and the conserved, immunosubdominant stalk domain (formed by the carboxyl and amino termini of HA1 plus the ectodomain of HA2)³⁰ (BOX 2). Antibodies that bind to the head domain of HA

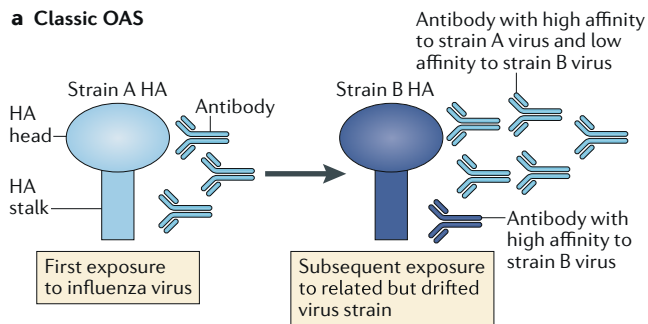
typically have potent neutralizing activity and often have haemagglutination inhibition activity. They target relatively distinct antigenic sites, including Sa, Sb, Ca1, Ca2 and Cb for H1 (REF.³¹); A, B, C, D and E for H3 (REFS^{32,33}); and the 120, 150 and 160 loops and the 190 helix

Box 1 | Models of original antigenic sin

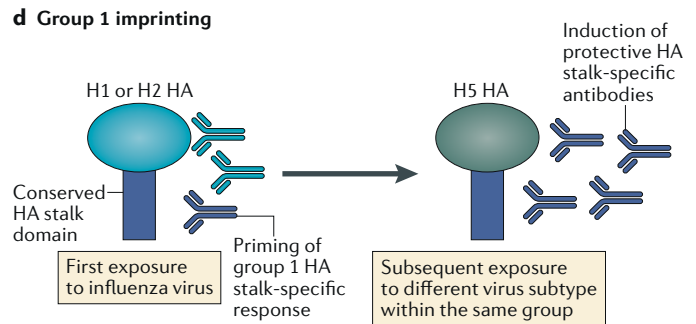
Original antigenic sin (OAS)¹⁶⁰ describes a phenomenon in which the first exposure to influenza virus during childhood leaves an immunological 'imprint', whereby subsequent exposures to antigenically different influenza virus strains boost responses to those epitopes that are shared between the two virus strains. This may result in a low-affinity response to the new viral antigens, whereas a strong recall response is mounted to antigens of the previous virus strain (see the figure, part a). Alternatively, the recalled antibodies may mature and increase affinity towards the new virus strain, or the response may be equally strong against both virus strains. Back-boosting (part b) describes the increases in antibody titres to historic strains that can occur after influenza virus vaccination¹¹⁴. In general, antibody titres against virus strains encountered earlier in life (which are referred to as being antigenically senior) increase over time, probably as a result of imprinting followed by many subsequent exposures over time. In this phenomenon, the response to the new virus strain is not necessarily impaired^{49,161}. A special case of OAS is head-epitope-specific imprinting (part c), whereby two antigenically different influenza virus strains share a specific, common epitope in the haemagglutinin (HA) head domain, such as the H1 K133 epitope¹⁶².

This epitope is primed for by the first exposure to influenza virus and leads to a strong recall response after the second exposure, thereby affecting immunodominance. It has also been hypothesized that imprinting with a group 1 virus such as H1N1 virus or H2N2 virus can reduce the risk of severe morbidity and mortality during infection with H5N1 virus later in life (part d), probably owing to a recall of group 1 HA stalk-specific antibodies from the memory B cell compartment. Group 2 imprinting with H3N2 virus may have the same effect on the risk of severe infection with H7N9 virus¹⁶³ (part e). This is known as group-specific imprinting. If better understood, imprinting could be used as a tool to actively induce broadly protective antibody responses. Also related to OAS, it has been shown that revaccination with influenza virus vaccines in consecutive years can lead to reduced vaccine effectiveness in the second year¹⁶⁴ (part f). Importantly, the vaccine effectiveness in this case is still significantly better than in individuals who are not vaccinated at all. This phenomenon might be caused by pre-existing circulating antibodies that mask virus antigens and hide them from B cell receptors, thereby inhibiting B cell activation¹⁶⁵. The effect of these phenomena on vaccination is currently under investigation^{27,166,167}.

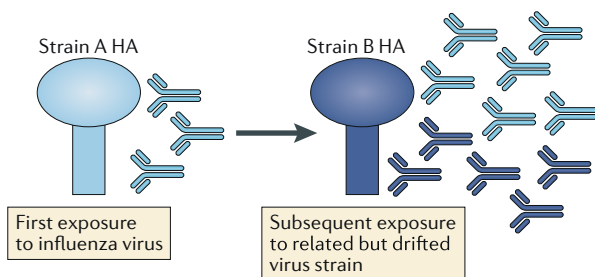
a Classic OAS



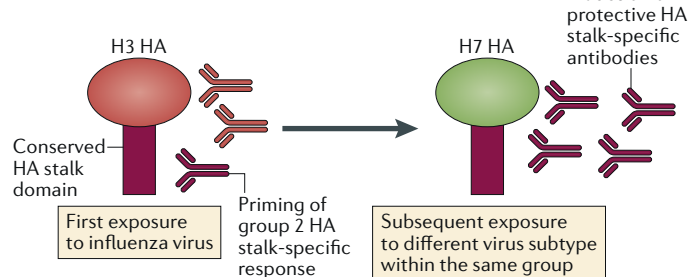
d Group 1 imprinting



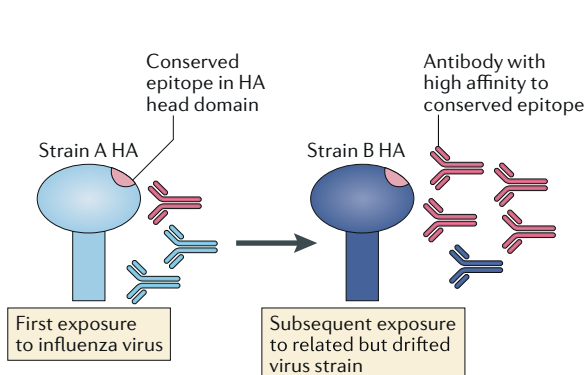
b Back-boosting



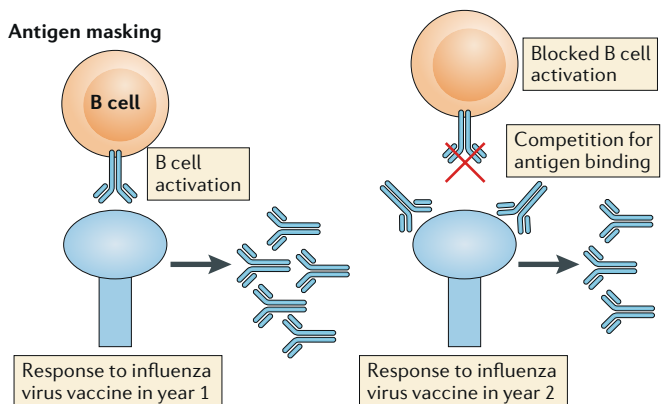
e Group 2 imprinting



c Head-epitope-specific imprinting



f Antigen masking



Imprinting

Describes a phenomenon in which the first exposure to influenza virus during childhood leaves an immunological ‘imprint’, whereby subsequent exposures to antigenically different influenza virus strains boost responses to those epitopes that are shared between the two virus strains.

