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THE INDEX OF COMPACT SIMPLE LIE GROUPS

JÜRGEN BERNDT AND CARLOS OLMOS

ABSTRACT. Let M be an irreducible Riemannian symmetric space. The index $i(M)$ of M is the minimal codimension of a (non-trivial) totally geodesic submanifold of M . The purpose of this note is to determine the index $i(M)$ for all irreducible Riemannian symmetric spaces M of type (II) and (IV).

1. INTRODUCTION

Let M be a connected Riemannian manifold and denote by \mathcal{S} the set of all connected totally geodesic submanifolds Σ of M with $\dim(\Sigma) < \dim(M)$. The index $i(M)$ of M is defined by

$$i(M) = \min\{\dim(M) - \dim(\Sigma) : \Sigma \in \mathcal{S}\} = \min\{\text{codim}(\Sigma) : \Sigma \in \mathcal{S}\}.$$

This notion was introduced by Onishchik in [7], who also classified the irreducible simply connected Riemannian symmetric spaces M with $i(M) \leq 2$.

In [2] we investigated $i(M)$ for irreducible Riemannian symmetric spaces M . We proved that the rank $\text{rk}(M)$ of M is always less than or equal to the index of M and classified all irreducible Riemannian symmetric spaces M with $i(M) \leq 3$.

A totally geodesic submanifold Σ of M is called reflective if Σ is the connected component of the fixed point set of an isometric involution on M . Reflective submanifolds of irreducible, simply connected Riemannian symmetric spaces of compact type were classified by Leung in [4] and [5]. Denote by \mathcal{S}_r the set of all connected reflective submanifolds Σ of M with $\dim(\Sigma) < \dim(M)$. The reflective index $i_r(M)$ of M is defined by

$$i_r(M) = \min\{\dim(M) - \dim(\Sigma) : \Sigma \in \mathcal{S}_r\} = \min\{\text{codim}(\Sigma) : \Sigma \in \mathcal{S}_r\}.$$

It is clear that $i(M) \leq i_r(M)$ and thus $i_r(M)$ is an upper bound for $i(M)$. Moreover, from [4] and [5] we can calculate $i_r(M)$ explicitly for each irreducible Riemannian symmetric space. This was done explicitly in [1], where we conjectured that $i(M) = i_r(M)$ if and only if $M \neq G_2^2/SO_4$. We also verified this conjecture for a number of symmetric spaces. The purpose of this brief note is to give an affirmative answer to this conjecture for irreducible Riemannian symmetric spaces of type (II) and (IV). Since totally geodesic submanifolds are preserved under duality between symmetric spaces of compact type and of noncompact type, we can assume that M is of compact type.

Our main result is as follows.

Theorem 1.1. *Let G be a simply connected, compact real simple Lie group equipped with the bi-invariant Riemannian metric induced from the Killing form of the Lie algebra \mathfrak{g} of G . Then $i(G) = i_r(G)$. Moreover, if Σ is a connected, complete, totally geodesic submanifold of G with $\text{codim}(\Sigma) = i(G)$, then the pair (G, Σ) is as in Table 1.*

TABLE 1. The index $i(G)$ of simply connected, compact simple Lie groups

G	Σ	$\dim(G)$	$i(G)$	Comments
SU_2	$SU_2/S(U_1U_1)$	3	1	
SU_3	SU_3/SO_3	8	3	
SU_{r+1}	$S(U_rU_1)$	$r(r+2)$	$2r$	$r \geq 4$
$Spin_5$	$Spin_4, SO_5/SO_2SO_3$	10	4	
$Spin_{2r+1}$	$Spin_{2r}$	$r(2r+1)$	$2r$	$r \geq 3$
Sp_r	$Sp_{r-1}Sp_1$	$r(2r+1)$	$4r-4$	$r \geq 3$
$Spin_{2r}$	$Spin_{2r-1}$	$r(2r-1)$	$2r-1$	$r \geq 3$
E_6	F_4	78	26	
E_7	E_6U_1	133	54	
E_8	E_7Sp_1	248	112	
F_4	$Spin_9$	52	16	
G_2	$SU_3, G_2/SO_4$	14	6	

For $G \in \{SU_2, SU_3, Spin_5, G_2\}$ this was proved by Onishchik in [7] and for $G = Spin_r$ with $r \geq 6$ this was proved by the authors in [1]. Note that $Spin_6$ is isomorphic to SU_4 . The result is new for SU_r ($r \geq 4$), Sp_r ($r \geq 3$), and the four exceptional Lie groups E_6, E_7, E_8, F_4 .

