#### New insights into the modulation of ocean biogeochemistry by iron 1

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#### 14 Preface

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16 Our understanding of the regulation of the magnitude of global and regional primary productivity 17 has been fundamentally altered with the growing recognition of the pervasive role of iron.

18 Observations of this trace metal in the ocean have increased markedly over recent decades,

19 permitting a new synthesis of the processes governing its oceanic cycle. In particular, the

20 inextricable linkages between iron and wider ocean biogeochemistry, including the cycling of

#### 21 carbon and major nutrients are beginning to emerge.

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# 1. The emergence of the 'Iron Hypothesis'

24 25 Ocean primary production is crucial to the Earth System, underpinning the functioning of the global carbon cycle, air-sea CO<sub>2</sub> exchange and marine ecosystems<sup>1</sup>. In the past three decades the 26 27 micronutrient iron has gone from a relative curiosity to emerging as a key elemental resource that 28 shapes the magnitude and dynamics of primary production in the global ocean. Oceanographers 29 first became interested in iron in the 1930s as an explanation as to why the major nutrient 30 inventories (nitrogen and phosphorus) were not fully depleted by primary producers in surface waters of much of the Southern Ocean<sup>2-4</sup>. Indeed, early laboratory studies showed that iron 31 32 enrichment stimulated the growth of phytoplankton<sup>5</sup>, providing encouragement that iron could 33 control phytoplankton growth in seawater<sup>6,7</sup>. However, due to the low solubility of iron in the 34 modern oxic ocean<sup>8</sup> and the tendency for iron to be 'scavenged' from the water column by sinking 35 particles<sup>9</sup>, dissolved iron was likely to be a rare commodity for ocean biota. By the early 1980s the crucial role for iron as a co-factor in many cellular enzymes, particularly those linked with 36 photosynthesis, respiration and nitrogen fixation had been identified<sup>10,11</sup>. Yet despite this body of 37 knowledge, it was not until the early 2000s that the global importance of iron to ocean productivity 38 39 and biogeochemistry became widely acknowledged and included in global ocean models<sup>12</sup>.

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41 A key issue hindering the study of iron-phytoplankton interactions in the ocean was the need for 42 contamination-free sampling and accurate measurements at the required pico to nanomolar levels (10<sup>-12</sup> to 10<sup>-9</sup> moles L<sup>-1</sup>). Only in the late 1970s did development of exacting trace metal clean sampling protocols<sup>13</sup> and an appreciation for the rigours of clean analytical methods<sup>14</sup> allow the first 43 44 reliable oceanic iron observations, which showed low surface water concentrations and 'nutrient-45 like' behaviour through the water column<sup>15,16</sup>. Results from shipboard iron enrichment experiments 46 of natural phytoplankton communities conducted with ultra-clean methods resurrected the idea that 47 a lack of iron was indeed a key feature of the Southern Ocean<sup>17,18</sup>. This led John Martin to 48 49 formulate the 'iron hypothesis', which proposed that greater delivery of dust iron to the Southern 50 Ocean during glacial periods led to enhanced utilisation of the major nutrients and a corresponding drawdown of atmospheric carbon dioxide<sup>19</sup> (see also Box 1). Subsequent model simulations 51 showed that if the reserves of major nutrients in the Southern Ocean could be exhausted, then 52 atmospheric CO<sub>2</sub> levels could be reduced significantly by 60 to 100 ppm<sup>20</sup>. Martin's provocative 53 54 ideas about how iron fertilisation might control past and future climate are now oceanographic 55 folklore and have catalysed research into this field.

Due to the perceived limitations of Martin's shipboard experiments (e.g. exclusion of grazers)<sup>21</sup>, 57

58 only a deliberate in situ iron fertilisation was deemed able to satisfactorily corroborate the in vitro

59 evidence of iron-limited phytoplankton growth. These were initially performed during the 1990s in 60

iron-limited equatorial Pacific waters<sup>22,23</sup> and by 2000, results were published from the first test of the iron hypothesis in the Southern Ocean<sup>24</sup>. As of present, more than a dozen mesoscale iron 61

fertilisation experiments have been carried out worldwide, demonstrating phytoplankton iron 62

limitation in the Southern, Equatorial Pacific and subarctic Pacific Oceans<sup>25,26</sup>. Additional shipboard 63

64 experiments also point to a role for iron limitation in the subpolar North Atlantic Ocean<sup>27</sup>, California

65 Current<sup>28</sup> and Humboldt and Peru upwelling systems<sup>29</sup>.

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67 The undeniable role for iron in shaping patterns of ocean productivity necessitated an understanding of the processes that regulate the ocean iron cycle itself. In 1997 an important step 68 was made when Johnson and co-workers<sup>30</sup> compiled 354 internally consistent iron observations 69 70 and produced a conceptual view of how the ocean iron cycle operated (Fig. 1), which was 71 supported by a one dimensional model that reproduced observed profiles from a number of sites (largely in the Pacific Ocean). This model<sup>30</sup> assumed that (i) iron-rich aeolian dust input was the 72 major external source of iron to the ocean, (ii) deep ocean iron concentrations were held to a 73 guasi-constant value of approximately 0.6 nmol L<sup>-1</sup> by uniform levels of the recently guantified 74 organic iron-complexing ligands<sup>31,32</sup> that protected dissolved iron from scavenging and (iii) that 75 despite emerging knowledge of substantial variations in the biological iron demand<sup>33</sup>, the uptake of 76 iron and its regeneration from sinking organic matter was closely coupled to phosphorus at basin 77 scales. This synthesis effort<sup>30</sup> (Fig 1) ultimately catalysed the full representation of iron cycling with 78 three dimensional ocean general circulation models<sup>34,35</sup>. Subsequent synthesis efforts in the 79 following years<sup>36,37</sup> echoed this general paradigm for the ocean iron cycle, which still informs a 80 large number of global iron models today<sup>38</sup>. Models based on this view of the ocean iron cycle 81 82 attribute up to half of the 80 ppm glacial decrease in atmospheric carbon dioxide to iron 83 fertilisation<sup>39,40</sup>