Group 1 HA proteins

A phylogenetic cluster of influenza A virus haemagglutinin (HA) subtypes that includes H1, H2, H5, H6, H8, H9, H11, H12, H13, H16, H17 and H18.

Glycan shielding

Shielding of epitopes from B cell receptors or antibodies by N-linked glycans.

Group 2 HA proteins

A phylogenetic cluster of influenza A virus haemagglutinin (HA) subtypes that includes H3, H4, H7, H10, H14 and H15.

Neuraminidase inhibition assays

Assays that measure the ability of antiserum to block the sialidase activity of neuraminidase (NA).

for the HA of influenza B viruses³⁴. However, as a result of antigenic drift, which may include significant rearrangement of N-linked glycans in the HA head domain³⁵ and thus affect glycan shielding, many of these antibodies are strain-specific. Antibodies towards the stalk domain of HA are widely prevalent, although at very low levels, and they can be induced by virus infection to a certain extent^{28,36,37}. HA stalk-specific antibodies, which have been isolated from humans, can bind to a broad range of virus isolates and subtypes. Typically, these antibodies bind within group 1 HA proteins, within group 2 HA proteins or within influenza B virus HA proteins^{38,39}. In addition, rare HA stalk-specific monoclonal antibodies that bind across virus groups, and even across both influenza A and B viruses, have been isolated^{40,41}. Importantly, these antibodies have neutralizing activity and protect animals from lethal virus challenge in passive transfer experiments. This class of antibodies probably forms the majority of the cross-reactive antibodies that are induced by natural infection in some cases²⁴. On a monoclonal level, analysis of the plasmablast response after infection (BOX 3) showed that approximately 25–52% of plasmablasts bind to HA after infection with H1N1 or H3N2 viruses⁴². A proportion of the induced antibodies are cross-reactive with more than one virus strain, which confirms the serological analysis. The first infection with pandemic H1N1 virus in 2009–2010, which is a special case in that it has a highly conserved HA stalk domain that shares epitopes with seasonal H1 protein (see Responses to pandemic and zoonotic viruses), also induced an antibody response to the HA stalk, with monoclonal antibodies binding to several group 1 HA proteins and therefore exhibiting exceptional breadth⁴³.

Antibody responses induced by natural infection can be very long-lived. For example, individuals infected

with H1N1 virus in the first half of the 20th century still had positive serum haemagglutination inhibition titres against the 1918 H1N1 virus in 2008 (REF.⁴⁴). In addition, older individuals were protected against the pandemic H1N1 virus strain in 2009 although they had not been exposed to an antigenically related virus for more than 50 years, whereas younger individuals were not as well protected^{45,46}. In this case, the argument can be made that continuous exposure to antigenically non-related H1N1 viruses kept serum antibody levels high. However, individuals exposed to H1N1 virus before 1957 and who had not been exposed to H1N1 virus between 1957 and 1977 (because no H1N1 virus was circulating) had protective immunity against antigenically similar viruses that re-appeared in 1977 (REF.⁴⁷). In addition, it has been shown that individuals exposed in the past to H2N2 virus — which disappeared from the population in 1968 — still have high haemagglutination inhibition titres and H2-specific binding titres against H2N2 virus^{24,48}. From these results, it can be concluded that antibody-based immunity to HA induced by natural infection is long-lived (more than 50 years) and might perhaps be lifelong. This is true not only for antibodies that target the globular head domain of HA but likely also for antibodies that target the conserved, immunosubdominant stalk domain (although they are present at lower titres)^{28,37,49,50}.

Antibodies to neuraminidase. Antibodies towards the second surface glycoprotein, NA⁵¹, are also induced by natural infection, although typically at lower levels than antibodies to HA. They are usually measured using neuraminidase inhibition assays or ELISA⁵¹. NA has been described to be immunosubdominant when presented to the immune system together with HA⁵² (BOX 2). In addition, this phenomenon might be enhanced by the higher copy number of HA on infected cells and virions⁵³. Individuals of all age groups have detectable antibody titres against NA, with older individuals typically having higher titres⁵⁴, which is similar to findings for HA. At a monoclonal level, the anti-NA response after H1N1 or H3N2 virus infection constitutes approximately 14–35% of the induced plasmablasts⁴² (BOX 3), which is smaller than the plasmablast response to HA. Importantly, a large proportion of the NA-specific monoclonal antibodies isolated after natural infection bound broadly to current and historic virus strains, inhibited NA activity and provided protection in an antibody transfer challenge study in mice⁴². Of note, the titres of antibodies against N1 (which is a member of the group 1 NA proteins) seem to be lower than the titres of antibodies against N2 (a member of the group 2 NA proteins) or against influenza B virus NA in humans^{24,54}. This might be caused by the lower immunogenicity of N1, but it could also be an artefact of the reagents that are used to measure these antibody titres. Importantly, it is known that antibodies against NA can be broadly reactive, at least within the virus subtype. Similarly to HA-specific antibodies, NA-specific antibodies induced by natural infection seem to be present for many decades⁵⁴. It is unclear whether this is the result of OAS-like back-boosting when new strains are encountered (BOX 1) or is due to persistent levels of plasma cells that secrete antibody

Box 2 | Immunodominance

Immunodominance describes the preference of the immune system for a certain target epitope over other targets. This concept is highly applicable to antibody responses against influenza virus antigens. The oldest example is the immunodominance of haemagglutinin (HA) over neuraminidase (NA) after influenza virus vaccination in mice, leading to low-level immune responses against NA (whereas NA given as antigen on its own is highly immunogenic)⁵². Another example is the immunodominance of the globular head domain of HA over the stalk domain¹¹³. Typically, antibodies against the head domain are induced at much higher levels than those against the stalk. Several mechanisms have been proposed to account for this, including restricted accessibility of the membrane-proximal HA stalk domain to membrane-bound B cell receptors (whereas soluble antibodies reach the stalk easily), potentially poly-reactive traits of stalk-specific antibodies and restriction towards certain antibody germ lines (such as VH1-69)¹¹³. However, it is still unclear what drives this phenomenon. Antibody responses towards the different antigenic sites on the globular head domain of HA also seem to be hierarchically structured. Recent studies in mice and other animal models with H1N1 virus have shown that the short-term response to HA depends more or less on the mouse strain and vaccination route, whereas the long-term response is dominated by antibodies against HA sites Sa and Sb¹⁶⁸. These results have been partially corroborated in mice, ferrets, guinea pigs and humans, although humans seem to make relatively variable responses to H1N1 virus in terms of antigenic site dominance¹⁶⁹. A study investigating the immunodominance of antigenic sites on H3 HA found similar results, with immune responses being focused towards antigenic site B in mice and humans¹⁷⁰. Immunodominance has now also been studied in the context of influenza B virus¹⁷¹. Interestingly, the immunodominance hierarchy of HA head over HA stalk, and of HA over NA, is preserved even in lamprey, which have a non-immunoglobulin-based humoral immune system that is more similar to Toll-like receptors than to antibodies¹⁷².