As an immediate consequence of Theorem 1.1 we get

Corollary 1.2. *Let G be a simply connected, compact simple Lie group equipped with the bi-invariant Riemannian metric induced from the Killing form of the Lie algebra \mathfrak{g} of G . If $G \notin \{SU_2, SU_3\}$, then there exists a connected subgroup H of G such that the index of G is equal to the codimension of H in G .*

It is worthwhile to point out that SU_3 is a non-reflective totally geodesic submanifold of G_2 , whereas all other totally geodesic submanifolds Σ in Table 1 are reflective submanifolds.

2. PROOF OF THEOREM 1.1

Using [4] and [5], we determined in [1] the reflective index $i_r(M)$ of all irreducible Riemannian symmetric spaces M of noncompact type and the reflective submanifolds Σ in M for which $i_r(M) = \text{codim}(\Sigma)$. Using duality between Riemannian symmetric spaces of noncompact type and of compact type, we obtain Table 2 for the reflective index $i_r(G)$ of all simply connected, compact simple Lie groups and the reflective submanifolds Σ in G for which $i_r(G) = \text{codim}(\Sigma)$.

Note that Table 2 leads to Table 1 when replacing $i_r(G)$ with $i(G)$ and adding $\Sigma = SU_3$ in the row for G_2 . The two problems we thus need to solve for each G are:

- (1) prove that there exists no non-reflective totally geodesic submanifold Σ in G with $\text{codim}(\Sigma) < i_r(G)$;
- (2) determine all non-reflective submanifolds Σ in G with $\text{codim}(\Sigma) = i_r(G)$.

The following result is a crucial step towards the solution of the two problems:

Theorem 2.1 (Ikawa, Tasaki [3]). *A necessary and sufficient condition that a totally geodesic submanifold Σ in a compact connected simple Lie group is maximal is that Σ is a Cartan embedding or a maximal Lie subgroup.*

TABLE 2. The reflective index $i_r(G)$ of simply connected, compact simple Lie groups

G	Σ	$\dim(G)$	$i_r(G)$	Comments
SU_2	$SU_2/S(U_1U_1)$	3	1	
SU_3	SU_3/SO_3	8	3	
SU_{r+1}	$S(U_rU_1)$	$r(r+2)$	$2r$	$r \geq 4$
$Spin_5$	$Spin_4, SO_5/SO_2SO_3$	10	4	
$Spin_{2r+1}$	$Spin_{2r}$	$r(2r+1)$	$2r$	$r \geq 3$
Sp_r	$Sp_{r-1}Sp_1$	$r(2r+1)$	$4r-4$	$r \geq 3$
$Spin_{2r}$	$Spin_{2r-1}$	$r(2r-1)$	$2r-1$	$r \geq 3$
E_6	F_4	78	26	
E_7	E_6U_1	133	54	
E_8	E_7Sp_1	248	112	
F_4	$Spin_9$	52	16	
G_2	G_2/SO_4	14	6	

The Cartan embeddings are defined as follows. Let G/K be a Riemannian symmetric space of compact type and $\sigma \in \text{Aut}(G)$ be an involutive automorphism of G such that $\text{Fix}(\sigma)^\circ \subset K \subset \text{Fix}(\sigma)$, where

$$\text{Fix}(\sigma) = \{g \in G : \sigma(g) = g\}$$

and $\text{Fix}(\sigma)^\circ$ is the identity component of $\text{Fix}(\sigma)$. By definition, the automorphism σ fixes all points in K and the identity component K° of K coincides with $\text{Fix}(\sigma)^\circ$.