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85 In this Review we will explore how the recent expansion of observational data has challenged the 86 prevailing understanding of the ocean iron cycle. New sources and cycling processes are 87 identified, which requires an overhaul of the way in which the models we rely on for future 88 projections represent this important resource. These insights permit a new synthesis of the main 89 processes involved in the global ocean iron cycle and the important linkages to the cycling of 90 carbon and other nutrients. Ultimately we highlight where future advances may be fruitful in 91 advancing our understanding. If this can be achieved, it would substantially reduce uncertainties in 92 our ability to project the impact of environmental change on the ocean carbon cycle. 93

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#### 2. Refining our understanding of the iron cycle and its biogeochemical linkages 95

In recent years there has been a near 100-fold increase in the number of high quality iron 96 measurements available to explore processes and assess global models<sup>41</sup>. Initially, only a few 97 98 laboratories worldwide had the capability to sample for and measure iron in the ocean, resulting in 99 relatively sparse datasets. In an effort to remedy this, in the early 2000's the international 100 community galvanised around a global project called "GEOTRACES" (www.geotraces.org) that 101 seeks to systematically document the distribution of trace elements and isotopes, including iron, throughout the oceans<sup>42</sup>. Critical in this regard was an earlier intercalibration effort in 2004 called 102 "Sampling and Analysis of Iron" (SAFe) that sought to intercompare a suite of analytical techniques 103 104 and trace metal clean sampling methodologies from different laboratories at sea<sup>43</sup>. Importantly, it 105 also produced a set of readily available 'reference samples' with consensus values at 106 oceanographically relevant concentrations that could be used by both new and established 107 investigators to evaluate their analytical methods. GEOTRACES has since launched a number of 108 interwoven basin-scale "sectional" studies, complemented by prior targeted process studies to understand temporal iron dynamics<sup>44</sup> and the drivers of "naturally fertilised" regions in the wake of 109 Southern Ocean island systems<sup>45,46</sup>. Thanks to these multi-faceted efforts, there are more than 110 111 20.000 available observations of iron from the ocean at present. This expansion in our ability to 112 observe the system has allowed our understanding of how the ocean iron cycle operates to be 113 refined.

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# **2.1 Recognition of external sources and cycling of iron**

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117 A key finding from both the GEOTRACES programme and studies of process studies of natural 118 systems was the recognition that there are multiple external sources of iron to the ocean that are 119 significant at both regional and global scales. For example, studies of enhanced biological activity downstream of Southern Ocean island systems<sup>45,46</sup> and offshore from continental margins<sup>47</sup>, the 120 ability to track the origins of offshore iron pools via its mineral make up<sup>48</sup> and parallel modelling 121 122 experiments<sup>49</sup> have emphasised that iron supply from continental margins extends far beyond the coastal zone. Equally, GEOTRACES efforts in the Atlantic<sup>50</sup>, Pacific<sup>51</sup>, Southern<sup>52</sup> and Arctic<sup>53</sup> 123 124 Oceans have observed striking signals of iron associated with hydrothermal activity along mid ocean ridges that make a key contribution to the deep ocean iron inventory<sup>49,54</sup>. Due to their 125 important role in the high latitude regions of the ocean crucial for air-sea CO<sub>2</sub> exchange, 126 127 continental margin and hydrothermal sources play a dominant role in shaping the global carbon 128 cycle<sup>49</sup>. However, the role for dust may have been enhanced during glacial periods typified by

129 greater Southern Ocean dust fluxes.

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131 While the perceived role for dust in regulating the functioning of the ocean carbon cycle via its 132 modulation of high latitude ocean productivity has diminished in recent years, it remains a key 133 supply mechanism to the low latitude ocean. This is illustrated by new high precision iron isotope 134 studies that have, via the distinct isotopic signal associated with crustal iron, quantified the 135 contribution of dust to dissolved iron in the tropical Atlantic Ocean<sup>55</sup>. The productivity of this region is typically limited by nitrogen<sup>56</sup> and when enough iron is supplied it permits the growth of nitrogen-136 fixing organisms<sup>57</sup>. More broadly speaking, iron supply has emerged as a major driving force 137 behind the geographic extent and magnitude of nitrogen fixation in the tropics<sup>58-60</sup>. Dust-driven 138 139 changes in iron supply to the low latitude ocean has therefore emerged as an important 140 component of the maintenance of the oceanic fixed nitrogen inventory<sup>61</sup>.