Box 3 | Plasmablasts, plasma cells, memory B cells and serum antibody levels

It is important to differentiate the B cell and antibody responses to influenza viruses in terms of the compartments and subsets involved, because binding breadth, the protective effect, the mechanism and the response kinetics differ depending on the subset. Once an influenza virus infection is initiated, naive B cells or pre-existing memory B cells are activated by recognizing the viral antigens and interacting with cognate CD4⁺ T cells. A proportion of the activated B cells rapidly differentiate into short-lived plasmablasts, which produce the first wave of virus-specific antibodies (first IgM, then IgG or IgA), whereas other activated B cells migrate to the B cell follicles of secondary lymphoid tissue and undergo a germinal centre reaction in which affinity maturation occurs. In humans, the first wave of serum antibody is produced by plasmablasts, the numbers of which peak in the periphery at approximately day 7 after infection if they originate from memory B cells¹⁸. The role of plasmablasts is to quickly increase serum antibody titres to protective levels. However, only a small fraction of the activated B cells will become long-lived plasma cells. Long-lived plasma cells migrate and take up residence in the bone marrow, where they produce antibody. Antibody secreted by these cells forms and maintains the long-term serum antibody level that correlates with protection from infection and disease. Another fraction of the initially activated B cells become memory B cells^{173,174}. Memory B cells are also long-lived, but they do not secrete antibody and remain in the periphery for immune surveillance. Once they encounter antigen, they are rapidly re-activated and differentiate into plasmablasts that produce high-affinity antibodies (again, peaking ~7 days after infection) and more memory B cells¹⁸. Importantly, it has been observed that the memory B cell compartment (and the plasmablasts derived from it) contains a broader repertoire, including a higher prevalence of broadly protective antibodies, than the steady-state serum antibody response, which seems to be more oligoclonal¹⁷⁵. This broader repertoire gives memory B cells the unique ability to quickly target drifted or shifted strains by recognizing conserved epitopes. Although such responses might protect from severe disease or death, they are usually not fast enough to prevent individuals from getting sick. Importantly, increasing the steady-state serum level of broadly protective or cross-reactive antibodies produced by bone-marrow-resident long-lived plasma cells such as those targeting the stalk domain of haemagglutinin (HA) is the ultimate goal of universal influenza virus vaccine development.

without re-stimulation (as in the case of H2-specific antibodies and some H1-specific antibodies).

Antibodies to nucleoprotein. During natural infection, all influenza virus proteins are expressed in infected cells and can potentially induce an antibody response, although some of these proteins are more accessible than others. Antibodies to NP have been reported after natural virus infection and in the serum of healthy individuals. In early work, several groups reported that NP-specific antibody levels after natural infection with H1N1 or H3N2 virus strains increased significantly in 72–88% of individuals^{55–57}. The anti-NP response was relatively durable in some individuals, lasting for up to 1 year⁵⁷. Another study found that between 77% and 83% of healthy influenza vaccine recipients had NP-reactive antibodies at baseline, presumably owing to natural infection⁵⁸. Interestingly, a recent analysis of memory B cells (BOX 3) specific for the influenza A virus NP from four healthy volunteers revealed a high diversity of NP-specific antibody lineages with extensive clonal diversification, which suggests that these B cells have undergone repeated stimulation through exposure to influenza A virus NP⁵⁹.

Antibodies to M1, M2 and other viral proteins. M1 is also immunogenic, and it has been shown that natural infection with H3N2 virus induces M1-reactive antibodies in approximately 35% of individuals⁵⁵. However, another study found M1-specific antibody in only 1% of study

participants before experimental infection with different H1N1 and H3N2 wild-type and re-assortant viruses, and only 6% of study participants had an increase in M1-specific antibody titre after infection⁶⁰. Interestingly, it was suggested that the anti-M1 response is greater after influenza B virus infection than after influenza A virus infection⁶¹. Recent studies that looked at the effector functions of M1-specific and NP-specific antibodies in human sera after natural virus exposure, as well as in the therapeutic product intravenous immunoglobulin, found that these antibodies are relatively prevalent^{62,63}. For M2 — which presents its ectodomain (M2e) on the surface of infected cells and virions — seroconversion rates after infection have been determined to be between 16% and 45% of individuals, involving relatively low antibody titres and short-lived responses^{64,65}. A study examining the anti-M2 response after pandemic H1N1 virus infection detected an increase in antibody titre in 50% of individuals and found a general increase in antibody titres with age and a better induction of M2-specific antibodies in individuals who had pre-existing titres of these antibodies⁶⁶. In addition to NP, M1 and M2 proteins, there is some evidence from monoclonal antibody isolation and from antigenic fingerprinting that natural infection also induces antibodies against PB2, PB1, PA, NS1, NEP and PB1-F2 proteins, although the magnitude and quality of these responses is not well defined^{67–70}. Given that most of the influenza A virus internal proteins are highly conserved, it is likely that these antibodies can bind broadly within influenza A virus subtypes.

Mucosal versus systemic responses. The mucosal surfaces of the respiratory tract are the entry port for respiratory pathogens, including influenza viruses. These surfaces feature many natural defence proteins, such as mucins, that have antiviral functions and can interact with antibodies. The types of antibody and antibody titres found at mucosal surfaces are somewhat distinct from those that are found in the serum. Importantly, there are also distinctions between the upper and lower respiratory tracts. The lower respiratory tract is mainly protected by IgG (with an IgG:IgA ratio of 2.5:1), which is probably actively transported there by the neonatal Fc receptor (FcR)^{71,72}. It can be assumed that antibody levels in the lower respiratory tract mirror, to some degree, antibody levels in the serum. The antibody response in the upper respiratory tract is dominated by IgA (with an IgG:IgA ratio of 1:3)⁷¹, specifically by dimeric IgA1, which has a secretory component⁷³ (BOX 4). These IgA molecules are produced by plasma cells in the mucosa-associated lymphoid tissue, primarily in the lamina propria, and are then actively transported to mucosal surfaces through interactions with the polymeric immunoglobulin receptor. Whereas the systemic immune response to influenza virus infection is relatively well studied, few data are available for mucosal immune responses to natural infection (although more data are available regarding mucosal immune responses to vaccination (see below)). However, it is assumed that the mucosal IgA response targets approximately the same antigens as the IgG response but might produce more broadly reactive antibodies, probably owing to

Group 1 NA proteins
A phylogenetic cluster of influenza A virus neuraminidase (NA) subtypes that includes N1, N4, N5 and N8.

Group 2 NA proteins
A phylogenetic cluster of influenza A virus neuraminidase (NA) subtypes that includes N2, N3, N6, N7 and N9.

Fc receptor
(FcR). A receptor expressed on immune cells to which antibodies can bind via their crystallizable fragment (Fc) region. These receptors are important for antibody-dependent cell-mediated cytotoxicity and antibody-dependent cellular phagocytosis.