The Cartan map of G/K into G is the smooth map

$$f : G/K \rightarrow G, \quad gK \mapsto \sigma(g)g^{-1}.$$

The Cartan map f is a covering map onto its image $\Sigma = f(G/K)$.

Let $\theta \in \text{Aut}(G)$ be the involutive automorphism on G defined by inversion, that is,

$$\theta : G \rightarrow G, \quad g \mapsto g^{-1}.$$

We now define a third involutive automorphism $\rho \in \text{Aut}(G)$ by $\rho = \theta \circ \sigma$. By definition, we have

$$\rho(g) = \theta(\sigma(g)) = \sigma(g)^{-1} = \sigma(g^{-1})$$

for all $g \in G$. Moreover, for all $g \in G$ we have

$$\begin{aligned} \rho(f(gK)) &= \rho(\sigma(g)g^{-1}) = \sigma((\sigma(g)g^{-1})^{-1}) = \sigma(g\sigma(g)^{-1}) = \sigma(g\sigma(g^{-1})) \\ &= \sigma(g)\sigma^2(g^{-1}) = \sigma(g)g^{-1} = f(gK). \end{aligned}$$

Thus the automorphism ρ fixes all points in Σ .

The automorphisms $\sigma, \theta, \rho \in \text{Aut}(G)$ are involutive isometries of G , where G is considered as a Riemannian symmetric space with a bi-invariant Riemannian metric. Geometrically, θ is the geodesic symmetry of G at the identity $e \in G$ and its differential at e is

$$d_e\theta : T_eG \rightarrow T_eG, \quad X \mapsto -X.$$

The differential of σ at e is

$$d_e\sigma : T_eG \rightarrow T_eG, \quad X \mapsto \begin{cases} X & \text{if } X \in T_eK, \\ -X & \text{if } X \in \nu_eK, \end{cases}$$

where $\nu_e K$ denotes the normal space of K at e . This shows that σ is the geodesic reflection of G in the identity component K^o of K . In particular, K^o (and hence also K) is a totally geodesic submanifold of G . Since $\rho = \theta \circ \sigma$, the differential of ρ at e is

$$d_e \rho : T_e G \rightarrow T_e G, \quad X \mapsto \begin{cases} X & \text{if } X \in \nu_e K, \\ -X & \text{if } X \in T_e K, \end{cases}$$

It follows that there exists a connected, complete, totally geodesic submanifold N of G with $e \in N$ and $T_e N = \nu_e K$. We saw above that ρ fixes all points in Σ , which implies $\Sigma \subset N$ since Σ is connected. Moreover, since $\dim(\Sigma) = \dim(G) - \dim(K) = \text{codim}(K) = \dim(N)$ and Σ is complete we get $\Sigma = N$. It follows that Σ is a totally geodesic submanifold of G . In fact, we have proved that both K^o and Σ are reflective submanifolds of G which are perpendicular to each other at e .

In view of Theorem 2.1 it therefore remains to investigate the maximal Lie subgroups of G . The connected maximal Lie subgroups of compact simple Lie groups are well known from classical theory. Due to connectedness we can equivalently consider maximal subalgebras of compact simple Lie algebras. In Table 3 we list the maximal subalgebras of minimal codimension in compact simple Lie algebras (see e.g. [6]).

TABLE 3. Maximal subalgebras \mathfrak{h} of minimal codimension $d(\mathfrak{g})$ in compact simple Lie algebras \mathfrak{g}

\mathfrak{g}	\mathfrak{h}	$d(\mathfrak{g})$
\mathfrak{su}_{r+1}	$\mathfrak{su}_r \oplus \mathbb{R}$	$2r$
\mathfrak{so}_{2r+1}	\mathfrak{so}_{2r}	$2r$
\mathfrak{sp}_r	$\mathfrak{sp}_{r-1} \oplus \mathfrak{sp}_1$	$4r - 4$
\mathfrak{so}_{2r}	\mathfrak{so}_{2r-1}	$2r - 1$
\mathfrak{e}_6	\mathfrak{f}_4	26
\mathfrak{e}_7	$\mathfrak{e}_6 \oplus \mathbb{R}$	54
\mathfrak{e}_8	$\mathfrak{e}_7 \oplus \mathfrak{sp}_1$	112
\mathfrak{f}_4	\mathfrak{so}_9	16
\mathfrak{g}_2	\mathfrak{su}_3	6

We can now finish the proof of Theorem 1.1. From Tables 2 and 3 we get $i_r(G) \leq d(\mathfrak{g})$. Theorem 2.1 then implies $i(G) = i_r(G)$. Using Table 2 we obtain the column for $i(G)$ in Table 1.