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142 An important hypothesis from the initial view of the ocean iron cycle was the concept of the 143 buffering of dissolved iron to a constant deep-water concentration by uniform concentrations of organic iron-complexing ligands. However, a parallel expansion of ligand observations over recent 144 decades<sup>62,63</sup>, including basin scale ocean sections<sup>64,65</sup>, reveals that their abundance varies from 145 less than 1 to more than 2 nmol L<sup>-1</sup> even in the ocean interior. Potential ligand sources associated 146 147 with iron-limited bacterial and phytoplankton community growth, zooplankton grazing and particle breakdown have emerged<sup>62</sup>, as well as potential external inputs associated with dust<sup>66</sup> or 148 rainwater<sup>67</sup> and the microbial production of strong iron-binding siderophores<sup>68</sup>. There is also the 149 150 likelihood that subduction and equatorward transport of excess ligands from high latitudes can remotely influence the interior distribution of dissolved iron<sup>69</sup>. As they control the residence time of 151 152 iron in the ocean<sup>70</sup>, the cycling of organic iron-complexing ligands has also emerged as a crucial 153 component of the ocean iron cycle. For instance, modelling indicates that variations in ligand 154 concentration have a larger influence on contemporary atmospheric carbon dioxide levels than 155 dust iron supply<sup>49</sup> and can be responsible for long range transport of iron away from point sources 156 (e.g. hydrothermal vents)<sup>51</sup>. 157

# 158 **2.2 Biological Iron Cycling**

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160 The phytoplankton demand for iron relative to carbon or phosphorus (i.e. their 'stoichiometry') is a 161 common way of evaluating the coupling between the biological cycling of different resources. 162 Despite its initial representation in numerical and conceptual models (Fig 1) as a constant 163 quantity<sup>30,35</sup>, it is now well established that phytoplankton can exhibit substantial variations in their iron stoichiometry between different environments<sup>33,71</sup>. For example, phytoplankton from the low 164 iron waters of the Southern Ocean typically exhibit cellular demands that are more than five-fold 165 lower than those from the iron rich tropical Atlantic<sup>71,72</sup>. This level of stoichiometric plasticity 166 extends far beyond that seen for the major nutrients<sup>73</sup> and is crucial in linking the biological cycling 167 168 of iron to the assimilation of major nutrients and carbon fixation. Another unique feature for iron is 169 the key role for iron regeneration by zooplankton, bacteria and viruses in supporting iron supply to 170 the biota<sup>74</sup>. A number of process studies have documented regional and seasonal variation in the

- importance of regenerated iron in fuelling phytoplankton carbon fixation<sup>74,75</sup> and a potentially
- important role for higher trophic levels organisms is also emerging<sup>76</sup>. Lastly, specific characteristics
- associated with remineralisation of particulate iron, relative to major nutrients<sup>77</sup> can decouple the
- 174 vertical profile of dissolved iron in the ocean from other nutrients<sup>78</sup>.
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176 How iron affects rates of carbon and nitrogen fixation is fundamentally linked to the process of iron 177 acquisition by the biota. Early studies demonstrated that only the dissolved iron not bound to iron complexing organic ligands was bioavailable<sup>6,79</sup>. While the sum of these 'free' inorganic species may indeed be the most bioavailable form of iron<sup>80</sup>, its concentration in seawater is vanishingly low  $(<10^{-15} \text{ moles L}^{-1})$ . More recently, laboratory<sup>81</sup> and field<sup>82</sup> experiments have demonstrated that 178 179 180 phytoplankton are also able to access the more dominant organically complexed iron pool via high 181 182 affinity acquisition systems, such as ferric reductases and this strategy appears to be prevalent in the iron-poor Southern Ocean<sup>81</sup>. In the dust dominated low latitude regions of the Atlantic Ocean 183 184 the nitrogen fixing cyanobacterium Trichodesmium has been shown to directly access mineral 185 particulate iron<sup>83</sup>. Taken together, this highlights a range of iron acquisition strategies that may be 186 differentially linked to the commonly measured 'dissolved' iron concentration.

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188 When detailed process studies combine measurements of ocean physics, major nutrient 189 distributions and inputs with biological activity the intricate links between the cycling of iron and 190 other nutrients and carbon can be illuminated. Across the tropical Atlantic Ocean it has been 191 demonstrated that synoptic variation in dust input, linked to variations in the Inter Tropical 192 Convergence Zone, controls the 'biogeochemical divide' between phosphorus and iron limitation of nitrogen fixing plankton<sup>59</sup>. In the Pacific Ocean, metaproteomic techniques find gradual transitions 193 194 from nitrogen to iron stress between the subtropical Pacific Gyre and the Equatorial Pacific that are 195 linked to the underlying physics, with continuing iron stress in the south Pacific Gyre<sup>84</sup>. These 196 approaches provide basin-scale perspectives on how the distinct environmental characteristics of 197 different ocean biomes translate into gradients in the resource regulation of biological activity.

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### 2.3 A new synthesis of the ocean iron cycle and its wider connections

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201 Bringing together these new insights allows an updated synthesis of how the ocean iron cycle 202 operates and its connections with the cycles of carbon and nitrogen (Fig 2). In doing so, we are 203 required to make a broad distinction between the dominance of dust iron at low latitudes and the 204 greater role for sedimentary and hydrothermal iron sources at high latitudes. While iron supply at 205 the high latitudes drives the connections to the wider oceanic cycling of carbon and air-sea carbon 206 dioxide exchanges, low latitude dust supply contributes to the maintenance of the fixed nitrogen 207 inventory of the ocean. The drivers of the interior distribution of iron are more complicated than for 208 major nutrients (see Box 1), with roles played by deep ocean iron sources, the independent 209 regeneration of iron from sinking particles and scavenging, as well as by the remote influence of 210 water masses subducted from high latitudes and transported equatorward. Previously, close 211 linkages between the cycling of iron and major nutrients such as phosphorus were emphasised 212 (Fig 1). However, as discussed above, multiple unique factors for iron have now been identified, 213 exemplified by the substantial decoupling between observations of phosphate and iron along a 214 meridional section in the West Atlantic Ocean<sup>85</sup> (Fig 3).