Vaccine efficacy

The ability of a vaccine to reduce disease and/or infection in an ideal setting.

the enhanced avidity that results from their multimeric (mostly dimeric) nature⁷⁴.

Antibody-based mechanisms of protection

Antibodies to different influenza virus proteins exhibit different antiviral mechanisms, and not all antibodies contribute equally to protection (FIG. 2).

Antibodies to haemagglutinin. Antibodies to the head domain of HA, which includes the receptor-binding domain, typically block the interaction between the virus and its host cell receptor, sialic acid. This blocking may occur through steric hindrance of the HA–receptor interaction or by direct binding of the antibody to the receptor-binding pocket of HA (which is typically achieved by antibodies having a long CDR3 region that mimics sialic acid to a certain degree⁷⁵). The action of these types of antibody neutralizes the virus before infection is initiated, and it can typically be measured by *in vitro* neutralization assays or — as a surrogate for neutralization — by haemagglutination inhibition assays that directly measure the inhibition of HA binding to sialic acids on red blood cells. Importantly, not all neutralizing antibodies that bind to the HA head are necessarily active in the haemagglutination inhibition assay^{76,77}. Nevertheless, haemagglutination inhibition titres have been established as a correlate of protection and are widely accepted as a readout for vaccine efficacy studies by regulatory agencies^{11,78,79}. It is assumed that a 1:40 titre of antibodies with haemagglutination inhibition activity will reduce the risk of getting an infection with seasonal H1N1 virus, H3N2 virus or influenza B viruses by 50%. However, this has only been tested with a limited number of virus strains and

might not apply to infections with pandemic or zoonotic influenza viruses or to all age groups.

Antibodies to the stalk domain of HA also neutralize incoming viruses, but through a different mechanism and at a different stage of the virus life cycle. Of note, HA stalk-targeting antibodies bind to a broad range of viruses within and across subtypes owing to the conservation of their target antigens³⁹. This is a unique trait and sets these antibodies apart from HA head-specific antibodies, which typically have a narrow binding range. Antibodies to the stalk domain bind to HA on virions but do not block attachment of the virions to host cells or their endocytosis³⁰. However, some of these antibodies lock the HA molecule into the pre-fusion conformation and therefore inhibit fusion of viral and host endosomal membranes and subsequent release of the viral genome⁸⁰. This neutralization mechanism has also been reported for some HA head-specific antibodies that are not active in haemagglutination inhibition assays⁸¹. In addition, HA stalk-specific antibodies, as well as some HA head-specific antibodies, have been implicated in inhibiting viral egress^{40,82}. The mechanism of this activity is unclear and could be caused by direct interaction of the antibody with HA or by steric hindrance of NA (as NA activity is crucial for the release of nascent virions)⁵³. Finally, HA stalk-specific antibodies that bind to HA0 block access of proteases to the HA1–HA2 cleavage site, resulting in virus particles with immature HA0 on their surface, which makes them non-infectious⁸³. Importantly, HA stalk-specific antibody titres measured by ELISA have recently been shown to be an independent correlate of protection against natural influenza virus infection (F.K., unpublished observations). Activity in microneutralization assays — which can be attributed to the activities of HA head-specific antibodies but also HA stalk-specific antibodies (as outlined above) — has also been shown to correlate with protection^{84,85}, but this is not an accepted correlate of protection for regulatory agencies. An additional correlate of protection, the single radial haemolysis titre, is an accepted correlate of protection by the European Medicines Agency (EMA)^{86,87}.

Antibodies to neuraminidase. Antibodies against NA also have direct antiviral activity⁵¹. Antibodies targeting NA can inhibit its enzymatic activity, either by direct binding to the active site or by steric hindrance of the NA–substrate interaction. Of note, HA-reactive antibodies (against both the stalk and the head of HA) can also have anti-NA activity by steric hindrance^{54,88}. The inhibition of NA activity can have several consequences. Incoming virions can be bound by mucins and other natural defence proteins that carry sialylated glycans to which HA binds. NA activity is required to free the virus from these decoy receptors to move on and infect cells⁸⁹. In addition, the HA of budding virus particles can bind to sialic acid on the surface of the infected cells from which the virus particles are trying to detach. NA activity removes sialic acid from the cell surface, which ensures the efficient release of progeny virus⁵³. Also, virus particles may aggregate through binding to glycans on the HA of neighbouring virus particles or through binding to mucins⁵¹. Such aggregation could have a

Box 4 | Antibody isotype responses to influenza virus

Human immunoglobulins occur as IgA, IgD, IgE, IgG and IgM isotypes¹⁷⁶, with IgA, IgG and IgM having major roles in immunity against influenza viruses. Monomeric IgG constitutes approximately 75% of the antibody found in serum¹⁷⁶, of which there are four subtypes: IgG1 (~67% of IgG), IgG2 (~22% of IgG), IgG3 (~7% of IgG) and IgG4 (~4% of IgG)¹⁷⁶. The majority of IgG antibodies targeting influenza virus are of the IgG1 subtype, followed by a smaller proportion of IgG3 antibodies^{28,177–179}. Levels of IgG2 and IgG4 antibodies to influenza virus are negligible. IgG1 has a long serum half-life (~21 days) and interacts strongly with Fcγ receptors (FcγRs), which makes it highly effective at direct virus inhibition as well as FcR-mediated effector functions¹⁸⁰. IgG3 binds with even greater affinity to FcγRs but, probably owing to its long and flexible hinge region, it has a shorter half-life of ~7 days¹⁸⁰. The interaction of IgG with FcγRs is modulated by glycosylation of the antibody at N297, and the composition of this glycan modulates effector functions. IgM (10% of total serum antibody) also has a key role, specifically early in the response to influenza virus. IgM antibodies are usually of lower affinity than IgG antibodies, but they form pentamers, or more rarely hexamers, which gives them greater avidity. IgM can be secreted to mucosal surfaces and interacts strongly with complement; therefore, it has a key role in anti-influenza virus immunity¹⁸⁰. IgA (15% of total serum antibody) exists as IgA1 and IgA2 subtypes. IgA1 mostly forms the monomeric IgA fraction in serum and is also secreted as a dimer onto mucosal surfaces of the upper respiratory tract, whereas IgA2 is mostly found as a dimer secreted onto mucosal surfaces of the gastrointestinal tract⁷³. Interestingly, trimeric and tetrameric fractions of influenza virus-specific IgA have also been detected on mucosal surfaces⁷⁴. The larger number of glycosylation sites on IgA than on the other antibody isotypes might increase the affinity of IgA for haemagglutinin (HA) through interactions between HA and sialic acid¹⁸¹. IgA binds to FcαR, which is present on myeloid cells. It has been reported that the upper respiratory tract is mostly protected by IgA, whereas IgG is the major antibody isotype in the lower respiratory tract⁷¹.