To find all Σ in G with $\text{codim}(\Sigma) = i(G)$ we first note that $i(G) < d(\mathfrak{g})$ if and only if $G \in \{SU_2, SU_3\}$. In this case Σ must be a Cartan embedding and hence a reflective submanifold. From Table 2 we obtain that $\Sigma = SU_2/S(U_1U_1)$ if $G = SU_2$ and $\Sigma = SU_3/SO_3$ if $G = SU_3$. Now assume that $i(G) = d(\mathfrak{g})$. Then Σ is either a Cartan embedding (and then Σ is as in Table 2) or a maximal connected subgroup H of G for which \mathfrak{h} has minimal codimension $d(\mathfrak{g})$ (and then \mathfrak{h} is as in Table 3). By inspection we see that such H is reflective unless $G = G_2$, in which case we get the non-reflective totally geodesic submanifold SU_3 of G_2 satisfying $\text{codim}(SU_3) = 6 = i(G_2)$. This finishes the proof of Theorem 1.1.

Regarding our conjecture $i(M) = i_r(M)$ if and only if $M \neq G_2^2/SO_4$, we list in Table 4 the irreducible Riemannian symmetric spaces of noncompact type for which the conjecture remains open.

TABLE 4. The reflective index $i_r(M)$ for irreducible Riemannian symmetric spaces M of noncompact type for which the conjecture $i(M) = i_r(M)$ is still open and reflective submanifolds Σ of M with $\text{codim}(\Sigma) = i_r(M)$

M	Σ	$\dim M$	$i_r(M)$	Comments
SU_{2r+2}^*/Sp_{r+1}	$\mathbb{R} \times SU_{2r}^*/Sp_r$	$r(2r+3)$	$4r$	$r \geq 3$
$Sp_r(\mathbb{R})/U_r$	$\mathbb{R}H^2 \times Sp_{r-1}(\mathbb{R})/U_{r-1}$	$r(r+1)$	$2r-2$	$r \geq 6$
SO_{4r}^*/U_{2r}	SO_{4r-2}^*/U_{2r-1}	$2r(2r-1)$	$4r-2$	$r \geq 3$
$Sp_{r,r}/Sp_r Sp_r$	$Sp_{r-1,r}/Sp_{r-1} Sp_r$	$4r^2$	$4r$	$r \geq 3$
$E_7^{-25}/E_6 U_1$	$E_6^{-14}/Spin_{10} U_1$	54	22	
$Sp_{r,r+k}/Sp_r Sp_{r+k}$	$Sp_{r,r+k-1}/Sp_r Sp_{r+k-1}$	$4r(r+k)$	$4r$	$r \geq 3, k \geq 1,$ $r > k+1$
SO_{4r+2}^*/U_{2r+1}	SO_{4r}^*/U_{2r}	$2r(2r+1)$	$4r$	$r \geq 3$
E_6^6/Sp_4	$F_4^4/Sp_3 Sp_1$	42	14	
E_7^7/SU_8	$\mathbb{R} \times E_6^6/Sp_4$	70	27	
E_8^8/SO_{16}	$\mathbb{R}H^2 \times E_7^7/SU_8$	128	56	
$E_6^2/SU_6 Sp_1$	$F_4^4/Sp_3 Sp_1$	40	12	
$E_7^{-5}/SO_{12} Sp_1$	$E_6^2/SU_6 Sp_1$	64	24	
$E_8^{-24}/E_7 Sp_1$	$E_7^{-5}/SO_{12} Sp_1$	112	48	

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