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216 Synthesising these new insights provides a more refined picture of the ocean iron cycle and 217 emphasises key meridional contrasts (Fig 2). At high latitudes, upwelling and mixing of iron into 218 surface waters is the major supply mechanism fuelling biological activity that is sustained via iron 219 recycling by zooplankton and bacteria. Due to longer remineralisation length scales, iron export to 220 the ocean interior is more efficient than for major nutrients (phosphorus is emphasised in Fig 2) 221 and decouples subsurface iron reserves from those of major nutrients<sup>78</sup>. As high latitudes are often 222 iron limited, ligand production by iron stressed communities and depletion of dissolved iron stocks 223 leaves an excess of iron-complexing ligands that can be subducted and transported equatorward 224 (dashed arrows in Fig 2). In contrast, low latitudes are strongly affected by dust and the associated 225 iron supply fuels nitrogen fixation by Trichodesmium, Crocosphera and other diazotrophs. Dust 226 may act as a source or sink for iron both in the surface ocean and during its sedimentation into the 227 ocean interior. For example, in the iron replete Mediterranean, dust deposition actually depleted

dissolved iron levels due to the enhanced particle scavenging<sup>86</sup>. In low latitude regions where dust 228

229 supply is low and upwelling absent, the ensuing iron stress leads to low rates of nitrogen fixation<sup>87</sup>.

230 Subsurface iron at low latitudes will be affected by the balance between local iron regeneration

from sinking organic material and scavenging onto lithogenic and organic particles<sup>88</sup>. As 231

232 scavenging rates are closely linked to the amount of iron not organically complexed, subsurface 233 iron in the low latitudes can also be remotely controlled by subduction and equatorward transport of high latitude waters with excess ligands<sup>69</sup>.

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236 This integrated view of the ocean iron cycle places additional challenges on the global ocean 237 models we rely on for testing hypotheses and projections of change. For example, those global 238 models that more closely track the developments in our understanding of the ocean iron cycle 239 encapsulated in Fig 2 are better able to reproduce observed features emerging from the iron data collected along large GEOTRACES ocean sections<sup>38</sup>. When models reflect the existence of 240 241 multiple iron sources and unique aspects to iron's oceanic cycling, simulated glacial iron 242 fertilisation contributes less than a quarter to decrease in the glacial carbon dioxide (despite enhancing Southern Ocean biological activity)<sup>89</sup>. At present, inter-model differences result in iron 243 residence times that range widely, from a few years to a few hundred years<sup>38</sup>. This uncertainty 244 245 regarding the representation of iron in global models is important as projections of how climate change will affect ocean productivity<sup>90</sup>, which has implications for global carbon cycling and marine 246 247 ecosystems, will be regulated by iron over large swathes of the upper ocean. Reducing uncertainty 248 requires that we are able to dig deeper into the new oceanic survey data to extract quantitative 249 information on the rates and controlling factors of the mechanisms governing the ocean iron cycle 250 to inform accurate model parameterisations.

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#### 252 3. Linking iron to the cycles of carbon and other nutrients: new challenges and 253 opportunities

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255 The rapid increase in iron observations over recent decades has led to a much clearer 256 understanding of the myriad processes underpinning the ocean iron cycle and its connections to 257 the cycling of carbon and major nutrients (Fig 2). However, a complete theoretical framework for 258 how iron cycling embeds within the broader context of ocean biogeochemical cycling is still lacking, which limits our ability to project future trends with confidence<sup>38</sup>. The expanding coverage of ocean 259 260 observations via the GEOTRACES surveys<sup>41</sup> is essential, but alone is insufficient to constrain the 261 key underlying processes.

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#### 3.1 Physical linkages across different space and time scales 263

264 The new proliferation of full depth, basin scale ocean sections for iron have been instrumental in 265 266 advancing our understanding of the ocean iron cycle (Fig 2). However, the 'biogeochemical' 267 processes of interest (e.g. iron regeneration, scavenging, ligand production) operate on the 268 backdrop of different physical processes that must be taken into consideration to extract 269 information on the underlying biogeochemical processes (see Box 1). For instance, a given 270 oceanic section is overlain with signatures of different water masses reflecting their individual end 271 member conditions, interior flow pathways and transit times, which confound interpretation of iron 272 distributions. That said, observed features in iron distributions can themselves be 'fingerprinted' 273 using conservative tracers (either steady state or transient) of specific iron sources and cycling 274 pathways. 275

276 Water masses leave the ocean surface via the process of subduction and are transported along 277 lines of constant potential vorticity in the ocean interior<sup>91</sup>. Therefore, water masses provide a 278 framework for the deeper examination of biogeochemical properties. For example, on the recent 279 zonal GA03 GEOTRACES section in the North Atlantic Ocean, an Optimum Multi Parameter 280 Analysis was undertaken whereby the hydrographic and major nutrient data was used to 281 objectively identify nine primary watermasses<sup>92</sup>. Such a physical framework permits the evaluation 282 of how water masses originating from different locations, with specific iron characteristics (e.g. low 283 iron water from the high latitudes and high iron Mediterranean water) affect the distribution of iron