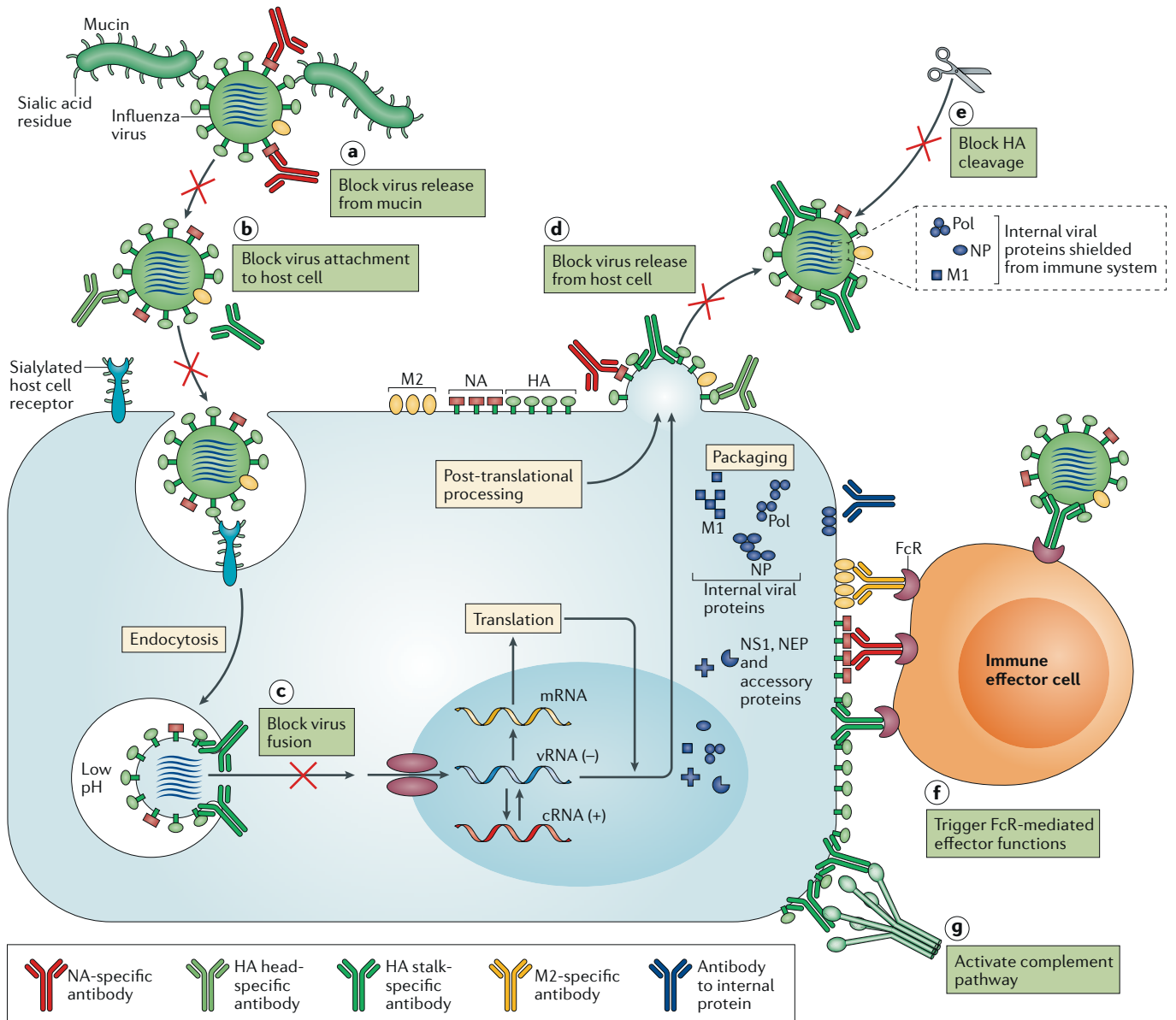


Fig. 2 | Mechanism of action of antibodies against influenza virus. Antibodies can interfere with different stages of the viral life cycle. The upper respiratory tract is mostly protected from influenza virus by secretory IgA1, whereas IgG1 is dominant in the lower respiratory tract. **a** | Neuraminidase (NA) activity, which frees incoming virions from decoy receptors on mucins, can be blocked by NA-specific antibodies. **b** | The interaction between haemagglutinin (HA) and sialylated host cell receptors can be blocked by antibodies with haemagglutination inhibition activity that bind to the HA head domain. **c** | Fusion of viral and endosomal membranes can be blocked by HA stalk-targeted antibodies that lock HA in a pre-fusion conformation (the antibodies bind outside the cell and are taken up with the virus (not shown)). **d** | NA activity is required for viral release from the host cell as the HA of nascent virions binds to sialic acid on the host cell surface. This activity is blocked by direct binding of antibodies to NA or by steric hindrance mediated by antibodies that bind to the head or the stalk of HA. **e** | HA needs to be cleaved into HA1 and HA2 subunits to produce infectious virus particles. This typically happens once the virus is released from the cell and is mediated by airway proteases. However, HA stalk-specific antibodies bind close to the cleavage site of HA and inhibit this process. **f** | Antibodies to the HA stalk and to NA and M2 proteins can trigger Fc receptor (FcR)-mediated effector functions against infected cells, such as antibody-dependent cell-mediated cytotoxicity (ADCC) and antibody-dependent cellular phagocytosis (ADCP). This might also involve ADCP of virions. It is unclear what role antibodies against nucleoprotein (NP) and other internal proteins have with regard to this mechanism. **g** | Antibodies to the HA stalk have also been shown to activate complement, which can lead to the killing of infected cells. cRNA, copy RNA; M1, matrix protein; NEP, nuclear export protein; NS1, non-structural protein 1; Pol, polymerase; vRNA, viral RNA.

negative impact on virus transmission, but NA activity might resolve this aggregate formation. Finally, NA has immunomodulatory activity (for example, by cleaving transforming growth factor- β)⁹⁰. All of these viral

activities can be inhibited by NA-reactive antibodies. Importantly, NA inhibition activity has been identified as an independent correlate of protection in both field studies and human challenge trials^{26,78,91}.