284 throughout the basin. The vertical distributions of iron at a given location should be evaluated in its

- proper physical context (i.e. in density space), to account for the physical variability that often
  complicates interpretations based on absolute depths<sup>78</sup>.
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288 Steady state and transient tracers provide an excellent opportunity to fingerprint specific iron 289 sources and assess iron input fluxes or regeneration and scavenging rates. Volcanic helium-3 has been used to identify iron plumes associated with mid ocean ridges<sup>50,51,54</sup>, as well as derive large 290 291 scale hydrothermal fluxes when estimates of global helium efflux are combined with the slope of the iron versus helium relationship<sup>51</sup>. The radium decay series, which is used to quantify 292 293 exchanges at ocean boundaries, is also measured on some GEOTRACES sections<sup>93</sup> and may be 294 linked to dissolved iron datasets to estimate a range of iron boundary fluxes. A particularly 295 promising avenue may be the combination of such tracers with iron isotope studies. Tracers of 296 ocean ventilation, such as chlorofluorocarbons or tritium, and diagnostics of interior ocean flows, 297 such as potential vorticity, can be used to provide a coherent context within which to quantify how 298 iron concentrations are modified, e.g. by regeneration or scavenging, during the transit of a water 299 parcel subducted from the ocean surface (see: Box 1, Fig 4a) or away from a specific point source 300 (e.g. a mid ocean ridge or continental margin). As shown in Fig 3, phosphate distributions are 301 almost always closely linked to lines of constant potential vorticity following the major physical 302 flows, whereas the distributions of iron have little obvious connection, highlighting distinctions 303 between the cycling of these two nutrients.

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305 Ultimately we require a robust means to isolate the different contributors to the iron cycle within a 306 holistic physical framework (Box 1, Figure 4a), as exists for major nutrients. This would allow the 307 global significance of ocean physics, regeneration and scavenging to iron distributions to be jointly elucidated<sup>69</sup>. With this approach, the first order levels of coupling and decoupling between iron and 308 309 major nutrients like phosphate may be quantified for use in parameterising the foundations of 310 global models. Furthermore, considering iron observations within their physical context, e.g. water 311 masses, density or potential vorticity, will facilitate the quantification of the rates of key processes. 312 Exploiting the coherent iron-hydrography datasets from GEOTRACES and targeted process 313 studies is needed to achieve this goal.

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## 315 **3.2 Which iron pools underpin variations in iron cycling?**

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317 While the new synthesis (Fig 2) expands our understanding of how iron links to wider biogeochemical cycling, the underlying processes themselves are ultimately governed by the 318 319 particular functional forms of iron that define its 'reactivity'. It is important to consider reactivity in 320 different ways depending on particular process. Biological reactivity (or bioavailability) affects the 321 ability of the biota to acquire iron or how bacteria remineralise iron from organic material, while 322 chemical reactivity will modulate the dissolution of lithogenic iron and the propensity of different 323 forms of iron to be transferred to particulate pools via scavenging or colloidal aggregation. A key 324 contrast between iron and the major nutrients is that while specific chemical forms of nitrogen (e.g. 325 nitrate) or phosphorus (e.g. phosphate) are measured, iron measurements rely on operational 326 definitions. The prime focus of ocean iron observations remains on separating 'dissolved' and 327 particulate iron, i.e. all forms of iron that pass through (or are retained by) a defined filter (normally 328 0.2 µm). However, somewhere between half and three guarters of dissolved iron does not pass a 329 smaller 0.02 um filter, which has led to a further distinction between 'soluble' and 'colloidal' dissolved iron<sup>94</sup>. 330

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332 Until relatively recently, most of the focus on the iron present in these different size fractions has 333 been from a geochemical or chemical perspective on inorganic species - emphasising the degree of complexation between iron and ligands in soluble and colloidal pools<sup>94</sup> or the solubility and 334 mineralogy of colloidal and particulate iron<sup>95</sup>. However, due to the scarcity and bio-essential nature 335 336 of iron, much of the iron reservoir must be associated with the biota and their biochemical 337 components. For instance, phytoplankton and bacteria are a notable fraction of the small particulate iron pool<sup>96</sup>, while the virus-associated iron may be a non-negligible component of 338 colloidal iron<sup>97</sup>. Additionally, intracellular iron, that is largely associated with metalloenzymes and 339 storage proteins<sup>98</sup> will be released to the dissolved phase following microbial grazing and viral lysis 340

341 of biogenic particles. Notable examples of biomolecules that may contribute to the dissolved or

342 colloidal iron pool when released from cells include numerous metalloenzymes and their ironbinding constituents (e.g. hemes and iron-sulphur clusters)<sup>99</sup>, as well as ferritin and bacteroferritin 343 344 (iron storage proteins)<sup>98</sup>. While the magnitude of their contribution to measured iron levels and their 345 lifetime in the dissolved phase remain unknown, these biogenic iron species will contribute to the biological and chemical reactivity of iron in different environments. On-going work with new ultra 346 347 high mass resolution mass spectrometry and 'omics based studies are delving deeper into the 348 'black box' of what makes up different iron pools, which will be crucial in better understanding the 349 biological and/or chemical reactivity of iron. A key challenge in this regard is the transient nature of 350 these different components of the ocean iron pool, which is affected by the specific attributes of 351 distinct environments and will ultimately regulate the linkages between iron and other

- 352 biogeochemical cycles (Fig 4b).
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### **354 3.3. Transcending the scale window: New frontiers for the ocean iron cycle**