Box 5 | Influenza virus vaccines

Historically, influenza virus vaccines consisted of crudely purified, chemically inactivated virions (known as whole inactivated virus vaccines (WIVs))¹¹⁷. Owing to safety concerns about reactogenicity of WIVs, split virus and subunit vaccines, as well as recombinant haemagglutinin (HA)-based insect-cell-expressed vaccines (Flublok¹⁸²), are currently used in Europe and North America. A WIV was on the market in Europe until recently but is no longer in use¹⁰⁴. Currently, WIVs are mostly developed and manufactured by low-income and middle-income countries owing to the less complicated downstream processing required for these vaccines¹⁸³. Inactivated (and recombinant HA-based) vaccines are injected intramuscularly or intradermally and typically without adjuvant (with a few exceptions). They contain 15 µg of HA per virus strain and are formulated as a trivalent vaccine (H1N1, H3N2 and influenza B) or quadrivalent vaccine (H1N1, H3N2, influenza B/Victoria/2/87-lineage and influenza B/Yamagata/16/88-lineage). Some vaccine formulations, such as recombinant HA-based vaccines (Flublok) and specific formulations for elderly individuals (Fluzone high-dose), contain greater amounts of HA^{182,184}. In addition, an adjuvanted vaccine formulation for elderly individuals (Fludax) is on the market¹⁸⁵. Live-attenuated influenza virus vaccines (LAIVs) are licensed for use in Russia (based on influenza A/Leningrad/134/17/57 (H2N2 virus)¹⁸⁶ and influenza B/USSR/60/69 (REF.¹⁸⁷) master donor viruses) and in the United States (based on influenza A/Ann Arbor/6/60 (H2N2 virus) and influenza B/Ann Arbor/1/66 strains¹⁸⁸; known as Flumist). These LAIVs are temperature sensitive and cold adapted, meaning that their growth is restricted at high temperatures, but that they grow better at lower temperatures than do wild-type viruses. Therefore, these viruses grow well in the upper respiratory tract (lower temperature) to which they are delivered via a nasal spray, but they do not disseminate into the lung (higher temperature). Most inactivated virus vaccines and LAIVs are manufactured in embryonated chicken eggs, with a few exceptions that are manufactured in cell culture (Flucelvax¹⁸⁹) or that are expressed recombinantly (Flublok). Of note, using eggs as a production system can lead to unwanted adaptation of the virus to the substrate, resulting in antigenic changes that may lead to mismatches between vaccine strains and circulating virus strains¹¹⁵. Pre-pandemic vaccines based on different zoonotic virus strains with pandemic potential (for example, H5N1 and H7N9) have been developed using the above platforms and tested in clinical trials. In addition to licensed vaccines, a large number of vaccine approaches and platforms are in preclinical or clinical trials. These include second-generation attenuated vaccines such as the ΔNS1 approach, in which influenza viruses are genetically attenuated by deleting the main interferon antagonist, non-structural protein 1 (NS1)^{190,191}. Furthermore, RNA-based^{192,193} and DNA-based¹⁹⁴ vaccines, virus-like particles and recombinant protein vaccines, as well as viral-vectored and adjuvanted vaccine strategies, are being tested in clinical trials^{195–197}. In addition, intranasal vaccination with whole inactivated influenza virus is currently being tested in clinical trials in Japan¹⁹⁸.

FcR-mediated effector functions. In addition to direct antiviral activity, antibodies to HA and NA might have indirect antiviral effects. In particular, HA stalk-specific antibodies have been shown to have FcR-mediated effector functions, such as antibody-dependent cell-mediated cytotoxicity (ADCC) and antibody-dependent cellular phagocytosis (ADCP), and to mediate complement-dependent lysis^{92,93}. This is also true for some HA head-specific antibodies that do not show haemagglutination inhibition activity^{77,94}. By contrast, haemagglutination inhibition-active monoclonal antibodies usually have only weak FcR-mediated effector functions as they block contact between HA and sialic acid on effector cells (which occurs in addition to the required Fc–FcR interactions), which seems to reduce this activity^{95,96}. Importantly, NA-specific antibodies have also been shown to be active in ADCC reporter assays⁹⁷. Although FcR-mediated effector functions have not yet been shown to correlate with protection against influenza virus in humans, they have been shown to correlate with the protection of mice that received a passive transfer of human sera followed by virus challenge⁹⁸. M2-specific antibodies

in mice also protect against influenza virus infection via FcR-mediated effector functions⁹⁹. Again, these have not been shown to correlate with protection in humans thus far, but it is notable that a human M2e-specific monoclonal antibody significantly reduced viral loads in a human challenge study¹⁰⁰.

Antibodies to internal proteins. Whereas the protective role of antibodies against HA and NA seems clear, the antiviral activity of antibodies against internal proteins has been less well studied. Owing to the relative inaccessibility of their targets on live, infected cells and viruses, these antibodies have no direct antiviral activity. Interestingly, in a mouse model, antibodies against NP can provide weak protection against influenza virus infection^{101,102}. In addition, M1-specific and NP-specific antibodies have recently been shown to activate immune effector cells (natural killer cells)⁶². However, no killing activity was observed. Therefore, the protective role of antibodies to internal influenza virus proteins is unclear.

Responses to vaccination

Different types of influenza virus vaccines are currently in use or have been used historically (BOX 5), including whole inactivated virus vaccines, split virus and subunit vaccines, live-attenuated influenza virus vaccines (LAIVs) and recombinant HA-based vaccines.

Whole inactivated virus vaccines. Whole inactivated virus vaccines have been used extensively in humans and are very well studied in animal models because they are easy to generate in research laboratories. Of note, this type of vaccine is currently not in use in most parts of the world owing to relatively high levels of reactogenicity (BOX 5). Depending on the inactivation method that is used, inactivated viruses represent antigens of live virus relatively well and might preserve functions such as haemagglutination and fusion of HA as well as NA activity. Whole inactivated virus vaccines also contain viral RNA, which might activate innate immune sensors such as retinoic acid inducible gene I (RIG-I), Toll-like receptor 3 (TLR3), TLR7 and TLR8 (REF.¹⁰³) and potentially others, thereby giving these types of vaccine a self-adjuvanting effect. The immune response induced by these vaccines has been described as being relatively balanced, leading to a response to HA as well as NA in both humans and animal models, with relatively high seroprotection rates in humans (more than 85%)^{42,104–106}. However, direct comparisons have shown that split virus vaccines and/or subunit vaccines induce similar immune responses to whole inactivated virus vaccines, at least in terms of seroconversion and geometric mean haemagglutination inhibition titres^{58,107}, with one report even showing that split virus vaccines slightly outperformed whole inactivated virus vaccines¹⁰⁸.

Split virus or subunit vaccines. Split virus or subunit vaccines are manufactured using whole inactivated virus that is then treated with detergent and further purified. Depending on the downstream purification process, this results in preparations containing parts of the viral

Antibody-dependent cell-mediated cytotoxicity (ADCC). The killing of infected cells by effector cells (for example, natural killer cells) via bound antibody.

Antibody-dependent cellular phagocytosis (ADCP). The phagocytosis of infected cells or virus by effector cells (for example, macrophages) via bound antibody.

Complement-dependent lysis. The lysis of cells or viruses by complement via bound antibody.

membrane carrying HA and NA (split virus vaccine) or almost pure glycoprotein (HA) preparations (subunit vaccine). Most of the viral RNA is removed during the purification process, which leads to reduced reactivity but might also lead to reduced immunogenicity. Furthermore, less is known about the structural integrity of HA and NA proteins in split virus and subunit vaccines and the preservation of crucial antibody-binding epitopes. The NA content of these vaccines is not standardized and was found in some cases to be very low¹⁰⁹. The immune response after vaccination with split virus or subunit vaccines is typically targeted towards HA, with haemagglutination inhibition seroconversion rates (defined as a fourfold increase in antibody titre) between 20% and 77% and microneutralization seroconversion rates (also defined as a fourfold increase in antibody titre) between 47% and 90%^{26,110}.

The anti-NA titres induced in response to split virus or subunit vaccines vary and depend on how they are measured. One study reported seroconversion rates between 23% and 73% for antibodies to N1 and N2 proteins, with seroconversion defined as a twofold increase in antibody titre¹¹⁰. However, the increases in antibody titre in this study were only between 0.61-fold (a decrease) and 2.04-fold. In another study, in which seroconversion was defined as a fourfold increase in antibody titre, the seroconversion rate for NA-specific antibodies was determined to be 36.5%²⁶. Antibodies against internal proteins can also be induced by vaccination; however, as for NA, the content of NP, M1 and other internal proteins in split virus and subunit vaccines is likely to depend on the specific vaccine, the season and probably even the batch of vaccine, as vaccine content is standardized only for HA. Increases in NP-specific antibody titres after vaccination have been found in 27–87% of vaccine recipients^{58,108,111}, and significant increases in M1-specific antibody titres have been detected as well¹¹¹ (although they were not detected in other studies¹⁰⁸). The majority of these findings are reflected on a monoclonal level in the plasmablast population. A recent study found that between 81% and 90% of plasmablasts (BOX 3) induced in response to split virus or subunit vaccines are HA specific, 1–2% of plasmablasts are NA specific and the remaining cells have other targets, such as internal proteins⁴². Earlier studies found somewhat lower estimates for HA-specific plasmablasts (40–62.4%)^{18,112}. Nevertheless, it is safe to say that the immune response to split virus and subunit vaccines at both polyclonal and monoclonal levels is dominated by the response to HA. Importantly, vaccine-induced monoclonal antibodies have significantly lower levels of cross-reactivity than do antibodies induced by infections, which confirms serological findings^{112,113}.

The breadth of the antibody response to whole inactivated virus vaccines and to split virus or subunit vaccines has been widely evaluated. These vaccines can induce cross-reactive antibody responses to historic virus strains (BOX 1) in adults with pre-existing immunity^{108,114}. However, they typically do not induce significant titres of cross-reactive HA stalk-specific antibodies^{36,98}. Importantly, antigenic mismatches between circulating virus and vaccine virus strains usually result in a marked decrease in vaccine effectiveness^{16,17,115,116}.

Compared with natural influenza virus infection, the responses induced by vaccination with whole inactivated virus vaccines or split virus or subunit vaccines are therefore relatively narrow and strain specific.

Recombinant haemagglutinin vaccines. Recombinant HA vaccines have been licensed only recently but have been studied extensively in human clinical trials. As they contain only HA, the immune response targets only HA. These vaccines contain higher doses of HA (45 µg of HA per strain) than split virus or subunit vaccines, but it is important to note that as the HA is expressed in insect cells, the antigens have smaller glycans¹¹⁷. Nevertheless, it has been shown that the antibody response to these recombinant vaccines is at least comparable to that induced by whole inactivated virus vaccines or split virus or subunit vaccines. There is some evidence to suggest that recombinant HA vaccines induce broader responses and better protection, specifically in elderly individuals^{118,119}. In addition, as the HA is expressed recombinantly, it does not contain the mismatches that can occur in regular vaccine seed strains owing to virus adaptation to growth in eggs¹¹⁵ (BOX 5).

Live-attenuated virus vaccines. The vaccines detailed above are typically administered intramuscularly and sometimes intradermally, and it is unclear whether these routes of administration induce mucosal immune responses in the upper respiratory tract¹²⁰. It is possible that some degree of mucosal immunity in the upper respiratory tract is induced through these vaccination routes in humans who have been primed by natural influenza virus infection. By contrast, LAIVs are typically administered to the nose and replicate in the upper respiratory tract. The immune response to LAIVs is multifaceted and does not necessarily involve a serum antibody response; LAIVs have been licensed on the basis of efficacy trials that measure protection rather than correlates of protection. Studies in adult recipients of LAIVs found seroconversion rates of 3–7% for haemagglutination inhibition, 3–13% for neutralization and 0–17% for NA inhibition¹¹⁰. Slightly higher rates of seroconversion (21.2%, 16.7% and 6.2%, respectively) have been found in another study in adults²⁶. Seroconversion in adults in terms of mucosal IgA responses seems to be higher (at 33%) than serum antibody-based seroconversion¹²¹. By contrast, LAIVs can induce serum antibody responses, including relatively high haemagglutination inhibition titres, ^{122,123} as well as mucosal IgA responses^{123,124} in children. Antibody titres towards the stalk domain of HA have been detected after LAIV administration in children, but these antibodies were not induced to high levels¹²².

As discussed above, natural infection with influenza viruses can induce long-lived immune responses that potentially provide lifelong protection against specific virus strains. It has been reported that the antibody response to vaccination is much more short-lived and that vaccine effectiveness and antibody titres can wane even within a season^{125–131}. A direct comparison between inactivated virus vaccines and LAIVs in children suggests that antibody responses induced by

Table 1 | Antibody responses induced by natural influenza virus infection and vaccination

Antibody response type	Natural influenza virus infection	LAIV	Whole inactivated virus vaccine	Split virus or subunit vaccine	Recombinant HA-based vaccine
Serum antibody response	Strong	Moderate induction in children	Strong	Strong	Strong
Mucosal antibody response	Strong	Moderate induction in children	Weak or none	Weak or none	Weak or none
HA-specific response	Strong	Moderate	Strong	Strong	Strong
NA-specific response	Strong	Weak	Moderate	Weak	None
Antibodies to M2	Detectable	Unclear	Unclear; probably none	Unclear; probably none	None
Antibodies to internal proteins	Detectable	Unclear	Detectable	Detectable	None
Longevity	Very long-lived or lifelong	Moderate	Most likely short	Short	Short
Breadth	Moderate	Some breadth	Narrow	Narrow	Some breadth

HA, haemagglutinin; LAIV, live-attenuated influenza virus vaccine; M2, influenza A virus ion channel; NA, neuraminidase.

LAIVs persist significantly longer¹²³. The differences in immune responses to natural influenza virus infection and vaccination are compared in TABLE 1.

Responses to pandemic and zoonotic viruses

Humans are typically exposed to seasonal influenza viruses, including H1N1 virus, H3N2 virus and influenza B viruses, through natural infection and/or vaccination. A proportion of the general population has also been exposed to H2N2 virus, which circulated between 1957 and 1968. The introduction of a new pandemic virus strain, as occurred in 2009 with the pandemic H1N1 virus, poses an extraordinary challenge to the immune system. Before 2009, humans had been widely exposed to seasonal H1N1 viruses. The HA expressed by these seasonal viruses is markedly different from the HA expressed by the 2009 pandemic H1N1 virus, although they are of the same HA subtype. However, the differences are not equally distributed within the H1 HA protein. The head domains of seasonal and pandemic H1 proteins differ greatly (having ~68% amino acid identity), whereas the stalk domains are highly conserved (having ~88% amino acid identity). In addition, some specific epitopes of the head domain are shared between seasonal and pandemic H1 proteins. Therefore, first exposure to the 2009 pandemic H1N1 virus triggered a recall response of those B cells that recognized the conserved, shared epitopes, which led to a significant response to the HA stalk (BOX 1). This response was observed after both natural infection and vaccination^{43,113,132–135}. It has been hypothesized that this increase in the production of cross-reactive antibodies led to the disappearance of seasonal H1N1 viruses after the emergence of the 2009 pandemic H1N1 virus⁴³.