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356 Will we see the same step change in our understanding of the ocean iron cycle over the next 357 decade? The importance of iron in regulating ocean productivity and biogeochemical cycling is well 358 established and as we have discussed, important aspects unique to its oceanic cycling, relative to 359 major nutrients, have been identified (Figs 2 and 3). Thus to be able to project the impacts of 360 ocean change with confidence, iron needs to be fully integrated into a theoretical framework where 361 the main drivers of its distribution and cycling are identified, as for major nutrients. This would 362 enable progress to be made in the representation of iron cycling in the ocean models we rely on to 363 test hypotheses and appraise the consequences of on-going climate change. Enormous progress 364 has been made in our ability to observe ocean iron distributions thanks to GEOTRACES, but better 365 understanding of the roles played by different biological, chemical and physical processes remains 366 elusive. In part this is due to our fragmentary picture of ocean iron cycle variability and the linkages 367 to global biogeochemical cycles.

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369 When iron measurements are conducted at similar scales to other biogeochemical tracers during 370 targeted experiments, budgets and fluxes can be guantified, which yield insight into the linkages 371 that ultimately regulate the functioning of the ocean's biogeochemical cycles. For example, the 372 FeCycle experiment followed iron dynamics in a labelled patch of the subantarctic Southern 373 Ocean, documenting the parallel roles of ocean physics, biology and chemistry in governing links 374 between iron and broader aspects of biogeochemical cycling, including dust iron supply<sup>44</sup>. Two 375 multi-disciplinary experiments focussing on the naturally fertilised Kerguelen plateau region in different seasons were able to develop regional iron budgets<sup>75</sup> and make wider links to physical 376 377 and biological processes, including downward biogenic carbon export and air-sea CO<sub>2</sub> exchange. 378 We recommend future work that expands such efforts to the ocean basin scale, coupling ocean 379 sections with insights into the associated physical, chemical, biochemical and ecological 380 processes. This may be achieved in its simplest sense by expanding the biological, biochemical 381 and physical measurements conducted on GEOTRACES sections, as for the GA03 north Atlantic 382 section<sup>72,92</sup>. More ambitiously, key regions along sections (e.g. downstream of iron sources or 383 across gradients of iron stress) may be targeted and controlled volume experiments performed to 384 elucidate the governing processes. Finally, expanding the temporal scale of iron sampling requires 385 advances in analytical chemistry, which may ultimately yield the autonomous sensors or remote 386 samplers for iron needed to transform our ability to observe variability (e.g. at time-series stations). 387 For instance, this could illuminate how natural climate variations (e.g. El Nino in the Pacific) or 388 seasonal transitions (e.g. at high latitudes or in coastal upwellings) shape biogeochemical cycles 389 via modifications to iron dynamics.

### 391 Figure Legends

392

**Figure 1.** A schematic representation of the first view of the processes governing the ocean iron cycle. The major external source is dust, with the iron supplied from continental margins and hydrothermal activity on mid ocean ridges thought to be lost from the dissolved pool close to the source. Release of iron from dust or supply from upwelling stimulates biological activity, nitrogen fixation and particulate organic matter fluxes in a constant relationship to major nutrients. In the ocean interior, iron regeneration and scavenging is controlled by fixed concentrations of iron binding organic ligands.

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401 Figure 2. A revised representation of the major processes in the ocean iron cycle. A broad 402 meridional contrast is emphasised between the iron-limited Southern Ocean and the major nutrient limited low latitude regimes. Dust remains dominant in the low latitudes, but continental margin 403 404 and upwelled hydrothermal sources are more important in the Southern Ocean. Flexible iron 405 uptake and biological cycling, along with the production of excess iron binding ligands dominate 406 the Southern Ocean, whereas nitrogen fixation occurs in the low latitudes (although this process 407 can also be restricted by lack of iron outside of the north Atlantic subtropical gyre). The particulate 408 organic iron flux is decoupled from that of phosphorus at high latitudes and the flux of lithogenic 409 material is important at low latitudes influenced by dust. Subduction of excess organic iron binding 410 ligands from the Southern Ocean has a remote influence on the interior ocean at low latitudes.

411

412 **Figure 3.** Dissolved phosphate (upper panel) and dissolved iron (lower panel) observations

413 collected along the GA02 GEOTRACES meridional section in the west Atlantic<sup>85</sup> as a function of 414 latitude, with contours of constant potential vorticity overlain.

414 latitude, with contours of constant potential vorticity overlain.415

416 Figure 4. a) A schematic representation of how iron cycle processes can be placed within a 417 holistic physical framework. Subduction of a water mass between density layers ( $\sigma_1$  and  $\sigma_2$ ) and 418 subsequent spreading along lines of constant potential vorticity transports iron signals. This remote 419 impact will then affect the interpretation of observed local phenomena. The terminology of the iron 420 and ligand pools is described in Box 1. b) An illustration of the different components of particulate 421 and dissolved iron (including the soluble and colloidal components Emphasis is on a fluid 422 continuum of soluble, colloidal and particulate iron, as well as the role of inorganic (bottom 423 half: nano particles and lithogenic species) and organic components (top half: encompassing 424 biogenic and biomolecules that bind iron strongly, as well as weaker diffuse iron-binding ligands 425 such as hemes, saccharides or fulvic acids). 426

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### Box 1: Using theory to identify first order governing processes

A suite of interleaving processes controls the distributions of nutrients in the ocean. Biological uptake and chemical removal constitute sinks, whereas external inputs and regeneration are sources. Physical transport also transfers nutrients between surface and deep waters and throughout the ocean interior. Thus, interior ocean phosphate ( $P_{TOT}$ ) is the sum of preformed (physically transported into the ocean interior,  $P_{PRE}$ ) and regenerated (i.e. from sinking organic matter,  $P_{REG}$ ) pools:

 $P_{TOT} = P_{PRE} + P_{REG} \quad (1)$ 

(2)

438  $P_{REG}$  is quantified using apparent oxygen utilisation (AOU) and the phosphate:oxygen ratio ( $R_{P:O2}$ ):

 $P_{REG} = R_{P:O2}AOU$ 

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Equations 1 and 2 then quantify the varying influences of ocean circulation and biological activity on phosphorus cycling<sup>100,101</sup>. As the biological pump shifts phosphorus from the preformed to the regenerated pool,  $P_{REG}/P_{TOT}$  (known as  $P^*$ ) quantifies the efficiency of the biological pump<sup>100</sup>. Observations indicate that the biological pump operates at around one-third efficiency, with a linear relationship between  $P^*$  and atmospheric CO<sub>2</sub> levels<sup>100</sup>. This theory underpins the '*iron hypothesis*'<sup>19</sup>, whereby additional iron enhances the biological pump efficiency by shifting extra phosphate from the preformed to the regenerated pool and lowering CO<sub>2</sub>.

450 While a similar theory for iron ( $Fe_{TOT}$ ) would include preformed ( $Fe_{PRE}$ ) and regenerated ( $Fe_{REG}$ ) 451 components, it must also consider subsurface sediment ( $Fe_{SED}$ ) and hydrothermal ( $Fe_{HYD}$ ) input, 452 alongside iron scavenging loss ( $Fe_{SCAV}$ ). The dust iron deposited within the mixed layer affects 453  $Fe_{PRE}$ , but the smaller amount of dust that dissolves in the ocean interior ( $Fe_{DUST}$ ) must be 454 included:

$$Fe_{TOT} = Fe_{PRE} + Fe_{REG} + Fe_{SED} + Fe_{HYD} + Fe_{DUST} - Fe_{SCAV} (3)$$

Variability in the iron content of sinking organic matter<sup>71</sup> causes a variable  $R_{Fe:O2}$  that must be 458 combined with AOU to derive  $Fe_{REG}$ . Using Equation 3 within a model finds that  $Fe_{PRE}$  interacts 459 with interior sources to shape the magnitude of surface iron supply<sup>69</sup> (Fig 2). Importantly, the 460 regeneration and scavenging balance (i.e. Fe<sub>BEG</sub>-Fe<sub>SCAV</sub>) is decoupled from the gross rates of 461 462 regeneration inferred from AOU because upstream subduction of excess ligands places an upper limit on how regeneration impacts total iron<sup>69</sup>. This explains why the slope of the dissolved iron to 463 AOU relationship differs from phytoplankton iron contents<sup>72</sup>. While AOU itself may not be the ideal 464 465 tracer of remineralisation rates, it offers a tractable means for its basin scale quantification. 466

467 If Equation 3 were linked to observations, the first order drivers of the ocean iron cycle could be identified. This can be achieved if estimates of phytoplankton iron content<sup>72,77</sup> are allied to ocean 468 469 tracers (Sec 3.1). For instance, linking subduction timescales determined from transient tracers to 470 density-based or potential vorticity flow pathways can track preformed iron and its modification. 471 Source-specific tracers, such as helium or radium may isolate hydrothermal and sediment pools. 472 Interior dissolution of dust may be traced via lithogenic tracers such as aluminium and titanium. 473 Finally, other particle reactive elements that are not biologically active (e.g. thorium) can constrain 474 scavenging. 475

Ultimately, the role of the each term in Equation 3 is affected by organic iron-binding ligands<sup>69</sup> that are usually in excess of iron<sup>63</sup>. A parallel approach for the total ligand concentration ( $L_{TOT}$ ) should account for preformed surface produced ligands ( $L_{PRE}$ ), interior ligand production from both organic matter degradation ( $L_{REG}$ ) or specific sources ( $L_{SOURCE}$ ), and ligand loss ( $L_{LOSS}$ ) from bacterial decay and coagulation:

$$L_{TOT} = L_{PRE} + L_{REG} + L_{SOURCE} - L_{LOSS}$$
(4)

- Equation 4 may be quantified by combining experimental studies and tracers, but an important and
- confounding issue is the diversity of ligands present (i.e. a blend of iron binding molecules typically
- represented as distinct 'classes' based on measured binding constants), since each may have a unique provenance and impact(s) on the iron cycle<sup>62-64</sup>.