In rare cases, humans with pre-existing immunity to seasonal influenza virus strains are also exposed to zoonotic infections — for example, with H5N1, H6N1, H7N9 or H10N8 virus strains. In addition, owing to the pandemic potential of H5N1 and H7N9 viruses, vaccines against those virus subtypes have been manufactured and tested on a relatively large scale in humans. For the human immune system, these antigens are at

least partially novel. Humans typically do not have pre-existing immunity to the head domains of H5 or H7 proteins. However, the stalk domain of the H5 HA (a group 1 HA) has conserved epitopes shared with H1 and H2 HA proteins (also group 1 HA proteins), and the stalk domain of H7 HA (a group 2 HA) has conserved epitopes shared with H3 HA (also a group 2 HA). Upon exposure to H5 HA, the immune system recognizes the HA stalk and induces a recall response of stalk-specific memory B cells, which results in a large expansion of stalk-specific plasmablasts and a significant antibody response to the HA stalk^{136,137} (BOX 1). Similar observations have been made for H7N9 virus infection and vaccination^{77,138–140}. However, whereas vaccination with H5 mostly induces a pan-group 1 HA anti-stalk response that is skewed towards the VH1-69 antibody germ line, exposure to H7 can induce a much broader antibody response that might target both group 1 and group 2 HA proteins using a more diverse set of antibody germ lines, including VH1-18, VH6-1 and VH3-53 (REFS^{141,142}). It remains to be clarified why these responses to H5 and H7 HAs are different. Of note, it has been observed that the primary immune response to vaccines containing H5 HA is usually of a greater magnitude than the response to vaccines containing H7 HA, which might be explained by the fact that antibody titres and B cell numbers that cross-react with H5 HA are higher at baseline than those reacting to H7 HA^{141,142}. If an H5N1 virus vaccine or H7N9 virus vaccine is given a second time, the immune system restores its preference for the head domain of the HA, for which it has now been primed, and produces antibodies that target the HA head domain, including antibodies with haemagglutination inhibition activity¹³⁶. Importantly, that does not mean that the serum antibody response against the HA stalk domain is necessarily suppressed. Universal influenza virus vaccine candidates that optimally exploit this phenomenon, which is based on OAS (BOX 1), have been designed and are currently in clinical trials. Most likely as a result of this phenomenon, vaccines that contain H5 or H7 HA have to be given at least twice and typically at higher doses or with strong adjuvants to reach high titres of haemagglutination inhibition.

Next-generation influenza virus vaccines

Major efforts are currently underway to design and develop broadly protective or universal influenza virus vaccines^{143–145}. These vaccines would abolish the need for annual reformulation and re-administration of seasonal vaccines, would make influenza virus vaccines accessible to low-income and middle-income countries and would markedly increase our pandemic preparedness¹⁴⁶. Several antibody targets for these vaccines have been identified, including the stalk domain of HA and conserved epitopes in the head domains of HA and NA and the ectodomain of M2 (REF.¹⁴⁷). In addition, T cell-based vaccines that mostly focus on NP and M1 are under development¹⁴⁷. Vaccines based on the HA stalk fall into two main categories: stalk-only or headless HA constructs, in which the immunodominant HA head domain is eliminated^{148–150}, and chimeric HA constructs, which refocus the immune response from the HA head towards the HA stalk through sequential vaccination^{151–153}. Both of these vaccine strategies probably depend on and benefit from pre-existing immunity. Other vaccine approaches, such as the computationally optimized broadly reactive antigen (COBRA) approach, aim to induce broad antibody responses with haemagglutination inhibition activity against the HA head domain, usually within a certain virus subtype^{154,155}. These approaches might be heavily influenced by the phenomenon of head-epitope-specific imprinting (BOX 1), which could have beneficial or detrimental effects on the vaccine response, depending on the imprinting and exposure history of the vaccine recipient. Vaccines based on M2e were developed early on, and these could also be a potential option towards a broadly protective or universal influenza virus vaccine¹⁵⁶. Finally, NA has emerged as a new vaccine target after studies showed that anti-NA immune responses can be relatively broad, at least within a virus subtype^{42,51,109}. These different vaccine approaches induce different mechanisms of antibody-based protection. Virus neutralization and FcR-mediated antibody effector functions are induced in the case of HA stalk-targeted vaccines; the induction of antibodies with haemagglutination inhibition activity is the aim of broadly protective HA head-based vaccines; FcR-mediated antibody effector functions are the mechanism of action in the case of M2e-based vaccines; and direct inhibition of NA activity and FcR-mediated effector functions are induced by NA-based vaccines. What all of these approaches have in common is that they need to induce long-lived antibody responses to be successful. A universal influenza virus vaccine that only protects for 12 months is of very limited use. Therefore, we should apply the lessons learned from studying long-lived immune responses to natural infection with influenza virus and translate this knowledge into designing vaccines that also induce long-lived, ideally lifelong,

immunity. This might be achieved by using better adjuvants that stimulate the right innate immune sensors in exactly the right cell types or by delivering vaccines in ways that increase antigen and epitope integrity. We might have to optimize vaccines to enable persistent antigen presentation over many days in the presence of adequate innate immune stimuli. Finally, we need to find better ways to present antigen to the immune system at mucosal surfaces in the respiratory tract.

Conclusions

The antibody response to influenza virus infection is multifaceted and fascinating. Although this response has been studied for decades, many questions remain unanswered. Our understanding of the dynamics between B cell subsets — memory B cells, long-lived plasma cells and plasmablasts — is still rudimentary, and we lack the tools to rationally influence these dynamics during vaccination. The molecular mechanisms and consequences of imprinting are largely unknown, and, until we have a better understanding of this family of phenomena, we cannot use them to our advantage. The role and mechanisms of the mucosal antibody response to influenza virus antigens need further investigation, and vaccines that induce robust mucosal immune responses need to be developed. The molecular basis of immunodominance is largely unknown, and, without understanding this, we cannot design vaccines that refocus antibody responses to our epitopes of choice. The factors that drive long-lived immunity are not well understood, but this knowledge will be crucial to design vaccines that provide long-lived protection. A better understanding of how different antiviral functions correlate with protection against infection and the establishment of novel correlates of protection will be crucial for future vaccines that do not rely on the induction of antibodies with haemagglutination inhibition activity. Finally, better insights as to how sex differences influence the antibody response after infection and vaccination are needed. These differences are not well understood, but they might be important in terms of inducing optimal protection in a sex-specific manner^{157–159}. In addition, there are countless other questions that thus far remain unanswered. In conclusion, the antibody response to natural influenza virus infection seems to be broader and longer-lived than the antibody response induced by influenza virus vaccines. A better understanding of the questions listed above and the differences between natural virus infection and vaccination will allow us to design better vaccines. The ultimate goal is to develop a universal influenza virus vaccine that induces long-lived protection against drifted seasonal, zoonotic and pandemic influenza virus infections.

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Competing interests

The Icahn School of Medicine at Mount Sinai has filed patent applications regarding influenza virus vaccines that name F.K. as inventor. The laboratory of F.K. has received funding for a research programme from GlaxoSmithKline.

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