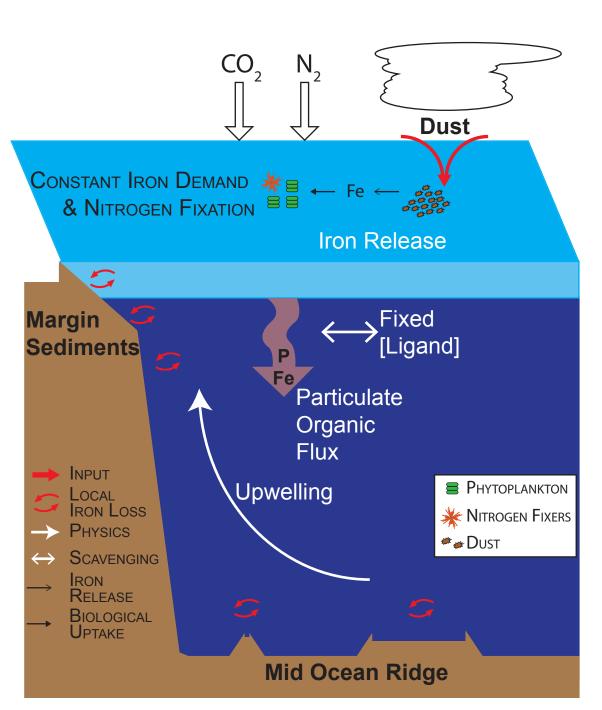
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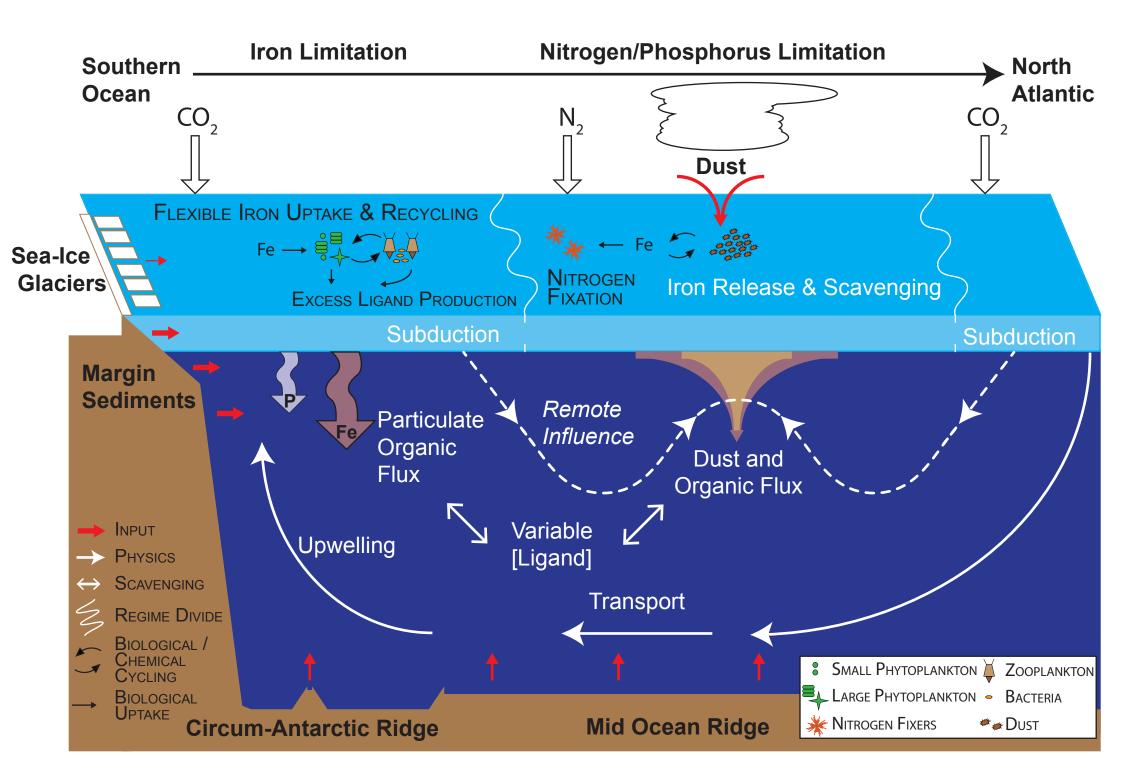
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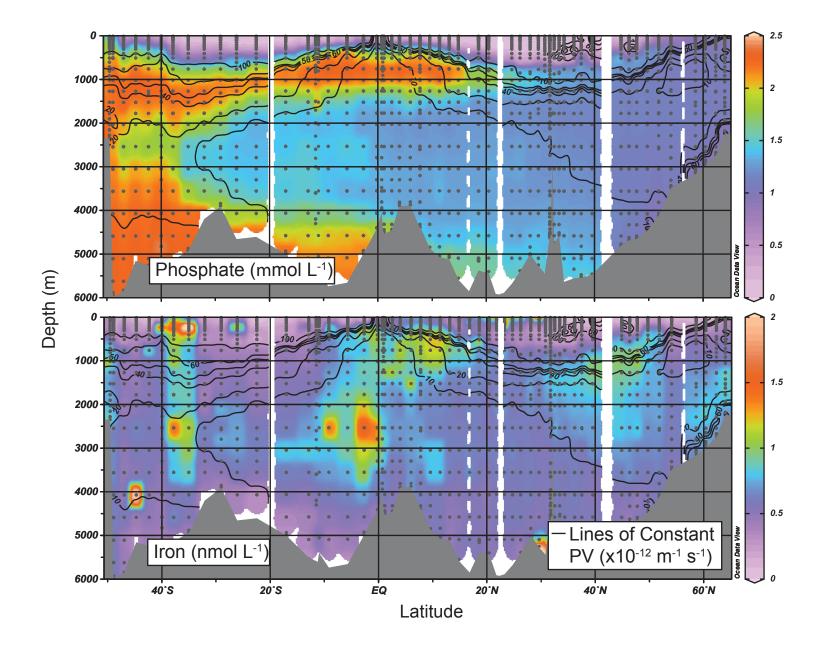
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b) What makes up the different iron pools?

